

PLEASE NOTE VENUE

SCRUTINY CO-ORDINATING COMMITTEE AGENDA



Friday 4 January 2008

at 2.00 p.m.

at Owton Manor Community Centre,
Wynyard Road, Hartlepool

MEMBERS: SCRUTINY CO-ORDINATING COMMITTEE:

Councillors Akers-Belcher, Brash, R W Cook, S Cook, Fleet, Flintoff, James, Laffey, A E Lilley, G Lilley, A Marshall, Plant, Preece, Shaw, Simmons and Wright.

Resident Representatives: Jean Kennedy, Iris Ryder and Linda Shields

1. **APOLOGIES FOR ABSENCE**

2. **TO RECEIVE ANY DECLARATIONS OF INTEREST BY MEMBERS**

3. **MINUTES**

- 3.1 To confirm the minutes of the meetings held on 2nd, 9th and 21st November 2007 (*attached*)

4. **RESPONSES FROM THE COUNCIL, THE EXECUTIVE OR COMMITTEES OF THE COUNCIL TO REPORTS OF THE SCRUTINY COORDINATING COMMITTEE**

No Items

5. **CONSIDERATION OF REQUEST FOR SCRUTINY REVIEWS FROM COUNCIL, EXECUTIVE MEMBERS AND NON EXECUTIVE MEMBERS**

No Items

PLEASE NOTE VENUE

6. FORWARD PLAN

6.1 Forward Plan: January 2008 – March 2008 - *Scrutiny Manager*

7. CONSIDERATION OF PROGRESS REPORTS / BUDGET AND POLICY FRAMEWORK DOCUMENTS

7.1 2008/09 Budget and Policy Framework Proposals – *Scrutiny Manager*

8. CONSIDERATION OF FINANCIAL MONITORING/CORPORATE REPORTS

8.1 Quarter 2 – Corporate Plan and Revenue Financial Management Report 2007/2008 – *Chief Financial Officer*

8.2 Quarter 2 – NRF, Capital and Accountable Body Programme Monitoring Report 2007/2008 – *Chief Financial Officer*

9. ITEMS FOR DISCUSSION

9.1 Adult and Community Services and Health Scrutiny Forum – Restructure Proposals – *Chair of the Scrutiny Co-ordinating Committee*

9.2 Funding of Contract Monitoring System – Explanation of the Adult and Public Health Portfolio Holder – *Chair of the Adult and Community Services and Health Scrutiny Forum*

9.3 Request for Items for Joint Cabinet / Scrutiny Event of 22 January 2008 – *Scrutiny Manager*

10. CALL-IN REQUESTS

11. ANY OTHER ITEMS WHICH THE CHAIRMAN CONSIDERS ARE URGENT

ITEMS FOR INFORMATION

i) **Date of Next Meeting Friday 18th January 2008, commencing at 2.00 pm in Owton Manor Community Centre**

SCRUTINY CO-ORDINATING COMMITTEE

MINUTES

2 November 2007

The meeting commenced at 3.30 pm at Owton Manor Community Centre, Hartlepool

Present:

Councillor: Marjorie James (In the Chair)

Councillors: Jonathan Brash, Rob W Cook, Shaun Cook, Mary Fleet, Pauline Laffey, Alison Lilley, Geoff Lilley, Ann Marshall, Michelle Plant, Arthur Preece, Jane Shaw and Edna Wright.

Resident Representatives:

Jean Kennedy, Iris Ryder and Linda Shields

Officers:

Chris Little, Assistant Chief Financial Officer
Charlotte Burnham, Scrutiny Manager
Angela Hunter, Principal Democratic Services Officer

Also Present:

The Mayor, Stuart Drummond, Regeneration and Liveability Portfolio Holder
Robbie Payne, Finance and Efficiency Portfolio Holder

46. Apologies for Absence

Apologies for absence were received from Councillor Stephen Akers-Belcher.

47. Declarations of interest by Members

None.

48. Minutes of the meetings held on 14 September 2007

Confirmed.

49. Matters Arising

The recommendations from minute 40 were questioned as Members had not received the information requested. The Assistant Chief Financial Officer responded that the Dedicated Support Grant information had now been

collated and would be circulated to Members with the breakdown for the 'miscellaneous' functions to follow.

50. Responses from the Council, the Executive or Committees of the Council to Reports of the Scrutiny Co-ordinating Committee

None.

51. Consideration of request for scrutiny reviews from Council, Executive Members and Non Executive Members

None.

52. Forward Plan

None.

53. Consideration of progress reports/budget and policy framework documents – Scrutiny Involvement in the Budget Setting Process for 2008/09 – Proposed Timetable – Scrutiny Manager

The Scrutiny Manager presented a report which provided Members with the proposed timetable for the Scrutiny Co-ordinating Committee and the four standing Scrutiny Forums involvement in the budget setting process for 2008/09. Members were informed that since its despatch with the agenda and supporting papers for this meeting, that at the request of the Chair, the meeting of Scrutiny Co-ordinating Committee scheduled for 23 November 2007 had been re-scheduled to Wednesday 21 November at 5.00pm in Owton Manor Community Centre, Wynyard Road.

The Mayor asked Members to note that at this point of the consultation process last year, the annual settlement from the Government was known, however this was unknown at the current time. In view of the success of the consultation process last year, the Mayor again welcomed the involvement of Scrutiny in the budget process and looked forward to receiving any proposals to be submitted by Scrutiny.

It was suggested by a Member of the Committee that all the scrutiny forums afford ample time for the consideration of the

budget proposals and where possible, have dedicated budget meetings.

Recommendation

That the report and proposed timetable for the budget consultation process be noted.

54. **Consideration of progress reports/budget and policy framework documents – Budget and Policy Framework 2008/09 to 2010/11 – Initial Consultation Proposals – Chief Financial Officer**

The Finance and Efficiency Portfolio Holder introduced the report and presentation which enabled Members to consider the Executive's Initial Budget and Policy Framework consultation proposals. Members were reminded that the Council was facing a difficult financial position for 2008/09 and future years. The Assistant Chief Financial Officer gave a detailed and comprehensive presentation which looked at the Outturn Strategy for 2007/08 based on the first three months of the financial year. Members were asked to note that any changes were likely to impact on staffing costs. The presentation gave an overview on how the Council's budget was funded and spent.

Members were reminded that the initial budget forecasts for 2008/09 and 2009/10 were reported in February 2007 but that this had not included any new pressures, priorities or terminating grants. Assuming a 4.9% increase in council tax the revised budget position for 2008/09 was presented to Members and included a budget gap of £5,880m. An analysis of the Council's reserves and forecast balances from 1 April 2007 to 1 April 2011 was illustrated for Members. Members were informed that the Comprehensive Spending Review for 2007 was announced on 9 October and an update on the key issues were outlined in the presentation. In conclusion, a strategy for bridging the budget gap was outlined and included the requirement for 8% savings of potential cuts and efficiencies.

The Mayor confirmed that as part of the consultation process all portfolio holders would attend the relevant scrutiny forums where at all possible. Members were asked to note that in relation to the floor damping arrangements, pressure was being put on the town's MP Iain Wright to try and change this arrangement. Members were asked to note that as part of the initial consultation process, any departmental priorities identified had been taken out of the proposals and were not to be considered at this stage. Subject to sufficient funding being identified, the priorities may be considered at a later stage in the process.

Members identified that there was an issue with the funding of the additional

costs identified for the Building Schools for the Future Programme and it was noted that this would be raised as part of the consultation process through the Children's Services Scrutiny Forum. Clarification was sought on whether a local authority could 'go bust'. The Assistant Chief Financial Officer responded that technically this could not happen but local authorities were expected to have a plan to sustain the provision of services and were unable to set a deficit budget.

The Portfolio Holder for Finance and Efficiency asked Members to consider the position of the reserves and be brave and honest as there were some difficult decisions to be made. The Assistant Chief Financial Officer informed Members that the Council's reserves had increased in previous years due to one-off benefits, however, there were no one-off benefits forecast for the near future.

Clarification was sought on the total savings as a result of the bonus payments scheme which was to be repaid into the General Fund reserves. The Assistant Chief Financial officer agreed to look into this and report back to a future meeting.

There were concerns among Members in relation to the progress made on the Single Status Agreement. Funding for this Agreement had been included within the budget proposals and yet Members were unaware of any progress made or proposed budget implications since the last meeting of the Single Status Working Group in August this year. Members expressed their disappointment at not being kept informed of progress made when the financial implications of any Agreement would be incorporated into the budget proposals for 2008/09 and be agreed by Members early in the new year. The Mayor shared Members concerns and was unaware that the Single Status Working Group meetings were not on-going and would take all comments received back to Human Resources and feedback to the Committee.

Recommendations

- i) That the Budget and Policy Framework Proposals for 2008/09 be examined by Scrutiny Co-ordinating Committee and the four Scrutiny Forums on a departmental basis as appropriate, reporting their findings back to Scrutiny Co-ordinating Committee on 21 November 2007 to enable a formal response to be submitted to the Cabinet meeting of the 17 December 2007;
- ii) That the Portfolio Holders be invited to attend the above meetings in line with their departmental responsibilities, however it was acknowledged that such attendance would be where possible; and
- iii) That the Assistant Chief Financial Officer provide clarification on the total amount of savings to be repaid into the General Fund as a result of savings made in relation to the bonus payments.

55. Consideration of financial monitoring/corporate reports

None.

56. Call-In Requests

None.

MARJORIE JAMES

CHAIR

SCRUTINY CO-ORDINATING COMMITTEE

MINUTES

9 November 2007

The meeting commenced at 2.00 p.m. at Owton Manor
Community Centre, Hartlepool

Present:

Councillor: Marjorie James (In the Chair)

Councillors: Jonathan Brash, Mary Fleet, Bob Flintoff, Pauline Laffey,
Alison Lilley, Geoff Lilley, Ann Marshall, Arthur Preece,
Chris Simmons, and Gerald Wistow.

Resident Representatives: Linda Shields and Iris Ryder.

Also Present: in accordance with Council procedure rule 4.2, Councillor Griffin
as substitute for Councillor Akers-Belcher.

Officers: Paul Briggs, Assistant Director - Resources and Support Services
Tony Brown, Chief Solicitor
Lorraine Bennison, Principal Registration and Members Services Officer
Chris Little, Assistant Chief Financial Officer
Charlotte Burnham, Scrutiny Manager
David Cosgrove, Principal Democratic Services Officer

57. Apologies for Absence

Councillors Stephen Akers-Belcher, Rob W Cook, Shaun Cook and
Jane Shaw and Resident Representative Jean Kennedy.

58. Declarations of interest by Members

None.

59. Minutes of previous meetings

None Submitted.

60. **Building Schools for the Future - Update** *(Director of Children's Services)*

Paul Briggs, Assistant Director - Resources and Support Services, updated the Committee of the outcomes of the third and fourth stages of consultation in preparation for the Building Schools for the Future (BSF) programme. Submitted as Appendix A to the report was a copy of the report considered by the Cabinet on 3 September 2007 in relation to the outcomes of the Stage Three Consultation Process, together with the subsequent minute at Appendix B. The reports showed that the consultation exercise had been meaningful and had changed certain proposals, particularly the primary partner arrangements if Brierton School closed.

The stage four consultation process focussed on the needs of children and young people who have a range of special educational and additional needs. This part of the consultation looked specifically at the possible co-location of Catcote and Springwell schools, as authorised by Cabinet on 19th March 2007. In view of the inter-related nature of other issues concerning special educational needs, the opportunity was taken to consult on a number of these other SEN issues. The report on the consultation process would be considered by Cabinet at its meeting on 12 November 2007.

The Chair referred to the outreach unit at the Jesmond Road Primary School. Paul Briggs commented that this was an assessment unit for 4-7 year old children. The unit had not been used in over a year and the school was suggesting it was disestablished. There was concern that the proposal now to look at the collocation of Catcote and Springwell schools would tie the Council to that course of action, if it was included in the BSF Memorandum of Understanding. Paul Briggs stated that no decision was being sought at this time only a recommendation. The potential of collocation needed to be investigated in much more detail to assess its viability. The authority wouldn't be tied, it may only have to 'undo' a Cabinet decision. The authority did need to decide what it was going to do with Catcote as part of BSF but had until the submission of the final business case next October. This was a cutting edge proposal but it also needed to be the right proposal.

In response to further questions, Paul Briggs clarified that the proposal was not for amalgamation of the two schools. Collocation of would bring the benefits of shared facilities which would be of particular benefit in relation to the specialised facilities these children needed. However, officers and staff at the schools firmly believed that children had the right of passage from primary to secondary school. Whatever arrangements were proposed it would be essential to show that move, even if it meant prejudicing the funding.

Recommended

That the report be noted.

61. Responses from the Council, the Executive or Committees of the Council to Reports of the Scrutiny Co-ordinating Committee

No items.

62. Consideration of request for scrutiny reviews from Council, Executive Members and Non Executive Members

No items.

63. Forward Plan November 2007 to February 2008

No issues were raised by the Members present.

64. Consideration of progress reports/budget and policy framework documents

No items.

65. Consideration of financial monitoring/corporate reports – NRF, Capital and Accountable Body Programme Outturn Report 2006/07 *(Chief Financial Officer)*

Members questioned the changes that were proposed for Neighbourhood Renewal Funding (NRF) through the Comprehensive Spending Review (CSR). The Assistant Chief Financial Officer indicated that there were significant changes expected to NRF, which were likely to reduce the funding through more focussed spending, but no date had yet been set for the announcement.

Reference was made to the overspending NRF schemes that were brought to Portfolio Holders attention in the report to Cabinet on 17 September 2007, which was submitted as an appendix to the report. The three schemes listed in paragraph 8.2.5 showed significant overspends and Members questioned if there were any procedures in place to ensure these types of massive overspends were not repeated. The Assistant Chief Financial Officer stated that the appropriate procedures were followed and the schemes will have been reported to Portfolio Holders. In each case it was likely that significant other works only came to light once the scheme had commenced.

Recommended

That the report be noted.

66. Consideration of financial monitoring/corporate reports – Quarter 1 NRF, Capital and Accountable Body Programme Monitoring Report 2007/08 *(Chief Financial Officer)*

The Assistant Chief Financial Officer reported that the quarter two figures were soon to be available and would be reported to the Committee in the near future.

Members questioned the demolition of the Eldon Grove Sports Centre building and asked if the Council was bearing that cost would it receive the money back through the site disposal. The Chief Solicitor reported that the terms of the disposal of the building were still being looked at with regard to the funding proposals. It was anticipated that the arrangements would incorporate the demolition costs but there was still further work to be done.

Members referred to the entries in relation to the H20 centre. The Assistant Chief Financial Officer gave a response about Capital and revenue allocations I didn't understand.

The Assistant Chief Financial Officer undertook to provide further detailed information in relation to the following matters: -

7718 – Eldon Grove – Demolition of Sports Centre

7717 – Re-roofing Brinkburn Youth Club

52 – Voluntary Sector Premises Pool

7051 – Voluntary Sector Premises Pool

Recommended

That the report be noted and the further information requested be circulated to Members.

67. Review of Polling Districts *(Chief Solicitor)*

The Chief Solicitor reported that the Council are required to undertake a review of the Polling Districts, Polling Places and Polling Stations by the end of December 2007. This exercise is being undertaken by the General Purposes Committee and the General Purposes (Review of Polling Districts) Sub-committee.

Following detailed consideration of the existing polling districts and polling places, and the availability of other polling places, the sub-committee has produced a consultation document that has been circulated to a wide range of interested parties and bodies, as well as being made available for public comment. As part of that consultation exercise, the views of the Scrutiny Co-ordinating Committee were being sought, in advance of the sub-committee meeting to be held on 21st November 2007 to consider responses to consultation.

The Chief Solicitor indicated that the review of the polling districts was aimed at achieving convenience for electors. The Sub Committee had looked at each ward on a district-by-district basis before moving on to the individual polling places. Full details of the sub committee's proposals were set out in the report and the Chief Solicitor briefly outlined the main changes proposed to the Committee. The Sub Committee had not looked at reducing the number of polling districts though had made detail changes to certain wards. In relation to polling places, there had been consideration of reducing the number of polling places as there had been over recent years an increase in the number of postal votes, which was now in excess of 10,000. The sub committee had not supported this proposal and indeed the number of stations had increased by two due to changes in polling districts. The sub committee meeting on 21 November would consider the responses to the formal consultation process, including comments from this meeting. Only thirteen responses had been received, two of which suggested that schools should not be used as polling places.

Members commented that they supported schools right to refuse to be used as polling places. The traditional polling places were worth a significant amount to those electors who had voted there for years and such stations should be maintained. If portable units were required to keep polling places convenient to electors, then they should be used. Convenient polling places encouraged voting. Members questioned if there was any issue in a candidate owning a building used as a polling place?

The Chief Solicitor indicated that in relation to schools, if a school did not wish to be used as a polling place, he would visit the school and discuss their concerns. Some school lent themselves well to being used as polling places while others did not. If the school presented a situation where it was impractical or unsafe to host a polling place, then that would be respected. The Chief Solicitor commented, however, that legislation did give him the right to use premises funded from the public purse as polling places. In relation to who owned a polling place, one being owned by a candidate was not a concern.

There was concern expressed at a particular proposal a made by the sub committee, which was to move the polling place from the car park of the Supporters Club to the St John's Ambulance Station. The Chief Solicitor indicated that the site at the Supporters Club required the use of a portable unit. These units were not particularly good for staff who had to spend in excess of fifteen hours in them. They were also not very satisfactory for voters with mobility problems. If an option arose to dispense with a portable unit, then that should be done. In view of the strong feelings expressed, the Chair indicated that the Committee should forward the comment to the sub committee that the polling place at the Supporters Club should be retained.

The changes to the Fens Ward were welcomed. Members queried how often these arrangements were to be reviewed and commented on the use of public houses for polling places as they may cause problems for some people with certain ethical or religious views. The Chief Solicitor stated that

the review had to be undertaken every five years. In relation to the use of public houses, the Chief Solicitor commented that no complaints had been received on the use of public houses at the last election on those grounds. The Chief Solicitor also commented that only room hire related costs was paid for the stations in public houses; no payment was made for loss of business.

Members commented that the population and housing growth in the north of the town needed to be addressed by the use of more polling places. The reason for the Council electing a third of its membership each year was also questioned; could the authority not move to four year all out elections. The Chief Solicitor agreed to supply a written response to the question of the electoral arrangements in Hartlepool.

There was concern that in some areas, not using schools would cause significant problems as there were very few public buildings in the Fens area for example. If polling places were changed, it was commented that it was essential to give as much publicity as possible to the changes.

Members supported in general the proposals put forward by the sub committee other than the detailed comments made above. One of the principle concerns of Members was the low turn out at elections; if convenient polling places could encourage more people to vote, then the cost was immaterial.

Recommended

That the report be noted and the comments of the Committee be forwarded to the General Purposes (Polling District Review) Sub Committee.

68. Final Report – School Meals (*Chair of the Neighbourhood Services Scrutiny Forum*)

Councillor Flintoff, the Vice-Chair of the Neighbourhood Services Scrutiny Forum presented the final report of the investigation into School Meals. Members commented that the results of the survey of school children's views was insufficient and suggested that once the results of a new survey were available they should be referred back to the Scrutiny Forum. There was some concern expressed at the levels of waste arising from the meals.

Recommended

1. That the following recommendations of the Neighbourhood Services Scrutiny Forum be forwarded, with the report, to cabinet for its consideration: -

“The Neighbourhood Services Scrutiny Forum has taken evidence from a wide range of sources to assist in the formulation of a balanced range of recommendations. The recommendations to Cabinet are outlined below:-

- (a) That the Authority congratulates the Catering Service and it is

commended for the quality, variety and cost of the service it has provided over the years;

- (b) That the Authority fast-tracks the £100k grant funding (otherwise known as 'Jamie Oliver Money') it receives from the Government to support school dinners, to the Catering Service to meet the higher cost of school meals provision through means other than increased charges for school meals and that this is monitored through performance indicators;
- (c) That the Catering Manager is involved in the planning and design of any new dining facilities in schools resulting from the Building Schools for the Future programme;
- (d) That further consultation is undertaken with young people (of all school ages and during term-time) and minority communities of interest or heritage about their views on school meals; and
- (e) That the Authority further promotes the advice it provides in relation to healthy packed lunches."

- 2. That once the results of a new survey of schoolchildren's views on school meals became available, they should be referred back to the scrutiny forum.

69. Draft Final Report – Review of the Authority's Postal Service *(Chair of the Scrutiny Coordinating Committee)*

The Chair of the Scrutiny Coordinating Committee submitted the draft final report of the committee's investigation into the authority's postal service. The Chair proposed an additional recommendation, as follows: -

- (d) That consideration be given to packaging the contracts for the delivery of Authority's postal services in such a way as to provide for in-town and out-of-town services.

The Chair commented that such a proposal would give the greatest possibility for local providers to operate within the town, thus keeping the money generate from such a contract local. Some Members expressed some caution to the proposal and were concerned at maintaining ht existing local employment with the Post Office.

Recommended

That the following recommendations of the Scrutiny Coordinating Committee be forwarded, with the report, to cabinet for its consideration: -

"The Scrutiny Co-ordinating Committee has taken evidence from a wide range of sources to assist in the fomulation of a balanced range of recommendations. The Committee's key recommendations to the Cabinet are as outlined below:-

- (a) That a standard approach be adopted throughout the Authority with regard to postal procedures;
- (b) That the Authority explores the feasibility of implementing where appropriate, the potential efficiencies and service improvements as outlined in paragraph 7.16 of this report within the timetable of the current business process review ; and
- (c) That during the course of the business process review, consideration also be given to the benefits of utilising an alternative business mail provider together with the procurement of a shared service with neighbouring local authorities.
- (d) That consideration be given to packaging the contracts for the delivery of Authority's postal services in such a way as to provide for in-town and out-of-town services.

70. Scrutiny Forums – Progress Reports

Scrutiny Coordinating Committee

The Chair, Councillor Marjorie James, presented an update report on the work of the Scrutiny Coordinating Committee since the last report on 3 August 2007.

Children's Services Scrutiny Forum

The Chair, Councillor Jane Shaw, presented a report on the progress of the Children's Services Scrutiny Forum.

Adult and Community Services and Health

The Chair, Councillor Jonathan Brash, presented a report on the progress of the Adult and Community Services and Health Scrutiny Forum. Councillor Brash reported that the Pathways to Health investigation was to be converted into a rolling investigation as this element of health care was to take the NHS seven years to develop. The forum would instead be focussing on the investigation into the withdrawal of health services from the Wynyard Road centre. In response to a question, Councillor Brash commented that he had written to the Secretary of State for Health urging that no more health services be withdrawn from Hartlepool Hospital.

Neighbourhood Services Scrutiny Forum

The Chair, Councillor Stephen Akers-Belcher, presented a report on the progress of the Neighbourhood Services Scrutiny Forum.

Regeneration and Planning Services Scrutiny Forum

The Chair, Councillor Shaun Cook, presented a report on the progress of the Regeneration and Planning Services Scrutiny Forum.

Recommended

That the five progress reports be noted.

71. Call-In Requests

None.

MARJORIE JAMES

CHAIR

SCRUTINY CO-ORDINATING COMMITTEE

MINUTES

21 November 2007

The meeting commenced at 5.00 p.m. at Owton Manor Community Centre, Hartlepool

Present:

Councillor Marjorie James (In the Chair)

Councillors Akers-Belcher, Brash, R W Cook, S Cook, James, Laffey, A E Lilley, G Lilley, A Marshall, Plant, Preece, Shaw and Simmons.

Resident Representatives: Linda Shields and Iris Ryder.

Also Present: In accordance with Council Procedure Rule 4.2 (ii), Councillor Richardson as substitute for Councillor Fleet.

Councillor Pamela Hargreaves, Performance Portfolio Holder

Councillor Robbie Payne, Finance and Efficiency Portfolio Holder

Officers: Mike Ward, Chief Financial Officer
Graham Frankland, Head of Procurement and Property Services
Derek Reynolds, Project Manager
Charlotte Burnham, Scrutiny Manager
David Cosgrove, Principal Democratic Services Officer

72. Apologies for Absence

Councillors Fleet, Flintoff and Wright and Resident Representative Jean Kennedy.

73. Declarations of interest by Members

None.

74. Minutes of the meetings held on 2 November 2007

The minutes had not been circulated in advance of the meeting and were therefore deferred to the next meeting.

75. Responses from the Council, the Executive or Committees of the Council to Reports of the Scrutiny Co-ordinating Committee

No items.

76. Consideration of request for scrutiny reviews from Council, Executive Members and Non Executive Members

No items.

77. Forward Plan

No items.

78. Consideration of progress reports/budget and policy framework documents

No items.

79. Consideration of financial monitoring/corporate reports – Budget and Policy Framework Initial Consultation Proposals 2008/09 – Chief Executive’s Department *(Scrutiny Manager)*

The Chief Financial Officer and the two relevant Portfolio Holders for the Chief Executive’s Department, the Finance and Efficiency Portfolio Holder, Councillor Payne, and the Performance portfolio Holder, Councillor Hargreaves, were present to respond to any questions the Committee had in relation to the budget pressures and priorities that were set out in the appendices to the report. The appendices highlighted the following issues: -

Appendix A – Budget Pressures

- (i) Registrars – fall in income due largely to falls in marriage income and repeat birth certificates - £17,000.
- (ii) Human Resources – Increased costs of Job Evaluation (JE) appeals and running the system - £50,000.

Appendix C – Budget Priorities

- (iii) Financial Inclusion Developments with Hartlepool Credit Union - £45,000 (2008/09) and £15,000 (2009/10).

The Committee had also been supplied with the detailed report submitted by

the Corporate Management Team to the Cabinet meeting held on 15 October 2007.

Members questioned if the fall in income in the Registrar's service was permanent, would there be redundancy cost to be met, and if so, who would meet them. The Chief Financial Officer indicated that while the service was delivered under statutory powers under a Regulatory Act dating back to 1837, the service was funded by the local authority. The service was currently controlled by the Registrar General, though legislation was expected in the near future to transfer the service into local authority control. Some of the loss of income had been due to changes in the passport regulations. There were also fears that income through birth registrations could fall once the maternity services were transferred to North Tees Hospital in Stockton. Families would still in fact be able to register their children in Hartlepool. Once the service was transferred, the authority would be able to review the staffing arrangements but could not at this time.

Members questioned the fall in income from the shopping centre and whether the authority should divest itself of its interest in the shopping centre. The Chief Financial Officer indicated that the Council received 15% of the net income on the shop units in the centre. However, if the economic conditions meant that several units were vacant or the management of the shopping centre had to offer inducements to bring new tenants in, then the income would be reduced. This had been the situation recently and last year the Council had received substantially less than the £843,000 initially predicted. The change in the income proposed for next year was to reflect the likelihood of reduced income again. The income from the shopping centre provided the Council with a significant cash income for very little expenditure. The Council had considered in the past selling its interest in the shopping centre. If it did and invested the income, it was highly unlikely to make as good a return on its money as retaining the share of the shopping centre created in income, even in light of the recent shortfalls. Members requested further details on the Council's interest in the shopping centre and the income received. The Chief Financial Officer undertook to write to Members with this information and indicated that the shopping centre was included in the internal audit plan.

Members questioned an entry in the budget pressures to be treated as contingency items for the Chief Executive's Department relating to a loss of income of £50,000 in the Human Resources Division. The Chief Financial Officer stated that this was due a number of issues. There was a fall in the buy-in to services from schools in the town. There was concern that more schools may withdraw from the service. There was a need to mainstream the costs associated with the services provided by the Tees Valley and Durham Translation Service. Finally, there were additional one-off costs of around £10,000 associated with the authority attaining Level 3 of the Equality Standard.

Members considered that there was little supporting information in the papers. The Performance Portfolio Holder acknowledged this concern and

indicated that it would be referred back for officers to include some relevant details in these reports in the future. Members also raised the issue of the language information provided on many Council documents and considered that improvements needed to be made to this information. The Chair indicated that she had raised the issue with the Equality Officer.

Members asked why the procurement function had failed to meet its savings targets and required an additional £20,000 of 'professional procurement resources'. The Chief Financial Officer indicated that, essentially, there had been a delay in achieving the savings that had been predicted. This provision would put the savings back on track. Originally the savings had an overall target of £700,000. Last year savings had been achieved at around £495,000 and a similar figure was expected this year. The Chair commented that she could not see any reason to support this additional expenditure.

In relation to Members and corporate ICT, Members questioned what the additional £30,000 was expected to deliver; equipment or adaptations to the system. The Chief Financial Officer stated that the basic system had been procured, this matter related to the next group of members' installation costs. The first stage of this process had secured the remote access system and a pool of laptops that members could access. Members discussed the transfer to ICT and the potential savings that could be accrued. Members questioned the detailed costs of implementation of the first stage.

Members queried the additional costs associated with the Business Improvement Districts (BID's) and what the council would actually get for the additional £35,000. The Chief Financial Officer indicated that the costs related to the running costs of the BID and the necessary software. There would also be an additional £20,000 for on-going maintenance. Under the legislation that established the BID's, business rates and the supplementary arrangements had to be accounted for separately. Members were concerned at this proposed additional money for something that private businesses had chosen to establish but for which the local authority had to meet the costs. Members suggested that the New Deal for Communities (NDC) be approached for a substantial contribution, as they had been instrumental in the establishment of the BID. Members gave an indication that they were not minded to support such an additional budget pressure.

Members discussed the budget priority of £45,000 for the Hartlepool Credit Union in some detail. The details of proposal for the Credit Union to access the BACS system were outlined by the Chief Financial Officer. This would allow the Credit Union to provide bank account access for those without access to normal banking facilities. There was general support among the Members for this service that would provide dignity and inclusiveness for the services users of the Credit Union. Members did feel, however, that this should be looked at again for the service costs being met from within additional resources. The Chief Financial Officer indicated that he saw financial inclusion a very high priority and would if necessary look to meeting these set up costs from departmental reserves or other strategies. The

on-going running costs of the service were another issue that would need further consideration.

The Finance and Efficiency Portfolio Holder thanked the Committee for its comments and indicated that some challenging questions had been raised by Members that would require the executive to give further detailed consideration to the budget pressures and priorities.

Recommended

That the report be noted and that Cabinet be informed that the view of Scrutiny Coordinating Committee was that all of the issues set out in the report to Cabinet on 15 October 2007 and as considered by this committee was that all should be reviewed to assess whether they could not be met form within existing resources or through other means. Scrutiny could indicate its support in general for the additional budget pressures but did not support any of the additional priorities outlined in the report.

80. Consideration of financial monitoring/corporate reports – Budget and Policy Framework Initial Consultation Proposals 2008/09 – Feedback from the Authority’s Overview and Scrutiny Committees *(Scrutiny Manager)*

The Chairs of the four Standing Scrutiny Forums reported to Scrutiny Coordinating Committee on their consideration of the detailed departmental budgets referred to them for consideration and comment. Each Chair briefly outlined the issues highlighted in their Forum meetings.

The Scrutiny manager requested the Committee’s approval for her to produce an appropriate submission to cabinet in conjunction with the Chair of the Committee.

Recommended

That the Scrutiny Manager, in conjunction with the Chair of the Committee, prepare a response to Cabinet on the considerations of the four scrutiny forums.

81. Request for Funding from the Dedicated Overview and Scrutiny Budget *(Chair of the Children’s Services Scrutiny Forum)*

The Chair of the Children’s Services Scrutiny Forum, Councillor Jane Shaw, reported that at its meeting on 5 November 2007 the Scrutiny Forum considered a request for the use of the Dedicated Overview and Scrutiny Budget to aid Members in their inquiry into the Sustainability of Externally Funded Community Initiatives in Schools. Attached at Appendix A to the report, was a completed pro forma for the use of funds, which outlines the criteria, and the reasons for seeking additional funding.

Given the congested work programme of the Scrutiny Forum and the tight timescales for completing the investigation into the Sustainability of Externally Funded Community Initiatives in Schools (a 'one-off' additional evidence gathering meeting has been scheduled for the completion of the review), the use of an independent / external witness would enable the Forum to complete its evidence gathering for this investigation within one meeting. The indicative costs of an expert witness to assist in the process, probably involving two, possible three days of their time, was £750 to £1050.

Recommended

That the Scrutiny Coordinating Committee approves to the request for funding from the Dedicated Overview and Scrutiny Budget from the Children's Services Scrutiny Forum as reported.

82. Request for Funding from the Dedicated Overview and Scrutiny Budget *(Chair of the Adult and Community Services and Health Scrutiny Forum)*

The Chair of the Adult and Community Services and Health Scrutiny Forum, Councillor Jonathan Brash, reported that at the meeting of the Adult and Community Services and Health Scrutiny Forum held on 23 October 2007, Members received evidence from representatives of the Hartlepool PCT, as part of their on-going investigation into the Withdrawal of Emergency Care Practitioner Services at the Wynyard Road Care Centre in Hartlepool. Based on the evidence provided by the Hartlepool PCT, Councillor Brash indicated that the forum considered it would be appropriate to commission independent specialist advice to assist the forum in its investigation.

Attached at Appendix A to the report, was a completed pro forma for the use of funds, which outlines the criteria, and the reasons for seeking additional funding. The use of independent specialist advice would provide the Forum with a one off piece of impartial work (by way of a report) to either compliment/challenge the evidence received from the stakeholders to date. This would also enable the Forum to formulate its Final Report that outlines well-evidenced findings, conclusions and informed recommendations. The independent one off piece of work was very specialised. A daily rate for such work ranges from £800-£1200 per a day, plus associated costs. The request is for a maximum of 9 of days work to conduct research, prepare a report and attend / present findings to the Adult and Community Services and Health Scrutiny Forum. Consequently, the indicative cost was £9,000 to £10,000 (including contingency).

The Chair of the Scrutiny Coordinating Committee indicated that she would also wish to see the Adult and Community Services and Health Scrutiny Forum look how the whole of its workload and remit was managed, as there were concerns that the Health element of the remit was swamping the Adult and Community Services issues.

With the Chair and Committee's agreement, the Chair of the Scrutiny Forum

indicated that he would bring forward a report direct to the Scrutiny Coordinating Committee to expedite the process. The Chair of the Scrutiny Coordinating Committee requested that the report be referred to the informal Chair's meeting initially. It was highlighted that any recommendations from the Scrutiny Coordinating Committee would need to be referred Council for approval before they could be implemented.

Recommended

1. That the Scrutiny Coordinating Committee approves to the request for funding from the Dedicated Overview and Scrutiny Budget from the Adult and Community Services and Health Scrutiny Forum as reported.
2. That the informal meeting of Scrutiny Chair's consider potential revisions to the current scrutiny structure and that the Chair of the Adult and Community Services and Health Scrutiny Forum be authorised to prepare a paper for that meeting on the potential reconfiguration of the Adult and Community Services and Health Scrutiny Forum to meet the demands of health scrutiny.

83. Pilot Arrangements – Six Monthly Monitoring Arrangements of Agreed Scrutiny Coordinating Committee's Recommendations *(Scrutiny Manager)*

The Scrutiny Manager reported that the Scrutiny Support Team were well underway with the integration of the current manual arrangements for the monitoring of the delivery of agreed scrutiny recommendations with that of the newly created electronic database. The newly created electronic database, known as the Scrutiny Monitoring Database, runs on the same principles as the Authority's Corporate Performance Management Database. In practice, the enhanced monitoring arrangements will mean that:-

- (a) Progress reports would be standardised to a six monthly reporting cycle;
- (b) An Annual Monitoring Report that provides a breakdown of the progress made for all Scrutiny Forums' recommendations would be considered by the Scrutiny Co-ordinating Committee; and
- (c) There would be the ability to provide 'real time' information on the progress of recommendations by Scrutiny Forum or Investigation upon request.

At an informal meeting of the Scrutiny Chairs held on 3 August 2007, agreement was given for such arrangements to be piloted for the recommendations of the Scrutiny Co-ordinating Committee, prior to the rolling out of such arrangements in June 2008. Attached at Appendix A to the report was a Summary Report that detailed the progress made by investigation and Appendix B to the report, provided a detailed explanation of progress made against each recommendation.

The Scrutiny Manager indicated that with this monitoring system in place the

authority was at the forefront nationally of monitoring the implementation of scrutiny recommendations. The Committee welcomed the new system and commented that some thought should be given to copyrighting the system established.

Recommended

- (a) That the six monthly progress made on the delivery of the Scrutiny Coordinating Committee's agreed recommendations since the 2005/06 Municipal Year, as part of the pilot arrangements be noted.
- (b) That the proposed enhanced monitoring arrangements as outlined in the report be endorsed.

84. Community Calls for Action *(Scrutiny Manager)*

The Scrutiny Manager updated Members on recent announcements about Community Calls for Action (CCfAs). As Members were aware, the CCfA was a concept being developed by Government that provided the community and Councillors with an additional tool, over and above the existing mechanisms, to resolve problems that affect them or their neighbourhoods. Unfortunately, both the Home Office and the Department for Communities and Local Government had been developing similar but not identical proposals and concerns had been expressed of the potential confusion amongst the community, the Council and its partners. Detailed guidance on both proposals was expected during the Summer months, albeit still delayed, with both proposals becoming operational from 1 April 2008.

Following the Third Reading of the Department for Communities and Local Government CCfA version in the House of Lords, the Government has agreed to align the current two versions of the Call for Action with that of the CCfA version. That would mean removing Section 19 of the Police and Justice Act 2006 to take out the 'Community Call for Action', leaving us with the more simple 'Councillor Call for Action' proposed in the Local Government and Public Involvement in Health Bill. Further reports would be submitted to Members once guidance had been received.

Recommended

That the report be noted and that the Committee receives an options paper earlier in the New Year with regard to how such practice may work in Hartlepool.

85. Explanation Sought from Finance and Efficiency Portfolio Holder – Additional Civic Centre Refurbishment Costs *(Scrutiny Manager)*

The Finance and Efficiency Portfolio Holder had been invited to the meeting to give the Scrutiny Forum an explanation of the additional costs incurred on the Civic Centre Refurbishment scheme as requested by Council on 25 October 2007.

The Portfolio Holder had commenced his presentation to the Committee but unfortunately, due to time constraints at the venue, he was unable to complete his presentation. The Chair requested that the detailed notes that the Portfolio Holder was presenting to the Committee be circulated to all members.

The Chair apologised for the inconvenience to the Portfolio Holder who was concerned that an issue that Council had requested be reported to Members of the Scrutiny Coordinating Committee had not been considered earlier on the agenda.

Recommended

That the Finance and Efficiency Portfolio Holder's notes on the reasons for the additional expenditure on the refurbishment of the Civic Centre be circulated to all members of the Committee.

86. Call-In Requests

No items.

MARJORIE JAMES

CHAIR

SCRUTINY CO-ORDINATING COMMITTEE

4 January 2008



Report of: Scrutiny Manager

Subject: THE EXECUTIVE'S FORWARD PLAN

1. PURPOSE OF REPORT

- 1.1 To provide the opportunity for the Scrutiny Co-ordinating Committee (SCC) to consider whether any item within the attached Executive's Forward Plan should be considered by this Committee or referred to a particular Scrutiny Forum.

2. BACKGROUND INFORMATION

- 2.1 As you are aware, the SCC has delegated powers to manage the work of Scrutiny, as it thinks fit, and if appropriate can exercise or delegate to individual Scrutiny Forums.
- 2.2. One of the main duties of the SCC is to hold the Executive to account by considering the forthcoming decisions of the Executive and to decide whether value can be added to the decision by the Scrutiny process in advance of the decision being made.
- 2.3 This would not negate Non-Executive Members ability to call-in a decision after it has been made.
- 2.4 As such, the most recent copy of the Executive's Forward Plan is attached as **Appendix 1** for the SCC's information.

3. RECOMMENDATION

- 3.1 It is recommended that the Scrutiny Coordinating Committee considers the content of the Executive's Forward Plan.

Contact Officer:- Charlotte Burnham – Scrutiny Manager
Chief Executive’s Department - Corporate Strategy
Hartlepool Borough Council
Tel: 01429 523 087
Email: charlotte.burnham@hartlepool.gov.uk

BACKGROUND PAPERS

No background papers were used in the preparation of this report.



HARTLEPOOL
BOROUGH COUNCIL

FORWARD PLAN

JANUARY 2008 – APRIL 2008

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1. **INTRODUCTION**

- 1.1 The law requires the executive of the local authority to publish in advance, a programme of its work in the coming four months including information about key decisions that it expects to make. It is updated monthly.
- 1.2 The executive means the Mayor and those Councillors the Mayor has appointed to the Cabinet.
- 1.3 Key decisions are those which significantly modify the agreed annual budget of the Council or its main framework of policies, those which initiate new spending proposals in excess of £100,000 and those which can be judged to have a significant impact on communities within the town. A full definition is contained in Article 13 of the Council's Constitution.
- 1.4 Key decisions may be made by the Mayor, the Cabinet as a whole, individual Cabinet members or nominated officers. The approach to decision making is set out in the scheme of delegation which is agreed by the Mayor and set out in full in Part 3 of the Council's Constitution.

2. **FORMAT OF THE FORWARD PLAN**

- 2.1 The plan is arranged in sections according to the Department of the Council which has the responsibility for advising the executive on the relevant topic:

Part 1	Chief Executive's Department	CE
Part 2	Adult & Community Services Department	ACS
Part 3	Children's Services Department	CS
Part 4	Neighbourhood Services Department	NS
Part 5	Regeneration and Planning Department	RP

- 2.2 Each section includes information on the development of the main policy framework and the budget of the Council where any of this work is expected to be undertaken during the period in question.
- 2.3 It sets out in as much detail as is known at the time of its preparation, the programme of key decisions. This includes information about the nature of the decision, who will make the decisions, who will be consulted and by what means and the way in which any interested party can make representations to the decision-maker.

3. **DECISIONS MADE IN PRIVATE**

- 3.1 Most key decisions will be made in public at a specified date and time.
- 3.2 A small number of key decisions, for reasons of commercial or personal confidentiality, will be made in private and the public will be excluded from any sessions while such decisions are made. Notice will still be given about the intention

3.3 to make such decisions, but wherever possible the Forward Plan will show that the decision will be made in private session.

3.4 Some sessions will include decisions made in public and decisions made in private. In such cases the public decisions will be made at the beginning of the meeting to minimise inconvenience to members of the public and the press.

4. **URGENT DECISIONS**

4.1 Although every effort will be made to include all key decisions in the Forward Programme, it is inevitable for a range of reasons that some decisions will need to be taken at short notice so as to prevent their inclusion in the Forward Plan. In such cases a minimum of 5 days public notice will be given before the decision is taken.

4.2 In rare cases it may be necessary to take a key decision without being able to give 5 days notice. The Executive is only able to do this with the agreement of the Chair of the Scrutiny Co-ordinating Committee or the Chairman or Vice-Chairman of the local authority. (Scrutiny committees have the role of overseeing the work of the Executive.)

5. **PUBLICATION AND IMPLEMENTATION OF EXECUTIVE DECISIONS**

5.1 All decisions which have been notified in the Forward Plan and any other key decisions made by the Executive, will be recorded and published as soon as reasonably practicable after the decision is taken.

5.2 The Council's constitution provides that key decisions will not be implemented until a period of 3 days has elapsed after the decision has been published. This allows for the exceptional cases when a scrutiny committee may 'call in' a decision of the Executive to consider whether it should be reviewed before it is implemented. 'Call in' may arise exceptionally when a Scrutiny Committee believes that the Executive has failed to make a decision in accordance with the principles set out in the Council's constitution (Article 13); or that the decision falls outside the Council's Policy Framework; or is not wholly in accordance with the Council's budget.

6. **DETAILS OF DECISION MAKERS**

6.1 Names and titles of those people who make key decisions either individually or collectively will be set out in Appendix 1 once they are determined.

7. **TIMETABLE OF KEY DECISIONS**

7.1 The timetable as expected at the time of preparation of the forward plan is set out in Appendix 2. Confirmation of the timing in respect of individual decisions can be obtained from the relevant contact officer closer to the time of the relevant meeting. Agenda papers are available for inspection at the Civic Centre 5 days before the relevant meeting.

PART ONE – CHIEF EXECUTIVE’S DEPARTMENT

A. BUDGET AND POLICY FRAMEWORK

CORPORATE PLAN 2008/09 - 2010/11

The production of the Corporate Plan by 30 June each year is a national legal requirement. The purpose of the Plan is to describe the Council's priorities for improvement, including how weaknesses will be addressed, opportunities exploited and better outcomes delivered for local people. It will include targets for future performance.

Preparation of the Corporate Plan will commence in December 2007. Scrutiny committees and Cabinet will consider the plan at meetings between January and May 2008. Final approval of the Plan will be by Council before 30 June 2008.

The interim steps are:

7 January 2008	Report to Cabinet of key objectives for future years
18 January 2008	Scrutiny Coordinating Committee considers key objectives
18 February 2008	Report to Cabinet seeking agreement on draft Action Plan to deliver key objectives
22 February 2008	Regeneration and Planning Services Scrutiny Forum considers relevant parts of draft Action Plan
25 February 2008	Children's Services Scrutiny Forum considers relevant parts of draft Action Plan
27 February 2008	Neighbourhood Services Scrutiny Forum considers relevant parts of draft Action Plan
4 March 2008	Adult and Community Services and Health Scrutiny Forum considers relevant parts of draft Action Plan
14 March 2008	Scrutiny Coordinating Committee considers Organisational Development objectives and actions and feedback from four Scrutiny Forums
14 April 2008	Report to Cabinet with final draft of Action Plan
12 May 2008	Report to Cabinet seeking agreement of Corporate Plan with 2007/8 performance outturns and future targets
30 May 2008	Scrutiny Coordinating Committee considers final draft of Corporate Plan with 2007/8 performance outturns and future targets
26 June 2008	Council considers Corporate Plan

B. SCHEDULE OF KEY DECISIONS

DECISION REFERENCE: CE23/06 – PAY AND GRADING STRUCTURE

Nature of the decision

To approve a pay and grading structure for employees employed under NJC for Local Government Employees and associated changes in terms and conditions to achieve single status and satisfy equal pay requirements

Who will make the decision?

The decision will be made by Cabinet. Any additional costs outside of the Budget and Policy Framework will be reported to Council for approval

Timing of the decision

The decision is expected to be made after negotiations with trade union representatives are completed between November 2006 and January 2008.

Who will be consulted and how?

- Negotiations will be held with representatives of the recognised trade unions.
- A working group of Members from Scrutiny Co-ordinating Committee will be briefed and consulted during the negotiation period.
- A report to the Performance Management Portfolio Holder will set out the negotiation programme

Information to be considered by the decision makers

Members will be provided with information and guidance on:

- Compliance with equality legislation. The Council's pay and grading structure and other terms and conditions must satisfy equal pay legislation. An assessment will be made at the time of recommendation together with a programme for future equal pay audits.
- Options for the best negotiated settlement, which will secure endorsement by local trade union representatives and their national officers.
- Options for implementing without trade union support, should a negotiated settlement not be achievable.
- Financial implications of a revised pay and grading structure, associated protection arrangements and any other changes to terms and conditions.

How to make representation

Representation should be made to Joanne Machers, Chief Personnel Officer, Level 3, Civic Centre, Hartlepool TS24 8AY. Telephone: (01429) 523003.
Email: Joanne.machers@hartlepool.gov.uk

Further Information

Further information can be obtained from Joanne Machers, as above.

PART TWO – ADULT AND COMMUNITY SERVICES DEPARTMENT

A. BUDGET AND POLICY FRAMEWORK

NONE

B SCHEDULE OF KEY DECISIONS

DECISION REFERENCE: SS48/07 – HARTLEPOOL H2O DEVELOPMENT

Nature of the decision

To progress the project brief for Hartlepool's H₂O development with the appointment of funding and management consultants

Who will make the decision?

The decision will be made by Cabinet

Timing of the decision

The decision is due to be made in February 2008.

Who will be consulted and how?

The early H₂O proposals developed in December 2005 have been fully consulted upon throughout 2006 and the recently completed Indoor Sports Facility Strategy and Investment Plan were essential pre requisites to further the development of the detailed concept and design of the H₂O Centre.

The result of the H₂O consultation has been very positive with the project being wholly involved as a replacement facility to Mill House Leisure Centre and ancillary facilities.

The Indoor Sports Facility Strategy was developed in consultation with all relevant user groups including a 1500 non user survey.

The emerging conclusions require:

- further consideration of the impact and opportunity to be given by Building Schools for the Future (BSF)
- the determination of what exactly should be designed into the H₂O Centre

To program the development conclusions and the buy in of all funding and strategic partners (eg. Sport England, Tees Valley Partnership, ONE, PD Ports, and the BSF Board) requires the appointment of Project Delivery Consultants working in partnership with lead Officers in Adult and Community Services and Regeneration and Planning.

The emerging use configurations of the proposed H₂O Centre will be consulted upon with:

- Neighbourhood Forums
- BSF Board
- User Group Panels
- Public Surveys to a sample group via Viewpoint

Proposed means of consultation

Meetings with development partners

Meetings with Funding Bodies and grant organisations

Meetings with National Governing bodies for relevant sporting activities including – Sport England.

Information to be considered by the decision makers

Indoor Sports Facility Strategy and Investment Plan

H₂O Strategy

How to make representation

Representation should be made to John Mennear, Assistant Director, Community Services, Adult and Community Services, Level 4, Civic Centre, Hartlepool, TS24 8YW or by telephoning 01429 523417 or via email at john.mennear@hartlepool.gov.uk

Further information

Further information in this matter can be sought from John Mennear who can be contacted as above.

DECISION REFERENCE: SS50/07 – LOW LEVEL SUPPORT

Nature of the decision

The policy agenda for older people is increasingly focusing on promoting independence and exercising greater control over their own lives, valuing older people as contributors, partners and citizens, not just service recipients.

A key development underpinning this policy initiative is ensuring availability of 'low level' support services. The range of these services is potentially vast but may include: befriending, shopping, decorating, gardening, small repairs.

In order to ensure people with lower level needs are supported well a low level preventative strategy has been developed. The aim of this strategy is to maximise and coordinate the low level support options that are available to support those people not eligible for social care services under Fair Access to Care Criteria.

Members are asked to agree the low level preventative strategy that has been developed. The strategy's objectives are to provide access to effective and accessible information about services available, to support the development of affordable, trusted and essential practical help for people and to support the increasing older and disabled population with a coordinated response to the wide range of voluntary and low level services that are on offer in Hartlepool.

Who will make the decision?

Members of the Cabinet

Timing of the decision

March 2008. If the strategy is agreed by cabinet, it is envisaged that the low level support strategy will become fully operational from April 2008.

Who has been consulted and how?

In late 2006 early 2007 a large scale consultation process took place in relation to the proposal to increase the eligibility threshold for receiving social care services under the national Fair Access to Care Criteria. A part of this consultation process was used to ask people for their views and ideas on the nature, type and range of low level support services that are needed to support people with low level needs.

In addition two focus groups have taken place with older people, exploring issues from their perspective, and three locality workshops have been held across the town to help build a better picture of the extent of current provision and to identify 'gaps' on those services available.

Proposed means of consultation

Following this work a draft low level support strategy has been prepared and it is proposed that the current partnership mechanisms via Local Implementation Groups and Planning groups are utilised to finalise the implementation plans for the strategy.

Information to be considered by the decision makers

The output in relation to this exercise is a Low level preventative strategy that is based on needs, supply and demand for low level support and incorporates information on demographic and future trends.

How to make representation

For all information contact John Lovatt; head of Older People Services

Further information

Although the primary target group for the strategy may be perceived to be older people, low level services are equally important for younger groups e.g. people with a disability or carers and therefore this strategy encompasses the full range of ages and needs.

PART THREE – CHILDREN’S SERVICES DEPARTMENT

A. BUDGET AND POLICY FRAMEWORK

NONE

B. SCHEDULE OF KEY DECISIONS

DECISION REFERENCE: ED41/07 STAGE 1 CONSULTATION AS PART OF REVIEW OF PRIMARY SCHOOL PROVISION IN PREPARATION FOR PRIMARY CAPITAL PROGRAMME

Nature of the decision

To consider the outcomes of first stage consultation as part of the review of primary school provision in preparation for the Primary Capital Programme.

Who will make the decision?

The decision will be made by Cabinet.

Timing of the decision

The decision is due to be made in February 2008.

Who will be consulted and how?

Stage One consultation will take place in January 2008, will share information on current provision of primary school buildings, facilities and places alongside future projections for pupil numbers. This consultation will seek views on possible ways of approaching surplus places in the primary sector.

All those who have an interest in primary education will be consulted. This will include:

- young people of all ages;
- parents and carers;
- governing bodies and staff at all primary and secondary schools;
- all major partners and stakeholders;
- local communities and their representatives.

Information to be considered by the decision-makers

Cabinet will wish to consider the views of as many people as possible, before bringing forward possible options for change at Stage Two.

How to make representations

Representations should be made to Paul Briggs, Assistant Director of Children's Services, Level 4, Civic Centre, Hartlepool, TS24 8AY, 01429 523733, e-mail paul.briggs@hartlepool.gov.uk.

Further information

Further information on this matter can be sought from Paul Briggs who can be contacted as above.

DECISION REFERENCE: ED42/07 HARTLEPOOL ADMISSION ARRANGEMENTS FOR 2009/10

Nature of the decision

To agree the Admissions Arrangements for 2009/10.

Who will make the decision?

The decision will be made by the Portfolio Holder for Children's Services.

Timing of the decision

The decision will be required late February, early March to enable to the Admission Arrangements to be laid before the Secretary of State by the statutory deadline of 15th April 2008.

Who will be consulted and how?

Consultation took place during the period September 2007 – December 2007 with:

- Hartlepool's Admissions Forum
- Governing Bodies of all schools in Hartlepool
- Other Admission Authorities including neighbouring authorities

Information to be considered by the decision-makers

Statutory Requirement to consult on and publish Admissions Arrangements.

How to make representations

Representations should be made to Anne Smith, head of Information Planning and Support Services, Children's Services Department, Level 3, Civic Centre, Hartlepool, TS24 8AY. Telephone 01429 523724, e-mail anne.smith@hartlepool.gov.uk.

Further information

Further information on this matter can be sought from Anne Smith as above or the Admissions Team on 01429 523768

DECISION REFERENCE: ED43/07 STATUTORY NOTICE OUTCOMES FOR CATCOTE SCHOOL

Nature of the decision

To determine the outcomes of a statutory proposal to re-designate Catcote School to admit up to 30 pupils with statements of special educational needs for behavioural, emotional and social difficulties (BESD) within the age range of 10-17 years.

Who will make the decision?

The decision will be made by Cabinet.

Timing of the decision

The decision is likely to be made in March 2008.

Who will be consulted and how?

Cabinet approved on 12th November 2007 that, following BSF Stage 4 special educational needs consultation, a six week standard procedure for statutory proposals should be followed i.e. notices published in the press and notification on public buildings around Catcote School and the gates of the school. This is the final opportunity to express views on the proposals.

All those substantially affected will have the opportunity to comment. These will include:

- the governing body of Catcote School;
- parents of pupils at Catcote School;
- teaching and support staff at Catcote School;
- other schools in Hartlepool;
- Diocesan authorities;
- Learning and Skills Council;
- Primary Care Trust;
- Hartlepool's Member of Parliament;
- neighbouring local authorities.

Information to be considered by the decision-makers

Representations made in relation to the statutory proposals.

How to make representations

Representations should be made to Sue Johnson Assistant Director of Children's Services, Level 4, Civic Centre, Hartlepool, TS24 8AY, 01429 523738, e-mail sue.johnson@hartlepool.gov.uk.

Further information

Further information on this matter can be sought from Sue Johnson who can be contacted as above.

DECISION REFERENCE: ED44/07 STATUTORY NOTICE OUTCOMES FOR JESMOND ROAD PRIMARY SCHOOL

Nature of the decision

To consider the outcomes of a statutory proposal to cease to maintain the additional resources facility at Jesmond Road Primary School for Key Stage 1 pupils with learning difficulties.

Who will make the decision?

The decision will be made by Cabinet.

Timing of the decision

The decision is likely to be made in March 2008.

Who will be consulted and how?

Cabinet approved on 12th November 2007 that, following BSF Stage 4 special educational needs consultation, a six week standard procedure for statutory proposals should be followed i.e. notices published in the press and notification on public buildings around Jesmond Road Primary School and the gates of the school. This is the final opportunity to express views on the proposals.

All those substantially affected will have the opportunity to comment. These will include:

- the governing body of Jesmond Road Primary School;
- parents of pupils at Jesmond Road Primary School;
- teaching and support staff at Jesmond Road Primary School;
- other schools in Hartlepool;
- Diocesan authorities;
- Primary Care Trust;
- Hartlepool's Member of Parliament;
- neighbouring local authorities.

Information to be considered by the decision-makers

Representations made in relation to the statutory proposals.

How to make representations

Representations should be made to Sue Johnson Assistant Director of Children's Services, Level 4, Civic Centre, Hartlepool, TS24 8AY, 01429 523738, e-mail sue.johnson@hartlepool.gov.uk.

Further information

Further information on this matter can be sought from Sue Johnson who can be contacted as above.

PART FOUR - NEIGHBOURHOOD SERVICES DEPARTMENT

A. BUDGET AND POLICY FRAMEWORK

NONE

B. SCHEDULE OF KEY DECISIONS

DECISION REFERENCE: NS100/06 MIDDLETON GRANGE SHOPPING CENTRE MULTI STOREY CAR PARK

Nature of the decision

To consider potential further phases of maintenance requirements of the Multi Storey Car Park and the possibility of future ownership and operation.

Who will make the decision?

The decision will be made by Cabinet with referral to Council in relation to funding and future arrangements.

Timing of the decision

The decision is expected to be made in January 2008.

Who will be consulted and how?

Full Council
Shopping Centre Owners

Information to be considered by the decision makers

At its meeting on 15 May 2006 Cabinet was advised of the Council's liability in respect of repairs at this property and the risk of substantial funding being required to remedy the situation. Urgent Phase 1 works amounting to £179,000 were agreed and subsequently approved by full Council. Cabinet now need to consider further works identified in the original report, together with a business case on the future of the multi-storey car park and its relationship with the shopping centre. There is an allowance included in the capital programme for the next two years and the content and phasing of the necessary works will be highlighted together with associated risks and development / ownership issues both now and in the future.

How to make representation

Representations should be made to Graham Frankland, Head of Procurement & Property Services, Neighbourhood Services Department, Leadbitter Buildings, Stockton Street, Hartlepool. Tel 01429 523211. E Mail graham.frankland@hartlepool.gov.uk

Further information

Further information can be obtained from Graham Frankland, as above.

DECISION REFERENCE: NS112/07 VICTORIA PARK

Nature of the decision

To consider proposals for land transactions with Hartlepool United Football Club in connection with Victoria Park.

Who will make the decision?

The decision will be made by Executive Committee of Cabinet.

Timing of the decision

The decision is expected to be made in January 2008.

Who will be consulted and how?

Hartlepool United Football Club
Local Residents
Ward Members

Information to be considered by the decision makers

The Football club have approached the Council to purchase the freehold of Victoria Park and an area of open space to the north.

The Club have undertaken significant developments at the ground and wish to secure the freehold to assist in their future investment programme. They also intended to develop their Football in the Community Scheme on land to the north of the ground.

The executive sub-committee of Cabinet will need to consider the club's proposals in line with the local environment / community and the Council's vision for the area including the Mill House Leisure Centre and associated facilities. Car parking facilities and overall town centre requirements will also be a consideration.

The potential purchase will also need to be considered against the Council's capital strategy and asset management plan and the financial position.

How to make representation

Representations should be made to Graham Frankland, Head of Procurement and Property Services, Neighbourhood Services Department, Civic Centre, Victoria Road, Hartlepool. Tel 01429 523211. E Mail graham.frankland@hartlepool.gov.uk

Further information

Further information can be obtained from Graham Frankland, as above.

DECISION REFERENCE: NS113/07 TRANSPORT ASSET MANAGEMENT PLAN AND RELATED HIGHWAYS POLICY AND PROCEDURES

Nature of the decision

To seek approval of the Transport Asset Management Plan and related Highway Maintenance Policy and Procedural documents which are required by national guidance to demonstrate that policies, priorities and programmes are explicit, transparent and inclusive.

Who will make the decision?

Cabinet

Timing of the decision

The decision is expected to be made in January 2008

Who will be consulted and how?

This report is a scoping report to appraise of progress to date. An option appraisal can only be made when the Council fully understands customer expectations, network condition and trends, and the service delivery standards and priorities that it can afford. In this respect a consultation exercise will be undertaken with all stakeholders at a later date.

Information to be considered by the decision makers

In July 2005 the national Roads Liaison Group published the Code of Practice for Highway Maintenance Management titled 'Well-maintained Highways'. At the same time they also published a second document titled 'Guidance Document for Highway Infrastructure Asset Valuation'. This document is a companion to the 'CSS Framework for Highway Asset Management'.

These documents have the aim of achieving a set of procedures that are intended to apply throughout the United Kingdom. They also seek to reconcile and harmonize maintenance practice on local and strategic road networks where this is practicable. All this is to be done whilst taking account of the expectations of users, and retaining scope for local discretion and diversity. Whilst risk assessment remain as the main driver for minimum standards of Safety, further elements of network management are now introduced under the headings of Sustainability and Serviceability.

The effect of these documents together with new requirements for asset valuation mean that it is extremely important to exercise good control over the highway inventory and changes which take place throughout the year.

The adoption of the TAMP and the supporting highway management documents will ensure that the upkeep of the highway infrastructure takes place in an approved manner which ensures that minimum standards are maintained, that local and regional concerns are considered and that Safety, Sustainability and Serviceability are all delivered with Executive approval.

How to make representation

Representations should be made to Mike Blair, Transportation and Traffic Manager, Bryan Hanson House, Hanson Square, Hartlepool, TS24 7BT. Telephone: 01429 523252. Email: mike.blair@hartlepool.gov.uk.

Further information

Further information can be obtained from Mike Blair as above.

DECISION REFERENCE: NS114/07 CAPITAL STRATEGY AND ASSET MANAGEMENT PLAN

Nature of the decision

To consider the 2007 – 2010 Capital Strategy and Asset Management Plan.

Who will make the decision?

The decision will be made by Cabinet.

Timing of the decision

The decision is expected to be made in January 2008.

Who will be consulted and how?

Corporate Asset Management Group
Strategic Corporate Resource and Asset Programme Team
Hartlepool Partnership

Information to be considered by the decision makers

The Capital Strategy and Asset Management plan outlines how the Council uses its resources to maintain and develop its asset base to provide effective service delivery, meet community strategy objectives and achieve efficiencies.

The plan seeks to establish priorities for future capital investment and how they might be funded.

The plan is a key document in the Council's Corporate Assessment process.

Background will be provided on progress since last years documents were prepared, how the Council's assets are performed, how the Council's assets are performing and potential for future development and strategies.

How to make representation

Representations should be made to Graham Frankland, Head of Procurement & Property Services, Neighbourhood Services Department, Leadbitter Buildings, Stockton Street, Hartlepool. Tel 01429 523211. E Mail graham.frankland@hartlepool.gov.uk.

DECISION REFERENCE: NS115/07 DRAFT TEES VALLEY JOINT WASTE MANAGEMENT HEADLINE STRATEGY

Nature of the decision

To consider the draft Tees Valley Joint Waste Management Headline Strategy.

In 2000 Hartlepool Borough Council, together with Stockton, Middlesbrough and Redcar & Cleveland Borough Councils produced their first Joint Waste Management Strategy, since its adoption legislative changes have made a significant impact in how local authorities are required to manage municipal waste. The Government launched a National Waste Strategy earlier this year and as such the review of the existing strategy is timely. Long term strategic planning is vital to the authority in securing both the infrastructure and service developments necessary to deliver more sustainable waste management.

DEFRA funding has been secured to appoint ENTEC consultants to review the existing joint waste management strategy and produce a new Joint Waste Management Headline Strategy for the Tees Valley region during 2007/08.

The headline strategy will sit across the Tees Valley authorities supported by individual implementation plans for each authority.

The Joint Waste Management Headline Strategy will provide information on:

- Where we are today
- Where do we want to get to and when
- What do we need to do to get there
- How we will implement these actions

Who will make the decision?

The Cabinet will make the decision.

Timing of the decision

The decision is expected to be made in January 2008.

Who will be consulted and how?

- Key stakeholders will be consulted at special consultation events
- Residents will be consulted through the Neighbourhood Consultative Forum
- Local Strategic Partnership through the Environment Partnership presentation

Information to be considered by the decision makers

Long term strategic planning is vital to the authority in securing both the infrastructure and service developments necessary to deliver more sustainable waste management.

How to make representation

Representations should be made to: Denise Ogden, Head of Neighbourhood Management, Neighbourhood Services Department, Hartlepool Borough Council, Civic Centre, Hartlepool, TS24 8AY. Telephone: 01429 523201. Email: denise.ogden@hartlepool.gov.uk

Further information

Further information can be obtained from the Head of Neighbourhood Management, as above.

DECISION REFERENCE: NS116/07 REVIEW OF CONCESSIONARY FARE PAYMENTS TO BUS OPERATORS FOR 2007-2008

Nature of the decision

To agree a revised payment structure for the provision of free concessionary travel for the over 60's and disabled for the 2008-2009 period with the bus operators.

Who will make the decision?

The decision will be made by Cabinet

Timing of the decision

The decision will be made in February 2008

Who will be consulted and how?

Consultation will take place with the bus operators and will be coordinated on a Tees Valley level in the first instance with a local agreement determined from this dialogue.

Information to be considered by the decision makers

The current statutory minimum requirement is for all local residents aged 60 and over and disabled people to travel free of charge on registered off-peak local bus services within the Hartlepool area. At a meeting of the Cabinet on the 27th February 2006, it was agreed to provide an enhanced scheme for Hartlepool residents. The current approved scheme provides free travel at all times of the day and cross-boundary to Stockton-on-Tees, Middlesbrough and Redcar & Cleveland.

The statutory minimum requirement for travel concessions will be further extended from the 1st April 2008. The new statutory minimum is for all local residents aged 60 and over and disabled people to travel free of charge on registered off-peak local bus services throughout England (off-peak travel is from 9.30am to 11pm on weekdays and all day at weekends and bank holidays)

Authorities are able to operate an enhanced scheme based on a judgement of local needs and circumstances.

Such enhancements that could be considered by Cabinet include allowing travel at all times of the day (as at present), allowing a disabled pass holder's carer to travel free of charge and allowing free travel on trains/taxis etc.

The Government has recognised that the new concession will impose a greater funding burden on local authorities because they will be obliged to reimburse operators for more journeys and pay costs for issuing new passes.

Bus operators must be 'no better and no worse off' as a consequence of carrying eligible pass holders.

The Government has stated that the net additional costs of new burdens placed on local authorities will be fully funded.

The cost to the Council for the national bus concession from the 1st April 2008 will be determined following negotiation with all bus operators operating services in Hartlepool.

How to make representation

Representations should be made to Ian Jopling, Transportation Team Leader, Bryan Hanson House, Hanson Square, Hartlepool, TS24 7BT. Telephone: 01429 284140. Email: ian.jopling@hartlepool.gov.uk.

Further information

Further information can be obtained from Ian Jopling as above.

DECISION REFERENCE: NS117/07 DOG CONTROL ORDERS

Nature of the decision

To replace the current system of byelaws for the control of dogs, and also the Dogs (Fouling of Land) Act 1996, which has been repealed.

Current dog byelaws, and the Dogs (Fouling of Land) Act 1996, will be replaced with Dog Control Orders introduced as part of the Clean Neighbourhoods and Environment Act 2005.

Who will make the decision?

Neighbourhood and Communities Portfolio Holder

Timing of the decision

The decision is expected to be made in January 2008.

Who will be consulted and how?

Through press releases, publicity campaigns, written reports, consultative forums and meetings:

Council;
Elwick Parish Council;
Greatham Parish Council;
Hart Parish Council;
Dalton Piercy Parish Council;
The Headland Town Council;
North, Centre and South Neighbourhood Consultative Forums;
Environmental Theme Partnership;
Various public and private organisations/agencies.

Information to be considered by the decision makers

At the Neighbourhood & Communities Portfolio meeting of January 2007, the Portfolio Holder was informed of the Council's power to introduce Dog Control Orders under Part 6 of the new Clean Neighbourhoods and Environment Act 2005.

Dog Control Orders effectively replace the previous system of dog byelaws for the control of dogs, and also the Dogs (Fouling of Land) Act 1996. However, existing byelaws remain in force until the Council fully introduces a Dog Control Order. Any existing byelaw dealing with the same offence on the same land will lapse with the introduction of the Order.

The Dog Control Orders Regulations provide for five offences, which the Council is proposing to introduce:

- (a) failing to remove dog faeces;
- (b) not keeping a dog on a lead;

- (c) not putting, and keeping a dog on a lead when directed to do so by an authorised officer;
- (d) permitting a dog to enter land from which dogs are excluded;
- (e) taking more than the specified number of dogs onto land.

The Council is proposing to set the level of fixed penalty payable for committing an offence under a Dog Control Order at £100.

The fixed penalty will be subject to a discount of £40 if paid within a period of 7 days from the date of issue.

Dog related matters feature highly amongst public concerns and the Council must consider Dog Control Orders as fundamental to its key aim to provide a safer and cleaner town for people to work and live.

How to make representation

Representations should be made to Craig Thelwell, Neighbourhood Action Manager, Neighbourhood Services Department, 1 Church St, Hartlepool TS24 7DS. Tel 01429 523370. E Mail craig.thelwell@hartlepool.gov.uk

Further information

Further information can be obtained from Craig Thelwell, as above.

PART FIVE - REGENERATION AND PLANNING SERVICES **DEPARTMENT**

A. BUDGET AND POLICY FRAMEWORK

1. THE PLANS AND STRATEGIES WHICH TOGETHER COMPRISE THE DEVELOPMENT PLAN

The Regional Spatial Strategy (RSS) for the North East is currently under preparation. A Public Examination was held between 7th March and 7th April, 2006. The Panel appointed by the Secretary of State to conduct the Examination in Public (EiP) submitted its report in July 2006 and this was published for information only. The report, which can be downloaded from the Government Office website (www.go-ne.gov.uk), was reported to Cabinet and the Hartlepool Partnership in October 2006.

The Secretary of State has now considered the Panel recommendations and the representations made on the draft revision RSS, and proposes to make changes to the RSS before finally publishing it. (Report detailing proposed changes available from the GO-NE website – www.go-ne.gov.uk)

Because of the various recommendations from the Panel, Government has decided to provide a two stage consultation period. The first stage lasted for ten weeks (deadline for responses 6th August 2007) covering the majority of the proposed changes. A report summarising the proposed changes was considered by Cabinet and the Hartlepool Partnership in July 2007, and formal responses made subsequently to Government office, in conjunction with the Tees Valley Joint Strategy Unit.

There will then be a second period of consultation (8 weeks, date yet to be announced) on any changes resulting from certain additional information the Secretary of State has requested from the North East Assembly, including housing allocations and major employment sites.

This will extend the overall timetable for the RSS which is now not anticipated to be adopted until February 2008, at the earliest.

The Hartlepool Local Plan review has now been completed, the new plan being adopted by Council on the 13th April 2006.

With the enactment of the Planning and Compulsory Purchase Act, a new development plan system has come into force. There are still two tiers of development plan, but in due course the Regional Spatial Strategy will replace the structure plan, and development plan documents contained within a local development framework will replace the local plan. However, the new local plan will be saved for a period of at least three years after adoption.

The Tees Valley Structure Plan was 'saved' for a period of three years to September 2007 and the Secretary of State has agreed to save a number of its key policies

after September 2007 pending the adoption of the Regional Spatial Strategy. These were as agreed by Cabinet and Council in April 2007.

The Local Development Framework will comprise a 'portfolio' of local development documents which will provide the framework for delivering the spatial planning strategy for the borough. Local development documents will comprise:

- a) Development plan documents – (DPDs) – these are part of the development plan and must include
 - o A core strategy setting out the long term spatial vision for the area and the strategic policies and proposals to deliver the vision
 - o DPDs on Site specific allocations and policies
 - o Generic development control policies relating to the vision and strategy set out in the core strategy, and
 - o Proposals Map
- b) Supplementary planning documents

In addition, the Local Development Framework will include Minerals and Waste Development Plan Documents. Cabinet on the 12th April 2006 endorsed the principle of the Tees Valley Joint Strategy Committee taking responsibility for the initial preparation of Joint Minerals and Waste Development Plan Documents on behalf of the Borough Council and the other four Tees Valley authorities. In April Cabinet was asked to endorse 'The Key Issues and Alternative Options Report' and accompanying Sustainability Appraisal Scoping Report for public consultation between 21st May and 30th June. Work is now proceeding on developing preferred options and these will be put to Cabinet in January 2008 for public consultation in February.

Work has started on two supplementary planning documents (SPD's) as follows:

- i) Transport Assessments and Travel Plans SPD – Cabinet approved the draft for consultation purposes in August 2007. The consultation period was for 6 weeks between 31st August and 12th October. A report will be presented to Cabinet in December 2007 on the outcome of this consultation and amendments suggested as appropriate. Approval of Cabinet and Council to the adoption of the SPD will be sought in March/April 2008.
- ii) Planning obligations SPD – A draft of this SPD will be presented to Cabinet for approval for public consultation purposes in Spring 2008.

Initial preparatory work has also started on The Core Strategy DPD, and various studies including the Local Housing Assessment and the Open Space and Sports Facilities Audit which will provide the evidence base for developing the issues and options for the Core Strategy are currently being undertaken. Regular reports will be made to Cabinet on progress on the Core Strategy. An Issues and Options Discussions paper is currently available for public consultation purposes until the end of January 2008.

The other documents within the local development framework which must be prepared but which do not form part of the development plan are:

- a) Statement of Community Involvement (SCI) setting out how and when the Council will consult on planning policies and planning applications;
- b) Local Development Scheme (LDS) setting out a rolling programme for the preparation of local development documents, and
- c) Annual Monitoring Report (AMR) assessing the implementation of the Local Development Scheme and the extent to which current planning policies are being implemented.

- a) The Statement of Community Involvement was adopted by the Council on the 26th October, 2006.
- b) The first Local Development Scheme (LDS) as approved by Cabinet came into effect on 15th April 2005. The Scheme has been updated annually and the most recent scheme came into effect on 28th March 2007.

The Local Development Scheme will continue to be updated annually as necessary to take into account completion of documents, the need to revise timetables and the need to include new documents.

- c) The first two Annual Monitoring Reports (for 2004-5 and 2005-06) as agreed by Cabinet are available on the Hartlepool website. Cabinet agreement to the third AMR covering the period 2006-07 will be sought in December 2007.

2. THE COMMUNITY STRATEGY

Background

Part 1 of the Local Government Act 2000 places on principal Local Authorities a duty to prepare "Community Strategies" for promoting or improving the economic, social and environmental well-being of their areas, and contributing to the achievement of sustainable development in the UK.

Government guidance issued in December 2000 stated that Community Strategies should meet four objectives. They must:

- Allow local communities (based upon geography and/or interest to articulate their aspirations, needs and priorities;
- Co-ordinate the actions of the Council, and of the public, private, voluntary and community organisations that operate locally;
- Focus and shape existing and future activity of those organisations so that they effectively meet community needs and aspirations; and
- Contribute to the achievement of sustainable development both locally and more widely, with local goals and priorities relating, where appropriate, to regional, national and even global aims.

It also stated that a Community Strategy must have four key components:

- A long-term vision for the area focusing on the outcomes that are to be achieved;
- An action plan identifying shorter-term priorities and activities that will contribute to the achievement of long-term outcomes; (Hartlepool's Local Area Agreement)
- A shared commitment to implement the action plan and proposals for doing so;
- Arrangements for monitoring the implementation plan, for periodically reviewing the Community Strategy and for reporting progress to local communities. (LAA Delivery and Improvement Plan)

The Hartlepool Partnership, the town's Local Strategic Partnership, and the Council agreed a draft Community Strategy in April 2001 and adopted a final version in April 2002.

Neighbourhood Renewal Strategy Review 2006

The current Neighbourhood Renewal Strategy is part of the Community Strategy though published as a separate 70 page document. The Strategy sets out the boundaries of Hartlepool's disadvantaged neighbourhoods – and establishes a Neighbourhood Renewal Area. Neighbourhood Renewal is about narrowing the gap between conditions in the disadvantaged communities and the rest of the town. It is therefore important that the Neighbourhood Renewal Area is kept as tightly defined as possible and is based upon the statistical level of disadvantage.

The Neighbourhood Renewal Strategy sets out the intention to prepare Neighbourhood Action Plans (NAPs) in the Borough's disadvantaged Neighbourhoods and provides a policy framework for this development. These NAPs are now in place and provide a more detailed policy framework for improvements in the disadvantaged neighbourhoods than was available in 2002.

Community Strategy Review

Hartlepool's 2002 Community Strategy set out a timetable for review in five years. In line with this agreement, a Community Strategy Review was launched on 5th May 2006.

The 1st consultation draft of the revised Community Strategy, *Hartlepool's Ambition*, was published in September 2006. Consultation on the 1st draft ran until 17th November. The revised strategy builds on the 2002 strategy and sets out a revised policy framework for Hartlepool. Key revisions include:

- The strategy now incorporates the previously separately published Neighbourhood Renewal Strategy (2002) and the Sustainable Development Strategy (2001);
- The vision has been revised along with many of the Priority Aims and Objectives;

- Housing and Environment are established as Priority Aims in their own right and as a result the number of priority aims has increased from 7 to 8;
- Changes to the Neighbourhood Renewal Strategy boundary, including the addition of the disadvantaged part of Throston ward.

The feedback from this consultation demonstrated broad support for the Strategy and agreement with the vision was at high at over 90%. The second consultation draft was published in March 07.

The next stage of the review is to carry out a number of appraisals on the 2nd draft strategy to highlight practical ways to enhance the positive aspects of the Strategy and to remove or minimise any negative impacts. The appraisals outlined were:

- Sustainability Appraisal
- Strategic Environmental Assessment (2001/42/EC)
- Health Impact Assessment
- Section 17
- Rural Proofing
- Diversity Impact Assessment.

Sustainability Appraisal (SA)

Consultation on the Sustainability Appraisal Scoping Report for the Community Strategy and Local Development Documents closed on 10th August. The scoping report covers the first main stage (Stage A) of the SA process which involves setting the context of the SA, developing the SA framework, establishing the baseline and deciding on the scope.

The SA will incorporate the requirements of the Strategic Environmental Assessment (SEA) Directive and will be undertaken in line with guidance issued by ODPM (2005) in Sustainability Appraisal of Regional /Spatial Strategies and Local Development documents.

The scoping report covers

- Identifying other relevant policies, plans, programmes and SA objectives
- Collating baseline information
- Identifying sustainability issues
- Developing the SA framework

It has been agreed that a corporate approach to preparing sustainability appraisals will be carried out to ensure a consistent approach across the Authority and to avoid duplication of effort.

Following the results of the assessments, a third draft of the Strategy will be published. It is anticipated that this will be available in February 2008.

3. **LOCAL AGENDA 21 STRATEGY**

Hartlepool Borough Council agreed its Local Sustainable Development Strategy (Local Agenda 21 Strategy) in January 2001. The Strategy aimed to:

“achieve improvements in the quality of our lives without causing irreversible damage to the environment or preventing our children from being able to enjoy the benefits we have today”.

In 2005 the Government published Securing the Future - UK Government sustainable development strategy, updating the 1999 Strategy. The new Strategy outlines a pivotal role for local authorities and their partners, through Local Strategic Partnerships, in delivering sustainable communities. The Strategy states that:

Making the vision of sustainable communities a reality at the local level means sending the right signals to local Government about the importance of sustainable development, supporting strong local leadership and developing the right skills and knowledge. Government will work with its partners to develop toolkits and other materials to support Local Strategic Partnerships (LSPs) in developing and delivering Sustainable Community Strategies which help deliver sustainable development in the UK.

In response to this guidance, the revised Community Strategy incorporates a revised local Sustainable Development Strategy. As a result it is proposed to remove the Local Agenda 21 Strategy from the Council's Budget and Policy Framework at the point when the revised Community Strategy is adopted by Council.

4. THE ANNUAL YOUTH JUSTICE PLAN

The Annual Youth Justice Plan for 2008/09 will be required to be submitted to the Youth Justice Board by end of April 2008. An initial report on performance in 2007/08 will be prepared for Cabinet to consider in early 2008. After this, consultation with partners and stakeholders will be carried out, in addition to consideration by the Regeneration and Planning Services Scrutiny Forum. Cabinet will then consider a draft Youth Justice Plan 2008/09 in March, prior to approval being sought from the Council on 17th April 2008.

5. CRIME, DISORDER AND DRUGS STRATEGY 2008-2011

The Police and Justice Act 2006 has amended the Crime & Disorder Act 1998 to require the production of a three year strategy which is reviewed and update annually. The strategy and annual updates will be informed by the results of an annual Strategic Intelligence Assessment, where data and intelligence will be analysed.

The first Strategic Intelligence Assessment has been conducted by the Safer Hartlepool Partnership in November 2007. A first draft three year Strategy will be reported to Cabinet on 7th January 2008. Consultation with partners and stakeholders will be undertaken during January and February 2008 and the Regeneration and Planning Services Scrutiny Forum will consider the draft strategy at their meeting on 17th January 2008. Cabinet will consider a final draft strategy in February 2008, prior to approval being sought from Council before end of March 2008.

B SCHEDULE OF KEY DECISIONS

DECISION REFERENCE: RP 115/06 HARTLEPOOL COMPACT REVIEW

Nature of the decision

To agree a revised Compact between the Council and the Hartlepool Voluntary and Community Sector

The revised Compact will replace the existing Compact previously agreed by Cabinet in January 2003 in accordance with one of the key recommendations contained within the Best Value Review of Strengthening Communities, the Strategic Improvement Plan for which was agreed by Cabinet in September 2006.

The Compact will also need to be aligned with the "Regional Compact", which provides a framework for relationships between the Public Sector and Third Sector in the North East; and also emerging proposals for the development of a strategic approach to relations with, and development of, the Voluntary and Community (VCS) Sector in Hartlepool, in the light of the Council's recent Corporate Performance Assessment (CPA).

Within this context, the Compact may extend beyond the Council, to include other public sector agencies and stakeholders within the Hartlepool Partnership, and their relationship with the VCS.

Who will make the decision?

The Compact is to be approved by Cabinet and will need to be prepared and agreed in partnership with the Voluntary and Community Sector, and potentially the Hartlepool Partnership.

Timing of the decision

A preliminary draft of the revised Compact was approved by Cabinet on 29th October 2007 for consultation purposes. However the formal Cabinet decision making process thereafter will be dictated by the Project Plan timetable (see below) and the outcome of the broader consultation exercise.

Who will be consulted and how?

The consultation draft of the Hartlepool Compact has actually been drawn up with the support of the Hartlepool and Voluntary Development Agency (HVDA). This will now be used as the basis for further discussion with a range of key stakeholders from the public and voluntary sectors in accordance with the following indicative timetable -

November 07

- Letter to all elected members updating on progress and inviting views on the draft revised Compact.
- Letter to named partners within the Compact requesting meeting/discussions/potential involvement as appropriate.
- Article within HVDA newsletter promoting the revised Compact and the proposed consultation process.
- Discussions with Hartlepool Young Voices

December 07

- Report and draft Compact to LSP (Hartlepool Partnership).

January 08 (onwards)

- Consultation event about the Compact, particularly for voluntary groups, but also involving elected members, key stakeholders and potential signatories, planned for 23rd January 2007.
- Formal adoption of the Compact by Cabinet (February 2008) and partner/signatories thereafter.

Information to be considered by the decision makers

The revised Compact will represent a Memorandum of Understanding between the Council (and potentially other public sector agencies) and the voluntary & community sector in Hartlepool concerning working relations and priority commitments. It will be based around a series of Codes providing ground rules for good practice for all parties to strive to achieve, covering areas such as funding, consultation, children and young people, minority and small community groups, representation and volunteering.

How to make representation

Representations can be made in writing to Peter Scott, Director of Regeneration & Planning Services, Regeneration & Planning Services Department, Bryan Hanson House, Hanson Square, Hartlepool, TS24 7BT. Telephone: 01429 523401, Email: peter.scott@hartlepool.gov.uk

Further information

Further information can be obtained from Geoff Thompson, Head of Regeneration, Regeneration & Planning Services Department, Bryan Hanson House, Hanson Square, Hartlepool, TS24 7BT. Telephone: 01429 523597, Email: geoff.thompson@hartlepod.gov.uk

DECISION REFERENCE: NS104/06 SELECTIVE LICENSING OF PRIVATELY RENTED HOUSES

Nature of the decision

To consider the merits of introducing selective licensing for landlords and managers of privately rented houses.

Who will make the decision?

The Cabinet will make the decision.

Timing of the decision

The decision is expected to be made in January 2008.

Who will be consulted and how?

- Residents in the North Central and West Central regeneration areas – individual questionnaires and drop-in sessions.
- Residents in appropriate areas of private housing outside those areas – individual questionnaires.
- Residents groups through presentations at their meetings plus completion of questionnaire on behalf of the group.
- Landlords – questionnaires.
- Agencies – NDC, Hartlepool Revival, Housing Hartlepool.
- HBC sections dealing with housing and anti-social behaviour.
- Neighbourhood Services Scrutiny Forum is currently investigating the performance and operation of private sector rented accommodation and landlords. Recommendations are expected to be finalised by spring 2007.

Information to be considered by the decision makers

- The data concerning the criteria which must be met to designate selective licensing, i.e. to show that an area is in 'low demand' or likely to be in 'low demand', or that significant or persistent anti-social behaviour, requires action through licensing.
- The information collected from residents, landlords and officers on the extent of the problems and the suitability of selective licensing to tackle them.
- Formulate a guide as to which areas might be appropriate for licensing.

How to make representation

Representations should be made to John Smalley, Principal EHO (Housing), Regeneration & Planning Services Department, Bryan Hanson House, Hanson Square, Hartlepool, TS24 7BT. Tel: 01429 523322. Email: john.smalley@hartlepool.gov.uk

Further information

Further information can be obtained from Joanne Burnley, Senior EHO (Housing), Regeneration & Planning Services Department, Bryan Hanson House, Hanson Square, Hartlepool, TS24 7BT. Tel: 01429 523324. Email: joanne.burnley@hartlepool.gov.uk

DECISION REFERENCE: RP123/07 TEES VALLEY MULTI AREA AGREEMENT

Nature of the decision

Agreement to the proposed Tees Valley Multi Area. Agreement on sub-regional funding for economic development, transport and housing.

Who will make the decision?

The decision will be made by Cabinet

Timing of the decision

The decision is expected to be made in January 2008

Who will be consulted and how?

Hartlepool Local Strategic Partnerships

Information to be considered by the decision makers

The Tees Valley Multi Area Agreement document prepared by the Tees Valley Joint Strategy Unit which will include agreed outcomes to measure progress on regionally funded schemes co-ordinated through Tees Valley Unlimited on economic development, transport and housing.

How to make representation

Representations can be made in writing to Peter Scott, Director of Regeneration and Planning Services, Regeneration and Planning Services Department, Bryan Hanson House, Hanson Square, Hartlepool, TS24 7BT. Tel. 01429 523401, e-mail. peter.scott@hartlepool.gov.uk.

Further information

Further information can be obtained from Peter Scott as above.

DECISION REFERENCE: RP125/07 AFFORDABLE HOUSING RESPONSES

Nature of the decision

To consider specific responses to the affordable housing need issues evidenced in the Hartlepool Local Housing Assessment 2007.

Who will make the decision?

The decision will be made by Cabinet

Timing of the decision

The decision is expected to be made in January 2008.

Who will be consulted and how?

The issue of affordable housing is being examined in detail by Scrutiny and consultation will be on-going with all relevant partners.

Information to be considered by the decision makers

The Hartlepool Local Housing Assessment (2007) identifies a considerable need (circa 400 dwellings per year) for additional affordable housing provision and especially social housing provision (80%). A number of local responses are considered to try and tackle to some extent these issues related to for example planning policy. Affordable housing is significantly rising up the national and the regional and sub regional agendas. This report will consider the business case for releasing specific sites for development by housing associations at below market value, that would be the subject of bids to the Housing Corporation.

How to make representation

Representations can be made in writing to Peter Scott, Director of Regeneration and Planning Services, Regeneration and Planning Services Department, Civic Centre, Hartlepool, TS24 8AY. Tel. 01429 523401, e-mail. Peterscott@hartlepool.gov.uk

Further information

Further information can be obtained from Peter Scott as above.

The decision will be made by the Regeneration and Liveability Portfolio Holder

DECISION REFERENCE: RP127/07 TOWNSCAPE HERITAGE INITIATIVE GRANT – VICTORIA BUILDINGS, VICTORIA STREET, HEADLAND.

Nature of the decision

The Regeneration and Liveability Portfolio Holder will be asked to approve a Townscape Heritage Initiative Grant for Victoria Buildings.

Who will make the decision?

The decision will be made by the Regeneration and Liveability Portfolio Holder

Timing of the decision

The decision is expected in January 2008.

Who will be consulted and how?

The Heritage Lottery Fund will be consulted on the decision as the joint funding body with the North Hartlepool Partnership for the Townscape Heritage Initiative

Information to be considered by the decision makers

Description of the building and the proposed project with a cost analysis of the project and the benefits of the investment prepared by the THI Manager

How to make representation

Representations can be made in writing to Peter Graves, THI Manager, Regeneration and Planning Services, Bryan Hanson House, Hanson Square, Hartlepool TS24 7BT. Tel 01429 523433, e-mail peter.graves@hartlepool.gov.uk

Further information

Further information can be obtained from Peter Graves as above.

DECISION REFERENCE: RP 128/07 LOCAL AREA AGREEMENT GRANT ALLOCATION 2008-2011

Nature of the decision.

To agree the allocation of the Local Area Agreement (LAA) grant for 2008-11.

Who will make the decision?

The decision will be made by Cabinet and the Hartlepool Partnership.

Timing of the decision

The decision is expected to be made in February 2008 following agreement by the Hartlepool Partnership.

Who will be consulted and how?

The allocation of the LAA grant for 2008-11 will be subject to involvement of a range of partners on the Hartlepool Partnership and its theme partnerships. Early soundings will be sought from Cabinet Members through a Mayors Briefing session.

Information to be considered by the decision makers

In addition to the information provided to Cabinet on the LAA Commissioning Framework for 2008-2001 Members will be provided with the following information:

- Detail of the LAA Grant (Area Based Grant) to be received over the 2008-2011 time period;
- Background to the proposed allocation of the Grant.

How to make representation

Representation should be made to Joanne Smithson, Head of Community Strategy, Regeneration and Planning Services, Bryan Hanson House, Hanson Square, Hartlepool, TS24 7BT. Telephone: (01429) 284161. Email: Joanne.Smithson@hartlepool.gov.uk

Further information

Further information can be obtained from Catherine Frank, Principal Community Strategy Officer, Regeneration and Planning Services, Bryan Hanson House, Hanson Square, Hartlepool, TS24 7BT. Telephone: (01429) 284322. Email: Catherine.frank@hartlepool.gov.uk

DECISION REFERENCE: RP130/07 ROSSMERE NEIGHBOURHOOD ACTION PLAN (NAP) UPDATE

Nature of the decision

To endorse the Rossmere Neighbourhood Action Plan (NAP) Update.

Each of the Neighbourhood Action Plans across the town (Dyke House/Stranton/Grange, Burbank, Rift House/Burn Valley, Owton, Rossmere and North Hartlepool) are being updated, in the order in which they were developed. In addition to this, it should be noted that the New Deal for Communities (NDC) programme has developed a NAP for the NDC area, making seven in total across the town.

The Rossmere Neighbourhood Action Plan is the fifth NAP to be updated following the completion of the Dyke House/Stranton/Grange NAP Update in November 2006, the Burbank NAP Update in February 2007 and the Rift House/Burn Valley NAP Update in June 2007 and the Owton NAP Update which is to be taken for endorsement throughout November/December 2007.

Who will make the decision?

The decision will be made by the Neighbourhoods and Communities Portfolio Holder.

Timing of the decision

The decision is expected to be made in March 2008.

Who will be consulted and how?

The first draft of the Neighbourhood Action Plan Update will be developed following the initial community consultation event which was held in November 2007. The community consultation event will be crucial in identifying the community's priority concerns and the actions required to address the concerns. Household survey data (Ipsos MORI 2006) and other baseline data and statistics have also been examined in order to provide an understanding of the conditions in the Rossmere NAP area. These statistics will also be included within the plan.

To complement this, comprehensive consultation will also be undertaken to ensure comments are received from key stakeholders and residents on the first draft of the NAP. Further consultation will include:-

- Delivering a newsletter to every household in the area;
- Visiting Residents Associations in the Rossmere area;
- Visiting the Rossmere Forum;
- Visiting Youth Groups operating throughout the area;

- Holding online consultations at: <http://consultation.hartlepool.gov.uk>
- Holding community drop-in sessions at various community buildings;
- Visiting and working with pupils from local schools;
- Liaising with Hartlepool Community Network and Housing Hartlepool;
- Meeting with key service providers including; Hartlepool Borough Council Officers, Housing Hartlepool, Cleveland Police, Voluntary/Community Groups, Ward Councillors and representatives from the Theme Partnerships; and
- Taking the first draft of the plan to the Rossmere Forum, Neighbourhoods and Communities Portfolio Holder, South Neighbourhood Consultative Forum and the Hartlepool Partnership, for comment.

The final draft will then be circulated for comment to ensure that all amendments have been incorporated and reflected accurately.

Information to be considered by the decision makers

A copy of the Rossmere Neighbourhood Action Plan Update along with a summary document highlighting the priority concerns, and the actions to address these will be available for consideration by the Neighbourhoods and Communities Portfolio Holder. The Rossmere NAP Update will also be considered for endorsement by the Rossmere Forum, the South Neighbourhood Consultative Forum and the Hartlepool Partnership.

The document will be structured in a way that is intended to give a clear picture of the strong themes running through the Neighbourhood Action Plan back to the Community Strategy and the Neighbourhood Renewal Strategy.

The format of the document will differ slightly from that of the original Rossmere NAP which was endorsed in October 2005, as the template has been amended as a result of the findings of the NAP Review as well as taking on board suggestions for improvement from service providers who refer to the plan on a regular basis. The Rift House/Burn Valley NAP Update and the Owton NAP Update can be cited as examples of NAPs which have been developed using this format.

The introductory section will continue to cover the background to NAPs, a brief description of the Rossmere neighbourhood, how the Rossmere NAP Update has been developed, and a summary of the community's main concerns.

The following section will then comprise the seven theme areas:- Jobs and Economy; Lifelong Learning and Skills; Health and Care; Community Safety; Environment and Housing; Culture and Leisure and Strengthening Communities. Each theme will identify the key statistics, the strengths and weaknesses and the gaps in service delivery which need to be addressed.

Following this will be a table which identifies the community's priority concerns, the actions that are required to address these concerns, a column to identify whether actions are short term (within one year), medium term (between one and five years) or long term (five + years), the organisations who need to be involved in delivering the actions, possible funding

and resources and how the actions will contribute to addressing strategic targets (such as the Local Area Agreement Indicators).

The next section will then outline the key resources and programmes delivered in the area / accessible to residents of the Rossmere NAP area. These will follow the seven theme areas identified in the paragraph above. The last section of the plan will be a jargon buster. A summary document will be developed to accompany the NAP.

Neighbourhood Action Plans are important in encouraging local people and organisations to work together to narrow the gap between the most deprived wards and the rest of the country, and they should be influential in the future allocation of resources. The objective of the NAP is to integrate policies at the local level to improve the way that services are provided.

How to make representation

Representations can be made in writing to Peter Scott, Director of Regeneration and Planning Services, Regeneration and Planning Services Department, Bryan Hanson House, Hanson Square, Hartlepool, TS24 7BT. Tel. 01429 523401, e-mail. peter.scott@hartlepool.gov.uk.

Further information

Further information can be obtained from Gemma Clough, Principal Regeneration Officer, Regeneration and Planning Services Department, Bryan Hanson House, Hanson Square, Hartlepool, TS24 7BT. Tel. 01429 523598, e-mail. gemma.clough@hartlepool.gov.uk.

APPENDIX 1

DETAILS OF DECISION MAKERS

THE CABINET

Many decisions will be taken collectively by the Cabinet.

- The Mayor, Stuart Drummond
- Councillor Pamela Hargreaves
- Councillor Ged Hall
- Councillor Cath Hill
- Councillor Victor Tumilty
- Councillor Robbie Payne
- Councillor Peter Jackson

EXECUTIVE MEMBERS

Members of the Cabinet have individual decision making powers according to their identified responsibilities.

Regeneration and Liveability Portfolio	-	The Mayor, Stuart Drummond
Performance Portfolio	-	Councillor Pamela Hargreaves, Deputy Mayor
Adult and Public Health Services Portfolio	-	Councillor Ged Hall
Children's Services Portfolio	-	Councillor Cath Hill
Culture, Leisure and Tourism Portfolio	-	Councillor Victor Tumilty
Finance and Efficiency Portfolio	-	Councillor Robbie Payne
Neighbourhoods and Communities Portfolio	-	Councillor Peter Jackson

APPENDIX 2

TIMETABLE OF KEY DECISIONS

Decisions are shown on the timetable at the earliest date at which they may be expected to be made.

1. DECISIONS EXPECTED TO BE MADE IN JANUARY 2008

1.1 DATE NOT YET DETERMINED

CE23/06 (Pg 6)	PAY AND GRADING STRUCTURE	CABINET
NS100/06 (Pg 18)	MIDDLETONGRANGE SHOPPING CENTRE MULTI STOREY CAR PARK	CABINET
NS112/07 (Pg 19)	VICTORIA PARK	CABINET
NS113/07 (Pg 20)	TRANSPORT ASSET MANAGEMENT PLAN AND RELATED HIGHWAYS POLICY AND PROCEDURES	CABINET
NS114/07 (Pg 22)	CAPITAL STRATEGY AND ASSET MANAGEMENT PLAN	CABINET
NS115/07 (Pg 23)	DRAFT TEES VALLEY JOINT WASTE MANAGEMENT HEADLINE STRATEGY	CABINET
NS117/07 (Pg 27)	DOG CONTROL ORDERS	PORTFOLIO HOLDER
NS104/07 (Pg 38)	SELECTIVE LICENSING OF PRIVATELY RENTED HOUSES	CABINET
RP123/07 (Pg 40)	TEES VALLEY MULTI AREA AGREEMENT	CABINET
RP125/07 (Pg 41)	AFFORDABLE HOUSING RESPONSES	CABINET
RP127/07 (Pg 42)	TOWNSCAPE HERITAGE INITIATIVE GRANT – VICTORIA BUILDINGS, VICTORIA STREET, HEADLAND	PORTFOLIO HOLDER
RP128/07 (Pg 43)	LOCAL AREA AGREEMENT GRANT ALLOCATION 2008 – 2011	CABINET

2. DECISIONS EXPECTED TO BE MADE IN FEBRUARY 2008

2.1 DATE NOT YET DETERMINED

SS48/07 (Pg 8)	HARTLEPOOL H20 DEVELOPMENT	CABINET
ED41/07 (Pg 13)	STAGE 1 CONSULTATION AS PART OF REVIEW OF PRIMARY SCHOOL PROVISION IN PREPARATION FOR PRIMARY CAPITAL PROGRAMME	CABINET
ED42/07 (Pg 14)	HARTLEPOOL ADMISSION ARRANGEMENTS FOR 2009/10	PORTFOLIO HOLDER
NS116/07 (Pg 25)	REVIEW OF CONCESSIONARY FARE PAYMENTS TO BUS OPERATORS FOR 2007-2008	CABINET
RP115/06 (Pg 36)	HARTLEPOOL COMPACT REVIEW	CABINET

3. DECISIONS EXPECTED TO BE MADE IN MARCH 2008

3.1 DATE NOT YET DETERMINED

ED43/07 (Pg 15)	STATUTORY NOTICE OUTCOMES FOR CATCOTE SCHOOL	CABINET
ED44/07 (Pg 16)	STATUTORY NOTICE OUTCOMES FOR JESMOND ROAD PRIMARY SCHOOL	CABINET
RP130/07 (Pg 36)	ROSSMERE NEIGHBOURHOOD ACTION PLAN (NAP) UPDATE	CABINET

4. DECISIONS EXPECTED TO BE MADE IN APRIL 2008

4.1 DATE NOT YET DETERMINED

SS50/07 (Pg 10) LOW LEVEL SUPPORT

CABINET

SCRUTINY CO-ORDINATING COMMITTEE

4 January 2008



Report of: Scrutiny Manager

Subject: 2008/09 BUDGET AND POLICY FRAMEWORK PROPOSALS

1. PURPOSE OF REPORT

- 1.1 To receive the Executive's Budget and Policy Framework proposals, which is attached as **Appendix 1** and to consider in particular the proposals for the Chief Executive's Department.

2. BACKGROUND INFORMATION

- 2.1 In accordance with the requirements of the Council's Constitution the Executive is required to consult on the draft Budget and Policy Framework for the coming year.
- 2.2 The initial consultation was successfully achieved through consideration of the initial budget proposals on a departmental basis across each of the Scrutiny Forums. These comments were fed back into Scrutiny Co-ordinating Committee on 21 November 2007. Following detailed discussions of these, Scrutiny Co-ordinating Committee agreed Scrutiny's response to Cabinet, which was fed back to Cabinet on 21 December 2007.
- 2.3 Following consideration of Scrutiny's response to the initial budget proposals the Executive finalised / agreed its budget proposals at the meeting of Cabinet on 21 December 2007. The budget proposals are attached as **Appendix 1**.
- 2.4 Whilst this Committee will receive the entire Budget and Policy Framework proposals, the main purpose of today's meeting is for this Committee to consider the budget proposals for the Chief Executive's Department.
- 2.4 In addition, each of the Scrutiny Forums will again have the opportunity to comment on each of the Authority's Departments

budget proposals. The Forums will meet on the following dates to consider these proposals:

- (a) Children's Services Scrutiny Forum - 7 January 2008;
- (b) Neighbourhood Services Scrutiny Forum - 9 January 2008;
- (c) Adult & Community Services & Health Scrutiny Forum - 10 January 2008; and
- (d) Regeneration and Planning Services Scrutiny Forum - 17 January 2008.

2.5 Following the Forums' consideration of the Executive's Finalised Budget and Policy Framework proposals for 2008/09, the Scrutiny Co-ordinating Committee at its meeting on 18 January 2008 will determine its formal response (based on the written comments of the Scrutiny Forums considered earlier in that meeting) to be presented to the Cabinet on 11 February 2008.

3. RECOMMENDATION

3.1 That the Scrutiny Co-ordinating Committee receives this report, and focuses particular attention on the Chief Executive Department's Budget and Policy Framework proposals as outlined in **Appendix 1**.

4. BACKGROUND PAPERS

No background papers were used in production of this report.

5. CONTACT OFFICER

Charlotte Burnham – Scrutiny Manager
Chief Executive's Department – Corporate Strategy
Hartlepool Borough Council
Tel: 01429 523 087
Email: charlotte.burnham@hartlepool.gov.uk

CABINET REPORT

17th December, 2007



Report of: Corporate Management Team

Subject: Medium Term Financial Strategy 2008/2009 to 2010/2011

SUMMARY

1. PURPOSE OF REPORT

1.1 To review the Council's Medium Term Financial Strategy (previously referred to as the Budget and Policy Framework) and to consider the detailed 2008/2009 Budget and Council Tax proposals, and indicative Council Tax increases for 2009/10 and 2010/11, to be referred for formal scrutiny.

2. SUMMARY OF CONTENTS

2.1 The report provides an update on the initial budget position reported on 15th October 2007, covering:

- the 2007/08 Outturn Strategy;
- capital expenditure issues;
- the revenue budget and Council Tax levels for 2008/09 to 2010/11.

2.2 The previous report was based on forecast grant increases for 2008/09 and the following two years. The Government have now issued details of the Formula Grant allocations for 2008/09 to 2010/11. The allocations for Hartlepool are better than previously forecast and the budget forecast have been updated accordingly. Members need to take account of these changes and determine the detailed budget proposals they wish to refer for formal Scrutiny. These proposals need to include the level of Council Tax increase for 2008/09 and indicative increases for 2009/10 and 2010/11.

2.3 At the time of preparing the report the Government had not provided details of specific grant allocations or the new Area Based Grant. Therefore, until these details are known there is still a risk that current funding levels may not be maintained. Cabinet will need to review this position when these allocations are known and determine a strategy for dealing with any issues which may arise.

3. RELEVANCE TO CABINET

- 3.1 The report enables Cabinet to determine the issues it wishes to put forward for formal scrutiny.

4. TYPE OF DECISION

- 4.1 Key

5. DECISION MAKING ROUTE

- 5.1 Cabinet, Scrutiny Co-ordinating Committee, Council

6. DECISION(S) REQUIRED

- 6.1 Cabinet is required to determine its detailed proposal in relation to the issues identified on paragraphs 12.3 to 12.9 of the main report.

Report of: Corporate Management Team

Subject: MEDIUM TERM FINANCIAL STRATEGY
2008/2009 TO 2010/2011

1. PURPOSE OF REPORT

1.1 To review the Council's Medium Term Financial Strategy (previously referred to as the Budget and Policy Framework) and to consider the detailed 2008/2009 Budget and Council Tax proposals, and indicative Council Tax increases for 2009/10 and 2010/11, to be referred for formal scrutiny.

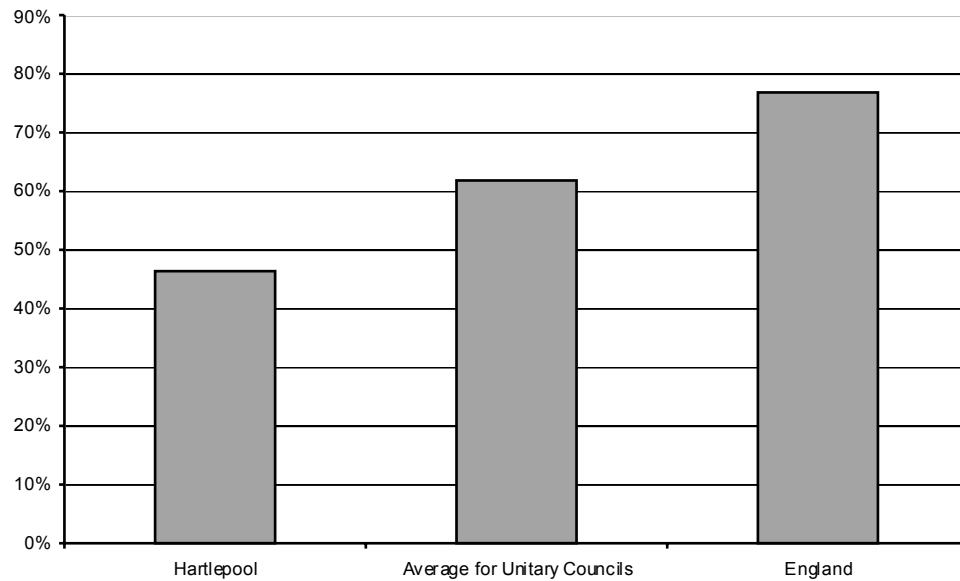
2. BACKGROUND

2.1 Since becoming a Unitary Authority the Council has worked to improve services to the people of Hartlepool. The Council's achievements were recognised in the first round of the Audit Commission's Comprehensive Performance Assessment (CPA), completed in 2002, when the Council achieved the highest rating. The Council maintained this rating in subsequent annual inspections. Under the harder CPA, which was introduced in 2007, the Council was assessed as "4 star improving well". The Council has continually achieved an excellent CPA rating for value for money rating of 3 out of 4.

2.2 In the first years as a Unitary Authority the Council prioritised Education services and brought expenditure up to the level of the former Standard Spending Assessment. Annual increases in Education expenditure were then implemented to maintain this position until the introduction of the Dedicated Schools Grant. The Council has also made significant investment in Social Services. Over the last two years the Council has provided all areas with a minimum base budget increase of 3% to cover pay awards and inflation. Additional resources have then been provided on a prioritised basis and these increases have been funded from efficiencies and reductions in other areas.

2.3 These service improvements have been achieved against a background of limiting increases in Council Tax. Over the last 9 years the Council's cumulative increase in Council Tax has been lower than average, as illustrated in the following table.

Cumulative Increases in Band D Council Tax From 1999/00 -2007/08



2.4 This restraint is a further measure of the value for money provided to local tax payers. The impact of these lower than average rises is that average Council Tax for Hartlepool households has changed from being 5.2% above the unitary average in 2001/2002 to being 1% above the unitary average in 2007/2008.

2.5 The above objectives have been assisted by the use of reserves to support existing service levels. This is not sustainable as the major opportunities for increasing balances have now been achieved. Therefore, the Council will need to address this issue as part of the MTFS.

2.6 The next three years will be the most challenging since becoming a Unitary Authority and determine the future shape of the Authority. Over this period the Council will need to address three key issues:

- Implement Job Evaluation;
- Come to terms with a period of public sector expenditure constraint for the period covered by CSR07 and probably the next spending review; and
- Prioritise services at a sustainable level and achieve increased efficiency savings.

3. **REVIEW OF 2007/2008 FINANCIAL POSITION AND PROJECTED REVENUE BALANCES AT 31ST MARCH, 2008**

3.1 A comprehensive budget monitoring report was submitted to Cabinet on 26th November, 2007. In financial terms the key issues are summarised at Appendix A, which indicates that departmental expenditure will exceed the approved budget. These increased costs

can largely be funded from increased investment income and savings on a number of corporate budgets. It is anticipated that there will be a net overspend of up to £0.3m, which will need to be funded from General Fund Balances.

3.2 The level of Balances at 31st March, 2008, will also be affected by the following factors: -

- Job Evaluation Protection Costs - £1.4m. There is a detailed report on Job Evaluation elsewhere on your agenda. For financial planning purposes it is assumed that Members will approve a protection scheme as part of the overall Job Evaluation package. The costs of protection will need to be funded from a review of the Balance Sheet and it was previously reported that up to £4m could be released. For financial planning purposes it is anticipated that the year one costs will not exceed £1.4m;
- Support of 2007/2008 Revenue Budget - £2.760m;
- Use of Ring Fenced and Department Reserves;
- Local Authorities Business Growth Incentive (LABGI) Grant - £1.2m. Members have previously indicated they wish to allocate these monies towards meeting one-off costs in 2008/2009. This issue is detailed later in the report.

3.3 In summary the level of reserves at 31st March, 2008, are forecast to be £24.383m as follows:

	£'000
Opening Balance 1st April, 2007	32,193
Less	
- Provision for Year 1 Job Evaluation Protection	(1,400)
- Planned Support of 2007/2008 Revenue Budget	(2,760)
- 2007/2008 Forecast Overspend	(300)
- Use Capital Reserves	(1,094)
- Use Ringfenced Reserves	(1,667)
- Use Departmental Reserves	(2,589)
Add	
- LABGI Grant	1,200
- Repayment of General Fund (used to fund Bonus Buyout in 1996/1997)	800
Projected Balances at 31st March, 2008	24,383

3.4 The Council retains balances to cover unforeseen liabilities and to support ongoing services. A detailed strategy on the future use of reserves is detailed later in the report.

4. REVIEW OF 2007/2008 SERVICE ISSUES

4.1 From a service perspective the outturn report identified a number of issues which will continue into 2008/2009. These issues have been reflected in the budget forecast for future years, detailed later in the report. These issues are detailed in Appendix B and in summary cover the following issues:

	2007/2008 Forecast Outturn Adverse/ (Favourable) £'000	2008/2009 Budget Pressure/ (Saving) £'000	Classification
Adult and Community Services			
- Learning Disability	363	255	Pressure
- Mental Health	200	100	Pressure
- Support Services	120	50	Pressure
Children's Services			
- Children and Families Support	789	607	Pressure
Neighbourhood Services			
- Environment	128	359	Pressure
- Car Parking Income	150 to 274	131	Contingency
Regeneration and Planning			
- Town Wide CCTV	34	30	Pressure
Permanent Corporate Savings			
- Bonus Savings	(800)	(800)	
- Interest Savings	(200)	(200)	
Temporary Corporate Savings			
- Centralised Estimates	(900)	(740)	

5. CAPITAL PROGRAMME 2008/2009 TO 2010/2011

5.1 The Capital Strategy reflects the service implications identified through the service planning process, which is driven by the Community Strategy themes: -

- Community Safety
- Culture and Leisure
- Environment and Housing
- Health and Care
- Jobs and the Economy
- Life Long Learning and Skills
- Strengthening Communities
- Organisation

5.2 As well as covering direct investment by the Council the Capital Strategy also supports the achievement of the Community Strategy indirectly through other initiatives. Historically this has included initiatives such as the Housing transfer, the release of land for Social Housing in exchange for nominations rights and support for the development of the Joseph Rowntree Care Village. Going forward the Council will support a variety of initiatives, although it must be recognised that such support is becoming more difficult as the Council has limited undeveloped land in its ownership. Ongoing initiatives include:

- The sale of land to enable Hartlepool College of Further Education to develop a new campus;
- The sale of land for the PCT development;
- An agreement to explore the possibility of providing land at below market value for the provision of affordable housing.

5.3 As resources for capital investment will mainly come from supported capital allocations provided by the Government Members have previously indicated their commitment to ring-fence these allocations. As these allocations cover key Government priorities, which clearly align to the Council's own priorities, this strategy should help secure future allocations as existing funding regimes effectively reward success in achieving the Government's priorities.

5.4 At the time of preparing this report the Government had not confirmed the 2008/2009 allocations. Indicative allocations are shown below:

	2008/2009 Indicative Allocations	
	Grant £'000	Supported Borrowing £'000
Housing	5,480 *	0
Local Transport Plan	445 **	1,511 **
Education	1,641 **	1,030 **
Adult Social Services	0	206 **
	7,566	2,747

* Forecast allocations

** Indicative allocations announced in February, 2007

5.5 The revenue costs of using the above supported borrowing allocations are reflected in the revenue forecasts detailed later in the report. From 2009/2010 these additional costs are offset by the fall out of previous capital financing costs.

5.6 Cabinet have also previously confirmed they wish to use unsupported borrowing to continue to provide annual allocations in 2008/2009, 2009/2010 and 2010/2011 for the following initiatives.

	Annual Allocation £'000
Community Safety Initiative	150
Disabled Adaptations	50
Neighbourhood Forum Minor Works	156
	356

- 5.7 The Capital Strategy and Asset Management Plan approved by Cabinet on 31st July, 2006, indicated that the Government's capital allocations will not fund all capital expenditure priorities, particularly areas with a high local priority which do not fall within the areas attracting Government funding. Therefore, Members determined to invest £3.6m over the three years 2007/2008 to 2009/2010. The revenue forecasts include provision for the resulting repayment costs.
- 5.8 Detailed proposals for allocating the 2008/2009 provision have been considered by the Strategic Capital Resource and Asset Programme Team (SCRAPT). These proposals are based on a thorough project evaluation process and the scoring of individual projects against defined criteria. The detailed proposals for 2008/2009 are set out in Appendix C. SCRAPT are recommending that a small number of projects should be funded over two financial years, which will commit £0.491m of the 2009/2010 allocation. Proposals for using the remaining 2009/2010 allocation will be brought forward when the MTFS is rolled forward next year.
- 5.9 Members have previously determined not to extend the existing strategy beyond 2009/2010, but may wish to review this position during 2008/09.
- 5.10 Progress in achieving the existing capital receipts target has been reviewed to reflect sales achieved to date and anticipated completions in the remainder of this financial year, or early in 2008/2009. It is anticipated that actual receipts will exceed the amount needed to fund existing capital expenditure commitments. The amount cannot yet be quantified as a number of issues have not yet been finalised. It would not be prudent to spend these additional resources until they are received. However, it would be appropriate to determine a strategy for using these resources once they are received. It is therefore suggested that:
- Receipts achieved from the sale of income generating assets should not be committed until a strategy for replacing the income has been developed. This may include replacing the income generating asset, or the repayment of outstanding loans to offset the loss of income;
 - Other receipts should be set aside to meet termination costs over the next few years. On a practical basis this will need to be

achieved by using these resources to replace RCCOs, which can then be set aside for termination costs.

6. REVENUE BUDGET AND COUNCIL TAX

6.1 Background

6.2 The MTF5 reflects the service implications identified through the service planning process, which in turn are driven by the Community Strategy themes: -

- Community Safety
- Culture and Leisure
- Environment and Housing
- Health and Care
- Jobs and the Economy
- Life Long Learning and Skills
- Strengthening Communities
- Organisation

6.3 The Council's service planning process takes account of local issues and in many cases is influenced by national priorities e.g. supporting the provision of a range of services to children and young people.

6.4 In previous years, whilst service and financial planning have been interlinked these links have not been clearly communicated through the budget report. This report begins to address this issue.

6.5 As indicated earlier in the report the period covered by this MTF5 will be the most challenging since the Council became a Unitary Authority. Over this period the Council will need to address the implications of the 2007 Comprehensive Spending Review (CSR07), implement Job Evaluation and address the reduction in balances which are available to support expenditure.

6.6 CSR07 commences a period of financial restraint for the next three years. This position will probably continue for the following three years as economic growth and therefore Government tax revenues are not expected to grow as quickly as they have over the last ten years or so. Many experts actually fear a period of increasing inflation and reducing economic demand, coupled with a demographic surge in demand for local authority services. The specific impact of CSR07 on Local Government funding is detailed in the next section.

6.7 Available Funding

6.8 The previous MTF5 (approved in February, 2007) covered the three years up to 2009/2010 and included resource forecasts, which determine the limit of the Council's budget. These forecasts were revised and rolled forward in October, to cover the three years to 2010/2011. This is the period covered by CSR07 and forecasts reflected the following planning assumptions:

- Annual increase in Government Funding of 2%;
- Annual Council Tax increase of 4.9%;
- The use of £5.569m of reserves over this period.

6.9 Details of the grant allocations for the next three years were announced by the Government on 6th December, 2007. The key issues are as follows:

- Formula Grant increase

The grant settlement for Hartlepool is much better than forecast as summarised below, net of the impact of mainstreamed grants:

	2008/2009	2009/2010	2010/2011
National Grant Increase	3.6%	2.8%	2.6%
Hartlepool Increase	6.0%	3.9%	3.4%
Cumulative Increase on Forecast Grant	£1.8m	£2.7m	£3.4m

This position reflects the implementation of a range of factors which benefit Hartlepool. The formula grant consists of four elements:

- Relative needs amount;
- Central Allocation;
- Relative Resources Amount;
- Floor Damping

The first two elements are the main mechanism for allocating funding to local authorities and the Council has benefited from changes the Government has made for 2008/2009 and future years, including:

- Changes in population figures, which are the main grant driver;
- An increase in control totals – i.e. the amount of money allocated to local authorities. As Hartlepool's population figure has increased this produces as a double benefit as we receive additional grant for a higher population and at higher rate.

The relative resources amount is a measure of each authority's ability to raise revenue from Council Tax. For Hartlepool this amount is broadly unchanged within the overall grant allocation for the next three years.

Floor damping is the mechanism within the formula for regulating grant increases/decreases. The value of resources allocated through this block is affected by the minimum grant increases which the Government wish to provide. As this needs to be funded from the overall control total for local government grant. Over the

period of the three year settlement the Government will reduce the minimum annual increases as summarised below:

2007/2008		2008/2009	2009/2010	2010/2011
2.7%	Education/Social Services Authorities	2.0%	1.75%	1.5%
3.6%	Police Authorities	2.5%	2.5%	2.5%
2.7%	Fire and Rescue Authorities	1.0%	0.5%	0.5%
2.7%	Shire Districts	1.0%	0.5%	0.5%

At a local level the amount the Council will lose through floor damping will increase in 2008/09, before reducing in the following two years, as follows:

- 2007/08 £1.535m
- 2008/09 £3.229m
- 2009/10 £2.2729m
- 2010/11 £2.328m

However, the floor damping adjustment is just one part of the overall grant allocation. When account is taken of the other factors the Council has received a better settlement than most authorities as summarised below. The table shows that over the next three years the Council will receive a cumulative increase in Formula grant of £68 per head of population, compared to £43 for the average unitary authority. This equates to a cumulative increase in grant on an ongoing basis of £2.3m from 2010/11.

	2007/08 Formula Grant per head population £	2008/09 Formula Grant per head population £	2008/09 Grant increase	2009/10 Grant increase	2010/11 Grant increase	Cumulative increase in Formula Grant per head population £
Darlington	348	369	6.0%	5.0%	3.3%	52
Hartlepool	491	521	6.0%	3.9%	3.4%	68
Middlesbrough	563	588	4.5%	2.9%	2.3%	56
Redcar	438	462	5.5%	3.6%	3.1%	56
Stockton	370	387	4.5%	3.2%	2.8%	40
Gateshead	532	542	2.0%	1.8%	1.5%	29
Newcastle	598	610	2.0%	1.8%	1.5%	32
North Tyneside	425	433	2.0%	1.8%	1.5%	23
South Tyneside	574	586	2.0%	1.8%	1.5%	31
Sunderland	530	546	2.9%	2.2%	1.9%	38
Average for Unitary Authorities	359	377	5.1%	3.4%	3.0%	43
Average for Metropolitan Authorities	477	497	4.1%	2.9%	2.5%	47
Average for London Authorities	799	818	2.4%	2.1%	2.0%	53

The formula grant includes additional resources of £26,000 for “new burdens” arising from changes in Government regulations covering food hygiene, stray dogs and the Local Government New

Conduct regime. It is suggested that these resources are passported to meet these additional commitments.

The formula grant is based on authorities paying an average interest rate of 5.5% on long term borrowing. Hartlepool's current average rate is 4.21%. Therefore, there is a grant benefit of £0.37m from having lower interest rates and this amount is effectively available to support front line services.

- Impact of Grant Mainstreaming.

The Government have confirmed their proposal to mainstream four grant streams. Specific allocations for 2008/2009 to 2010/2011 have not been provided by the Government. As these grants support existing services it is assumed, for planning purposes, that Members will wish to passport these allocations to individual services in 2008/2009. The continuation of this strategy can then be reviewed as part of the ongoing review of service priorities across the Council. For planning purposes the following commitments have been included in the MTFS.

2007/2008 Allocation £'000		Commitment included in MTFS		
		2008/2009 £'000	2009/2010 £'000	2010/2011 £'000
1,100	Access & Systems Capacity	1,134	1,162	1,192
201	Delayed Discharges	207	212	218
430	Children's Services (LEA)	443	455	465
93	Waste Performance & Efficiency	96	98	100
1,824		1,880	1,927	1,975

- Introduction of Area Based Grant

The Government have also confirmed their proposal to establish an Area Based Grant by combining 39 specific grants into a single grant. The allocations for 2008/2009 to 2010/2011 had not been issued when this report was prepared. It is hoped these details will be available for your meeting. As these grants support existing services it is assumed, for planning purposes, that Members will wish to passport these allocations to individual services in 2008/2009. The continuation of this strategy can then be reviewed as part of the ongoing review of service priorities across the Council.

- 6.10 Consultation on the provisional allocations ends on 08/01/08. The deadline for requesting a meeting with the Minister is 28/12/07. Members need to determine if they wish to meet with the Minister and also determine their response to the provisional settlement. It is suggested that the Council does respond and thanks the Minister for

implementing changes which allocate resources on the basis of a more robust assessment of need.

- 6.11 The formula grant funds 53% of the net budget and this element of the Council's overall resources is now known for the next three years. Therefore, further resources can only be provided from increases in Council Tax and the use of reserves.
- 6.12 The level of Council Tax increases needs to take account of the Government's comments that they expect "Council Tax increases to be significantly below 5%". This statement is not consistent with the forecasts included in CSR07, which anticipates a 5% increase in Council Tax revenues. However, despite these contradictory statements there is a risk that annual increases of 4.9% could be capped.
- 6.13 The level of reserves is also limited. For planning purposes it is assumed that Members will wish to confirm the previous phasing of reserves as follows:

	£'000
2008/2009 (includes £0.369m LABGI allocation £0.2m Stock Transfer reserve)	2,569
2009/2010	2,000
2010/2011	1,000
2011/2012	500
Total	6,069

6.14 **Service Planning**

- 6.15 There are a number of Authority wide issues which need to be addressed in the MTFS. Previous reports have begun to address these issues and this report updates these issues as detailed in the following paragraphs.

6.16 **Provision for Pay Awards and Inflation**

- 6.17 Previous forecasts have included an annual increase of 3% for pay awards and non pay inflation. It is suggested that these provisions be reduced by 0.5% to reflect the Government's commitment to limit annual public sector pay increases and the anticipation that inflation will reduce over the next three years, as detailed in the following table. The table also indicates the impact of these reductions on the MTFS.

	2008/2009	2009/2010	2010/2011
Revised Inflation Provision			
Pay	2.5%	2.5%	2.5%
Non Pay	3.0%	2.5%	2.5%
Reduction in Budget Requirement	£'000	£'000	£'000
Pay	200	200	200
Non Pay	0	170	170
	200	370	370

6.18 Equal Pay

6.19 The issues in relation to Equal Pay are detailed in a separate report elsewhere on the Agenda.

6.20 The previous budget report included an increased ongoing commitment for implementing a new pay and grading system. The report also identified up to £4m from the Balance Sheet for one-off protection costs. For planning purposes these forecasts are still relevant and are summarised below:

	Ongoing Costs			Protection Costs £'000
	2008/2009 £'000	2009/2010 £'000	2010/2011 £'000	
As reported 15th October, 2007	3,670	4,450	4,730	4,000

6.21 The decision Members make in relation to Equal Pay may affect these forecasts and therefore the planning assumption included in the MTFs. On the basis of the work completed to date it is anticipated that the above forecasts will not increase.

6.22 Efficiency Targets

6.23 The Government have confirmed annual cashable efficiency targets of 3% per year for the next three years. Detailed proposals for achieving the 2008/2009 targets are detailed in Appendix H. This includes a corporate target of £0.2m, which will be needed to achieve the overall 3% target.

6.24 The achievement of further efficiencies will become increasingly difficult. As departmental budgets account for 86% of the net budget and the majority of these efficiencies will need to be achieved from these areas. Therefore, it is suggested that for 2009/2010 and 2010/2011 each department should develop a strategy for achieving annual efficiencies of 3%.

6.25 It is also suggested that the Corporate Management Team investigate the opportunities for corporate cross cutting efficiencies.

6.26 Review of Corporate Budget Provisions

6.27 The initial planning assumptions included in the previous MTFS have been reviewed and a number of changes are proposed. These changes reduce the budget gaps by the following amounts:

	Increase/(Decrease) in Budget Requirement		
	2008/2009 £'000	2009/2010 £'000	2010/2011 £'000
Corporate Efficiency Target	(200)	(206)	(212)
Increase in Turnover Target	(100)	(100)	(100)
Reduction in Designated Authority Costs	(100)	(103)	(105)
Reduction in Pension Costs	(64)	(66)	(67)
	(464)	(475)	(484)

6.28 In addition, the MTFS includes a provision of £0.3m to support Prudential Borrowing towards the development of the H2O Centre. This provision will not be needed in 2008/2009. Therefore, this amount can be taken as a temporary saving.

6.29 Service Delivery

6.30 As indicated earlier in the report a number of ongoing issues are beginning in the current year and these issues have to be reflected in the MTFS. In addition, the service planning process has identified a number of new issues which have been reflected in the MTFS.

6.31 A number of issues have changed since the initial figures were reported as follows:

	Increase/(Decrease) in Budget Requirement		
	2008/2009 £'000	2009/2010 £'000	2010/2011 £'000
Contingency			
Reduction in Shopping Centre Shortfall Provision	(211)	(211)	(211)
Increase in Contingency for Children and Families placements	250	250	250
Terminating Grants			
Continuation of Family Intervention grant	(100)	(103)	(105)
	(61)	(64)	(66)

6.32 The revised requirements are detailed on a departmental basis in Appendices D to G, as follows:

- Pressures – Appendix D
- Contingency – Appendix E
- Terminating Grants – Appendix F
- Priorities – Appendix G

7. SUMMARY BUDGET POSITION

7.1 The previous forecasts anticipated a significant deficit for 2008/09 and reducing deficits in future years, based on annual Council Tax increases of 4.9% and the assumption that each year's deficit would be bridged from sustainable reductions. The forecasts for 2009/10 and 2010/11 did not make any provision for additional demographic/grant pressures, or for future efficiency savings. As the Formula Grant increases are better than forecast it is suggested that for planning purposes these issue should be reflected in the MTFS as follows:

- Annual Budget provisions of £1.5m for 2009/10 and 2010/11 have been included for demographic/grant pressures. This will set a cap for these pressures and any additional requirements will need to be funded from savings;
- Efficiency targets of £2.46m for 2009/10 and £2.52m for 2010/11 have been included in line with Government targets.

7.2 After reflecting the above issues and assuming a 4.9% Council Tax increase the overall budget position for 2008/2009 is as follows:

	2008/2009 £'000
Deficit reported 16th October, 2007	5,880
Permanent Issues	
Decrease/(Increase) in Formula Grant	(3,696)
Mainstreamed Grants	1,880
New Burdens funded from Formula Grant	26
Reduction in Provision for Pay Awards and Inflation	(200)
Review Corporate Budget Provision	(464)
Reduction in Contingency and Terminating Grant	(61)
2008/2009 Efficiencies	(2,105)
Temporary Issues	
Reduction H20 Loan Repayment Provision	(300)
Revised Deficit	960

7.3 Details of the proposed 2008/09 will be circulated before your meeting.

7.4 The revised gap will need to be bridged by reducing the value of pressures etc. which are supported, or by making additional cuts, or by using part of the uncommitted March 2007 Discount of £1.3m.

7.5 The strategy for balancing the 2008/09 budget cannot be developed in isolation and needs to take account of the following issues:

- Ongoing sustainability from 2008/09 onwards;

- The requirement to determine indicative Council Tax increases for 2009/10 and 2010/11;
- Potential Council Tax capping – the Government have not issued detailed capping criteria, so it is difficult to provide guidance on this issue. However, as indicated early in the report the Government expect “Council Tax increases to be significantly below 5%”. It is unclear what this will mean. The Government may determine to have different capping criteria for different types of authority to reflect the different grant increases they have provided, i.e. lower capping limits for authorities with higher grant increases.

7.6 The level of Council Tax increases for the next three years are therefore a critical component in developing a sustainable budget strategy. The previous forecasts anticipated annual increases of 4.9%. If Members wish to continue with these increases and to fund all pressures etc. the budget positions for the next three years are as follows:

- 2008/09 £0.960m deficit
- 2009/10 £1.059m deficit
- 2010/11 £0.164m.

7.7 The deficit in 2008/09 could be funded by using part of the uncommitted March 2007 Discount, pending the identification of permanent reductions from 1st April 2008. This strategy would leave £0.340m of the March 2007 Discount uncommitted. It would be prudent to earmark this amount to address any temporary shortfall in the achievement of efficiencies in 2008/09 as a number of these items will be difficult to achieve from 1st April.

7.8 As there was not much time between the announcement of next year’s grant settlement and the date for issuing this report further details on the impact of the settlement on the MTFs will be provided before your meeting.

8. ROBUSTNESS OF BUDGET FORECAST AND RISK ASSESSMENT

8.1 As indicated in previous years the Local Government Act 2003 introduced a statutory requirement on an Authority’s Chief Financial Officer (CFO) to advise Members on the robustness of the budget forecasts and the proposed level of reserves. If Members ignore this advice the Act requires the Authority to record this position. This later provision is designed to recognise the statutory responsibilities of the CFO and in practise is a situation that I would not expect to arise for this Authority.

8.2 At this stage it is not possible to confirm my final advice as Members need to make a number of key decisions, as set out elsewhere in this report, which could affect this advice. Although I would advise

Members that the work undertaken to date in relation to the detailed budget preparation indicates that there are unlikely to be any areas of concern to bring to Members attention.

8.3 My final advice will depend on the decisions reached on the implementation of Job Evaluation and an assessment of the potential redundancy/early retirement costs from implementing efficiencies initiatives.

8.4 It is appropriate to remind Members that significant temporary resources will be used to support the revenue budget over the next three years. These reductions are reflected in the MTFs and the increases grant allocation should mean this position is now manageable. Details of this temporary support are summarised below:

	2008/2009 £'000	2009/2010 £'000	2010/2011 £'000
Budget Support Fund	2,200	2,000	1,000
2006/2007 LABGI Income	369	0	0
2008/09 Forecast Investment Income	740	0	0
H20 Repayment Provision	300	0	0
	3,609	2,000	1,000

9. ONE-OFF ISSUES

9.1 As previously reported the Council has received £1.2m from the LABGI scheme in the current year. Members have previously determined to allocate this amount for one-off costs. It is suggested that this amount be allocated as follows:

- Building Schools for the Future £489,000
(£413,000 for 2008/2009 and £76,000 for 2009/2010)
- Termination Costs £787,000

9.2 Cabinet has also previously determined a strategy for using the LPSA2 Reward Grant. This strategy allocates the capital element of the grant for corporate initiatives and the revenue element to the department which has achieved the reward grant. Half of the reward grant will be paid as a capital grant and half as a revenue grant. The total reward grant for achieving all targets is £3.065m and will be paid in 2009/2010 and 2010/2011.

9.3 As we are only part way through the LPSA Agreement it is difficult to estimate the actual reward grant. For planning purposes it is assumed that a total reward grant of 60% should be achievable. This equates to £1.839m. On this basis the capital element will be approximately £0.9m, which will be payable in two equal instalments from 2009/2010. It is suggested that whole of the 2009/2010 capital instalment (£0.45m) is allocated for the remaining unfunded BSF

costs. A strategy for using the remaining amount should be developed as part of the next year's budget process.

9.4 A number of relatively low value one-off issues have been identified during the budget process and funding has not been allocated for these issues, as follows:

- Maintenance of Havelock £25,000
- OT waiting list £25,000
- Children and Families relocating staff £40,000
- Memorial Testing £42,000
- Property database £22,000.

9.5 It is suggested these items could be funded from the 2008/09 outturn, if the final position is better than currently forecast. If this is not possible it is suggested that these items be funded by reprioritising the use of departmental reserves.

10. FEEDBACK FROM SCRUTINY CO-ORDINATING COMMITTEE

10.1 Scrutiny Co-ordinating Committee have considered the initial budget proposals referred by Cabinet and there is a separate report on your agenda detailing their comments.

10.2 The minutes of the consultation meetings with the Trade Unions and Business Sector are attached at Appendix I.

11. BUDGET TIMETABLE

11.1 As a result of the late announcement of the 2008/2009 grant allocation Cabinet has had much less time than in previous years to assess the impact of the actual settlement and to amend its strategy accordingly.

11.2 The Council now needs to make up this lost time if it is to set a budget within the statutory deadline and more importantly set a budget in sufficient time to enable the first Council Tax instalments to be collected on 1st April, 2008. To achieve this objective Members are requested to approve the following budget timetable:

Proposed Budget Timetable

Commencement of Budget Scrutiny	21/12/07
Completion of Budget Scrutiny (Arrangements have been made with the Chairman of the Scrutiny Co-ordinating Committee to achieve this objective).	18/01/08

Cabinet Finalise Budget and Hartlepool Council Tax Proposals	04/02/08
Council considers Cabinet Budget and Council Tax Proposals	14/02/08
Council approves overall Council Tax Level (including Fire and Police precepts)	21/02/08
Council Tax Bills produced (including determination of current benefit entitlements)	23/02/08
Completion of packaging and distribution of Council Tax Bills (achievement of this deadline enables first instalment to be collected on 1 st April, 2008).	25/02/08

12. CONCLUSION

12.1 In order to finalise the budget proposals to be referred for formal scrutiny. Cabinet needs to determine its proposals in relation to the following issues:

12.2 **Outturn Strategy**

12.3 It is suggested that Cabinet refer the proposed outturn strategy detailed in paragraph 3.1 and Appendix A for formal scrutiny.

12.4 **Capital**

12.5 Cabinet is asked to:

- Reaffirm their commitment to passport Government capital allocations;
- Reaffirm their commitment to use unsupported borrowing to finance the initiatives identified in paragraph 5.6;
- Approve the proposals identified by SCRAPT detailed in Appendix C;
- Approve the strategy for allocating additional capital receipts detailed in paragraph 5.10.

12.6 **Revenue**

12.7 The Formula Grant increase is better than previously forecast and provides the Council will grant increases for the next three years which are significantly better than the average national increase. This position reflects the implementation of a number of changes by the Government to the grant formula and the use of more accurate population figures. The additional resources will enable the Council to develop a robust MTFS.

- 12.8 At the time of preparing the report the Government had not provided details of specific grant allocations or the new Area Based Grant. Therefore, until these details are known there is still a risk that current funding levels may not be maintained. Cabinet would then need to determine a strategy for dealing with this issue.
- 12.9 Cabinet is asked to determine the detailed proposal to be referred for formal Scrutiny as follows:
- Determine if they wish to passport the mainstreamed grant allocations identified in paragraph 6.9 in 2008/09, pending a review of the continuation of this strategy beyond 2008/09;
 - Determine if they wish to passport the additional resources of £26,000 included in the Formula Grant for “new burdens” identified in paragraph 6.9;
 - Determine the value of pressures etc. that they wish to implement in 2008/09 as detailed in the following Appendices:
 - Appendix D – Pressures
 - Appendix E – Contingency
 - Appendix F – Terminating Grant
 - Appendix G - Priorities
 - Determine if they wish to increase the Salary Turnover target by £0.1m from 2008/09;
 - Determine if they wish to implement the specific efficiencies identified in Appendix H (which will be circulated separately before your meeting);
 - Determine if they wish to set a 2008/09 Corporate Efficiency Target of £0.2m to enable the overall 3% target to be achieved;
 - Determine the proposed 2008/09 Council Tax increase and indicative increases for 2009/10 and 2010/11;
 - Determine if they wish to make annual provisions in 2009/10 and 2010/11 of £1.5m for demographic/grant pressures;
 - To note that the MTFS forecast now includes annual efficiency targets of £2.46m for 2009/10 and £2.52m for 2010/11;
 - Determine if they wish to allocate the £1.2m 2007/08 LABGI grant for BSF costs (£0.489m) and Termination costs (£0.787m);
 - Determine if they wish to earmark £0.45m from the anticipated LPSA2 Capital Reward Grant for the remaining unfunded BSF costs and to develop a strategy for using the remaining amount as part of next years budget strategy.
 - Determine if they wish to seek a meeting with Ministers to make representations on the provisional settlement, or whether they wish the CFO to make written representations in consultation with the Mayor and the Finance Portfolio holder.

**SUMMARY OF FORECAST REVENUE OUTTURN 2007/2008 (REPORTED
15TH DECEMBER, 2007**

	Forecast Adverse/ (Favourable) Variance £'000
<u>Departmental Budgets</u>	
Adult & Community Services	629
Children's Services	445
Chief Executives Department	46
Neighbourhood Services	262 to 502
Regeneration & Planning	<u>125</u>
Total Departmental Budgets	1,507 to 1,747

Detailed comments on the above variances are provided in paragraphs 5 to 11, including comments on the range of potential overspends for Neighbourhood Services.

Centralised Estimates (1,100)

As indicated in the Budget Strategy Report on 15th October, 2007, this budget is forecast to underspend owing to interest rate savings from using LOBOs and higher investment income on reserves and cashflow. As reserves are committed to supporting one-off commitments and future years budgets these trends will not continue, although they do provide a one-off benefit in the current year. It was previously anticipated that this amount would be allocated to offset overspends on the Children's Services and Neighbourhood Services budgets and to establish an Interest Risk Reserve.

It is expected that interest will continue to be earned on reserves in 2008/2009 and a temporary benefit of £0.74m is reflected in the 2008/2009 budget forecasts.

Corporate Budgets

Strategic Contingency (150)

This budget cover a number of ongoing corporate commitments including the costs of implementing Job Evaluation and the repayment costs of Prudential Borrowing. As indicated in the Budget Strategy Report on 15th October, 2007, it is anticipated that the costs of implementing Job Evaluation will be £0.3m higher than

previously anticipated. There will be a temporary saving on Prudential Borrowing costs of £0.45m owing to capital payments, mainly relating to the Civic Centre Scheme, being made later than expected.

Provision for Increased Energy Costs

(300)

Since the 2007/2008 budget was set there have been significant reductions in energy costs. Therefore, the full provision included in the 2007/2008 budget is not expected to be needed. However, energy markets continue to be subject to significant volatility. This is particularly the case in relation to the electricity market, which has been affected by the recent closure of Nuclear Power Stations, including the Hartlepool station, owing to technical problems. These issues may increase electricity costs over the winter months.

Employers Pension Contributions Holding Account

(110)

A detailed review of the Employers Pension Contributions Holding Account was completed before the 2007/2008 budget was set. This review indicated that the income paid into the account exceeds the payments to be made to the Pension Fund. This position reflects variances between the actual number of employees/the value of the total pay bill and the assumptions made by the Actuary in March, 2005, when determining the Authority's Pension contributions for the period 2005/2006 to 2007/2008. A saving of £280,000 was therefore included in the 2007/2008 budget.

This position has now been reviewed to reflect the first six months activity. It is anticipated that a further saving can be taken in the current year. It is not clear if this trend will continue in future years owing to the impact of Job Evaluation and the current Pension Fund valuation. This position will therefore need to be reviewed when these issues have been finalised.

Designated Authority Costs

(55)

Details of the estimated Designated Authority costs for 2007/2008, which covers shared ongoing costs from the 1996 Local Government Reorganisation, have recently been provided by Middlesbrough Borough Council (which acts as Designated Authority). These figures indicate that the Council's share of these costs will be approximately £55,000 less than expected.

Planning Delivery Grant

(104)

As this grant is continuing in the current year a temporary saving can be taken. The position in relation to future years will need to be reviewed when the Government provides details of the 2008/2009 grant allocation.

Efficiency Savings

405

As reported in the summer a number of efficiency savings are taking longer to achieve than previously anticipated. It is expected that the planned efficiencies will be achieved, although there will be a temporary shortfall in the current year.

Total Corporate Budgets

(314)

Net Forecast Overspend

93 to 333

SUMMARY OF KEY ONGOING 2007/2008 SERVICE ISSUES

	2007/2008 Forecast Outcome Adverse/ (Favourable) £'000	2008/2009 Budget Pressure/ (Saving) £'000	
Adult and Community Services			
- Learning Disability Increase demand owing to demographic trends and increasing need for services. In 2007/2008 there will also be a one-off cost of £110,000 from a delay in achieving transport savings from the ITU.	363	255	Pressure
- Mental Health Increase demand for residential placements.	200	100	Pressure
- Support Services A large number of departmental PCs were obtained on an annual rate, this has now expired. Also pressure on other IT budgets.	120	50	Pressure
Children's Services			
- Children and Families Support Cost of increased number of Looked After Children and associated care, including preventative work and Special Guardianship orders.	789	607	Pressure
Neighbourhood Services			
- Environment The current years variance is owing to the temporary closure of the incinerator. This is not expected to reoccur in 2008/2009. However, additional pressures will arise in 2008/2009 from increased landfill tax, increases in recycling costs and costs arising from the introduction of a new refuse round.	128	359	Pressure
- Car Parking Income The current years variance is partly owing to the delayed implementation of increased charges and some user resistance to the price increase. It is anticipated that these issues will begin to unwind and have a reduced impact in 2008/2009. Therefore, a reduced provision has been included in the contingency for this 2008/2009.	150 to 274	131	Contingency
Regeneration and Planning			
- Town Wide CCTV Repairs and maintenance of CCTV, some of which are now more than ten years old.	34	30	Pressure
Permanent Corporate Savings			
- Bonus Savings In 2007/2008 this saving has been allocated to partly repay General Fund balances which were used to fund the bonus buy-out in March, 2007. The ongoing budget provision can be taken as a saving in 2008/2009.	(800)	(800)	
- Interest Savings This saving has been achieved from the use of LOBO Loans (Lenders Option, Buyers Options), which have lower rates than traditional Public Works Loan Board (PWLB) loans.	(200)	(200)	
Temporary Corporate Savings			
- Centralised Estimates The variance reported in the Quarter 2 Monitoring Report of £1.1m included the LOBO savings detailed above. Therefore, the net saving on centralised estimates in 2007/2008 is estimated to be £0.9m. This amount reflects higher investment income on reserves and balances. It is expected that interest will continue to be earned in 2008/2009. However, this will be at a lower level as the Council is committed to using reserves and interest rates are expected to reduce.	(900)	(740)	

SCHEDULE OF PROPOSED SCHEMES TO BE FUNDED FROM CORPORATE
UNSUPPORTED PRUDENTIAL BORROWING ALLOCATIONS

Project	2008/09 Allocation £'000	2009/10 Allocation £'000	
<u>Schemes approved as part of 2007/08 Budget Process</u>			
Multi-storey Car	362		(a)
<u>Schemes to be approved as part of 2008/09 Budget Process</u>			
Highways Maintenance Schemes	40	40	(b)
Coast Protection	100	100	(b)
Lynne Street Heating	60		
Adult and Community Services DDA	50		
Recycling Centre Fencing	55		
Disabled Facilities Grants	105		
Civic Centre Ramp Access	29	151	(b)
Civic Centre Access System	72		
Municipal Building Access System	9		
Civic Centre Disabled Toilets	78		
Brougham Enterprise Centre Toilet and shower facilities	40		
Economic Development - Industrial and Commercial	100	100	(b)
Regeneration Match Funding	100	100	(b)
	1200	491	

Notes

a) The scheme is funded over two financial years (2007/08 and 2008/09). Therefore, this allocation was approved as part of 2007/08 Budget proposals.

b) These schemes will be funded over two years commencing 2008/09 and commit £0.49m of the 2009/10 allocations.

ADULT & COMMUNITY SERVICES DEPARTMENT – SCHEDULE OF BUDGET PRESSURES 2008/2009

Appendix D

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value Budget Pressure	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Classification (L/D/S/O)
				£'000	£'000		
Mental Health Agency Budget	Increasing number of residential placements in 2006/07 – 4 placements on average. Managed through vacancies previously however long term problems around recruitment have been addressed and vacancies are in the process of being filled.	R	The pressure would build as these vital posts are filled. May therefore be a part year effect	100		Statutory duty to meet assessed need. Budget pressures have been raised in previous financial monitoring. Pressure to meet increased demand for service.	H
Learning Disability Transitions Cases	Complex packages 2008/09 – School leavers. 3 Very complex individuals with autism and challenging behaviour @ £60K per package, a further 5 young people with learning disabilities requiring day opportunities @ £15K per individual	R	Failure on Statutory duty to meet assessed need.	255		Meets recognised demographic pressures on LD services	D
Learning Disability (previously SP funded)	Ineligible for continued SP funding therefore shortfall at Supported Living Scheme @ King Oswy Drive - must be maintained to meet demographic pressures	R	Statutory duty to continue to meet assessed need for care and support as now not eligible for SP funding. This has been a recommendation from SP inspection.	33		Continuation of supported accommodation for 8 learning disabled adults	D
Adult Education/Day Opportunities	Withdrawal of LSC funding for a course at Stockton Riverside College - approx 34 adults currently attend as alternative to day centre - cost to replace course	R	College course would cease and individuals would return to day services at an increased cost as additional staffing would be required to support the individuals.	37		Replacement of course	S

Appendix D

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value Budget Pressure	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Classification (L/D/S/O)
				£'000	£'000		
Older People's Day Care (Blakelock Re-provisioning)	Blakelock Day Services currently pay a 'peppercorn rent, as the building is no longer fit for purpose it is proposed that the service is transferred to rented space at Hartfields. The cost of the social care housing and accommodation at Hartfields has been offset by the contribution from HBC of £750,000 to the capital costs of the overall scheme.	R	Current building is unfit for purpose - Blakelock site would not be released	50		Development improves and increases the service provision for older people. Also releases capital for LA via release of Blakelock site.	S
IT costs	A large number of departmental PCs were obtained on an annual rate, but this has expired, also pressure on other IT budgets	R	Current overspendings would continue, or equipment would have to be removed.	50		Maintenance of current service standards	S
Childrens Playgrounds 11602	The funding for the playground inspector will end in 2007/2008 and the salary and running costs will need to be found. This post is vital to the maintenance and safety of children's play areas. This pressure is linked to £60K of efficiency savings around weekend maintenance of parks, lifebelt checks, paddling pool maintenance and staffing levels at Summerhill. Post 1 is a full time post (23.1K inc on costs) and Post 2 is a part time post (30hours - 19k inc on costs)	R	Health and Safety Issue - the playgrounds would deteriorate and be in many cases unusable - Linked to efficiency saving	42		Satisfaction with play areas is currently 57%. This is an improvement from recent years where the satisfaction level was as low as 29%. The loss of playground inspection service would severely reduce the level of satisfaction and increase the risk of injury to children playing. The proposed service would increase from 5 to 7 days inspections and improved effectiveness of maintenance of play areas.	S

Appendix D

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value Budget Pressure	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Classification (L/D/S/O)
				£'000	£'000		
Headland Sports Hall Rates	Rating estimates now received indicate a shortfall in available budget	R	Fixed costs, so would overspend	10		Rates for new building	S
Telecare - Personal Care response	To continue to provide the service and meet additional demand from vulnerable adults. Necessary to meet government directives and support the up and coming Extra Care models. The service requires a physical response from registered provider of personal care	R	Risk to vulnerable adults of inadequate response to emergency care situation	50		In excess of 100 people are already receiving Telecare services and help to keep people out of residential care. This service works to support prevention and respond to crisis situations. Telecare is an integral part of the way future service provision will operate across the country. Funding of staffing over a 24/7 Rota.	D
			TOTAL RED PRESSURES	627			
			TOTAL ALL PRESSURES	627			

Appendix D

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value Budget Pressure	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Classification (L/D/S/O)
				£'000	£'000		

CHIEF EXECUTIVES DEPARTMENT – SCHEDULE OF BUDGET PRESSURES 2008/2009

Registrars	Based on underachievement of current income budget largely because of falls in marriage income and repeat birth certificates. The latter is because changes to passport regulations requiring a full certificate introduced 2 years ago have now worked through and income levels have dropped but hopefully will stabilise. There is also a fall in first time birth registration and requests for subsequent certificates which will result when the maternity hospital is expected to lose 80+% of its business to Stockton. This change will start to impact from November 2007. Increases to income above inflation and a limited number of new income streams have been factored in to give net pressure figures	R	The budget is a balance of income and expenditure. Core provision of statutory service will be affected by reduced income	17	0	Allows the maintenance of service levels. Registrars function is determined by Registrar General but hosted by Council. Further significant changes are due in the next 12 to 18 months which will need to be considered in the next budget round.	L
HR	Increased costs of JE appeals/running system	R		50			S
			TOTAL RED PRESSURES	67	0		

Appendix D

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value Budget Pressure	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Classification (L/D/S/O)
				£'000	£'000		

CHILDREN'S SERVICES DEPARTMENT – SCHEDULE OF BUDGET PRESSURES 2008/2009

Children and Families - placements	Costs of increased numbers of Looked After Children. (NB This funding is required for the additional net costs of children currently in care following the increase experienced at the start of the year. Due to the volatility of LAC numbers it may be prudent to provide contingency funding for further possible increases).	R	Since the 2007/08 budget was set the department has experienced an unprecedented increase in the number of children coming into care. Based on current projections of costs, which are expected to continue throughout 2008/09, additional funding will be required to cover the additional costs of these known children. The Departments placement strategy has effectively reduced reliance on external provision but additional costs are envisaged as follows; . a) In house fostering allowances - £100,000 b) External foster placement costs - £307,000 c) External residential placements - £100,000	507	0		D
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Appendix D

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value Budget Pressure	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Classification (L/D/S/O)
				£'000	£'000		
Children and Families - Preventative Care	Many new cases of children coming into care are linked to drug issues within families. This priority would provide one social work post in an intensive support team to be joint funded to work with high risk families in line with the "Hidden Harm" initiative.	R	Although costly this initiative is aimed at preventing children coming into care and therefore avoiding high costs in future years.	40	0	This initiative is aimed at preventing children coming into care	D
Children and Families - placements	Shortfall in Special Guardianship Order Savings (2007/08 budget)	R	Savings envisaged from the reduction in payments to independent agencies arising from long term matched foster carers obtaining SGO's have yet to fully materialise. A significant shortfall of £140,000 is projected in the current year. A number of cases are however being progressed although this involves a lengthy legal process which will delay the savings materialising. In addition the full year savings potential appears to have been overstated and for 2008/09 a £60,000 shortfall is anticipated.	60	0		L

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Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value Budget Pressure	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Classification (L/D/S/O)
				£'000	£'000		
Children's and Families - Placement Team staffing	Additional Social Care staffing in the Placement Team to maximise the capacity and effectiveness of in house fostering provision. Additional staffing required (Manager, 2 x Social Workers plus 0.5 admin) at an annual cost of £133,000. There would also be one off costs of £40,000 to relocate the Leaving Care Team at the Connexions office to accommodate extra staff.	R	To avoid continued reliance on the independent sector a further 30 foster carers are required but there is no capacity to recruit, train or support any further carers without additional resources as the Social Care Placement Team has reached capacity. In addition there are limits on the number of children that can be placed with individual carers without detrimentally affecting placement stability. If staffing levels are not increased recruitment will not be possible resulting in heavy reliance on the independent sector as experienced following the recent increase in the number of Looked After Children. The departments placement strategy is currently being reviewed and depending on options emerging from that review, capacity might be forthcoming in a different way e.g. via a partnership agreement but in either case additional funding will be required.	133		The National Fostering Network recommend a maximum Foster Carer to Social Worker ratio of 15:1. The local Independent Sector ratio is 12:1 whilst the Children's Services department ratio is currently 20:1 having historically been approx 18:1. Additional funding would allow 30 extra foster carers to be recruited bringing the total up to 120. This will generate capacity to improve placement stability for existing children in care and provide new short term placements in house which is substantially cheaper than external provision.	D
			TOTAL RED PRESSURES	740			
			TOTAL ALL PRESSURES	740	0		

Appendix D

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value Budget Pressure	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Classification (L/D/S/O)
				£'000	£'000		

NEIGHBOURHOOD SERVICES – SCHEDULE OF BUDGET PRESSURES 2008/2009

	Legislative requirement of the Energy Performance of Buildings (Certificates and inspections) Regs 2007. Requirements are survey, data collection, certification, reporting, training, software. Gas Inspections (post previously frozen) – need to comply fully with Gas Safety Regulations. Regular inspection regime, production of risk assessments, log books and monitoring of contractors. Legionella management – New national guidance as a result of the outcome of the Barrow.	R	Non compliance with legislation, potential prosecution and damage to reputation, health and safety risks to staff and public.	65		As part of new legionella policy, training awareness to be introduced together with design checks and contractor management to meet new Health & Safety guidelines. Introduction of regular inspection and monitoring of contractors who work on heating systems. All public buildings and schools will have an energy survey and report and an energy efficiency certificate. This will be used to target energy efficiency measures and reduce our Carbon Footprint as part of the Climate Change Action Plan. Performance will be measured by completion of tasks such as inspections, certification and contractor compliance.	L
	Service charge on shopping centre car parks.	R	This is part of the leasing arrangements so this cost must be paid to the shopping centre.	47		There would be no service improvement as this is a contractual payment.	S

Appendix D

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value Budget Pressure	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Classification (L/D/S/O)
				£'000	£'000		
	Waste management/ refuse collection - introduction of a new refuse round by developing the shuttle service into a standard collection round to service the expansion of the town and new housing developments. Refuse and recycling rounds are servicing 6,800 premises per round, national average is 6,000, anticipated growth is between 375 to 500 dwellings per annum over the next 4 years.	R	To be reviewed.	60			D
	Waste management/ recycling collections - we have recently retendered the dry recyclable kerbside collection service, tenders have returned £200,000 over and above existing revenue budgets.	R	Recycling target will not be met. Additional landfill costs. Two thirds of town on alternate collectins, one third not.	80		Recycling targets met. Increase in L.A.T.'s.	S
	Waste management/increased recycling - When AWC was approved by cabinet 12.4.06 there was a funding gap for 2007/8 of £140,827, where it was agreed this would be funded by £93k WPEG and selling of LATS to the value of £50K, unfortunately the LATS market is stagnant.	R	The service will overspend by £55K.	55			L
	Waste disposal - increase in landfill tax by £8.00/te.	R	Legislative rise, therefore unavoidable	164			L

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				£'000	£'000		
	Coast Protection.	R	Continued deterioration of coast protection structures leading to a breach and loss of land behind the structures	250		Improvement in the coast protection assets and decreasing risk of major breaches	O
			TOTAL RED RISKS	721			
	Street cleansing - adoption of new developments such as Drakes Park, Relton Way, Bakers Mead, Hart Lane, Elwick Rise, Seaton.	A	Reduction in cleansing standards throughout the borough due to increased work load on existing services, resulting in a detrimental effect on BV119 indicator.	45		Increased cleansing provision would maintain current standards and assist in achieving BV119 targets.	D
	Building cleaning - the addition of council admin buildings on the service continues to place a strain on existing resources, whilst some monies were received last year this was insufficient. A breakdown per building is available. If this cannot be sourced, resources will have to be cut and redundancies made.	A	Reduction in basic standards and frequencies of cleaning will lead to a fundamental erosion of the service. This will impact on hygiene standards and will necessitate a reduction in staffing levels leading to potential redundancies.	26		Standards and levels of hygiene maintained at acceptable levels. Averts the need for staff redundancies.	O
	Grounds maintenance / grass cutting - the grass cutting season has lengthened over the years due to the changes in weather conditions, we have seen an increase from 15 cuts to 20 cuts per annum.	A	No increase in grass mowing frequency will result in customer dissatisfaction with the service as a result of long grass, increased grass on paths, unkempt appearance of town and increase in machinery failure and repairs due to machinery not being able to cope with increased work load of mowing long grass.	56		Will be able to maintain the standard of grass cutting as required and expected by our service users and visitors.	O

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				£'000	£'000		
	Grounds maintenance/commuted sums - commuted sum monies finished 2006/7, no increase in revenue budgets creating a pressure on existing budgets. Middle Warren £48k and Relton Way £10k.	A	Decrease in standard of maintenance carried out throughout the town due to increased work load on existing resources.	56		Will enable new developments to be maintained to an acceptable standard without deflecting resources from existing provision.	O
	Operating budgets for admin buildings:- Windsor Offices - rent to be paid to shopping centre.	A	Reduction of maintenance to public buildings to fund the unavoidable pressure	15		Ensure all fees in respect of Windsor Offices are budgeted and ensure maintenance funds are used for that specific purpose.	S
			TOTAL AMBER RISKS	198			
			TOTAL ALL RISKS	919	0		

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Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value Budget Pressure	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Classification (L/D/S/O)
				£'000	£'000		

REGENERATION AND PLANNING DEPARTMENT – SCHEDULE OF BUDGET PRESSURES 2008/2009

Development of Housing Options Centre Services	The development of a Housing Options centre with Housing Hartlepool is a necessary step to maintaining housing services and will be necessary to meet expectations for customer focussed and accessible services and to help meet the government's target for the introduction choice based lettings by 2010. This will be the case whether the Council decides to join the Tees Valley Sub Regional Choice Based Lettings (CBL) Scheme or not. Existing services within the Housing Advice Team and Housing Hartlepool's lettings team will need to be reconfigured to provide an Housing Options approach from a highly visible central location. This will enable a more customer focussed statutory service, providing choice, meeting service standards and supporting those in need	R	Without support the statutory housing service could not change effectively to meet government and service expectations. CBL and the involvement or not of Hartlepool in the Tees Valley CBL scheme is currently under consideration and a decision is expected towards the end of the year. The estimate of cost of the Council's contribution is tentative at this stage.	75	0	The development of a Housing Options Centre will facilitate the Council's work in statutory homelessness prevention and advice, will enable the Council as Housing Authority to maintain and continue to improve BVPI performance, meet service standards and is essential to providing an excellent service to the residents of Hartlepool. Funding this pressure will maintain statutory services and performance.	L
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Appendix D

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value Budget Pressure	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Classification (L/D/S/O)
				£'000	£'000		
Supported Housing Co-ordinator	The need for this service was identified within the Homelessness Strategy Review and by the Supporting People Service Strategy and the need for this was recognised in the Supporting People Inspection and a report to Cabinet. The role of this post is to coordinate the most effective use of the existing supported housing services within the town, help facilitate 'move on' and successful outcomes for residents, to monitor and evaluate referrals for support and to identify any gaps in service provision. The post is currently being funded by Housing Hartlepool until April 2008	R	Without replacement funding this post could no longer be provided. The risk impact would be that the existing resources for supported housing and floating support services were not effectively coordinated or made best use of. PI's for statutory services to the homeless and tenancy sustainment would be at risk and recent improvements in service delivery and outcomes for residents would be lost. Not providing the funding for this post to continue would also have a negative impact on our effective partnership working with Housing Hartlepool and future Supporting People & Housing Inspections.	35	0	Performance in associated PI's for statutory services to the homeless and tenancy sustainment should be maintained and enhanced, gaps would be identified in service provision to those in need of supported housing. It would help to ensure the achievement of good outcomes for service users.	S

Appendix D

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value Budget Pressure	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Classification (L/D/S/O)
				£'000	£'000		
Townwide CCTV	CCTV - to meet deficit on the maintenance, electricity and signal transmission costs of the existing CCTV camera system	R	<p>There are some 70 cameras sited across the town, which are managed and maintained by HBC. They have an age ranging from few months old to 10 years old or more in few cases. Some cameras have been refurbished during their lifetime. Electricity costs and BT line rental costs have increased significantly during past 2 years. Repairs and maintenance costs continue to rise, as the cameras age. Gross CCTV budget is £131,000, income generated £25,000, therefore net cost to HBC is currently £106,000, with £70,000 being monitoring charges, thus leaving £61,000 to cover all other costs. The estimated maintenance cost for 2007/08 and 2008/09 is £83,000 (ie £41,500 per annum). Signal transmission costs (BT line rental £43,500 per annum) and electricity costs(approx £8,000per annum) must be added to this cost. Estimated potential overspend £30,000 in 2007/08. Risk could only be managed by prioritising most strategically important cameras for repairs and maintenance, and not repairing others when they fail. Impact could be vital evidence is not available.</p>	30	0	<p>Additional funding will enable the existing townwide CCTV system to be maintained meeting a projected deficit recognising a review of the system which is underway which is likely to require reconfiguration investment. CCTV is popular and indeed residents continually ask for further cameras in their neighbourhoods and there is much evidence nationally that cameras deter criminal activity and on occasions, provide vital evidence for criminal prosecutions.</p>	S

Appendix D

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value Budget Pressure	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Classification (L/D/S/O)
				£'000	£'000		
			TOTAL RED PRESSURES	140	0		

TOTAL PRESSURES	2493
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ADULT & COMMUNITY SERVICES DEPARTMENT – SCHEDULE OF 2008/09 BUDGET PRESSURES TO BE TREATED AS CONTINGENCY ITEMS

Appendix E

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value of Budget Pressure in 2008/19	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Risk Probability (V/L/M/H)
				£'000	£'000		
Hartfields	Shortfall in funding to develop extra care village including domiciliary care/health and wellbeing services for 300+ people residing in the village. Revenue costs for domiciliary care in original bid insufficient to meet need identified.	R	The overspend would develop as the contracted service is established. Timing is not certain yet.	60		Future budgetary pressures as a result of an ageing population will be managed more efficiently by developing an active ageing model of care at Hartfields. Less reliance on residential care to meet assessed needs.	H
Self Directed Support	Parallel running costs across all adult social care areas may be required whilst modification of daycare, respite and domiciliary contracts is progressed to reflect new direction. We envisage this will be a 2 year transitional cost only.	R	This is a timing difference, but the change is already committed. Cost of the pressure in 2008/09 is an estimate	100		As a Total Transformation site the way in which social care is delivered will fundamentally change. There will be parallel costs as services currently provided/contracted for by the department are decommissioned. This pressure is likely to exist for 2 years and flexibility will be required in access this funding.	M

Appendix E

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value of Budget Pressure in 2008/19	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Risk Probability (VL/L/M/H)
				£'000	£'000		
Housing Hartlepool - Extra Care Developments @ Bamburgh Court/Bramley Court	Re-modeling sheltered housing schemes to deliver extra care. Will require additional funding to provide a small care team, after telecare overlays go in. Phased development over 2 - 3 years. Estimated pressure £50K yr 1, £50K yr 2 and £100K final year. Development of the scheme is subject to voids in current schemes and needs of particular individuals. Will be necessary to access funding flexibly over the three year implementation period.	A	Extra Care delivers better value than sheltered housing in dealing with demographic pressures.	50	100 rising to £200k in 10/11	Wider provision of more appropriate housing. Less use of residential care. Potential financial savings going forward in relation to home care and residential care as 'critical' mass of vulnerable people are accommodated together in flexible accommodation. Housing Needs survey has identified the lack of this type of housing for the older population in Hartlepool.	M
Adult Education Service	Possible staff redundancy costs for any tutors who may need to be made redundant in July 08.		Redundancy likely as LSC funding shifts and shrinks	20		Adult Education is fully grant funded, however, when employees gain employment rights the associated costs fall to the Local Authority.	
				230			

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				£'000	£'000		

CHIEF EXECUTIVES DEPARTMENT – SCHEDULE OF 2008/09 BUDGET PRESSURES TO BE TREATED AS CONTINGENCY ITEMS

AS400 Running Costs	AS400 running costs cannot be reduced as earlier as anticipated owing delay in replacement of existing payroll and R systems and cost of alternative arrangements. Other alternative are being pursued, but this we take time to implement.	R	Expenditure will exceed available budget as AS400 cannot be decommissioned until replacement system is implemented.	50		Maintain existing service level.	H
HR	Loss HR income	A		50		Maintain existing service level.	M
Procurement Function	Development of Procurement Function (note also the current funding arrangement for procurement needs to be confirmed)	R	Failure to produce the savings required in the Council's Efficiency Strategy	20	0	The addition of professional procurement resources will deliver key projects such as the spend analysis and subsequent contractual supplier engagement	M
				120			

Appendix E

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				£'000	£'000		

CHILDREN'S SERVICES DEPARTMENT – SCHEDULE OF 2008/09 BUDGET PRESSURES TO BE TREATED AS CONTINGENCY ITEMS

Children and Families - placements	A pressure of £0.507m has been identified for Looker After Children. This additional amount is included to address the volatility of LAC numbers.	R	Expenditure would exceed budget as the Council has duty to provide care.	250	0		H
Performance and Achievement	School Improvement Partners	A	School Improvement Partners (SIP's) were introduced into secondary schools in 2006 and primary schools in 2007 as part of the DCSF "New Relationships with Schools" requirement. Grant funding support (£37k) does not meet actual costs. School Improvement services are being reconfigured to accommodate changes in the longer term but pressure exists for 2008/09. Risk of not funding includes service disruption and reducing front line support to schools.	20	0		H
			TOTAL AMBER PRESSURES	270			

Appendix E

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value of Budget Pressure in 2008/19	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Risk Probability (VL/L/M/H)
				£'000	£'000		

NEIGHBOURHOOD SERVICES – SCHEDULE OF 2008/09 BUDGET PRESSURES TO BE TREATED AS CONTINGENCY ITEMS

	Increase in building energy costs (nominal value to highlight potential).	R	Risk is unavoidable and is red. Depends on scale of increase - to be dealt with at outturn.	150		Energy prices have been rising in the past although 2007/08 has seen some stabilisation. There is a need to fund any increases.	M
	Under recovery of parking fines and car parking charges.	R	If car parking income plus the set revenue budget did not match the cost of running the service, then there would have to be redundancies in the service.	131		Staffing for service provision would remain at current levels.	VL
	Concessionary fares (estimate).	R	This is a statutory obligation imposed on all Local Authorities by the Government.	46		There would be no service improvement as this is a contractual obligation.	H
	Waste management/ collection service - replacement blue box collection containers, Wheeled bins and Poly bags.	R	Ongoing pressure on current budgets. Will overspend.	50		Efficient service. Responsive to customer needs.	H

Appendix E

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value of Budget Pressure in 2008/19	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Risk Probability (VL/L/M/H)
				£'000	£'000		
Street Lighting	Increase in energy costs (maybe included corporately).	R		112			M
	Additional budet for work done on corporate property (e.g. accommodation strategy etc).	R	Key work on accommodation and disposal strategies and the future shape of the authority will not be completed. Under recovery of Technical Officer's salaries.	100		Staff undertaking corporate work will be resourced to eliver on key areas of the Council's development and efficiency strategy.	H
Customer Services (36741)	Additional work for EHO's re inspections under the ships sanitation regulations. All ships sanitation inspections must now be undertaken by qualified EHO's.	A	Request for ships sanitation inspections must be undertaken whilst ship is in port. Knock on effect on other workload e.g. food inspections. Service possibly called in for audit.	5		Compliance with statutory obligations.	VL

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Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value of Budget Pressure in 2008/19	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Risk Probability (VL/L/M/H)
				£'000	£'000		
Customer Services (36741)	Enforcement of home information packs will result in problems as additional work with no additional resources. Government have provided funding to authorities as from 2005/06 in grant settlement, but no additional funds have been allocated to the Trading Standards Service.	A	Not responding to complaints. No proactive work on encouraging sellers and agents to comply with statutory requirements. Avoidance of services being called for audit.	5		Compliance with obligations to enforce statutory requirements.	VL
	Removal of toxic waste.	R	This is a statutory function under the Highways Act so HBC must arrange for the removal of toxic waste.	15		There would be no service improvement as this is a contractual payment.	H
	Neighbourhood action/ collection of stray dogs - Provision of 24/7 facility for collecting stray dogs, once the Police relinquish their responsibility.	R	Under the CNEA 2005, the Council will have a statutory duty to provide this service once Ministers agree a date. Failure to provide this service is therefore not an option, but without additional funding other aspects of environmental enforcement will have to be rationalised to the detriment of the team and its users.	10		It is envisaged the service will involve stray dogs being collected from members of the public - a clear improvement on the present system where the public have to take them to the central police station. As an entirely new service, response times have yet to be determined, but these will form the basis of any future PI's.	L

Appendix E

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value of Budget Pressure in 2008/19	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Risk Probability (VL/L/M/H)
				£'000	£'000		
	School catering - The banning of certain items of food in both primary and secondary schools has seen an increase in food costs, since the restrictions have been implemented we have seen a rise of 16% in food costs, whereas previously it was 3%.	A	Without assistance it is likely that the service will not make it's rate of return, but will in fact finish the year end in deficit.	35		Will assist the service implement the Government's new standards and will ultimately improve the long term health of the children of Hartlepool. Should the service cease it is likely that the current health & obesity problems will escalate.	M
				659	0		

Appendix E

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				£'000	£'000		

REGENERATION AND PLANNING DEPARTMENT – SCHEDULE OF 2008/09 BUDGET PRESSURES TO BE TREATED AS CONTINGENCY ITEMS

LAA Delivery	The delivery of Hartlepool's Local Area Agreement has placed an unsustainable pressure on the Community Strategy division to deal with additional financial and performance reporting requirements. The team's work has significantly increased from managing 1 funding stream, NRF (aprox £5 million per annum) in 2005/06 to the 2007/08 LAA with 15 funding streams across the Council and its partners with a value of over £9m. This is now a highly complex programme to coordinate and a specification is being prepared of the financial monitoring requirements which will need to be addressed preferably through adjustment of the existing financial system and new accountancy instructions and input. The cost estimate is provisonal at this stage and therefore a contingency.	R	The risk in not recognising this pressure is that the LAA will not have appropriate financial controls in place and that the Council will not be able to adequately demonstrate spend and associated performance outcomes.	40	0	Recognising the pressure will ensure that LAA delivery is managed and that the appropriate finance and performance reporting mechanisms are in place. The performance of the LAA will be a key element of the Comprehensive Area Assessment and the retention of its current excellent rating.	H
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Appendix E

Budget Heading (including Cost Centre cost if possible)	Description of Budget Pressure	Risk - Red, Amber, Green	Risk Impact of not funding Pressure	Value of Budget Pressure in 2008/19	Value of additional Budget Pressure in 2009/10	Service improvement to be achieved by funding pressure (including details of current performance and target for 2008/2009 performance)	Risk Probability (VL/L/M/H)
				£'000	£'000		
Conservation Area Appraisal	Continuation and expansion of work in undertaking Conservation Area appraisals/assessments with independent advice to provide up-to- date basis for policy re planning applications, preservation and enhancement of conservation areas to meet expected standards and recognising controversy	R	Up-to-date date appraisals essential for a consistent and informed planning policy in a contentious area of activity. Danger that character, appearance and community support for conservation areas will suffer if appraisals are not undertaken and expected service standards will not be met.	20	0	Carrying out appraisals of conservation areas is a best value performance indicator (BVPI 219 a & b). Appraisals will assist in defining the character of Conservation Areas. This is required for consideration of planning applications within the conservation areas. The information gathered will be fed into the current conservation policy review.	M
				60	0		

Gross Total	1339
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Value of Contingency to cover above risks	1039
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ADULT & COMMUNITY SERVICES DEPARTMENT – SCHEDULE OF GRANT REGIMES TERMINATING DURING 2007/2008 - PROBABILITY 3

Appendix F

Grant Title	Does Council need to consider mainstreaming the grant? Please state Yes/No and provide brief justification.	Risk - Red, Amber, Green	Probability 1 = Likely to continue, 2 = may continue, 3 =	Risk Impact of not funding Pressure	Value of Grant terminating in 2007/2008 £'000	Value of resulting budget pressure in 2008/2009 £'000	Total number staff employed (permanent contract/ permanent owing to roll forward of contract/fixed term)	Provisional estimated cost of making staff redundant based on HBC employment £'000	Funding available to fund redundancy costs £'000	Service improvement to be achieved by funding grant (including details of current performance and target for 2007/2008 performance)
Physical Activities Officer (Active England)	Yes - essential post to encourage uptake of sport & physical activity				0	0				
Swim Development Coordinator (LPSA1 Reward Grant & CS Dept). Post ceases Jan 2009. Grant loss identified in 09/10 may be less if CS Dept continue to part fund on the same basis	Yes - Part funded by CS Dept - essential post particularly linking to H20 Development & delivery of swim strategy				0	0				
Football in the Community (NDC & Football Foundation). Ends August 2009 - full year grant £53k	Yes - Essential component of the development & delivery of football in Hartlepool. Linked to Grayfields development				0	0				
Community Sports Coach - Multiskills (Sport England via CSP). Ends April 2009	Yes - essential post to encourage uptake of sport & physical activity				0	0				
Community Sports Coach - Disability Sports (Sport England via CSP). Ends June 2009 - full year grant £2k	Yes - essential post to encourage uptake of sport & physical activity				0	0				

Grant Title	Does Council need to consider mainstreaming the grant? Please state Yes/No and provide brief justification.	Risk - Red, Amber, Green	Probability 1 = Likely to continue, 2 = may continue, 3 =	Risk Impact of not funding Pressure	Value of Grant terminating in 2007/2008 £'000	Value of resulting budget pressure in 2008/2009 £'000	Total number staff employed (permanent contract/ permanent owing to roll forward of contract/fixed term)	Provisional estimated cost of making staff redundant based on HBC employment £'000	Funding available to fund redundancy costs £'000	Service improvement to be achieved by funding grant (including details of current performance and target for 2007/2008 performance)
Walking the Way to Health (Countryside)	Yes - funds extensive walks programme sustained largely through volunteers. Important element of physical activity program for older people	R	3	Severe curtailment of walks programme	10	10				Maintain walks programme - KPI of attendances
Supported Employment	Yes, to maintain people in supported employment	R	3	To fund on going cost of transport and support beneficiary's currently supporting 13 individuals in employment.	20	20				Cost effective method of supporting social care needs in real jobs.
Home Library Service LPSA (Reward)	Yes	R	3	High. This is a high profile service to people with particular need. Service is statutory	10	10	3	12.5	0	Development of this service was key to recent substantial efficiency savings within vehicle library service. This is a service to an expanding area of population
				SUB-TOTAL - ADULT & COMMUNITY	40	40	3	12.5	0	

Grant Title	Does Council need to consider mainstreaming the grant? Please state Yes/No and provide brief justification.	Risk - Red, Amber, Green	Probability 1 = Likely to continue, 2 = may continue, 3 =	Risk Impact of not funding Pressure	Value of Grant terminating in 2007/2008 £'000	Value of resulting budget pressure in 2008/2009 £'000	Total number staff employed (permanent contract/permanent owing to roll forward of contract/fixed term)	Provisional estimated cost of making staff redundant based on HBC employment £'000	Funding available to fund redundancy costs £'000	Service improvement to be achieved by funding grant (including details of current performance and target for 2007/2008 performance)
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CHILDREN'S SERVICES DEPARTMENT – SCHEDULE OF GRANT REGIMES TERMINATING DURING 2007/2008 - PROBABILITY 3

Grants Terminating during 2007/2008										
Grant Title	Does Council need to consider mainstreaming the grant? Please state Yes/No and provide brief justification.	Risk - Red, Amber, Green	Probability 1 = Likely to continue, 2 = may continue, 3 = Unlikely grant may	Risk Impact of not funding Pressure	Value of Grant terminating in 2007/2008 £'000	Value of resulting budget pressure in 2008/2009 £'000	Total number staff employed (permanent contract/permanent owing to roll forward of contract/fixed term)	Provisional estimated cost of making staff redundant based on HBC employment £'000	Funding available to fund redundancy costs £'000	Service improvement to be achieved by funding grant (including details of current performance and target for 2007/2008 performance)
Human Resources & Developing Services (HRDS) Grant	Yes - to maintain services	R	3	Supports workforce planning for Social Care Workers in Local Authority and Independent Sector	40	40	0	0	NA	To recognise the shortfall in training and support for Social Care Staff and to
Parenting Commissioners Grant	Yes - This is a role that is now expected of the Council. Set up grants have been available in 06/07 and 07/08. No ongoing funding has been identified.	R	3	This role is part of the government's wider strategy. We have been able to integrate the role with other duties but a budget will be required to continue service development.	14	14	0	0.0	NA	This is an expanding area of work and commissioner's role is important to ensure co-ordination of various parenting initiatives to ensure efficient use of resources.

Grant Title	Does Council need to consider mainstreaming the grant? Please state Yes/No and provide brief justification.	Risk - Red, Amber, Green	Probability 1 = Likely to continue, 2 = may continue, 3 =	Risk Impact of not funding Pressure	Value of Grant terminating in 2007/2008 £'000	Value of resulting budget pressure in 2008/2009 £'000	Total number staff employed (permanent contract/permanent owing to roll forward of contract/fixed term)	Provisional estimated cost of making staff redundant based on HBC employment £'000	Funding available to fund redundancy costs £'000	Service improvement to be achieved by funding grant (including details of current performance and target for 2007/2008 performance)
				SUB-TOTAL - CHILDREN'S	54	54				

Grant Title	Does Council need to consider mainstreaming the grant? Please state Yes/No and provide brief justification.	Risk - Red, Amber, Green	Probability 1 = Likely to continue, 2 = may continue, 3 =	Risk Impact of not funding Pressure	Value of Grant terminating in 2007/2008 £'000	Value of resulting budget pressure in 2008/2009 £'000	Total number staff employed (permanent contract/ permanent owing to roll forward of contract/fixed term)	Provisional estimated cost of making staff redundant based on HBC employment £'000	Funding available to fund redundancy costs £'000	Service improvement to be achieved by funding grant (including details of current performance and target for 2007/2008 performance)
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NEIGHBOURHOOD SERVICES – SCHEDULE OF GRANT REGIMES TERMINATING DURING 2007/2008 - PROBABILITY 3

Grants Terminating during 2007/08										
Grant Title	Does Council need to consider mainstreaming the grant? Please state Yes/No and provide brief justification.	Risk - Red, Amber, Green	Probability 1 = Likely to continue, 2 = may	Risk Impact of not funding Pressure	Value of Grant terminating in 2007/2008 £'000	Value of resulting budget pressure in 2008/09 £'000	Total number staff employed (permanent contract/ permanent owing to roll forward of contract/fixed term)	Provisional estimated cost of making staff redundant based on HBC employment £'000	Funding available to fund redundancy costs £'000	Service improvement to be achieved by funding grant (including details of current performance and target for 2007/2008 performance)
NDC Environmental task force	Yes	R	3	The NDC have funded the ETF for 5 years now, employing 6 operatives together with vehicles and appropriate equipment focusing on residential areas within the NDC area. The loss of this team will have a substantial impact on the cleanliness of the town. NDC may provide £45k in 2008/09.	188	143	6	33.0	0.0	Has now run for 5 years focusing on residential area within the NDC area. This valuable asset has enabled the authority to improve on BV199, an area which has been picked up by CPA inspectors, ENCAMS and the Performance Management Portfolio holder as an area of concern.
Climate change Officer Post	Yes	R	3	The govt stated that 'Climate Change is considered to be the biggest challenging facing the global community today' and the issue has risen to the top of the Government's agenda over the last twelve months. HBC has Climate Change identified as a strategic risk.	25	25	1	0.0	0.0	In order to meet the growing expectations of members, colleagues and residents a Climate Change Officer post is considered to be the most efficient way of developing and implementing the Climate Change Strategy & Action Plan for Hartlepool.

Grant Title	Does Council need to consider mainstreaming the grant? Please state Yes/No and provide brief justification.	Risk - Red, Amber, Green	Probability 1 = Likely to continue, 2 = may continue, 3 =	Risk Impact of not funding Pressure	Value of Grant terminating in 2007/2008 £'000	Value of resulting budget pressure in 2008/2009 £'000	Total number staff employed (permanent contract/permanent owing to roll forward of contract/fixed term)	Provisional estimated cost of making staff redundant based on HBC employment £'000	Funding available to fund redundancy costs £'000	Service improvement to be achieved by funding grant (including details of current performance and target for 2007/2008 performance)
				SUB-TOTAL - NEIGHBOURHOOD	213	168	7.0	33.0	0.0	

Grant Title	Does Council need to consider mainstreaming the grant? Please state Yes/No and provide brief justification.	Risk - Red, Amber, Green	Probability 1 = Likely to continue, 2 = may continue, 3 =	Risk Impact of not funding Pressure	Value of Grant terminating in 2007/2008 £'000	Value of resulting budget pressure in 2008/2009 £'000	Total number staff employed (permanent contract/ permanent owing to roll forward of contract/fixed term)	Provisional estimated cost of making staff redundant based on HBC employment £'000	Funding available to fund redundancy costs £'000	Service improvement to be achieved by funding grant (including details of current performance and target for 2007/2008 performance)
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REGENERATION AND PLANNING DEPARTMENT – SCHEDULE OF GRANT REGIMES TERMINATING DURING 2007/2008 - PROBABILITY 3

Grants Terminating during 2007/08										
Grant Title	Does Council need to consider mainstreaming the grant? Please state Yes/No and provide brief justification.	Risk - Red, Amber, Green	Probability 1 = Likely to continue, 2 = may continue, 3 Unlikely grant will	Risk Impact of not funding Pressure	Value of Grant terminating in 2007/2008 £'000	Value of resulting budget pressure in 2008/09 £'000	Total number staff employed (permanent contract/ permanent owing to roll forward of contract/fixed term)	Provisional estimated cost of making staff redundant based on HBC employment £'000	Funding available to fund redundancy costs £'000	Service improvement to be achieved by funding grant (including details of current performance and target for 2007/2008 performance)
Regeneration Programmes	YES - The Regeneration Team is supported by approximately £60k of NDC grant under a SLA arrangement and for additional work managing Commercial Area Grants and Voluntary Sector Premises Pool	R	3	Failure to find replacement funding for this and the £40k NAP development (NRF) item would put extreme pressure on the ability to maintain the Regeneration Team in its current form and any rationalisation would reduce the capacity to participate and develop the regeneration agenda for the town and contribute to emerging opportunities eg Tall Ships	60	60	2 staff (1 ftc to Mar08, 1 permanent employee)	3.0	Earmarked reserves will be used to maintain the employment of the permanent staff member into 2008/9	

Grant Title	Does Council need to consider mainstreaming the grant? Please state Yes/No and provide brief justification.	Risk - Red, Amber, Green	Probability 1 = Likely to continue, 2 = may continue, 3 =	Risk Impact of not funding Pressure	Value of Grant terminating in 2007/2008 £'000	Value of resulting budget pressure in 2008/2009 £'000	Total number staff employed (permanent contract/ permanent owing to roll forward of contract/fixed term)	Provisional estimated cost of making staff redundant based on HBC employment £'000	Funding available to fund redundancy costs £'000	Service improvement to be achieved by funding grant (including details of current performance and target for 2007/2008 performance)
NDC community safety premises	The community safety office at 173 York Rd accommodates staff who work entirely in the NDC area, as well as Police and Council officers who work across the central neighbourhood area.	R	3	Initial approval of the NDC project covering this office base ends in 2010/11. However, the project approval has always indicated a desire to start mainstreaming the costs before NDC ceases. The Police already contribute £23,000 towards overall annual building budget of £69,000. The project appraisal seeks an annual contribution of £23,000 from the Council. There are 31 members of staff from Council, Police and NDC based at this office. This is well used office for residents in the NDC area to drop-in for advice from Police or other team members. 30% all crime recorded in the Town in first quarter of 2007/08 occurred in the NDC area. Less NDC funding will be available for other projects if this is not supported.	23	23				Continuation of existing services, measured by crime and anti-social behaviour indicators
				SUB-TOTAL - REG. & PLANNING	83	83	8.0			
TOTAL - PROBABILITY 3					390	345	18	46	0	0

ADULT & COMMUNITY SERVICES DEPARTMENT – SCHEDULE OF BUDGET PRIORITIES 2008/2009

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	
Sports Development Team	The sport, physical activity and well-being agenda is massive and reliance cannot continue to be placed on short-term, externally funded posts. Introduction of a Sc3 post (an Assistant Development Officer post) into the team, would help enormously with the range of initiatives we are expected to deliver.	R	Many short-term funded posts are due to cease in 08/09 and will impact considerably on our ability to deliver	22		Over the last 3-4 years, the service has been faced with not only developing sport in its purest sense but increasingly delivering on the whole physical activity/well-being agenda. Instead of just delivering sport, there is an expectation for us to deliver "softer", more informal recreation/participation initiatives and this is becoming more and more difficult to resource. Currently, over 50% of the Sports Development Team are made up of short-term, externally funded posts and an additional permanent post is needed to provide some sustainability. Without any additional resource, there is a concern that service delivery within the area of Public Health initiatives will suffer having a knock-on effect on performance and targets set.

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	
Hindu and Sikh Cultural Society	Required to meet the needs of this BME community. Will provide opportunities for day care, meals and information service. To commission from an already established service in Middlesbrough will minimise costs	R		10		Required to ensure the needs of this community are met in a culturally sensitive way
Grayfields Operation - Parks & Countryside budgets and Community Services maintenance budgets	Development of activity and the need for dedicated management at Sports Ground site. Currently, there are no permanent members of staff based at the site and if the investment made is to be protected and the site developed to its full potential, extra staffing resource must be provided. (£25K). Cyclical maintenance costs associated with the upkeep of the new pavilion have also increased significantly leaving a shortfall on the current budget. (£5K)	R	The potential for Grayfields as a premier site for football development would be extremely compromised	30		There is a need for staffing to be in place at Grayfields to increase revenue and use of the 3rd Generation pitch and ensure the site is properly managed and maintained.
Headland Sports Hall Staffing Operation				10.2		
TOTAL RED PRIORITIES				72.2		

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	
Public Health/Participation	Whilst short-term funding to provide free swim initiatives is welcome, it is not sustainable. Junior Admission 07/08 £55K.	A.	One off initiatives are not providing wholesale changes in the lifestyles of young people	55		Attendances will ultimately increase. Health improvements achieved although harder to evidence. May also see a reduction in anti-social behaviour
Sports Development	Ongoing funding support of Hartlepool Sportability Club. Now highlighted as a budgetary pressure, this was agreed to be funded and reviewed on an annual basis at Mayors Portfolio in July 2003. This is now not sustainable such is the pressure on Sports Development budgets and the increase in associated costs has to be diverted to fund participation initiatives linked to CPA indicators.	A	Withdrawing support could impact on the Club unless other funding can be sourced.	7.5		Will allow for the ongoing support of the important work of this club.

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	
Sport & Recreation	A review of all concessionary charges within Community Services was undertaken this year. The key finding was that in terms of the application of concessionary charges to pensioners, Sport & Recreation was the only service out of alignment with everyone else. Whilst a decision is yet to be made on whether to adopt this approach or not, any change implemented will impact on income generation. It is estimated that this will be in the region of	A	Dependant upon changes implemented	20		Retirement population in Hartlepool expect to grow. Older people more vulnerable to ill health. Links between sport & physical activity and health & well-being well documented. Low levels of participation in Hartlepool (Active People participation survey) - this would be a means of addressing some of these issues and increase participation rates within this age group.
			TOTAL AMBER PRIORITIES	82.5		
			TOTAL ALL PRIORITIES	154.7		

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	

CHIEF EXECUTIVES DEPARTMENT – SCHEDULE OF BUDGET PRIORITIES 2008/2009

Members ICT	2nd phase roll out.	R		30		
Equality Budget	TDDCS admin. Costs and publicising accessible channels	R		20		
			TOTAL RED PRIORITIES	50		
Corporate ICT	Corporate ICT Technical Developments and Support (as capital pot to be topped up at year end as spend allows).	A	No corporate funding for critical systems could result in an inability to continue the ICT service and consequent knock on effects on front line service delivery.	100	0	As we encourage more cross- departmental, integrated systems and more reliance on ICT, there are occasions when corporate investment is needed to ensure continuation of service. Examples include increased storage capacity, recabling of the civic centre, improved back up facilities to speed up systems.

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	
Business Improvement Districts	The Longhill and Sandgate Business Improvement District (BID) Partnership are committed to operating a BID scheme to improve security, reduce crime and ensure sustainability of the industrial estate. Given a positive ballot of businesses in Nov 07 the Council will then be responsible for billing and collecting the BID levy and for being the accountable body for the scheme.	A	Failure to effectively support regeneration and development initiatives within the Borough.	35	20	Corporate investment is required to ensure an effective administrative infrastructure for the BID initiative covering the implementation / support of an additional module to the I World Business Rates System, billing, recovery and accounting arrangements.

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	
Financial Inclusion Developments with Hartlepool Credit Union	From April 2008 the Local Housing Allowance scheme will require the Council to pay housing benefit directly to a benefit claimant's bank account. To facilitate this change and allow the disadvantaged to access inclusive banking facilities, a stakeholder contribution is required towards the costs of developing banking facilities via the Credit Union with technical input from the Co- Operative Bank.	A	Failure to effectively implement statutory responsibilities under the Welfare Reform Act 2007, negative impacts on Benefits CPA service assessment score.	45	15	Investment is required to enable the Council to effectively participate in the Hartlepool Financial Inclusion Partnership, to support those that are disadvantaged to be more financially literate, to allow them to access banking facilities and to allow the Council to effectively pay benefit under the new arrangements
			TOTAL AMBER PRIORITIES	180		
			TOTAL ALL PRIORITIES	230	0	

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	

NEIGHBOURHOOD SERVICES – SCHEDULE OF BUDGET PRIORITIES 2008/2009

	The Council needs to replace the Corporate Property Database. There will be an ongoing maintenance and licence cost	R	The Council will have difficulty in fulfilling new property performance requirements in CPA use of resources. Asset management development will be severely constrained	22		The adoption of the CIPFA IPF system brings modernisation, functionality and expansion to provide Council wide access (via an authority wide licence) and links to Integra
	Increase in hanging and barrier baskets provision. (no budget ever provided).	A	Removal of all hanging and barrier baskets due to lack of funds to maintain and service.	25		Maintain and increase present floral displays to enhance the appearance of the town for both residents and visitors alike which would contribute to greater tourist satisfaction.
			TOTAL RED PRIORITIES	47		
Environmental Standards (10189)	Out of hours noise service (following summer pilot). Value based on an 8 hour 3 day service	A	There is increasing pressure to provide an out of hours service for noise complaints	37		A four weekend pilot has been very successful, approximately five complaints per night were addressed and a substantial number (approximately 35) of outstanding noise complaints were resolved as a result of the additional monitoring and action.
			TOTAL AMBER PRIORITIES	37		
			Total	84	0	

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	

REGENERATION AND PLANNING DEPARTMENT – SCHEDULE OF BUDGET PRIORITIES 2008/2009

Private Sector Housing	Funding required to adopt Selective Licensing with the aim of reducing anti social behaviour caused by poor tenants within a targeted area	R	The council is considering its participation in the selective licensing scheme which would promote and potentially enforce good landlord standards in a designated area where anti social behaviour is a significant problem. This is seen as a measure to tackle problems caused by poor tenants and is a high political priority and is a recommendation from Scrutiny. The specifics of such a future scheme cannot yet be determined. Although some income from licences would be generated it is anticipated that this would not fully cover the authority's costs of implementation and any budget gap would need to be met. At this early stage a figure of £40,000 is suggested.	40	0	The number of anti social behaviour referrals in the designated area would be a measure
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Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	
Sustainable Development	Resource needed to coordinate strategic HBC response to Government's Sustainable Development including the Climate Change programme. Currently no dedicated officer time for strategic Sustainable Development within Community Strategy Division and no scope to reconfigure current work programmes.	R	Unable to respond to agenda - failure to meet 2 proposed Climate Change PIs and a number of other associated PIs	50	0	Currently unable to quantify Council's response to Climate Change and Sustainable Development as there is no officer time available to do this. By funding the pressure the Council will be able to effectively prioritise strategic activity to improve performance on Climate Change and demonstrate this to residents, funders and inspectors.
			TOTAL RED PRIORITIES	90		

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	
Domestic Violence	Domestic Violence Prevention Co-ordinator (increase of 1/2 post)	A	As a town, Hartlepool suffers from high levels of domestic abuse. We currently share a DV co-ordinator with Stockton BC. She is funded by the pump -priming element of Local Area Agreement reward element (previously LPSA2). Besides Police enforcement to bring perpetrators of DV to justice, progress in tackling this crime needs to commence with education and prevention programmes in schools and other youth settings. An extra half post would enable Hartlepool to develop the work within schools etc, as well as co-ordinating activities to help victims and training front-line staff. These more proactive, preventative activities can not be carried out unless extra resource is available.	20	0	Currently councils must aim to achieve compliance with BVPI 225, which comprises 11 separate elements. We are currently failing to achieve four of these, namely 1) multi-agency training, 2) information sharing protocol, 3) sanctuary scheme for victims, 4) reduction in repeat rehousing of victims due to them becoming homeless again within 2 years.

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	
Addressing Alcohol Abuse	Development and provision of prevention and education services for those at risk of, or abusing alcohol	A	Alcohol consumption is recognised as a significant public health challenge, as well as contributory factor in many crimes and anti-social behaviour. The Primary Care Trust has allocated some funding for developing specialist local alcohol treatment services in 2007/08, but further funding is needed to provide preventative services and education in schools etc. Appointment of an officer would enable Hartlepool to develop training for front-line staff such as social workers and housing advice staff, so that they can give informed advice to their clients, provide advice to teenagers who may already be drinking, and extend programmes in schools for younger pupils. These more pro-active, preventative activities can not be carried out unless extra resource is available.	30	0	No service exists at present and staff in various organisations are increasingly faced by clients who have alcohol problems, which they are unable to deal with. Residents continually complain about 'drunk and rowdy' behaviour and under-age drinking. This project would aim to have long term impact on the health of individuals and improve the quality of life for communities.

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	
Economic Development Marketing	Expand budget for marketing Hartlepool and its specific investment opportunities for commercial/industrial development and new businesses	A	The risk is that marketing will rely on non guaranteed free PR and that Hartlepool does not maximise the economic benefit of key new developments such as Queens Meadow ,Central Area and the Southern Business Zone and therefore loses out on the attraction of inward investment, business start up and sme growth with the associated benefits of private sector investment and job creation. As an example of opportunities that we need to capitalise on are two new key developments at Queens Meadow, with 80,000 sq ft of speculative development underway and 156,000 sq ft office development [subject to planning approval].	40	0	The marketing activity directly supports the following performance indicators-Business enquiries, Business assisted. These are key activities in the process of encouraging inward investment ,business start up and sme growth supporting private sector investment and job creation.

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	
Conservation Grants	The conservation grant scheme is currently receiving a high number of applications. Increase budget to meet more of unmet demand	A	Strong demand for conservation grants: 60% of current year's budget committed in first 4 months and current applications would utilise bulk of the remainder. Further applications in the pipeline. Strong feedback from residents that grant aid is needed to assist in meeting standards appropriate for listed buildings/conservation areas and danger of deterioration of condition/appearance/character if such work cannot be supported.	25	0	The increased grant budget would assist in supporting more residents who own listed buildings or live in a conservation area. The number of grants which are offered are currently recorded at Departmental level as a performance indicator, this would continue.
Housing Needs	The establishment of a base budget is required to meet the cost of ongoing research activities and specialist studies on housing	A	Ongoing research and studies are required to assess housing needs for the council's housing strategy and to support its future bids for funding. Although there is some opportunity to work with other authorities at a sub regional and regional level, contributions are nevertheless required to fund these joint projects. No ongoing base budget currently exists. Affordable Housing is a 'red red' risk for the authority and is a high Government and local priority. The council needs to ensure it is effectively responding to this issue and positively influencing the local housing market	20	0	Various indicators measure performance in housing and the council overall strategies to meet need

Appendix G

Budget Heading (including Cost Centre cost if possible)	Description of Budget Priority	Risk - Red, Amber, Green	Risk Impact of not funding Priority	Value Budget Priority	Value of additional Budget Priority in 2009/10	Service improvement to be achieved by funding priority (including details of current performance and target for 2008/2009 performance)
				£'000	£'000	
			TOTAL AMBER PRIORITIES	135		
			Total	225		

TOTAL ALL PRIORITIES	693.7
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Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's
Support Services	Re-examination of staffing and processes following joint commissioning, and introduction of CONTROCC computer system. Also review management of Supporting People programme, absorbing some of the work into other sections	R	Review is at an early stage	This is a growing area of work, and reduced staffing could affect capacity to support developments	40
Domestic support - Swinburne House	Proposed closure of Swinburne will reduce requirement for domestic support staff	A	1 x vacancy to be 'given up' and 1 x domestic post to be deleted. This will leave 1 x 20hr domestic post to remain for re-provisioning Swinburne elsewhere.	This will not directly affect the service provision	33
Assessment & Care Mgt	Review management arrangements for social work teams	R	Diminishes management capacity and potentially increases span of control for remaining managers above an acceptable level.	May result in delays in allocation and completion of statutory assessments	45
Libraries	Staffing efficiencies in library service delivery	A	These will be achieved through a revised and improved way of allocating staff – i.e. adjustment of rotas to allow 3x scale 2 fte posts to be saved whilst delivering services as are currently provided. These staff rotas are across the whole service but will be significantly achieved through the Central Library.	reduction in CPA 'cost per visit' provided visit levels maintained. Necessary to ensure service standard and user satisfaction measures are maintained.	41
Libraries	Reduction in relief driver requirement for library mobile bus service	A	The new structure was introduced April 2007. First quarter indicates that a lower demand for driver cover is needed. This is a little early and demand needs monitoring full 12 months including winter	reduction in CPA 'cost per visit' provided visit levels maintained. Necessary to ensure service standard and user satisfaction measures are maintained.	4
Libraries	Strategic reduction in target areas of printed materials fund where consortium/supplier purchasing agreements facilitate efficiencies	A	failure to provide continually improving stock and maintenance of PI statistics.	Hope to be minimized but stock provision of the highest quality is principal aim of the service.	14
Libraries	Improved efficiency in online resource provision	A	Risk of not meeting public demand by changing suppliers	Aim to maintain similar service provision through improved supplier contracts.	4
Warren Road & Havelock	Review and integrate the management and staff structure across the two day services, this will reduce ancillary and management costs. The impact on direct service provision will be minimal as this is based on meeting assessed needs through individual support plans.	A	Business continuity & training required for staff to work across Learning & Physical disabilities	Performance measures, impact on PSSEX1 cost of day care.	103
Home Care Service	Transfer 100 hrs from in-house provision to independent provider and review management arrangements - reduction of one supervisor	A	Reconfiguration of home care and amalgamation with Intensive Social Support Team under service heading of 'Direct Care and Support Service' will help provide sustainability going forward and alleviate stress challenges. Potential for complaints if service users prefer local authority service provision. However statutory responsibilities will be still be achieved.	Long term cases in home care (dementia) will be transferred to alternative independent provision. Leaving in house home care services to focus upon rapid response operations. In comparison with all other Providers in Hartlepool, the Local Authority Home Care Service is best placed to do this.	53

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's
Older People Purchasing	Change commissioning and contractual arrangements for 'step up / step down' beds, moving away from spot contract towards block purchase. Anticipated demand base on analysis over three year period indicates 15 vulnerable people can be supported more efficiently using this approach	A	This proposal increases capacity and provides the LA with more value for money through securing a lower rate per bed.	Service performance would be enhanced. However this work does require support from the Commissioning Team to tender for the revised contractual arrangement.	39
Intensive Social Support Team	Reorganisation of intensive social support team to cover SP contract only.	A	Supporting People element of this service will continue to function but transitional care / intermediate care support will be limited.	The impact could be minimized by amalgamating the ISSTeam with the Home Care Service, one service to be created 'Direct Care and Support Service under more streamlined managerial arrangement.	58
Support Services	Efficiency improvements in Finance Section as indicated by CSED	A	Review is still in early stages	Loss of staffing could affect service to users and income levels	19
Support Services	Cut in audio-visual and technical support to department	A	This will impact on Children's Service (40% funding for post) and corporate projects which are supported by this post.	Lost opportunity for improved presentation of information for public and staff	15
Libraries	Reduction of agency advertising for vacant posts	G	Increased internal recruitment/advertising.	Where deemed necessary for maintenance of service standards posts will still be advertised externally.	2
Libraries	Reduction in energy costs	G	Limited-according to predictions of energy consumption based on new lighting system efficiency savings should be achieved.	Improved lighting, saving of money and improved carbon footprint.	2
Parks & Countryside	Weekend Litter Picking additional staffing plus bank holiday and event cover in parks.	G	Not undertaking this work will result in increased user dissatisfaction and greater amounts of litter in parks. The work will be undertaken by a new staff team based within parks and countryside to react to sites including playgrounds and provide a 7 day service across all parks and play grounds undertaking inspections and maintenance.	The ad hoc approach to cover in parks on weekends will be formalised with a dedicated team of staff covering weekends and providing cover where necessary for events.	4
Parks & Countryside	Lifebelt checks to be undertaken by Playground Inspector will allow additional income to be brought in by the Beach safety Officer in delivering First Aid Courses.	G	Lifebelt Checks are essential in maintaining safe areas around water. Most landowners now pay the Department to undertake the checks. The work will be undertaken by a new staff team based within parks and countryside to react to sites including playgrounds and provide a 7 day service across all parks and play grounds undertaking inspections and maintenance.	The incorporation of lifebelt checks into the work programme of the new staff team identified as a budget pressure will greatly increase the capacity of the Beach Safety Officer to deliver first aid courses to increase income into the department. The pressure is a combination of additional income from first aid courses and the lifebelt management.	5

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's
Parks & Countryside	Annual Cost to Department to maintain and clean the paddling pools. The maintenance to be undertake by play ground inspection team and the cleaning to be undertaken by the beach lifeguards. To implement this the Block Sands Paddling Pool would need to come in line with the start of the Lifeguard Season.	G	The cleaning and maintenance of paddling pools are essential to the service. The maintenance work will be undertaken by a new staff team based within parks and countryside to react to sites including playgrounds and provide a 7 day service across all parks and play grounds undertaking inspections and maintenance. For cleaning tasks it is proposed that the beach lifeguards undertake this duty but this would rely on the Block Sands facility opening at the same time as the Beach Lifeguards Service (May not Easter).	The work is currently undertaken by Neighbourhood services agency staff at a cost of £11k to the department. This money would be used to fund the playground inspection pressure and also increase the duties and resources into this post.	11
Grounds Maintenance Contract	Contribution from Parks and Countryside to Neighbourhood Services for Playground Inspections. This is not easily identified through the contracts but believed to be in the region of £30K	G	The contribution to NHS is supplemented by insurance funding to operate a playground inspection and maintenance operation. Under new proposals the playground inspection would transfer to Parks and Countryside but would increase to a 7 days service to incorporate other tasks such as lifebelt checks.	The playgrounds would be inspected 7 days per week which will increase user satisfaction and reduce impact of anti social behaviour.	30
Parks & Countryside	To replace the Weekend Leisure Assistant Post at Summerhill with the extended playground and site inspection service.	G	The loss of a staff post at Summerhill would result in increased man hours to keep the site cleaned and maintained. Weekend site cleansing and maintenance would be undertaken by the Playground Inspection post on weekends therefore reducing the need for the Weekend leisure assistant	The playground inspection service will be increased to 7 days per week and will incorporate Summerhill site cleaning and maintenance into the weekend work programme.	10
The Firs	De-commissioning the Firs as an office base	G	No Risk to Service, but un-occupied property may be vulnerable to vandalism	Service will be re-provisioned from alternative site, no impact identified.	19
17057 Warren Road	Reduction in the number of ancillary hours at warren road	G	Minimum risk as change is around processes for delivery of meals	Limited impact on service	8
17057 Warren Road	Reduction in vacant staffing hours at Warren Road, 42 hours vacant due to setting up of independent theatre and drama groups	G	Reduction in the number of direct support staff, reflected by the number of people leaving the service to take up direct payments	Corporate management database, impact on the number of people attending FE, leisure, sport, as a reduction in the number of people attending day services. Increase in the number of people accessing a direct payment	23
17100 Havelock N/HOOD SERVICES IMPACT	Reduction in the use of ancillary staff in the cleaning of the building by using more flexible rotas	G	Flexibility in Rota creating efficiencies	No impact on PI's	2

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's
17058 LD Agency	Bringing people back home / campus reprovision - review of complex out of area packages of care in partnership with PCT.	R	Returning of high cost packages to Hartlepool for people who have been living out of area in specialist provision	24 people over 5 years - Teesside initiative including PCT's	220
Stair lift Contract	Change contractual arrangement for stair lifts away from comprehensive cover to costed model	G	Limited	More appropriate contractual arrangement to be established	20
Assessment & Care Management	Replace Social Worker top of Level E plus two, with Social Care Officer through management of vacancies	G	This will be a short term saving to the Local Authority, going forward there will be additional training implications	Social worker due to retire	12
TOTAL OF 3% EFFICIENCIES					836

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's
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CHIEF EXECUTIVES DEPARTMENT PROPOSED EFFICIENCIES

Accountancy 21412	A recent mini reorganisation produces an ongoing salary saving, from the deletion of 0.5 FTE Accountancy Technician post.	A	Reduction in capacity available to support none core activities, such as financial support for additional corporate initiatives (e.g. Job Evaluation), or support of departmental finance teams when they have vacancies, or support of new grant regimes.	None - provided there are no new demands for higher service levels and existing staff are retained.	11
Internal Audit 21414	A review of the Internal Audit sections senior management structure resulted in the amalgamation of the roles of Chief Internal Auditor and Group Auditor into a single post - Head of Audit and Governance. Only Part of this saving was taken in the 2007/08, pending a review of the new arrangements.	A	New arrangements are working satisfactorily. It is therefore not inappropriate to take the remaining saving in 2008/09. However, the continued success of current arrangements is dependant upon the retention of existing employees and/or the recruitment of appropriate replacements (which cannot be guaranteed).	None - provided remaining staff are retained, particularly Head of Audit and Governance.	28
Revenues Recovery 21453	Income Generation from extending the Internal Bailiff function pilot to 2 officers. A greater proportion of bailiff activity will in future be undertaken by in house bailiffs, with the associated bailiff charges accruing to the Council instead of external bailiff companies.	A	Internal Bailiff pilot exercise to be extended from Sept 07. Performance monitoring of activity levels and values of fees levied in 2007/8 from early stages of pilot indicate relatively low risk of failure but dependence is on available recovery caseloads.	None. Internal Bailiffs will be "certificated", work within defined codes of conduct / protocols and will be subject to robust performance management controls including using comparative performance data from external bailiffs. These arrangements will ensure effectiveness / accountability.	30
HR	Income generation from extending charging arrangements for information in respect of mortgage applications and CRB. Restructure of Health and Safety function will result in deletion of 1 FTE. Remaining saving will be achieved by deleting another HR post by managing vacancies.	A			76
TOTAL OF 3% EFFICIENCIES					145

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's
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CHILDREN'S SERVICES DEPARTMENT PROPOSED EFFICIENCIES/SAVINGS

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's
Home to School Transport	Full year savings arising from 1st September 2007 renewal of home to school and school to swimming pools transport contracts including agreed rationalisation of escort service LESS £100,000 allocated against the corporate efficiency target.	G	The exact transport requirements of children varies from year to year depending on pupil numbers. In addition the requirements of special needs pupils can vary at any time. Projected savings are based on the costs of current needs and provide limited scope to absorb increases above inflation.	This will have a positive effect on VFM indicators	61
Advisory Service	Part saving on Senior Adviser salary following recruitment to a lower graded redesigned post. Savings assume regrade from Soulbury sp 27 to Soulbury sp 13.	G	Re-allocation of responsibilities to Assistant Director and other Senior Advisers required.		20
Premature Retirement Costs	Reduced base budget to meet level of current spending on former employees and their dependants deleting scope to fund new costs.	A	The department has a PRC budget which funds the ongoing added years element of early retirement costs. Reducing the base budget will delete any contingency for new cases. Over time the departments commitments will reduce as former teachers/staff and their dependants die.	The departments policy since 2002 has been that schools must meet the costs of any premature retirements from their delegated budgets. Schools can however, in exceptional financial circumstances, approach the department to request financial assistance.	60
Carlton Outdoor Centre - Usage	Increased income assumption from Hartlepool schools and other customers following reopening of the centre.	A	The budgeted level of income from Hartlepool schools attending the Centre was set pessimistically due to concerns that customers may not return following closure during refurbishment. Increased income of approximately £15,000 will be received if schools take up their full allocation of days and the centre's external income target for summer and weekend customers is achieved.	If this increased income is sustainable the Council's net cost of running the centre would reduce by 25%.	15

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's
Carlton Outdoor Centre - Charges	13% Increased charges to Hartlepool schools for attendance at the centre (up to the levels charged by Redcar and Cleveland) will reduce the net costs of Hartlepool's subsidy.	A	Increased charges to schools could lead to reduced demand which would adversely affect overall income levels - see above	Although joint user authorities pay proportionate contributions towards Carlton centre costs each Council sets its own charges for schools in their areas. Hartlepool charges are currently substantially below Middlesbrough and Redcar.	5
Youth Service	Review of Service Level Agreements to provide savings on overheads with minimal impact of front line delivery.	A	It is envisaged that savings can be achieved on repairs and maintenance and that better value for money can be achieved from more robust monitoring of some SLA's	There is a risk of a reduction in service delivery depending on how services are reconfigured.	10
Departmental Contingency	Delete contingency fund held by Director	A	During setting of the 2007/08 base budget, within the departments overall cash limit, all uncommitted budgets were deleted to create one strategic contingency against unexpected departmental costs. This budget is held by the Director and is allocated out to meet identified pressures as part of the departments monthly monitoring procedures.	If deleted the department will be unable to absorb any unexpected cost pressures	64
Departmental Administration	Reduction in admin support	A	Reduced admin support will have an impact on the operations of various departmental teams and some areas of work will have to be absorbed. It may be possible to automate some functions via use of ICT.		110
Children and Families Admin	Reduction of admin support in Social Care	A	A reduction in staffing levels has already been committed relating to the new ICS system	The new ICS system will streamline the administration of care records and as this is embedded admin support will be reviewed.	40
Department wide Salaries	Increase to salary abatement target from 2.4% to 3.0%	A	Over recent years the department has achieved its salary abatement target (currently £160,000 equating to approximately 2.4%) through natural vacancy savings. All vacant posts are reviewed by CSMT prior to submission to the MOV panel and "forced delays" in the recruitment process may need to be made to maximise vacancy savings.	The projected saving assumes a 25% increase in vacancy / turnover savings, requiring savings of 3.0%. CSMT would try to focus this on (non grant funded) support services rather than frontline services wherever possible.	40
Childcare - Summer Play scheme	Deletion of the summer play scheme.	A	The existing provision for play schemes is not necessarily targeting those most in need. Managers will be assessing the need within the Children's Centres localities and will commission new summer activities from the voluntary and community sector funded from Sure Start grant.	Overall provision may be slightly reduced but should be better matched to local need.	65
TOTAL OF 3% EFFICIENCIES					490

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's
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NEIGHBOURHOOD SERVICES DEPARTMENT PROPOSED EFFICIENCIES

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's
1010/36740	Restructure of Senior Management of Public Protection	G	Alternative but inadequate management arrangements of Public Protection functions could result in inefficiencies and not meeting performance standards in several statutory functions	Minimal impact provided adequate arrangements are in place, otherwise not meeting PI's could result in external auditing of the service by eg. FSA	35
	Do not increase costs through inflation where possible	A	small risk	Some revenue budgets pressured	164
	School crossing patrol - remove from controlled crossings	A	Some public reaction	N/A	32
	Xmas lights - full sponsorship	A	Sponsorship may not be achieved	Possible reduction in standard	18
	Restructure B.H.H admin team	G	Increased workload on other staff	Reduced admin performance	18
	Not replacing Technical Officer when he retires in April 2008	G	Increased workload on other staff	Reduced Technical performance	28
	Reorganise drug related litter service	A	Possible injury to people who find litter	Drug litter will not be collected after 8pm	10
	Rationalise highway inspection team	A	Insurance claims may rise	Inspections may not be completed on time	25
	Redesign staffing in transport section	A	Minimal	Management capacity reduced. Increased workload on remaining staff	55
	Don't replace one member of admin team in civic centre	A	Workload too high - deadlines missed	Increased workload for remaining staff	17
	Redesign of building management and maintenance services (including energy)	A	Building management services (including energy) efficiencies may not be achieved	Workload on remaining staff members / change of services to customers	37
	Restructuring of licensing service in Public Protection	A	Taxi inspections not carried out on time	Performance indicators will suffer and criticism from licence holders	12
TOTAL OF 3% EFFICIENCIES					451

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's
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REGENERATION & PLANNING DEPARTMENT PROPOSED EFFICIENCIES

Youth Offending Service	Reduce the budget for the payments of carers and fostering allowances	G	It is anticipated that this budget can be reduced with minimal risk and impact by not recruiting to one of the vacant Carer's positions	It is not anticipated that service performance will be adversely affected by this reduction	14
Economic Development	HBC Contribution to Joint Strategy Unit	G	It is expected that the JSU will again reduce their budget to reflect national cashable efficiency target. The precise saving to Hartlepool will depend on the final inflation indicator and population statistics applied by the JSU but a budget reduction in the region of £5,000 should be possible.	This reduction will have no direct impact on the Economic Development service. The department currently passports some £230k to the JSU but has no control over this budget. In view of the total reductions required and the growing pressures on HBC budgets, Members may wish to seek a revised JSU budget formula for future years in conjunction with the other Tees Valley authorities.	5
Staff Turnover	Increase in Vacancy Abatement target by 0.5%	A	The Vacancy Abatement target for 2007/8 has been achieved albeit largely through three long term vacancies. It is expected these vacancies will shortly be filled. Achievement of the 2008/9 target will depend on HBC funded staff leaving a relatively stable department in recent years and therefore some risk does exist in increasing this target.	An impact on service performance may occur if, to meet this target, posts had to be held vacant for longer than appropriate.	20
Community Safety	Reduce Staffing Budgets / Efficiency Review	A	Community Safety is one of the areas undergoing a departmental review in the current year as part of the overall Efficiency Strategy. No final conclusions have yet been reached in the review but it is felt that some efficiencies may be achievable.	It is anticipated that the impact on the part of the service where efficiencies are likely to be generated can be managed without a major affect on performance. However potential pressures in other areas of Community Safety most notably those previously funded via NRF mean that the overall risk to the service has been judged as 'Amber' at this stage.	20
Housing Division	Reduce Staffing Budgets / Efficiency Review	A	The Housing Service is another area undergoing a departmental efficiency review in the current year. Scope for modifications to the staffing structure may also exist. No actions have been agreed at this stage but it is anticipated that some efficiencies would be achievable in 2008/09.	The main impact of this reduction would be that no budget flexibility would exist to address in-year changes or the unexpected small scale pressures which regularly arise in this increasingly high profile service. The Amber risk shown might however need to be upgraded to 'Red' should adequate funding not be agreed for Housing related pressures including the introduction of Choice Based Lettings and Selective Licensing, details of which are set out elsewhere in the budget process.	30
General	Inflation freeze imposed on various budget headings	A	It is proposed to freeze inflation increases for a number of non contractual departmental budget headings.	The impact on service performance would be spread across a number of headings and is expected therefore to be manageable.	30
Planning Policy and Regeneration	Reduce the Major Regeneration Projects Budget	A	It is proposed to reduce this budget by £10,000 in order to meet the 3% target.	Though this is a high priority project for HBC it is anticipated that a reduction at this modest level could be managed.	10
TOTAL OF 3% EFFICIENCIES					129

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's
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TOTAL OF 3% EFFICIENCIES FOR ALL DEPARTMENTS	2051
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CORPORATE OF 3% EFFICIENCIES TARGET	254
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TOTAL 2008/09 3% EFFICIENCIES TARGET	2305
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BUDGET CONSULTATION MEETING WITH BUSINESS SECTOR REPRESENTATIVES

**Minutes of Meeting held on 21st November 2007
at 8am in the Mayor's Office, Level 2, Civic Centre**

Attendees: *Hartlepool Borough Council Officers*

Mike Ward, Chief Financial Officer

Chris Little, Assistant Chief Financial Officer

Peter Scott, Director of Regeneration & Planning

Paul Walker, Chief Executive

Councillors

Mayor S Drummond , Councillor G Hall, C Hill, P Jackson, R Payne

Business Sector Representatives

Brian Beaumont

Peter Olson

Adrienne Liddell

John Megson

Kirsty Swanson, CEMT Support Officer (Minutes)

Apologies: Nicola Bailey, Director of Adult & Community Services

Adrienne Simcock, Director of Children's Services

Dave Stubbs, Director of Neighbourhood Services

Councillor P Hargreaves

Councillor V Tumilty

Jim Atkinson

Councillor R Payne thanked all for attending.

1.	Presentation
MW provided a brief update on the issues affecting the budget and policy framework proposal for 2008/09 to 2010/11.	

Appendix I

Issues Raised	Response
During the presentation a number of questions were asked as follows:	
JM questioned what the costs in relation to equal pay protection and bonus' are.	<p>MW informed that bonus schemes were implemented for manual workers in the 1970's which meant the manual workers received a 30% bonus on their wages. As bonus payments were generally only paid to male manual workers this is now deemed to be sexual discrimination. Therefore the council has bought out all bonus schemes.</p> <p>Equal Pay protection will be implemented as part of the new pay and grading structure. It will protect people whose posts will be down graded. Council has not yet determined what protection arrangement will be approved and budget forecast are a best estimate of likely cost.</p>
JM asked if in the future will staffing be an issue which will be looked at.	MW commented all that staffing would be looked at in the future when looking for potential savings as this is the largest single cost of the Council's budget..
<p>Questions arose with regards to floor damping.</p> <p>Councillor Hall questioned if it would be of benefit to raise this is with Iain Wright MP again?</p>	<p>Floor damping has previously cost the authority £1.5 million. The Authority has previously made presentations to Ministers, which reduced this loss by approximately £0.3m, but in the current year the loss of grant is still £1.5m</p> <p>PW stated that we must keep raising this issue.</p>
AL questioned if Tall Ships had been considered.	£800,000 has been set aside for the Tall Ships visit. It is expected that sustainable grants funding will be received to meet a significant element of the overall cost and officers are currently pursuing this issue.

Appendix I

<p>JM questioned if the authority benefits from business growth in Hartlepool?</p>	<p>MW informed all that money from businesses goes straight to the Government then is allocated to authorities depending on population. Under the current arrangements the Council is a net gainer. The Council has received a one-off benefit from the Government's LABGI, which has arisen from the way in which the scheme has been implemented following a legal challenge by other authorities, rather than any significant change in the local increase in business rateable value.</p> <p>The Government are consulting on a new LABGI scheme, which will not come into force until 2009/10. Therefore, in 2008/09 no monies will be allocated through this initiative and future years the amount redistributed will be significantly reduced from the amount distributed in the current year.</p>
<p>JM questioned if there are any allowances for problems such as deprivation?</p>	<p>PW informed that yes there is some allowances within some grants but these have been consolidated into the main revenue support grant and the Authority will not be notified of how much it will be allocated until December.</p>
<p>Questions arose relating to Building Schools for the Future.</p>	<p>The capital cost of these works will be funded from Government grant. However, the Council will not receive any grant to fund the BSF project design and setting up costs. The Council has made some provision for these costs, but it is anticipated that further resources will need to be set aside. These costs will therefore be a call on the one-off LABGI allocation.</p>
<p>JM was aware that care expenditure is high, it was questioned that if the business base of Hartlepool was improved would this take out the costs of care packages, etc?</p>	<p>No, an improved business base would not impact on costs of care packages, as business rates are effectively a national tax which is simply collected locally.</p>

Appendix I

<p>PO asked if grant increase detailed in CSR is real or cash increase.</p>	<p>MW indicated that position is complex as figures quoted by Government include additional resources for PFI schemes. In addition, the figures quoted in CSR are averages for the next three years – at a detailed level the increase is front loaded for 2008/09. We wouldn't know local impact until detailed Grant announcement in December. The Council's budget forecasts are based on annual cash increased of 2% and we don't expect the detailed grant allocation will be significantly different from this forecast.</p>
<p>AL questioned if the current banking crisis will affect Hartlepool Borough Council?</p>	<p>MW indicated that the Council has achieved significant benefits from its Treasury Management activities in the past and these actions continue to benefit the budget on an ongoing basis. The Council will not be immune from changes in interest rates arising from current uncertainty in the banking sector. However, it is anticipated any changes can be managed within existing Treasury Management strategy and budget. The Council's primary concern will continue to be the security of cash investments and this will continue to be monitored closely as the current liquidity issues in the banking sector evolve.</p>



BUDGET CONSULTATION MEETING WITH TRADE UNIONS

**Minutes of Meeting held on 20th November 2007
at 8am in the Mayor's Office, Level 2, Civic Centre**

Present: ***Hartlepool Borough Council Officers***
Mike Ward, Chief Financial Officer
Nicola Bailey, Director of Adult & Community Services
Sue Johnson, Assistant Director, Children's Services
Chris Little, Assistant Chief Financial Officer
Joanne Machers, Chief Personnel Officer
Dave Stubbs, Director of Neighbourhood Services
Paul Walker, Chief Executive

Councillors
Mayor S Drummond, Councillor G Hall, P Hargreaves, C Hill, R
Payne, V Tumilty,

Trade Union Representatives
Peter Gleeson
Edwin Jeffries
Malcolm Sullivan
Margaret Waterfield
Tony Watson
Steve Williams

Kirsty Swanson, CEMT Support Officer (Minutes)

Apologies: Councillor P Jackson
 Peter Scott, Director of Regeneration & Planning

Councillor R Payne thanked all for attending.

1.	Presentation
MW provided a brief update on the issues affecting the budget and policy framework proposal for 2008/09 to 2010/11.	

Appendix I

Issues Raised	Response
<p>EJ questioned information within the Cabinet report in relation to the period over which the budget will be balanced. He also stated that the Unions had, for sometime, suggested that the Council needed to address budget issue over a longer period to minimise impact on staff.</p> <p>EJ informed that the Trade Union are now at the point where flexibility is needed.</p>	<p>Councillor Payne indicated that Cabinet have discussed addressing the budget deficit over the next 18 months.</p> <p>MW informed group that in total we are not expecting reserves to increase therefore the Council will need to determine a strategy for bridging the forecast deficit.</p>
<p>EJ questioned if it was recognised that the permanent savings that have been made on the bonus buy out would be put back in due to the staff been underpaid?</p> <p>EJ stressed that job evaluation needs to be finalised quickly.</p>	<p>MW directed all to Slide 8. The savings made from bonus buy out has been allocated to reduce the budget gap, which includes the anticipated additional costs of Job Evaluation.</p>
<p>EJ stated that if there are to be any effects on staffing Trade Unions would like proposals as soon as possible and requested a meeting with Cabinet in the interim before January.</p>	<p>MW informed group that the main revenues support grant normally is released the end of November/early December but due to delays on the CSR this will not be released until December. Therefore no meetings can be arranged until this has been released.</p>
<p>EJ questioned if floor damping will change at all?</p>	<p>Cllr Payne informed all he has spoke to Iain Wright MP regarding this issue and was informed that the Government will be looking at this issue.</p> <p>Cllr Payne suggested that the Trade Unions meet with Iain Wright MP to discuss.</p> <p>EJ informed group that various meetings have been held with Trade Unions and parliament when this issues has been raised. TU informed group that they are on board with this.</p> <p>MW indicated that there are two parts to the floor damping arrangements. The Government have indicated that one element may be changed, but this is element only has a minor impact on HBC.</p>

Appendix I

TW questioned if the Council will be facing compulsive redundancies?	MW is unable to comment at this stage.
EJ stressed that all options will be looked at before any compulsive redundancies are agreed.	
Councillor Hall asked the Trade Unions if they would be happy to work with Cabinet to consider different scenarios.	Trade Unions are happy to do this but would like to see flexibility and alternatives.

Formal Consultations

MW informed all that the formal consultations must go ahead before Christmas.

SCRUTINY CO-ORDINATING COMMITTEE

4th January, 2008



Report of: Assistant Chief Executive and
Chief Financial Officer

Subject: QUARTER 2 – CORPORATE PLAN
PROGRESS & REVENUE FINANCIAL
MANAGEMENT REPORT 2007/2008

SUMMARY

1. PURPOSE OF REPORT

To provide details of: -

- the progress made towards achieving the Corporate Plan Service improvements (SIPS) in order to provide timely information and allow any necessary decisions to be taken;
- to provide details of progress against the Council's overall revenue budget for 2007/2008.

2. CONSIDERATION OF ISSUES

- 2.1 A separate report has not been prepared for your Committee as a comprehensive report was submitted to Cabinet on 26th November, 2007 and this report is attached at Appendix A. This report sets out the key issue to bring to your attention.
- 2.2 Previous monitoring reports submitted to Cabinet included an overall summary report detailing performance and financial management information. This report was supported by individual Portfolio reports which provided more detailed information.
- 2.3 The report has now been integrated into one comprehensive document. This has enabled the report to be page numbered, thus allowing Members easier navigation around the report. See Contents Table on page 1 of main report. The report firstly provides an overall picture of performance and progress against the approved 2007/2008

revenue budget, followed by a section for each Portfolio where more detailed information is provided.

3. RECOMMENDATIONS

Members consider the report.

CABINET REPORT

26th November, 2007



Report of: Corporate Management Team

Subject: QUARTER 2 – CORPORATE PLAN AND REVENUE
FINANCIAL MANAGEMENT REPORT 2007/2008

SUMMARY

1. PURPOSE OF REPORT

1.1 To inform Cabinet of: -

- The progress made towards achieving the Corporate Plan Actions in order to provide timely information and allow any necessary decisions to be taken;
- To provide details of progress against the Council's overall revenue budget for 2007/2008.

2. SUMMARY OF CONTENTS

2.1 The report describes progress towards achieving the actions within the Corporate Plan using the traffic light system of Green, Amber and Red. The report provides an overview of Council performance, with separate sections providing more detailed information for each Portfolio Holder to consider.

2.2 The Revenue Budget Monitoring report covers the following areas:

- Overview of anticipated 2007/2008 Revenue Outturn;
- Progress against departmental and corporate budgets and High Risk Budget Areas;
- Progress against saving/increased income targets identified in the 2007/2008 Budget Strategy;
- Progress against departmental salary turnover targets;
- Key Balance Sheet information;

2.3 Forecast outturns have now been prepared for all areas on the basis of the first six months activity. In overall terms it is anticipated that there will be an adverse variance at the year-end in the range of £0.093m to £0.333m.

2.4 Clearly the current situation is serious. In the current year the net overall position of £0.333m equates to 0.14% of the gross budget. However, it is important not to be complacent as these issues will need to be addressed

on a sustainable basis. At the same time it is difficult to influence expenditure in the remaining four months of the year. Officers will continue to monitor the position closely and look at options for reducing expenditure, including the capitalisation of revenue expenditure should the Capital Programme have sufficient flexibility.

- 2.5 Detailed revenue monitoring information is included in sections 5-11, on a Portfolio basis to enable each Portfolio Holders to readily review their area of responsibility.

3. RELEVANCE TO CABINET

Cabinet has overall responsibility for the monitoring of the Council's Corporate Plan and the Revenue budget.

4. TYPE OF DECISION

None.

5. DECISION MAKING ROUTE

Cabinet 26th November, 2007.

6. DECISION(S) REQUIRED

Cabinet is asked to note the report and take any decisions necessary to address the performance or financial risks identified.

Report of: Corporate Management Team

Subject: QUARTER 2 – CORPORATE PLAN AND
REVENUE FINANCIAL MANAGEMENT
REPORT 2007/2008

1 PURPOSE OF REPORT

- 1.1 To inform Cabinet of the progress made towards achieving the Corporate Plan objectives through identified actions and of progress against the Council's own 2007/2008 Revenue Budget, for the period to 30th September, 2007.

2 BACKGROUND

- 2.1 In line with previous monitoring reports, this report is an integrated comprehensive document that is page numbered, thus allowing Members easier navigation around the report. (See contents table below). The report firstly provides an overall picture of performance and progress against the approved 2007/2008 revenue budget, followed by a section for each Portfolio where more detailed information is provided.

Section	Heading	Page
3.	Overall Performance and Progress on Actions and key Performance Indicators	2 – 3
4.	Revenue Monitoring 2007/2008 – Summary	4 – 10
	Detailed Performance and Revenue Monitoring Sections	
5.	Regeneration and Liveability Portfolio	10 – 13
6.	Culture, Leisure and Tourism Portfolio	13 – 15
7.	Children's Services Portfolio	16 – 24
8.	Adult and Public Health Portfolio	24 – 27
9.	Neighbourhoods and Communities Portfolio	28 – 30
10.	Finance and Efficiency Portfolio	31 – 32
11.	Performance Portfolio	32 – 35
12.	Conclusions	35
13.	Recommendations	36
Appendix A Table 1	Summary of Forecast Revenue Outturn 2007/2008	37 – 39
Appendix A Table 2	Summary Revenue Monitoring Report to 30 th September, 2007 by Department	40
Appendix B	High Risk Budget Areas by Department	41
Appendix C	Progress Against Savings/Increased Income Targets identified in the	42 - 48

Section	Heading	Page
	2007/2008 Budget Strategy	
Appendices D – J	Revenue Monitoring Report to 30 th September, 2007, by Portfolio	49 – 55

2.3 This report will be submitted to Scrutiny Co-ordinating Committee on 4th January, 2008. This will ensure that Scrutiny Co-ordinating Committee is able to review the report at the earliest opportunity.

3 OVERALL PERFORMANCE AND PROGRESS ON ACTIONS AND KEY PERFORMANCE INDICATORS

3.1 The Council identified 140 actions with specific completion dates and 160 key performance indicators (KPIs) as measures of success in the 2007/2008 Corporate Plan.

3.2 Overall performance is good with 96.4% of the actions and 84% of the KPIs (when annually reported actions and PIs have been removed) judged to be either on or above targets. Tables 1 and 2 below summarise officers' views on progress as at 30th September, 2007, for each Portfolio Holder's responsibilities: -

- A RED traffic light signifies the Action/KPI is not expected to meet target.
- An AMBER traffic light signifies the Action/KPI is expected to meet target.
- A GREEN traffic light signifies the target for the Action/KPI has been achieved.

Table 1 – Progress on Actions within the Corporate Plan

Portfolio	Actions by Traffic Light					
	Green		Amber		Red	
	No.	%	No.	%	No.	%
Adult Services and Public Health	2	9.1%	19	86.4%	1	4.5%
Children's Services	2	10.5%	16	84.2%	1	5.3%
Culture, Leisure and Tourism	0	0%	3	100%	0	0%
Finance and Efficiency	7	63.6%	4	36.4%	0	0%
Neighbourhood and Communities	3	17.6%	14	82.4%	0	0%
Performance	4	11.1%	30	83.3%	2	5.6%
Regeneration and Liveability	3	10.0%	26	86.7%	1	3.3%
Total	21	15.2%	112	81.2%	5	3.6%

*figure may not always add to 100% due to rounding

**4 Actions in Finance & Efficiency still to be updated.

Table 2 – Progress on Key Performance Indicators

Portfolio	KPIs by Traffic Light					
	Green		Amber		Red	
	No.	%	No.	%	No.	%
Adult Services and Public Health	8	22.9%	22	62.9%	5	14.3%
Children's Services	1	9.1%	4	36.4%	6	54.5%
Culture, Leisure and Tourism	2	33.3%	4	66.7%	0	0%
Finance and Efficiency	1	33.3%	2	66.7%	0	0%
Neighbourhood and Communities	8	32.0%	13	52.0%	4	16.0%
Performance	0	0%	3	100%	0	0%
Regeneration and Liveability	2	11.8%	14	82.4%	1	5.9%
Total	22	22%	62	62%	16	16%

*figure may not always add to 100% due to rounding

3.3 Key areas of progress included: -

- The Innovation Centre at Queens Meadow and Hartlepool Enterprise Centre at Brougham Terrace are both now fully let with waiting lists developing.
- The GP referral 'Stay Active' health programme has been expanded.
- New language project was delivered to all secondary schools in Hartlepool during September.
- A Community Nutritionist is working with schools to ensure they meet the Healthy Schools Standard.
- Doorstep recycling facilities have now been rolled out across the whole town.
- Safer, cleaner, greener initiative Phase 3 has commenced in NDC area providing a co-ordinated response involving wardens, environmental task force, enforcement officers and Neighbourhood Managers.
- Community engagement programme to raise awareness and accessibility to financial support is being successfully actioned, embracing a range of new initiatives and organisations.
- A new Performance Management System has been chosen, to be introduced early 2008.

4 REVENUE MONITORING 2007/2008 - SUMMARY

4.1 This section provides details covering the following areas: -

- Overview of anticipated 2007/2008 Revenue Outturn.
- Progress against departmental, corporate and high risk budget areas.
- Progress against savings/increased income targets identified in the 2007/2008 Budget Strategy.
- Progress against departmental salary turnover targets.
- Key Balance Sheet information.

4.2 Overview of Anticipated 2007/2008 Revenue Outturn

4.3 The first quarter's monitoring report, covering expenditure up to 30th June, 2007, advised Members that forecast outturns would be reported in this monitoring report as it is difficult to determine trends on the basis of the first three months activity.

4.4 The previous report did include initial forecasts for Children's Services and Neighbourhood Services which indicated that these areas were anticipated to be overspent at the year-end. It was also reported that it was anticipated that these additional costs would be offset by underspends on corporate budgets.

4.5 Forecast outturns have now been prepared for all areas on the basis of the first six months activity. In overall terms it is anticipated that there will be an adverse variance at the year-end in the range of £0.093m to £0.333m. The reasons for this variance are summarised below:

<u>Departmental Budgets</u>	Forecast Adverse/ (Favourable) Variance £'000
Adult & Community Services	629
Children's Services	445
Chief Executives Department	46
Neighbourhood Services	262 to 502
Regeneration & Planning	125
Total Departmental Budgets	<u>1,507 to 1,747</u>

Centralised Estimates	(1,100)
<u>Corporate Budgets</u>	
Strategic Contingency	(150)
Provision for Increased Energy Costs	(300)
Employers Pension Contributions Holding Account	(110)
Designated Authority Costs	(55)
Planning Delivery Grant	(104)
Efficiency Savings	<u>405</u>
Total Corporate Budgets	<u>(314)</u>
Net Forecast Overspend	<u>93 to 333</u>

- 4.6 Whilst the overall forecast overspend is relatively small as a proportion of the budget, this is the first time in many years that total expenditure has exceeded the approved budget. The forecast overspend is driven by increased pressure on departmental budgets.
- 4.7 In the current year these pressures can largely be funded from underspends on the Centralised Estimates and Corporate budgets. The position on Centralised Estimates is driven by the current level of balances and interest rates, both of which are anticipated to fall, therefore this cannot be relied upon in the medium term. A temporary benefit on the Centralised Estimates budget has already been taken into account in the 2008/2009 budget forecasts.
- 4.8 The forecast overspends includes issues around income budgets not achieving targets. Some of these trends began to emerge last year, others have arisen in the current year such as the delayed implementation of increased car parking charges, or the impact of market conditions on Development Control income. It is difficult to manage income within the current year. Therefore, ongoing income shortfalls need to be built in future forecasts.
- 4.9 The position in relation to expenditure is a result of budgets becoming tighter and increased pressure on services. This is particularly the case in relation to high risk budgets and demand lead services, such as Looked After Children, care of Older People and services to people with Physical or Learning Disabilities. In addition, the expenditure position also reflects the continuation of structural overspends from previous years. These issues also need to be built into future forecasts.

- 4.10 Clearly the current situation is serious. In the current year the net overall position of £0.333m equates to 0.14% of the gross budget. However, it is important not to be complacent as these issues will need to be addressed on a sustainable basis. At the same time it is difficult to influence expenditure in the remaining four months of the year. Officers will continue to monitor the position closely and look at options for reducing expenditure, including the capitalisation of revenue expenditure should the Capital Programme have sufficient flexibility.
- 4.11 The above forecasts do not include provision for the following one-off cost commitments:
- Building Schools for the Future
 - Interest Risk Reserve
 - Tall Ships and
 - Termination Costs
- 4.12 These issues will need to be addressed as part of next year's Budget Strategy and will therefore need to be a first call on the 2007/2008 LABGI allocation of £1.2m.
- 4.13 In the Council's Statutory 2007/2008 accounts the LABGI income will be shown as offsetting the overspend on operational activities. However, for the purpose of the Council's management accounts these items need to be shown separately as Members have previously indicated they wish to allocate the LABGI monies towards meeting one-off costs in 2008/2009.
- 4.14 Detailed revenue monitoring information is included in sections 5-11, on a Portfolio basis to enable each Portfolio Holders to readily review their area of responsibility.
- 4.15 **Progress against High Risk Budget Areas**
- 4.16 For 2007/2008, as well as monitoring department and corporate budgets at a global level, high risk budget areas are also identified and explicitly monitored. These arrangements ensure any problem areas are identified at an earlier stage to enable appropriate corrective action to be taken. The areas identified as high risk budgets are attached at Appendix B, which indicates that there are adverse variances on a number of budgets. These issues are included in the overall position detailed in the previous paragraphs.
- 4.17 **Progress Against Savings/Increased Income Targets Identified in the 2007/2008 Budget Strategy**

- 4.18 A number of savings/increased income targets are included in the 2007/2008 Budget Strategy. These items are detailed at Appendix C, together with comments on progress to date and outturn predictions.
- 4.19 In terms of the savings and increased income targets, which total £1.904m as detailed Appendix C. There are a number of savings which will not be achieved in the current year. These issues are reflected in the forecast outturns detailed in the previous paragraphs.

Summary of Planned Savings which will not be achieved	Savings Target £'000	Savings not expected to be achieved £'000
Adoption and Special Guardianship Orders	180	180
Reduction in Number of Children Looked After	81	81
Reprovision of Transport Service	75	75
Eldon Grove Sports Centre Closure	30	20
Development Control Income	18	18
Planned Closure of Bidge Youth Centre	36	26
Increase in Council Tax Income	100	64
Emergency Call Out	10	6
Car Parking Income	100	63
Total	630	533

4.20 Progress against Departmental Salary Turnover Targets

- 4.21 An assumed saving from staff turnover is included within salary budgets. Details of individual department's targets are summarised in the table below.

Department	2007/2008 Turnover Target £'000	Expected to 30.09.07 £'000	Actual to 30.09.07 £'000	Variance (Adverse)/ Favourable at 30.09.07 £'000
Chief Executives	150.0	75.0	72.0	3.0
Children's Services	161.8	80.9	152.2	(71.3)
Adult & Community Services	366.3	183.2	183.2	(0.0)
Neighbourhood Services	106.0	53.0	59.9	(6.9)
Regeneration & Planning	62	46.0	46.0	0
Total	846.1	438.1	467.3	(75.2)

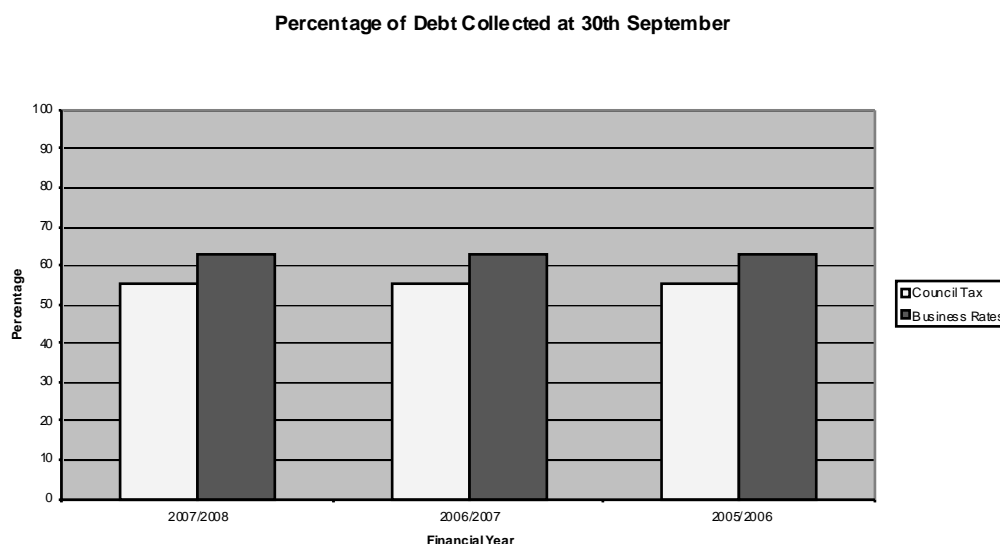
4.22 Key Balance Sheet Information

A balance sheet provides details of an organisation's assets and liabilities at a fixed point in time, for example, the end of the financial year or other fixed accounting periods. Traditionally local

authorities have only produced a Balance Sheet on an annual basis and have managed key balance sheet issues through other more appropriate methods. However, under CPA arrangements there is a greater emphasis on demonstrating effective management of the balance sheet. The Audit Commission's preferred option is the production of interim balance sheets throughout the year. In my opinion the option is neither practical nor beneficial as a Local Authority Balance Sheet includes a large number of notional valuations for the Authority's fixed assets and pension liabilities. It is therefore more appropriate to monitor the key cash balance sheet items and these are summarised below:-

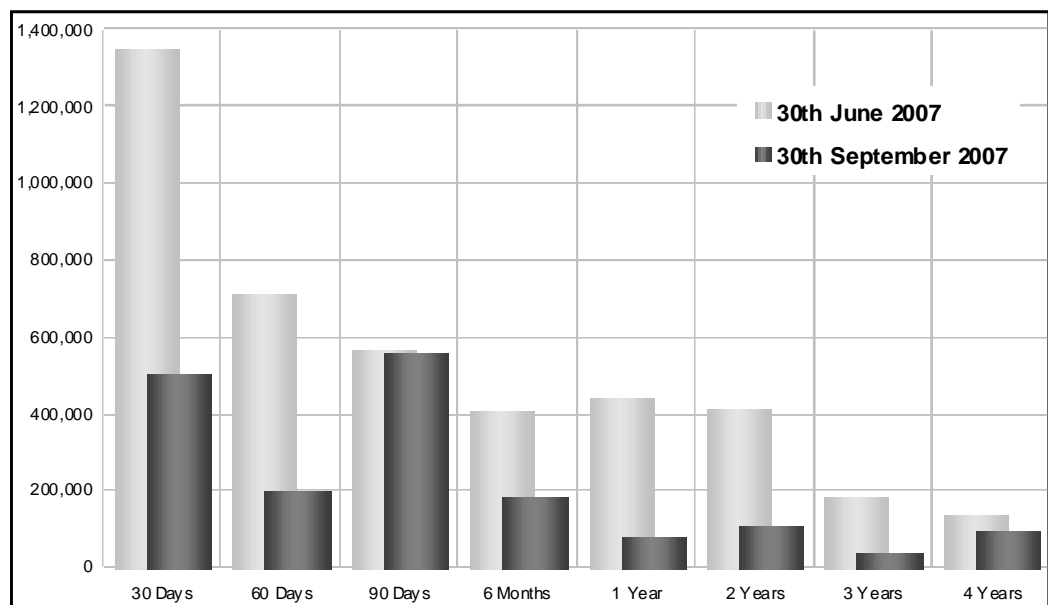
- Debtors

The Council's key debtors arise from the non payment of Council Tax, Business Rates and Sundry Debtors. These areas are therefore subject to detailed monitoring throughout the year. The position on Council Tax and Business rates are summarised below:-



The Council Tax collection rate is slightly down by 0.03% and the NNDR collection rate is unchanged when compared to the same period last financial year. In year collection rates are affected by the timing of week/month ends and in practise both Council Tax and NNDR collection levels are expected to be at a similar level to previous years as the end of the current year. In relation to NNDR the 2006/2007 collection rate was 99.2%, which was within the top-quartile. Whilst for Council Tax the value of the annual debt collectable has increased by £1.6m.

The position in relation to Sundry Debtors is summarised below:



At the start of the current financial year the Council has outstanding sundry debts of £4.404m. During the period 1st April, 2007 to 30th September, 2007, the Council issued approximately 6,776 invoices with a value of £5.819m. As at the 30th September, 2007, the Council had collected £8.437m, leaving £1.786m outstanding, which consist of: -

- Current Debt - £1.458m

With regard to current outstanding debt, this totals £1.458m at 30th September, 2007, inclusive of approximately £0.5m of debt less than thirty days old.

- Previous Years Debt - £0.328m

These debts relate to the more difficult cases where court action or other recovery procedures are being implemented. At the 30th September, 2007, debts older than one year totalled £0.248m.

- Borrowing Requirements

The Council's borrowing requirement is the most significant Balance Sheet item. Decisions in relation to the Council's borrowing requirements are taken in accordance with the approved Treasury Management Strategy. At 31st March, 2007, the Council's external debt was held as long term loans. As detailed in the 2007/2008 Budget and Policy Framework report action has been taken over the past few months to manage the Authority's Loans Portfolio. This action has secured a significant one-off benefit and a permanent reduction in the interest payable on the Authority's debt.

5 REGENERATION AND LIVEABILITY PORTFOLIO

5.1 Performance Update for the Period Ending 30th September, 2007

5.1.1 Within the Regeneration and Liveability Portfolio there are a total of 30 actions that were identified in the 2007/2008 Corporate Plan. Almost 97% (29 actions) have either been completed or have been assessed as being on target for completion by the agreed date. The remaining action has been assessed as not being expected to be completed by the agreed date, and this can be seen in table RL1 below: -

Table RL1: Actions assessed as being below target

Ref	Action	Date to be Completed	Comment
Objective: To empower local people to have a greater voice and influence over local decision making and the delivery of services			
SC03	Coordinate a review of the Community Strategy	Mar 2008	Following a request from CMT the publication of the 3 rd draft of the Community Strategy has been delayed to give further consideration to the way in which Children and Young People's issues are covered.

5.1.2 There are 17 key performance indicators (KPIs) included in the Corporate Plan as measures of success that are not reported on an annual basis. Of these, 16 have been assessed as either having achieved target or being on target to do so. However, there is 1 KPI (6%) that has been assessed as being below target, and this can be seen in table RL2 below: -

Table RL2 – KPIs assessed as being below target

PI	Indicator	Target 07/08	2 nd Qtr Outturn	Comment
LAA	Unemployment	4.3%	6.1%	Further improvement in

PI	Indicator	Target 07/08	2 nd Qtr Outturn	Comment
JE6	Rate (Gap between Neighbourhood Renewal areas rate and that for Hartlepool)			convergence, however as noted last quarter the current target will be difficult to achieve. A number of new initiatives such as Jobsmart and vcs' outreach will assist in targeting client group.

5.1.3 Key areas of progress made to date in the Regeneration and Liveability Portfolio includes: -

- The Innovation Centre at Queens Meadow and Hartlepool Enterprise Centre are both now fully let with waiting lists developing.
- Physical redevelopment is now progressing on sites across the housing regeneration area.
- The Outreach Centre opened in Park Road in September.

5.2 Financial Management Position Statement for Period Ending 30th September, 2007

5.2.1 Details of Regeneration and Liveability's actual expenditure and expected expenditure as at 30th September, 2007, are shown at **Appendix D**.

5.2.2 In overall terms actual expenditure amounts to £2,264,400, compared to expected expenditure of £2,300,900, resulting in a current £36,500 favourable variance.

5.2.3 The projected outturn is £3,997,900, compared to the latest budget of £3,860,900, resulting in a forecast adverse variance of £137,000.

5.2.4 The anticipated expenditure includes the 2007/2008 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these reserves is provided at **Appendix D** (Note 1).

5.2.5 The main items to bring to Portfolio Holder's attention are: -

Line 3: Cadcam Centre
Current Variance: Nil
Forecast Variance: £20,000 Adverse

This is a legacy building from the former Cleveland County Council. The agreement signed by the County effectively ties the Tees Valley local authorities into a long term contractual

commitment for meeting the lease costs of the property as well as charges for ongoing repairs and maintenance.

An adverse variance is likely to again occur on this heading in 2007/2008, however it is anticipated that this can be met from departmental reserves. It should be noted that this is becoming a recurring issue and one which the department will in future find increasingly difficult to fund from within its own operational resource base.

Line 4: Community Safety
Current Variance: £3,900 Adverse
Forecast Variance: £34,000 Adverse

A projected adverse variance of £34,000 is forecast owing to the costs associated with the level of repairs and maintenance necessary on the town wide CCTV cameras, some of which are now over ten years old. The financial pressures in supporting seventy sites have been reflected in the 2008/2009 budget submission. Opportunities for achieving managed underspending on other headings to meet this expected adverse variance are currently being investigated and will be outlined in the next monitoring report.

Line 6: Development Control
Current Variance: £98,100 Adverse
Forecast Variance: £83,000 Adverse

Some £49,000 of this adverse variance results from fee income being less than the budgeted target at this stage of the year. The service is entirely demand led and the value of planning applications in the first half year is not as high as hoped for, possibly as a result of increasing interest rates and a less favourable national economic climate.

In addition, expenditure of some £34,000 has so far been required to meet legal and consultant costs on the Seaton Meadows planning appeal. Whilst costs incurred on the appeal and Public Inquiry in relation to Able UK Graythorp applications have been met by a separate corporate budget, no similar provision is available for Seaton Meadows.

It is impossible to forecast the outturn position on this heading with any accuracy. In recent years planning fee income has increased towards the end of the financial year and it is possible that this trend may be repeated. However, it is felt prudent at this stage to reflect the current variance relating to fees and appeal

costs as the forecast outturn position. It is difficult to see how an adverse variance of this magnitude could be met from existing departmental budgets.

Line 10: Housing

Current Variance: £31,100 Favourable

Forecast Variance: Nil

The current favourable variance arises from several sources including posts being vacant and the homelessness grant being slightly higher than expected. Important decisions in relation to key strategic housing issues such as Choice Based Lettings are imminent and as a result, expenditure is likely to increase in the second half of the year.

Line 11: Landscape Planning and Conservation

Current Variance: £28,200 Adverse

Forecast Variance: Nil

The current adverse variance arises as a result of the high demand from residents for conservation grants and the fact that almost the whole of the £50,000 budget for 2007/2008 has already been committed. Very few new expenditure commitments will now be entered into on this grants budget to ensure no variance exists at outturn.

Line 12: Planning Policy and Regeneration

Current Variance: £80,400 Favourable

Forecast Variance: Nil

The current favourable variance has arisen mainly as a result of unspent budget in relation to Victoria Harbour development and for the preparation of the local development framework. It is envisaged that the full budget will be required to meet the requirements of these projects although in view of their complexity, there is a possibility of some slippage into 2008/2009 occurring.

Line 14: Youth Offending Service

Current Variance: £25,300 Favourable

Forecast Variance: Nil

The current variance has arisen mainly as a result of uneven patterns of expenditure against budget. At this time it is anticipated that commitments in the second half of the year will leave little in the way of a variance at outturn.

6 CULTURE, LEISURE AND TOURISM PORTFOLIO

6.1 Performance Update for the Period Ending 30th September, 2007

6.1.1 Within the Culture, Leisure and Tourism Portfolio there are a total of 3 actions that were identified in the 2007/2008 Corporate Plan. All of these actions have been assessed as being on target for completion by the agreed date.

6.1.2 A total of 6 key performance indicators (KPIs) were included in the corporate plan as measures of success that are not reported on an annual basis. All of the indicators have been assessed as either having met their target, or being on target to achieve their target by the end of the year.

6.1.3 Key areas of progress made to date in the Culture, Leisure and Tourism Portfolio include: -

- A Headland tourism brochure has been published as a result of collaboration with Headland partner organisations.
- Completed Burbank Community Centre re-opened in September.
- The GP referral 'Stay Active' health programme has been expanded.
- The new Culture, Leisure and Community Theme Partnership has been established

6.2 Financial Management Position Statement for Period Ending 30th September, 2007

6.2.1 Details of Culture, Leisure and Tourism's actual expenditure and expected expenditure as at 30th September, 2007, are shown at **Appendix E**.

6.2.2 In overall terms actual expenditure amounts to £4,050,100, compared to anticipated expenditure of £4,037,300, resulting in a current adverse variance of £12,800.

6.2.3 The projected outturn is £6,622,900, compared to the latest budget of £6,521,600, resulting in a forecast adverse variance of £101,300.

6.2.4 The anticipated expenditure includes the 2007/2008 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these reserves is provided at **Appendix E** (Note 1).

6.2.5 The main items to bring to Portfolio Holder's attention are: -

Line 3: Museums & Heritage
Current Variance: £61,300 Favourable
Outturn Variance: Nil

The current favourable variance is mainly owing to staff vacancies and increased income in this area over the summer period. The majority of the vacancies have now been filled and it is expected the variance will decrease by the year end. The income in this area is demand/seasonally led and can be volatile, at this point in time we anticipate a nil variance at year-end.

Line 4: Community Support
Current Variance: £11,500 Adverse
Outturn Variance: £26,500 Adverse

The current adverse variance is as a result of the delayed closure of Bridge Youth Centre. The variance is expected to continue until the end of the year as unavoidable premises costs are incurred without budget at Bridge Youth Centre e.g. security costs.

Line 7: Libraries
Current Variance: £41,400 Favourable
Forecast Variance: £32,500 Favourable

The current favourable variance is mainly owing to staff vacancies within the Library Service. This has been partly reduced by increased utility costs.

The favourable outturn variance is to be used as part of the proposed 2008/2009 efficiency strategy to partially fund a scheme to modernise and increase efficiency in libraries through investment in technology in this area.

Line 8: Maintenance
Current Variance: £7,700 Adverse
Forecast Variance: £36,000 Adverse

The current variance is owing to increased maintenance costs at Grayfields. The outturn variance reflects committed costs being greater than originally anticipated.

Line 11: Sports & Physical Recreation
Current Variance: £64,400 Adverse
Forecast Variance: £60,000 Adverse

The current adverse variance is caused by increased staffing at the Headland Sports Hall to allow the centre to function safely and operate more effectively.

In addition to this unavoidable premises costs e.g. for security (approximately £12,500 to date) have been incurred at Eldon Grove Sports Centre without budget. A year end adverse variance of around £19,500 is expected.

7 CHILDREN'S SERVICES PORTFOLIO

7.1 Performance Update for the Period Ending 30th September, 2007

7.1.1 Within the Children's Services Portfolio there are a total of 19 actions that were identified in the 2007/2008 Corporate Plan. Almost 95% of these (18 actions) have been assessed as being on target for completion by the agreed date. However, one of the actions has been assessed as not being on target for completion by the agreed date and this is shown below in table CS1.

Table CS1 – Actions assessed as being below target

Ref	Action	Date to be Completed	Comment
Objective: Be Healthy (Children and young people will be physically, mentally, emotionally and sexually healthy, lead healthy lifestyles and choose not to take illegal drugs)			
HC03	Work with partner agencies, young people, schools and families to reduce under 18 conception rate by 55%	Jan 2010	The National Support Team for Teenage Pregnancy has indicated they will visit Hartlepool in Spring 2008. Local research is taking place to assist in targeting vulnerable young people.

7.1.2 There were a total of 11 key performance indicators (KPIs) included in the corporate plan as measures of success that are not reported only on an annual basis. 5 of these (45%) have been assessed as either having met target or being on target, but 6 (55%) have been assessed as not being expected to achieve the target agreed in the Corporate Plan. These KPIs are detailed in table CS2, below: -

Table CS2 – KPIs assessed as being below target

PI	Indicator	Target 07/08	2 nd Qtr Outturn	Comment
BVPI 181a	Percentage of pupils achieving Level 5 or above in KS3 results – English	79	73.5	Performance has improved and is in line with national averages. The ambitious target set by DCSF has not been achieved.
BVPI 181b	Percentage of pupils achieving Level 5 or above in KS3 results – Maths	80	77.1	Performance has improved and is above national averages. The ambitious target set by DCSF has not been achieved.
BVPI 181c	Percentage of pupils achieving Level 5 or above in KS3 results – Science	78	69.2	There has been a slight decline in performance which is below the national average. The ambitious target set by DCSF has not been achieved.
BVPI 181d	Percentage of pupils achieving Level 5 or above in KS3 results – ICT assessment	75	69.2	Performance has improved but is below national average. Our ambitious target has not been achieved.
BVPI 40	Percentage of pupil achieving Level 4 or above in KS2 Maths tests	87	81.1	81% of pupils achieved L4+ which is above the national average. Ambitious target set by DCSF has not been achieved.
LAA LLS1	Early Years – improve children's communication, social and emotional development so that by 2008, children reach a good level of development at the end of the Foundation Stage	45%	37.3%	The target was negotiated with DCSF, however it has not been achieved.

7.1.3 Key areas of progress made to date in the Children's Services Portfolio include: -

- 20 Schools and Seaton Nursery have achieved Healthy School Status.
- New language project was delivered to all secondary schools in Hartlepool during September.
- Parenting Strategy has been developed for Consultation
- Best ever Key Stage 2 performance overall in 2007. Above national averages in both English and mathematics. Very strong performance when compared to similar local authorities.

- Best ever Key Stage 3 performance overall in 2007 despite the DSCF targets not being met. Improvements in English, maths and ICT with maths above national. Strong performance when compared to similar local authorities.
- Best ever Key Stage 4 performance overall. Headline 5A*-C indicator is above the national average for the first time ever. Compared to similar local authorities performance is good.

7.2 Financial Management Position Statement for Period Ending 30th September, 2007

7.2.1 Background

7.2.2 Members will be aware that 2006/2007 saw a significant change in the funding of the Education Service with the introduction of a specific ring-fenced grant (called the Dedicated Schools Grant – DSG) replacing the Revenue Support Grant in funding the ‘schools’ budget. The ‘schools’ budget includes not only all of the funding devolved to individual schools but other centrally retained school related expenditure such as the Access 2 Learning Centre, Independent and Extra District School fees and Education Out of School.

7.2.3 The DSG finances £57m of the total 2007/2008 Children’s Services base budget of £74m. As the DSG is ring-fenced, the Authority has the option to fund from its own resources any overspend, or alternatively this overspend could be carried forward as the first call on the 2008/2009 schools budget. Any underspend on the schools budget, however, must be retained and carried forward into 2008/2009 for use on the schools budget only.

7.2.4 This significantly reduces the flexibility within the Children’s Services Department to offset any variances across the entire Children’s Services budget.

7.2.5 Current Year Budget Monitoring Position

7.2.6 Details of Children’s Services actual expenditure and expected expenditure as at 30th September, 2007, are shown at **Appendix F**. The anticipated expenditure includes the 2007/2008 approved budget along with the planned use of Departmental Reserves

created in previous years. A breakdown of these reserves is also provided at **Appendix F** (Note 1).

- 7.2.7 In overall terms actual expenditure amounts to £8,690,700, compared to anticipated expenditure of £8,538,300, resulting in a current adverse variance of £152,400.
- 7.2.8 The projected outturn is £21,650,000, compared to the latest budget of £21,261,700, resulting in a forecast adverse variance of £388,300.
- 7.2.9 Owing to the complexities of the DSG this forecast variance needs to be considered as follows: -

Table 1 – Forecast Outturn Split between DSG and LA Funding

Funding	2007/08 Budget	2007/08 Projected Outturn	2007/08 Projected Variance: Adverse/ (Favourable)
	£'000	£'000	£'000
Schools – DSG	52,755.1	52,755.1	0.0
Centrally Retained – DSG	4,613.9	4,557.0	(56.9)
	57,369.0	57,312.1	(56.9)
LA (inc. NRF)	16,819.9	17,265.1	+445.2
Total	74,188.9	74,577.2	+388.3

- 7.2.10 The main items to bring to Portfolio Holder's attention are: -

Line 1: Access to Education

Current Variance: £46,100 Favourable

Forecast Variance: £80,900 Favourable

By year end a forecast favourable variance is projected which is mainly made up from the following items:

- All home to school transport contracts were renewed with effect from September following a joint tender exercise with Redcar and Cleveland Council. This included a rationalisation of all transport requirements and has resulted in projected savings to the year end of £53,000. Although there is a significant degree of uncertainty surrounding transport requirements resulting from the Education and Inspections Act and Extended schools initiatives these savings are expected to

continue and will be reflected in the department's 2008/2009 budget strategy.

- Despite high attendance by Hartlepool schools at the Carlton Outdoor Centre following reopening earlier this year, the demands against the department's Pupil Support budget (which funds the costs of pupils on free school meals) has been lower than expected and a favourable variance of £17,000 is anticipated at the year-end. This trend of lower demand is expected to continue and will also be reflected in the department's 2008/2009 budget setting strategy.

A three year spending plan covering the estimated revenue costs of the Building Schools for the Future (BSF) Team and associated Project Management costs has recently been prepared and additional funding of £201,000 from the established BSF reserve is now reflected in the Access budget.

Line 3: Children, Young People and Families Support

Current Variance: £390,200 Adverse

Forecast Variance: £789,900 Adverse

As reported at Quarter 1 the department has experienced significant cost pressures since the start of the year owing primarily to a net increase in the number of Looked After Children, some of which have resulted in high cost care packages and placements. In recognition of the volatility of costs in this area, as part of its outturn strategy in previous years, the department has established a Looked After Children Reserve totalling £245,800, which is available to fund some of the current overspend.

There has been a net increase of fifty new children coming into care since January, 2007. Costs are dependent on how long these children remain in care or are replaced by other as yet unknown admissions. Additional costs have occurred across the various services in accordance with the Placement Strategy.

- In house fostering costs are £56,000 overspent at the half year and are expected to be £111,000 above budget by year end. This reflects an additional twenty nine foster children since the start of the year, although many will require only short term or respite placements. Adoption allowances are also overspent by £21,000 at the half year with an adverse year end position of £40,000 projected. This has arisen from a further five adoptions being made since the start of the year. In addition, the department is nearing completion on an exercise to review the level of all means tested allowances (adoption and residence orders) some of which need to be back dated to April, 2006. This will incur additional costs above budget of £75,000. In total in-house fostering and adoption costs were

overspent by £140,000 at the half year and an adverse variance of £225,900 is expected at the year end.

- Eleven new agency foster placements have also arisen since the budget was set in January, 2007, resulting in a half year overspend position of £162,300. On the assumption these placements continue throughout the year this budget will overspend by £363,900. This reflects the fact that £180,000 savings envisaged from the reduction in payments to independent agencies arising from long term matched foster carers obtaining Special Guardianship orders have yet to materialise. So far this year none of the lengthy processes have been completed although ten are still being pursued. Whilst it still may be possible to achieve savings this is unlikely to materialise in the current year.
- The department's placement strategy was fundamentally reviewed in 2004 resulting in significant savings which continued throughout 2006/2007. There has, however, been a net increase in external residential placement costs since the current budget was set in January, 2007. The current number of placements is two (net) higher than was budgeted for and the overspend at the end of Quarter 2 was £195,400. The projected overspend for the year is estimated at £294,000 and has arisen from:
 - Revised and more expensive care packages being required in the best interests of some existing placements at a net additional full year cost of £12,500.
 - Five new high cost placements expected to cost £333,500 in total by the end of the year.
 - Savings of £52,000 have arisen from three placements that were budgeted for the full year but have/will cease earlier.
- Legal fees and related consultancy costs have also been greater than expected owing to the high number of court proceedings in the first half of the year and the annual budget has already been exceeded. For the rest of the year further costs are inevitable and a significant adverse year end variance in the region of £60,000 is possible.
- Direct payment costs are also now expected to exceed the budget by £30,000 owing to an increase of ten in the number of packages relating to children with disabilities since the start of the year.

The total projected year-end variance of Children and Families is currently estimated at £1,035,700, which after full application of the available LAC reserve will reduce to £789,900. This trend of

high care costs above budget is expected to continue throughout 2008/2009 and has been identified as a departmental budget pressure.

In addition, the department will cut back on all uncommitted budgets within the Children and Families Division to offset as much of the above overspends as possible. As the overall current year position becomes clearer this may need to be extended throughout the department.

A Teenage Pregnancy Prevention reserve was generated during 2006/2007. This joint service with the PCT plans to spend £7,500 from the reserve on printing and design costs to develop an enhanced teaching pack.

Line 4: Early Years

Current Variance: £25,500 Favourable

Forecast Variance: £67,600 Favourable

The current variance mainly relates to the continuing trend of fewer children claiming Nursery Education grant (NEG) than anticipated.

This is projected through to year-end and as this falls within DSG, will be used to offset the A2L overspending (see Special Educational Needs).

Line 5: Information Sharing & Assessment

Current Variance: £17,400 Favourable

Forecast Variance: £50,000 Favourable

Additional funds of £50,000 were secured associated with the revenue costs of the ISA capital project. A restructuring proposal has been prepared to use the majority of this to partially fund two new posts, subject to grant levels. However, these have not been implemented owing to concerns over the sustainability of the grant funding and a more modest proposal is being developed within the available resources subject to confirmation of future grant levels. It is unlikely that much of the £50,000 will be spent before year-end.

Line 6: Other School Related Expenditure

Current Variance: £145,100 Favourable

Forecast Variance: £179,300 Favourable

So far this year there have been no calls on the emergency staff replacement budget which is held in the event of difficulties at schools and a year end saving of £25,300 is forecast.

Savings on premature retirement costs for staff in schools are lower than envisaged and year end savings of £74,500 are projected. The department established a policy in 2003 that requires schools to fund their own early retirement costs unless there are very exceptional circumstances and this saving is projected through to 2008/2009.

The department holds a contingency budget to fund unexpected cost pressures which is currently underspent. No other pressures are envisaged and a year end underspend of £74,700 is projected which is available to offset the adverse variance on Children and Families.

The Connexions service was underspent by £28,900 at the half year stage but back dated salary payments are likely relating to the transfer of staff to HBC terms and conditions and the year end position is expected to be in line with the budget.

Recharges from Middlesbrough Borough Council relating to the Lanehead Joint User agreement have been higher than anticipated with a £13,000 adverse variance forecast.

Spending on ICT licences has been higher than anticipated owing to the need for unplanned upgrades to the Department's core Education Management System (EMS) recommended by software suppliers. This falls within the DSG and will need to be offset by savings on other school related spending.

Line 8: Raising Educational Achievement
Current Variance: £14,600 Favourable
Forecast Variance: £1,100 Favourable

Playing for Success spending plans indicate £39,500 is required from their reserve for the rent of a box at Hartlepool United and to match fund the costs of a new radio studio.

Line 9: Special Educational Needs
Current Variance: £67,900 Adverse
Forecast Variance: £11,900 Adverse

The Access 2 Learning (A2L) service was overspent on pay at the half year stage owing primarily to one-off restructuring costs which will be met from the A2L reserve. A reduced staffing structure has been agreed and a number of vacancies will occur

during the second half of the year which should ensure staffing costs are underspent by £30,000 at the year end.

The continued success of the department's strategy to minimise permanent exclusions from school has adversely affected A2L income. No pupils have been permanently excluded so far this year and the budget assumption of A2L receiving £140,000 from schools looks extremely doubtful. Although this could change during the second half of the year it is cautiously assumed at this stage that no income will be received leaving the year end shortfall at £140,000.

Overall the A2L service, which is within DSG, is expected to be overspent by £110,000 at the year end. If this cannot be met from elsewhere within the department's DSG budgets a bid could be made to the School Forum for Transitional Support funding.

Spending on independent school fees was lower than expected at the half year stage and based on known cases a year end favourable variance of £77,700 is forecast. During the 2007/2008 budget setting process an additional £100,000 was allocated (part funded by schools) to fund anticipated costs of two new Autistic Spectrum Disorder children. However, one of the children has remained in special school provision at the choice of their parent and the costs of the care package for the other child have been substantially lower than expected.

Line 10: Strategic Management
Current Variance: £3,700 Favourable
Forecast Variance: £10,000 Favourable

The Director of E-Learning has formulated proposals to provide Wi-Fi connectivity for school pupils in consultation with Northgate which will require the £90,000 available Broadband reserve.

Line 12: Youth Service
Current Variance: £20,600 Favourable
Forecast Variance: £20,900 Favourable

The service is planning to purchase a Management Information System in December, 2007 at a total cost, including associated training, of £40,000 funded from their existing reserve. The system will provide key PIs, curriculum and National Youth Agency audit information.

In addition, a £13,000 reserve was created in 2006/2007 to contribute towards a Youth Capital Fund project to refurbish Greatham Community Centre. The final costs of this project were lower than envisaged and this contribution is no longer required.

The service has identified pressure in the current year on its general repairs and maintenance budget and propose to use this reserve for planned preventative maintenance works across Hartlepool Youth clubs.

8 ADULT AND PUBLIC HEALTH PORTFOLIO

8.1 Performance Update for the Period Ending 30th September, 2007

8.1.1 Within the Adult and Public Health Portfolio there are a total of 24 actions that were identified in the 2007/2008 Corporate Plan. Two have been identified as actions that will be reported annually and of the others 2 have been assessed as having already been achieved. 19 of the remaining 20 actions have been assessed as being on target for completion by the agreed date, meaning that 1 (4% overall) has been assessed as not expected to achieve the target. This action is shown in table APH1 below: -

Table APH1 – Actions assessed as being below target

Ref	Action	Date to be Completed	Comment
Objective: Improved Health – reduce premature mortality rates and reduce inequalities in premature mortality rates between wards/neighbourhoods			
HC01	To develop proactive approaches to prevention of ill health by implementing actions within the Public Health Strategy and Action Plan	Mar 2008	Communities for health monies in place, and structured support from National HI Team in place. Unlikely to lower mortality rates significantly as gap with national rates is widening although Hartlepool mortality rates are improving

8.1.2 There are 35 Performance Indicators that are within the Corporate Plan for the Adult and Public Health Portfolio that are not reported only an annual basis. 30 of these have been assessed as either already having achieved their target, or being expected to do so. However, 5 indicators (14.3%) have been assessed as not being expected to achieve target, and these can be seen in table APH2, below: -

Table APH2 – KPIs assessed as being below target

PI	Indicator	Target 07/08	2 nd Qtr Outturn	Comment
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PI	Indicator	Target 07/08	2 nd Qtr Outturn	Comment
LAA HC1	Life Expectancy Females (Hartlepool)	79.3	78.28 (03-05)	Most recent figures from 2003-05
LAA HC10	Mortality rate from cancer amongst people aged under 75 (Hartlepool) (per 100,000)	148	154.25 (03-05)	
LAA HC2	Gap in Hartlepool and England Life Expectancy	2	2.85 years (03-05)	
LAA HC5	Life Expectancy Females (NRA)	77.9	76.4 (03-05)	
LAA HC6	Gap in NRA and Hartlepool Females	1.4	1.89	

8.1.3 Key areas of progress made to date in the Adult and Public Health Portfolio include: -

- Green Schools Conference was delivered, and considered to be a success.
- Direct Payments continue to increase
- A Community Nutritionist is working with schools to ensure they meet the Healthy Schools Standard.

8.2 Financial Management Position Statement for Period Ending 30th September, 2007

8.2.1 Details of Adult & Public Health Services actual expenditure and expected expenditure as at 30th September, 2007, are shown at **Appendix G**.

8.2.2 In overall terms actual expenditure amounts to £11,134,800, compared to anticipated expenditure of £10,821,100, resulting in a current adverse variance of £313,700.

8.2.3 The projected outturn is £23,891,100, compared to the latest budget of £22,980,400, resulting in a forecast adverse variance of £910,700.

8.2.4 The anticipated expenditure includes the 2007/2008 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these reserves is provided at **Appendix G** (Note 1).

8.2.5 The main items to bring to Portfolio Holder's attention are: -

Line 2: Assessment & Care Management

Current Variance: £50,800 Favourable
Forecast Variance: £15,000 Favourable

Staffing vacancies within this area along with the delayed commencement of the Connected Care Service have resulted in this favourable variance. Connected Care Workers are in the process of being appointed to work in this project and the funding is due to commence imminently. There continues to be a potential for increased pressure as a result of demographic changes resulting in increased demand for equipment and adaptations, the need to continue to support people in the more cost effective way in the community as opposed to the more costly residential care option is in line with both local and national priorities.

This favourable variance is not sustainable and it is expected to reduce by the year end.

Line 4: Learning Disabilities
Current Variance: £135,000 Adverse
Forecast Variance: £363,000 Adverse

The majority of this adverse variance is owing to increased demand as a result of demographic trends and increasing need in this service area. In particular, increased placement costs (£69,000), transport costs (£55,000) and the cost of community based support such as direct payments (£63,000). These trends are expected to continue to the end of the year.

It was expected that the Integrated Transport Unit (ITU) would be in place at the start of 2007/2008 and hence an efficiency saving of £75,000 was taken from this area. As the ITU has not yet been set up and fleet transport costs are estimated to run at levels significantly higher than the budget allowed means that this efficiency saving will not be met during 2007/2008, hence a projected year end overspend of £110,000 on transport expenditure.

The current adverse variance has been partly offset by a favourable variance (£50,000) in service improvements which was allocated as part of the 2007/2008 budget strategy, however, this position is not expected to continue as the projects are now in the process of being implemented and the projected outturn variance reflects this.

Line 5: Mental Health
Current Variance: £33,200 Adverse
Forecast Variance: £200,000 Adverse

The current adverse variance is owing to increased demand on the service. At present an additional seven residential places are being funded over budget resulting in a current adverse variance on placements (£126,000). In addition to this there continues to be increased demand for community support including personal care and direct payments. (£43,000 adverse).

Line 6: Older People Purchasing
Current Variance: £23,100 Adverse
Forecast Variance: £50,000 Adverse

The current adverse variance in older people's services is as a result of increased demand for residential placements (£66,000). This adverse variance is expected to continue at the same level until the year end assuming no change in demand, which is unlikely over the winter period. This current adverse variance has been partly offset by a favourable variance on the Independent Sector Carers Grant (£39,000). It is envisaged that this grant will be fully spent by the year end on the provision of respite and short breaks for family carers.

Line 7: Physical Disabilities
Current Variance: £68,100 Adverse
Forecast Variance: £133,000 Adverse

The current adverse variance relates to increased demand for services. In particular, increased placement costs (£31,000), transport costs (£27,000) and the cost of community support (£34,000). These trends are expected to continue to the end of the year.

It was expected that the Integrated Transport Unit (ITU) would be in place at the start of 2007/2008. As the ITU has not yet been set up and estimates from Fleet transport for the full year costs are significantly above those allocated in the service transport budgets, transport costs are continuing at existing levels, resulting in a projected year end overspend of £53,000 on transport expenditure.

Line 10: Support Services
Current Variance: £86,900 Adverse
Forecast Variance: £120,000 Adverse

The current adverse variance is the result of high costs for essential software licences (£23,000 adverse variance) to support

the social care IT systems and various adverse variance on computer consumables, CRB checks, staff advertising, staff cover for vacancies and maintenance charges for Briarfields. Most of this expenditure is one-off and is therefore not expected to increase at the same rate in future months.

9 NEIGHBOURHOOD AND COMMUNITIES PORTFOLIO

9.1 Performance Update for the Period Ending 30th September, 2007

9.1.1 Within the Neighbourhood and Communities Portfolio there are a total of 17 actions that were identified in the 2007/2008 Corporate Plan. All of these actions have been identified as having already been completed or being on target to be completed by the agreed date.

9.1.2 There are a total of 25 key performance indicators (KPIs) that have been identified as measures of success that are not reported only on an annual basis. Of these, 21 indicators (84%) have been assessed as having already achieved target or being expected to achieve their target by year end. However, the remaining 4 indicators (16%) have been assessed as not expected to achieve target and these are detailed in table NC1 below: -

Table NC1 – KPIs assessed as being below target

PI	Indicator	Target 07/08	2 nd Qtr Outturn	Comment
BVPI 99aiii	Road accident casualties - % change in number of casualties from previous year – all killed/seriously injured	-7.8	5.13	Increase of 2 accidents from previous year
BVPI 99bi	Road accident casualties – Number of casualties – children killed/seriously injured	8	11	Very small numbers can mean significant fluctuation in figures. Programme of 20mph schemes outside schools and general safety schemes should help improve figures.
BVPI 99bii	Road accident casualties - % change in number of casualties from previous year – children killed/seriously injured	-6	120%	
BVPI	Road accident	-33.4	-6.77	

PI	Indicator	Target 07/08	2 nd Qtr Outturn	Comment
99biii	casualties - % change in number of casualties between most current year and average of 1994-98 – children killed/seriously injured			

9.1.3 Key areas of progress made to date in the Neighbourhood and Communities Portfolio include: -

- Safer, cleaner, greener initiative Phase 3 has commenced in NDC area providing a co-ordinated response involving wardens, environmental task force, enforcement officers and Neighbourhood Managers.
- Protocol and panel arrangements developed and launched for improving access to sustainable accommodation for vulnerable people.
- Doorstep recycling facilities have now been rolled out across the whole town

9.2 **Financial Management Position Statement for Period Ending 30th September, 2007**

9.2.1 Details of Neighbourhood and Communities actual expenditure and anticipated expenditure as at 30th September, 2007, are shown at **Appendix H**.

9.2.2 In overall terms actual expenditure amounts to £6,712,100, compared to anticipated expenditure of £6,241,100, resulting in a current adverse variance of £471,000.

9.2.3 The projected outturn is £13,976,000, compared to the latest budget of £13,806,600, resulting in a forecast adverse variance of £169,400.

9.2.4 The anticipated expenditure includes the 2007/2008 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these Reserves is provided at **Appendix H** (Note 1).

9.2.5 The main items to bring to Portfolio Holder's attention are: -

Line 7: Car Parking**Current Variance: £410,500 Adverse****Forecast Variance: £150,000 to £274,000 Range Adverse**

Current projections indicate an adverse variance of as much as £274,000 could accrue in this budget area. The main reason being that income is currently running below budgeted expectations with the multi story car park being a particular problem area. The impact of the recent increase in charges cannot, as yet, be fully projected and the current projection is based on a prudent approach that anticipates that there may be some customer resistance to the price increase.

Line 9: Environment**Current Variance: £65,000 Adverse****Forecast Variance: Nil to £128,800 Range Adverse**

The current projection is that this account could be overspent by between nil to £128,800; this is predominantly due to higher than anticipated downtime at the Portrack Incinerator. High volumes of household refuse are currently being disposed of at the land fill site, which is very expensive exercise. Current projections are prudent and it is hoped that the Incinerator will operate normally for the remainder of the year. If this is the case the increase in recycling will reduce the cost of waste disposal and reduce this projected overspend substantially.

10 FINANCE AND EFFICIENCY PORTFOLIO**10.1 Performance Update for the Period Ending 30th September, 2007**

- 10.1.1 Within the Finance and Efficiency Portfolio there are a total of 11 actions that were identified in the 2007/2008 Corporate Plan. All of these actions have been assessed as having been completed or on target to be completed by the agreed date.
- 10.1.2 There are 3 indicators within the Corporate Plan for the Finance Portfolio which are not reported on an annual basis, all of which have been assessed as being expected to hit their year end target.
- 10.1.3 Key areas of progress made to date in the Finance Portfolios include: -

- Community engagement programme to raise awareness and accessibility to financial support is being successfully actioned, embracing a range of new initiatives and organisations.

10.2 Financial Management Position Statement for Period Ending 30th September, 2007

10.2.1 Details of Finance's actual expenditure and anticipated expenditure as at 30th September, 2007, are shown at **Appendix I**.

10.2.2 In overall terms actual expenditure amounts to £3,961,400, compared to anticipated expenditure of £3,682,600, resulting in a current favourable variance of £278,800.

10.2.3 The projected outturn is £518,200, compared to the latest budget of £499,000, resulting in a forecast adverse variance of £19,200.

10.2.4 The anticipated expenditure includes the 2007/2008 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these Reserves is provided at **Appendix I** (Note 1).

10.2.5 The main items to bring to Portfolio Holder's attention are: -

Line 1: Accountancy

Current Variance: £50,700 Favourable

Forecast Variance: Nil

The favourable variance, along with the variance on Line 3, arises from temporary staff variances, maternity leave and staff sickness partly being covered by existing resources or Agency Staff.

Line 2: Benefits

Current Variance: £50,300 Favourable

Forecast Variance: Nil

The favourable variance, along with the variance on Lines 5, 6 and 7, arises from temporary staff variances within the Revenues and Benefits Section pending a restructure which is expected by the year-end.

Line 9: Miscellaneous

Current Variance: £6,100 Favourable

Forecast Variance: £19,200 Adverse

The projected outturn adverse variance is owing to the income budget for the Assisted Car Purchase Scheme being unachievable. The ongoing variance was highlighted within the 2007/2008 budget process, along with the expected shortfall on

Land Charges income. A provision of these potential shortfalls was included in 2007/2008 budget, however, this will not be sufficient to cover the projected adverse variance in both areas.

11 PERFORMANCE MANAGEMENT PORTFOLIO

11.1 Performance Update for the Period Ending 30th September, 2007

11.1.1 Within the Performance Portfolio there are a total of 36 actions that were identified in the 2007/2008 Corporate Plan, of which 34 have either been completed or assessed as being expected to be completed by the agreed date. However, 2 actions (6%) have been assessed as not being expected to achieve the target, and these are detailed in table P1, below: -

Table P1 – actions assessed as being below target

Ref	Action	Date to be Completed	Comment
Objective: Develop the Contact Centre to increase the range of services provided			
OD21	Develop links with partner organisations including voluntary and community sector	Mar 08	Shape of the Authority discussions at CMT level will provide information to compile list of services for transfer. It is proposed to postpone this action until 2008/09.
Objective: Implement the People Strategy and the Workforce Development Strategy			
OD35	Implement plans to Effectively Recognise, Engage and Reward the Workforce	Mar 08	This will be delayed until after implementation of the new pay and grading structure

11.1.2 As detailed in the table above it is proposed to delay implementation of action OD21 until 2008/2009.

11.1.3 There are 3 KPI's included in the Corporate Plan within the Performance Portfolio, all of which have been assessed as being expected to achieve their year end target.

11.1.4 Key areas of progress made to date in the Performance Portfolio include: -

- A new Performance Management System has been chosen, to be introduced early 2008.
- A revised “Introductory Guide to Scrutiny” has been distributed, raising the profile of the work of the Overview and Scrutiny Function..

- The Job Evaluation exercise is approaching completion, expected to be early 2008.

11.2 **Financial Management Position Statement for Period Ending 30th September, 2007**

- 11.2.1 Details of Performance Management's actual expenditure and anticipated expenditure as at 30th September, 2007, are shown at **Appendix J**.
- 11.2.2 In overall terms actual expenditure amounts to £11,295,000, compared to anticipated expenditure of £11,301,900, resulting in a current favourable variance of £6,900.
- 11.2.3 The projected outturn is £4,395,800, compared to the latest budget of £4,330,700, resulting in a forecast adverse variance of £65,100.
- 11.2.4 The anticipated expenditure includes the 2007/2008 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these Reserves is provided at **Appendix J** (Note 1).
- 11.2.5 The main items to bring to Portfolio Holder's attention are: -

Line 3: Corporate Strategy & Public Consultation **Current Variance: £31,300 Favourable** **Forecast Variance: Nil**

The current favourable variance is owing to the underspend in anticipated overtime, licence costs for IT software and external support for Scrutiny function. Any anticipated favourable variance at year end will contribute towards the departmental managed underspend, part of which has been earmarked towards the costs leading up to the end of the current ICT partnership arrangements as reported to Cabinet on 1st October, 2007.

Line 5: Other Office Services **Current Variance: £16,300 Adverse** **Forecast Variance: £27,000 Adverse**

The current and forecast variance is arising from the income budget for the Land Charges being unachievable. The ongoing variance was highlighted within the 2007/2008 budget process, along with the expected shortfall on the Assisted Car Purchase Scheme. A provision for these potential shortfalls was included in 2007/2008 budget, however, this will not be sufficient to cover the projected adverse variance in both areas.

Line 4: Printing
Current Variance: £53,800 Adverse
Forecast Variance: Nil

The current adverse variance is owing to September recharges of £33,000 still to be processed. Any remaining shortfall at the year end position will be funded by departmental managed revenue underspends.

Line 8: Human Resources
Current Variance: £75,000 Favourable
Forecast Variance: Nil

The current favourable variance arises from staff vacancies and temporary staffing arrangements within the Human Resources Division. Additional support and resources was approved by Cabinet on 25th June, 2007, which will be utilised along with the current variance during the second half of the year.

Line 12: Corporate Management Running Expenses
Current Variance: £27,800 Favourable
Forecast Variance: Nil

The current favourable variance is owing to various smaller underspends over the full Best Value Unit including travel and subsistence.

Line 14: Property Services
Current Variance: £50,300 Adverse
Forecast Variance: £39,700 to £85,000 Adverse

This is being closely monitored and additional work is being sought which will hopefully address the problem.

Line 15: Neighbourhood Services Internal Works
Current Variance: £5,200 Favourable
Forecast Variance: £1,100 to £50,000 Adverse

The introduction of the new Financial Management System has resulted in new internal invoicing procedures which has temporarily lead to abnormally high levels of unbilled income. This income has not been included in the actual position reported and the expected budget has been adjusted to reflect this.

One area of concern is the School Meals Contract where the effects of the healthy eating directive are having an adverse effect on this service. Increases in food cost and a reduction in take up of school meals could produce a deficit of £50,000 on this account.

12 CONCLUSIONS

- 12.1 The report details progress towards achieving the Corporate Plan objectives and progress against the Council's own 2007/2008 Revenue Budget for the period to 30th September, 2007.
- 12.2 Performance towards delivering the actions included in the Corporate Plan are progressing well, with over 97% of actions either having been completed or being on target to be completed by the agreed date. Over 90% of all KPIs, that can be assessed, have also been assessed as either having achieved target, or being expected to achieve target by year end.
- 12.3 Clearly the current situation is serious. In the current year the net overall position of £0.333m equates to 0.14% of the gross budget. However, it is important not to be complacent as these issues will need to be addressed on a sustainable basis. At the same time it is difficult to influence expenditure in the remaining four months of the year. Officers will continue to monitor the position closely and look at options for reducing expenditure, including the capitalisation of revenue expenditure should the Capital Programme have sufficient flexibility.

13 RECOMMENDATIONS

- 13.1 It is recommended that Members: -
- Note the current position with regard to performance and revenue monitoring;
 - Take any decisions necessary to address the performance or financial risks identified.

Table 1 – Summary of Forecast Revenue Outturn 2007/2008

	Forecast Adverse/ (Favourable) Variance £'000
<u>Departmental Budgets</u>	
Adult & Community Services	629
Children's Services	445
Chief Executives Department	46
Neighbourhood Services	262 to 502
Regeneration & Planning	<u>125</u>
Total Departmental Budgets	1,507 to 1,747

Detailed comments on the above variances are provided in paragraphs 5 to 11, including comments on the range of potential overspends for Neighbourhood Services.

Centralised Estimates (1,100)

As indicated in the Budget Strategy Report on 15th October, 2007, this budget is forecast to underspend owing to interest rate savings from using LOBOs and higher investment income on reserves and cashflow. As reserves are committed to supporting one-off commitments and future years budgets these trends will not continue, although they do provide a one-off benefit in the current year. It was previously anticipated that this amount would be allocated to offset overspends on the Children's Services and Neighbourhood Services budgets and to establish an Interest Risk Reserve.

It is expected that interest will continue to be earned on reserves in 2008/2009 and a temporary benefit of £0.74m is reflected in the 2008/2009 budget forecasts.

Corporate Budgets

Strategic Contingency (150)

This budget cover a number of ongoing corporate commitments including the costs of implementing Job Evaluation and the repayment costs of Prudential Borrowing. As indicated in the Budget Strategy Report on 15th October, 2007, it is anticipated that the costs of

implementing Job Evaluation will be £0.3m higher than previously anticipated. There will be a temporary saving on Prudential Borrowing costs of £0.45m owing to capital payments, mainly relating to the Civic Centre Scheme, being made later than expected.

Provision for Increased Energy Costs (300)

Since the 2007/2008 budget was set there have been significant reductions in energy costs. Therefore, the full provision included in the 2007/2008 budget is not expected to be needed. However, energy markets continue to be subject to significant volatility. This is particularly the case in relation to the electricity market, which has been affected by the recent closure of Nuclear Power Stations, including the Hartlepool station, owing to technical problems. These issues may increase electricity costs over the winter months.

Employers Pension Contributions Holding Account (110)

A detailed review of the Employers Pension Contributions Holding Account was completed before the 2007/2008 budget was set. This review indicated that the income paid into the account exceeds the payments to be made to the Pension Fund. This position reflects variances between the actual number of employees/the value of the total pay bill and the assumptions made by the Actuary in March, 2005, when determining the Authority's Pension contributions for the period 2005/2006 to 2007/2008. A saving of £280,000 was therefore included in the 2007/2008 budget.

This position has now been reviewed to reflect the first six months activity. It is anticipated that a further saving can be taken in the current year. It is not clear if this trend will continue in future years owing to the impact of Job Evaluation and the current Pension Fund valuation. This position will therefore need to be reviewed when these issues have been finalised.

Designated Authority Costs (55)

Details of the estimated Designated Authority costs for 2007/2008, which covers shared ongoing costs from the 1996 Local Government Reorganisation, have recently been provided by Middlesbrough Borough Council (which acts as Designated Authority). These

8.1 Appendix A

figures indicate that the Council's share of these costs will be approximately £55,000 less than expected.

Planning Delivery Grant (104)

As this grant is continuing in the current year a temporary saving can be taken. The position in relation to future years will need to be reviewed when the Government provides details of the 2008/2009 grant allocation.

Efficiency Savings 405

As reported in the summer a number of efficiency savings are taking longer to achieve than previously anticipated. It is expected that the planned efficiencies will be achieved, although there will be a temporary shortfall in the current year.

Total Corporate Budgets (314)

Net Forecast Overspend 93 to 333

TABLE 2 - GENERAL FUND - REVENUE MONITORING REPORT TO 30TH SEPTEMBER 2007

Line No	Actual Position 30/09/07			Description of Expenditure	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/08	2007/08	
	Col. B	Col. C	Col. D (D=C-B) £'000		Latest Budget	Projected Outturn	Projected Variance: Adverse/ (Favourable) Col. H (H=G-F) £'000
Col. A	£'000	£'000	£'000	Col. F	Col. G	Col. H	
	£'000	£'000	£'000	£'000	£'000	£'000	£'000
				TABLE 1 - Departmental Expenditure			
1	8,538.3	8,690.7	152.4	Children's Services (Excluding Schools)	21,261.7	21,706.7	445.0
2	15,595.2	16,147.0	551.8	Neighbourhood Services	14,705.3	14,967.3	262.0
3	2,621.6	2,554.0	(67.6)	Regeneration & Planning	4,664.2	4,789.2	125.0
4	(1,280.1)	(1,610.9)	(330.8)	Chief Executives	4,593.9	4,639.9	46.0
5	14,083.0	14,404.9	321.9	Adult and Community Services	28,035.8	28,664.8	629.0
6	39,558.0	40,185.7	627.7	Total Departmental Expenditure	73,260.9	74,767.9	1,507.0
				TABLE 2 - Corporate Costs			
				EXTERNAL REQUIREMENTS			
7	68.0	68.0	0.0	Magistrates, Probation and Coroners Court	178.0	178.0	0.0
8	19.0	20.0	1.0	North Eastern Sea Fisheries Levy	19.0	20.0	1.0
9	44.0	45.0	1.0	Flood Defence Levy	44.0	45.0	1.0
10	0.0	0.0	0.0	Discretionary NNDR Relief	32.0	32.0	0.0
				CORPORATE COMMITMENTS			
11	1,250.0	1,330.0	80.0	I.T.	2,499.0	2,499.0	0.0
12	165.0	205.0	40.0	Audit Fees	329.0	340.0	11.0
13	1,544.0	994.0	(550.0)	Centralised Estimates(inc Capital Strategy Prudential Costs)	5,455.0	4,355.0	(1,100.0)
14	0.0	0.0	0.0	Insurances	160.0	160.0	0.0
15	0.0	0.0	0.0	Designated Authority Costs	182.0	127.0	(55.0)
16	65.0	62.0	(3.0)	Pensions	450.0	437.0	(13.0)
17	169.0	167.0	(2.0)	Members Allowances	338.0	338.0	0.0
18	36.0	34.0	(2.0)	Mayoral Allowance	73.0	73.0	0.0
19	0.0	0.0	0.0	Archive Service	7.0	7.0	0.0
20	135.0	116.0	(19.0)	Emergency Planning	90.0	90.0	0.0
				NEW PRESSURES			
21	0.0	0.0	0.0	Employers Pension Contributions	(290.0)	(400.0)	(110.0)
22	0.0	0.0	0.0	Housing Stock Transfer Costs/Loss external income	555.0	555.0	0.0
23	0.0	0.0	0.0	Contingency	21.0	21.0	0.0
24	0.0	0.0	0.0	Planning Delivery Grant terminated	104.0	0.0	(104.0)
25	0.0	0.0	0.0	Strategic Contingency	2,981.0	2,831.0	(150.0)
26	0.0	0.0	0.0	2006/07 Final Council Commitments	45.0	45.0	0.0
27	0.0	0.0	0.0	2007/08 Provision for Grants/Pressures/Priorities	350.0	50.0	(300.0)
	0.0	0.0	0.0	2007/08 Provision for Grants/Pressures/Priorities-Members ICT	30.0	30.0	0.0
28	0.0	0.0	0.0	Provision for Cabinet projects	50.0	50.0	0.0
29	0.0	0.0	0.0	Procurement and contact centre savings	(211.0)	0.0	211.0
30	0.0	0.0	0.0	2007/08 Efficiency Savings	(400.0)	(206.0)	194.0
32	0.0	0.0	0.0	Final 2007/08 commitments	97.0	97.0	0.0
34	3,495.0	3,041.0	(454.0)	COUNCIL BUDGET REQUIREMENT	13,188.0	11,774.0	(1,414.0)
35	22.0	22.0	0.0	PARISHES PRECEPTS	22.0	22.0	0.0
36	0.0	0.0	0.0	CONTRIBUTION FROM RESERVES	(2,760.0)	(2,760.0)	0.0
37				CONT. TO / (FROM) STOCK TRANSFER RESERVE			
38	43,075.0	43,248.7	173.7	Total General Fund Expenditure	83,710.9	83,803.9	93.0

Projected Overspend - based on Neighbourhood Services overspend of £250,000	93.0
Potential additional Neighbourhood Services overspend	240.0
Projected Overspend - based on Neighbourhood Services overspend of £500,000	333.0

* Total approved strategic contingency budget was £4.66m and part of this amount has now been transferred into departmental budgets. The remaining amount covers a number of specific commitments, including year one costs of implementing single status. This amount will be allocated later in the year.

** Projected outturn includes £50,000 spend in support of the Scrutiny Function. If there is no spend in 2007/8 a reserve will be created to fund spend in future years.

This budget was originally a £400,000 credit and has been reduced from savings of £189,000 identified in various budgets through revised procurement arrangements.

x This budget was originally a £700,000 credit and has been reduced from savings identified in the budgets for Home to School Transport (£100,000 in Childrens' Services) and Insurances (£200,000, a Corporate budget)

Best Value Unit / Best Value Sub Unit	2007/2008 Budget £'000	Variance to 30 September 2007 (Favourable) / Adverse £'000	Forecast Variance 2007/08 (Favourable) / Adverse £'000
Adult & Community Services			
Older People Purchasing	6,234.6	52.9	50.0
Learning Disabilities Purchasing	2,919.4	135.0	263.0
Occupational Therapy Equipment	306.3	(7.3)	0.0
Arts, Events & Museums, Sports & Recreation	(1,331.2)	(6.5)	0.0
Building Maintenance	258.7	7.7	36.0
Social Care - Direct Payments	997.3	171.8	320.0
Total	9,385.1	353.6	669.0
Regeneration & Planning			
Planning & Building Control - Fee Income	603.0	44.1	49.0
Economic Development - Rent Income	178.0	29.0	0.0
Total	781.0	73.1	49.0
Neighbourhood Services			
Engineers, Traffic & Road Safety, Highways, Highways & Transportation & Transportation Environment, Environmental Action & Town Care Management	5,772.0	427.7	150.0 *
Concessionary Fares	7,161.2	55.1	0.0 *
Property Services	1,389.6	6.8	0.0
	296.7	50.3	39.7
Total	14,619.5	539.9	189.7
Corporate Budgets			
Centralised Estimates	5,455.0	(550.0)	(1,100.0)
IT Partnership Contract	2,499.0	80.0	0.0
Delivery of Planned Savings	(611.0)	0.0	405.0
Total	7,343.0	(470.0)	(695.0)
Children's Services			
Individual School Budget	51,137.0	0.0	0.0
Individual Pupils Budget for SEN	1,324.0	0.0	0.0
Home to School Transport Costs	1,360.0	(4.8)	(52.8)
Building Schools for the Future	421.1	0.0	0.0
Connexions	1,070.0	(28.9)	0.0
Independent School Fees (SEN)	810.0	(41.6)	(77.7)
Integrated Children's System	147.0	(17.0)	(50.0)
Special Guardianships Saving	(180.0)	90.0	180.0
Children Looked After Placements	3,413.0	490.8	637.9
Total	59,502.1	488.5	637.4
Total - All Departments	91,630.7	985.1	850.1

Notes

* A range of variances have been reported in these areas (see para 9.2.5). The figures included in the table above show the minimum projection.

PROPOSED SAVINGS

CHIEF EXECUTIVES DIVISION

Budget Heading	Description of Efficiency/Saving	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving on staffing levels	Description of One off cost of achieving efficiency/saving	Value of efficiency/saving £'000	Saving to 30th September 2007 £'000	Projected Outturn £000	Projected Outturn Variance £'000	Comments
Revenues	E - increase in Council Tax income by reducing number of single person discounts.	Amber - Phase 1 initiative is being implemented during 2006/07. Actual increase in income is lower than anticipated and this experience is reflected in the 2007/08 estimate.	No reduction in staffing levels, although initiative will increase sections workload.	Costs of using data enquires will be covered from savings.	100	31	36	64	This will be addressed as part of the Collection Fund outturn estimate when the Council Tax is set.
Internal Audit	E - restructuring of Internal Audit senior management has combined the roles of the Chief Internal Auditor and Group Auditor into a single post - Head Audit and Governance. At this stage full saving has been released as it is hoped workload can be managed within remaining resources and increased use IT. However, part of saving may need to be allocated to provide an additional Auditor post to support this change and a reduction in the hours worked by one of the Principal Auditors following their return from maternity leave. This would require identification of alternative savings.	Amber - Insufficient senior management capacity to deal with increasing regulatory requirements (i.e. SIC/Corporate Governance, CPA and International Auditing Standards).	Former Chief Internal Audit has taken voluntary early retirement.	Cost early retirement funded in 2006/07 from departmental reserves	13	7	13	0	
Corporate strategy General Running Expenses	Reduction in budgets across corporate strategy	Amber - the budgets include a range of provisions for professional fess and other related operating expense, although they can be reduced they reduce the ability of the services to support core functions with external expertise if required or to deal with variable workload pressures	No impact		15	8	15	0	
TOTAL					128	45	64	64	

PROPOSED SAVINGS

NEIGHBOURHOOD SERVICES

Budget Heading	Description of Efficiency/Saving	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving on staffing levels	Description of One off cost of achieving efficiency/saving	Value of efficiency/saving £'000	Saving to 30th September 2007 £'000	Projected Outturn £000	Projected Outturn Variance £'000	Comments
Car Parking	E - Package of measures as detailed in main body of report	Amber Risk: Political and public dissatisfaction.	Increased enforcement		90	0	90	0	On target
Car Parking	E - Introduce Monday-Friday contract parking at the Maritime Experience (100 bays), together with the introduction of charging for staff in Church Street.	Green Risk: Some public and political resistance.	Increased enforcement		100	17	37	63	Target unlikely to be achieved.
Trading Account Administration	E - Reduce by two posts	Amber Risk: Potential impact on services delivery. Efficiencies expected to come from introduction of new costing system. Unable to identify which two posts will be redundant until costing system fully installed and operating.	2 redundancies	redundancy payment	40	20	40	0	Redundancies made. Savings on Target
Financial Support	S - Reduce by half post	Green Risk: Low impact on services delivery. New system should enable remaining team to pick up this element of financial control.	.5 redeployment	Redeployment	22	11	22	0	Redeployment complete. Savings on target
Consumer Services Contractor Payments	S - Non renewal of existing contract with the Citizens Advice Bureau to provide consumer advice service	Green Risk: Government have recently introduced a regional 'Consumer Direct' telephone service to advise public on consumer matters. This overlaps significantly with the service provided by CAB. Non renewal of existing contract may result in reduction of service provided by CAB. Government may impose charge for the 'Consumer Direct' service at some future date	Some increase in number of enquiries to the Trading Standards section may result, but not expected to be significant		14	7	14	0	Implementation in progress. No savings yet
Buildings Management and Maintenance	E - Cut one post from a group of 6 posts involved to differing degrees in this service to the Civic Centre, in particular, but also other Council Buildings and Schools	Amber Risk: Potential impact on Service delivery to occupiers/building managers. Impact on remainder of team to provide services.	1 redundancy	Redundancy payment	35	17	35	0	Redundancy made. Savings on Target
Emergency Call Out	S - Revision of call-out arrangement	Amber Risk: Potential impact on delivery of service and reduction in employees willing to undertake call-out.			10	4	4	6	
Section 38 Income - developers contribute to the inspection regime necessary	S - A one-off payment of £100,000 can be justified on the basis that the annual requirement for TOS and material testing is in the order of £90,000. The current balance is £256,572, which will leave approximately one and a half years funding for Technical Officer salaries and testing	Amber Risk: The current budget for Section 38s has increased over the past few years due, in the main, to the development at Middle Warren. This has generated a disproportionate surplus which may not be sustained in future years, particularly when Middle Warren is complete. TOS for two members of the Asset Management Team is paid for from this budget, supporting the overall Transportation and Traffic Management account. The future ability to cover this TOS will be dependant upon new developments which cannot be guaranteed with the possibility of budget pressures in subsequent years.	Staffing levels will be dependent upon income generated by new developments in future years. If the income is not sufficient it is possible that one or two members of staff cannot be sustained by existing staffing budgets.	N/A	100	100	100	0	Completed.
TOTAL					411	176	342	69	

PROPOSED SAVINGS

CHILDREN'S SERVICES

Budget Heading	Description of Efficiency/Saving	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving on staffing levels	Description of One off cost of achieving efficiency/saving	Value of efficiency/saving £'000	Saving to 30th September 2007 £'000	Projected Outturn £000	Projected Outturn Variance £'000	Comments
Transport	E	Risk is GREEN – little service disruption and savings likely to be made within the next year. Efficiency due to ongoing review of bus routes, taxi services and school escort recruitment.			140	70	193	(53)	Further savings have been made in 2007/08 with effect from September 2007 following the re-tendering exercise
Education Psychology Service	E	Reduction of staffing arising from restructuring of the Education Psychology Service. Risk is GREEN – little service disruption, low impact and likely to occur in the next 12 months as the staffing element is currently vacant.	Reduction of approximately 0.5 wte member of staff.	None	12	6	12	0	
Student Support Team	E/S	Removal of student grant function/posts arising from DFES centralisation of grants and awards. Risk is GREEN – minor service disruption, low impact and likely to occur within the next 12 months. Possible redundancy costs because posts are part of substantive structure.	-2		40	20	40	0	
Adoption and special guardianship orders	E	Reduction in payments to independent agencies because half the number of eligible independent agency foster carers obtain a Special Guardianship Order. Risk is GREEN/AMBER - low impact and likely to occur within 12 months dependent upon some negotiations with the Independent Agencies.		None	90	0	0	90	As detailed in the Quarter 2 Cabinet report savings anticipated from the transfer of independent foster payments to Guardianship orders have not materialised. Although all eligible cases are still being pursued current estimates are that no savings will be realised this financial year.
Pupil & Student Support Manager	E	Non-recruitment to the vacant manager post. Restructuring required and supervision of staff delivering school meals, transport, school swimming, allocation of places at Carlton. Risk is AMBER – Some service disruption possible over the next 12 months.			30	15	30	0	This saving has materialised. Temporary leadership of the School Transport service has been provided by a consultant funded from reserves.
Adoption and special guardianship orders	E	Reduction in payments to independent agencies because all the eligible independent agency foster carers obtain a Special Guardianship Order. Risk is AMBER/RED - high impact and likely to occur within 12 months as all placements will need to be reviewed and consents obtained by all parties.		None	90	0	0	90	As detailed in the Quarter 2 Cabinet report savings anticipated from the transfer of independent foster payments to Guardianship orders have not materialised. Although all eligible cases are still being pursued current estimates are that no savings will be realised this financial year.
Reduction in external placements	S	Savings on external placement budget but risk is RED – extreme and almost certain to occur in the next 12 months due to unexpected and unprecedented new demand. Position will clarify later in this financial year when it is clearer as to which young people will be fully or part funded in 2007/08.		None	81	0	0	81	As detailed in the Quarter 2 Cabinet report there has been a net increase of 50 new children coming into care this year and latest projections are that external placements will be significantly overspent
TOTAL					483	111	275	208	

PROPOSED SAVINGS

REGENERATION AND PLANNING SERVICES

Budget Heading	Description of Efficiency/Saving	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving on staffing levels	Description of One off cost of achieving efficiency/saving	Value of efficiency/saving £'000	Saving to 30th September 2007 £'000	Projected Outturn £000	Projected Outturn Variance £'000	Comments
Youth Offending Service	E - Reduce operational support budgets for Youth Offending is proposed	GREEN RISK - It is suggested that this could be achieved with little risk and only minimal impact to the service	None		4	2	4	0	
Management and Administration	E - Reduce costs against some departmental management and administrative related budget headings.	GREEN RISK - It is anticipated that this saving could be achieved at low risk by ensuring a number of small expenses - currently absorbed within this heading but which could be legitimately charged to externally funded projects - are passed on. Increased effort would be required to record, calculate and transfer these costs	None		10	5	10	0	
Community Strategy	S - Reduce a variety of budget lines across the Division relating to printing, room hire, staff training and exhibitions	GREEN RISK - A reduction in opportunities to promote the work of the Hartlepool Partnership would occur. Direct impact on quality of services and impact on community engagement and awareness.	None		4	2	4	0	
Planning & Economic Development	S - Reduce running cost budgets for Building Control, Development Control, Economic Development and Landscape Planning and Conservation is suggested	GREEN RISK - Various small scale savings in materials, equipment, printing etc would be made which may result in service level reduction	None		8	4	8	0	
Economic Development	E - Seek to increase income from managed workspace (ie Brougham Enterprise Centre, Newburn Bridge)	GREEN RISK - Increasing licence fee income as a result of improvements to premises, increasing occupancy and reviewed fees should be achievable	None		20	0	20	0	No part of the saving yet achieved but rentals are expected to increase in the second part of the year and meet the target.
Community Safety	S - Reduce several administration and maintenance headings in the Community Safety budget	AMBER/GREEN RISK - Small reductions to Safer Hartlepool Partnership support budgets would lead to less printing (eg leaflets) and less awareness raising campaigns. The assurance to communities would be reduced affecting perceptions and fear of crime. Less budget for maintenance of 8 Church St and local police offices would also occur	None		9	4	9	0	
Planning Policy & Regeneration	S - Reduce a variety of budget lines across the Regeneration, Planning Policy and Housing Market Renewal Teams (approx £2k per team)	AMBER/GREEN RISK - Reducing printing, copying, staff training, administration and other running costs would occur. The amount shown is considered to be the maximum achievable without incurring serious service level reductions	None		6	3	6	0	
Economic Development	S - Reduce the Sub-Regional Tourism promotion budget	AMBER RISK - Reducing the contribution to Tees Valley-wide tourism marketing and promotion may limit the new Area Tourism Partnership's marketing activity	None		5	2	5	0	
Community Safety	S - Reduce the Safer Hartlepool Partnership publicity budget	RED RISK - Only two editions of current quarterly newspaper (Hartbeat) could be produced per annum instead of 4 editions. Factual information and advice are important to provide reassurance to communities. Less funding would be available to publicise good news stories. Direct impact on services and fear of crime	None		13	6	13	0	

REGENERATION AND PLANNING SERVICES CNTD

Budget Heading	Description of Efficiency/Saving	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving on staffing levels	Description of One off cost of achieving efficiency/saving	Value of efficiency/saving £'000	Saving to 30th September 2007 £'000	Projected Outturn £000	Projected Outturn Variance £'000	Comments
Development Control	E - Seek to increase fee income from volume of applications processed, with no increase in staff	RED RISK - The proposal would be to revise the planning application fee target based on high end projections from current levels. This is however a budget that could be subject to a fall in income, eg. as a result of unfavourable economic conditions. Given the economic and property cycle, a significant risk would apply to the achievement of this savings target. If there were to be a shortfall it has been agreed that this would be met corporately.	None		18	0	0	18	At this stage it is looking very unlikely that this saving can be achieved. The service is entirely demand led and the value of planning applications in the first half year is not as high as hoped for, possibly as a result of increasing interest rates and a less favourable national economic climate.
TOTAL					97	28	79	18	

PROPOSED SAVINGS

ADULT & COMMUNITY SERVICES

APPENDIX C

Budget Heading	Description of Efficiency/Saving	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving on staffing levels	Description of One off cost of achieving efficiency/saving	Value of efficiency/saving £'000	Saving to 30th September 2007 £'000	Projected Outturn £000	Projected Outturn Variance £'000	Comments
Older Peoples Agency - Respite Services	S - Implementation of FACS and removal of moderate - reduce Substantial/ Critical level to 6 weeks	R - Politically sensitive. Sound project planning necessary for implementation. Likely significant increase in emergency assessments and placements or use of in-house homecare	None	None	135	68	135	0	
Management	S - Planning function - reduction in current capacity	R - Medium - reduces capacity in the longer term. Inability to achieve national objectives.	2 Fte	Potential Redundancy costs	88	44	88	0	Staff restructure complete
Homecare	E - Reduction from three geographical areas to two reconfiguring management/supervision of service	R - Manageable impact. Reduces management capacity for 7 day service. Future developments around Telecare may be impacted	3 Fte	Potential Redundancy costs	72	36	72	0	Staff restructure complete
Havelock	S - Deletion of Day Opportunity Clerk post	R - High ER/VR or redeployment	1 Fte (continue to invest in Modern Apprentice and administrative role)	Potential Redundancy costs	20	10	20	0	Establishment updated
Homecare	S - Reduction in home care service - 200 hours	R - Loss of flexibility in supporting discharge arrangements, placement management. Research on In Control & Direct Payments confirms LA' still have necessity to require flexible, responsive services as support and to be used as emergency support. Impact on	10 - 20hr Contracts	Potential Redundancy costs (natural wastage/vacancies)	95	47	95	0	Staff restructure complete
Community Centres	E - Planned closure of former Bridge Youth Centre and upgrading of neighbouring Burbank Community House to accommodate users	R - This proposal reduces the risk of considerable expenditure on a Victorian property - risk of new building being used to capacity in future years. Politically sensitive.	None	Capital improvement estimated £110K reqd to improve Burbank Community House. Demolition of Bridge costs TBD	36	0	10	26	Projected adverse variance on Bridge YC budget of £26k for unavoidable premises costs in 2007-08
Warren Road	S - Deletion of Day Opportunity Clerk post	R - High ER/VR or redeployment	1 Fte (continue to invest in Modern Apprentice and administrative role)	Potential Redundancy costs	20	10	20	0	Establishment updated
Transport	E - Reprovision of service to achieve quality and flexibility (savings between 3-15%)	A - High - Tender and/or potential impact on staff in HBC fleet	Impact on fleet staff Responsibility on Service staff to co-ordinate transport		75	0	0	75	Not achievable until corporate transport efficiency review complete
Sport & Recreation	S - Closure of Eldon Grove Community Sports Centre	A - Services relocated into Brierton Community Sports College and other Community Service property. The risk relates to the successful devt of the management SLA with Brierton Sports College to enable 1st April 07 commencement. Potentially politically sensit	Potential for staff redundancies however these are expected to be absorbed into recurring vacancies within other Sports Centre premises.	May include change requirements to Eldon Grove should other Service depts seek to relocate office based activity into vacated premises - ultimately leading to alternative premises savings elsewhere. Also potential for staff retention in short term unt	30	0	10	20	Projected adverse variance on Eldon Grove CSC of £20k following delayed closure and unavoidable premises costs in 2007-08
Sport & Recreation	E - Change of Vending service provision from internal to contracted out within Sports Centres	A - Savings targets may not be realised dependent upon turnover and contract.	None expected - may involve hours reduction or p/t redundancy if cannot be absorbed within service	Potential redundancy if cannot be absorbed	17	0	17	0	
Community Centres	S - Reduction of service cost by reduction of maintenance and premises costs	A - Risk of service premises rapidly deteriorating - particularly as this cost saving excludes Bridge and Burbank which are affected elsewhere	None	None	20	10	20	0	
Staff Development	S - Reduce course fees and training expenditure	G - Front line staff will not be able to maintain skills and knowledge, and risk failure to meet minimum statutory standards.	None	None	12	6	12	0	
Sport & Recreation	S - Increased income potential over service as a whole, over inflation	G - Risk of non achievement through lower than anticipated user levels	None	None	10	5	10	0	
Parks & Countryside	S - Closure of Ward Jackson and Burn Valley toilets	G - Reduces the contract sum payable to Neighbourhood Services but meets the recent WC Strategy recommendations as proposed by Neighbourhood Services. Ward J Park will have café Toilets during café opening hours and Burn Valley toilets are currently close	No impact in Adult & Community Services - potential impact on Neighbourhood Services Strategy (WC)	None	8	4	8	0	

Library Services	E - Review of Delivered services leading to greater efficiency in the provision of Mobile Library / Bookbus / Home Delivery Service	G - The review is expected to deliver a more efficient routing and delivery of service and will reduce the number of vehicles required due to the changing nature of the service	1 Fte Reduction in one driver - however current cover is restricted to short term contracts in anticipation of the changes.	None	50	25	50	0	Staff restructure complete
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ADULT & COMMUNITY SERVICES CNTD

Budget Heading	Description of Efficiency/Saving	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving on staffing levels	Description of One off cost of achieving efficiency/saving	Value of efficiency/saving £'000	Saving to 30th September 2007 £'000	Projected Outturn £000	Projected Outturn Variance £'000	Comments
Library Services	E - In service reductions of budget across various headings to increase efficiency - out with the Vehicle delivered services	G - Less flexibility in ability to respond to service changes and developments.	None	None	15	7.5	15	0	
Lansdowne Road	S - Sale of Existing property (not used for service)	G - Low	None	None	5	2.5	5	0	Sale of property complete
Culture, Heritage & Grants	S - Revised opening / staffing hours at the Hartlepool maritime Experience - lower hours in winter	G - Partnership with HMS Trincomalee requires joint agreement, aim is to reduce winter hours when quiet periods identified , potential for some longer hours in summer.	None - move staff to annualised hours and less reliance on the casual / temp staff pool	None	7	3.5	7	0	
Culture , Heritage & Grants	S - Increase level of Hire fees for Commercial hire of Theatre & Halls	G - Possible loss of bookings due to charge increases	Increases the differential between current community / subsidised hire rates and that of the Commercial hire.	None	5	2.5	5	0	
Culture , Heritage & Grants	S - Reduction in projects fund	G - Current demand on this support fund and changes to service provision in related premises is achievable with limited impact	None	None	8	8	8	0	
Day Services	E - Reconfiguration of Mental Health day opportunities	G - Low - review underway	Tbd	Tbd	51	26	51	0	Staff restructure complete
TOTAL					779	315	658	121	

REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	293.4	276.7	(16.7)	Administration	44.2	44.2	0.0
2	38.2	19.9	(18.3)	Building Control	152.0	152.0	0.0
3	7.6	7.6	0.0	CADCAM	51.4	71.4	20.0
4	732.8	736.7	3.9	Community Safety	987.9	1,021.9	34.0
5	272.1	260.5	(11.6)	Community Strategy	424.0	424.0	0.0
6	44.8	142.9	98.1	Development Control	254.0	337.0	83.0
7	50.4	38.8	(11.6)	Divisional Management	(1.7)	(1.7)	0.0
8	88.0	84.8	(3.2)	Drug Action Team	21.7	21.7	0.0
9	139.8	128.7	(11.1)	Economic Development	1,172.8	1,172.8	0.0
10	154.9	183.1	28.2	Landscape & Conservation	385.4	385.4	0.0
11	534.2	453.8	(80.4)	Planning Policy & Regeneration	990.7	990.7	0.0
12	0.0	0.0	0.0	Regeneration Staff Savings	(16.4)	(16.4)	0.0
13	213.3	188.3	(25.0)	Youth Offending Service	417.2	417.2	0.0
14	0.0	0.0	0.0	Local Area Agreement Grants	(485.2)	(485.2)	0.0
15	(268.6)	(257.4)	11.2	Use of Reserves	(537.1)	(537.1)	0.0
16	2,300.9	2,264.4	(36.5)	TOTAL	3,860.9	3,997.9	137.0

Note 1

Note 1 - Use of Reserves

The above figures include the 2007/2008 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Best Value Group	Description of Reserve	Projected Outturn Position		
		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
		Col. A	Col. B	Col. C
		£'000	£'000	(C=B-A) £'000
Administration	Regeneration Reserve	79.9	79.9	0.0
Development Control	Regeneration Reserve	19.0	19.0	0.0
Planning Policy & Regeneration	Regeneration Reserve	59.6	59.6	0.0
Development Control	Regeneration MRU	7.9	7.9	0.0
Landscape & Conservation	Regeneration MRU	45.0	45.0	0.0
Planning Policy & Regeneration	Regeneration MRU	102.7	102.7	0.0
Drug Action Team	DAT Accommodation Reserve	6.5	6.5	0.0
Youth Offending Service	Youth Offending Reserve	74.0	74.0	0.0
Community Strategy	Community Safety Reserve	0.3	0.3	0.0
Administration	Housing Market Renewal Reserve	30.0	30.0	0.0
Community Strategy	Corporate Reserve (LPSA)	40.0	40.0	0.0
Administration	Planning Delivery Grant	9.3	9.3	0.0
Development Control	Planning Delivery Grant	22.3	22.3	0.0
Landscape & Conservation	Planning Delivery Grant	20.0	20.0	0.0
Planning Policy & Regeneration	Planning Delivery Grant	20.6	20.6	0.0
		537.1	537.1	0.0

REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure (Income)	Actual Expenditure (Income)	Variance Adverse/Favourable		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/Favourable
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	20.0	22.0	2.0	Allotments	76.7	76.7	0.0
2	67.5	60.5	(7.0)	Archaeology Service	29.5	29.5	0.0
3	659.2	597.9	(61.3)	Museums & Heritage	805.3	805.3	0.0
4	350.2	361.7	11.5	Community Support	735.3	761.8	26.5
5	204.8	210.9	6.1	Countryside	391.0	392.0	1.0
6	119.9	111.9	(8.0)	Foreshore	163.9	165.9	2.0
7	905.9	864.5	(41.4)	Libraries	1,840.3	1,807.8	(32.5)
8	180.4	188.1	7.7	Maintenance	280.6	316.6	36.0
9	64.8	56.3	(8.5)	Parks	538.7	547.0	8.3
10	677.2	689.9	12.7	Recharge Accounts	(0.7)	(0.7)	0.0
11	592.7	657.1	64.4	Sports & Physical Recreation	1,364.1	1,424.1	60.0
12	214.7	229.3	14.6	Strategic Arts	336.9	336.9	0.0
13	(20.0)	0.0	20.0	Use of Reserves	(40.0)	(40.0)	0.0
14	4,037.3	4,050.1	12.8	TOTAL	6,521.6	6,622.9	101.3

Note 1

Note 1 - Use of Reserves

The above figures include the 2007/2008 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Best Value Group	Description of Reserve	Projected Outturn Position		
		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/Favourable
		Col. A	Col. B	Col. C
		£'000	£'000	(C=B-A) £'000
Allotments Parks	Allotment Maintenance (LPSA Reward Grant)	20.0	20.0	0.0
	Outdoor Play Area - Parks (LPSA Reward)	20.0	20.0	0.0
		40.0	40.0	0.0

REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	1,196.2	1,150.1	(46.1)	Access to Education	2,855.8	2,774.9	(80.9)
2	22.0	22.0	0.0	Central Support Services	938.5	938.5	0.0
3	3,962.0	4,352.2	390.2	Children, Young People and Families Support	8,888.0	9,677.9	789.9
4	(228.3)	(253.8)	(25.5)	Early Years	401.2	333.6	(67.6)
5	53.0	35.6	(17.4)	Information, Sharing & Assessment	147.1	97.1	(50.0)
6	732.7	587.6	(145.1)	Other School Related Expenditure	1,879.3	1,700.0	(179.3)
7	114.8	100.9	(13.9)	Play & Care of Children	134.2	130.5	(3.7)
8	563.8	549.2	(14.6)	Raising Educational Achievement	1,191.9	1,190.8	(1.1)
9	1,292.4	1,360.3	67.9	Special Educational Needs	3,425.4	3,437.3	11.9
10	453.5	449.8	(3.7)	Strategic Management	974.0	964.0	(10.0)
11	172.1	153.3	(18.8)	Youth Justice	344.1	344.1	0.0
12	491.4	470.8	(20.6)	Youth Service	1,095.1	1,074.2	(20.9)
13	(287.3)	(287.3)	0.0	Use of Reserves	(1,012.9)	(1,012.9)	0.0
14	8,538.3	8,690.7	152.4	TOTAL	21,261.7	21,650.0	388.3
				Ring-Fenced DSG underspend to be c/f to 08/09			56.9
				TOTAL			445.2

Note 1

Note 1 - Use of Reserves

The above figures include the 2007/2008 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Best Value Group	Description of Reserve	Projected Outturn Position		
		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
		Col. A	Col. B	Col. C (C=B-A)
		£'000	£'000	£'000
Access to Education	Building Schools for the Future	421.4	421.4	0.0
Strategic Management	Interim Transport Manager	36.5	36.5	0.0
Children, Young People & Families	Provision for High Cost Children	245.8	245.8	0.0
Children, Young People & Families	Teenage Pregnancy Prevention	7.5	7.5	0.0
Youth Service	Youth Service -ICT	40.0	40.0	0.0
Youth Service	Youth Service - Maintenance	13.0	13.0	0.0
Special Educational Needs	Access to Learning Centre	92.2	92.2	0.0
Raising Educational Achievement	Playing for Success	39.5	39.5	0.0
Raising Educational Achievement	Behaviour & Attendance	27.0	27.0	0.0
Strategic Management	Broadband / Wi-fi Connectivity	90.0	90.0	0.0
		1,012.9	1,012.9	0.0

REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	(51.7)	(46.6)	5.1	Adult Education	0.1	6.9	6.8
2	1,500.6	1,449.8	(50.8)	Assessment and Care Management	3,133.6	3,118.6	(15.0)
3	660.5	660.3	(0.2)	Home Care	1,372.1	1,372.1	0.0
4	2,715.5	2,850.5	135.0	Learning Disability	4,723.4	5,086.4	363.0
5	474.2	507.4	33.2	Mental Health	1,154.7	1,354.7	200.0
6	3,105.2	3,128.3	23.1	Older People - Purchasing	6,804.8	6,854.8	50.0
7	848.4	916.5	68.1	Physical Disability	1,665.1	1,798.1	133.0
8	155.0	180.4	25.4	Sensory Loss and Occupational Therapy	311.8	331.8	20.0
9	119.9	103.2	(16.7)	Service Strategy & Regulation	235.9	225.9	(10.0)
10	771.8	858.7	86.9	Support Services	1,965.6	2,085.6	120.0
11	(253.7)	(253.7)	0.0	Supporting People	147.1	147.1	0.0
12	366.4	367.6	1.2	Consumer Services	941.1	941.1	0.0
13	409.0	412.4	3.4	Environmental Standards	525.1	568.0	42.9
14	10,821.1	11,134.8	313.7	TOTAL	22,980.4	23,891.1	910.7
				Funding to be allocated from Contingency Budget			(340.0)
				TOTAL			570.7

REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure (Income)	Actual Expenditure (Income)	Variance Adverse/Favourable		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/Favourable
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	1,460.7	1,455.6	(5.1)	Highways Services	3,088.2	3,088.2	0.0
2	23.2	26.7	3.5	Transport Services	252.1	264.1	12.0
3	409.4	406.3	(3.1)	Engineering Consultancy	789.4	799.4	10.0
4	225.2	235.5	10.3	Transportation Management Acct	390.8	390.8	0.0
5	135.6	145.0	9.4	Highways Management Account	311.6	308.3	(3.3)
6	6.4	12.8	6.4	Traffic Management	12.8	12.8	0.0
7	(409.5)	1.0	410.5	Car Parking	(869.0)	(719.0)	150.0
8	811.0	806.8	(4.2)	Traffic & Transportation	1,796.1	1,796.1	0.0
9	2,840.1	2,905.1	65.0	Environment	6,625.8	6,625.8	0.0
10	135.9	130.1	(5.8)	Environmental Action	271.8	271.8	0.0
11	85.3	81.2	(4.1)	Town Care Management	170.6	170.6	0.0
12	34.4	34.4	0.0	Minor Works	34.4	34.4	0.0
13	197.1	182.0	(15.1)	Client Services	393.1	405.8	12.7
14	320.7	289.6	(31.1)	Housing Services	803.3	791.3	(12.0)
15	(34.4)	0.0	34.4	Use of Reserves	(264.4)	(264.4)	0.0
16	6,241.1	6,712.1	471.0	TOTAL	13,806.6	13,976.0	169.4

Note 1

Note 1 - Use of Reserves

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Best Value Group	Description of Reserve	Projected Outturn Position		
		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/Favourable
		Col. A	Col. B	Col. C
		£'000	£'000	(C=B-A) £'000
Minor Works	Capital Financing	34.4	34.4	0.0
Housing Services	LPSA Reward Grant	80.0	80.0	0.0
Environment	LPSA Reward Grant	150.0	150.0	0.0
	TOTAL	264.4	264.4	0.0

REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure (Income)	Actual Expenditure (Income)	Variance Adverse/Favourable		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/Favourable
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	522.7	472.0	(50.7)	Accountancy	902.6	902.6	0.0
2	(3.4)	(53.7)	(50.3)	Benefits	148.0	148.0	0.0
3	170.1	142.6	(27.5)	Internal Audit	347.5	347.5	0.0
4	87.0	78.6	(8.4)	Payments Unit	228.3	228.3	0.0
5	565.1	535.4	(29.7)	Revenues	1,132.7	1,132.7	0.0
6	165.0	141.3	(23.7)	Fraud	178.4	178.4	0.0
7	300.2	219.7	(80.5)	Revenues & Benefits	115.7	115.7	0.0
8	259.6	257.7	(1.9)	Legal Services	511.3	511.3	0.0
9	(5,691.1)	(5,697.2)	(6.1)	Miscellaneous	(2,052.9)	(2,033.7)	19.2
10	(57.8)	(57.8)	0.0	Use of Reserves	(1,012.6)	(1,012.6)	0.0
11	(3,682.6)	(3,961.4)	(278.8)	TOTAL	499.0	518.2	19.2

Note 1

Note 1 - Use of Reserves

The above figures include the 2007/2008 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Best Value Group	Description of Reserve	Projected Outturn Position		
		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/Favourable
		Col. A	Col. B	Col. C
		£'000	£'000	(C=B-A) £'000
Accountancy	Finance - Accountancy Section	30.0	30.0	0.0
Benefits	Finance - Wireless Benefits	47.2	47.2	0.0
Internal Audit	Finance - Audit Software	46.7	46.7	0.0
Revenues & Benefits	Finance - Agency Staff R & B	40.0	40.0	0.0
Revenues & Benefits	Finance - IT Development R & B	20.0	20.0	0.0
Revenues & Benefits	Finance - R & B Refurbishment	50.0	50.0	0.0
Revenues & Benefits	Finance - R & B Training	7.0	7.0	0.0
Legal Services	Legal Staffing	8.8	8.8	0.0
Miscellaneous	Finance - The Way Forward	60.4	60.4	0.0
Miscellaneous	Finance - IT Investment	99.6	99.6	0.0
Miscellaneous	Finance - Home Working	50.0	50.0	0.0
Miscellaneous	Finance - Office Relocation	49.0	49.0	0.0
Miscellaneous	Finance - Grant Flow Pilot	30.0	30.0	0.0
Miscellaneous	Finance - IT Investment	108.0	108.0	0.0
Miscellaneous	The Way Forward Reserve	365.9	365.9	0.0
		1,012.6	1,012.6	0.0

REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure (Income)	Actual Expenditure (Income)	Variance Adverse/ (Favourable)		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	61.2	66.6	5.4	Public Relations	133.6	133.6	0.0
2	115.1	111.2	(3.9)	Democratic Services	238.6	238.6	0.0
3	305.2	273.9	(31.3)	Corporate Strategy & Public Consultation	809.5	809.5	0.0
4	89.9	80.4	(9.5)	Support To Members	180.4	180.4	0.0
5	(67.6)	(51.3)	16.3	Other Office Services	(135.1)	(108.1)	27.0
6	54.8	108.6	53.8	Printing	80.0	80.0	0.0
7	39.9	43.2	3.3	Registration Services	87.4	87.4	0.0
8	347.2	272.2	(75.0)	Human Resources	914.9	914.9	0.0
9	157.1	160.1	3.0	Training & Equality	309.3	309.3	0.0
10	216.8	213.4	(3.4)	Contact Centre	398.5	398.5	0.0
11	765.3	780.7	15.4	Administrative Buildings Running Expenses	877.0	877.0	0.0
12	225.0	197.2	(27.8)	Corporate Management Running Expenses	452.3	452.3	0.0
13	124.0	125.7	1.7	Miscellaneous	259.3	259.3	0.0
14	295.5	345.8	50.3	Property Services & Procurement	309.2	348.4	39.2
15	8,603.9	8,598.7	(5.2)	Neighbourhood Services Internal Works	(73.4)	(74.5)	(1.1)
16	(31.4)	(31.4)	0.0	Use of Reserves	(510.8)	(510.8)	0.0
17	11,301.9	11,295.0	(6.9)	TOTAL	4,330.7	4,395.8	65.1

Note 1

Note 1 - Use of Reserves

The above figures include the 2007/2008 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Best Value Group	Description of Reserve	Projected Outturn Position		
		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
		Col. A	Col. B	Col. C
		£'000	£'000	(C=B-A) £'000
Corporate Strategy & Public Consultation	Corp Strategy - Performance Management Dev.	50.0	50.0	0.0
Corporate Strategy & Public Consultation	Corp Strategy - Corporate Consultation	20.0	20.0	0.0
Corporate Strategy & Public Consultation	Corp Strategy - Divisional Restructure	30.0	30.0	0.0
Corporate Strategy & Public Consultation	Corp Strategy - Civic Refurbishment Costs	25.0	25.0	0.0
Corporate Strategy & Public Consultation	Corp Strategy - ICT Implementation	60.0	60.0	0.0
Corporate Strategy & Public Consultation	Corp Strategy - Enhancing Council Profile	13.0	13.0	0.0
Registration Services	Registrars Building Maintenance	10.0	10.0	0.0
Human Resources	HR - Organisational & Corp Workforce Dev	51.0	51.0	0.0
Human Resources	HR - Corporate Diversity	2.0	2.0	0.0
Human Resources	HR - Employee Wellbeing	22.4	22.4	0.0
Human Resources	HR - Contact Centre	4.9	4.9	0.0
Human Resources	HR - Resource Investment	41.1	41.1	0.0
Human Resources	HR - Support to Members	4.5	4.5	0.0
Human Resources	HR - Election Services	7.8	7.8	0.0
Training & Equality	HR - National Graduate Trainee	19.1	19.1	0.0
Miscellaneous	Single Status Implementation	150.0	150.0	0.0
		510.8	510.8	0.0

SCRUTINY CO-ORDINATING COMMITTEE

4th January, 2008



Report of: Chief Financial Officer

Subject: QUARTER 2 - NRF, CAPITAL &
ACCOUNTABLE BODY PROGRAMME
MONITORING REPORT 2007/2008

SUMMARY

1. PURPOSE OF REPORT

To provide details of progress against the Council's overall Capital budget for 2007/2008, the Neighbourhood Revenue Fund (NRF) and the Spending Programme where the Council acts as the Accountable Body.

2. CONSIDERATION OF ISSUES

- 2.1 A separate report has not been prepared for your Committee as a comprehensive report was submitted to Cabinet on 26th November, 2007 and this report is attached at Appendix A. This report sets out the key issues to bring to your attention.
- 2.2 The report is integrated into one comprehensive document. This has enabled the report to be page numbered, thus allowing Members to easily navigate around the report, (see Contents Table on page 1 on main report). The report firstly provides a summary, followed by a section for each Portfolio where more detailed information is provided.

3. RECOMMENDATIONS

Members consider the report.

CABINET REPORT

26th November, 2007



Report of: Chief Financial Officer

Subject: QUARTER 2 – NRF, CAPITAL AND ACCOUNTABLE BODY PROGRAMME MONITORING REPORT 2007/2008

SUMMARY

1. PURPOSE OF REPORT

To provide details of progress against the Council's overall Capital budget for 2007/2008, the Neighbourhood Renewal Fund (NRF) and the Spending Programmes where the Council acts as the Accountable Body.

The report considers the following areas: -

- NRF
- Capital Monitoring
- Accountable Body Programme Monitoring

2. SUMMARY OF CONTENTS

The report provides detailed monitoring information for each Portfolio up to 30th September, 2007.

3. RELEVANCE TO CABINET

Cabinet has overall responsibility for the monitoring of the Council's budgets.

4. TYPE OF DECISION

None.

5. DECISION MAKING ROUTE

Cabinet 26th November, 2007.

6. DECISION(S) REQUIRED

Cabinet is asked to note the report.

Report of: Chief Financial Officer

Subject: QUARTER 2 – NRF, CAPITAL AND ACCOUNTABLE BODY PROGRAMME MONITORING REPORT 2007/2008

1. PURPOSE OF REPORT

1.1 To inform Cabinet of progress against the Council's own 2007/2008 Capital budget, the Neighbourhood Renewal Fund (NRF) and the spending programmes where the Council acts as the Accountable Body for the period to 30th September, 2007.

1.2 This report considers the following areas: -

- NRF
- Capital Monitoring;
- Accountable Body Programme Monitoring;

2. BACKGROUND

2.1 In line with previous monitoring reports, this document is an integrated comprehensive document that is page numbered, thus allowing Members easier navigation around the report. (See Contents Table below). The report firstly provides a summary, followed by a section for each Portfolio where more detailed information is provided.

Section	Heading	Page
3.	NRF Monitoring	2
4.	Capital Monitoring	2
5.	Accountable Body Programme	3 – 4
6.	Regeneration and Liveability Portfolio	4 – 5
7.	Culture, Leisure and Tourism Portfolio	5
8.	Neighbourhood and Communities Portfolio	6
9.	Children's Services Portfolio	6 – 8
10.	Adult and Public Health Service Portfolio	8
11.	Finance and Efficiency Portfolio	9 – 12
12.	Recommendations	12
Appendix A	NRF Monitoring	13
Appendix B	Capital Monitoring	14
Appendix C	Accountable Body Monitoring	15
Appendices D-N	Capital & NRF Monitoring Report to 30 th September, 2007, by Portfolio	16 – 37

- 2.2 This report will be submitted to Scrutiny Co-ordinating Committee on 4th January, 2008. This will ensure that Scrutiny Co-ordinating Committee is able to review the report at the earliest opportunity.

3. NRF MONITORING 2007/2008

- 3.1 Details of NRF expenditure are summarised at Appendix A. Details of individual schemes are contained in appendices D, G, I and K. At this stage actual expenditure amounts to £1,832,000 compared to expected expenditure of £1,945,000, resulting in a favourable variance of £113,000. The Local Strategic Partnership reviews any variances and agrees a revised programme budget to ensure the full spend of the NRF Programme. Therefore this budget will be fully spent by the year-end.

4. CAPITAL MONITORING 2007/2008

- 4.1 Expenditure for all Portfolios is summarised at Appendix B.
- 4.2 Actual expenditure to 30th September, 2007, totals £9,663,300, compared to the approved budget of £36,495,200, leaving £26,831,900 to be paid. Some of this expenditure remaining is expected to be spent in 2007/2008 with £3,542,200 rephased into 2008/2009.
- 4.3 The main schemes where there is expenditure rephased into 2008/2009 are:

Portfolio	£'000
Culture, Leisure & Tourism	
H20 Watersports Centre	1,999
Finance	
Civic Centre Capital Maintenance	1,414

Further details are included in the relevant portfolio sections.

5. ACCOUNTABLE BODY PROGRAMME

5.1 The Council acts as Accountable Body for the Hartlepool New Deal for Communities (NDC) and Single Regeneration Budget (SRB) and the Children's Fund Partnership. As part of its role as Accountable Body the Council needs to be satisfied that expenditure is properly incurred and is progressing as planned. In addition, the Council has been allocated monies from the Tees Valley Single Programme Partnership (SP). Although, we are not the Accountable Body for the Partnership, the Council still has responsibilities for ensuring that expenditure is properly incurred and progressing as planned. This objective is achieved through a variety of means, including your consideration of monitoring reports for these areas as follows: -

i) Single Regeneration Budget (SRB)

The Council act as Accountable Body for the North Hartlepool Partnership. Details of progress against the approved budget are summarised at Appendix C, Table 1. Detailed reports showing individual schemes are included with Appendices M, Table 1 and N, Table 2.

There are no items to bring to Members attention and expenditure will be on target at the year-end.

ii) New Deal for Communities (NDC)

The management of NDC resources is subject to specific Government regulations where the Partnership is able to renegotiate the annual allocation during a mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme. The programme is currently forecasting full year expenditure of £6,556,500. This is funded from approved grant of £6,541,000 (£5,719,000 2007/2008 approval plus the £822,000 underspend from 2006/2007), plus another £1,280,300 of capital expenditure which is funded through other grants for Area Remodelling which NDC monitors, giving a total NDC budget of £7,821,300.

Appendix C provides details of the latest agreed budget in relation to this target along with the total actual expenditure as at 30th September, 2007.

In order to ensure that the Partnership achieves as close to its target allocation as possible the NDC Steering Group will approve additional allocations during the year and each project will be closely monitored up to the financial year-end.

There are no major items to bring to Members attention and expenditure will be on target at the year-end.

iii) **Single Programme (SP)**

These monies are allocated to the Council by Tees Valley Single Programme Partnership. The Partnership Board approves the annual delivery plan. Details of progress against budgets are summarised at Appendix C, Table 3. Schemes are detailed within Appendices M, Table 3 and N, Table 4.

There are no items to bring to Members attention and expenditure will be on target at the year-end.

iv) **Children's Fund**

The Children's Fund is funded by the Department for Children, Schools and Families (DCSF).

The Children's Fund have been granted a budget of £397,500 for financial year 2007/2008. Actual expenditure to date amounts to £158,600 as set out in Appendix C, Table 4. Detailed information is set out in Appendix M, Table 4.

There are no items to bring to Members attention and expenditure will be on target at the year-end.

6. REGENERATION AND LIVEABILITY PORTFOLIO

6.1 NRF Monitoring for Period Ending 30th September, 2007

6.1.1 Details of NRF actual and anticipated expenditure as at 30th September, 2007 are shown at **Appendix D**.

6.1.2 In overall terms actual expenditure amounts to £1,451,600, compared to anticipated expenditure of £1,430,000, resulting in an adverse variance of £21,600. It is anticipated there will be no variance at outturn.

6.1.3 There are no major items to bring to Portfolio Holder's attention.

6.2 Capital Monitoring for Period Ending 30th September, 2007

6.2.1 Details of anticipated and actual capital expenditure as at 30th September, 2007, is summarised in **Appendix E** and shows:

Column A - Scheme Title
 Column B - Budget for Year
 Column C - Actual expenditure to 30th September, 2007
 Column D - Expected remaining expenditure to be incurred in the

- period October to March, 2008
- Column E - Expenditure Rephased into 2008/2009
- Column F - Total Expenditure to be incurred including Expenditure Rephased into 2008/2009
- Column G - Column F less Column B = variance from budget
- Column H - Type of financing

6.2.2 Detailed analysis of these schemes are on deposit in the Member's Library.

6.2.3 Actual expenditure to date amounts to £24,800, compared to the approved budget of £299,800 with £281,200 of expenditure remaining.

6.2.4 An outturn variance of £6,200 is anticipated for the HLF Railing Restoration Scheme and officers are working to identify funding for this cost.

7. CULTURE, LEISURE AND TOURISM PORTFOLIO

7.1 Capital Monitoring for Period Ending 30th September, 2007

7.1.1 Details of anticipated and actual capital expenditure as at 30th September, 2007, is summarised in **Appendix F** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 30th September, 2007
- Column D - Expected remaining expenditure to be incurred in the period October to March, 2008
- Column E - Expenditure Rephased into 2008/2009
- Column F - Total Expenditure to be incurred including Expenditure Rephased into 2008/2009
- Column G - Column F less Column B = variance from budget
- Column H - Type of financing

7.1.2 Detailed analysis of these schemes are on deposit in the Member's Library.

7.1.3 Actual expenditure to date amounts to £227,500, compared to the approved budget of £2,786,600, with £2,559,100 of expenditure remaining. Some £551,800 of the remaining expenditure is expected to be spent in 2007/2008 with the balance of £2,007,300 rephased into 2008/2009.

7.1.4 Some £1,998,700 of the rephased balance relates to the H2O Watersports Centre. Plans for the development of the centre have been put on hold while additional funding is identified and the allocation will not be spent in 2007/2008.

8. NEIGHBOURHOOD AND COMMUNITIES PORTFOLIO

8.1 NRF Monitoring for Period Ending 30th September, 2007

8.1.1 Details of NRF actual and anticipated expenditure as at 30th September, 2007 are shown at **Appendix G**.

8.1.2 In overall terms actual expenditure amounts to £36,800, compared to anticipated expenditure of £51,700, resulting in a current favourable variance of £14,900. It is anticipated there will be no variance at outturn.

8.1.3 There are no major items to bring to the Portfolio Holder's attention.

8.2 Capital Monitoring for Period Ending 30th September, 2007

8.2.1 Details of anticipated and actual capital expenditure as at 30th September, 2007, is summarised in **Appendix H** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 30th September, 2007
- Column D - Expected remaining expenditure to be incurred in the period October to March, 2008
- Column E - Expenditure Rephased into 2008/2009
- Column F - Total Expenditure to be incurred including Expenditure Rephased into 2008/2009
- Column G - Column F less Column B = variance from budget
- Column H - Type of financing

8.2.2 Detailed analysis of these schemes are on deposit in the Member's Library.

8.2.3 Actual expenditure to date amounts to £3,332,100, compared to the approved budget of £12,561,300 with £9,232,100 of expenditure remaining.

8.2.4 An outturn variance of £2,900 is anticipated over the whole programme and officers are working to identify funding for this cost.

9. CHILDREN'S SERVICES PORTFOLIO

9.1 NRF Monitoring for Period Ending 30th September, 2007

9.1.1 Details of Children's Services NRF actual expenditure and anticipated expenditure as at 30th September, 2007, are shown at **Appendix I**.

9.1.2 In overall terms actual expenditure amounts to £28,900, compared to anticipated expenditure of £42,800, resulting in a current favourable

variance of £13,900. It is anticipated there will be no variance at outturn.

9.1.3 The majority of expenditure will be incurred from September, 2007, onwards, coinciding with the start of the new academic year.

9.1.4 There are no major items to bring to the Portfolio Holder's attention.

9.2 **Capital Monitoring for Period Ending 30th September, 2007**

9.2.1 Details of anticipated and actual capital expenditure as at 30th September, 2007, is summarised in **Appendix J** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 30th September, 2007
- Column D - Expected remaining expenditure to be incurred in the period October to March, 2008
- Column E - Expenditure Rephased into 2008/2009
- Column F - Total Expenditure to be incurred including Expenditure Rephased into 2008/2009
- Column G - Column F less Column B = variance from budget
- Column H - Type of financing

9.2.2 Detailed analysis of these schemes are on deposit in the Member's Library.

9.2.3 **Appendix J** provides a summary of the Children's Service's Capital Programme, which includes schemes funded from specific capital allocations and schemes from the revenue budget which are managed as capital projects owing to the nature of the expenditure and the accounting regulations.

9.2.4 Actual expenditure to date amounts to £2,172,500, compared to the approved budget of £7,016,200, with £4,843,700 of expenditure remaining. Some £4,722,600 of the remaining expenditure is expected to be spent in 2007/2008 with the balance of £121,100 rephased into 2008/2009.

9.2.5 There are a number of schemes on the Appendix from previous years where the final account balance is still outstanding. Officers are currently working to try and finalise any outstanding payments in this financial year.

9.2.6 There are some funding sources not currently fully allocated – Children's Centre Grant and Modernisation/Access Grants and RCCO funding. The Children's Centre Grant is a two year allocation (2006-2008) and schemes are currently in the process of being developed. The other funding will be allocated as the year progresses either towards schemes still at feasibility stage or for

schemes required to be undertaken for immediate Health and Safety requirements.

9.2.7 There are no major items to bring to the Portfolio Holder's attention.

10. ADULT AND PUBLIC HEALTH SERVICE PORTFOLIO

10.1 NRF Monitoring for Period Ending 30th September, 2007

10.1.1 Details of NRF actual and anticipated expenditure as at 30th September, 2007 are shown at **Appendix K**.

10.1.2 In overall terms actual expenditure amounts to £314,700, compared to anticipated expenditure of £420,500, resulting in a favourable variance of £105,800. It is anticipated that there will be no variance at outturn.

10.1.3 There are no major items to bring to Portfolio Holder's attention.

10.2 Capital Monitoring for Period Ending 30th September, 2007

10.2.1 Details of anticipated and actual capital expenditure as at 30th September, 2007, is summarised in **Appendix L** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 30th September, 2007
- Column D - Expected remaining expenditure to be incurred in the period October to March, 2008
- Column E - Expenditure Rephased into 2008/2009
- Column F - Total Expenditure to be incurred including Expenditure Rephased into 2008/2009
- Column G - Column F less Column B = variance from budget
- Column H - Type of financing

10.2.2 Detailed analysis of these schemes are on deposit in the Member's Library.

10.2.3 Capital expenditure to date amounts to £375,300, compared to the approved budget of £1,456,300, with £1,081,000 of expenditure remaining. All of the remaining expenditure is expected to be spent in 2007/2008.

10.2.4 There are no major items to bring to the Portfolio Holder's attention.

11. FINANCE AND EFFICIENCY PORTFOLIO

11.1 Accountable Body Revenue Monitoring for Period Ending 30th September, 2007

11.1.1 The Council acts as Accountable Body for the North Hartlepool, Hartlepool New Deal for Communities, Single Programme Partnerships and the Children's Fund. Details of progress against the approved revenue budgets are summarised at **Appendix M**.

11.1.2 Table 1 – Single Regeneration Budget (SRB)

Details of progress against the approved revenue budgets are summarised at Table 1. Actual expenditure to date amounts to £35,900, compared to anticipated expenditure of £31,200, resulting in a current adverse variance of £4,700.

11.1.3 The main item to bring to the Portfolio Holder's attention is:

Line 1: Programme Administration
Current Variance: £4,700 Adverse
Forecast Variance: £4,700 Adverse

The current adverse variance is owing to the completion of the SRB Programme and the closure of the Administration Offices. Any adverse variance at the year-end will be funded from the SRB match funding reserve.

11.1.4 Table 2 – New Deal for Communities (NDC)

The management of NDC resources is subject to specific Government regulations were the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme.

The Partnership has been allocated £4,045,000 to spend in 2007/2008 on revenue projects. Appendix M, Table 2 provides details of the latest agreed budget in relation to this target, totalling £3,379,000 and leaving £666,000 to be allocated.

Actual expenditure to date amounts to £613,500, compared to anticipated expenditure of £1,054,000, resulting in a current favourable variance of £440,500.

11.1.5 There are no major items to bring to Members attention and expenditure will be on target at the year-end.

11.1.6 **Table 3 – Single Programme**

These monies are allocated to the Council by Tees Valley Single Programme Partnership. The Council has been allocated £1,035,900 to spend in 2007/2008 on revenue projects. Actual expenditure to date amounts to £393,100, compared to anticipated expenditure of £518,000, resulting in a current favourable variance of £124,900.

11.1.7 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

11.1.8 **Table 4 – Children's Fund Programme**

The Children's Fund Programme is wholly funded by the Children and Young Person's Unit (CYPU).

The Children's Fund has been granted a budget of £397,500 for financial year 2007/2008. Actual expenditure to date amounts to £158,600, compared to an expected spend to date of £139,600 as set out in Appendix M, Table 4.

11.1.9 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

11.2 **Capital Monitoring for Period Ending 30th September, 2007**

11.2.1 Details of anticipated and actual capital expenditure as at 30th September, 2007, is summarised in **Appendix N** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 30th September, 2007
- Column D - Expected remaining expenditure to be incurred in the period October to March, 2008
- Column E - Expenditure Rephased into 2008/2009
- Column F - Total Expenditure to be incurred including Expenditure Rephased into 2008/2009
- Column G - Column F less Column B = variance from budget
- Column H - Type of financing

11.2.2 Detailed analysis of these schemes are on deposit in the Member's Library.

11.2.3 **Table 1 – Resources**

Actual expenditure to date amounts to £1,811,900, compared to the approved budget of £6,741,600, with £4,841,800 of expenditure remaining. Some £3,428,000 of the expenditure remaining is expected to be spent in 2007/2008 with the balance of £1,413,800 rephased into 2008/2009.

11.2.4 The main items to bring to the Portfolio Holder's attention are:

Priority 1 Repairs

Savings of £88,000 have been identified in the tendered costs for the Priority 1 Programme to address the backlog of repairs to Council buildings.

Civic Centre Capital Maintenance

As has been previously reported this scheme has been delayed owing to the complexity of the consultation and design stages. Consequently, the scheme will now be substantially completed in 2008/2009, rather than 2007/2008 as originally planned and expenditure is to be rephased accordingly.

11.2.5 Table 2 – Single Regeneration Budget

Details of progress against the approved capital budgets are summarised at Table 2. Actual expenditure to date amounts to £264,600, compared to the approved budget of £1,030,000, with £730,400 of expenditure remaining.

11.2.6 The main item to bring to Portfolio Holder's attention is that the overall Capital Programme for Single Regeneration Budget for 2007/2008 is currently forecasting a £35,000 favourable variance across all schemes. The favourable variance is dependent on outstanding retention payments, outstanding defects and additional works on the Carnegie Building. Approval has been given by the Regeneration and Liveability Portfolio Holder to vire £60,000 to another Single Regeneration Budget Scheme, St Andrew's Church and the favourable variance will be used for this should it become available.

11.2.7 Table 3 – New Deal for Communities

The management of NDC resources is subject to specific Government regulations were the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme.

The Partnership has been allocated £3,776,300 to spend in 2007/2008 on capital projects. Appendix N, Table 3 provides details of the latest agreed budget in relation to this target, totalling £3,177,500 and leaving £598,800 to be allocated.

Actual expenditure to date amounts to £1,237,300, compared to the approved budget of £3,177,500, with £1,940,300 of expenditure remaining.

11.2.8 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end

11.2.9 **Table 4 – Single Programme**

These monies are allocated to the Council by the Tees Valley Single Programme Partnership. The Council has been allocated £1,425,800 to spend in 2007/2008 on capital projects. Actual expenditure to date amounts to £217,400 with £1,208,400 of expenditure remaining.

11.2.10 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at yearend.

12. RECOMMENDATIONS

12.1 It is recommended that Members note the report.

NEIGHBOURHOOD RENEWAL FUND - REVENUE MONITORING REPORT TO 30th SEPTEMBER 2007

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	1,430.0	1,451.6	21.6	Regeneration and Liveability	2,845.3	2,845.3	0.0
2	51.7	36.8	(14.9)	Neighbourhoods and Communities	104.5	104.5	0.0
3	42.8	28.9	(13.9)	Children's Services	172.1	172.1	0.0
4	420.5	314.7	(105.8)	Adult and Public Health	840.9	840.9	0.0
5	1,945.0	1,832.0	(113.0)		3,962.8	3,962.8	0.0

CAPITAL MONITORING REPORT TO 30th September
2007

Line No	Portfolio	2007/08 Budget	2007/08 Actual	2007/08 Expenditure Remaining	Expenditure Rephased into 2008/09	2007/08 Expenditure	2007/08 Variance from budget
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F	Col. G (G=D+E+F)	Col. H (H=G-C)
£		£	£	£	£	£	£
1	Regeneration & Liveability	299.8	24.8	281.2	-	306.0	6.2
2	Culture, Leisure & Tourism	2,786.6	227.5	551.8	2,007.3	2,786.6	0.0
3	Neighbourhoods & Communities	12,561.3	3,332.1	9,232.1	-	12,564.2	2.9
4	Children's Services	7,016.2	2,172.5	4,722.6	121.1	7,016.2	0.0
5	Adult & Public Health Services	1,456.3	375.3	1,081.0	-	1,456.3	0.0
6	Finance & Efficiency	12,375.0	3,531.1	7,307.0	1,413.8	12,251.9	(123.1)
7	Total Capital Expenditure	36,495.2	9,663.3	23,175.7	3,542.2	36,381.2	(114.0)

ACCOUNTABLE BODY PROGRAMMES

Line No	Actual Position 30/09/07			Description of Expenditure	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
				TABLE 1 - SRB North Hartlepool Partnership			
1	31.2	35.9	4.7	Revenue Projects	31.2	35.9	4.7
2	515.0	264.6	(250.4)	Capital Projects	1,030.0	995.0	(35.0)
3	546.2	300.5	(245.7)	Total SRB	1,061.2	1,030.9	(30.3)
				TABLE 2 - New Deal for Communities			
4	1,054.0	613.5	(440.5)	Revenue Projects	3,379.0	3,379.0	0.0
5	1,588.8	1,237.3	(351.5)	Capital Projects	3,177.5	3,177.5	0.0
6	2,642.8	1,850.8	(792.0)	Total NDC	6,556.6	6,556.6	0.0
				TABLE 3 Single Programme			
7	518.0	393.1	(124.9)	Revenue Projects	1,035.9	1,035.9	0.0
8	712.9	217.4	(495.5)	Capital Projects	1,425.8	1,425.8	0.0
9	1,230.9	610.5	(620.4)	Total SP	2,461.7	2,461.7	0.0
				TABLE 4 - Miscellaneous			
10	139.6	158.6	19.0	Childrens Fund	397.5	397.5	0.0
11	139.6	158.6	19.0	Total Miscellaneous	397.5	397.5	0.0

NEIGHBOURHOOD RENEWAL FUND

REVENUE MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2007

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	8.2	3.9	(4.3)	Community Safety Small Grants Fund	16.5	16.5	0.0
2	36.0	27.0	(9.0)	Anti Social Behaviour Officer	72.0	72.0	0.0
3	75.0	70.2	(4.8)	Community Safety Wardens	150.0	150.0	0.0
4	77.5	42.1	(35.4)	Partnership Working with Communities	155.0	155.0	0.0
5	62.4	41.9	(20.5)	Hartlepool Scheme for Prolific Offenders	124.8	124.8	0.0
6	11.4	7.5	(3.9)	Project Assistant Small Grants / Community Safety	23.6	23.6	0.0
7	31.6	31.6	0.0	Cool Project Out of School activities for children	63.2	63.2	0.0
8	90.1	93.3	3.2	Families Changing Communities	180.2	180.2	0.0
9	16.5	0.0	(16.5)	Young Firefighters	33.0	33.0	0.0
10	136.5	273.0	136.5	Neighbourhood Policing	273.0	273.0	0.0
11	36.5	23.4	(13.1)	Management & Consultancy	73.0	73.0	0.0
12	24.0	19.8	(4.2)	Neighbourhood Renewal Officer	48.0	48.0	0.0
13	20.0	8.3	(11.7)	Neighbourhood Action Plan Development	40.0	40.0	0.0
14	2.3	0.1	(2.2)	Administration of LLP	4.6	4.6	0.0
15	39.5	0.0	(39.5)	Level 3 Progression - HCFE	79.0	79.0	0.0
16	12.3	12.0	(0.3)	Active Skills - West View Project	24.5	24.5	0.0
17	15.0	10.0	(5.0)	Hartlepool Deaf Centre	30.0	30.0	0.0
18	16.0	24.0	8.0	Career Coaching HVDA	32.0	32.0	0.0
19	25.2	4.0	(21.2)	Dyke House/Stranton/Grange Neighbourhood Action Plan	50.3	50.3	0.0
20	9.4	3.8	(5.6)	Central Neighbourhood Action Plan	18.8	18.8	0.0
21	22.6	13.9	(8.7)	West View/King Oswy Neighbourhood Action Plan	45.2	45.2	0.0
22	26.0	39.8	13.8	Targeted Training	52.1	52.1	0.0
23	30.8	38.6	7.8	Womens Opportunities	61.5	61.5	0.0
24	39.1	19.1	(20.0)	Jobsbuild	78.2	78.2	0.0
25	60.4	90.4	30.0	Intermediate Labour Market(ILM) Employment Assistance	120.7	120.7	0.0
26	12.6	12.6	0.0	Marketing Assistant	25.2	25.2	0.0
27	12.5	20.3	7.8	Employment Co-ordinator	25.0	25.0	0.0
28	21.1	22.4	1.3	Improving the Employment Offer	42.3	42.3	0.0
29	52.5	52.5	0.0	North Central Hartlepool Delivery Team Staff Cost	105.0	105.0	0.0
30	41.7	48.0	6.3	Assisting Local People into Work	83.4	83.4	0.0
31	80.1	112.0	31.9	Incubator System	160.2	160.2	0.0
32	39.3	59.0	19.7	Volunteering into Employment	78.6	78.6	0.0
33	4.3	0.0	(4.3)	Skills & Knowledge	8.5	8.5	0.0
34	73.6	52.5	(21.1)	Community Employment Outreach	147.2	147.2	0.0
35	22.0	22.5	0.5	STEP Homelessness Project	44.0	44.0	0.0
36	5.0	5.0	0.0	Positive Choice for Carers - Training & Education	10.0	10.0	0.0
37	11.5	11.5	0.0	Owton Manor West N'hood Watch Residents Assoc.	23.0	23.0	0.0
38	10.0	15.0	5.0	West View Project - Training for Young People	20.0	20.0	0.0

NEIGHBOURHOOD RENEWAL FUND

REVENUE MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2007

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
39	10.0	10.0	0.0	Grange Road Methodist Church	20.0	20.0	0.0
40	45.0	90.0	45.0	Community Chest	90.0	90.0	0.0
41	10.0	4.8	(5.2)	Burbank Neighbourhood Action Plan	4.8	4.8	0.0
42	14.6	2.1	(12.5)	Rift House/Burn Valley Neighbourhood Action Plan	29.1	29.1	0.0
43	20.9	1.6	(19.3)	Owton Neighbourhood Action Plan	41.7	41.7	0.0
44	14.1	10.9	(3.2)	Rossmere Neighbourhood Action Plan	28.3	28.3	0.0
45	4.9	1.2	(3.7)	Headland Neighbourhood Action Plan (North Hartlepool)	9.8	9.8	0.0
46	1,430.0	1,451.6	21.6		2,845.3	2,845.3	0.0

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B 2007/2008 Budget £'000	C 2007/2008 Actual as at 30/09/07 £'000	D 2007/2008 Expenditure Remaining £'000	E Expenditure Rephased into 2008/09 £'000	F C+D+E 2007/2008 Total Expenditure £'000	G F-B 2007/2008 Variance from budget £'000	H Type of financing
7368	Building Safer Communities Initiatives	48.5	12.4	36.1	0.0	48.5	0.0	UCPB
7431	Community Safety Strategy	237.9	0.0	237.9	0.0	237.9	0.0	UCPB
7525	HLF-Railing Restoration	6.2	12.4	0.0	0.0	12.4	6.2	GRANT
7579	Newburn Bridge Units-Elec Refit Works	7.2	0.0	7.2	0.0	7.2	0.0	UCPB
		299.8	24.8	281.2	0.0	306.0	6.2	

Key

- RCCO Revenue Contribution towards Capital
- MIX Combination of Funding Types
- UCPB Unsupported Corporate Prudential Borrowing
- SCE@ Supported Capital Expenditure (Revenue)

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B 2007/2008 Budget £'000	C 2007/2008 Actual as at 30/09/07 £'000	D 2007/2008 Expenditure Remaining £'000	E Expenditure Rephased into 2008/09 £'000	F C+D+E 2007/2008 Total Expenditure £'000	G F-B 2007/2008 Variance from budget £'000	H Type of financing
7474	Briarfields Allotments Refurbishment	2.5	0.2	2.3	0.0	2.5	0.0	RCCO
7712	Bridge Community Centre - Demolition	150.0	0.0	150.0	0.0	150.0	0.0	UCPB
7713	Burbank Community Centre -Refurbishment	136.0	125.2	10.8	0.0	136.0	0.0	UCPB
7214	Burn Valley Park Improvements	11.2	7.3	3.9	0.0	11.2	0.0	MIX
7651	Burn Valley Park Beck	5.0	0.0	5.0	0.0	5.0	0.0	GRANT
7377	Central Library - Various Improvement Works	36.0	0.0	36.0	0.0	36.0	0.0	RCCO
7375	Countryside Development Works	15.0	0.0	15.0	0.0	15.0	0.0	MIX
7864	Foreshore - Replacement Lifeguard Vehicle	9.0	0.0	9.0	0.0	9.0	0.0	RCCO
7213	Grayfields Sports Pavillion	77.7	25.5	52.2	0.0	77.7	0.0	MIX
7382	Greatham Play Area Equipment	40.0	31.4	0.0	8.6	40.0	0.0	MIX
7657	Headland Sports Hall - Develop Office	0.6	0.0	0.6	0.0	0.6	0.0	RCCO
7380	H2O Watersports Centre	1,998.7	0.0	0.0	1,998.7	1,998.7	0.0	MIX
7831	Jutland Road Community Centre - Internal Alterations	9.1	0.2	8.9	0.0	9.1	0.0	RCCO
7414	Jutland Road Play Area Upgrade	20.0	0.0	20.0	0.0	20.0	0.0	GRANT
7865	Museum of Hatlepool - Re-display	80.0	0.0	80.0	0.0	80.0	0.0	GRANT
7271	Rossmere Fountain Improvements	0.7	0.0	0.7	0.0	0.7	0.0	MIX
7845	Rossmere - Pitch Improvements	5.0	0.0	5.0	0.0	5.0	0.0	RCCO
7215	Seaton Carew Cricket Club Ground Imps	20.0	5.1	14.9	0.0	20.0	0.0	MIX
7771	Seaton Allotments - Drainage Works	15.0	9.0	6.0	0.0	15.0	0.0	RCCO
7203	Sir William Gray House - Disability Improvements	2.6	0.0	2.6	0.0	2.6	0.0	MIX
7676	Sport & Recreation - Purchase of New Vehicles	19.5	19.5	0.0	0.0	19.5	0.0	RCCO
7217	Throston Community Centre	3.3	0.0	3.3	0.0	3.3	0.0	MIX
7853	Throston Library - Boiler Replacement	5.6	0.0	5.6	0.0	5.6	0.0	RCCO
7844	Town Moor - Develop Multi Use Games Area	26.0	0.0	26.0	0.0	26.0	0.0	MIX
7590	Ward Jackson Car Park - Tunstall Court	77.7	0.0	77.7	0.0	77.7	0.0	MIX
7367	Ward Jackson Park Refurbishment	16.5	2.3	14.2	0.0	16.5	0.0	MIX
7081	Waverley Allotments	3.9	1.8	2.1	0.0	3.9	0.0	MIX
		2,786.6	227.5	551.8	2,007.3	2,786.6	0.0	

Key

RCCO	Revenue Contribution towards Capital	GRANT	Grant Funded
MIX	Combination of Funding Types	CAP REC	Capital Receipt
UCPB	Unsupported Corporate Prudential Borrowing	UDPB	Unsupported Departmental Prudential Borrowing
SCE ®	Supported Capital Expenditure (Revenue)	SPB	Supported Prudential Borrowing

PORTFOLIO : NEIGHBOURHOODS & COMMUNITIES

Appendix G

NEIGHBOURHOOD RENEWAL FUND**REVENUE MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2007**

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	44.2	28.2	(16.0)	Environment Team	88.5	88.5	0.0
2	3.0	4.6	1.6	Environmental Education	6.0	6.0	0.0
3	4.5	4.0	(0.5)	Landlord Accreditation Scheme	10.0	10.0	0.0
4	51.7	36.8	(14.9)		104.5	104.5	0.0

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR							H Type of financing
		B	C	D	E	F	G		
		2007/2008 Budget £'000	2007/2008 Actual as at 30/09/07 £'000	2007/2008 Expenditure Remaining £'000	Expenditure Rephased into 2008/09 £'000	C+D+E 2007/2008 Total Expenditure £'000	F-B 2007/2008 Variance from budget £'000		
7222	Minor Works - North	65.9	(8.9)	74.8	0.0	65.9	0.0	MIX	
7223	Minor Works - South	86.4	13.0	73.4	0.0	86.4	0.0	MIX	
7224	Minor Work - Central	60.8	37.6	23.2	0.0	60.8	0.0	MIX	
7272	Wheely Bin Purchase	75.6	26.4	49.2	0.0	75.6	0.0	MIX	
7465	Recycling Scheme	236.5	29.6	206.9	0.0	236.5	0.0	UDPB	
7821	Waste Performance Efficiency	93.0	0.0	93.0	0.0	93.0	0.0	GRANT	
7404	HRA Residual Expenditure	9.1	0.0	9.1	0.0	9.1	0.0	CORP RES	
7218	Disabled Facility Grants	430.1	77.4	352.7	0.0	430.1	0.0	MIX	
7230	North Central Hartlepool Housing Regeneration	4,065.7	1,497.9	2,567.8	0.0	4,065.7	0.0	MIX	
7595	Tees Valley Empty Property Initiative	179.5	0.0	179.5	0.0	179.5	0.0	SHIP	
7219	Home Plus Grants (provided by Endeavour HA)	140.0	36.3	103.7	0.0	140.0	0.0	SHIP	
7231	Housing Thermal Efficiency	261.2	115.9	145.3	0.0	261.2	0.0	SHIP	
7220	Private Sector Housing Grants	490.4	108.4	382.0	0.0	490.4	0.0	SHIP	
7720	Public Conveniences	395.0	5.8	389.2	0.0	395.0	0.0	UDPB	
7080	NRF Street Lighting	27.2	0.0	27.2	0.0	27.2	0.0	UDPB	
7207	LTP-Community Safety-Car Park Security/CCTV	50.0	30.4	19.6	0.0	50.0	0.0	SPB	
7208	CSS - Alleyway Stopping Up Programme	0.8	0.0	0.8	0.0	0.8	0.0	CAP REC	
7235	LTP-Low Floor Infrastructure	15.0	0.8	14.2	0.0	15.0	0.0	SPB	
7236	LTP-Bus Shelter Improvements	30.0	2.5	27.5	0.0	30.0	0.0	SPB	
7237	LTP-Cycle Routes General	79.0	3.9	75.1	0.0	79.0	0.0	SPB	
7240	LTP-Hartlepool Transport Interchange	1,695.1	52.5	1,642.6	0.0	1,695.1	0.0	SPB	
7241	LTP-Dropped Crossings	25.0	10.0	15.0	0.0	25.0	0.0	SPB	
7242	LTP-Other Street Lighting	52.0	1.0	51.0	0.0	52.0	0.0	SPB	
7244	LTP-Travel Plans Workplace	9.0	6.0	3.0	0.0	9.0	0.0	SPB	
7247	LTP-Bus Quality Corridor	16.0	3.6	12.4	0.0	16.0	0.0	SPB	
7250	LTP-Sustainable Travel Awareness	9.0	0.0	9.0	0.0	9.0	0.0	SPB	
7251	LTP-Public Transport CCTV	10.0	0.0	10.0	0.0	10.0	0.0	SPB	
7252	LTP-Safer Streets Initiative	18.0	2.2	15.8	0.0	18.0	0.0	SPB	
7269	Rural Bus Challenge Scheme	30.1	0.0	30.1	0.0	30.1	0.0	GRANT	
7412	Basement Car Park	15.9	0.0	15.9	0.0	15.9	0.0	UDPB	
7424	Pride in Hartlepool	21.6	3.3	18.3	0.0	21.6	0.0	UCPB	
7452	LTP-Local Safety Scheme	32.0	24.3	7.7	0.0	32.0	0.0	SPB	
7454	Murray Street LSS	15.0	13.8	1.2	0.0	15.0	0.0	SPB	
7455	Hart Lane Road Safety Improvements	40.0	0.0	40.0	0.0	40.0	0.0	SPB	
7456	New Car Park York Road Flatlets	8.5	0.0	8.5	0.0	8.5	0.0	CAP REC	
7458	Marks & Spencer Car Park Refurbishment	36.5	6.1	30.4	0.0	36.5	0.0	UDPB	
7487	LTP-Local Transportation Plan-Monitoring	3.0	2.5	0.5	0.0	3.0	0.0	SPB	
7499	Lithgo Close - Contaminated Land	90.7	64.7	26.0	0.0	90.7	0.0	CAP REC	
7508	Anhydrite Mine	536.4	127.5	408.9	0.0	536.4	0.0	UCPB	
7541	LTP-Safer Routes to School	48.0	10.5	37.5	0.0	48.0	0.0	SPB	
7542	LTP-Parking Lay-bys	50.0	50.0	0.0	0.0	50.0	0.0	SPB	
7543	LTP-School Safety Zones	18.0	9.1	8.9	0.0	18.0	0.0	SPB	
7544	LTP-Shop Mobility	20.0	20.0	0.0	0.0	20.0	0.0	SPB	
7545	LTP-Motorcycle Training	21.0	0.0	21.0	0.0	21.0	0.0	SPB	
7546	LTP-Road Safety Education & Training	13.7	0.5	13.2	0.0	13.7	0.0	SPB	
7547	LTP-Dial-a-Ride	60.0	0.0	60.0	0.0	60.0	0.0	SPB	
7549	LTP - Other Bridge Schemes	90.0	0.0	90.0	0.0	90.0	0.0	SPB	
7580	Highways Remedial Works - Hartlepool Marina	7.9	1.7	6.2	0.0	7.9	0.0	TDC	
7581	Tees Valley Boundary Signs	5.4	0.0	5.4	0.0	5.4	0.0	GRANT	
7584	Open Market Resurfacing	43.4	0.0	43.4	0.0	43.4	0.0	UCPB	
7613	LTP-Newburn Bridge LSS	40.0	32.3	7.7	0.0	40.0	0.0	SPB	
7624	LTP-Headland Traffic ManagementCongestion	39.0	0.0	39.0	0.0	39.0	0.0	SPB	
7639	Footpath Works to Marina	25.2	32.5	(7.3)	0.0	25.2	0.0	GRANT	
7644	LTP-Travel Plans Schools	9.0	2.8	6.2	0.0	9.0	0.0	SPB	
7674	LTP-A689 Stockton Street	3.8	3.8	0.0	0.0	3.8	0.0	SPB	
7677	LTP-HM-York Road Improvements	1.6	1.6	0.0	0.0	1.6	0.0	SPB	
7678	LTP-HM-Wiltshire Way	20.0	0.0	20.0	0.0	20.0	0.0	SPB	
7679	LTP-HM-A689 Stranton	35.0	35.0	0.0	0.0	35.0	0.0	SPB	
7680	HM-Allendale Street (RS)	6.1	6.1	0.0	0.0	6.1	0.0	SPB	
7681	HM-Arch Court	5.5	5.5	0.0	0.0	5.5	0.0	SPB	
7683	LTP-HM-Carlisle Street	8.5	8.5	0.0	0.0	8.5	0.0	SPB	
7684	HM-Catherine Street	6.5	6.5	0.0	0.0	6.5	0.0	SPB	
7685	LTP - HM - Dalton Village Young	15.0	15.0	0.0	0.0	15.0	0.0	SPB	
7687	LTP-HM-Eldon Grove	23.4	23.4	0.0	0.0	23.4	0.0	SPB	
7688	LTP-HM-Elmwood Road	20.4	20.4	0.0	0.0	20.4	0.0	SPB	
7689	LTP-HM-Elwick Road	72.2	72.2	0.0	0.0	72.2	0.0	SPB	
7690	LTP-HM-Farndale Road	7.5	7.5	0.0	0.0	7.5	0.0	SPB	

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR							H Type of financing
		B	C	D	E	F	G		
		2007/2008 Budget £'000	2007/2008 Actual as at 30/09/07 £'000	2007/2008 Expenditure Remaining £'000	Expenditure Rephased into 2008/09 £'000	C+D+E 2007/2008 Total Expenditure £'000	F-B 2007/2008 Variance from budget £'000		
7692	LTP-HM-Grantham Avenue	19.7	19.7	0.0	0.0	19.7	0.0	SPB	
7693	LTP-HM-Grasmere Street	12.6	12.6	0.0	0.0	12.6	0.0	SPB	
7694	LTP-HM-Greenock Road	14.5	14.5	0.0	0.0	14.5	0.0	SPB	
7695	LTP-HM-Hartville Road	11.4	11.4	0.0	0.0	11.4	0.0	SPB	
7696	LTP-HM-Holt Street	8.6	8.6	0.0	0.0	8.6	0.0	SPB	
7697	LTP-HM-Kesteven Road CDS (110-128)	5.1	5.1	0.0	0.0	5.1	0.0	SPB	
7698	LTP-HM-Kesteven Road CDS (138-152)	4.2	4.2	0.0	0.0	4.2	0.0	SPB	
7699	LTP-HM-Kesteven Road CDS (162-176)	3.7	3.7	0.0	0.0	3.7	0.0	SPB	
7700	HM-Keswick Street	12.2	12.2	0.0	0.0	12.2	0.0	SPB	
7701	LTP-HM-Kipling Road	17.1	17.1	0.0	0.0	17.1	0.0	SPB	
7702	LTP-HM-Oakley Gardens	12.4	12.4	0.0	0.0	12.4	0.0	SPB	
7703	LTP-HM-Retention (HM)	191.7	0.0	191.7	0.0	191.7	0.0	SPB	
7705	Seaton Bus Station - Repairs & Redecoration	150.0	0.0	150.0	0.0	150.0	0.0	CAP REC	
7706	Waterproofing Phase 2 - Multi Story Car Park	300.0	0.0	300.0	0.0	300.0	0.0	CAP REC	
7707	Highways Maintenance - Other Schemes (non LTP)	14.8	0.0	14.8	0.0	14.8	0.0	CAP REC	
7714	Owton Manor Lane Shops	77.0	77.9	0.0	0.0	77.9	0.9	MIX	
7732	LTP-Speed Activated Signs	10.0	0.0	10.0	0.0	10.0	0.0	SPB	
7734	LTP-Hart Lane/Wiltshire Way Junction Improvement 07-08	92.7	18.0	74.7	0.0	92.7	0.0	SPB	
7735	LTP-Seaton Carew Bus Station	10.0	0.0	10.0	0.0	10.0	0.0	SPB	
7736	LTP-York Road (Park Road to Lister Street)	412.0	0.2	411.8	0.0	412.0	0.0	SPB	
7787	Stockton Road-Prevent Flooding	35.0	35.0	0.0	0.0	35.0	0.0	CAP REC	
7788	LTP-Coniscliffe Road - Outside School	32.0	16.0	16.0	0.0	32.0	0.0	CAP REC	
7790	Resurface Dowson Road	20.4	12.0	8.4	0.0	20.4	0.0	CAP REC	
7791	Resurface Nesbyt Road	4.4	6.4	0.0	0.0	6.4	2.0	CAP REC	
7792	Resurface North Close Elwick	9.5	9.5	0.0	0.0	9.5	0.0	CAP REC	
7793	Resurface Penrith Street	3.4	3.4	0.0	0.0	3.4	0.0	CAP REC	
7794	Resurface Purvis Place	8.9	8.9	0.0	0.0	8.9	0.0	CAP REC	
7795	Resurface Richard Court	14.2	0.0	14.2	0.0	14.2	0.0	CAP REC	
7796	Resurface Rosedale Avenue	5.3	5.3	0.0	0.0	5.3	0.0	CAP REC	
7797	Resurface Staindrop Street	12.2	12.2	0.0	0.0	12.2	0.0	CAP REC	
7798	Resurface Stockton Road Service Area	28.4	28.4	0.0	0.0	28.4	0.0	CAP REC	
7799	Resurface Swainby Road	12.2	12.2	0.0	0.0	12.2	0.0	CAP REC	
7800	Resurface The Green Greatham	12.2	12.2	0.0	0.0	12.2	0.0	CAP REC	
7801	Resurface The Grove Greatham	14.3	14.3	0.0	0.0	14.3	0.0	CAP REC	
7802	Resurface West Park	17.2	15.5	1.7	0.0	17.2	0.0	CAP REC	
7803	Resurface Westwood Way	13.4	13.4	0.0	0.0	13.4	0.0	CAP REC	
7804	Resurface Whitby Walk	8.4	8.4	0.0	0.0	8.4	0.0	CAP REC	
7805	LTP-Footpath - West View Road	21.0	21.0	0.0	0.0	21.0	0.0	SPB	
7806	Footpath - Truro Drive	80.0	80.0	0.0	0.0	80.0	0.0	CAP REC	
7807	Footpath - Verner Road	52.0	52.0	0.0	0.0	52.0	0.0	CAP REC	
7808	LTP-Congestion Reduction	19.0	0.0	19.0	0.0	19.0	0.0	SPB	
7809	LTP-Retention (Integrated Transport Block)	10.0	0.7	9.3	0.0	10.0	0.0	SPB	
7810	LTP-Clavering Area Traffic Management	12.0	1.0	11.0	0.0	12.0	0.0	SPB	
7838	LTP-Tees Road Footways (west side)	137.0	0.0	137.0	0.0	137.0	0.0	SPB	
7839	LTP-Footways-Duke Street	14.0	0.0	14.0	0.0	14.0	0.0	SPB	
7840	LTP-Footways-Arbroath Grove	3.6	0.0	3.6	0.0	3.6	0.0	SPB	
7841	LTP-Footways-Winterbottom Avenue	10.0	0.0	10.0	0.0	10.0	0.0	SPB	
7842	LTP-Footways-Warren Road	14.5	0.0	14.5	0.0	14.5	0.0	SPB	
7843	LTP-Footways-Farr Walk/Fordyce Road	12.0	0.0	12.0	0.0	12.0	0.0	SPB	
7847	Coast Protection - Headland Fencing and Promenade	120.0	17.3	102.7	0.0	120.0	0.0	CAP REC	
7852	Highway Improvements - Tesco Section 106 Expenditure	200.0	70.0	130.0	0.0	200.0	0.0	GRANT	
7855	LTP - HM - Queens Road	4.0	0.0	4.0	0.0	4.0	0.0	SPB	
7856	LTP - Stranton Traffic Management	50.0	0.0	50.0	0.0	50.0	0.0	SPB	
		12,561.3	3,332.1	9,232.1	0.0	12,564.2	2.9		

Key

RCCO	Revenue Contribution towards Capital	GRANT	Grant Funded
MIX	Combination of Funding Types	CAP REC	Capital Receipt
UCPB	Unsupported Corporate Prudential Borrowing	UDPB	Unsupported Departmental Prudential Borrowing
SCE @	Supported Capital Expenditure (Revenue)	SPB	Supported Prudential Borrowing

PORTFOLIO : CHILDREN'S SERVICES

Appendix I

NEIGHBOURHOOD RENEWAL FUND**REVENUE MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2007**

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	25.0	26.5	1.5	NRF - Education Business Links	50.0	50.0	0.0
2	0.0	0.0	0.0	NRF - Hartlepool On-Track Project	86.1	86.1	0.0
3	1.3	1.3	0.0	NRF - Project Co-ordination	3.0	3.0	0.0
4	15.0	1.1	(13.9)	NRF - New Initiatives	30.0	30.0	0.0
5	1.5	0.0	(1.5)	NRF - Contingency	3.0	3.0	0.0
6	42.8	28.9	(13.9)		172.1	172.1	0.0

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR							H Type of financing
		B	C	D	E	F	G		
		2007/2008 Budget £'000	2007/2008 Actual as at 30/09/07 £'000	2007/2008 Expenditure Remaining £'000	Expenditure Rephased into 2008/09 £'000	C+D+E 2007/2008 Total Expenditure £'000	F-B 2007/2008 Variance from budget £'000		
7758	Barnard Grove - New Pipework and Fan Convectors	14.1	11.4	2.7	0.0	14.1	0.0	SCE (R)	
7757	Barnard Grove - KS2 Roofworks	68.3	29.0	39.3	0.0	68.3	0.0	GRANT	
7726	Brierton - Roof Works	45.2	40.2	5.0	0.0	45.2	0.0	GRANT	
7275	Brierton - Relocation to Single Site	6.7	0.0	6.7	0.0	6.7	0.0	MIX	
7276	Brierton - Remove Boundary Fence	14.3	0.0	14.3	0.0	14.3	0.0	MIX	
7277	Brierton - Convert top site to Access 2 Learning School	6.6	0.0	6.6	0.0	6.6	0.0	MIX	
7478	Brierton - Re-Roof Craft Block	1.2	1.2	0.0	0.0	1.2	0.0	GRANT	
7451	Brierton - Build Sports Hall & Sports Facilities	20.4	0.0	20.4	0.0	20.4	0.0	MIX	
7420	Brierton - Purchase ICT & Internal Alterations	0.6	0.0	0.6	0.0	0.6	0.0	MIX	
7767	Brierton - Upgrade Fire Alarm System	27.1	15.4	11.7	0.0	27.1	0.0	RCCO	
7501	Brougham - Install Nursery Toilet / Change Facility	0.7	0.0	0.7	0.0	0.7	0.0	SCE (R)	
7655	Brougham - Improvement Works to Kitchen/Courtyard	2.8	2.8	0.0	0.0	2.8	0.0	GRANT	
7666	Brougham - Modifications to SPACE Centre	8.0	0.7	7.3	0.0	8.0	0.0	GRANT	
7769	Brougham - Resurface Play Area	10.0	8.1	1.9	0.0	10.0	0.0	RCCO	
7357	Brougham - Develop Outside Play Area	4.9	4.9	0.0	0.0	4.9	0.0	GRANT	
7746	Brougham - Refurbish Toilets	25.8	0.0	25.8	0.0	25.8	0.0	RCCO	
7768	Brougham - Replace Windows at Front & Part Rear	19.9	11.1	8.8	0.0	19.9	0.0	GRANT	
7500	Catcote - Develop Vocational Areas	184.8	76.7	108.1	0.0	184.8	0.0	GRANT	
7759	Catcote - Window Replacement	30.7	23.4	7.3	0.0	30.7	0.0	GRANT	
7747	Catcote - Caretakers Bungalow Roof Replacement	20.0	0.2	19.8	0.0	20.0	0.0	GRANT	
7748	Clavering - Replace Caretakers Bungalow Roof	21.4	0.5	20.9	0.0	21.4	0.0	SCE (R)	
7491	Clavering - Roof Repairs Phase 4 (06/07)	46.4	37.0	9.4	0.0	46.4	0.0	GRANT	
7664	Clavering - Create New Foundation Stage Unit	299.8	0.5	299.3	0.0	299.8	0.0	RCCO	
7749	Clavering - Renew Pipework / Radiators / Convectors	16.3	0.0	16.3	0.0	16.3	0.0	RCCO	
7765	Dyke House - Resurface Car Park	20.0	20.0	0.0	0.0	20.0	0.0	RCCO	
7286	Dyke House - Replace Boiler in Science Block	59.8	41.7	18.1	0.0	59.8	0.0	GRANT	
7575	Dyke House - ICT Equipment Purchase	231.5	89.7	141.8	0.0	231.5	0.0	MIX	
7586	Dyke House City Learning Centre	152.1	42.0	110.1	0.0	152.1	0.0	MIX	
7500	Dyke House - Specialist School Capital (ICT & Various Works)	73.1	45.9	27.2	0.0	73.1	0.0	MIX	
7386	Dyke House - Extension to Blue Room	2.7	0.0	2.7	0.0	2.7	0.0	MIX	
7288	English Martyrs - Build new outdoor Sports Pitch	8.2	0.0	8.2	0.0	8.2	0.0	RCCO	
7358	English Martyrs - Remodel School inc build new VI Form	1.5	0.0	1.5	0.0	1.5	0.0	MIX	
7628	Eldon Grove - Major Internal Works	149.5	0.7	148.8	0.0	149.5	0.0	GRANT	
	Eldon Grove - Erect Perimeter Fence	26.0	21.0	5.0	0.0	26.0	0.0	MIX	
7760	Fens - Replace Fan Convectors & Radiators (Ph 1)	13.0	0.0	13.0	0.0	13.0	0.0	GRANT	
7750	Fens - Upgrade Kitchen Electrics	15.0	0.0	15.0	0.0	15.0	0.0	MIX	
7780	Fens - Replace Boiler	82.1	64.5	17.6	0.0	82.1	0.0	GRANT	
7861	Golden Flatts - Window Replacement	82.6	52.3	30.3	0.0	82.6	0.0	MIX	
7729	Golden Flatts - Classroom Alterations	0.1	0.1	0.0	0.0	0.1	0.0	RCCO	
7295	Grange - Replace Classrooms (03/04)	26.3	0.0	0.0	26.3	26.3	0.0	GRANT	
7571	Grange - Window Replacement	46.6	35.4	11.2	0.0	46.6	0.0	GRANT	
7629	Grange - Internal Works to Kitchen	132.9	100.6	32.3	0.0	132.9	0.0	MIX	
7665	Greatham - Create Play Area	17.7	17.7	0.0	0.0	17.7	0.0	GRANT	
7665	Hart - Create Outdoor Play Area	12.3	11.1	1.2	0.0	12.3	0.0	GRANT	
7633	High Tunstall - 'C' Block Roof Replacement (06/07)	137.6	105.2	32.4	0.0	137.6	0.0	MIX	
7305	High Tunstall - Install Step Lift	2.9	0.0	2.9	0.0	2.9	0.0	RCCO	
7500	High Tunstall - Refurbish Classrooms / Equipment Purchase	3.2	0.0	3.2	0.0	3.2	0.0	GRANT	
	Jesmond Rd - Relocate Nursery to form Foundation Unit, installation of ramps & internal works	5.8	0.1	5.7	0.0	5.8	0.0	RCCO	
	Jesmond Rd - Improve Car Park Lighting	9.5	0.0	9.5	0.0	9.5	0.0	GRANT	
7307	Jesmond Rd - Resite Kitchen	1.3	1.3	0.0	0.0	1.3	0.0	GRANT	
7610	Jesmond Rd - Demolition of Kitchen Block & Nursery	4.2	0.0	4.2	0.0	4.2	0.0	GRANT	
7751	Kingsley - Caretakers Roof Replacement	22.0	0.5	21.5	0.0	22.0	0.0	GRANT	
7773	Kingsley - Caretakers Bungalow Heating	6.0	0.0	6.0	0.0	6.0	0.0	GRANT	
7469	Kingsley - Extension to School for Children's Centre	356.9	8.7	348.2	0.0	356.9	0.0	GRANT	
7772	Kingsley - Window Replacement	130.6	106.9	23.7	0.0	130.6	0.0	GRANT	
7311	Lynnfield - Roof Repairs (05/06)	1.7	1.7	0.0	0.0	1.7	0.0	MIX	
7057	Lynnfield - Build Community Facility	17.8	0.0	17.8	0.0	17.8	0.0	MIX	
7762	Lynnfield - Boiler Plant Replacement	94.6	75.0	19.6	0.0	94.6	0.0	GRANT	
7727	Lynnfield - Refurbish Toilets	42.9	27.5	15.4	0.0	42.9	0.0	MIX	
7312	Manor - Build New Science Lab	6.6	0.0	6.6	0.0	6.6	0.0	GRANT	
7565	Manor - Upgrade Fire Alarm System	46.5	24.9	21.6	0.0	46.5	0.0	GRANT	

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2007/2008 Budget £'000	2007/2008 Actual as at 30/09/07 £'000	2007/2008 Expenditure Remaining £'000	Expenditure Rephased into 2008/09 £'000	C+D+E 2007/2008 Total Expenditure £'000	F-B 2007/2008 Variance from budget £'000	Type of financing
7314	Manor - Build E-Learning Centre	29.4	7.9	21.5	0.0	29.4	0.0	MIX
7315	Manor - Replace Boiler to Drama Block	0.3	0.0	0.3	0.0	0.3	0.0	MIX
7316	Manor - Replace Windows (05/06)	2.4	2.4	0.0	0.0	2.4	0.0	MIX
7568	Manor - Develop new SEN/Resource Centre	36.3	2.7	33.6	0.0	36.3	0.0	RCCO
	Manor - Improve Stage Access	19.0	0.0	19.0	0.0	19.0	0.0	GRANT
7596	Owton Manor - Relocate Entrance, New Staffroom/Kitchen, Relocate/Refurbish Library, New Lift	73.2	73.2	0.0	0.0	73.2	0.0	GRANT
7666	Owton Manor - Remove Asbestos from Kitchen	1.4	0.0	1.4	0.0	1.4	0.0	GRANT
7819	Rift House - Modifications to SPACE Centre	25.7	0.0	25.7	0.0	25.7	0.0	GRANT
	Rift House - ICT Development	94.2	1.2	93.0	0.0	94.2	0.0	GRANT
7654	Rift House - Relocation of Nursery & Refurbish Existing Nursery to create a Children's Centre	192.6	109.6	83.0	0.0	192.6	0.0	SCE (R)
7669	Rift House - Create Outdoor Play Area	50.0	0.0	50.0	0.0	50.0	0.0	SCE (R)
7775	Rift House - Window Replacement	62.9	53.9	9.0	0.0	62.9	0.0	GRANT
	Rift House - Disabled Toilet	21.7	0.0	21.7	0.0	21.7	0.0	GRANT
	Rift House - Entrance Works	9.0	0.0	9.0	0.0	9.0	0.0	SCE (R)
7752	Rossmere - Pipe Works (Final Phase)	13.0	0.0	13.0	0.0	13.0	0.0	GRANT
7728	Rossmere - Window Replacement	43.4	35.3	8.1	0.0	43.4	0.0	MIX
7825	Rossmere - Install Lift	28.9	0.0	28.9	0.0	28.9	0.0	MIX
7597	Sacred Heart - Create Outdoor Play Area	6.0	0.0	6.0	0.0	6.0	0.0	MIX
7662	Seaton Nursery - Alterations to Building	30.0	21.3	8.7	0.0	30.0	0.0	GRANT
7322	Springwell - Build Trim Trail & Ball Play Area	2.3	1.7	0.6	0.0	2.3	0.0	GRANT
7323	Stranton - Build New Community Facility	21.9	0.0	21.9	0.0	21.9	0.0	GRANT
7753	Stranton - Replace Caretakers Bungalow Roof	21.1	0.5	20.6	0.0	21.1	0.0	GRANT
7763	Stranton - Replace Windows (07/08)	34.9	26.3	8.6	0.0	34.9	0.0	GRANT
7597	Stranton - Develop Outside Play Area	0.5	0.0	0.5	0.0	0.5	0.0	GRANT
7656	Stranton - Children's Centre modifications to kitchen & offices	79.5	66.6	12.9	0.0	79.5	0.0	MIX
7515	Stranton - Improvements to Kitchen Ventilation	0.4	0.0	0.4	0.0	0.4	0.0	GRANT
7505	St Aidans Playground	1.9	1.9	0.0	0.0	1.9	0.0	MIX
7325	St Begas - Build Community Room/Toilets (Children's Centre)	2.8	2.8	0.0	0.0	2.8	0.0	GRANT
7567	St Cuthberts - Boiler Replacement	2.5	0.1	2.4	0.0	2.5	0.0	GRANT
7326	St Helens - Extension to build Children's Centre	4.7	4.4	0.3	0.0	4.7	0.0	GRANT
7327	St Helens - Kitchen Refurbishment	0.1	0.0	0.1	0.0	0.1	0.0	GRANT
7764	St Helens - Boiler House Roof Replacement	5.0	0.2	4.8	0.0	5.0	0.0	MIX
7636	St John Vianney - Develop Outside Nature Garden	0.2	0.2	0.0	0.0	0.2	0.0	MIX
7328	St John Vianney - Build Children's Centre	6.3	0.0	6.3	0.0	6.3	0.0	GRANT
7330	St Teresa's - Extension to build Children's Centre	2.1	0.0	2.1	0.0	2.1	0.0	GRANT
7588	St Teresa's - Boiler Replacement	5.8	0.0	5.8	0.0	5.8	0.0	GRANT
7422	St Hilda's - New School Build	0.6	0.6	0.0	0.0	0.6	0.0	GRANT
	Throston - Works to Shower Room	5.9	0.1	5.8	0.0	5.9	0.0	GRANT
7646	Throston - Training Rm Internal Works	13.9	0.0	13.9	0.0	13.9	0.0	GRANT
7754	Ward Jackson - Caretakers Bungalow Roof Replacement	21.4	0.6	20.8	0.0	21.4	0.0	RCCO
7745	Ward Jackson - Replace Windows (07/08)	59.0	45.4	13.6	0.0	59.0	0.0	SCE (R)
7755	West Park - Roofwork	34.8	0.2	34.6	0.0	34.8	0.0	SCE (R)
7776	West Park - Pipework (Phase 1)	18.0	0.0	18.0	0.0	18.0	0.0	GRANT
7766	West Park - Caretakers Bungalow Heating	6.0	0.0	6.0	0.0	6.0	0.0	GRANT
	West Park - Security Works inc. CCTV, Fencing etc	70.0	0.0	70.0	0.0	70.0	0.0	GRANT
7829	West Park - Disabled Toilet	33.5	0.0	33.5	0.0	33.5	0.0	GRANT
7827	West Park - Access Ramps/Install Lift	33.2	0.0	33.2	0.0	33.2	0.0	GRANT
7598	West View - Improve / Refurbish Nursery & Reception	178.4	148.1	30.3	0.0	178.4	0.0	GRANT
7340	West View - Develop Football Facilities (03/04)	5.5	0.0	5.5	0.0	5.5	0.0	MIX
7777	West View - Pipework (Phase 1)	18.0	0.0	18.0	0.0	18.0	0.0	MIX
7597	West View - Create Outdoor Play Area	3.6	0.0	3.6	0.0	3.6	0.0	MIX
7756	West View - Replace Windows (07/08)	33.0	0.6	32.4	0.0	33.0	0.0	RCCO
7730	West View - Remodel KS2 Offices	21.2	0.4	20.8	0.0	21.2	0.0	GRANT
7342	Carlton Camp Redevelopment Phase 1 - New Accommodation Block; Create Meeting Room & Storage;	32.4	18.6	13.8	0.0	32.4	0.0	GRANT
7863	Carlton Outdoor Centre - Redevelopment Phase 2 (Works to be determined)	90.0	0.0	0.0	90.0	90.0	0.0	RCCO
7641	Carlton Outdoor Centre - Purchase & Install Challenge Course and Climbing Wall	7.8	2.7	5.1	0.0	7.8	0.0	GRANT
7820	Improve Ventilation in Classrooms - Various Schools	10.0	2.0	8.0	0.0	10.0	0.0	GRANT
7521	Children's Centres - Miscellaneous Capital Expenditure	11.2	0.0	11.2	0.0	11.2	0.0	GRANT

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2007/2008 Budget £'000	2007/2008 Actual as at 30/09/07 £'000	2007/2008 Expenditure Remaining £'000	Expenditure Rephased into 2008/09 £'000	C+D+E 2007/2008 Total Expenditure £'000	F-B 2007/2008 Variance from budget £'000	Type of financing
7429	Children's Centres - IT and Telephones	11.8	0.7	11.1	0.0	11.8	0.0	GRANT
0	Children's Centres - Improvement Projects 1 (General Works)	50.0	0.0	50.0	0.0	50.0	0.0	MIX
0	Children's Centres - Improvement Projects 2 (General Equipment etc)	6.1	0.0	6.1	0.0	6.1	0.0	GRANT
7857	Installation of Sound Systems - Various Schools	11.3	0.0	11.3	0.0	11.3	0.0	RCCO
7428	Workforce Remodelling - Misc School Projects to better utilise space	69.6	5.1	64.5	0.0	69.6	0.0	GRANT
7384	Devolved Capital - Various Misc Individual School Projects	1,216.7	174.6	1,042.1	0.0	1,216.7	0.0	GRANT
	Construction Design Management Fee - Lump Sum Charge on 2006/07 Children's Services Capital Programme	10.2	0.0	10.2	0.0	10.2	0.0	GRANT
7463	Youth Capital Fund - Spend to be determined by Young People	97.4	33.7	63.7	0.0	97.4	0.0	GRANT
7437	Playing for Success - Develop New Classroom at H'pool Utd	0.5	0.0	0.5	0.0	0.5	0.0	MIX
7859	Kitchen Ventilation Improvements - Various Schools	41.8	0.0	41.8	0.0	41.8	0.0	GRANT
7421	School Travel Plans - Develop Cycle Storage at Schools	76.6	11.7	64.9	0.0	76.6	0.0	GRANT
7387	Rossmere Pool Demolition	0.1	0.0	0.1	0.0	0.1	0.0	RCCO
7348	Education Development Centre - Works to Dining Room and Kitchen	2.7	0.0	0.0	2.7	2.7	0.0	RCCO
7858	Computers for Pupils	341.6	0.0	341.6	0.0	341.6	0.0	GRANT
7779	Improve Ventilation in ICT Suites (O Manor, Rossmere, W View)	19.8	4.4	15.4	0.0	19.8	0.0	RCCO
	Refurbish Toilets - Barnard Grove	31.4	0.0	31.4	0.0	31.4	0.0	MIX
	Refurbish Toilets - Greatham	27.6	0.0	27.6	0.0	27.6	0.0	MIX
	Funding (Modernisation, Access, RCCO) Currently Unallocated	52.8	0.0	52.8	0.0	52.8	0.0	MIX
7447	Purchase of Interactive Whiteboards (Various Schools)	2.1	0.0	0.0	2.1	2.1	0.0	GRANT
7344	Brinkburn Pool - Reinstatement of Pool after Fire	0.2	0.0	0.2	0.0	0.2	0.0	RCCO
7577	Boys Welfare - Refurbishment/Redevelopment	30.1	0.0	30.1	0.0	30.1	0.0	GRANT
7818	Sure Start Centre - Miers Avenue	14.5	0.2	14.3	0.0	14.5	0.0	RCCO
	Children's Centres Grant - Currently Unallocated (2006-2008)	37.7	0.0	37.7	0.0	37.7	0.0	RCCO
7426	Purchase & Install new Integrated Children's Computerised System for Children & Families	235.0	115.2	119.8	0.0	235.0	0.0	MIX
7652	Sure Start Central - Refurbish Daycare Suite at Chatham House	30.4	30.4	0.0	0.0	30.4	0.0	MIX
7460	Sure Start North - Landscaping Works at Main Centre	7.0	7.0	0.0	0.0	7.0	0.0	MIX
7388	Sure Start Central - Improvement Works at Lowthian Road	2.7	0.0	2.7	0.0	2.7	0.0	GRANT
	Capital Grant Contribution towards building Rift House							
7210	Neighbourhood Nursery	4.3	0.0	4.3	0.0	4.3	0.0	MIX
0	Youth Service - Disability Discrimination Act Works (General)	0.4	0.4	0.0	0.0	0.4	0.0	GRANT
	Sure Start Central - Chatham Road	5.9	0.0	5.9	0.0	5.9	0.0	GRANT
		7,016.2	2,172.5	4,722.6	121.1	7,016.2	0.0	

Key			
RCCO	Revenue Contribution towards Capital	GRANT	Grant Funded
MIX	Combination of Funding Types	CAP REC	Capital Receipt
UCPB	Unsupported Corporate Prudential Borrowing	UDPB	Unsupported Departmental Prudential Borrowing
SCE ©	Supported Capital Expenditure (Revenue)	SPB	Supported Prudential Borrowing

NEIGHBOURHOOD RENEWAL FUND

REVENUE MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2007

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/08 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	15.0	0.1	(14.9)	NRF - Cardiac Rehab through Exercise	30.0	30.0	0.0
2	32.3	48.5	16.2	NRF - Mental Health Development Project	64.6	64.6	0.0
3	10.3	5.0	(5.3)	NRF - Mobile Maintenance Worker	20.6	20.6	0.0
4	71.5	22.6	(48.9)	NRF - Connected Care / Health Trainers	143.0	143.0	0.0
5	15.6	7.8	(7.8)	NRF - Anchor Trust Community Development	31.2	31.2	0.0
6	20.3	0.0	(20.3)	NRF - Integrated Health & Social Care Teams	40.7	40.7	0.0
7	20.4	10.5	(9.9)	NRF - Owton Ross Health Dev Worker	40.9	40.9	0.0
8	36.3	11.4	(24.9)	NRF - Smoking Issues	72.5	72.5	0.0
9	31.0	31.0	0.0	NRF - Alzheimers Day Service	61.9	61.9	0.0
10	24.6	36.4	11.8	NRF - MIND Manager & NDC Support Network	49.1	49.1	0.0
11	10.3	15.9	5.6	NRF - Hartlepool Carers	20.6	20.6	0.0
12	47.7	55.4	7.7	NRF - Reducing Childhood Obesity	95.3	95.3	0.0
13	10.7	16.1	5.4	NRF - Mental Health Carers Support	21.4	21.4	0.0
14	23.0	34.5	11.5	NRF - TNEY/MIND Common Mental Health	46.0	46.0	0.0
15	10.0	0.0	(10.0)	NRF - Discharge Planning Post	20.0	20.0	0.0
16	20.7	19.5	(1.2)	NRF - Belle Vue Sports Project	41.4	41.4	0.0
17	20.8	0.0	(20.8)	NRF - Occupational Care for Kids	41.7	41.7	0.0
	420.5	314.7	(105.8)		840.9	840.9	0.0

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2007/2008 Budget £'000	2007/2008 Actual as at 30/09/07 £'000	2007/2008 Expenditure Remaining £'000	Expenditure Rephased into 2008/09 £'000	C+D+E 2007/2008 Total Expenditure £'000	F-B 2007/2008 Variance from budget £'000	Type of financing
7441	Adult Education - NLDC	14.3	14.3	0.0	0.0	14.3	0.0	GRANT
7531	Adult Education - Education Development Centre - Refurbishment	14.2	2.6	11.6	0.0	14.2	0.0	RCCO
7352	Brooklyn 'UK On-line' ICT Initiative	1.0	0.0	1.0	0.0	1.0	0.0	GRANT
7813	Care Homes - Environmental Improvements	165.0	165.0	0.0	0.0	165.0	0.0	GRANT
7229	Cemetery Flooding Works	175.4	0.4	175.0	0.0	175.4	0.0	UDPB
7234	Chronically Sick & Disabled Persons Adaptations	65.0	14.4	50.6	0.0	65.0	0.0	RCCO
7349	Disabled Access Ramps - Havelock Centre	0.7	0.7	0.0	0.0	0.7	0.0	RCCO
7351	Improving Information Management (IIM) - Systems	32.4	1.2	31.2	0.0	32.4	0.0	GRANT
7479	Improving Information Management (IIM) - Single Assessment Project (SAP)	10.0	0.0	10.0	0.0	10.0	0.0	GRANT
7480	Improving Information Management (IIM) - Electronic Social Care Record	10.0	2.5	7.5	0.0	10.0	0.0	GRANT
7481	Improving Information Management (IIM) - IT Infrastructure	30.0	9.2	20.8	0.0	30.0	0.0	GRANT
7616	Learning Disability (Extra Care Housing)	308.4	0.0	308.4	0.0	308.4	0.0	GRANT
7578	Lynne Street ATC Demolition	12.8	0.0	12.8	0.0	12.8	0.0	RCCO
7389	Mental Health - to be allocated	317.1	15.0	302.1	0.0	317.1	0.0	SCE(R)
7723	Resettlement Capital Works	300.0	150.0	150.0	0.0	300.0	0.0	GRANT
		1,456.3	375.3	1,081.0	0.0	1,456.3	0.0	

Key

RCCO	Revenue Contribution towards Capital	GRANT	Grant Funded
MIX	Combination of Funding Types	CAP REC	Capital Receipt
UCPB	Unsupported Corporate Prudential Borrowing	UDPB	Unsupported Departmental Prudential Borrowing
SCE ©	Supported Capital Expenditure (Revenue)	SPB	Supported Prudential Borrowing

ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2007

TABLE 1 - SINGLE REGENERATION BUDGET

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/8 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000		£'000
1	31.2	35.9	4.7	Programme Administration	31.2	35.9	4.7
2	31.2	35.9	4.7		31.2	35.9	4.7

ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2007

TABLE 2 - NEW DEAL FOR COMMUNITIES

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/8 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000		£'000
3	21.5	21.5	0.1	Longhill - Site Manager	42.9	42.9	0.0
4	7.2	3.6	(3.6)	Longhill - Business Security Scheme	14.4	14.4	0.0
5	41.0	49.6	8.6	Longhill - ILM Scheme	82.0	82.0	0.0
6	0.0	0.0	0.0	Longhill CCTV	141.6	141.6	0.0
7	3.1	3.1	(0.0)	Childcare Training	3.1	3.1	0.0
8	(7.1)	27.0	34.1	Employment Advice and Support: At Work	(7.1)	(7.1)	0.0
9	67.8	17.6	(50.1)	Enterprise Development Package	135.5	135.5	0.0
10	11.4	0.3	(11.1)	Commercial Areas - Building Modernisation	22.8	22.8	0.0
11	22.8	21.3	(1.5)	Commercial Areas - Bus Support Manager	45.6	45.6	0.0
12	46.2	44.5	(1.7)	Opening Doors - Phase 2	92.4	92.4	0.0
13	45.2	41.5	(3.7)	Mental Health Support Workers	90.5	90.5	0.0
14	7.9	7.9	(0.0)	Complementary Therapies	15.8	15.8	0.0
15	8.0	13.8	5.9	Drop in for Health - Health Bus	16.0	16.0	0.0
16	5.0	0.0	(5.0)	Sure Start Extension	10.0	10.0	0.0
17	36.3	33.5	(2.8)	Practical Support to Individuals	72.6	72.6	0.0
18	15.7	0.0	(15.7)	Drug Outreach	31.2	31.2	0.0
19	44.2	13.4	(30.7)	Childrens Emotional Wellbeing	88.3	88.3	0.0
20	19.4	0.0	(19.4)	Football Development Officer	38.8	38.8	0.0
21	71.0	66.1	(4.9)	Peoples Access to Health	142.1	142.1	0.0
22	4.1	0.9	(3.2)	Young Persons Emotional Wellbeing	8.2	8.2	0.0
23	104.7	109.8	5.1	Community Wardens	209.5	209.5	0.0
24	50.3	52.3	2.0	Target Hardening - Phase 3	100.5	100.5	0.0
25	10.4	4.5	(5.8)	Community Safety Grants Pool	20.7	20.7	0.0
26	11.2	11.2	0.0	Good Citizenship Initiative	22.3	22.3	0.0
27	25.0	25.0	0.0	Drug Enforcement Unit	50.0	50.0	0.0
28	14.0	3.1	(10.8)	Victim Support	28.0	28.0	0.0
29	19.0	0.2	(18.8)	Community Safety Premises	38.0	38.0	0.0
30	22.9	23.0	0.1	Domestic Violence	45.8	45.8	0.0
31	10.8	4.2	(6.6)	Dordrecht	21.6	21.6	0.0
32	0.0	0.0	0.0	CCTV Implementation - Phase 3	41.2	41.2	0.0
33	7.1	0.0	(7.1)	Offending / Mentoring Scheme	14.3	14.3	0.0
34	18.6	4.6	(14.0)	Anti-Social Behaviour	37.1	37.1	0.0
35	16.0	0.0	(16.0)	Anti-Social Behaviour - Phase 2	32.0	32.0	0.0
36	0.0	0.0	0.0	Police Community Support Officers	25.0	25.0	0.0
37	0.0	0.0	0.0	Community Learning Centre - Stranton	71.0	71.0	0.0
38	0.0	0.0	0.0	Community Learning Centre - Lynnfield	46.4	46.4	0.0
39	13.5	19.5	6.1	Social Inclusion	26.9	26.9	0.0
40	29.5	18.1	(11.5)	Bursary Fund	59.1	59.1	0.0
41	1.2	1.2	(0.0)	Hoop Dreams (Education)	1.2	1.2	0.0
42	0.0	0.0	0.0	Educational Achievement Project	99.8	99.8	0.0
43	0.0	0.0	0.0	Targeted Educational Achievement	25.0	25.0	0.0
44	0.0	0.0	0.0	Key Stage 2 & 3 Transition	61.1	61.1	0.0
45	49.7	5.0	(44.7)	Raising Aspirations	99.4	99.4	0.0
46	0.0	0.0	0.0	Sustaining Attainment	30.0	30.0	0.0
47	9.0	14.0	5.0	Community Chest	18.0	18.0	0.0
48	6.9	6.9	0.0	Belle Vue Extension	13.9	13.9	0.0
49	5.9	8.1	2.2	Osbourne Road Hall	11.8	11.8	0.0
50	42.1	7.4	(34.7)	Ethnic Minorities	84.2	84.2	0.0

ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2007

TABLE 2 - NEW DEAL FOR COMMUNITIES (cntd)

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/8 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000		£'000
51	17.1	17.1	0.0	Money Advice and Debt Counselling Service	34.2	34.2	0.0
52	20.8	20.8	0.0	Peoples Centre	41.6	41.6	0.0
53	5.1	2.6	(2.6)	Family Support	10.2	10.2	0.0
54	3.1	0.0	(3.1)	Voluntary Sector Premises Pool	6.3	6.3	0.0
55	39.6	69.2	29.6	Hartlepool Youth Project	79.2	79.2	0.0
56	66.3	52.8	(13.5)	Capacity Building	132.6	132.6	0.0
57	4.3	6.7	2.4	Arts Development Initiative	8.6	8.6	0.0
58	7.5	7.5	0.0	Grange Road Methodist Church	15.0	15.0	0.0
59	6.6	2.7	(3.9)	Community Transport	13.1	13.1	0.0
60	0.0	0.0	0.0	Lynnfield Play Area	2.9	2.9	0.0
61	47.8	25.3	(22.5)	Childrens Activities Project	95.5	95.5	0.0
62	0.9	0.0	(0.9)	Hartbeat	1.9	1.9	0.0
63	75.8	57.5	(18.3)	Environmental Task Force	151.6	151.6	0.0
64	138.8	51.5	(87.3)	Housing Regeneration Company	277.6	277.6	0.0
65	35.4	27.9	(7.5)	Evaluation Project	70.7	70.7	0.0
66	32.5	37.0	4.5	Communications Project	65.0	65.0	0.0
67	52.6	49.5	(3.2)	Neighbourhood Management	105.3	105.3	0.0
68	285.8	226.1	(59.7)	Management and Administration	571.7	571.7	0.0
69	(724.4)	(724.4)	0.0	Income from Revival	(724.4)	(724.4)	0.0
70	1,054.0	613.5	(440.5)		3,379.0	3,379.0	0.0

ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2007

TABLE 3 - SINGLE PROGRAMME REVENUE

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/8 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000		£'000
71	30.0	30.0	0.0	Management and Administration	60.0	60.0	0.0
72	467.8	340.9	(126.9)	Building Futures	935.6	935.6	0.0
73	20.2	22.2	2.0	Coastal Arc Coordinator	40.3	40.3	0.0
74	518.0	393.1	(124.9)		1,035.9	1,035.9	0.0

ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2007

TABLE 4 - ACCOUNTABLE BODY PROGRAMME

Line No	Actual Position 30/09/07			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2007/8 Latest Budget	2007/08 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000		£'000
75	139.6	158.6	19.0	Children's Fund Partnership	397.5	397.5	0.0
76	139.6	158.6	19.0		397.5	397.5	0.0

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

TABLE 1 - RESOURCES

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2007/2008 Budget £'000	2007/2008 Actual as at 30/09/2007 £'000	2007/2008 Expenditure Remaining £'000	Expenditure Rephased into 2008/09 £'000	C+D+E 2007/2008 Total Expenditure £'000	F-B 2007/2008 Variance from budget £'000	Type of financing
7090	City Challenge Architects TOS Costs	1.4	0.0	1.4	0.0	1.4	0.0	CAP REC
7091	City Challenge Clawback	228.8	0.0	228.8	0.0	228.8	0.0	GRANT
7256	Memorial for Lives Lost at Sea	4.8	0.0	4.8	0.0	4.8	0.0	CAP REC
7257	DDA Works / BVPI 156	60.1	9.1	51.0	0.0	60.1	0.0	UCPB
7258	Improvements to Public Facilities	6.6	0.0	6.6	0.0	6.6	0.0	CAP REC
7259	Demolition of Stranton House	0.8	0.0	0.8	0.0	0.8	0.0	CAP REC
7260	Piazza and Slipway - Trincomalee Trust	0.3	0.0	0.3	0.0	0.3	0.0	GRANT
7261	Regeneration Office Accommodation	7.9	0.0	7.9	0.0	7.9	0.0	CAP REC
7262	Archive Store Refurbishment	7.9	0.0	7.9	0.0	7.9	0.0	CAP REC
7263	York Flatlets Demolition	7.7	1.0	6.7	0.0	7.7	0.0	CAP REC
7264	Mobile Benefits	129.3	1.0	128.3	0.0	129.3	0.0	RCCO
7418	St Benedicts/Barlows Building Work	34.8	18.5	16.3	0.0	34.8	0.0	CAP REC
7445	Financial Management System Development	46.3	46.3	0.0	0.0	46.3	0.0	RCCO
7446	EDRMS and Workflow Development	73.9	73.9	0.0	0.0	73.9	0.0	RCCO
7467	War Memorials Refurbishment	95.6	37.3	58.3	0.0	95.6	0.0	UCPB
7468	Information Technology Strategy	500.0	0.0	500.0	0.0	500.0	0.0	UDPB
7470	HR Analyser System	20.6	20.6	0.0	0.0	20.6	0.0	RCCO
7623	Corporate IT Projects (prev IEG)	93.7	11.5	82.2	0.0	93.7	0.0	RCCO
7631	Members ICT/Flexible/Remote Access	115.7	83.4	32.3	0.0	115.7	0.0	CAP REC
7836	Project Resolution	32.5	32.5	0.0	0.0	32.5	0.0	CAP REC
7837	Microsoft Outlook Migration	37.8	37.8	0.0	0.0	37.8	0.0	RCCO
7867	Burbank/Murray Street	122.0	0.0	122.0	0.0	122.0	0.0	CAP REC
	R & B Flooring and Furnishing	30.0	0.0	30.0	0.0	30.0	0.0	CAP REC
7201	Corp Plan Maint - Civic Centre - PH4 Bal System	0.4	0.0	0.4	0.0	0.4	0.0	RCCO
7602	Corp Plan Maint - EDC PH2 Roofing - Conf Hall	0.8	0.8	0.0	0.0	0.8	0.0	RCCO
7496	Corp Plan Maint - Throston Library - Roofing	46.7	43.7	3.0	0.0	46.7	0.0	RCCO
Various	Removal of Barriers to Building Access	60.1	9.1	51.0	0.0	60.1	0.0	RCCO
7604	Corp Plan Maint - Civic Centre - Electrical Testing	13.4	8.1	5.3	0.0	13.4	0.0	RCCO
7716	Demolition of HQ Toilets	51.0	0.0	51.0	0.0	51.0	0.0	RCCO
7717	Demolition of Eldon Grove Leisure Centre	120.0	2.8	117.2	0.0	120.0	0.0	UCPB
7742	Renew Sports Hall Changing Room	0.0	0.4	-0.4	0.0	0.0	0.0	UCPB
7830	LIFT Scheme- Purchase Park Rd Hoarding Site	90.0	88.4	1.6	0.0	90.0	0.0	UCPB
7200	Civic Centre Capital Maintenance	3,625.8	896.8	1,315.2	1,413.8	3,625.8	0.0	UCPB
7466	DSO Vehicle Purchase	600.0	384.4	215.6	0.0	600.0	0.0	UDPB
MISC	R & M projects 07/08	175.0	0.0	175.0	0.0	175.0	0.0	RCCO
7709	Priority 1 - Replace Boilers - Municipal Buildings	151.0	0.0	151.0	0.0	151.0	0.0	RCCO
7782	Priority 1 - Stranton Crematorium Roof Repair (07/08)	34.0	4.6	0.8	0.0	5.4	(28.6)	UCPB
7783	Priority 1 - Borough Buildings Renew Roof Valley (07/08)	23.6	0.0	2.6	0.0	2.6	(21.0)	UCPB
7784	Priority 1 - Borough Buildings Replace 5 Water Heater (07/08)	8.4	0.0	8.4	0.0	8.4	0.0	UCPB
7785	Priority 1 - Brink Centre - Rnw Sprts Hall Chnge Rms Roof (07/08)	62.5	0.0	24.1	0.0	24.1	(38.4)	UCPB
7786	Priority1 - Brinkburn Centre - Rnw Filter to Swim Pool (07/08)	20.5	0.0	20.5	0.0	20.5	0.0	UCPB
		6,741.6	1,811.9	3,428.0	1,413.8	6,653.6	(88.0)	

Key			
RCCO	Revenue Contribution towards Capital	GRANT	Grant Funded
MIX	Combination of Funding Types	CAP REC	Capital Receipt
UCPB	Unsupported Corporate Prudential Borrowing	UDPB	Unsupported Departmental Prudential Borrowing
SCE @	Supported Capital Expenditure (Revenue)	SPB	Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

TABLE 2 - SINGLE REGENERATION BUDGET

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2007/2008 Budget £'000	2007/2008 Actual as at 30/09/2007 £'000	2007/2008 Expenditure Remaining £'000	Expenditure Rephased into 2008/09 £'000	C+D+E 2007/2008 Total Expenditure £'000	F-B 2007/2008 Variance from budget £'000	Type of financing
7001	Headland Community Resource Centre Ph 1 & 2	20.9	0.0	21.9	0.0	21.9	1.0	CAP REC
7002	Sports Improvement Scheme	17.0	24.9	19.7	0.0	44.6	27.6	CAP REC
7003	Carnegie Building Refurbishment	114.0	11.4	0.7	0.0	12.1	-101.9	CAP REC
7021	Heugh Battery Project - Phase 2/2B	514.5	88.7	425.8	0.0	514.5	0.0	GRANT
7011	Headland Key Buildings (Grants)	176.0	6.9	169.1	0.0	176.0	0.0	GRANT
7012	Headland Regeneration Programme	105.4	104.2	5.6	0.0	109.8	4.4	MIX
7013	Headland Town Square	50.0	1.6	48.4	0.0	50.0	0.0	UCPD
7015	Targeted Private Housing Improvements	12.0	24.9	39.2	0.0	64.1	52.1	CAP REC
7416	Headland Environmental Works	0.0	0.4	0.0	0.0	0.4	0.4	CAP REC
7417	Friarage Field Demolition	20.2	1.6	0.0	0.0	1.6	-18.6	CAP REC
		1,030.0	264.6	730.4	0.0	995.0	-35.0	

Key

RCCO	Revenue Contribution towards Capital	GRANT	Grant Funded
MIX	Combination of Funding Types	CAP REC	Capital Receipt
UCPB	Unsupported Corporate Prudential Borrowing	UDPB	Unsupported Departmental Prudential Borrowing
SCE ©	Supported Capital Expenditure (Revenue)	SPB	Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

TABLE 3 - NEW DEAL FOR COMMUNITIES

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2007/2008 Budget £'000	2007/2008 Actual as at 30/09/2007 £'000	2007/2008 Expenditure Remaining £'000	Expenditure Rephased into 2008/09 £'000	C+D+E 2007/2008 Total Expenditure £'000	F-B 2007/2008 Variance from budget £'000	Type of financing
7058	Longhill Junction Improvements	14.9	0.6	14.3	0.0	14.9	0.0	MIX
7059/7060	Longhill Business Security and Environmental Imps	116.5	36.7	79.8	0.0	116.5	0.0	MIX
7061	Business Security Fund	140.8	69.4	71.4	0.0	140.8	0.0	NDC
7062	CIA Building Modernisation Grant	303.2	50.2	253.1	0.0	303.2	0.0	NDC
7063	CIA Environmental Improvements	419.0	34.5	384.4	0.0	419.0	0.0	NDC
7054	Crime Premises	14.9	-23.6	38.5	0.0	14.9	0.0	NDC
7056	Target Hardening Phase 3	232.7	29.5	203.1	0.0	232.7	0.0	NDC
7050	Osbourne Road Hall	1.4	0.0	1.4	0.0	1.4	0.0	NDC
7051	Voluntary Sector Premises Pool	44.6	15.2	29.4	0.0	44.6	0.0	NDC
7052	Peoples Centre	62.7	0.0	62.7	0.0	62.7	0.0	NDC
7053	Hartlepool Youth Project	14.5	0.1	14.3	0.0	14.5	0.0	NDC
7086	Lynnfield Play Area	210.6	0.0	210.6	0.0	210.6	0.0	NDC
7071	Area Remodelling Project	1280.3	904.7	375.6	0.0	1,280.3	0.0	MIX
7065	Neighbourhood management	55.0	8.2	46.8	0.0	55.0	0.0	NDC
7076	Physical Improvements	266.5	111.6	154.8	0.0	266.5	0.0	NDC
		3,177.5	1,237.3	1,940.3	0.0	3,177.5	0.0	

Key
RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE © Supported Capital Expenditure (Revenue)
GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2007

TABLE 4 - SINGLE PROGRAMME

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2007/2008 Budget £'000	2007/2008 Actual as at 30/09/2007 £'000	2007/2008 Expenditure Remaining £'000	Expenditure Rephased into 2008/09 £'000	C+D+E 2007/2008 Total Expenditure £'000	F-B 2007/2008 Variance from budget £'000	Type of financing
7103	Coastal Arc CAA - Wingfield Castle	1118.4	215.1	903.3	0.0	1,118.4	0.0	MIX
7105	SP Coastal Walkway Phase 1	132.4	2.3	130.1	0.0	132.4	0.0	GRANT
7871	Central Area Development Framework	100.0	0.0	100.0	0.0	100.0	0.0	GRANT
7872	Southern Business Zone	75.0	0.0	75.0	0.0	75.0	0.0	GRANT
		1,425.8	217.4	1,208.4	0.0	1,425.8	0.0	

Key
RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE © Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

SCRUTINY CO-ORDINATING COMMITTEE

4 January 2008



Report of: Chair of the Scrutiny Co-ordinating Committee

Subject: ADULT AND COMMUNITY SERVICES AND HEALTH
SCRUTINY FORUM – RE-STRUCTURE PROPOSAL

1. PURPOSE OF REPORT

- 1.1 To formally report back the proposals of the Scrutiny Chairs following the review of the current structure and function of the Adult and Community Services and Health Scrutiny Forum.

2. BACKGROUND INFORMATION

- 2.1 At the last meeting of the Scrutiny Co-ordinating Committee held on 21 November 2007, it was agreed that the Scrutiny Chairs, with particular emphasis being placed upon the Chair of the Adult and Community Services and Health Scrutiny Forum, be tasked to review the current structure and function of the Adult and Community Services and Health Scrutiny Forum for the following reasons:-

- (a) That it was generally felt that the current remit of the Adult and Community Services and Health Scrutiny Forum was too large in comparison to that of the four standing Scrutiny Forums;
- (b) That as a result of past and future substantial changes taking place in health, there had been very little scrutiny of the Adult and Community Services Department in recent years by the Adult and Community Services and Health Scrutiny Forum; and
- (c) That it was very clear that over the coming years the workload in relation to 'Adult and Community Services' and 'Health' would increase:
 - (i) via the statutory health scrutiny powers and as a result of the major health changes facing the town; and
 - (ii) the key issues facing the Adults and Community Services Department such as the Tall Ships Race in 2010.

- 2.2 Furthermore at an Informal Meeting of the Scrutiny Chairs held on 11 December 2007, consideration was given to the findings and subsequent proposals of the Chair of the Adult and Community Services and Health Scrutiny Forums, as outlined further on in this report.

3. REVIEW OF THE CURRENT STRUCTURE AND FUNCTION OF THE ADULT AND COMMUNITY AND HEALTH SCRUTINY FORUM – SUGGESTED PROPOSALS

3.1 At the Informal Meeting of the Scrutiny Chairs held on 11 December 2007, Members agreed the following proposals as summarised below:-

- (a) That the Adult and Community Services and Health Scrutiny Forum should be split into two separate Scrutiny Forums as follows:-
 - (i) Adult and Community Services Scrutiny Forum – To consider issues relating to specialist targeted universal services in relation to Adults, Culture and Leisure; and the
 - (ii) Health Scrutiny Forum – To exercise the powers of the Health and Social Care Act 2001 in considering the provision of health services at both local and regional level.
- (b) That the creation of an additional Health Scrutiny Forum would clearly create an increased burden on Elected Members time, hence it is necessary to reduce the number of Elected Members serving on each Scrutiny Forum from eleven to nine and the membership of the Scrutiny Co-ordinating Committee from sixteen to eleven (made up of the Chair of the Scrutiny Co-ordinating Committee and the Chairs and Vice Chairs of the five Scrutiny Forums);
- (c) That the creation of an additional Scrutiny Forum would have knock on implications for the Scrutiny Support Team. As the Team is currently operating at optimum capacity, it is proposed that an additional full-time Scrutiny Support Officer post is created through the budget setting process for 2008/09 at cost of £28,919 (plus 19.5% on-costs); and
- (d) That such proposals will also result in an additional Special Responsibility Allowance for the Chair of the additional Health Scrutiny Forum, at a cost of £3246 per annum.

4. RECOMMENDATION

4.1 That Members of the Scrutiny Co-ordinating Committee endorse the proposals as outlined in paragraph 3.1 of this report and submit to the Cabinet as a budget pressure for Scrutiny Support, as part of the budget setting process for 2008/09 and thereafter to the Constitution Working Group/Committee (subject to approval).

BACKGROUND PAPERS

No background papers were used in the preparation of this report.

SCRUTINY CO-ORDINATING COMMITTEE

4 January 2008



Report of: Chair of the Adult and Community Services and Health Scrutiny Forum

Subject: FUNDING OF CONTRACT MONITORING SYSTEM - EXPLANATION OF THE ADULT AND PUBLIC HEALTH PORTFOLIO HOLDER

1. PURPOSE OF REPORT

- 1.1 To formally report back the explanation of the Adult and Public Health Portfolio Holder in relation to the re-allocation of funding for the purchase of a contract monitoring system, as agreed at Full Council on 25 October 2007.

2. BACKGROUND INFORMATION

- 2.1 As Members will be aware, at the last meeting of Full Council on 25 October 2007, a question to the Adult and Public Health Portfolio Holder was asked by the Chair of the Scrutiny Co-ordinating Committee (under Questions from Members of the Council – Minute 68 refers) as to why funding originally allocated in the budget for another purpose had been utilised to purchase a contract monitoring system.
- 2.2 In response to the question, the Adult and Public Health Portfolio Holder advised that the monies had been originally allocated for bad debts which were subsequently not required and were therefore allocated elsewhere. In addition to this, the Portfolio Holder also agreed to attend a future meeting of the relevant Scrutiny Forum to provide a detailed explanation on the background to the decision.
- 2.3 As such arrangements were made for the Adult and Public Health Portfolio to attend the meeting of the Adult and Community Services and Health Scrutiny Forum on 13 November 2007, the findings of which are as outlined in paragraph 3 of this report.

3. EXPLANATION OF THE ADULT AND PUBLIC HEALTH PORTFOLIO

- 3.1 At the meeting of Adult and Community Services and Health Scrutiny Forum held on 13 November 2007 (Minutes 52 refers), the Portfolio Holder informed

Members that the funding in question had originally been allocated for bad debt provisions. Given the provision was no longer required it was then utilised to purchase a contract monitoring system for the Department.

- 3.2 Whilst it was acknowledged that the Council's Procedure Rules allowed delegated authority to the Director to transfer funds between service areas under their control. It was evident that in this particular case that the Director of Adult and Community Services sought endorsement of the budget transfer from the department's reserves at a formal decision making meeting of the Adult and Public Health Portfolio Holder held on 16 October 2007 to maintain the openness and transparency of such transfer.

4. CONCLUSION

- 4.1 Whilst the Forum was satisfied that the Council's Procedures Rules had been adhered to in this particular instance. Members expressed concern with regard to the £100,000 threshold made available to Directors' under delegated authority to transfer funds between service areas under their control and felt it would be appropriate for the Constitution Working Group to review such scheme.

5. RECOMMENDATION

- 5.1 That the Adult and Community Services and Health Scrutiny Forum recommends that the Scrutiny Co-ordinating Committee requests that the current Delegated Authority Scheme available to Officers be reviewed by the Constitution Working Group.

Contact Officer:- Charlotte Burnham – Scrutiny Manager
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BACKGROUND PAPERS

The following background papers were used in the preparation of this report:-

- (a) Report of the Scrutiny Manager considered by the Adult and Community Services and Health Scrutiny Forum on 13 November 2007 entitled 'Explanation sought from Adult and Public Health Portfolio Holder – Funding of Contract Monitoring System'.
- (b) Minutes of the Adult and Community and Health Scrutiny Forum held on 13 November 2007.

SCRUTINY CO-ORDINATING COMMITTEE

4 January 2008



Report of: Scrutiny Manager

Subject: REQUEST FOR ITEMS FOR DISCUSSION –
JOINT CABINET / SCRUTINY EVENT OF
22 JANUARY 2008

1. PURPOSE OF REPORT

- 1.1 To request items for discussion at the next Joint Cabinet / Scrutiny Event to be held on 22 January 2008.

2. BACKGROUND INFORMATION

- 2.1 As Members will recall it was agreed that the next meeting of the Joint/Cabinet Scrutiny Event would be held on **Tuesday 22 January 2008, commencing at 5.30 pm to 6.30 pm at Belle Vue Community Sports and Youth Centre, Kendal Road, Hartlepool.**
- 2.2 As such items for discussion are sought from Members of this Committee, which will then be used to form the basis of the Joint Agenda in conjunction with the issues received from the Cabinet.

3. RECOMMENDATION

- 3.1 That agenda items be sought from Members of the Scrutiny Co-ordinating Committee for the Joint Cabinet/Scrutiny Event to be held on 22 January 2008.

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BACKGROUND PAPERS

No background papers were used in the preparation of this report.