

ADULT AND COMMUNITY SERVICES SCRUTINY FORUM AGENDA



Wednesday 14 January 2009

at 3.30 pm

**in the Council Chamber,
Civic Centre, Hartlepool**

MEMBERS: ADULT AND COMMUNITY SERVICES SCRUTINY FORUM:
Councillors Atkinson, Brash, Fleet, A Marshall, McKenna, Plant, Preece, Simmons
and Worthy

Resident Representatives: Mary Green, Evelyn Leck and Mary Power

- 1. APOLOGIES FOR ABSENCE**
- 2. TO RECEIVE ANY DECLARATIONS OF INTEREST BY MEMBERS**
- 3. MINUTES**
 - 3.1 To confirm the minutes of the meeting held on 5th November 2008
 - 3.2 To confirm the minutes of the meeting held on 12th November 2008
- 4. RESPONSES FROM THE COUNCIL, THE EXECUTIVE OR COMMITTEES OF THE COUNCIL TO FINAL REPORTS OF THIS FORUM**

No items.
- 5. CONSIDERATION OF REQUEST FOR SCRUTINY REVIEWS REFERRED VIA SCRUTINY CO-ORDINATING COMMITTEE**

No items.

**6. CONSIDERATION OF PROGRESS REPORTS / BUDGET AND POLICY
FRAMEWORK DOCUMENTS**

- 6.1 Adult and Community Services Department: Budget and Policy Framework
Consultation Proposals 2009/10 – *Scrutiny Support Officer*

7. ITEMS FOR DISCUSSION

8. ISSUES IDENTIFIED FROM FORWARD PLAN

9. ANY OTHER ITEMS WHICH THE CHAIRMAN CONSIDERS ARE URGENT

ITEMS FOR INFORMATION

**Date of Next Meeting – Wednesday 21st January 2009, commencing at 3.30 pm in
The Chamber**

ADULT AND COMMUNITY SERVICES SCRUTINY FORUM

MINUTES

5 November 2008

The meeting commenced at 3.30 p.m. in the Sheraton Court Care Home,
Hartlepool

Present:

Councillor: Chris Simmons (In the Chair)

Councillors: Jonathan Brash, Mary Fleet, Ann Marshall, Michelle Plant, and
Gladys Worthy.

Resident Representatives: Evelyn Leck and Mary Power.

Also Present: M Wrenn, R Marshall (PPI), M Spence (CIC Throston Grange),
L Newbury (CIC Gardner House), B Anderson (West View
Lodge), D W Evans (Crescent Care Ltd), L Gray (Helen McArdle
Care), C Thomson (Sheraton Court), L Hutchinson (Warrior Park
Care Home), G Detry (Hospital of God, Greatham), J Reding
(Manor Park), W Kean.

Officers: Jeanette Willis, Principal Finance Manager
Phil Homsby, Principal Commissioning Manager

Laura Starrs, Scrutiny Support Officer
Joan Wilkins, Scrutiny Support Officer
David Cosgrove, Principal Democratic Services Officer

43. Apologies for Absence

Councillors Atkinson, McKenna and Preece.

44. Declarations of interest by Members

Councillor Simmons declared a non-prejudicial interest.

45. Minutes of the meeting held on 30 September 2008

Confirmed.

46. Responses from the Council, the Executive or Committees of the Council to Final Reports of this Forum

No items.

47. Consideration of request for scrutiny reviews referred via Scrutiny Co-ordinating Committee

No items.

48. Consideration of progress reports/budget and policy framework documents

No items.

49. Scrutiny Investigation into the Quality of Care Home Provision in Hartlepool – Evidence from The Adult and Community Services Department in relation to Financial Implications and Assessments *(Scrutiny Support Officer)*

The Scrutiny Support Officer reported that at the meeting of this Forum on 23 July 2008, the Terms of Reference and Potential Areas of Inquiry / Sources of Evidence for this Scrutiny investigation were approved by the Forum. Consequently, the following key stakeholders had been invited to attend this meeting to provide evidence in relation to the ongoing inquiry into the 'Quality of Care Homes Provision in Hartlepool':

- (a) Officers from the Adult and Community Services Department will provide evidence in relation to the financial implications / assessments for people moving / planning to move into care homes; and
- (b) Care home managers / residents / relatives have been invited to this meeting to further discuss their views on the quality of care homes provision and to participate in discussions.

The Principal Finance Manager outlined the process for the Forum of determining the financial assessment for people going into residential care. The officer stressed that each person was assessed on their own individual financial circumstances and no two people were alike. One of the important parts of the process undertaken with individual service users was the maximisation of the benefits they were entitled to. Many people either were not fully aware of what benefits they were entitled to or some were simply too proud to claim. The financial assessment process ensured that everyone was able to claim all the benefits that were due to them.

All financial assessments were undertaken in accordance with the appropriate

guidance – Charging for Residential Accommodation Guidance (CRAG) – set by the government. This guidance set a minimum personal allowance that each person going into residential care must have. This essentially was a proportion of the individual's income that was not included in the assessment and therefore couldn't be taken into the payment calculation. This allowance may change in light of the individual's circumstances.

In relation to people who owned property, there was the deferred payment scheme. The local authority would value their property and the payment would be offset against the value of the property. Members commented on the use of property valuations and indicated that they understood that if the property had been transferred to members of the family for a certain period of time, it could not be taken into account. The Principal Finance Manager commented that there was no set time limit though each case was dealt with individually. In response to questions from Members and the public present at the meeting, the Officer went on to explain how the financial calculations in relation calculating an individual's care worked, with some general examples.

The process of discharge from hospital care was also briefly outlined including the operation of the multi-discipline assessment team. It was also highlighted that people's individual situations were always under constant annual review to ensure no one was adversely affected by any change in their circumstances.

Members were concerned that the financial assessment did mean that those that could afford to 'top up' their payments could have access to the pick of the care homes in the town, while others simply had to choose from those they could afford. It was stressed that the home that people chose was their, or their family's, choice. Council Officers did not choose care homes for people. Choice could frequently be limited to the type of care a person required; different homes provided different services. In response to Members' comments the Principal Commissioning Manager indicated that social workers would only become involved in the selection of care homes for those people who could not make a choice for themselves and they had no family to make a choice for them; this was a very limited number of people.

In relation to the personal allowance, which currently stood at £21.15 per week, Officers indicated that how that was dispersed to individual people depended very much on their own circumstances. This could be done via the particular home or through an arrangement with the local authority. It was essentially the amount of income a person received that was not taken into account when calculating their contribution to the cost of their care. If they retained their own bank account and management of their own money, the money would remain there.

Members discussed issues surrounding the abuse of elderly people in care homes and reflected on recent national press stories. Officers indicated that there was an established Multi-agency Committee dealing with any such complaints. It was stressed that there were few issues relating to care homes as, unfortunately, the complaints tended to come in relation to home care.

The department had trained over 380 people in the 'No Secrets' guidance which included a large number of voluntary sector people. To date there had been 113 investigations under this guidance, 50 relating to care home complaints. Of the complaints investigated, 42 had led to improvements in the quality of life of the particular service user. It had to be stressed that not all complaints led to a full investigation.

It was highlighted by Members that there appeared to be a high degree of under-occupancy in the care homes in the town and questioned if there was an over-supply. There were a number of care home Managers present at the meeting and one stated that they didn't see it as a major issue although the new development at Hartfields may change that situation. Residential care was seen as a last resort as the council did always endeavour to maintain people in their own homes. The Chair did comment that there was some under-provision in the area of residential care for younger people with mental health problems for example.

Officers indicated that over recent years, the numbers of people in residential care homes hadn't fluctuated much around the 600 mark. What had changed was the number of places available but also the type of places available. The demographics of the town were changing with an aging population, but people were much fitter, healthier and more active than in the past so were tending not to need residential care until much later in their lives. There had been a significant rise in the number of places in residential care over the last six years. Members indicated they supported the new build properties as these trended to drive the standards up. There would need to be a better management of the market if older care homes were not closing at the same time, leading to an unsustainable over-supply. Other Members did comment that it wasn't always the case that new homes were best. One of the homes visited by Members during this investigation was an older property but felt very much like it was the resident home and not simply the place they lived.

Recommended

That the report be noted and that the officers be thanked for their detailed responses to Members questions.

50. Scrutiny Investigation into the Quality of Care Home Provision in Hartlepool – Evidence from Care Home Managers, Residents and Representatives *(Scrutiny Support Officer)*

The Scrutiny Support Officer highlighted that as had been requested by the forum, the managers of homes in Hartlepool had been invited to the meeting and a number were present at the meeting. The Chair welcomed the Managers and thanked them for the contributions to the debate so far and indicated that their input was of great value to the forum's investigation.

The Chair took the opportunity to thank the management, staff and residents

of Sheraton Court Care Home for hosting this meeting of the forum.

Recommended

That the report be noted and the Care home Managers be thanked for their attendance at the meeting.

51. Scrutiny Investigation into the Quality of Care Home Provision in Hartlepool – Feedback from Site Visits to Care Homes in Hartlepool and Harrogate *(Scrutiny Support Officer)*

The Scrutiny Support Officer reported that as part of the evidence gathering process for the undertaking of the investigation into the Quality of Care Homes Provision in Hartlepool, a selection of care homes were recently visited by Members of the Adult and Community Services Scrutiny Forum. An additional visit to a care home in Hartlepool was arranged for 18 September 2008 but had not been included in the previous feedback report, therefore, general findings from the additional visit were incorporated into an appendix to the report for Members information.

In addition to the local site visits, Members of this Forum also visited an out of Borough care home in Harrogate (Ashfield Court) on 13 October 2008 to compare areas of good practice as Ashfield Court was identified as an excellent practice care home by the Commission of Social Care Inspection.

The Chair commented that Ashfield Court in Harrogate was an excellent home but in his opinion, no better than the homes in Hartlepool. There was comment in the meeting that much of the atmosphere and thereby general feeling of homeliness was down to the individual leading the home. Managers generally set the standards, so they themselves needed to be continually assessed.

It was questioned if the ratings given to homes were treating all homes equitably and was the council effectively saying that a home rated 4 was as good as a home rated 1. The Principal Commissioning Manager commented that the ratings were measured against the standards set by CSCI (Commission of Social Care Inspection). These standards related to the size of rooms and general facilities within a home. A home scoring 1 against these measures complied with all the standards. A home established in an older property would have difficulty meeting many of the standards but that didn't mean it offered a lower standard of care. The Chair indicated that this was one of the main points of the investigation in that Members wished to be assured that the standards of care were at least adequate. The chair indicated that in the investigation he had not visited a home in the town that he could say he would not be prepared to live in.

Comment was made by many people within the meeting that this was indeed one of the problems in the way care homes were assessed and graded. Homes need to be assessed and qualified on the standards of the care they

provided. The Principal Commissioning Manager commented that consideration was being given to the grading of care. The council already expected certain standards such as the ratio of staff to residents, recruitment processes, training, standards of meals provided and activities for residents. These assessments would then be combined with the CSCI grade to give an overall grade.

Representatives of the PPI did indicate that the CSCI inspection did monitor care plans within in homes. PPI representatives also talked to residents to get their impression of the home and their care. The chair commented that during the visits, Members had spoken to residents and staff. One thing that had been apparent during these visits was the pride Managers had in their homes and the standard that they applied. The Principal Commissioning Manager also stated that the council did monitor homes very closely, particularly the management of them.

The Chair commented that through this investigation he had had his preconceptions changed. As this investigation was drawing to its conclusion, the chair indicated that the draft report would be produced for consideration by the Forum at its meeting in January. The Chair thanked all those that had been involved in this very detailed and informative investigation, particularly the representatives from the PPI, the managers and residents of the care homes in Hartlepool and all the officers and members of the public who had contributed to the forum's debates. Through the investigation it had become clear to the chair that another area of potential investigation was the quality of care provided to people who were being cared of in their own homes.

Recommended

1. That all those involved in the investigation, presenting evidence and contributing to the debates of the Forum be thanked for their contributions.
2. That the managers and residents of the care homes visited by the forum be thanked for allowing members to visit their homes.
3. That Sheraton Court Care Home be thanked for hosting this meeting.
4. That the comments of Members, resident representatives, care home managers and members of the public be noted.
5. That the draft final report be considered by the Forum at its meeting on 21 January 2009.

The meeting concluded at 4.45 p.m.

C SIMMONS

CHAIRMAN

ADULT AND COMMUNITY SERVICES SCRUTINY FORUM

MINUTES

12 November 2008

The meeting commenced at 3.30 p.m. in the Civic Centre, Hartlepool

Present:

Councillor: Chris Simmons (In the Chair)

Councillors: Jonathan Brash, Mary Fleet, Ann Marshall, Chris McKenna,
Michelle Plant, Arthur Preece and Gladys Worthy.

Resident Representative: Mary Power.

Also Present: Councillor Ged Hall, Adult and Community Services Portfolio
Holder and Councillor Victor Tumilty, Culture Leisure and
Tourism Portfolio Holder.

Officers: Nicola Bailey, Director of Adult and Community Services
John Mennear, Assistant Director (Community Services)
Jill Harrison, Assistant Director (Commissioning)
Laura Starrs, Scrutiny Support Officer
David Cosgrove, Principal Democratic Services Officer

52. Apologies for Absence

Councillor Atkinson and Resident Representative E Leck.

53. Declarations of interest by Members

Councillor Simmons declared a non-prejudicial interest.

54. Previous Minutes

No items

55. Responses from the Council, the Executive or Committees of the Council to Final Reports of this Forum

No items.

56. Consideration of request for scrutiny reviews referred via Scrutiny Co-ordinating Committee

No items.

57. Adult and Community Services Department – Budget and Policy Framework Initial Consultation Proposals 2009/10 *(Scrutiny Support Officer)*

The Director of Adult and Community Services, Nicola Bailey, presented to the forum details of the budget pressures, terminating grants, budget priorities and budget efficiencies related to 2009/10. Members raised the following issues, which include the Director's response where appropriate.

- Did any of the terminating grants relate to statutory services? The Director indicated that the grant relating to 'Supporting People' affected the administration element of a statutory service, which the council would have to absorb. The Home Library Service was also not statutory but over 600 people used the service on a regular basis.
- Members queried the increase in computer line charges to libraries imposed by BT. The Director reported that this was currently being reviewed with Northgate.
- Members questioned why the external painting of the Maritime Experience and the Museum of Hartlepool were listed as a priority. The Director commented that the two buildings had not been maintained for some time.
- The priority for the provision of a sober house for people with alcohol misuse did not quote any potential location. The Director indicated that none had been discussed.
- Members noted that quite a number of the departmental efficiencies included a staffing impact. The Director indicated that the efficiencies had been designed not to affect front line services, though some redundancies may be required. Overall thirty posts would be affected though it was anticipated that through the deletion of vacant posts and redeployment, redundancies would be kept to a minimum.
- In relation to the efficiencies listed for the Learning Disabilities Agency, the Director commented that it may be difficult to achieve the full year's savings in the first year.
- There was concern at the potential costs associated with the care of certain young people with complex needs. The Director commented that the authority had to meet these costs and some individuals care costs were exceptionally high. There were fourteen young people expected to need care under this provision in 2009/10 when only five had similar packages in 2008/09. The department would be working to ensure funding packages were secured through partnerships with health.
- Members commented that targeting efficiencies was essential insofar as they did not affect front-line services.

Members supported the proposed budget pressures, terminating grants,

budget priorities and budget efficiencies as reported.

Recommended

That the comments of the forum be referred to the Scrutiny Coordinating Committee at its meeting on 28 November 2008.

The meeting concluded at 4.20 p.m.

C SIMMONS

CHAIRMAN

ADULT AND COMMUNITY SERVICES SCRUTINY FORUM

14th January, 2009



Report of: Scrutiny Support Officer

Subject: ADULT AND COMMUNITY SERVICES
DEPARTMENT: BUDGET AND POLICY
FRAMEWORK CONSULTATION PROPOSALS
2009/2010

1. PURPOSE OF REPORT

- 1.1 To provide the opportunity for the Adult and Community Services Scrutiny Forum to consider the Adult and Community Services departmental pressures, terminating grants, proposed 3% efficiencies, proposed additional 1% efficiencies/savings and Area Based Grant allocations as part of the Budget and Policy framework consultation proposals for 2009/2010.

2. BACKGROUND INFORMATION

- 2.1 At a meeting of the Scrutiny Co-ordinating Committee held on 31st October, 2008, consideration was given to the Executive's Initial Budget and Policy Framework Consultation Proposals for 2009/2010. At this meeting it was agreed that the initial consultation proposals would be considered on a departmental basis by the appropriate Scrutiny Forum. This occurred throughout November, 2008.
- 2.2 The comments/observations of each Forum were fed back to the additional meeting of the Scrutiny Co-ordinating Committee held on 28th November, 2008 and were used to formulate the formal Scrutiny response to Cabinet on 15th December, 2008.
- 2.3 The comments/observations made by the Scrutiny Co-ordinating Committee were taken into consideration by Cabinet during the finalisation of its Budget and Policy Framework Proposals for 2009/2010 on 22nd December, 2008. The Executive's finalised proposals were considered by the Scrutiny Co-ordinating Committee on 9th January, 2009 and repeating the process previously implemented have again been referred to the appropriate Scrutiny Forum for consideration on a departmental basis.

- 2.4 As such attached as **Appendices A to F** are the Adult and Community Services departmental pressures, terminating grants, proposed 3% efficiencies, proposed additional 1% efficiencies/savings and Area Based Grant allocations. Proposed changes since initial Appendices were submitted to your forum are shown as grey shaded lines. Any alterations/additions (following the Cabinet's meeting of 22nd December, 2008) will be made verbally during this meeting.
- 2.5 Owing to the difficult financial position for 2009/2010 Cabinet are not proposing to fund priorities previously identified. These items are therefore not included in this report.
- 2.6 In addition to the pressures detailed on the attached pressures appendix Cabinet are also proposing additional funding for the following items: -

- i) Older People, Learning Disabilities, Agency and Mental Health Agency – additional pressure of £0.8m

The Council is incurring additional expenditure in the current year on the above services owing to demographic pressure, higher client numbers and more complex cases. These trends are forecast to continue in 2009/2010. An assessment of new cases and changes in service levels for 2009/2010 has also been made. This is a complex area as service requirements are assessed on an individual basis which impacts on the costs of providing services.

- ii) Adult Services Care Package and Transport Efficiencies – additional pressure of £0.45m (temporary)

The existing base budget anticipated making an efficiency on a specific care package of £0.2m. This efficiency has been delayed owing to a legal challenge to the proposed change. It is anticipated that these issues will be resolved during 2009/2010. As it is not certain when these issues will be addressed it would be prudent to make provision for these costs on a temporary basis.

Similarly the anticipated transport saving from the establishment of the Integrated Transport Unit is taking longer to achieve. It would also be prudent to make a temporary provision for this item being delayed.

- 2.7 To assist Members of this Scrutiny Forum in the consideration of the Adult and Community Services departmental proposals, arrangements have been made for the Director of Adult and Community Services to be in attendance and an invitation to this meeting has also been extended to the relevant Portfolio Holder (attendance subject to availability).

3. RECOMMENDATIONS

3.1 It is recommended that the Adult and Community Services Scrutiny Forum:-

- a) considers the Adult and Community Services departmental pressures, priorities and efficiencies as part of the Budget and Policy Framework consultation proposals for 2009/2010; and
- b) formulates any comments and observations to be presented by the Chair of this Scrutiny Forum to the additional meeting of the Scrutiny Co-ordinating Committee to be held on 23rd January, 2009, to enable a formal response to be presented to the Cabinet on 9th February, 2009.

.

Contact Officer:- Laura Starrs – Scrutiny Support Officer
Chief Executive's Department - Corporate Strategy
Hartlepool Borough Council
Tel: 01429 523 647
Email: laura.starrs@hartlepool.gov.uk

BACKGROUND PAPERS

No background papers were used in the preparation of this report.

ADULT AND COMMUNITY SERVICES - PROPOSED EFFICIENCIES

Portfolio	Dept/ Div	Budget heading / Cost Centre	Corp Strategy theme	Description	Type of budget proposal	Efficiency Classification	Budget Value			Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand's)	Treat as contingency item	Staffing Impact	Other Comments
							09/10 £000	10/11 £000	11/12 £000										
CLT	A&CSD CS	Community Forest	7. C&L	Membership of North East Community Forest ended following merger of NECF with Groundwork Trust . In future work to be bid for on a project by project basis.	Efficiency		28	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	All	No	No	
CLT	A&CSD CS	Grounds Maint Contract 1 and 2	7. C&L	Reconfigure attendant provision at Grayfields and Summerhill at a lower cost than the current contractual Arrangements	Efficiency		13	4	0	0	1. Low	1. Unlikely	1	Green	Neutral	All	No	0	
CLT	A&CSD CS	Art Gallery Tourist Information	7. C&L	Streamlining of site management rosters and <u>minor</u> adjustments to service opening times, including streamlined private view arrangements.	Efficiency		18	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	All	No	0.4	
CLT	A&CSD CS	Art Gallery Maritime Experience Museum of Hartlepool	7. C&L	To get better value from suppliers by reviewing contracts and replacing them with more efficient ways of working.	Efficiency		22	0	0	0	1. Low	3. Likely	3	Green	Neutral	All	No	0.5	
CLT	A&CSD CS	Libraries General Reference Library	7. C&L	Reduce expenditure on library stock; using internal and external data to enable better and more informed purchasing choices to be made. Stock and community profiling in 2009/10 to help identify local usage and key areas of stock. Benefits; improved stock turn [stock attracts more issues], stock better reflects user requirements	Efficiency		15	0	0	0	1. Low	3. Likely	3	Green	Neutral	All	No	No	
CLT	A&CSD CS	Central Library Relief Register	7. C&L	Introduction of RFID ie. self issue & receipt of library books, at the Central Library. RFID agreed by Cabinet and approved by IT Partnership Board subject to further clarification of cost analysis. Benefit: staff released from repetitive and manual tasks to improve customer services.	Efficiency		21	0	0	0	1. Low	3. Likely	3	Green	Neutral	All	No	1.0	
CLT	A&CSD CS	Community Centres	7. C&L	Restructure of cleaning and caretaking staff within Community Centres to deliver service at lower cost than current arrangements.	Efficiency		20	15	0	0	1. Low	2. Possible	2	Green	Neutral	All	No	0.0	
CLT	A&CSD CS	Community Development	7. C&L	Reduction in printing, training and project development fund budgets whilst maintaining service level.	Efficiency		12	0	0	0	1. Low	2. Possible	2	Green	Negative	All	No	0.0	

ADULT AND COMMUNITY SERVICES - PROPOSED EFFICIENCIES

Portfolio	Dept/ Div	Budget heading / Cost Centre	Corp Strategy theme	Description	Type of budget proposal	Efficiency Classification	Budget Value			Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand's)	Treat as contingency item	Staffing Impact	Other Comments
							09/10 £000	10/11 £000	11/12 £000										
CLT	A&CSD CS	Borough Building	7. C&L	Redine the working arrangements within the Borough Hall and Sports Centre to maximise targeted activity and use.	Efficiency		20	0	0	0	1. Low	2. Possible	2	Green	Neutral	All	No	2.0	
CLT	A&CSD CS	Arts Development	7. C&L	Redirect investment in professional artist fees. This includes reduction of budget from Tees Valley investment Fund to allow direct spend in Hartlepool.	Efficiency		10	0	0	0	1. Low	2. Possible	2	Green	Neutral	All	No	2.0	
APHS	A&CSD ASC	Support, Time & Recovery Team	3. H&C	Current Support Time and Recovery service over staffed by 2 posts (currently vacant). Reducing this service by these 2 posts will not affect provision and retains the number of staff needed to deliver the service.	Efficiency		39	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	All	No	2.0	
APHS	A&CSD ASC	Brooklyn Day Centre	3. H&C	Access ing people to mainstream provision rather than building based statutory provision thereby promoting choice and social inclusion.	Efficiency		5	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	Disability	No	Nil	
APHS	A&CSD ASC	Warren Road Day Centre	3. H&C	Reduction in the number of senior link workers to allow a flatter management structure and more flexible working to promote a more modernised and efficient service and release cash for Individual Budgets.	Efficiency		60	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	All	No	3.5	
APHS	A&CSD ASC	Learning Disabilities SWAT Team	3. H&C	Co-location of LA and NHS Learning Disability teams at Warren Road, enabling efficiencies across rent and utilities.	Efficiency		30	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	Disability	No	Nil	
APHS	A&CSD ASC	Sensory Loss Team	3. H&C	Physical Disabilities team to be relocated within loclity teams to promote integrated and seamless service provision. Team Manager post, currently vacant, to be disestablished.	Efficiency		45	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	Disability	No	1.0	

ADULT AND COMMUNITY SERVICES - PROPOSED EFFICIENCIES

Portfolio	Dept/ Div	Budget heading / Cost Centre	Corp Strategy theme	Description	Type of budget proposal	Efficiency Classification	Budget Value			Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand's)	Treat as contingency item	Staffing Impact	Other Comments
							09/10 £000	10/11 £000	11/12 £000										
APHS	A&CSD ASC	Sensory Loss Team	3. H&C	Physical Disabilities team to be relocated within locality teams to promote integrated and seamless service provision. Team clerk post, currently filled by temporary postholder, to be disestablished.	Efficiency		20	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	Disability	No	1.0	
APHS	A&CSD ASC	Warren Road Day Centre	3. H&C	Relocation to share accommodation and thereby reduce costs of rent and utilities by sharing the costs across the LA and NHS.	Efficiency		3	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	Disability	No	Nil	
APHS	A&CSD ASC	Learning Disabilities Agency	3. H&C	Use of the fair price tool kit across the Tees region to allow efficient and equitable pricing by reviewing contracts.	Efficiency		30	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	Disability	No	Nil	
APHS	A&CSD ASC	Learning Disabilities Agency	3. H&C	End block contract for respite care beds service and develop alternative, smaller unit with other respite care alternatives in line with personalised services.	Efficiency		50	0	0	0	2. Medium	1. Unlikely	2	Green	Neutral	Disability	No	Nil	
APHS	A&CSD ASC	Adults Management	9. OrgD	Review of planning function to link to wider reorganisation of Adult Social care to ensure more efficient processes.	Efficiency		44	0	0	0	1. Low	3. Likely	3	Green	Neutral	All	No	1.0	
APHS	A&CSD ASC	Care Management Team 2	9. OrgD	Integration of management structures with PCT.	Efficiency		45	0	0	0	1. Low	1. Unlikely	1	Green	Neutral	All	No	1.0	
APHS	A&CSD ASC	Duty Team	9. OrgD	Re-alignment of skill mix within Duty team - capacity at first point of contact unaffected.	Efficiency		10	0	0	0	1. Low	Unlikely	1	Green	Neutral	All	No	0.0	

W:\CSword\Democratic Services\SCRUTINY FORUMS+SCRUTCOORD CTTEE\ADULT AND COMMUNITY SERVICES SCRUTINY FORUM Reports\2008-2009\09.01.14\6.1 ACSSF 14.01.08 Budget and Policy Framework

ADULT AND COMMUNITY SERVICES - PROPOSED EFFICIENCIES

Portfolio	Dept/ Div	Budget heading / Cost Centre	Corp Strategy theme	Description	Type of budget proposal	Efficiency Classification	Budget Value			Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand's)	Treat as contingency item	Staffing Impact	Other Comments
							09/10 £000	10/11 £000	11/12 £000										
APHS	A&CSD SS	Support Services	9. OrgD	Review of divisional admin staff planned for late 2008. Links to wider Business Transformation programme.	Efficiency		37	0	0	0	1. Low	3. Likely	3	Green	Neutral	All	No	2.0	
APHS	A&CSD SS	Workforce Planning & Development	9. OrgD	Changes to deployment of training resources, including possible procurement and partnership gains.	Efficiency		15	0	0	0	1. Low	3. Likely	3	Green	Neutral	All	No	No	
APHS	A&CSD SS	Finance Section	9. OrgD	Finance Section receive and manage benefits on behalf of many service users. Departmental Review planned for late 2008, including processes and numbers of referrals.	Efficiency		25	0	0	0	2. Medium	2. Possible	4	Amber	Neutral	All	No	1.0	
APHS	A&CSD ASC	Older People Purchasing	9. OrgD	Hartfields Extra Care Village to be utilised rather than residential care for older people who require substantial levels of support to remain safe. Improve quality of live. Manage financial resources more effectively.	Efficiency		125	0	0	0	2. Medium	3. Likely	6	Amber	Neutral	All	No	No	
CLT	A&CSD CS	Leisure Centres	7. C&L	Review of Mill House Leisure Centre staffing and rostering arrangements to maximise efficient working.	Efficiency		20	10	5	0	2. Medium	2. Possible	4	Amber	Neutral	All	No	3.0	
APHS	A&CSD ASC	Integrated Care Team 1 and 3	9. OrgD	Integration of internal Homecare service and Intensive Support team to create new Direct Care & Support Service. Integration with PCT will support the introduction of Telehealth and offer a more efficient service around rapid response cases. Focussing on early intervention and using specialist workforce to deliver outcomes and transfer less complex work to independant sector.	Efficiency		193	0	0	0	2. Medium	4. Almost certain	8	Red	Neutral	All	No	6.7	

ADULT AND COMMUNITY SERVICES - PROPOSED EFFICIENCIES

APHS Portfolio	A&CSD ASC Dept/ Div	Budget heading / Cost Centre	Corp Strategy theme	Description	Type of budget proposal	Efficiency Classification	Budget Value			Cost of efficiency saving £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand's)	No Treat as contingency item	Staffing Impact	Other Comments
							09/10 £000	10/11 £000	11/12 £000										
APHS	A&CSD ASC	Occupational Therapy	9. OrgD	Redesign of business processes in Occupational Therapy, building on work completed with Care Services Efficiency Delivery programme, and embracing electronic and home working. Improvements in technology and review of skill mix will lead to more robust scheduling at first point of contact.	Efficiency		35	0	0	0	2. Medium	4. Almost certain	∞	Red	Neutral	All	No	2.0	
							1,010	29	5										

Adult and Community Services - Pressures

APPENDIX B

[illegible]

[illegible]

[illegible]

PROPOSED AREA BASED GRANT ALLOCATIONS (NON WORKING NEIGHBOURHOOD FUND PROJECTS)

APPENDIX E

Grant stream	Lead Dept	2008/09 Base	2009/10		2010/11		Comments
			2009/10 Indicative Government Allocation	Revised allocation proposal	2010/11 Indicative Government Allocation	Revised allocation proposal	
		£'000	£'000	£'000	£'000	£'000	
Supporting People Administration	A&CS	145	134	149	115	153	Note 1
Preserved Rights	A&CS	314	289	322	270	330	Note 1
Supporting People Projects	A&CS	0	0	30	0	60	Note 3
Local Involvement Networks	A&CS	99	99	99	99	99	Note 2
Learning & Disability Development Fund (via PCT in 2007/8)	A&CS	105	106	106	106	106	Note 2
Adult Social Care Workforce (formerly HRDS and NTS)	A&CS	281	289	289	297	297	Note 1
Carers - 80% Adult	A&CS	384	410	394	436	403	Note 4
Mental Capacity Act and Independent Mental Capacity Advocate Service	A&CS	52	66	54	63	56	Note 4
Mental Health	A&CS	336	355	344	373	352	Note 4
		1,716	1,748	1,786	1,759	1,856	

Notes

1) The indicative Government allocations will not enable services to be maintained at existing levels. Therefore, it is proposed to provide additional resources for these schemes to maintain services by maintaining the existing budget provision uplifted for inflation.

2) The indicative Government allocations are sufficient to continue these schemes.

3) Last year the Government indicated the Supporting People Grant would be included within the Area Based Grant. On 26th November 2008 the Government announced that this grant will not be included in the Area Based Grant. However, the 2009/10 Supporting People Grant will be frozen at the 2008/09 level of £3.985m. It is therefore proposed to provide a small addition to this grant to provide for increased costs of maintaining a small number of schemes.

4) Budget allocation increased by 2.5% to reflect the Council's policy for increasing base budgets. This produces a slightly lower allocation than suggested by the indicative Government allocation, which reflects the realignment of ABG resources in line with Council's priorities and flexibility for using grant.

PROPOSED WORKING NEIGHBOURHOOD FUND ALLOCATIONS (AREA BASED GRANT)

Project	Lead Dept	2008/09 Base ('000)	2009/10 Proposed Allocation ('000)	2010/11 Proposed Allocation ('000)
Skills to work - HBC	A&CS	50	51	52
Economic impact evaluation of the Tall Ships	A&CS	0	15	15
Belle Vue Sports	A&CS	43	44	45
Exercise Referral - HBC	A&CS	27	28	28
Connected Care - Manor Residents	A&CS	24	25	25
Mobile Maintenance - HBC	A&CS	21	46	47
Mental Health Dev. & NRF Support Network - Hartlepool MIND	A&CS	89	91	93
Integrated Care Teams - PCT	A&CS	40	20	0
TOTAL		294	320	305

Adult and Community Services Department - Proposed 1% Additional Budget Reductions

Portfolio	Dept/ Div	Budget heading/ Cost Centre	Cost Centre Code	Corp Strategy theme	1. A description of the service pressure/ priority/ efficiency/ terminating grant/reduction 2. The risks if proposal not approved and any mitigating measures already taken or planned. 3.The benefit or outcome to be gained from the proposal.	Type of budget proposal	Efficiency Classification	Budget Value			Cost of achieving 1% reduction £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Staffing Impact	Other Comments
								09/10 £000	10/11 £000	11/12 £000									
	A&CSD CS			7. C&L	Switching locker operation at the Leisure Centres to a coin retain system (20p) rather than coin return.		Saving	14.0				2. Medium	2. Possible	4	Amber				Scheme to attempt securing increased income generation, the cost of conversion to coin retention is subject to staff time only. Staff are currently reviewing regional comparators for access to Swimming and leisure facilities – this is confirming that Hartlepool is a low charging authority and to reach regional average charging we could potentially increase all prices by as much as 33%. From April 2009 over 65's and under 16's are able to access free swims. May lead to non-use of lockers and risk of property theft, vandalism to lockers and amount of lost property.

Portfolio	Dept/ Div	Budget heading/ Cost Centre	Cost Centre Code	Corp Strategy theme	1. A description of the service pressure/ priority/ efficiency/ terminating grant/reduction 2. The risks if proposal not approved and any mitigating measures already taken or planned. 3.The benefit or outcome to be gained from the proposal.	Type of budget proposal	Efficiency Classification	Budget Value			Cost of achieving 1% reduction £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Staffing Impact	Other Comments
								09/10 £000	10/11 £000	11/12 £000									
	A&CSD CS			7. C&L	Closure of Community Service/Building ie. Branch library or community Centre		Saving	45.0				3. High	3. Likely	9	Red			2.5	No short term easy options. Library service has achieved reductions and has shown via the planned review of 'delivered vehicle service' in 7/08 that savings targets can be achieved. This is supported by the measured reduction in staffing referred to above. Determination of any service closure late in the financial year would only result in a part year saving. The saving identified of £45K excludes the £17K referred to previously for lunchtime closure. Quick closure would take no account of the asset management of the site. The site contains a very popular and active Bowling green and the multi-use games area which are not part of this proposal. Emerging forward plan discussions indicate there may be a case for a review of Foggy Furze Library. Specific implications for an early closure are: 2.5 fte redundancies - Withdrawal of heavily used library service - Impact on the library performance indicators - Impact on user groups
	A&CSD CS			7. C&L	Keep Community Pool at a standstill position		Saving	15.0				3. High	3. Likely	9	Red				Community Sector are currently undergoing a funding crisis in certain areas leading to increased pressure on the Fund.
	A&CSD ASC			3. H&C	A social work team to be disbanded, cases to be spread across the other social work teams to achieve savings. Loss of Team Manager Band 14 and Principal Practitioner Band 13.		Saving	100.0				3. High	3. Likely	9	Red			2	Some 600 cases are currently serviced by this team so there will be additional pressure placed on other teams - Redundancy costs likely

Portfolio	Dept/ Div	Budget heading/ Cost Centre	Cost Centre Code	Corp Strategy theme	1. A description of the service pressure/ priority/ efficiency/ terminating grant/reduction 2. The risks if proposal not approved and any mitigating measures already taken or planned. 3.The benefit or outcome to be gained from the proposal.	Type of budget proposal	Efficiency Classification	Budget Value			Cost of achieving 1% reduction £000	Risk impact	Risk probability .	Risk score	Risk status	Rate the Diversity impact	Diversity strand(s)	Staffing Impact	Other Comments
								09/10 £000	10/11 £000	11/12 £000									
	A&CSD ASC			3. H&C	Reduction of one Resisted Manager Band 10 within the homecare services. This service is currently managed by 2 registered managers.		Saving	34.0				3. High	3. Likely	9	Red			1	Risks around being able to meet further identified developments around floating support across social care. Redundancy costs likely
	A&CSD ASC			3. H&C	Reduction in management capacity to reduce by 2 band 13 posts - these posts cover such areas as Quality Assurance, Safeguarding, Complaints, Service Development and project management. (a 3rd post has already been put forward as an efficiency)		Saving	86.0				3. High	3. Likely	9	Red			2	2 fte would be at risk. Risks around ensuring Safeguarding and service development are met to meet government standards and maintain recently received 3 star status. Redundancy costs likely
	A&CSD ASC			3. H&C	A variety of measures leading to a reduction in social work expenditure.		Saving	53.0				3. High	3. Likely	9	Red				Risks around not meeting statutory requirements such as assessment waiting times and service delivery timescales.
								347	0	0	0								