# SCRUTINY CO-ORDINATING COMMITTEE AGENDA



Friday 31 October 2008

at 2.00 pm

in the Council Chamber Civic Centre, Hartlepool

#### SCRUTINY CO-ORDINATING COMMITTEE:

Councillors Akers-Belcher, Atkinson, Brash, R W Cook, S Cook, James, Kaiser, London, A Marshall, McKenna, Preece, Richardson, Shaw, Simmons, Wright and Young

Resident Representatives: Christopher Akers-Belcher, Iris Ryder and Linda Shields

- 1. APOLOGIES FOR ABSENCE
- 2. TO RECEIVE ANY DECLARATIONS OF INTEREST BY MEMBERS
- 3. MINUTES

To confirm the minutes of the meeting held on 8 October 2008 (to follow).

4. RESPONSES FROM THE COUNCIL, THE EXECUTIVE OR COMMITTEES OF THE COUNCIL TO REPORTS OF THE SCRUTINY COORDINATING COMMITTEE

No Items

5. CONSIDERATION OF REQUEST FOR SCRUTINY REVIEWS FROM COUNCIL, EXECUTIVE MEMBERS AND NON EXECUTIVE MEMBERS

No Items

6. **FORWARD PLAN** 

No Items.

## 7. CONSIDERATION OF PROGRESS REPORTS / BUDGET AND POLICY FRAMEWORK DOCUMENTS

- 7.1 Scrutiny Involvement in the Budget Setting Process for 2009/10 Proposed Timetable *Scrutiny Manager*
- 7.2 Budget and Policy Framework 2009/2010 to 2011/12 Initial Consultation Proposals *Chief Financial Officer*

#### 8. CONSIDERATION OF FINANCIAL MONITORING / CORPORATE REPORTS

No Items

#### 9. ITEMS FOR DISCUSSION

9.1 Impending Councillor Call for Action Mechanism – Update – Scrutiny Manager

#### 10. CALL-IN REQUESTS

#### 11. ANY OTHER ITEMS WHICH THE CHAIRMAN CONSIDERS ARE URGENT

#### FOR INFORMATION

Date of Next Meeting: Friday, 7 November 2008 at 2.00 pm in the Council Chamber at the Civic Centre, Hartlepool.

### SCRUTINY CO-ORDINATING COMMITTEE



31 October 2008

**Report of:** Scrutiny Manager

Subject: SCRUTINY INVOLVEMENT IN THE BUDGET

SETTING PROCESS FOR 2009/10 - PROPOSED

**TIMETABLE** 

#### 1. PURPOSE OF REPORT

1.1 To provide Members with the proposed timetable for the Scrutiny Co-ordinating Committee and the four standing Scrutiny Forums' involvement (with the exception to the Health Scrutiny Forum) in the budget setting process for 2008/09.

#### 2. BACKGROUND INFORMATION

- 2.1 Building upon the success of the Scrutiny Co-ordinating Committee and the four standing Scrutiny Forums' involvement in last year's budget setting process, it is now time to establish the timetable for the 2009/10 budget setting process.
- 2.2 In consultation with the Chair of the Scrutiny Co-ordinating Committee, attached as **Appendix A** is the proposed timetable for the Scrutiny Co-ordinating Committee and the four standing Scrutiny Forums' involvement (with the exception to the Health Scrutiny Forum) in the budget setting process for 2009/10.
- 2.3 In order to meet the statutory timescales of approving the Authority's budget for 2009/10, Members are asked to kindly note that it has been necessary to schedule additional meetings of the Scrutiny Co-ordinating Committee and the four standing Scrutiny Forums' where necessary.
- 2.4. Arrangements have also been made for the relevant Director(s) and the appropriate Cabinet Member(s), subject to their availability, to attend those scrutiny meetings which fall under their area(s) of responsibilities.

#### 3. RECOMMENDATION

3.1 It is recommended that Members note the proposed timetable for the Scrutiny Co-ordinating Committee and the four standing Scrutiny Forums' involvement in the budget setting process for 2009/10.

**Contact Officer:-** Charlotte Burnham – Scrutiny Manager

Chief Executive's Department - Corporate Strategy

Hartlepool Borough Council

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Email: charlotte.burnham@hartlepool.gov.uk

#### **BACKGROUND PAPERS**

No background papers were used in the preparation of this report.

### 7.1 APPENDIX A

# PROPOSED 2009/10 BUDGET AND POLICY FRAMEWORK TIMETABLE OVERVIEW AND SCRUTINY COMMITTEES' INVOLVEMENT

TIMESCALE/ DATE OF MEETING	TO CONSIDER
Scrutiny Co-ordinating Committee (Additional Mtg) 31 October 2008 – 2.00 pm V: (Paperdeadline – 20 Oct 08)	Budget and Policy Framework 2009/10 - Initial Consultation Proposals Invitation extended to Elected Mayor and Finance and Efficiency Portfolio Relevant sections of the Initial Consultation Proposals are then to be considered by the relevant Scrutiny Forum to enable Scrutiny Members to have a more holistic view of the whole budget.
ADDITIONAL MEETINGS OF THE 4 SCRUTINY FORUMS TO BE HELD w/c 10 November 2008:  Neighbourhood Services Scrutiny Forum (Additional Meeting) 10 November 2008 – 4.00 pm V: (Paperdeadline – 29 Oct 08)  Children's Services Scrutiny Forum (Diaried Mtg) 11 November 2008 – 4.30 pm V: (Paperdeadline – 29 Oct 08)  Adult &Community Services Scrutiny Forum (Additional Mtg) 12 November 2008 – 3.30 pm V: (Paperdeadline – 30 Oct 08)  Regeneration & Planning Services Scrutiny Forum (Additional Mtg) 13 November 2008 – 200 pm V: (Paperdeadline – 31 Oct 08)	Purpose of additional meetings to consideron a departmental basis the pressures and priorities, grant terminations and contingencies.  Relevant Director and Portfolio Holder((s) subject to availability)) to be in attendance.
Scrutiny Co-ordinating Committee 28 November 2008— 2.00 pm (Diaried Mtg) V: (Paperdeadline – 17 Nov 08)	To consider the Chief Executive's pressures and priorities, grant terminations and contingencies.  Nominated Chief Officer(s) on behalf of the Chief Executive's Department to be in attendance along with responsible Portfolio Holder((s) subject to availability)).  To consider the responses to the budget proposals from the four Scrutiny Forum and to formulate the SCC's formal response for consideration by the Cabinet on 15 Dec 08 (introductory report to be compiled by Charlotte with verbal/written evidence being circulated/presented at the meeting from the Scrutiny Chairs).

## 7.1 APPENDIX A

# PROPOSED 2009/10 BUDGET AND POLICY FRAMEWORK TIMETABLE OVERVIEW AND SCRUTINY COMMITTEES' INVOLVEMENT

TIMESCALE/ DATE OF MEETING	TOCONSIDER
SCC of 28 Nov 08 cont/	Delegated Authority to be given to the Chair of SCC to agree the content of the formal response to Cabinet.
Cabinet (Diaried Mtg) 15 December 2008 – 9.00 am (Paperdeadline – 3 Dec 08)	SCC to feedback to Cabinet on their initial consultation proposals
Scrutiny Co-ordinating Committee (Additional Meeting) 9 January 2009 - 2.30 pm (clashes with Constitution Wkg Gp) V: (Paperdeadline – 18 Dec 08)	To consider the finalised (second round) budget proposals of the Cabinet agreed on 15 Dec 08, with particular focus being placed upon the Chief Executive's Department  Invitation extended to Elected Mayor and Finance and Efficiency Portfolio  Nominated Chief Officer(s) on behalf of the Chief Executive's Department to be in attendance along with responsible Portfolio Holder((s) subject to availability)).
ADDITIONAL MEETINGS OF THE 4 SCRUTINY FORUMS TO BE HELD w.c 12 EARLY JANUARY 2008 :	Purpose of additional meetings - to consider the Executive's finalised budget proposals on a departmental basis in relation to pressures and priorities, grant terminations and contingencies.
Neighbourhood Services Scrutiny Forum (Additional Mæting) 12 January 2009 – 4.00 pm V:	Relevant Director and Portfolio Holder((s) subject to availability)) to be in attendance.
Children's Services Scrutiny Forum (Additional Meeting) 13 January 2009 – 4.30 pm V:	
Adult &Community Services Scrutiny Forum (Additional Mtg) 14 January 2009 – 3.30 pm V:	
Regeneration & Planning Services Scrutiny Forum (Diaried Mtg) 16 January 2009 – 2.00 pm V:	
(Paperdeadline – 18 Dec 08 for all SFs due to Xmas period)	

## 7.1 APPENDIX A

# PROPOSED 2009/10 BUDGET AND POLICY FRAMEWORK TIMETABLE OVERVIEW AND SCRUTINY COMMITTEES' INVOLVEMENT

TIMESCALE/ DATE OF MEETING	TOCONSIDER
Scrutiny Co-ordinating Committee (Additional Meeting) 23 January 2009 – 2.30 pm V: (Paperdeadline – 12 Jan 09) (clashes with Constitution Wkg Gp and meeting of the Hartlepool Partnership)	To consider the formal responses of the SCC and the 4 Scrutiny Forums and to agree the formal response on the Executive's finalised proposals for submission to Cabineton 9 February 2009
Cabinet (Diaried Mtg) 9 February 2009 – 9.00 am (Paperdeadline – 28 Jan 09)	Cabinet to consider feedback from formal scrutiny and finalisation of Budget and Policy Framework to be referred to Council  SCC to submit and present formal response in relation to the budget proposals to this meeting of Cabinet.
Council 12 February 2009 – 7.00 pm	Council to consider the Cabinet's Budget and Policy Framework Proposals

ENDS (as at 20 August 2008)

# SCRUTINY CO-ORDINATING COMMITTEE



31 October 2008

Report of: Chief Financial Officer

**Subject:** BUDGET AND POLICY FRAMEWORK

2009/2010 TO 2011/2012 - INITIAL CONSULTATION PROPOSALS

### 1. PURPOSE OF REPORT

1.1 To enable Scrutiny Co-ordinating Committee to consider the Executive's initial Budget and Policy Framework consultation proposals.

#### 2. BACKGROUND

- 2.1 In accordance with the requirements of the Council's Constitution the Executive is required to consult on the draft Budget and Policy Framework for the coming year.
- This initial consultation is achieved by submitting this report to your Committee to enable Members to express their views on the initial Budget and Policy proposals. These comments will then be taken into account by the Executive before they determined the draft Budget and Policy proposals to be referred for formal Scrutiny. Cabinet is scheduled to determine these proposals on 15<sup>th</sup> December. 2008.
- 2.3 To enable this Committee's comments to be considered by Cabinet this stage of the consultation needs to be completed over the next few weeks. This will then enable your Committee to report back to Cabinet on 15<sup>th</sup> December, 2008.

#### 3. CONSIDERATION OF ISSUES

- 3.1 At its meeting on 13th October, 2008, Cabinet considered a detailed report (copy attached as Appendix 1) which covers the following areas:
  - Outturn Strategy 2008/2009;
  - Capital Programme 2009/2010 to 2011/12;

- General Fund and Council Tax 2009/2010 to 2011/2012.
- 3.2 As Members will appreciate the development of initial budget proposals at this earlier stage is difficult.
- 3.3 At its meeting on 13<sup>th</sup> October, 2008, Cabinet considered the detailed proposal identified in paragraph 8.5 of Appendix 1 and the issues Cabinet wishes to put forward for consultation are summarised in the following table at Appendix A.
- In addition to the above proposals Cabinet also determined to put forward for consultation the proposed establishment of a one-off fund to tackle derelict properties. At this stage Cabinet has not determined a proposed value for this fund and will suggest an amount before the budget proposals are referred for formal Scrutiny in December, 2008. In the meantime, Cabinet would welcome feedback on the principle of establishing a derelict property fund.

#### 4. RECOMMENDATION

4.1 That Members determine how they wish to undertake this stage of the budget consultation to enable comments to be feed back to Cabinet on 15<sup>th</sup> December 2008.

# PROPOSALS IDENTIFIED IN CABINET REPORT

# CABINET DECISION ON PROPOSAL TO BE REFERRED FOR CONSULTATION

### a) <u>2008/2009 Provisional Outturn</u> <u>Strategy</u>

 Do Cabinet wish to confirm their previous proposals to earmark £1.039m of one off resources to manage the RTB timing risk and to fund the initial 2009/2010 and 2010/2011 budget deficits? (Paragraph 4.4). Agreed, as consultation proposal.

 Do Cabinet wish to earmark the net increase in the stock of Council resources of £2.3m (inclusive of £0.79m Uncommitted General Fund Reserves) to support the 2009/2010 to 2011/2012 MTFS? (Paragraph 4.6). Agreed, as consultation proposal.

 Do Cabinet wish to earmark the increase in investment income earned in 2008/2009, estimated to be £2m, for the following prioritised commitments, firstly loss of income, then Building Schools for the Future costs and finally Tall Ships? (Paragraph 4.20). Agreed, as consultation proposal.

 Determine which of the following options they wish to propose to fund departmental 2008/09 overspends: Agreed, Option 1 as consultation proposal.

- Option 1 carry forward overspends as managed overspends against Department three year budgets, OR
- Option 2 write-off departmental overspends against the General Fund Reserve in the current year? (paragraph 4.22)

# b) <u>2009/2010</u> to <u>2011/2012</u> Capital Proposals

 Do Cabinet wish to maintain service based capital expenditure at the level of Government allocations? (Paragraph 5.2). Agreed, as consultation proposal.

 Do Cabinet wish to use locally funded Prudential Borrowing to continue to support annual capital expenditure of £1.2m in 2010/2011 and 2011/2012 not eligible for other capital funding?

Agreed, as consultation proposal.

It should be noted that the annual borrowing cost for each £1.2m of capital expenditure is £0.1m. (Paragraph 5.4).

 Do Cabinet wish to use locally funded Prudential Borrowing to support capital expenditure of £1.2m in 2010/2011 on a range of health and safety and property improvement, and to supplement this resource in 2010/2011 from the LPSA Capital Reward Grant of £0.45m? (Paragraph 5.6). Agreed, as consultation proposal.

This proposal reduces the revenue budget pressures.

 Do Cabinet wish to extend the use of locally funded Prudential Borrowing until 2011/2012 to fund the following annual capital expenditure: Agreed, as consultation proposal.

- Community Safety Initiatives £150,000
- o Disabled Adaptations £50,000
- Neighbourhood Forum Minor Works £156,000 (Paragraph 5.7).

#### **APPENDIX 1**

Determine a strategy for using the existing H20 revenue budget:

Agreed, Option 1 as consultation proposal.

- Option 1 reallocate to fund capital investment of £3m in the Mill House;
- Option 2 Take the £0.3m revenue provision as a permanent saving and look for other funding sources for investing in the Mill House. (Paragraphs 5.8 and 5.9).

### c) 2009/2010 General Fund and Council Tax

- Do Cabinet wish to refer the proposed Budget Pressures, Contingency, Terminating Grants and Efficiencies for consultation?
- Do Cabinet want to refer the indicative 2009/2010 Council Tax increase for consultation?
- Do Cabinet wish to seek views on the strategy for managing the budget deficits in 2009/2010 to 2011/2012, in particular:
  - the timing Business
     Transformation efficiencies and
  - o the use of the £2.3m increase in one off resources.

Do Cabinet wish to adopt the suggested consultation arrangements? (Paragraph 7.1).

Agreed, as consultation proposal with suggestion that Legal Restructure priority of £23,000 should be classified as a pressure.

Agreed, to continuation of annual increases of 3.9% as consultation proposal, although mindful of strain on people's resources and may review position.

Agreed, as consultation proposal.

Agreed, as consultation proposal.

#### **Adult and Community Services - Pressures**

Adult	and (	Joinmunity :	Servic	es - Pressures		Bud	dget Va	lue	1									
Pontfolio	Dept/ Div	Budget heading/ Cost Centre	Corp Strategy theme	Description	Type of budget proposal	09/10 £000	10/11 £000	11/12 £000	Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	Other Comments
APHS	A&CSD ASC	Older People	3. H&C	Closure of St.Cuthberts Day Centre has led to displaced individuals needing services that are delivered at a more expensive cost than those previously provided by the Diocese.	Pressure	45	0	0	0	3. High	4. Almost certain	12	Red	Positive	Age	No	0	
SHAV	A&CSD ASC	Leaming Disabilities Agency	3. H&C	14 young people with autism and high level, complex needs will become adults in 09/10 and require costly packages of care to ensure that they have a quality of life and maximum opportunities to access mainstream and ordinary opportunities in their community.	Pressure	300	0	0	0	3. High	3. LікеІу	9	Red	Negative	Disability	oN		Every effort made to secure funding partnership with health for these high cost packages
SHAR	A&CSD ASC	MH Agency	3. H&C	Increasing number of people with mental illness and dual diagnosis or autistic spectrum disorder requiring high cost packages. Pressure on budget and statutary duty to meet assessed needs.	Pressure	200	0	0	0	3. High	3. Likely	9	Red	Negative	Disability	oN		Statutory duty to meet assessed need. Frequently split funding with LD services or PD services.
				Total Adult & Community Services		545	0	0	0									_

Chief Executive's Department - Pressures

						Bu	dget Va	alue										
Portfolio	Dept/ Div	Budget heading/Co st Centre	Corp Strategy theme	Description	Type of budget proposal	09/10 £000	10/11 £000	11/12 £000	Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	Other Comments
Perf	CEDHR		agio .e	Safer workforce - HR Operational support increased to ensure compliance by Depts in respect of safer workforce practices. Major areas include recruitment, structure/checking of personal files etc. Additional and on-going training of managers in departments required. Risk to the Authority in respect of non compliance in respect of procedures will be increased. Potential effect of Councils rating. Independent Safeguarding Authority - increased work in relation to registration/clearance of employees. Failure to support could result in the employment of individuals who pose a risk to children / vulnerable adults. Independent Safeguarding Authority - increased work in relation to registration/clearance of employees. Failure to support could result in the employment of individuals who pose a risk to children / vulnerable adults.	Pressure	53	0	0		3. High	3. Likely	9	Red	Neutral	AII	ON	0	
Perf	CEDHR		Ogo. 9	Tees Valley and Durham Communications service - (BSL interpretation).  Previously funded from Human Resources managed revenue underspend. The Council has extended the contract for another three years. This service provision is essential so as not to discriminate the deaf people from using our services. The benefits are that we will be complying with the Equality legislation and promoting equal opportunities to all our customers. Diversity consultations with ethnic minorities, Lesbian, Gay, Bi-sexual and Trans-gender (LGBT) community, people with disabilities and to start a religious forum.  Previously funded by Corporate Strategy as new initiative. Equality Act 2006 looks for compliance in providing services to all the diversity strands. The benefits are immense as this would lead to providing services to all sections	Pressure	13	0	0	0	3. High	3. Likely	9	Red	Positive	Visability	ON		This payment is to cover the admin costs as the usage is re-charged to the relevant departments. Corporate strategy funded these as they were initiatives. Now with their budget pressures, they cannot continue to fund these existing consultations.

#### Appendix A

Per	₹	ДĠ	The Government have been developing, for some considerable period of time, a	2	9	0	24	ļ.	ligh	tain	12	Red	.≥	₹	2	0	There are two elements to the pressure.
Δ.	ED	ō	mechanism to allow the secure sharing of data between public sector	9881					∃	Sert		~	gativ				A capital cost to enable connect £ 43 K
	Ö	6	organisations. Whilst this development has been ongoing for a period of time	P					(0)	st o			Š				and an ongoing revenue impact of £ 9K
			the Government, through a variety of government departments are now							<u>m</u>							
			mandating the use of this mechanism, called Government Connects, for the							4.							
			sharing of key elements of information. The first, though not likely to be last,							`							
			government department to mandate it's use for information is Department for														
			Work and Pensions (DWP). Government Connects, from April of next year, will														
			be the only place that the authority can access DWP data which is essential for														
			the ongoing operation of the Benefits function in the authority. Although this is														
			the only governemnt department to do this to date there are likely to be other														
			departments taking such a stance in the near future. Not enabling the														
			connection to Government Connects will mean that there are mandatory parts of														
			the benefits service which the authority will be unable to provide with a														
			subsequent impact on a high performing and important service.														
			Government connects is currently partially funded from central resources but														
			this funding will cease in 2011 hence the increased revenue costs of 24K														

	Budget		Description	09/10	10/11	11/12	/ing )00									Other Comments
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Becilion postage caused by increase in postal voters and new regulations relating to poli cards to all electors   Betaing to policy all the	Fin CED F	Accountancy 9. OrgD	From 2010/11 Local Authorities will need to comply with International Financial Reporting Standards (IFRS) when preparing the Annual Statement of Accounts. Work on complying with these requirements will need to be undertaken during 2009/10 to ensure compliance with IFRS from 2010/11 as these changes are extensive. Compliance with IFRS will be extremely challenging and experience from the private sector, which has already adopted IFRS, indicates that there is a significant increase in the work required to produce statutory accounts and a 20% increase in external audit fees. It is envisaged that an additional accountant will be required to comply with IFRS. Non compliance with IFRS would result in the External Auditor qualifying the Accounts, which in turn impacts on the Use of Resources and CPA/CAA (Comprehensive Performance Assessment/Comprehensive Area Assessments) scores.	Pressure	42	1	2	3. High	3. Likely	9	Red	Neutral	All	ON	1	
of staff and to meet increasing workloads as reported to the Council's Cabinet on 18th August, 2008. The Cabinet agreed to the recommendation to restructure in principle through the addition of the post of a Solicitor (commercial/procurement), Legal Assistant (Childcare) and a Trainee Solicitor.  Latter post included as priority. This was to meet additional functionality, increasing caseloads and to meet and comply with statutory requirements/obligations against a service with a low resource base. Pressures upon the service includes; increasing childcare caseloads and the adoption of the Public Law Outline governing the conduct of childcare proceedings, work involved with regeneration/partnering initiatives, school transformation/BSF, Freedom of Information and Data Protection compliance, Crime and Disorder Act provisions, equal pay/JE implementation, the locally based assessment and determination process, major corporate projects eg., Tall Ships, Victoria Harbour etc., developments, as well as maintenance of the Division's Lexcel accreditation.	Perf CED HR	Election Expenses		Pressure	8	0	0	2. Medium		6	Amber	Neutral	AII	No	0	
	Peff CEX L	Legal 9. OrgD	of staff and to meet increasing workloads as reported to the Council's Cabinet on 18th August, 2008. The Cabinet agreed to the recommendation to restructure in principle through the addition of the post of a Solicitor (commercial/procurement), Legal Assistant (Childcare) and a Trainee Solicitor. Latter post included as priority. This was to meet additional functionality, increasing caseloads and to meet and comply with statutory requirements/obligations against a service with a low resource base. Pressures upon the service includes; increasing childcare caseloads and the adoption of the Public Law Outline governing the conduct of childcare proceedings, work involved with regeneration/partnering initiatives, school transformation/BSF, Freedom of Information and Data Protection compliance, Crime and Disorder Act provisions, equal pay/JE implementation, the locally based assessment and determination process, major corporate projects eg., Tall Ships, Victoria Harbour etc., developments, as well as maintenance of	Pressure	63 188	2	28	2. Medium		4	Amber	Neutral	All	No	2	

Children's Services - Pressures

				<del></del>		Bud	lget Val	lue										
Portfolio	Dept/ Div	Budget heading/ Cost Centre	Corp Strategy theme	Description	Type of budget proposal	09/10 £000	10/11 £000	11/12 £000	Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	Other Comments
SO	CSDS&SS	Looked After Children	4. Csa	The increased costs of care proceedings resulting from the Public Law Outline are expected to continue at an annual cost of at least £100,000 per year. This was highlighted when the 2008/09 budget was set and is being funded from contingencies in the current year.	Pressure	100	0	0	0	3. High	4. Almost certain	12	Red	Neutral	HA	ON	0	
cs	CSD S&SS	Agency Residential	4. Csa	Anticipated commitments for the provision of residential care to children looked after exceed base budget. Commitments based on current children remaining in placement (which is anticipated) and no new placements being made.  Budget volatile and subject to change based on presenting needs of children, costs may increase further.	Pressure	100	0	0	0	3. High	3. Likely	9	Red	Neutral	AII	Yes	0	
SO	CSD S&SS	Fostering Agency	4. Csa	Anticipated commitments for independent foster placements for children looked after, based on current numbers in 08/09 financial year. Numbers unlikely to reduce.	Pressure	275	0	0	0	3. High	3. Likely	9	Red	Neutral	All	Yes	0	
SO	CSDP&SI	Integrated Working	4. Csa	Revenue implications of implementing electronic assessment and social care records. Implementation of these systems is required by DCSF and failure to do so would lead to significant adverse inspection outcome. These systems underpin the development of integrated working to secure better outcomes for children	Pressure	20	0	0	0	3. High	4. Almost certain	12	Red	Neutral	AII	No	0	Grants from government only covered capital investment not ongoing revenue costs.
SO	CSDP&SI	Parent Partnership	3. H&C	Funding to increase the capacity of the Acorn Therapeutic Team to deliver Parent Partnership Services as required by Special Educational Needs regulation. New national exemplar standards have been issued by DCSF in 2007 and further capacity is needed if the service is to reach these standards.	Pressure	5	0	0	0	3. High	3. Likely	9	Red	Neutral	Disability	ON		This will allow a 'top up' of funding available in the budget to recruit additional staffing to provide additional hours.

						Bu	dget Va	lue										
Portfolio	Dept/ Div	Budget heading/ Cost Centre	Corp Strategy theme	Description	Type of budget proposal		10/11 £000	11/12 £000	Cost of efficiency saving	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	Other Comments
so cs	il csdprsi csdprsi	d Children's Fund Children's Fund	3. H&C 4. Csa	Preventative services for children and young people need to be radically redesigned to meet the government's requirement that outcomes for children will continue to improve and few children and young people will require specialist services such as looked after services, child protection, youth offending, mental health. New guidance on Children's Trust issued by DCSF has demonstrated the government's intention that there should be a step change in the speed of service integration. Failure to achieve this will lead to significant adverse inspection outcome and outcomes for vulnerable children and young people will not improve. The posts below are needed to redesign services in the required manner:  1) Creation of a post to manage the further development of the Hartlepool Intervention Project and manage the Common Assessment Framework (CAF) process at a case work level, ensuring appropriate interventions are put in place rather than merely referring families on 'through the system'.	e Pressure	46.5	0		Š	h 3. High	n 4. Almost certain	12	d Red	ıl Neutral	II AII	ON		Current demand on social care, LAC, YOS, psychology services and reliance on grants for short-term projects means that there is no spare capacity within the existing system to redirect resources to targeted and preventative work.  Redesign of children's centres delivery and integration of Youth Service and Connexions supports these processes but do not in themselves provide the additional specialist capacity to support and divert children, young people and families from the specialist services.
SO	CSD P&SI	Children's Fund	3. H&C	2) Post of consultant social worker to support staff in children's centres, youth services, schools etc in managing risk and decision making. This post will be key in ensuring joined up 'team around the school services can be created to support front line staff in universal services in continuing to meet children and young people's needs.	Pressure	42.5	0	0	0	3. High	4. Almost certain	12	Red	Neutral	AII	ON	1	
so	CSD S&SS	PLO / Family Group Conferences	4. Csa	There is an expectation within the Public Law Outline arrangements that Family Group Conferences are held as part of the pre proceedings stage. There is currently no provision with Children's Services budget to meet the costs of commissioning independent Family Group Conferences.	Pressure	20	0	0	0	2. Medium	3. Likely	6	Amber	Neutral	AII	No	0	

#### Appendix A

SO CSD P&SI		CSDP&SI		Educational Psychology	2. L	Funding to ensure Hartlepool contributes to the new national scheme to support the training of educational psychologists. This continues to be an area to which it is difficult to recruit nationally. LA contributions are identified on basis of size and the DCSF/CWDC (Children's Workforce Development Council) indicated that Hartlepool's expected contribution is £12,000 per annum. Pays for first year trainee to receive a bursary while training.	Pressure	12	2 0	0	0	2. Medium	2. Possible	4	Amber	Neutral	All	ON.	0	
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Appelluix A	Ap	pendix	Α
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621 0 0

Neighbourhood Services - Pressures £000 saving Description 09/10 10/11 11/12 Other Comments Budget heading/Co £000 £000 £000 Treat as contingency item Neutral Rate the Diversity impact st Centre Type of budget proposal Cost of efficiency Strategy theme Diversity strand(s) Almost certain Risk probability Staffing Impact High Risk impact Risk status Risk score Dept/ Div Fin Portfolio οÑ Pressure Asset and The latest property condition surveys indicate that there is £2.5M of Essential 100 Needed to deliver Use of Resources requirement in Asset Management i.e. Property works required within the next two years to prevent serious deterioration of fabric Manageme two years that will prevent serious deterioration of the fabric or services and/or having a plan to reduce backlog of address a medium risk to the health and safety of occupants and/or remedy a maintenance less serious breach of legislation and a further £2.2M work required within three to five years that will prevent deterioration of the fabric or services and/or address a low risk to the health and safety of occupants and/or remedy a minor breach of legislation. At current funding levels this will leave a shortfall which will result in a increse of aUrgent work that will be required to prevent immediate closure of premises and/or address an immediate high risk to the health and safety of occupants and/or remedy a serious breach of legislation. Pressure will support £1.2m of prudential borrowing to begin to address this issue. NSD PS Pressure Almost certain eq G Emergency Planning / Callout arrangements at Richard Court - change after 16 March 2009 4. Likely Pressure 0 High red No Budget There is a legislative requirement to maintail additional closed churchyards that come on stream e.g we have now to take responsibility for Holy Trinity at Seaton Carew. This includes general grounds maintenance, boundary walls Pressure Σ Env Waste Disposal - Increase in landfill tax of £8 / per tonne 115 ? Actual figure to be determined once NSD gatefee for 09/10 confirmed 5. Σ Pressure Weed Control - European legislation has banned the use of dioron, the 40 The use of bikes to spray chemicals NSD Authority can only use contact treatment which will involve 3 treatments a year. have been banned which will result in an improved but more expensive targetted manual treatment ₹ Household Waste Recyling Centre Contract out to tender this financial year. Pressure 50 Will be in a more informed position in NSD Expected contract rates will increase. December to give an accurate figure. Total Neighbourhood Services 325

Adult and Community Services - Terminating Grants

					- Terminating Grants		Bu	dget V	alue										
		Budget heading/ Cost	Cost Centre Code		Description		09/10 £000	10/11 £000	11/12 £000	sa,					t		m		Other Comments
Portfolio	Dept/ Div	Centre		Corp Strategy theme		Type of budget proposal				Cost of efficiency	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	
CLT	A&CSD CS	Home Library service	12034		terminating LPSA grant for delivery of the expanded Home Library Service. 2) risk that there will be insufficient funds to staff continued delivery of service to public and failure to meet Pl's associated with service. 3) Continued public benefit of valuable service that supports poli of assisting independent living		31	0	0	0	3. High	4. Almost certain	12	Red		Age	N	2	
CLT	A&CSD CS	Sport & Recreati on	12308	7. C&L	Grant funding for Football Development Officer, P/T admin officer & development programme due to be exhausted by August/September 200 This has been funded to date by NRF, Football Foundation and NDC.	Term. Grant	55	0	0	0	3. High	3. Likely	9	Red	Positive	IIV	ON	2	F/T Football Development Officer post, P/T admin support plus development budget
CLT	A&CSD CS	Sport & Recreati on	12226	7° C&F	Current 3 year GP Referral programme LPSA funded, due to exhaust March 2009. In 2008/09, some financial support (£22k) being made available by the PCT which is unconfirmed at present whether this is yea on year funding or not. It is hoped to keep the programme running throug an anticipated reward grant dependant on the achievement of set targets but this will not be known until the Autumn of 2009. We need to keep this valuable programme running from April 1st and additional funding is required to do this. A decision could be taken to mainstream fund the programme and use any reward grant to enhance it (cardiac rehab currrently WNF funded or weight management or workplace health for		33	0	0	0	4. Extreme	2. Possible	8	Red	Positive	IIV	səA	3	Employment of F/T Coordinator rather than 2 P/T currently plus P/T admin support (evaluation & monitoring) - use of coaches, facility hire etc etc
CLT	A&CSD CS	Sport & Recreati on	12311		Funding for the 3 year Swimming Development Officer post is due to conclude in January 2009 - however, additional funding has been source to keep the post running until the end of the 2008/09 financial year. Application has been made to the PCT as part of a wider initiative (linking into free swimming for older people) to keep this post for a further 2 year but the outcome of this is currently unknown.	Tem	30	0	0	0	2. Medium	4. Almost certain	8	Red	Positive	IV	sə,	1	F/T Officer post
APHS	A&CSD SS	Supporti ng People	27057	6. Ho	The grant towards administration of the SP programme is being progressively reduced, leaving the Council to pick up both the reductions and inflation.'	Term. Grant	10	20	n/k	0	3. High	4. Almost certain	12	Red	Neutral	All			
					Total Adult & Community Services		159	20	0	0									

#### Neighbourhood Services - Terminating Grants

Budget Value Budget Cost Description Other Comments 5000 heading/ Centre Cost Code Treat as contingency item Rate the Diversity impact Type of budget proposal Centre Corp Strategy theme Diversity strand(s) Staffing Impact Risk probability Risk impact Risk status Risk score NSD NM Dept/ Div Portfolio Term. Grant Definate agreed last year Agreed last year as rolling programme Total Neighbourhood Services 45

#### Regeneration and Planning - Terminating Grants

							Bu	dget V	alue										
		Budget	Cost		Description		09/10	10/11	11/12	aving £000									Other Comments
		heading/	Centre						£000										
		Cost	Code							ency					#		Ε		
		Centre		d)		osal				efficienc					npact		/ item		
				meu		proposal				of el					.=	s)	enci		
				egy th		ndget				Sost		ity .			ersity	strand(s)	continge	act	
	>			at eç		pnq				Ö	act	obability	ore.	status	Š		_	Impact	
folio	<u>`</u>			Strat		o o					iduj	₫	score		the	rsity	at as	fing	
Portfolio	Dept/ Div			Corp		Туре					Risk	Risk	Risk	Risk	Rate	Diversity	Tre	Staffing	
RS		Anti	12109	Sa	Family Intervention Programme (FIP). Grant to support this programme	Grant	56	19	33		E	Likely			ositive	Age	No		This funding is likely to have a
	Ö	Social			(originally £100k) is tapering and reducing to zero by 2011/12. The FIP						Medium				osit	1			positive impact in terms of diversity
	R&PD	Behaviou			was established by Government as part of the Respect Action Plan	erm					2. 1	ε.			_				as young people are principal
	14	r			published in January 2006 and is intended to provide support and challe	nge													recipients of this service
					in order to change the behaviour of anti-social familes with school age														
					children alongside rolling out parenting advice budget. A budget to conti														
					this programme in Hartlepool is proposed.														
					Total Regeneration & Planning		56	19	33										

#### Adult and Community Services - Priorities

						Bu	dget V	alue	1									
CLT Portfolio	CS Dept/ Div	Budget heading/ Cost Centre	C&L Corp Strategy theme	Description  It is anticipated that the NDNA community facilities will be made available to the	Priority Type of budget proposal		£000	11/12 £000	> 23	High Risk impact	certain Risk probability .	Tisk score	Red Risk status	tive Rate the Diversity impact	All Diversity strand(s)	No Treat as contingency item	Staffing Impact	Other Comments  If the community pod needs to operate
)	A&CSD CS	ity Centres	7. (	public for use from 2009/10 - however there are no revenue budgets in place currently for it's operation. Allowance needs to be made for staffing as well as premises costs and based on similar sites, is estimated to be around £31,000, offset by some income (est. £3k)	Pric					3. 1	4. Almost cer			Positive				as a standalone facility, this is the anticipated cost pressure.
CLT	A&CSD CS	Leisure Centres	7. C&L	3metre and 5metre diving platform currently out of action. Cracks have appeared the main structure, cause unknown, but may be due to corrosion/metal fatigue of the main structural supports that are encased in concrete. Investigatory work required and repairs. Scaffolding needs to be erected which will require a pool clo (loss of income issue as well as additional costs associated with draining down, refilling & reheating) as part of the scaffolding will have to go into the pool tank. Quote for scaffolding and some investigatory work £3,500 - cost of any repairs required difficult to quantify as is loss of income.	Prior	17	0	0	0	3. High	4. Almost certain	12	Red	Positive	All	ON		£3,500 intial cost. Repairs estimated at £2,500 but obviously could be more. Loss of income estimated at £6,000 (2 weeks loss of pool income) & £5,000 for draining, refilling, reheating etc.
APHS	A&CSD ASC	Learning Disabilitie s Agency	3. H&C	Tees Commissioning priority work (20% funding contribution towards delivering th project). Failure to fund will risk missing targets to bring people home from out of area, resulting in continued high cost placements and Service User's being accommodated away from home and family etc.	Priority	20	0	0	0	3. High	3. Likely	9	Red	Negative	Disability	ON	0	This is a priority for both national and local targets
CLT	A&CSD CS	Maritime Exp & Museum of H'pool	7. (	External painting at HME and MOH	Priority	33	0	0	0	3. High	3. Likely	9	Red	Neutral	ΗΑ	N	0	
CLT	A&CSD CS	Libraries General	7. C&L	1)Pressure created by very large increase in BT computer line charges to Branch Libraries. Price per branch has more than doubled, from £2550 p.a. to £5450 pa. These lines are necessary for the provision of all public access ICT provision in branch libraries (People's Network). 2)This well used service (arguably essential service in the digital age) will not be possible to maintain without identifying addition funds to meet this very large price increase. Discussion with Northgate has taken place, but at this stage no solution to mitigate position has been found. There is no immediately available alternative cheaper supplier. 3)Benefit will be continued provision of public internet access in Hartlepool in branch libraries, a service that I particular benefit for more vulnerable and lower income groups.	D	15	0	0	0	2. Medium	4. Almost certain	8	Red	Neutral	All	ON.	0	This will affect Owton Manor, Foggy Furze, Seaton Carew, Throston Grange and West View Libraries

Appendix C

Y.	SC	MH	I&C	To provide User Led leadership and dedicated time ensuring the MH LIT responds	ority	25	0	0	0	-ligh	ible	6	ber	tive	ollity	No	0	Lit has been led by MH Commissioner
٩	D A	Agency	3. ⊢	to the new planning requirements adressing Social Inclusion, Wellbeing Agenda and	ĕĔ					3. F	SSOC		Αm	lega	isak			and this should now be replaced with user
	\$CS			to develop meaningful engagement with people who experience a wide range of							2. F			2				led model and salaried time to to ensure
	Ř			mental health needs. The risk of not providing this resource is the LIT not being														happens.
				demonstrably User Led and being unable to deliver current functioning and high leve	el													

						Bud	dget V	alue										
		Budget		Description			10/11		> 2									Other Comments
		heading/				£000	£000	£000	sa/							_		!
		Cost			sal				enci					pact		iten		!
		Centre	theme		odo.				əffici					Ē	_	ncy		!
			the		et D				ot e		>			rsit)	s)pu	inge	ಕ	!
			rteg)		budget proposal				,ost	ţ	abilit	Φ	Sr	Dive	stra	cont	mpa	!
응	Š		Strategy		ð					m Pa	orob	scor	statı	the the	sity	as	ng –	!
Portfolio	Dept/ Div		Corp		Туре					Risk impact	Risk probability	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	!
오		Supporti		£150,000 for a sober house for people with alcohol misuse problems. In early stage		50	100	0	0	High		6			₽ □	9	1	Alcohol is a priority in the 5 Year Strategy
APHS	A&CSD SS	ng	9	of developing supported housing project for people with alcohol problems. Lack of	Prior					Ξ	Possible		Amber	Neutral		-		- funding within ABG is uncertain
	SC.	People		service provision in general for alcohol and this would provide much needed resou	rce					( )	2. P			~				
	∢	·		for this client group- intention to work with health to meet needs. Proposal develop														!
				after failure to get planning permission for complex needs scheme for over 25yo,														!
L				which would have supported clients with alcohol needs.						<u></u>	<u>L</u>						<u>L</u>	
APHS	SS	Supporti	웃	£130,000 for supported housing project for homeless people at Glamis Walk.  Proposal to convert general needs scheme to supported housing, providing supported housing housing supported housing project for homeless people at Glamis Walk.	ority	30	100	0	0	High	eldi	6	Amber	Neutral	ĕ	2	0	Commissioning Body agreed such a
ΑP	A&CSD SS	ng	9	Proposal to convert general needs scheme to supported housing, providing suppo	rt.⊒					3. +	Possible		Am	Se C				scheme in principle - funding within ABG
	A&C	People		for vulnerable homeless people. Would help meet needs of vulnerable clients, aga	in						2. F							is uncertain
	-			need remains following failure to get planning permission for over 25 scheme.														!
APHS	sc	MH	&C	Ensuring HBC can contribute to this project prioritising access to Stepped Care	rity	20	0	0	0	Ę	ible	4	ber	tral	ility	2	0	Priority to enable people up stream to
ΑP	A&CSD ASC	Agency	3. H&C	addressing holistic psycho social needs as part of the improving access to	Priority					Medium	Possible		Amber	Neutral	Disability			access help which is pro-active and
	\$CS			Psycholgical Therapies. This is broader than traditional mental health services and	l					2.	2. F							enables them to remain in work or return
	Ą			contributes to the preventative low level agenda including improved access to														to work: key target.
				appropriate support to increase opportunites for people to remain in work and acc														!
φ	SC	МН	H&C	Commissioning independent advocacy project to ensure that people accessing	ity	20	0	0	0	Ę	ple	4	ber	e Š.	ΞĒ	2	0	Identified need to develop this service.
APHS	A&CSD ASC		S. Ţ	services and their carers have access to appropriate professional advice ensuring	Priority					Medium	Possible		Amber	Negative	Disability			
	SS		(,)	their rights are upheld and promoted. Risk of not doing this reduces the availability	_					2. 1	2. P			Ž	□			!
	A8			dedicated advocacy for people with MH needs.														!
-	Ø									_	d)		Ļ.	_	=	0	<u> </u>	
CLT	A&CSD CS		8	Shop refit at HME and MOH	Priority	20	0	0	0	Medium	Possible	4	Amber	Neutral	₹	ž	0	1
	SCS.	Exp &	7.		Ā						Po		Ā	ž				!
	⋖.	Museum of H'pool								2.	2.							1
CLT	S	Of H pool	7	Improvements to car park at Sir William Gray House for DDA reasons.	ity	20	0	0	0	Ę	e)C	4	Je	ra_	₹	°	0	<del> </del>
O	A&CSD CS	William	7. C&I	,	Priority	_0				Medium	Possible		Amber	Neutral		~	ľ	1
	A&C	Gray	'		-					2. №	2. Pc		,					1
		House								'	(1							
CLT	CS	Allotmen	C&L	Improvements to the Nicholsons Field access road through a programme of	rity	50	0	0	0	ï.	ible	4	ber	ıtral	₹	°	0	
	A&CSD CS	ts	7. C	Improvements to the Nicholsons Field access road through a programme of excavation, drainage and levelling of the Nicholsons Field access road. This woul	Prio Pri					Medium	Possible		Amber	Neutral				1
	A&			cover the lower third only where the worst of the flooding occurs. Risk of increase	ı					2. 1	2. F							1
				claims and risk of injury.														
				Total Adult & Community Services		348	200	0	0									

#### Chief Executive's Department - Priorities

						Bud	dget V	alue										
		Budget		Description				11/12	> 2									Other Comments
		heading/				£000	£000	£000	/ sa £							_		
		Cost			sal				enco					pact		item		
		Centre	ame		ropo				efficiency					Ē	_	ncy		
			/ th		et b				to O		· >			ersity	s)pu	inge	ţ	
			Strategy theme		budget proposal				Sost	ğ	apilit	Φ	Sn	Dive	stra	as contingency	m ps	
흕	ĕ		Stra		₹				Γ	m E	prob	scor	statı	‡	sity	as	l Bu	
Portfolio	Dept/ Div		Corp		Туре					Risk impact	Risk probability	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat	Staffing Impact	
Perf P		N/A		JobsGoPublic skills portal. Funded regionally in 2006/07, funded corporately by "o	ne€	20	0	0	0		_	9			₹	yes T		Funding from 2009/210 onwards only
Pe	GED HR	IN/A	OrgD	off" funds in 2007/08. Contract requires renewal or confirmation of continuation in	ō	20	0	U	ľ	. High	Likely	9	Red	Neutral	_	λ	"	needed if the new HR/Payroll system
	띵		6	Oct / Nov 2008. A significant amount of data held on this system regarding	Δ.					ю.	က်			~				does not have the skills and appraisal
				workforce skills and capabilities which is likely to be lost if contract is not renewed.														capability of the Skills Portal Funding
				This is the only system within the council currently that hold any detailed data on														from 2009/210 onwards only needed if th
				employee skills and capabilities. Detailed information on workforce skills and														new HR/Payroll system does not have
				capabilities forms part of workforce analysis and longer term workforce planning.														the skills and appraisal capability of the
				benefits include extending the use of the portal to include on-line appraisal and 36	D													Skills Portal. One off funding of 10k is
				degree appraisal, job role analysis to inform succession planning, identification of														needed for 2008/9 as 9k LSC funding
				skills shortage areas and identification of talent to support talent management														available
Perf	뚶		OrgD	Regional Recruitment Portal/Talent pool. Funded regionally for 1st year. Thereaft	er 🦆	5	0	0		High	Likely	9	Red	tra	₹			
ι.	CED HR			funding required on an annual basis. The benefits of attracting a wider range of	Prio					ю Т			ш	Neutral				
	O		6	potential applicants to the authority is substantially increased by being part of the							က <u>်</u>							
				portal. Failure to continue with the portal will mean that the development of an in-														
				house system would be required which would mean additional cost and additional														
Perf	光		OrgD	Assistant Diversity Officer (part time 20hrs per week at Band 7) This is capacity	Priority	13	0	0	0	Medium	certain	8	Red	Positive	ΑII	<u>N</u>		This is a temporary post now until March
-	GED HR		9. 0	issue that was recognised during the Stakeholder challenge process to assist with	Pric					Med	Cel			Pos				09 funded by the contingency funds.
			0,	Principal Diversity Officer. Funded until March 2009 from the contingency fund . I	f					2	Almost							This needs to become a permanent post
				the funding is not approved, the stakeholder challenge cannot be continued and it	will						₹							for future years to continue with this
				have a negative impact on the credibility of the Council as trust is being built with of							4.							work.
				diverse stakeholders.														
Perf	CED HR		OrgD	Stakeholder critical Challenge process. Funded until March 2009 from Contingen	ority	10	0	0	0	Medium	certain	8	Red	Positive	₹	2		Funding at the moment is provided by the
	님		9. C	fund, previously funded from Improvement partnership grant. This is a process	Pri					Μe	t ce			Pos				contingency fund. This needs to continu
	Ŭ			where the diverse stakeholders of Hartlepool challenge the services and inform the						2	Almost							as the stakeholders have themselves
				impact assessments of the individual services. This is now linked into directly with							4. A							have said that there is value in this
				the service planning/performance management process. This is in compliance with	h						4							process.
				providing the services by catering to the needs of the diverse people. Benefit of														
				this process has been immense. Stakeholders have finally begun to trust the Cour														
-	~		0	and feel empowered. If this discontinues, the Council's reputation is at stake.	>			0	_	d)	>	<b>-</b>	<u></u>	<u></u>	₹	0		
Perf	CED HR		OrgD	Celebrating Success Event 2009 - an event to recognise employee achievements	Priority	10	0	0	0	Possible	Likely	6	Amber	Neutral	⋖	2	0	Any sponsorship gained would be offset
	핑		6	funded from "one off" monies in 2007/ and 2008/9. Contributes to the strategic							<sub>ප</sub>		⋖	ž				against any provision made.
				objective of engaging and rewarding staff. External sponsorship is sought but this						2								
1	1			cannot be guaranteed and can fluctuate from year to year. This links to the	in													
				ambition to be an employer of choice and failure to provide the event would result														
1	1			loss of employee motivation and morale. Plans to incorporate Long Service Award	us													
	1			and NVQ/Skills for Life Awards as part of a Celebration Day														

**Budget Value** 09/10 10/11 11/12 🖺 Description Other Comments £000 £000 £000 & heading Cost budget proposal Centre Treat as contingency Rate the Diversity strand(s) Staffing Impact Risk probability Corp Strategy Risk impact Risk score Risk status Dept/ Div Portfolio Type of I OrgD Possible CED HR Medium Neutral Additional CRM system and middleware software maintenance. Risk of not supporting constrains effectiveness/efficiency opportunities of the Contact Centre. act Ω. ς Sort CED HR Data-matching software annual licence fee for Hopewiser. Software may be Possible Amber Neutral 8 required again next year if Northgate to not deliver LLPG Satellite Hub by end of August 2008 to allow time to match to departmental datasets 7 ς A restructure of the Legal Services Division to compensate for the dissipation of staff and to meet increasing workloads as reported to the Council's Cabinet on 18 in 18. Perf Legal OrgD 23 Amber A restructure of the Legal Services Division to compensate for the dissipation of SEX August, 2008. The Cabinet agreed to the recommendation to restructure in principle ςi Ŋ through the addition of the post of a Solicitor (commercial/procurement), Legal Assistant (Childcare) and a Trainee Solicitor. Solicitor and Legal Assistant posts included as pressures. This was to meet additional functionality, increasing caseloads and to meet and comply with statutory requirements/obligations against service with a low resource base. Pressures upon the service includes; increasing childcare caseloads and the adoption of the Public Law Outline governing the conduct of childcare proceedings, work involved with regeneration/partnering initiatives, school transformation/BSF, Freedom of Information and Data Protectio compliance, Crime and Disorder Act provisions, equal pay/JE implementation, the locally based assessment and determination process, major corporate projects eg Tall Ships, Victoria Harbour etc., developments, as well as maintenance of the Di Should a restructure not be implemented then recourse and reliance will need to be placed on the "call off" of legal work through the Council's External Legal Partnership or through other outsourcing mechanisms with attendant financial and other CED HR Centre Perf Office furniture / equipment / supplies. Historical resource transfers means budge Likely 3 Green ₹ s unsustainable with emerging operating cost pressures. Risk of budget overspends 🖺 Contact Centre OrgD Neutral Medium Unlikely Green Perf Additional Team Leader capacity to ensure the sustained delivery of customer 57 2 service standards, linked to the Hartlepool Connect Service Integration and 6 Contact Improvement Strategy. The corporate principles of resource transfer for those Ω. services moving into the Contact Centre do not require transferring departments to fund Contact Centre management capacity. Risks include service standards not being delivered and damage to Council reputation.

						Bud	dget V	alue										
Portfolio	Dept/ Div	Budget heading/ Cost Centre	Corp Strategy theme	Description	Type of budget proposal	09/10 £000	10/11 £000	11/12 £000	Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	Other Comments
Per	CED HR		9. OrgD	Diversity Mapping (Mosaic Origins) - this will assist in mapping exercise and will assist in implementing the New Equality Framework. One of the main themes in the framework is Knowing your community- equality mapping to measure the outcome of our service provision. The benefits will be immense as communication and service provision can be tailored to relevant sections of the town and in the long run will be cost effective to measure performance and conduct relevant consultations.	s	5	0	0	0	2. Medium	1. Unlikely	2	Green	Positive	All	ON N		There is a possibility of sharing costs in partnership with Housing Hartlepool.
Perf	CED HR		9. OrgD	I&DeA electronic self assessment tool. The benefit is that it assists the Council to self assess its diversity achievements and to set the right objectives and targets for achievements. It is good for compiling evidence either for external validation purpor or for CPA in respect to Equality and Diversity	r Prior	1	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	IIY	sək		May be able to use Covalent for this
Perf	CED HR		Ε.	Civic Regalia/antique furniture repairs - bid for resources as requested by Civic Regalia Working Group.	Priority	3	0	0		1. Low	1. Unlikely	1	Green	Neutral	ΙΑ	No	0	
				Total Chief Executives		153	8	2										

#### Children's Services - Priorities

						Bu	dget V	alue										
		Budget		Description		09/10	10/11	11/12	aving £000									Other Comments
		heading/				£000	£000	£000	'sa\ £							_		
		Cost			sal				ency					impact		item		
		Centre	me		sodo.				HICI						_	ncy		
			, the		et br				οţο		>			rsity	s)pu	inger	ಕ	
			Strategy		gpno				Sost	gc	abilit	Ф	Sn	Dive	strand(s)	contir	ng Impact	
응	i≧		Stra		of t				<u> </u>	impact	prob	scor	stat	the	versity	as	ing	
Portfolio	Dept/		Corp		-ype					Risk	Risk	Risk	Risk	Rate	Jiver	reat	Staffin	
CS	P&SI	nal igy	)	Increased capacity in the Psychology Team to support the preventative process by	, ¥	60	0	0	0	Ε		6	Jer F	tral F	. NI □	N N	1	
	CSD P	ıcational		providing case work intervention, consultancy and support to staff across the	Priority					Mediu	3. E		Amk	Nen				
	Ö	Edu		preventative team. Failure to provide support will reduce positive impact of						2.	.,							
				additional resourcing for preventative agenda.														
				Total Children's Services		60	0	0										

Budget heading Cost Centre  ### Asset and and address climate change issues. Use of resources implications if we do not pursue this strategy in "Deep Control of Lower Programme Sax not be an energy savings measures to reduce future costs and address climate change issues. Use of resources implications if we do not pursue this strategy in "Use of natural resources" assessment examples:  #### Budget	<u>Neigh</u>	bou	rhood Se	rvice	es - Priorities					1									
Property Manage ment   His strategy in "use of natural resources" assessment examples: Building Management Systems   Lighting Controls / Low Energy Lighting   Boiler Replacement Programme   Water Management Control Measures      Wilding Management Control Measures   There is a need to invest in the highway asset to reduce the maintenance backlog   Existing unscheduled maintenance budgets have not increased to recognise the towns growth. LAA Improvement target N175 and Priority target N15 Overall/ general satisfaction with local area will be affected by the state of our highways.community strategy aim "Delivering an effective and efficient transport   The regeneration of Hartlepool continues to be a priority for the authority, however the issue of ongoing maintenance of regenerated council assets through TDC, SRB and City Challenge programmes has not been recognised. Capital regeneration programmes do not allow for ongoing maintenance, to ensure the programmes are sustainable and to meet the Community Strategy aim "creating sustainable communities" a maintenance budget is required. Priority target NI5 "overall/ general satisfaction with local area" will be affected by the condition of our neighbourhoods			Budget heading/ Cost				09/10	10/11	11/12	efficiency s		Risk probability .	Risk score				Treat as contingency item	Staffing Impact	Other Comments
general satisfaction with local area will be affected by the state of our highways.community strategy aim "Delivering an effective and efficient transport  The regeneration of Hartlepool continues to be a priority for the authority, however the issue of ongoing maintenance of regenerated council assets through TDC, SREB_d and City Challenge programmes has not been recognised. Capital regeneration programmes do not allow for ongoing maintenance, to ensure the programmes are sustainable and to meet the Community Strategy aim "creating sustainable communities" a maintenance budget is required. Priority target NI5 "overall/ general satisfaction with local area" will be affected by the condition of our neighbourhoods  We have a number of upadopted open spaces across the town, efforts continue to be made to trace owners and where appropriate enforcement action is taken, however there are cases where the owners cannot be traced and as such the area continues to be an eyesore and a problem in neighbourhoods. to ensure we can improve our neighbourhoods a maintenance budget is required to enable the auth to maintain these plots of land to an acceptable standard NI5 will be affected		NSD PS	and Property Manage		address climate change issues. Use of resources implications if we do not pursue this strategy in "use of natural resources" assessment examples: Building Management Systems Lighting Controls / Low Energy Lighting Boiler Replacement Programme	Priority	250	0	0	0	3. High		12	red	Neutral	All			
programmes do not allow for ongoing maintenance, to ensure the programmes are sustainable and to meet the Community Strategy aim "creating sustainable communities" a maintenance budget is required. Priority target NI5 "overall/ general satisfaction with local area" will be affected by the condition of our neighbourhoods  We have a number of upadopted open spaces across the town, efforts continue to be made to trace owners and where appropriate enforcement action is taken, however there are cases where the owners cannot be traced and as such the area continues to be an eyesore and a problem in neighbourhoods. To ensure we can improve our neighbourhoods a maintenance budget is required to enable the auth to maintain these plots of land to an acceptable standard. NI5 will be affected.		NSD NM			Existing unscheduled maintenance budgets have not increased to recognise the towns growth. LAA Improvement target NI175 and Priority target NI 5 Overall/ general satisfaction with local area will be affected by the state of our	Priority	50			0	3. High	4. Almost certain		red					
continues to be an eyesore and a problem in neighbourhoods . to ensure we can improve our neighbourhoods a maintenance budget is required to enable the auth to maintain these plots of land to an acceptable standard NI5 will be affected					and City Challenge programmes has not been recognised. Capital regeneration programmes do not allow for ongoing maintenance, to ensure the programmes ar sustainable and to meet the Community Strategy aim "creating sustainable communities" a maintenance budget is required. Priority target NI5 "overall/ generations"	e Tal	56			0				amber					
Throughout the town there are a number of unadopted carriageways and areas of leading the standard and the s					We have a number of upadopted open spaces across the town, efforts continue to be made to trace owners and where appropriate enforcement action is taken, however there are cases where the owners cannot be traced and as such the are continues to be an eyesore and a problem in neighbourhoods. to ensure we can improve our neighbourhoods a maintenance budget is required to enable the auth	Priority	50			0	2.	ю́							
Total Neighbourhood Services 456 0 0		MN OSN			hardstanding, these are currently not maintained e.g. Throston, parts of rift house For the authority to maintain them to an acceptable standard a maintenance budg is required. LAA Improvement Target NI 175 and local priority target NI5 applies				0			4. Almost certain		red					

#### Regeneration and Planning - Priorities

						Bu	dget V	alue										
		Budget		Description		09/10	10/11	11/12	9003 5000									Other Comments
		heading/				£000	£000	£000	sav									
		Cost			<u>a</u>				ancy					act		item		
		Centre	ne		Type of budget proposal				Cost of efficiency					Rate the Diversity impact		cy i		
			theme		. prc				ot et					sity	d(s)	Treat as contingency	<b>+</b>	
			Gg		dget				st o		Risk probability			vers	Diversity strand(s)	ntin	Staffing Impact	
	.≥		Strategy		nq .				ဒိ	Risk impact	obat	ore	Risk status	е Di	y st	သင္သ	m t	
ţţ	₩ ₩		p St		e of					ï	pr	Risk score	sta s	e th	ərsit	ata	ffing	
Portfolio	Dept/ Div		Corp		Тур					Rist	Rist	Rist	Rist	Rat	Dive	Tre	Sta	
RS	P&ED	Landsca	Env	Conservation Area Grants - There continues to be strong demand from residents i	ıţ. n	25	0	0		ш	ible			Neutral	All	8	0	
	8	ре	5. E	conservation areas for financial assistance to meet standards for listed	Priority					Medium	Possible			Neu				
	R&PD	Planning		buildings/conservation areas and a danger of deterioration of condition/appearance						2. 1	2. P			_				
	82	and		such work cannot be supported. Previous grant aided improvements have had an														
		Conserv		obvious positive impact and have generated further interest. Such work contribute	s													
		ation		towards the statutory responsibility to preserve and enhance the character and														
				appearance of the conservation areas. This activity also supports the work of the														
				Conservation Area Advisory Committee and the emerging Headland CAAC.														
RS	Ω	Landsca	Env	Grant fund for enhancements to Sites of Nature Conservation Interest (SNCI's).	ity	10	0	0		»(	e e			ral	٩	9N	0	
1"	P&ED	ре	5. E	Grants would be used to support landowners in undertaking habitat management	Priority	'`				1. Low	Possible			Neutral	,	_	Ĭ	
	R&PD I	Planning	4)	enhancement, as agreed with us. A budget of £10,000 per annum is suggested a						`				_				
	R&	and		progress in this respect would contribute towards our NI 197 relating to improving local							2							
		Conserv		biodiversity and specifically the proportion of local sites where active conservation														
		ation		management is being achieved . Works could include scrub removal in limestone														
		ation		quarries, grazing management at grassland sites, woodland management and														
				drainage management at marshes and wetlands. Our 40 SNCIs add significantly t	,													
				the biodiversity, visual attractiveness and educational value of the Borough, but														
				require sensitive management.														
SS	af.	Commun	ga	Cabinet members at their meeting on 4/8/08 considered the issue of first time entrants into the	ty	120	0	0		>	ē			Э/	Age	<sub>N</sub>	0	This funding is likely to have a positive
œ	CSaf		4. Cs	youth justice system and expressed a desire to extend the preventative measures available.	Priority	120	ľ	ľ		. Low	Possible			Positive	Αć	_		impact in terms of diversity as young
	R&PD	ity Safety	4	Additional monies into diversionary activities for young people is therefore proposed as a	Д					1				Ъ				people would be the recipients of this
	88	Salety		priority expenditure item. It should be noted however that it has not yet been possible to give							2							· ·
				detailed consideration as to what such an extended programme might entail and therefore only														service
				an initial estimated budget figure is put forward at this stage. The recent announcement of new														
				funding to tackle youth crime also needs to be assessed against this priority.														
(^			_		_				<u> </u>	>	0				_	_	<u> </u>	
RS	P&ED	Landsca	Env	Members of the Planning Committee have expressed their desire to offer financial	Priority	10	0	0		Low	Possible			Neutral	ΑII	2	0	
I	D P	ре	5.	assistance to residents in conservation areas to replace windows with high quality						<del>-</del>	Pos			Ne				
	R&PD	Planning		UPVC Windows, in compliance with potential planning policy guidelines. This fund							2							
	۳	and		would be secondary to, and generate at a low level of assistance than, the														
		Conserv		Conservation Areas Grants budget.														
RS	JE	ation Commun	ä	CCTV - The outcome of the Scrutiny investigation into CCTV in the becough will	>	n/a	n/a	n/a		>	<u>e</u>			<u>ख</u>	ΙΗ	9	0	
~	CSaf		. Csa	CCTV - The outcome of the Scrutiny investigation into CCTV in the borough will shortly be determined and recommendations may have financial implications whic	riori	III	III	III		1. Low	Possible			Neutral	,	_		
	R&PD	ity Safety	4		. <sub>C</sub>					1				Z				
	R	Jaiety		will need to be judged against other budget priorities.							2							
	H			Total Regeneration & Planning		165	0	0	0									
	1			. C		103	_		_								L	

							Bud	get Valı	ıe										
Portfolio	Dept/ Div	Budget heading / Cost Centre	Corp Strategy theme	Description	Type of budget proposal	Efficiency Classification	09/10 £000	10/11 £000		$\sim$	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand's)	Treat as contingency item	Staffing Impact	Other Comments
CLT	A&CSDCS	Community Forest	7. C&L	Membership of North East Community Forest ended following merger of NECF with Groundwork Trust . In future work to be bid for on a project by project basis.	Efficiency		28	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	AII	ON	No	
CLT	A&CSD CS	Grounds Maint Contract 1 and 2	7. C&L	Reconfigure attendant provision at Grayfields and Summerhill at a lower cost than the current contractual Arrangements	Efficiency		13	4	0	0	1. Low	1. Unlikely	1	Green	Neutral	All	N	0	
CLT	A&CSD CS	Art Gallery Tourist Information	7. C&L	Streamlining of site management rostas and <u>minor_adjustments</u> to service opening times, including streamlined private view arrangements.	Efficiency		18	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	All	N	0.4	
CLT	A&CSD CS	Art Gallery Maritime Experience Museum of	7. C&L	To get better value from suppliers by reviewing contracts and replacing them with more efficient ways of working.	Efficiency		22	0	0	0	1. Lo	3. Likely	3	Green	Neutral	IIA	No	0.5	
CLT	A&CSD CS	Libraries General Reference Library	7. C&L	Reduce expenditure on library stock; using internal and external data to enable better and more informed purchasing choices to be made. Stock and community profiling in 2009/10 to help identify local usage and key areas of stock. Benefits; improved stock turn [stock attracts more issues],	Efficiency		15	0	0	0	1. Lo	3. Likely	3	Green	Neutral	IIA	_	No	
CLT	A&CSD CS	Central Library Relief Register	7. C&L	Introduction of RFID ie. self issue & receipt of library books, at the Central Library. RFID agreed by Cabinet and approved by IT Partnership Board subject to further clarification of cost analysis. Benefit: staff released from repetitive and manual tasks to improve customer services.	Efficiency		21	0	0	0	1. Low	3. Likely	3	Green	Neutral	AII	ON	1.0	

							Bud	get Valu											
Portfolio	ıt/ Div	Budget heading / Cost Centre	o Strategy theme	Description	Type of budget proposal	Efficiency Classification	09/10 £000	10/11	11/12 £000	Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand's)	Treat as contingency item	Staffing Impact	Other Comments
CLT Por	A&CSD CS Dept/	Community Centres	7. C&L Corp	Restructure of cleaning and caretaking staff within Community Centres to deliver service at lower cost than current arrangements.	Efficiency	Effi	20	15	0	0	1. Low Risl	2. Possible Risl	ο Risl	Green Risl	Neutral Rate	All Dive	No Trea	o. Staf	
CLT	A&CSD CS	Community Development	7. C&L	Reduction in printing, training and project development fund budgets whilst maintaining service level.	Efficiency		12	0	0	0	1. Low	2. Possible	2	Green	Negative	AII	No	0.0	
CLT	A&CSD CS	Borough Building	7. C&L	Redine the working arrangements within the Borough Hall and Sports Centre to maximise targeted activity and use.	Efficiency		20	0	0	0	1. Low	2. Possible	2	Green	Neutral	AII	No	2.0	
CLT	A&CSD CS	Arts Development	7. C&L	Redirect investment in professional artist fees. This includes reduction of budget from Tees Valley investment Fund to allow direct spend in Hartlepool.	Efficiency		10	0	0	0	1. Low	2. Possible	2	Green	Neutral	AII	No	2.0	
APHS	A&CSD ASC	Support, Time & Recovery Team	3. H&C	Current Support Time and Recovery service over staffed by 2 posts (currently vacant). Reducing this service by these 2 posts will not affect provision and retains the number of staff needed to deliver the service.	Efficiency		39	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	All	No	2.0	
APHS	A&CSD ASC	Brooklyn Day Centre	3. H&C	Access ing people to mainstream provision rather than building based statutary provision thereby promoting choice and social inclusion.	Efficiency		5	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	Disability	No	Nil	
APHS	A&CSD ASC	Warren Road Day Centre	3. H&C	Reduction in the number of senior link workers to allow a flatter management structure and more flexible working to promote a more modernised and efficient service and release cash for Individual Budgets.	Efficiency		60	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	All	No	3.5	
APHS	A&CSD ASC	Learning Disabilities SWAT Team	3. H&C	Co-location of LA and NHS Learning Disability teams at Warren Road, enabling efficiencies across rent and utilities.	Efficiency		30	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	Disability	oN	Nil	

							Bud	get Valı											
		Budget		Description			09/10	10/11 £000	11/12	ing 100									Other Comments
		heading /					£000	£000	£000	sav £(					t		۶		
		Cost Centre			sal	tion				ıcy					pac		iter		
			ne		ödc	icai				efficienc					Ë		ιcy		
			theme		t pro	ssif				effic		· >-			sity	(s,p	ıgeı	+	
			Strategy		dge	Cla					Ħ	bilid		"	iver	tran	ntir	pac	
0	.≥		rate		pnq	cy				Cost of	pac	oba	ore	atus	i Oe	s s	00 (	프	
folic	4 D		St		e of	ien					im	pr	SC	ste s	the	ısit	ıt as	fing	
Portfolio	Dept/ Div		Согр		Type of budget proposal	Efficiency Classification					Risk impact	Risk probability	Risk score	Risk status	Rate the Diversity impact	Diversity strand's)	Treat as contingency item	Staffing Impact	
ဗ္		Sensory Loss		Physical Disabilities team to be relocated within loclity teams to promote			45	0	0	0			1				2	1.0	
APHS	A&CSD ASC	Team	3. H&C	integrated and seamless service provision. Team Manager post, currently	Efficiency						1. Low	1. Unlikely		Green	Neutral	Disability	_		
	SC		3	vacant, to be disestablished.	I ≝						_	<u> </u>			Z	Dis			
	۸ ۷				1 "							_							
	•																		
φ	Ö	Sensory Loss	ű	Physical Disabilities team to be relocated within loclity teams to promote	c		20	0	0	0	ΜC	<u>S</u>	1	eu	ıa	ity	N <sub>O</sub>	1.0	
APHS	A&CSD ASC	Team	3. H&C	integrated and seamless service provision. Team clerk post, currently	Efficiency			-			1. Low	1. Unlikely		Green	Neutral	Disability	_		
	SC		6	filled by temporary postholder, to be disestablished.	i#i						_	<u> 5</u>		_	Z	Dis			
	۸ ۷				1 "							_							
တ္	Ö	Warren Road	Q	Relocation to share accommodation and thereby reduce costs of rent and	c		3	0	0	0	ΜC	<u>\( \frac{1}{2} \)</u>	1	ue	ıal	ity	N <sub>o</sub>	Nil	
APHS	AS	Day Centre	3. H&C	utilities by sharing the costs across the LA and NHS.	ien						1. Low	Ę		Green	Neutral	abil	_		
	SS	ĺ	6	, ,	Efficiency						_	1. Unlikely		_	Z	Disability			
	A&CSD ASC				"							_							
S		Learning	O	Use of the fair price tool kit across the Tees region to allow efficient and	>		30	0	0	0	*	<u>&gt;</u>	1	Ľ.	a	ty	9	Nil	
APHS	ASC	Disabilities	3. H&C	equitable pricing by reviewing contracts.	Efficiency		30	U	U	U	1. Low	1. Unlikely	'	Green	Neutral	Disability	Z	INII	
⋖	SD	Agency	ю.	equitable prioring by reviewing contracts.	ffici						_	'n		O	ž	)isa			
	A&CSD,	rigericy			ш							<del>-</del>							
(0)			()		>						L	>		_	_	>	C		
APHS	A&CSD ASC	Learning	3. H&C	End block contract for respite care beds service and develop alternative,	Efficiency		50	0	0	0	2. Medium	1. Unlikely	2	Green	Neutral	Disability	No	Nil	
ΑF	D/	Disabilities	3. F	smaller unit with other respite care alternatives in line with personalised	licie						Мес	Unli		Ō	Nei	isak			
	Š	Agency		services.	Ē						2.	<del>-</del>							
	Ř																		
					1							L							
APHS	A&CSD ASC	Adults	OrgD	Review of planning function to link to wider reorganisation of Adult Social	Efficiency		44	0	0	0	1. Low	Likely	3	Green	Neutral	¥	8	1.0	
ΑF	Ü,	Management	9.0	care to ensure more efficient processes.	icie							3. Lil		Ģ	Neu				
	SS				Εŧ							(,)							
	A																		

							Bud	get Valı											
S Portfolio	Dept/ Div	Budget heading / Cost Centre	Corp Strategy theme	Description	y Type of budget proposal	Efficiency Classification	09/10 £000	2000		Cost of efficiency s	v Risk impact	yRisk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand's)	Treat as contingency item	Staffing Impact	Other Comments
APHS	A&CSD ASC	Care Management Team 2	∂. OrgD	Integration of management structures with PCT.	Efficiency		45	0	0	0	1. Lc	1. Unlikely	1	Green	Neutral	IIV	No	1.0	
APHS	A&CSD ASC	Duty Team	9. OrgD	Re-alignment of skill mix within Duty team - capacity at first point of contact unaffected.	Efficiency		10	0	0	0	1. Lo	1. Unlikely	1	Green	Neutral	IIA	No	0.0	
APHS	A&CSD SS	Support Services	9. OrgD	Review of divisional admin staff planned for late 2008. Links to wider Business Transformation programme.	Efficiency		37	0	0	0	1. Lo	3. Likely	3	Green	Neutral	IIV	No	2.0	
APHS	A&CSDSS	Workforce Planning & Development	9. OrgD	Changes to deployment of training resources, including possible procurement and partnership gains.	Efficiency		15	0	0	0	1. Low	3. Likely	3	Green	Neutral	AII	No	No	
APHS	A&CSD SS	Finance Section	9. OrgD	Finance Section receive and manage benefits on behalf of many service users. Departmental Review planned for late 2008, including processes and numbers of referrals.	Efficiency		25	0	0	0	2. Medium	2. Possible	4	Amber	Neutral	IIV	No	1.0	
APHS	A&CSD ASC	Older People Purchasing	9. OrgD	Hartfields Extra Care Village to be utilised rather than residential care for older people who require substantial levels of support to remain safe. Improve quality of live. Manage financial resources more effectively.	Efficiency		125	0	0	0	2. Medium	3. Likely	6	Amber	Neutral	HA	No	No	
СГТ	A&CSD CS	Leisure Centres	7. C&L	Review of Mill House Leisure Centre staffing and rostering arrangements to maximise efficient working.	Efficiency		20	10	5	0									

							Buc	lget Val	ue										
Portfolio	Dept/ Div	Budget heading / Cost Centre	Corp Strategy theme	Description	Type of budget proposal	Efficiency Classification	09/10 £000		11/12 £000		Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand's)	Treat as contingency item	Staffing Impact	Other Comments
APHS	A&CSD ASC	Integrated Care Team 1 and 3	9. OrgD	Integration of internal Homecare service and Intensive Support team to create new Direct Care & Support Service. Integration with PCT will support the introduction of Telehealth and offer a more efficient service around rapid response cases. Focussing on early intervention and using specialist workforce to deliver outcomes and transfer less complex work to independant sector.	Efficiency		193	0	0	0	2. Medium	4. Almost certain	8	Red	Neutral	AII	No	6.7	
SHAA	A&CSD ASC	Occupational Therapy	∂. Org	Redesign of business processes in Occuptational Therapy, building on work completed with Care Services Efficiency Delivery programme, and embracing electronic and home working. Improvements in technology and review of skill mix will lead to more robust scheduling at first point of contact.	Efficiency		35	0	0	0	2. Medium	4. Almost certain	8	Red	Neutral	IIV	oN	2.0	
							1,010	29	5										

#### **CHIEF EXECUTIVES DEPARTMENT - PROPOSED EFFICIENCIES**

**Budget Value** 10/11 11/12 09/10 Budget Description Other Comments savi heading/ £000 £000 Rate the Diversity impact Treat as contingency item Efficiency Classification Type of budget proposal Cost Corp Strategy theme Centre Diversity strand(s) Risk probability Staffing Impact Risk impact Risk status Risk score Dept/Div Perf Portfolio Efficiency CED CS OrgD 1. Low 1. Unlikely A õ A reduction in a variety of operating expenses within Corporate Strategy 9.1 division including, as a result of reviews of paper circulation, reductions in 6 printing costs CED CS Efficiency 1. Low Unlikely Neutral ₹ Š Reviews of consultation activity and changes in practise have resulted in a 7.0 0 The reduction in fieldwork activities reduced need for fieldwork activities to undertake scheduled consultation will have no direct impact on staffing as these workers are employed only for specific consultation exercises on short term contracts Unlikely OrgD 1. Low Neutral H οN SS Minor reductions in operating expenses Efficiency 2.5 6 CED CS Efficiency Unlikely Reduction in printing costs for Corporate Plan as take up of hard copies 1. Low Neutral Η  $\stackrel{\mathsf{o}}{\mathsf{Z}}$ 1.0 has reduced significantly in recent years

#### **CHIEF EXECUTIVES DEPARTMENT - PROPOSED EFFICIENCIES**

Budget Value 09/10 10/11 11/12 ⊆ Budget Description Other Comments £000 heading/ £000 £000 Treat as contingency item Rate the Diversity impact Efficiency Classification Type of budget proposal Cost Corp Strategy theme Centre Diversity strand(s) Risk probability Staffing Impact Risk impact Risk status Risk score Dept/Div Portfolio CED F OrgD 1. Low Fin F Following the implementation of new Financial Management System and Efficiency 22.0 Possible Я -1.0 Staffing reduction already achieved **Accountanc**) review of working practices a vacant Accounting Technician post can be as post vacant. deleted. Whislt, this proposal will not impact on current operational ĸ requirements, it reduces capacity to support non core activities, such as new corporate initiatives, support for departmental finance teams when they have vacancies, or support of new grant regimes. 1. Low Possible Fin AII Efficiency ટ Intemal Audit Internal Audit are implementing new audit management software 7.0 -0.3 Staffing reduction agreed with (Teammate) and associated changes to operational practices during specific employee who wishes to 2008/09. These changes will enable a reduction in staffing of 0.3 fte. reduce working hours. κi Efficiency OrgD 1. Low Neutral Recovery and Inspe ncreased net income from extension of Internal Bailiff pilot within HBC to 41.0 Unlikely ₹ S cover 3 officers, with bailiff charges accruing to the Council. 1. Low Neutral AII Following the implementation of new HR/Payroll System and review of Efficiency 운 56 Possible working practices two currently filled HR Administrator posts can be deleted within 3 months of Phase 1A being tested and implemented. κi Whilst, this proposal will not impact on current operational requirements, it reduces capacity to support non core activities, such as new corporate initiatives or demands from schools under SLA arrangements. OrgD 1. Low Neutral AII Unlikely 운 Reduced printing and postage costs arising HR/Payroll system Efficiency

#### **CHIEF EXECUTIVES DEPARTMENT - PROPOSED EFFICIENCIES**

Budget Value 09/10 Budget Description Other Comments heading/ £000 Treat as contingency item Rate the Diversity impact Efficiency Classification Type of budget proposal Cost Cost of efficiency Corp Strategy theme Centre Diversity strand(s) Risk probability Staffing Impact 2. Medium Risk impact Risk status Risk score Dept/ Div Perf Portfolio CED CS 9. OrgD Neutral Efficiency All õ -0.5 A review of operating practices has resulted in the identification of 13.4 2. Possible reduced printing and circulation costs and a reduction of 0.5 admin staff 160

# CHILDREN'S SERVICES - PROPOSED EFFICIENCIES

CHIL	DKEN	SERVIC	<u> </u>	PROPOSED EFFICIENCIES			Buc	lget Va	lue	1									
Portfolio	Dept/ Div	Budget heading/ Cost Centre	Corp Strategy theme	Description	Type of budget proposal	Efficiency Classification	09/10 £000	10/11 £000	11/12 £000	Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	Other Comments
CS	CSDR&SS	Admin	2. LLL	In setting the 2008/09 budget the department had to incorporate £100k for the back scanning of social care records to comply with legislation. This exercise involves temporary staffing and equipment costs and the exercise should be completed by 31st March 2009.	Efficiency		100	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	All	oN	-4	Temporary contracts will not be extended for 4 staff.
SO	CSDR&SS	Premature Retirement Costs	2. LLL	The department is continuing to experience reduced costs on its PRC (Premature Retirement Costs) budget as former employees and their dependents die. Based on current commitments, savings of £30,000 are projected in 2009/10.	Efficiency		30	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	IIA	oN	0	
SO	CSDP&SI	Youth	8.StC	A mini restructure as part of integrated working between Connexions and the Youth Service will result in a managerial post being saved. This will release a vacant post yielding a net saving of £40k. There would be no adverse impact on provision for young people.	Efficiency		40	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	All	No	0	This will involve the transfer of a mainstream funded post to PAYP grant funding with longer term risk if grant is discontinued. Currently secure until 2010/2011.
CS	CSD S&SS	Exmoor Grove	3. H&C	Staffing and premises savings have been identified resulting from changes to the shift patterns and opening hours at Exmoor Grove with no adverse impact on service delivery or children accessing service.	Efficiency		90	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	IIA	oN	0	
SO	CSD S&SS	Resource Centres	4. Csa	Efficiencies from maintenance and building costs associated with family resource centres.	Efficiency		20	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	AII	oN	0	
SO	CSD R&SS	Admin Support	2. LLL	Reduction in admin support posts across the Children's Services Department through rationalisation of service and maximising potential benefits of current vacancies.	Efficiency		54	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	IIA	oN	0	These savings can be made without redundancy
CS		School Improvement Partners	2. LLL	Review arrangements in relation to School Improvement Partners and OfSTED inspections to maximise income and reduce costs.	Efficiency		12	6	0	0	1. Low	2. Possible	2	Green	Neutral	IIA	ON	0	Some minimal impact on services to schools.

# CHILDREN'S SERVICES - PROPOSED EFFICIENCIES

							Bud	dget Va	lue										
CS Portfolio	Dept/ Div	Budget heading/ Cost Centre	2. LLL Corp Strategy theme	Description  Deletion of Outdoor Education Co-ordinator post.	Efficiency Type of budget proposal	Efficiency Classification		10/11 £000		Cost of efficiency saving	. Low Risk impact	Unlikely Risk probability .	Risk score	Green Risk status	Neutral Rate the Diversity impact	All Diversity strand(s)	No Treat as contingency item	Staffing Impact	Other Comments  Currently a vacant post.
		Co-ordinators	,		Effic						, in the second	1. U							
SO		School Development & Cumiculum	2. LLL	This budget is used to support "one-off" initiatives and to help those schools in challenging circumstances. Deletion of this funding may increase the risk of schools moving into Ofsted or cause for concern category and/or pupil performance declining.	Efficiency		40	0	0	0	2. Medium	2. Possible	4	Amber	Neutral	AII	No	0	Possible adverse impact on schools
cs	CSD R&SS	Pupil Support	2. בונ	Further reduce the subsidy paid to support attendance at Lanehead and Carlton Outdoor Centres by pupils from low income families. Hartlepool currently provides higher subsidies than Middlesbrough and Redcar and Cleveland Councils although the gap narrowed as a result of the 2008/09 budget.	Efficiency		5	0	0	0	2. Medium	2. Possible	4	Amber	Neutral	AII	No	0	
cs	CSD R&SS	Admin Support	2. בבר	Reduction of admin support posts across the Children's Services Department through rationalisation of service.	Efficiency		126	0	0	0	2. Medium	2. Possible	4	Amber	Neutral	AII	No		These savings can be made whilst maintaining appropriate service levels but could lead to up to 5 redundancies.
SO	CSD R&SS	Primary Swimming	2. בבר	Savings could be made from the use of swimming pools and rationalisation of staff employed to deliver the primary swimming programme. This could involve redundancy costs and/or one off costs to buy out existing contracts.	Efficiency		10	0	0	0	2. Medium	3. Likely	6	Amber	Neutral	All	No	1	

# CHILDREN'S SERVICES - PROPOSED EFFICIENCIES

								dget Va											
Г		Budget		Description			09/10	10/11	11/12	aving £000									Other Comments
		heading/			l _	Ē	09/10 £000	£000	£000	sav					ct		item		
		Cost	4		osa	atic									impact		cy ite		
		Centre	theme		proposal	sific				efficiency						(s)	enc		
					let p	as				effi		lity			ersity	and(s)	ontingeno	act	
			tegy		budget	S S				t of	act	abi	Ð	sn	Dive	stra	cont	Impa	
	e le		Strate		of b	oue				Cost of	impact	probability	score	status	the	rsity	as (	l gr	
	Portfolio Dept/ Div		Corp		Туре	Efficie					Risk	Risk	Risk :	Risk :	Rate 1	Φ	Treat	Staffing	
		_	_			Щ							Ri		F	Div	_	St	
	&SS	guir	Csa	Efficiencies could be realised via improved commissioning and	ncy		26	0	0	0	Medium	ible	4	Amber	Neutral	All	Š	0	
	S	sior	4.	procurement practice with external suppliers of daycare.	Efficiency						Леd	SSC		An	Nec				
	CSD	nis;			Εff						2.	2. Possible							
		Sommissioning										8							
		ŏ																	1
Г							593	6	0										

#### NEIGHBOURHOOD SERVICES - PROPOSED EFFICIENCIES

**Budget Value** Budget Description Other Comments neading/ Cos £000 £000 £000 Centre Treat as contingency item Rate the Diversity impact Type of budget proposal Efficiency Classification Corp Strategy theme Diversity strand(s) Staffing Impact Risk probability Risk status Risk impact Risk score Dept/ Div Portfolio Efficiency NSD PP Unlikely Green Neutral ₹ Š Pride in External Sponsorship for Pride in Hartlepool Hartlepool 9. OrgD Efficiency Green Neutral ΑП £ NSD F&BD Admin Revised reception arrangements at Church Street Offices releasing 1. Unlikely 0.5 FTE NSD PP Efficiency amber Neutral 0 There may be a risk if there are Consumer Savings in licensing operations based on income predictions / changes in these needs. Services operational needs. 2. Medium 2. Possible APHS 2. Medium 2. Possible Neutral NSD PP Cems and 29 amber ALL No Crems (36743) FM ex Restructure building maintenance and management section Property 2. Medium 2. Possible Efficiency Neutral 5. Env Services amber ₹ 8 35 0 0 0 4 1 Efficiency 5. Env amber Neutral Asset and Reconfigure property management service with retirement of staff 2. Medium 2. Possible SH QSN member Property Managemen

		Budget		Description			09/10	10/11	11/12	aving £000									Other Comments
		heading/ Cos Centre					£000	£000									ے		
NC Portfolio	Dept/ Div		Corp Strategy theme		Type of budget proposal	Efficiency Classification				Cost of efficiency	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	
	NSD TS	Road Safety	5. E	Advertising and marketing within road safety section	Efficiency		5	0	0	0		2. Possible	4		Neutral	all	N N	0	e.g. marketing of driver training scheme
uc	MN QSN	Env	5. Env	Rationalisation of supervision of weekend working	Efficiency		10	2	0		2.	2. Possible	4	amber	Neutral	all	ou	0	A review of weekend operations to be carried out to identify areas of efficiency and duplication
ON	MN QSN	Waste Disposal	ш	Reduction of end market costs for the recycling of plastic and cardboard	Efficiency		20	0	0		1. L	1. Unlikely	1	green	Neutral	all	OU	0	
NC	MN QSN	FM	5. E	Restructure of Facilities Management Services	Efficiency		30	0	0			1. Unlikely	1	Green	Neutral	lle	ou	1	
ou	MN QSN	Grounds	5. Env	Reconfigure schools grounds maintenance service releasing 0.5 FT	Efficiency		10	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	lle	ON.	1	

	T	Budget		Description			09/10	10/11	11/12	ğ 0									Other Comments
		heading/ Cos					£000	£000	£000	saving £000									2 23
		Centre								<u>ن</u>							_		
Portfolio	Dept/ Div		Corp Strategy theme		Type of budget proposal	Efficiency Classification				Cost of efficien	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	
NC	MN OSN		5. Env	Fine income generation through the introduction of dog control orde	Efficiency		10	2	2	0	1. Low	1. Unlikely	1	Green	Neutral	lle	ou	0	
ou	SOSN	Warragement	5.	Restructure savings within Neighbourhood Management	Efficiency		80	0	0		2	2. Possible	4	amber	Neutral	all	ou	0	
ou	IIA OSN	overall budgets	9. OrgD	Cash freeze a range of budget headings at 2008/09 level.	Efficiency		25	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	lle	ON	0	
SU.	NSD ALL	overall budgets	9. OrgD	Restructure within each Division to reconfigure service operation, management and income generation	Efficiency		177	0	0	0	2. Medium	2. Possible	4	amber	Neutral	lle	ou	7	
							521	43	25										

**Budget Value** Budget Description 10/11 11/12 Other Comments heading/Cost £000 £000 £000 Treat as contingency item Rate the Diversity impact Type of budget proposal Centre Corp Strategy theme Diversity strand(s) Unlikely Risk probability Staffing Impact Risk impact Risk status Risk score Dept/ Div RS Portfolio Green Low Neutral Reduction in the HBC contribution to the Joint Strategy Unit. It is expected Efficiency 5 0 ŝ Economic Development: that the JSU will once again reduce their budget to reflect the national Contribution to cashable efficiency target. The final saving will depend on the inflation Sub Regional factor used and population statistics applied by the JSU but a reduction in Partnerships the region of £5,000 could be possible with no effect on the council's services 1. Low Green Neutral AII 9 N 운 Housing Reduction of a number of supplies and services headings within the 15 Unlikely Advice / Private Housing Division's budget. A number of minor budgets can be reduced or Sector Housing removed which would together generate a small scale efficiency without a major effect on the service. Efficiency 1. Low Unlikely Green Neutral ₹ S Community Reduction in several supplies and services headings within the Community R&PD CSti Strategy Strategy Division's budget. A number of small budgets can be reduced which would generate a small scale efficiency with a limited negative impact on services. SS Admin Reduction in several supplies and services headings within the Support 1. Low Unlikely Green Neutral Ŧ ž R&PD Services Division's budget. Several budgets can be reduced which would 6 generate a small scale efficiency with only a minimal affect on the service. A mini restructure within the Planning Policy and Information Team and 1. Low Unlikely Green Neutral ₹ ž 10 Planning Policy and reduction in budget for supporting the production of Local Development Regeneration Framework (LDF) related documents by the team and any associated Management research / consultancy support. This does carry some risk to the delivery of a statutory process but nevertheless is deemed manageable within overall budget resources.

							Bu	dget Val	lue										
	Portfolio	Dept/ Div	Budget heading/Cost Centre	Corp Strategy theme	Description	Type of budget proposal	09/10 £000	10/11 £000	11/12 £000	Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	Other Comments
	RS		Inflation Freeze		An inflation freeze imposed on various non contractual budget headings. It is proposed to manage a number of headings without implementing a 2.5% inflation allowance. It is felt that such a freeze could be implemented without a major negative affect on departmental services.	Efficiency	9	0	0	0	1. Lc	2. Possible	2	Green	Neutral	AII	No	0	
	RS	R&PD CSaf	Youth Offending	4. Csa	YOS Commissioning: Youth Offending Service (YOS) provides a comprehensive service to young offenders, and also works with their family and victims. Several services are provided by the voluntary sector, and the Service Level Agreements have been re-negotiated on an annual basis. A programme to re-commission these services will be developed for 2008-2010. Specifications will be reviewed following consultation with service users	Efficiency	4	0	0	0	1. Low	2. Possible	2	Green	Neutral	All	No	0	
1	RS	R&PD CSaf	Youth Offending	4. Csa	YOS Sessional Workers: The Youth Offending Service requires a pool of sessional workers, with different skills, knowledge and experience to support the full-time staff with their supervision of young offenders.  Sessional workers have a contract with HBC which allows them to work flexibly, to suit the requirements for each individual young offender. They are not contracted to work fixed hours per week and are paid by the hour. This proposal will change the funding for sessional workers from HBC mainstream budget, to a grant budget. All other arrangements will remain the same	Efficiency	10	0	0	0	1. Low	2. Possible	2	Green	Neutral	IIA	No	0	

						Bu	dget Va	lue										
Portfolio	Dept/ Div	Budget heading/Cost Centre	Corp Strategy theme	Description	Type of budget proposal			11/12 £000		Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	Other Comments
RS	R&PD CSaf	Community Safety	4. Csa	Cost of Accommodation. HBC currently supports the Police occupation at 6 of the 7 local offices by funding (or contributing to) the rates, repairs and maintenance and rent (where appropriate) of these buildings. One of these buildings (9 Church Street) is however shortly to be vacated by the Police and it is proposed to accommodate the Partnership's Reducing Reoffending Team within this office. Contributions from the Drug Interventions Programme and Probation towards the running costs of the building will result and consequently reduce the cost to the authority.	Efficiency	10	0	0	0	1. Low	2. Possible	2	Green	Neutral	All	No	0.0	

								dget Va											
.:   Part	PORTOILO	Dept/ Div	Budget heading/Cost Centre	Corp Strategy theme	Description	Type of budget proposal	09/10 £000	10/11 £000	11/12 £000	Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	Other Comments
C	CX.	R&PD CSaf	Youth Offending	4. Csa	YOS Admin Post: Due to a full-time vacancy arising with the YOS, a review of the admin capacity has been undertaken and an efficiency saving of 0.5 Fte can be achieved.	Efficiency	10	0	0	0	1. Lo	2. Possible	2	Green	Neutral	IIA	ON.		Some additional risk of not being able to make this saving exists due to lack of clarity in respect of actual staffing budgets available as a result of the Job Evaluation exercise
		R&PD SS	Admin	9. OrgD	Reduction in staffing resources within the Support Services Division. Further work would be required to identify the most appropriate course of action to achieve this efficiency although there appears to be an opportunity (albeit fairly limited) to do this without negatively impacting on existing permanent employees. This would however increase the pressure on team members who at the start of 2007/08 began to support the newly transferred Housing Division with no additional resource.	Efficiency	7	0	0	0	2. Medium	2. Possible	4	Amber	Neutral	All	No	0.3	Some additional risk of not being able to make this saving exists due to lack of clarity in respect of actual staffing budgets available as a result of the Job Evaluation exercise
2		R&PD Ho	Strategic Housing	0. Ho	Reduction in the budget for research activities and specialist studies on Housing. Ongoing specialist work is required to statutorily assess housing needs for the council's housing and planning strategies and to support bids for funding. This proposed reduction does carry risk of the authority failing to adequately identify or respond to local need in statutory services. Some mitigating measures exist through the continuing work with other authorities at the sub regional and regional level and the introduction of Choice Based Lettings will contribute to our understanding of current and emerging housing issues.	Efficiency	10	0	0	0	2. Medium	2. Possible	4	Amber	Neutral	IIA	ON	0	

							dget Va		-									
Portfolio	Dept/ Div	Budget heading/Cost Centre	Corp Strategy theme	Description	Type of budget proposal	09/10 £000	10/11 £000	11/12 £000	Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	Other Comments
RS	R&PD P&ED	Development Control	5. Env	Development Control fee income: projected fee income increase reflects increased fee rates, widened scope of charging for applications (including related to discharging of conditions) and projected level of future applications, based on patterns over 2007-8, 2008-9 to date and assumptions based on known schemes in the pipeline. Such increase would reduce the net cost of the DC service, whilst allowing the maintenance of existing level of service and performance (which contributes towards level of Housing and Planning Delivery Grant received). Fee income level is monitored throughout the year and overall service budget will be managed to take account of any variance from projected fee income level. There is however RISK attached to this proposed efficiency in view of the reliance on external factors and in particular the current uncertainties in relation to the economic climate.	Efficiency	20	0	0	0	2. Medium	2. Possible	4	Amber	Neutral	IIA	No	0	
RS	R&PD Reg	Major Regeneration Projects	5. Env	Major Regeneration Projects: A reduction on this budget heading would be necessary to meet a 3% efficiency saving target. The budget is used primarily to support the Victoria Harbour programme and as such is a high priority. There is a risk of not securing grant funding as a result of this reduction and the lower resource level may slow the momentum of preparation of related schemes.	Efficiency	20	0	0	0	2. Medium	2. Possible	4	Amber	Neutral	IIA	oN	0	Reduction in this budget may not be well-timed given the overall Victoria Harbour position
RS	R&PD P&ED	Economic Development Business Grants	1. Jobs	Business Grants: proposed reduction in budget relies on reinforcing close working relationship with Business Link North East, One NorthEast and other business support agencies and maximising on signposting/referring business applicants to other sources of finance, with reduced call on Council grant funds. Risk of such a reduction however is that it may undermine the incubation strategy and efforts to promote business start-ups and growth, thereby affecting LAA/MAA targets especially in the current credit crisis.	Efficiency	28	0	0	0	3. High	2. Possible	6	Amber	Neutral	AII	No	0	Reduction in this budget runs contrary to strategic priorities in business growth

							dget Val											
Portfolio	Dept/ Div	Budget heading/Cost Centre	Corp Strategy theme	Description	Type of budget proposal	09/10 £000	10/11 £000		Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Treat as contingency item	Staffing Impact	Other Comments
RS	R&PD P&ED	Economic Development Marketing	1. Jobs	Marketing budget: proposed reduction in budget relies on Council being able to benefit from increased levels of awareness-raising, marketing and positive PR generated via other means and agencies, e.g. One NorthEast's Regional Image Strategy, Tall Ships' Race-related PR, property developers' marketing. Risk of such a reduction however is that such other activity is beyond Council control and cannot be guaranteed. There is a case for actually increasing marketing activity related both to property investors/developers/ businesses and to tourists/visitors, given that Hartlepool has an expanding "product" to market, e.g. business units at Queen's Meadow, Tall Ships' Race and potential investment opportunities etc and given the current economic situation .	Efficiency	10	0	0	0	3. High	2. Possible	6	Amber	Neutral	All	ON	0	Reduction in this budget may contradict Regeneration and Planning Scrutiny Forum exercise
				REGENERATION AND PLANNNG TOTAL		176	0	0										

# 2009/2010 BUDGET AND POLICY FRAMEWORK TIMETABLE

# 13/10/08 Cabinet

• Formal consideration and determination of draft 2008/2009 Budget and Policy Framework proposals to be put forward for consultation.

# Late Oct to early Dec

# Main consultation period

• This will include referral of draft Budget and Policy Framework proposals to Scrutiny Co-ordinating Committee, consultation with political groups, trade unions, business sector and neighbourhood forums. Detailed meetings to be scheduled.

# 15/12/08 Cabinet

• Consideration of consultation feedback and finalisation of draft Budget and Policy Framework to be put forward for formal scrutiny.

# Late Dec to mid Jan 09

# Formal Scrutiny period

• Second round of consultation with Scrutiny Co-ordinating Committee, political groups, trade unions, business sector and neighbourhood forums. Detailed meetings to be scheduled.

# 09/02/09 Cabinet

• Consideration of feedback from formal scrutiny and finalisation of Budget and Policy Framework to be referred to Council.

# 12/02/09 Council

• Consideration of Cabinet's Budget and Policy Framework proposals.

# **SCRUTINY CO-ORDINATING COMMITTEE -**

# 31 October 2008



**Report of:** Scrutiny Manager

Subject: IMPENDING COUNCILLOR CALL FOR ACTION

**MECHANISM - UPDATE** 

# 1. PURPOSE OF REPORT

1.1 To make suggestions to Members of the Scrutiny Co-ordinating Committee as to how the Councillor Call for Action mechanism may work in Hartlepool.

# 2. BACKGROUND INFORMATION

- 2.1 The Committee will recall that during the last 18 months, Members have been kept informed of the introduction of the Councillor Call for Action mechanism derived from the Police and Justice Act 2006 and the Local Government and Public Involvement in Health Act 2007 through Members Seminars and progress reports to this Committee.
- 2.2 To recap, earlier this year the Government agreed to the alignment of the two versions of the Community / Councillor Call for Action to create a more simplified Councillor Call for Action referral.
- 2.3 The Councillor Call for Action when introduced will enable all Councillors in England, should an issue not have been resolved through existing channels in the first instance, to refer matters to Overview and Scrutiny Committees for consideration. The aim of this new measure is to provide Councillors with an additional tool, over and above the existing mechanisms, to resolve issues within the ward they represent that are of significant community concern. As such, it is generally seen as a measure of last resort, once all other approaches have been exhausted.
- 2.4 The implementation of this mechanism was originally expected to be April 2008, however, it is understood that local authorities will now be required to implement this new measure by the end of December 2008, dependent on the long awaited publication of guidance from the Department of Communities and Local Government.

2.5 As such several local authorities have already begun to adopt and introduce the Councillor Call for Action; hence the remainder of this report makes suggestions as to how the mechanism may work in Hartlepool.

# 3. PRACTICALTIES OF IMPLEMENTING THE COUNCILLOR CALL FOR ACTION MECHANISM IN HARTLEPOOL

- 3.1 Alongside the development of the Councillor Call for Action mechanism, Councillors in Hartlepool continue to be successful in raising issues on behalf of the community through a variety of mechanisms, including through our existing Overview and Scrutiny arrangements.
- 3.2 On a practical level, consideration needs to given as to how best to implement the measure to fit in with our existing policies and procedures. At present the Council's Constitution enables a variety of bodies namely the Council, Cabinet, individual Cabinet Members, Neighbourhood Forums, regulatory panels and other committees to make either mandatory and / or non-mandatory referrals to Overview and Scrutiny. Such practice also provides the opportunity for individual Members and the general public to make referrals to Overview and Scrutiny through the non-mandatory selection criteria route, although to date this has not been extensively used.
- 3.3 In response to Members observations raised during the Local Government Bill Extended Scrutiny Powers Members Seminars held back in April 2007 together with the experiences gained from local authorities who are currently piloting such arrangements it is proposed that the current procedure used by the above-mentioned bodies remains unchanged and that the process for making referrals of a non-mandatory nature be strengthened to reflect the Councillor Call for Action measure.
- 3.4 As such the existing selection criteria for determining the appropriateness of undertaking a scrutiny investigation triggered either by the non-mandatory / soon to be Councillor Call for Action route has been amended with the insertion of point (a) and the strengthening of point (e) as outlined below:
  - (a) Clear evidence that reasonable attempts have been made to resolve the issue with relevant partners / council departments?
  - (b) Affects a group of people living within the Hartlepool area;
  - (c) Relates to a service, event or issue in which the Council has direct responsibility for, significant influence over or has the capacity to act as public champion;
  - (d) Not be an issue which overview and scrutiny has considered during the last 12 months;
  - (e) Not relate to an *on-going* service complaint; and

- (f) Not relate to matters dealt with by another Council committee, unless the issue deals with procedure and policy related issues.
- 3.5 For ease, outlined at **Appendix A** is a diagram for the proposed procedure for determining the appropriateness of undertaking a scrutiny investigation triggered either by the non-mandatory / impending Councillor Call for Action referral route.
- 3.6 Furthermore, it should be noted that the introduction of the Councillor Call for Action measure requires the Councillor to use every available tool to resolve the issue in the first instance without involving the Scrutiny Co-ordinating Committee, therefore any additional burden should be minimal as the mechanism is designed as a last resort after all other avenues have been exhausted. Whilst the introduction of the Councillor Call for Action measure in many local authorities will be significant, within Hartlepool its impact is more likely to be minimal as a result of existing practices.

# 4. RECOMMENDATIONS

- 4.1 It is recommended that the Scrutiny Co-ordinating Committee:-
  - (a) endorses the revised non-mandatory referral criteria to accommodate the introduction of the Councillor Call for Action measure;
  - (b) seeks the necessary constitutional changes through Constitution Working Group, Constitution Committee and Council thereafter; and
  - (c) requests the Scrutiny Manager to produce an Overview and Scrutiny Introductory Guide to Referrals / Councillor Call for Action for despatch to Elected Members in due course.

**Contact Officer:-** Charlotte Burnham – Scrutiny Manager

Chief Executive's Department - Corporate Strategy

Hartlepool Borough Council

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# **BACKGROUND PAPERS**

No background papers were used in the preparation of this report.

# **APPENDIX A**

# PROPOSED PROCEDURE FOR THE CONSIDERATION OF NON-MANDATORY/ COUNCILLOR CALL FOR ACTION REFERRALS TO OVERVIEW AND SCRUTINY

