

SCRUTINY CO-ORDINATING COMMITTEE AGENDA



Friday 10th March 2006

at 2.00 p.m.

in Committee Room B

MEMBERS: SCRUTINY CO-ORDINATING COMMITTEE:

Councillors Cambridge, Clouth, Cook, Cranney, Flintoff, Hall, Hargreaves, James, Kaiser, Lilley, A Marshall, J Marshall, Preece, Richardson, Shaw and Wright.

Resident Representatives:

Evelyn Leck, Linda Shields and Joan Smith

1. APOLOGIES FOR ABSENCE

2. TO RECEIVE ANY DECLARATIONS OF INTEREST BY MEMBERS

3. MINUTES

3.1 To confirm the minutes of the meeting held on 24th February 2006 (*to follow*)

4. RESPONSES FROM THE COUNCIL, THE EXECUTIVE OR COMMITTEES OF THE COUNCIL TO REPORTS OF THE SCRUTINY COORDINATING COMMITTEE

No Items

5. CONSIDERATION OF REQUEST FOR SCRUTINY REVIEWS FROM COUNCIL, EXECUTIVE MEMBERS AND NON EXECUTIVE MEMBERS

No Items

6. FORWARD PLAN

No Items

7. CONSIDERATION OF PROGRESS REPORTS / BUDGET AND POLICY FRAMEWORK DOCUMENTS

- 7.1 Draft Youth Justice Delivery Plan 2006/07 – *Director of Regeneration and Planning Services*

8. CONSIDERATION OF FINANCIAL MONITORING/CORPORATE REPORTS

- 8.1 NRF, Capital and Accountable Body Programme Monitoring Report 2005/2006 – *Chief Financial Officer*
- 8.2 Quarter 3 – Corporate Plan Progress and Revenue Budget Monitoring Report 2005/2006 – *Assistant Chief Executive and Chief Financial Officer*

9. ITEMS FOR DISCUSSION

- 9.1 Draft Final Report – ‘HMS Trincomalee Trust’ Scrutiny Referral’ – *Chair of Scrutiny Co-ordinating Committee.*
- 9.2 Draft Final Report – ‘Overspend on the Headland Town Square Development’ Scrutiny Referral – *Chair of Scrutiny Co-ordinating Committee.*
- 9.3 Final Report – ‘Children and Young People’s Plan’ – *Chair of Children’s Services Scrutiny Forum (to follow)*
- 9.4 Scrutiny Topic Referral – ‘Rossmere Pool’ Progress Report – *Scrutiny Support Officer/Research Assistant*

10. CALL-IN REQUESTS

No Items

11. ANY OTHER ITEMS WHICH THE CHAIRMAN CONSIDERS ARE URGENT

ITEMS FOR INFORMATION

- i) **Date of Next Meeting Friday 7th April 2006, commencing at 2.00 pm in Committee Room B**

SCRUTINY CO-ORDINATING COMMITTEE

MINUTES

24th February, 2006

Present:

Councillor Marjorie James (In the Chair)

Councillors: John Cambridge, Kevin Cranney, Bob Flintoff, Gerald Hall, Ann Marshall, John Marshall, Arthur Preece, Carl Richardson and Jane Shaw.

Also Present: In accordance with Council Procedure Rule 4.2 Councillor Victor Tumilty as a substitute for Councillor Edna Wright.

Resident Representatives: -

Evelyn Leck, Joan Smith and Linda Sheilds

Also Present:

Martin Denny, Employer's Organisation
John Ford, North Hartlepool Partnership
Richard Leck, Ferguson McIlveen
Bryn Hughes, General Manager, HMS Trincomalee Trust
Michael Stewart, Chairman of the Board, HMS Trincomalee Trust

Officers:

Andrew Atkin, Assistant Chief Executive
John Collings, Assistant Director (Performance and Achievement)
Ian Parker, Director of Neighbourhood Services
Stuart Green, Assistant Director (Economic Development and Planning)
Richard Starrs, Project Manager
Alan Coulson, Engineering Manager
Alison Mawson, Head of Community Safety and Prevention
Steven Barber, Assistant Chief Accountant
Charlotte Burnham, Scrutiny Manager
Joan Wilkins, Principal Democratic Services Officer
Jonathan Wistow, Scrutiny Support Officer
Rebecca Redman, Temporary Research Assistant (Scrutiny)

155. Apologies for Absence

Apologies for absence were received from Councillors Rob Cook, Pamela Hargreaves, Stan Kaiser, Geoff Lilley and Edna Wright.

156. Declarations of interest by members

The following declarations were made:-

Councillor Gerald Hall declared a personal interest in minute number 165 as an Honorary Trustee on the HMS Trincomalee Trust Board.

Councillors John Cambridge and John Marshall declared a personal interest in minute number 164, 'Headland Town Square Overspend' as a member and Chairman of the SRB North Hartlepool, respectively.

157. Minutes

The minutes of the meetings held on 10th February, 2006 were confirmed subject to the following amendment:-

Minute number 151, HMS Trincomalee Trust – Composition of the Trust Board,

- (i) To remove the word 21 and replace with 24
- (ii) To remove the wording "and the Director" to be replaced with "who are the Directors".

158. Responses from the Council, the Executive or Committees of the Council to Reports of the Scrutiny Co-ordinating Committee

None.

159. Consideration of Requests for Scrutiny Reviews from Council, Executive Members and Non Executive Members

None.

160. Any Other Business – Scrutiny Topic Referral from Council – Live Consultation on the New Primary Care Trust Arrangements in the Tees Valley (Scrutiny Manager)

The Scrutiny Manager reported that Council on the 16th February, 2006 considered, and approved (minute no. 124 refers), the following amended Motion on Notice:-

- a) To support a continued Hartlepool PCT with a management team based in Hartlepool working closely with the Council and through the LSP in order to minimise management costs and increase local control over decisions about health services (as argued in an independent report commissioned by the LSP (Locality Plus: Retaining a Coterminous PCT in Hartlepool', Hartlepool Partnership November 4th 2005);
- b) That Scrutiny Co-ordinating Committee should establish whether Option 2 in the current SHA consultation document meet this objective:
- c) That Scrutiny should consider whether the SHA consultation document treats options 1 and 2 even-handedly, as required by Minister, in expressing the unanimous view of PCT Chief Executives that Option 2 is unworkable; and
- d) That Scrutiny should consider whether to recommend to the Council that the proposals contained in the LSP's 2005 report be submitted to Ministers with relevant updated supporting material as the Council's preferred option.

It was brought to Member's attention that the Adult and Community Services And Health Scrutiny Forum was currently considering such consultation arrangements and at its next meeting, on the 28th February was to consider additional information prior to submission of its final response to the Tees Valley Health Joint Committee. In view of this, and the requirement that any response to the Secretary of State should be made via the Health Scrutiny function, Members of the Co-ordinating Committee were in support of the redirection of the referral to the Adult and Community Services and Health Scrutiny Forum.

Decision

- i) That the referral be redirected to the Adult and Community Services and Health Scrutiny Forum, to avoid duplication in the consideration of this issue;
- ii) That in agreement with the Chair of the Adult and Community Services and Health Scrutiny Forum an invitation be extended to all Members of the Council to the forthcoming meeting of the Adult and Community Services and Health Scrutiny Forum, scheduled for 28th February 2006; and
- iii) That authority be delegated to the Adult and Community Services and Health Scrutiny Forum in conjunction with the Chair of the Scrutiny Co-ordinating Committee to approve the authority's

finalised response for consideration by the Tees Valley Health Scrutiny Joint Committee on 15th March 2006.

161. Corporate Plan (BVPP) 2006/7 – Proposed Objectives and Actions (Assistant Chief Executive)

The Assistant Chief Executive submitted a report outlining proposed objectives and actions for inclusion in the Council's Corporate (Best Value Performance) Plan for 2006/7. The Scrutiny Co-ordinating Committee was asked to consider whether the proposed objectives and actions, each of which was split into one of the following areas, reflected the Council's priorities for the year ahead:-

Jobs and the Economy
 Lifelong Learning and Skills
 Health and Care
 Community Safety
 Environment and Housing
 Culture and Leisure
 Strengthening Communities
 Organisational development priorities

Consideration was given to each area and during the course of discussions the following issues were raised:-

- i) Community Safety (LAA 16) – Improved Neighbourhood Safety. Members emphasised the importance of Community Wardens and the need to ensure that they continue. It was felt that the perception of crime levels could be alleviated through continued improvements to services. Officers confirmed that Neighbourhood Renewal Funding for wardens had been approved by the Hartlepool Partnership. There would, however, be a change to the job title of the Wardens and greater emphasis on the prevention of environmental crime to respond to the views of residents. There was also to be some Neighbourhood Renewal funding to support Police Community Support Officers.
- ii) Strengthening Communities – Members highlighted the absence of community involvement in the Strengthening Communities section of the Plan. Attention was drawn to the areas where efforts were being made to highlight the role of the community and voluntary sector and attention drawn to discussions held with the HVDA around the establishment of an Area Agreement.

Further discussion ensued on the priorities contained within the Strengthening Communities section of the plan and Members commented on their request for an audit of the Community Sector to be undertaken by a Working Group as part of the Grants Committee

Scrutiny referral to be considered in the overview and scrutiny work programme for 2006/07.

Decision

The report was noted with no amendments suggested.

162. Forward Plan: March 2006 to June 2006

Members reiterated their concerns regarding the level of detail in the plan and requested that additional information be included in the future. The Chair highlighted that the Mayor had at a recent event promised to look at the content of the Forward Plan.

In relation to the current Forward Plan attention was drawn Decision Reference RP98/05 Neighbourhood Element Fund 2006/10. Attention was drawn to the relationship between the Council and the Strategic Partnership and concerns regarding decision making/working arrangements of the LSP and the absence of a role for the Local Authority. This issue was previously raised by this Committee on the 10th February 2006 (minute no. 144 refers) and the Chair indicated that the real issue today was one of governance. It was felt that Cabinet and even Council should be allowed to express a view before a decision was taken by the LSP and in terms of a way forward suggested that the issue of governance and the LSP be referred to the Regeneration and Planning Services Scrutiny Forum for consideration as part of its ongoing investigation into Partnerships.

Decision

That the issue of governance and LSP decision making be referred to the Regeneration and Planning Services Scrutiny Forum for consideration as part of its ongoing investigation into Partnerships.

163. Headland Town Square Development Overspend – Scoping Report (Scrutiny Manager)

The Scrutiny Support Officer presented a report scoping the Scrutiny Co-ordinating Committees forthcoming investigation into the Overspend on the Headland Town Square Development.

Details of the background to the Development were provided and approval sought for:-

The Overall Aim of the Scrutiny Investigation – To examine the

overspend on the Headland Town Square Development.

Proposed Terms of Reference of the Investigation

- a) To gain an understanding of the overall aim of the Headland Square Development.
- b) To examine the causes of the overspend.
- c) To reach a conclusion as to why/how the project cost more than originally anticipated.

Potential Areas of Inquiry/Sources of Evidence

- a) North Hartlepool Partnership Manager;
- b) Hartlepool Borough Council Officers – Project Manager Technical Services, Engineering Manager and Town Care Manager;
- c) Representatives of Ferguson McIlveen.

Proposed Timetable for the Investigation

24th March 2006 – Scoping meeting.

10th March 2006 – Additional evidence gathering and agreement of Draft Final Report.

Decision

The report was noted and the terms of reference and timetable outlined above approved.

164. Headland Town Square Development Overspend – Setting the Scene *(Scrutiny Manager)*

As part of the first stage of the Committees investigation the Chair welcomed the North Hartlepool Partnership (NHP) Manager and a representative from Ferguson McIlveen to the meeting. Also present to participate in discussions with the Committee were the Councils Project Manager Technical Services and Engineering Manager. Councillor J Marshall indicated that in his role as NHP Chair, he would be able to participate in the discussion.

During the course of discussions the following issues were discussed:-

- i) **Who was responsible for the original costing of the scheme and how was it arrived at?** The SRB Board was given the original figure for delivery of the project by officers. A competition was run, and a Consultant appointed, and it was thought that it was at this point that the budget was set. As those present had not been involved at the time of the setting of the budget it was agreed that officers would look into this and

provide further documentation to clarify the situation. It was, however, in the meantime confirmed that the process included a competition, the appointment of the Consultants to finalise the detail of the scheme and consultations. When the Consultants were appointed it was already apparent that the budget estimate, in the light of the analysis undertaken within the competition process.

- ii) **Concern was expressed that a member of the SRB Board was not involved in contract negotiations, especially when the Steering Group had made it clear that it wanted quality over quantity.** Attention was drawn to the need in terms of best value to bring in a contractor as soon as possible resulting in the appointment of Seymour's as the preferred contractor. There had also been a need to spend some funding before the end of March and in order to facilitate this material's were agreed on the basis of the proposed work. It was, however, not until later that a final target cost was identified, which ended up being £105,000 over budget largely as a result of increasing material costs. As a result of the decision to agree materials and the inability to reduce the specification of the scheme without sacrificing content or quality, the Steering Group established to deal with the project, felt that the scheme could not be reduced to keep within budget.

Attention was drawn to the affect that the 'Re-thinking Construction' Initiative had on the way construction was tendered for. Emphasis was now placed upon the involvement of the Contractor earlier in the project and that was the route had been taken in relation to the letting of this contract. Whilst Members noted this explanation they felt that there was a greater need for representation and involvement at every stage of the process.

- iii) **Was a contingency included in the budget?** Members were assured that a contingency had been included and that the additional resources being sought were over and above this figure. The contingency had already been absorbed.
- iv) **To what extent had the archaeology of the site affected the cost of the scheme?** It was confirmed that the archaeology had made a significant impact on the scheme. Although trial holes had been dug it was not until work commenced that the true extent of the archaeology came to light. It had been necessary to extend the original contract with Tees Archaeology, cost £30,000, with another £65,000 now required. On top of this, each week the archaeologists were on site affected the contactors work programme. If this effect caused the contract to be extended this would cost an additional £8,000 per week.

- v) **What other options had been looked at to cover the overspend?** Members were advised that when the funding had initially been put together it had come from external sources, with the Partnership putting in over £950,000. An approach to ONE was refused and whilst it was unlikely that anyone else would come in to simply fund the overspend there had been some success in securing additional external funding as a result of savings made elsewhere. There was, however, still no other option other than to approach the Council for assistance in relation to the remaining amount.
- vi) **Would there be any further requests for additional funding if this was approved?** Members were assured that the figure being sought included the additional archaeology costs and took into account any possible future problems the bulk of which would have already come to light by now.

Decision

- i) The report was noted.
- ii) That officers provide, under separate cover, documentation that would provide clarification on the determination of the original budget.
- iii) That the draft final report outlining the views of the Committee be presented to the next meeting.

165. HMS Trincomalee Trust – Financial Performance (*Scrutiny Manager*)

Further to minute numbers 150 and 151 of the previous meeting, the Scrutiny Manager provided detailed information on:

- The last three years financial performance of the HMS Trincomalee Trust (2003-2005)
- The future stability of the Trust.

To assist the Committee in consideration of this information the Chairman of the Trust and General Manager were in attendance to answer any questions Member's might have. During the course of discussions the following issues were raised:-

- i) **How much was the ship worth?** Book value £1 but with an insurance value of £1.5m.
- ii) **Concern was expressed that the figure shown on the Balance sheet relating to 'Creditors' had gone up by £41,000 over the last three years.** Members were advised

that the amount shown related to money owed to the Trust. Attention was drawn to the net current assets figure and whilst this had reduced over the last three years assurances were given that work was ongoing with colleagues from the Council to address this. It was also noted that whilst expenditure was steady income could not match it and as such the Trusts balances were being eroded, leaving a cash balance at the end of the year of only £9,000. This issue needed to be addressed.

iii) Issues raised at the last meeting. In relation to the use of the term 'permanent trading' in relation to the Trusts Memorandum of Association whilst it was felt that revenue from weddings could be classified as income earned it was accepted that it could not be guaranteed and as such was not permanent. There was, however, still concern regarding:

- The responsibility for accidents and damage and the public perceptions as to who was responsible, and
- The lack of restrictions attached to the £50,000 grant given by the Council each year. Other organisations in the town awarded grants were given far greater restrictions in terms of what they could use funds for and it was felt that the relationship with the Trust needed to be viewed on a more business like basis.

The Trust representatives present indicated that the Trust would have no objections to the imposition of greater conditions on the use of the grant providing they maintained sufficient freedom to apply it to day-to-day costs. It was, however, highlighted that although the Community Pool was under pressure the grant to the Trust had come about in a different way and was not associated with the Community Pool. Whilst this was why guidance had not been applied to the Trusts grant Members were assured that a procedure was in place and that the grant was not paid in a one off sum, but on a monthly basis, according to demonstrable need.

iv) Concern was expressed regarding the timescale for the development/sale of the proposed land and that with budget restraints it might be that the Historic Quay becomes subject to cuts. Members were advised that work was ongoing to present a single visitor attraction at Hartlepool's Maritime Experience with any areas of duplication being looked at. It was recognised that consideration needed to be given to how much support needed to be given to promotion of Hartlepool as a tourist attraction and where this sat in terms of the Council priorities.

- v) **Would the inclusion in a single ticket for entrance to the Quay count as permanent trading.** Members were advised that the Charity Commission allows a level of charitable income generation and that visitors come onto the ship as charitable users. The Commission also accepts that the souvenirs could be bought and the facilities used for non-charitable activities, such as weddings. As such the Trust was allowed to undertake an element of non-charitable income generation (up to 20% on income which equated to approximately £50,000) however, the Trust was getting close to this level and needed to consider alternative arrangements i.e. the creation of a trading arm.

Following completion of discussions the Chair thanked all those present for attending and recapped the issues for inclusion in the Committees' report back to Council, as detailed below.

Decision

- i) That a Draft Final Report be presented to the next meeting of the Committee for consideration and approval prior to its submission to Council.
- ii) That the following recommendations be included in the report:
- a) That in relation to the relationship between the Council and the HMS Trincomalee Trust:
- Council should clarify why the relationship with the Trust is different to that with other voluntary/charitable organisations, or
 - Initiate actions to make the relationship with the Trust the same as with other organisations, possibly through a Service Level Agreement.
- b) The fact that the Trust was reaching the cut off point for the generation of non charitable income needed to be taken into consideration by the Council in whatever decision.

166. Scrutiny Co-ordinating Committee – Progress Report (Chair of the Scrutiny Co-ordinating Committee)

The Chair of the Scrutiny Co-ordinating Committee presented a report updating Members on progress made since consideration of the previous progress report, on the 20th December 2005. As part of the report attention was drawn to the considerable progress being made by the Co-ordinating Committee and each of the standing Forums in ensuring the delivery and completion of their individual Work Programme's for 2005/6.

Details of the scrutiny investigations/referrals currently being considered or awaiting consideration were outlined in the report. Given that there were only two further meetings of the Committee after today's meeting, with the

possibility of an additional 'sweep up' meeting to consider specific Final reports from the standing Forum's consideration was sought as to whether it would be appropriate for the Rossmere Pool referral to be incorporated into this Committee's 2006/07 Work programme to ensure that sufficient time was allocated to it.

Following consideration of the options available, Members recognised the pressures being placed upon the Committee and its support staff. There was, however, concern regarding the possible need to recap following the elections should new Members be appointed to the Committee and support was expressed for consideration of the Rossmere Pool referral as soon as possible. As a solution the Scrutiny Manager was asked to prepare a report for the next meeting of the Committee to summarise the background to the referral, where the Committee was up to and recommendations already made. It was felt that it would not take a considerable amount of work to complete consideration of the referral. Should this not be the case, then the decision to incorporate consideration of the referral into next years work programme could be taken at the next meeting.

Decision

- i) The report was received and noted.
- ii) That a report be submitted to the next meeting of the Committee to summarise the background to the referral, where the Committee was up to and recommendations already made with a view to either completing consideration of the referral or incorporating further consideration of it into next years work programme.

167. Neighbourhood Services Scrutiny Forum – Progress Report (Chair of the Neighbourhood Services Scrutiny Forum)

The Chair of Neighbourhood Services Scrutiny Forum reported that since consideration of the previous progress report, on the 20th December 2005, the Forums had concluded its investigation 20mph speed limits outside schools and was nearing completion of its investigation into local bus service provision. Details of the process and progress within each of these inquiries were outlined in the report.

Decision

The report was received and noted.

168. Regeneration and Planning Services Scrutiny Forum – Progress Report (Chair of the Regeneration and Planning Services Scrutiny Forum)

In the absence of the Chair of Regeneration and Planning Services Scrutiny Forum the Chair of the Scrutiny Co-ordinating Committee reported that since

consideration of the previous progress report, on the 20th December 2005, the Forum had:

- Continued its investigation into Partnerships
- Deferred its investigation into the use of UPVC in conservation areas pending the outcome of the Portfolio Holder and Planning Committee's consideration of the issues and that approval was sought from this Committee to notify Council

Details of progress within each inquiry were outlined in the report.

Decision

The report was received and noted with agreement being given to notify Council of the removal of UPVC windows referral from the overview and scrutiny work programme for 2005/06.

169. Adult and Community Services and Health Scrutiny Forum – Progress Report (Chair of the Adult and Community Services and Health Scrutiny Forum)

In the absence of the Chair of Adult and Community Services Scrutiny Forum, the Chair of the Scrutiny Co-ordinating Committee reported that since consideration of the previous progress report, on the 20th December 2005, the Forum had:

- Completed its investigation into Pandemic Influenza
- Received and update report from Tees and North Yorkshire Ambulance Service (TENYAS)
- Continued its investigation into 'Access to GP Services in Hartlepool

Details of progress within each inquiry were outlined in the report.

Decision

The report was received and noted.

170. Children's Services Scrutiny Forum – Progress Report (Chair of the Children's Services Scrutiny Forum)

The Chair of Children's Services Scrutiny Forum reported that since consideration of the previous progress report, on the 20th December 2005, the Forum had:

- Considered and agreed the contents of the Draft Final Report on Involving Young People in Decision Making in Hartlepool', which went on to Council on the 16th February 2006.

- Considered a Scoping Report on the Scrutiny of the Draft Children and Young Person's Plan. The terms of reference and timetable for the investigation were approved.
- Received a report from the Portfolio Holder outlining his decision in relation to the Forum's Final Report on the Adult Learning Inspection.
- Considered a report on the Scrutiny of the Draft Children and Young People's Plan.

Details of progress within each inquiry were outlined in the report.

Decision

The report was received and noted.

171. Second and Third Tier Officers Salary and Grading Review Scrutiny Referral: Employers' Organisation Salary and Grading Structure Recommendation's (Scrutiny Manager)

Further to minute number 95 of the meeting held on the 20th December, 2005 the Scrutiny Manager circulated for Members consideration an advanced copy of the report to be presented to the meeting of Cabinet on the 13th March, 2006 outlining the Employers' Organisation Salary and Grading Structure recommendations. Present at today's meeting to assist Members were the Director of Neighbourhood Services and a representative from the Employers' Organisation.

The Director of Neighbourhood Services indicated that as requested details were provided of job descriptions for each post and organisational charts and highlighted that:

- A number of arithmetic errors had been identified in the Employers' Organisation report;
- Salary bandings had been benchmarked at April 2005 levels and would need to be reassessed on the basis of April 2005 pay rises;
- There were some issues regarding the banding of three posts which the Director wished to discuss with the Employers' Organisation and report back on.

In view of this Members were asked to consider the report with the additional information (as outlined above) being provided to the meeting on 7th April 2006.

The Director of Neighbourhood Services also reported that agreement to the extension of this review was to be sought from the Portfolio Holder at his meeting on 13 March 2006 following the need

to address some of the issues within the Employers Organisation report.

Members were in support of this course of action and during the course of discussion raised the following issues:-

- i) Concern was expressed regarding the continued use of consultants, an issue which had been raised by Members on numerous occasions. The Director of Neighbourhood Services took these concerns on board and indicated that they would be looked into.
- ii) Emphasis was placed upon the need to recognise that the real issue was what the Council could afford to pay. It was recognised that this was a valid point and Members advised by the Employers' Organisation representative that this had been taken into consideration with the posts pitched below the median for unitary Authority's. Members were, however, asked to bear in mind that competition was very close by and with recommendations £10/15,000 below salaries in some other areas there could be a recruitment issue. However, it was acknowledged that for specialist posts there may be a need to consider an additional market supplement in the future.

Decision

That further consideration of the outstanding issues raised by the Director of Neighbourhood Services be given by Members of this Committee at their meeting on 7th April 2006.

172. Consideration of Financial Monitoring / Corporate Reports

No Items.

173. Call-in Requests

No Items.

174. Any Other Business

No items.

MARJORIE JAMES

CHAIR

Scrutiny Co-ordinating Committee

10th March 2006



Report of: The Director of Regeneration and Planning Services

Subject: DRAFT YOUTH JUSTICE DELIVERY PLAN 2006/07

SUMMARY

1. PURPOSE OF REPORT

To consider the draft Youth Justice Delivery Plan 2006/07

2. SUMMARY OF CONTENTS

The attached report to Cabinet contains an issue paper, which identifies areas of Youth Offending Service performance requiring improvement.

3. RELEVANCE TO SCRUTINY

Budget and Policy framework.
Community Safety Issue.

4. TYPE OF DECISION

Part of the Budget and Policy framework.

5. DECISION MAKING ROUTE

Cabinet 27th February
Scrutiny 10th March
Cabinet 29th March
Council 13th April

6. DECISION(S) REQUIRED

Comments on the draft Youth Justice Delivery Plan 2006/07

Report of: Director of Regeneration & Planning Services

Subject: DRAFT YOUTH JUSTICE DELIVERY PLAN
2006-2007

1. PURPOSE OF REPORT

1.1 To consider the draft Youth Justice Delivery Plan 2006-2007

2. BACKGROUND

2.1 A Youth Justice Issues Paper highlighting the current performance of the Youth Offending Service was considered and approved by Cabinet on 27th February 2006. This Paper is attached to the Cabinet report at **Appendix A**. The main issues identified cover:

1. Use of Remand
2. Final Warning
3. Education, Training and Employment
4. Accommodation
5. Assessment of Substance Misuse

2.2 The Youth Justice Delivery Plan must follow guidance issued by the Youth Justice Board. This limits the information required and reduces it to a factual statement with little explanation.

3. THE DRAFT YOUTH JUSTICE DELIVERY PLAN

3.1 The Draft Youth Justice Delivery Plan is attached at **Appendix B**. The details of the performance measures are based upon nine months, covering April – Dec 2005, as the timing for submission of the Plan has been brought forward to the end of April 2006, to link with the submission of the Annual Performance Assessment

3.2 Consultation is underway with partners, voluntary sector providers and other organisations. A joint planning event is being held with Youth Offending Team and Safer Hartlepool Partnership's Young Peoples group on 2nd March. The results of this will be reported verbally to the scrutiny committee.

3.3 The final version of the Plan, together with the Scrutiny Co-ordinating Committee's comments, will be considered by Cabinet again on 29th March 2006 before being submitted to Council on the 13th April 2006.

4. RECOMMENDATIONS

Comments of Scrutiny Committee are requested.

CONTACT OFFICER: Danny Dunleavy, Youth Offending Service

Background Papers

Youth Justice Plan Guidance

Youth Justice Board Counting Rules

CABINET REPORT

27th February 2006



Report of: The Director of Regeneration and Planning Services

Subject: YOUTH JUSTICE PLAN 2006-07

SUMMARY

1. PURPOSE OF REPORT

To set out proposals for the development of the Youth Justice Plan and to consider issues for the Youth Offending Service (YOS) during 06/07

2. SUMMARY OF CONTENTS

The issues paper identifies the performance of the Youth Offending Service for the six months to September 2005. Raises issues to be considered in providing the service, links the Youth Justice Plan to the Annual Performance Assessment and sets out the timetable for submission of the plan.

3. RELEVANCE TO CABINET

Budget and Policy framework.

4. TYPE OF DECISION

Part of the Budget and Policy framework.

5. DECISION MAKING ROUTE

Cabinet 27th February
Scrutiny in March
Cabinet in late March or April
Council 13th April

6. **DECISION(S) REQUIRED**

To approve the issues paper attached for consultation and referral to scrutiny.

Report of: The Director Of Regeneration and Planning Services

Subject: YOUTH JUSTICE PLAN 2006-07

1. PURPOSE OF REPORT

1.1 To set out proposals for the development of the Youth Justice Plan and to consider issues for the Youth Offending Service (YOS) during 06/07

2. BACKGROUND

2.1 Each year the Youth Justice Board (YJB) requests YOS to complete an annual plan as required by Section 40 of the Crime and Disorder Act 1998.

2.2 The YJB Plan requires YOS's to set out how they are going to respond to the requirements of the Youth Justice Performance Management framework, to address areas of under performance and deliver continuous improvement.

2.3 The Corporate Performance Assessment excellent rating for the authority does mean that an annual plan is not required, but the authority's constitution requires completion as part of the Budget and Policy Framework. Good practice would dictate that a plan should be produced in order to inform the service delivery for next year.

2.4 YOS Performance contributes to the assessment of the overall local authority performance via the Annual Performance Assessment (APA) process. The overall score from this assessment and the accompanying performance data will inform the final score for the Children and Young People service block of the CPA.

2.5 The Youth Justice Plan will be used by the APA inspectors and YOS's are asked to complete an additional template summarising performance data by the end of April. The APA templates will also be used by inspectors in the Joint Area Review of Children's Services which is aligned with the Joint Inspection of Youth Offending Teams lead by her Majesty's Inspectorate of Probation.

2.6 Hartlepool YOS is to be inspected in October 2006.

3. YOUTH JUSTICE PLAN SPECIFICATION

- 3.1 The YJB have produced a Youth Justice Plan template and Action Planning Tool to serve as formats for the plan. Whilst YOS's may choose to produce their own format, they must ensure that the same areas are covered as in the template.
- 3.2 The 5 sections to be covered in the plan are:
- 1) Chair of the Management Boards Summary – Provides an overview of how the YOS delivers Youth Justice Services.
 - 2) Local Planning Environment – The plan requires an overview of how YOS is engaging the plans and priorities of their local partners, looking separately at interaction with the two main systems that YOS's must operate in namely Children's Services and Crime and Disorder Reduction Partnership (Community Safety Partnership).
 - 3) Drivers of Performance – Governance and Leadership, Performance and Quality Systems, Resources, People and Organisation, Partnership Working.
 - 4) Delivery Plan – Forms the bulk of the plan. YJB have identified 16 themes that are fundamental to the delivery of the overall aims and objectives of the Youth Justice system
 - 5) Review and Approval – Review of actions and update of Action Plan approval by the relevant partners.
- 3.3 To accommodate the bringing forward of the plan submission from end of June to end of April, to tie in with the APA, performance data in the plan will only cover the first three quarters of 05/06.
- 3.4 The first part of the process in Hartlepool is to provide an issues paper, which will be used as the basis for consultation with users and partners and for consideration by scrutiny. The annual plan will be available for consideration by cabinet and full council in April 2006, and needs to be submitted to the YJB by 30th April 2006.

4. ISSUES PAPER

- 4.1 The issues paper is attached at **Appendix 1** for member's consideration.
- 4.2 The verified performance of the YOS against the YJB Key Performance Indicators (KPI's) for the first two quarters April – Sept 2005 is shown at **Appendix 2**.

5. RECOMMENDATIONS

- 5.1 Members are requested to approve the issues paper for consultation with partners, young people and for referral to scrutiny forum.

Contact Officer: Danny Dunleavy
YOS Manager

Background Papers: None

Youth Justice Plan 2006/07

Issues Paper

The Delivery Plan forms the bulk of the plan covering the 16 YJB themes which are informed by KPI's.

The Themes are:

Theme	KPI
Prevent Offending	Prevention
Intervene Early	Final Warning*
Provide Intense Supervision	ISSP
Reduce Re-offending	Recidivism
Reduce the use of Custody	Use of remands/custodial sentence*
Ensure Swift administration of Justice	PSR*
Enforcement and Enabling Compliance	Breach
Ensure Effective Rigorous Assessment	Asset*
Support Young People Engaging in Education, Training and Employment	ETE*
Support Access to appropriate accommodation	Accommodation*
Support access to Mental Health Services	CAMHS*
Support access to Substance Misuse services	Substance Misuse*
Resettlement	RAP
Provide Effective Restorative Justice Services	Restorative Processes*
Support Parenting Interventions	Parenting*
Ensure Equal Treatment Regardless of Race	Race

*These 11 KPI's are measured quarterly and the Performance Summary for April – September 2005 is shown in a traffic light grading system at Appendix B which also compares Hartlepool to the YOS family group, the North East YOS's and nationally. The summary also shares the performance for the same 6 month period in the 2004 and the previous financial year (2004/05).

From the Performance Table it can be seen that KPI for Remand is red whilst the Final Warnings, Education Training and Employment and Substance Misuse Assessment are amber and therefore need addressing to meet the YJB prescribed targets

The other KPI's are measured annually

Issues for Consideration

1) Use of Remand

Whilst the percentage figure of 50% appears to be above the target this only represents 2 young people being remanded. The Hartlepool YOS has the resources to offer alternatives to Remands in Custody with its Remand Carers however some offences are so serious that alternatives are not viable to the courts.

2) Final Warning

A number of young people were assessed as not requiring an intervention in the second quarter. Due to low numbers this has brought the performance to just below the 80% target it is expected that the rate will be above 80% at the end of the third quarter. New Counting rules for 2006/07 will only measure those young people requiring interventions.

3) Education, Training and Employment (ETE)

Although we are not meeting the 90% target the performance is above the YOS family regional and national performance. However, all young people of statutory school age should have the opportunity to attend ETE.

4) Accommodation

Attention needs to be given to the use of suitable accommodation for 16-18 year olds, as problems are encountered whenever a 16-18 year old is homeless, either there is no accommodation or where the accommodation is available the cost is prohibitive to young person.

5) Substance Misuse – Assessment

Young people with identified needs should receive appropriate specialist assessment within 5 working days. Specialist assessments can be arranged within the timescale however young people do not always attend the appointments. Support to attend the appointments is offered but not always taken up.

It should be noted that while this assessment KPI is below target, the requirement to receive an appropriate intervention for the substance misuse within 10 days has 100% achievement. This KPI forms part of the APA.

A further three areas form part of the APA assessment.

1) CAMHS

Shown in the Performance Summary as white – 200% as there have been no referrals during the period. Whilst the non acute assessment can be undertaken by the YOS health advisor to meet time scales there is no guarantee of the acute assessment being undertaken by CAMHS within the time scales.

2) Prevention

This requires the YOS to reduce year on year the number of first time entrants into the Youth Justice System.

Work is on-going with Police and Anti Social Behaviour Unit to identify early those young people at risk of becoming involved in crime and Anti Social Behaviour, and referrals are made to the Multi Agency Family Support Panel for targeted intervention to reduce the identified risks.

Funding for a Youth Inclusion Programme in the Dyke House and Owton Manor area's of the town has been applied for.

Funding to support the Straight-line alcohol programme has also been applied for.

3) Reduce Re-offending

Figures for re-offending by the 2003 cohort 2 years after completing their order with YOS will not be available until later in February.

Hartlepool Performance Summary Apr-Sept 2005

Overall Performance	KPI Performance	National Standards Compliance	EPQA Performance	Recidivism Performance	
<u>Overall Summary</u>					
Performance Level	Level 4	Level 4	Level 5	Level 3	Level 4
Overall Performance	73.5%	85.7%	83.3%	73.9%	53.3%
Hartlepool I	Family	North East	National	April - Sept 2004	Financial Year (2004-05)

KPI Summary

Final Warnings	78.3%	86.3%	84.2%	84.5%	93.0%	92.5%
Use of remand	50.0%	38.7%	38.4%	44.7%	25.0%	31.3%
Custodial sentences	0.8%	6.0%	4.1%	6.2%	4.8%	4.5%
Restorative processes	90.0%	94.9%	90.1%	85.8%	97.7%	97.1%
Victim satisfaction	100.0%	98.4%	99.4%	97.6%	100.0%	100.0%
Parenting	28.0%	16.1%	13.3%	12.9%	10.5%	10.6%
Parental satisfaction	100.0%	96.0%	78.8%	95.4%	88.9%	93.3%
Community ASSET Start	100.0%	97.8%	96.0%	95.1%	100.0%	99.3%

27th February 2006

End Custodial ASSET	100.0%	98.2%	96.8%	95.2%	100.0%	100.0%
Start Transfer	100.0%	100.0%	97.2%	97.8%	100.0%	100.0%
End PSR	100.0%	96.7%	97.6%	96.3%	100.0%	100.0%
DTO planning	93.8%	89.7%	92.0%	89.6%	96.8%	90.4%
ETE Accommodation	100.0%	95.7%	90.4%	89.6%	100.0%	100.0%
	83.3%	76.4%	75.3%	75.2%	82.2%	81.6%
	94.9%	96.8%	93.7%	93.6%	95.6%	96.9%
CAMHS						
Acute	-200.0%	100.0%	94.6%	58.9%	-200.0%	-100.0%
Non Acute	-200.0%	97.7%	98.1%	88.4%	100.0%	
Substance Misuse						
Assessment	83.3%	90.3%	82.0%	76.3%	64.3%	70.7%
Intervention	100.0%	99.4%	98.1%	92.8%	94.4%	96.6%

Hartlepool Youth Offending Service

DELIVERY PLAN

A. DELIVERY PLAN

PREVENT OFFENDING

The Family Support Panel (FSP) consisting of representatives from Children's Services (Education, Social Care, Children's Fund), Child and Adolescent Mental Health Service, Local Authority Housing, Housing Hartlepool, Police, Community Safety, Anti-Social Behaviour, Connexions, Barnardos, Families First and the Youth Offending Service (YOS) has continued to be the main mechanism for the delivery of preventative services through the identification, assessment and planning of interventions for those young people and their families at risk of becoming involved in crime, anti-social behaviour or social exclusion.

A Key worker for the panel was appointed during the year to undertake assessments and draw up individual intervention plans with the young person and their family through family focus groups.

Work with the Police and Anti-Social Behaviour Unit is ongoing to ensure the early identification and referral of those young people at risk to the FSP.

Funding has been obtained through the YJB Prevention Funding to establish a Youth Inclusion Programme (YIP) which will work in two of the towns estates which have been identified as having high levels of crime and anti-social behaviour. The YIP will be delivered in partnership with Connexions and Voluntary Sector organisations.

The Straightline Project continues to deliver an alcohol awareness programme to young people found in possession of alcohol by the police. An additional £13000 to support the assessment of young people and increase the delivery of the programme has been obtained from the Proceeds of Crime Fund through Government Office North East.

During 2005 the Youth Service took delivery of a new mobile unit which operates four nights per week providing access to young people in areas where there are issues with anti-social behaviour.

The YOS has continued to support the Duke of Edinburgh Award Scheme operated by the Youth Service and delivered by Manor College and the YOS.

Data: Number of first time entrants into the youth justice system.

KPI: 05/06 April – December actual	<u>155</u>
Target	<u><289</u>
KPI: 06/07 target	<u><275</u>

INTERVENE EARLY

Overview: including review of the past year, performance against KPIs and progress against EPQA improvement plans, and highlights of plans for the coming year:

Hartlepool YOS has continued to meet the performance target in respect of Final Warnings.

Interventions are related to the Asset assessment and relevant to the young persons needs and therefore the new target of 100% interventions when Asset score is 12 or more, concerns of risk or serious harm are present or any section scores 4 or more is welcomed by the YOS.

A Prison Me No Way Programme continues to be delivered at the same time as the Final Warning. Interventions are carried out by the YOS officer or through the mentoring programme with Barnardo's and include an element of restorative justice.

Data: Final Warnings

KPI: 05/06 April – December actual and % against target (old KPI)	<u>87.2%</u> <u>80%</u>	EPQA: 03 rating	<u>1</u>
KPI: 06/07 target (new KPI)	<u>100%</u>	EPQA: 05 result	<u>2</u>

PROVIDE INTENSIVE COMMUNITY SUPERVISION

Overview: including review of the past year and highlights of plans for the coming year:

The Intensive Supervision and Surveillance Programme (ISSP) continues to be delivered across the Tees Valley. Numbers in Hartlepool remained low during the first two quarters but increased in the third quarter. There has been no increase in the use of custody.

Due to the low numbers ISSP staff have been redeployed across the Tees Valley to where numbers are high, this resulted in a reduced service to Hartlepool and a lack of confidence by YOS staff in ISSP as young people were not receiving the appropriate service. The temporary appointment of a case manager in Hartlepool has renewed confidence in the programme but this needs to be maintained.

REDUCE RE-OFFENDING

Overview: including review of the past year, performance against KPIs and highlights of plans for the coming year:

Awaiting details of convictions for those aged over 18 to enable the 24 month tracking to be completed

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REDUCE THE USE OF CUSTODY

Overview: including review of the past year, performance against KPIs and highlights of plans for the coming year:

Remands: the locally agreed target for 2005-06 was 24.2% which equated to 8 young people, whilst the actual for April - Dec is 57.1% this equates to 4 young people. Two of the remands were for offences of a serious nature where alternatives although offered were not considered appropriate. The YOS court officers are pro-active in promoting alternatives to custodial remands including bail with conditions. The YOS is fortunate to have available remand carers, and a bail support scheme including ISSP.

Custodial sentences: locally agreed target of 4.5% equating to 11 young people the actual of 3% relates to 6 young people.

The YOS court officers have a good relationship with the court and are positive in putting forward support packages for young people to remain in the community where this is possible.

The YOS manager meets with the chair of the Youth Court Panel to discuss issues and attends the quarterly meeting of the Panel to report on YOS issues and performance in relation to remands and custodial sentences as well as parenting and restorative justice.

Data:

KPI: 05/06 April – December actual and % against target (remand)	<u>57.1%</u> 24.2%	KPI: 05/06 April – December actual and % against target (custody)	<u>4.5%</u>	EPQA: 05 rating (where applicable)	<u>2</u>
KPI: 06/07 target	<u>30%</u>	KPI: 06/07 target	<u>3%</u>	EPQA: 07 target	<u>3</u>

ENSURE THE SWIFT ADMINISTRATION OF JUSTICE

Overview: including review of the past year, performance against KPIs and highlights of plans for the coming year:

The YOS continues to achieve the target in relation to Pre-Sentence Reports being submitted within National Standards timescales. Court Officers continue to highlight any adjournments in the Youth Court which are beyond National Standards

Data:

KPI: 05/06 April – December actual and % against target	<u>95%</u> <u>90%</u>
KPI: 06/07 target	<u>90%</u>

ENFORCEMENT AND ENABLING COMPLIANCE

Overview: including review of the past year and highlights of plans for the coming year:

The Local Criminal justice Board target of 35 working days from relevant unacceptable absence to resolution and to resolve 50% of cases within 25 working days is being met.

ENSURE EFFECTIVE AND RIGOROUS ASSESSMENT

Overview: including review of the past year, performance against KPIs and progress against EPQA improvement plans, and highlights of plans for the coming year. This must include steps to improve risk assessment and management:

100% completion of Asset at all stages continues to be achieved. Detention and Training Order training plans are drawn up within national standards timescales.

All staff have received training in Asset and intervention plans.

Risk Management training has been identified as a priority within the service fro the coming year.

Data:

KPI: 05/06 April – December actual and % against target (ASSET)	<u>100%</u> <u>100%</u>	KPI: 05/06 April – December actual and % against target (DTO)	100% 100%	EPQA: 03 rating	<u>1</u>
KPI: 06/07 target	<u>100%</u>	KPI: 06/07 target	100%	EPQA: 05 result	<u>2</u>

SUPPORT YOUNG PEOPLE ENGAGING IN EDUCATION TRAINING AND EMPLOYMENT

Overview: including review of the past year, performance against KPIs and progress against EPQA improvement plans, and highlights of plans for the coming year:

Performance continues to be below the target. Majority of those not in ETE were 17 year olds who did not attend training placements or were the subject of short term final warning interventions and in the transition from statutory education to training and employment.

There have been problems in relation to Health and safety with the alternative education provision for those young people excluded from mainstream education, an action plan is now in place to address these issues and a multi-agency panel is to review all placements and co-ordinate support to assist with the integration of young people back into mainstream education.

The education provision to the YOS has been reviewed and appropriate arrangements are to be made to ensure the best service for young people.

Connexions Placement Support Officer continues to work closely with the YOS to secure training places and support education placements where there are difficulties.

Stockton YOS on behalf of the Tees Valley YOS's have gained funding through the Local Skills Council to run the It's Going to Work Programme which prepares young people for training or employment over the next 3 years Hartlepool have 60 places on the programme.

Data:

KPI: 05/06 April – December actual and % against target	72.7% 90%	EPQA: 03 rating	1
KPI: 06/07 target	90%	EPQA: 05 result	2

SUPPORT ACCESS TO APPROPRIATE ACCOMMODATION

Overview: including review of the past year, performance against KPIs and highlights of plans for the coming year:

The named accommodation officer for the YOS is the multi-agency funded Homeless Strategy Officer whose remit is to increase the accommodation available to young people aged 16-25. The funding arrangements for the post are changing in 2006-07 and a greater emphasis will be placed upon addressing the needs of 16-18 year olds.

When accommodation is available it is either unsuitable (Bed & breakfast) or the cost is prohibitive to the young person. This is being taken up through Children's Services and the Homeless section of the local authority.

A new complex of 10 beds to support vulnerable young people is to be opened during 2006

Remand carers will continue to be used to support young people on a short term basis where no appropriate accommodation is available to them.

Support to parents will be offered to maintain young people in the family home.

Data:

KPI: 05/06 April – December actual and % against target (named officer)	<u>100%</u>	KPI: 05/06 April – December actual and % against target (suitable accommodation)	95.9%
KPI: 06/07 target	<u>100%</u>	KPI: 06/07 target	100%

SUPPORT ACCESS TO MENTAL HEALTH SERVICES

Overview: including review of the past year, performance against KPIs and highlights of plans for the coming year:

To meet the needs of young people who offend and require support from the CAMHS service the YOS health worker works jointly with CAMHS and undertakes the formal health assessment. Relevant training, support and supervision is given to the health worker by CAMHS. Training in solution focussed therapy has been completed during the year.

The PCT funding arrangements for forensic referrals has in the past been problematic but a referral pathway has now been developed and agreed which will create speedier access to services.

Data:

KPI: 05/06 April – December actual and % against target (Acute)	<u>100%</u> <u>100%</u>	KPI: 05/06 April – December actual and % against target (non-acute)	100% 100%	EPQA: 05 rating (where applicable)	
KPI: 06/07 target	<u>100%</u>	KPI: 06/07 target	100%	EPQA: 07 target	

SUPPORT ACCESS TO SUBSTANCE MISUSE SERVICES

Overview: including review of the past year, performance against KPIs and highlights of plans for the coming year:

The 100% target of those with identified needs receiving a specialist assessment within 5 working days has not been met with an actual outcome of 92%. All young people requiring an assessment have been offered appointments within 5 working days however, despite support and transport being offered they have failed/refused to attend the appointment.

The YOS works closely with the Hartlepool Young Peoples Drug Team to support young people with substance misuse problems. The main areas of concern remain the use of alcohol and cannabis.

Treatment for those accessing assessment is undertaken within the prescribed timescales.

All young people scoring 2 or more for substance misuse in Asset are referred to the YOS Drugs worker for assessment and to the RAP team for support.

Data:

KPI: 05/06 April – December actual and % against target (Assessment)		KPI: 05/06 April – December actual and % against target (specialist assessment)	92%	KPI: 05/06 April – December actual and % against target (early access to intervention)	<u>100%</u>	EPQA: 05 (where applicable)	
KPI: 06/07 target	<u>100%</u>	KPI: 06/07 target	100%	KPI: 06/07 target	<u>100%</u>	EPQA: 07 target	

SUPPORT RESETTLEMENT INTO THE COMMUNITY

Overview: including review of the past year, performance against KPIs and highlights of plans for the coming year:

All young people in custody are referred to RAP and workers are involved from the initial planning meeting to support the young person through the custodial phase and on release into the community with an emphasis on education, training and employment and accommodation needs. Placement with remand carers is used if appropriate accommodation is not available upon release.

Data: Resettlement

EPQA: 05 rating	2	EPQA: 07 target	<u>3</u>
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PROVIDE EFFECTIVE RESTORATIVE JUSTICE SERVICES

Overview: including review of the past year, performance against KPIs and highlights of plans for the coming year:

Victim offender mediation and victim impact work are delivered in partnership with Stockton YOS and the Children's Society. Whilst victims are offered the opportunity to partake in a restorative process there is a low take up in terms of direct mediation. The Partnership was evaluated during 2005 with a positive outcome.

The availability of reparation projects within the community increased during 2005 with a number of projects being undertaken with local voluntary organisations and the local authority.

Data:

KPI: 05/06 April – December actual and % against target (intervention)	88.5% 75%	KPI: 0405/06 April – December actual and % against target (satisfaction)	100% 75%
KPI: 06/07 target	<u>75%</u>	KPI: 06/07 target	75%

SUPPORT PARENTING INTERVENTIONS

Overview: including review of the past year, performance against KPIs and progress against EPQA improvement plans, and highlights of plans for the coming year:

Parenting interventions are addressed at the Asset assessment stage, these include interventions undertaken directly by the case managers, those requiring a more intense intervention or where a parenting order has been made a referral to Barnardo's Parenting programme is made. The majority of interventions are agreed with parents on a voluntary basis with parenting orders being requested when appropriate. Interventions are delivered individually, within a group work setting or to couples depending upon the needs of the parent. The satisfaction rate for parents completing an intervention remains high. A parenting programme to support parents of children and young people with ADHD has been introduced during the year.

Data:

KPI: 05/06 April – December actual and % against target (Interventions)	37.5% 10%	KPI: 05/06 April – December actual and % against target (Satisfaction)	100% 75%	EPQA: 04 rating	2
KPI: 06/07 target	10%	KPI: 06/07 target	75%	EPQA: 05 result	2

ENSURE EQUAL TREATMENT REGARDLESS OF RACE

Overview: including a review of the past year, performance against last year's race action plan, and highlight actions for the coming year:

Monitoring the ethnicity of offenders and the outcomes they receive has been undertaken with no differences in conviction rates being noted.

Numbers are small 1 in the period.

Staff training in diversity to be organised and undertaken during 2006.

SCRUTINY CO-ORDINATING COMMITTEE

10th March, 2006



Report of: Chief Financial Officer

Subject: NRF, CAPITAL & ACCOUNTABLE BODY
PROGRAMME MONITORING REPORT
2005/2006

SUMMARY

1. PURPOSE OF REPORT

To provide details of progress against the Council's overall Capital budget for 2005/2006 and progress against the Spending Programme where the Council acts as the Accountable Body and NRF.

2. CONSIDERATION OF ISSUES

A separate report has not been prepared for your Committee as a comprehensive report was submitted to Cabinet on 27th February, 2006 and this report is attached at Appendix A. This report sets out the key issue to bring to your attention. The first part of this document is the Cabinet Summary Report (green papers). This report is supported by detailed reports for individual Portfolio Holder's responsibility (blue papers).

3. RECOMMENDATIONS

Members consider the report.

CABINET REPORT

27th February, 2006



Report of: Chief Financial Officer

Subject: NRF, CAPITAL AND ACCOUNTABLE BODY
PROGRAMME MONITORING REPORT 2005/2006

SUMMARY

1. PURPOSE OF REPORT

To provide details of progress against the Council's overall Capital budget for 2005/2006 and progress against the Spending Programmes where the Council acts as the Accountable Body and Neighbourhood Renewal Fund (NRF).

The report considers the following areas: -

- NRF
- Capital Monitoring
- Accountable Body Programme Monitoring

2. SUMMARY OF CONTENTS

The report provides detailed monitoring reports for Capital for each Portfolio up to 31st December, 2005. The Finance Portfolio report also includes Accountable Body Programme spend for the same period. The report follows the format adopted for the previous report and budgets are reported by Portfolio Holder and analysed by department, to enable each Portfolio Holder to readily review their area of responsibility.

3. RELEVANCE TO CABINET

Cabinet has overall responsibility for the monitoring of the Council's budgets.

4. TYPE OF DECISION

None

5. DECISION MAKING ROUTE

Cabinet 27th February, 2006.

6. DECISION(S) REQUIRED

Cabinet is asked to note the report.

Report of: Chief Financial Officer

Subject: NRF, CAPITAL AND ACCOUNTABLE BODY
PROGRAMME MONITORING REPORT
2005/2006

1. PURPOSE OF REPORT

- 1.1 To inform Cabinet of progress against the Council's own 2005/2006 Capital budget; and progress against the spending programmes where the Council acts as the Accountable Body and Neighbourhood Renewal Fund (NRF) for the period to 31st December, 2005.
- 1.2 This report considers the following areas: -
- NRF
 - Capital Monitoring;
 - Accountable Body Programme Monitoring;

2. BACKGROUND

- 2.1 As explained in the separate Revenue Monitoring report elsewhere on this agenda, the reporting of Budget Monitoring information has been separated over two reports. This report concentrates on NRF, Capital and the spending programmes where the Council acts as Accountable Body.
- 2.2 This report reflects the recent changes in departmental responsibilities as well as reflecting the changes in Portfolio responsibilities. Therefore, the main reports have been prepared by Portfolio Holder respectively and analysed by department, allowing each Portfolio Holder to readily review their area of responsibility.
- 2.3 This report will be submitted to Scrutiny Co-ordinating Committee on 10th March, 2006. This will ensure that Scrutiny Committee are able to review the report at the earliest opportunity.

3. NRF MONITORING 2005/2006

- 3.1 Details of the NRF expenditure are summarised at Appendix A. Details of individual schemes are contained in Appendices 1-6 (blue pages). At this stage actual expenditure amounts to £2,035,700, compared to expected expenditure of £2,661,200, a favourable variance of £625,500. The Local Strategic Partnership reviews any

variances and agrees a revised Programme Budget to ensure a full spend on the NRF Programme. Therefore, this budget will be fully spent by the year-end.

4. CAPITAL MONITORING 2005/2006

4.1 Expenditure for all Portfolios are summarised at Appendix B. Detailed report by scheme are attached at Appendices 1-6 (blue pages). Actual expenditure to 31st December, 2005, totals £17,735,300, compared to an approved budget of £36,066,500. With a further £17,255,500 expected to be paid before the year-end.

4.2 The position is not unusual at this stage of the year. Forecast Outturns for all areas have been prepared and they indicate that apart from Regeneration & Planning and Neighbourhood Services that expenditure will be broadly on target at the year end.

4.3 The main areas to comment upon :

Neighbourhood Services – Recycling Scheme £609,700

This scheme has been rephased into 2006/07 pending a strategic review of the service.

Regeneration & Planning – Various Schemes £467,200

The Regeneration & Planning capital programme will produce a favourable variance of £467,200 at year end. This reflects the rephasing of a number of schemes which can be rephased together with the necessary funding.

5. ACCOUNTABLE BODY PROGRAMME

5.1 The Council acts as Accountable Body for the Hartlepool New Deal for Communities (NDC), Single Regeneration Budget (SRB) programmes and the Children's Fund Partnership. As part of its role as Accountable Body the Council needs to be satisfied that expenditure is properly incurred and is progressing as planned. In addition, the Council has been allocated monies from the Tees Valley Single Programme Partnership (SP). Although, we are not the Accountable Body for the Partnership, the Council still has responsibilities for ensuring that expenditure is properly incurred and progressing as planned. This objective is achieved through a variety of means, including your consideration of monitoring reports for these areas as follows: -

i) New Deal for Communities (NDC)

The management of NDC resources is subject to specific Government regulations where the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme. The programme is currently forecasting full year expenditure at £9,688,700 against a grant approval of £10,200,000. The forecast is very close to the allocation and is being closely monitored.

Details of progress against NDC revenue and capital budgets are summarised at Appendix C, Table 1. Detailed reports showing individual schemes are included within Appendices 5.1, Table 2 and 5.2, Table 3 (blue pages).

There are no items to bring to Members attention and expenditure will be within the approved limits.

ii) Single Regeneration Budget (SRB)

The Council act as Accountable Body for the North Hartlepool Partnership. Details of progress against the approved budget are summarised at Appendix C, Table 2. Detailed reports showing individual schemes are included with Appendices 5.1, Table 1 and 5.2, Table 2 (blue pages).

There are no items to bring to Members attention and expenditure will be on target at the year-end.

iii) Single Programme (SP)

These monies are allocated to the Council by Tees Valley Single Programme Partnership. The Partnership Board approves the annual delivery plan. Details of progress against budgets are summarised at Appendix C, Table 3. Schemes are detailed within Appendices 5.1, Table 3 and 5.2, Table 4 (blue pages).

There are no items to bring to Members attention and expenditure will be on target at the year-end.

iv) Children's Fund

The Children's Fund is funded by the Children and Young Persons Unit (CYPU).

The Children's Fund have been granted a budget of £444,200 for financial year 2005/2006 along with £40,000 carried forward from 2004/2005. Actual expenditure to date amounts to £247,800 compared to expected spend to date of £247,700 as set out in

Appendix C, Table 4. Detailed information is set in Appendix 5, Table 4 (blue pages). There are no items to bring to Members attention and expenditure is expected to be on target at year end.

6. RECOMMENDATIONS

6.1 It is recommended that Members note the report.

NEIGHBOURHOOD RENEWAL FUND - REVENUE MONITORING REPORT TO 31ST DECEMBER 2005

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/06 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	2,144.2	1,723.3	(420.9)	Regeneration & Planning	2,762.4	2,762.4	0.0
2	146.5	104.4	(42.1)	Childrens Services	209.5	209.5	0.0
3	370.5	208.0	(162.5)	Adult Services	488.8	488.8	0.0
	2,661.2	2,035.7	(625.5)		3,460.7	3,460.7	0.0

CAPITAL MONITORING REPORT TO 31ST DECEMBER 2005

Line No	Portfolio	2005/06 Budget	2005/06 Actual	2005/06 Expenditure Remaining	2005/06 Total Expenditure	2005/06 Variance from budget
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F (F=D+E)	Col. G (G=F-C)
£	£	£	£	£	£	£
1	Regeneration & Liveability	1,979.9	493.9	876.3	1,370.2	(609.7)
2	Culture, Housing & Transport	15,695.5	6,190.9	9,037.4	15,228.3	(467.2)
3	Children's Services	7,531.5	4,796.6	2,734.9	7,531.5	0.0
4	Adult & Public Health Services	5,884.5	4,494.2	1,390.3	5,884.5	0.0
5	Finance	3,263.8	1,173.4	2,091.6	3,265.0	1.2
6	Performance Management	1,711.3	586.3	1,125.0	1,711.3	0.0
	Total Capital Expenditure	36,066.5	17,735.3	17,255.5	34,990.8	(1,075.7)

ACCOUNTABLE BODY PROGRAMMES - MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Line No	Actual Position 31/12/05			Description of Expenditure	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/06 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
				<u>TABLE 1 - New Deal for Communities</u>			
1	3,890.9	3,116.4	(774.5)	Revenue Projects	5,588.3	5,588.3	(0.0)
2	4,100.4	2,861.6	(1,238.9)	Capital Projects	4,100.4	4,100.4	0.0
3	7,991.4	5,978.0	(2,013.4)	Total NDC	9,688.8	9,688.7	(0.0)
				<u>TABLE 2 - SRB North Hartlepool Partnership</u>			
4	372.2	256.4	(115.8)	Revenue Projects	461.2	421.2	(40.0)
5	4,541.6	2,642.4	(1,899.2)	Capital Projects	4,541.6	4,541.6	0.0
6	4,913.9	2,898.8	(2,015.0)	Total SRB	5,002.8	4,962.9	(40.0)
				<u>TABLE 3 Single Programme</u>			
7	414.3	321.9	(92.4)	Revenue Projects	677.0	677.0	0.0
8	119.0	90.0	(29.0)	Capital Projects	119.0	119.0	0.0
9	533.30	411.90	(121.4)	Total SP	796.0	796.00	0.0
				<u>TABLE 4 - Miscellaneous</u>			
10	247.7	247.8	0.1	Childrens Fund	484.2	484.2	0.0
11	247.7	247.8	0.1	Total Miscellaneous	484.2	484.2	0.0

Report of: Director of Regeneration & Planning,
Director of Neighbourhood Services and
Chief Financial Officer

Subject: REGENERATION & LIVEABILITY PORTFOLIO
NRF & CAPITAL MONITORING REPORT
2005/2006

1. PURPOSE OF REPORT

1.1 To provide details of progress against the NRF budget and Capital budget for the Regeneration & Liveability Portfolio for 2005/2006.

2. NRF MONITORING FOR PERIOD ENDING 31ST DECEMBER, 2005

2.1 Details of Regeneration's NRF actual expenditure and anticipated expenditure as at 31st December, 2005, are shown at **Appendix 1.1**.

2.2 In overall terms actual expenditure amounts to £1,723,300, compared to anticipated expenditure of £2,144,200, resulting in a current favourable variance of £420,900.

2.3 It is anticipated that by the end of the financial year the full NRF allocation for this Portfolio will have been spent.

2.4 There are no major items to bring to Portfolio Holder's attention.

3. CAPITAL MONITORING FOR PERIOD ENDING 31ST DECEMBER, 2005

3.1 Details of anticipated and actual capital expenditure as at 31st December, 2005, is summarised in **Appendix 1.2** and shows:

Column A - Scheme Title

Column B - Budget for Year

Column C - Actual expenditure to 31st December, 2005

Column D - Expected remaining expenditure to be incurred in the period January to March, 2006

Column E - Expected total expenditure to be incurred by 31st March, 2006

Column F - Column E less Column B = expected slippage or over/under spend

Column G - Type of financing

- 3.2 Detailed analysis of these schemes are on deposit in the Member's Library.
- 3.3 Actual expenditure to date amounts to £493,900, compared to the approved budget of £1,979,900, with £876,300 of expenditure remaining.
- 3.4 The projected outturn is currently estimated to be £1,370,200, compared to anticipated expenditure of £1,979,900, resulting in a favourable outturn variance of £609,700.
- 3.5 The main item to bring to Portfolio Holder's attention is: -

Recycling Scheme

Variance: £609,700

The resources for this scheme have been rephased into 2006/2007 pending a strategic review of the service and the potential need to replace vehicles.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report.

NEIGHBOURHOOD RENEWAL FUND

REVENUE MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B) £'000	Col. E	Col. F £'000	Col. G £'000	Col. H (H=G-F) £'000
1	58.5	58.5	0.0	Management & Consultancy	60.0	60.0	0.0
2	76.8	46.0	(30.8)	Basic Skills Training	102.4	102.4	0.0
3	25.4	26.1	0.7	Neighbourhood Renewal Officer	33.9	33.9	0.0
4	19.0	21.9	2.9	Targeted Training	25.3	25.3	0.0
5	37.7	18.5	(19.2)	Womens Opportunities	50.3	50.3	0.0
6	56.5	62.0	5.5	Jobsbuild	75.3	75.3	0.0
7	76.6	74.7	(1.9)	ILM	102.1	102.1	0.0
8	3.7	2.2	(1.5)	Tourism/Business Marketing	5.0	5.0	0.0
9	17.8	18.9	1.1	Marketing Assistant	23.7	23.7	0.0
10	17.6	5.7	(11.9)	Employment Co-ordinator	23.5	23.5	0.0
11	9.7	12.1	2.4	Hartlepool Action Team for Jobs Marketing	13.0	13.0	0.0
12	28.5	29.2	0.7	Improving the Employment Offer	38.0	38.0	0.0
13	17.8	13.9	(3.9)	Employment Skills Officer	23.7	23.7	0.0
14	15.0	0.4	(14.6)	Self Employment Training	20.0	20.0	0.0
15	37.5	13.8	(23.7)	Learning Mentors	50.0	50.0	0.0
16	31.5	10.9	(20.6)	Study Support Officer	42.0	42.0	0.0
17	61.3	54.3	(7.0)	North Central Hartlepool Delivery Team Staff Costs	81.8	81.8	0.0
18	78.2	28.4	(49.8)	North Central Hartlepool Residents' Priorities	104.3	104.3	0.0
19	87.4	115.0	27.6	Assisting Local People into Work	116.6	116.6	0.0
20	166.5	137.1	(29.4)	Incubator System	222.0	222.0	0.0
21	48.8	65.0	16.2	Volunteering into Employment	65.0	65.0	0.0
22	6.4	0.2	(6.2)	Skills & Knowledge	8.6	8.6	0.0
23	79.4	58.7	(20.7)	Dyke House Jackson Environmental Team	79.4	79.4	0.0
24	48.4	27.1	(21.3)	Rift House/Burn Valley NAP	48.4	48.4	0.0
25	71.0	51.1	(19.9)	NRF Owton NAP	71.0	71.0	0.0
26	40.0	(6.5)	(46.5)	Rossmere NAP	40.0	40.0	0.0
27	11.3	1.5	(9.8)	Community Safety Small Grants Fund	15.0	15.0	0.0
28	22.5	25.4	2.9	Anti Social Behaviour Officer	30.0	30.0	0.0
29	224.9	235.2	10.3	Community Safety Wardens	300.0	300.0	0.0
30	26.2	31.5	5.3	Diversiory Activities - Weekend Youth Clubs	35.0	35.0	0.0
31	116.3	79.0	(37.3)	Target Hardening	155.0	155.0	0.0
32	89.6	33.8	(55.8)	Hartlepool Scheme for Prolific Offenders	119.4	119.4	0.0
33	18.7	15.1	(3.6)	Project Assistant	25.0	25.0	0.0
34	11.3	11.3	0.0	Headland CPI	15.0	15.0	0.0
35	26.0	20.2	(5.8)	COOL Project	34.7	34.7	0.0
36	116.2	112.7	(3.5)	Families Changing Communities	155.0	155.0	0.0
37	10.8	8.6	(2.2)	Advance Project	14.4	14.4	0.0
38	25.1	25.8	0.7	ASB Analyst	33.5	33.5	0.0
39	21.8	15.3	(6.5)	Burglary Prevention	29.0	29.0	0.0
40	3.6	0.0	(3.6)	Burbank Sports	4.8	4.8	0.0
41	8.0	0.0	(8.0)	COOL Project Jesmond Road	10.6	10.6	0.0
42	34.4	18.0	(16.4)	Community Coordination	45.8	45.8	0.0
43	33.0	29.8	(3.2)	Landlord Accreditation	44.0	44.0	0.0
44	127.5	114.9	(12.6)	Young Firefighters	170.9	170.9	0.0
45	2,144.2	1,723.3	(420.9)		2,762.4	2,762.4	0.0

CAPITAL MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 31/12/05 £'000	2005/2006 Expenditure Remaining £'000	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
AR51600	Newburn Bridge Units - Electrical Refit Works	79.1	79.1	0.0	79.1	0.0	MIX
RGC00004	Brougham Enterprise Centre Refurbishment	500.0	0.0	500.0	500.0	0.0	GRANT
HLF011	Railing Restoration	6.4	6.4	0.0	6.4	0.0	GRANT
AR51017	Security Grants	25.0	2.8	22.2	25.0	0.0	MIX
AR50130	Minor Works - North	74.1	0.1	74.0	74.1	0.0	MIX
AR50131	Minor Works - South	85.0	16.7	68.3	85.0	0.0	MIX
AR50143	Minor Work - Central	76.5	42.3	34.2	76.5	0.0	MIX
AR55004	Wheely Bin Purchase	62.7	0.0	62.7	62.7	0.0	UPB
NSC00004	Sand.Rd/Sheriff St-C	4.5	0.0	4.5	4.5	0.0	CAPR
NSC00007	Recycling Scheme (Pr	609.7	0.0	0.0	0.0	(609.7)	UPB
NSC00003	Burbank Street Removal of Scrub Beds	5.0	0.0	5.0	5.0	0.0	CAPR
NSC00001	Living Spaces	16.8	16.8	0.0	16.8	0.0	GRANT
AR40039	Community Rehabilitation Centre	304.8	290.8	14.0	304.8	0.0	GRANT
RGC00029	YOS Reparation Vehicle	14.2	14.2	0.0	14.2	0.0	RCCO
CS000014	CSS - Victims of Burglary	2.7	0.0	2.7	2.7	0.0	UPB
COMSFTY	Community Safety Strategy	7.4	0.0	7.4	7.4	0.0	UPB
CS000028	CSS - Alleygates	27.3	24.0	3.3	27.3	0.0	UPB
CS000025	CSS - CCTV - Spion Kop	3.5	0.0	3.5	3.5	0.0	UPB
AR25201	ASBO - Police Office - Jutland Road	30.0	1.3	28.7	30.0	0.0	RCCO
CS000003	Building Safer Communities	45.2	(0.6)	45.8	45.2	0.0	GRANT
		1,979.9	493.9	876.3	1,370.2	(609.7)	

Report of: Director of Regeneration & Planning,
Director of Neighbourhood Services,
Director of Adult & Community Services
and Chief Financial Officer

Subject: CULTURE, HOUSING AND
TRANSPORTATION PORTFOLIO CAPITAL
MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

1.1 To provide details of progress against the Capital budget for the Culture, Housing and Transportation Portfolio for 2005/2006.

**2. CAPITAL MONITORING FOR PERIOD ENDING
31ST DECEMBER, 2005**

2.1 Details of anticipated and actual capital expenditure as at 31st December, 2005, is summarised in **Appendix 2.1** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 31st December, 2005
- Column D - Expected remaining expenditure to be incurred in the period January to March, 2006
- Column E - Expected total expenditure to be incurred by 31st March, 2006
- Column F - Column E less Column B = expected slippage or over/under spend
- Column G - Type of financing

2.2 Detailed analysis of these schemes are on deposit in the Member's Library.

2.3 Actual expenditure to date amounts to £6,190,900, compared to the approved budget of £15,695,500, with £9,037,400 of expenditure remaining. The forecast outturn for the year is a favourable variance of £467,200.

2.4 The main item to bring to Portfolio Holder's attention is: -

£340,100 will be rephased into 2006/2007 as the Council is awaiting the outcome of planning applications or negotiations with contractors.

Underspends of £127,100 will be carried forward to 2006/2007 and reallocated to new schemes. These schemes will subsequently be reported to Members.

3. RECOMMENDATIONS

- 3.1 It is recommended that Members note the report.

CAPITAL MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

		EXPENDITURE IN CURRENT YEAR						
	A	B	C	D	E	F	G	
Element 3 Code	Scheme Title	2005/2006 Budget £'000	2005/2006 Actual as at 31/12/05 £'000	2005/2006 Expenditure Remaining £'000	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing	
AR20707	Museum Capital Works	117.1	0.0	117.1	117.1	0.0	RCCO	
CS000016	Wingfield Castle - replace deckings	47.0	0.0	47.0	47.0	0.0	RCCO	
AR20111	SWGH - DDA Works	10.0	0.0	10.0	10.0	0.0	MIX	
CS000017	Historic Quay - Redecoration of frontages	2.4	0.0	2.4	2.4	0.0	RCCO	
CS000023	Library Improvements	1.9	0.0	1.9	1.9	0.0	RCCO	
AR50320	Central Library Lighting Upgrade	25.0	0.0	25.0	25.0	0.0	RCCO	
CPCHS11-25	Summerhill - all	11.3	9.5	1.8	11.3	0.0	MIX	
CPCHS2	Ward Jackson Park Refurbishment	13.6	2.1	11.6	13.6	0.0	MIX	
CS000030	Ward Jackson Car Park	60.0	0.0	60.0	60.0	0.0	CAPR	
ASC00004	Ward Jackson Park - Fountain Repairs	8.2	0.0	8.2	8.2	0.0	MIX	
AR54500 & SRBCS	Rossmere Lake/Green Wedge Improvements	1.3	0.0	1.3	1.3	0.0	CAPR	
AR40101	Burn Valley Improvements	176.3	135.3	41.0	176.3	0.0	MIX	
CSC00026	CSS - Allotment Site Imps	20.0	4.1	15.9	20.0	0.0	MIX	
AR40103	Rift House Recreation Imps	1.0	0.0	1.0	1.0	0.0	MIX	
AR40096	Grayfields Sports Strategy	1,295.2	132.4	1,162.8	1,295.2	0.0	MIX	
AR40095	Grayfields Bowling Env Imps	6.3	1.7	4.7	6.3	0.0	MIX	
ASC00005	Bowling Green Improvements	20.0	0.0	20.0	20.0	0.0	MIX	
CS000012	Seaton Play Area Improvements	10.8	18.5	(7.7)	10.8	0.0	MIX	
CSC00029	Greatham Play Area Equipment	15.0	0.0	15.0	15.0	0.0	RCCO	
RGC00002	Jutland Road Play Area Upgrade	20.0	0.0	20.0	20.0	0.0	GRANT	
ASC00002	Burn Valley Playground CCTV	14.7	4.5	10.2	14.7	0.0	UPB	
AR40306	Throston Community Centre	38.2	0.0	38.2	38.2	0.0	MIX	
AR40102	Seaton Carew Cricket Club Ground Imps	20.0	0.0	20.0	20.0	0.0	CAPR	
CS000004-9	Wildspaces - All	9.7	7.5	2.2	9.7	0.0	MIX	
CS000010	English Nature - Sea Buckthorn Clearance	3.5	3.5	0.0	3.5	0.0	GRANT	
NOF012CA-F	NOF Playing Fields - ALL	61.8	53.9	7.8	61.8	0.0	MIX	
CSC00027	H2O	2,000.0	0.1	1,999.9	2,000.0	0.0	MIX	
CS000019	Countryside Development Works	15.0	0.0	15.0	15.0	0.0	MIX	
CS000024	King George V- Fencing Works	2.8	2.8	0.0	2.8	0.0	RCCO	
NRFCS01	Skateboard Park	5.3	5.3	0.0	5.3	0.0	MIX	
NRFCS05	NRF- Waverley Allotments	65.0	0.0	65.0	65.0	0.0	MIX	
NSC00021	HRA Residual	77.0	38.8	38.2	77.0	0.0	CAPR	
AR50103	Disabled Facility Grants	437.0	268.4	168.6	437.0	0.0	MIX	
AR50210/216	North Central Hartlepool	5,539.6	3,558.7	1,980.9	5,539.6	0.0	MIX	
AR50114	Repayments of Grants	0.0	(27.9)	27.9	0.0	0.0	MIX	
AR50205	Research/Consultancy	30.0	13.0	17.0	30.0	0.0	SHIP	
NSC00040	Contribution to Sub Region	10.0	0.0	10.0	10.0	0.0	SHIP	
AR50104	Home Plus Grants (provided by Endeavour HA)	154.0	98.1	55.9	154.0	0.0	SHIP	
AR50218	Thermal Efficiency	270.0	108.4	161.6	270.0	0.0	SHIP	
AR50111/NDC1HS	Housing Renewal	552.0	327.2	224.8	552.0	0.0	SHIP	
AR51215	Low Floor Infrastructure	30.0	4.3	25.7	30.0	0.0	SCE	
AR51216	Bus Shelter Improvements	10.0	2.5	7.5	10.0	0.0	SCE	
AR51242	Other Bus Measures	10.0	7.2	2.8	10.0	0.0	SCE	
AR51291	Bus Quality Corridor	20.0	1.2	18.8	20.0	0.0	SCE	
AR51292	Tees Valley Bus Real Time Information	19.4	7.1	3.5	10.6	(8.8)	SCE	
AR51381	CCTV on Buses	10.0	0.2	9.8	10.0	0.0	SCE	
AR51383	Rural Bus Challenge	44.0	0.0	0.0	0.0	(44.0)	SCE	
AR51223	Cycle Routes General	1.6	0.0	1.6	1.6	0.0	SCE	
NSC00026	Greatham Cycleway	65.0	2.8	62.2	65.0	0.0	SCE	
AR51247	Cycling - Greatham Greenway	0.7	0.7	0.0	0.7	0.0	GRANT	
AR51284	Cycle Parking	1.0	0.1	0.9	1.0	0.0	SCE	
AR51410	King Oswy Drive/West View Road Cycle Route	5.0	3.7	1.3	5.0	0.0	SCE	
AR51412	Advanced Cycle Route Scheme Design	10.0	0.9	9.1	10.0	0.0	SCE	
NSC00027	Brenda Road Cycleway	24.8	24.8	0.0	24.8	0.0	SCE	
AR51224	Burn Valley Cycle Route	13.5	13.5	0.0	13.5	0.0	SCE	
NSC00028	Cycling Strategy	15.6	10.6	5.0	15.6	0.0	SCE	
AR51218	Controlled Crossing Point	19.0	19.0	0.0	19.0	0.0	SCE	
AR51220	Safer Routes to School	64.0	0.0	0.0	0.0	(64.0)	SCE	
AR51245	Dropped Crossings	25.0	0.0	25.0	25.0	0.0	SCE	
AR51246	Guarding	0.7	0.7	0.0	0.7	0.0	SCE	
AR51248	Other Street Lighting	113.0	50.2	62.8	113.0	0.0	SCE	
AR51240	Minor Works	20.0	12.8	7.2	20.0	0.0	SCE	
AR51286	A689 Corridor Study	99.7	99.7	0.0	99.7	0.0	SCE	
AR51287	Town Centre Signage	20.0	1.6	18.4	20.0	0.0	SCE	
AR51288	Decriminalised Parking Enforcement	20.1	20.1	0.0	20.1	0.0	SCE	
AR51389	Mass Action at Give Way Junctions	5.0	1.8	3.2	5.0	0.0	SCE	
AR51415	Hart Lane Study	70.1	70.1	0.0	70.1	0.0	SCE	
NSC00029	Congestion Reduction	26.7	0.0	0.0	0.0	(26.7)	SCE	
NSC00030	Longhill Industrial Estate Improvements	50.0	0.0	50.0	50.0	0.0	SCE	
NSC00031	Seaton Carew Safety Improvements	10.2	10.2	0.0	10.2	0.0	SCE	
AR51244	Hartlepool Transport Interchange	1,954.7	17.5	1,937.2	1,954.7	0.0	CAPR	
AR51043	Local Safety Scheme	33.4	33.4	0.0	33.4	0.0	SCE	
AR51295	Minor Safety Schemes	0.1	0.0	0.1	0.1	0.0	SCE	
AR51388	Safer Streets Initiative	25.0	8.0	17.0	25.0	0.0	SCE	
AR40027	Community Safety Car Parks	20.0	0.0	20.0	20.0	0.0	SCE	
AR40012	Community Safety-Social Lighting Programme	16.6	14.3	2.3	16.6	0.0	MIX	

CAPITAL MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR						Type of financing
		B	C	D	E	F	G	
		2005/2006 Budget £'000	2005/2006 Actual as at 31/12/05 £'000	2005/2006 Expenditure Remaining £'000	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000		
AR53015	Coastal Protection Strategic Study	5.1	5.4	(0.3)	5.1	0.0	GRANT	
AR53019	Stell River Improvement Project	0.3	0.0	0.3	0.3	0.0	GRANT	
AR51249	Local Transportation Plan-Monitoring	29.7	29.7	0.0	29.7	0.0	SCE	
NSC00032	LTP2 Development	40.0	25.6	14.4	40.0	0.0	SCE	
NSC00023	Pride in Hartlepool	15.0	15.8	(0.8)	15.0	0.0	SCE	
AR40037	Community Safety-Alleyway Stopping-Up Programme	5.9	4.1	1.8	5.9	0.0	CAPR	
AR53025	Coronation Drive Coast Protection Works Phase 3	37.3	37.3	0.0	37.3	0.0	GRANT	
AR53027	Alleygates Capital Works	15.7	1.9	0.0	1.9	(13.8)	CAPR	
AR53032	Greenland Creosote Works	11.2	3.3	0.0	3.3	(7.9)	SCE	
AR53033	Former Spion Kop - Contaminated Land	22.6	3.8	18.8	22.6	0.0	SCE	
AR53035	Lithgo Close - Contaminated Land	145.5	62.8	0.0	62.8	(82.7)	SCE	
AR51254	Travel Plans	20.0	11.7	8.3	20.0	0.0	SCE	
AR51297	Sustainable Travel Awareness	12.3	8.6	3.7	12.3	0.0	SCE	
NSC00033	Repainting Hart Railway Bridge	84.7	84.7	0.0	84.7	0.0	SCE	
NSC00034	Greatham Creek Bridge Repairs	19.1	1.2	0.0	1.2	(17.9)	SCE	
NSC00035	Brenda Road Railway Bridge	0.7	0.7	0.0	0.7	0.0	SCE	
AR51251	Highways Maintenance Other Schemes	0.3	0.0	0.3	0.3	0.0	SCE	
NSC00010	West Park F/P -L/Relay- Park Drive Junction & Various	15.9	15.9	0.0	15.9	0.0	SCE	
NSC00011	Jesmond Gardens	18.8	0.0	18.8	18.8	0.0	SCE	
NSC00012	Chester Road	18.8	0.0	18.8	18.8	0.0	SCE	
NSC00013	St Aidans Street	5.7	3.8	1.9	5.7	0.0	SCE	
NSC00014	Longfellow Walk	9.9	0.0	0.0	0.0	(9.9)	SCE	
NSC00015	Clarence Road	5.3	3.4	1.9	5.3	0.0	SCE	
NSC00018	Planning / Building Fees	262.1	262.1	0.0	262.1	0.0	SCE	
NSC00024	Park Drive footpath scheme	11.1	0.0	11.1	11.1	0.0	SCE	
NSC00025	Greatham Link Road footpath	5.5	0.0	5.5	5.5	0.0	SCE	
AR51281	Station Lane Pumping Station	43.8	7.2	0.0	7.2	(36.6)	SCE	
AR51071	Highways Remedial Works - Hartlepool Marina	16.3	14.0	0.0	14.0	(2.3)	TDC	
AR51416	New Car Park York Road Flatlets	104.9	66.1	0.0	66.1	(38.8)	CAPR	
AR53020	Tees Valley Boundary Signs	8.4	3.0	0.0	3.0	(5.4)	GRANT	
AR53026	Morrisons Supermarket - Section 278	0.0	(73.4)	73.4	0.0	0.0	GRANT	
AR53034	Rural Bus Challenge Scheme	70.0	39.9	30.1	70.0	0.0	GRANT	
AR53037	Marks & Spencer Car Park Refurbishment	264.9	234.9	0.0	234.9	(30.0)	CAPR	
AR53039	Open Market Resurfacing	45.2	1.8	0.0	1.8	(43.4)	CAPR	
NSC00047	Basement Car Park	34.0	0.0	34.0	34.0	0.0	CAPR	
AR51413	Clavering to King Osby Drive(Sustrans Links to Schools)	55.0	20.0	0.0	20.0	(35.0)	SCE	
AR51289	Motorcycle Parking	0.1	0.1	0.0	0.1	0.0	SCE	
AR51385	Murray Street LSS	7.5	7.5	0.0	7.5	0.0	SCE	
AR51278	Holdforth Rd-Easington Rd to exit Hospital- Reconst	6.5	6.5	0.0	6.5	0.0	SCE	
NSC00038	I Block Surface Dressing	50.0	50.0	0.0	50.0	0.0	SCE	
NSC00039	Bamburgh Road Surface Dressing	31.4	20.0	11.4	31.4	0.0	SCE	
NRFC02	Street Lighting	50.0	0.0	50.0	50.0	0.0	GRANT	
RGC00003	Acquisition, Improvement & Demolition of Housing Stock	40.0	0.0	40.0	40.0	0.0	GRANT	
AR75009	Bridge YC - Replace Heating	7.2	6.8	0.4	7.2	0.0	RCCO	
		15,695.5	6,190.9	9,037.4	15,228.3	(467.2)		

Report of: Director of Children's Services and
Chief Financial Officer

Subject: CHILDREN'S SERVICES PORTFOLIO NRF
AND CAPITAL MONITORING REPORT
2005/2006

1. PURPOSE OF REPORT

1.1 To provide details of progress against the NRF budget and Capital budget for the Children's Services Portfolio for 2005/2006.

2. NRF MONITORING FOR PERIOD ENDING 31ST DECEMBER, 2005

2.1 Details of Children's Services NRF actual expenditure and anticipated expenditure as at 31st December, 2005, are shown at **Appendix 3.1**.

2.2 In overall terms actual expenditure amounts to £104,400, compared to anticipated expenditure of £146,500, resulting in a current favourable variance of £42,100.

2.3 The projected outturn is currently estimated to be £209,500, compared to the budget of £209,500, resulting in a nil outturn variance.

2.4 There are no major items to bring to the Portfolio Holder's attention.

3. CAPITAL MONITORING FOR PERIOD ENDING 31ST DECEMBER, 2005

3.1 Details of anticipated and actual capital expenditure as at 31st December, 2005, is summarised in **Appendix 3.2** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 31st December, 2005
- Column D - Expected remaining expenditure to be incurred in the period January to March, 2006
- Column E - Expected total expenditure to be incurred by 31st March, 2006
- Column F - Column E less Column B = expected slippage or over/under spend
- Column G - Type of financing

- 3.2 Detailed analysis of these schemes are on deposit in the Member's Library.
- 3.3 Actual expenditure to date amounts to £4,796,600, compared to the approved budget of £7,531,500, with £2,734,900 of expenditure remaining.
- 3.4 The projected outturn is currently estimated to be £7,531,500, compared to anticipated expenditure of £7,531,500, resulting in a nil outturn variance.
- 3.5 There are no major items to bring to Portfolio Holder's attention.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report.

CAPITAL MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 31/12/05 £'000	2005/2006 Expenditure Remaining £'000	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
AR70026	Barnard Grove Primary Roofing/Windows (04/05)	0.5	0.0	0.5	0.5	0.0	MIX
AR70027	Barnard Grove P Access Int (04/05) Mod to Entrance	0.0	0.0	0.0	0.0	0.0	SCE(R)
AR70122	Brierton - Roof Repair - Phase 2	0.1	0.0	0.1	0.1	0.0	GRANT
AR70133	Brierton Relocation	14.5	0.0	14.5	14.5	0.0	MIX
AR70135	Brierton Remove Boundary Fence	36.0	3.2	32.8	36.0	0.0	MIX
AR70137	Convert Brierton Top Site to PRU	10.1	3.5	6.6	10.1	0.0	MIX
AR70141	Brierton Convert Classroom for SEN	24.6	20.1	4.5	24.6	0.0	ACCESS
AR70143	Brierton- Replace Boiler in Caretakers House	4.9	0.0	4.9	4.9	0.0	MODERN
CHC00018	Brierton - Rent of Mobile Unit	15.0	10.9	4.1	15.0	0.0	RCCO
AR70214	Brougham Space for Sports and Art	0.0	-11.2	11.2	0.0	0.0	MIX
CHC00010	Brougham Outside Play Area	24.9	20.0	4.9	24.9	0.0	GRANT
AR70425	Clavering Primary Replace Roof and Windows	46.6	34.5	12.1	46.6	0.0	GRANT
AR70426	Clavering- Kitchen Interlocks	10.0	0.0	10.0	10.0	0.0	MODERN
AR70427	Clavering Primary Replace Boiler Control	84.5	65.5	19.0	84.5	0.0	GRANT
AR70640	Dyke House Refurb Boys Toilet (04/05)	3.0	0.0	3.0	3.0	0.0	MIX
AR70646	Dyke House Replace Boiler in Science Block	64.0	0.2	63.8	64.0	0.0	MIX
AR70648	Dyke House Replace Bolier in Caretakers House	5.2	0.0	5.2	5.2	0.0	GRANT
AR70908	English Martyrs - PE & Sport - New Pitch	20.6	0.0	20.6	20.6	0.0	MIX
CHC00012	English Martyrs Remodel	527.2	350.0	177.2	527.2	0.0	GRANT
AR70716	Eldon Grove Access Project	34.0	0.0	34.0	34.0	0.0	ACCESS
AR71023	Fens Roof Repair (Main Hall)	1.6	0.0	1.6	1.6	0.0	GRANT
AR71026	Fens - NOF PE & Sport - Playground	15.0	0.0	15.0	15.0	0.0	GRANT
AR71032	Fens Access Initiative	0.3	0.0	0.3	0.3	0.0	SCE(R)
AR71033	Fens Rewire Phase 2	76.5	42.0	34.5	76.5	0.0	MODERN
AR71123	Golden Flatts Multi Use Games Area	99.9	98.7	1.2	99.9	0.0	MIX
AR71127	Golden Flatts Classroom Alterations	10.0	8.1	1.9	10.0	0.0	GRANT
AR71203	Grange Replace Classrooms	26.4	0.0	26.4	26.4	0.0	GRANT
AR71214	Grange Community Storage Facility	2.7	0.0	2.7	2.7	0.0	GRANT
AR71220	Grange Renew Annexe Timber Windows (04/05)	0.4	0.0	0.4	0.4	0.0	MIX
AR71222	Grange Air Conditioning 04/05	0.5	0.0	0.5	0.5	0.0	MIX
AR71311	Greatham - Extend Hall - Storage Space	0.0	-3.8	3.8	0.0	0.0	MIX
AR71314	Greatham Replace Boiler 04/05	5.0	0.0	5.0	5.0	0.0	MIX
CHC00013	Greatham Car Park Improvements	22.2	19.0	3.2	22.2	0.0	GRANT
AR74108	Hart Boundary Wall Repair	6.6	5.4	1.2	6.6	0.0	GRANT
AR71717	High Tunstall - PE & Sport - New Gym	8.4	0.0	8.4	8.4	0.0	MIX
AR71721	High Tunstall Access Int (04/05) Toilets & Footpaths	0.2	0.0	0.2	0.2	0.0	SCE(R)
AR71722	High Tunstall Roof Repairs	15.3	0.0	15.3	15.3	0.0	GRANT
AR71723	High Tunstall Step Lift	23.0	14.5	8.5	23.0	0.0	GRANT
	Jesmond Rd - Handrail on Staircase	13.1	0.0	13.1	13.1	0.0	ACCESS
AR71814	Jesmond Rd - PE & Sport	5.0	0.4	4.6	5.0	0.0	GRANT
AR7818	Jesmond Rd - Resite Kitchen	53.7	39.5	14.2	53.7	0.0	MIX
AR71903	NDS3 - Kingsley Extension	1.0	1.0	0.0	1.0	0.0	MIX
AR71917	Kingsley - PE & Sport - Playground	20.4	20.4	0.0	20.4	0.0	GRANT
AR71928	Kingsley - Modifications to Entrance	16.6	0.2	16.4	16.6	0.0	RCCO
AR71930	Kingsley Replace Boiler Plant	31.7	26.1	5.6	31.7	0.0	GRANT
AR72113	Lynnfield - Ramps	2.1	0.0	2.1	2.1	0.0	GRANT
AR72116	Lynnfield - Roofing	123.3	93.4	29.9	123.3	0.0	GRANT
AR72217	Manor New Science Lab	6.6	0.0	6.6	6.6	0.0	MIX
AR72231	Manor - PE & Sport - New Tennis Courts	104.4	103.5	0.9	104.4	0.0	MIX
AR72234	Manor E Learning Centre	682.6	640.9	41.7	682.6	0.0	MIX
AR72235	Manor - Boiler to Drama Block	42.5	0.0	42.5	42.5	0.0	GRANT
AR72238	Manor - Replace Windows	63.0	49.4	13.6	63.0	0.0	GRANT
AR72311	Owton Manor - Space for Sports and Art	12.6	0.0	12.6	12.6	0.0	MIX
AR72312	Owton Manor - Boiler	13.0	0.0	13.0	13.0	0.0	MIX
AR72422	Rift House Boiler Replacement 04/05	4.4	1.1	3.3	4.4	0.0	MIX
AR72622	Rossmere Access Initiative 04/05	4.6	3.9	0.7	4.6	0.0	MIX
AR72715	Sacred Heart Hall Extension	30.0	27.0	3.0	30.0	0.0	RCCO
AR73011	Springwell - PE & Sport	69.6	39.0	30.6	69.6	0.0	MIX
AR73112	Stranton - Space for Sport and Arts	27.2	0.0	27.2	27.2	0.0	GRANT
AR73121	Stranton Primary Sure Start Office	2.8	2.8	0.0	2.8	0.0	GRANT
AR73123	Stranton Primary Replace Windows 05/06	25.2	21.2	4.0	25.2	0.0	GRANT
AR53205	St Aidans- Extend Playground	54.2	0.0	54.2	54.2	0.0	MIX
AR73309	St Begas Primary - Community Room/Toilets	121.4	112.8	8.6	121.4	0.0	GRANT
AR73528	St Helens Primary - Health Extension	204.2	153.1	51.1	204.2	0.0	GRANT

CAPITAL MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 31/12/05 £'000	2005/2006 Expenditure Remaining £'000	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
AR73529	St Helens - Kitchen Refurbishment	82.0	66.5	15.5	82.0	0.0	GRANT
VA000020	St Hilds - New Build	45.8	0.0	45.8	45.8	0.0	MIX
AR73609	St John Vianney EYC	271.4	225.5	45.9	271.4	0.0	GRANT
AR73809	St Teresa's - Boiler	10.9	2.6	8.3	10.9	0.0	GRANT
AR73810	St Teresa's - Childrens Centre Extension	118.8	115.2	3.6	118.8	0.0	GRANT
AR74017	Throston Window Replacement	66.9	55.6	11.3	66.9	0.0	GRANT
AR74117	Ward Jackson - PE & Sport - Storage	1.6	0.0	1.6	1.6	0.0	MIX
AR74121	Ward Jackson Windows Phase 2	0.9	0.0	0.9	0.9	0.0	GRANT
AR74123	Ward Jackson Windows Phase 3	27.7	19.7	8.0	27.7	0.0	GRANT
AR74309	West Park - Roof Repair - Phase 2	4.4	0.0	4.4	4.4	0.0	GRANT
AR74312	West Park - PE & Sport - Playground	10.1	7.6	2.5	10.1	0.0	MIX
AR74314	West Park Primary Re-roof Phase 3 (04/05)	1.6	0.3	1.3	1.6	0.0	GRANT
AR74315	West Park Roof Repairs	30.2	23.9	6.3	30.2	0.0	GRANT
AR74423	West View - Football Foundation	5.5	0.0	5.5	5.5	0.0	GRANT
AR74434	West View Replace Hall Windows	24.0	0.3	23.7	24.0	0.0	GRANT
CHC00011	West View Asbestos Removal	0.7	0.7	0.0	0.7	0.0	GRANT
AR70323	Catcote - Shower / Changing Facilities	26.4	0.3	26.1	26.4	0.0	GRANT
AR74507	Carlton Camp Redevelopment Phase 1 - PE & Sport	826.3	143.2	683.1	826.3	0.0	MIX
CHC00003	Lanehead Redevelopment Contribution	180.0	180.0	0.0	180.0	0.0	GRANT
DEVCAP	Devolved Capital	850.9	568.1	282.8	850.9	0.0	MIX
DHSECLC	Dyke House - CLC	51.5	51.5	0.0	51.5	0.0	MIX
DHSEXT	Dyke House CLC Extension	105.5	21.6	83.9	105.5	0.0	MIX
ED100004	Playing for Success	6.7	0.0	6.7	6.7	0.0	GRANT
ED100007	Childrens Centres - Capital Projects - Third Party	25.8	0.0	25.8	25.8	0.0	GRANT
CHC00014	Childrens Centre Equipment	30.0	6.0	24.0	30.0	0.0	GRANT
CHC00015	Childrens Centre IT/BT	24.0	5.9	18.1	24.0	0.0	GRANT
AR78129	EDC Kitchen and Dining Room	2.7	0.0	2.7	2.7	0.0	RCCO
ED100009	Dyke House School - Blue Room	2.7	0.0	2.7	2.7	0.0	MIX
ED100012	Rossmere Pool Demolition	13.0	-1.0	14.0	13.0	0.0	RCCO
SEED	Seed Challenge	107.1	104.6	2.5	107.1	0.0	MIX
SPORTCOLL	Brierton Community Sports - Sports College	0.6	0.0	0.6	0.6	0.0	MIX
SRBCD10	Brierton Community Sports	20.4	0.0	20.4	20.4	0.0	MIX
CHC00008	SEN Equipment	4.7	4.7	0.0	4.7	0.0	RCCO
CHC00009	Workforce Remodelling	133.8	53.4	80.4	133.8	0.0	GRANT
TRAVELPLAN	School Travel Plans	66.0	17.1	48.9	66.0	0.0	GRANT
NDC1ED03	NDC Community Learning Lynnfield	19.0	0.0	19.0	19.0	0.0	GRANT
WHITEBRD	Interactive Whiteboards	64.3	62.2	2.1	64.3	0.0	GRANT
AR71622	St Hilds - Costs Prior to Sale	402.0	386.8	15.2	402.0	0.0	RCCO
CHC00021	Watercoolers Preparation Works	1.2	0.0	1.2	1.2	0.0	RCCO
CHC00022	Jesmond Rd - Flooding	0.7	0.0	0.7	0.7	0.0	RCCO
CHC00023	Kingsley Caretakers House	0.9	0.0	0.9	0.9	0.0	RCCO
	Modernisation, Access, RCCO Unallocated	70.0	0.0	70.0	70.0	0.0	MIX
AR82201	Flint Walk Office Refurbishment	121.7	120.5	1.2	121.7	0.0	MIX
SSICS	Integrated Children's Services Grant	51.7	0.0	51.7	51.7	0.0	GRANT
AR76214	Sure Start South Nursery Extension	283.8	270.4	13.4	283.8	0.0	GRANT
LOWTHIAN	Sure Start Central- Lowthian Road	26.2	9.7	16.5	26.2	0.0	GRANT
AR76212	Sure Start North, Hindpool Close	12.5	0.0	12.5	12.5	0.0	GRANT
AR76210	Sure Start North, West View Community Centre	2.5	0.0	2.5	2.5	0.0	GRANT
AR40045	Rift House Neighbourhood Nursery	4.3	0.0	4.3	4.3	0.0	MIX
AR75217	Brinkburn Pool Improvements	184.9	180.7	4.2	184.9	0.0	MIX
AR75219	Brinkburn YC - Boilerplant works	24.6	24.6	0.0	24.6	0.0	RCCO
CS000020	Mobile Youth Provision	52.0	52.0	0.0	52.0	0.0	RCCO
CS000021	SENDA - Brinkburn Sports Hall	0.4	0.1	0.3	0.4	0.0	GRANT
		7,531.5	4,796.6	2,734.9	7,531.5	0.0	

Report of: Director of Adult & Community Services,
Director of Children's Services,
Director of Neighbourhood Services and
Chief Financial Officer

Subject: ADULT & PUBLIC HEALTH SERVICE
PORTFOLIO NRF & CAPITAL MONITORING
REPORT 2005/2006

1. PURPOSE OF REPORT

1.1 To provide details of progress against the Capital budget for the Adult & Public Health Portfolio for 2005/2006.

2. NRF MONITORING FOR PERIOD ENDING 31ST DECEMBER, 2005

2.1 Details of Adult & Public Health Service NRF actual expenditure and anticipated expenditure as at 31st December, 2005, are shown at **Appendix 4.1**.

2.2 In overall terms actual expenditure amounts to £208,000, compared to anticipated expenditure of £370,500, resulting in a current favourable variance of £162,500.

2.3 It is anticipated that by the end of the financial year the full NRF allocation for this Portfolio will have been spent.

3. CAPITAL MONITORING FOR PERIOD ENDING 31ST DECEMBER, 2005

3.1 Details of anticipated and actual capital expenditure as at 31st December, 2005, is summarised in **Appendix 4.1** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 31st December, 2005
- Column D - Expected remaining expenditure to be incurred in the period January to March, 2006
- Column E - Expected total expenditure to be incurred by 31st March, 2006
- Column F - Column E less Column B = expected slippage or over/under spend
- Column G - Type of financing

- 3.2 Detailed analysis of these schemes are on deposit in the Member's Library.
- 3.3 Actual expenditure to date amounts to £4,494,200, compared to the approved budget of £5,884,500, with £1,390,300 of expenditure remaining. It is estimated that the total budget will be utilised by the end of this financial year.
- 3.4 There are no major items to bring to Portfolio Holder's attention.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report.

PORTFOLIO : ADULT & PUBLIC HEALTH SERVICE

Appendix 4.1

REVENUE MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
Col. A	£'000	£'000	£'000	Col. E	£'000	£'000	£'000
1	2,286.8	2,371.2	84.4	Assessment and Care Management	3,128.3	3,228.3	100.0
2	1,069.6	913.8	(155.8)	Home Care	1,545.5	1,317.5	(228.0)
3	1,335.2	1,454.3	119.1	Learning Disability - Purchasing	2,131.8	2,306.8	175.0
4	1,127.3	1,163.8	36.5	Learning Disability - Support Services	1,521.5	1,581.5	60.0
5	908.6	878.3	(30.3)	Mental Health	1,271.2	1,241.2	(30.0)
6	4,035.1	3,878.1	(157.0)	Older People - Purchasing	6,357.4	6,207.4	(150.0)
7	255.0	195.2	(59.8)	Older People - Transitional Care	361.3	301.3	(60.0)
8	907.9	901.0	(6.9)	Physical Disability	1,271.2	1,271.2	0.0
9	1,121.3	1,209.4	88.1	Support Services	1,539.7	1,629.7	90.0
10	179.1	153.8	(25.3)	Sensory Loss and Occupational Therapy	251.4	226.4	(25.0)
11	120.8	120.9	0.1	Service Strategy & Regulation	161.5	161.5	0.0
12	331.5	342.3	10.8	Adult Education	99.3	99.3	0.0
13	961.5	961.5	0.0	Supporting People	468.5	468.5	0.0
14	548.6	389.0	(159.6)	Consumer Services	826.1	790.1	(36.0)
15	267.1	265.6	(1.5)	Environmental Standards	306.8	319.7	12.9
16	15,455.4	15,198.2	(257.2)		21,241.5	21,150.4	(91.1)

CONTRIBUTION FROM RESERVES

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
Col. A	£'000	£'000	£'000	Col. E	£'000	£'000	£'000
17	0.0	0.0	0.0	Use of Supporting People Reserve	(90.7)	(90.7)	0.0
18	(65.0)	(65.0)	0.0	Home Care Reserve	(65.0)	(65.0)	0.0
19	(31.0)	(31.0)	0.0	Review of Charging Consultancy fees	(31.0)	(31.0)	0.0
20	0.0	0.0	0.0	Use of Local Air Pollution Reserve	(12.0)	(12.0)	0.0
21	0.0	0.0	0.0	Use of Environmental Partnership Res.	(5.1)	(5.1)	0.0
22	(99.3)	(99.3)	0.0	Use of Adult Education Reserve	(99.3)	(99.3)	0.0
23	0.0	0.0	0.0	Use of Bursary Reserve	(6.0)	(6.0)	0.0
24	(195.3)	(195.3)	0.0		(309.1)	(309.1)	0.0
25	15,260.1	15,002.9	(257.2)	PORTFOLIO TOTALS	20,932.4	20,841.3	(91.1)

CAPITAL MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 31/12/05 £'000	2005/2006 Expenditure Remaining	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
AR51051	Chronically Sick & Disabled Persons Adaptations	105.3	43.0	62.3	105.3	0.0	MIX
MHSCE04	Mental Health SCE(R) 2004-05	173.2	0.0	173.2	173.2	0.0	SCE(R)
MHSCE04	Mental Health SCE(R) 2004-05 - Additional	31.2	0.0	31.2	31.2	0.0	SCE(R)
AR82406	Improving Information Management	102.8	41.7	61.1	102.8	0.0	MIX
ACS00003	Lynne Street ATC Demolition	120.0	0.0	120.0	120.0	0.0	RCCO
ASC00006	Joseph Rowntree Development (Extra Care Housing)	4,697.9	3,947.9	750.0	4,697.9	0.0	MIX
AR81120	Havelock Disabled Access Ramps	13.8	0.0	13.8	13.8	0.0	RCCO
ASC00001	Brooklyn UK On-line	7.5	6.5	1.0	7.5	0.0	GRANT
NRFSS01	NRF Adaptions	61.2	60.2	1.0	61.2	0.0	GRANT
DDA	Adult Education - Disabled Adaptations	52.0	21.8	30.2	52.0	0.0	GRANT
ED400007	Adult Education - Capital Equip Replacement	23.8	0.0	23.8	23.8	0.0	GRANT
ED400008	Capital Reserve (ERDF)	45.4	0.0	45.4	45.4	0.0	RCCO
ED400009	Adult Ed - ACL Underspend - DDA & Quality	4.6	0.0	4.6	4.6	0.0	RCCO
ED400010	Adult Education - NLDC	86.7	82.0	4.8	86.7	0.0	GRANT
AR40093	West View Community Centre - Phase 2	3.0	0.2	2.9	3.0	0.0	MIX
NSC00019	Spion Kop Cem Environmental Project (INCA)	30.0	2.5	27.5	30.0	0.0	CAPR
AR50213	Cemetery Flooding Works	326.0	288.5	37.5	326.0	0.0	CORPRES
		5,884.5	4,494.2	1,390.3	5,884.5	0.0	

Report of: Chief Executive and
Chief Financial Officer

Subject: FINANCE PORTFOLIO CAPITAL AND
ACCOUNTABLE BODY PROGRAMME
REPORT 2005/2006

1. PURPOSE OF REPORT

1.1 To provide details of progress against the Capital budget for the Finance Portfolio for 2005/2006 and provide detail of progress against regeneration schemes for which the Council acts as Accountable Body.

2. ACCOUNTABLE BODY REVENUE MONITORING FOR PERIOD ENDING 31ST DECEMBER, 2005

2.1 The Council acts as Accountable Body for the North Hartlepool, Hartlepool New Deal for Communities, Single Programme Partnerships and the Children's Fund. Details of progress against the approved revenue budgets are summarised at **Appendix 5.1**.

2.2 Table 1 – Single Regeneration Budget (SRB)

Details of progress against the approved revenue budgets are summarised at Table 1. Actual expenditure to date amounts to £256,400, compared to anticipated expenditure of £372,200, resulting in a current favourable variance of £115,800.

2.3 There are no major items to bring to Portfolio Holder's attention. The projected outturn is £421,200, compared to the latest budget of £461,200, resulting in a forecast favourable variance of £40,000.

2.4 Table 2 – New Deal for Communities (NDC)

The management of NDC resources is subject to specific Government regulations where the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme. The programme is currently forecasting full year expenditure at £9,688,700 against a grant approval of £10,200,000. Actual expenditure towards that target as at 31st December, 2005, was £5,978,000. Any underspend on the overall programme will be offered as an advance to Hartlepool

Revival to complete the acquisitions in Site 1 and 2 and will be paid back to the Partnership on receipt of the land sale value from Yuills. In order to ensure that the Partnership achieves as close to its target allocation as possible each project will be closely monitored up to the financial year end.

Details of progress against the approved revenue budgets are summarised at Table 2. Actual expenditure to date amounts to £3,116,400, compared to anticipated expenditure of £3,890,900, resulting in a current favourable variance of £774,500.

2.5 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year end.

2.6 **Table 3 – Single Programme**

These monies are allocated to the Council by Tees Valley Single Programme Partnership. The Council has been allocated £677,000 to spend in 2005/2006 on revenue projects. Actual expenditure to date amounts to £321,900, compared to anticipated expenditure of £414,300, resulting in a favourable variance of £92,400.

2.7 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

2.8 **Table 4 – Children's Fund Programme**

The Children's Fund Programme is wholly funded by the Children and Young Person's Unit (CYPU).

The Children's Fund has been granted a budget of £444,200 for financial year 2005/2006 along with £40,000 carried forward from 2004/2005. Actual expenditure to date amounts to £247,800, compared to expected spend to date of £247,700 as set out in Appendix 5.1, Table 4.

2.8 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

3. CAPITAL MONITORING FOR PERIOD ENDING 31ST DECEMBER, 2005

3.1 Details of anticipated and actual capital expenditure as at 31st December, 2005, is summarised in **Appendix 5.2** and shows:

Column A - Scheme Title
 Column B - Budget for Year
 Column C - Actual expenditure to 31st December, 2005
 Column D - Expected remaining expenditure to be incurred in the period January to March, 2006

- Column E - Expected total expenditure to be incurred by 31st March, 2006
- Column F - Column E less Column B = expected slippage or over/under spend
- Column G - Type of financing

3.2 Detailed analysis of these schemes are on deposit in the Member's Library.

3.3 **Table 1 – Resources**

Actual expenditure to date amounts to £1,173,400, compared to the approved budget of £3,263,800, with £2,091,600 of expenditure remaining.

3.4 The main item to bring to Portfolio Holder's attention is: -

Civic Centre Capital Maintenance

The original programme for the project had a commencement date on site in 2005/2006. This has not been possible owing to the combination of the Contact Centre and Civic Centre major works projects. In particular there has been a much extended consultation period for the development of the Contact Centre which has looked at a number of operational and design options. This of course is an essential part of developing and defining the project. It is expected that this scheme will be rephrased into 2006/07.

3.5 **Table 2 – Single Regeneration Budget**

Details of progress against the approved capital budgets are summarised at Table 2. Actual expenditure to date amounts to £2,642,400, compared to the approved budget of £4,541,600, with £1,899,200 of expenditure remaining.

3.6 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

3.7 **Table 3 – New Deal for Communities**

The management of NDC resources is subject to specific Government regulations were the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme. The programme is currently forecasting full year expenditure at £9,688,700 against a grant approval of £10,200,000. Actual expenditure towards that target as at 31st December, 2005 was £5,978,000. The forecast is very close to the allocation and is being closely monitored.

Details of progress against the approved capital budgets are summarised at Table 3. Actual expenditure to date amounts to £2,861,600, compared to the approved budget of £4,100,400, with £1,238,900 of expenditure remaining.

3.8 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

3.9 **Table 4 – Single Programme**

These monies are allocated to the Council by the Tees Valley Single Programme Partnership. The Council has been allocated £119,000 to spend in 2005/2006 on capital projects. Actual expenditure to date amounts to £90,000, with £29,000 of expenditure remaining.

3.10 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

4. RECOMMENDATIONS

4.1 It is recommended that Members note the report.

**ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING
31st DECEMBER 2005**

TABLE 1 - SINGLE REGENERATION BUDGET

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance; Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	135.4	120.0	(15.4)	Programme Administration Budget	160.0	160.0	0.0
2	10.3	8.9	(1.3)	Detached Youth Worker	13.7	13.7	0.0
3	3.8	3.8	0.0	Headland Capacity Building	5.1	5.1	0.0
4	2.3	2.3	0.0	Abbey Street Project	3.0	3.0	0.0
5	0.7	0.9	0.2	Community Events and Tourism	0.9	0.9	0.0
6	1.3	0.2	(1.1)	Headland History Project	1.8	1.8	0.0
7	3.0	0.0	(3.0)	Community CCTV	3.0	3.0	0.0
8	3.0	0.0	(3.0)	Headland Promenade CCTV	4.5	4.5	0.0
9	81.0	46.1	(34.9)	Jobsbuild	108.0	88.0	(20.0)
10	22.1	15.3	(6.8)	Targeted Training	29.5	29.5	0.0
11	0.4	0.0	(0.4)	Commercial Improvement Area	0.6	0.6	0.0
12	27.0	6.8	(20.2)	Headland Tourism Marketing	30.0	30.0	0.0
13	12.4	8.3	(4.1)	Headland Key Building Grants	8.3	8.3	0.0
14	63.0	37.5	(25.5)	Intermediate Labour Market	84.0	64.0	(20.0)
15	0.0	0.0	0.0	New Opportunities (Adult Education)	2.0	2.0	0.0
16	0.3	0.0	(0.3)	Education Enhancement (Home Loan)	0.5	0.5	0.0
17	6.4	6.4	0.0	English Martyrs Transitional Enhancement	6.4	6.4	0.0
18	372.2	256.4	(115.8)		461.2	421.2	(40.0)

TABLE 2 - NEW DEAL FOR COMMUNITIES

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
Col. A	£'000	£'000	£'000	£'000	£'000	£'000	
19	35.4	32.8	(2.7)	Longhill - Site Manager	45.1	45.1	0.0
20	9.0	7.2	(1.8)	Longhill - Business Security Scheme	14.4	14.4	0.0
21	52.4	61.1	8.8	Longhill - ILM Scheme	116.6	116.6	0.0
22	10.0	8.2	(1.8)	Longhill - CCTV	10.0	10.0	0.0
23	10.8	11.7	1.0	Childcare Training	18.1	18.1	0.0
24	183.0	152.7	(30.3)	Employment Advice and Support: At Work	262.0	262.0	0.0
25	2.1	0.2	(1.9)	NDC Link Worker	2.1	2.1	0.0
26	83.2	26.7	(56.4)	Enterprise Development Package	139.6	139.6	0.0
27	23.8	2.4	(21.4)	Commercial Areas - Building Modernisation	45.9	45.9	0.0
28	0.0	0.1	0.0	Commercial Areas - Env. Improvements	16.5	16.5	0.0
29	32.8	29.3	(3.5)	Commercial Areas - Bus Support Manager	47.0	47.0	0.0
30	67.3	67.3	0.0	Mental Health Support Workers	89.7	89.7	0.0
31	36.7	11.4	(25.3)	Complementary Therapies	42.2	42.2	0.0
32	17.2	17.2	(0.0)	Drop in for Health - Health Bus	23.0	23.0	0.0
33	71.0	63.3	(7.7)	Health Dev. Workers & Activity Block Fund	97.3	97.3	0.0
34	160.6	52.5	(108.1)	Sure Start Extension	257.5	257.5	0.0
35	128.1	103.1	(25.0)	Practical Support to Individuals	153.1	153.1	0.0
36	0.0	0.0	0.0	Drug Outreach	7.0	7.0	0.0
37	243.1	213.9	(29.1)	Community Wardens	335.0	335.0	0.0
38	67.6	62.6	(5.0)	Target Hardening - Phase 3	101.5	101.5	0.0
39	20.0	18.0	(2.1)	Community Safety Grants Pool	25.9	25.9	0.0
40	29.4	28.9	(0.5)	Reach for Success - Hoop Dreams (Crime)	39.5	39.5	0.0
41	23.7	23.7	0.0	Good Citizenship Initiative	31.7	31.7	0.0
42	34.0	34.0	0.0	Drugs Outreach Workers (Anti-Drugs)	40.2	40.2	0.0
43	75.0	50.0	(25.0)	Drug Enforcement Unit	100.0	100.0	0.0
44	23.9	23.9	0.0	Victim Support	30.9	30.9	0.0
45	94.9	69.5	(25.3)	Community Safety Premises	123.2	123.2	0.0
46	51.0	49.4	(1.6)	Domestic Violence	71.3	71.3	0.0
47	25.6	11.5	(14.1)	Dordrecht	42.1	42.1	0.0
48	5.3	0.0	(5.3)	CCTV Implementation	10.6	10.6	0.0
49	11.9	0.0	(11.9)	CCTV Implementation - Phase 2	23.9	23.9	0.0
50	12.2	8.2	(4.1)	Offending / Mentoring Scheme	20.5	20.5	0.0
51	48.9	50.0	1.0	Anti-Social Behaviour	65.9	65.9	0.0
52	72.5	59.9	(12.6)	Community Learning Centre - Stranton	96.7	96.7	0.0
53	3.9	3.9	(0.0)	Brierton Laptop Computers	3.9	3.9	0.0
54	79.7	53.3	(26.4)	Community Learning Centre - Lynnfield	106.2	106.2	0.0
55	29.4	25.5	(3.9)	Social Inclusion	41.8	41.8	0.0
56	53.7	40.1	(13.6)	Continuing Education and Vocational Training	74.0	74.0	0.0
57	42.5	39.8	(2.7)	Bursary Fund	64.1	64.1	0.0
58	19.2	19.2	(0.0)	Hoop Dreams (Education)	25.6	25.6	0.0
59	113.7	11.7	(102.0)	Educational Achievement Project	213.1	213.1	0.0
60	13.4	0.0	(13.4)	Key Stage 2 & 3 Transition	26.7	26.7	0.0
61	40.7	40.7	0.0	Community Chest	40.7	40.7	0.0
62	43.2	40.7	(2.5)	Learn Through Play	43.2	43.2	0.0
63	34.7	34.7	0.0	Belle Vue Extension	46.2	46.2	0.0
64	11.4	11.6	0.3	Osbourne Road Hall	15.2	15.2	0.0
65	85.0	94.8	9.9	Ethnic Minorities	110.6	110.6	0.0
66	26.5	26.5	0.0	Money Advice and Debt Counselling Service	35.3	35.3	0.0
67	64.1	64.1	0.0	Money Wise Community Banking	85.5	85.5	0.0
68	51.0	30.0	(20.9)	Peoples Centre	72.1	72.1	0.0
69	21.2	21.2	0.0	Family Support	28.3	28.3	0.0
70	3.0	2.7	(0.3)	Voluntary Sector Premises Pool	6.0	6.0	0.0
71	48.0	48.0	0.0	Hartlepool Youth Project	94.5	94.5	0.0
72	103.3	91.3	(12.0)	Capacity Building	133.5	133.5	0.0
73	6.0	4.6	(1.4)	Sunday Opening	11.5	11.5	0.0
74	33.5	32.9	(0.6)	Arts Development Initiative	44.2	44.2	0.0
75	13.0	13.0	0.0	Grange Road Methodist Church	17.3	17.3	0.0
76	9.2	7.3	(1.9)	Community Transport	12.4	12.4	0.0
77	49.1	49.1	0.0	Horizon Centre	65.5	65.5	0.0
78	18.1	11.8	(6.3)	Events Project	12.6	12.6	0.0
79	63.0	48.4	(14.6)	Childrens Activities Project	103.0	103.0	0.0
80	33.3	28.6	(4.8)	Hartbeat	38.1	38.1	0.0
81	3.3	3.3	0.0	Hartlepool Arts Studio Project	3.3	3.3	0.0
82	7.5	0.0	(7.5)	Indoor Skateboard Park	7.5	7.5	0.0
83	32.2	31.7	(0.4)	Housing Advice and Tenancy Support Service	42.9	42.9	0.0
84	82.9	94.5	11.6	Environmental Task Force	102.6	102.6	0.0
85	273.6	136.7	(136.9)	Housing Regeneration Company	421.9	421.9	0.0
86	52.6	51.7	(0.9)	Evaluation Project	65.1	65.1	0.0
87	51.0	51.7	0.6	Communications Project	61.4	61.4	0.0
88	22.3	49.8	27.5	Neighbourhood Management	128.9	128.9	0.0
89	490.3	450.7	(39.6)	Management and Administration	638.0	638.0	0.0

90	3,890.9	3,116.4	(774.5)		5,588.3		0.0

Appendix 5.1 (cont)

TABLE 3 - SINGLE PROGRAMME

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
Col. A	£'000	£'000	£'000	£'000	£'000	£'000	
91	45.0	30.0	(15.0)	Tees Valley for Offshore High Value Engineering	60.0	60.0	0.0
92	187.5	147.7	(39.8)	Building Futures	375.5	375.5	0.0
93	21.0	8.0	(13.0)	Queens Meadow Marketing Initiative	28.0	28.0	0.0
94	24.6	30.6	6.0	Coastal Arc Coordinator	32.7	32.7	0.0
93	45.1	20.3	(24.8)	Coastal Arc Tourism (Marketing and Training)	59.8	59.8	0.0
94	40.8	40.3	(0.5)	Coastal Arc Tourism (Events Hartlepool)	54.0	54.0	0.0
95	5.3	0.0	(5.3)	Coastal Arc Tourism (Events Redcar)	7.0	7.0	0.0
96	45.0	45.0	0.0	Management and Administration	60.0	60.0	0.0
97	414.3	321.9	(92.4)		677.0	677.0	0.0

TABLE 4 - ACCOUNTABLE BODY PROGRAMME

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
Col. A	£'000	£'000	£'000	£'000	£'000	£'000	
98	247.7	247.8	0.1	Children's Fund Partnership	484.2	484.2	0.0
99	247.7	247.8	0.1		484.2	484.2	0.0

CAPITAL MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

TABLE 1 - RESOURCES

Element 3 Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 31/12/05 £'000	2005/2006 Expenditure Remaining	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
AR100103	Civic Centre Capital Maintenance	1000.0	10.8	989.2	1,000.0	0.0	PRUD BOR
AR52002	Memorial for lives Lost at Sea	4.8	0.0	4.8	4.8	0.0	CAPR
AR52017	Disability Discrimination Act Works	54.0	2.6	51.4	54.0	0.0	PRUD BOR
AR52018	Civic -Imps to Public Facilities	6.6	5.5	1.1	6.6	0.0	CAPR
AR52027	Demolition of Stranton House	0.8	0.0	0.8	0.8	0.0	CAPR
AR52032	Piazza and Slipway re Trin Trust	0.8	0.4	0.4	0.8	0.0	CAPR
AR52033	Regeneration Office Accommodation	9.9	0.0	9.9	9.9	0.0	CAPR
AR52038	Multi Storey Car Park Refurbishment	4.5	4.5	0.0	4.5	0.0	CAPR
AR52039	Archive Store Refurbishment	32.8	9.8	23.0	32.8	0.0	CAPR
AR52044	York Flatlets Demolition	17.5	14.3	3.2	17.5	0.0	CAPR
AR52046	Mobile Benefits	234.0	94.4	139.6	234.0	0.0	RES
AR52047	Contact Centre	107.2	107.2	0.0	107.2	0.0	CAPR
CC901	City Challenge Architects TOS	1.6	0.0	1.6	1.6	0.0	CAPR
CC907	City Challenge Clayback	228.8	0.0	228.8	228.8	0.0	GRANT
IEG06	IEG - Smartcard Consortium	1.6	1.6	0.0	1.6	0.0	IEGGRANT
IEG08	IEG - Remote/Roaming Lotus Notes Prof.	17.2	17.2	0.0	17.2	0.0	IEGGRANT
IEG09	IEG - Non Stop Gov E Forms Software	69.0	52.4	16.6	69.0	0.0	IEGGRANT
IEG10	IEG - E-Consultation System	239.0	72.5	166.5	239.0	0.0	IEGGRANT
IEG11	IEG - SCMS (Community Portal)	80.7	80.7	0.0	80.7	0.0	IEGGRANT
RSC00001	FMS	137.1	12.5	124.6	137.1	0.0	RES
RSC00002	ERDM and Workflow	541.2	413.9	127.3	541.2	0.0	RES
RSC00005	Friarage Field Building Demolition	120.0	73.8	46.2	120.0	0.0	MIX
RSC00011	E Procurement	6.0	6.0	0.0	6.0	0.0	RCCO
RSC00012	St Bennedicts Barlows Building Demolition	50.0	14.9	35.1	50.0	0.0	CAPR
RSC00013	HR Analyser System	98.5	98.5	0.0	98.5	0.0	RCCO
AR10060B	Corporate Planned Maint- Civic Ctre PH4 Bal System	33.3	17.6	15.6	33.3	0.0	RCCO
AR10068C	Corporate Planned Maint- Civic Ctre Electricity	30.0	14.2	15.8	30.0	0.0	RCCO
AR76019	Corporate Planned Maint- Rossmere YC - DDA Works	90.0	0.0	90.0	90.0	0.0	RCCO
AR78132	Corporate Planned Maint- EDC Ph2 Roofing Conf Hall	27.8	29.0	0.0	29.0	1.2	RCCO
AR78702	Corporate Planned Maint- A2L Brieron Recoat Roof	19.1	19.1	0.0	19.1	0.0	RCCO
		3,263.8	1,173.4	2,091.6	3,265.1	1.2	

TABLE 2 - SINGLE REGENERATION BUDGET

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 31/12/05 £'000	2005/2006 Expenditure Remaining	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
SRB3CD17	Voluntary Sector Premises Pool	30.0	0.0	30.0	30.0	0.0	SRB
SRB3CD32	Headland Community Resource Centre Ph 1 & 2	22.1	0.0	22.1	22.1	0.0	MIX
SRB3CD36	Sports Improvement Scheme	1642.4	1231.3	411.1	1,642.4	0.0	MIX
SRB3CD42	Carnegie Building Refurbishment	922.8	664.8	258.0	922.8	0.0	MIX
SRB3CS03	Tackling Crime Together - Street Lighting Project	30.9	5.5	25.4	30.9	0.0	MIX
SRB3CS04	Tackling Crime Together - Community Safety Initiative	5.1	0.0	5.1	5.1	0.0	SRB
SRB5CS05	Community CCTV	18.1	0.4	17.7	18.1	0.0	SRB
SRB3CS08	Headland Promenade CCTV	13.7	0.0	13.7	13.7	0.0	SRB
SRB3ED07	Oakesway Industrial Improvement Area	7.0	0.0	7.0	7.0	0.0	SRB
SRB3ED21	Commercial Improvement Area	207.6	0.0	207.6	207.6	0.0	MIX
SRB3ED22	Developing Enterprise Scheme	16.0	0.0	16.0	16.0	0.0	MIX
SRB3ED28	Heugh Battery Project	33.2	24.6	8.6	33.2	0.0	SRB
SRB3EN12	Headland Key Buildings (Grants)	161.0	21.5	139.5	161.0	0.0	MIX
SRB3EN19	Headland Regeneration Programme	80.5	70.0	10.5	80.5	0.0	MIX
SRB3EN24	Headland Town Square	600.0	302.6	297.4	600.0	0.0	MIX
SRB3HS1/2	Council House Improvement Project	96.2	0.0	96.2	96.2	0.0	SRB
SRB3HS11	Targeted Private Housing Improvements	286.2	51.5	234.7	286.2	0.0	MIX
SRB3HS20	Environmental Improvements - Key Residential Areas	368.8	270.2	98.6	368.8	0.0	MIX
		4,541.6	2,642.4	1,899.2	4,541.6	0.0	

TABLE 3 - NEW DEAL FOR COMMUNITIES

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 31/12/05 £'000	2005/2006 Expenditure Remaining	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
NDC1ET02D	Longhill Junction Improvements	20.0	0.0	20.0	20.0	0.0	MIX
NDC1ET18/19	Longhill Business Security and Environmental Imps	352.0	206.7	145.4	352.0	0.0	MIX
NDC1ET20	Business Security Fund	83.6	40.4	43.2	83.6	0.0	NDC
NDC1ET21	CIA Building Modernisation Grant	319.9	71.5	248.4	319.9	0.0	NDC
NDC1ET22A	CIA Environmental Improvements	309.8	2.2	307.6	309.8	0.0	NDC
NDC1CS15	Crime Premises	62.2	44.9	17.2	62.2	0.0	NDC
NDC1CS18	Street Lighting Phase 2	45.4	1.3	44.1	45.4	0.0	MIX
NDC1CS19	Target Hardening Phase 3	134.0	5.1	128.9	134.0	0.0	NDC
NDC1CS21	CCTV Implementation - Phase 2	2.0	2.0	0.0	2.0	0.0	NDC
NDC1CD05	Osbourne Road Hall	39.7	0.0	39.7	39.7	0.0	NDC
NDC1CD11	Voluntary Sector Premises Pool	65.0	13.3	51.7	65.0	0.0	NDC
NDC1CD22	Peoples Centre	36.9	17.4	19.5	36.9	0.0	NDC
NDC1CD23	Hartlepool Youth Project	22.4	7.4	15.0	22.4	0.0	NDC
NDC1HS1	Area Remodelling Project	2,580.2	2,449.3	130.9	2,580.2	0.0	MIX
NDC1HS8	Neighbourhood management	27.5	0.0	27.5	27.5	0.0	NDC
		4,100.4	2,861.6	1,238.9	4,100.4	0.0	

TABLE 4 - SINGLE PROGRAMME

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 31/12/05 £'000	2005/2006 Expenditure Remaining	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
SP00003	Hartlepool HER Initiative	16.7	16.7	0.0	16.7	0.0	GRANT
SP00018	Business Growth Action Plans	30.0	21.1	8.9	30.0	0.0	CAPR
SP00019	River Tees Strategy	16.3	16.3	0.0	16.3	0.0	GRANT
SP00032	Coastal Arc Central Area Attraction	17.0	8.0	9.0	17.0	0.0	RCCO
SP00033	Coastal Arc Interreg Joint Costs	13.3	2.2	11.1	13.3	0.0	GRANT
SP00034	Coastal Arc Seaton Tourism	25.7	25.7	0.0	25.7	0.0	GRANT
		119.0	90.0	29.0	119.0	0.0	

Report of: Chief Executive,
Director of Neighbourhood Services and
Chief Financial Officer

Subject: PERFORMANCE MANAGEMENT PORTFOLIO
CAPITAL MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

1.1 To provide details of progress against the NRF budget and Capital budget for the Performance Management Portfolio for 2005/2006.

**2. CAPITAL MONITORING FOR PERIOD ENDING
31ST DECEMBER, 2005**

2.1 Details of anticipated and actual capital expenditure as at 31st December, 2005, is summarised in **Appendix 6.1** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 31st December, 2005
- Column D - Expected remaining expenditure to be incurred in the period January to March, 2006
- Column E - Expected total expenditure to be incurred by 31st March, 2006
- Column F - Column E less Column B = expected slippage or over/under spend
- Column G - Type of financing

2.2 Detailed analysis of these schemes are on deposit in the Member's Library.

2.3 Actual expenditure to date amounts to £586,300, compared to the approved budget of £1,711,300 with £1,125,000 of expenditure remaining. The forecast outturn for the year is £1,711,300, resulting in a nil variance.

2.4 There are no major items to bring to Portfolio Holder's attention.

3. RECOMMENDATIONS

3.1 It is recommended that Members note the report.

CAPITAL MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

		EXPENDITURE IN CURRENT YEAR					
	A	B	C	D	E	F	G
Element 3 Code	Scheme Title	2005/2006 Budget £'000	2005/2006 Actual as at 31/12/05 £'000	2005/2006 Expenditure Remaining	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
NSC00020	Dso Vehicles	1,711.3	586.3	1,125.0	1,711.3	0.0	USB
		1,711.3	586.3	1,125.0	1,711.3	0.0	

SCRUTINY CO-ORDINATING COMMITTEE

10th March, 2006



Report of: Assistant Chief Executive and
Chief Financial Officer

Subject: QUARTER 3 – CORPORATE PLAN
PROGRESS & REVENUE BUDGET
MONITORING REPORT 2005/2006

SUMMARY

1. PURPOSE OF REPORT

To provide details of: -

- the progress made towards achieving the Corporate Plan Service improvements (SIPS) in order to provide timely information and allow any necessary decisions to be taken;
- to provide details of progress against the Council's overall revenue budget for 2005/2006.

2. CONSIDERATION OF ISSUES

A separate report has not been prepared for your Committee as a comprehensive report was submitted to Cabinet on 27th February, 2006 and this report is attached at Appendix A. This report sets out the key issue to bring to your attention. The first part of this document is the Cabinet Summary Report (green papers). This report is supported by detailed reports for individual Portfolio Holder's responsibility (blue papers).

3. RECOMMENDATIONS

Members consider the report.

CABINET REPORT

27th February, 2006



Report of: Assistant Chief Executive and Chief Financial Officer

Subject: QUARTER 3 – CORPORATE PLAN PROGRESS
AND REVENUE BUDGET MONITORING REPORT
2005/2006

SUMMARY

1. PURPOSE OF REPORT

1.1 To inform Cabinet of: -

- the progress made towards achieving the Corporate Plan service improvement priorities (SIPs) in order to provide timely information and allow any necessary decisions to be taken;
- to provide details of progress against the Council's overall revenue budget for 2005/2006.

2. SUMMARY OF CONTENTS

2.1 The report describes progress towards achieving the service improvement priorities using the traffic light system of Green, Amber and Red. The report provides an overview of Council performance, with appendices 1 to 6 providing more detailed information for each Portfolio Holder to consider.

2.2 The Revenue Budget Monitoring report covers the following areas:

- Progress against departmental and corporate budgets and High Risk Budget Areas;
- Progress against saving/increased income targets identified in the 2005/2006 Budget Strategy;
- Progress against departmental salary turnover targets;
- Key Balance Sheet information;
- Outturn Presentation in 2005/2006 Statement of Accounts.

3. RELEVANCE TO CABINET

3.1 Cabinet has overall responsibility for the monitoring of the Council's Corporate Plan and the Revenue budget.

4. TYPE OF DECISION

4.1 None.

5. DECISION MAKING ROUTE

Cabinet 27th February, 2006.

6. DECISION(S) REQUIRED

Cabinet is asked to note the report and take any decisions necessary to address the performance or financial risks identified.

Report of: Assistant Chief Executive and
Chief Financial Officer

Subject: QUARTER 3 – CORPORATE PLAN
PROGRESS AND REVENUE BUDGET
MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

1.1 To inform Cabinet of the progress made towards achieving the Corporate Plan service improvement priorities and of progress against the Council's own 2005/2006 Revenue Budget for the period to 31st December, 2005.

2. BACKGROUND

2.1 Prior to the Quarter 2 monitoring report, performance information and revenue monitoring information were reported separately to Cabinet. As agreed at Cabinet on 22nd August, 2005, the information has been integrated to form one report that will allow Cabinet to consider performance and expenditure together. The first joint report was approved by Cabinet on 7th November, 2005.

2.2 This will also address the requirements of the Comprehensive Performance Assessment (CPA), to be completed in 2006/2007, which will, amongst other things, assess the extent to which the Council's "performance management is integrated with the management of resources (finance, people and IT), so that resources follow priorities whilst retaining the flexibility to move resources around to respond to performance issues".

2.3 Capital expenditure, Neighbourhood Renewal Fund (NRF) expenditure and expenditure where the Council acts as Accountable Body are detailed in a separate report elsewhere on the Agenda.

2.4 This report and Appendices 1-6 containing more detailed information by Portfolio, will be split into two main sections. The first section will look in detail at the performance and progress on service improvement priorities and key performance indicators. The second section will look in more detail at the progress made against the Council's own 2005/2006 Revenue Budget.

3. PERFORMANCE AND PROGRESS ON SERVICE IMPROVEMENT PRIORITIES AND KEY PERFORMANCE INDICATORS

- 3.1 The Council identified 155 service improvement priorities (SIPs) for 2005/2006 with specific milestones, and 80 key performance indicators (KPIs) as measures of success in the 2005/2006 Corporate Plan.
- 3.2 It has been necessary to split a number of the SIPs as they were too complex to be maintained and reported, as one overall priority. As a result there are now 169 SIPs, although the number of KPIs has remained the same.
- 3.3 A number of service improvement priorities relate directly to the negotiation of a Local Public Service Agreement (LPSA2) with the Government. Progress on negotiating LPSA2 targets has been delayed due, mainly, to the Government transferring negotiations from the Office of the Deputy Prime Minister (ODPM) to Government Office North East (GONE). This is to ensure that the LPSA2 is negotiated as part of our Local Area Agreement, with the intention of commencing both in April 2006.
- 3.4 As a result of this all actions relating to the LPSA2 are assessed as being Red, or 'below target' but are not included in the overall assessment of performance.
- 3.5 Overall performance is good with 77% of the SIPs and 66% of the KPIs (where a judgement can be made) judged to be either on or above targets. Tables 1 and 2 below summarise officers' views on progress as at 31st December, 2005, for each Portfolio Holder's responsibilities.

Table 1 – Progress on Service Improvement Priorities

Portfolio	SIPs by Traffic Light					
	Red		Amber		Green	
	No.	%	No.	%	No.	%
Regeneration and Liveability	5	12%	7	17%	29	71%
Culture Housing and Transportation	0	-	3	12%	23	88%
Children's Services	2	11%	3	16%	14	74%
Adult Services and Public Health	2	8%	8	33%	14	58%
Finance	1	8%	2	15%	10	77%
Performance Management	3	7%	3	7%	40	87%
Total	13	8%	26	15%	130	77%

*figure may not always add to 100% due to rounding.

Note: Definition of traffic lights: -

- **Red:** Below target (i.e. has not been, or is unlikely to be, achieved by milestone);
- **Amber:** Unsure (i.e. achievement by milestone is uncertain);
- **Green:** On or above target (i.e. has been, or is likely to be, achieved by milestone).

Table 2 – Progress on Key Performance Indicators

Portfolio	KPIs by Traffic Light					
	Red		Amber		Green	
	No.	%	No.	%	No.	%
Regeneration and Liveability	1	8%	2	17%	9	75%
Culture Housing and Transportation	1	17%	2	33%	3	50%
Children's Services	4	31%	0	-	9	69%
Adult Services and Public Health	1	14%	1	14%	5	71%
Finance	0	-	1	100%	0	-
Performance Management	1	20%	1	20%	3	60%
Total	8	18%	7	16%	29	66%

*figure may not always add to 100% due to rounding

Note: Definition of traffic lights: -

- **Red:** Below target (i.e. not likely to achieve year-end target);
- **Amber:** Unsure (i.e. achievement of year end target is uncertain);
- **Green:** On or above target (i.e. likely to achieve year-end target).

3.6 When compared to performance in quarter 2, reported to Cabinet on 7th November, 2005, the percentage of SIPs assessed as being either on or above target has increased marginally, from 74% to 77%.

3.7 The percentage of KPIs that have been assessed as being on or above target has risen significantly from 55% in quarter 2 to 66%.

3.8 It should be noted that a number of KPIs are only assessed and monitored once a year and are therefore not included in Table 2, above, or any of the summary analysis.

3.9 The strategic improvement priorities and key performance indicators judged to be below target and therefore at significant risk of not being completed by the milestone or achieving the target agreed by the

Council are set out for each Portfolio Holder in the attached appendices.

3.10 Against each priority and indicator that is below target there is a comment detailing an explanation for the delay and where appropriate, remedial action planned. Members may wish to use this list to raise any issues that they may have with performance against these priorities and indicators.

3.11 Key areas of progress included: -

- Recycling has increased town-wide by more than 22% since kerbside recycling has been introduced;
- The treasure box reading scheme for 3-4 year olds has been successfully embedded in service delivery;
- The best ever performance was achieved for the percentage of pupils maintained by the local education authority achieving level 4 or above in the Key Stage 2 English test. Performance was above the national average;
- The number of adults across the borough participating in basic skills classes has already exceeded the target for the full year;
- Budget and Policy Framework proposals for 2006/07 have been approved and referred to Scrutiny;
- All interactions with public, which are capable of electronic service delivery, met the Government's deadline of being on line by December, 2005.

4. REVENUE MONITORING 2005/2006

4.1 As indicated in the previous Budget Monitoring report the arrangements for monitoring the revenue budget have been developed and this report now provides details covering the following areas: -

- Progress against departmental and corporate budgets and High Risk Budget Areas;
- Progress against saving/increased income targets identified in the 2005/2006 Budget Strategy;
- Progress against departmental salary turnover targets;
- Key Balance Sheet information;
- Outturn Presentation in 2005/2006 Statement of Accounts.

4.2 Progress Against Departmental and Corporate Budgets and High Risk Budget Areas

4.3 In previous years the Authority's Budget Monitoring arrangements have not specifically identified high risk budget areas. Budget Monitoring procedures have previously been focused on monitoring individual departmental and corporate budgets at a global level.

These procedures need to be maintained to ensure all areas of expenditure are monitored throughout the year. In addition, the Authority needs to explicitly monitor the position on high risk budget areas which would have significant impact on the Authority's overall financial position if actual expenditure/income levels are not in line with budget forecasts. The areas identified as high risk budgets are attached at Appendix A, which indicates that there are significant variances on a number of the departmental budgets. However, it is currently anticipated that the adverse variances on these budgets will largely be offset by favourable variances on other departmental budgets, as detailed in the following paragraphs. Detailed explanations of these areas are in Appendices 1-6 (blue pages).

- 4.4 Detailed revenue monitoring reports are attached at Appendices 1-6. These reports are prepared on a Portfolio basis to enable each Portfolio Holder to readily review their area of responsibility. However, the Council's budget is monitored on a departmental basis and therefore, the Portfolio reports are summarised by departments at Appendix B, Table 1. In total they show a favourable variance to date of £2.084M and a projected outturn favourable variance of £1.040M, after contributions to and from Reserves. With the exception of Neighbourhood Services all departments are expected to be broadly in line by the year-end.
- 4.5 The overall forecast underspend is greater than reported at the half year. The increase is owing to increased investment income earned on the Council's balances and lower borrowing costs arising from a reduction in long terms interest rates. It is expected that the final underspend on corporate budgets will increase. At this stage a number of issues need investigating to determine an accurate assessment of the forecast outturn. This work has not yet been completed as resources have been allocated to preparing the 2006/07 budget. However, for planning purposes it is anticipated that the year end underspend may be up to £1.4M.
- 4.6 The initial outturn strategy fully committed the previous corporate underspend and the 2003/04 back-dated population grant, mainly for Equal Pay costs. Members have also been advised that even after this action the Council would need to find additional resources for Equal Pay costs. These additional costs were assessed at up to £1m, but could potentially be higher. It is therefore suggested that the uncommitted final underspend on Corporate Budgets be earmarked for the unfunded Equal Pay costs. This issue will be addressed in the final 2005/06 Outturn Strategy report, which will be submitted to Cabinet after the year end, prior to these proposals being referred to Council.
- 4.7 With regard to departmental budgets Neighbourhood Services Department is currently forecasting an overall adverse outturn variance of £145,900. Officers are currently reviewing the situation

and this will either be absorbed within the overall Neighbourhood Services budget or if this is not possible will need to be carried forward as a managed overspend.

- 4.8 The previous budget monitoring report to Cabinet on 7th November, 2005, indicated that there would be an adverse variance for Children's Services Portfolio at the year-end. This was owing to pressures on the placement budget for specialist placement and independent fostering. This budget is currently forecasting an adverse variance at outturn of £530,000. However, with underspends on agency placements and staff vacancy savings, this budget is now expected to be on target at the end of this financial year. Officers are continuing to monitor the situation.

4.9 **Progress Against Savings/Increased Income Targets Identified in the 2005/2006 Budget Strategy**

A number of savings/increased income targets are included in the 2005/2006 Budget Strategy. These items are summarised below, together with comments on progress to date.

<u>Budget Description</u> <u>£'000</u>	<u>Value</u>	<u>Current Position</u>
Restructure Saving	300	Following the appointment of the new Directors a strategy for delivering these savings on a sustainable basis is being developed. As an interim measure for 2005/2006 this saving will largely be achieved from salary savings arising from higher vacancy levels.
Efficiency Saving	200	This saving arises from the implementation of the mobile benefits initiatives and is on target to be achieved at the year end.
Increased Income Targets	175	Charges for a number of areas for 2005/2006 have increased. It is anticipated that the income targets will be achieved at the year-end. There is a risk that the increase in Home Care charges will not be achieved by the year-end. Any shortfall will be managed within the department's own budget.

Increase in Salary Turnover Target 150 The amount has been incorporated with departmental budgets and its on target. Further details are provided in the following section.

4.10 Progress Against Departmental Salary Turnover Targets

An assumed saving from staff turnover is included within salary budgets and this allowance was increased by £0.15m from 2005/2006. Details of individual department's targets are summarised in the following table. With the exception of Neighbourhood Services, it is anticipated that the target for 2005/2006 will be achieved by the year-end. Neighbourhood Services is currently anticipating that they will not achieve their turnover target and this is reflected in the forecast outturn identified in paragraph 4.5.

Department	2005/2006 Turnover Target £'000	Expected to 31.12.05 £'000	Actual to 31.12.05 £'000	Variance (Adverse)/ Favourable to 31.12.05 £'000
Adults & Community Services	233.7	175.3	175.3	0
Children's Services	179.8	134.9	151.7	16.8
Neighbourhood Services	119.7	0	0	0
Regeneration & Planning	61.8	46.4	57.3	10.9
Chief Executives	146.3	73.1	88.6	15.5
Total	741.3	429.7	472.9	43.2

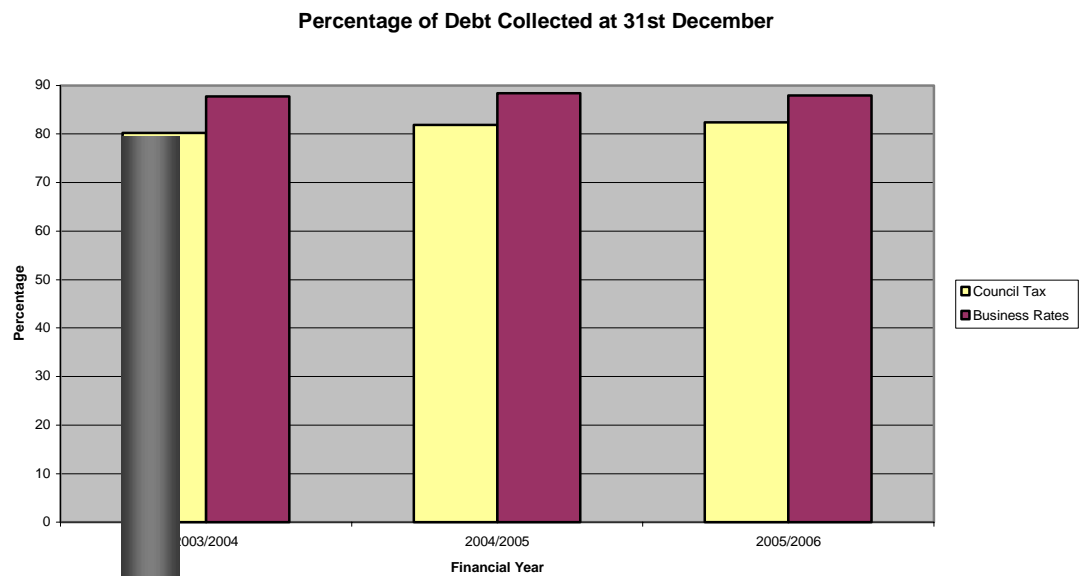
4.11 Key Balance Sheet Information

A Balance Sheet provides details of an organisation's assets and liabilities at a fixed point in time, for example, the end of the financial year or other fixed accounting periods. Traditionally local authorities have only produced a Balance Sheet on an annual basis and have managed Key Balance Sheet issues through other more appropriate methods. However, under the new CPA arrangements there is a greater emphasis on demonstrating effective management of the Balance Sheet. The Audit Commission's preferred option is the production of Interim Balance sheets throughout the year. In my opinion this option is neither practical nor beneficial as a Local Authority Balance Sheet includes a large number of "notional" valuation for an Authority's fixed assets and pension liabilities. It is

therefore more appropriate to monitor the key cash based Balance Sheet items and these items are summarised below: -

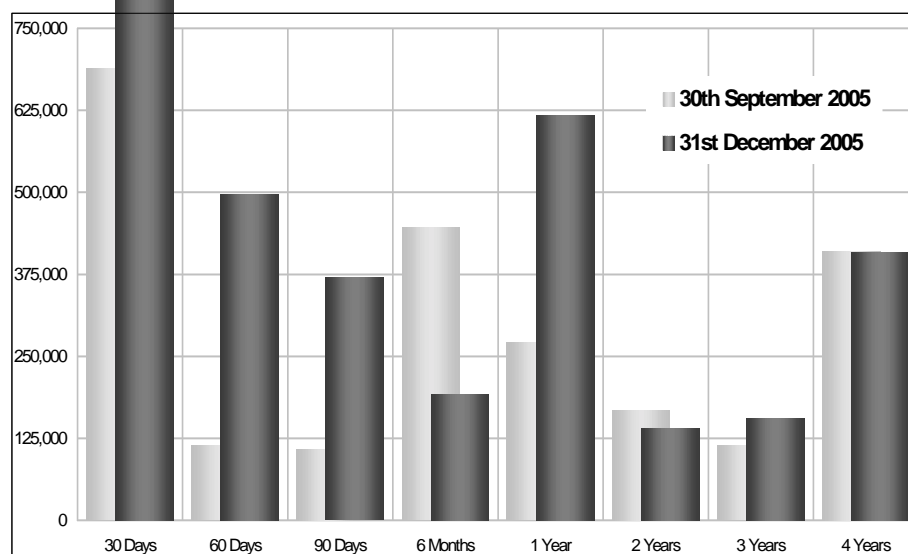
- Debtors

The Council's key debtors arise from the non payment of Council Tax, Business Rates and Sundry Debtors. These areas are therefore subject to detailed monitoring throughout the year. The position on Council Tax and Business Rates is summarised below:



The Council Tax collection rate is up by 0.5% and the NNDR collection rate is down slightly by 0.15% when compared to the same period last financial year.

The position in relation to Sundry Debtors is summarised below:



At the start of the current financial year the Council had outstanding sundry debts of £1.471m. During the period 1st April, 2005 to 31st December, 2005, the Council issued approximately 9,329 invoices with a value of £13.527m. Together these two amounts total £14.998m. As at 31st December, 2005, the Council had collected £10.767m.

- Current Year Debt

With regard to current outstanding debt, this totals £0.907m at 31st December, 2005, inclusive of approximately £0.474m of debt outstanding for less than thirty days.

- Previous Years Debt

These debts relate to the more difficult cases where court action or other recovery procedures are being implemented. At the 31st December, 2005, debts older than one year totalled £533,000 compared to £298,000 at 30th September, 2005.

- Borrowing Requirements

The Treasury Management Strategy provides the framework for managing the Council's borrowing requirement. At 31st March, 2004, the majority of the Council's external debt was held as short term loans. This position reflected the action taken to secure interest savings from the stock transfer process and the lower interest costs of short term loans compared to long term loans at that time. Action has now been taken, in accordance with the trigger points defined in the Treasury Management Strategy to replace maturing short-term loans with fixed rate, 25 to 30 years, loans with rates of 4.55% to 4.6%. This action has secured the £1m saving built into the base budget from 2005/2006.

4.12 **Outturn Presentation in 2005/2006 Statement of Accounts**

The previous paragraphs detail how the various financial transactions are reported in the Council's management accounts. These items are reported differently in the Council's Statutory Accounts. In 2004/2005 these different reporting requirements caused some confusion. Therefore, to avoid this situation arising from this year I would advise Members that on the basis of the current forecasts, the Council's statutory accounts for 2005/2006 will record a "surplus for the year" of £2.070m, The make up of this amount and the commitments it will fund are summarised below: -

	Projected Variance at 30.9.05 Favourable/ (Adverse) £'000	Projected Variance at 31.12.05 Favourable/ (Adverse) £'000
Surplus for Year - to be reported in Statutory Accounts	1,663	2,070
Commitments identified (Cabinet report 07.11.05)	(1,663)	(1,363)
Additional contribution to RTB Reserve	0	(81)
Additional contribution Phase 2 Equal Pay Costs		(626)
Uncommitted Resources	0	0

After reflecting the proposed additional contribution for Phase 2 Equal Pay costs of £0.626m the remaining unfunded costs amount to £0.357m. These remaining costs will need to be funded from the Balance Sheet.

5. RECOMMENDATIONS

5.1 It is recommended that Members: -

- note the current position with regard to performance and revenue monitoring;
- take any decisions necessary to address the performance or financial risks identified.

High Risk Budget Areas by Department

Appendix A

Best Value Unit / Best Value Sub Unit	2005/06 Budget £'000	Variance to 31/12/2005 (Favourable) / Adverse £'000	Forecast Variance 2005/06 (Favourable) / Adverse £'000
Adult & Community Services			
Older People Purchasing	6,357.4	(157.0)	(150.0)
Older People Transitional Care	361.3	(59.8)	(60.0)
Learning Disabilities Purchasing	2,131.8	119.1	175.0
Learning Disabilities Support	1,521.5	36.5	60.0
Assessment & Care Mgmt.	3,128.3	84.4	100.0
Home Care Service	1,545.5	(155.8)	(228.0)
Arts, Events & Museums	1,047.4	(17)	0.0
Allotments	53.4	20.2	30.0
Building Maintenance	243.8	18.6	30.0
Total	16,390.4	(110.5)	(43.0)
Regeneration & Planning			
Development Control	402.2	(109.3)	(109.3)
Planning Policy and Regeneration	478.0	(100.7)	(100.7)
Total	880.2	(210.0)	(210)
Neighbourhood Services			
Highways	3,204.8	281.4	0.0
Retained Housing	602.8	22.5	30.0
Property Services	468.0	8.0	27.0
Total	4,275.6	311.9	57.0
Corporate Budgets			
Centralised Estimates	6,622.0	(450.0)	(771.0)
Total	6,622.0	(450.0)	(771.0)
Children's Services			
Home to School Transport	1,283.1	83.2	99.8
Extra District/Independent School Fees	626.6	40.6	50.9
Access 2 Learning Centre	875.6	35.9	0.0
Fostering and Adoption	2,199.0	553.6	530.0
Total	4,984.3	713.3	680.7

GENERAL FUND - REVENUE MONITORING REPORT TO 31ST DECEMBER 2005

Line No	Actual Position 31/12/05			Description of Expenditure	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/06 Latest Budget	2005/06 Projected Outturn	2005/06 Projected Variance: Adverse/ (Favourable)
	Col. B £'000	Col. C £'000	Col. D (D=C-B) £'000		Col. F £'000	Col. G £'000	Col. H (H=G-F) £'000
				TABLE 1 - Departmental Expenditure			
1	18,564.3	18,419.2	(145.1)	Adult & Community Services	25,865.1	25,863.1	(2.0)
2	12,254.9	11,860.1	(394.8)	Childrens Services (excl Schools)	19,964.4	19,730.4	(234.0)
3	16,629.8	16,273.6	(356.2)	Neighbourhood Services	14,198.2	14,344.1	145.9
4	2,509.8	2,357.9	(151.9)	Regeneration & Planning	3,746.9	3,536.9	(210.0)
5	5,820.1	5,534.0	(286.1)	Resources	4,242.3	4,242.3	0.0
6	55,778.9	54,444.8	(1,334.1)	Total Departmental Expenditure	68,016.9	67,716.8	(300.1)
				TABLE 2 - Corporate Costs			
7	(64.0)	(79.0)	(15.0)	Emergency Planning	100.0	80.0	(20.0)
8	(942.0)	(1,392.0)	(450.0)	Centralised Estimates	6,622.0	5,851.0	(771.0)
9	1,653.0	1,653.0	0.0	SX3 Information Partnership	2,353.0	2,353.0	0.0
10	516.0	553.0	37.0	Pensions	424.0	350.0	(74.0)
11	67.0	67.0	0.0	Probation and Coroner's Court	158.0	158.0	0.0
12	247.0	47.0	(200.0)	Designated & Custodian Authority Costs	315.0	115.0	(200.0)
13	0.0	0.0	0.0	Insurances	185.0	185.0	0.0
14	232.0	194.0	(38.0)	Audit Fees	310.0	259.0	(51.0)
15	30.0	36.0	6.0	Land Drainage Levy	30.0	36.0	6.0
16	18.0	18.0	0.0	North Eastern Sea Fisheries Precept	18.0	18.0	0.0
17	239.0	223.0	(16.0)	Members' Allowances	318.0	298.0	(20.0)
18	52.0	48.0	(4.0)	Mayoral Allowance	69.0	65.0	(4.0)
19	19.0	19.0	0.0	Parish Precepts	19.0	19.0	0.0
20	(30.0)	(30.0)	0.0	Discretionary Rates	31.0	81.0	50.0
21	52.0	52.0	0.0	Major Tourist Attraction	52.0	52.0	0.0
22	1.0	1.0	0.0	Contingency - General	20.0	20.0	0.0
23	0.0	0.0	0.0	Contingency - Loss Of External Support	330.0	330.0	0.0
24	0.0	0.0	0.0	Strategic Contingency	100.0	0.0	(100.0)
				One-Off Commitments			
25	80.0	45.0	(35.0)	Hart Quarry Judicial Review	80.0	45.0	(35.0)
26	28.0	28.0	0.0	The Way Forward	28.0	28.0	0.0
27	223.0	223.0	0.0	Termination Costs	484.0	484.0	0.0
28	7.0	7.0	0.0	HBC Share of TVURC/TVDC Restructure	7.0	7.0	0.0
29	0.0	0.0	0.0	Cabinet Portfolio Initiatives	70.0	64.0	(6.0)
30	70.0	70.0	0.0	Regeneration Strategy	70.0	70.0	0.0
31	2,498.0	1,783.0	(715.0)	Total Corporate Costs	12,193.0	10,968.0	(1,225.0)
				Contributions From Corporate Reserves			
32	0.0	0.0	0.0	Contributions To / From Balances	(2,300.0)	(2,300.0)	0.0
33	(408.0)	(373.0)	35.0	Contributions from Corp Reserves towards One-off commitments See lines 25-30	(739.0)	(698.0)	41.0
36	(925.5)	(925.5)	0.0	Contributions from Departmental Reserves	(1,481.9)	(1,037.9)	444.0
				Contributions to Corporate Reserves			
37	0.0	0.0	0.0	Contribution To FBR Reserve	400.0	400.0	0.0
38	56,943.4	54,929.3	(2,014.1)	Total General Fund Expenditure	76,089.0	75,048.9	(1,040.1)

Report of: Assistant Chief Executive,
Director of Regeneration & Planning,
Director of Neighbourhood Services and
Chief Financial Officer

Subject: REGENERATION & LIVEABILITY PORTFOLIO
REVENUE MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

1.1 To provide details of progress made towards achieving the Corporate Plan service improvement priorities and the Revenue budgets for the Regeneration and Liveability Portfolio for the nine months to 31st December, 2005.

2. PERFORMANCE UPDATE FOR THE PERIOD ENDING 31ST DECEMBER, 2005

2.1 Within the Regeneration and Liveability Portfolio there are a total of 41 service improvement priorities (SIPs) that were identified in the 2005/2006 Corporate Plan. Generally performance towards these SIPs is good, with 71% (29 SIPs) being on target for completion by the agreed milestone. This is slight below the all Portfolios average of 77% of SIPS being on target.

2.2 However, there are 5 SIPs (12%) which are assessed as being 'below target' and as such are unlikely to be achieved by the milestone. Table RL1 below details these SIPs, along with an explanation for the delay as well as any remedial action planned.

Table RL1 – SIPs assessed as being below target

Improvement Priority (SIP)	Milestone	Comment
CS4/05.3 Review effectiveness of current community warden scheme and develop options to extend to other areas of Hartlepool	October 2005	NRF to be withdrawn from 31/3/06. NDC funding to continue into 2006/07. NRF scheme to be refocused to complement introduction of neighbourhood policing with effect from 1/4/06.
EH1/05.5 Increase environmental enforcement activity – remove all unlicensed vehicles within 48 hours	By June 2005	Approval for appointment of additional enforcement staff given by Housing Hartlepool. Three new employees to be in post by end of quarter 4.

Improvement Priority (SIP)	Milestone	Comment
JE3/05.1 Ongoing promotion to prospective public sector funders and private sector investors and developers	Presentation to key public sector funding partners May 2005	The Hartlepool Investment Prospectus discussed with key public sector funding partners, including One-NorthEast and Government Office North East in September.
JE5/05 Facility study and master plan preparation	June 2005	HCFE are continuing work to secure funding, finalise designs and costings in anticipation of submitting a planning application to HBC in February 2006. A further report will then be presented to Cabinet.

2.3 A number of key performance indicators (KPIs) were included in the Corporate Plan as measures of success. A number of these can only be assessed and reported on an annual basis, but of those indicators that progress can be monitored, 75% of the Regeneration and Liveability KPIs are assessed as being on or above target. This is a reduction from 86% in quarter 2, although due to the fact that an update has been available for a greater number of performance indicators, there has been an increase in the number of KPIs on target, from 6 in quarter 2 to 9 in quarter 3.

2.4 There is 1 KPI (8%) that are currently assessed as being below target and this is shown in Table RL2, below:

Table RL2 – KPIs assessed as being below target

Key Performance Indicator (KPI)	Target (2005/06)	Outturn	Comment
BVPI 199a Overall % of relevant land that fell below Grade B for litter and detritus when inspected – low % is good	5%	16.44%	Increased due to machinery breakdown.

2.5 Key areas of progress made to date in the Regeneration and Liveability Portfolio includes: -

- A new Substance Misuse Service has been established.
- Recycling has increased town-wide by more than 22% since kerbside recycling has been introduced.
- The Seaside Award has been retained.
- Innovation Centre at Queens Meadow was completed in November, 2005 and over 50% has been let.

**3. REVENUE MONITORING FOR PERIOD ENDING
31ST DECEMBER, 2005**

3.1 Details of Regeneration & Liveability's actual expenditure and anticipated expenditure as at 31st December, 2005, are shown at **Appendix 1.1.**

3.2 In overall terms actual expenditure amounts to £6,491,100, compared to anticipated expenditure of £6,654,000, resulting in a current favourable variance of £163,000. The projected outturn is £9,696,400, compared to the latest budget of £9,580,400, resulting in a forecast adverse variance of £116,000.

3.3 The main items to bring to Portfolio Holder's attention are: -

**Line 4: Development Control
Current Variance: £109,300 Favourable
Forecast Variance: £75,000 Favourable**

The favourable variance has arisen because the level of fee income generated by the service is above the budgeted target and because it has not yet been possible to recruit staff to grant funded posts. It is anticipated that a favourable variance in the region of £75,000 will occur at outturn. It is proposed to contribute this balance to reserves to help address future funding issues.

**Line 8: Planning Policy and Regeneration
Current Variance: £100,700 Favourable
Forecast Variance: £100,700 Favourable**

The favourable variance has arisen mainly because only minimal expenditure has occurred so far in the year against the major regeneration projects budget. This favourable variance is expected to remain at outturn and the amount will be added to the Council's reserve to fund future costs of developing the Victoria Harbour regeneration project.

**Line 10: Environment
Current Variance : £8,100 Favourable
Forecast Variance: £96,000 Adverse**

The Street Cleansing Service provided at Navigation Point contributes £30,000 towards the projected overspend. This service is currently under review and the Director of Neighbourhood Services will be bringing a separate report to a future Cabinet meeting. The venture with NDC requires match funding from Hartlepool Borough Council to improve the cleanliness of the NDC area. In the main the joint funding arrangement requires payment in kind and typically

consists of officer's time. However, the provision of vehicles is met by funding from the Street Cleansing budget. The current estimated spend on these vehicles is £50,000, which is placing severe pressure on Street Cleansing funds. It should also be noted that NDC funding for this initiative expires in March, 2006. A new bid has been submitted for a four-year period after this, which is anticipated to be successful.

The maintenance of hanging baskets within the central area is contributing an additional £16,000 overspend towards the projected variance.

Line 12: Town Care Management
Current Variance: £1,100 Adverse
Forecast Variance: £20,000 Adverse

Pressures on the provision of this service currently being addressed, as expenditure in non-staffing areas is much higher than budgeted and is anticipated to continue. The restructure in the department is expected to address this pressure and every attempt will be made to absorb this overspend within the departments overall budget.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report and take any decisions necessary to address the performance or financial risks identified.

REVENUE MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-D)		Col. F	Col. G	Col. H (H=G-F)
Col. A	£'000	£'000	£'000	Col. E	£'000	£'000	£'000
1	501.8	501.8	0.0	Administration	0.0	0.0	0.0
2	48.7	43.6	(5.1)	Building Control	161.3	161.3	0.0
3	146.1	139.9	(6.2)	Community Strategy	244.0	244.0	0.0
4	116.4	7.1	(109.3)	Development Control	402.2	292.9	(109.3)
5	87.5	87.5	0.0	Divisional Management	0.0	0.0	0.0
6	725.6	725.6	0.0	Economic Development	1,094.5	1,094.5	0.0
7	206.6	206.6	0.0	Landscape & Conservation	261.1	261.1	0.0
8	225.3	124.6	(100.7)	Planning Policy & Regeneration	478.0	377.3	(100.7)
9	(7.3)	0.0	7.3	Regeneration Staff Savings	(9.7)	(9.7)	0.0
10	4,004.6	3,996.5	(8.1)	Environment	5,753.0	5,849.0	96.0
11	204.8	200.7	(4.1)	Environmental Action	292.6	292.6	0.0
12	106.7	107.8	1.1	Town Care Management	118.6	138.6	20.0
13	0.0	12.4	12.4	Training Services	0.0	0.0	0.0
14	413.3	438.4	25.1	Community Safety	696.5	696.5	0.0
15	142.8	167.4	24.6	Youth Offending Service (Partnership)	364.0	364.0	0.0
16	144.9	144.9	0.0	Drug Action Team (100% grant funded)	55.0	55.0	0.0
17	(241.9)	(241.9)	0.0	DIP Programme (100% grant funded)	0.0	0.0	0.0
18	6,825.9	6,662.9	(163.0)		9,911.1	9,817.1	(94.0)

CONTRIBUTION FROM/TO RESERVES

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-D)		Col. F	Col. G	Col. H (H=G-F)
Col. A	£'000	£'000	£'000	Col. E	£'000	£'000	£'000
19	0.0	0.0	0.0	Neighbourhood Action Plan production	(15.0)	(15.0)	0.0
20	(37.5)	(37.5)	0.0	Neighbourhood Action Plan staffing costs	(50.0)	(50.0)	0.0
21	(2.7)	(2.7)	0.0	ERDMS project consultancy	(2.7)	(2.7)	0.0
22	(7.1)	(7.1)	0.0	LAA Agreement - consultancy	(7.1)	(7.1)	0.0
23	(3.5)	(3.5)	0.0	BPR & GIS consultancy	(14.2)	(14.2)	0.0
24	(30.0)	(30.0)	0.0	PAP system and Academy integration	(30.0)	(30.0)	0.0
25	0.0	0.0	0.0	Town Centre Management Project (Morrisons)	(15.0)	(15.0)	0.0
26	(2.0)	(2.0)	0.0	RTPI Training Course	(2.0)	(2.0)	0.0
27	(7.5)	(7.5)	0.0	Secretary to Divisional Heads Salary	(10.0)	(10.0)	0.0
28	(20.0)	(20.0)	0.0	Urban Policy Staffing	(26.7)	(26.7)	0.0
29	0.0	0.0	0.0	Community Strategy	(5.4)	(5.4)	0.0
30	(55.3)	(55.3)	0.0	Business Grants	(55.3)	(55.3)	0.0
31	(11.3)	(11.3)	0.0	Building Futures	(15.0)	(15.0)	0.0
32	0.0	0.0	0.0	Community Safety Initiatives	(10.3)	(10.3)	0.0
33	0.0	0.0	0.0	Contribution to YOS HYPED Accommodation	(77.0)	(77.0)	0.0
34	(55.0)	(55.0)	0.0	Contribution to Drugs Building	(55.0)	(55.0)	0.0
35	60.0	60.0	0.0	Monitoring Officer / Sec. to Divisional Heads	60.0	60.0	0.0
36	0.0	0.0	0.0	Contribution to MRU	0.0	210.0	210.0
37	(171.9)	(171.9)	0.0		(330.7)	(120.7)	210.0
38	6,654.0	6,491.0	(163.0)	PORTFOLIO TOTALS	9,580.4	9,696.4	116.0

Report of: Assistant Chief Executive,
Director of Regeneration & Planning,
Director of Neighbourhood Services,
Director of Adult & Community Services and
Chief Financial Officer

Subject: CULTURE, HOUSING AND
TRANSPORTATION PORTFOLIO REVENUE
MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

1.1 To provide details of progress made towards achieving the Corporate Plan service improvement priorities and the Revenue budgets for the Culture Housing and Transportation Portfolio for the nine months to 31st December, 2005.

2. PERFORMANCE UPDATE FOR THE PERIOD ENDING 31ST DECEMBER, 2005

2.1 Within the Culture, Housing and Transportation Portfolio there are a total of 26 service improvement priorities (SIPs) that were identified in the 2005/2006 Corporate Plan. Generally performance towards these SIPs is very good, with 88% (23) of SIPs being on target for completion by the agreed milestone. This compares favourably with the overall 77% of SIPs on or above target across all Portfolios. There are no SIPs currently assessed as being 'below target'.

2.2 A number of key performance indicators (KPIs) were included in the corporate plan as measures of success. A number of these can only be assessed and reported on an annual basis, but of those indicators that progress can be monitored, 50% (3 KPIs) of the Culture, Housing and Transportation KPIs are assessed as being on or above target. Only 1 KPI has been assessed as being below target and this is shown in Table CHT1, below:

Table CHT1 – SIPs assessed as being below target

Key Performance Indicator (KPI)	Target (2005/06)	Outturn	Comment
LPI NS 12b Extra care sheltered accommodation for b)other vulnerable person provision	57	0	Awaiting confirmation about extent of delays on site- however, scheme will not be completed in this financial year

2.3 Key areas of progress made to date in the Culture, Housing and Transportation Portfolio include: -

- The Treasure Box reading scheme for 3-4 year olds has been successfully embedded in service delivery.
- Improvements at Grayfields Recreational Ground underway with a synthetic turf pitch having been completed. The construction of a new pavilion is also underway.
- A new play area at Burn Valley Gardens has been built. Work to complete the main entrance wall and fencing is underway.

3. REVENUE MONITORING FOR PERIOD ENDING 31ST DECEMBER, 2005

3.1 Details of Culture, Housing and Transportation's actual expenditure and anticipated expenditure as at 31st December, 2005, are shown at **Appendix 2.1.**

3.2 In overall terms actual expenditure amounts to £8,502,500, compared to anticipated expenditure of £8,742,000, resulting in a current favourable variance of £239,500. The projected outturn for the year is £11,614,700 resulted in an adverse variance of £79,000.

3.3 The main items to bring to Portfolio Holder's attention are: -

Line 5: Maintenance
Current Variance: £18,600 Adverse
Forecast Variance: £30,000 Adverse

Due to lack of investment in previous years maintenance continues to be a volatile expenditure area and it is expected that the adverse variance will increase by the end of the year.

In accordance with the Authority's Financial Procedure Rules a transfer of resources from revenue to capital has been proposed by the Director of Adult & Community Services and agreed by the Chief Financial Officer: -

- Bridge Youth Centre £5,197.

- Throston Community Centre £12,000 for essential maintenance.

Line 8: Allotments

Current Variance: £20,200 Adverse

Forecast Variance: £30,000 Adverse

The adverse variance is mainly owing to the Council having to carry out essential maintenance at the Town's allotments relating mainly to Health & Safety.

Line 9: Community Support

Current Variance: £40,700 Favourable

Forecast Variance: Nil

The current favourable variance is mainly the result of Community Pool grants not yet being awarded and some underspends on Community Centres. It is anticipated that grants will be fulfilled by the year-end and essential maintenance to bring buildings to an acceptable standard will be carried out. These actions are likely to lead to a nil variance at the year-end.

Line 14: Highways Services

Current Variance : £281,400 Favourable

Forecast Variance: Nil

The current variance is owing mainly to income in advance which will be carried forward. The main element is £254,000 of Section 38 income, where developers make payments in advance to cover supervision fees before a scheme is adopted. Much of this income will be carried forward to cover costs to be incurred in future years. £38,000 relates to Alleygate deposits where part of the income is to pay for future maintenance and is therefore carried forward. The outturn position for the service overall is expected to be in line with budget.

Line 17: Retained Housing

Current Variance : £22,500 Adverse

Forecast Variance: £30,000 Adverse

The variance on this budget has resulted from lower than expected income in relation to the Supporting People Floating Support contract provided by the Housing Advice Team. The planned level of income could not be achieved within the current capacity of the Section.

Line 20: Action for Jobs Reserve

Current Variance: Nil
Forecast Variance: Nil

This £2,600 reserve was created to contribute towards the Action for Jobs Scheme in 2005/2006. It is now expected that there will be an underspend of £1,700 against this reserve at outturn. It is proposed to rephase this balance to 2006/2007 to continue to fund this scheme. The budget and profile has been adjusted to reflect this revised expenditure profile.

Line 21: Sports Leader Awards Reserve
Current Variance: Nil
Forecast Variance: Nil

This £5,200 reserve was created to contribute towards the Community of Higher Sports Leader Awards in 2005/2006. It is now expected that only half of this reserve will be required in 2005/2006 with the remainder being rephased to 2006/2007 to continue to support this scheme. The budget and profile has been adjusted to reflect this revised expenditure profile.

Lines 22 – 28: Contributions from Reserves
Current Variance: Nil
Forecast Variance: Nil

These reserves were created in previous years to fund specific known pressures and will be used by the year-end.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report and take any decisions necessary to address the performance or financial risks identified.

REVENUE MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B) £'000		Col. F	Col. G	Col. H (H=G-F) £'000
1	790.7	758.2	(32.5)	Sports & Physical Recreation	1,333.7	1,333.7	0.0
2	32.7	35.0	2.3	Parks	457.6	465.6	8.0
3	292.1	291.8	(0.3)	Countryside	387.5	387.5	0.0
4	128.0	134.1	6.1	Foreshore	165.9	165.9	0.0
5	167.7	186.3	18.6	Maintenance	243.8	273.8	30.0
6	850.7	834.0	(16.7)	Arts, Events & Museums	1,047.4	1,047.4	0.0
7	78.1	88.5	10.4	Archaeology Services	26.7	26.7	0.0
8	24.0	44.2	20.2	Allotments	53.4	83.4	30.0
9	658.3	617.6	(40.7)	Community Support	740.8	740.8	0.0
10	1,276.3	1,258.7	(17.6)	Libraries	1,768.2	1,768.2	0.0
11	587.5	588.7	1.2	Recharge Accounts	0.0	0.0	0.0
12	215.5	215.5	0.0	Engineers	414.3	404.3	(10.0)
13	473.5	473.5	0.0	Highways and Transportation	566.4	566.4	0.0
14	2,325.8	2,044.4	(281.4)	Highways Services	3,204.8	3,204.8	0.0
15	(178.1)	(43.9)	134.2	Traffic & Road Safety	(263.4)	(263.4)	0.0
16	603.5	537.7	(65.8)	Transport Services	979.6	970.6	(9.0)
17	609.5	632.0	22.5	Retained Housing	602.8	632.8	30.0
18	8,935.8	8,696.3	(239.5)		11,729.5	11,808.5	79.0

CONTRIBUTION FROM RESERVES

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B) £'000		Col. F	Col. G	Col. H (H=G-F) £'000
19	(9.0)	(9.0)	0.0	Use of SRR - Foreshore	(9.0)	(9.0)	0.0
20	(0.9)	(0.9)	0.0	Use of SRR - Action for Jobs	(0.9)	(0.9)	0.0
21	(2.6)	(2.6)	0.0	Use of SRR - Sports Leader Awards	(2.6)	(2.6)	0.0
22	(0.8)	(0.8)	0.0	Use of SRR - Active Sport	(0.8)	(0.8)	0.0
23	(8.0)	(8.0)	0.0	Use of SRR - Countryside	(8.0)	(8.0)	0.0
24	(8.0)	(8.0)	0.0	Use of SRR - Grants to Vol Orgs	(8.0)	(8.0)	0.0
25	(15.0)	(15.0)	0.0	Use of SRR - Wingfield Castle Report	(15.0)	(15.0)	0.0
26	(60.0)	(60.0)	0.0	Cont from Corporate Reserves - H Quay	(60.0)	(60.0)	0.0
27	(55.0)	(55.0)	0.0	Use of Supporting People Reserve	(55.0)	(55.0)	0.0
28	(34.5)	(34.5)	0.0	Use of Private Landlord Reserve	(34.5)	(34.5)	0.0
29	(193.8)	(193.8)	0.0	TOTAL	(193.8)	(193.8)	0.0

30	8,742.0	8,502.5	(239.5)	PORTFOLIO TOTALS	11,535.7	11,614.7	79.0
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Report of: Assistant Chief Executive,
Director of Children's Services,
and Chief Financial Officer

Subject: CHILDREN'S SERVICES PORTFOLIO
REVENUE MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

1.1 To provide details of progress made towards achieving the Corporate Plan service improvement priorities and the Revenue budgets for the Children's Services Portfolio for the nine months to 31st December, 2005.

2. PERFORMANCE UPDATE FOR THE PERIOD ENDING 31ST DECEMBER, 2005

2.1 Within the Children's Services Portfolio there are a total of 19 service improvement priorities (SIPs) that were identified in the 2005/2006 Corporate Plan. Generally performance towards these SIPs is good, with 74% (14) of SIPs being on target for completion by the agreed milestone. This is compared with the overall 77% of SIPs on or above target across all Portfolios. However, there are 2 SIPs (11%) which are assessed as being 'below target' and as such are unlikely to be achieved by the milestone. This is slightly above the overall Council position, of 8% of all SIPs assessed as being below target. Table CS1 below details these SIPs, along with an explanation for the delay as well as any remedial action planned.

Table CS1 – SIPs assessed as being below target

Improvement Priority (SIP)	Milestone	Comment
HC/Children/1/05.2 Audit assessments to monitor practice	Ongoing	Child Protection procedures being reviewed following the establishment of the Local Safeguarding Children Board (LSCB). Therefore audit unable to start this financial year.
HC/Children/6/05.2 E2E scheme commenced	April 05	Increased use of Gateway has met some of the load and work with individuals has met the rest of the need. Currently only 11 not in adult employment or training (2 in prison).

- 2.2 A number of key performance indicators (KPIs) were included in the Corporate Plan as measures of success. A number of these can only be assessed and reported on an annual basis, but of those indicators that progress can be monitored, 69% of the Children's Services KPIs are assessed as being on or above target, which relates to a total of 9 performance indicators. 66% of all Council KPIs, where an assessment can be made, are currently on or above target, so this figure compares well. However, there are 4 KPIs (31%) that are currently assessed as being below target and these are shown in table CS2, below. This accounts for half of all KPIs across all Portfolios that have been assessed as being below target.
- 2.3 Whilst viewing the table it is worth noting that in some cases, BVPI 40 for example, the level of performance improvement in 2004 was one of the highest nationally and it would be difficult to maintain this level of improvement year on year. Despite this all education targets are set with an element of challenge, making them more difficult to achieve.

Table CS2 – KPIs assessed as being below target

Key Performance Indicator (KPI)	Target (2005/06)	Outturn	Comment
BVPI 181c Percentage of 14 year old pupils in schools maintained by the local education authority achieving Level 5 or above in the Key Stage 3 test in Science	73%	68%	4% improvement on previous year. Gap to national performance narrowed to 2%. Target not achieved.
BVPI 181d Percentage of 14 year old pupils in schools maintained by the local education authority achieving Level 5 or above in the Key Stage 3 test in ICT Assessment	70%	61.4%	Target not achieved. Weak performance is a concern, although new testing arrangements in 2006 expected to assist performance.
BVPI 39 Percentage of 15 year old pupils in schools maintained by the local education authority achieving five GCSEs or equivalent at grades A* - G including English and Maths	90.6%	88.3%	3% improvement on previous years by very challenging LPSA stretched target not achieved.
BVPI 40 Percentage of pupils in schools maintained by the local education authority achieving level 4 or above in the Key Stage 2 Mathematics test	84%	78%	Performance is 3% above national average for second year in succession, although target not achieved.

- 2.4 Key areas of progress made to date in the Children's Services Portfolio include: -

- The foster care recruitment is ongoing and a further 7 foster carers have been approved in the last quarter.

- The attainment gap for disadvantaged and vulnerable groups has been narrowed.
- Resource services are in operation in the reopened Flint Walk Support Centre. Building work is continuing to ensure residential provision.
- The best ever performance was achieved for the percentage of pupils maintained by the Local Education Authority achieving level 4 or above in the Key Stage 2 English test. Performance was above the national average.
- GCSE exam results indicated a rise in both the number of A* - G passes (up 3% from last year) and number of A* - C passes (up 4% from last year).

**3. REVENUE MONITORING FOR PERIOD ENDING
31ST DECEMBER, 2005**

- 3.1 Details of Children's Services actual expenditure and anticipated expenditure as at 31st December, 2005, are shown at **Appendix 3.1**.
- 3.2 In overall terms actual expenditure amounts to £11,527,500, compared to anticipated expenditure of £11,922,300, resulting in a current favourable variance of £394,800.
- 3.3 The projected outturn is currently estimated to be £19,395,900, compared to the budget of £19,395,900, resulting in a nil variance at outturn.
- 3.4 The items to bring to the Portfolio Holder's attention are: -

Line 1: Access to Education
Current Variance: £26,900 Adverse
Forecast Variance: £57,000 Adverse

The main reason for both the current and forecast adverse variance is Home to School Transport as there is an increase in the number of escorted journeys for pupils with special educational needs.

The significant adverse forecast variance on Home to School Transport of £100,000 is partly offset by forecast favourable variances on maintenance payments to pupils attending Carlton (£12,000), Education Social Workers (£19,000) and consultant fees in relation to the Asset Management Plan (£23,000).

Line 2: Early Years
Current Variance: £46,000 Favourable
Forecast Variance: £51,600 Favourable

The favourable variance has occurred as there has been a lower than expected take up of nursery places for 3 year-old children.

Line 3: Other School Related Expenditure
Current Variance: £28,500 Favourable
Forecast Variance: £38,400 Favourable

The main reason for the favourable variance is that expenditure on early retirement costs for teachers is lower than anticipated.

Line 4: Raising Educational Achievement
Current Variance: £180,500 Favourable
Forecast Variance: £59,100 Favourable

The favourable variance has occurred because of a planned underspend in respect of Carlton Outdoor Education Centre which is currently undergoing major capital development work. A favourable forecast variance at outturn of £99,500 is anticipated on Carlton. It is proposed to create a Reserve equal to this variance (Line 23) to contribute towards the cost of the capital scheme and to cover the costs during the period of reduced operation up to September, 2006.

An adverse variance within the Advisory Service is also reported and is the result of a reduction in the level of grant funding available.

Line 5: Special Educational Needs
Current Variance: £71,800 Adverse
Forecast Variance: £46,700 Adverse

The main reason for this adverse variance is Independent School Fees being higher than anticipated.

Line 6: Strategic Management
Current Variance: £139,400 Favourable
Forecast Variance: £135,600 Favourable

This favourable variance is mainly the result of vacancies within Children's Services support services and underspends against the supplies and services budgets. Some of the vacancies are expected to be filled later in the year. However, certain posts are being reviewed as part of the 2006/2007 budget exercise so may not be filled this financial year resulting in a favourable outturn variance. This variance will be used in part to fund additional Recruitment Consultants fees not originally budgeted for and this has been accounted for in forecast variance above.

Line 12: Children, Young People and Families Support
Current Variance: £13,400 Adverse
Forecast Variance: Nil

As previously reported there continues to be pressures around placement costs for children and young people. The Fostering and Adoption budget is high risk and is currently forecasting an adverse

variance at outturn of £530,000. This will be partially offset by underspends on agency placements (£250,000) along with favourable variances resulting from staff vacancies and the continuing delay in the opening of the Flint Walk Placement Support Centre.

Officers are continuing to review strategies in order to make savings in all possible areas and it is expected that the budget will be on target at the end of this financial year.

This position continues to be closely monitored by Officers.

Line 14: Youth Service

Current Variance: £80,700 Favourable

Forecast Variance: Nil

The current favourable variance is owing to staffing following recruitment and retention issues and some additional grant funding received from Cleveland Fire Brigade. There are also underspends within Boy's Welfare Youth Centre and Brinkburn Youth Centre caused by the delay in the lease at Boy's Welfare and the closure of Brinkburn Pool for part of the year as previously mentioned. A contribution to capital (RCCO) of £80,000 has been agreed in accordance with financial procedures therefore reducing the variance at outturn to nil.

Line 15: Information, Sharing & Assessment (ISA)

Current Variance: £21,900 Favourable

Forecast Variance: £53,000 Favourable

The current variance is owing to staff vacancies, which in turn have resulted in a delay in implementing the new ISA System. It is proposed to transfer this underspend to the ISA Reserve at year end to fund the rephased expenditure in 2006/2007 (see line 29).

Lines 19 – 30: Contributions from Reserves

Current Variance: Nil

Forecast Variance: Nil

These reserves were created in previous years to fund specific known pressures and will be used by the year-end.

Line 23: Carlton Redevelopment

Current Variance: Nil

Forecast Variance: £99,500

As detailed in line 4 a favourable variance is anticipated because of a planned underspend on Carlton Outdoor Education Centre which is currently undergoing major capital development work. The forecast variance at outturn of £99,500 has been earmarked to contribute

towards the cost of the capital scheme and to cover the costs during the period of reduced operation up to September, 2006.

Line 24: Building Schools for the Future Reserve

Current Variance: Nil

Forecast Variance: £81,500

A reserve was previously created to contribute towards any Local Authority funding that may be required to support the Government's agenda for replacing school building stock. This reserve was used in 2005/06 to fund pressures identified within the Education Budget resulting from the need to meet the Schools Budget Target set by the DfES. It is anticipated that future costs will need some provision and a contribution to this reserve has therefore been agreed with the Chief Financial Officer in accordance with the Council's financial procedures.

Line 28: Boy's Welfare Refurbishment

Current Variance: Nil

Forecast Variance: Nil

This reserve was set up to fund the refurbishment of the Boy's Welfare Youth Centre once the lease had been agreed. A contribution to capital (RCCO) of £60,000 has been agreed in accordance with financial procedures to fund the cost of this refurbishment in 2006/2007.

Line 29: ISA Initiatives Reserve

Current Variance: Nil

Forecast Variance: Nil

This reserve relates to the implementation of ISA Initiatives. As detailed in Line 15 the implementation of the ISA System has been delayed. The reserve is not expected to be utilised in 2005/2006. This reserve along with the underspends detailed in Line 15 will be rephased to 2006/2007 to cover the delayed expenditure. The budget and profile has been adjusted to reflect this revised expenditure profile.

Line 30: Corporate Children's Services Reserve

Current Variance: Nil

Forecast Variance: Nil

As reported at Line 12 the Fostering and Adoption budget is high risk and is currently forecasting an adverse variance at outturn of £530,000. However, underspends on agency placements along with favourable variances resulting from staff vacancies are also forecast and as such it is expected that the budget will be on target at the end of this financial year.

Officers are continuing to review strategies in order to make savings in all possible areas and based on the latest outturn projection it is not expected to utilise this reserve in the current financial year.

This position continues to be closely monitored by Officers.

Lines 33 – 35: Sure Start
Current Variance: £1,800 Favourable
Forecast Variance: Nil

The three local Sure Start programmes are fully grant funded. The current variance consists of favourable variances for the North and South Programmes, which relate to the late receipt of salary invoices from partner agencies. This is partly offset by a current adverse variance for Sure Start Central, which relates to grant income not yet received.

Sure Start Local Programmes report that expenditure is expected to be in line with budget and that the grants will be fully utilised by the end of the financial year.

Line 36: Teenage Pregnancy Initiative
Current Variance: £61,100 Favourable
Forecast Variance: Nil

This budget relates to the implementation of the teenage pregnancy strategies agreed locally with partner agencies. It is funded by the Teenage Pregnancy Local Implementation Grant. Any underspend will be rolled forward into 2006/2007 to continue to support the agreed action plan.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report and take any decisions necessary to address the performance or financial risks identified.

PORTFOLIO : CHILDRENS SERVICES

Appendix 3.1

REVENUE MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B) £'000		Col. F	Col. G	Col. H (H=G-F) £'000
1	1,558.5	1,585.4	26.9	Access to Education	2,309.4	2,366.4	57.0
2	(295.2)	(341.2)	(46.0)	Early Years	359.7	308.1	(51.6)
3	395.2	366.7	(28.5)	Other School Related Expenditure	1,652.4	1,614.0	(38.4)
4	724.4	543.9	(180.5)	Raising Educational Achievement	660.3	601.2	(59.1)
5	1,665.7	1,737.5	71.8	Special Educational Needs	2,691.8	2,738.5	46.7
6	568.9	429.5	(139.4)	Strategic Management	980.1	844.5	(135.6)
7	21.0	21.0	0.0	Central Support Services	882.5	882.5	0.0
8	122.0	122.0	0.0	SRR - Educational Achievement	122.0	122.0	0.0
9	15.3	15.3	0.0	SRR - Children's Services Implementation	15.3	15.3	0.0
10	0.0	0.0	0.0	SRR - Carlton Redevelopment	82.0	82.0	0.0
11	0.0	0.0	0.0	SRR - Swimming	24.9	24.9	0.0
12	6,446.9	6,460.3	13.4	Children, Young People and Families Support	8,707.7	8,707.7	0.0
13	212.0	214.8	2.8	Youth Justice	283.5	283.5	0.0
14	663.1	582.4	(80.7)	Youth Service	989.4	989.4	0.0
15	38.4	16.5	(21.9)	Information, Sharing & Assessment	71.5	18.5	(53.0)
16	118.7	106.0	(12.7)	Play & Care of Children	131.9	131.9	0.0
17	12,254.9	11,860.1	(394.8)		19,964.4	19,730.4	(234.0)

CONTRIBUTION FROM/TO RESERVES

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B) £'000		Col. F	Col. G	Col. H (H=G-F) £'000
19	(138.0)	(138.0)	0.0	Education 2005/06 Budget Pressures	(138.0)	(138.0)	0.0
18	(122.0)	(122.0)	0.0	Educational Achievement	(122.0)	(122.0)	0.0
20	(15.3)	(15.3)	0.0	Children's Serv Implementation	(15.3)	(15.3)	0.0
21	0.0	0.0	0.0	Carlton Redevelopment - Capital Works	(82.0)	(82.0)	0.0
22	0.0	0.0	0.0	Swimming	(24.9)	(24.9)	0.0
23	0.0	0.0	0.0	Carlton Redevelopment	0.0	99.5	99.5
24	0.0	0.0	0.0	Building Schools for the Future	0.0	81.5	81.5
25	0.0	0.0	0.0	Flint Walk Development	(67.0)	(67.0)	0.0
26	0.0	0.0	0.0	Celebrating Success Event	(2.0)	(2.0)	0.0
27	(57.3)	(57.3)	0.0	Way Forward	(57.3)	(57.3)	0.0
28	0.0	0.0	0.0	Boy's Welfare Refurbishment	(60.0)	(60.0)	0.0
29	0.0	0.0	0.0	ISA Initiatives	0.0	53.0	53.0
30	0.0	0.0	0.0	Corporate Children's Services Reserve	0.0	0.0	0.0
31	(332.6)	(332.6)	0.0	TOTAL	(568.5)	(334.5)	234.0

32	11,922.3	11,527.5	(394.8)	PORTFOLIO TOTALS	19,395.9	19,395.9	0.0
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MEMO ITEMS

33	69.0	21.8	(47.2)	Sure Start North	0.0	0.0	0.0
34	112.8	43.6	(69.2)	Sure Start South	0.0	0.0	0.0
35	(205.6)	(91.0)	114.6	Sure Start Central	0.0	0.0	0.0
36	57.6	(3.5)	(61.1)	Teenage Pregnancy Initiative	0.0	0.0	0.0
37	33.8	(29.1)	(62.9)	TOTAL	0.0	0.0	0.0

Report of: Assistant Chief Executive,
Director of Adult & Community Services,
Director of Regeneration and Planning,
Director of Neighbourhood Services and
Chief Financial Officer

Subject: ADULT & PUBLIC HEALTH SERVICE
PORTFOLIO REVENUE MONITORING
REPORT 2005/2006

1. PURPOSE OF REPORT

1.1 To provide details of progress made towards achieving the Corporate Plan service improvement priorities and the Revenue budgets for the Adult and Public Health Portfolio for the nine months to 31st December, 2005.

2. PERFORMANCE UPDATE FOR THE PERIOD ENDING 31ST DECEMBER, 2005

2.1 Within the Adult and Public Health Portfolio there are a total of 24 service improvement priorities (SIPs) that were identified in the 2005/2006 Corporate Plan. Of these 14 (58%) have been assessed as being on or above target for completion by the agreed milestone. This appears to compare poorly with the average across all portfolios of 77%. However, only 2 (8%) have been assessed as being 'below target' and as such is unlikely to be achieved by the milestone. This is on par with the overall position of 8% of all SIPs across all Portfolios assessed as being below target. Table ASPH1 below details the SIPs, along with an explanation for the delay as well as any remedial action planned.

Table ASPH1 – SIPs assessed as being below target

Improvement Priority (SIP)	Milestone	Comment
HC/Adults/3/05.5 Develop multi-agency strategy and community based teams for older people with mental health needs	December 2005	Draft EMI strategy produced. Community based team under consideration
HC/Adults/4/05.1 Implement Public Health Strategy.	From April 05	Public health strategy discussed at LSP. Action plan being developed. Integration of Public Health function being considered.

2.2 A number of key performance indicators (KPIs) were included in the Corporate Plan as measures of success. A number of these can only be assessed and reported on an annual basis, but of those indicators that progress can be monitored, 71% (5) of the Adult and Public Health KPIs are assessed as being on or above target. Across all portfolios 66% of KPIs have been assessed as being on or above target, so this compares favourably. This equates to only 1 indicator, from a total of 5. All of the remaining 4 are classified as 'unsure' as whilst performance is broadly on target it is difficult to predict whether the targets will be achieved.

2.3 Only 1 KPI, 14%, has been assessed as being below target and this is shown in table ASPH2, below:

Key Performance Indicator (KPI)	Target (2005/06)	Outturn	Comment
BVPI 201 Number of adults and older people receiving direct payments per 100,000	79	51.6	Age standardised figure has increased (34 people) but is below target rate of 79 for the year

2.4 Key areas of progress made to date in the Adult and Public Health Portfolio include: -

- Opportunities have been provided to allow more adults to participate in learning opportunities.
- The number of adults across the borough participating in basic skills classes has already exceeded the target for the full year.
- There has been a steady increase in the number of people in receipt of Direct Payments.

3. REVENUE MONITORING FOR PERIOD ENDING 31ST DECEMBER, 2005

3.1 Details of Adult & Public Health Services actual expenditure and anticipated expenditure as at 31st December, 2005, are shown at **Appendix 4.1.**

3.2 In overall terms actual expenditure amounts to £15,002,900, compared to anticipated expenditure of £15,260,100, resulting in a current favourable variance of £257,200.

3.3 The projected outturn is currently estimated to be £20,841,300, compared to the budget of £20,932,400, resulting in a forecast favourable variance of £91,100.

3.4 The main items to bring to Portfolio Holder's attention are: -

Line 1: Assessment & Care Management

Current Variance: £84,400 Adverse

Forecast Variance: £100,000 Adverse

The adverse position on this budget is owing to the purchase of Occupational Therapy equipment for clients. Activity levels have been increased to respond to increased demand and to keep waiting lists to a minimum.

The 2006/2007 budget process will review eligibility in this area but this will only impact on low value one-off items. Maintenance of established equipment will continue and therefore a pressure exists for future years.

In accordance with the Authority's Financial Procedure Rules a transfer of resources from revenue to capital has been proposed by the Acting Director of Adult & Community Services and agreed by the Chief Financial Officer: -

- Havelock Centre – the transfer of £13,000 from this budget to fund essential disabled access works.

The adverse outturn projections on this budget will be offset by the favourable projection on the Home Care Service. Officers will continue to review and monitor the situation.

Line 2: Home Care

Current Variance: £155,800 Favourable

Forecast Variance: £228,000 Favourable

The variance in this group arises from a temporary under-use of Home Care hours following restructuring of the service.

There continues to be delays in fully staffing the service owing to new staff requiring induction and training by experienced Home Care staff within the caring environment. It is anticipated that by the start of the new financial year the service will be running at optimum capacity.

As previously reported, during the restructure of the Home Care Service a number of employees opted to take voluntary redundancy. The costs will be funded by a Specific Revenue Reserve (SRR). (Line 18).

Line 3: Learning Disability Purchasing
Current Variance: £119,100 Adverse
Forecast Variance: £175,000 Adverse

A number of factors have influenced the adverse variance on this budget, namely, additional complex packages for Home Care since the start of the year and increased costs for respite with effect from August, 2005.

The pressures on this service are compounded by the much improved life expectancy of people with learning disabilities. Also many carers, generally the parents of those service users, are becoming too frail to care for them as they have previously. It is estimated that over the next decade there will be ten new residential/supported living packages each year. There is also a market pressure on fee levels both locally and nationally.

The adverse forecast variance will be partially offset by the favourable forecast variance on Older People's Purchasing.

Line 4: Learning Disability Support
Current Variance: £36,500 Adverse
Forecast Outturn: £60,000 Adverse

The adverse variance on this budget is owing to the increase in transport costs for school placements since September, 2005. It is anticipated that the overspend will increase to £60,000 by the end of the financial year.

Line 5: Mental Health
Current Variance: £30,300 Favourable
Forecast Variance: £30,000 Favourable

The favourable variance in this group arises from staff vacancies incurred earlier in the financial year.

Line 6: Older People Purchasing
Current Variance: £157,000 Favourable
Forecast Variance: £150,000 Favourable

The favourable variance on this budget has arisen owing to slippage in the use of Government grants and the phased application of development monies. Also there have been fewer admissions to Residential Care and intensive packages of care at home, arising from the success of work carried out in the areas of hospital discharges and multi-link practice.

The year-end position anticipates a continued approach of not allocating Access & Systems Capacity grant to new developments.

The grant slippage will enable us to utilise the monies to offset the adverse variance in Learning Disabilities Purchasing. The use of grants in this way is not sustainable as under development will add to the already growing pressures in future years.

Other pressures, which face Older People budgets over the next decade include increased life expectancy. The number of clients aged 85 and over will rise and our current investment into Elderly Mentally Infirm (EMI) services is insufficient. Also the weekly cost of Care Home beds is due to be reviewed from April, 2006.

In accordance with the Authority's Financial Procedure Rules a transfer of resources from revenue to capital has been proposed by the Acting Director of Adult & Community Services and agreed by the Chief Financial Officer: -

- Lynn Street ATC – the transfer of £120,000 has been made to cover the cost of demolition of Lynn Street ATC, which has been vacant for a number of years and is in a dangerous state of repair.

Line 7: Older People Transitional Care (Swinburne)
Current Variance: £59,800 Favourable
Forecast Outturn: £60,000 Favourable

The favourable variance on this budget has arisen owing to staffing vacancies earlier in the year and an underspend on supplies.

Line 9: Support Services
Current Variance: £88,100 Adverse
Forecast Outturn: £90,000 Adverse

The adverse variance on this budget has arisen owing to staff advertisements, recruitment costs and the Mobile Occupational Therapy Project. This is an IT project which will equip the therapists with mobile 'tablets' enabling them to carry out client assessments in their own homes more efficiently.

The Council has employed consultants to review the fair price for care. The costs will be funded by the earmarked strategic revenue reserve. (Line 19).

Line 12: Adult Education
Current Variance: £99,300 Adverse
Forecast Variance: £99,300 Adverse

The Adult Education Service recently underwent a re-inspection by the Adult Learning Inspectorate. This resulted in additional expenditure being incurred in the academic year August, 2004 to July, 2005 on areas of weakness, in particular staff development.

This has resulted in an adverse variance, which will be financed from the Adult Education specific reserve (see Line 22 below).

In addition, funding from the LSC for vocational training courses is subject to claw back if learner numbers do not achieve the targeted level. In the academic year August, 2004 to July, 2005 the target set underachieved for the first time and the LSC have the option to claw back an element of the funding given. The course fee and additional income generated in the same academic year is sufficient to cover this anticipated claw back.

Line 14: Consumer Services
Current Variance: £159,600 Favourable
Forecast Variance: £36,000 Favourable

The large current variance is the result of Licensing Act income being received, which is intended to cover the costs over a ten year period. The forecast variance is based on an estimate that £114,000 Income in Advance will be carried forward. Also included in the favourable current variance is £45,000 savings arising from staff vacancies. The forecast variance is less than this because there will be a need to employ agency staff to ensure the Council meets its statutory responsibilities.

Lines 17 - 21: Use of Reserves
Current Variance: Nil
Forecast Variance: Nil

These reserves were created in previous years to fund one-off commitments. These reserves will be fully utilised by the year-end.

Line 22: Use of Adult Education Reserve
Current Variance: Nil
Forecast Variance: Nil

This reserve was created to address short and long term pressures from within the Adult Education Service as identified in the Post Inspection Plan. It was originally forecasted that £151,300 of this reserve would be required this financial year. However, this has now been revised to £99,300 (see Line 13 above).

Line 23: Use of Bursary Reserve
Current Variance: Nil
Forecast Variance: Nil

This reserve was created to ring fence the Council's share of the joint funded Bursary Scheme for Trainees. The appointment of trainees in the year has resulted in the need to use some of this Reserve.

4. RECOMMENDATIONS

4.1 It is recommended that Members note the report.

PORTFOLIO : ADULT & PUBLIC HEALTH SERVICE

Appendix 4.1

REVENUE MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
Col. A	£'000	£'000	£'000	Col. E	£'000	£'000	£'000
1	2,286.8	2,371.2	84.4	Assessment and Care Management	3,128.3	3,228.3	100.0
2	1,069.6	913.8	(155.8)	Home Care	1,545.5	1,317.5	(228.0)
3	1,335.2	1,454.3	119.1	Learning Disability - Purchasing	2,131.8	2,306.8	175.0
4	1,127.3	1,163.8	36.5	Learning Disability - Support Services	1,521.5	1,581.5	60.0
5	908.6	878.3	(30.3)	Mental Health	1,271.2	1,241.2	(30.0)
6	4,035.1	3,878.1	(157.0)	Older People - Purchasing	6,357.4	6,207.4	(150.0)
7	255.0	195.2	(59.8)	Older People - Transitional Care	361.3	301.3	(60.0)
8	907.9	901.0	(6.9)	Physical Disability	1,271.2	1,271.2	0.0
9	1,121.3	1,209.4	88.1	Support Services	1,539.7	1,629.7	90.0
10	179.1	153.8	(25.3)	Sensory Loss and Occupational Therapy	251.4	226.4	(25.0)
11	120.8	120.9	0.1	Service Strategy & Regulation	161.5	161.5	0.0
12	331.5	342.3	10.8	Adult Education	99.3	99.3	0.0
13	961.5	961.5	0.0	Supporting People	468.5	468.5	0.0
14	548.6	389.0	(159.6)	Consumer Services	826.1	790.1	(36.0)
15	267.1	265.6	(1.5)	Environmental Standards	306.8	319.7	12.9
16	15,455.4	15,198.2	(257.2)		21,241.5	21,150.4	(91.1)

CONTRIBUTION FROM RESERVES

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
Col. A	£'000	£'000	£'000	Col. E	£'000	£'000	£'000
17	0.0	0.0	0.0	Use of Supporting People Reserve	(90.7)	(90.7)	0.0
18	(65.0)	(65.0)	0.0	Home Care Reserve	(65.0)	(65.0)	0.0
19	(31.0)	(31.0)	0.0	Review of Charging Consultancy fees	(31.0)	(31.0)	0.0
20	0.0	0.0	0.0	Use of Local Air Pollution Reserve	(12.0)	(12.0)	0.0
21	0.0	0.0	0.0	Use of Environmental Partnership Res.	(5.1)	(5.1)	0.0
22	(99.3)	(99.3)	0.0	Use of Adult Education Reserve	(99.3)	(99.3)	0.0
23	0.0	0.0	0.0	Use of Bursary Reserve	(6.0)	(6.0)	0.0
24	(195.3)	(195.3)	0.0		(309.1)	(309.1)	0.0
25	15,260.1	15,002.9	(257.2)	PORTFOLIO TOTALS	20,932.4	20,841.3	(91.1)

Report of: Chief Executive and
Chief Financial Officer

Subject: FINANCE PORTFOLIO REVENUE
MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

1.1 To provide details of progress made towards achieving the Corporate Plan service improvement priorities and the Revenue budgets for the Finance Portfolio for the nine months to 31st December, 2005.

2. PERFORMANCE UPDATE FOR THE PERIOD ENDING 31ST DECEMBER, 2005

2.1 Within the Finance Portfolio there are a total of 13 service improvement priorities (SIPs) that were identified in the 2005/2006 Corporate Plan. Overall performance is good, with 77% (10) of the SIPs having been assessed as being on or above target for completion by the agreed milestone. The total across all the Portfolios is also 77% so this is on par with the average. Only 1 SIP (8%) which is assessed as being below target and as such is unlikely to be achieved by the milestone. Table F1 below details the SIP, along with an explanation for the delay as well as any remedial action planned.

Table F1 – SIPs assessed as being below target

Improvement Priority (SIP)	Milestone	Comment
OD5/05 Freedom of information – Prepare records retention and disposal procedures	Jun 05	A period of sick leave had an adverse effect on the timetabling arrangements. The draft policy has been completed and roll out anticipated in first half of 2006.

2.2 A number of key performance indicators (KPIs) were included in the Corporate Plan as measures of success. A number of these can only be assessed and reported on an annual basis and are therefore not reported at this stage in the year. The Finance Portfolio only has 1 KPI that it can report and this has been assessed as being unsure of whether the target will be achieved – and explanations for this is shown in Table F2 below.

Table F2 – KPIs assessed as being unsure of whether target will be achieved.

Key Performance Indicator (KPI)	Target (2005/06)	Outturn	Comment
LPI CE 9b Annual Efficiency targets achieved: Total	£2.184m	-	Procedures not yet developed to specifically monitor progress. Delay owing to other priorities and limited staffing resources. However, normal budget monitoring procedures indicate that the cashable element of these savings are being delivered.

2.3 Key areas of progress made to date in the Finance Portfolio include: -

- Budget and Policy Framework proposals for 2006/2007 have been approved and referred to Scrutiny.
- Annual Efficiency Statement has been submitted to ODPM.

3. REVENUE MONITORING FOR PERIOD ENDING 31ST DECEMBER, 2005

3.1 Details of Finance's actual expenditure and anticipated expenditure as at 31st December, 2005, are shown at **Appendix 5.1**.

3.2 In overall terms actual expenditure amounts to £2,690,600, compared to anticipated expenditure of £2,880,900, resulting in a current favourable variance of £190,300. It is anticipated that spending will be in line with budgets by the end of the financial year.

3.3 The main items to bring to Portfolio Holder's attention are: -

Line 5: Revenues

Current Variance: £107,900 Favourable

Forecast Variance: Nil

Line 7: R & B Central

Current Variance: £89,800 Favourable

Forecast Variance: Nil

Both the above variances are owing to grant income being received earlier than anticipated. The budget is expected to be on target by the financial year end.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report and take any decisions necessary to address the performance or financial risks identified.

REVENUE MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
Col. A	£'000	£'000	£'000	Col. E	£'000	£'000	£'000
1	676.6	638.4	(38.2)	Accountancy	808.4	808.4	0.0
2	25.3	33.1	7.8	Benefits	33.6	33.6	0.0
3	223.1	196.0	(27.1)	Internal Audit	293.4	293.4	0.0
4	120.9	136.7	15.8	Payments Unit	204.7	204.7	0.0
5	772.8	664.9	(107.9)	Revenues	1,032.7	1,032.7	0.0
6	139.2	157.7	18.5	Fraud	186.0	186.0	0.0
7	378.2	288.4	(89.8)	R & B Central	8.3	8.3	0.0
8	381.5	406.9	25.4	Legal Services	488.9	488.9	0.0
9	163.3	168.5	5.2	Miscellaneous	(2,473.1)	(2,473.1)	0.0
10	2,880.9	2,690.6	(190.3)		582.9	582.9	0.0
11	2,880.9	2,690.6	(190.3)	PORTFOLIO TOTALS	582.9	582.9	0.0

Report of: Chief Executive,
Director of Neighbourhood Services and
Chief Financial Officer

Subject: PERFORMANCE MANAGEMENT PORTFOLIO
REVENUE MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

1.1 To provide details of progress made towards achieving the Corporate Plan service improvement priorities and the Revenue budgets for the Performance Management Portfolio for the nine months to 31st December, 2005.

2. PERFORMANCE UPDATE FOR THE PERIOD ENDING 31ST DECEMBER, 2005

2.1 Within the Performance Management Portfolio there are a total of 46 service improvement priorities (SIPs) that were identified in the 2005/2006 Corporate Plan. Overall performance is very good, with 40 SIPs, or 87%, being assessed as being on or above target for completion by the agreed milestone, comparing favourably with the figure of 77% across all Portfolio areas. There are 3 SIPs (7%) which have been assessed as being 'below target' and as such is unlikely to be achieved by the milestone. Table PM1 below details the SIPs, along with an explanation for the delay as well as any remedial action planned.

Table PM1 – SIPs assessed as being below target

Improvement Priority (SIP)	Milestone	Comment
OD3/05.1 Implementation of new performance management IT system	December 2005	The annual review of the Strategic Risk Register, involving Members and Officers, is now planned for February-April 2006 so it can be integrated into the preparation of the Statement on Internal Control
OD17/05.1 Complete Job Evaluation	Mar 06	Just as the improved arrangements started to have an effect, the absence of a Job Analyst has delayed progress. A further analyst has now been appointed and is due to start in the New Year.
OD6/05.5 Review the Council's corporate	Apr-Sept 05	Timescales for this have slipped as a result of the slippage in the development of the 'Communicating

identity/branding		with your Council Strategies'. This is likely to be developed as a work based project under the management development programme 'Be the Difference'.
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- 2.2 A number of key performance indicators (KPIs) were included in the Corporate Plan as measures of success. A number of these can only be assessed and reported on an annual basis and therefore not reported at this stage in the year. The Performance Management Portfolio only has 5 indicators that can be assessed and being on or above target. Of the others 1 has been assessed as being below target and is therefore unlikely to achieve the year end target. This is shown in the table PM2 below.

Key Performance Indicator (KPI)	Target (2005/06)	Outturn	Comment
BVPI 12 The number of working days/shifts lost due to sickness absence	10.29	11.68	Performance relates to period up to the end of October 2005 and is comparable with performance at the same time in 2004.

- 2.3 Key areas of progress made to date in the Performance Management Portfolio include: -

- The corporate strategy “Communicating with your Council” has been approved.
- The Employee Survey, the first “e-survey” using the new “e-consultation” system, has been carried out with Council employees.
- Second successful “Talking to Communities” event held to continue consultation with BME communities.
- Performance Management System has been developed and is being utilised by officers across the Council. The system is used to produce the quarterly Corporate Plan updates for Cabinet.
- All interactions with public, which are capable of electronic service delivery, on line for 100% target by December, 2005.

3. REVENUE MONITORING FOR PERIOD ENDING 31ST DECEMBER, 2005

- 3.1 Details of Performance Management’s actual expenditure and anticipated expenditure as at 31st December, 2005, are shown at **Appendix 6.1**.

- 3.2 In overall terms actual expenditure amounts to £9,423,000, compared to anticipated expenditure of £9,512,300, resulting in a current favourable variance of £89,300. The projected outturn is £4,549,700, compared to the latest budget of £4,507,700, resulting in a forecast adverse variance of £42,000.

3.3 The main items to bring to Portfolio Holder's attention are: -

Line 3: Corporate Strategy & Public Consultation

Current Variance: £55,800 Favourable

Forecast Variance: Nil

The current favourable variance is owing to staff vacancies at the beginning of the year, some of which have now been filled. It is anticipated that they may be an underspend at outturn, but this would be requested to be used as a managed revenue underspend.

Line 15: Property Services

Current Variance: £8,000 Adverse

Forecast Variance: £27,000 Adverse

This service is very similar to the DSO Trading Activities in that it relies on trading generated income to fund expenditure. Current estimates show a potential adverse variance projected at the year-end. There are, however, certain caveats to this projection. The first being that income from projected schemes cannot be guaranteed. Failure to reach these targets will have an adverse effect on this account. Secondly, the number of staff leaving has had a major detrimental impact on this account. The reduction in directly employed technical staff has resulted in the employment of agency personnel to meet the required workloads. The costs associated with agency labour are far higher than those associated with direct employment and this has resulted in the adverse variance currently projected. Officers are monitoring this budget to attempt to avoid any adverse variance at the year-end.

Line 16: Building Cleaning

Current Variance: £1,500 Favourable

Forecast Variance: £15,000 Adverse

Additional pressures associated with the archive store being brought into operational service as office space have added costs pressures to this service. Every attempt will be made to minimise this variance but additional funding is being sought to cover the extra cost associated with the Archive Store Building.

4. RECOMMENDATIONS

4.1 It is recommended that Members note the report and take any decisions necessary to address the performance or financial risks identified.

REVENUE MONITORING REPORT PERIOD ENDING 31ST DECEMBER 2005

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/06 Latest Budget	2005/06 Projected Outturn	Projected Variance Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	87.7	76.6	(11.1)	Public Relations	124.4	124.4	0.0
2	184.0	175.3	(8.7)	Democratic Services	249.2	249.2	0.0
3	410.4	354.6	(55.8)	Corporate Strategy & Public Consultation	548.9	548.9	0.0
4	126.3	114.0	(12.3)	Support To Members	169.2	169.2	0.0
5	(112.0)	(105.6)	6.4	Other Office Services	(149.2)	(149.2)	0.0
6	105.0	127.0	22.0	Printing	63.0	63.0	0.0
7	8.5	9.9	1.4	Purchasing	13.7	13.7	0.0
8	67.2	63.1	(4.1)	Registration Services	91.0	91.0	0.0
9	622.9	644.0	21.1	Human Resources	717.7	717.7	0.0
10	247.2	217.6	(29.6)	Training & Equality	301.1	301.1	0.0
11	1,160.1	1,135.0	(25.1)	Miscellaneous	1,450.6	1,450.6	0.0
12	16.8	16.8	0.0	Local Land and Property Gazetteer	24.3	24.3	0.0
13	13.7	13.7	0.0	Senior HR Staff	20.0	20.0	0.0
14	1.4	1.4	0.0	Accommodation Changes	35.5	35.5	0.0
15	0.0	8.0	8.0	Property Services & Other	468.0	495.0	27.0
16	173.1	171.6	(1.5)	Building Cleaning	227.3	242.3	15.0
17	6,431.9	6,431.9	0.0	DSO	181.3	181.3	0.0
18	0.0	0.0	0.0	Contribution to NS Deficit	51.5	51.5	0.0
19	9,544.2	9,454.9	(89.3)		4,587.5	4,629.5	42.0

CONTRIBUTION FROM RESERVES

Line No	Actual Position 31/12/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/06 Latest Budget	2005/06 Projected Outturn	Projected Variance Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
20	(16.8)	(16.8)	0.0	Local Land and Property Gazetteer Reserve	(24.3)	(24.3)	0.0
21	(13.7)	(13.7)	0.0	Senior HR Staff Reserve	(20.0)	(20.0)	0.0
22	(1.4)	(1.4)	0.0	Accommodation Changes Reserve	(35.5)	(35.5)	0.0
23	(31.9)	(31.9)	0.0	TOTAL	(79.8)	(79.8)	0.0
24	9,512.3	9,423.0	(89.3)	PORTFOLIO TOTALS	4,507.7	4,549.7	42.0

SCRUTINY CO-ORDINATING COMMITTEE

10 March 2006



Report of: Scrutiny Co-ordinating Committee

Subject: DRAFT FINAL REPORT – ‘HMS TRINCOMALEE TRUST’ SCRUTINY REFERRAL

1. PURPOSE OF REPORT

- 1.1 To present the draft findings of the Scrutiny Co-ordinating Committee following its consideration of the representation on the HMS Trincomalee Trust’s Board together with its financial stability as referred by Council on 15 September 2005 to this Committee.

2. BACKGROUND INFORMATION

- 2.1 At a meeting of the Council on 15 September 2005, Council was requested to give consideration to Cabinet’s proposal to provide a bridging loan to the HMS Trincomalee Trust of up to £120,000 in conjunction with the previous loans being secured against the shore site land owned by the Trust.
- 2.2 Following the Motion and various amendments to the original Motion, it was agreed that the loan arrangements be approved (Minute 73 refers) and:
- (a) That Councillor Hall be the Council nominee;
 - (b) That a minimum of 25% of the remainder of the Board (4 places minimum) also be replaced by new Trustees, representative of the town and reflecting its ethnic, gender and disabled make-up;
 - (c) That the issue of the Trincomalee operation be examined by Scrutiny, and that the Trincomalee Board co-operate fully with this; and
 - (d) That the 2006/07 funding be dependent of the Board achieving the objectives set out in point (b) and (c) above.
- 2.3 Subsequently, at a meeting of Scrutiny Co-ordinating Committee held on 14 November 2005, the proposed Terms of Reference and Timetable for the undertaking of the Scrutiny referral were agreed, as outlined in paragraphs 4 and 5 of this report.

3. SETTING THE SCENE

- 3.1 The HMS Trincomalee is currently berthed in the Graving Dock that makes up the centrepiece of the Hartlepool Historic Quay. The ship has won a number of tourism awards over the years and, with the Quay, the Museum of Hartlepool and the Wingfield Castle, forms the highest profile element of Hartlepool's tourism offer.
- 3.2 The HMS Trincomalee is owned and administered by the HMS Trincomalee Trust which is a registered charitable company.
- 3.3 Over the years the HMS Trincomalee has been subject to considerable restoration work to improve, maintain and preserve the vessel.
- 3.4 The HMS Trincomalee provides facilities of an educational and cultural nature aimed at improving the public's awareness and appreciation of the ship's historical past.
- 3.5 Over the years the Trust has encountered increasing financial problems and has sought help from this Council by way of advice and assistance, the granting of an interest free loan and latterly in the provision of an annual grant of £50,000.

4. OVERALL AIM OF THE SCRUTINY REFERRAL

- 4.1 The role of the Council is to regulate the basis on which they agree to fund the Trust. Whilst the constitutional arrangements of the Trust are a matter for the Trust itself, the Council are competent to set conditions subject to which funding is made available, including, if the Council see fit, the proper representation of community interests on the Trust's Board.
- 4.2 As a result of the above, the agreed overall aim of the Scrutiny Referral was to review the current membership of the HMS Trincomalee Trust in light of its constitutional arrangements together with its long-term financial standing, with reference particularly to the proposed Council funding.

5. TERMS OF REFERENCE FOR THE SCRUTINY REFERRAL

- 5.1 The Terms of Reference for the Scrutiny Referral were as outlined below:-
 - (a) To identify the current membership of the HMS Trincomalee's Trustees in conjunction with its Constitution;
 - (b) To review arrangements for revisions to the Trust's Board, representative of the town and reflecting its ethnic, gender and disabled make-up, dependant on (a) above;

- (c) To review the financial performance of the HMS Trincomalee Trust over the last three years ; and
- (d) To consider the long-term stability of the HMS Trincomalee Trust.

6. MEMBERSHIP OF THE SCRUTINY CO-ORDINATING COMMITTEE

6.1 The membership of the Committee were as detailed below:-

Councillors Cambridge, Clouth, Cook, Cranney, Flintoff, Hall, Hargreaves, James, Kaiser, Lilley, A Marshall, J Marshall, Preece, Richardson, Shaw and Wright.

Resident Representatives: Evelyn Leck, Linda Shields and Joan Smith.

7. METHODS OF INVESTIGATION

7.1 Members of the Committee met formally between 7 October 2005 and 10 March 2006 to discuss and receive evidence relating to this Scrutiny Referral and a detailed record of the issues raised during these meetings are available from the Council's Democratic Services.

7.2 A brief summary of the methods of investigation are outlined below:-

- (a) Verbal and written evidence from the Chairman and General Manager of the HMS Trincomalee Trust;
- (b) Informal meeting with representatives from the HMS Trincomalee Trust, Members of this Committee and key Council officers;
- (c) Briefing reports of the Scrutiny Manager which provided the relevant background information and key documentation; and
- (d) A Site Visit to the HMS Trincomalee Trust on 9 February 2006.

8. FINDINGS

8.1 CURRENT MEMBERSHIP OF THE HMS TRINCOMALEE TRUST

8.2 Based on the evidence presented to this Committee, Members understood that the Articles of the Trust permitted the Board to consist of between four and twenty four Directors, known as Trustees in this particular instance, who were responsible to the Charity Commission and Companies House for complying with the Memorandum and Articles of the Trust. The Trust were also found to be the only body who could legally appoint Trustees.

- 8.3 Members were informed that the Trustees were all voluntary positions with no financial remuneration.
- 8.4 At this time of the undertaking of this Scrutiny Referral the Trust's current Board membership comprised of:-
- (a) Patron – HRH The Duke of Edinburgh;
 - (b) President;
 - (c) 4 Vice Presidents; and
 - (d) 18 Trustees (including the Chairman).

8.3 A BOARD THAT'S REFLECTIVE OF THE TOWN'S MAKE-UP

- 8.5 Members of the Scrutiny Co-ordinating Committee at their meeting on 10 February 2006 were very keen for the Trust's Board to be reflective of Hartlepool's community base in relation to its ethnic, gender and disabled make-up.
- 8.6 The Committee was informed that the term of office of a Trustee was unlimited and that out of the 18 Trustees, seven lived or worked in Hartlepool, three were from the Tees Valley area, three from the North East Region with the remaining four from outside of the region.
- 8.7 It was evident that all Trustees were equipped with appropriate skills and experience to enable the effective operation of the Trust in the attainment of its objectives, although the Trust acknowledged that difficulties had been encountered recently in recruiting people with suitable experience for the specific tasks required and who were prepared to take on the responsibilities.
- 8.8 Evidence presented to the meeting, highlighted the process and work to date of the Trust to increase its Board membership with four additional Trustees (increasing the overall size of the Board's membership to 20). Two additional Trustees had recently been successfully appointed via a thorough selection process (after the date of the Scrutiny Referral) and the Trust welcomed the Council's assistance in seeking two further nominations that would encourage diversity within their Board.

8.5 A SNAP SHOT OF THE TRUST'S FINANCIAL PERFORMANCE OVER THE LAST THREE YEARS

- 8.6 At a meeting of the Scrutiny Co-ordinating Committee held on 24 February 2006 consideration was given to the Trust's financial performance over the last 3 years (2003 to 2005) in the form of balance sheet information from

their published audited accounts, together with the financial position of the current year.

8.7 Grants/loans provided by the Authority to the Trust during 2003 to 2005 were as outlined below:-

- (a) 2003 – Loan of £20,000 ;
- (b) 2004 – Grant of £62,500; and
- (c) 2005 – Grant of £50,000.

8.8 Both the loan and the grants provided to the Trust (paragraph 8.7 above refers) were granted on a unrestricted basis, allowing the Trust to use the monies on the day to day expenditure of the Trust as deemed appropriate.

8.9 Members were informed that the financial standing of the Trust for 2005/06 had encountered some difficulties following the discontinuation of two crucial revenue grants that were successfully secured by the Trust during earlier years. Despite the efforts of the Trust, they had not been able to be replaced at similar levels.

8.10 It was evident that the financial statements demonstrated to Members that the Trust had an annual expenditure at around £295,000 although the total of the Trust's funds had been diminishing as a result in the decrease of income. As at the 31 March 2005, current trading income amounted to approximately £30,000; amount owing to the creditors was approximately £41,000 which included the loan outstanding to the Authority; net current assets amounted to approximately £9,000; and total net assets amounted to approximately £62,000.

8.11 In addition to the above, the Committee sought clarification in relation to the 'trading' status of the charity, which amounted to around 12% of the Trust's income. Following further information, such practice was clearly in line with the Trust's Memorandum and Articles of Association, although it was evident that should future income reach over and above the £50,000 threshold the Charity Commission would encourage the Trust to set-up a trading subsidiary.

8.12 **THE LONG-TERM FINANCIAL STABILITY OF THE TRUST**

8.13 In relation to the future financial stability, the Trust, as with virtually all such organisations, had been seeking to address this issue on an ongoing basis.

8.14 In recent and current times, Members were informed that these efforts had been in the context of the Borough-wide Tourism Strategy which reinforced the benefits of a close working relationship between the Trust and the Council in relation to the management and operation of the visitor attraction which comprised of Hartlepool's Maritime Experience (HMS Trincomalee,

the Historic Quay, the Museum of Hartlepool and PSS Wingfield Castle). In practice this work had included:-

- (a) the adoption of a single ticket for admission to the whole site, from Easter 2005;
- (b) the pooling of marketing budgets to achieve a more effective and efficient marketing and promotion of the attractions;
- (c) the development of a two year capital programme of enhancements of the attractions with the aim of increasing the number of paying visitors;
- (d) the planned generation of a capital receipt from the disposal of the Trincomalee Wharf development site of Maritime Avenue;
- (e) the planned Service Level Agreement / Memorandum of Understanding currently being compiled between the Trust and the Council identifying both parties roles and responsibilities in relation to the joint working arrangements;
- (f) the continued examination of the scope to reduce direct costs via closer working relationships via assisted business planning support.

9. CONCLUSIONS

9.1 The Scrutiny Co-ordinating Committee concluded:-

- (a) That the HMS Trincomalee Trust had fully co-operated with this Committee in the undertaking of the Scrutiny Referral in an open and transparent manner;
- (b) That the Trust welcomed the Council's assistance in the appointment of a further two Trustees that were reflective of the town's make-up, thereby increasing the overall size of the Board to a total membership of 20 Trustees;
- (c) That over the years the Trust had encountered increasing financial problems and had sought help from the Council by way of advice and assistance, the granting of an interest free loan and latterly in the provision of an annual unrestricted grant of £50,000;
- (d) That there was an apparent different approach taken to the whole funding arrangements with the Trust in line with other voluntary organisations within town; and
- (e) That the Trust continued to address its long-term financial stability on an ongoing basis with various initiatives and partnership working arrangements.

10. RECOMMENDATIONS

10.1 That to assist the Council in determining the approval of the grant allocation to the HMS Trincomalee Trust for 2006/07, the Scrutiny Co-ordinating Committee recommends to Council:-

- (a) That the Authority assists the HMS Trincomalee Trust in the identification of nominations for the two additional Trustees' vacancies to the Board, which are reflective of the town's make-up within a prescribed timescale;
- (b) That the relationship between the Trust and the Authority, branded as the Hartlepool's Maritime Experience, be formally recognised by an agreed service level agreement/Memorandum of Understanding, protecting the interests of both parties and the general public;
- (c) That consideration should be given by Council to review the status of its relationship with the Trust to ensure the Trust are dealt with as equally as all other community and voluntary organisations within the town; and
- (d) That consideration be given by Council to placing restrictions on any future grants at allocation.

11. ACKNOWLEDGEMENTS

11.1 The Committee is grateful to all those who have presented evidence during the course of this Scrutiny Referral. We would like to place on record our appreciation, in particular of the willingness and co-operation we have received from the below named:-

Chairman, General Manager and Trustees of the HMS Trincomalee Trust;

Chief Solicitor;

Chief Financial Officer and colleagues;

Head of Regeneration and Planning; and the

Acting Assistant Director – Community Services

**COUNCILLOR MARJORIE JAMES
CHAIR OF SCRUTINY CO-ORDINATING COMMITTEE**

March 2006

Contact:- Charlotte Burnham – Scrutiny Manager
Rebecca Redman – Temporary Research Assistant (Scrutiny)
Chief Executive’s Department - Corporate Strategy
Hartlepool Borough Council
Tel: 01429 523 087 / 647
Email: charlotte.burnham@hartlepool.gov.uk
Email: rebecca.redman@hartlepool.gov.uk

BACKGROUND PAPERS

The following background papers were consulted or referred to in the preparation of this report:-

- (i) Minutes of the Council meeting held on 15 September 2005.
- (ii) Report of the Scrutiny Manager entitled ‘Scrutiny Topic Referral from Council – HMS Trincomalee Trust’ presented to the Scrutiny Co-ordinating Committee on 7 October 2005.
- (iii) Report of the Scrutiny Manager entitled ‘Scoping Report – HMS Trincomalee Trust (Council Referral)’ presented to the Scrutiny Co-ordinating Committee held on 14 November 2005.
- (iv) Report of the Scrutiny Manager entitled ‘Revised Timetable for Scrutiny Enquiry – HMS Trincomalee Trust (Council Referral)’ presented to the Scrutiny Co-ordinating Committee held on 20 December 2005.
- (v) Report of the Scrutiny Manager entitled ‘HMS Trincomalee Trust Scrutiny Referral: Covering Report – Informal Meeting with the HMS Trincomalee Trust held on 11 January 2006’ presented to the Scrutiny Co-ordinating Committee held on 13 January 2006.
- (vi) Presentation of the HMS Trincomalee Trust delivered to the Scrutiny Co-ordinating Committee held on 10 February 2006.
- (vii) Report of the Scrutiny Manager/Research Assistant entitled ‘HMS Trincomalee Trust Scrutiny Referral – Setting the Scene’ presented to the Scrutiny Co-ordinating Committee held on 10 February 2006.
- (viii) Report of the Scrutiny Manager/Research Assistant entitled ‘HMS Trincomalee Trust Scrutiny Referral – Setting the Scene’ presented to the Scrutiny Co-ordinating Committee held on 10 February 2006.
- (ix) Report of the Scrutiny Manager entitled ‘HMS Trincomalee Trust Scrutiny Referral – Financial Performance’ presented to the Scrutiny Co-ordinating Committee held on 24 February 2006.

- (x) Minutes of the meetings of the Scrutiny Co-ordinating Committee held on 7 October 2005, 21 October 2005, 14 November 2005, 20 December 2005, 13 January 2006, 24 February 2006 and 10 March 2006.

SCRUTINY CO-ORDINATING COMMITTEE

10 March 2006



Report of: Scrutiny Co-ordinating Committee

Subject: DRAFT FINAL REPORT – OVERSPEND ON THE
HEADLAND TOWN SQUARE DEVELOPMENT

1. PURPOSE OF THE REPORT

1.1 To present the draft findings of the Scrutiny Co-ordinating Committee in relation to the Headland Town Square Overspend Scrutiny Referral.

2. BACKGROUND INFORMATION

2.1 The overspend on the Headland Town Square development was referred to the Scrutiny Co-ordinating Committee at the meeting of Full Council on 27 October 2005. Following consideration of the Scrutiny Referral by the Scrutiny Co-ordinating Committee, it was agreed that such referral be considered during February/March 2006 due to the congested Work Programme of the Committee.

3. SETTING THE SCENE

3.1 Under the North Hartlepool Partnership's Headland Environmental Improvement and Public Art Programme (HEIPAP) the development of a Headland Town Square was identified as a key project to improve the local environment.

3.2 During the Summer of 2004 a national design competition was held for this project and four short-listed urban design companies were asked to submit a strategic master plan and more detailed design proposals of the area.

3.3 A two day consultation in the Borough Hall, attended by over 270 people, showed that the majority of people felt that the proposal by Ferguson McIlveen was the one most sensitive to the heritage and character of the Headland.

- 3.4 Consequently, Ferguson McIlveen were awarded the contract to design and manage the Town Square project, which was funded by the North Hartlepool Partnership, the European INTERREG fund and money from One NorthEast via the Tees Valley Partnership.
- 3.5 At the meeting of Cabinet on 10 October 2005 a request was made from the North Hartlepool Partnership to the Council to consider making a contribution to the overall projected cost of the Headland Town Square development.
- 3.6 Whilst savings had already been identified there remained an excess of £105,000. Further savings could only be achieved by significantly compromising the overall scheme design and/or reducing facilities for residents and visitors to the Headland.
- 3.7 Enquiries had established that there was no prospect of increased funding from any of the existing sources, hence the request to the Council for additional funding. The development of the Town Square is seen as a key project within the North Hartlepool Partnership's overall regeneration programme.
- 3.8 Consequently, it was agreed that the request for additional funding of £105,000 from the Council as part of the 2006/07 budget to support the Headland Town Square scheme be forwarded to Council for consideration for inclusion in the 2006/07 capital budget.
- 3.9 At the meeting of Full Council on 27 October 2005, funding to cover the shortfall was agreed, but it was also resolved that the issues surrounding the overspend on the Headland Town Square Development be referred to Scrutiny Co-ordinating Committee for further examination.

4. OVERALL AIM OF THE SCRUTINY REFERRAL

- 4.1 The overall aim of the Scrutiny Referral was to examine the overspend on the Headland Town Square Development.

5. TERMS OF REFERENCE FOR THE SCRUTINY REFERRAL

- 5.1 The following terms of reference for the Scrutiny Referral were as outlined below:-
- (a) To gain an understanding of the overall aim of the Headland Town Square development;
 - (b) To examine the causes of the overspend; and
 - (c) To reach a conclusion as to why/how the project had cost more than originally anticipated.

6. MEMBERSHIP OF THE SCRUTINY CO-ORDINATING COMMITTEE

6.1 The membership of the Committee was as detailed below:-

Councillors Cambridge, Clouth, Cook, Cranney, Flintoff, Hall, Hargreaves, James, Kaiser, Lilley, A Marshall, J Marshall, Preece, Richardson, Shaw and Wright.

Resident Representatives: Evelyn Leck, Linda Shields and Joan Smith

7. METHODS OF INVESTIGATION

7.1 Members of the Committee met formally on 24 February 2006 and 10 March 2006 to discuss and receive evidence relating to the Scrutiny Referral. A detailed record of the issues raised during these meetings is available from the Council's Democratic Services.

7.2 Over the course of the inquiry Members received a detailed officer report, which provided an outline of the issues, and a timeline of events, leading to the overspend on the Headland Town Square development. In addition a number of officers and external witnesses attended scrutiny to provide verbal evidence in support of the report was received from the below-named:-

(a) North Hartlepool Partnership Manager;

(b) Project Manager (Technical Services) and Engineering Manager, Hartlepool Borough Council; and a

(c) Representative of Ferguson McIlveen.

7.3 The Director of Neighbourhood Services and the Assistant Director (Planning and Economic Development) were also in attendance at the meeting of Scrutiny Co-ordinating Committee on 24 February 2006 and contributed to discussions.

FINDINGS

8. OVERALL AIM OF THE HEADLAND TOWN SQUARE DEVELOPMENT

8.1 The Headland Town Square development is a key project, which has been developed as part of the North Hartlepool Partnership's Headland Environmental Improvement and Public Art Programme (HEIPAP). The project has been funded by the North Hartlepool Partnership, the European INTERREG fund and money from One NorthEast via the Tees Valley Partnership.

8.2 The Headland Town Square will provide a number of benefits for the local environment and the town as a whole. The project complements North

Hartlepool Partnership's and the Borough Council's strategic approach to the regeneration of the Headland in providing a new high quality public space, enhancing the setting of key buildings and facilities at the heart of the Headland.

9. CAUSES OF THE OVERSPEND

- 9.1 Members asked how the original estimate figure of £1.4 million for the project was arrived at.
- 9.2 It was found that the original indicative budget for the project was established by reference to:-
- (a) a broad assessment of the scale of resource likely to be needed to fund an environmental improvement scheme of this scale and in an important conservation area location; and
 - (b) the best estimate of levels of funding availability from the three identified funders.
- 9.3 The budget of approximately £1.4m was established in this way prior to the design competition being held and had to cover the cost of works on site and professional fees.
- 9.4 In October 2005 four companies presented their design proposal to representatives from North Hartlepool Partnership, Headland Parish Council, One North East, the Commission for Architecture and the Built Environment (CABE) and Hartlepool Borough Council.
- 9.5 Each submission was assessed against a pre-determined criteria and Ferguson McIlveen ranked the highest. In addition the four proposals were put on public display in late October and responses from the public indicated that Ferguson McIlveen was their preferred designer.
- 9.6 Consequently, the Town Square Steering Group recommended Ferguson McIlveen's as the preferred design on 5 November 2004 and at a joint meeting of the Liveability and Regeneration and Planning Portfolio Holders and the North Hartlepool Partnership Board on 18 November 2004 it was agreed that Ferguson McIlveen would be appointed as the preferred designer.
- 9.7 After agreeing the level of fees payable to Fergus McIlveen, the target cost for construction was £1.225m.
- 9.8 Following the meeting of Scrutiny Co-ordinating Committee on 24 February 2006 Members may wish to consider the following information in relation to the procurement method that was agreed for this contract. On 19 January 2005 the (then) Regeneration and Planning Portfolio Holder was provided

with an outline of three forms of contractor procurement for consideration, which are summarised below:

- (a) Best price tenders, based on bills of quantities;
- (b) A partnering arrangement where the contractor offering the best quality work would be selected to join a project team to prepare designs and provide a facility within a given budget; and
- (c) A performance/price arrangement where the contractor would be selected on a quality/cost assessment basis. The price/quality ratio also needs to be determined with this option.

- 9.9 The Portfolio Holder decided that the contractor procurement and contract arrangements would be carried out in accordance with Option (c)¹ above and that a price/quality ratio of 20/80 should be used in the assessment. Further details of this process are included in **Appendices A and B**.
- 9.10 During the meeting of this Committee on 24 February 2006 the Director of Neighbourhood Services gave an overview of changing procedures for issuing contracts of this type. In the past a scheme or project was designed and then it would go out to tender and (generally) the lowest bid from a contractor would be awarded the contract. However, this process was found to create an artificially low price, which in turn often led to overspends. The introduction of 'Rethinking Construction' in 1998 has led to the contractor being brought into the process earlier than in the past and then agreeing a target cost with them and jointly seeking to work to that target cost.
- 9.11 During February 2005 the Council invited four contractors to be interviewed for the Headland Town Square project. Seymour Civil Engineering Contractors Limited were confirmed as the Council's preferred contractor on 18 February 2005. Once Seymour's had been approved as the preferred contractor they worked with the design team and steering group to achieve a target price.
- 9.12 In March 2005 some materials were purchased at a cost of £150,647. The purpose of which was to achieve an amount of spend in the financial year 2004/05, which was at risk if not defrayed.
- 9.13 Following the final public consultation for the project in August 2005 Ferguson McIlveen reported that there was a budget shortfall of £190,000 to deliver the scheme as designed. Following a review of this reported shortfall by Ferguson McIlveen and Seymour, the budget shortfall was reduced to £105,000. Given 80% of the public supported the final design (in the last public consultation) and some money had been spent on materials it was deemed impractical to reduce the quality and size of the scheme.

¹ In accordance with the New Engineering Contract (NEC) Option C.

- 9.14 Consequently, the alternative was to seek additional funding to meet the overspend. Hartlepool Borough Council officers sought additional funding via Interreg but were advised that, at that point, no further funding was available.
- 9.15 North Hartlepool Partnership (NHP) asked One North East if they could ask for further funding within the existing partnership arrangements. However, given that ONE had approved a contribution of £900k Single Regeneration Budget, (64% of cost) and £225,000 Single Programme (16% of cost) as well as over £50,000 towards the development of the scheme and given that the project is in the public domain and the Council had not contributed anything to the material cost of the project, it was suggested that the Council be approached for a funding contribution to meet the overspend
- 9.16 Consequently, on 10 October 2005 Cabinet considered the request for funding to meet the Headland Town Square overspend in detail and expressed their concern at the apparent increase in costs. However, Cabinet indicated that in light of the importance of the scheme and that no other Council funding had been involved to date, the scheme should be forwarded to Council for its consideration and approval for inclusion in the 2006/07 capital budget.
- 9.17 On 27 October 2005 Council resolved that a contribution of £0.105m be made for the Headland Town Square Development and this expenditure should be funded from Prudential Borrowing, with the resulting Prudential Borrowing costs to be funded from the overall budget from 2007/2008. It was also resolved that the matter should be referred to the Scrutiny Co-ordinating Committee.
- 9.18 A Member of the Scrutiny Co-ordinating Committee argued that a figure of about 10% of the budget should have been built into the contract for contingencies and questioned whether this had been the case in this instance.
- 9.19 The representative of Ferguson McIlveen indicated that every contract should have a budget for contingencies. For this scheme the contractor and the design team worked out the target cost and attempted to reduce the contingencies at the design stage. Through conducting a 'risk workshop' and developing a 'risk register' the level of contingencies was reduced to a level that was deemed acceptable. Nevertheless, the cost came in higher than the target cost and as a result it was necessary to look to reduce the scheme or approach the Council for extra monies to maintain the standard of the project.
- 9.20 Members questioned whether the increase in material costs should have been anticipated. It was argued that the costs had effectively been tied into an agreed course of action. By going out to consultation there were expectations amongst the public for the project to be of a certain design and quality. The materials used for the Town Square Development must be of a conservation area standard, given that the scheme is within the Headland

Conservation Area. Furthermore, a number of design issues, e.g. around the provision of bus services around the site, complicated and delayed the costing of this project.

- 9.21 Members raised the issue of the impact of archaeological work on the site, the implications this might have on future costs for the project and whether this should have been anticipated given the history of the Headland.
- 9.22 It was found that in January 2005 Tees Archaeology had undertaken preliminary work on the site to test for any significant archaeological findings. Furthermore, Channel Four's Time Team had also excavated parts of the Headland without finding anything.
- 9.23 Consequently, the archaeological findings have been unexpected. In addition to the additional costs attributable to the archaeology, some further unavoidable costs had been incurred, utilising the existing contingency fund within the budget. It had been felt prudent to seek funding at this stage to cover the potential for further unforeseen items emerging before the end of the contract. The recently approved additional Council provision of £90,000 reflected these identified and potential additional costs, after taking account of an additional contribution secured from Interreg.
- 9.24 Given the work done to date, it is anticipated that the bulk of potential problems would have occurred by now, reducing the likelihood that all of that £90,000 will be called upon. Furthermore, every effort is being made to restrict costs by engaging with other partners who may be able to support or 'sponsor' specific elements of the scheme

9. CONCLUSIONS

- 9.1 The Scrutiny Co-ordinating Committee concluded:-
- (a) That Headland Town Square Development is a key project, which provides a number of benefits for the local environment and the town as a whole;
 - (b) That the indicative budget was agreed prior to the contract being established with the consultant. The overall £1.4 million budget included a construction budget of £1.225 million after fees.
 - (c) That the Regeneration and Planning Portfolio Holder decided that the contractor procurement and contract arrangements would be carried out in accordance with Option (c), highlighted in paragraph 9.8 above, and that a price/quality ratio of 20/80 should be used in the assessment;
 - (d) That in August 2005 a budget shortfall of £190,000 was reported, which was subsequently reduced to £105,000;

- (e) That a number of design issues, e.g. around the provision of bus services around the site, complicated and delayed the costing of this project;
- (f) That the Council was approached to meet to the budget shortfall because the project is in the public domain and the Council had not contributed anything to the material cost of the project; and
- (g) That in relation to the overspend the cost pressures and funding were identified before the Council approved the overspend.

10. RECOMMENDATIONS

- 10.1 That the Scrutiny Co-ordinating Committee has taken evidence from a wide range of sources to assist in the formulation of a balanced range of recommendations. The Committee's key recommendations to the Council are outlined below:-

(a) Series of recommendations to be agreed by Members of this Committee at their meeting on 10 March 2006.

11. ACKNOWLEDGEMENTS

- 11.1 The Scrutiny Co-ordinating Committee is grateful to all those who have presented evidence during the course of this Scrutiny Referral. We would like to place on record our appreciation, in particular of the willingness and co-operation we have received from the below named:-

Ian Parker, Stuart Green, Alan Coulson and Richard Starrs of Hartlepool Borough Council

John Ford, North Hartlepool Partnership Manager

Richard Legg of Ferguson McIlveen

**COUNCILLOR MARJORIE JAMES
CHAIR OF SCRUTINY CO-ORDINATING COMMITTEE**

March 2006

Contact Officer: Jonathan Wistow - Scrutiny Support Officer
Chief Executive's Department – Corporate Strategy
Hartlepool Borough Council
Tel: 01429 523 647
Email: jonathan.wistow@hartlepool.gov.uk

BACKGROUND PAPERS

The following background papers were used in the preparation of this report:-

- (i) Report of the Acting Head of Technical Services entitled 'Headland Town Square' presented to the Regeneration and Planning Portfolio Meeting held on 19 January 2005.
- (ii) Minutes of the Regeneration and Planning Portfolio held on 19 January 2005.
- (iii) Joint Report of the Director of Neighbourhood Services and the Director of Regeneration and Planning Services entitled 'Headland Town Square – Request for Funding Contribution' presented to the Cabinet on 10 October 2005.
- (iv) Minutes of the Cabinet meeting held on 10 October 2005.
- (v) Minutes of the Council meeting held 27 October 2005.
- (vi) Minutes of the Scrutiny Co-ordinating Committee held on 14 November 2005, 24 February 2006 and 10 March 2006.
- (vii) North Hartlepool Partnership Annual Report 2004/05.
- (viii) Report of the Corporate Management Team entitled 'Budget and Policy Framework 2006/07 to 2007/08' presented to Cabinet on 10 February 2006.
- (ix) Report of the Scrutiny Support Officer entitled 'Scrutiny Referral into the Overspend on the Headland Town Square Development – Scoping Report' presented to the Scrutiny Co-ordinating Committee on 24 February 2006.

REGENERATION & PLANNING PORTFOLIO

Report To Portfolio Holder

19 January 2005



Report of: Acting Head of Technical Services

Subject: HEADLAND TOWN SQUARE

SUMMARY

1. PURPOSE OF REPORT

1.1 To obtain Portfolio Member approval of the proposed Contractor procurement method and type of Contract.

2. SUMMARY OF CONTENTS

2.1 A brief resume of the background to the current position and discussion of options together with a recommended course of action.

3. RELEVANCE TO PORTFOLIO MEMBER

3.1 The Portfolio Member has responsibility for the decision required.

4. TYPE OF DECISION

4.1 Non Key.

5. DECISION MAKING ROUTE

5.1 The Portfolio Member has responsibility for the decision required.

6. DECISION(S) REQUIRED

6.1 Decision as to what form of procurement and contract to adopt.

Report of: Acting Head of Technical Services

Subject: HEADLAND TOWN SQUARE

1. PURPOSE OF REPORT

1.1 To inform the Portfolio Holder of the issues involved and obtain approval of the form of Contractor procurement to be adopted.

2. BACKGROUND

2.1 Consultants Ferguson and McIlveen have been appointed to develop and deliver the project. The value of the overall scheme is approximately £1.4 million funded through North Hartlepool Partnership and other match funding. Approval for the overall scheme is a key decision and a report will be presented to a joint meeting of Regeneration and Planning and Liveability Portfolios in February by the Assistant Director of Planning and Economic Development and the Acting Head of Technical Services.

2.2 The proposals are now being developed through the detail design process. It is desirable to achieve early contractor involvement in the design process to enhance quality and deliverability of the final design.

2.3 The works are of a general civil engineering nature therefore it is intended to procure a Contractor from the existing select list of contractors for highway works. Once appointed the Contractor will work under the direction of the Council's Consultants.

2.4 The alternative forms of procurement and contract contained within Contract Procedure Rules have been considered and the merits of each is summarised as follows:

- a) Best Price tenders based on Bills of Quantities. Generally Contractors submit very competitive rates for the works in order to secure the Contract but are then driven by the need to carry out the works for that price and still make a profit. This does not lend itself to collaborative working in sensitive sites and is not considered the most appropriate for these works;

- b) A Partnering arrangement where a Contractor is selected on the basis of a quality assessment and then joins a project team to prepare designs and provide a facility within a given budget. Any cost savings within that budget are then shared between all parties. These arrangements are best suited when the Contractor can make a significant contribution early in the design process to identify the most economic solution. However in this case the requirements have already been established and the Contractor is only needed for the implementation;
- c) A Performance/Price arrangement where the Contractor is selected on the basis of a quality/cost assessment, and is then appointed on a Target Cost with activity schedule ECC Contract. This facilitates the contractor's relevant experience and previous performance to be taken into account along with cost elements to select the most appropriate Contractor.

2.5 Of the above 3 options, Option c) is considered to be most compatible with the objectives and nature of this project.

2.6 Should you decide Option c) be adopted then Standing Orders also require that you determine the price/quality ratio to be used in the Contractor assessment. The choice of ratio should reflect the priorities of the scheme and would normally range between 50/50 and 20/80 (price/quality). I would suggest 20/80 (price/quality) is appropriate in this case due to the nature of the site and works.

3. FINANCIAL IMPLICATIONS

3.1 The decision does not affect funding of the project.

4. RECOMMENDATIONS

4.1 It is recommended that Contractor procurement and Contract arrangements be carried out in accordance with Option c) in 2.4 above and that a price/quality ratio of 20/80 be used in the assessment.

Minute Extract Regeneration and Planning Portfolio 19th January 2005

58. Headland Town Square (*Acting Head of Technical Services*)

Type of decision

Non Key

Purpose of report

Approval was sought for the proposed Contractor Procurement method and type of Contract.

Issue(s) for consideration by Portfolio Holder

The Headland Town Square scheme was being developed by Ferguson and McIlveen at a cost of approximately £1.4 million. This would be funded through North Hartlepool Partnership and other match funding. A report was due to be presented to a joint meeting of the Regeneration and Planning and Liveability portfolios in February. As the works were of a civil engineering nature the intention was to choose a contractor from the existing select list of contractors for highway works. When appointed they would work under the Council's Consultants.

The forms of contractor procurement to be considered were set out as:

- (a) Best price tenders, based on bills of quantities.
- (b) A partnering arrangement where the contractor offering the best quality work would be selected to join a project team to prepare designs and provide a facility within a given budget.
- (c) A performance/price arrangement where the contractor would be selected on a quality/cost assessment basis. The price/quality ratio also needs to be determined with this option.

Of the three options performance/price at option (c) was considered the most appropriate given the objectives and nature of the project. A price/quality ratio of 20/80 was recommended.

Decision

Contractor procurement and Contract arrangements were approved to be carried out in accordance with Option (c) above and that a price/quality ratio of 20/80 be used in the assessment.

SCRUTINY CO-ORDINATING COMMITTEE

10 March 2006



Report of: Children's Services Scrutiny Forum

Subject: FINAL REPORT - DRAFT CHILDREN AND YOUNG PEOPLE'S PLAN

1. PURPOSE OF REPORT

- 1.1 To present the findings of the Children's Services Scrutiny Forum in relation to the Second Draft of the Children and Young People's Plan (CYPP).

2. BACKGROUND INFORMATION

- 2.1 Hartlepool Borough Council has a new duty under the Children's Act 2004 to prepare and publish a CYPP in co-operation with key partners. This item forms part of the Budget and Policy Framework for the Council, as set out in Part Four of the Council's Constitution. Consequently, Scrutiny is statutorily involved in this process prior to the draft Final Plan being submitted to Council in April 2006.

3. SETTING THE SCENE

- 3.1 Since the beginning of September 2005 officers of the Children's Services Department have been engaged in discussions with a wide range of partners and other interested parties in the development of a first consultative draft of the CYPP, published on 16 November 2005.
- 3.2 The consultation period on this first draft plan concluded on 16 December 2005. Subsequently a second draft of the plan was produced in January 2006.
- 3.3 Cabinet met in mid-January 2006 to consider the second draft of the CYPP. Following this meeting the second draft CYPP was sent to the Children's Services Scrutiny Forum for consideration. Furthermore, public consultation on this document began on 25 January 2006 and ended 27 February 2006.

Consequently, the results of this consultation exercise were presented to the Forum on 7 March 2006.

- 3.4 Members should be aware that this is the first time a CYPP has been produced by the Authority. The Government expects that the CYPP should evolve over its first years of existence, and this will be reflected in Hartlepool as the Children's Services Department works ever more closely with partner organisations. It should also be noted that, given this is the first year CYPPs are being developed across the country, best practice will also develop over time.

4. OVERALL AIM OF THE SCRUTINY INVESTIGATION

- 4.1 The overall aim of the Scrutiny Investigation was for the Children's Services Scrutiny Forum to comment on the draft CYPP as part of the Budget and Policy Framework process for this item.

5. TERMS OF REFERENCE OF THE SCRUTINY INVESTIGATION

- 5.1 The following terms of reference for the investigation were agreed at the meeting of the Children's Services Scrutiny Forum on 20 December 2005:-

- 1) To consider the appropriateness of the proposed format of the CYPP in relation to the:-
 - a) Full version;
 - b) Summary for adults;
 - c) Summary for young people (This is being prepared with and for young people and because proper engagement and consultation with young people takes a significant amount of time, this may not be complete until the third draft stage. It may not, therefore, be completed in time for Scrutiny to examine it); and
 - d) Availability in other formats.
- 2) To consider and comment on the key aspects of the content of the draft CYPP, in particular:-
 - a) The accuracy of the section on the Hartlepool context;
 - b) The appropriateness of vision statements and key principles; and
 - c) The relevance of the priorities identified in the section dealing with the five Every Child Matters outcomes ('Be Healthy', 'Stay Safe', 'Enjoy and Achieve', 'Make a Positive Contribution', and 'Achieve Economic Well-Being').

- 5.2 It was also agreed that during the Scrutiny Investigation Members should be aware that, although the responsibility for preparing and publishing the plan lies with the Local Authority, the CYPP is a joint plan, which is being developed in partnership. Consequently, the CYPP will reflect a range of agreements between those involved in the process.

6. MEMBERSHIP OF THE CHILDREN'S SERVICES SCRUTINY FORUM

- 6.1 The membership of the Children's Services Scrutiny Forum was as detailed below:-

Councillors Cambridge, Coward, Fleet, Griffin, Hargreaves, Lauderdale, London, Preece, Richardson, Shaw (Chair) and Wistow

Co-opted Members: Mr F D S Relton, Rev J Smith and Mrs L Barraclough

Resident Representatives: I Campbell and J Smith

Educational Adviser: R Lowe

7. METHODS OF INVESTIGATION

- 7.1 Members of the Children's Services Scrutiny Forum met formally on 20 December 2005, 7 February 2006 and 7 March 2006 to discuss and receive evidence relating to the Scrutiny Investigation. A detailed record of the issues raised during these meetings is available from the Council's Democratic Services.
- 7.2 Over the course of the inquiry Members received detailed officer reports supported by verbal evidence. Furthermore, the information presented to the Forum by Council Officers (given the local authority's responsibility for preparing the plan) reflected the partnership working that has been ongoing throughout the development of the CYPP.

8. SCRUTINY FINDINGS

- 8.1 During the meeting of the Forum on 7 February 2006 the following interim findings/conclusions were reached by the Forum:
- a) Firstly, the Forum welcomed the Second Draft of the CYPP and, in particular, the work that had been carried out 'across the board' in developing the Plan;
 - b) The Forum agreed that Hartlepool is doing very well in developing its Plan and is clearly better co-ordinated than other local authorities;

- c) In relation to the format of the Plan the Forum agreed that it was better to produce the Plan with separate documents for each of the five outcomes so that it is easily accessible to people wishing to focus on specific parts of the plan. Furthermore, it was suggested that HYPE magazine should be looked at as a potential format to enhance accessibility of the document for young people;
- d) It was suggested that it would be useful to highlight the priorities for young people in the summary section of Part 1 of the CYPP as well as the overall or combined outcomes/priorities currently listed;
- e) Whilst the town may have a number of facilities that should meet the demands of young people in relation to physical activities the young people themselves are identifying the lack of physical activity as a problem. Consequently, this should be reflected in the 'be healthy' section of the Plan;
- f) The Forum were pleased to see connections with 'hard to reach groups' being developed through the consultation process, in particular through links to outreach workers and the FAST project;
- g) A Member of the Forum questioned how involved parents had been in the process and argued that they should play a substantial role in this. Members were informed that adults had been involved in the process generally and there had been some specific focus on parents. However, given that this was the first time the CYPP has been produced, officers were extremely keen to ensure the focus was on children and young people this time around and they hoped that there would be more parental involvement the next time the plan was produced;
- h) Whilst Members were very pleased with the way that the CYPP document reflected the Children's Act requirements, it was suggested that the Act itself may be neglecting spiritual needs. Consequently, it was suggested that Hartlepool look to develop this strand further in the future;
- i) In terms of the future reporting arrangements of the CYPP Members recommended that the Children's Services Scrutiny Forum should examine the Plan twice yearly (once as part of the Budget and Policy Framework Process and once again during the course of the municipal year). It was also suggested that given that the key outcomes of the Plan sit across all the Scrutiny Forum's specific outcomes could be looked at by the most appropriate Scrutiny Forum in the future so that as many Members are involved in the development of, and can take responsibility for, the Plan;
- j) A Member raised the issue of the 'policing' of the Plan and where young people and Elected Members fit in with the accountability of the Plan. The Plan commits partners to work together and each partner has to be accountable for the elements that it leads. Within the Children's Services Department the Planning and Evaluation Group has outcome leads and

they are responsible for preparing the Annual Performance Assessment self-review. The Joint Area Review (JAR) process will also police the Plan and Hartlepool's first JAR is expected in November 2006. Elected Members will monitor the plan twice a year through the Scrutiny process;

- k) It was noted that young people had been involved in developing the Participation Strategy and that the CYPP should be part of this ongoing agenda. In addition, as part of the findings of the Involving Young People Inquiry it was recommended that a Member of this Forum should Chair the Participation Network; and
- l) It was suggested that the CYPP should seek to engage with, and reflect, regional developments and funding opportunities and that an outward focus to the plan was key.

8.2 In addition to the findings highlighted above Members requested further time to consider the Draft CYPP at the meeting of the Forum on 7 February. Furthermore, Members agreed that officers could make a presentation and table a supplementary written report at the meeting based on the public consultation for the CYPP, given the extremely short turnaround time between the end of consultation and the publication of the Plan.

8.3 At the meeting of the Forum on 7 March 2006 the Forum approved the findings outlined in section 8.1 above and made a number of additional recommendations on the basis of the information provided at this meeting, which are outlined below:

- a) Members wished to congratulate the Children's Services Consultant and Children's Fund Manager on the consultation process and the progress that had been made in relation to this. In addition the Forum would welcome comments on how the consultation around the CYPP will develop in the future;
- b) Members felt that it was very important to help boys, who generally don't do as well as girls at school, to do better; and that
- c) Members requested that a paper should be produced outlining the response to each of the points in 8.1 and 8.3, in terms of 'current' and 'future' action, and that this should be produced at the same time as the third draft of the CYPP.

9. CONCLUSIONS

9.1 Members of the Forum expressed their broad support for the development of the second draft of the CYPP. Given that this is the first year that the CYPP has been produced Members were particularly pleased with the development of the Plan in comparison with other authorities. Furthermore, Members made a number of comments, that have been highlighted in section 8 above, that they would like to see incorporated into the current (where possible –

given the tightly defined timetable for the development of the CYPP and the partnership working that has led to the current draft of the CYPP) and future development of the CYPP.

10. RECOMMENDATIONS

- 10.1 That Members note and agree the content of the report, in particular the findings outlined in sections 8.1 and 8.3, for submission to Cabinet on 29 March 2006.

**COUNCILLOR JANE SHAW
CHAIR OF THE CHILDREN'S SERVICES SCRUTINY FORUM**

February 2006

Contact Officer:- Jonathan Wistow – Scrutiny Support Officer
Chief Executive's Department - Corporate Strategy
Hartlepool Borough Council
Tel: 01429 523 647
Email: jonathan.wistow@hartlepool.gov.uk

BACKGROUND PAPERS

The following background papers were consulted or referred to in the preparation of this report:-

- a) Children and Young People's Plan – Second Consultative Draft January 2006.
- b) Scrutiny of the Second Draft of the Children and Young People's Plan – Director of Children's Services 7 February 2006.
- c) Scrutiny of the Draft of the Children and Young People's Plan – Scoping Report - Scrutiny Support Officer 20 December 2005

SCRUTINY CO-ORDINATING COMMITTEE

10 March 2006



Report of: Scrutiny Support Officer/Research Assistant

Subject: SCRUTINY TOPIC REFERRAL– ‘ROSSMERE POOL’
PROGRESS REPORT

1. PURPOSE OF REPORT

- 1.1 To inform Members of the Scrutiny Co-ordinating Committee of the progress made to date on the Scrutiny topic referral ‘Rossmere Pool’.

2. BACKGROUND INFORMATION

- 2.1.1 At the meeting of the Joint Liveability and Children’s Services Portfolio, held on 13 December 2004, the Director of Community Services and Acting Assistant Director of Education outlined that Rossmere Pool had been in a state of deterioration for some time and that a Health and Safety Investigation in November 2004 determined that the pool did not meet the required standards. It was also indicated that restoration of the pool would be of significant cost to the Authority. Therefore the Elected Mayor as the relevant portfolio holder approved the closure of Rossmere Pool.
- 2.2 At the meeting of Council held on 3 February 2005, it was agreed unanimously ‘that the Executive be requested to reconsider its decision to close Rossmere Swimming Pool with a view to spending £9,570 to re-open the pool within one month, and that the issue be referred to the Scrutiny Co-ordinating Committee in order to allow a public investigation of:-
- (a) The way in which the decision was made;
 - (b) The cost of fully refurbishing the pool;
 - (c) The costs of replacing the existing pool on the same site; and
 - (d) The necessary steps to protect the pool from further damage that may be taken immediately’.

3. SCRUTINY TOPIC REFERRAL REMIT

- 3.1 At the meeting of the Joint Liveability and Children's Services Portfolio held on 24 February 2005, the Mayor agreed that, in relation to Rossmere Pool, the following issues be forwarded to the Scrutiny Co-ordinating Committee for a full and detailed investigation, with a report to be submitted to a future meeting:-
- (a) That the detailed and costed investment required to fully refurbish Rossmere Pool be investigated;
 - (b) That detailed consideration be given as to whether it was cost effective to fully refurbish the pool or to demolish the existing building and rebuild the current site;
 - (c) That an investigation of what possible external avenues of funding were available to either refurbish or rebuild the pool be undertaken;
 - (d) That the condition of the school swimming pools in the town be examined to ensure that a similar situation to that which has arisen at Rossmere Pool was not occurring elsewhere; and
 - (e) That Scrutiny be requested to seek appropriate information from representatives from Hartlepool Swimming Club in its investigations.

4. PROCESS TO DATE

- 4.1 **Resource Scrutiny Forum - 15 March 2005** - At the meeting of the Resources Scrutiny Forum Members received a presentation from the Authority's Chief Financial Officer outlining the Authority's financial reserves. Members agreed that Cabinet should be asked to identify monies from the un earmarked General Fund balances to fund the rebuilding of the Rossmere Pool.
- 4.2 **Council - 24 March 2005** - At the meeting of Council the Elected Mayor confirmed that, in accordance with the wishes of Council, he had reconsidered his original decision regarding the future of the pool and took the decision that:-
- (a) In light of the significant health and safety concerns in relation to the operation of Rossmere Pool, the pool should remain closed;
 - (b) The pool should not be demolished but be retained in its present condition;
 - (c) Expenditure from the Council's Capital Programme could not be funded until an increase occurred in the level of capital receipts;

- (d) Alternatives for funding the works may be sought through Prudential Borrowing; and
- (e) The use of the General Fund Balance was a possible source of funding and that each issue would be considered by the Elected Mayor on its own merits.

4.3 **Scrutiny Co-ordinating Committee - 8 April 2005** - At the meeting of Scrutiny Co-ordinating Committee Members received the scoping report for the inquiry and agreed:-

- (a) That a letter be sent to Cabinet from the Scrutiny Co-ordinating Committee supporting the recommendations made by the Resources Scrutiny Forum, on 15 March 2005, regarding the use of General Fund Reserves;
- (b) That as part of the next stage of the inquiry, signed copies of the last three years Health and Safety reports relating to Rossmere Pool be presented to Scrutiny Co-ordinating Committee; and
- (c) That the condition of the Council sport facilities, including school swimming pools, be examined in the future to prevent a recurrence of the current situation at Rossmere.

4.4 **Cabinet – 18 April 2005** - At the meeting of Cabinet it was agreed that it not be recommended to Council that £500,000 be allocated from Unearmarked General Fund Balances be used for the building of a new Trainer Pool on the current Rossmere site.

4.5 **Scrutiny Co-ordinating Committee – 22 April 2005** - At the meeting of Scrutiny Co-ordinating Committee the Director of Education and Chief Personnel Services Officer submitted a joint report providing information on health and safety inspections of swimming pools in the town.

4.6 Members subsequently requested that details of the processes in place to ensure that the situation at Rossmere Pool does not occur again elsewhere be presented to them. The Committee also recommended that 'no decision be taken on the installation of a moveable floor in the Brinkburn pool, until the Swimming Strategy was completed, at which time the proposal would be considered within the context of the strategy'.

5. **SCRUTINY REFERRAL OF ROSSMERE POOL**

5.1 Given that the referral to consider issues in relation to Rossmere Pool derived from the then Portfolio Holder for Liveability and Children's Services Scrutiny Co-ordinating Committee is under a mandatory obligation to consider the issues referred.

- 5.2 Given the detail of the referral from the Portfolio Holder, and the limited Scrutiny of issues raised in the referral to date, it would be extremely challenging to attempt to scrutinise the referral within the current municipal year.

6. RECOMMENDATIONS

- 6.1 It is recommended that Members of the Scrutiny Co-ordinating Committee:-
- (a) Note the progress made to date on the Scrutiny topic referral 'Rossmere Pool';
 - (b) Consider whether it is appropriate to continue with the Scrutiny Referral; and
 - (c) Determine if the Scrutiny Referral should be selected as a Work Programme item for the 2006/07 Municipal Year or if additional meetings of this Committee should be arranged to accommodate the referral within the current Work Programme for 2005/06.

Contact Officers: - Sajda Banaras – Scrutiny Support Officer
Rebecca Redman – Research Assistant (Scrutiny)
Chief Executive's Department - Corporate Strategy
Hartlepool Borough Council
Tel: 01429 523 647

Email: sajda.banaras@hartlepool.gov.uk
rebecca.redman@hartlepool.gov.uk

BACKGROUND PAPERS

The following background papers were used in the preparation of this report:-

- (i) Decision Record of the Joint Liveability and Children's Services Portfolio held on 13 December 2004 and 24 February 2005.
- (ii) Minutes of the proceedings of Extraordinary Council held on 3 February 2005.
- (iii) Minutes of Proceedings of Council held on 24 February 2005, 24 March 2005 and 28 April 2005.
- (iv) Minutes of the Resources Scrutiny Forum held on 15 March 2005.
- (v) Minutes of Scrutiny Co-ordinating Committee held on 8 April 2005, 22 April 2005 and 20 May 2005.
- (vi) Minutes and Decision Record of Cabinet held on 18 April 2005.