

# CABINET AGENDA



**Monday 24 November 2008**

**at 9.00 am**

**in Committee Room B,  
Civic Centre, Hartlepool**

MEMBERS: CABINET:

The Mayor, Stuart Drummond

Councillors Hall, Hargreaves, Hill, Jackson, Payne, and Tumilty

**1. APOLOGIES FOR ABSENCE**

**2. TO RECEIVE ANY DECLARATIONS OF INTEREST BY MEMBERS**

**3. MINUTES**

- 3.1 To receive the Record of Decision in respect of the meeting held on 14 November 2008 (previously circulated)

**4. BUDGET AND POLICY FRAMEWORK**

- 4.1 Quarter 2 – Capital and Accountable Body Programme Monitoring Report 2008/2009 – *Chief Financial Officer*

**5. KEY DECISIONS**

- 5.1 Housing Market Renewal Funding Agreement with English Partnerships – *Director of Regeneration and Planning Services*
- 5.2 Tees Valley Bus Network Major Scheme Bid – *Director of Neighbourhood Services*

**6. OTHER ITEMS REQUIRING DECISION**

- 6.1 Quarter 2 – Corporate Plan and Revenue Financial Management Report  
2008/2009 – *Corporate Management Team*

**7. ITEMS FOR DISCUSSION**

- 7.1 Local Area Agreement (LAA) Quarter 2 (2008/09) Summary of Performance  
Report – *Principal Policy Officer, Community Strategy*

**8. ITEMS FOR INFORMATION**

- 8.1 Quarterly Review of Strategic Risk Register – *Assistant Chief Executive*

**9. LOCAL GOVERNMENT (ACCESS TO INFORMATION) ACT 1985**

**EXEMPT ITEMS**

Under Section 100(A)(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following items of business on the grounds that it involves the likely disclosure of exempt information as defined in the paragraphs referred to below of Part 1 of Schedule 12A of the Local Government Act 1972 as amended by the Local Government (Access to Information) Act 1985

**10. EXEMPT KEY DECISIONS**

- 10.1 Development of a team around the Primary School Pilot Project and a request for an exception to the Contract Procedure Rules (Para 3) – *Director of Children's Services*

**11. OTHER EXEMPT ITEMS REQUIRING DECISIONS**

- 11.1 Future Leisure Facility Options for the Mill House Leisure Centre Site (Para 3) – *Director of Adult and Community Services, Director of Neighbourhood Services and Director of Regeneration and Planning Services*

# **CABINET REPORT**

**24<sup>th</sup> November, 2008**



**Report of:** Chief Financial Officer

**Subject:** QUARTER 2 – CAPITAL AND ACCOUNTABLE  
BODY PROGRAMME MONITORING REPORT  
2008/2009

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## **SUMMARY**

### **1. PURPOSE OF REPORT**

To provide details of progress against the Council's overall Capital budget for 2008/2009 and the spending programmes where the Council acts as the Accountable Body for the period to 30<sup>th</sup> September, 2008.

The report considers the following areas: -

- Capital Monitoring
- Accountable Body Programme Monitoring

### **2. SUMMARY OF CONTENTS**

The report provides detailed monitoring information for each Portfolio up to 30<sup>th</sup> September, 2008.

### **3. RELEVANCE TO CABINET**

Cabinet has overall responsibility for the monitoring of the Council's budgets.

### **4. TYPE OF DECISION**

Variation to approved Budget and Policy Framework.

### **5. DECISION MAKING ROUTE**

Cabinet 24<sup>th</sup> November, 2008.  
Council on 11<sup>th</sup> December, 2008.

**6. DECISION(S) REQUIRED**

It is recommended that:

- i) Cabinet notes the contents of the report; and
- ii) Cabinet seeks Council's approval to provide for £1.5m temporary Prudential Borrowing to cover the costs of house purchases in advance of receiving grant funding.

**Report of:** Chief Financial Officer

**Subject:** QUARTER 2 – CAPITAL AND ACCOUNTABLE  
BODY PROGRAMME MONITORING REPORT  
2008/2009

## 1. PURPOSE OF REPORT

- 1.1 To inform Cabinet of progress against the Council's own 2008/2009 Capital budget and the spending programmes where the Council acts as the Accountable Body for the period to 30<sup>th</sup> September, 2008.
- 1.2 This report considers the following areas: -
- Capital Monitoring;
  - Accountable Body Programme Monitoring.

## 2. BACKGROUND

- 2.1 In line with previous monitoring reports, this document is an integrated comprehensive document that is page numbered, thus allowing Members easier navigation around the report. (See contents table below). The report firstly provides a summary, followed by a section for each Portfolio where more detailed information is provided.

Section	Heading	Page
3	Capital Monitoring	2
4	Accountable Body Programme	2-3
5	Adult and Public Health Service Portfolio	3-4
6	Children's Services Portfolio	4-5
7	Culture, Leisure and Tourism Portfolio	5-6
8	Neighbourhood and Communities Portfolio	6-7
9	Regeneration and Liveability Portfolio	7
10	Finance and Efficiency Portfolio	8-11
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Appendix A	Capital Monitoring – Summary	12
Appendix B	Accountable Body Monitoring - Summary	13
Appendices C-H	Detailed Spend by Portfolio	14-20
Appendix I	Accountable Body Revenue Monitoring	21

- 2.2 This report will be submitted to Scrutiny Co-ordinating Committee for review at the earliest opportunity.

### 3. CAPITAL MONITORING 2008/2009

- 3.1 Expenditure for all Portfolios is summarised at **Appendix A**.
- 3.2 Actual expenditure to 30<sup>th</sup> September, 2008, totals £9,580,600, compared to the approved budget of £32,854,700, leaving £20,612,100 remaining expenditure expected to be spent in 2008/2009 with £2,633,500 being rephased into 2009/2010. There is a small underspend that will be reallocated via SCRAPT.
- 3.3 The main schemes where expenditure is rephased to 2009/2010 are:

Portfolio	£'000
<b>Children's Services, (see section 6.1.4)</b>	
Youth Capital Fund Plus	452
<b>Culture, Leisure &amp; Tourism (see section 7.1.3)</b>	
Skateboard Park	70
Grayfields Sports Junior Pitches	50
Burn Valley Park Beck	34
Countryside Replacement Vehicle	15
Greatham Play Area equipment	9
Jutland Road Play Area upgrade	25
Nicholson Field Allotments	20
<b>Neighbourhood &amp; Communities (see section 8.1.4)</b>	
Hartlepool Transport Interchange	1,401
Anhydrite Mine	185
Highways Improvements Tesco Section	133
Strategy Study, Seaton Carew	200

- 3.4 One item to bring to Members attention relates to the Central Hartlepool Housing Regeneration Scheme. The scheme is currently showing as spending according to budget. However, Officers have been made aware of the possibility of additional funding becoming available which the Council would be in a stronger position to secure if it was able to accelerate expenditure on house purchases. It is recommended that Council are asked to approve additional temporary prudential borrowing of £1.5m to fund this additional expenditure as a contingency in the event that additional grant funding is not obtained. This prudential borrowing will not result in an unbudgeted pressure as it is expected that the resulting capital financing costs would be met by the capital grant.

### 4. ACCOUNTABLE BODY PROGRAMME

- 4.1 The Council acts as Accountable Body for the Hartlepool New Deal for Communities (NDC). As part of its role as Accountable Body the Council needs to be satisfied that expenditure is properly incurred

and is progressing as planned. In addition, the Council has been allocated monies from the Tees Valley Single Programme Partnership (SP). Although, we are not the Accountable Body for the Partnership, the Council still has responsibilities for ensuring that expenditure is properly incurred and progressing as planned. This objective is achieved through a variety of means, including your consideration of monitoring reports for these areas as follows: -

i) **New Deal for Communities (NDC)**

The management of NDC resources is subject to specific Government regulations where the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme. NDC has had confirmation from DCLG and GONE of the capital and revenue split of grant approved for the remaining three years of the programme.

The programme is currently forecasting to fully spend the current years NDC allocation of £4,033,000. There is also another £1,418,300 of expenditure forecast which is funded through other grants, giving a total budget of £5,451,300 for the current financial year.

The latest allocated budget in relation to this target is £5,203,200 and **Appendix B**, Table 1, provides details of the total actual expenditure, as at 30<sup>th</sup> September, 2008, in relation to this target.

In order to ensure that the Partnership achieves as close to its target allocation as possible the NDC Steering Group will approve additional allocations during the year and each project will be closely monitored up to the financial year-end.

There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end

ii) **Single Programme (SP)**

The Council received revenue monies which are allocated by Tees Valley Single Programme Partnership. The Partnership Board approves the annual delivery plan. There are a few residual Single Programme Capital schemes and these are fully funded from other sources. Details of progress against budgets are summarised at Appendix B, Table 2. Schemes are detailed within Appendices H, Table 3 and I, Table 2.

There are no items to bring to Members attention and expenditure will be on target at the year-end.

## 5. ADULT AND PUBLIC HEALTH SERVICE PORTFOLIO

### 5.1 Capital Monitoring for Period Ending 30<sup>th</sup> September, 2008

5.1.1 Details of anticipated and actual capital expenditure as at 30<sup>th</sup> September, 2008, is summarised in **Appendix C** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 30<sup>th</sup> September, 2008
- Column D - Expected remaining expenditure to be incurred in the period October to March, 2009
- Column E - Expenditure Rephased into 2009/2010
- Column F - 2008/2009 Total Expenditure
- Column G - Variance from Budget
- Column H - Type of financing

5.1.2 Detailed analysis of these schemes are on deposit in the Member's Library.

5.1.3 Capital expenditure to date amounts to £79,700, compared to the approved budget of £1,551,400, with £1,471,700 of expenditure remaining.

5.1.4 In terms of the budget actual expenditure to date is minimal. However, it is anticipated that expenditure will be on target at the end of the financial year.

5.1.5 There are no major items to bring to the Portfolio Holder's attention.

## 6. CHILDREN'S SERVICES PORTFOLIO

### 6.1 Capital Monitoring for Period Ending 30<sup>th</sup> September, 2008

6.1.1 Details of anticipated and actual capital expenditure as at 30<sup>th</sup> September, 2008, is summarised in **Appendix D** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 30<sup>th</sup> September, 2008
- Column D - Expected remaining expenditure to be incurred in the period October to March, 2009
- Column E - Expenditure Rephased into 2009/2010
- Column F - 2008/2009 Total Expenditure
- Column G - Variance from Budget
- Column H - Type of financing



- 6.1.2 Detailed analysis of these schemes are on deposit in the Member's Library.
- 6.1.3 **Appendix D** provides a summary of the Children's Service's Capital Programme, which includes schemes funded from specific capital allocations and schemes from the revenue budget which are managed as capital projects owing to the nature of the expenditure and the accounting regulations.
- 6.1.4 Actual expenditure to date amounts to £2,745,000, compared to the approved budget of £8,724,600, with £5,527,600 of expenditure remaining. As reported to Children's Services' Portfolio Holder on 14 October 2008 it is recognised that the Youth Capital Fund Plus will not be spent in 2008/2009, so £452,000 has been rephased into 2009/2010. All other projects will be in line with budget at outturn.
- 6.1.5 There are a number of schemes on the appendix from previous years where the final account balance is still outstanding. Officers are currently working to try and finalise any outstanding payments in this financial year.
- 6.1.6 The items to bring to the Portfolio Holder's attention are: -

The latest school's Capital Works Programme was approved by the Children's Services Portfolio Holder on 12<sup>th</sup> August, 2008. The current over-provision is £48,900. However it is anticipated that contingencies built into existing schemes, revised costing changes and/or additional contributions from schools should result in this over-provision being eliminated. Should this not be achieved any over-provision will have first call on the 2009/2010 capital allocation.

The cost of replacing Bamard Grove School's KS1 Roof has now been confirmed. The previously approved outline cost was £92,000, the revised cost is £63,000. The balance has been utilised to fund increases in costs elsewhere within the Children's Services Capital Programme.

## 7. CULTURE, LEISURE AND TOURISM PORTFOLIO

### 7.1 Capital Monitoring for Period Ending 30<sup>th</sup> September, 2008

- 7.1.1 Details of anticipated and actual capital expenditure as at 30<sup>th</sup> September, 2008, is summarised in **Appendix E** and shows:

Column A - Scheme Title  
 Column B - Budget for Year  
 Column C - Actual expenditure to 30<sup>th</sup> September, 2008  
 Column D - Expected remaining expenditure to be incurred in the period October to March, 2009  
 Column E - Expenditure Rephased into 2009/2010

Column F - 2008/2009 Total Expenditure  
 Column G - Variance from Budget  
 Column H - Type of financing

7.1.2 Detailed analysis of these schemes are on deposit in the Member's Library.

7.1.3 Actual expenditure to date amounts to £344,000, compared to the approved budget of £1,140,200, with £796,200 of expenditure remaining. Owing to time delays in projects and the need to identify further funds it is recognised that some budgets will not be spent in 2008/2009, so £222,200 of the remaining expenditure has been rephased into 2009/2010. Details are shown below: -

Burn Valley Park Beck	£34,000
Countryside – purchase of replacement vehicle	£15,000
Grayfields Sports – creation of junior sports pitches	£50,000
Greatham Play Area equipment	£ 8,600
Jutland Road Play Area upgrade	£25,000
Nicholson Field Allotments	£19,600
Skateboard Park	<u>£70,000</u>
	<b><u>£222,200</u></b>

7.1.4 The £2,000,000 budget for the proposed H<sub>2</sub>O Centre has been excluded from this report. Delays in agreement and commitment to the overall Victoria Harbour plans mean the proposal will not proceed until at least 2010.

The Hartlepool Museum project is currently overspent by £5,400. Further funding is being sought and the project will come in on budget at year end.

All other projects will be in line with budget at outturn.

7.1.5 There are no other items to bring to Portfolio Holder's attention.

## 8. NEIGHBOURHOOD AND COMMUNITIES PORTFOLIO

### 8.1 Capital Monitoring for Period Ending 30<sup>th</sup> September, 2008

8.1.1 Details of anticipated and actual capital expenditure as at 30<sup>th</sup> September, 2008, is summarised in **Appendix F** and shows:

Column A - Scheme Title  
 Column B - Budget for Year  
 Column C - Actual expenditure to 30<sup>th</sup> September, 2008  
 Column D - Expected remaining expenditure to be incurred in the period October to March, 2009  
 Column E - Expenditure Rephased into 2009/2010  
 Column F - 2008/2009 Total Expenditure

Column G - Variance from Budget  
 Column H - Type of financing

8.1.2 Detailed analysis of these schemes are on deposit in the Member's Library.

8.1.3 Actual expenditure to date amounts to £4,617,200, compared to the approved budget of £15,091,600 with £8,515,100 of expenditure remaining. Owing to time delays schemes totalling £1,959,300 will be rephased to 2009/2010. It is expected that expenditure will be in line with budget at outturn.

8.1.4 The main items to bring to the Portfolio Holder's attention are: -

Of the £1,959,300 expenditure to be rephased £1,401,100 relates to the Hartlepool Transport Interchange as the legal agreements with Network Rail will not be completed until the end of this financial year.

£185,000 has been rephased into 2008/2010 on the Anhydrite Mine for future monitoring of further deterioration in the mine.

The Highways Improvement Scheme at Tesco results in £133,200 being rephased into 2009/2010 as investigations are being carried out at Oxford Street junction before going ahead with upgrading the pedestrian crossing.

The rephasing into 2009/2010 of £200,000 on Strategy Study Seaton Carew reflects the intended profile of expenditure over a two year period.

£40,000 has been rephased to 2009/2010 on Coast Protection as the contract is not expected to be signed until early 2009 for works to the North Pier.

## 9. REGENERATION AND LIVEABILITY PORTFOLIO

### 9.1 Capital Monitoring for Period Ending 30<sup>th</sup> September, 2008

9.1.1 Details of anticipated and actual capital expenditure as at 30<sup>th</sup> September, 2008, is summarised in **Appendix G** and shows:

Column A - Scheme Title  
 Column B - Budget for Year  
 Column C - Actual expenditure to 30<sup>th</sup> September, 2008  
 Column D - Expected remaining expenditure to be incurred in the period October to March, 2009  
 Column E - Expenditure Rephased into 2009/2010  
 Column F - 2008/2009 Total Expenditure  
 Column G - Variance from Budget  
 Column H - Type of financing

- 9.1.2 Detailed analysis of these schemes are on deposit in the Member's Library.
- 9.1.3 Actual expenditure to date amounts to £200,400, compared to the approved budget of £626,700 with £426,300 of expenditure remaining. It is expected that expenditure will be in line with budget at outturn.
- 9.1.4 There are no major items to bring to Portfolio Holder's attention.

## **10. FINANCE AND EFFICIENCY PORTFOLIO**

### **10.1 Accountable Body Revenue Monitoring for Period Ending 30<sup>th</sup> September, 2008**

- 10.1.1 The Council acts as Accountable Body for New Deal for Communities and Single Programme Partnerships. Details of progress against the approved revenue budgets are summarised at **Appendix I**.

#### **10.1.2 Appendix I, Table 1 – New Deal for Communities (NDC)**

The management of NDC resources is subject to specific Government regulations where the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme.

The Partnership has been allocated £1,837,700 to spend in 2008/2009 on revenue projects. There is another £940,000 of expenditure forecast which is funded through other grants, giving a total budget of £2,777,000 for the current financial year. Appendix I provides details of the actual expenditure as at 30<sup>th</sup> September, 2008, in relation to this target.

Actual expenditure to date amounts to £878,600, compared to anticipated expenditure of £844,900, resulting in a current adverse variance of £33,700. However, full year expenditure is expected to be on budget.

Government Office North East (GONE) and Department of Communities and Local Government (DCLG) have confirmed that NDC grant clawback in 07/08 can be deployed flexibly (spent on Revenue or Capital) to help progress the delivery plan in accordance with the current programme.

NDC is currently undergoing an Independent review of New Deal for Communities programme delivery and spend, along with the other 39 NDCs in the country.

The purpose of this review is to carry out an independent and consistent financial assessment of each NDC identifying the classification of future years budgets, any risks associated with the spending plans and any flexibility within the spending profiles. This review will form part of the mid year review.

#### 10.1.3 **Appendix I, Table 2 – Single Programme**

These monies are allocated to the Council by Tees Valley Single Programme Partnership. The Council has been allocated £478,700 to spend in 2008/2009 on revenue projects. Actual expenditure to date amounts to £135,900, compared to anticipated expenditure of £239,400, resulting in a current favourable variance of £103,500. It is not expected that there will be a variance at outturn.

- 10.1.4 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

#### 10.2 **Capital Monitoring for Period Ending 30<sup>th</sup> September, 2008**

- 10.2.1 Details of anticipated and actual capital expenditure as at 30<sup>th</sup> September, 2008, is summarised in **Appendix H** and shows:

Column A	-	Scheme Title
Column B	-	Budget for Year
Column C	-	Actual expenditure to 30 <sup>th</sup> September, 2008
Column D	-	Expected remaining expenditure to be incurred in the period October to March, 2009
Column E	-	Expenditure Rephased into 2009/2010
Column F	-	2008/2009 Total Expenditure
Column G	-	Variance from Budget
Column H	-	Type of financing

- 10.2.2 Detailed analysis of these schemes are on deposit in the Member's Library.

#### 10.2.3 **Appendix H, Table 1 – Resources**

Actual capital expenditure to date amounts to £1,594,300, compared to the approved budget of £5,720,200. There is £4,092,000 of expenditure remaining. A total of £58,600 of the savings have been used to cover other schemes overspends, while £33,900 will be reallocated by SCRAP.

- 10.2.4 The main items to bring to the Portfolio Holder's attention are:

##### Seaton Carew Bus Station Refurbishment

Unforeseen additional structural works (stonework repairs, hard works to steps and additional work to asphalt roof) have exceeded

the original budget by £35,600. However, the overspend will be funded by savings from other projects.

#### Refurbishment of War Memorials

As previously reported this scheme is expected to cost an additional £23,000. This is because of increased works cost of the Winged Victory Scheme and additional fees and security costs because of increasing damage being caused to the War Memorials by vandalism. The extra expenditure will be funded from savings elsewhere.  
SCRAPT Programme 2007/2008

Savings have been identified from the slippage of the 2007/2008 SCRAPT Programme. As previously reported these savings have been used to fund the increased cost of refurbishing the War Memorials fund and to the Programme of Works in the toilet facilities at the Civic Centre.

#### 10.2.5 **Appendix H, Table 2 – New Deal for Communities**

The management of NDC resources is subject to specific Government regulations were the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme.

The Partnership has been allocated £2,089,000 to spend in 2008/2009 on capital projects. There is another £478,300 of capital expenditure forecast which is funded through other grants, giving a total budget of £2,567,300 for the current financial year.

The latest allocated budgets in relation to this target is £2,426,200 and Appendix F provides details of the actual expenditure as at 30<sup>th</sup> September, 2008, in relation to this target.

Actual expenditure to date amounts to £846,700, compared to the approved budget of £2,426,200, with £1,579,500 of expenditure remaining.

- 10.2.6 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

#### 10.2.7 **Appendix H, Table 3 – Single Programme**

These projects are residual Single Programme Partnership schemes which are now fully funded from other sources. The budget for the year is £463,200. Actual expenditure to date amounts to £422,800 with £40,400 of expenditure remaining.

- 10.2.8 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

## **11. RECOMMENDATIONS**

- 11.1 It is recommended that Cabinet:

- i) Notes the contents of the report; and
- ii) Approve the proposal to seek Council's approval to increase the Prudential Borrowing budget by £1.5m to cover the costs of house purchases in advance of receiving grant funding.

## 4.1 APPENDIX A

### CAPITAL MONITORING REPORT TO 30th September 2008

Line No	Portfolio	2008/09 Budget	2008/09 Actual to 30/09/2008	2008/09 Expenditure Remaining	2008/09 Expenditure Rephased into 2009/10 £'000	2008/09 Total Expenditure	2008/09 Variance from budget
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F	Col. G (F=D+E) £'000	Col. H £'000
		£'000	£'000	£'000	£'000	£'000	£'000
1	Adult & Public Health Services	1,551.4	79.7	1,471.7	0.0	1,551.4	0.0
2	Children's Services	8,724.6	2,745.0	5,527.6	452.0	8,724.6	0.0
3	Culture, Leisure & Tourism	1,140.2	344.0	579.4	222.2	1,145.6	5.4
4	Neighbourhoods & Communities	15,091.6	4,617.2	8,515.1	1,959.3	15,091.6	0.0
5	Regeneration & Liveability	626.7	200.4	426.3	0.0	626.7	0.0
6	Finance & Efficiency	5,720.2	1,594.3	4,092.0	0.0	5,686.3	(33.9)
7	Total Capital Expenditure	32,854.7	9,580.6	20,612.1	2,633.5	32,826.2	(28.5)



## 4.1 APPENDIX B

### **ACCOUNTABLE BODY PROGRAMMES TO 30TH SEPTEMBER 2008**

Line No	Actual Position 30/09/08			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
				<b><u>TABLE 1 - New Deal for Communities</u></b>			
1	844.9	878.6	33.7	Revenue Projects	2,777.0	2,777.0	0.0
2	846.7	846.7	0.0	Capital Projects	2,426.2	2,426.2	0.0
3	1,691.6	1,725.3	33.7	Total NDC	5,203.2	5,203.2	0.0
				<b><u>TABLE 2 - Single Programme</u></b>			
4	239.4	135.9	(103.5)	Revenue Projects	478.7	478.7	0.0
5	463.2	422.8	(40.4)	Capital Projects	463.2	463.2	0.0
6	702.6	558.7	(143.9)	Total SP	941.9	941.9	0.0

**CAPITAL MONITORING REPORT PERIOD ENDING 30th September 2008**

Project Code	A  Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2008/2009 Budget £'000	2008/2009 Actual as at 30/09/08 £'000	2008/2009 Expenditure Remaining £'000	Expenditure Rephased into 2009/10 £'000	C+D+E 2008/2009 Total Expenditure £'000	F-B 2008/2009 Variance from budget £'000	Type of financing
7229	Cemetery Flooding Works	175.0	0.0	175.0	0.0	175.0	0.0	UDPB
7441	Adult Education - Neighbourhood Learning in Deprived Communities Fund	69.3	32.9	36.4	0.0	69.3	0.0	GRANT
7983	Blakelock Day Centre demolition	170.0	0.0	170.0	0.0	170.0	0.0	CAPREC
7234	Chronically Sick & Disabled Adaptations Equipment Purchases for Clients	113.0	0.0	113.0	0.0	113.0	0.0	GRANT
New	DDA (SCRAPT)	50.0	0.0	50.0	0.0	50.0	0.0	UCPB
7480	Improving Information Management (IIM) - Electronic Social Care Record	5.5	0.8	4.7	0.0	5.5	0.0	GRANT
7481	Improving Information Management (IIM) - IT Infrastructure	46.7	42.7	4.0	0.0	46.7	0.0	GRANT
7479	Improving Information Management (IIM) - Single Assessment Project (SAP)	1.0	0.0	1.0	0.0	1.0	0.0	GRANT
7351	Improving Information Management (IIM) - Systems	4.7	0.0	4.7	0.0	4.7	0.0	GRANT
7616	Learning Disability - Extra Care Housing	308.4	0.0	308.4	0.0	308.4	0.0	GRANT
7578	Lynn Street ATC Demolition	11.3	0.0	11.3	0.0	11.3	0.0	RCCO
7389	Mental Health Projects	354.4	0.1	354.3	0.0	354.4	0.0	SCE(R)
7723	Resettlement Capital Works - Capital Grant	150.0	0.0	150.0	0.0	150.0	0.0	GRANT
7869	Masefield Road, former Rift House Nursery - Purchase & Develop Building	92.1	3.2	88.9	0.0	92.1	0.0	MIX
		1,551.4	79.7	1,471.7	0.0	1,551.4	0.0	

**Key**

RCCO Revenue Contribution towards Capital  
MIX Combination of Funding Types  
UCPB Unsupported Corporate Prudential Borrowing  
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded  
CAP REC Capital Receipt  
UDPB Unsupported Departmental Prudential Borrowing  
SPB Supported Prudential Borrowing

## CAPITAL MONITORING REPORT PERIOD ENDING 30th September 2008

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2008/2009 Budget £'000	2008/2009 Actual as at 30/09/08 £'000	2008/2009 Expenditure Remaining £'000	Expenditure Rephased into 2009/10 £'000	C+D+E 2008/2009 Total Expenditure £'000	F-B 2008/2009 Variance from budget £'000	Type of financing
7930	Barnard Grove - Replace Windows	40.6	12.7	27.9	0.0	40.6	0.0	MIX
7931	Barnard Grove - Replace Roof KS1	63.0	1.2	61.8	0.0	63.0	0.0	MIX
7758	Barnard Grove - New Pipework and Fan Connectors	1.6	1.6	0.0	0.0	1.6	0.0	SCE (R)
7851	Barnard Grove - Refurbish Toilets	31.5	31.5	0.0	0.0	31.5	0.0	MIX
7932	Barnard Grove - New Flooring in Caretakers Bungalow	10.0	0.1	9.9	0.0	10.0	0.0	MIX
7275	Brierton - Relocation to Single Site	6.7	0.0	6.7	0.0	6.7	0.0	MIX
7276	Brierton - Install/Move Boundary Fence	14.3	0.0	14.3	0.0	14.3	0.0	MIX
7277	Brierton - Convert top site to Access 2 Learning School	6.6	0.0	6.6	0.0	6.6	0.0	MIX
7451	Brierton - Build Sports Hall & Sports Facilities	20.4	0.0	20.4	0.0	20.4	0.0	MIX
7420	Brierton - Purchase ICT & Internal Alterations	0.6	0.0	0.6	0.0	0.6	0.0	MIX
7767	Brierton - Upgrade Fire Alarm System	2.1	0.7	1.4	0.0	2.1	0.0	RCCO
7909	Brierton - Replace Roof Dance Studio, Corridors, Changing	108.6	68.6	40.0	0.0	108.6	0.0	MIX
7932	Brougham - Replacement Windows	20.8	16.7	4.1	0.0	20.8	0.0	MIX
7769	Brougham - Resurface Play Area	0.9	0.0	0.9	0.0	0.9	0.0	RCCO
7768	Brougham - Replace Windows at Front & Part Rear	1.4	0.0	1.4	0.0	1.4	0.0	GRANT
7747	Catcote - Caretakers Bungalow Roof Replacement	25.9	21.6	4.3	0.0	25.9	0.0	GRANT
7929	Catcote - Window Replacement	75.7	53.9	21.8	0.0	75.7	0.0	MIX
7915	Catcote - Replace Roof over Kitchen	28.5	20.5	8.0	0.0	28.5	0.0	MIX
7491	Clavering - Replace Roof Phase 4 (06/07)	9.0	0.0	9.0	0.0	9.0	0.0	SCE (R)
7664	Clavering - Create New Foundation Stage Unit	42.8	20.3	22.5	0.0	42.8	0.0	GRANT
7934	Clavering - Replace Hall Roof	22.1	18.2	3.9	0.0	22.1	0.0	MIX
7849	Dyke House - Replace Boilers (07/08)	12.6	3.0	9.6	0.0	12.6	0.0	RCCO
7575	Dyke House - ICT Equipment Purchase	82.7	10.2	72.5	0.0	82.7	0.0	RCCO
7586	Dyke House City Learning Centre - ICT/Equipment Purchase	177.0	0.0	177.0	0.0	177.0	0.0	GRANT
7288	English Martyrs - Build new outdoor Sports Pitch	8.2	0.0	8.2	0.0	8.2	0.0	MIX
7663	Eldon Grove - Erect Perimeter Fence	10.4	0.0	10.4	0.0	10.4	0.0	MIX
7628	Eldon Grove - Major Internal Works	0.2	0.2	0.0	0.0	0.2	0.0	RCCO
7760	Fens - Replace Fan Connectors & Radiators (Ph 1)	3.6	0.8	2.8	0.0	3.6	0.0	GRANT
7740	Fens - New Office Extension	20.0	0.0	20.0	0.0	20.0	0.0	RCCO
7916	Fens - Renew Heating Distribution System	59.2	45.0	14.2	0.0	59.2	0.0	MIX
7935	Fens - Replace Windows	77.7	57.7	20.0	0.0	77.7	0.0	MIX
7981	Fens - Extension to School to Build Children's Centre	5.0	0.0	5.0	0.0	5.0	0.0	GRANT
7729	Golden Flatts - Window Replacement (07/08)	3.3	0.0	3.3	0.0	3.3	0.0	MIX
7527	Golden Flatts - Improve Kitchen Ventilation	12.7	0.0	12.7	0.0	12.7	0.0	GRANT
7923	Golden Flatts - Window Replacement Kitchen / Dining	41.4	32.9	8.5	0.0	41.4	0.0	MIX
7295	Grange - Replace Classrooms (03/04)	26.3	0.0	26.3	0.0	26.3	0.0	GRANT
7815	Grange - Internal Works to Kitchen	17.8	0.0	17.8	0.0	17.8	0.0	RCCO
7924	Grange - Window Replacement Office	49.1	37.8	11.3	0.0	49.1	0.0	MIX
7851	Greatham - Refurbish Toilets	58.8	58.8	0.0	0.0	58.8	0.0	MIX
7937	Greatham - Window Replacement Hall & Conservatory	66.4	50.4	16.0	0.0	66.4	0.0	MIX
7920	High Tunstall - Replace Roof Admin Block	77.1	0.3	76.8	0.0	77.1	0.0	MIX
7500	High Tunstall - Refurbish Classrooms / Equipment Purchase	3.1	0.0	3.1	0.0	3.1	0.0	GRANT
	Jesmond Rd - Relocate Nursery to form Foundation Unit, installation of ramps & internal works	5.8	0.0	5.8	0.0	5.8	0.0	MIX
7949	Jesmond Road - Renew Heating System in Reception	13.5	9.7	3.8	0.0	13.5	0.0	MIX
7925	Jesmond Road - Install Kitchen Equipment / Develop Kitchen	156.0	61.7	94.3	0.0	156.0	0.0	MIX
7773	Kingsley - Caretakers Bungalow Heating	0.7	0.0	0.7	0.0	0.7	0.0	GRANT
7469	Kingsley - Extension to School for Children's Centre	129.7	129.7	0.0	0.0	129.7	0.0	GRANT
7772	Kingsley - Window Replacement	10.0	0.0	10.0	0.0	10.0	0.0	GRANT
7939	Kingsley - Hall Roof Replacement	42.0	32.0	10.0	0.0	42.0	0.0	MIX
7938	Kingsley - Window Replacement North Elevation	88.3	0.3	88.0	0.0	88.3	0.0	MIX
7976	Kingsley - Convert Storage Areas into classrooms (inc poss extn)	50.9	37.5	13.4	0.0	50.9	0.0	MIX
7057	Lynnfield - Build Community Facility	17.8	15.2	2.6	0.0	17.8	0.0	GRANT
7762	Lynnfield - Boiler Plant Replacement	9.6	0.6	9.0	0.0	9.6	0.0	GRANT
7926	Lynnfield - Upgrade Toilets inc DDA	33.1	0.0	33.1	0.0	33.1	0.0	MIX
7312	Manor - Build New Science Lab	6.6	0.0	6.6	0.0	6.6	0.0	MIX
7565	Manor - Upgrade Fire Alarm System & Electrical Works	0.1	0.1	0.0	0.0	0.1	0.0	GRANT
7568	Manor - Develop New SEN/Resource Centre	2.3	2.3	0.0	0.0	2.3	0.0	MIX
7314	Manor - Build E-Learning Centre	21.5	7.5	14.0	0.0	21.5	0.0	MIX
7824	Manor - Improve Stage Access	2.0	0.0	2.0	0.0	2.0	0.0	MIX
7912	Manor - Replace External Doors - Improve Security	40.3	27.5	12.8	0.0	40.3	0.0	MIX
7910	Manor - External Decoration / Window Replacement	20.8	0.0	20.8	0.0	20.8	0.0	MIX
7940	Owton Manor - Replace Windows & External Doors	100.0	80.3	19.7	0.0	100.0	0.0	MIX
7819	Rift House - ICT Development	14.2	12.6	1.6	0.0	14.2	0.0	GRANT
	Rift House - Relocation of Nursery & Refurbish Existing Nursery to create a Children's Centre	28.9	18.3	10.6	0.0	28.9	0.0	GRANT
7775	Rift House - Window Replacement (07/08)	3.2	0.0	3.2	0.0	3.2	0.0	GRANT
7850	Rift House - Disabled Toilet/Entrance Works	30.7	18.5	12.2	0.0	30.7	0.0	SCE (R)
7927	Rift House - Upgrade Toilets	66.6	58.2	8.4	0.0	66.6	0.0	MIX
7928	Rift House - Resurface Car Park / Pedestrian Access	35.0	10.6	24.4	0.0	35.0	0.0	MIX
7563	Rossmere - Kitchen Boiler Replacement	5.9	0.0	5.9	0.0	5.9	0.0	GRANT
7941	Rossmere - Window Replacement Dining Hall	91.0	1.1	89.9	0.0	91.0	0.0	MIX
7917	Springwell - Install Mobile Classroom	150.6	121.7	28.9	0.0	150.6	0.0	MIX
7323	Stranton - Build New Community Facility	21.9	21.9	0.0	0.0	21.9	0.0	MIX
7763	Stranton - Replace Windows (07/08)	3.9	0.0	3.9	0.0	3.9	0.0	GRANT
7597	Stranton - Develop Outside Play Area	0.5	0.0	0.5	0.0	0.5	0.0	GRANT
7888	Stranton - Purchase & Install CCTV	2.3	0.0	2.3	0.0	2.3	0.0	RCCO
7944	Stranton - Window Replacement	37.9	28.1	9.8	0.0	37.9	0.0	MIX
7978	Stranton - Extension to Children's Centre	296.4	0.7	295.7	0.0	296.4	0.0	MIX

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7567	St Cuthberts - Boiler Replacement	1.5	1.5	0.0	0.0	1.5	0.0	MIX
7327	St Helens - Kitchen Refurbishment	0.1	0.0	0.1	0.0	0.1	0.0	GRANT
7943	St Helens - Replace Roof KS2 Area	71.1	0.2	70.9	0.0	71.1	0.0	MIX
7597	St John Vianney - Starfish Daycare Outside Play Area	31.6	0.0	31.6	0.0	31.6	0.0	GRANT
7330	St Teresa's - Extension to build Childrens Centre	2.1	0.0	2.1	0.0	2.1	0.0	GRANT
7997	St Hilds - Build Classroom of the Future	30.0	1.1	28.9	0.0	30.0	0.0	MIX
7946	Throston - Install Lift	53.8	10.6	43.2	0.0	53.8	0.0	MIX
7945	Throston - Rewire School Electrics	71.8	57.8	14.0	0.0	71.8	0.0	MIX
7981	Throston - Extension to Build Children's Centre	324.8	0.4	324.4	0.0	324.8	0.0	GRANT
7873	Ward Jackson - Extend Car Park	1.3	0.0	1.3	0.0	1.3	0.0	RCCO
7918	Ward Jackson - Window Replacement	42.9	0.8	42.1	0.0	42.9	0.0	MIX
-	Ward Jackson - Increase size of office (internal works)	50.0	0.0	50.0	0.0	50.0	0.0	MIX
7776	West Park - Pipework (Phase 1)	9.0	0.0	9.0	0.0	9.0	0.0	GRANT
7828	West Park - Refurbish KS2 Toilets	49.2	0.0	49.2	0.0	49.2	0.0	MIX
7919	West Park - KS1 and Staff Toilet Upgrade	48.2	0.0	48.2	0.0	48.2	0.0	MIX
7848	West Park - Security Works ie. Fencing	45.0	40.2	4.8	0.0	45.0	0.0	RCCO
7598	West View - Improve / Refurbish Nursery & Reception	18.8	6.9	11.9	0.0	18.8	0.0	GRANT
7340	West View - Develop Football Facilities	5.5	0.0	5.5	0.0	5.5	0.0	GRANT
7730	West View - Remodel KS2 Offices	0.6	0.6	0.0	0.0	0.6	0.0	GRANT
7948	West View - Replace Windows	51.0	0.9	50.1	0.0	51.0	0.0	MIX
7342	Carlton Outdoor Centre Redevelopment Phase 1 - New Accommodation Block; Create Meeting Room & Storage; Develop Challenge Course and other on-site adventure opportunities	1.1	1.1	0.0	0.0	1.1	0.0	MIX
7863	Carlton Outdoor Centre - Redevelopment of Site (Proposed Phase 2 although works/funding to be determined)	90.0	0.0	90.0	0.0	90.0	0.0	MIX
7641	Carlton Outdoor Centre - Purchase & Install Challenge Course and Climbing Wall	5.1	0.0	5.1	0.0	5.1	0.0	MIX
7820	Improve Ventilation in Classrooms - Various Schools	0.3	0.0	0.3	0.0	0.3	0.0	RCCO
7521	Children's Centres - Miscellaneous Capital Expenditure	0.6	0.0	0.6	0.0	0.6	0.0	GRANT
7429	Children's Centres - IT and Tel	3.3	0.0	3.3	0.0	3.3	0.0	GRANT
-	Early Years (General Sure Start Grant) Capital - to be allocated	23.9	0.0	23.9	0.0	23.9	0.0	GRANT
7979	Children's Centres - Maintenance	20.1	2.1	18.0	0.0	20.1	0.0	GRANT
7428	Workforce Remodelling - Misc School Projects to better utilise space	42.6	0.0	42.6	0.0	42.6	0.0	GRANT
7384	Devolved Capital - Various Misc Individual School Projects	1153.0	306.8	846.2	0.0	1,153.0	0.0	GRANT
7463	Youth Capital Fund - Spend to be determined by Young People	79.3	13.7	65.6	0.0	79.3	0.0	GRANT
7437	Playing for Success - Develop New Classroom at H'pool Utd	0.5	0.0	0.5	0.0	0.5	0.0	MIX
7421	School Travel Plans - Develop Cycle Storage at Schools	81.4	11.1	70.3	0.0	81.4	0.0	GRANT
7858	Computers for Pupils	530.4	272.1	258.3	0.0	530.4	0.0	GRANT
7779	Improve Ventilation in ICT Suites (O Manor, Rossmere, W View)	5.7	0.0	5.7	0.0	5.7	0.0	RCCO
7447	Purchase of Interactive Whiteboards (Various Schools)	2.1	0.0	2.1	0.0	2.1	0.0	GRANT
7344	Brinkburn Pool - Reinstatement of Pool after Fire	0.6	0.0	0.6	0.0	0.6	0.0	MIX
7814	ICT / Mobile Technology for Children's Social Workers	27.9	1.5	26.4	0.0	27.9	0.0	GRANT
7652	Sure Start Central - Refurbish daycare suite at Chatham House	6.3	6.3	0.0	0.0	6.3	0.0	GRANT
7426	Purchase & Install new Integrated Children's Computerised System for Children & Families	190.0	0.0	190.0	0.0	190.0	0.0	GRANT
7901	Purchase & Install CELTICS Project - Case Management System for Children's & Families Team	350.2	99.4	250.8	0.0	350.2	0.0	GRANT
7388	Sure Start Central - Improvement Works at Lowthian Road	2.7	0.0	2.7	0.0	2.7	0.0	MIX
7210	Capital Grant Contribution for Rift House N'hood Nursery	4.3	0.0	4.3	0.0	4.3	0.0	MIX
7668	Children's Centres - General Equipment	1.5	1.5	0.0	0.0	1.5	0.0	GRANT
7506	Sure Start Central - Chatham Road Capital Works	5.9	0.0	5.9	0.0	5.9	0.0	MIX
8007	Sure Start Central - Chatham Road, Garage Conversion & Equip	33.8	0.0	33.8	0.0	33.8	0.0	GRANT
-	Harvesting Technology Grant - ICT for Schools	350.5	0.0	350.5	0.0	350.5	0.0	GRANT
7898	BSF - Purchase additional accommodation for Brierton pupils	595.0	476.1	118.9	0.0	595.0	0.0	MIX
7975	BSF - Demolition of Brierton Upper School Buildings (PRU)	410.0	0.9	409.1	0.0	410.0	0.0	SCE®
7953	Supply and Install Mobile Classrooms	284.0	170.6	113.4	0.0	284.0	0.0	MIX
7977	Information System for Parents & Providers Project	18.6	0.0	18.6	0.0	18.6	0.0	GRANT
7597	Sure Start South - Bushbaby Daycare - Outside Play Area	26.2	0.0	26.2	0.0	26.2	0.0	GRANT
8001	Capital Grants to External Nurseries	131.7	0.0	131.7	0.0	131.7	0.0	GRANT
-	Youth Capital Fund Plus - (Major Capital Scheme to be determined by young people)	452.0	0.0	0.0	452.0	452.0	0.0	GRANT
		8,724.6	2,745.0	5,527.6	452.0	8,724.6	0.0	

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MIX Combination of Funding Types  
UCPB Unsupported Corporate Prudential Borrowing  
SCE® Supported Capital Expenditure (Revenue)

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CAP REC Capital Receipt  
UDPB Unsupported Departmental Prudential Borrowing  
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7712	Bridge Community Centre - Demolition	127.0	71.2	55.8	0.0	127.0	0.0	UCPB
7713	Burbank Community Centre - Refurbishment	7.5	0.0	7.5	0.0	7.5	0.0	UCPB
7881	Burn Valley Garden Sewers/Drainage Works	15.0	4.0	11.0	0.0	15.0	0.0	GRANT
7651	Burn Valley Park Beck - Reduce Flood Risk/Landscaping	68.9	0.0	34.9	34.0	68.9	0.0	MIX
7003	Carnegie Building Refurbishment	16.5	0.0	16.5	0.0	16.5	0.0	MIX
7377	Central Library - Lighting Improvements	38.0	25.4	12.6	0.0	38.0	0.0	RCCO
7877	Central Library - Disabled Toilet	4.7	4.7	0.0	0.0	4.7	0.0	RCCO
7986	Central Library - Installation of Self-Issue System	85.0	0.0	85.0	0.0	85.0	0.0	MIX
7893	Clavering Play Area - Tarmac Surface	30.0	9.0	21.0	0.0	30.0	0.0	RCCO
7375	Countryside - Purchase Replacement Vehicle	15.0	0.0	0.0	15.0	15.0	0.0	MIX
7864	Foreshore - Replacement Lifeguard Vehicle	9.0	0.0	9.0	0.0	9.0	0.0	RCCO
7992	Grayfields Sports - Construct Four Junior Sports Pitches	50.0	0.0	0.0	50.0	50.0	0.0	MIX
7213	Grayfields Sports Pavillion - Changing Rooms	47.0	16.0	31.0	0.0	47.0	0.0	MIX
7382	Greatham Play Area Equipment	8.6	0.0	0.0	8.6	8.6	0.0	MIX
7865	Hartlepool Museum - Internal Works for new display areas	175.6	181.0	0.0	0.0	181.0	5.4	MIX
7001	Headland Community Resource Centre extension	18.4	0.0	18.4	0.0	18.4	0.0	MIX
7002	Headland Sports Hall - Sports Improvement	19.7	0.0	19.7	0.0	19.7	0.0	MIX
7995	Installation of Induction Loops for Hard of Hearing at 23 Council buildings	15.0	0.0	15.0	0.0	15.0	0.0	UCPB
7831	Jutland Road Community Centre - Internal Alterations	2.6	1.2	1.4	0.0	2.6	0.0	MIX
7414	Jutland Road Play Area Upgrade	55.0	0.0	30.0	25.0	55.0	0.0	MIX
7996	Maritime Entranceway	5.0	0.0	5.0	0.0	5.0	0.0	UCPB
7994	Mill House Leisure Centre Doors - Disabled Access	5.0	0.0	5.0	0.0	5.0	0.0	UCPB
7887	Nicholson Fields Allotments - Security Improvements	19.6	0.0	0.0	19.6	19.6	0.0	RCCO
7845	Rossmere - Pitch Improvements	5.0	0.0	5.0	0.0	5.0	0.0	GRANT
7991	St Patricks Multi Use Games Area - Design works	2.4	0.0	2.4	0.0	2.4	0.0	RCCO
7771	Seaton Allotments, Station Lane - Drainage Works	2.1	0.0	2.1	0.0	2.1	0.0	MIX
7215	Seaton Carew Cricket Club Grant - Ground Imps	9.7	0.0	9.7	0.0	9.7	0.0	CAPR
7203	Sir William Gray House - Disability Improvements	2.6	0.0	2.6	0.0	2.6	0.0	MIX
New	Skateboard Park Development	70.0	0.0	0.0	70.0	70.0	0.0	RCCO
7844	Town Moor - Develop Multi Use Games Area	26.0	0.0	26.0	0.0	26.0	0.0	MIX
7590	Ward Jackson Park Car Park - Improvement Works	76.4	1.0	75.4	0.0	76.4	0.0	MIX
7990	Ward Jackson Park - Bandstand Shutters	3.9	0.0	3.9	0.0	3.9	0.0	MIX
7354	Ward Jackson Park - Fountain	4.0	0.0	4.0	0.0	4.0	0.0	MIX
7993	Tall Ships Event - Hart Road Pedestrian Crossing	100.0	30.5	69.5	0.0	100.0	0.0	MIX
		1,140.2	344.0	579.4	222.2	1,145.6	5.4	

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7272	Wheelie Bin Purchase	51.5	51.5	0.0	0.0	51.5	0.0	UDPB
7465	Recycling Scheme	71.8	15.1	56.7	0.0	71.8	0.0	UDPB
7821	Waste Performance Efficiency Grant	160.6	0.0	160.6	0.0	160.6	0.0	GRANT
7404	HRA Residual Expenditure	9.1	0.0	9.1	0.0	9.1	0.0	CAP REC
7218	Disabled Facility Grants	561.3	221.6	339.7	0.0	561.3	0.0	GRANT
7230	Central Hartlepool Housing Regeneration Scheme	4,261.6	1,357.6	2,904.0	0.0	4,261.6	0.0	GRANT
7219	Home Plus Grants (provided by Endeavour HA)	90.0	21.3	68.7	0.0	90.0	0.0	GRANT
7231	Housing Thermal Efficiency	120.4	0.0	120.4	0.0	120.4	0.0	GRANT
7220	Private Sector Housing Grants	359.3	101.6	257.7	0.0	359.3	0.0	GRANT
7720	Public Conveniences	948.1	151.8	796.3	0.0	948.1	0.0	UDPB
7025	Headland Paddling Pool	12.5	9.9	2.6	0.0	12.5	0.0	MIX
7206	CSS Social Lighting Programme	4.4	0.0	4.4	0.0	4.4	0.0	UCPB
7207	LTP-Community Safety-Car Park Security/CCTV	69.6	0.0	69.6	0.0	69.6	0.0	SPB
7235	Low Floor Infrastructure	30.0	6.3	23.7	0.0	30.0	0.0	SPB
7236	Bus Shelter Improvements	69.4	33.9	35.5	0.0	69.4	0.0	SPB
7237	Cycle Routes General	5.0	4.3	0.7	0.0	5.0	0.0	MIX
7240	Hartlepool Transport Interchange	2,033.4	132.3	500.0	1,401.1	2,033.4	0.0	SPB
7241	Dropped Crossings	30.0	23.5	6.5	0.0	30.0	0.0	SPB
7242	Other Street Lighting	82.4	0.4	82.0	0.0	82.4	0.0	MIX
7244	Travel Plans	10.0	0.0	10.0	0.0	10.0	0.0	SPB
7245	LTP-Cycle Parking	10.0	1.8	8.2	0.0	10.0	0.0	GRANT
7247	Bus Quality Corridor	15.0	0.0	15.0	0.0	15.0	0.0	SPB
7250	Sustainable Travel Awareness	10.0	3.3	6.7	0.0	10.0	0.0	GRANT
7251	Public Transport CCTV	10.0	0.0	10.0	0.0	10.0	0.0	GRANT
7252	Safer Streets Initiative	20.0	2.8	17.2	0.0	20.0	0.0	GRANT
7265	Coastal Protection Strategic Study	2.0	0.0	2.0	0.0	2.0	0.0	GRANT
7424	Pride in Hartlepool	32.1	4.9	27.2	0.0	32.1	0.0	UCPB
7455	Hart Lane Road Safety Improvements	95.7	95.7	0.0	0.0	95.7	0.0	MIX
7487	Local Transportation Plan-Monitoring	5.0	1.4	3.6	0.0	5.0	0.0	SPB
7499	Lithgo Close - Contaminated Land	1,787.3	991.5	795.8	0.0	1,787.3	0.0	MIX
7508	Anhydrite Mine	235.0	4.3	45.7	185.0	235.0	0.0	MIX
7540	LTP - Tees Valley Major Scheme Bid	2.7	2.7	0.0	0.0	2.7	0.0	SPB
7541	Safer Routes to School	76.1	3.4	72.7	0.0	76.1	0.0	GRANT
7542	LTP - Parking Lay-By	25.0	0.0	25.0	0.0	25.0	0.0	SPB
7544	LTP - Shop Mobility	40.0	0.0	40.0	0.0	40.0	0.0	SPB
7545	LTP - Motorcycle Training	21.0	21.0	0.0	0.0	21.0	0.0	GRANT
7546	LTP - Road Safety Education & Training	19.0	0.0	19.0	0.0	19.0	0.0	GRANT
7549	LTP - Other Bridge Schemes	70.0	32.6	37.4	0.0	70.0	0.0	SPB
7580	Highways Remedial Works - Hartlepool Marina	4.5	0.9	3.6	0.0	4.5	0.0	TDC
7581	Tees Valley Boundary Signs	5.4	0.0	5.4	0.0	5.4	0.0	GRANT
7624	LTP - Headland Traffic Management	27.9	0.0	27.9	0.0	27.9	0.0	SPB
7644	LTP - School Travel Plans	15.0	2.1	12.9	0.0	15.0	0.0	SPB
7645	LTP - General	144.1	1.6	142.5	0.0	144.1	0.0	SPB
7706	Waterproofing Phase 2 - Multi Storey Car Park	659.5	642.1	17.4	0.0	659.5	0.0	UCPB
7707	Highways Maintenance - Other Schemes (non LTP)	40.0	0.0	40.0	0.0	40.0	0.0	UCPB
7714	Owton Manor Lane Shops	9.0	9.0	0.0	0.0	9.0	0.0	SPB
7734	LTP - Hart Lane/Wiltshire Way Junction Improvement 07-08	79.0	6.6	72.4	0.0	79.0	0.0	SPB
7736	LTP - York Road (Park Road to Lister Street)	788.8	0.8	788.0	0.0	788.8	0.0	SPB
7805	LTP - Footpath - West View Road	8.4	8.4	0.0	0.0	8.4	0.0	SPB
7810	Clavering Area Traffic Management	1.7	1.7	0.0	0.0	1.7	0.0	SPB
7835	LTP - Clavering Area Traffic Management	21.2	21.2	0.0	0.0	21.2	0.0	MIX
7838	LTP - Tees Road Footways (west side)	88.5	63.8	24.7	0.0	88.5	0.0	GRANT
7846	Raby Road Puffin Crossing	17.4	17.4	0.0	0.0	17.4	0.0	UCPB
7847	Coast Protection - Headland Fencing and Promenade	27.6	0.0	27.6	0.0	27.6	0.0	CAP REC
7852	Highway Improvements - TESCO Section 106 Expenditure	333.2	166.4	33.6	133.2	333.2	0.0	GRANT
7856	Stranton Traffic Management	40.0	0.0	40.0	0.0	40.0	0.0	SPB
7891	Strategy Study - Seaton Carew	715.8	3.9	511.9	200.0	715.8	0.0	GRANT
7899	Coast Protection	100.0	0.0	60.0	40.0	100.0	0.0	UCPB
7906	Bryan Hanson House On Street Parking	89.8	55.9	33.9	0.0	89.8	0.0	UDPB
7956	LTP-Cycle Route Signage	5.0	0.0	5.0	0.0	5.0	0.0	SPB
7957	LTP-Seaton Carew Railway Station Improvements	50.0	0.0	50.0	0.0	50.0	0.0	SPB
7960	LTP-Highway Signage Improvements	6.4	0.0	6.4	0.0	6.4	0.0	SPB
7961	LTP-School 20mph Zones	0.3	0.3	0.0	0.0	0.3	0.0	SPB
7962	LTP-HM-Wooler Road Carriageway Reconstruction	156.0	156.0	0.0	0.0	156.0	0.0	SPB
7963	LTP-HM-Holdforth Road Carriageway Reconstruction	127.5	127.5	0.0	0.0	127.5	0.0	SPB
7972	Other Traffic Management Schemes	38.3	31.7	6.6	0.0	38.3	0.0	SPB
7973	Other Safety Schemes	25.0	2.4	22.6	0.0	25.0	0.0	GRANT
7984	King Oswy Drive Cycleway Improvements	10.0	1.0	9.0	0.0	10.0	0.0	SPB
		15,091.6	4,617.2	8,515.1	1,959.3	15,091.6	0.0	

## Key

RCCO Revenue Contribution towards Capital  
MIX Combination of Funding Types  
UCPB Unsupported Corporate Prudential Borrowing  
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded  
CAP REC Capital Receipt  
UDPB Unsupported Departmental Prudential Borrowing  
SPB Supported Prudential Borrowing

**CAPITAL MONITORING REPORT PERIOD ENDING 30th September 2008**

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B 2008/2009 Budget £'000	C 2008/2009 Actual at 30/09/08 £'000	D 2008/2009 Expenditure Remaining £'000	E Expenditure Rephased into 2009/10 £'000	F C+D+E 2008/2009 Total Expenditure £'000	G F-B 2008/2009 Variance from budget £'000	H Type of financing
7012	Headland Regeneration Programme	4.2	3.9	0.3	0.0	4.2	0.0	CAP REC
7013	Headland Town Square	36.7	36.7	0.0	0.0	36.7	0.0	MIX
7015	Targeted Private Housing Improvements	39.2	0.0	39.2	0.0	39.2	0.0	CAP REC
7021	Heugh Battery Phase 2b	156.6	145.8	10.8	0.0	156.6	0.0	GRANT
7368	Building Safer Communities	5.8	2.3	3.5	0.0	5.8	0.0	GRANT
7417	Friarage Field Building Demolition	6.6	0.0	6.6	0.0	6.6	0.0	CAP REC
7431	Community Safety Strategy	153.7	0.0	153.7	0.0	153.7	0.0	UCPB
7866	Friarage Manor House	19.2	1.0	18.2	0.0	19.2	0.0	CAP REC
7878	Community Safety CCTV Upgrade	14.7	10.7	4.0	0.0	14.7	0.0	UCPB
7895	Econ. Devt - Indl & Comm-Grants to Businesses	100.0	0.0	100.0	0.0	100.0	0.0	UCPB
7896	BEC Toilet & Shower Facilities	40.0	0.0	40.0	0.0	40.0	0.0	UCPB
7897	Regeneration Match Funding	50.0	0.0	50.0	0.0	50.0	0.0	UCPB
		626.7	200.4	426.3	0.0	626.7	0.0	

**Key**

RCCO	Revenue Contribution towards Capital	GRANT	Grant Funded
MIX	Combination of Funding Types	CAP REC	Capital Receipt
UCPB	Unsupported Corporate Prudential Borrowing	UDPB	Unsupported Departmental Prudential Borrowing
SCE ®	Supported Capital Expenditure (Revenue)	SPB	Supported Prudential Borrowing

## ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2008

TABLE 1 - NEW DEAL FOR COMMUNITIES

Line No	Actual Position 30/09/08			Scheme Title	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	22.8	19.8	(3.0)	Longhill - Site Manager	48.2	48.2	0.0
2	56.8	56.8	0.0	Longhill - ILM Scheme	38.7	38.7	0.0
3	(83.3)	(83.7)	(0.4)	Longhill CCTV	25.1	25.1	0.0
4	44.9	44.9	0.0	Enterprise Development Package - Enterprise Support Scheme	93.4	93.4	0.0
5	0.3	0.9	0.6	Commercial Areas - Building Modernisation	0.3	0.3	0.0
6	21.8	21.6	(0.2)	Commercial Areas - Bus Support Manager	46.3	46.3	0.0
7	58.1	58.1	0.0	Opening Doors - Phase 2	106.2	106.2	0.0
8	0.0	0.0	0.0	Sure Start Extension	53.5	53.5	0.0
9	31.8	25.8	(6.0)	Low Level Support - Phase II	56.8	56.8	0.0
10	0.0	0.0	0.0	Drug Outreach	16.1	16.1	0.0
11	8.0	8.1	0.1	Childrens Emotional Wellbeing	49.1	49.1	0.0
12	0.0	0.0	0.0	Football Development Officer	39.6	39.6	0.0
13	76.3	77.9	1.6	Peoples Access to Health	141.1	141.1	0.0
14	3.0	2.4	(0.6)	Young Persons Emotional Wellbeing	9.2	9.2	0.0
15	59.5	82.9	23.4	Community Wardens	59.5	59.5	0.0
16	0.0	0.0	0.0	Target Hardening - Phase 3	3.3	3.3	0.0
17	3.5	2.5	(1.0)	Community Safety Grants Pool	6.5	6.5	0.0
18	0.0	0.0	0.0	Selective Licensing	37.6	37.6	0.0
19	7.4	7.3	(0.1)	Victim Support	15.1	15.1	0.0
20	1.0	0.0	(1.0)	Voluntary Sector Pool	4.5	4.5	0.0
21	6.5	5.3	(1.2)	Crime Premises	29.5	29.5	0.0
22	0.0	0.0	0.0	Police Community Support Officers	12.5	12.5	0.0
23	1.3	0.0	(1.3)	Dordrecht	1.3	1.3	0.0
24	0.0	0.0	0.0	CCTV Implementation - Phase 3	47.4	47.4	0.0
25	0.0	0.0	0.0	Offendering / Mentoring Scheme	5.2	5.2	0.0
26	10.5	14.5	4.0	Anti-Social Behaviour	17.3	17.3	0.0
27	0.0	0.0	0.0	Anti-Social Behaviour - Phase 2	37.7	37.7	0.0
28	0.0	0.0	0.0	Community Learning Centre - Stranton	39.4	39.4	0.0
29	0.0	0.0	0.0	Community Learning Centre - Lynnfield	69.4	69.4	0.0
30	2.9	2.2	(0.7)	Bursary Fund	2.9	2.9	0.0
31	5.1	5.1	0.0	Family Support	10.2	10.2	0.0
32	0.0	0.0	0.0	Educational Achievement Project	50.0	50.0	0.0
33	0.0	0.0	0.0	Key Stage 2 & 3 Transition	63.5	63.5	0.0
34	0.5	0.4	(0.1)	Raising Aspirations	73.0	73.0	0.0
35	0.0	0.0	0.0	Sustaining Attainment	44.3	44.3	0.0
36	0.0	0.0	0.0	Sustaining Consultancy Fund	40.0	40.0	0.0
37	10.0	10.0	0.0	Community Chest	19.9	19.9	0.0
38	3.1	4.6	1.5	Belle Vue Extension	9.2	9.2	0.0
39	6.1	5.1	(1.0)	Osbourne Road Hall	8.9	8.9	0.0
40	25.3	28.6	3.3	Ethnic Minorities	38.3	38.3	0.0
41	17.6	17.6	0.0	Money Advice and Debt Counselling Service	35.1	35.1	0.0
42	14.2	14.2	0.0	Peoples Centre	28.5	28.5	0.0
43	0.0	0.0	0.0	Lynnfield Play area	12.8	12.8	0.0
44	0.0	0.0	0.0	Childrens Participation Worker	1.9	1.9	0.0
45	35.0	35.2	0.2	Hartlepool Youth Project	90.0	90.0	0.0
46	31.1	31.2	0.1	Capacity Building Development Managers	61.0	61.0	0.0
47	7.5	7.5	0.0	Grange Road Methodist Church	15.0	15.0	0.0
48	3.7	2.9	(0.8)	Community Transport	9.1	9.1	0.0
49	8.0	8.0	0.0	Oxygen Centre - Cap Building	8.0	8.0	0.0
50	0.8	0.7	(0.1)	Resident Steering Group ICT For RSG Members - Cap Building	2.5	2.5	0.0
51	0.0	0.0	0.0	RSG Resident Training - Capacity Building Project	2.0	2.0	0.0
52	0.0	0.0	0.0	Community Learning Centres - Capacity Building Project	10.2	10.2	0.0
53	0.0	0.0	0.0	Home Improvement Project	24.0	24.0	0.0
54	0.0	0.0	0.0	Community Housing Development Plan - Running Costs	65.0	65.0	0.0
55	28.9	29.6	0.7	Evaluation Project	102.6	102.6	0.0
56	47.4	60.7	13.3	Communications Project Phase II	60.9	60.9	0.0
57	92.4	90.5	(1.9)	Neighbourhood Management	337.2	337.2	0.0
58	0.0	0.2	0.2	Housing Regeneration	0.0	0.0	0.0
59	175.1	179.2	4.1	Management and Administration	441.2	441.2	0.0
60	844.9	878.6	33.7		2,777.0	2,777.0	0.0

TABLE 2 - SINGLE PROGRAMME

Line No	Actual Position 30/09/08			Scheme Title	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
61	15.0	15.0	0.0	Management and Administration	30.0	30.0	0.0
62	197.5	99.2	(98.3)	Building Futures	395.0	395.0	0.0
63	26.9	21.7	(5.2)	Tall Ships Cultural Support	53.7	53.7	0.0
64	239.4	135.9	(103.5)		478.7	478.7	0.0



## CAPITAL MONITORING REPORT PERIOD ENDING 30th September 2008

TABLE 1 - RESOURCES

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2008/2009 Budget £'000	2008/2009 Actual as at 30/09/08 £'000	2008/2009 Expenditure Remaining £'000	Expenditure Rephased into 2009/10 £'000	C+D+E 2008/2009 Total Expenditure £'000	F-B 2008/2009 Variance from budget £'000	Type of financing
7466	Vehicle Purchases	1,069.6	299.8	769.8	0.0	1,069.6	0.0	UDPB
7263	York Flatlets Demolition	7.7	0.0	7.7	0.0	7.7	0.0	CAP REC
7264	Mobile Benefits	129.3	0.0	129.3	0.0	129.3	0.0	CAP REC
7418	St Benedicts Barlows Building Demolition	34.7	0.0	34.7	0.0	34.7	0.0	CAP REC
7446	EDRMS and Workflow	19.6	19.6	0.0	0.0	19.6	0.0	RCCO
7467	Refurbishment of War Memorials	61.8	82.7	2.1	0.0	84.8	23.0	UCPB
7468	IT Strategy	500.0	0.0	500.0	0.0	500.0	0.0	UDPB
7471	EDRMS Phase 2	32.5	32.5	0.0	0.0	32.5	0.0	RCCO
7623	Corporate IT Projects	88.5	6.3	82.2	0.0	88.5	0.0	CAP REC
7631	Members ICT/Remote Access	50.2	17.9	32.3	0.0	50.2	0.0	CAP REC
7634	Town Centre LIFT Scheme	90.0	0.0	90.0	0.0	90.0	0.0	CAP REC
7836	Project Resolution	130.5	130.5	0.0	0.0	130.5	0.0	RCCO
7867	City Challenge Burbank/Murray Street	130.4	0.0	130.4	0.0	130.4	0.0	GRANT
7200	Civic Centre Capital Project	2,614.3	750.3	1,864.0	0.0	2,614.3	0.0	UCPB
7257	Disabled Adaptations	90.9	6.0	84.9	0.0	90.9	0.0	MIX
7705	Seaton Bus Station Refurbishment	184.1	164.6	55.1	0.0	219.7	35.6	MIX
7716	Demolition of HQ Toilets	51.0	0.0	51.0	0.0	51.0	0.0	UCPB
7718	Demolition of Eldon Grove Leisure Centre	65.9	35.5	30.4	0.0	65.9	0.0	UCPB
7781	Replace Boilers - Municipal Buildings	144.9	0.0	144.9	0.0	144.9	0.0	UCPB
7782	Stranton Crematorium - Roof	28.8	0.0	0.0	0.0	0.0	(28.8)	UCPB
7783	Borough Buildings Renew Roof Valley	21.0	0.0	0.0	0.0	0.0	(21.0)	UCPB
7784	Borough Buildings Replace 5 Water Heaters	7.5	0.0	5.8	0.0	5.8	(1.7)	UCPB
7785	Brinkburn Centre - Renew Sports Hall Change Rms Roof	33.7	0.0	2.1	0.0	2.1	(31.6)	UCPB
7786	Brinkburn Centre - Renew Filter to Swimming Pool	19.3	9.2	0.7	0.0	9.9	(9.4)	UCPB
7982	Demolition of Osbourne Road Property	45.0	39.4	5.6	0.0	45.0	0.0	CAP REC
7988	Lynn St Depot Garage -Install Overhead Heaters	60.0	0.0	60.0	0.0	60.0	0.0	UCPB
7989	Municipal Buildings Access System	9.0	0.0	9.0	0.0	9.0	0.0	UCPB
		5,720.2	1,594.3	4,092.0	0.0	5,686.3	(33.9)	

TABLE 2 - NEW DEAL FOR COMMUNITIES

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2008/2009 Budget £'000	2008/2009 Actual as at 30/09/08 £'000	2008/2009 Expenditure Remaining £'000	Expenditure Rephased into 2009/10 £'000	C+D+E 2008/2009 Total Expenditure £'000	F-B 2008/2009 Variance from budget £'000	Type of financing
7069	Longhill Junction Improvements	0.8	0.0	0.8	0.0	0.8	0.0	MIX
7059/7060	Longhill Business Security and Environmental Imps	100.3	6.6	93.7	0.0	100.3	0.0	MIX
7061	Business Security Fund	20.8	7.4	13.4	0.0	20.8	0.0	NDC
7062	CIA Building Modernisation Grant	223.9	0.0	223.9	0.0	223.9	0.0	NDC
7063	CIA Environmental Improvements	878.4	749.0	129.4	0.0	878.4	0.0	MIX
7054	Crime Premises	14.9	0.7	14.2	0.0	14.9	0.0	NDC
7056	Target Hardening Phase 3	71.5	0.0	71.5	0.0	71.5	0.0	NDC
7050	Osbourne Road Hall	1.3	0.0	1.3	0.0	1.3	0.0	NDC
7051	Voluntary Sector Premises Pool	1.5	0.9	0.6	0.0	1.5	0.0	NDC
7052	Peoples Centre	62.7	62.7	0.0	0.0	62.7	0.0	NDC
7086	Lynnfield Play Area	24.4	19.4	5.0	0.0	24.4	0.0	NDC
7079	Housing Improvement Project	250.0	0.0	250.0	0.0	250.0	0.0	MIX
8005	Accelerated Housing Purchase	494.5	0.0	494.5	0.0	494.5	0.0	NDC
8004	Strategic Land Purchase for Regeneration	250.0	0.0	250.0	0.0	250.0	0.0	NDC
7087	Longhill CCTV	31.2	0.0	31.2	0.0	31.2	0.0	MIX
		2,426.2	846.7	1,579.5	0.0	2,426.2	0.0	

TABLE 3 - SINGLE PROGRAMME

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2008/2009 Budget £'000	2008/2009 Actual as at 30/09/08 £'000	2008/2009 Expenditure Remaining £'000	Expenditure Rephased into 2009/10 £'000	C+D+E 2008/2009 Total Expenditure £'000	F-B 2008/2009 Variance from budget £'000	Type of financing
7103	Central Area Attractors - Wingfield Castle	413.2	373.4	39.8	0.0	413.2	0.0	MIX
7871	Central Area Development Frame - Feasibility Study Town Centre	25.0	24.6	0.4	0.0	25.0	0.0	RCCO
7872	Southern Business Zone - Feasibility Study Brenda Road Area	25.0	24.8	0.2	0.0	25.0	0.0	MIX
		463.2	422.8	40.4	0.0	463.2	0.0	

## Key

RCCO Revenue Contribution towards Capital  
MIX Combination of Funding Types  
UCPB Unsupported Corporate Prudential Borrowing  
SCE® Supported Capital Expenditure (Revenue)

GRANT Grant Funded  
CAP REC Capital Receipt  
UDPB Unsupported Departmental Prudential Borrowing  
SPB Supported Prudential Borrowing

# CABINET REPORT

24 November 2008



**Report of:** Director of Regeneration and Planning Services

**Subject:** Housing Market Renewal Funding Agreement with English Partnerships

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## SUMMARY

### 1. PURPOSE OF REPORT

To seek Cabinet approval of to the funding agreement relating to resources granted by English Partnerships for the period 2008-12, towards the Central Hartlepool housing market renewal programme

### 2. SUMMARY OF CONTENTS

In its decision of the 28<sup>th</sup> April 2008 Cabinet agreed the next phase of priorities for the Central Hartlepool HMR programme, covering three key areas. In one of these areas – (Perth/Hurworth/Gray/Grainger/Turnbull Streets area of North Central Hartlepool) - English Partnerships have agreed to make available £4.85 million to be used alongside other HMR resources to enable full site assembly and redevelopment.

This report describes the Heads of Terms of the funding agreement associated with these additional resources. It provides details of the funding to be allocated and when this will be payable, identifies the terms and conditions of the allocation and sets out what the Council will need to do to satisfy and achieve the targets set by English Partnerships.

### 3. RELEVANCE TO CABINET

This report has strategic relevance across a range of portfolios and is key to Regeneration, Liveability, Neighbourhoods and Communities.

### 4. TYPE OF DECISION

Key. Test (i) and (ii) apply.

**5. DECISION MAKING ROUTE**

Cabinet at its meeting on 24<sup>th</sup> November 2008.

**6. DECISION(S) REQUIRED**

Cabinet is requested to agree the Draft Heads of Terms and conditions specified in the English Partnership funding agreement which are summarised in paragraphs 3.1 and 3.2, and authorise the Chief Solicitor to agree the final details of the agreement

**Report of:** Director of Regeneration & Planning Services

**Subject:** Housing Market Renewal Funding Agreement with English Partnerships

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**1. PURPOSE OF REPORT**

- 1.1 To seek Cabinet approval of the funding agreement relating to resources granted by English Partnerships for the period 2008-12, towards the central Hartlepool housing market renewal programme

**2. BACKGROUND**

- 2.1 On 28<sup>th</sup> April 2008 Cabinet received a detailed report on the development and current position of Hartlepool's housing market renewal programme, and agreed the approach to spending the resources available over the next 3 to 4 years from the Department of Communities and Local Government via Housing Market Renewal Funding (HMRF) and the North East Housing Board through Single Housing Investment Pot (SHIP). The agreed approach is to use these resources to progress acquisitions in the Perth Street area with the aim of securing in full the identified intervention site, with the remaining resources being prioritised towards the purchase of owner occupied properties within the Carr/Hopps St and Belle Vue sites.
- 2.2 Cabinet made its decision to prioritise site assembly in the Perth Street area following English Partnerships announcement of a specific allocation of additional funding towards this scheme. English Partnerships was a funding partner in the first phase of housing market renewal activity in the north central Hartlepool area (Moore Street/Chester Road/Chatham Road/Acclom Street) which is now being developed by Wimpey and following the success in assembling this site are keen to commit further investment to the North Central Hartlepool area.

**3. INFORMATION**

- 3.1 As with the previous allocation, this new English Partnerships funding has conditions attached. It is specifically 'ring fenced' for the Perth Street site and is subject to the Council agreeing to use other funding to secure the full acquisition of the identified site.

3.2 English Partnerships have prepared a Draft Heads of Terms document which includes the following key elements:-

- The funding award is £4,850,000;
- The Council to agree to fund the remainder of the site via other HMRF/SHIP funding;
- Any land receipt available from the eventual sale of the land will be repaid to English Partnerships or its successor body Homes and Communities Agency;
- Work to be undertaken by March 2010 to assess the need for the potential use of the Council statutory CPO powers to secure the full site;
- The Council to work in partnership with English Partnerships to secure a suitable developer for the site;
- The Council to ensure that the EP Quality Standards (published November 2007) are part of any future development scheme;
- Funding will be paid in two instalments £1,850,000 in 2010/11 and £3,000,000 in 2011/12.

There are one or two minor elements of the agreement on which the Chief Solicitor is currently in discussion with EP's solicitors; however, these are not anticipated to present any insurmountable difficulties. It is hoped that these will be resolved before the Cabinet meeting at which an update will be provided, however if discussions are still ongoing at that time authority is requested for the Chief Solicitor to agree final details of the Agreement.

#### **4. RESOURCES**

4.1 Funding for the housing market renewal programme comes almost exclusively from external sources, primarily the North East Housing Board through the Single Housing Investment Pot (SHIP) and Department of Communities and Local Government via the Housing Market Renewal Fund (HMRF). Additional resources have also previously come from the New Deal For Communities programme (but capital funding for site assembly is now exhausted although there is ongoing support for the staff delivery team costs for 2008 to 2011) and the urban regeneration agency English Partnerships, the Housing Corporation and Neighbourhood Renewal Fund (NRF), which has now been replaced by the Working Neighbourhoods Fund.

4.2 Hartlepool's SHIP Objective 1 funding allocation and Hartlepool's share of an allocation of funding by the Department for Communities and Local Government to Tees Valley from the Housing Market Renewal Fund (HMRF) for the period 2008-11 amounts to £11.7m, as previously reported.

- 4.3 Additionally discussions have been held with English Partnerships (EP) following resources being made available to the Council to support housing market renewals specifically within the Perth Street area of North Central Hartlepool. EP have allocated the sum of £4.85m which has been awarded for the period 2008-12, with the payments been made in 2010-11 and 2011-12. This allocation includes specific conditions as highlighted earlier in this report, including that the Council proceeds to CPO if necessary in order to complete the assembly of the site and that funding is provided from other sources as necessary to ensure that the whole site can be assembled. The net land value, from the sale of the site, is also to be returned to EP.
- 4.4 In summary, the total available budget from SHIP/HMR/EP for the 2008-12 is £16.6m which should be sufficient to fund the delivery of the Perth Street site and allow for acquisition of owner occupied properties in the other two priority areas.

## **5. FINANCIAL AND RISK CONSIDERATIONS**

- 5.1 As detailed above, the allocation for SHIP and HMR funding was announced in March 2008 for the Tees Valley Living Partnership, for 2008-11 and Hartlepool's share of this is £11.7m. The funding for 2008-09 has been confirmed at £4.2m however the funding for 2009-11 is indicative and will not be confirmed until performance has been proved and targets achieved. This presents an element of risk to the Authority, but on previous track record the Council has always performed well and delivered all targets, and this is not anticipated to be a specific problem. Hartlepool in quarters 1 and 2 has achieved its targets and is set to achieve the overall targets for 2008/09.
- 5.2 The overall allocation of funding is significant for each of the 3 financial years 2008-11 and there is a potential risk attached to the ability to defray all of these resources where purchase of property is dependant on many factors including reliance on third parties (where vendors need to secure alternative accommodation), and the vagaries of the housing market. Experience from the previous programme together with detailed discussions which are progressing with owner occupiers, enquiries from private sector landlords and informal feedback on the local communities' desire to move forward, suggest that the timescales can be achieved.
- 5.3 The Council may need to consider the use of temporary prudential borrowing to help manage spending and cash flow issues. Officers are investigating whether the resulting borrowing costs can be funded as part of the overall capital funding for the scheme. This will avoid an unbudgeted pressure in the period 2009/10 to 2011/12. The appropriate approval for any additional borrowing will need to be sought from Council.

- 5.4 The requirement by EP for the Council to use its statutory powers of Compulsory Purchase (CPO) if necessary presents a risk to the Authority and potentially a future liability in relation to any outstanding cases going forward as part of any Land Tribunal assessments. However Council officers have built up a good track record and experience in the use of CPO on the Phase 1 sites, and work progressed or being progressed in terms of housing market analysis, master planning and community engagement will help to reduce the risk of a CPO not being approved.

**6. RECOMMENDATIONS**

- 6.1 Cabinet is requested to agree the Draft Heads of Terms and conditions specified in the English Partnership funding agreement which are summarised in paragraphs 3.1 and 3.2, and authorise the Chief Solicitor to agree the final details of the agreement.

# **CABINET REPORT**

## **24<sup>th</sup> November 2008**



**Report of:** Director of Neighbourhood Services

**Subject:** TEES VALLEY BUS NETWORK MAJOR SCHEME BID

---

### **SUMMARY**

#### **1 PURPOSE OF REPORT**

To update Cabinet as to the status of the Major Scheme Bid, and seek approval to the individual schemes proposed as part of the bid.

#### **2. SUMMARY OF CONTENTS**

The report gives an update on the bid's progress, likely timescale and details of the individual schemes selected.

#### **3. RELEVANCE TO CABINET**

The proposed schemes will affect the main road network, and also a great majority of the wards within Hartlepool.

#### **4. TYPE OF DECISION**

Key decision (test i and test ii apply)

#### **5. DECISION MAKING ROUTE**

Cabinet on 24<sup>th</sup> November 2008.

#### **6. DECISION REQUIRED**

That approval is given to the individual schemes, subject to public consultation.



**Report of:** Director of Neighbourhood Services

**Subject:** TEES VALLEY BUS NETWORK MAJOR SCHEME BID

---

**1. PURPOSE OF REPORT**

- 1.1 To update Cabinet as to the status of the Major Scheme Bid, and seek approval to the individual schemes proposed as part of the bid.

**2. BACKGROUND**

- 2.1 A joint bid has been submitted to the Department for Transport by all Tees Valley authorities, in conjunction with the Tees Valley Joint Strategy Unit, for funding to improve the major bus corridors. The intention is to improve bus journey times and make public transport a more attractive option for local people. If the bid is successful, and informal feedback so far has been encouraging, it could see the Council receive £6-7 million funding. A decision is expected from DfT in December, to enable works to commence in the new financial year.
- 2.2 Various schemes have been considered for implementation over a 3 year period, with Year 1 being the financial year 2009/10. Schemes include widening junctions and introducing traffic signals to reduce congestion, and providing bus priority to signals. Full consultation will take place with local residents where directly affected.
- 2.3 A total of 28 schemes for Hartlepool are included in the bid proposal, and details of each are outlined in **Appendix 1**. All schemes, whilst providing major improvements for public transport, will also give significant benefits to general traffic, in terms of reducing congestion.

**3. CONSULTATION**

- 3.1 Some schemes at key junctions do not directly affect local residents or businesses, therefore no direct consultation will be required. General consultation will, however take place through the local press, Hartbeat and the Council's website.
- 3.2 Any schemes where residential or business properties are directly affected

will also be subject to a full written consultation exercise, in addition to the above.

#### **4. PROCUREMENT**

- 4.1 A procurement strategy for the project is currently being developed, with certain elements to be procured on a regional basis wherever this will enable savings to be made. A standard set of contract documents is also to be prepared to further assist regional working where appropriate.

#### **5. FINANCIAL IMPLICATIONS**

- 5.1 A successful bid would see the Council receive around £6-7 million, with the rules governing major schemes requiring that at least 10% of the costs must be met by local contributions. This will be made up of funding from bus operators, the Council's Local Transport Plan and additional developer funding where possible.

#### **6. RECOMMENDATION**

- 6.1 That approval is given to the individual schemes, subject to public consultation.



## Concise Infrastructure Register - 2008

### Schemes To Be Delivered Through Major Scheme Bid:

Scheme Ref	Services	Location/ Problem	Scheme Details	Year
H1a/b	1/1A, 6, 7/7A	York Road (Lister Street to Burn Valley Roundabout) - access for buses affected by general traffic. Variable delay caused by traffic congestion	Carriageway widening, car parking lay-bys, footway improvements and short lengths of bus lane	2
H1c	1/1A, 6, 7/7A	York Road (Park Road to Lister Street) - access for buses affected by general traffic. Variable delay caused by traffic congestion	Carriageway widening, car parking and bus stop lay-bys and footway improvements	0-1
H1d	1/1A, 6, 7/7A	York Road (Victoria Road to Park Road) - access for buses affected by general traffic. Variable delay due to congestion	Consolidate bus waiting facilities and investigate possibility of restricting through traffic to buses and taxis only - further modelling and enhanced stop capacity required. Microsimulation model to be developed and junction capacity to be examined	2
H1e	6	York Road (Raby Road to Victoria Road) - variable delay due to traffic congestion. Location of bus stop affects Victoria Road junction	Bus lanes, parking lay-bys and footway/carriageway improvements. Relocate bus stop away from junction	3
H1f	1/1A, 7/7A	Victoria Road (York Road to Stockton Street) - access for buses affected by general traffic. Variable delay due to congestion	Bus lanes, parking lay-bys and footway/carriageway improvements	3
H7	7/7A	Variable delay on Marina Gateway due to heavy congestion. Congestion at Stockton Street / Marina Gateway junction	Traffic signals improvements and prohibition of right turn into Clarence Road using widened central reservation, with Museum Road reverting to two-way operation	1
H9	1/1A, 6, 7/7A	Conflicting movements at Burn Valley Roundabout introduce variable delay	Convert roundabout to signalised junction, subject to detailed modelling, with enhanced pedestrian facilities, bus priority and linked into SCOOT	3
H10	6	Alignment of Oxford Road / Stockton Road junction makes left turn difficult for buses	Junction improvement to ease turning movement. Pull back southbound stop line to create additional space for left turning buses	2
H11	6	On-street parking restricts access to stops and ease of movement on Oxford Road	Improvements to and extension of existing scheme. Parking to be rationalised and restricted to north side of road only with possible bus boarders and refuges to prevent overtaking.	2

H12	6	Variable delays due to alignment of Oxford Road / Catcote Road junction affecting turning movements	Signalise with bus priority and pedestrian crossings on all arms (replacing existing pelican crossing). Review existing parking restrictions	1
H13	1/1A, 7/7A	Issues and problems associated with parking at northern end of Brenda Road and the Stockton Road approach to Brenda Road from the south west	Formalise and restrict parking.	1
H14	1/1A	Variable junction delay due to conflicting movements at Brenda Road / Belle Vue Way roundabout	Provide left turn lanes and bus lanes on Brenda Road approaches (northbound from Usworth Road) with widening to permit two lane southbound exit from roundabout.	3
H15	1/1A, 6	Variable delays for buses on Raby Road crossing Hart Lane / Middleton Road junction	Implement bus priority at existing signals	1
H17	1/1A	Variable delay caused by congestion at key junction of Winterbottom Avenue with Holdforth Road	Relocate southbound stop.	1
H18	1/1A	Pedestrian access to bus stops at University Hospital of Hartlepool, parking is also an issue	Improve bus stop facilities	1
H19	1/1A	Access to bus stops and parking in general around Throston Grange	Additional and enhanced parking bays to be provided.	2
H20	1/1A	Variable delays crossing Hart Lane, between Wiltshire Way and Tarnston Road	Add bus priority to new signals.	1
H21	1/1A, 6	Parking issues affecting access to bus stops and ease of movement in Winterbottom Avenue	Formalise parking with bus stop boarders.	2
H22	6	Parking issues affecting access to bus stops and ease of movement in Clavering Road	Provide parking lay-bys.	2
H30	6	Tight junction radius at King Oswy Drive / West View Road means buses must cross centre line of West View Road or cut across verge when turning left	Widen junction for left turning vehicles, with bus by-pass leading directly into integrated bus lay-by at eastbound stop	2

H32	7/7A	Parking in Northgate, particularly outside shops, causes congestion and delays buses	Traffic management measures and relocation of bus stops	2
H33	6, 7	Narrow junction of Wynyard Road and Catcote Road causes congestion and delays buses	Widen junction to create dedicated westbound right turn lane	1
H34	7A	Narrow junction of Owton Manor Lane and Catcote Road causes congestion and delays buses	Both sides of junction widened to create separate left and right turn lanes on approaches	1
H35b	6	Narrow junction of Mowbray Road and Catcote Road causes congestion and delays buses	Widen and realign junction, without change of priority, and provide parking bays to ensure Catcote Road southbound carriageway is kept clear	1
H36	1/1A	Bus turning circle in Elizabeth Way often blocked by buses laying over and other parked vehicles	Remodel turning circle to enable buses to pass those laying over. Restrict and enforce parking.	2
H37	6	Catcote Road / Truro Drive - narrow junction causes congestion and delays buses	Widen southern side of junction to create dedicated right turn lane	1
H40	7/7A	Church Square Terminus - turning circle for buses affected by general traffic. Variable delay due to congestion and poor interchange with rail services	Construction of new interchange facility adjacent to the railway station with turning facility for buses. Land acquired.	2
H44	1/1A, 6	Raby Road / York Road - variable delay caused by congestion at junction	Raby Road northbound approach to junction widened to create separate left and right turn lanes. Relocation of northbound Odeon bus stop into lay-by and cost to be revisited	1

# **CABINET REPORT**

**24<sup>th</sup> November, 2008**



**Report of:** Corporate Management Team

**Subject:** QUARTER 2 – CORPORATE PLAN AND REVENUE  
FINANCIAL MANAGEMENT REPORT 2008/2009

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## **SUMMARY**

### **1. PURPOSE OF REPORT**

1.1 To inform Cabinet of: -

- The progress made towards achieving the Corporate Plan Actions in order to provide timely information and allow any necessary decisions to be taken;
- To provide details of progress against the Council's overall revenue budget for 2008/2009.

### **2. SUMMARY OF CONTENTS**

2.1 The report describes progress towards achieving the actions within the Corporate Plan using the traffic light system of Green, Amber and Red. The report provides an overview of Council performance, with separate sections providing more detailed information for each Portfolio Holder to consider.

2.2 The Revenue Financial Management report covers the following areas:

- Progress against departmental and corporate budgets and High Risk Budget Areas;
- Progress against Efficiency Savings Targets Identified in the 2008/2009 Budget Strategy;
- Performance against Budget Pressures to be treated as Contingency Items;
- Key Balance Sheet information.

2.3 Forecast outturns have now been prepared for all areas on the basis of the first six months activity. In overall terms it is anticipated that there will be an overspend on departmental budgets of £2.6m at the year end, which is higher than the initial forecast of £2.0m.

2.4 The position on corporate budgets and one-off factors is in line with the initial forecasts reported in October. The underspend on corporate budgets

is therefore available to support one off expenditure commitments in relation the loss of income, then for additional costs in relation to BSF and Tall Ships. The increase in the stock of resources of £2.3m is available to support the budget in the three years 2009/2010 to 2011/2012.

**3. RELEVANCE TO CABINET**

Cabinet has overall responsibility for the monitoring of the Council's Corporate Plan and the Revenue budget.

**4. TYPE OF DECISION**

Non key.

**5. DECISION MAKING ROUTE**

Cabinet, 24<sup>th</sup> November, 2008.

**6. DECISION(S) REQUIRED**

Cabinet is asked to: -

- Note the current position with regard to performance and revenue monitoring;
- Agree to the proposed revised due dates for those actions in tables NC2, Pe2 and RL2.

**Report of:** Corporate Management Team

**Subject:** QUARTER 2 – CORPORATE PLAN AND  
REVENUE FINANCIAL MANAGEMENT  
REPORT 2008/2009

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## 1. PURPOSE OF REPORT

- 1.1 To inform Cabinet of the progress made towards achieving the Corporate Plan outcomes through identified actions and of progress against the Council's own 2008/2009 Revenue Budget, for the period to 30<sup>th</sup> September, 2008.

## 2. BACKGROUND

- 2.1 In line with previous monitoring reports, this report is an integrated comprehensive document that is page numbered, thus allowing Members easier navigation around the report. (See contents table below). The report provides an overall picture of performance and progress against the approved 2008/2009 revenue budget, followed by a section for each Portfolio where more detailed information is provided.

Section	Heading	Page
3	Overall Performance and Progress on Actions and Key Performance Indicators	2-3
4	Revenue Financial Management 2008/2009 – Summary	3-9
5	<b>Detailed Performance and Revenue Financial Management Sections</b>	9
6	Adult and Public Health Portfolio	10-12
7	Children's Services Portfolio	12-16
8	Culture, Leisure and Tourism Portfolio	16-17
9	Finance and Efficiency Portfolio	17-18
10	Neighbourhood and Communities Portfolio	18-21
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13	Area Based Grant	25
14	Conclusions	25
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Appendix A	Summary Revenue Financial Management Report to 30 <sup>th</sup> September, 2008 by Department	28
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Appendix C	Progress Against Planned 2008/2009	30-37



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Appendix D	Performance Against Schedule of Budget Pressures to be Treated as Contingency Items	38
Appendices E – K	Revenue Financial Management Report to 30 <sup>th</sup> September, 2008, by Portfolio	39-45
Appendix L	Area Based Grant Financial Management Statement	46-47

- 2.3 This report will be submitted to Scrutiny Co-ordinating Committee in due course.

### 3. OVERALL PERFORMANCE AND PROGRESS ON ACTIONS AND KEY PERFORMANCE INDICATORS

- 3.1 The Council identified 121 actions with specific completion dates and 118 key performance indicators (KPIs) as measures of success in the 2008/2009 Corporate Plan. Overall performance is good, and in line with expectations as the start of the municipal year with 96% of actions and 94% of the KPIs (when annually reported PIs, or PIs with no targets have been removed) judged to be either on or above targets. Tables 1 and 2 below summarise officers' views on progress as at 30<sup>th</sup> September 2008, for each Portfolio Holder's responsibilities: -

- A RED traffic light signifies the Action/KPI is not expected to meet target.
- An AMBER traffic light signifies the Action/KPI is expected to meet target.
- A GREEN traffic light signifies the target for the Action/KPI has been achieved.

**Table 1 – Progress on Actions within the Corporate Plan**

Portfolio	Actions by Traffic Light					
	Green		Amber		Red	
	No.	%	No.	%	No.	%
Adult Services and Public Health	1	4%	22	96%	0	0%
Children's Services	2	8%	24	92%	0	0%
Culture, Leisure and Tourism	0	0%	4	100%	0	0%
Finance and Efficiency	0	0%	10	100%	0	0%
Neighbourhood and Communities	0	0%	12	86%	2	14%
Performance	0	0%	10	83%	2	17%
Regeneration and Liveability	2	6%	30	91%	1	3%
<b>Total</b>	<b>5</b>	<b>4%</b>	<b>112</b>	<b>92%</b>	<b>5</b>	<b>4%</b>

NB: One action (CS A11) impacts on two portfolio areas (Neighbourhood & Communities and Regeneration & Liveability)

**Table 2 – Progress on Key Performance Indicators**

Portfolio	KPIs by Traffic Light					
	Green		Amber		Red	
	No.	%	No.	%	No.	%
Adult Services and Public Health	0	0%	6	86%	0	0%
Children's Services	0	0%	3	100%	0	0%
Culture, Leisure and Tourism	0	0%	3	100%	0	0%
Finance and Efficiency	2	67%	1	33%	0	0%
Neighbourhood and Communities	0	0%	7	100%	0	0%
Performance	0	0%	0	0%	0	0%
Regeneration and Liveability	0	0%	11	85%	2	15%
<b>Total</b>	<b>2</b>	<b>6%</b>	<b>31</b>	<b>89%</b>	<b>2</b>	<b>6%</b>

\*figure may not always add to 100% due to rounding

### 3.3 Key areas of progress included: -

- Annual Crucial Crew Event has taken place;
- There has been a substantial reduction in the number of young people who are Not in Education, Employment or Training (NEET);
- Both Summerhill and Ward Jackson Park have been awarded Green Flag Status;
- The number of people claiming the reductions that they are entitled to from their Council Tax has increased;
- New Pride in Hartlepool Officer started in July and has already delivered several events including Summerhill Countryside Festival, Creatures of the Night Walk and Macrophotography Workshop;
- The implementation of the Council's web based performance management system was successfully launched and implementation continues.
- Violent crime has reduced significantly in the last twelve months.

## 4. REVENUE FINANCIAL MANAGEMENT 2008/2009 - SUMMARY

### 4.1 This section provides details covering the following areas: -

- Progress against departmental and corporate budgets and High Risk Budget Areas;
- Overview of anticipated 2008/2009 revenue outturn;
- Progress against Efficiency Savings Targets Identified in the 2008/2009 Budget Strategy;
- Performance against Budget Pressures to be treated as Contingency Items;
- Key Balance Sheet information.

4.2 **Progress against Departmental and Corporate Budgets and High Risk Budget Areas**

4.3 For 2008/2009, as well as monitoring department and corporate budgets at a global level, high risk budget areas are also identified and explicitly monitored. These arrangements ensure any problem areas are identified at an earlier stage to enable appropriate corrective action to be taken. The areas identified as high risk budgets are attached at **Appendix B**, which indicates that there are adverse variances on a number of budgets. As indicated below detailed comments on these variances are provided later in the report.

4.4 Detailed revenue financial management reports are attached at **Appendix E to K**. These reports are prepared on a Portfolio basis to enable each Portfolio Holder to readily review their area of responsibility. However, the Council's budget is managed on a departmental basis and the overall position is summarised at **Appendix A**, Table 1. **Appendix L** shows the financial position of activities funded by Area Based Grant

4.5 **Summary of Financial Position 2008/2009**

4.6 An initial view of the progress against the current year's budget for the first half year and forecast outturns was reported to Cabinet on 13<sup>th</sup> October, 2008.

4.7 This report indicated that a number of adverse trends had begun to emerge covering the following areas:

- Increased expenditure on demand lead services for Looked after Children.
- Demand lead pressure on Learning Disabilities and services for Older People.
- Inflationary pressures – fuel costs;
- Reduction in income – owing to the impact of the credit crunch on a range of income streams, including planning/development control fees, land charges, car parks and Shopping Centre income;
- Delays in the achievement of efficiencies.

4.8 At that stage it was anticipated that there would be an overspend on departmental budgets in the order of £2 million at the year end.

4.9 The financial position on departmental budgets has now been reviewed in detail by individual Directors and is summarised at **Appendix A**. This review has concentrated on non pay budgets. A separate review of pay budgets has been undertaken to assess the impact of implementing Job Evaluation on the overall financial

position. The results of this review are summarised in the following table, which highlighted the following key issues:

- Departmental non pay expenditure is generally forecast to exceed the approved budget. For Adult and Community Services and Children's Services this is mainly owing to increased demand lead pressures. For Neighbourhood Services the main variance is owing to significantly higher diesel and petrol prices in the first six months of 2008/2009. Detailed comments on these variances are provided later in the report.
- Pay expenditure is generally forecast to underspend owing to a higher level of vacancies than expected and longer lead times to fill vacant positions.

#### Forecast Departmental Outturn 2008/2009

Department	Forecast Overspends/(Underspends)		
	Non Pay Budget (details Appendix A) £'000	Pay Budget £'000	Net Position £'000
Adult & Community Services	2,015	(600)	1,415
Chief Executive	465	(465)	0
Children's Service	1,098	(357)	741
Neighbourhood Services	598	(157)	441
Regeneration & Planning	230	(230)	0
<b>Total</b>	<b>4,406</b>	<b>(1,809)</b>	<b>2,597</b>

- 4.10 The above table indicates that total departmental expenditure is anticipated to exceed the approved budget by £2.6m at the year-end. This compares to a forecast of £2m reported on 13<sup>th</sup> October, 2008. At your meeting on 13<sup>th</sup> October, 2008, Members considered the options for funding this overspend and as part of the initial budget consultation proposals have asked consultees to comment on the proposal to carry forward departmental overspends as managed overspends against departments three year budget. Directors are also assessing the practicalities of implementing this option. These details, together with the consultation responses will be reported to Cabinet in December to enable Members to finalise a strategy for financing 2008/2009 departmental overspends.

- 4.11 On the upside and paradoxically the credit crunch is having a positive impact on investment income, which for the first six months of the year is better than anticipated. This is a complex area and the net increase is driven by favourable cash balances in the early part of the year, abnormally high short term interest rates driven by the credit crunch, offset by a restriction in counter parties to protect the Council's investments. The downside to this security is a lower interest rate on the investment. However, as reported in the Treasury Management Strategy the primary principle governing the Council's investment criteria is the security of its investment and then the return on the investment. In the current climate a more risk averse approach is appropriate. At this stage it is expected that investment income will exceed the budget by around £2m. This is net of a temporary shortfall on corporate efficiencies which are being achieved later than anticipated. However, the final figure could be lower if interest rates fall more quickly than anticipated as a result of a further deterioration in the economic position.
- 4.12 **Proposed Outturn Strategy**
- 4.13 At your meeting on 13<sup>th</sup> October, 2008, Members determined to put forward the proposals detailed below for consultation. Final proposals will be determined at your meeting in December.
- 4.14 Members determined that the additional income on the Council's investments is earmarked firstly to offset losses of income, then for additional costs in relation to BSF and Tall Ships. This proposal will substantially fund these additional one-off costs. Any shortfall will need to be funded over the next two years. In the first instance it is suggested that should additional funding for these costs be required this should be a first call on the second LPSA 2 Revenue Reward Grant instalment which will be received in 2010/2011. In the event that these resources are not needed for these areas a strategy for using these monies can be determined as part of the 2010/2011 budget process.
- 4.15 It was also determined that the increase in the stock of resources of £2.3m is allocated to support the budget in the three years 2009/2010 to 2011/2012.
- 4.16 **Progress against Departmental Efficiency Savings Targets Identified in the 2008/2009 Budget Strategy**
- 4.17 The table below shows the summary of savings included in the 2008/2009 Budget Strategy. Delays in the achievement of some efficiencies is contributing to the adverse trends beginning to emerge in some areas. A comprehensive schedule of progress in achieving these savings is attached at Appendix C and further

details regarding the overall monitoring position for each Portfolio are set out in sections 6 - 12 of this report.

	Target	Savings Expected to be achieved from Efficiency £'000
Adult & Community Services	836	484
Chief Executives	145	145
Children's Services	490	490
Neighbourhood Services	451	419
Regeneration & Planning	129	129
<b>Total</b>	<b>2,051</b>	<b>1,667</b>

#### 4.18 **Performance against Budget Pressures to be treated as Contingency Items**

4.19 Members will recall that as part of the review of budget pressures for 2008/2009, it was determined that a number of pressures are not certain to arise, or the value of the pressure is not certain. These items were therefore classified as "contingency" items and a budget provision was made to underwrite these risks.

4.20 Appendix D provides a schedule of the pressures that are to be treated as contingency items and at this stage this indicates that they are all expected to require funding in the current year.

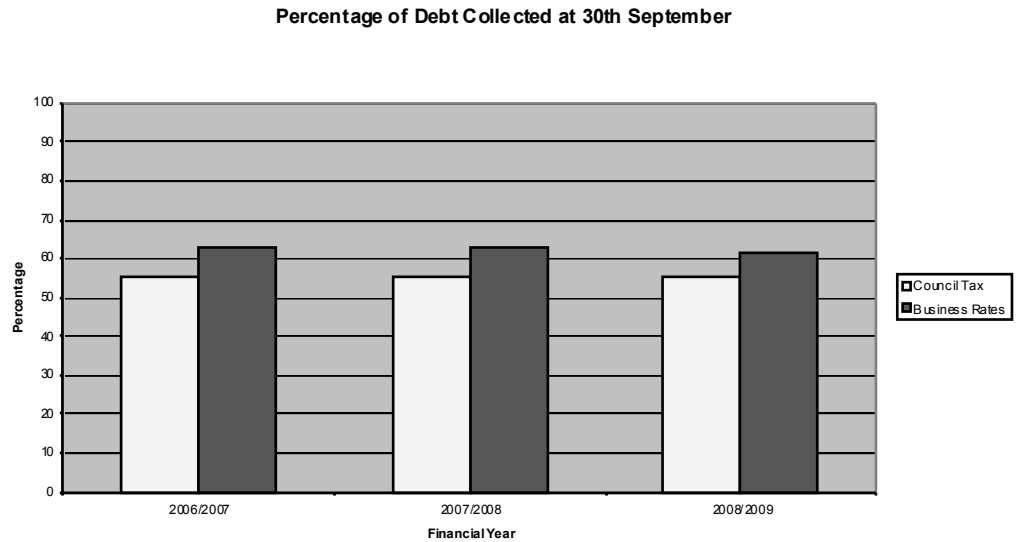
#### 4.21 **Key Balance Sheet Information**

A Balance Sheet provides details of an organisation's assets and liabilities at a fixed point in time, for example, the end of the financial year or other fixed accounting periods. Traditionally local authorities have only produced a Balance Sheet on an annual basis and have managed key Balance Sheet issues through other more appropriate methods. However, under CPA arrangements there is a greater emphasis on demonstrating effective management of the balance sheet. The Audit Commission's preferred option is the production of interim balance sheets throughout the year. In my opinion the option is neither practical nor beneficial as a Local Authority Balance Sheet includes a large number of notional valuations for the Authority's fixed assets and pension liabilities. It is therefore more appropriate to monitor the key cash balance sheet items and these are summarised below:-

- Debtors

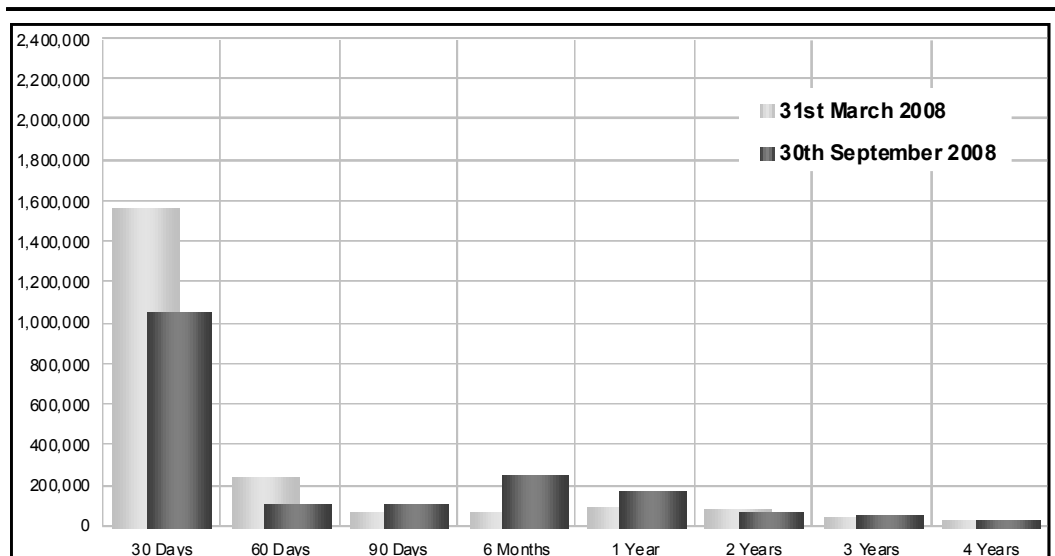
The Council's key debtors arise from the non payment of Council Tax, Business Rates and Sundry Debtors. These areas are therefore subject to detailed monitoring throughout

the year. The position on Council Tax and Business rates are summarised below:-



The Council Tax collection rate is up slightly by 0.20% and the NNDR collection rate is down by 0.87% when compared to the same period last financial year. In year collection rates are affected by the timing of week/month ends. Whilst the Council Tax collection rate is slightly up there has been a recent increase in the number of failed direct debit payments, which is indicative of issues in the wider economy.

The position in relation to Sundry Debtors is summarised below:



At the start of the current financial year the Council has outstanding sundry debts of £2.172m. During the period 1<sup>st</sup> April, 2008 to 30<sup>th</sup> September, 2008, the Council issued

5,648 invoices with a value of £7.264m. As at the 30<sup>th</sup> September, 2008, the Council had collected £7.530m, leaving £1.906m outstanding, which consist of: -

- Current Debt - £1.906m

With regard to current outstanding debt, this totals £1.906m at 30<sup>th</sup> September, 2008, inclusive of approximately £1.060m of debt less than thirty days old.

- Previous Years Debt - £0.360m

These debts relate to the more difficult cases where court action or other recovery procedures are being implemented. At the 30<sup>th</sup> September, 2008, debts older than one year totalled £0.360m.

- Borrowing Requirements

The Council's borrowing requirement is the most significant Balance Sheet item. Decisions in relation to the Council's borrowing requirements are taken in accordance with the approved Treasury Management Strategy. At 31<sup>st</sup> March, 2008, the Council's external debt was held as long term loans. Since then the Council has taken out a £2.5m PWLB one year loan at 3.21%.

The credit crunch has created opportunities for securing further long term borrowing at historically low levels. Additional long term loans will be secured when rates reach a level judged to be their optimum.

## 5. DETAILED PORTFOLIO REPORTS

5.1 Detailed monitoring reports for individual portfolios are set out in appendices E - K as follows:

- Appendix E - Adult and Public Health
- Appendix F - Children's Services
- Appendix G - Culture, Leisure & Tourism
- Appendix H - Finance & Efficiency
- Appendix I - Neighbourhood & Communities
- Appendix J - Performance Management
- Appendix K - Regeneration & Liveability

5.2 Detailed comments on each portfolio are set out in the following sections. It should be noted these variances relate to non pay budgets and the overall position on a departmental basis is shown at paragraph 4.9.



## 6. ADULT AND PUBLIC HEALTH PORTFOLIO

### 6.1 Performance Update for the Period Ending 30<sup>th</sup> September, 2008

- 6.1.1 Within the Adult and Public Health Portfolio there are a total of 23 actions that were identified in the 2008/2009 Corporate Plan. All actions have been assessed as being on target for completion, or already completed, by the agreed date.

There are 6 Performance Indicators that are within the Corporate Plan for the Adult and Public Health Portfolio that are not reported only on an annual basis. All of these have been assessed as being expected to achieve target.

- 6.1.2 Key areas of progress made to date in the Adult and Public Health Portfolio include: -

- Annual Crucial Crew Event has taken place;
- Joint Strategic Needs Assessment has been completed.

### 6.2 Revenue Financial Management Position Statement for Period Ending 30<sup>th</sup> September, 2008

- 6.2.1 Details of Adult & Public Health Services actual expenditure and expected expenditure as at 30<sup>th</sup> September, 2008, are shown at **Appendix F**.
- 6.2.2 There is a current adverse variance of £1,792,500 for non pay budgets.
- 6.2.3 The projected outturn is £27,243,800, compared to the latest budget of £25,249,300, resulting in a forecast adverse variance of £1,994,500 for non pay budgets.
- 6.2.4 The anticipated expenditure includes the 2008/2009 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these reserves is provided at **Appendix F**, Note 1.
- 6.2.5 The main items to bring to Portfolio Holder's attention are: -

#### **Line 4: Learning Disabilities**

**Current Variance: £589,800 Adverse**

**Forecast Variance: £1,014,000 Adverse**

Increased demand for the service is reflected in the current adverse variances on residential placements (£215,000, of which £108,000 relates to six months worth of residential care costs for one individual as reported last quarter) and additional care

placements (£189,000). This trend is expected to continue although work is underway to minimise this if possible. These costs are partly offset by increased Section 28a income (£80,000).

Owing to increases in demand for care and increases in care needs for some already receiving support, we currently have care costs in excess of budget for community based social care support of £211,000 in this area. This includes some one-off expenditure for equipment, etc., which is not expected to continue, as individuals choose to access their social care in more flexible ways. This variance is partly offset by a reduction in costs against traditional domiciliary care services of £67,000.

Day service transport costs continue to be an issue until the Integrated Transport Unit is in place. Current outturn projections include a £86,000 overspend for this area.

**Line 5: Mental Health**

**Current Variance: £115,100 Adverse**

**Forecast Variance: £200,000 Adverse**

The current adverse variance relates to increased demand for this service. Residential placement costs are currently overspent by £44,000 and community social care support demand has meant that care costs are currently greater than budget by £87,000. This variance is expected to continue until the year-end and the outturn projection reflects this.

**Line 7: Physical Disabilities**

**Current Variance: £262,500 Adverse**

**Forecast Variance: £497,000 Adverse**

The majority of this current adverse variance relates to increased demand for community based social care. There is currently expenditure in excess of budget of £210,600. This includes some one-off expenditure for equipment, etc., which is not expected to continue, as individuals choose to access their social care in more flexible ways.

**Line 12: Consumer Services**

**Current Variance: £72,700 Favourable**

**Forecast Variance: £100 Favourable**

This variance is owing mainly to Licence income being above expectation and is anticipated to level out by the end of the financial year.

**Line 13: Environmental Standards**  
**Current Variance: £56,200 Adverse**  
**Forecast Variance: £15,100 Adverse**

Reduced level of income in Burials and Cremations reflects seasonal trends and this is expected to level out over the winter period. Market income is not expected to recover and the shortfall will need to be met from other departmental budgets. These are currently being identified.

**7. CHILDREN'S SERVICES PORTFOLIO**

**7.1 Performance Update for the Period Ending 30<sup>th</sup> September, 2008**

7.1.1 Within the Children's Services Portfolio there are a total of 26 actions that were identified in the 2008/2009 Corporate Plan. All of these actions have been assessed as being on target for completion by the agreed date or as target achieved.

7.1.2 There were a total of 3 key performance indicators (KPIs) included in the corporate plan as measures of success that are not reported only on an annual basis. All have been assessed as being on target.

7.1.3 Key areas of progress made to date in the Children's Services Portfolio include: -

- There has been a substantial reduction in the number of young people who are Not in Education, Employment or Training (NEET);
- Summer School was successfully implemented with 40 days of activities delivered;
- A substantial reduction in the under 18 conception rate has been achieved. The latest figures available are for 2006 and this showed a reduction in the conception rate for the under 18s from 77.5 to 64.5.

**7.2 Revenue Financial Management Position Statement for Period Ending 30<sup>th</sup> September, 2008**

**7.2.1 Background**

7.2.2 Members will be aware that 2006/2007 saw a significant change in the funding of the Education Service with the introduction of a specific ring-fenced grant (called the Dedicated Schools Grant – DSG) replacing the Revenue Support Grant in funding the 'schools' budget. The 'schools' budget includes not only all of the funding devolved to individual schools but other centrally retained school related expenditure such as the Pupil Referral Unit,

Independent and Extra District School fees and Education Out of School.

- 7.2.3 The DSG finances £58.5m of the total 2008/2009 Children's Services base budget of £80.3m. As the DSG is ring-fenced, the Authority has the option to fund from its own resources any overspend, or alternatively this overspend could be carried forward as the first call on the 2009/2010 schools budget. Any underspend on the schools budget, however, must be retained and carried forward into 2009/2010 for use on the schools budget only.
- 7.2.4 This significantly reduces the flexibility within the Children's Services Department to offset any variances across the entire Children's Services budget.
- 7.2.5 **Current Year Budget Monitoring Position**
- 7.2.6 There is a current adverse variance of £105,700 for non pay budgets. (See Appendix F).
- 7.2.7 The projected outturn is £23,784,100, compared to the latest budget of £22,685,300, resulting in a forecast adverse variance of £1,098,800 for non pay budgets.
- 7.2.8 Owing to the complexities of the Dedicated Schools Grant this variance needs to be considered as follows:

**Table 1 – Forecast Outturn split between DSG and LA Funding**

<b>Funding</b>	<b>2008/2009 Budget</b>	<b>2008/2009 Project Outturn</b>	<b>2008/2009 Projected Variance: Adverse/ (Favourable)</b>
	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>
Schools – DSG	54,451.6	54,451.6	0.0
Centrally Retained – DSG	4,073.4	4,031.3	(42.1)
	58,525.0	58,482.9	(42.1)
LA	21,746.9	22,887.8	1,140.9
<b>Total</b>	<b>80,271.9</b>	<b>81,370.7</b>	<b>1,098.8</b>

- 7.2.9 The projected outturn (including schools) is £81,370,700, compared to the latest budget of £80,271,900, resulting in a forecast adverse variance of £1,098,800.

7.2.10 The main items to bring to Portfolio Holder's attention are: -

**Line 1: Access to Education**

**Current Variance: £21,300 Favourable**

**Forecast Variance: £17,600 Favourable**

A saving of £108,000, resulting from the retendering of Home to School Transport costs, has been transferred towards the corporate efficiency savings target arising from the creation of the Integrated Transport Unit (ITU).

**Line 3: Children, Young People and Family Support**

**Current Variance: £271,500 Adverse**

**Forecast Variance: £978,400 Adverse**

As reported throughout 2007/2008 and at Quarter 1 this year, the department has experienced significant cost pressures relating to a net increase in the number of Looked After Children which resulted in high cost care packages and placements. This ongoing pressure was reported as part of the department's 2008/2009 budget setting proposals and additional funding was allocated, both for placement costs and for additional social care staff, needed to build up in-house fostering capacity.

Unfortunately, spending commitments have continued throughout 2008/2009. There are currently 161 children in care and there has been a net increase of 8 long term agency foster placements since the start of year plus 4 short term placements. One child has had to be moved from an agency foster placement to a residential setting at an increased annual cost of £175,800 and there has been a further net increase of 2 children in residential care since the start of the year.

Recruitment of the additional social care staff will be completed shortly and this will enable the department to recruit and support additional in-house foster carers. Together with savings envisaged from improved commissioning this should, in accordance with the placement strategy, reduce spending on agency and residential placements.

Based on the projected cost of current placements the Looked After Children budget will exceed the existing budget by £730,900 at the year-end.

The costs of care proceeding have also increased significantly as a direct consequence of the Public Law Outline introduced in April, 2008, to replace an earlier protocol for managing public law Children Act cases. This was designed to improve the overall system and provide better outcomes for children while ensuring court resources are used in a timely and effective way. The

reform significantly changed the way in which local authorities make applications to the Court for orders where children are suffering or are at risk of suffering significant harm. The process increases local authority spending owing to the need to incur costs on specialist assessments prior to hearings but the additional costs to the Children's Services department could not be predicted at the time the budget was set. So far this year additional costs have totalled £66,000 and it is likely that this will rise to at least £100,000 by the year-end.

A further overspend of £147,500 on agency salary costs is projected. This relates to costs incurred covering vacancies on essential front line and senior management posts which, as reported elsewhere in this report, are fully offset by corresponding vacancy savings.

**Line 7: Play and Care of Children**

**Current Variance: £48,600 Adverse**

**Forecast Variance: £52,000 Adverse**

This mainly relates to OSCARs, the all year round child care service offering after school and holiday provision from three locations across the Borough. Costs in the current year have been higher than normal owing to maternity cover and at the same time income from fees and charges has been lower than expected. An action plan to review the sustainability of the service is being finalised and the Portfolio Holder has agreed an increase in charges.

**Line 8: Raising Educational Achievement**

**Current Variance: £75,200 Favourable**

**Forecast Variance: £21,900 Favourable**

In the first half of the year expenditure on advisory consultants to provide assistance to schools in difficulties has been relatively low and has been partly offset by the use of Standards Fund grants carried forward from 2007/2008. Following discussions at the Behaviour and Attendance Partnership funding is also being allocated to schools in the latter half of the year to support teenage pregnancy prevention.

**Line 9: Special Education Needs**

**Current Variance: £69,800 Favourable**

**Forecast Variance: £40,000 Favourable**

This arises mainly from savings on the Pupil Referral Unit and Home to Hospital teaching. A net saving of £40,000 is projected at the year-end but, as these items are funded from the Dedicated Schools Grant, savings cannot be used offset other departmental overspends.

**Line 10: Strategic Management**  
**Current Variance: £8,100 Favourable**  
**Forecast Variance: £178,800 Adverse**

The main reason for this adverse forecast variance is the need to incur agency costs to cover key senior posts in Senior Management and Finance teams. As reported elsewhere on this agenda, all these costs are fully offset by corresponding vacancy savings.

**7.2.11 Reserves**

The Department's 2008/2009 budget included £100,000 to engage temporary staff in a statutory exercise to back scan children's social care records. Unfortunately, technical difficulties have been experienced and a corporate solution to the Council's scanning systems is being developed. It has not been necessary to engage the temporary staff required and an underspend of £80,000 is projected this year, which it is proposed to transfer to a reserve to fund these costs in 2009/2010.

**8. CULTURE, LEISURE AND TOURISM PORTFOLIO**

**8.1 Performance Update for the Period Ending 30<sup>th</sup> September, 2008**

8.1.1 Within the Culture, Leisure and Tourism Portfolio there are a total of 4 actions that were identified in the 2008/2009 Corporate Plan. All of these actions have been assessed as being on target for completion by the agreed date.

8.1.2 A total of 3 key performance indicators (KPIs) were included in the corporate plan as measures of success that are not reported on an annual basis. All of the indicators have been assessed as being on target to achieve their target by the end of the year.

8.1.3 Key areas of progress made to date in the Culture, Leisure and Transportation Portfolio include: -

- Both Summerhill and Ward Jackson Park have been awarded Green Flag Status;
- Seaton Carew beach has been awarded Blue Flag status.

**8.2 Revenue Financial Management Position Statement for Period Ending 30<sup>th</sup> September, 2008**

8.2.1 Details of Culture, Leisure and Transportation's actual expenditure and expected expenditure as at 30<sup>th</sup> September, 2008, are shown at **Appendix G**.

- 8.2.2 There is in a current adverse variance of £104,200 for non pay budgets.
- 8.2.3 The projected outturn is £6,740,000, compared to the latest budget of £6,704,400, resulting in a forecast adverse variance of £35,600 for non pay budgets.
- 8.2.4 The anticipated expenditure includes the 2008/2009 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these reserves is provided at **Appendix G**, Note 1.
- 8.2.5 The main item to bring to Portfolio Holder's attention is: -

**Line 12: Strategic Arts**

**Current Variance: £81,200 Adverse**

**Forecast Variance: £42,000 Adverse**

This adverse variance is mainly owing to the Maritime Festival as previously reported.

Income is less than budget for the Borough Hall owing to the building being occupied by employees during the refurbishment of the Civic Centre. However, these works are now complete and the adverse variance will reduce by the end of the financial year.

## **9. FINANCE AND EFFICIENCY PORTFOLIO**

### **9.1 Performance Update for the Period Ending 30<sup>th</sup> September, 2008**

- 9.1.1 Within the Finance and Efficiency Portfolio there are a total of 10 actions that were identified in the 2008/2009 Corporate Plan. All of these actions have been assessed as having been completed or on target to be completed by the agreed date.
- 9.1.2 There are 3 indicators within the Corporate Plan for the Finance Portfolio, which are not reported on an annual basis, all of which have been assessed as being expected to hit their year end target or as target achieved.
- 9.1.3 Key areas of progress made to date in the Finance Portfolios include: -
- The number of people claiming the reductions that they are entitled to from their Council Tax has increased.



9.2 **Revenue Financial Management Position Statement for Period Ending 30<sup>th</sup> September, 2008**

- 9.2.1 There is a current adverse variance of £567,300 on non pay budgets. (See Appendix H).
- 9.2.2 The projected outturn is £1,200,300, compared to the latest budget of £872,200, resulting in a forecast adverse variance of £328,100 on non pay budgets.
- 9.2.3 The anticipated expenditure includes the 2008/2009 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these Reserves is provided at **Appendix H**, Note 1.
- 9.2.4 The main item to bring to Portfolio Holder's attention is: -

**Line 7: Legal Services**

**Current Variance: £141,300 Adverse**

**Forecast Variance: £118,000 Adverse**

The adverse variance is a result of staff vacancies and pending staff restructure, which has resulted in the use of Agency staff and caused increased staffing costs to the Section. It also results from a loss of income to the Section from Regeneration Partnership work previously required for Compulsory Purchase Orders.

Several areas within the Chief Executives Department will be showing favourable variances at the year-end. These favourable variances will offset the adverse variance projected by the Legal Section and enable the following reserves to be established.

**Line 16 – Reserve – HR/Payroll System**

**Line 17 – Reserve – Social Inclusion/Credit Union**

It is proposed to establish these reserves from savings in Finance Departmental budgets. The HR/Payroll systems reserve will be used to fund one-off costs associated with the implement of a new HR/Payroll system which will enable ongoing efficiencies to be achieved in 2009/2010 and future years. The Social Inclusion/Credit Union reserves will be used to promote financial awareness and Social Inclusion, which will include working with Secondary schools in the town.

## 10. NEIGHBOURHOOD AND COMMUNITIES PORTFOLIO

### 10.1 Performance Update for the Period Ending 30<sup>th</sup> September, 2008

- 10.1.1 Within the Neighbourhood and Communities Portfolio there are a total of 14 actions that were identified in the 2008/2009 Corporate Plan. 12 of these (86%) have been identified as being on target to be completed by the agreed date. However, there are 2 actions (14%) that have been identified as not expected to be achieved by the target date. Table NC1 below details these actions.

**Table NC1 – Actions assessed as being “Not Expected to Achieve Target”**

<b>Outcome:</b> Provide a sustainable, safe, efficient, effective and accessible transport system			
<b>Code</b>	<b>Action</b>	<b>Due Date</b>	<b>Note</b>
En A07	Develop and implement an Integrated Transport Strategy	31/10/08	Reports are to be submitted to CMT and Portfolio Holder updating on the ITU in early November, with implementation expected to be completed by the end of November
<b>Outcome:</b> To empower local people to have a greater voice and influence over local decision making and the delivery of services			
<b>Code</b>	<b>Action</b>	<b>Due Date</b>	<b>Note</b>
SC A04	To establish Neighbourhood Management as a guiding influence in Service Delivery across the Council	30/09/08	Neighbourhood Consultative forum review completed, evaluation report to be presented to NCF chairs in November

- 10.1.2 It is proposed that the due dates for both of the above actions are revised, to take into account the delays that have been encountered. More information is provided in table NC2, below: -

**Table NC2 – Proposed revisions to Action due dates**

<b>Code</b>	<b>Action</b>	<b>Due Date</b>	<b>Proposed New Date</b>	<b>Reasons for Date Change</b>
En A07	Develop and implement an Integrated Transport Strategy	31/10/08	30/11/08	Initial work carried out in preparation for an ITU however, the final implementation cannot go ahead until approval from CMT and Portfolio holder in November.
SC A04	To establish Neighbourhood Management as a guiding influence in Service Delivery across the Council	30/09/08	31/03/09	Neighbourhood Consultative review consultation has taken longer than anticipated. The strategy is currently being drafted and will involve consultation with stakeholders

- 10.1.3 There are a total of 7 key performance indicators (KPIs) that have been identified as measures of success that are not reported only

on an annual basis. All of these indicators have been assessed as being expected to achieve their target by year end.

10.1.4 Key areas of progress made to date in the Neighbourhood and Communities Portfolio include: -

- New Pride in Hartlepool Officer started in July and has already delivered several events including Summerhill Countryside Festival, Creatures of the Night Walk and Macrophotography Workshop;
- The Council is working with Partners to implement the 'Older Persons Housing Strategy'.

10.2 **Revenue Financial Management Position Statement for Period Ending 30<sup>th</sup> September, 2008**

10.2.1 There is a current adverse variance of £369,700 on non pay budgets. (See Appendix I).

10.2.2 The projected outturn is £14,784,500, compared to the latest budget of £14,393,800, resulting in a forecast adverse variance of £390,700 on non pay budgets.

10.2.3 The anticipated expenditure includes the 2008/2009 approved budget along with the planned use of reserves created in previous years detailed in Note 1 of the **Appendix I**.

10.2.4 The main items to bring to Portfolio Holder's attention are: -

**Line 1: Highway Services**

**Current Variance: £51,200 Adverse**

**Forecast Variance: £115,000 Adverse**

The main reason for the adverse variance is expenditure on Highways maintenance. This is currently being reassessed and Officers are seeking ways to ensure expenditure is in line with budget by the year-end.

**Line 5: Highways Management Account**

**Current Variance: £54,900 Adverse**

**Forecast Variance: Nil**

Technical Officer fee income is currently lower than expected, however, it is likely that this variance will be reduced as new schemes are progressed.

**Line 7: Car Parking****Current Variance: £184,000 Adverse****Forecast Variance: £183,800 Adverse**

Car parking income is currently £78,000 below target levels. The effect of the recent price increase is expected to resolve this issue. However, it is too early to determine if there will be customer resistance to the increases. In addition, there is £108,000 of car parking fine income subject to appeals. If the Council wins these appeals, this element of the variance will not occur.

For information, the Council is currently experiencing lost income because of the use of counterfeit £1 coins which are currently costing approximately £30 per day.

**Line 8: Traffic and Transportation****Current Variance: £47,600 Adverse****Forecast Variance: £49,000 Adverse**

This variance is owing to the cost of the subsidised bus service on routes 1, 6, 7 and 7A. Approval is to be sought from Council to fund this cost from General Fund balances.

**Line 13: Housing****Current Variance: £4,200 Adverse****Forecast Variance: £8,000 Adverse**

The variance is owing to the use of agency staff in the Housing Advice Team. This is offset by salary savings covered elsewhere in the report. However, expenditure will increase significantly in the second half of the year in relation to the introduction of Selective Licensing, Choice Based Lettings and an Options Centre. A number of policy and operational decisions are still required in these areas which may delay or alter the anticipated spending patterns.

**11. PERFORMANCE MANAGEMENT PORTFOLIO****11.1 Performance Update for the Period Ending 30<sup>th</sup> September, 2008**

- 11.1.1 Within the Performance Portfolio there are a total of 12 actions that were identified in the 2008/2009 Corporate Plan, 10 of which (83%) have been assessed as being expected to be completed by the agreed date. However, there are 2 actions (17%) that have been identified as not expected to be achieved by the target date. Table Pe1 below details these actions

**Table Pe1 – Actions assessed as being “Not Expected to Achieve Target”**

<b>Outcome: Improve Elected member and Workforce arrangements</b>			
<b>Code</b>	<b>Action</b>	<b>Due Date</b>	<b>Note</b>
OD A12	Implement Pay and Grading and Single Status arrangements	31/03/09	Whilst some of the earlier sub actions will/have not meet their due dates, the whole project is now progressing well and some of the slippage (due to the enormity of the task in implementing the new pay rates and back pay) at the start of the year should be able to be addressed by the end of the year. However, the long term absence of an Advisor and the secondment of a key member of the Pay and Rewards team to the Business Transformation programme will hinder progress on some aspects
<b>Outcome: Improve efficiency and effectiveness of the organisation</b>			
<b>Code</b>	<b>Action</b>	<b>Due Date</b>	<b>Note</b>
OD A13	Develop and implement Business Transformation Programme	31/08/08	The business cases have been received but it has been agreed by Cabinet that they will be reviewed and a consolidated programme established in January.

11.1.2 It is proposed that the due dates for one of the above actions is revised to take into account the delays that have been encountered. More information is provided in table Pe2, below: -

**Table Pe2 – Proposed revisions to Action due date**

<b>Code</b>	<b>Action</b>	<b>Due Date</b>	<b>Proposed New Date</b>	<b>Reasons for Date Change</b>
OD A13	Develop and implement Business Transformation Programme	31/08/08	31/1/09	The business cases are being reviewed and a consolidated programme will be established

11.1.3 There are no indicators within the Corporate Plan for the Performance Portfolio which are not reported on an annual basis.

11.1.4 Key areas of progress made to date in the Performance Portfolio include: -

- The implementation of the Council's web based performance management system was successfully launched and implementation continues;
- Use of Resources and Direction of Travel submissions have been made to the Audit Commission for CPA 2008.

## 11.2 **Revenue Financial Management Position Statement for Period Ending 30<sup>th</sup> September, 2008**

- 11.2.1 There is a current adverse variance of £210,100 for non pay budgets. (See Appendix J).
- 11.2.2 The projected outturn is £5,311,200, compared to the latest budget of £4,973,300, resulting in a forecast adverse variance of £337,900 for non pay budgets.
- 11.2.3 The anticipated expenditure includes the 2008/2009 approved budget along with the planned use of Departmental Reserves created in previous years, as detailed in Note 1 of the Appendix.
- 11.2.4 The main items to bring to Portfolio Holder's attention are: -

### **Line 2: Neighbourhood Services Internal Works**

**Current Variance: £126,400 Adverse**

**Forecast Variance: £200,000 Adverse**

The adverse variance is owing to increases in fuel costs.

### **Line 11: Other Office Services**

**Current Variance: £59,900 Adverse**

**Forecast Variance: £111,000 Adverse**

The current and forecast variance arises from the income budget for Land Charges being unachievable. This is owing to the market conditions and the economic conditions arising from the 'credit crunch'.

## **12. REGENERATION AND LIVEABILITY PORTFOLIO**

### **12.1 Performance Update for the Period Ending 30<sup>th</sup> September, 2008**

- 12.1.1 Within the Regeneration, Liveability and Housing Portfolio there are a total of 33 actions that were identified in the 2008/2009 Corporate Plan. 32 of these (97%) have either been completed or have been assessed as being on target for completion by the agreed date. The remaining action (3%) has been identified as not expected to be achieved by the target date. This action can be seen in table RL1 below.

**Table RL1 – Actions assessed as being “Not Expected to Achieve Target”**

<b>Outcome:</b> Make better use of natural resources and reduce the generation of waste and maximise recycling			
<b>Code</b>	<b>Action</b>	<b>Due Date</b>	<b>Note</b>
EN A08	Participate in the preparation of the sub regional Minerals and Waste Development plan documents	31/01/09	This consultation for the publication stage is now timetable to begin in April 2009 due to a 3 month delay agreed by the Tees Valley Authorities in response to changes to Planning regulations which now includes additional publication stage of the development documents. In addition the time will allow the group and consultants ENTEC to strengthen the document following advice from Government Office and the problems encountered with Minerals and Waste DPDs at Northumberland and North Yorkshire

- 12.1.2 It is proposed that the due date for the above action is revised, to take into account the delays that have been encountered. More information is provided in table RL2, below: -

**Table RL2 – Proposed revisions to Action due date**

<b>Code</b>	<b>Action</b>	<b>Due Date</b>	<b>Proposed New Date</b>	<b>Reasons for Date Change</b>
EN A08	Participate in the preparation of the sub regional Minerals and Waste Development plan documents	31/01/09	30/04/09	Additional stage needed (publication stage) and delays due to advice from Government Office. (See notes in table RL1 for more information if required).

- 12.1.3 There are 13 key performance indicators (KPIs) included in the Corporate Plan as measures of success that are not reported on an annual basis, of which 11 (85%) have been assessed as either having achieved target or being on target to do so. The remaining 2 indicators (15%) have been assessed as not being expected to achieve target, and more detail is provided in table RL3, below: -

**Table RL 3 – Performance Indicators assessed as being not expected to achieve target**

<b>PI</b>	<b>Indicator</b>	<b>Target 08/09</b>	<b>2<sup>nd</sup> Qtr Outturn</b>	<b>Comment</b>
RPD P056	Number of carers completing education or training and achieving NVQ level 2 or equivalent or higher	120	17	A review meeting was held with Hartlepool Carers on 9 October 08 and it was confirmed that they would not be able to achieve the Local Public Service Agreement Targets by the end of March 2009.
RPD P057	Number of carers remaining in employment for a minimum of 16 hours per week and for at least 32 weeks in the year	149	19	

- 12.1.4 Key areas of progress made to date in the Regeneration and Liveability Portfolio includes: -

- Phase 1 of the Rivergreen development has now been completed;

- Neighbourhood Renewal Strategy adopted within the new Community Strategy, agreed in July, 2008;
- Violent crime has reduced significantly in the last twelve months.

## 12.2 **Revenue Financial Management Position Statement for Period Ending 30<sup>th</sup> September, 2008**

- 12.2.1 In overall terms actual expenditure amounts to £2,315,400 compared to expected expenditure of £2,228,500, resulting in a current adverse variance of £86,900. (See Appendix K).
- 12.2.2 The projected outturn is £4,864,700, compared to the latest budget of £4,642,700, resulting in a forecast adverse variance of £222,000.
- 12.2.3 The anticipated expenditure includes the 2008/2009 approved budget along with the planned use of Departmental Reserves created in previous years as described in Note 1 of the **Appendix K**.
- 12.2.4 The main items to bring to Portfolio Holder's attention are: -

### **Line 2: Building Control**

**Current Variance: £14,100 Adverse**

**Forecast Variance: £20,000 Adverse**

The increasingly competitive private sector market and the general economic downturn have contributed to lower than budgeted fee income levels on this service at the half year stage. It is difficult to forecast the final outturn position on this service with any accuracy but current trends suggest an adverse position of around £20,000 on fees may exist at year-end.

### **Line 5: Community Safety**

**Current Variance: £83,900 Adverse**

**Forecast Variance: £148,000 Adverse**

The variance is owing to the use of specialised seconded staff. These costs are offset by salary savings covered elsewhere in this report.

### **Line 6: Development Control**

**Current Variance: £800**

**Forecast Variance: Nil**

It is not expected that there will be a variance on this budget. However, the position needs careful management over the remainder of the year owing to the impact on the economic downturn and the impact of new Development Control



regulations. It should be noted that various assumptions regarding the timing of major fee earning planning applications have been made. Any delays in these schemes coming forward may lead to an adverse position arising on this heading at outturn.

**Line 8: Drug Action Team**  
**Current Variance £15,000 Adverse**  
**Forecast Variance: £30,000 Adverse**

The variance is owing to the use of specialist seconded staff. These costs are offset by salary savings covered elsewhere in this report.

### **13. AREA BASED GRANTS**

- 13.1 In overall terms actual expenditure amounts to £5,469,800, compared to anticipated expenditure of £5,622,200, resulting in a current favourable variance of £152,400. (See Appendix L).
- 13.2 The projected outturn is £10,532,000, compared to the latest budget of £10,622,000, resulting in a forecast underspend of £90,000.
- 13.3 This forecast underspend relates to staff vacancies within the Connexions Service and the related Positive Activities for Young People project.

### **14. CONCLUSIONS**

- 14.1 The report details progress towards achieving the Corporate Plan outcomes and progress against the Council's own 2008/2009 Revenue Budget for the period to 30<sup>th</sup> September 2008.
- 14.2 Performance towards delivering the actions included in the Corporate Plan are progressing well, with 96% of all actions either having been completed or being on target to be completed by the agreed date. Over 94% of all KPIs, that can be assessed, have also been assessed as either having achieved target, or being expected to achieve target by year end.
- 14.3 The initial financial forecasts for 2008/09 were considered at your meeting on 13<sup>th</sup> October, 2008 and Cabinet determined to put forward the proposals detailed below for consultation. Final proposals will be determined at your meeting in December.
- 14.4 With regard to departmental outturns Cabinet considered the options for funding this overspend and as part of the initial budget consultation proposals have asked consultees to comment on the proposal to carry forward departmental overspends as managed overspends against departments three year budgets. Directors

are also assessing the practicalities of implementing this option. These details, together with the consultation responses will be reported to Cabinet in December to enable Members to finalise a strategy for financing 2008/2009 departmental overspends.

14.5 Members proposed that the additional income on the Council's investments is earmarked firstly to offset the loss of income, then for additional costs in relation to BSF and Tall Ships. This proposal will substantially fund these additional one-off costs. Any shortfall will need to be funded over the next two years.

14.4 It was also proposed that the increase in the stock of resources of £2.3m is allocated to support the budget in the three years 2009/2010 to 2011/2012.

## **15. RECOMMENDATIONS**

15.1 Cabinet is asked to: -

- Note the current position with regard to performance and revenue monitoring;
- Agree to the proposed revised due dates for those actions in tables NC2, Pe2 and RL2.

TABLE 2 - GENERAL FUND - REVENUE FINANCIAL MANAGEMENT REPORT TO 30TH SEPTEMBER 2008

Line No	Actual Position 30/09/08			Description of Expenditure	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2008/09	2008/09	
	Latest Budget	Projected Outturn	Projected Variance: Adverse/ (Favourable)				
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
				<u>TABLE 1 - Departmental Expenditure</u>			
1	15,594.3	17,507.5	1,913.2	Adult and Community Services	30,516.7	32,531.8	2,015.1
2	4,979.0	5,629.9	650.9	Chief Executives	5,304.2	5,769.4	465.2
3	9,119.8	9,225.5	105.7	Children's Services (Excluding Schools)	22,685.3	23,784.1	1,098.8
4	7,475.2	7,950.7	475.5	Neighbourhood Services	15,451.5	16,050.0	598.5
5	2,595.9	2,687.0	91.1	Regeneration & Planning	5,618.8	5,848.8	230.0
6	39,764.2	43,000.6	3,236.4	Total Departmental Expenditure	79,576.5	83,984.1	4,407.6
				Total Departmental Pay Budget Saving (Details para 4.9 table)	0.0	(1,809.0)	(1,808.0)
				<b>Total Departmental Adverse Variance</b>			2,599.6
				<u>TABLE 2 - Corporate Costs</u>			
				<b>EXTERNAL REQUIREMENTS</b>			
7	0.0	0.1	0.1	Discretionary NNDR Relief	33.0	33.0	0.0
8	22.9	39.9	17.0	Flood Defence Levy	45.8	53.2	7.4
9	93.3	91.3	(2.0)	Magistrates, Probation and Coroners Court	182.0	182.0	0.0
10	20.0	21.1	1.1	North Eastern Sea Fisheries Levy	20.0	21.1	1.1
				<b>CORPORATE COMMITMENTS</b>			
11	168.5	168.5	0.0	Audit Fees	337.0	337.0	0.0
12	0.0	(1,000.0)	(1,000.0)	Centralised Estimates	5,629.9	3,423.9	(2,206.0)
13	0.0	(2.2)	(2.2)	Designated Authority Costs	87.0	87.0	0.0
14	(152.6)	(157.4)	(4.8)	Emergency Planning	91.0	91.0	0.0
15	1,280.5	1,280.5	0.0	I.T.	2,561.0	2,561.0	0.0
16	0.0	0.0	0.0	Insurances	369.0	369.0	0.0
17	37.5	34.4	(3.1)	Mayoral Allowance	75.0	75.0	0.0
18	173.0	180.4	7.4	Members Allowances	346.0	346.0	0.0
19	95.8	110.0	14.2	Pensions	398.0	411.3	13.3
				<b>NEW PRESSURES</b>			
20	0.0	0.0	0.0	2006/07 Final Council Commitments	31.0	31.0	0.0
21	8.5	8.5	0.0	2007/08 Provision for Grants/Pressures/Priorities	76.0	76.0	0.0
22	0.0	0.0	0.0	2007/08 and 2008/09 Corporate efficiencies	(1,070.0)	(670.0)	400.0
23	0.0	0.0	0.0	Area Based Grant	(55.4)	(55.4)	0.0
24	(407.6)	(407.6)	0.0	LPSA 2 Grant	(407.6)	(407.6)	0.0
25	0.0	0.0	0.0	Contingency	22.0	22.0	0.0
26	0.0	0.0	0.0	Employers Pension Contributions	(300.0)	(300.0)	0.0
27	0.0	0.0	0.0	Housing Stock Transfer Costs/Loss external income	569.0	569.0	0.0
28	0.0	0.0	0.0	Job Evaluation	3,470.0	3,470.0	0.0
29	7.5	4.3	(3.2)	Members ICT	15.0	15.0	0.0
30	141.7	141.7	0.0	Business Transformation Programme	0.0	0.0	0.0
31	0.0	3.4	3.4	Teesside Airport Study	0.0	3.5	3.5
32	0.0	0.0	0.0	LABGI income (release of reserve)	(370.0)	(370.0)	0.0
33	0.0	0.0	0.0	New Burdens funded from Formula Grant	11.0	11.0	0.0
34	0.0	0.0	0.0	Planning Delivery Grant terminated	114.0	12.0	(102.0)
35	0.0	0.0	0.0	Provision for Cabinet projects	51.0	51.0	0.0
36	0.0	0.0	0.0	Red. in non pay inflation from 3% to 2.5% not applied 08/09	(174.0)	(174.0)	0.0
37	0.0	0.0	0.0	Reduction in Employers Pension Contribution	(400.0)	(400.0)	0.0
38	0.0	0.0	0.0	Strategic Contingency	1,146.0	1,046.0	(100.0)
39	1,489.0	516.9	(972.1)	COUNCIL BUDGET REQUIREMENT	12,902.7	10,920.0	(1,982.7)
40	21.2	21.2	0.0	PARISHES PRECEPTS	21.2	21.2	0.0
41	0.0	0.0	0.0	CONTRIBUTION FROM RESERVES	(3,249.0)	(3,249.0)	0.0
42	0.0	0.0	0.0	CONT. TO / (FROM) STOCK TRANSFER RESERVE	0.0	0.0	0.0
43	41,274.4	43,538.7	2,264.3	Total General Fund Expenditure	89,251.4	91,676.3	616.9

Best Value Unit / Best Value Sub Unit	2008/2009 Budget £'000	Variance to 30 September 2008 (Favourable) / Adverse £'000	Forecast Outturn Variance (Favourable) / Adverse £'000
<b>Adult &amp; Community Services</b>			
Strategic Arts, Museums & Heritage, Sports & Recreation - Income	1,459.8	(119.9)	(180.0)
Building Maintenance	292.1	15.4	14.0
Learning Disabilities Purchasing	3,110.0	252.1	673.0
Occupational Therapy Equipment	315.0	(21.1)	0.0
Older People Purchasing	7,414.6	149.3	70.0
Social Care - Direct Payments	1,213.0	580.9	1,003.7
<b>Total</b>	<b>13,804.5</b>	<b>856.7</b>	<b>1,580.7</b>
<b>Regeneration &amp; Planning</b>			
Economic Development - Rent Income	187.0	(20.2)	0.0
Planning & Building Control - Fee Income	620.0	(37.7)	20.0
<b>Total</b>	<b>620.0</b>	<b>(37.7)</b>	<b>20.0</b>
<b>Neighbourhood Services</b>			
Car Parking	(826.4)	184.0	183.8
Environment, Environmental Action & Town Care Management	7,343.4	21.0	35.0
Property Services	465.9	13.4	0.8
<b>Total</b>	<b>6,982.9</b>	<b>218.4</b>	<b>219.6</b>
<b>Corporate Budgets</b>			
Centralised Estimates	5,629.9	(1,000.0)	(2,206.0)
IT Partnership Contract	2,561.0	0.0	0.0
<b>Total</b>	<b>58,112.9</b>	<b>(1,000.0)</b>	<b>(2,206.0)</b>
<b>Children's Services</b>			
Building Schools for the Future	674.0	100.7	264.1
Children Looked After Placements	4,393.0	413.3	745.2
Home to School Transport Costs	1,430.0	19.1	(108.1)
Independent School Fees (SEN)	643.0	16.7	0.0
Individual Pupils Budget for SEN	1,383.0	0.0	0.0
Individual School Budget	54,451.6	0.0	0.0
Integrated Children's System	129.0	0.2	0.0
<b>Total</b>	<b>63,103.6</b>	<b>550.0</b>	<b>901.2</b>

**ADULT & COMMUNITY SERVICES DEPARTMENT PLANNED EFFICIENCIES**

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's	Projected Outturn £000	Comments
Support Services	Re-examination of staffing and processes following joint commissioning, and introduction of CONTROCC computer system. Also review management of Supporting People programme, absorbing some of the work into other sections	R	Review is at an early stage	This is a growing area of work, and reduced staffing could affect capacity to support developments	40	0	Expected to slip into 08-09
Domestic support Swinburne House	Proposed closure of Swinburne will reduce requirement for domestic support staff	A	1 x vacancy to be 'given up' and 1 x domestic post to be deleted. This will leave 1 x 20hr domestic post to remain for re-provisioning Swinburne elsewhere.	This will not directly affect the service provision	33	0	Expected to slip into 08-09
Assessment & Care Mgt	Review management arrangements for social work teams	R	Diminishes management capacity and potentially increases span of control for remaining managers above an acceptable level.	May result in delays in allocation and completion of statutory assessments	45	45	
Libraries	Staffing efficiencies in library service delivery	A	These will be achieved through a revised and improved way of allocating staff – i.e. adjustment of rotas to allow 3x scale 2 fte posts to be saved whilst delivering services as are currently provided. These staff rotas are across the whole service but will be significantly achieved through the Central Library.	reduction in CPA 'cost per visit' provided visit levels maintained. Necessary to ensure service standard and user satisfaction measures are maintained.	41	41	
Libraries	Reduction in relief driver requirement for library mobile bus service	A	The new structure was introduced April 2007. First quarter indicates that a lower demand for driver cover is needed. This is a little early and demand needs monitoring full 12 months including winter	reduction in CPA 'cost per visit' provided visit levels maintained. Necessary to ensure service standard and user satisfaction measures are maintained.	4	4	
Libraries	Strategic reduction in target areas of printed materials fund where consortium/supplier purchasing agreements facilitate efficiencies	A	failure to provide continually improving stock and maintenance of PI statistics.	Hope to be minimized but stock provision of the highest quality is principal aim of the service.	14	14	
Libraries	Improved efficiency in online resource provision	A	Risk of not meeting public demand by changing suppliers	Aim to maintain similar service provision through improved supplier contracts.	4	4	
Warren Road & Havelock	Review and integrate the management and staff structure across the two day services, this will reduce ancillary and management costs. The impact on direct service provision will be minimal as this is based on meeting assessed needs through individual support plans.	A	Business continuity & training required for staff to work across Learning & Physical disabilities	Performance measures, impact on PSSEX1 cost of day care.	103	26	On target for implementation by Jan 09. £29k given for ITU worker.
Home Care Service	Transfer 100 hrs from in-house provision to independent provider and review management arrangements.	A	Reconfiguration of home care and amalgamation with Intensive Social Support Team under service heading of 'Direct Care and Support Service' will help provide sustainability going forward and alleviate stress challenges. Potential for complaints if service users prefer local authority service provision. However statutory responsibilities will be still be achieved.	Long term cases in home care (dementia) will be transferred to alternative independent provision. Leaving in house home care services to focus upon rapid response operations. In comparison with all other Providers in Hartlepool, the Local Authority Home Care Service is best placed to do this.	53	53	

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's	Projected Outturn £000	Comments
Older People Purchasing	Change commissioning and contractual arrangements for 'step up / step down' beds, moving away from spot contract towards block purchase. Anticipated demand base on analysis over three year period indicates 15 vulnerable people can be supported more efficiently using this approach	A	This proposal increases capacity and provides the LA with more value for money through securing a lower rate per bed.	Service performance would be enhanced. However this work does require support from the Commissioning Team to tender for the revised contractual arrangement.	39	39	
Intensive Social Support Team	Reorganisation of intensive social support team to cover SP contract only.	A	Supporting People element of this service will continue to function but transitional care / intermediate care support will be limited.	The impact could be minimized by amalgamating the ISSTeam with the Home Care Service, one service to be created 'Direct Care and Support Service under more stream-lined managerial arrangement.	58	58	
Support Services	Efficiency improvements in Finance Section as indicated by CSED	A	Review is still in early stages	Loss of staffing could affect service to users and income levels	19	19	
Support Services	Cut in audio-visual and technical support to department	A	This will impact on Children's Service (40% funding for post) and corporate projects which are supported by this post.	Lost opportunity for improved presentation of information for public and staff	15	15	
Libraries	Reduction of agency advertising for vacant posts	G	Increased internal recruitment/advertising.	Where deemed necessary for maintenance of service standards posts will still be advertised externally.	2	2	
Libraries	Reduction in energy costs	G	Limited-according to predictions of energy consumption based on new lighting system efficiency savings should be achieved.	Improved lighting, saving of money and improved carbon footprint.	2	2	Efficiency achieved but energy price increases negating saving.
Parks & Countryside	Weekend Litter Picking additional staffing plus bank holiday and event cover in parks.	G	Not undertaking this work will result in increased user dissatisfaction and greater amounts of litter in parks. The work will be undertaken by a new staff team based within parks and countryside to react to sites including playgrounds and provide a 7 day service across all parks and play grounds undertaking inspections and maintenance.	The ad hoc approach to cover in parks on weekends will be formalised with a dedicated team of staff covering weekends and providing cover where necessary for events.	4	4	
Parks & Countryside	Lifebelt checks to be undertaken by Playground Inspector will allow additional income to be brought in by the Beach safety Officer in delivering First Aid Courses.	G	Lifebelt Checks are essential in maintaining safe areas around water. Most landowners now pay the Department to undertake the checks. The work will be undertaken by a new staff team based within parks and countryside to react to sites including playgrounds and provide a 7 day service across all parks and play grounds undertaking inspections and maintenance.	The incorporation of lifebelt checks into the work programme of the new staff team identified as a budget pressure will greatly increase the capacity of the Beach Safety Officer to deliver first aid courses to increase income into the department. The pressure is a combination of additional income from first aid courses and the lifebelt management.	5	5	
Parks & Countryside	Annual Cost to Department to maintain and clean the paddling pools. The maintenance to be undertake by play ground inspection team and the cleaning to be undertaken by the beach lifeguards. To implement this the Block Sand Paddling Pool would need to come in line with the start of the Lifeguard Season.	G	The cleaning and maintenance of paddling pools are essential to the service. The maintenance work will be undertaken by a new staff team based within parks and countryside to react to sites including playgrounds and provide a 7 day service across all parks and play grounds undertaking inspections and maintenance. For cleaning tasks it is proposed that the beach lifeguards undertake this duty but this would rely on the Block Sands facility opening at the same time as the Beach Lifeguards Service (May not Easter).	The work is currently undertaken by Neighbourhood services agency staff at a cost of £11k to the department. This money would be used to fund the playground inspection pressure and also increase the duties and resources into this post.	11	11	
Grounds Maintenance Contract	Contribution from Parks and Countryside to Neighbourhood Services for Playground Inspections. This is not easily identified through the contracts but believed to be in the region of £30K	G	The contribution to NHS is supplemented by insurance funding to operate a playground inspection and maintenance operation. Under new proposals the playground inspection would transfer to Parks and Countryside but would increase to a 7 days service to incorporate other tasks such as lifebelt checks.	The playgrounds would be inspected 7 days per week which will increase user satisfaction and reduce impact of anti social behaviour.	30	30	

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's	Projected Outturn £000	Comments
Parks & Countryside	To replace the Weekend Leisure Assistant Post at Summerhill with the extended playground and site inspection service.	G	The loss of a staff post at Summerhill would result in increased man hours to keep the site cleaned and maintained. Weekend site cleansing and maintenance would be undertaken by the Playground Inspection post on weekends therefore reducing the need for the Weekend leisure assistant	The playground inspection service will be increased to 7 days per week and will incorporate Summerhill site cleaning and maintenance into the weekend work programme.	10	10	
The Firs	De-commissioning the Firs as an office base	G	No Risk to Service, but un-occupied property may be vulnerable to vandalism	Service will be re-provisioned from alternative site, no impact identified.	19	19	The Firs de-commissioned.
17057 Warren Road	Reduction in the number of ancillary hours at warren road	G	Minimum risk as change is around processes for delivery of meals	Limited impact on service	8	8	
17057 Warren Road	Reduction in vacant staffing hours at Warren Road, 42 hours vacant due to setting up of independent theatre and drama groups	G	Reduction in the number of direct support staff, reflected by the number of people leaving the service to take up direct payments	Corporate management database, impact on the number of people attending FE, leisure, sport, as a reduction in the number of people attending day services. Increase in the number of people accessing a direct payment	23	23	
17100 Havelock N/HOOD SERVICES IMPACT	Reduction in the use of ancillary staff in the cleaning of the building by using more flexible rotas	G	Flexibility in Rota creating efficiencies	No impact on PI's	2	2	Rota changed and efficiency met.
17058 LD Agency	Bringing people back home / campus reprovision - review of complex out of area packages of care in partnership with PCT.	R	Returning of high cost packages to Hartlepool for people who have been living out of area in specialist provision	24 people over 5 years - Teesside initiative including PCT's	220	18	Relates to one Out of Borough Placement to be moved back to Hartlepool. Not yet achieved owing to Legal issues. On target for March 09.
Stair lift Contract	Change contractual arrangement for stair lifts away from comprehensive cover to costed model	G	Limited	More appropriate contractual arrangement to be established	20	20	Target expected to be achieved however full year's usage required to confirm.
Assessment & Care Management	Replace Social Worker top of Level E plus two, with Social Care Officer through management of vacancies	G	This will be a short term saving to the Local Authority, going forward there will be additional training implications	Social worker due to retire	12	12	
<b>TOTAL OF 3% EFFICIENCIES</b>					<b>836</b>	<b>484</b>	

**CHIEF EXECUTIVES DEPARTMENT PLANNED EFFICIENCIES**

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's	Projected Outturn £000	Comments
Accountancy 21412	A recent mini reorganisation produces an ongoing salary saving, from the deletion of 0.5 FTE Accountancy Technician post.	A	Reduction in capacity available to support none core activities, such as financial support for additional corporate initiatives (e.g. Job Evaluation) , or support of departmental finance teams when they have vacancies, or support of new grant regimes.	None - provided there are no new demands for higher service levels and existing staff are retained.	11	11	
Internal Audit 21414	A review of the Internal Audit sections senior management structure resulted in the amalgamation of the roles of Chief Internal Auditor and Group Auditor into a single post - Head of Audit and Governance. Only Part of this saving was taken in the 2007/08, pending a review of the new arrangements.	A	New arrangements are working satisfactorily. It is therefore not inappropriate to take the remaining saving in 2008/09. However, the continued success of current arrangements is dependant upon the retention of existing employees and/or the recruitment of appropriate replacements (which cannot be guaranteed).	None - provided remaining staff are retained, particularly Head of Audit and Governance.	28	28	
Revenues Recovery 21453	Income Generation from extending the Internal Bailiff function pilot to 2 officers. A greater proportion of bailiff activity will in future be undertaken by in house bailiffs, with the associated bailiff charges accruing to the Council instead of external bailiff companies.	A	Internal Bailiff pilot exercise to be extended from Sept 07. Performance monitoring of activity levels and values of fees levied in 2007/8 from early stages of pilot indicate relatively low risk of failure but dependency is on available recovery caseloads.	None. Internal Bailiffs will be "certificated" , work within defined codes of conduct / protocols and will be subject to robust performance management controls including using comparative performance data from external bailiffs. These arrangements will ensure effectiveness / accountability.	30	30	
HR	Income generation from extending charging arrangements for information in respect of mortgage applications and CRB. Restructure of section will result in deletion of 2 FTE during 2008/9.	A	Risk that charging employees to supply employment details will be controversial. Reduced employee /health & safety monitoring capacity will place greater reliance on managers, employees and trade unions to operate safely. Implementing Single Status will initially create additional workload therefore reducing HR posts will impact on ability to support managers and employees.	Greater reliance on managers to implement HR policies without personal support. Significant risk of subsequent litigation/claims, absenteeism, poor performance, etc	76	76	
<b>TOTAL OF 3% EFFICIENCIES</b>					<b>145</b>	<b>145</b>	



**CHILDREN'S SERVICES DEPARTMENT PLANNED EFFICIENCIES/SAVINGS**

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's	Projected Outturn £000	Comments
Home to School Transport	Full year savings arising from 1st September 2007 renewal of home to school and school to swimming pools transport contracts including agreed rationalisation of escort service LESS £100,000 allocated against the corporate efficiency target.	G	The exact transport requirements of children varies from year to year depending on pupil numbers. In addition the requirements of special needs pupils can vary at any time. Projected savings are based on the costs of current needs and provide limited scope to absorb increases above inflation.	This will have a positive effect on VFM indicators	61	61	
Advisory Service	Part saving on Senior Adviser salary following recruitment to a lower graded redesigned post. Savings assume regrade from Soulbury sp 27 to Soulbury sp 13.	G	Re-allocation of responsibilities to Assistant Director and other Senior Advisers required.		20	20	
Premature Retirement Costs	Reduced base budget to meet level of current spending on former employees and their dependants deleting scope to fund new costs.	A	The department has a PRC budget which funds the ongoing additional years element of early retirement costs. Reducing the base budget will delete any contingency for new cases. Over time the departments commitments will reduce as former teachers/staff and their dependants die.	The departments policy since 2002 has been that schools must meet the costs of any premature retirements from their delegated budgets. Schools can however, in exceptional financial circumstances, approach the department to request financial assistance.	60	60	
Carlton Outdoor Centre - Usage	Increased income assumption from Hartlepool schools and other customers following reopening of the centre.	A	The budgeted level of income from Hartlepool schools attending the Centre was set pessimistically due to concerns that customers may not return following closure during refurbishment. Increased income of approximately £15,000 will be received if schools take up their full allocation of days and the centre's external income target for summer and weekend customers is achieved.	If this increased income is sustainable the Council's net cost of running the centre would reduce by 25%.	15	15	
Carlton Outdoor Centre - Charges	13% Increased charges to Hartlepool schools for attendance at the centre (up to the levels charged by Redcar and Cleveland) will reduce the net costs of Hartlepool's subsidy.	A	Increased charges to schools could lead to reduced demand which would adversely affect overall income levels - see above	Although joint user authorities pay proportionate contributions towards Carlton centre costs each Council sets its own charges for schools in their areas. Hartlepool charges are currently substantially below Middlesbrough and Redcar.	5	5	
Youth Service	Review of Service Level Agreements to provide savings on overheads with minimal impact of front line delivery.	A	It is envisaged that savings can be achieved on repairs and maintenance and that better value for money can be achieved from more robust monitoring of some SLA's	There is a risk of a reduction in service delivery depending on how services are reconfigured.	10	10	
Departmental Contingency	Delete contingency fund held by Director	A	During setting of the 2007/08 base budget, within the departments overall cash limit, all uncommitted budgets were deleted to create one strategic contingency against unexpected departmental costs. This budget is held by the Director and is allocated out to meet identified pressures as part of the departments monthly monitoring procedures.	If deleted the department will be unable to absorb any unexpected cost pressures	64	64	

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's	Projected Outturn £000	Comments
Departmental Administration	Reduction in admin support	A	Reduced admin support will have an impact on the operations of various departmental teams and some areas of work will have to be absorbed. It may be possible to automate some functions via use of ICT.		110	110	Proposed Restructurings of Admin Support on hold owing to Job Evaluation but savings are being achieved through non-filling vacant posts.
Children and Families Admin	Reduction of admin support in Social Care	A	A reduction in staffing levels has already been committed relating to the new ICS system	The new ICS system will streamline the administration of care records and as this is embedded admin support will be reviewed.	40	40	Proposed Restructurings of Admin Support on hold owing to Job Evaluation but savings are being achieved through non-filling vacant posts.
Department wide Salaries	Increase to salary abatement target from 2.4% to 3.0%	A	Over recent years the department has achieved its salary abatement target (currently £160,000 equating to approximately 2.4%) through natural vacancy savings. All vacant posts are reviewed by CSMT prior to submission to the MOV panel and "forced delays" in the recruitment process may need to be made to maximise vacancy savings.	The projected saving assumes a 25% increase in vacancy / turnover savings, requiring savings of 3.0%. CSMT would try to focus this on (non grant funded) support services rather than frontline services wherever possible.	40	40	
Childcare - Summer Play scheme	Deletion of the summer play scheme.	A	The existing provision for play schemes is not necessarily targeting those most in need. Managers will be assessing the need within the Children's Centres localities and will commission new summer activities from the voluntary and community sector funded from Sure Start grant.	Overall provision may be slightly reduced but should be better matched to local need.	65	65	
<b>TOTAL OF 3% EFFICIENCIES</b>					<b>490</b>	<b>490</b>	

**NEIGHBOURHOOD SERVICES DEPARTMENT PLANNED EFFICIENCIES**

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's	Projected Outturn £000	Comments
	<b>3% EFFICIENCIES</b>						
1010/36740	Restructure of Senior Management of Public Protection	G	Alternative but inadequate management arrangements of Public Protection functions could result in inefficiencies and not meeting performance standards in several statutory functions	Minimal impact provided adequate arrangements are in place, otherwise not meeting PI's could result in external auditing of the service by e.g.. FSA	35	35	
	Do not increase costs through inflation where possible	A	small risk	Some revenue budgets pressured	169	169	
	School crossing patrol - remove from controlled crossings	A	Some public reaction	N/A	32	0	The anticipated changes to the provision of school crossing patrol did not materialise when the regulations reached there final stage. This has realised itself in the inability to achieve the required efficiencies in this area. Alternative areas are being investigated to bridge this gap in efficiencies.
	Xmas lights - full sponsorship	A	Sponsorship may not be achieved	Possible reduction in standard	18	18	
	Restructure B.H.H admin team	G	Increased workload on other staff	Reduced admin performance	18	18	
	Not replacing Technical Officer when he retires in April 2008	G	Increased workload on other staff	Reduced Technical performance	28	28	
	Reorganise drug related litter service	A	Possible injury to people who find litter	Drug litter will not be collected after 8pm	10	10	
	Reduce Pest Control	G	Minimal	Promised increased service not provided. 20k additional funding agreed by Council last year to improve service - insufficient to employ additional pest control operative.	20	20	
	Redesign staffing in transport section	A	Minimal	Management capacity reduced. Increased workload on remaining staff	55	55	
	Don't replace one member of admin team in civic centre	A	Workload too high - deadlines missed	Increased workload for remaining staff	17	17	
	Redesign of building management and maintenance services (including energy)	A	Building management services (including energy) efficiencies may not be achieved	Workload on remaining staff members / change of services to customers	37	37	
	Restructuring of licensing service in Public Protection	A	Taxi inspections not carried out on time	Performance indicators will suffer and criticism from licence holders	12	12	
<b>TOTAL EFFICIENCIES</b>					<b>451</b>	<b>419</b>	

**REGENERATION & PLANNING DEPARTMENT PLANNED EFFICIENCIES**

Budget Heading	Description of Efficiency/Saving	Risk - Red, Amber, Green	Risk Assessment of implementing efficiency/saving	Impact of efficiency/saving service performance)	Value of efficiency/saving £'000's	Projected Outturn £000	Comments
Youth Offending Service	Reduce the budget for the payments of carers and fostering allowances	G	It is anticipated that this budget can be reduced with minimal risk and impact by not recruiting to one of the vacant Carer's positions	It is not anticipated that service performance will be adversely affected by this reduction.	14	14	
Economic Development	HBC Contribution to Joint Strategy Unit	G	It is expected that the JSU will again reduce their budget to reflect national cashable efficiency target. The precise saving to Hartlepool will depend on the final inflation indicator and population statistics applied by the JSU but a budget reduction in the region of £5,000 should be possible.	This reduction will have no direct impact on the Economic Development service. The department currently passports some £230k to the JSU but has no control over this budget. In view of the total reductions required and the growing pressures on HBC budgets, Members may wish to seek a revised JSU budget formula for future years in conjunction with the other Tees Valley authorities.	5	5	
Staff Turnover	Increase in Vacancy Abatement target by 0.5%	A	The Vacancy Abatement target for 2007/8 has been achieved albeit largely through three long term vacancies. It is expected these vacancies will shortly be filled. Achievement of the 2008/9 target will depend on HBC funded staff leaving a relatively stable department in recent years and therefore some risk does exist in increasing this target.	An impact on service performance may occur if to meet this target, posts had to be held vacant for longer than appropriate.	20	20	
Community Safety	Reduce Staffing Budgets / Efficiency Review	A	Community Safety is one of the areas undergoing a departmental review in the current year as part of the overall Efficiency Strategy. No final conclusions have yet been reached in the review but it is felt that some efficiencies may be achievable.	It is anticipated that the impact on the part of the service where efficiencies are likely to be generated can be managed without a major affect on performance. However potential pressures in other areas of Community Safety most notably those previously funded via NRF mean that the overall risk to the service has been judged as 'Amber' at this stage.	20	20	The intended departmental review and subsequent restructuring did not deliver the original anticipated efficiency. Further work is required to identify how an alternative efficiency amount could be generated. However at this stage it is still assumed this can be achieved.
Housing Division	Reduce Staffing Budgets / Efficiency Review	A	The Housing Service is another area undergoing a departmental efficiency review in the current year. Scope for modifications to the staffing structure may also exist. No actions have been agreed at this stage but it is anticipated that some efficiencies would be achievable in 2008/09.	The main impact of this reduction would be that no budget flexibility would exist to address in-year changes or the unexpected small scale pressures which regularly arise in this increasingly high profile service. The Amber risk shown might however need to be upgraded to 'Red' should adequate funding not be agreed for Housing related pressures including the introduction of Choice Based Lettings and Selective Licensing, details of which are set out elsewhere in the budget process.	30	30	
General	Inflation freeze imposed on various budget headings	A	It is proposed to freeze inflation increases for a number of non contractual departmental budget headings.	The impact on service performance would be spread across a number of headings and is expected therefore to be manageable.	30	30	
Planning Policy and Regeneration	Reduce the Major Regeneration Projects Budget	A	It is proposed to reduce this budget by £10,000 in order to meet the 3% target.	Though this is a high priority project for HBC it is anticipated that a reduction at this modest level could be managed.	10	10	

<b>TOTAL OF 3% EFFICIENCIES</b>	<b>129</b>	<b>129</b>
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<b>TOTAL OF 3% EFFICIENCIES FOR ALL DEPARTMENTS</b>	<b>2051</b>	<b>1667</b>
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<b>CORPORATE 3% EFFICIENCIES TARGET</b>	<b>254</b>	<b>254</b>
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<b>TOTAL 2008/09 3% EFFICIENCIES TARGET</b>	<b>2305</b>	<b>1921</b>
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**SCHEDULE OF 2008/09 BUDGET PRESSURES TO BE  
TREATED AS CONTINGENCY ITEMS**

**6.1 APPENDIX D**

Budget Heading/Description	Risk - Red, Amber, Green	Gross Value of Budget Pressure in 2008/09	Value of Budget Pressure included in 2008/09 Contingency	Forecast Outturn for 2008/09	Variance	Comments
		£'000	£'000	£'000	£'000	
<b>Adult &amp; Community Services</b>						
Hartfields Extra Care Village	R	60	51	51	0	Hartfields not expected to open until later in the year however one-off set up costs of £30k have already been incurred. Full contingency expected to be utilised.
Self Directed Support - Adult Social Care	R	100	60	60	0	Difficult to quantify at this stage but will be requiring some funds.
Housing Hartlepool - Extra Care Developments @ Bamburgh Court/Bramley Court	A	50	30	30	0	Expected to be required.
Adult Education Service - Staffing		20	12	20	8	Expected to be required although not until December 08 to tie in with savings. Potential costs in excess of £20k.
<b>Total for Adult &amp; Community Services</b>		<b>230</b>	<b>153</b>	<b>161</b>	<b>8</b>	

<b>Childrens Services</b>						
Children and Families - placements	R	250	250	981	731	Sustained increase in LAC numbers requiring external placements.
Performance and Achievement - School Improvement Partners	A	20	17	17	0	
<b>Total for Childrens Services</b>		<b>270</b>	<b>267</b>	<b>998</b>	<b>731</b>	

<b>Neighbourhood Services</b>						
Car Parking	R	131	105	105	0	
Waste Management	R	50	43	43	0	
Corporate Property	R	100	85	85	0	
Environment - Removal of Toxic Waste	R	15	13	13	0	
School Catering	A	35	21	21	0	
<b>Total for Neighbourhood Services</b>		<b>331</b>	<b>267</b>	<b>267</b>	<b>0</b>	

<b>Regeneration and Planning</b>						
Conservation Area Appraisal	R	20	12	12	0	
<b>Total for Regeneration and Planning</b>		<b>20</b>	<b>12</b>	<b>12</b>	<b>0</b>	

<b>Overall Total</b>		<b>851</b>	<b>699</b>	<b>1438</b>	<b>739</b>	
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## REVENUE FINANCIAL MANAGEMENT REPORT PERIOD ENDING 30TH SEPTEMBER 2008

Line No	Actual Position 30/09/08			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	6.0	6.0	0.0	Adult Education	12.0	12.0	0.0
2	1,572.6	1,550.4	(22.2)	Assessment and Care Management	3,426.2	3,426.2	0.0
3	650.3	667.4	17.1	Home Care	1,353.2	1,353.2	0.0
4	2,681.0	3,270.8	589.8	Learning Disability	4,880.3	5,894.3	1,014.0
5	597.8	712.9	115.1	Mental Health	1,309.6	1,509.6	200.0
6	3,712.4	4,403.5	691.1	Older People - Purchasing	8,477.2	8,725.2	248.0
7	813.4	1,075.9	262.5	Physical Disability	1,640.2	2,137.2	497.0
8	137.0	155.0	18.0	Sensory Loss and Occupational Therapy	275.0	275.0	0.0
9	(6.8)	(7.9)	(1.1)	Service Strategy & Regulation	257.3	265.3	8.0
10	1,171.2	1,313.5	142.3	Support Services	2,070.6	2,083.1	12.5
11	17.8	14.2	(3.6)	Supporting People	110.7	110.7	0.0
12	423.5	350.8	(72.7)	Consumer Services	875.3	875.2	(0.1)
13	265.4	321.6	56.2	Environmental Standards	561.7	576.8	15.1
14	12,041.6	13,834.1	1,792.5	TOTAL	25,249.3	27,243.8	1,994.5

**Note 1 - Use of Reserves**

The above figures include the 2008/2009 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Best Value Group	Description of Reserve	Projected Outturn Position		
		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
		Col. A	Col. B	Col. C (C=B-A)
		£'000	£'000	£'000
Environmental Standards	Pride in Hartlepool	35.2	35.2	0.0
Support Services	CONTROCC Implementation	74.0	74.0	0.0
Support Services	LPSA Carefirst Upgrade	56.0	56.0	0.0
		165.2	165.2	0.0

**REVENUE FINANCIAL MANAGEMENT REPORT PERIOD ENDING 30TH SEPTEMBER 2008**

Line No	Actual Position 30/09/08			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	1,208.0	1,186.7	(21.3)	Access to Education	2,454.9	2,437.3	(17.6)
2	21.9	21.9	0.0	Central Support Services	964.3	964.3	0.0
3	4,586.2	4,857.7	271.5	Children, Young People and Families Support	9,580.8	10,559.2	978.4
4	(354.0)	(351.0)	3.0	Early Years	363.0	363.0	0.0
5	69.9	65.4	(4.5)	Information, Sharing & Assessment	132.2	110.5	(21.7)
6	34.9	36.1	1.2	Other School Related Expenditure	1,782.1	1,780.9	(1.2)
7	35.4	84.0	48.6	Play & Care of Children	70.8	122.8	52.0
8	572.9	497.7	(75.2)	Raising Educational Achievement	1,786.5	1,764.6	(21.9)
9	1,015.3	945.5	(69.8)	Special Educational Needs	2,907.7	2,867.7	(40.0)
10	1,095.2	1,087.1	(8.1)	Strategic Management	1,189.4	1,368.2	178.8
11	174.3	157.8	(16.5)	Youth Justice	348.6	348.6	0.0
12	659.8	636.6	(23.2)	Youth Service	1,105.0	1,097.0	(8.0)
13	9,119.8	9,225.5	105.7	TOTAL	22,685.3	23,784.1	1,098.8

**Note 1 - Use of Reserves**

The above figures include the 2008/2009 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Best Value Group	Description of Reserve	Projected Outturn Position		
		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
		Col. A	Col. B	Col. C (C=B-A)
		£'000	£'000	£'000
Access to Education	Building Schools for the Future	654.4	654.4	0.0
Children, Young People and Families Support	Teenage Pregnancy Prevention	18.9	18.9	0.0
Early Years	Early Years	47.2	47.2	0.0
Other School Related Expenditure	14-19 Agenda	54.4	54.4	0.0
Other School Related Expenditure	Connexions	20.0	0.0	(20.0)
Other School Related Expenditure	Dedicated Schools Grant - Brierton	22.0	22.0	0.0
Raising Educational Achievement	Playing for Success	33.1	33.1	0.0
Raising Educational Achievement	Building Schools for the Future - E-Learning	20.0	20.0	0.0
		870.0	850.0	(20.0)

## REVENUE FINANCIAL MANAGEMENT REPORT PERIOD ENDING 30TH SEPTEMBER 2008

Line No	Actual Position 30/09/08			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	(2.8)	(2.7)	0.1	Allotments	58.7	58.7	0.0
2	20.9	20.0	(0.9)	Archaeology Service	30.4	30.4	0.0
3	710.3	708.9	(1.4)	Museums & Heritage	824.6	791.2	(33.4)
4	427.9	415.0	(12.9)	Community Support	755.0	750.0	(5.0)
5	221.5	231.1	9.6	Countryside	391.5	391.5	0.0
6	116.1	108.7	(7.4)	Foreshore	152.7	152.7	0.0
7	884.0	876.6	(7.4)	Libraries	1,830.2	1,848.2	18.0
8	216.3	233.5	17.2	Maintenance	292.2	306.2	14.0
9	96.4	73.1	(23.3)	Parks	576.2	576.2	0.0
10	678.3	658.3	(20.0)	Recharge Accounts	(5.2)	(5.2)	0.0
11	532.0	595.8	63.8	Sports & Physical Recreation	1,450.0	1,450.0	0.0
12	257.3	338.5	81.2	Strategic Arts	348.1	390.1	42.0
13	83.4	89.0	5.6	Tall Ships Event 2010	0.0	0.0	0.0
14	4,241.6	4,345.8	104.2	TOTAL	6,704.4	6,740.0	35.6

**Note 1 - Use of Reserves**

The above figures include the 2008/2009 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Best Value Group	Description of Reserve	Projected Outturn Position		
		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
		Col. A	Col. B	Col. C (C=B-A)
		£'000	£'000	£'000
Sports and Physical Recreation	Community & Higher Sports Awards	7.8	7.8	0.0
Community Support	Community Pool Grants	124.1	124.1	0.0
Countryside	Countryside	14.0	14.0	0.0
Libraries	Libraries - RFID Self-Issue	46.0	46.0	0.0
Strategic Arts	Maritime Festival	31.2	31.2	0.0
Sports and Physical Recreation	Football Development	1.0	1.0	0.0
Sports and Physical Recreation	Sports Awards	3.0	3.0	0.0
Parks	Tree Management	5.9	5.9	0.0
Tall Ships Event 2010	Tall Ships Event - Office	139.7	139.7	0.0
Tall Ships Event 2010	Tall Ships Event - Marketing and Publicity	42.6	42.6	0.0
Tall Ships Event 2010	Tall Ships Event - Sponsorship / Corporate	82.0	82.0	0.0
Sports and Physical Recreation	LPSA - Sports	33.2	33.2	0.0
Sports and Physical Recreation	Action for Jobs	2.0	2.0	0.0
		532.5	532.5	0.0



**REVENUE FINANCIAL MANAGEMENT REPORT PERIOD ENDING 30TH SEPTEMBER 2008**

Line No	Actual Position 30/09/08			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	747.0	753.1	6.1	Accountancy & Payments	1,118.4	1,068.4	(50.0)
2	45.5	39.8	(5.7)	Benefits	67.7	(2.3)	(70.0)
3	168.7	152.2	(16.5)	Internal Audit	280.8	260.8	(20.0)
4	635.1	685.9	50.8	Revenues	1,175.8	1,155.8	(20.0)
5	148.0	141.7	(6.3)	Fraud	184.1	179.1	(5.0)
6	304.5	323.8	19.3	R & B Central	(59.9)	(64.9)	(5.0)
7	275.0	416.3	141.3	Legal Services	524.1	642.1	118.0
8	(435.5)	(455.4)	(19.9)	Shopping Centre	(870.9)	(890.8)	(19.9)
9	54.9	52.4	(2.5)	Financial Management	104.8	104.8	0.0
10	25.5	26.1	0.6	Registration of Electors	89.2	89.2	0.0
11	85.7	85.7	0.0	Municipal and Parliamentary Elections	90.2	90.2	0.0
12	222.0	222.0	0.0	Central Administration	(1,996.4)	(1,996.4)	0.0
13	22.2	22.2	0.0	Single Status	0.0	0.0	0.0
14	0.0	0.0	0.0	Council Tax and Housing Benefit Payments	164.3	164.3	0.0
15	149.7	149.8	0.1	Finance Miscellaneous	0.0	0.0	0.0
16	0.0	100.0	100.0	Reserve - Social Inclusion / Credit Union	0.0	100.0	100.0
17	2,448.3	2,715.6	267.3	TOTAL	872.2	900.3	28.1

**Note 1 - Use of Reserves**

The above figures include the 2008/2009 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Best Value Group	Description of Reserve	Projected Outturn Position		
		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
		Col. A	Col. B	Col. C (C=B-A)
		£'000	£'000	£'000
Accountancy & Payments	Agency staff	70.0	70.0	0.0
Internal Audit	Audit software and IT equipment	93.4	93.4	0.0
Legal Services	Honoraria and agency staff	8.7	8.7	0.0
Revenues	Hartlepool Financial Inclusion Partnership	30.0	30.0	0.0
Revenues	Business Improvement District Initiative	35.0	35.0	0.0
R & B Central	R & B Wireless Benefits	47.2	47.2	0.0
R & B Central	R & B Home Working	50.0	50.0	0.0
R & B Central	R & B Agency Staff	50.0	50.0	0.0
R & B Central	R & B IT Developments	15.6	15.6	0.0
R & B Central	R & B General	37.0	37.0	0.0
R & B Central	R & B Grant Flow Pilot	30.0	30.0	0.0
R & B Central	R & B Two Scanners	37.0	37.0	0.0
R & B Central	R & B Internal Bailiff Development	30.0	30.0	0.0
R & B Central	R & B Intercept Software	5.6	5.6	0.0
R & B Central	R & B Financial Inclusion Programme	50.0	50.0	0.0
				0.0
				0.0
		589.5	589.5	0.0

**REVENUE FINANCIAL MANAGEMENT REPORT PERIOD ENDING 30TH SEPTEMBER 2008**

Line No	Actual Position 30/09/08			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	1,425.5	1,476.7	51.2	Highways Services	2,992.8	3,107.8	115.0
2	68.0	76.1	8.1	Transport Services	253.6	253.5	(0.1)
3	598.6	594.4	(4.2)	Engineering Consultancy	1,003.3	1,003.3	0.0
4	298.7	301.6	2.9	Transportation Management Acct	529.4	529.4	0.0
5	181.0	235.9	54.9	Highways Management Account	314.0	314.0	0.0
6	13.2	13.2	0.0	Traffic Management	13.2	13.2	0.0
7	(413.2)	(229.2)	184.0	Car Parking	(826.4)	(642.6)	183.8
8	1,708.1	1,755.7	47.6	Traffic & Transportation	1,849.9	1,898.9	49.0
9	4,649.0	4,677.9	28.9	Environment	6,916.4	6,951.4	35.0
10	125.9	119.4	(6.5)	Environmental Action	251.8	251.8	0.0
11	87.6	86.2	(1.4)	Town Care Management	175.2	175.2	0.0
12	202.2	202.2	0.0	Minor Works	0.0	0.0	0.0
13	367.4	371.6	4.2	Housing Services	920.6	928.6	8.0
14	9,312.0	9,681.7	369.7	TOTAL	14,393.8	14,784.5	390.7

**Note 1 - Use of Reserves**

The above figures include the 2008/2009 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Best Value Group	Description of Reserve	Projected Outturn Position		
		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
		Col. A	Col. B	Col. C (C=B-A)
		£'000	£'000	£'000
Housing Services	LPSA Reward Grant Reserve	69.3	69.3	0.0
		69.3	69.3	0.0

## REVENUE FINANCIAL MANAGEMENT REPORT PERIOD ENDING 30TH SEPTEMBER 2008

Line No	Actual Position 30/09/08			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	3.8	17.3	13.5	Property Services & Procurement	465.9	466.7	0.8
2	(2,521.7)	(2,395.3)	126.4	Neighbourhood Services Internal Works	(344.7)	(144.7)	200.0
3	342.7	333.4	(9.3)	Client Services	397.7	397.7	0.0
4	16.9	12.8	(4.1)	Technical Services and Public Protection Admin	22.4	22.4	0.0
5	63.8	65.0	1.2	Public Relations	139.3	139.3	0.0
6	126.3	130.1	3.8	Democratic Services	261.5	251.5	(10.0)
7	370.6	369.1	(1.5)	Corporate Strategy & Public Consultation	695.5	685.5	(10.0)
8	281.5	282.8	1.3	Corporate Management and Running Expenses	472.8	462.8	(10.0)
9	52.1	40.6	(11.5)	Registration Services	101.3	101.3	0.0
10	92.8	76.9	(15.9)	Support to Members	185.8	185.8	0.0
11	(55.7)	4.2	59.9	Other Office Services	(111.3)	(0.3)	111.0
12	57.9	62.4	4.5	Printing	82.4	82.4	0.0
13	375.4	383.0	7.6	Human Resources	783.8	783.8	0.0
14	175.3	186.2	10.9	Training & Equality	314.7	314.7	0.0
15	249.6	233.2	(16.4)	Contact Centre	494.9	494.9	0.0
16	640.4	679.4	39.0	Administration Buildings Running Expenses	898.1	953.1	55.0
17	74.3	74.3	0.0	Central Council Expenses	86.0	86.0	0.0
18	26.4	27.1	0.7	Performance Management Miscellaneous	27.2	28.3	1.1
19	372.4	582.5	210.1	TOTAL	4,973.3	5,311.2	337.9

**Note 1 - Use of Reserves**

The above figures include the 2008/2009 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Best Value Group	Description of Reserve	Projected Outturn Position		
		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
		Col. A	Col. B	Col. C (C=B-A)
		£'000	£'000	£'000
Contact Centre	Contact Centre Staffing	4.9	4.9	0.0
Corporate Strategy & Public Consultation	Performance Management Development	8.9	8.9	0.0
Corporate Strategy & Public Consultation	Corporate Consultation	10.0	10.0	0.0
Corporate Strategy & Public Consultation	CCS Divisional Restructure	35.0	35.0	0.0
Corporate Strategy & Public Consultation	Divisional costs relating to Civic Centre refurb	20.0	20.0	0.0
Corporate Strategy & Public Consultation	ICT Implementation	55.6	55.6	0.0
Corporate Strategy & Public Consultation	Enhancing Council Profile	15.0	15.0	0.0
Corporate Strategy & Public Consultation	ICT Project 2011	75.0	75.0	0.0
Registration Services	Building maintenance	29.8	29.8	0.0
Printing	Print Unit Reserve	3.0	3.0	0.0
Human Resources	Corporate Workforce Development	29.8	29.8	0.0
Human Resources	Support to Members	25.5	25.5	0.0
Human Resources	Election Services	7.8	7.8	0.0
Human Resources	HR Service Improvement	12.5	12.5	0.0
Human Resources	Resouce Investment IT	2.0	2.0	0.0
Training & Equality	National Graduate Development Reserve	1.1	1.1	0.0
Neighbourhood Services Internal Works	Remedial Repairs Reserve	41.0	41.0	0.0
		376.9	376.9	0.0

**REVENUE FINANCIAL MANAGEMENT REPORT PERIOD ENDING 30TH SEPTEMBER 2008**

Line No	Actual Position 30/09/08			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	289.0	289.7	0.7	Administration	(25.0)	(25.0)	0.0
2	38.4	52.5	14.1	Building Control	145.0	165.0	20.0
3	67.2	67.2	0.0	CADCAM	51.4	51.4	0.0
4	535.8	619.7	83.9	Community Safety	1,055.5	1,203.5	148.0
5	138.1	126.5	(11.6)	Community Strategy	308.7	308.7	0.0
6	29.2	37.0	7.8	Development Control	182.4	196.4	14.0
7	52.2	61.5	9.3	Divisional Management	0.0	0.0	0.0
8	(42.3)	(27.3)	15.0	Drug Action Team	0.0	30.0	30.0
9	198.1	194.4	(3.7)	Economic Development	1,468.7	1,468.7	0.0
10	138.7	154.4	15.7	Landscape & Conservation	361.6	361.6	0.0
11	505.6	497.8	(7.8)	Planning Policy & Regeneration	899.8	909.8	10.0
12	(45.9)	(70.8)	(24.9)	Regeneration Staff Savings	(91.2)	(91.2)	0.0
13	324.4	312.8	(11.6)	Youth Offending Service	341.3	341.3	0.0
14	2,228.5	2,315.4	86.9	TOTAL	4,698.2	4,920.2	222.0

**Note 1 - Use of Reserves**

The above figures include the 2008/2009 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Best Value Group	Description of Reserve	Projected Outturn Position		
		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
		Col. A	Col. B	Col. C (C=B-A)
		£'000	£'000	£'000
Admin - Regeneration & Planning	Youth Offending Reserve	10.0	10.0	0.0
Admin - Regeneration & Planning	Regeneration Reserve - Specific	36.0	36.0	0.0
CADCAM	Economic Development Reserve	20.0	20.0	0.0
Community Safety	Anti Social Behaviour Unit	8.4	8.4	0.0
Community Safety (ASB)	Regeneration Reserve - Specific	19.9	19.9	0.0
Community Strategy	Regeneration Reserve - Specific	5.0	5.0	0.0
Development Control	Regeneration Reserve - Specific	26.5	26.5	0.0
Landscape & Conservation	Regeneration Reserve - Specific	8.3	8.3	0.0
Planning Policy & Regeneration	Local Plan Reserve	6.7	6.7	0.0
Planning Policy & Regeneration	Regeneration Reserve - Specific	20.0	20.0	0.0
Youth Offending Service	Youth Offending Reserve	105.0	105.0	0.0
		265.8	265.8	0.0

## REVENUE FINANCIAL MANAGEMENT REPORT PERIOD ENDING 30th SEPTEMBER 2008

Line No	Actual Position 30/09/08			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2008/09 Latest Budget	2008/09 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
				<b>Adult &amp; Community Services</b>			
1	38.0	44.3	6.3	WNF - Mental Health Development Project	50.6	50.6	0.0
2	10.1	0.0	(10.1)	WNF - Mobile Maintenance Worker	20.6	20.6	0.0
3	0.0	0.0	0.0	WNF - MIND Manager & NDC Support Network	38.0	38.0	0.0
4	0.0	0.0	0.0	WNF - TNEY/MIND Common Mental Health	24.0	24.0	0.0
5	40.0	40.0	0.0	WNF - PCT Occupational Care for Kids	40.0	40.0	0.0
6	31.9	29.6	(2.3)	WNF - Belle Vue Sports Project	42.6	42.6	0.0
7	40.0	40.0	0.0	WNF - Integrated Health & Social Care Team	40.0	40.0	0.0
8	13.5	11.3	(2.2)	WNF - Cardiac Rehabilitation through Exercise	27.0	27.0	0.0
9	15.2	19.1	3.9	WNF - Connected Care / Health Trainers	30.5	30.5	0.0
10	0.0	5.3	5.3	WNF - Reducing Childhood Obesity	94.6	94.6	0.0
11	0.0	0.0	0.0	WNF - Skills to Work	49.8	49.8	0.0
12	129.1	95.4	(33.7)	Adult Social Care Workforce	281.0	281.0	0.0
13	128.1	107.5	(20.6)	Adult Carers - split 80% Adult and 20% Children's	384.0	384.0	0.0
14	52.5	59.2	6.7	Learning and Disability Development Fund	105.0	105.0	0.0
15	52.3	37.3	(15.0)	Local Involvement Networks	99.0	99.0	0.0
16	29.5	3.6	(25.9)	Mental Capacity Act and Independent Mental Capital Advocate Service	52.0	52.0	0.0
17	168.0	185.4	17.4	Mental Health	336.0	336.0	0.0
18	157.0	157.0	0.0	Preserved Rights	314.0	314.0	0.0
19	110.7	153.7	43.0	Supporting People Administration	145.0	145.0	0.0
				<b>Childrens Services</b>			
20	3.3	3.3	0.0	WNF - Hartlepool On-Track Project	50.0	50.0	0.0
21	2.5	2.5	0.0	WNF - Project Co-ordination	5.0	5.0	0.0
22	25.0	27.8	2.8	WNF - Education Business Links	50.0	50.0	0.0
23	10.8	10.8	0.0	WNF - Boys Underachieving	40.0	40.0	0.0
24	200.0	200.0	0.0	WNF - Primary/Secondary Schools Direct Funding	400.0	400.0	0.0
25	0.0	0.0	0.0	Children 14-19 Flexible Funding Pot	31.0	31.0	0.0
26	8.3	7.3	(1.0)	Care Matters White Paper	69.0	69.0	0.0
27	79.7	79.7	0.0	Children's Carers	96.0	96.0	0.0
28	128.5	103.3	(25.2)	Child and Adolescent Mental Health Services	214.0	214.0	0.0
29	8.5	3.2	(5.3)	Child Death Review Processes	17.0	17.0	0.0
30	21.0	17.7	(3.3)	Children's Social Care Workforce (formerly HRDS and NTS)	42.0	42.0	0.0
31	266.7	266.0	(0.7)	Children's Fund	395.0	395.0	0.0
32	5.6	5.6	0.0	Choice Advisers	25.0	25.0	0.0
33	580.8	513.9	(66.9)	Connexions	1,114.0	1,064.0	(50.0)
34	0.0	0.0	0.0	Education Health Partnerships	43.0	43.0	0.0
35	1.2	1.2	0.0	Extended Rights to Free Transport	18.0	18.0	0.0
36	255.3	255.3	0.0	Extended Schools Start Up Costs	265.0	265.0	0.0
37	124.7	129.8	5.1	Positive Activities for Young People	332.0	292.0	(40.0)
38	32.4	32.4	0.0	Secondary National Strategy - Behaviour and Attendance	68.0	68.0	0.0
39	43.1	43.1	0.0	Secondary National Strategy - Central Co-ordination	108.0	108.0	0.0
40	34.8	34.8	0.0	Primary National Strategy - Central Co-ordination	75.0	75.0	0.0
41	135.7	135.7	0.0	School Development Grant (Local Authority Element)	287.0	287.0	0.0
42	42.0	42.0	0.0	School Improvement Partners	42.0	42.0	0.0
43	0.0	0.0	0.0	School Intervention Grant	26.0	26.0	0.0
44	0.0	0.0	0.0	Sustainable Travel General Duty	7.0	7.0	0.0
45	32.5	18.8	(13.7)	Teenage Pregnancy	144.0	144.0	0.0
				<b>Neighbourhood Services</b>			
46	76.8	76.2	(0.6)	WNF - Community Safety Wardens	153.5	153.5	0.0
47	50.0	41.4	(8.6)	WNF - Environment Team	100.0	100.0	0.0
48	12.6	6.4	(6.2)	WNF - Environmental Education	25.2	25.2	0.0
49	1.6	0.0	(1.6)	WNF - NAP Meetings	3.2	3.2	0.0
50	72.5	72.5	0.0	Road Safety Grant	188.0	188.0	0.0
51	0.0	0.0	0.0	Rural Bus Subsidy	29.0	29.0	0.0
52	33.2	33.2	0.0	School Travel Advisers	35.0	35.0	0.0
				<b>Regeneration and Planning</b>			
53	28.5	28.5	0.0	WNF - Anti Social Behaviour Officer	69.5	69.5	0.0
54	7.8	7.8	0.0	WNF - Partnership Working with Communities	200.0	200.0	0.0
55	29.6	29.6	0.0	WNF - Prolific Offender	125.0	125.0	0.0
56	10.5	10.5	0.0	WNF - Project Assistant	24.2	24.2	0.0
57	65.1	65.1	0.0	WNF - COOL Project	65.1	65.1	0.0
58	96.4	96.4	0.0	WNF - Families Changing Communities	189.7	189.7	0.0
59	4.3	4.3	0.0	WNF - Landlord Accreditation Scheme	10.0	10.0	0.0
60	33.0	33.0	0.0	WNF - Young Firefighters	33.0	33.0	0.0
61	78.9	78.9	0.0	WNF - Management & Consultancy	142.1	142.1	0.0

62	21.6	21.6	0.0	WNF - Neighbourhood Renewal Officer	44.0	44.0	0.0
63	4.3	4.3	0.0	WNF - NAP Development	54.3	54.3	0.0
64	136.6	136.6	0.0	WNF - Community Empowerment Network	136.6	136.6	0.0
65	4.0	4.0	0.0	WNF - Administration of Lifelong Learning Partnership	6.3	6.3	0.0
66	81.4	81.4	0.0	WNF - Level 3 Progression	81.4	81.4	0.0
67	25.8	25.8	0.0	WNF - Active Skills - West View Project	25.8	25.8	0.0
68	3.1	3.1	0.0	WNF - Hartlepool Deaf Centre	3.1	3.1	0.0
69	36.1	36.1	0.0	WNF - Career Coaching HVDA	36.1	36.1	0.0
70	0.0	0.0	0.0	WNF - Dyke House/Stranton/Grange NAP	48.1	48.1	0.0
71	0.9	0.9	0.0	WNF - Central NAP(North Hartlepool)	10.5	10.5	0.0
72	4.8	4.8	0.0	WNF - W View/K Oswy NAP(North Hartlepool)	38.5	38.5	0.0
73	22.1	22.1	0.0	WNF - Jobsmart	35.1	35.1	0.0
74	48.4	48.4	0.0	WNF - Targetted Training	106.6	106.6	0.0
75	32.0	32.0	0.0	WNF - Womens Opportunities	72.0	72.0	0.0
76	40.3	40.3	0.0	WNF - JobsBuild	29.2	29.2	0.0
77	100.0	100.0	0.0	WNF - Intermediate Labour Market	204.3	204.3	0.0
78	0.0	0.0	0.0	WNF - Marketing Assistant	7.2	7.2	0.0
79	0.8	0.8	0.0	WNF - Employment Co-ordinator	2.6	2.6	0.0
80	5.6	5.6	0.0	WNF - Improving the Employment Offer	16.5	16.5	0.0
81	120.0	120.0	0.0	WNF - North Central Hartlepool-DeliveryTeam Staff Cost	120.0	120.0	0.0
82	20.3	20.3	0.0	WNF - Assisting Local People into Work	248.1	248.1	0.0
83	119.8	119.8	0.0	WNF - Incubator System	223.8	223.8	0.0
84	81.0	81.0	0.0	WNF - Volunteering into Employment	81.0	81.0	0.0
85	0.0	0.0	0.0	WNF - Skills & Knowledge	5.0	5.0	0.0
86	162.3	162.3	0.0	WNF - Community Employment Outreach	154.3	154.3	0.0
87	166.2	166.2	0.0	WNF - STEP(Homelessness Project)	91.3	91.3	0.0
88	49.5	49.5	0.0	WNF - Positive Choice for Carers	45.3	45.3	0.0
89	39.9	39.9	0.0	WNF - Owton Manor West NWRA	39.9	39.9	0.0
90	36.0	36.0	0.0	WNF - West View Project	36.0	36.0	0.0
91	90.0	90.0	0.0	WNF - Community Chest	90.0	90.0	0.0
92	46.0	46.0	0.0	WNF - WNF Local Employment Assistance - OFCA	46.0	46.0	0.0
93	38.5	38.5	0.0	WNF - WNF Youth into Employment Wharton Trust	38.5	38.5	0.0
94	15.9	15.9	0.0	WNF - WNF Introduction to Construction	15.9	15.9	0.0
95	39.4	39.4	0.0	WNF - WNF Adventure Traineeship	39.4	39.4	0.0
96	49.9	49.9	0.0	WNF - WNF Employment Support MIND	49.9	49.9	0.0
97	0.3	0.3	0.0	WNF - Burbank Neighbourhood Action Plan	17.8	17.8	0.0
98	1.5	1.5	0.0	WNF - Rift House / Burn Valley Neighbourhood Action Plan	31.1	31.1	0.0
99	0.9	0.9	0.0	WNF - Owton Neighbourhood Action Plan	38.4	38.4	0.0
100	0.1	0.1	0.0	WNF - Rossmere Neighbourhood Action Plan	18.1	18.1	0.0
101	0.0	0.0	0.0	WNF - Headland Neighbourhood Action Plan	11.5	11.5	0.0
102	0.0	0.0	0.0	WNF - Throston Neighbourhood Action Plan	19.1	19.1	0.0
103	3.2	3.2	0.0	Cohesion	26.0	26.0	0.0
104	230.7	230.7	0.0	Stronger Safer Communities Fund (Neighbourhood Element)	466.2	466.2	0.0
105	83.9	83.9	0.0	Stronger Safer Communities Fund	182.0	182.0	0.0
106	5,622.2	5,469.8	(152.4)	TOTAL	10,622.0	10,532.0	(90.0)

# **CABINET REPORT**

**24th November 2008**



**Report of:** Principal Policy Officer, Community Strategy

**Subject:** LOCAL AREA AGREEMENT (LAA) QUARTER 2  
(2008/09) SUMMARY OF PERFORMANCE  
REPORT

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## **SUMMARY**

### **1. PURPOSE OF REPORT**

To inform Cabinet of performance against the Local Area Agreement (LAA) 2008/09.

### **2. SUMMARY OF CONTENTS**

The LAA includes 32 priority outcomes, structured around the eight Community Strategy Themes. This report summarises the performance against all of the targets in the LAA and the designated Improvement Targets.

### **3. RELEVANCE TO CABINET**

Hartlepool's current LAA is a three year agreement (2008-11) based on the Community Strategy that sets out the priorities for Hartlepool and forms an agreement between Central Government (represented by GONE) and a local area represented by Hartlepool Borough Council and other key partners through the Hartlepool Partnership. The LAA is the delivery plan of the Community Strategy.

### **4. TYPE OF DECISION**

None (for information only).

### **5. DECISION MAKING ROUTE**

Cabinet 24 November 2008.  
Hartlepool Partnership 12 December 2008

**6. DECISION REQUIRED**

Cabinet is requested to note the report and take any decisions necessary to address performance.



**Report of:** Principal Policy Officer, Community Strategy

**Subject:** LOCAL AREA AGREEMENT (LAA) QUARTER 2  
(2008/09) SUMMARY OF PERFORMANCE  
REPORT

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## **1. PURPOSE OF REPORT**

- 1.1 This report contains a summary of performance against the new Local Area Agreement (LAA) 2008/11.

## **2.0 BACKGROUND**

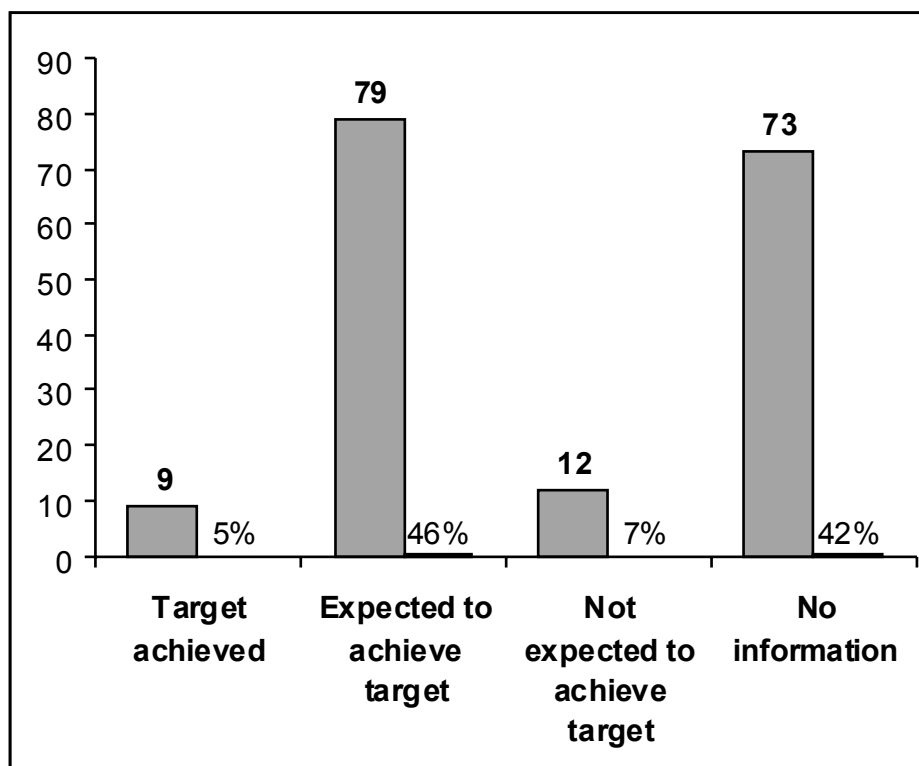
- 2.1 A LAA is a three year agreement based on local Community Strategies that sets out the priorities agreed between Central Government (represented by the regional Government Office) and a local area (represented by the local authority and other key partners through Local Strategic Partnerships). Hartlepool's LAA is structured around the themes of the Community Strategy and sets out agreed priorities that the Local Strategic Partnership will progress.
- 2.2 Hartlepool's new LAA was agreed by Council at its meeting in May and subsequently signed-off by Government in June 2008.
- 2.3 Quarterly monitoring for the new LAA commenced for Quarter 2 and performance updates are collected through Covalent (a new web-based performance management database) and written narrative. A six month review (Quarter 2) and the end of year report (Quarter 4) for the LAA are presented to Cabinet as part of these arrangements.

## **3.0 QUARTER TWO PERFORMANCE 2008/09**

- 3.1 The LAA includes 32 priority outcomes, structured around the eight Community Strategy Themes. Performance is reported on a thematic basis with performance reports produced for each outcome. Each individual outcome report contains a narrative on performance with Performance Indicators (PIs) or targets and key actions for improvement reported through expected outcome 'traffic light' reports, latest notes for each PI and Outcome are used to explain the latest provision. Importance is placed on the narrative that accompanies each outcome and theme update to provide a meaningful and quick reference summary of progress and recent developments. A full performance report is available on request or can be viewed at [www.hartlepoolpartnership.co.uk](http://www.hartlepoolpartnership.co.uk) in the 'How are we performing' pages.

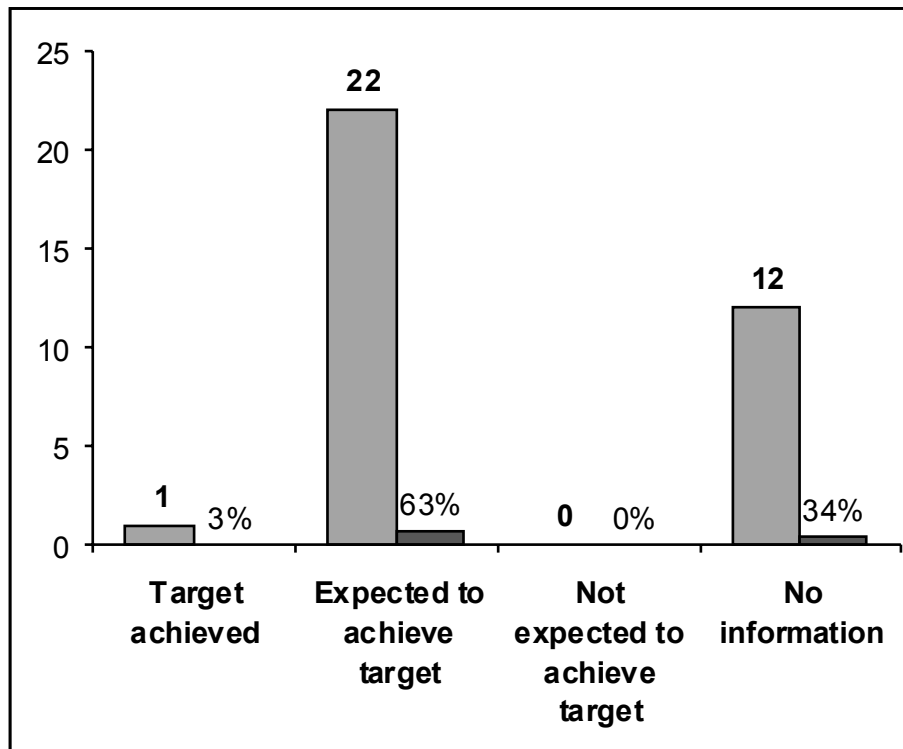
- 3.2 Covalent the Councils new web-based performance management system is used to help manage performance. A rating system is used with details provided for indicators that have not or are not expected to reach target. This type of reporting is often termed 'reporting by exception' highlighting where the key challenges are in achieving the outcomes and requirements of the LAA.
- 3.3 Full details of progress on all of the outcomes, indicators and actions is contained in Local Area Agreement Delivery and Improvement Plan, Progress Update: Quarter 1 & 2 (April- September) available on the Hartlepool Partnership Website [www.hartlepoolpartnership.co.uk](http://www.hartlepoolpartnership.co.uk) (paper copies are available on request). As well as reporting against the targets associated with the LAA, a comprehensive narrative of progress is provided for each theme and outcome. This contains updates on the focus for activity, target areas and groups and recent developments.
- 3.4 Please be aware that this is the first report produced since introducing the new performance database and the signing off of the new LAA, so we are only six months into the new 3 year agreement. In some instances it is apparent that there are gaps in the information provided, this is because the much of the data is not yet available. Many of the indicators are new and baselines have yet to be established, these include those in the new Place Survey (results due in February 2009), the Ipsos MORI Household survey (results due in January 2009) or are indicators involving examination results that were not verified in time to allow reporting by Quarter 2. A more complete picture will be reported in the Quarter 4 report.

#### 4.0 PROGRESS AGAINST ALL TARGETS IN THE LAA



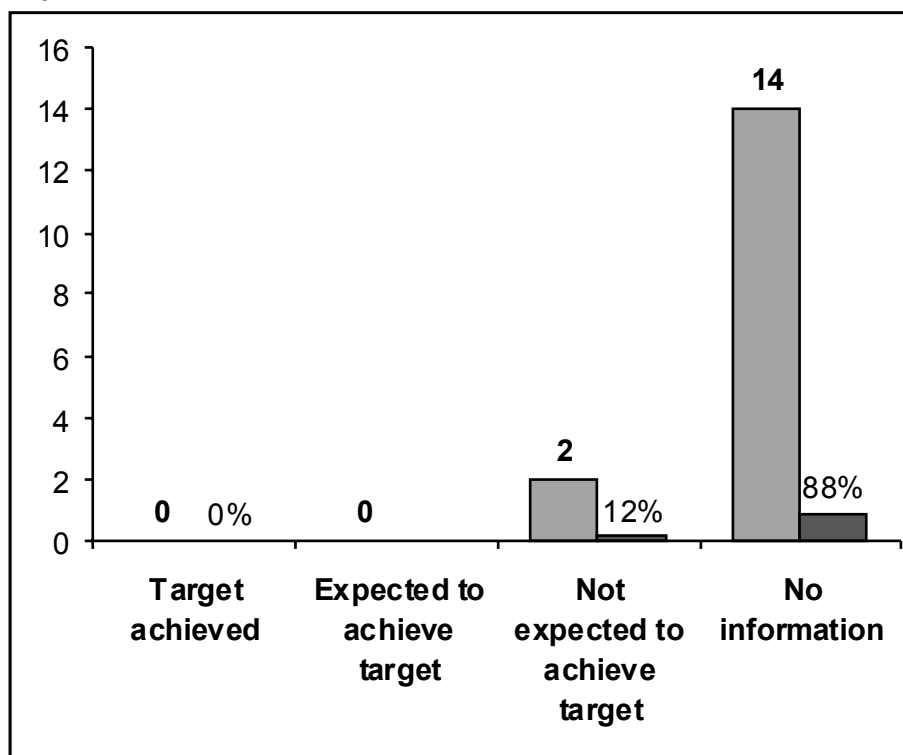
- 4.1 Taking into account every target in the LAA, 9 (5%) of the targets have been achieved, 79 (46%) are currently expected to achieve target, 12 (7%) are not expected to be achieved and there is currently no performance information for 73 (42%) for the reasons already detailed in paragraph 3.5.

## 5.0 PROGRESS AGAINST DESIGNATED IMPROVEMENT TARGETS



- 5.1 Out of 35 Improvement Targets, one target (3%) has been achieved, 22 (63%) of targets are expected to be achieved and 12 (34%) of targets have no performance reported. **Appendix 1** contains further information on the designated Improvement Targets.
- 5.2 The target achieved is NI 112 Under 18 conception rate. In August 2008 the Office for National Statistics released the 2<sup>nd</sup> quarter under 18 conception rates for 2007. This demonstrated Hartlepool's rolling average as 65.8 per 1000 (females 15-17 years) and the quarterly average as 62.1 per 100, this equates to 30 under 18 conceptions April – June 2007 and shows a 15% reduction on the baseline.

## 6.0 PROGRESS AGAINST DEPARTMENT FOR SCHOOLS AND FAMILIES (DSF) IMPROVEMENT TARGETS



6.1 Out of 16 DSF targets, 2 (12%) are not expected to achieve target and 14 (88%) have no information as the verified exam results were not available for the Quarter 2 return. **Appendix 2** contains further information on the DSF Improvement Targets.

6.2 The 2 targets that are currently not expected to achieve target are:

**NI 92 Narrowing the gap between the lowest achieving 20% in the Early Years Foundation Stage Profile and the rest** - the gap has narrowed from 45.7% (207) to 44.0% and although this has not reached the target of 39.3% a significant reduction has still been achieved.

**NI 72 Achievement of at least 78 points across the Early Years Foundation Stage with at least 6 in each of the scales in Personal Social and Emotional Development and Communication, Language and Literacy** – this has increased from 38.4% (2007) to 40.4% and although this has not reached the target of 43.7%, it is still a significant increase.

## 7.0 RECOMMENDATIONS

7.1 It is recommended that Cabinet

- Note the current position with regard to performance
- Take any decisions necessary to address performance.

## **8.0 FURTHER BACKGROUND PAPERS**

Hartlepool's new Local Area Agreement 2008-11 and the LAA Delivery and Improvement Plan 2008/09 are available at [www.hartlepoolpartnership.co.uk](http://www.hartlepoolpartnership.co.uk)

### **Contact Officer**

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## Local Area Agreement Quarter 2 Summary of Progress Against Designated Improvement Targets



Rows are sorted by Expected Outcome.

Jobs and the Economy							
Code	Indicator	Assigned To	Annual Target 2008/09	Current Value	Last Update	Expected Outcome	Latest Note
NI 151	Overall Employment rate (working-age)	Antony Steinberg	66.8%		Jul 2008		Data not yet released. Anticipated Nov/Dec 08.
NI 166	Median earnings of employees in the area	Antony Steinberg	£460.00		Jul 2008		Data release anticipated Nov/Dec 08.
NI 171	New business registration rate	Antony Steinberg	24		Jul 2008		Data set temporarily suspended by Central Government as data is migrated from Small Business Service to ONS. In addition it is anticipated that future data releases will include paye returns.
NI 153	Working age people claiming out of work benefits in the worst performing	Christina Blaney; Trevor Mortlock; Antony Steinberg	31.0%	30.5%	Aug 2008	Expected to achieve target	
NI 152	Working age people on out of work benefits	Christina Blaney; Trevor Mortlock; Antony Steinberg; Patrick Wilson	20.7%	20.7%	Oct 2008	Expected to achieve target	The NI is currently on target however due to the current economic climate, it is anticipated that there will be an increase in the

Jobs and the Economy							
Code	Indicator	Assigned To	Annual Target 2008/09	Current Value	Last Update	Expected Outcome	Latest Note
							number of working age adults entering onto Jobseekers Allowance and Employment Support Allowance. At the present, it is unclear whether the target will be achieved.
NI 116	Proportion of children in poverty	Ian Merritt	25.6%				
NI 117	16 to 18 year olds who are not in education, employment or training (NEET)	Mark Smith	8.4%	9.2%	Q2 2008/9	Expected to achieve target	Quarter 2 2008: The % of young people not in Education, Employment or Training is 9.2%. This compares to 27.7% at this point last year (September 2007) and represents good progress in relation to the 2008 target.

Lifelong Learning and Skills							
Code	Indicator	Assigned To	Annual Target 2008/09	Current Value	Last Update	Expected Outcome	Latest Note
NI 161	Number of Level 1 qualifications in literacy (including ESOL) achieved	Kelly Armstrong; Maggie Heaps	400			Expected to achieve target	
NI 162	Number of Entry Level qualifications in numeracy achieved	Kelly Armstrong; Maggie Heaps	122			Expected to achieve target	
NI 163	Proportion of population aged 19-64 for males and 19-59 for females qualified to at least Level 2 or higher	Kelly Armstrong; Maggie Heaps	67.1%	66.9%	2008/9	Expected to achieve target	As of 28/08/08. Source: DIUS Annual Population Survey.



Health and Wellbeing							
Code	Indicator	Assigned To	Annual Target 2008/09	Current Value	Last Update	Expected Outcome	Latest Note
NI 120b	All-age all cause mortality rate - Males	Madeleine Johnson	801.00				
NI 120a	All-age all cause mortality rate - Females	Madeleine Johnson	579.00				
NI 123	Stopping smoking	Carole Johnson	1769	407	Q1 2008/9	Expected to achieve target	
NI 112	Under 18 conception rate (BVPI 197)	Deborah Gibbin; Sheila O'Connor	-35.0%	-15.0%	2007/8	Target Achieved	In August 2008 the Office for National Statistics released the 2nd quarter under 18 conception rates for 2007. This demonstrated Hartlepool's rolling quarterly average as 65.8 per 1000 (females 15 - 17 years) and the quarterly average as 62.1 per 1000 this equates to 30 under 18 conceptions for Apr - June 2001. The trajectory for 2007 to meet the 2010 target is 56.9 per 1000
NI 130	Social care clients receiving Self Directed Support per 100,000 population	Jill Harrison	1544.0	1090.5	Q2 2008/9	Expected to achieve target	Mid year performance is in line with target figure.
NI 135	Carers receiving needs assessment or review and a specific carer's service, or advice and information	Dale Owens	19.0%	18.4%	Q2 2008/9	Expected to achieve target	

Community Safety							
Code	Indicator	Assigned To	Annual Target 2008/09	Current Value	Last Update	Expected Outcome	Latest Note
NI 16	Serious acquisitive crime rate	Alison Mawson	16.14	6.52	Q2 2008/9	Expected to achieve target	Covers April to august 2008
NI 20	Assault with injury crime rate	Alison Mawson	9.03			Expected to achieve target	
NI 38	Drug related (Class A) offending rate	Alison Mawson					
NI 17	Perceptions of anti-social behaviour	Sally Forth	30%			Expected to achieve target	

Environment							
Code	Indicator	Assigned To	Annual Target 2008/09	Current Value	Last Update	Expected Outcome	Latest Note
NI 195a	Improved street and environmental cleanliness (levels of litter, detritus, graffiti and fly posting): Litter	Albert Cope	15%	6%	Q2 2008/9	Expected to achieve target	Survey information relates to the 1st tranche survey for 2008/9 covering the period April 08 - July 08 against a target of 15%. The new survey format that defra has implemented has resulted in improved scores on this survey against profile.
NI 175	Access to services and facilities by public transport, walking and cycling	Mike Blair	50.0%			Expected to achieve target	

## APPENDIX 1

NI 192	Percentage of household waste sent for reuse, recycling and composting	Colin Ogden	35.00%	40.20%	Q2 2008/9	Expected to achieve target	The whole of Hartlepool is now on alternate weekly collection of residual waste and recycling in order to encourage residents to reduce the amount of waste produced and recycle as much as possible.
NI 186	Per capita reduction in CO2 emissions in the LA area	Helen Beaman; Emma Thompson	-3.8		Q2 2008/9	Expected to achieve target	Climate Change Officer will be appointed in Quarter 3, Env.Co-ordinator to attend Government Office North East training course on this indicator Quarter 3.
NI 188	Planning to Adapt to Climate Change	Helen Beaman; Emma Thompson	1		Q2 2008/9	Expected to achieve target	Climate Change Officer to be appointed and should complete this work by end of Quarter 4.
NI 155	Number of affordable homes delivered (gross)	Richard Waldmeyer	20				

Housing							
Code	Indicator	Assigned To	Annual Target 2008/09	Current Value	Last Update	Expected Outcome	Latest Note
NI 141	Percentage of vulnerable people achieving independent living	Peter Morgan; Pam Twells	72.00%	70.49%	Q2 2008/9	Expected to achieve target	
NI 142	Percentage of vulnerable people who are supported to maintain independent living	Peter Morgan; Pam Twells	99.15%	98.48%	Q2 2008/9	Expected to achieve target	Final update following return of all PI's from relevant providers

Culture and Leisure							
Code	Indicator	Assigned To	Annual Target 2008/09	Current Value	Last Update	Expected Outcome	Latest Note
NI 10	Visits to museums and galleries	Jeff Pringle	29.0%				
NI 11	Engagement in the Arts	Stephen Cashman		29.2%	2008/9	Expected to achieve target	26.46
NI 8	Adult participation in sport and active recreation	Pat Usher	21.1%		Q1 2008/9	Expected to achieve target	Next set of figures from Active People survey not due until January 2009
NI 9	Use of public libraries	Graham Jarritt; Kay Tranter	52.0%				

Strengthening Communities							
Code	Indicator	Assigned To	Annual Target 2008/09	Current Value	Last Update	Expected Outcome	Latest Note
NI 110	Young people's participation in positive activities	Peter Davies					
NI 6	Participation in regular volunteering	Liz Crookston					Awaiting results of new Place survey in February 09
NI 5	Overall/general satisfaction with local area	Liz Crookston					Awaiting results of new Place survey in February 09

## Local Area Agreement Quarter 2 Department for Schools and Families (DSF) Improvement Targets



Rows are sorted by Expected Outcome.

Improvement Targets							
Code	Indicator	Assigned To	Annual Target 2008/09	Current Value	Last Update	Expected Outcome	Latest Note
NI 83	Achievement at Level 5 or above in Science at Key Stage 3 (BVPI 181c)	Caroline O'Neill	77.0%				Figures not yet available. Performance indicator will be updated in Quarter 3.
NI 73	Achievement at level 4 or above in both English and Maths at Key Stage 2	Caroline O'Neill	78.0%				Figures not yet available. Performance indicator will be updated in Quarter 3.
NI 74	Achievement at level 5 or above in both English and Maths at Key Stage 3	Caroline O'Neill	75.0%				Figures not yet available. Performance indicator will be updated in Quarter 3.
NI 75	Achievement of 5 or more A*- C grades at GCSE or equivalent including English and Maths	Caroline O'Neill	44.0%				Figures not yet available. Performance indicator will be updated in Quarter 3.
NI 87	Secondary school persistent absence rate	Jackie Webb	5.8%				Figures not yet available. Performance indicator will be updated in Quarter 3.
NI 93	Progression by 2 levels in English between Key Stage 1 and Key Stage 2	Caroline O'Neill	95.0%				Figures not yet available. Performance indicator will be updated in Quarter 3.
NI 94	Progression by 2 levels in English between Key stage 1 and Key stage 2		93.3%				Figures not yet available. Performance indicator will be updated in Quarter 3.

Improvement Targets							
Code	Indicator	Assigned To	Annual Target 2008/09	Current Value	Last Update	Expected Outcome	Latest Note
NI 95	Progression by 2 levels in English between Key Stage 2 and Key Stage 3		28.0%				Figures not yet available. Performance indicator will be updated in Quarter 3.
NI 96	Progression by 2 levels in Maths between Key Stage 2 and Key Stage 3		61.0%				Figures not yet available. Performance indicator will be updated in Quarter 3.
NI 97	Progression by 2 levels in English between Key Stage 3 and Key Stage 4		62.5%				Figures not yet available. Performance indicator will be updated in Quarter 3.
NI 98	Progression by 2 levels in Maths between Key Stage 3 and Key Stage 4		24.7%				Figures not yet available. Performance indicator will be updated in Quarter 3.
NI 99	Looked after children reaching level 4 in English at Key Stage 2	Zoe Westley	20.0%				Figures not yet available. Performance indicator will be updated in Quarter 3.
NI 100	Looked after children reaching level 4 in mathematics at Key Stage 2	Zoe Westley	30.0%				Figures not yet available. Performance indicator will be updated in Quarter 3.
NI 101	Looked after children achieving 5 A*-C GCSEs (or equivalent) at Key Stage 4 (including English and mathematics)	Zoe Westley	11.0%				Figures not yet available. Performance indicator will be updated in Quarter 3.
NI 92	Narrowing the gap between the lowest achieving 20% in the Early Years Foundation Stage Profile and the rest	Danielle Swainston	39.3%	44.0%	2008/9	Not expected to achieve target	The gap has narrowed from 45.7% (2007) to 44.0%. Although this has not achieved the target of 39.3% this is a significant reduction in the gap.
NI 72	Achievement of at least 78 points across the Early Years Foundation Stage with at least 6 in each of the	Danielle Swainston	45.7%	40.4%	2008/9	Not expected to achieve	This has increased from 38.4% (2007) to 40.4%. Although this has not achieved target of

## APPENDIX 2

Improvement Targets							
Code	Indicator	Assigned To	Annual Target 2008/09	Current Value	Last Update	Expected Outcome	Latest Note
	scales in Personal Social and Emotional Development and Communication, Language and Literacy					target	43.7% it is a significant increase.

**CABINET**  
Report to Cabinet  
24<sup>th</sup> November 2008



**Report of:** Assistant Chief Executive

**Subject:** QUARTERLY REVIEW OF STRATEGIC RISK REGISTER

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## **SUMMARY**

### **1.0 PURPOSE OF REPORT**

- 1.1. To inform the Portfolio Holder of the current position with regard to the Council's Strategic Risk Register.

### **2.0 SUMMARY OF CONTENTS**

- 2.1 Describes amendments to existing strategic risks and any additional strategic risks following a review by Corporate Risk Management Group (CRMG) and Corporate Management Team (CMT). The review primarily involves examining risk ratings in terms of impact and likelihood and effectiveness of control measures in place to mitigate the risk.

### **3.0 RELEVANCE TO CABINET**

- 3.1 The Executive has responsibility for risk management issues.

### **4.0 TYPE OF DECISION**

- 4.1 Non- key.

### **5.0 DECISION MAKING ROUTE**

- 5.1 Cabinet meeting 24<sup>th</sup> November 2008.

### **6.0 DECISION (S) REQUIRED**

- 6.1 To note the review and amendments to the Council's strategic risk register and actions being taken.



**Report of:** Assistant Chief Executive

**Subject:** QUARTERLY REVIEW OF STRATEGIC RISK REGISTER

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## **1. PURPOSE OF REPORT**

1.1 To review the Council's Strategic Risk Register.

## **2. BACKGROUND**

2.1 The Risk Management strategy identifies specific accountabilities and responsibilities for the management of risk at Hartlepool Borough Council. In line with these, at its meeting on 23<sup>rd</sup> October 2008, the Council's Corporate Risk Management Group (CRMG) considered the Strategic Risk Register.

2.2 Once agreed by CMT on the 3<sup>rd</sup> November 2008 the changes are now to be reported to the Cabinet.

## **3. REVIEW OF STRATEGIC RISK REGISTER SEPTEMBER 2008**

3.1 The Council undertook the initial review of its strategic risks with the assistance of its risk adviser Gallagher Bassett. The outcome of this was reported to Cabinet on June 19 2006. The process of regular reviews is now underway with a full annual review taking place in the spring of each year. Following the most recent of these at the end of the first quarter of the year, there are 34 strategic risks identified across the authority. The updated Strategic Risk Register is attached as Appendix 2.

3.2 The table below summarises the changes over time.

<b>Strategic Risk Ratings without control measure implementation / with control measures implemented</b>	<b>Oct 2006</b>	<b>Sept 2007</b>	<b>Mar 2007</b>	<b>Sept 2008</b>
Red / Red	6	8	7	4
Red / Amber	13	17	14	0
Red/Green	3	3	2	0
Amber / Amber	10	10	9	25
Amber/Green	4	2	3	0
Green/Green	0	1	1	5
<b>Total</b>	<b>36</b>	<b>41</b>	<b>36</b>	<b>34</b>

**Red/Red risks**

- 3.3 The following 4 risks are identified as category red after control measures have been put in place. These are known as 'red/red' risks, and are of particular importance for the Council given that their impact/likelihood has not been sufficiently mitigated by the control measures in place to date. The Council is constantly striving to seek improvements in the control measures of these red risks with the control measures also being monitored and amended along with the risk ratings. The comments in the table above indicate progress.

<b>Risk Ref/Resp. Officer</b>	<b>Risk Description</b>	<b>Comment</b>
STR R010 Denis Hampson	Flu Pandemic	Local Resilience Forum plan written by Chief Emergency Planning Officer - plan to be tested by staff from Cabinet office on 11th February 2009.
STR R021 Joanne Machers	Future Equal Pay claims	Single Status Agreement nearing completion and implementation. This may resolve some potential claims. It may however generate a number of equal value claims. This is being monitored by HR and Legal Services.
STR R022 Joanne Machers	Current Equal Pay Claims inc settlement of or adverse finding in ET or existing equal pay claims	Whilst the complexion of equal pay claims change over time, they continue to be defended.
STR R041 Peter Scott	Failure to realise plans for Victoria Harbour regeneration scheme	Project partners investigating delivery options for the overall project including consideration of costs, values and funding implications

### New Risks

- 3.4 Two new risks have been added to the Strategic Risk Register and these are detailed below.

<b>Risk Ref/Resp. Officer</b>	<b>Risk Description</b>	<b>Comment</b>
STR R044 Paul Walker, Andrew Atkin	Failure to mitigate the effects of a malicious attack	The priority for HBC is to ensure appropriate preparedness is in place.
STR R043 Andrew Atkin	Fail to maximise benefits of implementing the Business Transformation Programme	The programme mobilisation stage is underway. The need for more detailed risk assessments has been recognised and is being actively considered as part of the mobilisation stage of the programme.

- 3.5 There has been further discussion as to the whether an 'Economic Risk' should be included on the Strategic Risk Register. It was proposed that the risk covers the overall economic situation and its impact on the achievement of the Council's corporate and service plan outcomes. There is a perceived risk due to a range of economic factors including the general economic downturn, higher commodity and fuel prices, increasing inflation, pressure on government finances etc that would have an impact on a wide range of outcomes sought by the Council including key projects and LAA targets. While there may be little the Council can do to avoid or reduce probability of the broad impacts, specific issues e.g. rising fuel prices, should be recognised and assessed, in particular as part of Medium Term Financial Strategy and budget reports and briefings.
- 3.6 The Chief Financial Officer is of the view that the appropriate place to manage such risks is within departments on departmental risk registers and therefore should not be included on the Strategic Risk Register. This is to be discussed further at CMT and reported back to Cabinet at the Quarter 3 review.

### Deleted Risks

- 3.5 No risks within the Strategic Risk Register have been deleted within this recent review

**Next Review**

- 3.6 The Quarter 3 Strategic Risk Register review will be completed in January. The findings will then be reported again to CMT and then on to Cabinet

**4. RECOMMENDATION**

- 4.1 To note the review and amendments to the Council's strategic risk register and actions being taken.

## Appendix 1 – Risk Register Ratings

In line with the risk management strategy, each risk is categorised to help ensure a systematic and comprehensive approach to risk management, the categories being:

- Political
- Financial
- Social
- Environmental
- Personnel
- Physical assets
- Information and technology
- Contractors/partners/suppliers
- Reputation

The risk rating is calculated on the basis of impact and likelihood – and the greater the degree of severity and probability, the higher the risk rating, in line with the following matrix:

		IMPACT			
LIKELIHOOD		1	2	3	4
		Low	Medium	High	Extreme
Almost certain	4	AMBER 4	RED 8	RED 12	RED 16
Likely	3	GREEN 3	AMBER 6	RED 9	RED 12
Possible	2	GREEN 2	AMBER 4	AMBER 6	RED 8
Unlikely	1	GREEN 1	GREEN 2	GREEN 3	AMBER 4

### IMPACT

Extreme	Total service disruption / very significant financial impact / Government intervention / sustained adverse national media coverage / multiple fatalities.
High	Significant service disruption/ significant financial impact / significant adverse Government, Audit Commission etc report / adverse national media coverage / fatalities or serious disabling injuries.
Medium	Service disruption / noticeable financial impact / service user complaints or adverse local media coverage / major injuries
Low	Minor service disruption / low level financial loss / isolated complaints / minor injuries

### LIKELIHOOD

Expectation of occurrence *within the next 12 months* -

- Almost certain
- Likely
- Possible
- Unlikely

## Appendix 2

### Strategic Risk Register

This report shows the status of all risk in all departmental registers and risks within the strategic risk register

Report Author: Kerry Trenchard\_admin

Generated on: 11 November 2008



#### Adult and Community Services Department

Risk Code	Risk Title	Current Impact	Current Likelihood	Impact x Likelihood = Current Rating	Current Rating Description	Managed By	Last Review Date
STR R042	Tall Ships races - Hartlepool 2010 (ACS R016)	2	1	2	Medium - Unlikely	John Mennear	23/10/2008
STR R030	Failure to work in effective partnerships with Health Services	3	2	6	High - Possible	Nicola Bailey	11/09/2008
STR R031	Potential for cost shunting between NHS and HBC re CHC	3	2	6	High - Possible	Nicola Bailey	11/09/2008

#### Children's Service Department

Risk Code	Risk Title	Current Impact	Current Likelihood	Impact x Likelihood = Current Rating	Current Rating Description	Managed By	Last Review Date
STR R001	Failure to plan school provision appropriately	3	2	6	High - Possible	Adrienne Simcock	23/10/2008
STR R002	Failure to appropriately safeguard children	3	2	6	High - Possible	Adrienne Simcock	23/10/2008

#### Corporate Strategy Division

Risk Code	Risk Title	Current Impact	Current Likelihood	Impact x Likelihood = Current Rating	Current Rating Description	Managed By	Last Review Date
STR R043	Fail to maximise benefits of implementing the Business Transformation Programme	1	2	2	Low - Possible	Andrew Atkin	30/09/2008
STR R008	Loss of Council reputation due to both internal and external factors	2	2	4	Medium - Possible	Andrew Atkin	30/09/2008
STR R020	Fail to make progress on review of ICT contract in a timely fashion reducing the Council's ability to achieve service improvement through use of ICT and/or service disruption	4	1	4	Extreme - Unlikely	Joan Chapman	09/10/2008
STR R033	National & regional needs imposed which may not reflect Hartlepool needs	2	2	4	Medium - Possible	Andrew Atkin; Paul Walker	30/09/2008
STR	Failure to mitigate the effects	4	1	4	Extreme -	Andrew	30/09/2008

R044	of a malicious attack				Unlikely	Atkin; Paul Walker	
STR R007	Experiencing failure or lack of access to Critical ICT systems	3	2	6	High - Possible	Andrew Atkin	30/09/2008
STR R034	Maintaining the 4* rating of the Council will provide opportunities to influence and positively reflect the achievements of the council	3	2	6	High - Possible	Andrew Atkin; Paul Walker	30/09/2008
STR R035	Change programme / Restructuring of the Authority	3	2	6	High - Possible	Andrew Atkin; Paul Walker	30/09/2008
STR R036	Loss of focus on strategic direction and key priorities (political direction)	3	2	6	High - Possible	Andrew Atkin; Paul Walker	30/09/2008

### Finance Division

Risk Code	Risk Title	Current Impact	Current Likelihood	Impact x Likelihood = Current Rating	Current Rating Description	Managed By	Last Review Date
STR R025	Absence of robust documentation that sets out the roles and responsibilities of each partner could lead to HBC bearing unnecessary responsibility should the partnership fail to deliver	1	2	2	Low - Possible	Mike Ward	17/10/2008
STR R026	Sustainability of grant funded services / projects	2	3	6	Medium - Likely	Mike Ward	17/10/2008

### Human Resources Division

Risk Code	Risk Title	Current Impact	Current Likelihood	Impact x Likelihood = Current Rating	Current Rating Description	Managed By	Last Review Date
STR R021	Future Equal pay claims	3	3	9	High - Likely	Joanne Machers	20/10/2008
STR R022	Current Equal Pay Claims including settlement of, or adverse findings in ET of existing equal pay claims	3	3	9	High - Likely	Joanne Machers	20/10/2008

### Neighbourhood Service Department

Risk Code	Risk Title	Current Impact	Current Likelihood	Impact x Likelihood = Current Rating	Current Rating Description	Managed By	Last Review Date
STR R018	Failure to operate vehicles safely	3	1	3	High - Unlikely	Alastair Smith	23/10/2008
STR R024	Failure to maintain trading activity	3	1	3	High - Unlikely	Keith Smith	30/09/2008
STR R009	Failure to provide council services during emergency conditions	4	1	4	Extreme - Unlikely	Denis Hampson	03/10/2008
STR R016	Failure to deliver efficiency savings through procurement	2	2	4	Medium - Possible	Graham Frankland	20/10/2008
STR R017	Financial Viability and capacity of Building Consultancy services	2	2	4	Medium - Possible	Graham Frankland	29/10/2008
STR	Loss of O License	4	1	4	Extreme -	Alastair	08/08/2008

R019					Unlikely	Smith	
STR R006	Contaminated Land	3	2	6	High - Possible	Alastair Smith	22/09/2008
STR R012	Lack of resources to maintain building stock	3	2	6	High - Possible	Graham Frankland	20/10/2008
STR R013	Failure in asset management planning to make best use of assets in terms of acquisition, disposal and occupation	3	2	6	High - Possible	Graham Frankland	20/10/2008
STR R014	Loss of Civic Centre as key building.	3	2	6	High - Possible	Graham Frankland	20/10/2008
STR R015	Environmental and financial consequences of climate change	3	2	6	High - Possible	Graham Frankland	20/10/2008
STR R010	Flu pandemic	3	3	9	High - Likely	Denis Hampson	03/10/2008

### Regeneration and Planning Department

Risk Code	Risk Title	Current Impact	Current Likelihood	Impact x Likelihood = Current Rating	Current Rating Description	Managed By	Last Review Date
STR R040	Failure to facilitate the redevelopment of HCFE	2	2	4	Medium - Possible	Peter Scott	14/08/2008
STR R038	Lack of resources for sustainable development including addressing climate change	3	2	6	High - Possible	Peter Scott	14/08/2008
STR R039	Effective delivery of housing market renewal affected by external decisions and funding	3	2	6	High - Possible	Peter Scott	14/08/2008
STR R041	Failure to realise plans for Victoria Harbour regeneration scheme	3	3	9	High - Likely	Peter Scott	03/11/2008