

SCRUTINY CO-ORDINATING COMMITTEE AGENDA



Friday 27th November 2009

at 2.00pm

**in the Council Chamber,
Civic Centre, Hartlepool.**

MEMBERS: SCRUTINY CO-ORDINATING COMMITTEE:

Councillors C. Akers-Belcher, S Akers-Belcher, Barker, Brash, James, London, A Marshall, J. Marshall, McKenna, Preece, Richardson, Rogan, Shaw, Simmons, Wright and Young

Resident Representatives: Evelyn Leck, Iris Ryder and Linda Shields.

1. APOLOGIES FOR ABSENCE

2. TO RECEIVE ANY DECLARATIONS OF INTEREST BY MEMBERS

3. MINUTES

3.1 To confirm the minutes of the meeting held on 13th November 2009 (*to follow*)

4. RESPONSES FROM THE COUNCIL, THE EXECUTIVE OR COMMITTEES OF THE COUNCIL TO REPORTS OF THE SCRUTINY COORDINATING COMMITTEE

No items.

5. CONSIDERATION OF REQUEST FOR SCRUTINY REVIEWS FROM COUNCIL, EXECUTIVE MEMBERS AND NON EXECUTIVE MEMBERS

No items.

6. FORWARD PLAN

No Items

7. CONSIDERATION OF PROGRESS REPORTS / BUDGET AND POLICY FRAMEWORK DOCUMENTS

Budget and Policy Framework Initial Consultation Proposals 2010/11:-

- 7.1 Chief Executive's: Budget and Policy Framework Initial Consultation Proposals 2010/11 – *Scrutiny Manager*
- 7.2 2010/11 Budget And Policy Framework Initial Proposals: Feedback From The Overview And Scrutiny Committees – *Chairs of the Overview and Scrutiny Committees*

8. CONSIDERATION OF FINANCIAL MONITORING/CORPORATE REPORTS

- 8.1 Quarter 2 – Capital and Accountable Body Programme Monitoring Report 2009/10 – *Chief Financial Officer*
- 8.2 Quarter 2 – Corporate Plan and Revenue Financial Management Report 2009/10 – *Corporate Management Team*

9. ITEMS FOR DISCUSSION

- 9.1 Request for Items for Discussion – Joint Cabinet / Scrutiny Event of 16 December 2010 – *Scrutiny Manager*

10. CALL-IN REQUESTS

11. ANY OTHER ITEMS WHICH THE CHAIRMAN CONSIDERS ARE URGENT

ITEMS FOR INFORMATION

Date of Next Meeting: Friday 11th December 2009 commencing at 2.00 p.m. in the Council Chamber, Civic Centre, Hartlepool.

SCRUTINY CO-ORDINATING COMMITTEE

MINUTES

13 November 2009

The meeting commenced at 2.00 pm in the Civic Centre, Hartlepool

Present:

Councillor: Marjorie James (In the Chair)

Councillors: Jonathan Brash, Ann Marshall, Arthur Preece, Carl Richardson,
Trevor Rogan, Chris Simmons and David Young.

Resident Representatives:

Evelyn Leck, Iris Ryder and Linda Shields

Officers: Stuart Green, Assistant Director (Planning and Economic Development)
Sue Johnson, Assistant Director (Planning and Services Integration)
Alison Mawson, Assistant Director (Community Safety and Protection)
Joanne Machers, Chief Personnel Officer
Antony Steinberg, Economic Development Manager
Penny Thompson, Child Care Market Officer
Andrew Dent, Electrical Engineering Team Leader
Patrick Wilson, Employment Development Officer
Joan Wilkins, Scrutiny Manager
Angela Hunter, Principal Democratic Services Officer

Also Present:

Councillor Cath Hill, Children's Services Portfolio Holder

90. Apologies for Absence

Apologies for absence were received from Councillors Caroline Barker, Frances London and Edna Wright.

91. Declarations of interest by Members

None.

92. Minutes of the following meetings

To be considered later in the meeting.

93. Responses from the Council, the Executive or Committees of the Council to Reports of the Scrutiny Co-ordinating Committee

None.

94. Consideration of request for scrutiny reviews from Council, Executive Members and Non Executive Members

None.

95. Forward Plan – November 2009 – February 2010

The Scrutiny Manager submitted the Executive's Forward Plan for November 2009 to February 2010 to provide an opportunity for Members to consider whether any item within the plan should be considered by the Committee or referred to a particular Scrutiny Forum.

Members reinforced their request for the Forward Plan to be timely updated to ensure they were kept informed of the decisions being taken by the Council and in particular the Executive.

Recommended

That the report and Members comments be noted.

96. Consideration of progress reports/budget and policy framework documents

None.

97. Consideration of financial monitoring/corporate reports

None.

98. Workforce Issues *(Chief Personnel Officer)*

As Members were aware, a working group had previously been constituted from within the membership of Scrutiny Co-ordinating Committee to be involved in the development of the People Strategy and Single Status Agreement. At its meeting on 2 October 2009, the Local Joint Consultative Committee asked that this Committee consider creating a working group to consider workforce matters such as those detailed in the report of a number

of outstanding reviews as specified in the Single Status Agreement.

It was suggested in view of the likely topics to be considered, that the working group be named the Workforce Working Group. The Group would decide which topics out of the report would form its work programme and this would be fed back into Scrutiny Co-ordinating Committee. Whilst noting the requirement for political balance where possible, the following Members indicated they wished to be involved in such a working group:

Councillors Marjorie James (Chair), Jonathan Brash, Arthur Preece, Carl Richardson, Chris Simmons and David Young.

Recommended

That the Scrutiny Co-ordinating Committee create a Workforce Working Group as detailed above to include the following membership:

Councillors Marjorie James (Chair), Jonathan Brash, Arthur Preece, Carl Richardson, Chris Simmons and David Young.

99. Child Poverty – Setting the Scene Report *(Joint report of Director of Child and Adult Services and Director of Regeneration and Neighbourhoods)*

The Child Care Market Officer and Employment Development Officer were in attendance to deliver a 'Setting the Scene' presentation as part of the Committee's ongoing investigation into Child Poverty. The presentation was detailed and comprehensive and provided the background to and aim of the investigation. It was noted that Hartlepool had the second highest child poverty level in the north east region.

A draft child poverty strategy was currently being developed in line with the Local Strategic Partnership themed groups. An interim officer led working group had been established in order to keep momentum moving with the first meeting of this group having taken place in October. An invitation to the working group had been extended to the Chair of this Committee whilst this investigation was pending. Members were asked to note that the strategy and action plan were being redrafted to incorporate comments from the consultation undertaken and to better reflect the requirements of the Child Poverty Bill.

A discussion ensued which included the following issues.

- (i) A Member commented on the statistics provided in the presentation and asked that numbers be included as opposed to percentages in future reports and presentations as it gives a better indication of what was actually involved.
- (ii) It was questioned whether the investigation could be broken down to focus on one particular group at a time and try and gain some quick

wins. The Employment Development Officer responded that this was a long term project although he did highlight that a key concern at the moment was youth unemployment and as such it was hoped that the Future Jobs Fund (FJF), which was funded by the Department for Work and Pensions (DWP) would create up to 500 jobs for local people for up to 26 weeks. In response to a Member's question, it was noted that a further 220 jobs were currently being negotiated in view of Hartlepool being the best performing FJF project across the country.

- (iii) In addition to the above initiative, the Child Care Market Officer confirmed that complimentary work was also being undertaken with a pilot being secured to fund childcare which would be targeted at vulnerable groups with support provided through flexible free childcare for very young children.
- (iv) The Assistant Director (Planning and Economic Development) added that child poverty was a huge agenda and the aim was to implement a series of positive actions to target certain groups which will hopefully lead to opportunities to secure additional funding.
- (v) There was concern expressed by a resident representative about the targeting strategy used. It was acknowledged that all areas of the town had pockets of deprivation and this would be taken into account, but initiatives such as new deal in the communities and single regeneration budget programmes were created to regenerate particular deprived areas of the town through securing Government funding.
- (vi) Within the presentation it was noted that a family in receipt of less than £332 per week was classed as poor, and clarification was sought on how this figure was calculated? The Child Care Market officer indicated that the Government's Child Poverty Unit defined this figure along with various other Government departments and it was based on a national medium wage. However, it was noted that Hartlepool wages were highly likely to be below that figure.
- (vii) A Member commented that children with parents on benefit were not the only children to be affected by child poverty, but that working parents with high levels of debt should also be included. It was suggested that closer working with schools may be beneficial to educate children on how manage their money. The Employment Development Officer confirmed that work was being undertaken with schools, Connexions and the Children's Services Department which had achieved a successful bid to the European Social Fund (ESF) for funding to target approximately 400 young people aged 14-16 who were classified by schools as young people who may become disaffected from main stream schooling.
- (viii) In relation to families in debt, a Member commented that some people do not understand the terms of the debt they were signing up to and it was acknowledged that this was a big area of work that the Hartlepool Credit Union and the Council would be driving forward to assist as many families as possible come out of the poverty trap and move away from loan sharks.
- (ix) There were a number of demographic factors summarised in the report and a Member suggested that a breakdown of these figures by ward would be helpful.

- (x) A Member sought clarification on whether the advice and support being provided was available to everyone across the town. The Employment Development Officer confirmed that there were town-wide projects and support provided across the town for all families. The Child Care Market Officer confirmed that the availability to all families in the town of the children's centres across the town needed to be reinforced. The Assistant Director (Planning and Economic Development) added that some inevitably some initiatives will be town-wide and some will be targeted to particular areas but the overall aim would be to ensure every opportunity to secure funding would be taken where possible.
- (xi) It was noted that although families 'can' access all the support services available, some hard to reach families do not. With this in mind, it was important to emphasise the need to use the teams around schools to ensure hard to reach families were contacted proactively.

Recommended

- (i) That the report and presentations were noted.
- (ii) That the demographic figures as summarised in points (i) to (xviii) in the report be broken down into ward figures where they were available.

100. Child Poverty and Financial Inclusion – Evidence from The Mayor and Portfolio Holders *(Scrutiny Manager)*

In accordance with the wishes of the Committee, invitations had been extended to the Mayor and Portfolio Holders to attend the meeting to provide evidence in relation to the ongoing investigation into 'Child Poverty and Financial Inclusion'. The Portfolio Holder for Children's Services was in attendance and addressed the Committee in relation to the key questions highlighted in the report.

- a) *What are your roles and responsibilities in relation to the prevention of child poverty and promotion of financial inclusion in Hartlepool?*
The Portfolio Holder indicated that her main role was to provide leadership across a whole range of children's services and ensure full engagement with all partners. In addition to this, the Portfolio Holder confirmed she was the Council's champion for all children's issues in the town. Members were informed that the current Children and Young People's Plan included eradicating poverty as a priority issue. As a Cabinet Member, the Portfolio Holder also had the responsibility of holding officers to account for the contribution they make to the services provided for children and families with an emphasis on safeguarding. The Portfolio Holder commented that safeguarding was a key issue in relation to poverty as it could be very demoralising and destructive for children within the school environment.

- b+c) What are your views on child poverty levels in Hartlepool? What are your views on the levels of child poverty locally, in comparison to national and regional levels?* The Portfolio Holder commented that as the 13th most deprived town in the country, child poverty levels in Hartlepool were far too high and this was acknowledged through the inclusion of child improvement as a key improvement target in the Local Area Agreement. Members were reminded that the local authority had a good record on securing new jobs and raising educational attainment and this in itself was a huge contribution to eradicating child poverty. An example of this was the phenomenal exam results achieved this year.
- d+e) What initiatives and practices, if any, do you think have (or could have) a significant and measurable impact on the reduction/eradication of child poverty in Hartlepool? What areas of improvement, if any, would you suggest for the Council to deal with child poverty and financial inclusion in Hartlepool?* The Portfolio Holder commented that the local authority needed to continue the good work already being undertaken securing jobs for people who were currently out of work. The Children's Services Department would continue to work with schools on raising educational attainment to help improve children and young people's chances in life regardless of their home or social background. Increasing the awareness of the benefits available and educating people when it was appropriate to borrow money and when it was not, should be relatively simple to undertake and would go some way to eradicating poverty.

A discussion ensued which included the following issues.

- (i) A Member commented that a lot of debt within families was caused by external pressures and peer pressure, for example at Christmas and birthdays. In addition to this, modern schooling also provided pressures through educational visits and foreign trips to broaden a child's education and outlook on life. It was noted that there should be support available to ensure that all children can participate in this kind of event without driving their family into debt.
- (ii) A resident representative commented that using the fuel poverty indicator as a measure of general deprivation was not always accurate as people with a choice between heating and food were more likely to choose food which in turn gives a small expenditure on heating and does not give a true reflection.
- (iii) A Member noted that child poverty was an issue of society as a whole with the media and advertising world adding to the pressure. However, in response, another Member commented that not having the latest video game was not an indicator of poverty and added that there were some families where having new clothes was a fantasy. A note of caution was expressed as to whether society was a contributory factor for those in the hardest poverty.
- (iv) Members were informed that changes were also happening nationally with recent legislation changes from Government which

now ensured that child benefit was now disregarded in the calculations for the receipt of housing benefit. In addition to this, the local authority had discretion to disregard armed forces pensions in calculating housing benefit.

The Portfolio Holder was thanked for her attendance and for providing information to help Members with their investigation.

Recommended

The Portfolio Holder's evidence and the discussion that followed were noted.

101. Child Poverty and Financial Inclusion – Feedback from “Helping Communities Deal with Debt: Understanding Money and Tackling Credit Problems Briefing” *(Scrutiny Manager/Chair of Scrutiny Co-ordinating Committee)*

As Members were aware, the Chair of Scrutiny Co-ordinating Committee recently attended a Government briefing on “Helping Communities Deal with Debt: Understanding Money and Tackling Credit Problems”. The briefing examined the work undertaken by:

- Knowsley MBC
- Association of British Credit Unions
- Birmingham Illegal Money Lending Team
- The Citizens Advice Bureau

Members were asked to note that an Illegal Money Lending Team were now operating in Hartlepool with a view to eradicating any form of illegal money lending. It was highlighted that one of the key issues to achieving success in reducing poverty in families was to ensure effective partnership working across the Local Strategy Partnership and all other relevant partners. However, it was suggested that figures on how many families were seeking advice on money and credit issues via internet or emergency telephone lines should be provided to enable a better understanding of the problem faced.

Members were provided with copies of a document provided by Leeds City Council ‘Exclusion to Inclusion’ which included a study of alternative community based credit and savings systems undertaken by Salford University.

In conclusion, the following were identified as key objectives to tackling child poverty and financial inclusion:

- 1) Emphasis on partnership working
- 2) Education (financial and other in terms of raising aspirations)
- 3) Raising awareness of the services/solutions available to relieve financial poverty

4) The need to reach families in need!

A discussion ensued which including the following issues.

- (i) A Member sought clarification on whether prevention or intervention was the best way forward to eradicating poverty within families. It was noted that it was recognised nationally that prevention, intervention and sustainability should be embraced to ensure poverty in families was effectively reduced.
- (ii) A resident representative commented on advertisements offering loans to people within hours with an interest rate of up to 97% attached to that loan. Members were informed that there were instances of illegal and legal money lenders offering much higher interest rates than that.
- (iii) Members were informed that the Junior Savers which operated within local schools encouraged young people to save to buy rather than borrow to buy and had collectively saved up approximately £50k.

It was suggested that a visit could be arranged for Members to go to either Newcastle or Leeds to explore the ways in which they were dealing with financial exclusion and child poverty.

Recommended

- (i) That the Chair's presentation and the discussion that followed were noted.
- (ii) That the possibility of Members of the Committee visiting either Newcastle or Leeds City Councils be explored with a view to examining the ways in which financial exclusion and child poverty were being dealt with.

102. **Crime and Disorder (Overview and Scrutiny) Regulations 2009 – Implementation of the Police and Justice Act 2006 (Sections 19 and 20)** *(Scrutiny Manager)*

The Scrutiny Manager gave a detailed and comprehensive presentation which provided Members with an update on the provisions of the Police and Justice Act 2006 to enable consideration of the process for implementing the recent supplementary regulations relating to the scrutiny of crime and disorder matters.

In summary the regulations require the creation of a committee to review, scrutinise and make reports and recommendations regarding the responsible authorities that comprise the Crime and Disorder Reduction Partnership. The suggested role and remit of such a Committee was included within the presentation. The following three options for the creation of a Crime and Disorder Committee were provided for Members' consideration:

- 1) A dedicated Crime and Disorder Overview and Scrutiny Committee
- 2) The main Overview and Scrutiny Committee
- 3) Being assigned to an appropriate established Scrutiny Forum

In addition to the above, there was the provision to co-opt additional Police Authority representatives to the membership of the Committee.

A discussion ensued which included the following issues.

- (i) A Member sought clarification on whether the structure of the current scrutiny forums was likely to change in line with the departmental structures recently agreed. The Chair indicated that the current scrutiny structure was an established structure that worked well and no changes were necessary at the current time.
- (ii) It was suggested that the responsibilities associated with the Crime and Disorder regulations should be incorporated into the Scrutiny Co-ordinating Committee as this Committee had overarching responsibility over all areas and could have one statutory meeting per year until such time as it was felt beneficial to change the frequency to perhaps two meetings per year.
- (iii) In addition to this, it was suggested that rather than co-opting additional Police Authority representatives it would be more appropriate to invite attendance as expert witnesses, as and when required.
- (iv) A Member questioned whether one meeting per year would suffice given the amount of issues that could be raised at that meeting. It was suggested that one meeting be scheduled per year with additional meetings as and when required. In addition to this, the Scrutiny Manager confirmed that the Regeneration and Planning Services Scrutiny Forum will still look at crime and disorder issues on a more operational level, but the Crime and Disorder Committee would look on a more strategic level at policy development, performance and develop strategies.
- (v) A Member sought clarification on whether the Crime and Disorder Committee should be incorporated into the Regeneration and Planning Services Scrutiny Forum. The Assistant Director (Community Safety and Prevention) responded that the responsibility of the Committee would be quite broad and would focus on strategic issues less so than operational issues of community safety. In addition, it was noted that should the Scrutiny Co-ordinating Committee feel an issue would be best examined within the Regeneration and Planning Services Scrutiny Forum, it would retain the capacity to refer the issue to that forum for further investigation.
- (vi) A resident representative sought clarification of resident representative involvement on this Committee. It was clarified that the role of resident representatives on this Committee would be identical to that on all other Scrutiny Forums, with the support provided through the Community Empowerment Officers.

The Committee noted that in progressing arrangements for the implementation of the requirements of the Crime and Disorder (Overview

and Scrutiny) Regulations 2009, additional consultations / discussions were to be undertaken with the responsible authorities that comprise the Crime and Disorder Reduction Partnership. Recommendations agreed today by the Committee as a way forward were, as such, to be fed on to the responsible authorities, with the results of discussions to be fed back to this Committee. From this point, recommendations would then be made to the Constitution Committee and Cabinet for the necessary Constitutional changes to be made to enable the requirements of the Crime and Disorder (Overview and Scrutiny) Regulations 2009 to be implemented.

Recommended

- (i) That initial approval be given for the following arrangements to enable the implementation of the Crime and Disorder (Overview and Scrutiny) Regulations 2009:-
 - (a) That the Scrutiny Co-ordinating Committee incorporate the role of the Crime and Disorder Committee and its responsibilities as identified by the Crime and Disorder (Overview and Scrutiny) Regulations 2009;
 - (b) That, as and when required, additional policy authority representatives be invited to participate in those meeting where the Scrutiny Co-ordinating Committee is fulfilling its role as the authorities Crime and Disorder Committee as 'expert witnesses'; and
 - (c) That, initially, one meeting a year be diaried to enable the requirements of the Crime and Disorder (Overview and Scrutiny) Regulations 2009 to be fulfilled.
- (ii) That the recommendations agreed today by the Committee as a way forward be fed on to the responsible authorities, with the results of discussions to be fed back to this Committee.

103. Call-In Requests

None.

104. Minutes of the following meetings

- (i) 9 October 2009 – Confirmed subject to the inclusion of Councillor Chris Simmons attendance.
- (ii) 16 October 2009 – confirmed.

The meeting concluded at 4.35 pm

CHAIR

SCRUTINY CO-ORDINATING COMMITTEE

27th November, 2009



Report of: Scrutiny Manager

Subject: CHIEF EXECUTIVES: BUDGET AND POLICY
FRAMEWORK INITIAL CONSULTATION
PROPOSALS 2010/2011

1. PURPOSE OF REPORT

- 1.1 To provide the opportunity, as part of the Budget and Policy Framework initial consultation proposals for 2010/2011, for the Scrutiny Co-ordinating Forum to consider the Chief Executives Department's pressures and priorities and Corporate efficiencies.

2. BACKGROUND INFORMATION

- 2.1 At a meeting of the Scrutiny Co-ordinating Committee held on 16th October, 2009, consideration was given to the Executive's Initial Budget and Policy Framework consultation proposals for 2010/2011 to 2012/2013.
- 2.2 At this meeting it was agreed that, as in previous years, each of the Standing Scrutiny Forums will consider the budget proposals covering the service areas within their remit. Given the recent restructure of departments within the Council, and creation of the Regeneration and Neighbourhoods Department and Child and Adult Department, presentations will also be given by Directors to provide an overarching view of the general risks facing their departmental budgets and key policy issues for this year. Any comments / observations will then be fed back to your meeting to be held on 27th November, 2009 to enable a formal response to be presented to Cabinet on 14th December, 2009.
- 2.3 Appended to this report, for consideration as part of the Budget and Policy Framework initial consultation proposals for 2010/2011, are details of the pressures and priorities relating to the Chief Executive's Department:-

Appendix A - Schedule of Budget Pressures 2010/2011;

Appendix B – Schedule of Budget Priorities 2010/2011;

- 2.4 Cabinet is proposing that the pressures identified are funded. In relation to the priorities Cabinet is not proposing that these items are funded.
- 2.5 At this stage of the budget process Cabinet have not identified specific efficiency proposals for individual departments as it is envisaged the savings necessary to balance the 2010/2011 budget will be achieved from the Business Transformation Programme. This position assumes pressures will not exceed the available budget head room and the Government confirm the grant increase for 2010/2011. In addition Corporate efficiencies have been identified as part of a range of issues to balance the budget and details of these are provided at **Appendix C**.

3. RECOMMENDATIONS

- 3.1 It is recommended that the Scrutiny Co-ordinating Committee: -
- a) as part of the Budget and Policy Framework initial consultation proposals for 2010/2011, consider the pressures and priorities relating to the Chief Executive's Department and the Corporate efficiencies; and
 - b) formulates any comments and observations to your meeting to be held on 27th November, 2009 to enable a formal response to be presented to the Cabinet on 14th December, 2009.

Contact Officer:- Joan Wilkins – Scrutiny Manager
Chief Executive's Department - Corporate Strategy
Hartlepool Borough Council
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BACKGROUND PAPERS

No background papers were used in the preparation of this report.

INITIAL PRESSURES 2010/11**Appendix A****SCRUTINY CO-ORDINATING COMMITTEE**

| | £'000 | Description |
|---|------------|---|
| Revenue cost of proposed £0.5m capitalisation | 50 | Estimated repayment cost of using Prudential Borrowing to capitalise £0.5m of expenditure, which will produce a gross revenue saving for 2010/11 of £0.5m |
| Discretionary Business Rates Hardship Relief | 70 | Temporary costs for up to three years to provide support to businesses during the recession. |
| Total | 120 | |

SCRUTINY CO-ORDINATING COMMITTEE

| | £'000 | Description |
|-------------------------|------------|--|
| Support of Credit Union | 60 | To support bids for administration of pump priming loan pool fund from DWP to help needy families and also to create a development fund to support initiatives of the Hartlepool Financial Inclusion Partnership. |
| Reactive Maintenance | 50 | Past years have seen reactive budget provision increase annually by less than construction industry cost increases. In addition energy costs have increased. Budget provision for the Windsor and Carnegie have been less than requested within the overall budget headings, a realignment against anticipated commitments has been undertaken involving Finance. The consequence of this is that the available resources for day to day responsive works have been significantly reduced. |
| Total | 110 | |

SCRUTINY CO-ORDINATING COMMITTEE

| | £'000 | Description |
|---|--------------|--|
| Benefit Subsidy Income | 300 | A temporary saving for additional benefit subsidy income of £0.2m was included in the 2009/2010 budget. It is anticipated that this benefit is likely to be sustainable at £0.3m on an ongoing basis assuming current subsidy regulations remain in place. There is a risk that less beneficial subsidy regulations may be introduced, although given the increase in workload for this service as a result of the recession this is unlikely in the next three years. |
| Landfill Allowance Trading Scheme (LATS) Income | 250 | As reported previously the Council will benefit from the sale of LATS permits from 2010/2011. Members have previously determined to allocate these resources to assist the revenue budget from 2010/2011 onwards; £0.25m in 2010/2011 and £0.2m for the following three years. |
| Capitalisation of Revenue Expenditure | 500 | The Council currently funds a variety of projects from revenue budgets which could be capitalised to produce gross revenue saving of £0.5m in 2010/2011. This could be achieved by replacing revenue funding with Prudential Borrowing. The resulting repayment costs are a first call in the revenue headroom. The revenue costs of using £0.5m of Prudential Borrowing will be in the order of £50,000 depending on the specific schemes undertaken. In the medium term i.e. 2011/2012 to 2013/2014 Members will need to determine if they wish to continue this strategy. This will enable current levels of investment to be maintained, although this will commit future revenue headroom. For planning purposes it is assumed that Members will wish to continue this strategy and will review its sustainability when details of the next three years grant allocations for Councils are known. |
| Cross Departmental Income Review | 300 | In previous years individual departments have been able to retain any above inflationary increases in income from fees and charges to either offset expenditure pressures or to count towards departmental savings targets. As no departmental savings targets (other than those accruing from the BTP) are planned for 2010/2011, it is suggested that a cross departmental income target of £0.3m is established. If members approve this principal detailed proposals for achieving this target will be reported to a future Cabinet meeting. These details will then be referred to Scrutiny Co-ordinating Committee as part of the Formal Budget Consultation arrangements later in the year. |
| Review of Car Allowance | 400 | It is anticipated that efficiencies can be made by undertaking a comprehensive review of existing officer transport arrangements for official Council business. This review will cover the cost effectiveness of the existing arrangements and alternative arrangements aimed at reducing costs and the Council's environmental impact. These proposals have been discussed by the Tees Valley Chief Executive to determine if there is scope to achieve greater efficiencies by working together. |
| Total | 1,750 | |

SCRUTINY CO-ORDINATING COMMITTEE

27 November 2009



Report of: Chairs of the Overview and Scrutiny Committees

Subject: 2010/11 BUDGET AND POLICY FRAMEWORK
INITIAL PROPOSALS: FEEDBACK FROM THE
OVERVIEW AND SCRUTINY COMMITTEES

1. PURPOSE OF THE REPORT

- 1.1 To feedback the collective responses of the four standing Scrutiny Forums (with the exception to the Health Scrutiny Forum), following their recent consideration of the Executive's Budget and Policy Framework Initial Proposals for 2010/11.

2. BACKGROUND INFORMATION

- 2.1 At a meeting of the Scrutiny Co-ordinating Committee held on 16 October 2009, consideration was given to the Executive's Initial Budget and Policy Framework Consultation Proposals for 2010/11.
- 2.2 At this meeting it was agreed that the initial consultation proposals were to be considered on a service provision basis by the appropriate Scrutiny Forum. With any comments/observations being fed back to this meeting of the Scrutiny Co-ordinating Committee to assist in the formulation of this Committee's formal response, to be presented to the Cabinet on 14 December 2009.
- 2.3 As such, the remainder of this report outlines the collective feedback of the four Scrutiny Forums, with the exception to the Scrutiny Co-ordinating Committee's which will be reported verbally at this meeting, to enable the formal response to be compiled

3. FEEDBACK FROM THE OVERVIEW AND SCRUTINY COMMITTEES TO THE EXECUTIVE'S INITIAL BUDGET AND POLICY FRAMEWORK PROPOSALS FOR 2010/11

- 3.1 Members of the four standing Scrutiny Forums considered in detail the budgetary pressures, grant terminations and priorities as part of the Executive's Budget and Policy Framework initial proposals for 2010/11.

- 3.2 As such outlined below are the individual responses of the four standing Scrutiny Forums:-
- 3.3 Adult and Community Services Scrutiny Forum – Members supported the Adult and Community Services Departmental budget pressures for 2010 / 2011.
- 3.4 Children's Services Scrutiny Forum – Members accepted / supported the Children's Services Departmental budget pressures for 2010/11 and looked forward to participating in the next stage of the budget consultation process. At this time, further details would then be available of the Government's financial settlement and any possible 'knock on' budgetary implications for the Children's Services Department.
- 3.5 Neighbourhood Services Scrutiny Forum – Members supported the Neighbourhood Services Departmental budget pressures and priorities for 2010 / 2011.
- 3.6 Regeneration and Planning Services Scrutiny Forum – Members supported the Regeneration and Neighbourhoods Departmental Budget for 2010/11, although it was recognised that no pressures had been identified relating to the Regeneration and Planning areas of service provision within the Regeneration and Neighbourhoods Departmental Budget for 2010/11. The Forum did, however, like it to be noted that:-
- (a) Members were very supportive of the work of the Economic Development Team and that as a section it did not always receive the budget it should.

4. RECOMMENDATION

- 4.1 It is recommended that Members consider the feedback from the Authority's Overview and Scrutiny Committees' in conjunction with the verbal feedback of the Scrutiny Co-ordinating Committee to be provided at this meeting, to assist in the formulation of this Committee's formal response, to be presented to the Cabinet on 14 December 2009.

Contact:- Joan Wilkins – Scrutiny Manager
Chief Executive's Department – Corporate Strategy
Hartlepool Borough Council
Tel: 01429 284142
Email: joan.wilkins@hartlepool.gov.uk

BACKGROUND PAPERS

The following background papers were used in the preparation of this report:-

- (i) Report of the Chief Financial Officer entitled 'Medium Term Financial Strategy (MTFS) 2010/11 to 2013/14 – Initial Consultation Proposals' presented to the Scrutiny Co-ordinating Committee held on 16 October 2009.
- (ii) Minutes of the Scrutiny Co-ordinating Committee held on 16 October 2009.
- (iii) Minutes of the Children's Services Scrutiny Forum held on 4 November 2009.
- (iv) Minutes of the Neighbourhood Services Scrutiny Forum held on 9 November 2009;
- (v) Minutes of the Adult and Community Services and Health Scrutiny Forum held on 2 November 2009.
- (vi) Minutes of the Regeneration and Planning Services Scrutiny Forum held on 5 November 2009.

SCRUTINY CO-ORDINATING COMMITTEE

27 November, 2009



Report of: Assistant Chief Executive and Chief Financial Officer

Subject: QUARTER 2 – CAPITAL AND ACCOUNTABLE
BODY, PROGRAMME MONITORING REPORT
2009/2010

1. PURPOSE OF REPORT

- 1.1 To provide details to Members of the Scrutiny Co-ordinating Committee of the progress against the Council's overall Capital budget for 2009/2010 and the spending programmes where the Council acts as the Accountable Body for the period to 30th September, 2009.

2. BACKGROUND

- 2.1 In line with previous monitoring reports, the attached report (**Appendix 1**) provides detailed monitoring information for each Portfolio up to 30th September, 2009.
- 2.2 It should also be noted that the Cabinet considered this report at its meeting on 16th November, 2009, therefore any key issues arising from the meeting will be verbally provided during this meeting.

3. RECOMMENDATION

- 3.1 That Members consider the report and seek clarification on issues where felt appropriate.

CABINET REPORT

16th November, 2009

Report of: Chief Financial Officer

Subject: QUARTER 2 – CAPITAL AND ACCOUNTABLE
BODY PROGRAMME MONITORING REPORT
2009/2010

SUMMARY

1. PURPOSE OF REPORT

- 1.1 To provide details of progress against the Council's overall Capital budget for 2009/2010 and the spending programmes where the Council acts as the Accountable Body for the period to 30th September, 2009.
- 1.2 The report considers the following areas: -
- Capital Monitoring
 - Accountable Body Programme Monitoring

2. SUMMARY OF CONTENTS

- 2.1 The report provides detailed monitoring information for each Portfolio up to 30th September, 2009.

3. RELEVANCE TO CABINET

- 3.1 Cabinet has overall responsibility for the monitoring of the Council's budgets.

4. TYPE OF DECISION

- 4.1 None.

5. DECISION MAKING ROUTE

- 5.1 Cabinet 16th November, 2009.

6. DECISION(S) REQUIRED

- 6.1 Cabinet is asked to note the report.

Report of: Chief Financial Officer**Subject:** QUARTER 2 – CAPITAL AND ACCOUNTABLE
BODY PROGRAMME MONITORING REPORT
2009/2010**1. PURPOSE OF REPORT**

1.1 To inform Cabinet of progress against the Council's own 2009/2010 Capital budget and the spending programmes where the Council acts as the Accountable Body for the period to 30th September, 2009.

1.2 This report considers the following areas: -

- Capital Monitoring;
- Accountable Body Programme Monitoring.

2. BACKGROUND

2.1 In line with previous monitoring reports, this document is an integrated comprehensive document that is page numbered, thus allowing Members easier navigation around the report. (See contents table below). The report firstly provides a summary, followed by a section for each Portfolio where more detailed information is provided.

| Section | Heading | Page |
|----------------|---|------------|
| 3. | Capital Monitoring 2009/2010 | 3 |
| 4. | Accountable Body Programme | 3 |
| 5. | Adult & Public Health Services Portfolio | 4 |
| 6. | Children's Services Portfolio | 4 |
| 7. | Community Safety & Housing Portfolio | 6 |
| 8. | Culture, Leisure & Tourism Portfolio | 6 |
| 9. | Regeneration & Economic Development Portfolio | 6 |
| 10. | Transport and Neighbourhood Portfolio | 7 |
| 11. | Finance & Performance Management Portfolio | 8 |
| 12. | Recommendations | 8 |
| Appendix A | Capital Monitoring Summary | 9 |
| Appendix B | Accountable Body Monitoring Summary | 10 |
| Appendices C-J | Detailed Spend by Portfolio | 11-18 & 20 |
| Appendix I | Accountable Body Revenue Monitoring | 19 |

- 2.2 This report will be submitted to Scrutiny Co-ordinating Committee for review at the earliest opportunity.

3. CAPITAL MONITORING 2009/2010

- 3.1 Expenditure for all Portfolios is summarised at **Appendix A**. Actual expenditure to 30th September, 2009, totals £14,066,000, compared to the approved budget of £41,445,000 leaving £26,442,000 remaining expenditure expected to be spent in 2009/2010 with £728,000 being rephased into 2010/2011. This results in an overall favourable variance of £209,000.

- 3.2 **Appendix A** is supported by individual detailed statements by Portfolio, as set out below.

Appendix C - Adult & Public Health Services
Appendix D - Children's Service
Appendix E - Community Safety & Housing
Appendix F - Culture, Leisure & Tourism
Appendix G - Regeneration & Economic Development
Appendix H - Transport and Neighbourhood
Appendix J - Finance & Performance Management

- 3.3 The format of the appendices show details of anticipated and actual capital expenditure as at 30th September, 2009 and shows:

Column A - Scheme Title
Column B - Budget for Year
Column C - Actual expenditure to 30th September, 2009
Column D - Expected remaining expenditure to be incurred in the period October to March, 2010
Column E - Expenditure Rephased into 2010/2011
Column F - 2009/2010 Total Expenditure
Column G - Variance from Budget
Column H - Type of financing

- 3.4 Detailed analysis of all schemes on each appendix are on deposit in the Members' Library.

4. ACCOUNTABLE BODY PROGRAMME

- 4.1 The Council acts as Accountable Body for the Hartlepool New Deal for Communities (NDC). As part of its role as Accountable Body the Council needs to be satisfied that expenditure is properly incurred and is progressing as planned.

New Deal for Communities (NDC)

The programme is currently forecasting to fully spend the current years NDC allocation of £2,700,000. There is also another £912,000 of expenditure

forecast which is funded through other grants, giving a total budget of £3,612,000 for the current financial year.

Appendix B shows the latest budget allocations against this target and expenditure as at 30th September, 2009.

- 4.2 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end

5. ADULT AND PUBLIC HEALTH SERVICE PORTFOLIO

5.1 Capital Monitoring for Period Ending 30th September, 2009

- 5.1.1 **Appendix C** provides a summary of the Adult and Public Health Services Capital Programme.

- 5.1.2 Actual expenditure to date amounts to £997,000, compared to the approved budget of £2,467,000 with £1,470,000 remaining. While the actual expenditure to date is low it is anticipated that expenditure will be in line with budget at outturn.

- 5.1.3 As previously reported it had been planned to demolish the former Blakelock Day Centre and sell the vacant land. However, it has now been decided to sell both the land and building. It should be noted that although the demolition will not take place, costs have been incurred on this project through to tender stage. Officers are working to quantify the amount, which will be financed by the capital receipt.

- 5.1.4 There are no further items to bring to the Portfolio Holders attention.

6. CHILDREN'S SERVICES PORTFOLIO

6.1 Capital Monitoring for Period Ending 30th September, 2009

- 6.1.1 **Appendix D** provides a summary of the Children's Service's Capital Programme, which includes schemes funded from specific capital allocations and schemes from the revenue budget which are managed as capital projects owing to the nature of the expenditure and the accounting regulations.

- 6.1.2 Actual expenditure to date amounts to £2,438,000, compared to the approved budget of £14,023,000, with £11,434,000 of expenditure remaining. This is not unusual for this time of year and it is expected that expenditure will be in line with budget at outturn.

- 6.1.3 There are a number of schemes on the Appendix carried forward from previous years. The final accounts have now been received for some schemes and there is an under spend against the budget of £62,000 made up of the following: -

| Scheme | Under spend (£) |
|--|------------------------|
| Brierton – Install Boundary Fence | 5,000 |
| Brougham – Window Replacement | 2,000 |
| Catcote – Window Replacement | 8,000 |
| Fens – Window Replacement | 3,000 |
| Golden Flatts – Window Replacement Kitchen / Dining Hall | 3,000 |
| Lynnfield – Boiler Plant Replacement | 6,000 |
| Ward Jackson – Window Replacement | 3,000 |
| Schools Workforce Remodelling | 31,000 |
| Aggregate value of schemes less than £1,000 | 1,000 |
| Total | 62,000 |

The under spend will be moved to the unallocated budget to support future capital expenditure plans.

- 6.1.4 In addition, a comprehensive review of capital budgets brought forward from previous financial years has been undertaken. This has identified £89,000 relating to the following schemes which is not expected to be needed:

| Scheme | Budget not required (£) |
|---|--------------------------------|
| Brierton – Relocation to bottom site | 7,000 |
| Brierton – Conversion of top site to PRU | 7,000 |
| Carlton Outdoor Centre – Install Challenge Course & Climbing Wall | 5,000 |
| English Martyrs – Synthetic Turf Pitch | 8,000 |
| Grange – Replace Classrooms | 26,000 |
| Lynnfield – Community Learning Centre | 3,000 |
| Manor – New Science Lab | 7,000 |
| Manor – E-learning Centre | 14,000 |
| West View – Develop Football Facilities | 5,000 |
| Install Interactive Whiteboards at Various Schools | 2,000 |
| Improve Ventilation in ICT Suites at Various Schools | 5,000 |
| Total | 89,000 |

It is proposed that this budget is moved to the unallocated budget to support future capital expenditure. In the unlikely event that additional payments are required, this can be funded from the contingency fund or from future years capital funding.

7. COMMUNITY SAFETY AND HOUSING PORTFOLIO

7.1 Capital Monitoring for Period Ending 30th September, 2009

7.1.1 **Appendix E** provides a summary of the Community Safety and Housing's Capital Programme.

7.1.2 Actual expenditure to date amounts to £3,039,000, compared to the approved budget of £7,197,000, with £4,158,000 remaining. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget at outturn.

7.1.3 There are no items to bring to the Portfolio Holders outturn.

8. CULTURE, LEISURE AND TOURISM PORTFOLIO

8.1 Capital Monitoring for Period Ending 30th September, 2009

8.1.1 **Appendix F** provides a summary of the Culture, Leisure and Tourism's Capital Programme.

8.1.2 Actual expenditure to date amounts to £170,000, compared to the approved budget of £2,361,000, with £2,187,000 remaining. While the actual expenditure is low it is anticipated that expenditure will be in line with budget at outturn.

8.1.3 The scheme to upgrade the shutters on the Ward Jackson Park Bandstand has been rephased pending identification of further funding sources. As a result £4,000 has been rephased to 2010/2011.

8.1.4 There are no items to bring to the Portfolio Holders outturn.

9. REGENERATION AND ECONOMIC DEVELOPMENT PORTFOLIO

9.1 Capital Monitoring for Period Ending 30th September, 2009

9.1.1 **Appendix G** provides a summary of the Regeneration and Economic Development's Capital Programme.

9.1.2 Actual expenditure to date amounts to £366,000, compared to the approved budget of £1,085,000, with £719,000 remaining. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget at outturn.

9.1.3 There are no items to bring to the Portfolio Holders outturn.

10. TRANSPORT AND NEIGHBOURHOOD PORTFOLIO

10.1 Capital Monitoring for Period Ending 30th September, 2009

10.1.1 **Appendix H** provides a summary of the Transport and Neighbourhood's Capital Programme.

10.1.2 Actual expenditure to date amounts to £4,147,000, compared to the approved budget of £8,100,000, with £3,171,000 remaining and £724,000 of expenditure rephased into 2010/2011.

10.1.3 There are a number of schemes where expenditure has been rephased into 2010/2011: -

- The Hartlepool Transport Interchange contractor is on site but work needs to be aligned with Network Rail and it is not expected to be completed until 2010/2011.
- Monitoring of the Anhydrite Mine is due in 2015 and therefore budget is to be carried forward. Additional monies are to come from English Partnership. The Contract has not yet been awarded.
- Highways Improvements are being carried out at the Bum Valley Roundabout. The anticipated completion date is August, 2010. Therefore some budget has been rephased into 2010/2011.
- The Strategy Study at Town Wall has also just commenced is expected to be completed in December, 2010.
- The carriageway reinforcing at Catcote Road is likely to be delayed until 2010/2011 as additional Local Transport Plan (LTP) grant has yet to be approved. Traffic signals need to be installed first delaying the resurfacing works.

10.1.4 There are a number of variances on individual carriage resurfacing and reconstruction schemes, which are all grant funded as part of the Local Transport Plan (LTP). These arise from differences between the actual cost of the work compared to the budget estimates and are within budget overall.

10.1.5 There is a forecast favourable of variance of £58,000 in relation to the Public Conveniences scheme as a result of the total cost of the work been lower than to total budget over all years. Proposals for using this underspend will be considered by SCRAPT and a recommendation made to Cabinet.

11. FINANCE AND PERFORMANCE MANAGEMENT PORTFOLIO

11.1 Accountable Body Revenue Monitoring for Period Ending 30th September, 2009

11.1.1 The Council acts as Accountable Body for New Deal for Communities. Details of progress against the approved revenue budgets are summarised at **Appendix I**.

11.1.2 Actual expenditure to 30th September, 2009 amounts to £1,059,000, resulting in a current favourable variance of £85,000. However, full year expenditure is expected to be on budget.

11.2 Capital Monitoring for Period Ending 30th September, 2009

11.2.1 **Appendix J, Table 1 Resources** – Actual expenditure to date amounts to £2,909,000, compared to the approved budget of £6,212,000, leaving £3,303,000 expenditure remaining. This is not unusual for this time of year.

11.2.2 **Appendix J, Table 2 New Deal for Communities** – Actual expenditure to date is £233,000 against an approved budget of £1,401,000, leaving £1,168,000 of expenditure remaining. This is not unusual for this time of year.

11.2.3 There are no items to bring to Portfolio Holders attention and expenditure is expected to be on target at year-end.

12. RECOMMENDATIONS

12.1 It is recommended that Cabinet notes the contents of the report.

CAPITAL MONITORING REPORT TO 30th SEPTEMBER 2009

| Line No | Portfolio | 2009/10 Budget | 2009/10 Actual to 30/09/2009 | 2009/10 Expenditure Remaining | 2009/10 Expenditure Rephased to 2010/2011 | 2009/10 Expenditure | 2009/10 Variance from budget Adverse/ (Favourable) |
|---------|-------------------------------------|----------------|------------------------------|-------------------------------|---|---------------------|--|
| Col. A | Col. B | Col. C | Col. D | Col. E | Col. F | Col. G (G=D+E+F) | Col. H (H=G-C) |
| | | £'000 | £'000 | £'000 | £'000 | £'000 | £'000 |
| 1 | Adult & Public Health Services | 2,467 | 997 | 1,470 | 0 | 2,467 | 0 |
| 2 | Children's Services | 14,023 | 2,438 | 11,434 | 0 | 13,872 | (151) |
| 3 | Community Safety & Housing | 7,197 | 3,039 | 4,158 | 0 | 7,197 | 0 |
| 4 | Culture, Leisure & Tourism | 2,361 | 170 | 2,187 | 4 | 2,361 | 0 |
| 5 | Finance & Performance Management | 6,212 | 2,909 | 3,303 | 0 | 6,212 | 0 |
| 6 | Regeneration & Economic Development | 1,085 | 366 | 719 | 0 | 1,085 | 0 |
| 7 | Transport & Neighbourhood | 8,100 | 4,147 | 3,171 | 724 | 8,042 | (58) |
| 8 | Total Capital Expenditure | 41,445 | 14,066 | 26,442 | 728 | 41,236 | (209) |

ACCOUNTABLE BODY PROGRAMMES - REPORT TO 30TH SEPTEMBER 2009

| Line No | 2009/10 Latest Budget | Accountable Body Programme | Actual Position 30/09/09 | | | Projected Outturn Variance |
|---------|-----------------------|--|---------------------------------------|-------------------------------------|--|----------------------------|
| | | | 2009/10 Expected Expenditure/(Income) | 2009/10 Actual Expenditure/(Income) | Variance: Adverse/ (Favourable) Col. F = (F=E-D) | |
| Col. A | Col. B | Col. C | Col. D | Col. E | Col. F = (F=E-D) | |
| | £'000 | | £'000 | £'000 | £'000 | £'000 |
| | | <u>TABLE 1 - New Deal for Communities</u> | | | | |
| 1 | 2,211 | Revenue Projects | 1,144 | 1,059 | (85) | 0 |
| 2 | 1,401 | Capital Projects | 233 | 233 | 0 | 0 |
| 3 | 3,612 | Total NDC | 1,377 | 1,292 | (85) | 0 |

CAPITAL MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2009

| Project Code | A Scheme Title | EXPENDITURE IN CURRENT YEAR | | | | | | |
|--------------|---|-----------------------------------|---|---|---|--|---|---------------------------|
| | | B 2009/2010 Budget £'000 | C 2009/2010 Actual as at 30/09/09 £'000 | D 2009/2010 Expenditure Remaining £'000 | E Expenditure Rephased into 2010/11 £'000 | F C+D+E 2009/2010 Total Expenditure £'000 | G F-B 2009/2010 Variance from budget £'000 | H Type of financing |
| 7441 | Adult Education - Neighbourhood Learning in Deprived Communities Fund | 4 | 1 | 3 | 0 | 4 | 0 | GRANT |
| 7531 | Adult Education - Office Accommodation | 14 | 8 | 6 | 0 | 14 | 0 | GRANT |
| 7622 | Adult Education- Capital Equipment Replacement | 37 | 0 | 37 | 0 | 37 | 0 | GRANT |
| 7983 | Blakelock Day Centre Demolition | 115 | 13 | 102 | 0 | 115 | 0 | MIX |
| 7229 | Cemetery Flooding Works | 13 | 0 | 13 | 0 | 13 | 0 | UDPB |
| 7234 | Chronically Sick & Disabled Adaptations | 87 | 26 | 61 | 0 | 87 | 0 | GRANT |
| 7481 | Improving Information Management (IIM) - IT Infrastructure | 34 | 10 | 24 | 0 | 34 | 0 | GRANT |
| 7351 | Improving Information Management (IIM) - IT Systems | 4 | 3 | 1 | 0 | 4 | 0 | GRANT |
| 7616 | Learning Disability - Extra Care Housing | 7 | 7 | 0 | 0 | 7 | 0 | GRANT |
| 7578 | Lynn Street ATC Demolition | 11 | 0 | 11 | 0 | 11 | 0 | RCCO |
| 7389 | Mental Health Projects | 403 | 0 | 403 | 0 | 403 | 0 | SCE(R) |
| 7028 | Orwell Walk - Supported Accommodation for Older People | 1,497 | 748 | 749 | 0 | 1,497 | 0 | GRANT |
| 7723 | Resettlement Capital Works - Capital Grant | 150 | 150 | 0 | 0 | 150 | 0 | GRANT |
| 7869 | Rift House Nursery (NDNA Accommodation) - Purchase & Develop Building | 31 | 31 | 0 | 0 | 31 | 0 | MIX |
| 8100 | North Cemetery - Structural Repairs to Wall | 60 | 0 | 60 | 0 | 60 | 0 | UDPB |
| | | 2,467 | 997 | 1,470 | 0 | 2,467 | 0 | |

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2009

| Project Code | A Scheme Title | EXPENDITURE IN CURRENT YEAR | | | | | | H Type of financing |
|--------------|--|--------------------------------|---|---|---|---|--|------------------------|
| | | B 2009/2010 Budget £'000 | C 2009/2010 Actual as at 30/09/09 £'000 | D 2009/2010 Expenditure Remaining £'000 | E Expenditure Rephased into 2010/11 £'000 | F C+D+E 2009/2010 Total Expenditure £'000 | G F-B 2009/2010 Variance from budget £'000 | |
| n/a | Aggregate Value of Schemes less than 1k (e.g. retention) | 3 | 0 | 2 | 0 | 2 | (1) | MIX |
| 8075 | Aiming Higher for Disabled Children | 54 | 0 | 54 | 0 | 54 | 0 | GRANT |
| 7109 | Brierton - Alterations re Dyke House Decant | 440 | 26 | 414 | 0 | 440 | 0 | MIX |
| 7277 | Brierton - Convert top site to Access 2 Learning School | 7 | 0 | 0 | 0 | 0 | (7) | MIX |
| 7276 | Brierton - Install/Move Boundary Fence | 5 | 0 | 0 | 0 | 0 | (5) | MIX |
| 7275 | Brierton - Relocation to Single Site | 7 | 0 | 0 | 0 | 0 | (7) | MIX |
| 8070 | Brinkburn Pool - Access, Facilities & Hoist | 90 | 0 | 90 | 0 | 90 | 0 | RCCO |
| 8053 | Brougham - Replace Boiler, Windows and Roof | 115 | 0 | 115 | 0 | 115 | 0 | GRANT |
| 7933 | Brougham - Window Replacement | 2 | 0 | 0 | 0 | 0 | (2) | MIX |
| 7597 | Bush Babies - Outside Play Area | 23 | 23 | 0 | 0 | 23 | 0 | MIX |
| 8001 | Capital Grants to External Nurseries (Early Years) | 83 | 51 | 32 | 0 | 83 | 0 | GRANT |
| 7641 | Carlton Outdoor Centre - Purchase & Install Challenge Course and Climbing Wall | 5 | 0 | 0 | 0 | 0 | (5) | MIX |
| 7032 | Carlton Outdoor Centre - Purchase of Minibus | 22 | 0 | 22 | 0 | 22 | 0 | MIX |
| 7863 | Carlton Outdoor Centre - Redevelopment Phase 2 | 90 | 0 | 90 | 0 | 90 | 0 | MIX |
| 7929 | Catcote - Window Replacement | 9 | 0 | 1 | 0 | 1 | (8) | MIX |
| 7901 | CELTICS Project - Contact Point Enablement System (CELTICS) | 32 | 0 | 32 | 0 | 32 | 0 | GRANT |
| 7426 | Children & Families - Purchase & Install new Integrated Children's Computerised System | 175 | 0 | 175 | 0 | 175 | 0 | GRANT |
| 7979 | Children's Centres - Maintenance | 39 | 12 | 27 | 0 | 39 | 0 | GRANT |
| 7586 | City Learning Centre Equipment Purchase | 150 | 107 | 43 | 0 | 150 | 0 | GRANT |
| 8055 | Clavering - Window Replacement | 40 | 34 | 6 | 0 | 40 | 0 | GRANT |
| 7858 | Computers for Pupils | 251 | 85 | 166 | 0 | 251 | 0 | GRANT |
| 7575 | Dyke House - ICT Equipment Purchase | 72 | 0 | 72 | 0 | 72 | 0 | RCCO |
| 8097 | Early Years - General Sure Start Grant (Unallocated) | 98 | 0 | 98 | 0 | 98 | 0 | GRANT |
| 7108 | Education Development Centre - Alterations for A2L Relocation | 250 | 75 | 175 | 0 | 250 | 0 | MIX |
| 8089 | Education Development Centre - Roof Replacement with Enhancements | 70 | 0 | 70 | 0 | 70 | 0 | UCPB |
| 8055 | Education Development Centre - Window Replacement | 30 | 0 | 30 | 0 | 30 | 0 | UCPB |
| 7628 | Eldon Grove - Major Internal Works | 2 | 2 | 0 | 0 | 2 | 0 | RCCO |
| 8065 | Emergency Response - Contingency | 96 | 0 | 96 | 0 | 96 | 0 | MIX |
| 7288 | English Martyrs - New Sports Pitch | 8 | 0 | 0 | 0 | 0 | (8) | MIX |
| 8057 | Fens - Heating and Roof Replacement | 22 | 11 | 11 | 0 | 22 | 0 | GRANT |
| 8058 | Fens - Kitchen Roof Replacement | 45 | 7 | 38 | 0 | 45 | 0 | GRANT |
| 7740 | Fens - New Office Extension | 20 | 0 | 20 | 0 | 20 | 0 | RCCO |
| 8092 | Fens - Outdoor Educational Area for Foundation Unit | 90 | 0 | 90 | 0 | 90 | 0 | GRANT |
| 7935 | Fens - Window Replacement | 4 | 1 | 0 | 0 | 1 | (3) | MIX |
| 9004 | Funding (Modernisation, Access, RCCO) Currently Unallocated | 351 | 0 | 351 | 0 | 351 | 0 | MIX |
| 8066 | Gas Interlock Replacements - Various Schools | 30 | 0 | 30 | 0 | 30 | 0 | SCE (R) |
| 8071 | Golden Flatts - Caretaker's Boiler Emergency Replacement | 4 | 2 | 2 | 0 | 4 | 0 | SCE® |
| 8093 | Golden Flatts - Establish Nurture Area | 6 | 0 | 6 | 0 | 6 | 0 | GRANT |
| 8058 | Golden Flatts - Kitchen Roof Replacement | 45 | 37 | 8 | 0 | 45 | 0 | MIX |
| 8082 | Golden Flatts - Resource Learning Centre | 30 | 7 | 23 | 0 | 30 | 0 | GRANT |
| 7923 | Golden Flatts - Window Replacement Kitchen / Dining Hall | 3 | 0 | 0 | 0 | 0 | (3) | MIX |
| 7295 | Grange - Replace Classrooms (03/04) | 26 | 0 | 0 | 0 | 0 | (26) | GRANT |
| 8051 | Greatham - Roof Work Over Nursery and Hall | 27 | 20 | 7 | 0 | 27 | 0 | GRANT |
| 7027 | Harnessing Technology Grant | 679 | 135 | 544 | 0 | 679 | 0 | GRANT |
| 8059 | Hart - Create Multi-Purpose Studio | 120 | 0 | 120 | 0 | 120 | 0 | GRANT |
| 8068 | Hart - Replace Fire Alarm System | 20 | 0 | 20 | 0 | 20 | 0 | GRANT |
| 7500 | High Tunstall - Refurbish Classrooms / Equipment Purchase | 3 | 0 | 3 | 0 | 3 | 0 | GRANT |
| 7814 | ICT / Mobile Technology for Children's Social Workers | 9 | 6 | 3 | 0 | 9 | 0 | GRANT |
| 7779 | Improve Ventilation in ICT Suites (Owton Manor, Rossmere, West View) | 5 | 0 | 0 | 0 | 0 | (5) | RCCO |
| 7977 | Information System for Parents & Providers Project | 2 | 0 | 2 | 0 | 2 | 0 | GRANT |
| 8072 | Integrated Children's System Case Management Improvement | 28 | 1 | 27 | 0 | 28 | 0 | GRANT |
| 7447 | Interactive Whiteboards Purchase - Various Schools | 2 | 0 | 0 | 0 | 0 | (2) | GRANT |
| 7533 | Jesmond Rd - Relocate Nursery to create Foundation Unit, includes installation of ramps & internal works | 6 | 0 | 6 | 0 | 6 | 0 | MIX |
| 7088 | Jesmond Road - New Build Primary Capital Plus | 3,600 | 289 | 3,311 | 0 | 3,600 | 0 | MIX |
| 7976 | Kingsley - Convert Storage Areas into Classrooms (inc possible extension) | 7 | 0 | 7 | 0 | 7 | 0 | MIX |
| 8050 | Kingsley - Toilet and Window Replacement | 113 | 90 | 23 | 0 | 113 | 0 | GRANT |
| 7938 | Kingsley - Window Replacement North Elevation | 1 | 0 | 1 | 0 | 1 | 0 | MIX |
| 7762 | Lynnfield - Boiler Plant Replacement | 6 | 0 | 0 | 0 | 0 | (6) | GRANT |
| 7057 | Lynnfield - Build Community Facility | 3 | 0 | 0 | 0 | 0 | (3) | GRANT |
| 8025 | Lynnfield - Install Partitions | 24 | 0 | 24 | 0 | 24 | 0 | GRANT |
| 7314 | Manor - Build E-Learning Centre | 14 | 0 | 0 | 0 | 0 | (14) | MIX |
| 7312 | Manor - Build New Science Lab | 7 | 0 | 0 | 0 | 0 | (7) | MIX |
| 7912 | Manor - Replace External Doors - Improve Security | 3 | 0 | 3 | 0 | 3 | 0 | MIX |
| 7110 | Play Builder Grant | 531 | 0 | 531 | 0 | 531 | 0 | GRANT |
| 7654 | Rift House - Relocation of Nursery & Refurbish Existing Nursery to create a Children's Centre | 6 | 0 | 6 | 0 | 6 | 0 | GRANT |
| 7928 | Rift House - Resurface Car Park / Pedestrian Access | 2 | 2 | 0 | 0 | 2 | 0 | MIX |
| 8060 | Rift House, Annex 2 - Installation of Heating System | 30 | 0 | 30 | 0 | 30 | 0 | GRANT |
| 7088 | Rossmere - Primary Capital Plus Refit | 1,400 | 58 | 1,342 | 0 | 1,400 | 0 | MIX |
| 7853 | Rossmere Youth Centre - Boiler Replacement | 55 | 0 | 55 | 0 | 55 | 0 | UCPB |
| 7421 | School Travel Plans - Develop Cycle Storage at Schools | 109 | 0 | 109 | 0 | 109 | 0 | GRANT |
| 7384 | Schools Devolved Capital - Various Miscellaneous Individual Projects | 1,426 | 290 | 1,136 | 0 | 1,426 | 0 | GRANT |

CAPITAL MONITORING REPORT PERIOD ENDING 30th September 2009

| Project Code | A Scheme Title | EXPENDITURE IN CURRENT YEAR | | | | | | H Type of financing |
|--------------|--|--------------------------------|---|---|---|---|--|------------------------|
| | | B 2009/2010 Budget £'000 | C 2009/2010 Actual as at 30/09/09 £'000 | D 2009/2010 Expenditure Remaining £'000 | E Expenditure Rephased into 2010/11 £'000 | F C+D+E 2009/2010 Total Expenditure £'000 | G F-B 2009/2010 Variance from budget £'000 | |
| 7428 | Schools Workforce Remodelling - Miscellaneous Projects to better utilise space | 31 | 0 | 0 | 0 | 0 | (31) | GRANT |
| 7917 | Springwell - Install Mobile Classroom | 6 | 4 | 2 | 0 | 6 | 0 | MIX |
| 8069 | Springwell - Replace Pool | 60 | 0 | 60 | 0 | 60 | 0 | MIX |
| 8051 | Springwell - Replace Roof | 54 | 43 | 11 | 0 | 54 | 0 | MIX |
| 8051 | St Helens - Replace Roof | 112 | 95 | 17 | 0 | 112 | 0 | GRANT |
| 7997 | St Hilda - Build Classroom of the Future | 960 | 70 | 890 | 0 | 960 | 0 | MIX |
| 7597 | St John Vianney Starfish Daycare Outside Play Area | 31 | 27 | 4 | 0 | 31 | 0 | MIX |
| 7978 | Stranton - Extension to Centre | 211 | 208 | 3 | 0 | 211 | 0 | MIX |
| 7888 | Stranton - Purchase & Install CCTV | 2 | 0 | 2 | 0 | 2 | 0 | RCCO |
| 7763 | Stranton - Replace Windows (07/08) | 4 | 0 | 4 | 0 | 4 | 0 | GRANT |
| 7953 | Supply and Install Mobile Classrooms | 10 | 8 | 2 | 0 | 10 | 0 | MIX |
| 8023 | Sure Start Central - Café Extension to Community Facilities | 62 | 43 | 19 | 0 | 62 | 0 | GRANT |
| 8007 | Sure Start Central - Garage Conversion / Equipment | 33 | 33 | 0 | 0 | 33 | 0 | MIX |
| 7388 | Sure Start Central - Improvement Works at Lowthian Road | 2 | 0 | 2 | 0 | 2 | 0 | MIX |
| 8023 | Sure Start North - Café Extension to Community Facilities | 85 | 1 | 84 | 0 | 85 | 0 | GRANT |
| 7981 | Throston - Extension to school to build Children's Centre | 236 | 205 | 31 | 0 | 236 | 0 | GRANT |
| 8052 | Throston - Rewire School 0910 Phase | 5 | 0 | 5 | 0 | 5 | 0 | MIX |
| 8055 | Throston - Window Replacement | 68 | 53 | 15 | 0 | 68 | 0 | MIX |
| 8098 | Unallocated Extended Schools Grant | 186 | | 186 | 0 | 186 | 0 | GRANT |
| 8067 | Ward Jackson - Creation of Quiet Room | 13 | 0 | 13 | 0 | 13 | 0 | MIX |
| 8061 | Ward Jackson - Replacement of Roof and Windows | 69 | 53 | 16 | 0 | 69 | 0 | MIX |
| 7918 | Ward Jackson - Window Replacement | 3 | 0 | 0 | 0 | 0 | (3) | MIX |
| 8062 | West Park - Replace Windows and Heating | 25 | 17 | 8 | 0 | 25 | 0 | MIX |
| 7340 | West View - Develop Football Facilities | 5 | 0 | 0 | 0 | 0 | (5) | GRANT |
| 7598 | West View - Improve / Refurbish Nursery & Reception | 11 | 0 | 11 | 0 | 11 | 0 | GRANT |
| 8063 | West View - Replace Windows & Ground Resurfacing | 58 | 49 | 9 | 0 | 58 | 0 | GRANT |
| 7463 | Youth Capital Fund - Spend to be determined by Young People | 65 | 46 | 19 | 0 | 65 | 0 | GRANT |
| 7089 | Youth Capital Fund Plus | 434 | 112 | 322 | 0 | 434 | 0 | GRANT |
| | | 14,023 | 2,438 | 11,434 | 0 | 13,872 | (151) | |

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2009

| Project Code | A Scheme Title | EXPENDITURE IN CURRENT YEAR | | | | | | |
|--------------|---|------------------------------|--|--|--|---|--|----------------------|
| | | B | C | D | E | F | G | H |
| | | 2009/2010 Budget £'000 | 2009/2010 Actual as at 30/09/09 £'000 | 2009/2010 Expenditure Remaining £'000 | Expenditure Rephased into 2010/11 £'000 | C+D+E 2009/2010 Total Expenditure £'000 | F-B 2008/2009 Variance from budget £'000 | Type of financing |
| 7083 | Hartlepool Business Security Fund | 20 | 10 | 10 | 0 | 20 | 0 | UCPB |
| 7368 | Building Safer Communities | 45 | 0 | 45 | 0 | 45 | 0 | GRANT |
| 7431 | Community Safety Strategy | 124 | 0 | 124 | 0 | 124 | 0 | MIX |
| 8083 | Drug Action Team - CCTV | 10 | 10 | 0 | 0 | 10 | 0 | UCPB |
| 8101 | Intergrated Offender Unit - Waterproofing and Refurbishment | 25 | 0 | 25 | 0 | 25 | 0 | UCPB |
| 7107 | "Growth Point" Government Grant Funded Housing Initiatives | 443 | 159 | 284 | 0 | 443 | 0 | GRANT |
| 7118 | 8 Richardson Street / 34 Rodney Street | 78 | 0 | 78 | 0 | 78 | 0 | GRANT |
| 7218 | Mandatory Disabled Facilities Grant | 554 | 361 | 193 | 0 | 554 | 0 | GRANT |
| 7219 | Home Plus Grants (provided by Endeavour HA) | 105 | 69 | 36 | 0 | 105 | 0 | GRANT |
| 7220 | Private Sector Housing Grants | 358 | 187 | 171 | 0 | 358 | 0 | GRANT |
| 7230 | Housing Market Renewal (house purchases) | 5,327 | 2,163 | 3,164 | 0 | 5,327 | 0 | GRANT |
| 7231 | Housing Thermal Efficiency | 99 | 80 | 19 | 0 | 99 | 0 | GRANT |
| 7404 | HRA Residual Expenditure | 9 | 0 | 9 | 0 | 9 | 0 | RCCO |
| | | 7,197 | 3,039 | 4,158 | 0 | 7,197 | 0 | |

Key

RCCO

Revenue Contribution towards Capital

MIX

Combination of Funding Types

UCPB

Unsupported Corporate Prudential Borrowing

SCE ®

Supported Capital Expenditure (Revenue)

GRANT

Grant Funded

CAP REC

Capital Receipt

UDPB

Unsupported Departmental Prudential Borrowing

SPB

Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2009

| Project Code | A Scheme Title | EXPENDITURE IN CURRENT YEAR | | | | | | |
|--------------|---|-----------------------------------|---|---|---|--|---|---------------------------|
| | | B 2009/2010 Budget £'000 | C 2009/2010 Actual as at 30/09/09 £'000 | D 2009/2010 Expenditure Remaining £'000 | E Expenditure Rephased into 2010/11 £'000 | F C+D+E 2009/2010 Total Expenditure £'000 | G F-B 2009/2010 Variance from budget £'000 | H Type of financing |
| 7040 | Burn Valley Allotments Security Gate | 1 | 1 | 0 | 0 | 1 | 0 | MIX |
| 7651 | Burn Valley Park Beck - Reduce Flood Risk/Landscaping | 114 | 0 | 114 | 0 | 114 | 0 | MIX |
| 7377 | Central Library - Lighting Improvements | 4 | 0 | 4 | 0 | 4 | 0 | RCCO |
| 7046 | Central Library - Revolving Door | 78 | 0 | 78 | 0 | 78 | 0 | UCPB |
| 8074 | Central Library Community Room Lighting | 7 | 6 | 1 | 0 | 7 | 0 | UCPB |
| 8073 | Central library, 1st Floor Lights and Fire Alarm Adaptation | 29 | 26 | 3 | 0 | 29 | 0 | UCPB |
| 8095 | Central Library New Signage | 7 | 0 | 7 | 0 | 7 | 0 | UCPB |
| 8094 | Central Library - Toilets DDA Compliance | 1 | 0 | 1 | 0 | 1 | 0 | UCPB |
| 7893 | Clavering Play Area - Tarmac Surface | 21 | 0 | 21 | 0 | 21 | 0 | RCCO |
| 7375 | Countryside - Purchase Replacement Vehicle | 14 | 0 | 14 | 0 | 14 | 0 | MIX |
| 7864 | Foreshore - Replacement Playground & Lifeguard Vehicles | 23 | 11 | 12 | 0 | 23 | 0 | RCCO |
| 7992 | Grayfields Sports Junior Pitches | 147 | 0 | 147 | 0 | 147 | 0 | MIX |
| 7213 | Grayfields Sports Pavillion / Football Strategy | 8 | 8 | 0 | 0 | 8 | 0 | MIX |
| 7382 | Greatham Play Area Equipment | 9 | 0 | 9 | 0 | 9 | 0 | MIX |
| 8020 | Hartlepool Maritime Experience - Lifts | 28 | 27 | 1 | 0 | 28 | 0 | UCPB |
| 8086 | Hartlepool Maritime Experience - Adult Changing Facility | 20 | 0 | 20 | 0 | 20 | 0 | UCPB |
| 7995 | Induction Loops for Hard of Hearing | 28 | 0 | 28 | 0 | 28 | 0 | UCPB |
| 7831 | Jutland Road Community Centre - Internal Alterations | 2 | 0 | 2 | 0 | 2 | 0 | MIX |
| 7414 | Jutland Road Play Area - Upgrade of Facilities | 65 | 0 | 65 | 0 | 65 | 0 | MIX |
| 7996 | Maritime Entranceway - Disabled Access | 1 | 1 | 0 | 0 | 1 | 0 | UCPB |
| 8021 | Museum of Hartlepool - Signage | 2 | 2 | 0 | 0 | 2 | 0 | UCPB |
| 8008 | Mill House Leisure Centre - Free Swim Initiative, Phase I | 7 | 2 | 5 | 0 | 7 | 0 | MIX |
| 7047 | Mill House Leisure Centre - Changing Village | 694 | 1 | 693 | 0 | 694 | 0 | GRANT |
| 8019 | Mill House Leisure Centre Internal Doors - Disabled Access | 8 | 8 | 0 | 0 | 8 | 0 | UCPB |
| 8084 | Mill House Leisure Centre - Combined Heat & Power Unit | 95 | 0 | 95 | 0 | 95 | 0 | UCPB |
| 7865 | Museum of Hartlepool - new display areas | 1 | 1 | 0 | 0 | 1 | 0 | MIX |
| 7887 | Nicholson Fields Allotments - Security Improvements | 22 | 0 | 22 | 0 | 22 | 0 | RCCO |
| 7853 | Owton Manor Community Centre Boiler Replacement | 35 | 0 | 35 | 0 | 35 | 0 | UCPB |
| 8090 | Owton Manor Library Roof Replacement | 25 | 0 | 25 | 0 | 25 | 0 | UCPB |
| 7845 | Rossmere - Pitch Improvements | 5 | 0 | 5 | 0 | 5 | 0 | GRANT |
| tba | Rossmere Multi Use Games Area & Skate Park | 466 | 0 | 466 | 0 | 466 | 0 | MIX |
| 8051 | Seaton Carew Community Centre Roof Replacement | 70 | 0 | 70 | 0 | 70 | 0 | UCPB |
| tba | Skateboard Park - to be allocated | 70 | 0 | 70 | 0 | 70 | 0 | RCCO |
| 7991 | St Patricks Multi Use Games Area | 1 | 1 | 0 | 0 | 1 | 0 | RCCO |
| 7844 | Town Moor - Develop Multi Use Games Area | 63 | 0 | 63 | 0 | 63 | 0 | MIX |
| 7990 | Ward Jackson Park Bandstand Shutters | 4 | 0 | 0 | 4 | 4 | 0 | MIX |
| 7590 | Ward Jackson Park Car Park - Improvement Works | 68 | 62 | 6 | 0 | 68 | 0 | MIX |
| 7081 | Waverly Terrace Community Allotment Fencing | 13 | 13 | 0 | 0 | 13 | 0 | MIX |
| 8087 | Wingfield Castle - Vehicle Deck Replacement | 105 | 0 | 105 | 0 | 105 | 0 | UCPB |
| | | | 0 | | | | | |
| | | 2,361 | 170 | 2,187 | 4 | 2,361 | 0 | |

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2009

| Project Code | A Scheme Title | EXPENDITURE IN CURRENT YEAR | | | | | | |
|--------------|---|-----------------------------------|---|---|---|--|---|---------------------------|
| | | B 2009/2010 Budget £'000 | C 2009/2010 Actual as at 30/09/09 £'000 | D 2009/2010 Expenditure Remaining £'000 | E Expenditure Rephased into 2010/11 £'000 | F C+D+E 2009/2010 Total Expenditure £'000 | G F-B 2009/2010 Variance from budget £'000 | H Type of financing |
| 7015 | Targeted Private Housing Improvements | 24 | 6 | 18 | 0 | 24 | 0 | CAP REC |
| 7045 | Townscape Heritage Initiative Key Buildings Headland | 60 | 55 | 5 | 0 | 60 | 0 | GRANT |
| 7866 | Friarage Manor House | 18 | 0 | 18 | 0 | 18 | 0 | CAP REC |
| 7895 | Economic Development - Industrial & Commercial - Grants to Businesses | 275 | 93 | 182 | 0 | 275 | 0 | UCPB |
| 7896 | Brougham Enterprise Centre Toilet & Shower Facilities | 40 | 0 | 40 | 0 | 40 | 0 | UCPB |
| 7897 | Regeneration Match Funding | 227 | 7 | 220 | 0 | 227 | 0 | UCPB |
| 8054 | Victoria Buildings Townscape Heritage Initiative | 200 | 80 | 120 | 0 | 200 | 0 | MIX |
| 8076 | Wharton Terrace Improvements | 151 | 125 | 26 | 0 | 151 | 0 | MIX |
| 8099 | Hartlepool Enterprise Centre - New Windows Installation | 90 | 0 | 90 | 0 | 90 | 0 | UCPB |
| | | | | | | | | |
| | | 1,085 | 366 | 719 | 0 | 1,085 | 0 | |

Key

RCCO

Revenue Contribution towards Capital

MIX

Combination of Funding Types

UCPB

Unsupported Corporate Prudential Borrowing

SCE ®

Supported Capital Expenditure (Revenue)

GRANT

Grant Funded

CAP REC

Capital Receipt

UDPB

Unsupported Departmental Prudential Borrowing

SPB

Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2009

| Project Code | A Scheme Title | EXPENDITURE IN CURRENT YEAR | | | | | | | |
|--------------|--|-----------------------------|--|--|--|---|--|-------------------|--|
| | | B | C | D | E | F | G | H | |
| | | 2009/2010 Budget £'000 | 2009/2010 Actual as at 30/09/09 £'000 | 2009/2010 Expenditure Remaining £'000 | Expenditure Rephased into 2010/11 £'000 | C+D+E 2009/2010 Total Expenditure £'000 | F-B 2009/2010 Variance from budget £'000 | Type of financing | |
| 7044 | Zebra Crossings | 3 | 0 | 3 | 0 | 3 | 0 | MIX | |
| 7084 | Camera Partnership | 41 | 0 | 41 | 0 | 41 | 0 | GRANT | |
| 7206 | CSS Social Lighting Programme | 7 | 0 | 7 | 0 | 7 | 0 | UCPB | |
| 7207 | LTP-Community Safety-Car Park Security/CCTV | 98 | 0 | 98 | 0 | 98 | 0 | SPB | |
| 7235 | Low Floor Infrastructure | 36 | 1 | 35 | 0 | 36 | 0 | SPB | |
| 7236 | Bus Shelter Improvements | 49 | 10 | 39 | 0 | 49 | 0 | SPB | |
| 7237 | Cycle Routes General | 152 | 2 | 150 | 0 | 152 | 0 | MIX | |
| 7240 | Hartlepool Transport Interchange | 1,546 | 1,396 | 0 | 150 | 1,546 | 0 | SPB | |
| 7241 | Dropped Crossings | 30 | 23 | 7 | 0 | 30 | 0 | SPB | |
| 7242 | Other Street Lighting | 70 | 0 | 70 | 0 | 70 | 0 | MIX | |
| 7244 | Travel Plans - promotional materials | 19 | 0 | 19 | 0 | 19 | 0 | SPB | |
| 7247 | Bus Quality Corridor - Bus-stop Flagpoles | 6 | 6 | 0 | 0 | 6 | 0 | SPB | |
| 7250 | Sustainable Travel Awareness | 14 | 4 | 10 | 0 | 14 | 0 | GRANT | |
| 7251 | Public Transport CCTV | 20 | 0 | 20 | 0 | 20 | 0 | GRANT | |
| 7252 | Safer Streets Initiative | 20 | 0 | 20 | 0 | 20 | 0 | GRANT | |
| 7424 | Pride in Hartlepool | 15 | 8 | 7 | 0 | 15 | 0 | UCPB | |
| 7487 | Local Transportation Plan-Monitoring | 5 | 0 | 5 | 0 | 5 | 0 | SPB | |
| 7499 | Lithgo Close - Contaminated Land | 384 | 237 | 132 | 15 | 384 | 0 | MIX | |
| 7508 | Anhydrite Mine | 125 | 10 | 0 | 115 | 125 | 0 | UCPB | |
| 7541 | Safer Routes to School | 81 | 3 | 78 | 0 | 81 | 0 | GRANT | |
| 7542 | LTP - Parking Lay-By | 19 | 0 | 19 | 0 | 19 | 0 | SPB | |
| 7544 | LTP - Shop Mobility | 40 | 0 | 0 | 40 | 40 | 0 | SPB | |
| 7545 | LTP - Motorcycle Training | 20 | 0 | 20 | 0 | 20 | 0 | GRANT | |
| 7546 | LTP - Road Safety Education & Training | 25 | 0 | 25 | 0 | 25 | 0 | GRANT | |
| 7549 | LTP - Other Bridge Schemes | 70 | 0 | 70 | 0 | 70 | 0 | SPB | |
| 7580 | Highways Remedial Works - Hartlepool Marina | 4 | 0 | 0 | 4 | 4 | 0 | TDC | |
| 7581 | Tees Valley Boundary Signs | 5 | 1 | 4 | 0 | 5 | 0 | GRANT | |
| 7605 | Focus Section 278 | 6 | 0 | 6 | 0 | 6 | 0 | GRANT | |
| 7644 | LTP - School Travel Plans | 9 | 8 | 1 | 0 | 9 | 0 | SPB | |
| 7645 | LTP - General | 42 | 0 | 42 | 0 | 42 | 0 | SPB | |
| 7706 | Waterproofing Phase 2 - Multi Storey Car Park | 290 | 107 | 183 | 0 | 290 | 0 | UCPB | |
| 7707 | Highways Maintenance - Other Schemes (non LTP) | 40 | 0 | 40 | 0 | 40 | 0 | UCPB | |
| 7734 | LTP - Highways Major Works | 400 | 3 | 397 | 0 | 400 | 0 | SPB | |
| 7835 | Primary Health Care Centre Park Road | 80 | 14 | 66 | 0 | 80 | 0 | CAP REC | |
| 7847 | Coast Protection - Headland Fencing and Promenade | 12 | 6 | 6 | 0 | 12 | 0 | CAP REC | |
| 7852 | Highway Improvements - TESCO Secion 106 Expenditure | 334 | 41 | 83 | 210 | 334 | 0 | GRANT | |
| 7891 | Strategy Study - Seaton Carew | 716 | 447 | 239 | 30 | 716 | 0 | GRANT | |
| 7892 | Strategy Study - Town Wall | 482 | 360 | 22 | 100 | 482 | 0 | GRANT | |
| 7899 | Coast Protection UPB | 133 | 123 | 10 | 0 | 133 | 0 | UCPB | |
| 7906 | Bryan Hanson House On Street Parking | 23 | 1 | 22 | 0 | 23 | 0 | UDPB | |
| 7955 | LTP-Cycling-Advanced Stop Sign | 5 | 0 | 5 | 0 | 5 | 0 | GRANT | |
| 7956 | LTP-Cycle Route Signage | 8 | 0 | 8 | 0 | 8 | 0 | SPB | |
| 7957 | LTP-Seaton Carew Railway Station Improvements | 37 | 0 | 37 | 0 | 37 | 0 | SPB | |
| 7959 | LTP-Other Walking Schemes | 47 | 0 | 47 | 0 | 47 | 0 | SPB | |
| 7961 | LTP-School 20mph Zones | 10 | 0 | 10 | 0 | 10 | 0 | SPB | |
| 7964 | LTP-HM-Hart Lane Carriageway Reconstruction | 22 | 0 | 1 | 0 | 1 | (21) | GRANT | |
| 7965 | LTP-HM-Catcote Turning Circle Reconstruction | 4 | 0 | 4 | 0 | 4 | 0 | MIX | |
| 7968 | HM-Fletcher Walk Footway Reconstruction | 4 | 4 | 0 | 0 | 4 | 0 | UCPB | |
| 7970 | HM-Oxford Street Footway Reconstruction | 4 | 4 | 0 | 0 | 4 | 0 | SPB | |
| 7972 | Miscellaneous Traffic Management Schemes | 16 | 1 | 15 | 0 | 16 | 0 | SPB | |
| 7973 | Miscellaneous Safety Schemes | 25 | 9 | 16 | 0 | 25 | 0 | GRANT | |
| 7984 | King Oswy Drive Cycleway Improvements | 9 | 0 | 9 | 0 | 9 | 0 | SPB | |
| 8006 | Access Road to Briarfields | 20 | 0 | 20 | 0 | 20 | 0 | CAP REC | |
| 8015 | Tesco-New Entrance/Junction/Lights Section 278 | 1 | 1 | 0 | 0 | 1 | 0 | GRANT | |
| 8026 | Transport Asset Management | 16 | 0 | 16 | 0 | 16 | 0 | GRANT | |
| 8027 | Carriageway Reconst.-John Howe Gdns to Holdforth Rd | 50 | 50 | 0 | 0 | 50 | 0 | GRANT | |
| 8028 | Carriageway Reconst.-Wooler Road | 150 | 114 | 0 | 0 | 114 | (36) | GRANT | |
| 8029 | Carriageway Reconst.-The Cliff/Coronation Drive | 30 | 49 | 0 | 0 | 49 | 19 | GRANT | |
| 8030 | Carriageway Resurfacing-Miers Ave | 12 | 0 | 12 | 0 | 12 | 0 | GRANT | |
| 8031 | Carriageway Resurfacing.-Frederick Street | 15 | 13 | 0 | 0 | 13 | (2) | GRANT | |
| 8032 | Carriageway Resurfacing.-Brus shops | 8 | 9 | 0 | 0 | 9 | 1 | GRANT | |
| 8033 | Carriageway Resurfacing.-Church Square | 80 | 0 | 80 | 0 | 80 | 0 | GRANT | |
| 8034 | Carriageway Resurfacing.-Victoria Road Church Square | 87 | 0 | 87 | 0 | 87 | 0 | GRANT | |
| 8035 | Carriageway Resurfacing.-Granville Ave | 12 | 10 | 0 | 0 | 10 | (2) | GRANT | |
| 8036 | Carriageway Resurfacing.-Dalton Village Road | 22 | 27 | 0 | 0 | 27 | 5 | GRANT | |
| 8037 | Carriageway Resurfacing.-Catcote Road | 60 | 0 | 0 | 60 | 60 | 0 | GRANT | |
| 8038 | Carriageway Resurfacing.-Catcote Road/Brierton | 3 | 3 | 0 | 0 | 3 | 0 | GRANT | |
| 8039 | Carriageway Resurfacing.Elgin Road | 12 | 8 | 0 | 0 | 8 | (4) | GRANT | |
| 8040 | Carriageway Resurfacing.FordyceRoad,Eskdale Road and | 18 | 20 | 0 | 0 | 20 | 2 | GRANT | |
| 8041 | Carriageway Resurfacing.Owton Manor Lane | 42 | 53 | 0 | 0 | 53 | 11 | GRANT | |
| 8042 | Carriageway Resurfacing.Queen St | 22 | 22 | 0 | 0 | 22 | 0 | GRANT | |
| 8043 | Footway Reconstruction - Clavering | 3 | 15 | 0 | 0 | 15 | 12 | GRANT | |
| 8044 | Footway Reconstruction - York Road | 46 | 61 | 0 | 0 | 61 | 15 | GRANT | |
| 8045 | Footway Reconstruction - Everett St | 1 | 0 | 1 | 0 | 1 | 0 | GRANT | |
| 8046 | LTP3 Monitoring | 15 | 0 | 15 | 0 | 15 | 0 | GRANT | |
| 8080 | Construction of New Saltbarn | 300 | 300 | 0 | 0 | 300 | 0 | UCPB | |
| 8081 | Non Adopted Highway Areas | 100 | 59 | 41 | 0 | 100 | 0 | UCPB | |

CAPITAL MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2009

| Project Code | A Scheme Title | EXPENDITURE IN CURRENT YEAR | | | | | | |
|--------------|---|--------------------------------|---|---|---|--|---|------------------------|
| | | B 2009/2010 Budget £'000 | C 2009/2010 Actual as at 30/09/09 £'000 | D 2009/2010 Expenditure Remaining £'000 | E Expenditure Rephased into 2010/11 £'000 | F C+D+E 2009/2010 Total Expenditure £'000 | G F-B 2009/2010 Variance from budget £'000 | H Type of financing |
| 7272 | Wheelie Bin Purchase | 45 | 10 | 35 | 0 | 45 | 0 | UDPB |
| 7465 | Recycling Scheme (containers etc) | 51 | 38 | 13 | 0 | 51 | 0 | UDPB |
| 7720 | Public Conveniences | 368 | 265 | 45 | 0 | 310 | (58) | UDPB |
| 7821 | Waste Performance Efficiency Grant (containers etc) | 233 | 82 | 151 | 0 | 233 | 0 | UDPB |
| 8079 | Household Waste Recycling Scheme | 300 | 0 | 300 | 0 | 300 | 0 | GRANT |
| 7999 | Marina Way Landscaping | 81 | 43 | 38 | 0 | 81 | 0 | RCCO |
| 7222 | Minor Works - North Area | 71 | 0 | 71 | 0 | 71 | 0 | MIX |
| 7223 | Minor Works - South Area | 73 | 0 | 73 | 0 | 73 | 0 | MIX |
| 7224 | Minor Works - Central Area | 91 | 66 | 25 | 0 | 91 | 0 | MIX |
| | | 8,100 | 4,147 | 3,171 | 724 | 8,042 | (58) | |

Key

RCCO

Revenue Contribution towards Capital

MIX

Combination of Funding Types

UCPB

Unsupported Corporate Prudential Borrowing

SCE ®

Supported Capital Expenditure (Revenue)

GRANT

Grant Funded

CAP REC

Capital Receipt

UDPB

Unsupported Departmental Prudential Borrowing

SPB

Supported Prudential Borrowing

ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2009**TABLE 1 - NEW DEAL FOR COMMUNITIES**

| Line No | 2009/10 Budget | Description of Best Value Unit | Actual Position 30/09/09 | | | Projected Outturn Variance |
|---------|----------------|--|---------------------------------|------------------------------|--------------------------------|----------------------------|
| | | | Forecast Expenditure / (Income) | Actual Expenditure/ (Income) | Variance Adverse/ (Favourable) | |
| Col. A | Col. B | Col. C | Col. D | Col. E | Col. F (F=E-D) | |
| | | | £'000 | £'000 | £'000 | £'000 |
| 1 | 41 | Anti Social Behaviour | 21 | 15 | (6) | 0 |
| 2 | 20 | Back to Work Grant | 10 | 4 | (6) | 0 |
| 3 | 1 | Building Modernisation Grant | 1 | 0 | (1) | 0 |
| 4 | 41 | Business Support Manager | 20 | 23 | 3 | 0 |
| 5 | 14 | Children's Emotional Wellbeing | 7 | 7 | 0 | 0 |
| 6 | 144 | Children's Learning and Activities project | 72 | 51 | (21) | 0 |
| 7 | 53 | Communities Project | 27 | 29 | 2 | 0 |
| 8 | 83 | Community Development Work | 42 | 33 | (9) | 0 |
| 9 | 134 | Community Housing Plan Delivery Costs 2008-11 | 71 | 71 | 0 | 0 |
| 10 | 60 | Community Learning Centre - Lynnfield | 0 | 0 | 0 | 0 |
| 11 | 5 | Community Learning Centre - Stranton | 0 | 0 | 0 | 0 |
| 12 | 4 | Community Transport | 2 | 3 | 1 | 0 |
| 13 | 69 | Crime Premises | 40 | 19 | (21) | 0 |
| 14 | 25 | Educational Achievement Project Phase II | 0 | 0 | 0 | 0 |
| 15 | 14 | Enterprise Support Scheme | 14 | 15 | 1 | 0 |
| 16 | 8 | Ethnic Minorities Project | 8 | 8 | 0 | 0 |
| 17 | 94 | Evaluation Project | 44 | 31 | (13) | 0 |
| 18 | 10 | Family Support | 10 | 15 | 5 | 0 |
| 19 | 19 | Football Development Officer | 0 | 0 | 0 | 0 |
| 20 | 44 | Hartlepool NDC Trust | 18 | 25 | 7 | 0 |
| 21 | 5 | Home Improvement Project | 3 | 0 | (3) | 0 |
| 22 | 48 | Key Stage 2&3 Transition | 0 | 0 | 0 | 0 |
| 23 | 7 | Longhill - ILM Scheme | 7 | 5 | (2) | 0 |
| 24 | 39 | Longhill - Site Manger | 20 | 20 | (0) | 0 |
| 25 | 25 | Low Level support | 19 | 19 | 0 | 0 |
| 26 | 1 | Lynnfield Play Area | 0 | 0 | 0 | 0 |
| 27 | 403 | Management & Administration | 201 | 194 | (7) | 0 |
| 28 | 264 | Neighbourhood Management Phase II | 112 | 119 | 7 | 0 |
| 29 | 83 | Opening Doors - Phase III | 83 | 83 | 0 | 0 |
| 30 | 1 | Osbourne Road Hall | 1 | 1 | 0 | 0 |
| 31 | 140 | People's Access to Health | 140 | 140 | 0 | 0 |
| 32 | 23 | People's Centre | 23 | 23 | 0 | 0 |
| 33 | 13 | Police Community Support Officers | 12 | 12 | 0 | 0 |
| 34 | 90 | Raising Aspirations | 0 | 0 | 0 | 0 |
| 35 | 2 | Resident Association Support | 1 | 1 | 0 | 0 |
| 36 | 2 | RSG Laptops | 1 | 1 | 0 | 0 |
| 37 | 52 | Selective Licensing in the Private Rented Sector | 26 | 0 | (26) | 0 |
| 38 | 24 | Sustaining Attainment | 0 | 0 | 0 | 0 |
| 39 | 13 | Sustaining Consultancy Fund | 6 | 4 | (2) | 0 |
| 40 | 12 | Victim Support | 6 | 6 | 0 | 0 |
| 41 | 10 | Young Persons Emotional Wellbeing | 5 | 5 | 0 | 0 |
| 42 | 71 | Youth Project | 71 | 77 | 6 | 0 |
| 43 | 2,211 | | 1,144 | 1,059 | (85) | 0 |

CAPITAL MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2009

TABLE 1 - RESOURCES

| Project Code | A Scheme Title | EXPENDITURE IN CURRENT YEAR | | | | | | |
|--------------|---|------------------------------|--|--|--|---|--|----------------------|
| | | B | C | D | E | F | G | H |
| | | 2009/2010 Budget £'000 | 2009/2010 Actual as at 30/09/09 £'000 | 2009/2010 Expenditure Remaining £'000 | Expenditure Rephased into 2010/11 £'000 | C+D+E 2009/2010 Total Expenditure £'000 | F-B 2009/2010 Variance from budget £'000 | Type of financing |
| 7466 | Vehicle Purchases | 1,486 | 1,080 | 406 | 0 | 1,486 | 0 | UDPB |
| 7091 | City Challenge Clawback | 229 | 0 | 229 | 0 | 229 | 0 | GRANT |
| 7867 | City Challenge Burbank/Murray Street | 86 | 0 | 86 | 0 | 86 | 0 | GRANT |
| 7263 | York Flatlets Demolition | 0 | 0 | 0 | 0 | 0 | 0 | CAP REC |
| 7264 | Mobile Benefits | 21 | 0 | 21 | 0 | 21 | 0 | CAP REC |
| 7418 | St Benedicts Barlow's Building Demolition | 0 | 0 | 0 | 0 | 0 | 0 | CAP REC |
| 7468 | IT Strategy | 500 | 0 | 500 | 0 | 500 | 0 | UDPB |
| 7623 | Corporate IT Projects | 72 | 12 | 60 | 0 | 72 | 0 | CAP REC |
| 7634 | Town Centre LIFT Scheme | 0 | 0 | 0 | 0 | 0 | 0 | CAP REC |
| 7446 | Electronic Document & Records Management System& Workflow | 20 | 20 | 0 | 0 | 20 | 0 | RCCO |
| 7471 | Electronic Document & Records Management System - Phase 2 | 57 | 57 | 0 | 0 | 57 | 0 | RCCO |
| 7631 | Members ICT/Remote Access | 15 | 13 | 2 | 0 | 15 | 0 | CAP REC |
| 7467 | War Memorials Refurbishment | 8 | 0 | 8 | 0 | 8 | 0 | UCPB |
| 7837 | Microsoft Outlook Migration | 46 | 23 | 23 | 0 | 46 | 0 | UCPB |
| 8050 | ICLIPSE Implementation | 89 | 145 | (56) | 0 | 89 | 0 | UCPB |
| 7026 | Sir William Gray House - Fire Alarm | 25 | 0 | 25 | 0 | 25 | 0 | UCPB |
| 7031 | Civic Centre - Replace Sprinkler System | 81 | 62 | 19 | 0 | 81 | 0 | UCPB |
| 7036 | SCRAPT 2009-2010 not yet allocated to individual schemes | 298 | 0 | 298 | 0 | 298 | 0 | UCPB |
| 7037 | Civic Centre Toilets | 137 | 0 | 137 | 0 | 137 | 0 | UCPB |
| 7041 | CPM Unallocated | 24 | 0 | 24 | 0 | 24 | 0 | RCCO |
| 7048 | Unallocated Health & Safety Issues | 175 | 0 | 175 | 0 | 175 | 0 | UCPB |
| 7113 | Bryan Hanson House Carpet Renewal | 43 | 43 | 0 | 0 | 43 | 0 | UCPB |
| 7115 | Civic Centre Ramp | 180 | 0 | 180 | 0 | 180 | 0 | UCPB |
| 7116 | Disabled Toilets Civic Centre | 78 | 0 | 78 | 0 | 78 | 0 | UCPB |
| 7117 | Civic Centre Access Control System | 72 | 0 | 72 | 0 | 72 | 0 | UCPB |
| 7200 | Civic Centre Refurbishment | 1,786 | 1,394 | 392 | 0 | 1,786 | 0 | UCPB |
| 7257 | Disabled Adaptations | 111 | 0 | 111 | 0 | 111 | 0 | UCPB |
| 7781 | Renew Boiler and Heating System - Municipal Buildings | 145 | 0 | 145 | 0 | 145 | 0 | UCPB |
| 7987 | Renew Pool Filters Mill House Leisure Centre | 8 | 1 | 7 | 0 | 8 | 0 | UCPB |
| 7988 | Lynn St Garage - Install Overhead Heaters | 50 | 50 | 0 | 0 | 50 | 0 | UCPB |
| 7989 | Access System - Municipal Buildings | 9 | 0 | 9 | 0 | 9 | 0 | UCPB |
| TBA | Seaton Clock | 3 | 0 | 3 | 0 | 3 | 0 | RCCO |
| 8049 | St Hilda's Church Work to North and West Dials | 25 | 9 | 16 | 0 | 25 | 0 | RCCO |
| 7782 | Stranton Crematorium Roof Repair Phase 3 | 35 | 0 | 35 | 0 | 35 | 0 | RCCO |
| 7112 | CPM - Redheugh Gardens Renew Footpath | 75 | 0 | 75 | 0 | 75 | 0 | RCCO |
| 7114 | CPM - Rossmere Youth Club Roof Replacement | 63 | 0 | 63 | 0 | 63 | 0 | RCCO |
| TBA | Sir William Gray House - Install Emergency Lights | 25 | 0 | 25 | 0 | 25 | 0 | UCPB |
| TBA | Administration Buildings - Enhancement Works | 20 | 0 | 20 | 0 | 20 | 0 | UCPB |
| TBA | Civic Centre - Enhancement Works | 20 | 0 | 20 | 0 | 20 | 0 | UCPB |
| 8085 | Lynn Street Depot - Electrical Installation | 55 | 0 | 55 | 0 | 55 | 0 | UCPB |
| 8102 | Lynn Street Depot - Re-roof Garage | 40 | 0 | 40 | 0 | 40 | 0 | UCPB |
| | | 6,212 | 2,909 | 3,303 | 0 | 6,212 | 0 | |

TABLE 2 - NEW DEAL FOR COMMUNITIES

| Project Code | A Scheme Title | EXPENDITURE IN CURRENT YEAR | | | | | | |
|----------------|---|------------------------------|--|--|--|---|--|----------------------|
| | | B | C | D | E | F | G | H |
| | | 2009/2010 Budget £'000 | 2009/2010 Actual as at 30/09/09 £'000 | 2009/2010 Expenditure Remaining £'000 | Expenditure Rephased into 2010/11 £'000 | C+D+E 2009/2010 Total Expenditure £'000 | F-B 2009/2010 Variance from budget £'000 | Type of financing |
| 7059/7060/7971 | Longhill Business Security and Environmental Improvements | 2 | 2 | 0 | 0 | 2 | 0 | NDC |
| 7062 | Building Modernisation Grants | 63 | 13 | 50 | 0 | 63 | 0 | NDC |
| 7061 | Business Security Fund | 4 | 2 | 2 | 0 | 4 | 0 | NDC |
| 7063 | CIA Environmental Improvements | 28 | 22 | 6 | 0 | 28 | 0 | NDC |
| 7038 | Opening Doors Phase III | 275 | 58 | 217.0 | 0 | 275 | 0 | NDC |
| 7050 | Osbourne Road Hall | 3 | 1 | 2 | 0 | 3 | 0 | NDC |
| 7051 | Voluntary Sector Premises Pool | 25 | 16 | 9 | 0 | 25 | 0 | NDC |
| 8003 | Sustaining Centres | 45 | 0 | 45 | 0 | 45 | 0 | NDC |
| 7086 | Lynnfield Play Area | 40 | 16 | 24 | 0 | 40 | 0 | NDC |
| 7069 | Longhill Junction Improvements | 9 | 9 | 0.0 | 0 | 9 | 0 | NDC |
| 8004 | Strategic Land Purchase | 122 | 6 | 116 | 0 | 122 | 0 | NDC |
| 8048 | NDC Trust Phase II - Property Purchase | 155 | 0 | 155 | 0 | 155 | 0 | MIX |
| 7065/7070/8014 | Neighbourhood Management | 33 | 16 | 17 | 0 | 33 | 0 | NDC |
| 7079 | Home Improvement Project | 597 | 72 | 525 | 0 | 597 | 0 | MIX |
| 7056 | Target Hardening Ph2 | 0 | 0 | 0.0 | 0 | 0 | 0 | NDC |
| 7071 | Area Modelling Grant | 0 | 0 | 0.0 | 0 | 0 | 0 | MIX |
| | | 1,401 | 233 | 1,168 | 0 | 1,401 | 0 | |

Key

RCCO

Revenue Contribution towards Capital

MIX

Combination of Funding Types

UCPB

Unsupported Corporate Prudential Borrowing

SCE ®

Supported Capital Expenditure (Revenue)

GRANT

Grant Funded

CAP REC

Capital Receipt

UDPB

Unsupported Departmental Prudential Borrowing

SPB

Supported Prudential Borrowing

SCRUTINY CO-ORDINATING COMMITTEE

27th November, 2009



Report of: Corporate Management Team

Subject: QUARTER 2 – CORPORATE PLAN AND REVENUE
FINANCIAL MANAGEMENT REPORT 2009/2010

1. PURPOSE OF REPORT

- 1.1 To inform Members of the Scrutiny Co-ordinating Committee of the progress made towards achieving the Corporate Plan outcomes through identified actions and of the progress against the Council's overall 2009/2010 Revenue Budget for the period to 30th September, 2009.

2. BACKGROUND

- 2.1 In line with previous monitoring reports, the attached report (**Appendix 1**) provides an overall picture of performance and progress against the approved 2009/2010 revenue budget, followed by a section for each Portfolio where more detailed information is provided.
- 2.2 It should also be noted that the Cabinet considered this report at its meeting on 16th November, 2009, therefore any key issues arising from the meeting will be verbally provided during this meeting.

3. RECOMMENDATION

- 3.1 That Members consider the report and seek clarification on issues where felt appropriate.

CABINET REPORT

16th November, 2009



Report of: Corporate Management Team

Subject: QUARTER 2 – CORPORATE PLAN AND REVENUE
FINANCIAL MANAGEMENT REPORT 2009/2010

SUMMARY

1. PURPOSE OF REPORT

1.1 To inform Cabinet of: -

- The progress made towards achieving the Corporate Plan Actions in order to provide timely information and allow any necessary decisions to be taken;
- To provide details of progress against the Council's overall revenue budget for 2009/2010 and details of forecast outturns.

2. SUMMARY OF CONTENTS

2.1 The report describes progress towards achieving the actions within the Corporate Plan using the new traffic light system of Green, Amber and Red. The report provides an overview of Council performance, with separate sections providing more detailed information for each Portfolio Holder to consider.

2.2 The Revenue Financial Management report covers the following areas:

- Overview of financial position;
- Review of High Risk Budget Areas;
- Progress against Efficiency Savings Targets Identified in the 2009/2010 Budget Strategy;
- Performance against Budget Pressures treated as Contingency Items;
- Progress against Departmental Salary Turnover Targets;
- Progress against Area Based Grant budgets;
- Key Balance Sheet information.

2.3 The report provides details of progress against the current year's budget. In respect of corporate budgets it is anticipated there will be an underspend on the Centralised Estimates budget. This is the strategic land acquisition

being delayed and higher investment income from slightly higher interest rates.

- 2.4 As reported at the end of Quarter 1 there are a number of adverse income trends continuing from previous years. Some provision was made in the 2008/2009 Outturn Strategy for this risk, but this will not be sufficient to cover the anticipated shortfall. Cabinet has agreed to the proposal to fund this shortfall from the underspend on the Centralised Estimates budget and to allocate resources to manage this risk next year.
- 2.5 There is a risk that additional resources will need to be allocated for additional Equal Pay costs arising from Equal Pay tribunal cases. Cabinet has agreed to allocate part of the Centralised Estimate underspend to manage this risk.
- 2.6 In relation to the detailed departmental forecasts there are a number of underspends which need to be allocated to offset overspends. The forecast outturn position is better than this stage last year and a net underspend on departmental budgets is currently forecast. However, this position is mainly owing to the current expectation that the contingency for Looked after Children will not be needed in the current year. This is a volatile area and trends for the first six months are not guaranteed to continue to the year end. Equally an unexpected additional complex case could commit a significant element of the available contingency. It is therefore suggested that Members review this position in December to reflect the first eight months activity and if this underspend is expected to continue to the year end a strategy for allocating this amount can then be developed as part of the 2010/2011 budget proposals report.

3. RELEVANCE TO CABINET

- 3.1 Cabinet has overall responsibility for the monitoring of the Council's Corporate Plan and the Revenue budget.

4. TYPE OF DECISION

- 4.1 None.

5. DECISION MAKING ROUTE

- 5.1 Cabinet, 16th November, 2009

6. DECISION(S) REQUIRED

- 6.1 Cabinet is asked to: -

- note the current position with regard to performance and revenue monitoring;
- note the position with regard to the Revenue Budget and take any decisions necessary to address the financial risks identified.

Report of: Corporate Management Team

Subject: QUARTER 2 – REVENUE FINANCIAL
MANAGEMENT REPORT 2009/2010

1. PURPOSE OF REPORT

- 1.1 To inform Cabinet of the progress made towards achieving the Corporate Plan outcomes through identified actions and of progress against the Council's own 2009/2010 Revenue Budget, for the period to 30th September, 2009 and details of forecast outturns.

2. BACKGROUND

- 2.1 In line with previous monitoring reports, this report is an integrated document that is page numbered, thus allowing Members easier navigation around the report. (See contents table below). The report firstly provides an overall picture of performance and progress against the approved 2009/2010 revenue budget.

| Section | Heading | Page |
|----------------|--|-------|
| 3 | Overall Performance and Progress on Actions and Key Performance Indicators | 2-3 |
| | Detailed Performance Monitoring Sections | |
| 4 | Adult and Public Health Portfolio | 3-4 |
| 5 | Children's Services Portfolio | 5 |
| 6 | Culture, Leisure and Tourism Portfolio | 5 |
| 7 | Finance and Performance Portfolio | 6 |
| 8 | Transport and Neighbourhoods Portfolio | 6 |
| 9 | Regeneration and Economic Development Portfolio | 7 |
| 10 | Community Safety and Housing Portfolio | 8 |
| 11 | Revenue Monitoring 2009/2010 – Overview | 9-16 |
| 12 | Conclusions | 16-17 |
| 13 | Recommendations | 17 |
| Appendix A | Summary Revenue Monitoring Report to 30 th September, 2009, by Department | 18 |
| Appendix B | High Risk Budget Areas by Department | 19 |
| Appendices C-I | Detailed Revenue Monitoring Report to 30 th September, 2009, by Portfolio | 20-32 |
| Appendix J | Progress Against Efficiency Saving Targets Identified in the 2009/2010 Budget Strategy | 33-39 |
| Appendix K | Performance Against Schedule of Budget Pressures to be Treated as | 40-43 |

| Section | Heading | Page |
|------------|-------------------|-------|
| | Contingency Items | |
| Appendix L | Area Based Grants | 44-46 |

- 2.2 This report will be submitted to Scrutiny Co-ordinating Committee on 27th November, 2009. This will ensure that Scrutiny Co-ordinating Committee is able to review the report at the earliest opportunity.

3 OVERALL PERFORMANCE AND PROGRESS ON ACTIONS AND KEY PERFORMANCE INDICATORS

- 3.1 The Council identified 127 actions with specific completion dates and 133 key performance indicators (KPIs) as measures of success in the 2009/2010 Corporate Plan. Overall performance is good, and in line with expectations as the start of the municipal year with all but one action and 76% of the KPIs (when annually reported PIs have been removed) judged to be either on or above targets. During 2009 the traffic light with Covalent were reviewed and a new set were developed to help departments manage their performance better through Covalent. An explanation of the new traffic lights can be found below. Tables 1 and 2 below summarise officers' views on progress as at 30th September, 2009, for each Portfolio Holder's responsibilities: -



Action has not been completed or PI target not achieved



Action/KPI where intervention is required as not progressing well



Action/KPI progress is acceptable



Action/KPI on track to achieve



Action/KPI completed or target achieved

Table 1 – Progress on Actions within the Corporate Plan

| Portfolio | Actions by Traffic Light | | | | | |
|---------------------------------------|------------------------------|-----------|-----------------------------|-----------|---|----------|
| | Green (on track or achieved) | | Amber (progress acceptable) | | Red (not achieved or intervention required) | |
| | No. | % | No. | % | No. | % |
| Adult Services and Public Health | 24 | 100 | 0 | 0 | 0 | 0 |
| Children's Services | 26 | 89 | 3 | 10 | 1 | 1 |
| Culture, Leisure and Tourism | 4 | 100 | 0 | 0 | 0 | 0 |
| Finance and Performance | 19 | 83 | 4 | 17 | 0 | 0 |
| Transport and Neighbourhoods | 13 | 93 | 1 | 7 | 0 | 0 |
| Regeneration and Economic Development | 13 | 81 | 3 | 19 | 0 | 0 |
| Community Safety and Housing | 14 | 88 | 2 | 12 | 0 | 0 |
| Total | 113 | 89 | 13 | 10 | 1 | 1 |

Table 2 – Progress on Key Performance Indicators

| Portfolio | KPIs by Traffic Light | | | | | |
|---------------------------------------|------------------------------|-----------|-----------------------------|-----------|---|-----------|
| | Green (on track or achieved) | | Amber (progress acceptable) | | Red (not achieved or intervention required) | |
| | No. | % | No. | % | No. | % |
| Adult Services and Public Health | 7 | 64 | 2 | 18 | 2 | 18 |
| Children's Services | 0 | 0 | 0 | 0 | 0 | 0 |
| Culture, Leisure and Tourism | 4 | 100 | 0 | 0 | 0 | 0 |
| Finance and Performance | 1 | 100 | 0 | 0 | 0 | 0 |
| Transport and Neighbourhoods | 10 | 91 | 0 | 0 | 1 | 9 |
| Regeneration and Economic Development | 1 | 33 | 0 | 0 | 2 | 67 |
| Community Safety and Housing | 5 | 71 | 2 | 29 | 0 | 0 |
| Total | 28 | 76 | 4 | 11 | 5 | 14 |

*figure may not always add to 100% due to rounding

4 ADULT AND PUBLIC HEALTH PORTFOLIO

4.1 Performance Update for the Period Ending 30th September, 2009

4.2 Within the Adult and Public Health Portfolio there are a total of 22 actions that were identified in the 2009/2010 Corporate Plan. Of those PI's were a quarterly update was due to be provided 5 actions have been assessed as being on target for completion, or already completed, by the agreed date, 2 PI's are progressing at a acceptable level and 2 PI's require some intervention or have not achieved target (see table APH1).

Table APH1 – Performance Indicator assessed as requiring intervention

| PI | Indicator | Target 09/10 | 2 nd Qtr Outturn | Comment |
|--------|---|--------------|-----------------------------|--|
| NI 130 | Social care clients receiving Self Directed Support per 100,000 population | 50 | 21.2 | Definition of PI changed for 2009-10 - now based on % of clients getting service. Original target of 70% was set as aiming for 70% of all clients to have a personal budget or direct payment - this is 70% of all those receiving community based services and those receiving carer's services. This denominator for this target should have been based on a subset of all the clients, i.e. excluding those cases in crisis, excluding clients that just have equipment and no others service etc. However, it has been based on all clients and therefore, gives the impression of considerable under achievement. More detailed work has now begun to look at the 'real' figure if the suggested exclusions are removed from the denominator, e.g. not count crisis cases and those with only equipment in the denominator. Quarterly targets set as 40%, 50%, 60% and 70% staggered across the four quarters to the end of year position of 70%. |
| NI 53a | Prevalence of breast-feeding at 6-8 weeks from birth – Percentage of infants being breastfed at 6-8 weeks | 21% | 18.7% | Recruitment of breastfeeding coordinator by 31/12/09. Secure funding to gain accreditation for UNICEF/WHO Babyfriendly Initiative 31/10/09. Introduce a robust breastfeeding data recording and collection system using health visitor data 31/10/09. (This is actually a quarter 1 outturn as quarter 2 is not yet available). |

4.3 Key areas of progress made to date in the Adult and Public Health Portfolio include: -

- Good progress is being made in relation to learning disability services through campus re-provision, planned reviews of supported accommodation and respite provision as well as within the older people's agenda relating to extra care housing and exploring options for people with dementia.
- The pilot of the Therapeutic Farm for people with mental health issues has commenced. And will be reviewed throughout and on completion.
- The Voluntary Sector Strategy has now been formally approved by Cabinet and the PCT Board. A steering group has been established to take for the implementation of this strategy.
- Much work is being done to ensure an increase in participation of physical activity including pilot Wii projects in care homes, school football festivals and continued free swim initiative including the development of free swimming lessons.

5 CHILDREN'S SERVICES PORTFOLIO**5.1 Performance Update for the Period Ending 30th September, 2009**

- 5.2 Within the Children's Services Portfolio there are a total of 30 actions that were identified in the 2009/10 Corporate Plan. A total of 26 of these actions are on target for completion, 3 are making acceptable progress and one action requires intervention:

Table C1 – Actions assessed as requiring intervention

| Outcome: Be Healthy | | | |
|---------------------|--|------------|---|
| Code | Action | Due Date | Note |
| CORP HW04 | Work with partner agencies, young people, schools and families to reduce under 18 conception rates by 55% from 1998 baseline and improve sexual health | 31/03/2011 | Self assessments have been undertaken to identify issues and gaps and this will inform the plan for 2010/11 |

- 5.3 There were a total of 18 key performance indicators (KPIs) included in the corporate plan as measures of success but all are measured on an annual basis.

- 5.4 Key areas of progress made to date in the Children's Services Portfolio include: -

- Programmes have been developed to support schools in providing enrichment opportunities e.g. Tees Valley Music Service, Dyke House Sports College and GAT cluster programmes.
- Hidden Harm Principal Practitioner is now in post to enhance the assessment of substance misusing parents and carers
- Council's Pledge to Looked After Children and Young People was launched at the Historic Quay in September, 2009

6 CULTURE, LEISURE AND TOURISM PORTFOLIO**6.1 Performance Update for the Period Ending 30th September, 2009**

- 6.2 Within the Culture, Leisure and Tourism Portfolio there are a total of 4 actions that were identified in the 2009/2010 Corporate Plan. All of these actions have been assessed as being on target for completion by the agreed date.

- 6.3 A total of 4 key performance indicators (KPIs) were included in the corporate plan as measures of success that are not reported on an annual basis. All of the indicators have been assessed as being on

target to achieve their target by the end of the year with one already achieving its target.

6.4 Key areas of progress made to date in the Culture, Leisure and Tourism Portfolio include: -

- Good results have been seen from the Active England Survey which demonstrates increased physical participation across Hartlepool.
- Visitor figures at the Historical Maritime Experience have increased year on year as a response to both improved facilities and the state of the economy which seems to be encouraging local visits.

7 FINANCE AND PERFORMANCE PORTFOLIO

7.1 Performance Update for the Period Ending 30th September, 2009

7.2 Within the Finance and Performance Portfolio there are a total of 23 actions that were identified in the 2009/2010 Corporate Plan. All of these actions have been assessed as having been completed or on target to be completed by the agreed date.

7.3 There is one indicator within the Corporate Plan for the Finance and Performance Portfolio which is not reported on an annual basis and this quarter it is on track to reach its target.

7.4 Key areas of progress made to date in the Finance and Performance Portfolios include: -

- Risk Awareness briefing sessions held for elected members.
- Annual Report from Scrutiny has been produced and work programme for 2009/2010 agreed.
- A Financial Position Report was sent to Cabinet on 21st September and then on to SCC on 16th October. Further briefings with political groups also took place

8 TRANSPORT AND NEIGHBOURHOODS PORTFOLIO

8.1 Performance Update for the Period Ending 30th September, 2009

8.2 Within the Transport and Neighbourhoods Portfolio there are a total of 14 actions that were identified in the 2009/2010 Corporate Plan. All of these actions have been identified as being on target to be completed by the agreed date.

- 8.3 There are a total of 11 key performance indicators (KPIs) that have been identified as measures of success that are not reported only on an annual basis. Five of these indicators have been assessed as being expected to achieve their target by year end with a further 5 already having achieved their target, just 1 PI requires intervention:

Table TN1 – Performance Indicators assessed as requiring intervention

| PI | Indicator | Target 09/10 | 2 nd Qtr Outturn | Comment |
|----------|---|--------------|-----------------------------|--|
| NSD P239 | Number of businesses signed up to the green tourism business scheme | 4 | 0 | Businesses approached and introduced to scheme however businesses were put off by relatively high costs due to economic uncertainty. A new free businesses environmental scheme is under development across Tees Valley, and will be promoted to Hartlepool businesses in 2009/10. |

- 8.4 Key areas of progress made to date in the Transport and Neighbourhoods Portfolio include: -

- The LADCMP was launched in July, 2009 with the Carbon Reduction Strategy to be developed from it.
- An Energy Management 'invest to save' programme for council buildings has been developed and approved by Cabinet

9 REGENERATION AND ECONOMIC DEVELOPMENT

9.1 Performance Update for the Period Ending 30th September, 2009

- 9.2 Within the Regeneration and Economic Development Portfolio there are a total of 16 actions that were identified in the 2009/2010 Corporate Plan, All of which have been assessed as being expected to be completed by the agreed date.

- 9.3 There are 3 indicators within the Corporate Plan for the Regeneration and Economic Development Portfolio which are not reported on an annual basis, one of which is on target to be achieved with the remain 2 requiring some intervention.

Table RED1 – Performance Indicators requiring intervention

| PI | Indicator | Target 09/10 | 2 nd Qtr Outturn | Comment |
|----------|--|--------------|-----------------------------|---|
| RPD P045 | Employment Rate (16-24) | 54.1 | 46 | Outturn continues on a negative trend. However it should be noted that there is a +/- 7.4% confidence interval on this calculation. Hopefully the delivery of the Future Jobs Fund should impact positively on this indicator |
| PRD P076 | The gap between Hartlepool unemployment rate and the GB rate | 2.4 | 2.9 | The data relates to Sept 2009. Unemployment has shown some increase recently and the outlook remains uncertain. Projects such as Future Jobs Fund should impact positively on the indicator. |

9.4 Key areas of progress made to date in the Regeneration and the Economic Development Portfolio include: -

- Sea Chance bid has been prepared and submitted for a scheme within Seaton Carew.
- Funding for the redevelopment of the College of FE has now been confirmed and demolition works have started with an expected completion date of July, 2010 and ready for the new academic year in September, 2009.

10 COMMUNITY SAFETY AND HOUSING PORTFOLIO

10.1 Performance Update for the Period Ending 30th September, 2009

10.2 Within the Community Safety and Housing Portfolio there are a total of 16 actions that were identified in the 2009/2010 Corporate Plan. All of these actions have either been completed or have been assessed as being on target for completion by the agreed date.

10.3 There are 7 key performance indicators (KPIs) included in the Corporate Plan as measures of success that are not reported on an annual basis, all of which have been assessed as being on track to achieve target.

10.4 Key areas of progress made to date in the Community Safety and Housing Portfolio includes: -

- Probation and Police PPO Team moved into 9 Church Street on 2nd August, 2009, establishing an integrated offender management team linking directly with the DIP and POP schemes
- The 'Team Around the School' model has been launched at Manor College. This team aims to identify early and provide support for those children and young people who are a risk of offending
- RSL's are being encouraged not only to provide homes that meet and exceed 'decent homes standard' but also look at energy

conservation, reducing carbon emissions and reducing fuel poverty.

11. REVENUE FINANCIAL MANAGEMENT 2009/2010 - OVERVIEW

11.1 This section provides details covering the following areas: -

- Overview of Financial Position;
- Review of High Risk Budget Areas;
- Progress against Efficiency Savings Targets Identified in the 2009/2010 Budget Strategy;
- Performance against Budget Pressures treated as Contingency Items;
- Progress against Departmental Salary Turnover Targets;
- Progress against Area Based Grants
- Key Balance Sheet information.

11.2 Overview of Financial Position

11.3 At an overall level the Council's budget is monitored on a departmental basis and the overall position is summarised at **Appendix A**.

11.4 **Appendix A** is supported by detailed Financial Management statements for each Portfolio, which now include comments on material variances to provide a clearer position statement as set out below:

- Appendix C - Adult and Public Health
- Appendix D - Children's Services
- Appendix E - Community Safety & Housing
- Appendix F - Culture Leisure & Tourism
- Appendix G - Finance & Performance Management
- Appendix H - Regeneration & Economic Development
- Appendix I - Transport & Neighbourhood

11.5 Forecast outturns have now been prepared on the basis of the first six months activity for all revenue budgets.

11.6 In relation to corporate budgets these forecasts confirm the initial position reported in September of a £1m underspend on centralised estimates. This amount consists of a £0.6m saving from the delayed strategic land acquisition which is now not expected to be completed in the current financial year and £0.4m higher investment income from slightly higher interest rates.

11.7 As part of the budget proposals referred for consultation Cabinet has approved the proposal to earmark this underspend to protect the

medium term financial position by providing funding for a number of unbudgeted financial risks.

| | <u>£'000</u> |
|--|---------------|
| Centralised Estimates | 1,000 |
| LABGI Reward Grant | 80 |
| Provision for current year income shortfalls | (200) |
| Provision for continuing income shortfalls 2010/2011 | (300) |
| Provision for Equal Pay Tribunal Costs | <u>(500)</u> |
| Allocated to Support 2011/2012 Budget | <u>80</u> |

- 11.8 Detailed outturns for departmental budgets had not previously been prepared, although adverse trends had been identified in relation to income streams. As detailed in the previous paragraph a funding strategy for addressing this issue has been developed. Forecast outturns for departmental budgets have now been prepared as detailed in **Appendix A** and in broad terms there is expected to be a gross overspend on departmental budgets of £0.044m, inclusive of forecast income shortfalls already funded.
- 11.9 This position is before reflecting the impact of the final pay awards applying from April, 2008 and April, 2009. The 2008 pay award was higher than the budget provision and this increased costs on an ongoing basis. Fortunately, in financial terms, this additional cost is more than offset by the 2009 pay award being lower than anticipated. In overall terms the net benefit is £0.151m and this offsets the forecast net department overspend.
- 11.10 In relation to the detailed departmental forecasts there are a number of underspends which need to be allocated to offset overspends, as summarised in the table below. Detailed comments on the key variances are provided in **Appendices C to I**. The forecast outturn position is better than this stage last year and a net underspend on departmental budgets is currently forecast. However, this position is mainly owing to the current expectation that the contingency for Looked after Children will not be needed in the current year. This is a volatile area and trends for the first six months are not guaranteed to continue to the year end. Equally an unexpected additional complex case could commitment a significant element of the available contingency. It is therefore suggested that Members review this position in December to reflect the first eight months activity and if this underspend is expected to continue to the year end a strategy for allocating this amount can then be developed as part of the 2010/2011 budget proposals report.

| | Forecast Outturn | | | |
|--|---|--|------------------------------|---|
| | Gross Adverse/ (Favourable) Variance £'000 | Funding Allocated for Income Shortfall £'000 | Pay Award Saving £'000 | Net Adverse/ (Favourable) Variance £'000 |
| <u>Child and Adult Services</u> | | | | |
| Children's Services | (306) | 0 | (22) | (328) |
| Adult & Community Services | 32 | 0 | (29) | 3 |
| | (274) | 0 | (51) | (325) |
| <u>Regeneration and Neighbourhood Services</u> | | | | |
| Regeneration & Planning | (120) | 0 | (14) | (134) |
| Neighbourhood Services | 310 | (160) | (36) | 114 |
| | 190 | (160) | (50) | (20) |
| Chief Executives Department | 128 | (120) | (50) | (42) |
| Net Outturn Variance - All Departments | 44 | (280) | (151) | (387) |

- Child and Adult Services – net underspend £325,000

This variance is mainly owing to the cost of Looked After Children being lower than anticipated when the budget was set. This is a volatile area and a provision of £250,000 is included in the budget contingency to manage this risk. It is currently not expected that this amount will be needed in the current year. This position could change before the end of the year if there is an increase in referrals and children at risk.

As this area is volatile there is still an underlying risk that expenditure in this area could exceed the approved budget in future years.

- Regeneration and Neighbourhoods – net underspend £20,000

The Regeneration and Planning budget is forecast to underspend mainly owing to the receipt of planning fees for a major development scheme. In accounting terms this income can be taken to the budget in the current year and mitigates lower fees from smaller developments which have reduced owing to the recession.

In relation to Neighbourhood Services there is a gross overspend of £310,000. This includes the forecast Car Parking income shortfall of £160,000 which has been addressed as part of the overall provisional outturn strategy. The remainder of the overspend reflects lower technical officer fee income and the H1 bus service to North Tees Hospital. It is hoped the technical officer fee income will be recovered by the year end and is currently included on a worst case basis.

- Chief Executives Department – net underspend £42,000

The latest forecast outturn confirms the adverse trends on Land Charges income are continuing owing to the downturn in the housing market. The position on the Shopping Centre income is more resilient than previously anticipated, although the Christmas period will be critical for the outturn position and trends for future years. The latest forecast indicates that there should be a reduced call on the Shopping Centre Income Risk Reserves in the current year. The unused amount will be available to manage this risk over the next year or two. The net underspend reflects the pay award saving.

11.11 **Review of High Risk Budget Areas**

- 11.12 High risk budget areas were identified as part of the budget setting report, submitted to Cabinet in February. These issues are explicitly managed and reported to ensure any problem areas are identified at an earlier stage, to enable appropriate corrective action to be taken. The areas identified as high risk budgets are attached at **Appendix B**. **Appendix B** explains how these items were identified and indicates that there are currently variances on a number of budgets. The main adverse variances relate to demographic changes in Older People, Car Parking Income and Building Schools for the Future. Further details are included in **Appendices C to I**.

11.13 **Progress against Efficiency Savings Targets Identified in the 2009/2010 Budget Strategy**

- 11.14 The table below shows the summary of savings included in the 2009/2010 Budget Strategy. At this stage it is anticipated that the savings will be in total £200,000 less than expected. A comprehensive schedule of progress in achieving these savings is attached at **Appendix J** and further details regarding the overall monitoring position for each Portfolio are set out in **Appendices C to I** of this report.

| Department | 2009/10 Target | Savings Expected to be achieved from Efficiency | Variance from 2009/10 Target |
|----------------------------|-------------------|---|---------------------------------------|
| | £'000 | £'000 | £'000 |
| Adult & Community Services | 1,010 | 943 | 67 |
| Chief Executives | 160 | 103 | 57 |
| Children's Services | 593 | 527 | 66 |
| Neighbourhood Services | 521 | 521 | 0 |
| Regeneration & Planning | 176 | 166 | 10 |
| Total | 2,460 | 2,260 | 200 |

11.15 Performance against Budget Pressures treated as Contingency Items

11.16 Members will recall that as part of the review of budget pressures for 2009/2010, it was determined that a number of pressures are not certain to arise, or the value of the pressure is not certain. These items were therefore classified as “contingency” items and a budget provision was made to underwrite these risks.

11.17 **Appendix K** provides a schedule of these items. The main variance is the £63,000 contingency relating to the ‘provision of capacity to manage the transfer of 16-19 education and training funding to the local authority’ which is no longer required in 2009/2010.

11.18 Progress against Departmental Salary Turnover Targets

11.19 An assumed saving from staff turnover is included within salary budgets. Details of individual department's targets are summarised in the table below.

| Department | 2009/10 Turnover Target £'000 | Expected to 30.09.09 £'000 | Actual to 30.09.09 £'000 | Variance from Target £'000 |
|---|--|-------------------------------------|-----------------------------------|-------------------------------------|
| Adult & Community Services | 520.3 | 260.2 | 246.5 | 13.7 |
| Chief Executives | 199.3 | 99.7 | 44.6 | 55.1 |
| Children's Services (excluding Schools) | 286.9 | 286.9 | 332.9 | (46.0) |
| Neighbourhood Services | 176.0 | 88.0 | 98.4 | (10.4) |
| Regeneration & Planning | 128.0 | 64.0 | 116.5 | (52.5) |
| | 1,310.5 | 798.8 | 838.9 | (40.2) |

11.20 The above figures are included within the variances reported for each department at a detailed level. At present the savings achieved are

greater than expected for Quarter 2 and as in previous years, this position will continue to be separately monitored during the year.

11.21 Area Based Grants

11.22 In overall terms actual expenditure amounts to £5,910,000, compared to anticipated expenditure of £6,048,000, resulting in a current favourable variance of £138,000, (see **Appendix L**).

11.23 The projected outturn is £11,925,000, compared to the latest budget of £11,925,000, resulting in a forecast nil outturn variance.

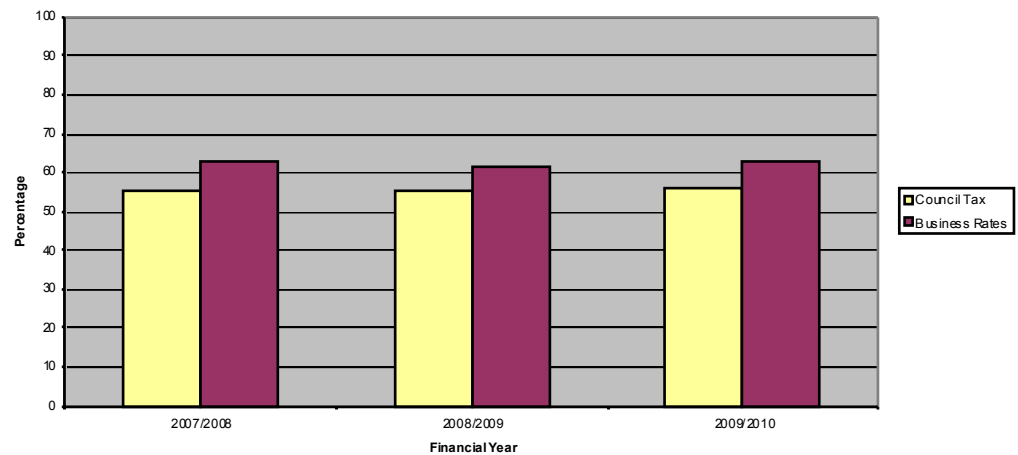
11.24 Key Balance Sheet Information

11.25 A Balance Sheet provides details of an organisation's assets and liabilities at a fixed point in time, for example, the end of the financial year or other fixed accounting periods. Traditionally local authorities have only produced a Balance Sheet on an annual basis and have managed key Balance Sheet issues through other more appropriate methods. However, under CPA arrangements there is a greater emphasis on demonstrating effective management of the balance sheet. The Audit Commission's preferred option is the production of interim balance sheets throughout the year. In my opinion the option is neither practical nor beneficial as a Local Authority Balance Sheet includes a large number of notional valuations for the Authority's fixed assets and pension liabilities. It is therefore more appropriate to monitor the key cash balance sheet items and these are summarised below:-

- Debtors

The Council's key debtors arise from the non payment of Council Tax, Business Rates and Sundry Debtors. These areas are therefore subject to detailed monitoring throughout the year. The position on Council Tax and Business rates are summarised below:-

Percentage of Debt Collected at 30th September

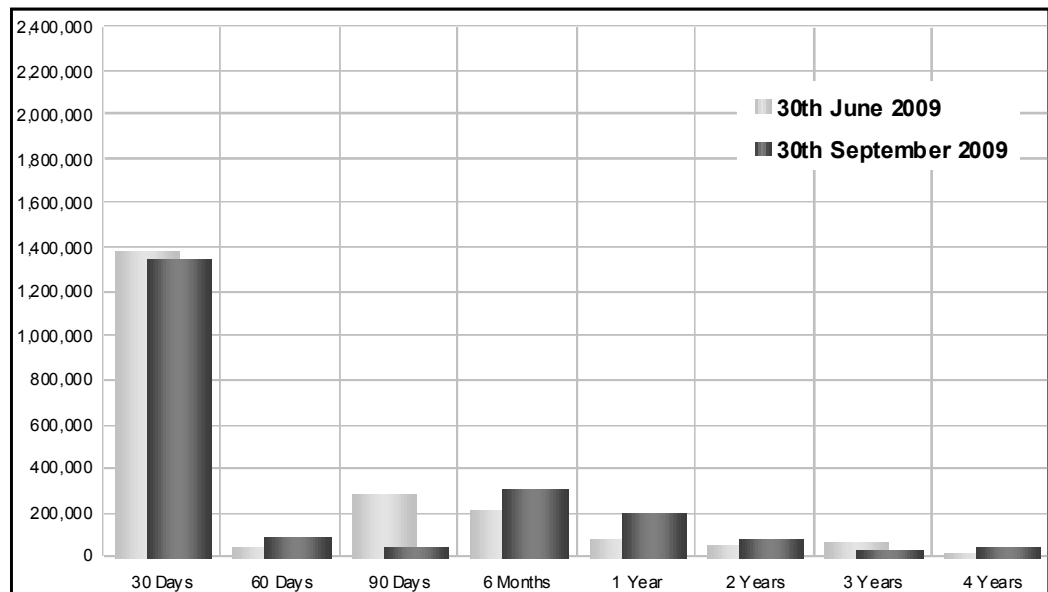


The Council Tax collection rate is up slightly by 0.53% and the NNDR collection rate is up by 0.73% when compared to the same period last financial year. In-year collection rates are affected by the timing of week/month ends.

The NNDR collection rate is likely to deteriorate given the new deferment scheme which allows a percentage of this years increase in the NNDR charge to be carried forward into the next two financial years.

The Council Tax position is representative of what is happening across the Tees Valley, despite the current economic climate.

The position in relation to Sundry Debtors is summarised below:



At the start of the current financial year the Council had outstanding sundry debts of £2.575m. During the period 1st April, 2009 to 30th September, 2009, the Council issued approximately 7,200 invoices with a value of £7.303m. As at the 30th September, 2009, the Council had collected £7.720m, leaving £2.158m outstanding, which consists of: -

- Current Debt - £1.796m

With regard to current outstanding debt, this totals £1.796m at 30th September, 2009, inclusive of approximately £1.344m of debt less than thirty days old.

- Previous Years Debt - £0.362m

These debts relate to the more difficult cases where court action or other recovery procedures are being implemented. At the 30th September, 2009, debts older than one year totalled £0.362m.

- Borrowing Requirement and Investments

The Council's borrowing requirement and investments are the most significant Balance Sheet items. Decisions in relation to the Council's borrowing requirements and investments are taken in accordance with the approved Treasury Management Strategy.

The forecast higher investment income in 2009/2010 is detailed in paragraph 11.6.

12. CONCLUSIONS

- 12.1 The report details progress towards achieving the Corporate Plan outcomes.
- 12.2 The report also provides details of progress against the current year's budget. In respect of corporate budgets it is anticipated there will be an underspend on the Centralised Estimates budget. This is the strategic land acquisition being delayed and higher investment income from slightly higher interest rates.
- 12.3 As reported at the end of Quarter 1 there are a number of adverse income trends continuing from previous years. Some provision was made in the 2008/2009 Outturn Strategy for this risk, but this will not be sufficient to cover the anticipated shortfall. Cabinet has agreed to the proposal to fund this shortfall from the underspend on the Centralised Estimates budget and to allocate resources to manage this risk next year.

- 12.4 There is a risk that additional resources will need to be allocated for additional Equal Pay costs arising from Equal Pay tribunal cases. Cabinet has agreed to allocate part of the Centralised Estimate underspend to manage this risk.
- 12.5 In relation to departmental budgets it is anticipated that overall expenditure will be within budget after offsetting favourable and adverse variances.

13. RECOMMENDATIONS

- 13.1 Cabinet is asked to: -
- Note the current position with regard to Performance Monitoring and the revenue budget.

GENERAL FUND - REVENUE MONITORING REPORT TO 30TH SEPTEMBER 2009

| Line No | Actual Position 30/09/09 | | | Description of Expenditure | Projected Outturn Position | | |
|---------|--------------------------------|------------------------------|--------------------------------|--|----------------------------|---------------------------|---|
| | Expected Expenditure/ (Income) | Actual Expenditure/ (Income) | Variance Adverse/ (Favourable) | | 2009/10 Latest Budget | 2009/10 Projected Outturn | 2009/10 Projected Variance: Adverse/ (Favourable) |
| | Col. B | Col. C | Col. D (D=C-B) | | Col. F | Col. G | Col. H (H=G-F) |
| Col. A | £'000 | £'000 | £'000 | Col. E | £'000 | £'000 | £'000 |
| | | | | TABLE 1 - Departmental Expenditure | | | |
| 1 | 15,406 | 14,678 | (728) | Adult and Community Services | 33,295 | 33,327 | 32 |
| 2 | 11,544 | 11,210 | (334) | Children's Services | 23,885 | 23,579 | (306) |
| 3 | 8,403 | 8,963 | 560 | Neighbourhood Services | 16,894 | 17,204 | 310 |
| 4 | 3,304 | 3,133 | (171) | Regeneration & Planning | 5,810 | 5,690 | (120) |
| 5 | 5,124 | 5,145 | 21 | Resources | 6,255 | 6,383 | 128 |
| 6 | 43,781 | 43,129 | (652) | Total Departmental Expenditure | 86,139 | 86,183 | 44 |
| | | | | TABLE 2 - Corporate Costs | | | |
| | | | | EXTERNAL REQUIREMENTS | | | |
| 7 | 48 | 48 | 0 | Magistrates, Probation and Coroners Court | 187 | 187 | 0 |
| 8 | 21 | 24 | 3 | North Eastern Sea Fisheries Levy | 21 | 21 | 0 |
| 9 | 43 | 48 | 5 | Flood Defence Levy | 46 | 46 | 0 |
| 10 | (53) | (53) | 0 | Discretionary NNDR Relief | 34 | 34 | 0 |
| | | | | CORPORATE COMMITMENTS | | | |
| 11 | 273 | 319 | 46 | I.T. | 2,625 | 2,625 | 0 |
| 12 | 83 | 81 | (2) | Audit Fees | 345 | 345 | 0 |
| 13 | (4,927) | (5,527) | (600) | Centralised Estimates | 6,702 | 5,702 | (1,000) |
| 14 | 0 | 0 | 0 | Insurances | 378 | 378 | 0 |
| 15 | 2 | 2 | 0 | Designated Authority Costs | 90 | 90 | 0 |
| 16 | 65 | 55 | (10) | Pensions | 353 | 353 | 0 |
| 17 | 177 | 183 | 6 | Members Allowances | 355 | 355 | 0 |
| 18 | 37 | 35 | (2) | Mayoral Allowance | 77 | 77 | 0 |
| 19 | (146) | (176) | (30) | Emergency Planning | 95 | 95 | 0 |
| | | | | NEW PRESSURES | | | |
| 20 | 0 | 0 | 0 | Contingency | 23 | 23 | 0 |
| 21 | 0 | 0 | 0 | Planning Delivery Grant terminated | 117 | 117 | 0 |
| 22 | 75 | 75 | 0 | Business Transformation Programme | 0 | 0 | 0 |
| 23 | 0 | 4 | 4 | Teesside Airport Study | 0 | 0 | 0 |
| 24 | 0 | 2 | 2 | Receipts for Government Pool | 0 | 0 | 0 |
| 25 | 0 | 12 | 12 | Members ICT | 0 | 0 | 0 |
| 26 | 0 | 4 | 4 | Secure Remand - Corporate | 0 | 0 | 0 |
| 27 | 0 | 0 | 0 | Strategic Contingency | 428 | 428 | 0 |
| 28 | 0 | 0 | 0 | 2006/07 Final Council Commitments | 47 | 47 | 0 |
| 29 | 0 | 0 | 0 | 2007/08 Provision for Grants/Pressures/Priorities | 78 | 78 | 0 |
| 30 | 0 | 0 | 0 | Provision for Cabinet projects | 36 | 36 | 0 |
| 31 | (6) | (6) | 0 | Procurement savings | (316) | (316) | 0 |
| 32 | 0 | 0 | 0 | 2007/08 Efficiency Savings | (297) | (297) | 0 |
| 33 | 0 | 0 | 0 | Job Evaluation | 979 | 979 | 0 |
| 34 | (45) | (45) | 0 | Job Evaluation - Protection Costs Cont from Reserves | (45) | (45) | 0 |
| 35 | 0 | 0 | 0 | 2008/09 Corporate efficiencies | (205) | (205) | 0 |
| 35 | 0 | 0 | 0 | Area Based Grant Review | (287) | (287) | 0 |
| 36 | 0 | 0 | 0 | New Burdens funded from Formula Grant | 11 | 11 | 0 |
| 37 | 0 | 0 | 0 | Benefit Subsidy income | (200) | (200) | 0 |
| 38 | 24 | 24 | 0 | PARISH PRECEPTS | 24 | 24 | 0 |
| 39 | 0 | 0 | 0 | CONTRIBUTION FROM BUDGET SUPPORT FUND AND OTHER RESERVES | (4,630) | (4,630) | 0 |
| 40 | 0 | 0 | 0 | LOWER PROTECTION COSTS - RELEASE OF RESERVE | (200) | (200) | 0 |
| 41 | | | | Children's Services DSG Funding - LA Element Only | (4,611) | (4,611) | 0 |
| 42 | 39,452 | 38,238 | (1,214) | Total General Fund Expenditure | 88,399 | 87,443 | (956) |

Risk Rating

A simplified version of the Risk Assessment criteria used in the Council's Risk Management Strategy has been used to rank budget risks. This assessment rates risk using the convention of green/amber/red, as defined below, although different levels of risk within each category have not been defined. The risk assessment helps inform the Council's budget monitoring process as it identifies areas that need to be monitored more closely than other budgets. These procedures help ensure that departments can manage budgets and services within the overall departmental resource allocation and the Council's overall financial management framework, which enable departments to establish reserves for significant risks and to carry forward under and over spends between financial years.

The value of expenditure/income on individual areas, are shown in the table below, along with the current variance to date.

Green - these are unlikely events which would have a low financial impact.

Amber - these are possible events which would have a noticeable financial impact.

Red - these are almost certain to occur and would have a very significant impact. Provision would need to be made for such events in the budgets.

| Financial Risk | Risk Rating | 2009/2010 Budget £'000 | Variance to 30th September 2009 (Favourable) / Adverse £'000 | Forecast Outturn Variance (Favourable) / Adverse £'000 |
|---|-------------|---------------------------|--|---|
| Adult & Community Services | | | | |
| Demographic changes in Older People | Amber | 15,370 | 293 | 100 |
| Demographic changes in Working Age Adults | Red | 8,716 | 169 | (176) |
| Property Maintenance - Community Buildings | Amber | 284 | 17 | 17 |
| Non-achievement of Income targets within Community Services | Amber | (1,351) | (187) | 0 |
| Non-achievement of Income targets within Social Care Budgets | Amber | (9,457) | (232) | 0 |
| Total | | 13,563 | 60 | (59) |
| Regeneration & Planning | | | | |
| Fee Income - Planning & Building Control | Amber | 620 | (156) | (120) |
| Rent Income - Economic Development Service | Green | 187 | (9) | 0 |
| Total | | 807 | (165) | (120) |
| Neighbourhood Services | | | | |
| Environment, Environmental Action & Town Care Management | Amber | 8,446 | -9 | 0 |
| Car Parking | Amber | -857 | 168 | 160 |
| Total | | 7,589 | 159 | 160 |
| Corporate Budgets | | | | |
| Pay costs - Single Status and costs of living pay award | Amber | 50,470 | (75) | (150) |
| Higher costs of borrowing and/or lower investment returns | Green | 5,804 | (600) | (1,000) |
| ICT | Green | 2,561 | 46 | 0 |
| Planned Maintenance Budget | Amber | 215 | 0 | 0 |
| Delivery of Planned Savings | Amber | 2,460 | 100 | 200 |
| Total | | 61,510 | (529) | (950) |
| Children's Services | | | | |
| Individual School Budget | Amber | 55,557 | 0 | 0 |
| Individual Pupils Budget allocated during the year to schools for high level SEN pupils | Green | 1,628 | (10) | (24) |
| Home to School Transport Costs | Amber | 1,516 | (11) | (35) |
| Schools Transformation Team (Building Schools for the Future) | Amber | 974 | 67 | 106 |
| Carlton Outdoor Education Centre | Red | 68 | 1 | 0 |
| Increased demand in places at independent schools for pupils with high level of SEN | Amber | 600 | (76) | (2) |
| Increased demand for Looked After Children Placements | Red | 5,293 | (48) | (327) |
| Total | | 65,636 | (77) | (282) |

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2009/2010

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual £'000 | Variance to Date - Over/ (Under) spend £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|---------------------------------|-----------------------------|-----------------|---|---|---|
| 464 | Environmental Standards | 212 | 256 | 44 | 0 | |
| 0 | Adult Education | 0 | (450) | (450) | 0 | |
| 4,719 | Assessment, Care Mgt & Prov 1 | 2,303 | 2,274 | (29) | (70) | The current and projected favourable variance relates to additional temporary funding for transport costs not expected to be fully utilised. |
| 2,926 | Assessment, Care Mgt & Prov 2 | 1,336 | 1,256 | (80) | (42) | The majority of this variance relates to staff vacancies on hold pending a restructure of this area. It is not anticipated that these savings will continue at the same rate once the restructure is implemented. The outturn projection reflects this. |
| 197 | Carers & Assistive Technology | 6 | (8) | (14) | 0 | |
| 714 | Commissioning - Adults | 422 | 299 | (123) | (105) | The current favourable variance relates to staff vacancies and temporary external funding from the PCT and Joseph Rowntree Foundation. The projected outturn forecast reflects the income received and the staff vacancies filled. |
| 823 | Commissioning - Mental Health | 389 | 431 | 42 | 183 | As previously reported the current adverse variance relates to continuing increased demand for community based care in this area. A pressure has been identified for this area for 2010/11. The outturn forecast has been updated to reflect this continuing trend. |
| 8,813 | Commissioning - Older People | 4,208 | 4,280 | 72 | 100 | The majority of the current adverse variance relates to increased demand for transitional and rehabilitation beds. It is expected that this trend will continue and the outturn forecast has been updated to reflect this. |
| 5,523 | Commissioning - Working Age | 1,807 | 1,706 | (101) | (176) | This area includes a temporary pressure for an individual with complex needs. The case is under review subject to court proceedings and the current and projected underspend reflects this. |
| 267 | Service Strategy and Regulation | (173) | (189) | (16) | 0 | |
| 1,735 | Support Services | 914 | 973 | 59 | 63 | The majority of this adverse variance relates to increased IT system costs as we improve our systems to better manage individual packages and costs. The outturn variance has been updated based on current and previous year trends. |
| 26,181 | TOTAL | 11,424 | 10,828 | (596) | (47) | |

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2009/2010**USE OF RESERVES**

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

| Description of Best Value Unit | Approved 2009/2010 Budget £'000 | Planned Usage 2009/10 £'000 | Variance Over/ (Under) £'000 |
|--|--|--------------------------------------|---------------------------------------|
| Commissioning Adults - Communities for Health | 120 | 120 | 0 |
| Commissioning Mental Health - Agency | 10 | 10 | 0 |
| Commissioning - Older People | 20 | 20 | 0 |
| Assessment, Care Mgt & Prov 2 | 27 | 27 | 0 |
| Carers & Assistive Technology | 61 | 0 | (61) |
| Assessment, Care Mgt & Prov 2 | 65 | 65 | 0 |
| Commissioning Adults - Supporting People | 400 | 350 | (50) |
| | 703 | 592 | (111) |

| Directors Explanation of Variance |
|--|
| |
| |
| |
| |
| Reserve to be used to fund expected stock write offs as they occur in future years. |
| |
| Variance relates to slippage in planned projects proposed and agreed in the 5 year SP Strategy. This funding will be required in future years as the projects are implemented and it is requested that any unused balance is carried forward at outturn. |
| |

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2009/2010

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual £'000 | Variance to Date - Over/ (Under) spend £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|-----------------------------------|-----------------------------|-----------------|---|---|--|
| 2,605 | Access to Education | 1,995 | 2,033 | 38 | 70 | The current and forecast outturn variances comprise pressures on the Schools Transformation Team budget (£106k adverse outturn projection) arising from a number of factors including the need for additional external advice to overcome particular site issues. This is partly offset by savings in the Attendance Team and Home to School Transport. |
| 988 | Central Support Services | 17 | 17 | 0 | 0 | |
| 89 | Children's Fund | 63 | 59 | (4) | 0 | |
| 10,903 | Children & Families | 5,326 | 5,309 | (17) | (286) | This largely consists of a favourable variance on Looked After Children, offset by minor overspends in other areas of the service. Cabinet may wish to consider whether to transfer this favourable variance to a Looked After Children reserve to provide funding in future years (assuming this projected variance remains at year end). |
| 342 | Early Years | (341) | (413) | (72) | 0 | The current variance consists of staff vacancies in Children's Centres, lower than expected running costs and higher income than anticipated. To minimise any loss of Sure Start grant the Early Years Manager is exploring options to increase Education Psychology provision in Children's Centres, funding an Aiming High Co-ordinator, funding maternity cover for a post in the Data Team and possible support for Out of School Play and Care deficits. |
| 161 | Information Sharing & Assessment | 64 | 44 | (20) | (17) | There are savings resulting from an unallocated budget in supplies and services. A proportion of this funding was held as a contingency which is no longer expected to be required this year. |
| 1,981 | Other School Related Expenditure | 498 | 558 | 60 | 99 | The current adverse variance consists of an overspend of £100k on the Brierton Salary Protection Fund, savings on ICT consultancy costs and the contingency funding provided for preparation for the transfer of LSC 16-19 responsibilities to the LA which is now no longer required. At outturn the full year effect of the Brierton Salary Protection Fund and the savings on the 16-19 contingency will result in a higher adverse variance. The Brierton Salary Protection fund is funded by Dedicated Schools Grant and will be carried forward into 2010/11 to be funded from the schools budget. |
| 127 | Play & Care of Children | 34 | 46 | 12 | 43 | There is a shortfall on income generated owing to a reduction in parental take-up of the service. This low take-up is expected to continue throughout the year. |
| 1,372 | Raising Educational Achievement | 1,879 | 1,892 | 13 | (29) | There is a current adverse variance owing to maternity costs although it is envisaged this can be funded from a reallocation of Standards Fund grant. Curriculum support costs are expected to be underspent by the year end. |
| 2,889 | Special Educational Needs | 1,282 | 1,116 | (166) | (81) | The current variance relates to a change to the number of pupils placed in out of Borough schools and vacancies in the Pupil Referral Unit. The full year effect of the change in pupils placed out of the Borough is expected to result in an underspend of £81k. |
| 1,149 | Strategic Management | 4 | (204) | (208) | (3) | The departmental salary abatement target has been exceeded by £112k, of which £94k relates to DSG funded services. In addition to these savings, an underspend is projected relating to the Central Training budget. |
| 125 | Youth Justice | 62 | 58 | (4) | 0 | |

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2009/2010

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual £'000 | Variance to Date - Over/ (Under) spend £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|---|-----------------------------|-----------------|---|---|---|
| 1,154 | Youth Service | 661 | 695 | 34 | (24.0) | The current variance will be funded following amendments to salary costings between Connexions and the Youth Service. In addition, there will be savings on a number of inclusion related projects, largely within supplies and services. |
| 0 | Dedicated Schools Grant - Trfr to DSG Department Reserve | 0 | 0 | 194.0 | 112.0 | It is proposed to allocate this funding in 2009/10 to supplement the Schools Capital Programme owing to increased costs arising from refurbishment works at Brierton School to facilitate the decant of Dyke House pupils. Any residual balance will be transferred to the ring-fenced DSG Reserve. |
| 0 | Dedicated Schools Grant - Trfr to DSG Schools Reserve | 0 | 0 | (100) | (190) | The overspend relates to the redundancy, early retirement and salary protection payments made in respect of former Brierton School staff. The overspend will be recouped in future years as there is budget provision from DSG of £150k and future calls on this budget are lower owing to redundancy and retirement costs having been paid in 2009/10. |
| | | | | | | |
| 23,885 | TOTAL | 11,544 | 11,210 | (240) | (306) | |

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

| Description of Best Value Unit | Approved 2009/2010 Budget £'000 | Planned Usage 2009/10 £'000 | Variance to Date Over/ (Under) £'000 |
|-------------------------------------|--|--------------------------------------|---|
| School Transformation Team (BSF) | (975) | (1,081) | 106 |
| Advisory Service (BSF) | (20) | 0 | (20) |
| Carlton Outdoor Centre | (18) | (18) | 0 |
| Early Years Support Network | (9) | (9) | 0 |
| Playing for Success | (14) | (14) | 0 |
| Parenting Support | (20) | (20) | 0 |
| Social Care Backscanning Costs | (80) | (80) | 0 |
| Swimming Pool Improvements | (90) | (90) | 0 |
| Teenage Pregnancy Prevention | (5) | (5) | 0 |
| Youth Service - General | (15) | (15) | 0 |
| | | | |
| | (1,246) | (1,332) | 86 |

| |
|---|
| |
| Pressures identified relate to increased Legal Costs regarding the ICT contract (£60k), Catering costs (£8k), payment to Barnardos for Participation Workers (£25k), school staff secondment (£36k) and architect fees (£34k), partly offset by £60k saving relating to asbestos surveys. |
| The costs of this post are now included elsewhere within the Departments budget. |
| |
| |
| |
| |
| At present, no scanning has taken place as there were unresolved issues relating to the document management system. These issues have now been resolved and work will commence shortly and be completed by March 2010. |
| This reserve is being used to fund capital works on access facilities and a hoist at Brinkburn Pool. |
| |
| |
| |
| |

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2009/2010

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual £'000 | Variance to Date - Over/ (Under) spend £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|-----------------------------------|-----------------------------|-----------------|---|---|---|
| 808 | Consumer Services | 344 | 296 | (48) | 0 | |
| 229 | Environmental Action | 131 | 128 | (3) | 0 | |
| 129 | Building Control | 29 | 91 | 62 | 80 | Fee income is below target at the end of Quarter 2 as a result of the recession and also because of work lost to private inspectors in the competitive market which exists. It is difficult to accurately anticipate future trends in this area but at this stage of the year an adverse projected outturn of around £80,000 is expected. |
| 77 | CADCAM | 77 | 85 | 8 | 0 | |
| 1,011 | Community Safety | 1,139 | 1,149 | 10 | 0 | |
| 316 | Community Strategy | 142 | 142 | 0 | 0 | |
| 174 | Development Control | 14 | (183) | (197) | (200) | The favourable variance is owing to exceptional fee income relating to Wynard Business Park, this income can be taken to the budget in the current year and mitigates lower fees from smaller developments which have reduced owing to the recession. |
| 0 | Divisional Management | 54 | 52 | (2) | 0 | |
| 30 | Drug Action Team | (235) | (235) | 0 | 0 | |
| 993 | Housing Services | 400 | 405 | 5 | 0 | |
| 371 | Landscape & Conservation | 143 | 133 | (10) | 0 | |
| 531 | Youth Offending Service | 438 | 441 | 3 | 0 | |
| 0 | Tall Ships Event 2010 | (23) | (24) | (1) | 0 | |
| 4,669.0 | TOTAL | 2,653 | 2,480 | (173) | (120) | |

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2009/2010**USE OF RESERVES**

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

| Description of Best Value Unit | Approved 2009/2010 Budget £'000 | Planned Usage 2009/10 £'000 | Variance Over/ (Under) £'000 |
|---|--|--|---|
| Youth Offending Reserve | 35 | 35 | 0 |
| Anti Social Behaviour Team Reserve | 9 | 9 | 0 |
| Tall Ships Event 2010 | 233 | 233 | 0 |
| | 277 | 277 | 0 |

| Directors Explanation of Variance |
|--|
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REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2009/2010

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual £'000 | Variance to Date - Over/ (Under) spend £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|-----------------------------------|-----------------------------|-----------------|---|---|---|
| 62 | Allotments | (6) | (8) | (2) | 0 | |
| 42 | Archaeology | 15 | 13 | (2) | 0 | |
| 792 | Community Support | 352 | 350 | (2) | 0 | |
| 405 | Countryside | 217 | 202 | (15) | 0 | This favourable variance mainly relates to savings on general supplies and services at Summerhill and within Countryside Management. It is proposed to utilise these savings to replace and upgrade the ageing security system at Summerhill and temporarily revise the opening times to meet demand. The nil outturn forecast reflects this. |
| 170 | Foreshore | 115 | 117 | 2 | 0 | |
| 2,030 | Libraries | 1,024 | 1,009 | (15) | 0 | The current favourable variance relates to held staff vacancies across the Libraries service pending a restructure. It is not anticipated that the current savings will continue as the restructure is implemented. In addition lower than anticipated income is expected in the second half of the year following a closure for refurbishment at the Central Library and a number of subsidised income initiatives to encourage greater usage of the service. The nil outturn forecast reflects this. |
| 292 | Maintenance | 228 | 245 | 17 | 17 | The current adverse variance is owing to increased cyclical maintenance charges in particular at Grayfields and the Headland Sports Hall. The adverse variance is expected to remain until the end of the year and is reflected in the outturn projection. |
| 949 | Museums & Heritage | 551 | 547 | (4) | 35 | The current favourable variance relates to increased admissions income across this area. However unexpected costs in relation to site improvements at Sir William Gray House and Historic Quay and underachievement of shop sales income offset these. As previously reported the projected outturn variance relates to the expected underachievement of income from the Coffee Shop as well as continuing current trends. It is not expected that the full building cleaning efficiency will be achieved following further discussions with Neighbourhood Services. It is planned to restrain other costs to offset this and outturn forecast reflects this. |
| 608 | Parks | 99 | 89 | (10) | 0 | The current favourable variance is as a result of officer resources being diverted away from here to focus on the Playbuilder scheme to maximise grant income. The outturn forecast reflects slippage of planned works into the final quarter. |
| 31 | Recharge Accounts | 736 | 704 | (32) | 0 | The current favourable variance is as a result of officer resources being diverted away from here to focus on the Playbuilder scheme to maximise grant income. The outturn forecast reflects slippage of planned works into the final quarter. |
| 1,803 | Sports & Physical Recreation | 574 | 535 | (39) | 0 | The current favourable variance relates to increased income at the Leisure facilities. This is partly in relation to the temporary closure of Billingham Forum from June 2009 for 18 months and partly owing to the free swim initiative running this year. We receive a set amount of grant income for running the free swim programme as long as the numbers receiving the benefit can be monitored. This is done through the active card which is free for those eligible however some individuals still choose to pay as they prefer not to give out their details. This accounts for the unanticipated income. The current underspend is not expected to continue as our own facilities undergo refurbishment and potential closure and the associated costs and lost income from these works are realised. |

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2009/2010

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual £'000 | Variance to Date - Over/ (Under) spend £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|-----------------------------------|-----------------------------|-----------------|---|---|--|
| 394 | Strategic Arts | 312 | 327 | 15 | 27 | The majority of this current adverse variance relates to the underachievement of income at the Borough Hall Bar. It is anticipated that this trend will continue to the end of the year based on previous years and the outturn projection reflects this. |
| | | | | | | |
| 7,578 | TOTAL | 4,217 | 4,130 | (87) | 79 | |

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

| Description of Best Value Unit | Approved 2009/2010 Budget £'000 | Planned Usage 2009/10 £'000 | Variance Over/ (Under) £'000 | Directors Explanation of Variance |
|-----------------------------------|--|--------------------------------------|---------------------------------------|-----------------------------------|
| Sports & Recreation | 98 | 98 | 0 | |
| Parks | 7 | 7 | 0 | |
| | 105 | 105 | 0 | |

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2009/2010

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual £'000 | Variance to Date - Over/ (Under) spend £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|---------------------------------------|-----------------------------|-----------------|---|---|--|
| 410 | Client services | 537 | 537 | 0 | 0 | |
| (54) | Neighbourhood Services Internal Works | (1,844) | (1,846) | (2) | 0 | |
| 403 | Property Services | 300 | 301 | 1 | 80 | Because of the uncertainty over 2 schemes which are currently in the development stage it has been deemed prudent to show a potential overspend in this area. Should these schmes progress, it is anticipated that the adverse variance can be brought back into line with the budget before the year end. |
| 5 | Service Development and Admin | 5 | 6 | 1 | (5) | |
| 0 | Finance Miscellaneous | 203 | 207 | 4 | 0 | |
| (893) | Shopping Centre Income | (223) | (216) | 7 | 12 | There are reduced rental payments due to lower occupancy in the Middleton Grange Shopping Centre. An equalisation reserve of £150k exists to offset this effect should thie situation worsen. |
| 96 | Registration of Electors | 28 | 26 | (2) | 0 | |
| 108 | Municipal & Parliamentary Elections | 89 | 99 | 10 | 0 | |
| (1,394) | Central Administration | 256 | 258 | 2 | 0 | |
| 0 | Single Status | 17 | 17 | 0 | 0 | |
| 119 | Finance Management | 51 | 51 | 0 | 0 | |
| 168 | Council Tax & Housing Benefit Subsidy | 0 | 0 | 0 | (60) | A temporary benefit of £200k was in the base budget for increased Benefit Subsidy income. As indicated in the budget proposal for 2010/11 a higher benefit is anticipated on a permanent basis next year and this amount is beginning to flow through into this year. |
| 0 | HR Payroll System | 186 | 186 | 0 | 0 | |
| 116 | Performance Management Misc | 56 | 56 | 0 | 0 | |

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2009/2010

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual £'000 | Variance to Date - Over/ (Under) spend £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|-------------------------------------|-----------------------------|-----------------|---|---|--|
| 1,073 | Accommodation | 744 | 698 | (46) | 40 | Although stringent spending controls are in place, with only those minimum works necessary to ensure safe and operational buildings being ordered, owing to seasonal factors, it is anticipated that there will be an adverse variance in the region of £40k by the financial year end. |
| 924 | Accountancy | 573 | 533 | (40) | 0 | There are vacancies in the department, and the savings thereby will offset the variance in the Accountancy Payments division. |
| 68 | Benefits | (4) | (47) | (43) | 0 | Grant subsidy received on this BVG, with allocation across all Revenue and Benefits codes at year end to ensure all budgets are within outturn. |
| 627 | Contact Centre | 313 | 318 | 5 | 0 | |
| 439 | Corp Management Running Expenses | 219 | 212 | (7) | 5 | Corporate Internet will be £5k adverse variance by the financial year end owing to income received not matching expenditure incurred on the project. |
| 684 | Corp Strategy & Public Consultation | 359 | 327 | (32) | 0 | |
| 234 | Democratic | 115 | 113 | (2) | 0 | |
| 191 | Fraud | 95 | 95 | 0 | 0 | |
| 796 | HR Health and Safety | 359 | 363 | 4 | 56 | It was anticipated that an efficiency saving would be achieved after the implementation of the HR/Payroll system, and although work is progressing on the implementation of the system, the saving will not be achieved until next financial year. It is anticipated that this shortfall can be managed within the overall Chief Executive's Department at year end. |
| 266 | Internal Audit | 129 | 105 | (24) | (20) | Favourable outturn projected owing to a vacant post and limited overtime worked. |
| 586 | Legal Services | 302 | 287 | (15) | (20) | Favourable outturn projected owing to a delay in a staffing restructure. |
| (110) | Other Office Services | (55) | 6 | 61 | 120 | Adverse variance in land search income owing to the housing market conditions. |
| 260 | Payments Unit | 108 | 151 | 43 | 0 | Implementation of the HR/Payroll system has required the use of agency staff and overtime payments. The overspending in this section will be offset by underspending in the Accountancy section. |
| 87 | Printing | 65 | 105 | 40 | 0 | Current adverse variance owing to timing difference in the printing recharges to other departments. |
| 141 | Public Relations | 62 | 49 | (13) | 0 | |
| 92 | Registration Services | 49 | 54 | 5 | 0 | |
| 1,139 | Revenues | 532 | 584 | 52 | 0 | Current variance partly owing to income collection on the Bailiff Team needing to be reallocated from the collection fund. Allocation across all Revenue and Benefits codes at year end to ensure all budgets are within outturn. |
| (81) | Revenues & Benefits Central | 231 | 267 | 36 | 0 | Current variance partly owing to software purchases which could possibly be re-allocated to Business Transformation. Allocation across all Revenue and Benefits codes at year end to ensure all budgets are within outturn. |
| 182 | Support to Members | 91 | 60 | (31) | (5) | Favourable variance projection owing to less new members, reducing the need for equipment and training. |

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2009/2010

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual £'000 | Variance to Date - Over/ (Under) spend £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|--------------------------------|-----------------------------|-----------------|---|---|-----------------------------------|
| 337 | Training & Equality | 174 | 181 | 7 | 0 | |
| 7,019 | TOTAL | 4,122 | 4,143 | 21 | 203 | |

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

| Description of Best Value Unit | Approved 2009/2010 Budget £'000 | Planned Usage 2009/10 £'000 | Variance Over/ (Under) £'000 | Directors Explanation of Variance |
|--|--|--------------------------------------|---------------------------------------|-----------------------------------|
| Remedial repairs reserve | 155 | 155 | 0 | |
| Organisation & Corporate Workforce Development | 18 | 18 | 0 | |
| HR Service Improvement | 13 | 13 | 0 | |
| Contact Centre | 69 | 69 | 0 | |
| HR Resource Investment | 5 | 5 | 0 | |
| Support to Members | 27 | 27 | 0 | |
| Election Services | 8 | 8 | 0 | |
| HR Payroll System | 185 | 185 | 0 | |
| Social Inclusion/Credit Union | 150 | 150 | 0 | |
| Finance - The Way Forward | 242 | 242 | 0 | |
| Finance - Accountancy | 17 | 17 | 0 | |
| Finance - IT Investment | 142 | 142 | 0 | |
| Finance - IT Developments R & B | 41 | 41 | 0 | |
| Finance - R & B | 64 | 64 | 0 | |
| Finance - Internal Bailiff Development | 16 | 16 | 0 | |
| Finance - Intercept Software | 6 | 6 | 0 | |
| Finance - Financial Inclusion Prog | 50 | 50 | 0 | |
| Finance - Working from Home | 7 | 7 | 0 | |
| Corporate Strategy | 250 | 250 | 0 | |
| Registrars | 30 | 30 | 0 | |
| | 1,495 | 1,495 | 0 | |

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2009/2010

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual £'000 | Variance to Date - Over/ (Under) spend £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|-----------------------------------|-----------------------------|-----------------|---|---|---|
| 20.0 | Administration | 269 | 266 | (3) | 0 | |
| 922.0 | Planning Policy & Regeneration | 517 | 523 | 6 | 0 | |
| (129.0) | Regeneration Staff Savings | (64) | (117) | (53) | 0 | Staff turnover savings are higher than the profiled budget at the end of Q2 partly as a result of the recruitment freeze at the start of the year and also from a head of service vacancy. The level of saving will reduce during the remainder of the year as senior management savings are set against Business Transformation targets. No projected outturn variance is expected |
| 1,365.0 | Economic Development | 381 | 381 | 0 | 0 | |
| 2,178 | TOTAL | 0 | 0 | 0 | 0 | |

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

| Description of Best Value Unit | Approved 2009/2010 Budget £'000 | Planned Usage 2009/10 £'000 | Variance Over/ (Under) £'000 | Directors Explanation of Variance |
|------------------------------------|--|--------------------------------------|------------------------------------|--|
| Regeneration Reserve - Specific | 73 | 73 | 0 | |
| Capital Funding Reserve | 0 | 60 | 60 | To fund the development of Seaton Carew feasibility study, as part of a masterplan that looks at measures to complement the sea defence structures. |
| Regeneration MRU | 16 | 82 | 66 | The planned use of some of this reserve has been brought forward from 2010/11 to fund an RCCO towards the cost of a capital scheme on Victoria Buildings, which forms part of the 'Townscape Heritage Initiative'. |
| | 89 | 215 | 126 | |

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2009/2010

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual £'000 | Variance to Date - Over/ (Under) spend £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|-----------------------------------|-----------------------------|-----------------|---|---|---|
| 3,125 | Highways Services | 1,061 | 1,248 | 187 | 25 | The current adverse variance arising from highways maintenance costs are expected to be brought into line with the available resources by restricting controllable expenditure for the remainder of the year. |
| 7,984 | Environment | 5,261 | 5,269 | 8 | 0 | |
| 235 | Town Care Management | 144 | 130 | (14) | 0 | |
| 276 | Transport Services | 138 | 164 | 26 | 0 | |
| 75 | Minor Works | 37 | 37 | 0 | 0 | |
| 1,067 | Engineering Consultancy | 376 | 451 | 75 | 0 | |
| 415 | Transportation Management Account | 209 | 210 | 1 | 0 | |
| 298 | Highways Management Account | 149 | 227 | 78 | 0 | |
| 14 | Traffic Management | 7 | 11 | 4 | 0 | |
| -857 | Car Parking | (351) | (183) | 168 | 160 | Car parking income is lower than budgeted. A report is currently being prepared for members to address this issue. Allowing for seasonal factors the outturn variance ie expected to be £160k based on current usage. |
| 1,997 | Traffic and Transportation | 1,692 | 1,727 | 35 | 50 | The adverse variance is owing to the provision of the H1 bus service to NorthTees Hospital. |
| 14,629 | TOTAL | 8,723 | 9,291 | 568 | 235 | |

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

| Description of Best Value Unit | Approved 2009/2010 Budget £'000 | Planned Usage 2009/10 £'000 | Variance Over/ (Under) £'000 | Directors Explanation of Variance |
|--------------------------------|--|--------------------------------------|---------------------------------------|-----------------------------------|
| Highways grants | 102 | 102 | 0 | |
| | 102.0 | 102.0 | 0.0 | |

ADULT AND COMMUNITY SERVICES - PROPOSED EFFICIENCIES

| Budget heading / Cost Centre | Description | 09/10 Budget £'000 | Efficiency achieved to 30.09.09 £'000 | Projected Outturn £'000 | Comments |
|--|--|--------------------|---------------------------------------|-------------------------|--|
| Community Forest | Membership of North East Community Forest ended following merger of NECF with Groundwork Trust . In future work to be bid for on a project by project basis. | 28 | 28 | 28 | Full efficiency achieved. |
| Grounds Maintenance Contract 1 and 2 | Reconfigure attendant provision at Grayfields and Summerhill at a lower cost than the current contractual Arrangements | 13 | 6 | 13 | |
| Art Gallery Tourist Information | Streamlining of site management rotas and minor adjustments to service opening times, including streamlined private view arrangements. | 18 | 9 | 18 | |
| Art Gallery Maritime Experience Museum of Hartlepool | To get better value from suppliers by reviewing contracts and replacing them with more efficient ways of working. | 22 | 7 | 14 | Part of efficiency relating to NS contract unable to be renegotiated at present worth £18k. Vacancy held and alternative efficiencies to be made in 09-10 to partly offset this shortfall by £10k. |
| Libraries General Reference Library | Reduce expenditure on library stock; using internal and external data to enable better and more informed purchasing choices to be made. Stock and community profiling in 2009/10 to help identify local usage and key areas of stock. Benefits; improved stock turn [stock attracts more issues], stock better reflects user requirements. | 15 | 7 | 15 | |
| Central Library Relief Register | Introduction of RFID ie. self issue & receipt of library books, at the Central Library. RFID agreed by Cabinet and approved by IT Partnership Board subject to further clarification of cost analysis. Benefit: staff released from repetitive and manual tasks to improve customer services. | 21 | 11 | 21 | |
| Community Centres | Restructure of cleaning and caretaking staff within Community Centres to deliver service at lower cost than current arrangements. | 20 | 10 | 20 | |
| Community Development | Reduction in printing, training and project development fund budgets whilst maintaining service level. | 12 | 6 | 12 | |
| Borough Building | Refine the working arrangements within the Borough Hall and Sports Centre to maximise targeted activity and use. | 20 | 10 | 20 | |
| Arts Development | Redirect investment in professional artist fees. This includes reduction of budget from Tees Valley investment Fund to allow direct spend in Hartlepool. | 10 | 5 | 10 | |
| Support, Time & Recovery Team | Current Support Time and Recovery service over staffed by 2 posts (currently vacant). Reducing this service by these 2 posts will not affect provision and retains the number of staff needed to deliver the service. | 39 | 20 | 39 | Vacant posts to be removed from structure. |
| Brooklyn Day Centre | Accessing people to mainstream provision rather than building based statutory provision thereby promoting choice and social inclusion. | 5 | 3 | 5 | |
| Warren Road Day Centre | Reduction in the number of senior link workers to allow a flatter management structure and more flexible working to promote a more modernised and efficient service and release cash for Individual Budgets. | 60 | 30 | 60 | |
| Learning Disabilities SWAT Team | Co-location of LA and NHS Learning Disability teams at Warren Road, enabling efficiencies across rent and utilities. | 30 | 15 | 30 | |
| Sensory Loss Team | Physical Disabilities team to be relocated within locality teams to promote integrated and seamless service provision. Team Manager post, currently vacant, to be disestablished. | 45 | 22 | 45 | Restructure completed and efficiency achieved. |
| Sensory Loss Team | Physical Disabilities team to be relocated within locality teams to promote integrated and seamless service provision. Team clerk post, currently filled by temporary postholder, to be disestablished. | 20 | 10 | 20 | Restructure completed and efficiency achieved. |
| Warren Road Day Centre | Relocation to share accommodation and thereby reduce costs of rent and utilities by sharing the costs across the LA and NHS. | 3 | 2 | 3 | |
| Learning Disabilities Agency | Use of the fair price tool kit across the Tees region to allow efficient and equitable pricing by reviewing contracts. | 30 | 0 | 8 | Delay in implementation following flood at Warren Road. Expected to be implemented Jan 2010 therefore shortfall in efficiency of £22k. |
| Learning Disabilities Agency | End block contract for respite care beds service and develop alternative, smaller unit with other respite care alternatives in line with personalised services. | 50 | 25 | 50 | |
| Adults Management | Review of planning function to link to wider reorganisation of Adult Social care to ensure more efficient processes. | 44 | 22 | 44 | Vacant posts removed from structure. |
| Care Management Team 2 | Integration of management structures with PCT. | 45 | 22 | 45 | Restructure completed and efficiency achieved. |

APPENDIX J

| Budget heading / Cost Centre | Description | 09/10 Budget £000 | | | Comments |
|---|--|-------------------|------------|------------|--|
| Duty Team | Re-alignment of skill mix within Duty team - capacity at first point of contact unaffected. | 10 | 5 | 10 | Restructure completed and efficiency achieved. |
| Support Services | Review of divisional admin staff planned for late 2008. Links to wider Business Transformation programme. | 37 | 0 | 0 | Efficiency on hold pending Business Transformation Review |
| Workforce Planning & Development | Changes to deployment of training resources, including possible procurement and partnership gains. | 15 | 7 | 15 | |
| Finance Section | Finance Section receive and manage benefits on behalf of many service users. Departmental Review planned for late 2008, including processes and numbers of referrals. | 25 | 13 | 25 | Vacancy held to meet efficiency in 09-10 pending review of this area under BT. |
| Older People Purchasing | Hartfields Extra Care Village to be utilised rather than residential care for older people who require substantial levels of support to remain safe. Improve quality of life. Manage financial resources more effectively. | 125 | 63 | 125 | |
| Leisure Centres | Review of Mill House Leisure Centre staffing and rostering arrangements to maximise efficient working. | 20 | 10 | 20 | Restructure completed and efficiency achieved. |
| Integrated Care Team 1 and 3 | Integration of internal Homecare service and Intensive Support team to create new Direct Care & Support Service. Integration with PCT will support the introduction of Telehealth and offer a more efficient service around rapid response cases. Focussing on early intervention and using specialist workforce to deliver outcomes and transfer less complex work to independent sector. | 193 | 97 | 193 | |
| Occupational Therapy | Redesign of business processes in Occupational Therapy, building on work completed with Care Services Efficiency Delivery programme, and embracing electronic and home working. Improvements in technology and review of skill mix will lead to more robust scheduling at first point of contact. | 35 | 17 | 35 | Restructure underway and efficiency achieved. |
| Total for Adult & Community Services | | 1,010 | 482 | 943 | |

CHIEF EXECUTIVES DEPARTMENT - PROPOSED EFFICIENCIES

| Budget heading/Cost Centre | Description | 09/10 Budget £000 | Efficiency achieved to 30.09.09 £'000 | Projected Outturn £'000 | Comments |
|-----------------------------------|---|-------------------------|---|----------------------------|--|
| Corporate Strategy | A reduction in a variety of operating expenses within Corporate Strategy division including, as a result of reviews of paper circulation, reductions in printing costs | 9.1 | 4.6 | 9.1 | |
| Corporate Strategy | Reviews of consultation activity and changes in practise have resulted in a reduced need for fieldwork activities to undertake scheduled consultation | 7.0 | 3.5 | 7 | |
| Corporate Strategy | Minor reductions in operating expenses | 2.5 | 1.2 | 2.5 | |
| Corporate Strategy | Reduction in printing costs for Corporate Plan as take up of hard copies has reduced significantly in recent years | 1.0 | 0.5 | 1 | |
| Accountancy | Following the implementation of new Financial Management System and review of working practices a vacant Accounting Technician post can be deleted. Whilst, this proposal will not impact on current operational requirements, it reduces capacity to support non core activities, such as new corporate initiatives, support for departmental finance teams when they have vacancies, or support of new grant regimes. | 22.0 | 11 | 22 | |
| Internal Audit | Internal Audit are implementing new audit management software (Teammate) and associated changes to operational practices during 2008/09. These changes will enable a reduction in staffing of 0.3 fte. | 7.0 | 3.5 | 7 | |
| Recovery and Inspection | Increased net income from extension of Internal Bailiff pilot within HBC to cover 3 officers, with bailiff charges accruing to the Council. | 41.0 | 20.5 | 41 | |
| HR | Following the implementation of new HR/Payroll System and review of working practices two currently filled HR Administrator posts can be deleted within 3 months of Phase 1A being tested and implemented. Whilst, this proposal will not impact on current operational requirements, it reduces capacity to support non core activities, such as new corporate initiatives or demands from schools under SLA arrangements. | 56 | 0 | 0 | Achievement of this efficiency is dependant on the implementation of the HR/Payroll system, and work is progressing to implement the system, however the saving will be delayed until next financial year. It is anticipated that this shortfall can be managed within the overall Chief Executives Department budget at year end. |
| HR | Reduced printing and postage costs arising HR/Payroll system | 1 | 0 | 0 | As efficiency above. |
| Corporate Strategy | A review of operating practices has resulted in the identification of reduced printing and circulation costs and a reduction of 0.5 admin staff | 13.4 | 6.7 | 13.4 | |
| Total for Chief Executives | | 160 | 52 | 103 | |

CHILDREN'S SERVICES - PROPOSED EFFICIENCIES

| Budget heading/Cost Centre | Description | 09/10 Budget £000 | Efficiency achieved to 30.09.09 £'000 | Projected Outturn £'000 | Comments |
|--------------------------------------|---|-------------------------|---|----------------------------|---|
| Admin | In setting the 2008/09 budget the department had to incorporate £100k for the back scanning of social care records to comply with legislation. This exercise involves temporary staffing and equipment costs and the exercise should be completed by 31st March 2009. | 100 | 50 | 100 | |
| Premature Retirement Costs | The department is continuing to experience reduced costs on its PRC (Premature Retirement Costs) budget as former employees and their dependents die. Based on current commitments, savings of £30,000 are projected in 2009/10. | 30 | 15 | 30 | |
| Youth | A mini restructure as part of integrated working between Connexions and the Youth Service will result in a managerial post being saved. This will release a vacant post yielding a net saving of £40k. There would be no adverse impact on provision for young people. | 40 | 0 | 0 | The anticipated restructure has not yet happened consequently the savings have not been achieved. |
| Exmoor Grove | Staffing and premises savings have been identified resulting from changes to the shift patterns and opening hours at Exmoor Grove with no adverse impact on service delivery or children accessing service. | 90 | 45 | 90 | Whilst the revised staffing structure is not yet in place, the indication from discussion is that the savings will be achieved. At this stage, staff vacancies have ensured that the budget is not overspent. |
| Resource Centres | Efficiencies from maintenance and building costs associated with family resource centres. | 20 | 10 | 20 | |
| Admin Support | Reduction in admin support posts across the Children's Services Department through rationalisation of service and maximising potential benefits of current vacancies. | 54 | 27 | 54 | |
| School Improvement Partners | Review arrangements in relation to School Improvement Partners and OFSTED inspections to maximise income and reduce costs. | 12 | 6 | 12 | |
| Co-ordinators | Deletion of Outdoor Education Co-ordinator post. | 40 | 20 | 40 | |
| School Development & Curriculum | This budget is used to support "one-off" initiatives and to help those schools in challenging circumstances. Deletion of this funding may increase the risk of schools moving into Ofsted or cause for concern category and/or pupil performance declining. | 40 | 20 | 40 | |
| Pupil Support | Further reduce the subsidy paid to support attendance at Lanehead and Carlton Outdoor Centres by pupils other than those from low income families. Hartlepool currently provides higher subsidies than Middlesbrough and Redcar and Cleveland Councils although the gap narrowed as a result of the 2008/09 budget. | 5 | 3 | 5 | Close monitoring of potential increases in eligibility is essential as the number on Free School Meals increases nationally |
| Admin Support | Reduction of admin support posts across the Children's Services Department through rationalisation of service. | 126 | 55 | 110 | Overall savings have not been fully achieved. A virement from the departmental contingency for the balance of £16k has ensured that the savings have been achieved within the service. |
| Primary Swimming | Savings could be made from the use of swimming pools and rationalisation of staff employed to deliver the primary swimming programme. This could involve redundancy costs and/or one off costs to buy out existing contracts. | 10 | 0 | 0 | As no savings have been achieved following the delayed revision of working arrangements, a virement from the Directorate contingency was approved. As a result, the savings will be achieved. |
| Commissioning | Efficiencies could be realised via improved commissioning and procurement practice with external suppliers of daycare. | 26 | 13 | 26 | |
| Total for Children's Services | | 593 | 264 | 527 | |

NEIGHBOURHOOD SERVICES - PROPOSED EFFICIENCIES

| Budget heading/Cost Centre | Description | 09/10 Budget £'000 | Efficiency achieved to 30.09.09 £'000 | Projected Outturn £'000 | Comments |
|----------------------------|---|--------------------------|---|----------------------------|---|
| Pride in Hartlepool | External Sponsorship for Pride in Hartlepool | 5 | 3 | 5 | on target |
| Consumer Services | Savings in licensing operations based on income predictions / operational needs. | 20 | 10 | 20 | on target |
| Environmental Standards | Additional income by increasing burial and cremation charges by 10%. | 55 | | 55 | on target |
| Property Services | Restructure building maintenance and management section | 30 | 30 | 30 | port redundant - will be achieved |
| Road Safety | Advertising and marketing within road safety section | 5 | 3 | 5 | on target |
| Environment | Rationalisation of supervision of weekend working | 10 | 10 | 10 | on target |
| Environment | Reduction of end market costs for the recycling of plastic and cardboard | 20 | 10 | 20 | on target |
| Environmental Action | Fine income generation through the introduction of dog control orders | 10 | 5 | 10 | on target |
| Neighbourhood Management | Restructure savings within Neighbourhood Management | 86 | 86 | 86 | redundancies achieved - on target |
| Overall Budgets | Cash freeze a range of budget headings at 2008/09 level. | 25 | 25 | 25 | on target |
| N/S facilities management | Reduction of one further member of FM team dealing with schools. Minimal risk. | 30 | 30 | 30 | post redundant - will be achieved |
| Admin Civic | Reduction in administrative IT support, saving in one post. | 21 | 21 | 21 | post redundant - will be achieved |
| Grounds Maintenance | Rationalisation of supervisory arrangements resulting in a reduction of two one posts. | 24 | 24 | 24 | post redundant - will be achieved |
| Consumer Services | Reduction in one technical officers post, Low impact work can be absorbed by current team. | 16 | 16 | 16 | post redundant - will be achieved |
| Markets | Markets supervision function to be transferred to neighbourhood management. Saving one part time post. | 11 | 11 | 11 | on target |
| Street cleansing | Modernisation of Cleansing and grounds maintenance function resulting in savings on vehicle usage. | 19 | 10 | 19 | on target |
| Property Services | Reconfigure property management service with retirement of staff member | 10 | 10 | 10 | retirement and subsequent restructure achieved |
| Engineering consultancy | Removal of Principal Engineer post as part of the exercise to combine Building and engineering consultancy divisions. This achieved through normal retirement | 18 | 18 | 18 | retirement due oct 2009 - on target |
| Engineering consultancy | Combining building consultancy and engineering consultancy will result in the reduction in one managerial post. Minimal risk. | 30 | 30 | 30 | post redundant - will be achieved |
| consumer services | Reduction in one technical officers post, will require additional training within current team. | 26 | 26 | 26 | on target |
| Admin BHH | Transfer of one member of admin team to ENDORS function. This will be externally funded. | 20 | 20 | 20 | transfer complete |
| Dial a ride | Increase charge by 50p per journey which will generate £15,000. A further £15,000 will be achieved from expenditure efficiencies on this service. | 30 | 30 | 30 | Price increase applied - on target to achieve additional income |
| | Total for Neighbourhood Services | 521 | 428 | 521 | |

REGENERATION AND PLANNING PROPOSED EFFICIENCIES

| Budget heading/Cost Centre | Description | 09/10 Budget £'000 | Efficiency achieved to 30.09.09 £'000 | Projected Outturn £'000 | Comments |
|---|--|--------------------|---------------------------------------|-------------------------|---|
| Economic Development: Contribution to Sub Regional Partnerships | Reduction in the HBC contribution to the Joint Strategy Unit. It is expected that the JSU will once again reduce their budget to reflect the national cashable efficiency target. The final saving will depend on the inflation factor used and population statistics applied by the JSU but a reduction in the region of £5,000 could be possible with no effect on the council's services | 5 | 3 | 5 | |
| Housing Advice / Private Sector Housing | Reduction of a number of supplies and services headings within the Housing Division's budget. A number of minor budgets can be reduced or removed which would together generate a small scale efficiency without a major effect on the service. | 15 | 7 | 15 | |
| Community Strategy | Reduction in several supplies and services headings within the Community Strategy Division's budget. A number of small budgets can be reduced which would generate a small scale efficiency with a limited negative impact on services. | 3 | 2 | 3 | |
| Admin | Reduction in several supplies and services headings within the Support Services Division's budget. Several budgets can be reduced which would generate a small scale efficiency with only a minimal affect on the service. | 5 | 2 | 5 | |
| Planning Policy and Regeneration Management | A mini restructure within the Planning Policy and Information Team and reduction in budget for supporting the production of Local Development Framework (LDF) related documents by the team and any associated research / consultancy support. This does carry some risk to the delivery of a statutory process but nevertheless is deemed manageable within overall budget resources. | 10 | 5 | 10 | |
| Inflation Freeze | An inflation freeze imposed on various non contractual budget headings. It is proposed to manage a number of headings without implementing a 2.5% inflation allowance. It is felt that such a freeze could be implemented without a major negative affect on departmental services. | 9 | 5 | 9 | |
| Youth Offending | YOS Commissioning: Youth Offending Service (YOS) provides a comprehensive service to young offenders, and also works with their family and victims. Several services are provided by the voluntary sector, and the Service Level Agreements have been re-negotiated on an annual basis. A programme to re-commission these services will be developed for 2008-2010. Specifications will be reviewed following consultation with service users | 4 | 2 | 4 | |
| Youth Offending | YOS Sessional Workers: The Youth Offending Service requires a pool of sessional workers, with different skills, knowledge and experience to support the full-time staff with their supervision of young offenders. Sessional workers have a contract with HBC which allows them to work flexibly, to suit the requirements for each individual young offender. They are not contracted to work fixed hours per week and are paid by the hour. This proposal will change the funding for sessional workers from HBC mainstream budget, to a grant budget. All other arrangements will remain the same | 10 | 5 | 10 | |
| Community Safety | Cost of Accommodation. HBC currently supports the Police occupation at 6 of the 7 local offices by funding (or contributing to) the rates, repairs and maintenance and rent (where appropriate) of these buildings. One of these buildings (9 Church Street) is however shortly to be vacated by the Police and it is proposed to accommodate the Partnership's Reducing Re-offending Team within this office. Contributions from the Drug Interventions Programme and Probation towards the running costs of the building will result and consequently reduce the cost to the authority. | 10 | 0 | 0 | There is a likelihood of this efficiency not being met but further consideration of the accommodation issue is necessary. An alternative efficiency source will be identified should this saving not be achieved. |
| Youth Offending | YOS Admin Post: Due to a full-time vacancy arising with the YOS, a review of the admin capacity has been undertaken and an efficiency saving of 0.5 Fte can be achieved. | 10 | 5 | 10 | There is some cross over with expenditure on expenditure heading 1360. Taken together the efficiency should be achieved. |
| Admin | Reduction in staffing resources within the Support Services Division. Further work would be required to identify the most appropriate course of action to achieve this efficiency although there appears to be an opportunity (albeit fairly limited) to do this without negatively impacting on existing permanent employees. This would however increase the pressure on team members who at the start of 2007/08 began to support the newly transferred Housing Division with no additional resource. | 7 | 3 | 7 | |
| Strategic Housing | Reduction in the budget for research activities and specialist studies on Housing. Ongoing specialist work is required to statutorily assess housing needs for the council's housing and planning strategies and to support bids for funding. This proposed reduction does carry risk of the authority failing to adequately identify or respond to local need in statutory services. Some mitigating measures exist through the continuing work with other authorities at the sub regional and regional level and the introduction of Choice Based Lettings will contribute to our understanding of current and emerging housing issues. | 10 | 5 | 10 | |
| Development Control | Development Control fee income: projected fee income increase reflects increased fee rates, widened scope of charging for applications (including related to discharging of conditions) and projected level of future applications, based on patterns over 2007-8, 2008-9 to date and assumptions based on known schemes in the pipeline. Such increase would reduce the net cost of the DC service, whilst allowing the maintenance of existing level of service and performance (which contributes towards level of Housing and Planning Delivery Grant received). Fee income level is monitored throughout the year and overall service budget will be managed to take account of any variance from projected fee income level. There is however RISK attached to this proposed efficiency in view of the reliance on external factors and in particular the current uncertainties in relation to the economic climate. | 20 | 10 | 20 | |

APPENDIX J

| | | | | | |
|--------------------------------------|---|-------------------|-----------|------------|--|
| Major Regeneration Projects | Major Regeneration Projects: A reduction on this budget heading would be necessary to meet a 3% efficiency saving target. The budget is used primarily to support the Victoria Harbour programme and as such is a high priority. There is a risk of not securing grant funding as a result of this reduction and the lower resource level may slow the momentum of preparation of related schemes. | 20 | 10 | 20 | Significant unexpected expenditure from this heading will be required to support a critical regeneration scheme for the town. Current timescales regarding the Victoria Harbour project however mean that it should be possible to accommodate this expenditure and still make the efficiency stated. The position will be reviewed again at Q3. |
| Budget heading/Cost Centre | Description | 09/10 Budget £000 | | | |
| Economic Development Business Grants | Business Grants: proposed reduction in budget relies on reinforcing close working relationship with Business Link North East, One NorthEast and other business support agencies and maximising on signposting/referring business applicants to other sources of finance, with reduced call on Council grant funds. Risk of such a reduction however is that it may undermine the incubation strategy and efforts to promote business start-ups and growth, thereby affecting LAA/MAA targets especially in the current credit crisis. | 28 | 14 | 28 | |
| Economic Development Marketing | Marketing budget: proposed reduction in budget relies on Council being able to benefit from increased levels of awareness-raising, marketing and positive PR generated via other means and agencies, e.g. One NorthEast's Regional Image Strategy, Tall Ships' Race-related PR, property developers' marketing. Risk of such a reduction however is that such other activity is beyond Council control and cannot be guaranteed. There is a case for actually <u>increasing</u> marketing activity related both to property investors/developers/ businesses and to tourists/visitors, given that Hartlepool has an expanding "product" to market, e.g. business units at Queen's Meadow, Tall Ships' Race and potential investment opportunities etc and given the current economic situation. | 10 | 5 | 10 | |
| | Total for Regeneration & Planning | 176 | 83 | 166 | |

Adult and Community Services - Contingency

| Budget heading/ Cost Centre | Cost Centre Code | Description | Risk Probability | Value included in contingency (based on risk probability) | Use of Contingency to 30.09.09 | Projected Outturn Use of Contingency | Comments |
|--------------------------------|------------------|---|------------------|--|--------------------------------|--------------------------------------|---|
| Sport & Recreation | 12210 | Launch of Government's free swim initiative consists of 2 elements - Over 60's for which the available funding is known and for under 16's, available funding currently unknown. We will not be made aware of the funding until late Autumn but in the information available to date, there is a hint of some element of match funding being required. If we decide not to go ahead with the initiative, we will not be able to access the capital funding also available to improve swimming pool provision. | Low | 10 | 0 | 0 | Reduced take up of this scheme by other LA's has resulted in Hartlepool's settlement being greater than anticipated. It is not expected that this contingency will be required at this stage. |
| Older People | 17008 | Abdiel Centre - risk of closing owing to Extra Care scheme at Derwent Grange - possible displacement of individuals resulting in similar circumstance to closure of St. Cuthbert's | High | 45 | 30 | 45 | |
| Total Contingency | | | | 55 | 30 | 45 | |

Chief Executive's Department - Contingency

| Budget heading/ Cost Centre | Cost Centre Code | Description | Risk Probability | Value included in contingency (based on risk probability) | Use of Contingency to 30.09.09 | Projected Outturn Use of Contingency | Comments |
|--------------------------------|------------------|--|------------------|--|--------------------------------|--------------------------------------|--|
| External Audit Fees | | Increase in External Audit fees arising from implications of IFRS (as described above). External Auditors will review progress in preparing for IFRS as part of 2009/10 audit work.. | Very Low | 11 | 0 | 0 | There has been no indication from the Audit Commission that fees will be increased |
| Total Contingency | | | | 11 | 0 | 0 | |

Children's Services - Contingency

| Budget heading/ Cost Centre | Cost Centre Code | Description | Risk Probability | Value included in contingency (based on risk probability) | Use of Contingency to 30.09.09 | Projected Outturn Use of Contingency | Comments |
|--------------------------------|------------------|--|------------------|--|--------------------------------|--------------------------------------|--|
| Lifelong Learning | 22463 | Provision of capacity to manage the transfer of 16-19 education and training funding to the local authority. This is required to ensure that effective planning can be put in place to ensure sufficient places for young people can be commissioned from 2010. Funding would provide for a lead officer and a project officer. | Medium | 63 | 0 | 0 | This contingency has been deferred until April 2010. |
| Community Facilities | Various | A review of the sustainability of Community Facilities operating from schools is under review as requested by the Children's Services Scrutiny Forum. Some facilities are operating at a deficit and it is unlikely that they will be able to generate sufficient income to break even. After maximising access to early years and Standards Funding available to schools it is likely that annual subsidies of between £100,000 and £200,000 per year will be required. | Medium | 42 | 0 | 42 | |
| | | Total Contingency | | 105 | 0 | 42 | |

Neighbourhood Services - Contingency

| Budget heading/ Cost Centre | Cost Centre Code | Description | Risk Probability | Value included in contingency (based on risk probability) | Use of Contingency to 30.09.09 | Projected Outturn Use of Contingency | Comments |
|--------------------------------|------------------|---|------------------|--|--------------------------------|--------------------------------------|--|
| Energy Costs | Various | Rising energy costs. The volatile market makes it almost certain that energy prices will rise by 25% at least in some areas such as electricity this may be more. This will have an adverse affect on budgets across the Council. | High | 85 | 0 | 85 | The price of fuel on the NEPO contract has stabilised. However as we buy fuel in blocks for future periods this position may change. It would be prudent to assume that this funding will be required until at least the next quarter position is Known. |
| Recycling | | This increase is almost certain because of the collapse in the recycling market | High | 30 | 15 | 30 | Recycling market has reached a low and is not anticipated to recover in the immediate future. |
| Recycling | | Household Waste Recycling Centre Contract out to tender this financial year. Expected contract rates will increase. | High | 43 | 22 | 43 | Tender price was as high as anticipated therefore funding will be required. |
| Total Contingency | | | | 158 | 37 | 158 | |

Regeneration and Planning - Contingency

| Budget heading/ Cost Centre | Cost Centre Code | Description | Risk Probability | Value included in contingency (based on risk probability) | Use of Contingency to 30.09.09 | Projected Outturn Use of Contingency | Comments |
|--------------------------------|------------------|---|------------------|--|--------------------------------|--------------------------------------|---|
| Straightline Project | 12107 | Straightline Project. This is an alcohol awareness project for young people either found in possession of alcohol by the Police or who are indentified by other agencies. This successful and well regarded project is funded from LAA Reward Grant. Continued funding will depend on the public's perception of two elements of anti social behaviour (i) teenager hanging around (ii) rowdy and drunken behaviour. This reliance on public perception for future project funding poses some risk and it is proposed a contingency arrangement is considered. | Medium | 21 | 15 | 21 | |
| Community Safety | | Monitoring of CCTV cameras is currently undertaken by Housing Hartlepool, under a Service Level Agreement, which comes to an end in March 09. Costs associated with the SLA are historical, dating back to the mid 1990s, when the council took over the responsibility for CCTV from the Police. The current arrangement with Housing Hartlepool is inextricably linked to the services they provide for Telecare and community alarm monitoring, as part of the Supporting People programme. The monitoring centre staff also provide the Council's emergency and out-of-hours contact point. CCTV monitoring costs paid by the Council do not currently cover Housing Hartlepool's overheads costs for the monitoring centre. The budget increase would cover these overhead costs and ensure continued arrangements for all Council services currently provided from the centre. The increase for 09/10 has been based on a tendering exercise for CCTV monitoring at Longhill ind estate, conducted during 07/08, when Housing Hartlepool won the contract, as the tenderer with lowest price. Increases in subsequent years will be based on RPI. | High | 38 | 0 | 38 | There is no expenditure showing to date as the Council has not yet been invoiced by Housing Hartlepool. |
| SLA Waiting List Management | | Management and operation of the housing waiting list to ensure proper allocation of housing on the basis of need. This is a statutory service of Hartlepool Council as the Housing Authority. The service is provided through an SLA by Housing Hartlepool, which is the main social housing provider enabling integration with landlord functions, at a nominal cost. Through a required review a realistic cost has been negotiated for the provision of this service and Housing Hartlepool have agreed to provide 50% of the cost subject to Board approval. This provision covers the estimated non budgeted cost to the Council. | High | 60 | 0 | 60 | There is no expenditure showing to date as the Council has not yet been invoiced by Housing Hartlepool. |
| Total Contingency | | | | 119 | 15 | 119 | |
| Total all departments | | | | 448 | 82 | 364 | |

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual - Over/ (Under) spend £'000 | Variance to Date £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|--|---------------------------------|--|----------------------------------|---|--|
| | Adult & Community Services | | | | | |
| 30 | Supporting People Projects | 15 | 15 | 0 | 0 | |
| 149 | Supporting People Administration | 80 | 80 | 0 | 0 | |
| 261 | Adult Social Care Workforce | 119 | 99 | (20) | 0 | The current favourable variance is a result of delayed spend on staff training. It is not expected to continue as new training needs are highlighted and planned courses are taken up during the year. |
| 480 | Carers (80% Adult) | 198 | 175 | (23) | 0 | The current favourable variance relates to the slippage of specific projects into the second half of the year. It is anticipated this funding will be spent by the year end. |
| 164 | Learning & Disability Development Fund | 22 | 22 | 0 | 0 | |
| 99 | Local Involvement Networks (LINKS) | 71 | 65 | (6) | 0 | |
| 119 | Mental Capacity Act & Independent Mental Capacity Advocate Service | 33 | 33 | 0 | 0 | |
| 344 | Mental Health | 172 | 172 | 0 | 0 | |
| 322 | Preserved Rights | 161 | 161 | 0 | 0 | |
| 46 | WNF - Mobile Maintenance | 0 | 0 | 0 | 0 | |
| 91 | WNF - Mental Health Dev. & NRF Support Network, MIND Manager & NDC Support Network | 91 | 91 | (0) | 0 | |
| 20 | WNF - Integrated Care Teams - PCT | 20 | 20 | 0 | 0 | |
| 25 | WNF - Connected Care - Manor Residents | 25 | 25 | 0 | 0 | |
| 51 | WNF - Skills to Work HBC | 0 | 0 | 0 | 0 | |
| 10 | WNF - Economic Impact Evaluation of the Tall Ships | 0 | 0 | 0 | 0 | |
| 44 | WNF - Belle Vue Sports | 44 | 44 | (0) | 0 | |
| 28 | WNF - Exercise Referral | 14 | 9 | (5) | 0 | |
| | Chief Executives | | | | | |
| 40 | WNF - Financial Inclusion - HBC | 20 | 9 | (11) | 0 | |
| | Corporate | | | | | |
| 21 | Climate Change | 0 | 0 | 0 | 0 | |
| | Childrens Services | | | | | |
| 31 | 14-19 Flexible Funding Pot | 31 | 31 | 0 | 0 | |
| 90 | Care Matters | 44 | 24 | (20) | 0 | |
| 99 | Carers (20%) | 99 | 82 | (17) | 0 | |
| 223 | Child and Adolescent Mental Health Service | 214 | 217 | 3 | 0 | |
| 17 | Child Death Review Processes | 17 | 17 | 0 | 0 | |
| 42 | Children's Social Care Workforce | 42 | 42 | 0 | 0 | |
| 395 | Children's Fund | 314 | 316 | 2 | 0 | |
| 25 | Choice Advisors | 11 | 12 | 1 | 0 | |
| 1,106 | Connexions | 536 | 537 | 1 | 0 | |
| 6 | Designated Teacher Funding | 0 | 0 | 0 | 0 | |
| 43 | Education Health Partnerships | 3 | 3 | 0 | 0 | The majority of expenditure will be incurred from September 2009, coinciding with the new academic year. |
| 18 | Extended Rights to Free Transport | 6 | 6 | 0 | 0 | The majority of expenditure will be incurred from September 2009, coinciding with the new academic year. |
| 477 | Extended Schools Start Up costs | 477 | 477 | 0 | 0 | This funding has been transferred into all Schools Budgets. |
| 404 | Positive Activities for Young People | 105 | 82 | (23) | 0 | |
| 68 | Secondary National Strategy - Behaviour & Attendance | 2 | 2 | 0 | 0 | The majority of expenditure will be incurred from September 2009, coinciding with the new academic year. |
| 108 | Secondary National Strategy - Central Co-ordination | 0 | 0 | 0 | 0 | The majority of expenditure will be incurred from September 2009, coinciding with the new academic year. |
| 72 | Primary National Strategy - Central Co-ordination | 0 | 0 | 0 | 0 | The majority of expenditure will be incurred from September 2009, coinciding with the new academic year. |

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual - Over/ (Under) spend £'000 | Variance to Date £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|--|---------------------------------|--|----------------------------------|---|--|
| 287 | School Development Grant | 15 | 16 | 1 | 0 | The majority of expenditure will be incurred from September 2009, coinciding with the new academic year. |
| 42 | School Improvement Partners | 31 | 31 | 0 | 0 | |
| 26 | School Intervention Grant | 5 | 5 | 0 | 0 | The majority of expenditure will be incurred from September 2009, coinciding with the new academic year. |
| 7 | Sustainable Travel | 0 | 0 | 0 | 0 | The majority of expenditure will be incurred from September 2009, coinciding with the new academic year. |
| 144 | Teenage Pregnancy Prevention | 32 | 21 | (11) | 0 | |
| 2 | Child Trust Fund | 1 | 0 | (1) | 0 | |
| 410 | WNF Primary / Secondary Schools Direct Funding | 284 | 284 | 0 | 0 | The majority of expenditure will be incurred from September 2009, coinciding with the new academic year. |
| 51 | WNF Education Business Links | 26 | 26 | 0 | 0 | The majority of expenditure will be incurred from September 2009, coinciding with the new academic year. |
| 4 | WNF NAP North Flexible Fund | 0 | 0 | 0 | 0 | Funding to be transferred back to Regeneration & Planning as scheme was completed in 2008/09. |
| 41 | WNF New Initiatives | 0 | 0 | 0 | 0 | The majority of expenditure will be incurred from September 2009, coinciding with the new academic year. |
| 5 | WNF Project Co-ordination | 3 | 3 | 0 | 0 | |
| 51 | WNF On-Track Project | 3 | 3 | 0 | 0 | |
| 89 | WNF - Reducing Childhood Obesity | 2 | 2 | 0 | 0 | The majority of expenditure will be incurred from September 2009, coinciding with the new academic year. |
| 60 | 14 - 19 Reform Support | 10 | 10 | 0 | 0 | The majority of expenditure will be incurred from September 2009, coinciding with the new academic year. |
| | Neighbourhood Services | | | | | |
| 184 | Road Safety Grant | 70 | 70 | 0 | 0 | |
| 30 | Rural Bus Subsidy | 0 | 0 | 0 | 0 | |
| 4 | WNF - Neighbourhood Renewal/Hartlepool Partnership | 2 | 2 | 0 | 0 | |
| 38 | School Travel Advisers | 19 | 7 | (12) | 0 | |
| 159 | WNF Environmental Enforcement Wardens | 79 | 79 | 0 | 0 | |
| 109 | WNF Environmental Action Team | 59 | 54 | (5) | 0 | |
| 66 | WNF Schools Environmental Action Officer | 21 | 21 | 0 | 0 | |
| | Regeneration & Planning | | | | | |
| 47 | Cohesion | 24 | 28 | 4 | 0 | |
| 301 | Stronger Safer Communities Fund (Neighbourhood Element) | 105 | 110 | 5 | 0 | |
| 182 | Stronger Safer Communities Fund (BSC,ASB & DPSG Elements) | 172 | 172 | 0 | 0 | |
| 40 | Young People Substance Misuse Partnership | 188 | 188 | 0 | 0 | |
| 40 | WNF - Adventure Traineeship - West View Project | 20 | 20 | 0 | 0 | |
| 51 | WNF - Employment Support - Hartlepool MIND | 26 | 26 | 0 | 0 | |
| 57 | WNF - Support for existing businesses to expand | 7 | 7 | 0 | 0 | |
| 26 | WNF - Active Skills - West View Project | 13 | 13 | 0 | 0 | |
| 37 | WNF - Career Coaching - HVDA | 18 | 18 | 0 | 0 | |
| 83 | WNF - Level 3 Progression - HCFE | 42 | 42 | 0 | 0 | |
| 4 | WNF - Administration of LLP | 2 | 4 | 2 | 0 | |
| 62 | WNF - Support for adults into Skills for Life and NVQ Level 2 courses including Citizenship Learning | 0 | 0 | 0 | 0 | |
| 187 | WNF - Safer Streets & Homes, Target Hardening | 93 | 95 | 2 | 0 | |
| 131 | WNF - Dordrecht Prolific Offenders Scheme | 65 | 65 | 0 | 0 | |
| 26 | WNF - Project Assistant | 13 | 21 | 8 | 0 | |
| 71 | WNF - ASB Officer & Analyst | 36 | 31 | (5) | 0 | |
| 67 | WNF - COOL Project | 33 | 49 | 16 | 0 | |

| Approved 2009/2010 Budget £'000 | Description of Best Value Unit | Expected Budget £'000 | Actual - Over/ (Under) spend £'000 | Variance to Date £'000 | Projected Outturn Variance £'000 | Directors Explanation of Variance |
|--|--|---------------------------------|--|----------------------------------|---|-----------------------------------|
| 194 | WNF - FAST | 97 | 106 | 9 | 0 | |
| 10 | WNF - Landlord Accreditation Scheme | 5 | 5 | 0 | 0 | |
| 34 | WNF - LIFE - Fire Brigade | 17 | 17 | 0 | 0 | |
| 200 | WNF - Neighbourhood Policing | 100 | 100 | (0) | 0 | |
| 123 | WNF - HMR - Support for Scheme Delivery | 62 | 62 | 0 | 0 | |
| 140 | WNF - Community Empowerment Network Core Costs | 70 | 70 | 0 | 0 | |
| 90 | WNF - Community Chest | 90 | 90 | 0 | 0 | |
| 236 | WNF - NAP Residents Priorities | 58 | 40 | (18) | 0 | |
| 40 | WNF - NAP Development | 2 | 2 | 0 | 0 | |
| 96 | WNF - Neighbourhood Renewal/Hartlepool Partnership | 13 | 10 | (3) | 0 | |
| 53 | WNF - NR & Strategy Officer (including Skills & Knowledge) | 27 | 25 | (2) | 0 | |
| 47 | WNF - Local Employment Assistance - OFCA | 24 | 24 | 0 | 0 | |
| 36 | WNF - Jobsmart - HBC | 7 | 1 | (6) | 0 | |
| 40 | WNF - Youth into employment - Wharton Trust | 20 | 20 | 0 | 0 | |
| 16 | WNF - Introduction to construction - Community Campus | 8 | 8 | 0 | 0 | |
| 74 | WNF - Women's Opportunities | 37 | 28 | (9) | 0 | |
| 9 | WNF - Enhancing Employability | 4 | 6 | 2 | 0 | |
| 158 | WNF - Homelessness Project | 79 | 80 | 1 | 0 | |
| 46 | WNF - Carers into Training and Employment - Hpool Carers | 23 | 35 | 12 | 0 | |
| 122 | WNF - Targeted Training | 41 | 41 | 0 | 0 | |
| 80 | WNF - Jobs Build | 20 | 20 | 0 | 0 | |
| 242 | WNF - Workroute ILM | 120 | 117 | (3) | 0 | |
| 276 | WNF - Progression to Work - Assisting local people into work | 159 | 159 | 0 | 0 | |
| 100 | WNF - Volunteering into Employment - HVDA | 50 | 50 | 0 | 0 | |
| 217 | WNF - Community Employment Outreach - OFCA/Wharton Annexe/West View Employment Action Centre | 108 | 108 | 0 | 0 | |
| 41 | WNF - Job Club Owton Manor West Neighbourhood Watch & Resident's Association | 21 | 31 | 10 | 0 | |
| 37 | WNF - West View Project | 18 | 18 | 0 | 0 | |
| 28 | WNF - Hartlepool Worksmart - Improving the Employment Offer | 14 | 13 | (1) | 0 | |
| 274 | WNF - Incubation Systems and Business Skills Training HBC/OFCA | 229 | 229 | 0 | 0 | |
| 21 | WNF - Business & Tourism Marketing | 10 | 2 | (8) | 0 | |
| 20 | WNF - Family Case Load Workers | 10 | 3 | (7) | 0 | |
| 36 | WNF - Family Case Load Workers | 0 | 0 | 0 | 0 | |
| 11,925 | TOTAL | 6,048 | 5,910 | (138) | 0 | |

SCRUTINY CO-ORDINATING COMMITTEE

27 November 2009



Report of: Scrutiny Manager

Subject: REQUEST FOR ITEMS FOR DISCUSSION –
JOINT CABINET / SCRUTINY EVENT OF
16 DECEMBER 2010

1. PURPOSE OF REPORT

- 1.1 To request items for discussion at the next Joint Cabinet / Scrutiny Event to be held on 16 December 2010.

2. BACKGROUND INFORMATION

- 2.1 As Members will recall it was agreed that the next meeting of the Joint Cabinet / Scrutiny Event would be held on **Wednesday, 16 December 2009, commencing at 4.30 pm until 5.30 pm in Committee Room B of the Civic Centre.**
- 2.2 A number of suggestions were made at the previous Joint Cabinet / Scrutiny meeting for items to be discussed at future meetings, as outlined in **Appendix A**. Whilst these suggestions will form the basis of future Joint Agendas, I would like to give Members of this Committee a further opportunity to put forward suggestions for items to be included on the agenda for the meeting on the 16 December 2009.

3. RECOMMENDATION

- 3.1 That in addition to the items already identified, suggestions for further agenda items be sought from Members of the Scrutiny Co-ordinating Committee for the Joint Cabinet/Scrutiny Event to be held on 16 December 2009.

Contact Officer:- Joan Wilkins – Scrutiny Manager
Chief Executive's Department - Corporate Strategy
Hartlepool Borough Council
Tel: 01429 284142
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BACKGROUND PAPERS

No background papers were used in the preparation of this report.

JOINT CABINET / SCRUTINY MEETINGS – ISSUES SO FAR AGREED FOR DISCUSSION

Meeting Date – 16 December 2009

Report / Presentations on:-

- 1) Tall Ships – Update – Michelle Daurat, Tall Ships Project Manager - Hartlepool 2010
- 2) Policy development and implementation in relation to the work of the **Regeneration and Neighbourhoods Department**:-
 - (i) Specifically, how various parts of policy in relation to the work of the department are, and could, be used to make a difference;
 - (ii) In more detail, the Council's Housing Policy, covering:
 - The development and delivery of the housing policy, including achievements and challenges;
 - The implications / effects of the housing policy in terms of the Council's budget; and
 - The position and timescale in terms of plans being developed and delivered as part of the Council's housing policy.

Meeting Date – 15 March 2010

Report / Presentation on:-

- 1) Policy development and implementation in relation to the work of the **Child and Adult Services Department**:-
 - (i) Specifically, how various parts of policy in relation to the work of the department are, and could, be used to make a difference;
 - (ii) In more detail, the Elderly and Social Care Provision, covering:
 - An update in terms of Council and national policy in relation to these areas of provision;
 - The pressures, challenges and implications (financial and otherwise) for Hartlepool in providing these services and developing / implementing policy; and
 - How policy in relation to the provision of these services is, or could be, best co-ordinated to ensure the effective outcomes.