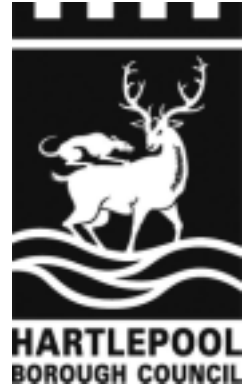


REPLACEMENT AGENDA

CABINET AGENDA



Monday, 6 September 2010

at 9.00 am

**in Committee Room B,
Civic Centre, Hartlepool**

MEMBERS: CABINET:

The Mayor, Stuart Drummond

Councillors Brash, Hall, Hargreaves, Hill, Jackson, Payne and H Thompson

1. APOLOGIES FOR ABSENCE

2. TO RECEIVE ANY DECLARATIONS OF INTEREST BY MEMBERS

3. MINUTES

To receive the Record of Decision in respect of the meeting held on 16th August 2010
(previously circulated)

4. BUDGET AND POLICY FRAMEWORK

- 4.1 Hartlepool Local Sites Review – *Director of Regeneration and Neighbourhoods*
- 4.2 Core Strategy Preferred Options Report – *Director of Regeneration and Neighbourhoods*

5. KEY DECISIONS

- 5.1 Review of Parking Charges – *Director of Regeneration and Neighbourhoods*

REPLACEMENT AGENDA

- 5.2 Travel Efficiency Plan – *Director of Regeneration and Neighbourhoods and Chief Customer and Workforce Services Officer*

6. OTHER ITEMS REQUIRING DECISION

- 6.1 Community Pool 2010/2011 – Belle Vue Community Sports and Youth Centre – *Director of Child and Adult Services*
- 6.2 Quarter 1 – Corporate Plan and Revenue Financial Management Report 2010/2011 – *Corporate Management Team*

7. ITEMS FOR DISCUSSION/INFORMATION

- 7.1 Capital and Accountable Body Programme Outturn Report 2009/2010 – *Chief Finance Officer*
- 7.2 Quarter 1 – Capital and Accountable Body Programme Monitoring Report 2010/11 – *Chief Finance Officer*
- 7.3 Revenue Outturn Report 2009/2010 – *Chief Finance Officer*
- 7.4 Health White Paper: Equity and Excellence: Liberating the NHS (July 2010) – *Director of Child and Adult Services*

8. REPORTS FROM OVERVIEW OF SCRUTINY FORUMS

- 8.1 Responding to the White Paper Equity and Excellence: Liberating the NHS and Liberating the NHS: Local Democratic Legitimacy in Health Consultation – Covering Report – *Chair of the Health Scrutiny Forum*



CABINET REPORT

6th September 2010

Report of: Director of Regeneration and Neighbourhoods

Subject: HARTLEPOOL LOCAL SITES REVIEW

SUMMARY

1. PURPOSE OF REPORT

To inform Cabinet of a recent review of Hartlepool's non-statutory nature conservation and geological sites and to propose changes to the list of those sites as recorded in the 2006 Hartlepool Local Plan.

2. SUMMARY OF CONTENTS

This report summarises the process of a review of non-statutory nature and geological conservation sites and recommends a number of such sites for designation in the Local Development Framework.

3. RELEVANCE TO CABINET

The Hartlepool Local Plan 2006 is part of the Statutory Development Plan for the town and part of the Budget and Policy Framework.

4. TYPE OF DECISION

Non key

5. DECISION MAKING ROUTE

Cabinet meeting on the 6th September 2010 followed by Council on 16 September, 2010.

6. DECISIONS REQUIRED

Cabinet is asked to endorse the findings of the Local Sites review and the amendments to the list of non-statutory nature conservation sites.

CABINET AGENDA



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CABINET REPORT

6th September 2010

Report of: Director of Regeneration and Neighbourhoods

Subject: HARTLEPOOL LOCAL SITES REVIEW

SUMMARY

1. PURPOSE OF REPORT

To inform Cabinet of a recent review of Hartlepool's non-statutory nature conservation and geological sites and to propose changes to the list of those sites as recorded in the 2006 Hartlepool Local Plan.

2. SUMMARY OF CONTENTS

This report summarises the process of a review of non-statutory nature and geological conservation sites and recommends a number of such sites for designation in the Local Development Framework.

3. RELEVANCE TO CABINET

The Hartlepool Local Plan 2006 is part of the Statutory Development Plan for the town and part of the Budget and Policy Framework.

4. TYPE OF DECISION

Non key

5. DECISION MAKING ROUTE

Cabinet meeting on the 6th September 2010 followed by Council on 16 September, 2010.

6. DECISIONS REQUIRED

Cabinet is asked to endorse the findings of the Local Sites review and the amendments to the list of non-statutory nature conservation sites.

Report of: Director of Regeneration and Neighbourhoods

Subject: HARTLEPOOL LOCAL SITES REVIEW

1. PURPOSE OF REPORT

- 1.1 The report describes the process for reviewing Hartlepool's non-statutory nature conservation and geological sites and proposes changes to the list of those sites as recorded in the 2006 Hartlepool Local Plan.

2. INTRODUCTION

- 2.1 Hartlepool Borough Council has undertaken a review of its non-statutory nature conservation sites. In parallel with this, the local geology group (Tees Valley RIGS) has undertaken a review of non-statutory geological sites. The review process has followed guidance produced by Defra in 2006 in their publication "Local Sites: guidance on their Identification, Selection and Management."
- 2.2 The review has been overseen by the Tees Valley Local Sites Partnership. The partnership includes representation from all five Tees Valley unitary authorities, all of which have been carrying out their own Local Sites review

3. REVIEW PROCESS

- 3.1 The Defra guidance states that designation should be based on objective criteria that have been decided by the local sites partnership, taking into consideration the characteristics of the local area. The Tees Valley Local Sites Partnership has agreed a range of criteria for selection of sites. Examples of these include all sites with populations of Common Lizard or Harvest Mice and areas of woodland with 10 or more characteristic plant species. A full list of the criteria can be found on the Tees Valley Biodiversity website at <http://teesvalleybiodiversity.org.uk/wp-content/uploads/2009/08/LWS-Guidelines-V71.pdf>. The Defra guidance states that all sites meeting those criteria should be selected.
- 3.2 All of the existing non-statutory sites were surveyed to see if they met the criteria. In addition other sites that were thought to have substantive nature conservation interest features that might merit designation were surveyed.

- 3.3 The Defra guidance also sought to standardise the names of non-statutory sites. In Hartlepool these are currently known as Sites of Nature Conservation Interest and Regionally Important Geological or Geomorphological Sites. The guidelines recommend that those sites being designated for their biodiversity interest should be termed Local Wildlife Sites and those for the geodiversity interest as Local Geological Sites. Together they are known as Local Sites. This change in terminology has been adopted across the Tees Valley.
- 3.4 The review process in Hartlepool has been led by the Council's Ecologist, working in partnership with the Natural Environment sub-group of the Hartlepool Local Strategic Partnership. The group identified potential sites in Hartlepool and, in some cases, helped to survey them. The group decided on the list of sites to be proposed and recommended them to the Tees Valley Local Sites Partnership to be verified. The list of sites was verified by the Partnership at its meeting on 29th September 2009. A further two sites which came to light later were verified on 14th July 2010.
- 3.5 The results of the review have also taken to the full Environment theme group of the Hartlepool Local Strategic Partnership for information.
- 3.6 All landowners of the various sites have been informed and given the opportunity to comment. Responses have been received from five landowners, two of which raised concerns about the public accessing the sites. These concerns have been responded to and assurance given that designation as a Local Site does not give any right of access to any person to that area of land.

4. RESULTS OF THE LOCAL SITES REVIEW

- 4.1 There are 39 nature conservation sites and one geological conservation site currently listed in the 2006 Hartlepool Local Plan. Of the nature conservation sites, eight did not meet any of the current criteria therefore are proposed for de-designation. Certain of the other sites were amalgamated as they were adjacent to each other and had similar interest features. A further 15 new sites were identified as meeting the criteria. There are now 43 sites proposed for designation as Local Wildlife Sites.
- 4.2 A further five geological sites have been identified bringing the total to six.
- 4.3 The full list of Local Sites and further information on each of them can be found on the Council's website under Ecology

5. DECISION REQUIRED

- 5.1 Cabinet is asked to endorse the findings of the Local Sites review and the amendments to the list of non-statutory nature conservation sites.

6. CONTACT OFFICER

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CABINET REPORT

6th September 2010



Report of: Director of Regeneration and Neighbourhoods

Subject: CORE STRATEGY PREFERRED OPTIONS
REPORT

SUMMARY

1. PURPOSE OF REPORT

To notify Cabinet of feedback from the public consultation on the Core Strategy Preferred Options Report, to highlight changes in national planning policy which will impact on the preparation of the Core Strategy and to seek guidance on next steps including the possible revisiting of the Preferred Options Stage.

2. SUMMARY OF CONTENTS

The report summarises the feedback from the public consultation stage of the Core Strategy Preferred Options which was carried out earlier this year highlighting the main issues of concern and support. The report then sets out recent changes to Government policy which will impact on the preparation of the Core Strategy, in particular the abolition of the Regional Spatial Strategy. Key policy issues are then discussed in greater detail. In the light of this information and officer advice, the report seeks Cabinet guidance on the content and process of the Core Strategy including whether to progress to the Publication Stage or to re-consult on a revised Preferred Options document.

3. RELEVANCE TO CABINET

The Core Strategy sets the strategic planning framework for the town over the next fifteen years and will impact across a number of portfolios.

4. TYPE OF DECISION

The Core Strategy forms part of the plans and strategies which together comprise the development plan and are part of the Council's budget and policy framework.

5. DECISION MAKING ROUTE

Cabinet 6th September 2010

6. DECISION(S) REQUIRED

- i) Members views are requested in relation to key policy issues in the light of the consultation feedback and recent Government policy changes.
- ii) Member's views are requested on whether to progress to Publication stage of the Core Strategy or to re-consult on a revised Preferred Options document.

Report of: Director of Regeneration and Neighbourhoods

Subject: CORE STRATEGY PREFERRED OPTIONS
REPORT

1. PURPOSE OF REPORT

- 1.1 To notify Cabinet of feedback from the public consultation on the Core Strategy Preferred Options Report, to highlight changes in national planning policy which will impact on the preparation of the Core Strategy and to seek guidance on next steps including the possible revisiting of the Preferred Options Stage.

2. BACKGROUND

- 2.1 The preparation of the Core Strategy Development Plan Document is a requirement under the 'Local Development Framework' planning system established by the Planning and Compulsory Purchase Act 2004. The Hartlepool Core Strategy will set out the key elements of the planning framework for the area and will comprise a spatial vision and strategic objectives, a spatial strategy and core policies. It will set out broadly but clearly what kind of place Hartlepool will be in the future; what kind of changes will be needed to make this happen; and how this will be brought about. It will provide the delivery mechanism for the 2008 Sustainable Community Strategy ('Hartlepool's Ambition') and other plans and strategies of the Council and of other bodies in as far as they relate to the use and development of land.
- 2.2 The first public stage in the process was the publication of the Issues and Options Report which was subject to public consultation between October 2007 and February 2008. Feedback from this exercise was taken into account in the preparation of the next stages of the Core Strategy – the Preferred Options. Cabinet approved the Preferred Options Draft Report for consultation on 25th January 2010 along with its accompanying Sustainability Appraisal and Habitats Regulations Assessment. The consultation ran for a period of 8 weeks from 29th January to 26th March 2010 and accorded with the Council's adopted Statement of Community Involvement (SCI). The consultation included a range of measures including direct mailing to statutory consultees, key interest and community groups; attendance at Neighbourhood Consultative Forums, Neighbourhood Action Plan (NAP) forums, the Chamber of Commerce, the Economic Forum and other local group meetings; manned and unmanned exhibition stands in libraries, local

supermarkets and other local venues, and; publication of the report on-line.

- 2.3 Policies within the Core Strategy must be informed by a strong evidence base and preparation has reflected a range of background research and studies including:-
- Hartlepool and Tees Valley Strategic Housing Market Assessments (SHMAs)(2007 & 2008)
 - Strategic Housing Land Availability Assessment (SHLAA) (2010)
 - 5 Year Housing Land Supply (2009)
 - Housing Economic Viability Assessment (2009)
 - PPG17 Open Space Assessment (2008)
 - Employment Land Review (2008)
 - Tees Valley Green Infrastructure Strategy (2008)
 - Strategic Flood Risk Assessment 2007 & 2010
 - Hartlepool Retail Study 2009
 - The Central Area Investment Framework 2009
- 2.4 The Preferred Options document was also required to reflect national and regional policy including those in the Regional Spatial Strategy (RSS) which set out general locations for delivering the housing and other strategic development needs such as employment, retail, leisure, community and essential public services and transport development. The Preferred Options document reflected Hartlepool's need to sustain and improve the town's economy, protect the environment and deliver a range of sustainable housing which reflect local need.
- 2.5 In preparing the Preferred Options document, a number of key issues were identified which the document at the time sought to address. These included:-
- Victoria Harbour is not progressing as a mixed-use redevelopment site as anticipated and it is likely that the 3,500 new homes on brownfield land envisaged will not be delivered in the short to medium term. The Hartlepool Docks area should therefore be identified as land for port related development to take advantage of potential new investment opportunities in offshore wind and sustainable energy.
 - taking the Regional Spatial Strategy targets for housing into account and the omission of Victoria Harbour mixed use land,
 - there was a need for the Core Strategy to re-think the overall strategy on the delivery of possible housing sites in the Borough.
 - the Strategic Housing Land Availability Assessment (SHLAA)

has assessed a wide variety of housing sites across the Borough looking particularly at suitability, availability and achievability.

- some of these sites might be alternative locations in place of Victoria Harbour.
- the Hartlepool and Tees Valley Strategic Housing Market Assessments (2007 & 2008) have illustrated the need for more affordable housing on developments within the town, the shortage of bungalows within the Borough and the saturation of the market for apartments.
- the Employment Land Review has assessed the various employment designations within the Hartlepool Local Plan and has suggested some de-allocations.
- Hartlepool has been identified as one of 10 potential sites within England and Wales which would be suitable for a new nuclear power station.
- climate change needs a high profile within the Core Strategy in line with the advice contained within Government Guidance.
- the recognition of the planning permission granted for the hospital at Wynyard Park.
- the economic downturn and the impacts it has had, especially within the town centre area and delivery of new housing, need to be reflected.
- work on the Planning Policy Guidance Note 17 Assessment and the Tees Valley Green Infrastructure Strategy has helped to illustrate where there are shortfalls and deficiencies in the provision.
- the Retail Study 2009 raised a number of major concerns with regards to the Town Centre. There was a higher than average number of vacant units in the centre particularly Middleton Grange. As a result the study advocated that extreme caution should be exercised in permitting new retail floor space outside the Town Centre.

3. CONSULTATION FEEDBACK

- 3.1 The 8 week consultation period generated 460 responses, 395 of which were from individual Hartlepool residents, 5 from statutory consultees 15 from consultancies/house builders and 45 from other stakeholder groups and organisations. A detailed summary of all responses received is set out in the Consultation Statement which is attached as **Appendix 1** and which will be published on the Council's

website. The main features of the consultation are highlighted in the following paragraphs.

- 3.2 In terms of residents' feedback, the majority of representations related to the proposed housing allocations at Claxton/Brierton, Tunstall Farm and Quarry Farm. The main objections relate to an overestimation of housing demand over the next 15 years, the loss of green field land when there is ample brown field land to use, traffic increase and congestion, flooding issues and damage to wild life and biodiversity.
- 3.3 There were some comments about lack of awareness and publicity surrounding the consultation and suggestions that the Council should have leaflet dropped specific areas where proposals were so large and potentially contentious. Officers consider that the consultation stage was widely publicised (see Appendix 1) and in accordance with the approved Statement of Community Involvement although they will take account of these responses for future consultation stages and balance these against time and cost factors.
- 3.4 Five responses were received from Statutory Consultees – Government Office North East, the Highways Agency, Natural England, English Heritage and the Environment Agency. All were supportive of the Core Strategy as set out in the Preferred Options 2010 document and had no major objections although each made specific references on individual policies. Particular issues raised by each organisation included:-
- **Government Office North East** – need to ensure deliverability of policies within plan period and comments on specific drafting/wording of policies.
 - **Highways Agency** – generally supportive of housing locations in the urban area; some sustainability concerns about sites at Wynyard and North Burn that do not reduce the need to travel as they are isolated from existing facilities and services.
 - **Natural England** – need greater reference to protection, management and enhancement of the natural environment in the Vision statement; should have regard to requirements of PPS9 regarding biodiversity interest on brown field land; decommissioning and new build of nuclear power station will require close scrutiny including Appropriate Assessment under the Habitats Regulations, land at Quarry Farm and High Tunstall is of importance to farm land birds and is arable productive land; Wynyard North impinges significantly on several areas of woodland SNCI (Sites of Nature Conservation Interest) and believe housing development here is not appropriate.

- **English Heritage** – object to allocation of land at North Burn on the basis of unsustainability and impact on the natural environment; also suggest Council should indicate its intentions regarding the Community Infrastructure Levy.
- **Environment Agency** – generally supports the document and strongly supports Preferred Options CS13 (Built Environment), CS14 (Open Spaces), and 15 (Natural Environment). Suggests some rewording of CS2 (Climate Change).

3.5 In relation to Planning Consultancies and house builders, all tended to support the locational strategy for compact urban expansion. There were comments raised in relation to phasing of sites, site densities and overall housing figures including statements contesting their accuracy and suggesting increased numbers. Representations were also made by individual consultancies and house builders in support of individual sites in which they have an interest. Representations were also made supporting the de-allocation of some employment land at Oakesway and Sovereign Park.

3.6 Forty-five responses were received from other stakeholders with comments generally specific to issues within their remit or locality. All of these responses are summarised in the Consultation Statement, but some of the main issues raised included:-

- Greatham Parish Council expressing concerns about what is meant by eco-industries to be located at Graythorp.
- Hartlepool College of Further Education concerned about lack of reference to the Innovation and Skills Quarter within the town centre.
- Concerns raised by several parties around waste management sites close to the town centre.
- Tees Archaeology requesting that the spatial vision should include reference to the historic environment including Listed buildings, conservation areas Scheduled Ancient Monuments and archaeological sites.
- The Woodland Trust expressing the need to emphasise the importance of ensuring that residents have access to woodland as well as natural green space
- Teesmouth Bird Club advising against the development of Claxton and Brierton.
- Hartlepool Civic Society objecting to the development of the rural hinterland when empty sites remain within the urban fence, suggesting that the Claxton site is too large and would overwhelm Greatham and suggesting there is more industrial land than required
- The Police Liaison Team requesting that there should be more reference to crime prevention and community safety and crime prevention through environmental design.

4. POLICY CHANGES AND IMPLICATIONS

- 4.1 Since the Preferred Options document was published for consultation, there has been a change in Government and the new coalition Government has made a number of policy pronouncements with regard to planning. One of the most significant so far is the decision to abolish the Regional Spatial Strategy. Local authorities no longer need to take account of the policies within the RSS.
- 4.2 This has implications in particular in relation to the delivery of housing targets. Under the RSS each local authority had an identified housing target which set out the number of houses to be built annually towards a total target of achievement over the whole Plan period. For Hartlepool the annual target was 395 net additional dwellings per annum with a total number over the RSS period (2004- 2021) of 6730. These targets were initially based on evidence of need and informed by information provided at the local and Tees Valley level which reflected the Tees Valley's aspiration of achieving economic growth. Whilst the Council is no longer tied to achieving these targets, evidence in the SHMAs still indicate a current housing shortage in the Borough and a need to deliver a substantial number and range of new housing to meet current need as well as supporting economic growth aspirations. In addition the coalition Government has reasserted the aims of the previous administration towards delivering new homes by offering financial incentives to Councils to build new homes. Details of these incentives are still emerging, but would be seen as replacing the previous Housing and Planning Delivery Grant scheme.
- 4.3 The RSS targets for net additional housing across the region have consistently not been met and in Hartlepool, at the time of the RSS suspension, the Borough was approximately 900 dwellings behind the cumulative housing target. The RSS targets have proven to be locally unrealistic and essentially difficult to achieve in the Borough bearing in mind the previous and current housing market. In view of the RSS suspension and the performance of the housing market, with particular reference to the downturn in the private housing market, officers have re-assessed the housing targets and propose a reduced local housing provision for the Borough. A housing provision report is currently being produced by officers and when published it will contribute to the existing LDF evidence base.
- 4.4 The reduction of overall housing numbers will not restrict growth, it will reflect the actual local housing provision that is needed and that can be realistically delivered over the period of the Core Strategy. The reduction will help the Council to control development more effectively as these targets will be reflected in the five year housing land supply document, which provides evidence and indicative phasing of development over the next five years. If such targets are not being met

it would give potential developers some justification for bringing forward alternative development sites which may not be considered a priority for the Council.

- 4.5 The other main implication of the suspension of the RSS relates to the allocation of employment land. Previously, the RSS safeguarded land for general employment, port related activity, chemical and steel uses and at key employment locations. Within Hartlepool, the RSS identified Wynyard Business Park and North Burn as Key Employment location (KEL's). The RSS suspension provides the opportunity to locally reassess these allocations. The Core Strategy will continue to safeguard land for general employment, port related activity and chemical and steel uses, however, it will not allocate land as key employment locations. This is important bearing in mind that opportunities for Samsung scale high tech investment are now extremely limited; that there is a large amount of currently allocated land in this area, and; that the Core Strategy is essentially about facilitating development over a period of 15 years. Looking at the two sites, whilst Wynyard Business Park is currently under development, the North Burn site is not. The prospect of securing development on this site within the Core Strategy Plan period are relatively slight and to develop this in isolation would require significant investment in creating access and improving the existing highway network to facilitate development. Informal discussions with developers who have an interest in the site suggest that they have no immediate development plans for this land. Bearing this in mind, it is suggested that the allocation of North Burn as a high tech employment site be removed from the Core Strategy.

5 CONSIDERATION OF ISSUES

- 5.1 The Preferred Options report identified 16 key policies under 7 themes. These themes related to an overall Locational Strategy, Minimising and Adapting to Climate Change, New Development, Housing, Strengthening the Local Economy, Environment and Transport. General consultation feedback is summarised in Section 3 of this report, however, it is fair to say that the vast majority of responses received related to the identification and location of sites for housing development.
- 5.2 Since the closure of the consultation period, officers have continued dialogue with site developers, owners and/or agents and some statutory consultees to gain a better understanding of their representations and to discuss how issues and objections raised could be addressed. Meetings have also been held with objectors and/or their representatives to allow them clarify their concerns. The key sites are considered in greater detail below.

South Western Extension (Claxton/Brierton/Eaglesfield Road)

- 5.3 This is included as the main strategic housing area and was identified as the most sustainable site for delivering housing targets following the decision not to pursue the Victoria Harbour master plan. The site would provide 2,750 dwellings in a sustainable extension to the town providing a mix and balance of good quality housing with associated green infrastructure and local amenities.
- 5.4 The site raised no objection from statutory undertakers and service providers but received a large number (258) of objections from local residents, Hartlepool Civic Society and Teesmouth Bird Club particularly in relation to the Claxton part of the site. Issues related mainly to future demand for housing, the existence of empty properties elsewhere, urban sprawl, loss of high quality landscape and countryside, loss of habitats, sustainability, pressure on existing services, and impact on existing properties. Officers have subsequently attended public meetings with the Fens Residents Association to discuss these issues of concern. Meeting have also been held with the developers with an interest in the site who are preparing a master plan for the area at which the issues raised by the objectors were discussed with a view to discussing how these impacts could be minimised and addressed.
- 5.5 Whilst the level and nature of the objections to this site are noted, it is imperative that housing development land, in addition to recognised brownfield sites, is identified to deliver the required housing numbers to satisfy identified local need. Officers consider that this is the most sustainable option to achieve this as it fits the locational strategy preference of compact urban growth, the scale allows for the development of a community which relates well with adjacent established housing areas and the establishment of high quality green infrastructure and sustainable transport links, in a location which would not adversely impact on, and should provide positive support towards town centre regeneration.

Victoria Harbour

- 5.6 Victoria Harbour is identified for port related activity in the locational strategy following previous attempts to secure a mixed use scheme delivered against an overall master plan. Allocation as employment land for port related activity will support the provision of much needed jobs within a central location and help take advantage of new and emerging opportunities in offshore wind and sustainable energy development.
- 5.7 Representation has been received from the port owners PD Ports objecting to the exclusion of mixed use elements within their site. The objection states that the site remains suitable for, and should be allocated in part as, a strategic site for mixed use development, albeit

not on the same scale as previously proposed in the Local Plan and master plan. Subsequent discussions have been held with the owners and their agents and sketch plans have been presented which show two areas of residential led mixed use development, one to the south-west and one to the south-east of their site. PD Ports consider that although the main focus of their land would be on port related activity, there is scope to accommodate some mixed use elements across the site.

- 5.8 Officers views are that the delivery of a mixed use scheme for Victoria Harbour should be on the basis of a properly planned and phased master plan for the site and in accordance with supplementary planning guidance (SPD) which secures appropriate infrastructure, design quality, landscaping, zoning of uses etc. Piecemeal development of such an important site should not be supported, as it would not deliver the transformational enhancements that a carefully planned and controlled scheme would achieve. In addition to this, it is considered that residential uses particularly in the locations proposed, would not be compatible with the heavy engineering uses that would likely be associated with the manufacture of offshore wind turbines or related structures or equipment. The Council's Public Protection team has serious concerns regarding the suitability of residential uses adjacent to potential major manufacturing, engineering and fabrication uses. It is suggested therefore that the proposed identification of this site for port related use should remain.

Wynyard Business Park

- 5.9 Detailed and extensive representations have been received from agents acting on behalf of Wynyard Park Ltd. objecting to aspects of the Preferred Options document and seeking to justify support for the development of an alternative housing provision within a mixed use development at Wynyard Business Park. The main objections can be summarised as follows:-

- there is a substantial shortfall in Hartlepool's housing supply
- the proposed western urban extension and south-western extension are not supported by any robust evidence which demonstrates an assessment against all reasonable alternatives
- initial housing market evidence (provided) has indicated that the concentration of a large proportion of new housing in urban extensions, particularly the south-west extension will not adequately meet the needs of the housing market
- the importance of the Key Employment Location (KEL) in delivering a step change in Hartlepool's economic performance is not recognised and no provision is made for its delivery
- there is insufficient recognition of the potential of the new hospital at Wynyard Park to deliver opportunities for Hartlepool and the sub-region, and
- Wynyard Park should be identified as a location for mixed-use development whilst maintaining its function as a KEL.

- 5.10 Following the closure of the consultation period, there have been a series of meetings and discussions with consultants acting on behalf of Wynyard Park to allow Wynyard Park to explain in greater detail their plans for this extensive site, and to discuss issues of concern raised by officers. Wynyard Park believe that accelerated economic development of the KEL can be achieved by broadening the current use to include a range of housing accommodation on the site along with associated amenities. The development of the proposed hospital would provide significant additional momentum as it would support the development of a cluster or series of clusters of medical associated businesses. Wynyard Park have referred to evidence of mixed use sites in other parts of the country and in Europe which have achieved significant success on the back of this model.
- 5.11 Whilst indicating support for the business investment in the KEL and the potential benefits that the hospital development would bring, (although the recent decision by the Government not to provide the public funding to support it's development may make this aspect more difficult to achieve), officers have expressed strong reservations about the merits of substantial numbers of houses at Wynyard Business Park. There is particular concern about the impact a large number of new houses would have on the existing areas of Hartlepool and in particular the core urban area. Although lying within Hartlepool's boundary the location of the site is such that occupiers of the properties may not see Hartlepool as the natural focus for their social and economic needs and development here at the expense of sites closer to Hartlepool may impact negatively on the development of the town. There are also issues related to sustainability in terms of location and travel patterns which would support the argument for the development of alternative sites for housing.
- 5.12 In terms of issues raised about housing delivery and the robustness of the evidence base, officers are of the view that there is strong and sufficient evidence to support the sites included in the Preferred Options document. As acknowledged earlier in this report recent re-evaluation of the evidence base suggests the overall housing targets may be reduced. Evidence in the Strategic Housing Land Availability Assessment (SHLAA) confirms that there are sufficient alternative sites available to achieve housing targets.
- 5.13 The Tees Valley Strategic Housing Market Assessment does however, highlight a shortage across the sub-region of high quality executive housing which is evidenced by a migration from the Tees Valley to areas of North Yorkshire and Durham. Wynyard is one of the few locations within the Tees Valley with the potential to compete with these areas and to provide a suitable alternative location. It is considered therefore that there may be potential to accommodate a limited amount of very low density, high quality (in terms of design and eco-standards) executive housing within a closely defined part of the

site. This would cater for the sub-regional market whilst providing support for the development of the business park.

Tunstall Farm

- 5.14 Tunstall Farm is identified in the Preferred Options document as a site for executive housing accommodating around 60 dwellings. The site was included in response to an identified need for executive housing which will contribute to a balanced housing supply and support the retention and attraction of higher socio-economic groups which are important to the future success of the town's economy. Discussions with developers and evidence in the SHMA had identified 'pockets to the west of Hartlepool as appropriate locations for new executive housing'.
- 5.15 The inclusion of this site has generated a considerable number of objections (119, compared with 3 in support) from local residents and organisations, who have expressed strong concerns primarily in relation to on-site affordable housing provision, flooding/drainage, disturbance generated by increased traffic, loss of green field land, visual amenity, impact on existing services particularly local schools and impact on existing residential properties.
- 5.16 Discussions have taken place with the developers who have an interest in the site to highlight the concerns raised, and to discuss illustrative site layouts submitted by them particularly in the context of housing densities. The developers have expressed a desire to increase the density to allow approximately 80 executive homes (4/5 bed detached with generous plots and double garage) on the site at a density of 11.6 to the hectare. (the Department of Communities and Local Government estimates that the average density of new build in England in 2008 and 2009 was 43 to the hectare). The proposed developers of the site have met with the Council's Engineers and initial flood risk investigations have taken place. The developers have given strong assurances that their proposals in conjunction with flood alleviation work already committed by the Council can solve the long term flooding problems at Valley Drive. This represents a potential significant planning gain for the local community.
- 5.17 Whilst acknowledging the level and nature of objections raised, officers consider that Tunstall Farm should remain within the Core Strategy as a site for executive housing, for reasons highlighted in paragraph 5.14.

Quarry Farm

- 5.18 Quarry Farm is included in the Preferred Options as part of the Western Extension housing area. It is identified as accommodating approximately 300 dwellings of a range of types with an emphasis on family and executive homes. Associated with the development would

be a significant area of land set aside for new planting, landscaping and natural amenity.

- 5.19 30 representations have been received in relation to this site, all but one being letters of objection. These included representations from Hartlepool Civic Society and Elwick Parish Council. The main objections related to traffic and congestion problems, particularly increased traffic through Elwick village, increased risk of flooding, environmental impact on wildlife habitats and ecosystem, security/crime and development outside the existing urban fence. The developers with an interest in the site have expressed a commitment to providing substantial compensatory landscape and habitat enhancements on adjacent land. They have also included representation requesting land to be released earlier in the programme for development and proposing reduced development densities which would result in fewer houses on site.
- 5.20 In physical terms the site represents a significant incursion into the countryside on the western fringe and the Council has in the past resisted development here, and this action was supported on appeal. Inclusion in the Preferred Options document, however, reflected the current need to provide a range and choice of sites and to achieve the housing targets identified in the RSS. With the abolition of the RSS and the identification of revised housing targets there is scope to reduce the number of sites allocated for development. Of those sites included in the Preferred Options document, this is one which officers would recommend for removal from the Core Strategy in reflection of the reduced housing targets, its physical location and the impact on the urban form of Hartlepool.

Affordable Housing

- 5.21 A further issue to consider relates to the development of policies for affordable housing. The intention to date has been to prepare a separate Development Plan Document (DPD) containing policies which set out requirements for the provision of affordable housing on new sites. The original reasoning behind the preparation of a separate Affordable Housing DPD was to put in place affordable housing policies as quickly as possible, and this reflected the timescale for producing the Core Strategy. The Affordable Housing DPD had progressed to Preferred Options stage but delays caused by the need to undertake viability assessments on affordable sites as evidence for the policy proposals, has meant that the timescales for the adoption of the two documents have come closer together.
- 5.22 Subsequent discussions with Government Office North East (GONE) led to strong advice from them that the affordable housing policies should be incorporated within the Core Strategy. Taking this on board, officers are now of the view that the affordable housing policies should

be incorporated within the Core Strategy. Not having to produce two documents will have some cost savings, particularly in officers time, reduced consultation costs and the removal of the requirement to have two Examinations in Public.

- 5.23 It is the intention to bring a report to the next Cabinet meeting seeking approval to submit an amended Local Development Scheme (LDS) to GONE for approval. The LDS is the document which sets out a rolling programme for the preparation of documents relating to forward planning and contains details of which documents will be produced and the timetable for doing so. This document is updated on a regular basis. The revised LDS will reflect the merger of the two documents, and Cabinet will be asked to approve this proposal at that time.

6 NEXT STEPS

- 6.1 Taking the Core Strategy forward, the next step in the process would normally be to move to Publication stage whereby the Council after taking account of feedback from the Preferred Options consultation would produce its 'proposed' Core Strategy document. Following a period of further consultation the Publication document would then be submitted to the Secretary of State. There would then follow a Public Examination in front of an independent Inspector where objectors and interested parties can make formal representation on aspects of the Core Strategy. Once the Council has produced the Publication Document, there is a presumption that there will be minimal, if any, further changes to the document before submission.
- 6.2 If the Preferred Options stage leads the Council to consider making significant policy changes there is the option to revisit this stage and to carry out further consultation on a revised Preferred Options document. Given the policy changes outlined in this report, resulting from the proposed abolition of the RSS including reduced housing targets and removal of North Burn, and the discussions and representations relating to proposed housing sites, it is suggested that the Preferred Options stage is revisited. If Cabinet is in agreement, it would be the intention to bring a report back to Cabinet in October/November seeking authority to consult on a revised version of the Preferred Options document.

7 LEGAL CONSIDERATIONS

- 7.1 There is a statutory duty to prepare a Local Development Framework (LDF) in accordance with the Planning and Compulsory Purchase Act 2004. The Core Strategy is one of the main Development Plan Documents which forms part of the LDF.

8 EQUALITY AND DIVERSITY CONSIDERASTIONS

- 8.1 Consultation on the Preferred Options document has been carried out in accordance with the Council's adopted Statement of Community Involvement (SCI). The SCI was prepared in compliance with the Hartlepool Compact and its associated protocols.

9 FINANCIAL CONSIDERATIONS

- 9.1 There will be some minimal additional costs associated with publicising and managing a further Preferred Options consultation process and these costs would need to be funded through existing departmental budgets. There could be long term savings relating to reduced costs associated with the 'Examination in Public' stage if objections/issues can be resolved through revised Preferred Options.

10 RECOMMENDATIONS

- 10.1
- i) Members views are requested in relation to key policy issues in the light of the consultation feedback and recent Government policy changes.
 - ii) Member's views are requested on whether to progress to Publication stage of the Core Strategy or to re-consult on a revised Preferred Options document.

11 CONTACT OFFICER

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**CONSULTATION STATEMENT RELATING TO THE
PREFERRED OPTIONS CORE STRATEGY DEVELOPMENT
PLAN DOCUMENT PUBLICATION DRAFT
February – March 2010
HARTLEPOOL BOROUGH COUNCIL**

LOCAL DEVELOPMENT FRAMEWORK

SEPTEMBER 2010



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1.0 INTRODUCTION

- 1.1 This statement outlines the consultation undertaken in the preparation of the Council's preferred options Core Strategy Development Plan Document (DPD). The consultation was carried out in accordance with the policies and protocols of the Council's adopted Statement of Community Involvement (January 2010).
- 1.2 This statement outlines the extent of the consultation carried out with stakeholders and the public throughout February and March 2010.
- 1.3 This statement provides details of the consultation and publicity process including local advertisements, consultees, meetings and exhibitions, and sets out in appendix 2 a summary of all the responses received (462 in total) through the eight-week public consultation exercises.
- 1.4 This Statement is released prior to the publication stage of the Core Strategy. Upon receipt of any comments an acknowledgment was sent and information was given stating that a consultation statement would be published in September 2010 at the publication stage. Formal publication will not take place in September, however, it is considered necessary to allow all interested parties the option to view a summary of all the comments received. A summary of all responses received can be viewed in Appendix 2.
- 1.5 **This statement does not include information on how each comment has been dealt with in the formation of the Submission draft Core Strategy; this is because the formulation of the Core strategy Submission draft is still in progress. A further consultation statement will be published during the publication period.**
- 1.6 A copy of this document can be found on the Council's website at www.hartlepool.gov.uk or a copy can be obtained by contacting the Planning Policy Team on 01429 284308.

2.0 CONSULTATION RELATED TO THE DEVELOPMENT OF THE PREFERRED OPTIONS

2.1 During the development of the Council's Preferred Options, regular meetings were arranged and attended by Planning Policy Team members and these included on occasions officers from other Council departments who provided advice on their specialist areas.

2.2 Internal Discussions

Frequent internal consultation took place with the following council members, officers and departments:

- The Mayor
- Director of Regeneration & Neighbourhoods
- Assistant Director, Planning & Regeneration
- Urban Policy Team
- Development Control Team
- Community Regeneration Team
- Housing Regeneration and Policy Team
- Highways, Traffic and Transportation Team
- Sustainability Officer
- Ecologist

2.3 Members Training

A Members seminar was held on 11th September 2009 to help ensure that all Councillors were fully informed about the Core Strategy proposals and how they or the ward members can get involved in shaping the document.

2.4 Steering Group

A steering group was set up where a cross discipline group of officers could share and reflect on information already collected.

2.5 Steering Group one was held on Thursday 24th September 2009 and officers from the following council departments attended:

- Director, Regeneration & Neighbourhoods
- Head of Regeneration
- Assistant Director, Planning & Economic Development
- Assistant Director, Community & Services
- Urban Policy Team
- Sustainability Officer
- Economic Development Team
- Development Control Team
- Landscape & Conservation (Ecologist)
- Parks & Countryside Team
- Transportation & Traffic Team
- Environmental Standards (Climate Change Officer)

2.6 The second steering group was held on 7th January 2010 and officers from the following council departments attended:

- Chief Executive
- Director, Regeneration & Neighbourhoods
- Assistant Director, Regeneration & Economic Development
- Assistant Director, Neighbourhood Services

- Assistant Director, Community Services
- Head of Procurement, Property & Public Protection
- Urban Policy Team
- Support Services Team
- Community Strategy Team
- Economic Development Team
- Landscape Planning & Conservation Team
- Transportation & Traffic Team
- Countryside Access Team
- Parks & Countryside Team
- Estates Team
- Environmental Standards Team
- Neighbourhood Managers

2.7 Individual Hartlepool Resident Meetings (held on request)

- Mr and Mrs Ogle

2.8 External Meetings

- Stockton Borough Council
- Government Office North East
- North East Chamber of Commerce

3.0 CONSULTATION RELATING TO THE FORMAL CONSULTATION PERIOD FOR THE PREFERRED OPTIONS

3.1 Advertisement prior to the consultation period

- Advert in Hartlepool mail
- Posters in all Sure Start Buildings
- Posters in all Community centres
- Letters to 391 stakeholders
- Letters to 12 individual local residents

3.2 Public Consultation

Following the publication of the document, the Council began an eight-week public consultation and in order to allow for greater input into the preparation of the Core Strategy DPD, a wider consultation exercise was carried out. This included neighbouring local authorities, parish councils and neighbouring parish councils, key stakeholders and members of the public. A list of consultees is attached at Appendix 2.

3.5 Advertisement During the Consultation Period

Once the consultation had started all information was posted on the Council's web site and the publication of the Core Strategy Preferred Options document and the related consultation were advertised in HartBeat, the town's local magazine.

3.6 Exhibitions

In order to further engage with members of the public, a number of staffed and unstaffed public exhibitions were organised.

3.7 Central Library

These exhibitions commenced with a permanent exhibition in the Borough's central library, the exhibition was staffed on the following dates:

Day	Date	Time
Wednesday	3/2/10	2 - 7pm
Saturday	13/2/10	10am - 1:30pm
Saturday	6/3/10	10am - 1pm
Wednesday	24/3/10	10am - 3pm

3.8 Supermarkets

Whilst the library exhibitions were useful in raising the awareness of the documents and gaining feedback from the public it was considered that the exhibitions also needed to be situated in other locations, which were highly visible to and well used by the community. It was decided that the exhibition should be displayed and staffed by Council officers in local supermarkets. The table below shows when and where these events took place.

Location	Day	Date	Time
Sainsburys	Thursday	18/2/10	11am - 6pm
Morrisons	Wednesday	24/2/10	11am - 6pm
Tesco	Friday	5/3/10	11am - 6pm
Asda	Thursday	18/3/10	10am - 4pm

3.9 Middleton Grange Shopping Centre

The Middleton Grange Shopping Centre was also considered to be a location with a significant footfall, and it was considered to be a suitable location to hold a number of staffed exhibitions.

The table below shows the dates and time when the exhibitions were held in the shopping centre.

Day	Date	Time
Wednesday	10/2/10	9-4pm
Thursday	11/2/10	9-4pm
Wednesday	10/3/10	9-4pm
Thursday	11/3/10	9-4pm

3.10 The Hartlepool Partnership

The Hartlepool Partnership is the town's Local Strategic Partnership (LSP) and brings together key stakeholders from the public, private and community sectors. The Hartlepool Partnership is a network of partnerships working to ensure everyone in Hartlepool has a good standard of living and access to quality services that improve year on year.

A report was taken to the meeting on Friday 19th March 2010 for information and discussion. Officers were on hand to answer any questions raised and members of the Partnership were given relevant contact details should they wish to submit a formal representation.

3.11 Neighbourhood Meetings

To further ensure that Hartlepool residents had the opportunity to view the Core Strategy and associated documents and discuss any concerns or ideas they had, at a time and location that was convenient for them, a series of day time and evening community meetings were arranged and attended.

Location	Day	Date	Time
Fens Residents Association Committee meeting	Tuesday	9/2/10	7pm – 9pm
North Neighbourhood Forum	Wednesday	17/2/10	10am -12noon
Central Neighbourhood Forum	Thursday	18/2/10	10am -12noon
South Neighbourhood Forum	Friday	19/2/10	10am -12noon
Greatham Parish Council public meeting	Tuesday	2/3/10	6:30-9pm
Tees Valley Rural Forum Thursday	Thursday	4/3/10	6-8pm
Talking to Communities Meeting	Wednesday	10/3/10	12-2.45
Fens Public Meeting	Monday	22/3/10	7pm-9pm

3.12 Neighbourhood Action Plan (NAP) Meetings

Neighbourhood Action Plans have been developed to ensure that local residents in the most deprived areas of the town have the opportunity to play a role in making their neighbourhood a better place to live. The NAPs identify residents priorities and Council officers work alongside ward councillors and other service providers, to identify and address the issues identified within their neighbourhood plan.

Regular NAP Forum meetings are held and it was considered essential to tap into these meetings to allow the Planning Policy Team to interact directly with residents from these communities, in a forum where everyone feels relaxed and happy to chat through their concerns for their area and the wider town. The table below shows the meetings attended during the consultation period.

Location	Day	Date	Time
Rossmere Nap (Jutland RD Community Centre)	Tuesday	16/2/10	10am - 12noon
Headland NAP (Funky World)	Wednesday	17/2/10	6pm
Owton NAP (Manor Residents)	Thursday	18/2/10	10am - 12noon
Dyke House NAP (Avondale Centre)	Friday	19/2/10	1pm - 3pm
Town Centre Communities (Belle Vue Centre)	Wednesday	24/2/10	5.30pm
West View NAP (St John Vianney)	Thursday	25/2/10	1pm – 3pm
Burbank NAP (Burbank Community Centre)	Tuesday	2/3/10	9.30am - 11.30am
Rift House NAP (Rift House Community Building)	Thursday	4/3/10	6pm – 8pm
Throston NAP	Friday	12/3/10	1pm – 3pm
Central NAP (Hartlepool Community Centre)	Monday	15/3/10	6.30pm – 8pm

3.13 External Stakeholder Meetings

Presentations were given to the following external organisations

North East Chamber of Commerce, 3rd March 2010.

Hartlepool Housing Partnership, 3rd March 2010

Hartlepool Economic Forum 8th March 2010.

4.0 POST PREFERRED OPTIONS CONSULTATION

- 4.1 Following receipt of 462 comments, to assist with the preparation of the submission draft Core Strategy Habitat Regulations Assessment and a Sustainability Appraisal and to inform the Infrastructure Strategy, meetings were held with the following key stakeholders:
- 4.2 HBC Internal Officer Meetings
- Education
 - Estates
 - Economic Development
 - Major Projects
 - Housing, Regeneration and Policy
 - Education Services
- 4.3 External stakeholders
- Highways Agency
 - Environment Agency
 - Natural England
 - Government Office North East
 - Durham County Council
 - CABE
 - Wynyard Park Ltd.
 - PD Ports
 - Yuill Homes Limited
 - Nathaniel Litchfield and Partners
 - Wimpey Homes
 - White Young Green
 - GVA Grimley
 - Spencer Holdings
 - Persimmon Homes
 - Ward Councillors
- 4.4 One Stop Shop
- On a number of occasions various issues were discussed at the Council's One Stop Shop meeting. The One Stop Shop brings together officers from a variety of Council departments, where planning applications, informal inquiries and other land use issues are discussed and informal opinions and guidance is given.

APPENDIX 1 CONSULTEES CONTACTED BY LETTER

Able UK Ltd	Accent North East
Adams Holmes Associates	Advisory Council for the Education of Romanies etc
Airport Planning & Development Limited	Ambulance HQ
Anchor Housing Association	Ancient Monuments Society
Andrew McCarthy Associates	Appletons
Asda	ASP Services
Association of North East Councils	Avondale Centre & City Learning Centre
B.U.R.T. (Burbank)	Baird Menswear Brands Limited
Banks	Barnard Grove Primary School
Barnardo's B76	Barret Homes NE
Barton Willmore	BDP Planning Limited
Belle Vue Residents Association	Belle Vue Sports Centre
Bellway Homes	BenBailey Homes
Big Tree Planning Limited	Brenda Road Properties Limited
Brewers Fayre Travel Inn	Brierton School
British Butterfly Conservation Society	British Energy
British Telecom	British Telecommunications plc
British Waterways	British Wind Energy Association
Brougham Area Residents Association	Brougham Primary School
Brus Ward Residents Association	Burn Valley North Residents Association
Cameron Hall Developments Ltd.	Cameron's Brewery
Camping & Caravaning Club	Catcote School
Cemex UK Operations Ltd	Chapman Warren
Chris Thomas Ltd	Churches Together in Hartlepool
Citizens' Advice Bureau	Civic Trust
Civil Aviation Authority	CJC
Clavering Primary School	Cleveland Buildings Preservation Trust
Cleveland Constabulary	Cleveland Cycling Campaign
Cleveland Industrial Archaeology Society	Cleveland Police
Cliff Wolsingham & Co	Clydesdale Forge Co
Coastliners Transport 2000 Tees Valley	Cobden Area Residents Association (CARA)
Colin Hatcher	College of Art
Colliers CRE	Community Relations Department (Police)
ConocoPhillips UK Ltd	Council for British Archaeology
Council for the Protection of Rural England	County Fire Brigade
Crown Castle International	Crown Commissioners
Dalton Piercy Parish Council	Darlington Borough Council
David Stovell & Millwater	Davis Planning
De Pol Associates	Dean and Chapter of Durham
Decoflex Ltd	Defence Land Agent
Defra Flood Management Division	Dennis Downen Associates
Dent and Derwent Residents Association	Department for Education and Skills
Department for Transport	Depol Associates
Derek Stephens	Derwent Grange Residents Association
Development Planning Partnership	Devereux Architects
Dialogue	Dickenson Dees
DPDS	Dransfield Properties Ltd
Drivers Jonas	DTZ
Dunelm Property Services Limited	Durham Bat Group
Durham County Council	Durham Heritage Coast
Dyke House Area Residents Association	EDF British Energy
Elwick Parish Council	Elwick Women's Institute
Emergency Planning Officer	Employment Services
Endeavour Housing Association	Energy Workshop
England & Lyle	English Golf Union

English Heritage	ENTEC UK
Environment Agency	Esh Developments
EWS	Expanded Metal Co. Ltd
Fens Residents Association	Ferguson McIlveen
Flexability	FMU Limited
Forestry Commission	Framptons
Frank Haslam Milan - North East	Friends of North Cemetery
Friends of Rossmere Park	Friends of the Earth
Friends of Ward Jackson Park	Fuller Peiser
Furness/Cameron/Belk Resident Association	Future Energy Solutions (formerly ETSU)
G L Hearn	Garden History Society
Garlands	General Aviation Awareness Council
Georgian Group	Go Ahead Northern
GONE	Grand Hotel
Grange Road Methodist Church Resource Centre	Great North Eastern Railway
Greatham Parish Council	Greatham Women's Institute
Greig Cavey	Grindon Parish Council
Gus Robinson	GVA Grimley
H M Inspector of Nuclear Installation	Halcrow Group Limited
Hallam Land Management Ltd	Hammond Suddards
Hart Parish Council	Hart Village Women's Institute
Hartlepool Action Team for Jobs	Hartlepool Ahmadiyya Muslim Association
Hartlepool Archaeological & Historical Society	Hartlepool Asian Association
Hartlepool Boys Brigade	Hartlepool Business Link
Hartlepool Centre for the Deaf	Hartlepool Civic Society
Hartlepool College of Further Education	Hartlepool Community Health Council
Hartlepool Countryside Volunteers	Hartlepool Cricket Club
Hartlepool Economic Forum	Hartlepool Education Development Centre
Hartlepool Environmental Network	Hartlepool Girl Guides Association
Hartlepool Golf Club	Hartlepool Headland Parish Council
Hartlepool Natural History Society	Hartlepool Partnership
Hartlepool People Ltd	Hartlepool Primary Care Trust
Hartlepool Scout Association	Hartlepool Sixth Form College
Hartlepool Sports Council	Hartlepool United Football Club
Hartlepool Water	Hartwell Residents Association
HAT Contracting Services	Headland Development Company Ltd
Headland Future Ltd.	Headland Parish Council
Headland Residents Association	Health & Safety Executive
Heerema	Helios Properties
Henry Boot Developments Ltd	Highways Agency
Highways Agency Northern	HMS Trincomalee Trust
Holt and Lister Residents Association	Home Group Ltd
Homes & Community Agency	Housing 21
Housing Hartlepool	Huntsman Tioxide Ltd
Hutchison 3G UK Ltd	HVDA
I.Fewster	I.N.C.A.
ICI	J & B Fuels
J J Hardy & Sons Ltd	Jackson Plan Limited
James Barr	JDR Cables
Jennifer Hubbard	Jomast Construction Ltd
Jones Day	Jones, Lang & Lascelles
JWPC	Kebbell Developments Ltd
Keepmoat Partnership	King Sturge LLP
La Farge Aggregates	Lambert Smith Hampton
Lancaster Road Residents	Landmark Information Group
	LARA Motor Recreation
Langtree Properties Limited	Lexington Payne Homes Limited

LeeBell Developments	
Lidl	Lovell Partnerships Limited
Malcolm Arnold	Mandale Properties
Manners & Harrison	Manor Residents Association
Marina	Marine Hotel
Marmion Estate Residents Association	Marineau, Solicitors
McAlpine & Sons	McInally Associates
McInerney Homes	McNicholas Bros
Mecca	Middlesbrough Borough Council
Middleton Grange Shopping Centre	Miller Homes
Minerals Products Association	Ministry of Defence
Mobile Operators Association	Monk Hesleden Parish Council
Moorside Residents Group	MP
Muslim Welfare Association	NACRO
Nathaniel Lichfield	National Farmers Union
National Grid plc	Natural England
NEDL	Network Rail
New Deal for Communities	Newton Bewley Parish Meeting
North East Chamber of Commerce	North East Chamber of Commerce & Industry
North Eastern Sea Fisheries Committee	North Tees & Hartlepool NHS Trust
Northern Gas Networks Ltd	Northern Rail Limited
Northern Regional Health Authority	Northumbrian Water
npower renewables	Oak and Pine Residents Association
One North East	One Voice Tees Valley
Owton Fens Community Association (OFCA)	Owton Manor West Residents Association
Oxford Road Residents Association	P D Ports
Park Residents Association	Paul & Company
Peacock & Smith	Peel Holdings plc (Durham Tees Valley Airport)
Percy Street Residents	Persimmon Homes
Posford Duvivier	Pot of Gold Ltd
Princess Residents Association	Prism Planning
Property Services Agency	Railway Housing Association
Raymond Barnes	Redcar & Cleveland Borough Council
Residents Association of Clavering and Hart Station	Residents of St Aidens (ROSA)
Rift House Community Associated	Rift House East Residents Association
Robert Turley Associates	Roger Tym & Partners
Rokeby Developments	Rossmere Residents Group
Royal Mail	Royal Mail Property Holdings
RPS	RSPB
S.C.A. Packaging	Safe in Teesside
Sand & Gravel Association	Sanderson Wetherall
Seaton Carew Cricket & Sports Club	Seaton Carew Golf Club
Sedgefield Town Council	Serco-Ned Rail
Seymour Civil Engineering Limited	Shepherd Homes
Sherburn Stone Co. Ltd	Shoosmith
Signet Planning	Smiths Gore
Society for the Protection of Ancient Buildings	Somerfield Stores Ltd
Spingwell Residents Association	Sport England Northern Region
SSP	Stagecoach North East
Staindiffe Hotel	Stephenson Johnson Riley
Stewart Ross Associates	Stockton Borough Council
Stockton Road Residents Association	Stonham Housing Association
Storey Sons & Parker	Stotfold Area Residents Association
Strutt & Parker	Sustrans
Taylor Wimpey UK Limited	Tees Archaeology Service
Tees Valley Arts	Tees Valley Housing Association
Tees Valley Joint Strategy Unit	Tees Valley Living

Tees Valley Local Access Forum	Tees Valley Regeneration
Tees Valley Rural Community Council	Tees Valley Wildlife Trust
Teesmouth Field Centre	Terence O'Rourke plc
Tesco	The British Wind Energy Association (BWEA)
The Coal Authority	The Co-operative Group Ltd
The Crown Estate	The Guinness Trust
The Home Builders Federation	The Hospital of God at Greatham
The Marine Conservation Society	The Planning Bureau Ltd
The Planning Inspectorate	The Ramblers Association
The Showmen's Guild of Great Britain - Northern Section	The Theatres Trust
The Victorian Society	Thorton Street Residents association (TARA)
Three Rivers Housing Group	Throston Grange Residents Association
Thurlbeck & Co	Tirley Associates
Tony Thorpe Associates	Town Planning Consultancy
Twentieth Century Society	Unemployment Strategy Team
UNITE	United Utilities
University of Newcastle	University of Northumbria
Vodafone	Vue Cinemas
Walton & Co	Ward Hadaway
West End Residents Association	West Hartlepool Rugby Football Club
West View Advice & Resource Centre	West View Residents Association
Wharton/Errol Street Residents Group	White Young Green
Whitegates	Whitestone Weavers
Wilkinsons	Wingate Parish Council
Wm Morrison Supermarkets plc	Wolviston Parish Council
Woodland Trust	Woodlands plc
WSP Development	Wynyard Park Limited
Youngs Recycling	Yuill Homes Ltd

Individuals

12 individual local residents were also informed of the consultation by letter, these residents were contacted as they were on the consultation data based as residents who had an interest in the land or had made representation to the Council on planning issues in the past and stated that they wished to be consulted.

4.2 Appendix 1

APPENDIX 2: CORE STRATEGY PREFERRED OPTIONS CONSULTATION RESPONSES

Reference Number	Relationship to Hartlepool	Site	Comments
HCS0001	PD Ports	Victoria Harbour	PD Ports have a concern that there has been significant misunderstanding of their intentions on the Victoria Harbour site. The project as originally envisaged did not prove to be financially viable, however, PD Ports is continuing to explore market led sustainable mixed-use development opportunities for the site, including housing. They call for a consistent message to be sent out in relation to the availability of Hartlepool for port related activity and future opportunities to deliver a sustainable mixed-use development.
HCS0002	Councillor	Tunstall Farm (-)	Objects to the Tunstall Farm housing development, stating the Governments Inspector reasoning five years ago as still valid, as there is a need to avoid housing development activity on flood-risk zones. The respondent feels this site is unsuitable for executive housing development.
HCS0003	Civil Aviation Society	All	The CAA does not wish to comment on Local Development Plans, however, where officially safeguarded aerodromes lie within the Council's area of jurisdiction, it is recommended that the council considers the need of such aerodromes within your development plan and consult with the aerodrome operator/licensees directly.
HCS0004	Councillor	All	The respondent recommend all of the Front and Coronation Drive not presently utilised be kept as it is, i.e. No more new builds of any type to preserve area from further congestion and enhance the spatial environment for tourism. Also, this fits need to be prudent against increasing risk to flooding with rising sea levels. Recommend further enhancement of park area and community facilities. Need to consult existing stakeholders – Church, Holy Trinity School, Golf and Cricket Club. Recommend need to deal with landfill site issues as they impact on residential area. Also recommend long term strategy to move industry, especially recycling businesses away from Longhill and Sandgate to more out of town areas. Following loss of any sea change monies a fresh look at tourist strategy policy is needed. Recommended more vigorous handling of derelict buildings in the area, especially on the Front where they impact on tourist

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			<p>attractiveness of the area. Recommended need to deal with landfill site issues as they impact on residential area. Fix in existing green areas within Seaton and, if possible give more protection in planning terms e.g. to Warrior Pond area.</p> <p>Recommend further enhancement of park area and community facilities. Need to consult existing stakeholders – Church, Holy Trinity School, Golf and Cricket Club. Transport links to Marina require further study and possibly in connection with links to the Railway Station.</p>
<p>HCS0005/6, HCS0013/14, HCS0036/37, HCS0071/72/73/74, HCS0086, HCS0097, HCS0099, HCS0100, HCS0104, HCS0120, HCS0122/123/124/125/126/127/128, HCS0455/456, HCS0136, HCS0140/141, HCS0144, HCS0149, HCS0151, HCS0154, HCS0158, HCS0160/161/162/63/164 HCS0165, HCS0166/167/168/169/170,171/172/173/174/175/176/177/178/179/180/181/182, HCS0188, HCS0190, HCS0219/220, HCS0223, HCS0225, HCS0227/228, HCS0245/246/247, HCS0249, HCS0252,</p>	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services.

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HCS0279/280/281, HCS0286, HCS0288/289, HCS0294/295, HCS0299/0300, HCS0306/307, HCS0309/ 310, HCS0374/375/376, HCS0381/382/383 HCS0403, HCS0408/409/410 HCS0412, HCS0440 and HCS0452.			<ul style="list-style-type: none"> Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p>
HCS0007	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> Do not accept that future housing demand will necessitate the creation of such a large estate. Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. Loss of high quality landscape and countryside. Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. Underground water main and overhead power lines, both constraining development. Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. Negative effect on quality of life and the desirability of properties for existing residents. Rural land is a convenient haven of countryside tranquillity. Greatham Beck is one of the last suitable habitats for the endangered

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			<p>water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewerage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife.</p> <ul style="list-style-type: none"> • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension:</p> <p>A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <p>Additional Information Required to be made Public.</p> <ol style="list-style-type: none"> 1. Details of consequences of NOT adhering to the governments directions on the provision of new building plots 2. What options are available to the Council to ensure that the development as the Docks goes ahead therefore reducing the need for this development at Claxton to go ahead? What is the estimated number of jobs created at the Docks? 3. What action has or can the Council take to reduce the number of plots that the government have specified has the Council appealed?
HCS0008	Tees Valley Biodiversity Partnership	All	<p>Finds the report to be fundamentally good and comprehensive. Have several concerns with CS1 in that the wording suggests that development is very separate to environmental considerations. The policy should also include reference to the relationship between landscape character and new development and say something about GI. Suggests that the term "Green Infrastructure" used instead of "Green Network". Needs greater clarity in CS3 over the link between GI and flood protection, especially where this might</p>

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			include floodwater management through SUDS. Feels the list on page 27 should also include biodiversity. To be consistent with CS15. Supports the statement on green tourism in CS11 but may consider “nature tourism” better terminology. Supports the use of BREEAM in CS13 and the need to support and protect green spaces in CS14. References to GI could be made more prominent. Organisation is supportive of CS15 but needs to reflect the sequential approach to conserving biodiversity.
HCS0009	Resident	Tunstall Farm (-)	Provides various ideas on how to implement a new drainage system that the respondent believes will alleviate flood problems in the area of west Hartlepool. Suggests that vacant land at Tunstall Farm should be added to Summerhill to accommodate more tree planting and provide a habitat for wildlife. Also suggests that there is a urgent need for a new outer ring-road starting at Claxton Bank, off the A689 and continue to Hart. There is flooding at Valley Drive noted and drainage and sewage problems discussed in-depth.
HCS0010	Ministry of Defence	Hart and Elwick	The following development of Hart, Elwick and Dalton Piercy fall within the High Moorsley safeguarding area. Any development, which exceeds 15m above ground level, must be referred to the office for further consultation. The MOD has no safeguarding concerns provided proposed development with those areas recognised as being within a statutory safeguarding zone does not exceed 15.2m agl and all wind energy applications.
HCS0011	Resident	All housing sites (-)	Disagrees with CS1 as they feel that there should be no expansion of town boundaries or extension of villages. Disagrees with CS7 as the respondent believes that there should be no facilities to encourage settlement. Objects to CS8 also as there are industrial building standing empty and CS9 as Jackson's Landing has remained empty. Agrees with CS16, however, would disagree if the council wanted to open up Greatham station as the respondent does not want excess traffic coming through village.
HCS0012	Longhill Sandgate Business Association	Sandgate and Longhill (-)	Concerned about the “bad neighbour uses” within the area, particularly Sandgate and Longhill within the Southern Business Zone. There is great concern over the serious problems that the open processing of waste and landfill cause. The respondent suggests that bad neighbour businesses should be directed to the south of the Southern Business Zone.

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HCS0015, HCS0050/51/52, HCS0067/68/69/70, HCS0075/76/77, HCS0087/88, HCS0085 HCS0091/92/ 93/94/95, HCS0101, HCS0105, HCS0107/108, HCS0111/112/113, HCS0129/130/131/132 133/134/135, HCS0138, 0139, HCS0142/143, HCS0146/147, HCS0150, HCS0159, HCS0183, HCS0187, HCS0189, HCS0191/192, HCS0194/195/196/ 197/198/199, HCS0200 /201/202, HCS0210, HCS0215, HCS0229/230/231/232, HCS0242/243/244, HCS0251, HCS0253, 254/255, HCS0257, HCS0261/262, HCS0273, HCS0277, HCS0283, 284, 285, HCS0287, HCS0293, HCS0297, 298,	Resident	South- Western Expansion (-)	<p>The respondent objects to the proposals to build a large new estate adjacent to the Fens Estate. The following reasons are given:</p> <ul style="list-style-type: none"> ▪ Future housing demand is overstated; there are already a lot of empty properties in the town and the population is forecasted to stabilise over the next 10 years; ▪ Large scale development as proposed at Claxton would put unacceptable extra pressure on the A689, no matter where the entry/exit point may be located; ▪ Any connection to Owton Manor/Brierton would adversely affect congestion and road safety in residential areas. Particularly along Brierton Lane and Owton Manor Lane/Macrae Road as the proposed feeder road would become a western by-pass; ▪ Of all greenfield sites that could be chosen to expand the town the site at Claxton is the furthest from the town centre thus being the most costly in terms of car journeys. This type of urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport: expanding Hartlepool to the south west would almost certainly serve to encourage the use of Hartlepool as a dormitory town for those employed in the rest of the Tees Valley conurbation and actually encouraging increased car commuting. ▪ RSS, particularly policy 10 and 16 are referred to in order to support their claim against urban sprawl. ▪ A viable road scheme is not offered leading to increased traffic and congestion ▪ There would be a loss of high quality landscape, agricultural land and countryside, both of which are valuable assets to this part of Hartlepool. There will be a loss of biodiversity and habitat for wildlife, especially for endangered species such as water vole. ▪ Increased risk of flooding: Greatham Beck already overflows after exceptionally heavy rainfall. It cannot take any further surface water drainage without dramatically increasing flood risk. ▪ Any pollution from further building will increase the possibility of
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HCS0303, HCS0378/79, HCS0383, HCS0400, HCS0405/406, HCS0413/414 and HCS0454.			<p>devastating the creek area including Seal Sands and the Nature reserve. The RSPB has spent millions of pounds encouraging tourism to the area and protecting the natural ethos of the area.</p> <ul style="list-style-type: none"> Added to that is the intention to develop Graythorpe which is only a short distance from Greatham as an industrial site for further dumping of “questionable waste”. Building more houses close to the Major Oil Storage, COMAH and Nuclear sites verges on crass. We strongly object to the concentration of all “bad neighbour uses” into areas already having COMAH AND Nuclear sites. This area is close to residential areas at Greatham and Seaton Carew as well as the most important wildlife sites. This has to be detrimental to the quality of our living environment. <p>Alternative suggestions for housing development in different locations are suggested throughout the town. They mainly focus on the previously developed land, vacant factories and brownfield sites. The respondent believes that the management of Hartlepool needs to have a more reflective view. Instead of “preferred” option we need to look towards a more caring option – caring for its people and for its environment.</p>
HCS0016	Resident	Town Centre	Agrees with all the preferred options policies, but with regard to CS9 it would have been desirable to see comments regarding the College’s new build and how this could be used to lever further investments in the Town Centre.
HCS0017	Resident	Wynyard Woods West	Agrees with all the preferred options policies, however, only with CS1 if the housing site at proposed at Wynyard Woods West continues to be for executive housing to meet demand.
HCS0018	Tees Valley Wildlife Trust	All	Supports CS2, however, would like greater clarity on the link between Green Infrastructure and flood protection, especially where this might include floodwater management through SUDS and incorporation of features for biodiversity into these. This list should also include biodiversity so as to be consistent with CS15, which refers to habitats being created through new developments. In CS11 the trust would like to see reference to the authority working with its local partners to promote green tourism, wildlife habitats and the natural environment. With regard to CS14, the trust would like to encourage the

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			authority to consider the development of a Green Infrastructure Strategy. For CS15 it is suggested that the chapter needs updating to refer to the new system of Local Sites (instead of SNCI's/ RIGS), which the Authority is already operating and complies with PPS9, Defra Local Sites Guidance and NI 197 Guidance. CS15 should be modified so that policy responds to the hierarchy of conservation designations in PPS9, clearly protecting the interest features of statutorily protected sites and then also protecting and encouraging management of Local Sites in line with the Tees Valley BAP.
HCS0019	Indigo Planning Ltd on behalf of Curry's and PC World.	Town centre/ Marina	Are not opposed to the objective of protecting the vitality and viability of the town centre, however, are concerned that PO CS1 does not fully recognise and support the role and function of existing retailing warehousing within the Marina, seeking to place it below the other edge of centre site in this sequential order. Suggests a change in the wording of CS1.
HCS0020	Ramblers' Association	Westward expansion and all.	Demand that the westward expansion and CS1 in general do not conflict with other plans to protect the environment and rights of way. The association fully supports CS3 and planning obligations, however, believe that rights of way and cycle tracks be introduced into the wording of the paragraph 7.2 and PO CS3 on page 26. Believe that CS9 and CS10 should help maintain and enhance pedestrian links with the centres in order to dissuade car usage. For CS11 greater emphasis is required on the borough's rights of way network, which provides "by far the cheapest form of outdoor activity both in terms of equipment and maintenance of the network".
HCS0021	Resident	Quarry Farm, Upper Warren and Tunstall Farm (-)	Opposes any housing development to the west of Hartlepool, including Quarry Farm, Upper Warren and Tunstall Farm. Believes that it is imperative that improvements are made to the existing road network before future development occurs, due to traffic and congestion problems.
HCS0022	Resident	Tunstall Farm (-)	Strongly opposes the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Believes there are numerous negative effects that will occur including, traffic problems, limited school places and well as the 10% social housing allocation.

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HCS0023	Resident	Tunstall Farm (-)	Opposes the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Has major concerns regarding the increased risk of flooding, and domestic and industrial traffic. In addition there is great opposition to increased dirt, dust and mud that accompanies construction.
HCS0024	Resident	Tunstall Farm (-)	Strongly opposes the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to the long history of flooding in the area, and the exacerbation the new development will cause, poor access to the site, the competition of places to the existing schools and the negative change in character that would occur to the existing “executive” housing.
HCS0025	Resident	Tunstall Farm (-)	Strongly opposes the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to the loss of countryside and wildlife and the abundance of brownfield land and vacant properties currently under-utilised.
HCS0026	Q.R.S Precision Engineering LTD.	Waste	Voices great concern regarding the granting of a licence to “Easy Skips” and waste management in the area in general, being located near to housing and the Town Centre. Feels that the location of these businesses is affecting the image of Hartlepool and demonstrates lack of vision in the council.
HCS0027	Resident	Tunstall Farm (-)	Opposes the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to the previous Government Inspector decision that upheld the residents concern of the possibility of substantial increased risk of flooding. Also states the possibility of the increased traffic, which is a danger to children walking to school.
HCS0028	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land.
HCS0029	Resident	Tunstall Farm (-)	Strongly opposes the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to the previous Government Inspector decision that upheld the residents concern of the possibility of substantial increased risk of flooding.
HCS0030	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to

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			increased traffic and congestion, increased flood risk and disruption.
HCS0031	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to increased traffic and congestion.
HCS0032	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to the previous Government Inspector decision and the unchanged environmental conditions.
HCS0033	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Particular concern is stated with regard to the social housing allocation and believes there will be subsequent anti-social behaviour due to previous experience.
HCS0034	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. There is a concern over the loss of existing flood relief land, therefore an increased risk of flooding due to development, lack of sufficient access roads, pressure placed on schools, the loss of green belt land when there is an abundance of brownfield sites, and the general disruption of noise, construction and traffic.
HCS0035	Resident	South-Western Expansion (-)	Objects to the proposed development plans of a housing estate to the south west of the Fens Estate stating the following reasons: population stabilisation and hence no demand for housing on this scale, loss of valuable, quality agricultural land, abundance of brownfield land available to develop, existing drainage problems, traffic and congestion, and loss of visual amenity.
HCS0038	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. There is a particular concern over the allocation of 10% social housing and the subsequent change in atmosphere of the place in which the respondent feels will lead to the exodus of existing residents. Feels that Hartlepool should be attracting “professional people”. Does not believe this is a sustainable location to build houses. Increased flood risk is also mentioned.
HCS0039	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. There is a concern over unacceptable increase in traffic, flooding, fewer places at both West Park and

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			High Tunstall School and disruption to the residents through building work.
HCS0040	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. The respondent objects on the grounds that the development will increase traffic, disruption, noise, and a potential increase in flooding.
HCS0041	Tees mouth Bird Club	South-Western Expansion (-)	Tees mouth Bird Club provided relevant ornithological data regarding the Brierton area of Hartlepool extending to the Claxton area proposed for housing development. Based on the areas ornithological value TBC does not consider the survey area to be suitable for housing development and will object to such proposal. Various “hotspots” are identified of particular biodiversity interest.
HCS0042	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. There is a concern over the existing infrastructure of schools roads ability to support the development. Flooding and traffic congestion are also stated as major concerns.
HCS0043	Hartlepool College of Further Education	Town Centre	States there is little or no reference to the development of the “education Quarter” in the Town Centre or of any reference to the College development in the Education sector. The reference to the Education Quarter in the CS would be essential in order to support any future bids for funding.
HCS0044	Resident	Tunstall Farm (-)	Disagrees with CS1 and CS5, particularly the allocation of Tunstall Farm as residential building land. The respondent believes that housing should be allocated on previously developed land. States increased flood risk and the pressure placed on existing local residents for school places.
HCS0045	Resident	Climate Change, Waste and Town Centre.	Disagrees with CS2 and CS4 believes that parents taking their children to school is a large unnecessary contributor to climate change. Disagrees with CS8 and believes that the location of bad neighbourhood uses are too close to the town centre and people are subjected to significant emissions of smoke, dirt and obnoxious smells. Greater monitoring and control of operations are needed. There is also disagreement with CS9 and believes that Middleton Grange shopping centre is outdated and should be knocked down and the site given provision to low-rent/low-cost facilities.
HCS0046	Resident	South-Western	Objects to the proposed development of a housing estate to the south west of the Fens Estate, stating the loss of biodiversity in the area, particularly wildlife

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		Expansion (-)	loss of birds losing their habitat. Also believes that the proposed road from Brierton Lane to the A689 will create a rat-run.
HCS0047	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing Tunstall Farm, converting greenbelt land into residential land. There is a concern over flooding and the incompetence of the drainage system.
HCS0048	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing Tunstall Farm, converting greenbelt land into residential land. Believes that as the area is categorised as a high flood risk area by the Environment Agency, and difficulty to obtain house insurance. Building more houses in the area would increase the flood risk. Believes there is no change in circumstance since the Government Inspector deemed the area in question unsuitable as residential building land.
HCS0049	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing Tunstall Farm, converting greenbelt land into residential land. Objects to the loss of amenity and green areas of the town, increased traffic, and flooding. The loss of wildlife and biodiversity of the area is also a major concern. There is also a reference to the previous decision of the Government Inspector who stopped the development to go ahead.
HCS0053	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing Tunstall Farm, converting greenbelt land into residential land. The respondent has concerns of over-subscribed local school places and thus increased competition for places with new housing, increased flooding problems and traffic.
HCS0054	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the

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			<p>brown hare, grey partridge and lapwing.</p> <ul style="list-style-type: none"> • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. • Greatham should have sufficient space between its boundaries and a new estate to keep its village status • View of houses from A689 should be screened • Brownfield land within the borough could be utilised first <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p>
HCS0055	Resident	South-Western Expansion	The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:

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		(-)	<ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. • I believe that rented accommodation is needed primarily for young couples and single people who cannot afford a mortgage and no more than three bedrooms. • Land to the rear of B&Q and Halfords could be utilised first. • Greatham should have sufficient space between its boundaries and a new estate to keep its village status. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an</p>
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			attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.
HCS0056	Resident	Dalton Piercy village envelope	The respondent made a request for the village envelope of Dalton Piercy to be amended to incorporate a proposed construction of a dwelling in the garden of his property. Suggest that there needs to be greater transparency and openness of how this boundary was demarcated. States there are minimal amenities in Dalton Piercy and there is a slight problem with traffic and "rat runs".
HCS0058	Resident	Tunstall Farm (-)	Objects to the proposed development plans for changing green belt land at Tunstall Farm into residential land. The respondent is concerned over the change in atmosphere that social housing will bring, increased traffic and congestion, increased competition for school places and refers to the problem of rats in the locale that will be displaced due to the construction work. There is also an objection to the noise and dirt that construction of housing will bring over a prolonged time period.
HCS0059	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. There is a concern over the change in character of the area from a quiet and peaceful neighbourhood. The respondent also refers to the decision of the Government Inspector who previously upheld objections to the inappropriate use of protected 'green' land. Is also concerned about the loss of a rural view.
HCS0060	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing Tunstall Farm, converting greenbelt land into residential land. There is a concern that the new development would change the character of the area, which is currently occupied by professionals. There are also concerns over the loss of green space, over-subscribed local school places and increased risk of flooding and

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			rat infestation. There is a specific objection regarding the allocation of social housing in the area.
HCS0061	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing Tunstall Farm, converting greenbelt land into residential land. There is a concern over the current demand for housing in the area, loss of visual amenity, over-subscribed local school places and refers to the previous decision of the Government Inspector who previously upheld objections to the inappropriate use of protected land.
HCS0062	Smiths Gore on behalf of the Church Commissioners for England	All, Wynyard	The commission agrees with the vision of “an outward looking and inclusive communities”, however; feel this should not be restricted to only the ‘principle towns’ of the borough. Believe that insufficient emphasis is given to the forthcoming new ‘Super Hospital’ at Wynyard. Additionally, they believe that council needs to allow a level of development in rural areas.
HCS0063	Resident	Quarry Farm (-)	Objects to the proposed development plans for housing Quarry Farm, converting greenbelt land into residential land. There is a concern over flooding, traffic, and eradication of woodland with a negative effect on the environment.
HCS0064	Resident	Quarry Farm (-)	Objects to the proposed development plans for housing Quarry Farm, converting greenbelt land into residential land. There is a concern over flooding, traffic, loss of “natural” habitat and security issue, and thus, fear of crime.
HCS0065	Resident	Quarry Farm (-)	Strongly objects to the proposed development plans for housing at Quarry Farm, converting greenbelt land into residential land. Refers to the loss of flora and fauna. There is also a concern over increased traffic particularly Elwick Road.
HCS0066	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Refers to the previous Government Inspectors decision and the high level of council tax they pay. Feel that several years of building and the associated noise and traffic will be unnecessary. Also, there is a concern over flooding and the allocation of social housing near to the existing estate.
HCS0078 and HCS0079	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm.
HCS0080	Resident	Tunstall	Strongly objects to the proposed development plans for housing at Tunstall

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		Farm (-)	Farm, converting greenbelt land into residential land. Refers to the previous Government Inspectors decision and the high level of council tax they pay. Feel that several years of building and the associated noise and traffic will be unnecessary.
HCS0081	Resident	Tunstall Farm (-)	Objects to the proposed development plans for West Park. Refers to increased traffic and flooding and has a fear of over-building in Greenfield areas with loss of identity to villages. Does not understand why the council is ignoring the Government Inspectors earlier decision in 2005.
HCS0082	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm, with concerns over traffic congestion, especially at peak times and the use of green belt land for development.
HCS0083	Resident	Tunstall Farm (-)	Objects to the development plans for housing at Tunstall Farm. States increased traffic and increased pressure on places at West Park and High Tunstall Schools. Increased risk of flooding and disruption through the building phase. Does not understand why the council is ignoring the Government Inspectors earlier decision in 2005.
HCS0084	Resident	Tunstall Farm (-)	Objects to any development that may increase traffic along Hylton Road/ Valley Drive as the respondent already finds this intolerable.
HCS0089	Headland Parish Council	All	The Parish Council would like to ensure that the historical significance of the area is fully considered with every future planning application.
HCS0090	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing.

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			<ul style="list-style-type: none"> • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p>
HCS0096	Resident	South-Western Expansion (-)	Objects to the proposed development plan for the southwestern extension to Hartlepool. Main concern is risk of flooding to property.
HCS0098	Resident	Tunstall Farm (-)	Strongly objects to the development plans for housing adjacent to West Park. Concerns regarding drainage problems and inadequate sewage, loss of wildlife, congestion and traffic, the change of character in the area from a quiet to busy location and regards the 10% social housing allocation as social engineering.
HCS0102	NA	NA	Incorrect numbering, no HCS does not 0102 exists
HCS0103	Resident	Quarry Farm (-)	Objects to the development plans for housing at Quarry Farm. Concerns regarding the decline of the town centre, congestion, traffic and increased risk of

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			flooding. Also feels there was little publicity with regard to the concerned proposal.
HCS0106	Resident	South-Western Expansion (-)	If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.
HCS0109	Resident	South-Western Expansion (-)	The respondent objects to the proposals to build a large new estate adjacent to the Fens Estate. The following reasons are given: Future housing demand is overstated; there are already a lot of empty properties in the town and the population is forecasted to stabilise over the next 10 years; <ul style="list-style-type: none"> ▪ Large scale development as proposed at Claxton would put unacceptable extra pressure on the A689, no matter where the entry/exit point may be located; ▪ Any connection to Owton Manor/Brierton would adversely affect congestion and road safety in residential areas. Particularly along Brierton Lane and Owton Manor Lane/Macrae Road as the proposed feeder road would become a western by-pass; ▪ Of all greenfield sites that could be chosen to expand the town the site at Claxton is the furthest from the town centre thus being the most costly in terms of car journeys. This type of urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport: expanding Hartlepool to the south west would almost certainly serve to encourage the use of Hartlepool as a dormitory town for those employed in the rest of the Tees Valley conurbation and actually encouraging increased car commuting.

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			<ul style="list-style-type: none"> ▪ RSS, particularly policy 10 and 16 are referred to in order to support their claim against urban sprawl. ▪ A viable road scheme is not offered leading to increased traffic and congestion ▪ There would be a loss of high quality landscape, agricultural land and countryside, both of which are valuable assets to this part of Hartlepool. There will be a loss of biodiversity and habitat for wildlife, especially for endangered species such as water vole. ▪ Increased risk of flooding: Greatham Beck already overflows after exceptionally heavy rainfall. It cannot take any further surface water drainage without dramatically increasing flood risk. ▪ Any pollution from further building will increase the possibility of devastating the creek area including Seal Sands and the Nature reserve. The RSPB has spent millions of pounds encouraging tourism to the area and protecting the natural ethos of the area. ▪ Added to that is the intention to develop Graythorpe which is only a short distance from Greatham as an industrial site for further dumping of “questionable waste”. ▪ Building more houses close to the Major Oil Storage, COMAH and Nuclear sites verges on crass. We strongly object to the concentration of all “bad neighbour uses” into areas already having COMAH AND Nuclear sites. This area is close to residential areas at Greatham and Seaton Carew as well as the most important wildlife sites. This has to be detrimental to the quality of our living environment. <p>Alternative suggestions for housing development in different locations are suggested throughout the town. They mainly focus on the previously developed land, vacant factories and brownfield sites. The respondent believes that the management of Hartlepool needs to have a more reflective view. Instead of “preferred” option we need to look towards a more caring option – caring for its people and for its environment.</p> <ul style="list-style-type: none"> • Totally opposed to the Claxton development and believes it would destroy the village of Greatham. Suggests that housing should be built on land
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			between Belle-Vue and Seaton Road.
HCS0110	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposals to build a large new estate adjacent to the Fens Estate. The following reasons are given:</p> <ul style="list-style-type: none"> ▪ Future housing demand is overstated; there are already a lot of empty properties in the town and the population is forecasted to stabilise over the next 10 years; ▪ Large scale development as proposed at Claxton would put unacceptable extra pressure on the A689, no matter where the entry/exit point may be located; ▪ Any connection to Owton Manor/Brierton would adversely affect congestion and road safety in residential areas. Particularly along Brierton Lane and Owton Manor Lane/Macrae Road as the proposed feeder road would become a western by-pass; ▪ Of all greenfield sites that could be chosen to expand the town the site at Claxton is the furthest from the town centre thus being the most costly in terms of car journeys. This type of urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport: expanding Hartlepool to the south west would almost certainly serve to encourage the use of Hartlepool as a dormitory town for those employed in the rest of the Tees Valley conurbation and actually encouraging increased car commuting. ▪ RSS, particularly policy 10 and 16 are referred to in order to support their claim against urban sprawl. ▪ A viable road scheme is not offered leading to increased traffic and congestion ▪ There would be a loss of high quality landscape, agricultural land and countryside, both of which are valuable assets to this part of Hartlepool. There will be a loss of biodiversity and habitat for wildlife, especially for endangered species such as water vole. ▪ Increased risk of flooding: Greatham Beck already overflows after exceptionally heavy rainfall. It cannot take any further surface water drainage without dramatically increasing flood risk.

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			<ul style="list-style-type: none"> Any pollution from further building will increase the possibility of devastating the creek area including Seal Sands and the Nature reserve. The RSPB has spent millions of pounds encouraging tourism to the area and protecting the natural ethos of the area. Added to that is the intention to develop Graythorpe which is only a short distance from Greatham as an industrial site for further dumping of “questionable waste”. Building more houses close to the Major Oil Storage, COMAH and Nuclear sites verges on crass. We strongly object to the concentration of all “bad neighbour uses” into areas already having COMAH AND Nuclear sites. This area is close to residential areas at Greatham and Seaton Carew as well as the most important wildlife sites. This has to be detrimental to the quality of our living environment. <p>Alternative suggestions for housing development in different locations are suggested throughout the town. They mainly focus on the previously developed land, vacant factories and brownfield sites. The respondent believes that the management of Hartlepool needs to have a more reflective view. Instead of “preferred” option we need to look towards a more caring option – caring for its people and for its environment.</p> <ul style="list-style-type: none"> Brownfield sites that are currently unsightly in town should be developed first.
HCS0114	Resident	South-Western Expansion (-)	<p>If a decision is made to proceed with the South West extension:</p> <p>A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p>
HCS0115	Resident	South-	<p>If a decision is made to proceed with the South West extension:</p>

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		Western Expansion (-)	A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.
HCS0116	Greatham Parish Council	Hospital of God	Concern that the Hospital of God should be treated like all other applicants with regard to rules and regulations when planning matters are concerned and Greatham is and should remain as a village and not effected by urban sprawl.
HCS0117	Resident	All	Agrees with most of the preferred options policy and strongly agrees that the old Steetley site should be re-used. Disagrees with CS10 as the respondent feels there should be promotion of traditional shops such as butchers and bakers in place of hot food takeaways. Agrees with CS11 in general but believes that ST Hilda's Church should be open all year round and be better managed. Possibility of a indoor climbing wall at Summerhill Centre. In general would like Hartlepool to have more links with higher education and have cheaper bus fares.
HCS0118	Resident	South-Western Expansion (-)	Objects to the southwest expansion of the town believing the scheme as short-sighted, environmentally damaging and borne out of the councils desire to satisfy government targets. Specific objections include the building on green field land, increased run-off and drainage issues and increased traffic. Believes this development contradicts many of the other preferred options and the central tenets of green, sustainable living. Is unsatisfied with the way information was disseminated to the residents, believing that it was not well advertised and difficult to find on the website.
HCS0119	Resident	Tunstall Farm (-)	Objects to the development plans for housing at Tunstall Farm, as the respondent believes this will devalue their property. Concerns regarding congestion, traffic and increased risk of flooding are stated.
HCS0121	Resident	Tunstall	Strongly objects to the proposed plan to extend the town boundary to include

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		Farm (-)	Tunstall Farm. The main reasons for such strong distain for the development are as follows: since the Planning Inspectorate rejected the plans to develop the land in Tunstall Farm nothing has changed; the allocation of social housing is a concern, believing that this will not lead to social mixing and eventually the degradation of the area. The respondent believes that the Council incurred unnecessary expense to set up a Public Enquiry the first time the site was proposed and believes this will happen again and be an unnecessary cost to the taxpayer.
HCS0137	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm. Concerns regarding increased risk of flooding and inadequate drainage systems to cope with this new development. Would like to raise the same objections and concerns that were stated in previous inspection.
HCS0145	Resident	South-Western Expansion (-)	Feels there is no demand for a South-Western Expansion to be built on green belt land. It is also stated that the provision of social housing will reduce the surrounding property prices. Increased traffic flow and a poor sewage and drainage would be aggravated. Fear of increased crime through the creation of recreation areas and rights of way.
HCS0148	Fens Resident Association	South-Western Expansion (-)	Many residents feel they were not made aware of the proposals and properly consulted in adequate timescales. They feel that the projection population figures do not warrant the amount of housing proposed. Fearful over the loss of green belt land and loss of public rights of way to urban sprawl. Another concern is what impact the proposed development will have on the existing infrastructure of the fens, particularly increased traffic, parking issues and pressure upon school places. Negative impacts on property prices and the quality of life of residents is also a major concern. The presence of conservation ponds, high-pressure water pipelines and overhead power lines are seen as major constraints to development. The loss of high quality landscape and countryside, very poor drainage and the fact that houses in New ark Road are already in Flood Zone 3 further cause concern. The Fens Residents Association concludes that the proposal is neither necessary nor desirable.
HCS0152	Resident	Tunstall Farm (-)	Objects to the development plans for housing at Tunstall Farm. Concerns regarding congestion, traffic, school provision and drainage are stated.

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HCS0153	Resident	Tunstall Farm (-)	Objects to the development plans for housing at Tunstall Farm. Concerns regarding congestion, traffic and increased risk of flooding due to the loss of agricultural land to aid natural drainage capacity are stated. These problems should be addressed first before any further building development occurs in the area.
HCS0155	Resident	Tunstall Farm (-)	Strongly objects to the development plans for housing at Tunstall Farm. Concerns regarding congestion, traffic and increased risk of flooding are stated.
HCS0156	Resident	All	Believes that the local authority has not given enough thought to the future of the area. Instead of a “preferred” option we need to look towards a more caring option – caring for its people and for its environment.
HCS0157	Resident	Tunstall Farm (-)	Strongly objects to the development plans for housing at Tunstall Farm. Does not understand why the council is ignoring the Government Inspectors earlier decision in 2005. Objects to any development on this land.
HCS0184	Resident	Tunstall Farm (-)	Objects to the development plans for housing at Tunstall Farm. Concerns regarding congestion, traffic and increased risk of flooding with reduced school places and more competition. Does not understand why the council is ignoring the Government Inspectors earlier decision.
HCS0185	Resident	Tunstall Farm (-)	Strongly objects to the development plans for housing at Tunstall Farm. Concerns regarding congestion, traffic and increased risk of flooding with reduced school places and more competition. Does not understand why the council is ignoring the Government Inspectors earlier decision.
HCS0186	Resident	Tunstall Farm (-)	Strongly objects to the development plans for housing at Tunstall Farm. Concerned about the devaluation of property, congestion and increased traffic and increased risk of flooding. States that affordable housing is deemed undesirable in the area and suggest crime (fear of) will change the character of the area.
HCS0193	Resident	Tunstall Farm (-)	Objects to the proposed development plans for West Park, stating flooding and traffic congestion as major concerns.
HCS0203	Greatham Parish Council	South-Western Expansion (-),	Feels there has been a lack of consultation prior to the inclusion of the option to expand the urban fence to allow 2200 houses at Claxton. Feels that PD Ports has extreme influence in planning policy and is Greatham Parish Council extremely concerned as what is meant by the option for Eco-industries to be

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		Graythorp	located at Graythorp.
HCS0204	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development stating the following reasons: the increased risk of flooding in the Valley Drive area, increase in traffic in the area already congested at peak times, amenity loss of greenfield site and the subsequent effect on the Summerhill facility, and the potential for further development to be allowed, leading to the opening of Valley Drive as a through route for traffic.
HCS0205	Resident	Tunstall Farm (+)	After consultation with the planning policy team in which the respondent had clarification on several points of the preferred options report they do not have any real objections to the Tunstall Farm development. There was some concern over the possibility of flooding and loss of wildlife in the area. General comments were made in regard the siting of many landfill sites in the town and the negative image this has. The respondent also feel the public consultation process could be longer and better, with more open ended questions asked to gain the respondents feelings other than one word answers.
HCS0206	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development stating the following reasons: the noise and disruption caused by extra traffic and the increased risk of flooding. The objector believes that they pay "very high" council tax for very few services and should not be put through this disruption.
HCS0207	Resident	Quarry Farm (-)	Objects to the proposed Quarry Farm housing development stating the poor access and increase in traffic and serious risks posed to the public, especially children.
HCS0208	Resident	All, South-Western Expansion (-)	Agrees with most of the proposed aims and changes needed to achieve a successful future for Hartlepool, however, has concerns over the following: the stabilisation of population therefore overstated demand, existing executive housing should not be downgraded to accommodate mixed housing, the location of the proposed hospital will endanger peoples lives by being too far away, objects to the western expansion of the town and loss of fertile agricultural land, objects to the erection of wind turbines. Although agrees with most of the policy of on mixed housing but not the extending of the western and south western boundaries due to the impact on the countryside, wildlife, increased traffic and environmental issues. Do not agree with the idea of local

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			centres as they create anti-social behaviour, and that gypsies and travellers should not be located on greenfield land. Draws attention to fly-tipping problems and is angry about the methods of consultation with the public and believe they were not adequately informed.
HCS0209	Resident	South-Western Expansion (-)	Objects to the proposed housing development at Claxton stating the following reasons: the destruction of prime agricultural land, the fear of Hartlepool becoming one amorphous conurbation with the rest of Teesside, the loss of village identity and the need to build on brownfield land before greenfield land.
HCS0211	Resident	Tunstall Farm (-)	Objects to the proposed housing development at west park stating the following reasons: the increased in traffic, particularly at school times, the possible increase in risk of flooding, the loss of another green belt and the negative impact on the towns image.
HCS0212	Resident	All	Agrees with all the preferred options policies.
HCS0213	Sport England	All	Sport England supports CS3, CS4 and CS14, however, have a couple of concerns. It is stated that Hartlepool's Playing Pitch Strategy is dated 2006, which from their perspective means it is out-of-date and therefore open to challenge. The omission that built sports facilities are not afforded protection in the same way as sports pitches or that there is no policy which seeks to preserve and where necessary, enhance the quality, quantity and accessibility of Hartlepool's built sports facilities.
HCS0214	Resident	Quarry Farm (-)	Objects to the proposed Quarry Farm housing development stating the following reasons: the increased risk of flooding, the existing public right of way should be moved to the west of any new development to maintain countryside views. Respondent believes that the publicity/ communication for this significant development could have been a lot better. As a minimum there should have been a "fly-leaflet" posted least to the residents bordering the proposed development.
HCS0216	Resident	Quarry Farm (-)	Objects to the proposed housing development at Quarry Farm and believes they were not given sufficient notice to object. They state the eradication of woodland and countryside and the negative effect on the environment along with flooding. The objector also believes that there will be a reduction in their property value.

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HCS0217	Resident	Tunstall Farm (-)	Objects to the proposed development of Tunstall Farm stating the following reasons: loss of greenfield area on the unproven basis that more executive housing will attract incomers to live in Hartlepool, traffic congestion, the negative impact on highways, water supply and sewage treatment, also believes there will be a negative impact on the environment for minimal economic and social advantage and loss of green space for limited benefit and finally a similar proposal was rejected in 2005 by a Government Inspector.
HCS0218	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce

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			<p>car journeys and promote sustainable transport.</p> <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Witnessed flooding many times, housing would exacerbate this problem.
HCS0221	Resident	South-Western Expansion (-)	Objects to the south western expansion of Hartlepool stating the following reasons: the new entry/exit point onto the A689, the absence of plans to build schools, shops and other facilities for the newly built area, the loss of a local nature reserve and the increased flood risk.
HCS0222	Resident	South-Western Expansion (-)	Objects to the south western expansion of Hartlepool stating the following reasons: the housing demand is overstated. There are no plans to build a secondary school and there is a oversubscribed primary school, problems of flooding with increased hard surface run-off, and the inadequacy of the sewage system, loss of wildlife and recreational space.
HCS0224	Resident	South-Western Expansion (-)	Objects to the south western expansion of Hartlepool stating the following reasons: the housing demand is overstated and there are not enough employment opportunities. There are no plans to build a secondary school and there is a oversubscribed primary school, problems of flooding with increased hard surface run-off, the increase in waste and the inadequacy of the sewage system, loss of wildlife, the presence of a high pressure pipeline and the perceived possible reduction in value of properties.
HCS0226	Resident	South-Western Expansion (-)	<p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and</p>

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			wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.
HCS0233	Resident	South-Western Expansion (-)	Objects to the south west expansion of Hartlepool on the grounds that the road system will be radically effected, older, brownfield sites should be developed first, there is a high pressure gas pipeline running through the middle of the proposed site at Claxton and the problem of overhead power lines which could be restrictive. Flooding and the lack of secondary school facilities are also stated. Believe that if the Wynyard hospital development goes ahead the old hospital site should be developed as residential land. Generally the council should recalculate the number of houses it proposes to build.
HCS0234	Resident	Tunstall Farm (-)	Objects to the proposed development plan to develop green belt land behind Hylton Road and Valley Road. The objector is concerned with the loss of value to their property along with several years of building works and the accompanied traffic, noise, dirty roads and restricted access.
HCS0235	Resident	South-Western Expansion (-)	Strongly objects to the proposed building of housing next to the Fens Estate stating the inadequacy of the road system, increased risk of flooding and the undesirability of social housing being allocated so close to the objector's property.
HCS0236	Resident	Quarry Farm (-)	Objects to the Quarry Farm development, stating the inadequacy of the road system, increased risk of flooding, loss of green belt land and negative impact on the town centre by pushing residents further a-field to spend money in other areas.
HCS0237	Resident	Quarry Farm (-)	Objects to the Quarry Farm development, stating increased risk of flooding, loss of a natural floodplain and negative impact on wildlife and increased traffic.
HCS0238	Hartlepool Civic Society	South-Western Expansion (-)	Objects to the allocation of rural hinterland for housing, while empty sites remain within the existing urban fence. Believes that the proposed Claxton housing development is too large and would overwhelm the village of Greatham. The society suggests that there may be more industrial allocation than demand.

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			There is a belief that PD Ports is allowed to dictate the future of Hartlepool Centre.
HCS0239	Resident	Graythorp Eco (-) Rural	Concerned about the Graythorp Eco Industries and the environment of Hartlepool being used by bad neighbourhood uses. Suggest the need for green belt buffer zones in the area. Would like to see a better working arrangement with rural communities to see how developments might be fitted successfully into the rural environment.
HCS0240	Resident	Hart and Elwick (+)	The transport system needs to be rectified before the building of housing occurs. The proposed housing for Hart and Elwick should be accepted due to an aging population and would help revitalise these communities by attracting more families to support local businesses, churches and schools.
HCS0241	Resident	Tunstall Farm (-)	Objects to the conversion of green belt land at Tunstall Farm into residential building land. Cannot understand why the site is being considered after a Government Inspector refused to allow the development a few years ago. States traffic and inadequate drainage as major concerns.
HCS0248	Yuill Homes	Housing (+)	Yuill supports the preferred Locational strategy and generally supports the aims and objectives of policy CS2. The respondent feels that a clear reference to viability is needed for the policy to remain sound. Overall, they support the proposed strategic distribution of housing set out in CS5, however, object to the timescales for new sites coming forward in conformity with the locations identified in policy CS1. Supports the inclusion of Quarry Farm in HCS5, however, would like great flexibility to be released earlier. With regard to CS6 and in relation to Quarry Farm objects to the policy wording as they feel it is not appropriate to have mixed tenure and executive homes on the same site. Whilst there is support for the general aims of CS13 there are specific objections to issues of energy efficiency and sustainable construction.
HCS0250	Resident	South-Western Expansion (-)	Strongly opposes the proposed housing development to the west of the Fens Estate. Fear of flooding and loss of biodiversity and green space. Objector feels that they were not listened to adequately or taken seriously at the public consultation meeting.
HCS0256	Resident	South-Western	Objects to the proposed southwest expansion of housing close to the Fens Estate. Increased traffic, lack of school facilities and loss of biodiversity and

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		Expansion (-)	open green spaces are major concerns.
HCS0257	Resident	South- Western Expansion (-)	<p>The respondent objects to the proposals to build a large new estate adjacent to the Fens Estate. The following reasons are given:</p> <ul style="list-style-type: none"> ▪ Future housing demand is overstated; there are already a lot of empty properties in the town and the population is forecasted to stabilise over the next 10 years; ▪ Large scale development as proposed at Claxton would put unacceptable extra pressure on the A689, no matter where the entry/exit point may be located; ▪ Any connection to Owton Manor/Brierton would adversely affect congestion and road safety in residential areas. Particularly along Brierton Lane and Owton Manor Lane/Macrae Road as the proposed feeder road would become a western by-pass; ▪ Of all greenfield sites that could be chosen to expand the town the site at Claxton is the furthest from the town centre thus being the most costly in terms of car journeys. This type of urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport: expanding Hartlepool to the south west would almost certainly serve to encourage the use of Hartlepool as a dormitory town for those employed in the rest of the Tees Valley conurbation and actually encouraging increased car commuting. ▪ RSS, particularly policy 10 and 16 are referred to in order to support their claim against urban sprawl. ▪ A viable road scheme is not offered leading to increased traffic and congestion ▪ There would be a loss of high quality landscape, agricultural land and countryside, both of which are valuable assets to this part of Hartlepool. There will be a loss of biodiversity and habitat for wildlife, especially for endangered species such as water vole. ▪ Increased risk of flooding: Greatham Beck already overflows after exceptionally heavy rainfall. It cannot take any further surface water

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			<p>drainage without dramatically increasing flood risk.</p> <ul style="list-style-type: none"> Any pollution from further building will increase the possibility of devastating the creek area including Seal Sands and the Nature reserve. The RSPB has spent millions of pounds encouraging tourism to the area and protecting the natural ethos of the area. Added to that is the intention to develop Graythorpe which is only a short distance from Greatham as an industrial site for further dumping of "questionable waste". Building more houses close to the Major Oil Storage, COMAH and Nuclear sites verges on crass. We strongly object to the concentration of all "bad neighbour uses" into areas already having COMAH AND Nuclear sites. This area is close to residential areas at Greatham and Seaton Carew as well as the most important wildlife sites. This has to be detrimental to the quality of our living environment. <p>Alternative suggestions for housing development in different locations are suggested throughout the town. They mainly focus on the previously developed land, vacant factories and brownfield sites. The respondent believes that the management of Hartlepool needs to have a more reflective view. Instead of "preferred" option we need to look towards a more caring option – caring for its people and for its environment.</p> <ul style="list-style-type: none"> 40 plus years ago there was an airport at Greatham. It was a "green belt" yet was used to build the north works of the steel works, complete with unsightly cooling towers, rolling mills and pipe mills. The units do not blend in with the green belt, but will bring lots of jobs to the area, according to the Borough Council, who seem to want to smother Greatham village. The many demolished house sites in Hartlepool would be more suitable than using more land and extending boundaries.
HCS0258	Resident	South-Western Expansion (-)	Opposes the building of houses west of the fens and believes there has been an overstated need. Points to the abandoned buildings and vacant land around the city, which could be utilised. Concerned about the increase in traffic and the creation of "rat runs".

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HCS0259	Resident	Quarry Farm (-)	Objects to the change in of town boundary to include the development of Quarry Farm and the building of houses on this site. Concerned about flooding of his property, and traffic in the area. Also objects to the provision of affordable housing on “premium sites”.
HCS0260	Resident	Hart Village (+)	Fully supports the small-scale development in Hart Village referred to in the Preferred Options Report.
HCS0263	Resident	Tunstall Farm (-)	Objection the proposed housing development at Tunstall Farm contained within CS5 New Housing development policy. Problematic drainage, flooding and loss of value to property are stated.
HCS0264	Resident	Elwick (-)	Primary concern relating the building of properties in the Elwick area relates to the oversubscription of school places and the that the granting of building permission is will set a precedent to build on other green spaces within the village.
HCS0265	Resident	Hart and Elwick (+)	Has no objection to the proposed modest development in the villages of Elwick and Hart or to the expansion of Hartlepool as a town, but do have major concerns over traffic flow.
HCS0266	Resident	Quarry Farm (-) Upper Warren (-)	Has a concern of the Quarry Farm development and also the Upper Warren and Tunstall Farm housing development. They believe that it is imperative that improvements are made to the existing road network before any further development occurs.
HCS0268	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the

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			<p>brown hare, grey partridge and lapwing.</p> <ul style="list-style-type: none"> • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • There needs to provision for secondary schools with any development. Believes that Manor School is bursting with pupil numbers.
HCS0267	Resident	South-Western Expansion (-)	Opposes the proposed housing development near Greatham, as it is prime agricultural land. The objector is fearful that the character of the village will be lost urban sprawl.

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HCS0269	GVA Grimley	Sovereign Park	The respondent has no objection to the Locational strategy set out in Policy CS1 and also supports CS2, CS3 and CS4. With regard to CS5 they are pleased that the council have acknowledged that they have underperformed on RSS figures in the past five years. There is support for CS6 in general and state that they will undertake negotiations with the council to secure a suitable mix for their scheme at Sovereign Park. With regard to CS8 it is requested that the site of Sovereign Park is de-allocated from employment uses.
HCS0270	Resident	Upper Warren (-)	Objects to current position of the land labelled "Upper Warren" and suggest their own land for consideration that would consist of an envelope to be extended further to the west to reach the boundary of the Hart Reservoir, and plant woodland on low grade farm land.
HCS0271	English Heritage	All, North Burn & Wynyard (-)	Welcomes the spatial vision for Hartlepool and supports the protection of the town centre but requires the Locational Strategy needs a more sophisticated approach. States heritage protection is not the same as heritage avoidance. With regard to climate change it is argued that it is imperative that the strategy properly responds to and explains the apparent inconstancy of locating significant employment land away from residential areas. English Heritage formally objects to the allocation of employment land at North Burn. They also suggest that although the Community Infrastructure Levy is uncertain, the document perhaps ought to make some statement regarding the council's intentions should it come to pass. It is stated that with regard to housing it is better to state that the expansion of a village could rather than would have an adverse effect on the surrounding countryside. Within CS5 it is stated that Elwick is a Conservation Area and it is important that the scale of new development does not adversely affect the character of the area. CS7 should have regard to the safeguarding of environmental assets and particularly heritage assets. North Burn and Wynyard are adjudged to be unsustainable locations, which are likely to lead to "detrimental effects" upon the natural environment. English Heritage also welcomes the need for proposals under CS9 and CS5 to conform to CS13.
HCS0272	Resident	Tunstall Farm (-)	Objects to the proposed new housing estate on land at Tunstall Farm. States that a similar development was considered some years ago and the

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			Government Inspector upheld the objections of the local residents and believes nothing has changed since that review . Also states flooding increased traffic and demand for school places in the area.
HCS0274	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a</p>

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			<p>large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Would definitely not want more traffic on Truro Drive.
HCS0275	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services.

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			<ul style="list-style-type: none"> Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> Housing would exacerbate the flooding problem. Beck widening would destroy habitat.
HCS0276	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> Do not accept that future housing demand will necessitate the creation of such a large estate. Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. Loss of high quality landscape and countryside. Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. Underground water main and overhead power lines, both constraining development. Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. Negative effect on quality of life and the desirability of properties for existing residents.

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			<ul style="list-style-type: none"> • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Housing has not been thought through properly its based on 300 demand and not social, demographic or other needs. • Lack of school could lead to increase foot traffic. • Increased pressure on Catcote road and Truro Drive.
HCS0278	Resident	Tunstall Farm (-)	Strongly objects to the proposal to build houses on the Tunstall Farm site. The main reasons include the loss of green belt land, poor existing drainage infrastructure, access problems and the allocation of 10% affordable housing.
HCS0282	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable.

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			<ul style="list-style-type: none"> • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • The amount of Council Tax collected will not cover the services the Council will have to provide, especially as there will be affordable housing.
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			<ul style="list-style-type: none"> If the proposal to build goes ahead, the respondent is against any park or play areas being provided as they believe there are gangs of youths and children roaming around the estate and think that any such areas would only provide a gathering area for these 'gangs'.
HCS0290	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> Do not accept that future housing demand will necessitate the creation of such a large estate. Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. Loss of high quality landscape and countryside. Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. Underground water main and overhead power lines, both constraining development. Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. Negative effect on quality of life and the desirability of properties for existing residents. Rural land is a convenient haven of countryside tranquillity. Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. Extra pressure on already struggling front line services. Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension:</p>

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			<p>A significant green w edge should be left betw een the tw o estates, so that an attractive feeling is retained, landscape preserved, w ildlife protected, include a large extension to the Local Nature Reserve, w ith appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green w edge could enable the improvement of local rights of w ay to the w ider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green w edge.</p> <p>The amount of Council Tax collected w ill not cover the services the Council w ill have to provide, especially as there w ill be affordable housing.</p> <ul style="list-style-type: none"> • Increased car use as development is 'out in the sticks'. • The proposal for ponds for waste water would be dangerous for children.
HCS0291	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the follow ing reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand w ill necessitate the creation of such a large estate. • Already a lot of empty properties in the tow n and Hartlepool Council itself forecast that over the next 10 years the population w ill remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brow n hare, grey partridge and lapw ing. • Underground w ater main and overhead pow er lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing w ould increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity.

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			<ul style="list-style-type: none"> • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <p>The amount of Council Tax collected will not cover the services the Council will have to provide, especially as there will be affordable housing. Bought property in 1960, walks enjoyed are not built on, so now has to go further in car, all open spaces are gone.</p> <p>Back garden floods</p> <ul style="list-style-type: none"> • Increased traffic on Catcote Road and Truro Drive. • The town is saturated with traffic; increased traffic will exacerbate asthma problems.
HCS0292	Resident	Tunstall Farm (+)	Fully support the development at Tunstall Farm as part of the western extension.
HCS0296	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate.

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			<ul style="list-style-type: none"> • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Increased traffic on Catcote Road and Truro Drive.
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			<ul style="list-style-type: none"> • Road is unsuitable for H.V.G traffic.
HCS0301	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and</p>

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			<p>wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Truro Drive Traffic already at saturation. • Any new road must go to the Fens school and Manor school without the need to use Truro Drive. • A traffic survey should be done to assess the traffic levels. • Manor school could not handle more pupils.
HCS0302	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered

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			<p>water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife.</p> <ul style="list-style-type: none"> • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension:</p> <p>A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Object to more traffic on Truro Drive. • There must be another road to the A19. • Why the need for so many houses?
HCS0304	Elwick Parish Council	Quarry Farm (-)	Object to the development at Quarry Farm, as they feel it will put too much pressure on the road network through Elwick Village to the A19.
HCS0305	Resident	All	<p>Believes that there is overstated housing needs and feels that a new western distributor road should be the main priority. Increased traffic is a major concern and believes that the new road should be a dual carriageway, as even green options will still favour private transport such as electric cars. Believes that the South West housing expansion is a response to the demise of the Victoria Harbour site falling through. Broadly agrees with CS, however, not with the method of delivery. Disagrees with expansion to the southwest urban fence. States that the development should take place out towards Dalton Percy, over Tunstall Farm linking into the proposed development at Brierton and Eaglesfield Road. If the building of the new hospital at Wynyard goes ahead, as it is seen as a Key Employment Location, there should be extra housing concentrated in</p>

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			that area for staff to limit CO2 emissions from traffic. States that there is only anecdotal evidence of the lack of social housing in the Ow ton area. Believes that Wynyard cannot remain a solely executive housing estate, if the new hospital is to go ahead. If pepper potting is there to encourage a mix of housing it should be applied across all housing provision.
HCS0308	Resident	South-Western Expansion (-)	Objects to the proposals to build a large new estate adjacent to the fens estate. The objector does not accept that future housing demand will necessitate the creation of such a large estate.
HCS0311	Government Office North East	All	Generally support the strategic approach and the amended Locational Strategy taking into account the changes to the Victoria Harbour regeneration proposals. Need to make sure that the revised version of the Core Strategy is both deliverable and states targets that can in turn be monitored in line with national government objectives. The Secretary of State objects to specific parts of the draft policy CS2 as it conflicts with PPS25; policy CS4 as it conflicts with PPS12; policy CS5 as it conflicts with PPS3; policy CS9 as it conflicts with PPS4; policy CS10 as it conflicts with PPS4; policy CS11 as it conflicts with PPS5; policy CS12 as it conflicts with PPS12; policy CS13 as it conflicts with PPS1; policy CS14 as it conflicts with PPG17; policy CS16 as it conflicts with PPG12; policy CS18 as it conflicts with PPG13; and policy CS18 as it conflicts with PPS12.
HCS0312	England and Lyle for Northumbria Water	All	Welcomes the content of CS3 (Planning Obligations), acknowledges the intention of CS5 (New Housing Development), fully support CS13 (Built Environment) and supports CS14 (Open Spaces). NWL encourages the integration of SUDS in order to mitigate flood risk and encourage habitat creation where appropriate.
HCS0313	Environment Agency	All	Felt it was overall a very good strategy. Two points of concern were the wording of policy CS2, the other with the evidence base and the lack of a Sequential Test, a requirement of PPS25.
HCS0314	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the use of green belt land instead of brownfield land. Believes that building affordable housing near to established residents would have a negative impact.

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HCS0315	Spawforths	All	Generally supports policy CS1 (Locational Strategy) as it reconfirms the key employment location at North Burn and the high quality site at Queens Meadow. Generally supports policy CS2 (Climate Change). Would like increased numbers of housing figures in CS5 (new Housing Development). Have requested to the Hartlepool SHLAA to support the de-allocation of the Oaksway Industrial Estate for residential led mixed use development on behalf of OnSite North East Partnership Ltd.
HCS0316	National Grid	All	States the transmissions located in Hartlepool authority. National Grid is happy to provide the Council with advice and guidance regarding their networks. Need to consult the National Grid on any DPD or site-specific proposals that could affect the infrastructure.
HCS0317	Resident	Tunstall Farm (-)	Objects to the proposed housing development at Tunstall Farm, on the grounds that the site was considered a few years ago and was turned down by an independent Governmental Inspector.
HCS0318	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the use of green belt land instead of brownfield land with a negative impact on wildlife and increased traffic levels, noise and pollution, and increased flooding.
HCS0319	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development due to increased pressure on the existing road system and traffic congestion.
HCS0320	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the risk of increased flooding and pressure on the existing road system and traffic congestion.
HCS0321	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the risk of increased flooding, the use of greenfield land above brownfield PDL and traffic congestion.
HCS0322	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the risk of increased flooding and traffic congestion.
HCS0323	Resident	Tunstall Farm (-)	Objects to the proposed housing development at Tunstall Farm, on the grounds that the site was considered a few years ago and was turned down by an independent Governmental Inspector, increased traffic and previously delivered schemes which the objector deems poorly designed with limited trees or green space.

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HCS0324	Tees mouth Bird Club	North Burn and Wynyard (-) South-Western Expansion (-)	<p>Fully endorse strict controls on and limits to development and the concept of “compact” urban growth in order to protect urban green spaces and the rural fringe. Recommend Hartlepool Borough Council to undertake a further reappraisal of potential housing sites and to seek out land allocations that are less environmentally damaging than those proposed for Brierton and Claxton. We believe there will be significant local opposition to the proposed housing in these areas from the local population, particularly those living on the Fens Estate. It is interesting to note that the amount of proposed new ‘green wedge’ shown on the Core Strategy Options Map is far exceeded by the loss of greenfield land, though we welcome the Council's commitment to protecting existing and new green wedges. TBC endorse the main view of public feedback that new development should be confined to previously developed land, wherever possible. This will help to retain open countryside and green spaces within the town, which local people cherish, and protect greenfield sites. Many of these are at variance with the preferred options to develop greenfield sites on the western side of the town, North Burn and Wynyard and again undermine the Strategy's cornerstones of “sustainability” and “compact growth”. It would be useful if this Policy is expanded to explain how sea level rise and coastal squeeze are to be managed (as per SMP2).</p> <p>TBC strongly advise against the use of Claxton and Brierton for new housing in view of the significant ornithological evidence TBC recently provided to the Council and its consultants, underlining the importance of these two sites. We recommend that the Council commissions an independent re-survey of these areas to assess their current nature conservation value, bearing in mind that the last detailed appraisal was the TBC's Breeding Birds Survey (undertaken in 1999-2002). TBC would be pleased to provide an independent service, to assist the Council, if requested.</p> <p>We applaud the Council's decision to omit Brierton and Claxton from Phase 1 in order to focus development on previously developed land defer the use of greenfield sites. Is it possible to further reallocate the first phase of Claxton into 2019-2024, as this will give more time for alternative brownfield sites to be located, or for a change of heart on the use of Victoria Harbour? TBC fully</p>
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			<p>endorse Green Tourism in the Borough, particularly in the coastal and estuarine areas, which are some of the most important on the English east coast. TBC's development of the Heugh Lighthouse site as the first, purpose-built sea watching bird observatory in the UK will attract a great deal of interest and give local people and visitors an opportunity to learn about seabird migration, as well as observing seals, Harbour Proposals and other marine wildlife at first-hand. TBC do not understand what "the Tees Valley Brand" means. This could do with a brief explanation.</p> <p>We consider that the development of the Brierton and Claxton areas for housing will have a negative impact on Summerhill Country Park through 'urbanisation'. TBC believe that Hartlepool Headland does not have the necessary infrastructure (particularly road access and car parks) to accommodate large-scale tourism development and over-development may seriously impact on this area's special qualities. Existing tourist features, such as the Heugh Gun Battery, appear to attract very low numbers of visitors. Any tourism development on The Headland should be relatively small-scale and sensitive. There will be conflict between the continued use of Summerhill as access to the countryside and the proposed housing developments at Brierton and Claxton. We fully agree with this statement concerning the varying standards of design in regenerated parts of the town, which represent missed opportunities.</p>
HCS0325	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the loss of green belt land and the already limited green landscape within Hartlepool.
HCS0326	Police	All	Highlights the absence of the any direct reference to Crime Prevention and Community Safety. The emerging LDF should also make reference to "crime prevention through environmental design" and in particular "Secured by Design".
HCS0327	Resident	Quarry Farm (-)	Objection to the proposed housing development of Quarry Farm. Particular concerns with the traffic problems, eradication of woodland/countryside, effect on the environment and flooding potential.
HCS0328	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development, stating the reasons of increased flooding and congestion. Also refers to the failure to deliver the development years previous.

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HCS0329	Resident	Tunstall Farm/ Quarry Farm (-)	Objects to the proposed Tunstall Farm/Quarry Farm development, stating the reasons of increased traffic, the loss of artefacts, increased flooding, insufficient school facilities, Valley Drive becoming a “rat-run” and the loss of value to the property concerned.
HCS0330	Coal Authority	NA	Have no specific comments to make on this document at this stage.
HCS0331	Resident	Quarry Farm (-)	Objects to the proposed Quarry Farm development, stating the reasons of negative environmental impact on wildlife and ecosystem, increased traffic and pollution, inadequate road network, flooding, poor exiting drainage and sewage system, crime and (fear of).
HCS0332	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the loss of green belt land and the already limited green landscape within Hartlepool.
HCS0333	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the use of green belt land instead of brownfield land and therefore inconsistent with the council's policy to “protect and enhance the open and green spaces within the town”.
HCS0334	Resident	South-Western Expansion (-)	Has no objection to the Westward expansion in principle, however, believes that the existing sewers and drainage system are inadequate.
HCS0335	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the following points. The use of green belt land instead of brownfield land, the fact that the development would detract from the amenity value of Summerhill, increased problems of flooding and the lack of access to the development.
HCS0336	North East Planning Body	All	States that all of Hartlepool's proposed Preferred Options policies are broadly in line with RSS policy objectives. The report outlines a number of objectives which should be reflected in the final document, including the need for new development proposals to include SUDS, travel plans, energy efficiency and renewable energy measures and the need for appropriate phasing of housing allocations.
HCS0337	Resident	Tunstall Farm (-)	Fully objects to the Tunstall Farm housing development, particularly the stipulation that 10% of which should be allocated as social housing.
HCS0338	Royal	Town centre	The role of Hartlepool's high quality natural environment (as identified in the

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	Society Protection of Birds	(+) All housing (-)	SWOT analysis) should be given greater prominence in the vision. The first sentence should contain an additional clause, 'protected and improved environment'. We also suggest the second sentence begins: 'Securing a high quality built and natural environment will make...'. We support the inclusion of climate change as a key theme as well as the environment. We welcome the inclusion of green infrastructure within this list, although it would be strengthened if it read 'Green infrastructure and biodiversity'. However, to achieve the appropriate level of contribution the proposed Planning Obligations SPD should set out how the Council will determine the green infrastructure/biodiversity requirements from a given development. The RSPB is generally supportive of the climate change policy, but strongly recommends that the fifth bullet ensure that renewable energy developments 'can be satisfactorily accommodated with the landscape and without adverse impacts on biodiversity'. We strongly support the policy that 'developments outside the Town Centre which would undermine its vitality and viability will not be permitted', as a key measure to ensure sustainable development. We support this policy, though it is in direct conflict with other Core Strategy policies, particularly CS1 and CS5 regarding the westwards extension of Hartlepool. We also recommend that the open countryside is 'protected and enhanced': many parts of the countryside could be significantly improved in terms of biodiversity and access, which can also generate revenue for farmers through Environmental Stewardship payments. The RSPB support the reuse of buildings in the countryside, however there is significant risk that locations for nesting birds, particularly barn owls, can be lost during redevelopment. Whilst it might not be appropriate for the Core Strategy, the RSPB recommends that the LDF contains guidance (perhaps in an SPD) that sets out how developers should ensure continued use of farm buildings by birds and also bats.
HCS0339	Resident	South- Western Expansion (-)	Suggests that the Claxton development over-estimates housing need in the area and relies on RSS figures which are out of date and rest upon the now postponed Victoria Harbour plan being a driver of development. Has a concern over the placement of the housing within range of the proposed nuclear power station and the effect this will have on the Tees Valley Emergency Plan. Has a

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			strong objection to the “pepper potting” of affordable housing in areas of greater affluence. Also has a concern over transport provision.
HCS0340	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm housing development concerning the disappearance of green belt land. Greater objection came from the affordable housing allocation next to executive housing and questioned the demand for executive housing in Hartlepool. Exacerbation of traffic and congestion in the area as well as the pressure on local schools.
HCS0341	The Theatres Trust	All	Supports the inclusion of arts and culture in PO CS1 as being a town centre use for future developments, however, feel that in CS9 needs this detailed repetition for consistency. Believe that theatre uses should be included with CS9 rather than CS11.
HCS0342	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm housing development concerning the disappearance of green belt land. Greater objection came from the 10% affordable housing allocation, stating the fear of the degeneration of the area.
HCS0343	Resident	Quarry Farm (-)	Objection to the Quarry Farm housing development, stating traffic problems, eradication of woodland/countryside, effect on the environment, flooding potential, security issues and the possibility for anti-social behaviour.
HCS0344	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm housing development, stating the increased risk of flooding due to inadequacy of the existing surface water drainage system and congestion.
HCS0345	Resident	Tunstall Farm (-)	Objection to the building of houses at the West Park area.
HCS0346 and HCS0347	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm housing development, stating loss of greenfield area on the basis that more executive housing will attract incomers to live in Hartlepool, traffic congestion, the negative effect on highways, water supply and sewage treatment, negative impact on environment for minimal economic and social advantage and limited benefit due to loss of green space. The previous Government Inspector's decision to refuse the development is also mentioned.
HCS0348	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm housing development, stating loss of value to property, increased risk of flooding, pressure on school places and increased traffic along with disruption and road works for a prolonged period.
HCS0349	Resident	Tunstall	Objection to the Tunstall Farm housing development, stating the previous

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		Farm (-)	objections that were upheld by a Government Inspector, house building on the site would increase the risk of flooding, put pressure on school places and increased traffic.
HCS0350	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm housing development, stating the loss of green belt land and subsequently recreational outdoor space.
HCS0351	English Heritage	Villages (-), North Burn and Wynyard (-)	English Heritage welcomes the intention of the Core Strategy to reflect, at the local level, the national and regional policy objective of improving the town's economy whilst protecting and enhancing the environment. It should be noted that the historic assets of the area could benefit a wide range of interests and help meet a number of strategic objectives of the CS, including social inclusion, physical regeneration, sustainability and the minimisation of waste and energy consumption. What the Vision fails to do is champion the protection of the Borough's environment as a totality. PPS5 makes clear that the historic environment is everywhere. Whilst the Vision statement of the CS refers to the built and natural environment it remains silent with regard to the historic environment. Surprising is the omission of any reference to climate change, environmental limits and the sustainability agenda in paragraph 3.5. With disappointment I disagree that the heritage assets of the Borough cannot help with the economic regeneration of the area or the lifelong learning and skills. The title 'built environment' should be changed to the 'built and historic environment'. The CS must be more explicit that there is a link between conserving our heritage assets and utilising the historic environment in creating sustainable places. It is noted that heritage protection is not the same as heritage avoidance. Priority should be given to the development of brownfield land and buildings. Any further development at Wynyard, or indeed elsewhere, should be based on a full understanding of the historic character and significance of the area and the safeguarding of those elements of it that are culturally valued. Allowing development to encroach nearer to the villages may only serve to erode their rural character and reduce strategic gaps. English Heritage opines that there is an oversupply of terraced housing. It is argued that there is nothing inherently wrong with terraced housing and should be repaired to reach a specified standard. North Burn and Wynyard are adjudged to be

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			unsustainable locations, which are likely to lead to detrimental effects on the environment. The coastline is stated to be of historic importance and the marine and Christian heritage needs to be protected and developed sensitively for tourism.
HCS0352	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm development, stating housing building on the site would increase the risk of flooding, put pressure on school places and increased traffic.
HCS0353	Hartlepool Economic Forum	All	Supports the Preferred Options, which allows for expansion of development opportunities. The Forum also endorsed the Economy section incorporating the development of the energy sector both wind and nuclear. In respect to housing, westward expansion of housing needs to be connected to the rest of the town to aid economic linkage and access.
HCS0354	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm development, stating housing building on the site would increase the risk of flooding, the site previously being refused and increased traffic.
HCS0355	One North East	All	Positive response overall to the Core Strategy, particularly CS2 (Climate Change), CS (Planning Obligations) and CS11 (Leisure and Tourism). Suggests there is greater emphasis on providing greater connectivity needed.
HCS0356	Resident	Quarry Farm (-)	Objection to the proposed Quarry Farm housing development. Increased traffic, unsafe roads and flooding as the main reasons for disagreement.
HCS0357	Resident	Tunstall Farm (+)	Agrees with the document in general, however, objects to the housing development at Tunstall Farm, due to unacceptable risk of flooding, disruption and noise and increased traffic and congestion.
HCS0358 and HCS0359	Resident	Tunstall Farm (-)	Objection to the proposed Tunstall Farm housing development. Refers to previous planning refusals in the area, the fact that it is greenfield land, increased traffic and problems with access as the main reasons for disagreement.
HCS0360	Resident	Quarry Farm (-)	Objection to the proposed housing development at Quarry Farm, stating the loss of greenfield land, increased traffic, loss of wildlife and habitat and flooding as the main reasons for disagreement.
HCS0361	Resident	Tunstall Farm (-)	Objects to the proposed housing development of Tunstall Farm. Refers to previous planning refusals in the area, the fact that it is greenfield land,

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			increased traffic and pressure on school places and the decrease in property value due to the building of social housing as the main reasons for disagreement.
HCS0362A	All Saints Church – Hartlepool Deanery Synod	Recreation	Main concern is CS4 (Community Facilities and Services) policy. Registers their firm view that there needs to be adequate community facilities, in the form of Community centres and recreational areas that need to be an essential component in any large residential development such as Claxton. Provision should be required from developers.
HCS0362B	Resident	Quarry Farm (-)	Objects to the proposed housing development of Quarry Farm. Refers to previous planning refusals in the area, increased traffic and woodland issues as the main reasons for disagreement.
HCS0363	Resident	Tunstall Farm (-)	Objection to the proposed housing development at Tunstall Farm. States serious drainage problems in the area and the increase in housing and therefore the load on an already stretched system would be disastrous. The traffic increasing pressure on an already stressed system. Exiting the estate via the Elwick Road, Wooler Road Junction especially at busy times is extremely dangerous. Brownfield sites should be used and run-down area re-developed. Very much opposed to building on green wedges.
HCS0364	PD Ports	Victoria Harbour	Suggests that the Council is incorrect to state in the Core Strategy Preferred Options that Victoria Harbour is no longer available for mixed used development at all. Given the reduced scale of residential development now being proposed for Victoria Harbour by PD Ports, there is the continued view by the respondent, that a SPD for Victoria Harbour is not necessary.
HCS0365	GVA Grimely	Sovereign Park	Supports CS1, CS2, CS3 and CS4. However, has queries with CS5 and requests that the Council amends their housing supply figures and believe that the Sovereign Park site is located in a more sustainable location than those mentioned in Policy CS5.
HCS0366	Resident	Tunstall Farm (-)	Objects to the proposed housing development of Tunstall Farm, stating that the topography and size of the site would be a notable intrusion into the countryside and a significant erosion of the Burn Valley green wedge.
HCS0367	Persimmon Homes	Upper Warren	Agrees with the principle of CS1 Locational Strategy policy, however, requests land at Brewery Farm (Upper Warren) to accommodate a larger allocation with

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	Teesside		increase housing numbers on this location. Also agrees with the principles of CS5 but urges the council to look favourably on applications to develop those identified location/sites which are demonstrated to be deliverable.
HCS0368	NLP on behalf of Wynyard Estates Ltd.	Wynyard Woods West	Agrees with the Preferred Option CS1 (Locational Strategy), particularly the identification of Wynyard Woods West as a location for executive housing. Does not fully agree with Preferred Option CS3 (Planning Obligations) in delivering affordable housing. Disagree with Preferred Option CS5 (New Housing Development) in the annual net additional dwelling targets (they want them higher) and more dwellings to be built at Wynyard Woods West. Agree with CS6 that Wynyard Woods West should be an executive housing development.
HCS0369	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could

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			<p>adversely affect water voles and other aquatic and waterside wildlife.</p> <ul style="list-style-type: none"> • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension:</p> <p>A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Fens's school, Manor school, Fens's shops and Truro Drive could not cope with all the people living on the new estate.
HCS0370	Resident	Western Bypass/ proposes new village	Enquires whether the proposed Western Bypass would bisect their land and the therefore their business would become "uneconomic". It is for this reason that the responder will include their land for Hartlepool Borough Council to consider for developable land, proposing a new village.
HCS0371	Resident	South-Western Expansion (-)	Objects to the proposals to build a large new estate next to the Fens Estate. The resident is not opposed to the building of housing on the proposed location, but to the size and scale of it. States congestion, increased accidents and loss of wildlife as principle reasons.
HCS0372	Resident	Quarry Farm (-)	Objects to the proposed application for housing on Quarry Farm, stating increased traffic and drainage affecting the foundations and structure of the objector's home.
HCS0373	Resident	All housing (-)	Objects to the proposed housing estate in CS5 (New Housing Development) stating traffic and drainage as major concerns.
HCS0377	Resident	South-Western Expansion	The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:

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		(-)	<ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future</p>
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			<p>management of the green wedge.</p> <ul style="list-style-type: none"> Other areas of the town would benefit from this development. Brownfield land should be utilised and unsightly old buildings should be demolished, including, the Odeon Cinema, the Longscar Hall, Tunstall Court and Jackson's Landing. The site of Hartlepool Hospital is also developable once the new one has been built. The landscape should not be ruined and would be detrimental not only to the residents but also other people who enjoy the area regularly.
HCS0380	Resident	South western expansion (-)	Objects to south western expansion of Hartlepool by the building of a large new estate adjacent to Fens Estate on the grounds that the Preferred Options does not fit in with RSS as well as leading to problems of traffic congestion in the area. Also need to take account of flooding in the area and the provision of schooling for additional residents.
HCS0384	Resident	Tunstall Farm (-)	Objects to the proposed housing development at Tunstall Farm, stating flooding and drainage problems as big concerns.
HCS0385	Resident	All	Suggests the report is based on the "recent past" and believes that the Core Strategy is merely dogma based on "as if" policies. Suggests that CS12 should consider land share coops again to build closed cycle economics.
HCS0386A	Resident	All housing (-)	Disagrees with many policies on the grounds that there is a lack of detailed information and objects particularly to CS5 and the development of green belt land.
HCS0386B	Resident	South western expansion (-)	Agrees with the general approach of the Core Strategy and the Spatial Vision, however, has concerns regarding the amount of green land planned to be built upon, especially around Greatham.
HCS0387A	Resident	Tunstall Farm (-)	Objects to the green belt land at Tunstall Farm changing into Residential Building Land, stating increased flood risk, traffic and congestion at the prominent reasons.
HCS0387B	Tees Archaeology	All housing (-)	Tees Archaeology Believes the spatial vision should make reference to the value of the Historic Environment of Hartlepool including listed buildings, Conservation Areas, Scheduled Monuments and archaeological sites. Objects to the Preferred Options CS5 New Housing Development on the grounds of

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			overstated needs, not building on greenfield sites and the destruction of archaeological remains. Disagrees with CS13 Built Environment policy suggesting that it does not reflect the value of the Historic Environment.
HCS0388	Resident	Tunstall Farm (-)	Objects to the building of houses on Tunstall Farm, stating the reasons of flooding, congestion in West Park, traffic, unsuitability of the site and the negative impact on the environment.
HCS0389	Resident	South western expansion (-)	Objects to the proposed development of 2,200 houses to be built upon land adjacent to the Fens estate. Cites traffic and poor drainage and sewers as the main reasons.
HCS0390	Resident	South western expansion (+)	Fully supports the proposed housing development at Owton Grange Farm and Brierton Lane.
HCS0391	Resident	Tunstall Farm (-)	Objects to the Tunstall Farm housing development, listing poor drainage and access as principal reasons.
HCS0392	Resident	Tunstall Farm (-)	Objection to the proposed housing development contained within the CS. There have been previous applications to develop the land behind Hylton Road and Valley Drive for housing which has been refused on the basis of impact on local environment – including road usage issues and the impact on local schools. The current option lays out the match against sustainability appraisal objectives; the respondent believes that the proposed housing plan for the above mentioned area contradicts the following objectives: Sustainability Appraisal Objective: Will it promote the re-use of previously developed land? The land identified is greenfield and always has been. There are many more brownfield sites in Hartlepool, which could be developed to bring back the area to life - Headland (Steetley land), Brenda Road area. Will it avoid inappropriate development in the floodplain? The area identified is sloped and therefore the run off runs to an area of high risk flooding. It is well known that this area is the first to flood in the winter and last to dry out in the spring. By building on this land the impact would be compounded by the reduction of areas for water absorption. Will it help to ensure the balance of supply and demand in the housing stock is met in

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			<p>sustainable locations? The area identified is not a sustainable location - the impact upon the busy Catcote Road will cause a huge impact upon the flow of traffic along that main road. The amount of traffic using that road has already been acknowledged by the placement of traffic lights at Park Road and in the future Oxford Road junctions. To place another major junction will cause another pinch point on that road.</p> <p>Housing sites are reasonably well connected to existing communities providing opportunities to link in with existing transport and community infrastructure in the most sustainable manner. With respect to "links to existing transport and community infrastructure" The proposed plan for the above area created and estate on its own - the site will not be a part of the West Park development - it's own entrance on Catcote Road - an emergency exit on to Valley Drive which would no doubt be used if school places were allocated at West Park or High Tunstall - thus impacting upon the noise, transport environment which is currently experienced. The site is not aligned to any major public transport therefore requiring car ownership, as the closest shopping area is Elwick Road, which is already a nightmare to park and navigate.</p>
HCS0393	Councillor		Repeated in HSC0461.
HCS0394	Resident	South western expansion (+)	Supports the proposed south western housing development contained within Preferred Options CS5 (New Housing development). Objects to the spatial vision on the grounds of the failure of public transport in the area to meet people's needs.
HCS0395	QRS Precision Engineering Ltd	Waste (-)	Disagrees with Preferred Options CS2 (Climate Change) stating the prevalence of ill-operated landfill sites and their contribution to methane gas release and skip lorry movements effecting air quality and road surfaces. Objects to Preferred Option CS8 (Local Economy) and suggests the relocation of Town Centre Waste Transfer Stations, tips and landfill sites where the Longhill/ Sandgate/ Seaton areas are blighted with the aforementioned.
HCS0396	NA	NA	Inaccurate numbering, HCS0396 does not exist.
HCS0397	NA	NA	Inaccurate numbering, HCS0396 does not exist.
HCS0398	Resident	South	Disagrees with the Preferred Options CS1 (Locational Strategy) and Preferred

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		western expansion (-)	Options CS5 (New Housing Development) stating the southw estern extension of the tow n, especially the Claxton, Greatham and Ow ton area as particularly objectionable on the grounds of high unemployment rates and the loss of wildlife and greenspaces. Strongly supports the preferred Option CS7 (Providing for Gypsies and Travellers) particularly encouraging engagement with travelling communities. Agrees w ith Preferred Option CS11 (Leisure and Tourism) but feels that the Headland and Seaton Carew are undervalued and warrant more investment.
HCS0399	Resident	South western expansion (-)	Agrees with the spatial vision of the Core Strategy and the general principles. Objects to Preferred Option CS5 (New Housing Development) due to too much emphasis on greenfield site development. Disagrees with Preferred Option CS6 (Overall Housing Mix) as they believe there should be more provision for bungalow s. Objects to the Preferred Option CS16 (Improving Connectivity) stating that the Western Bypass/distributor road is purely for developers to gain access to greenfield land for housing.
HCS0401	Resident	South western expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the follow ing reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand w ill necessitate the creation of such a large estate. • Already a lot of empty properties in the tow n and Hartlepool Council itself forecast that over the next 10 years the population w ill remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brow n hare, grey partridge and lapw ing. • Underground w ater main and overhead pow er lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing w ould increase flood risk. • Negative effect on quality of life and the desirability of properties for

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			<p>existing residents.</p> <ul style="list-style-type: none"> • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • The amount of Council Tax collected will not cover the services the Council will have to provide, especially as there will be affordable housing. • The market for apartments in Hartlepool and surrounding areas is at saturation point. Need to maximise the use of previously developed land. Extreme caution should be experienced in permitting new retail floor space outside the Town Centre. Is concerned over the development of the Jackson's Landing site. • If tourism is to be a real success in Hartlepool, the visual impact of the chemical and industrial landscape has to be addressed. Concerned over the health and safety issues on the local population. The tourism industry should not negatively affect the Hartlepool or Seaton Carew coastline or the Seal Sands area.
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HCS0402	Resident	South western expansion (-)	Objects to the South Western Expansion of Hartlepool due to overstated need and the effect on wildlife and environment loss. Increased flood risk and congestion on existing roads are a major concern.
HCS0404	Resident	Quarry Farm (-)	Object to the proposed development of Quarry Farm, stating additional pressure on roads in the area, taking up of green field, leading to possible drainage problems in the area and contamination of land. Strongly object to any proposal to develop a new housing estate on Tunstall Farm land. Flooding and health hazards with young people playing around the beck are also a concern.
HCS0407	Resident	South western expansion (-)	Objections are stated to the new estate adjacent to the Fens estate. The effect on the wildlife and environment are noted as major concerns. Flood risk and drainage problems.
HCS0415	Resident	South western expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity.

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			<ul style="list-style-type: none"> • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • The amount of Council Tax collected will not cover the services the Council will have to provide, especially as there will be affordable housing. • The local schools – Fens Primary and Manor Village are already over-subscribed, large numbers of additional children requiring education and access would add to the difficulties.
HCS0416	Resident	South western expansion (-)	Objections are stated to the new estate adjacent to the Fens estate. The effect on the wildlife and environment are noted as major concerns. Flood risk and drainage problems.
HCS0417	Park Residents Association	Quarry Farm and Tunstall Farm (-)	Suggests that the method of notification to the residents that had objected previously to the housing development sites stated in the Local Plan 2005 was not adequate. Strong objections noted to the Quarry Farm and Tunstall Farm housing developments. Concerns over flooding in the West Park Area and the failure of the existing sewerage system. An increase in traffic and congestion

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			are major concerns. The environmental detrimental effects of the development and the effect on the wildlife in the area are stated. Recommends a reassessment of sites investigated in the SHLAA.
HCS0418	NLP on behalf of Taylor Wimpey	Tunstall Farm	Agrees with CS1 Locational Strategy, objects to the phasing of housing supply as suggested in Preferred Option CS5 and the phasing of brownfield and greenfield sites release. Supports the proposed allocation of Tunstall Farm for residential development. Supports the CS6 of providing a mix of housing supply, and broadly supports CS3.
HCS0419	GONE	All	Generally support the strategic approach and the amended Locational Strategy taking into account the changes to the Victoria Harbour regeneration proposals. Need to make sure that the revised version of the Core Strategy is both deliverable and states targets that can in turn be monitored in line with national government objectives. The Secretary of State objects to specific parts of the draft policy CS2 as it conflicts with PPS25; policy CS4 as it conflicts with PPS12; policy CS5 as it conflicts with PPS3; policy CS9 as it conflicts with PPS4; policy CS10 as it conflicts with PPS4; policy CS11 as it conflicts with PPS5; policy CS12 as it conflicts with PPS12; policy CS13 as it conflicts with PPS1; policy CS14 as it conflicts with PPG17; policy CS16 as it conflicts with PPG12; policy CS18 as it conflicts with PPG13; and policy CS18 as it conflicts with PPS12.
HCS0420	NLP on behalf of Wynyard Estates Ltd	All	Fully supports Preferred Option CS1 (Location Strategy) in the allocation of housing development sites. Suggests that the production of an Affordable Housing Development Plan Document is a more appropriate way of dealing with the provision of affordable housing and that planning obligation policy is “subjected to full and proper scrutiny through the Examination in Public Process”. Suggests that the data used in Preferred Options CS5 (New Housing Development) are under-estimated and figures should be increased. Supports CS6 policy that Wynyard Woods West should be developed as an executive housing area.
HCS0421	NLP on behalf of MBH Investments	Eco-Industries	Would like further clarification on the proposed Eco-Industries within the Graythorp area as shown on the Proposals Map (Key Diagram 1).

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HCS0422	One North East	All	Positive response overall to the Core Strategy, particularly CS2 (Climate Change), CS (Planning Obligations) and CS11 (Leisure and Tourism). Suggests there is greater emphasis on providing greater connectivity needed.
HCS0423	Spawforths on behalf of Yuills	South West Extension	Supportive of the strategic approach of the Core Strategy and the development of the Hartlepool South West Extension. Supports the overall approach of Key Diagram 1, however, objects to the areas defined as “new green wedges”. CS2 (Climate Change) is generally supported, however, objections are made in that it conflicts with the sustainable urban extensions required to deliver development. Other specific objections to policies are voiced in relation to policies that seek to limit development or obtain contributions.
HCS0424	Barton Wilmore on behalf of Wynyard Park	Wynyard Park	<p>Shortfall in housing supply as highlighted by Barton Wilmore's analysis of HBC SHLAA. Proposed western extension is not supported by robust evidence, which demonstrates, that the assessment is against reasonable alternatives. Proposed housing will not meet the needs of the housing market.</p> <p>The importance of the KEL in delivering a stem change in Hartlepool's economic performance is not recognised and there is no provision for its delivery. Insufficient recognition of the new hospital at Wynyard and the opportunity for a skills cluster.</p> <p>Wynyard Park should be an area of mixed-use development while maintaining its function as a KEL.</p> <p>CS1, Proposed Western extension is not supported by robust evidence, which demonstrates, that the assessment is against reasonable alternatives.</p> <p>Housing development strategy is not deliverable</p> <p>The opportunity to provide a health cluster should be accounted for in CS.</p> <p>CS2, Mixed-use development would help tackle climate change better than a single use area. Suggests rewording of policy CS2</p> <p>CS5, the current housing strategy is not deliverable within the plan period</p> <p>Lack of a robust evidence base to support the concentration of housing in one location. The number of houses set out are contrary to the 2009 SHLAA</p> <p>There is no contingency plan if the allocations set out in the policy cannot be delivered. Policy CS6, The appropriate housing mix will not be delivered.</p> <p>There is a lack of vision for Wynyard Park as a KEL as required in policy 20 of</p>

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			<p>the RSS. There is no recognition of the potential economic benefits of the new hospital as a focus for a health cluster. Policy CS9, Policy does not reflect the diverse office users who may not be satisfied with town centre sites.</p> <p>Policy CS16 the lack of commitment to the improvements of connectivity, particularly for those without access to a car, between Hartlepool and Wynyard park KEL. Lack of recognition of increased connectivity resulting from the new hospital. Object to the concept of a western distributor road, the road would not receive funding and is likely to be undeliverable.</p> <p>Extensive representation made in relation to the CS not meeting the test of soundness.</p> <p>Wynyard Park land is over Stockton and Hartlepool. Whose site has permission for 685,150 sqm of employment space, 89,996 sqm has been built out. World-class hospital approved. Recognised in RSS as one of the eight KELs. The LPA should focus on the delivery of the KEL. Owner is committed to an exemplar scheme for design; build quality and sustainability.</p> <p>Propose to include housing in the site to accelerate the growth of the KEL, A mix of uses provides a ready supply of labour in close proximity to employment, mixed use sites are attractive to investors, miles of uses delivers accelerated rates of take up.</p> <p>HCSPO identifies the amount of Key employment location within the borough at 185.06 hectares; this exceeds the RSS maximum (135 hectares) and implies that there is scope for other uses. The LPA should recognise the potential of the site and its unique selling point. Since the new owner in 2005, marketing has increases as has development. People living close to work will help reduce work journey. The site could provide a mix of affordability levels without relying on public subsidy. A mixed-use site would afford greater opportunities to secure viable public transport and linked trips.</p> <p>Seek a new policy within the local plan in relation to Wynyard to help meet the requirements of the RSS and RES.</p>
HCS0425	Resident	Quarry Farm (-)	Objects to the Quarry Farm development, stating extra traffic problems, flooding, the destruction of woodland and the subsequent effect on wildlife.
HCS0426	Resident	South West	Objects to the proposal to build a new housing estate in the south west of the

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		Extension (-)	town at Claxton farm, due to loss of wildlife, over-development and encroaching on green belt land.
HCS0427	Hartlepool Revival Board	South West Extension	The proposals for town expansion, particularly to the southwest, should only be taken forward with due consideration to the potential effects on the areas of older housing around the town centre.
HCS0428	Resident	Tunstall Farm (-)	Strongly disagrees with the inclusion of Tunstall Farm housing development in the Preferred Options CS5 (New Housing Development) and the general housing policy. It is suggested that the policy does not respect or support the current green belt and maximise the development of brownfield sites or take notice of previous public enquires.
HCS0429	Tees Valley Local Access Forum	South West Extension (-)	The list of improvements to the rights of way network is welcomed, however, it is stated that greater detail is needed. With regard to housing, there is a concern with housing development being located on greenfield sites. The allocating of housing on the Claxton/Brierton area, which is highly productive agricultural land is objected to. The western Bypass proposal is seen as a good idea, however, is not seen to be comprehensive enough.
HCS0430	Resident	Tunstall Farm (-)	Strongly objects to the Tunstall Farm development, stating the concerns for developing on green belt land, “devaluing prestigious areas of the town with social housing”, congestion and traffic problems, quality of the road surfaces, poor drainage systems, increased demand on schools and fear of crime.
HCS0431	NLP on behalf of MBH Investments Ltd	Eco-Industries	Would like further clarification on the proposed Eco-Industries within the Graythorp area as shown on the Proposals Map (Key Diagram 1).
HCS0432	NLP on behalf of Taylor Wimpey	Tunstall Farm	Agrees with the Preferred Option CS1 (Locational Strategy) and supports the strategy of a compact urban form with most expansion being concentrated in areas adjoining the existing built up area. Supports the proposed allocation of Tunstall Farm for residential development and for lower density executive housing, however, objects to the proposed density of ~9ha per dwelling, stating non-viability from a commercial/ market perspective. Agrees that there is an oversupply of apartments in Hartlepool and broadly supports the principle of Planning Obligations. Objects to the phasing of housing supply as suggested in Preferred Option CS5

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			(New Housing Development) and also the phasing of brownfield and greenfield sites release as stated in CS5.
HCS0433	Durham County Council	Housing, Gypsies and transport.	<p>Raised questions regarding SHLAA site selection and highlighted that a policy permitting expansion of the town into greenfield land is likely to be controversial. Commented on the sensitivity in which the Gypsy and Travelling population needs to be dealt with and objected to the statement of “adequately screened and landscaped” in Preferred Option CS7 (Providing for Gypsies and Travellers) due to contentious wording, as concealing a group of people from the rest of the population may prove unconstructive to improving community cohesion and promoting positive interactions.</p> <p>Supports the efforts of Preferred Option CS16 (Transport) to improve connectivity, accessibility and economic growth and efforts to promote public transport. Cross-boundary services are stated as extremely important and need to be maintained and strengthened as well as road/pedestrian/rail/cycle network improvements.</p> <p>No other objections were stated.</p>
HCS0434	Environment Agency	All	<p>Generally positive response to the document and strongly supports Preferred Option CS13 (Built Environment), Preferred Option CS14 (Open Spaces) and Preferred Option CS15 (Natural Environment) and specifically, the inclusion of the sentence ‘new development will be located so as not to have an adverse impact on the integrity of internationally designated nature conservation sites’ in Preferred Options CS1 (Locational Strategy).</p> <p>The wording of Preferred Option CS2 (Climate Change) is queried as not being in conformity with PPS25. A revised statement more in keeping with PPS25 is recommended.</p>
HCS0435	Resident	Tunstall Farm (-)	Objection to the development of Green Belt land at Tunstall Farm into residential building land and construction on Green Belt land in general.
HCS0436	Resident	All	<p>Agrees broadly with Preferred Option CS1 (Locational Strategy) and CS2 (Climate Change), however, with reservations on particular points. Agrees with CS3 (Planning Obligations) and CS4 (Community Facilities and Services).</p> <p>Objections to Preferred Option CS5 (New Housing Development) policies, believes there is no demand for housing at Wynyard and Tunstall Farm and that</p>

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			numbers are overstated.
HCS0437	Woodland Trust	All	Supports Preferred Options CS15 (Natural Environment) for including strong protection for ancient woodland, points out that we need to include reference to give 'strong protection to ancient and veteran trees'. Feel that we have not taken on board comments from previous consultation and need to state the importance of ensuring that the residents of Hartlepool have access to trees and woodland, as well as other natural green space. Would also like to see the wording of Preferred Option CS14 to include trees and woodland in the list of assets to be safeguarded from inappropriate development.
HCS0438	HBC Development Control	All	It would be beneficial to incorporate more Development Control policies into the Core Strategy in order to resist poor quality developments in some instances. Also suggests that there should be a Heritage DPD or specific policy, which will provide more detailed guidelines in respect to developments affecting Conservation Areas and Listed Buildings.
HCS0439	Councillor		Repeated.
HCS0441	Resident	Tunstall Farm (-)	Objects to the development plans for a new housing estate in the West Park area stating flooding; increased traffic and the over-demand of the local primary school as specific reasons.
HCS0442	Resident	South Western Expansion (-)	Objects to Preferred Options CS5 (New Housing Development) covering the South Western Expansion at Claxton, particularly the effects on the drainage system.
HCS0443	Natural England	All, power Station, Tunstall Farm, Quarry Farm and Wynyard	Natural England remains concerned that the protection, management and enhancement of the natural environment is not properly included in the Vision for the area. Stats that an attractive environment is much more than simply improvements to the quality and design of housing and other areas. Natural England requests that the council have regard to the requirements of PPS9 paragraph 13 with respect to biodiversity interests on brownfield land. With regard to the Nuclear Power Station, potential impacts of both decommissioning and any other new station on the environment will need close scrutiny including Appropriate Assessment under the Habitat Regulations with mitigation. The LDF must ensure compensatory measures can and will be secured where impacts

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			cannot be avoided, as opportunities and available land are increasingly limited in the Borough. Land identified in the Preferred Locational Strategy should be adequately served with Green Infrastructure. The justification for use of greenfield sites, as opposed to concentrating delivery of housing on brownfield sites in the Borough needs further explanation in the text. Natural England feels that the land at Quarry Farm East/West and High Tunstall Farm comprises land of importance to farmland birds and is arable productive land. States that Wynyard North impinges significantly on several areas of woodland SNCI. Believes that housing development here is not appropriate. There is a need to identify the issue of coastal squeeze. Welcomes the recognition of planning obligations to support open space and green infrastructure, however, considers that the contribution of planning obligations to biodiversity, geodiversity and landscape could be strengthened within policy CS3. The section on Highway Infrastructure should be expanded to include explicit reference to walking, cycling and public transport provision. Welcomes the opportunities for green tourism and the need to be developed in a sensitive manner.
HCS0444	Resident	Quarry Farm (-)	Objects to the Quarry Farm Development proposed within Preferred Option CS5 (New Housing Development), particularly the flooding of properties, increased traffic and effect on wildlife and the environment.
HCS0445	Resident	Tunstall Farm (-)	Objects to the Preferred Option CS5 (New Housing Development) of Tunstall Farm on the grounds of increased traffic, impact upon wildlife and states that the placement of affordable housing within Hartlepool does not attract the entrepreneurs and executive business people that are desirable.
HCS0446	Resident	All housing (-)	Objects to the Preferred Option CS5 (New Housing Development), specifically, the data given to support house-building numbers. Object to the expansion of the Urban Fence Development Limit and the use of greenfield sites for housing construction. Highlight that the Victoria Harbour development has been eliminated for further development and believe this previously developed land to be the most appropriate location for development. Objects to Preferred Option CS16 (Improving Connectivity), specifically, the proposed Western Bypass.
HCS0447	Resident	All	Agrees in principle to the Spatial Vision but has reservations about delivery. Concerned about the impact of policies upon disabled people and their needs

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			being met. Disagrees with Preferred Options CS1 (Locational Strategy), Preferred Option CS5 (New Housing Development) and Preferred Option CS13 (Built Environment) and CS16, CS17, CS18, CS19.
HCS0448	Resident	South Western Expansion (-)	Objects to the expansion of the west of the town stated within Preferred Option CS5 (New Housing Development). Concerned about the increase in traffic, congestion and the subsequent increase in noise and pollution.
HCS0449	Highways Agency	Wynyard and North Burn,	The agency supports the vision of Hartlepool and the themes and objectives. The agency is generally supportive of locating new housing development in the urban area. It is suggested that a number of sites are, however, located in unsustainable locations (CS5) in that they do not reduce the need to travel. Specific reference was given to Wynyard and North Burn, as they are isolated from existing facilities and amenities. The agency supports the CS2, CS3 and CS4. With regard to CS16, the agency is unclear of the evidence base, and is interested in the development of an infrastructure plan to be consulted on.
HCS0450	NLP on behalf of Wynyard Estates Ltd	Wynyard Woods West	Agrees with the Preferred Option CS1 (Locational Strategy), particularly the identification of Wynyard Woods West as a location for executive housing. Does not fully agree with Preferred Option CS3 (Planning Obligations) in delivering affordable housing. Disagree with Preferred Option CS5 (New Housing Development) in the annual net additional dwelling targets (they want them higher) and more dwellings to be built at Wynyard Woods West. Agree with CS6 that Wynyard Woods West should be an executive housing development.
HCS0451	Park Residents Association	Tunstall Farm and Quarry Farm (-)	The Park Residents Association object to the proposed development at Tunstall Farm and Quarry Farm. Reasons given are traffic, pressure on existing schools, the destruction of countryside and the effect on wildlife residing on that land.
HCS0453	Resident	Tunstall Farm (-)	Objection is given to Preferred Option CS5 (New Housing Development), particularly the Tunstall Farm housing development, with specific concerns over flooding.
HCS0457	Resident	South Western Expansion	Objection to the proposed development of the Claxton Estate with specific concern over flooding and traffic complications.

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		(-)	
HCS0458	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for Tunstall Farm stating the previous Planning Inspectorate Report 2005 findings of recommending the deleting of the development. The effect on drainage and sewerage disposal, increased traffic, the encroachment on green belt boundaries, the over-subscription to schools and the effect on wildlife and conservation areas.
HCS0459	Resident	Tunstall Farm (-)	Objects to the Tunstall Farm housing development contained within Preferred Option CS5 (New Housing Development). States flooding as the main concern and the inadequate drainage system that currently is in place.
HCS0460	Resident	South Western Expansion (-)	Objects to the proposed expansion and development of the Fens/ Claxton residential area. States flooding and the existing drainage system as the main reasons for the objection to Preferred Option CS5 (New Housing Development).
HCS0461	Councillor	Quarry Farm (-)	Councillor Hilary Thompson proposed issues in which she had 33 people in agreement through the signing of a petition. Raised issues with the Quarry Farm development and the subsequent traffic that may materialise. Improvements to the road network are recommended before development begins. The housing needs to reflect the population and consist of bungalows and affordable housing. Schools will be placed under pressure therefore extra places will need to be allocated. Support for the rural economy is needed in the provision of reliable broadband.
HCS0462	Resident	Tunstall Farm (-)	Objects to the proposed inclusion of land at Tunstall Farm as residential building land within the Core Strategy 2010. States the already strained drainage and sewerage system and the increased risk of flooding. Increased traffic is also stated as a large concern along with a change in character of the area and a lack of school places at West Park Primary School.

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APPENDIX 5 – ADVERTISEMENT OF THE CORE STRATEGY

Press release for Hartlepool mail

HARTLEPOOL COUNCIL
PRESS RELEASE

Help shape the future of Hartlepool

HARTLEPOOL residents are being urged to help shape the future of their town by taking part in a major consultation.

Hartlepool Council is currently developing a new planning blueprint – called a Core Strategy – which will lay down the main planning framework for the borough for the next 15 years. It will replace the existing Hartlepool Local Plan.

It will include details of how Hartlepool is expected to develop by 2026, what kind of changes will be needed to make this happen and how they will be brought about.

Following an earlier stage of consultation, the Council has drawn up an initial draft of the strategy and is asking people for their views on that.

Among the key points in the draft strategy are:

- The expansion of the town to the west and south-west for new housing with new road access northwards off the A689.
- The extension of the town centre boundary to include the Mill House area and the football ground.
- The allocation of Victoria Harbour for port-related uses.
- Small expansions of the housing sites at Wynyard Woods and Tunstall Farm for executive housing.
- Improvements to, and the extension of, the so-called ‘green wedges’ around the town – these are the large green open spaces which lead from the countryside into the town and which are protected from development. The draft strategy identifies the possibility of extending the Middle Warren green wedge towards the town and creating new green wedges in the Claxton area to minimise the impact of new housing development.
- Identifying parts of the Southern Business Zone, including Graythorp, as an area for a centre of excellence for environmental waste management and industries, which help the environment.
- Identifying a potential new nuclear power station for the town.

Hartlepool Mayor Stuart Drummond said: “This is by far the most important and far-reaching document which the Council will prepare over the next 12 months.

“It will play a key part in how our town develops over the next 15 years, including in terms of housing, industry and regeneration.

“It matters to every person who lives or works in Hartlepool, so I would urge everyone to please give us their views.”

The consultation begins today and will run until Friday 26 March and there are various ways people can take part.

There will be the following information displays and drop-in consultation sessions where people can speak to Council officers and complete a consultation questionnaire:

Hartlepool Central Library (foyer), York Road

Wednesday 3 February from 2pm to 7pm
Saturday 13 February from 10am to 1pm
Saturday 6 March from 10am to 1pm
Wednesday 24 March from 10am to 3pm

Middleton Grange Shopping Centre (the central square)

Wednesday 10 February from 9am to 4pm
Thursday 11 February from 9am to 4pm
Wednesday 10 March from 9am to 4pm
Thursday 11 March from 9am to 4pm

Copies of the draft strategy can also be viewed – and the questionnaire completed – at all Hartlepool’s libraries as well as at Civic Centre in Victoria Road and at the Council’s offices at Bryan Hanson House in Hanson Square during normal opening hours.

The questionnaire can also be completed online at <http://planningpolicy.hartlepool.gov.uk> and it is also available by calling 01429 523280 or emailing planningpolicy@hartlepool.gov.uk

Completed questionnaires should be returned to Hartlepool Council, Bryan Hanson House, Hanson Square, Hartlepool, TS24 7BT.

Comments can also be sent by letter to the Planning Policy team at Bryan Hanson House, or by email to planningpolicy@hartlepool.gov.uk

Information on the Core Strategy is also available by calling 01429 523280.

Ends. Press release PRO37710. 29 January 2010.
Issued by Julian Heward, Public Relations Officer, on 01429 523044.

Advertisement poster



Take Part in the Future Planning of Hartlepool

A Core Strategy is being prepared to set out the planning framework for the Town in the future. The Council has produced a draft strategy of how the Borough will develop over the next 15 years. We are seeking your views on our Preferred Options.

CABINET REPORT

6th September 2010



Report of: Director of Regeneration and Neighbourhoods

Subject: REVIEW OF PARKING CHARGES

SUMMARY

1. PURPOSE OF REPORT

To examine and review the current level of permit and pay and display parking charges.

2. SUMMARY OF CONTENTS

This report sets out the current tariff charges and explains the recent changes to tariff structures / measures introduced to support business regeneration. In addition the report examines the implication of a projected budget under recovery and sets out options for possible charge increases.

3. RELEVANCE TO CABINET

This decision will have a bearing on residents and visitors to Hartlepool.

4. TYPE OF DECISION

Key Decision test i and ii applies Forward Plan reference Number– RN23 / 10.

5. DECISION MAKING ROUTE

Cabinet 6th September 2010

6. DECISION(S) REQUIRED

- 6.1 That Cabinet review current parking charges and consider the three possible options as set out in **Appendix A**:
- 1) Parking charges remain the same.
 - 2) Parking Charges increase by 10p per hour
 - 3) Parking charges increase by 20p per hour
- 6.2 To approve the extension of the hourly parking charge banding to additional car parks following the success of the 12 month trial.
- 6.3 To continue the subsidy of the “free after 4pm” parking initiative at strategic sites close to Middleton Grange Shopping Centre

Report of: Director of Regeneration and Neighbourhoods

Subject: REVIEW OF PARKING CHARGES

1. PURPOSE OF REPORT

- 1.1 To examine and review the current level of permit and pay and display parking charges.

2. BACKGROUND

- 2.1 Traffic Regulation Orders are currently enforced by a team of 11 Civil Enforcement Officers (parking) under the jurisdiction of the Traffic Management Act 2004. A further 5 dedicated support staff provide administrative support under the direction of the Parking Services Manager.
- 2.2 Under the current legislation the service is able to use income from both the pay and display revenue and penalty charge payments to finance the running costs of the scheme and financially support other traffic and transport related initiatives. The service is however not permitted to set income targets.
- 2.3 Financial figures for 2008/2009 period, shows the service made an operational surplus of £822,125. However this was substantially below the Chief Finance Officers projected budget recovery for the service.
- 2.4 Parking charges reflect the demand for usage around the town centre and tariff controls ensure a turnover of vehicles when required. The parking areas are currently structured into the following five bands:
- 1) Short stay – (being up to 4 hours). Such sites generally support shoppers and visitors and encourage a turnover of vehicles to provide convenient available parking spaces close to the shops and amenities.
 - 2) Express parking – such sites provide a low short stay parking tariff and longer stays are discouraged by an increasingly higher rate. Sites are located close to commercial activities such as banks / building societies where the duration of the stay tends not to exceed 2 hours.

- 3) Leisure – Mill House requires regular parking availability and serves a high turnover of visitors throughout the day. Parking charges are set to coincide with the parking stay required to use the facilities.
 - 4) Long stay– All day parking provision required by commuters. Sites usually offer both a daily charge rate and discounted permit parking for regular users.
 - 5) Mixed use- allows both long and short stay parking provision.
- 2.5 The majority of pay and display parking spaces tend to be in or around the commercial shopping centre of the town centre and fall within the short stay parking category. Usage figures have however been in decline over the last 36 months, reflecting a national trend which coincides with the general economic recession. Car park usage in Hartlepool has fallen from 1.25 million in 2004/05, 1 million in 2007/2008 to a current level of 800,000 in 2009/10.
- 2.6 Despite this fall in usage, the current parking tariffs (as shown in **Appendix A**) have not increased since October 2008.
- 2.7 The Parking Services Section is expected to recover pay and display income of £1,531,032 this financial year. The revenue recovered allows the section to be self financing, but also supports a number of transport and traffic initiatives. Failure to recover such an income level will create a budget pressure on the service. Although the parking charges have remained unchanged in recent years the expected income recovery has increased in accordance with the inflationary rate set by the Chief Finance Officer. This income, together with a decrease in vehicular activity and several subsidised initiatives, has seen the service under recover the expected level of income. It is estimated that without corrective measures, this under recovery is likely to equate to £150,000 for year ending 2010/11.
- 2.8 In recognition of the difficult economic conditions, HBC have trialled a number of initiatives aimed at assisting local businesses and traders during this difficult economic climate. Although very popular, such measures of subsidy have had a negative impact on the expected income recovery of the service.
- 2.9 Such initiatives / subsidies have included:
- 1) Free after 4pm – this was initially introduced in the run up to Christmas and was particularly popular with local businesses in and around the Middleton Grange Shopping Centre. The Shopping Centre management noticed a significant increase in footfall after 4pm.

- 2) Revised tariff rates. – After criticism that short stay visits were being affected by the need for motorists to pay a minimum 2 hour parking charge, the rates were changed on several car parks to allow parking charges to be made on an hourly basis. This has proved particularly popular with visitors who are now able to use the parking facilities for the minimum tariff stay.
- 3) Closure of Westside Car Park – This site is one of the most popular and highest revenue locations with a constant high turnover of motorists. However to assist market traders and in an effort to revitalise the market at Hartlepool, Members agreed to relocate the market site into Westside. The net effect on parking income is however expected to be an under recovery in the region of £36,000

2.10 Other considering factors

- 1) Loss of Albert Street Car Park – Closure of this site as a result of the HCFE development has seen the loss of 120 parking spaces.
- 2) Refurbishment of Waldon Street Car Park – The closure of 178 spaces for a 3 month period to enable the site to be refurbished has had a significant impact on expected income recovery.

3. PROPOSALS

- 3.1 **Appendix A** shows the existing parking charges and sets out three options for consideration. Option 1 retains the general charge level with some minor amendments to the tariff structures. Option 2 proposes a 10p per hour increase and Option 3 proposes a 20p per hour increase.
- 3.2 As the cost of increasing the parking charge has some significant overheads (advertising Legal Orders, Signage alterations etc.) It is normally considered prudent for any proposed increases to remain for the next 12- 18 month period.
- 3.3 In view of the popularity of the revised one hour parking charge it is proposed to extend this tariff structure from the current sites at the Multi Storey, and Basement car parks to include Waldon Street, Westside, Eastside, Back York Road / Open Market and Park Road one life centre car parks.
- 3.3 In order to address the concerns of the commercial sector and in particular those businesses who depend on public parking provision, it is proposed continue the free after 4pm parking within those parking sites that are integrally linked to the Shopping Centre, being Multi Storey, Basement and Marks and Spencer's.

4. RISK IMPLICATIONS

- 4.1 Any cost increase is likely to be unpopular particularly in this current economic climate. Vehicle activity is already in decline and any charge increase may have a further detrimental effect, at least in the short term, on usage. A significant continuation of declining vehicle activity would have a further impact on anticipated revenue and could lead to a further budget pressure.
- 4.2 The encouragement of visitors to the town centre is seen a key factor in the continued regeneration of the town centre. Although parking charges are a necessity, an excessive increase may have a detrimental effect on visitors to the town. For this reason, **Appendix B** shows the comparison parking charges made by neighbouring Authorities.

5.0 FINANCIAL CONSIDERATIONS

- 5.1 Failure to increase the parking charges will create an immediate budget pressure on the service and the service will under recover the expected income. This will have further implications for forthcoming financial years.
- 5.2 The projected increase of VAT levels to 20% will have a further bearing on projected pay and display income once the new rates become effective in January 2011.
- 5.3 The current economic climate makes it difficult to assess reasons for the current decline in levels of parking usage and consequently the net impact of any proposed charge increase is difficult to calculate. However it is expected that approval of Option B (10p increase) would generally equate to an additional annual recovery of £150,000.

6.0 LEGAL CONSIDERATIONS

- 6.1 Any changes to the pay and display parking charges would need to be advertised as part of a formal Legal Order. Any objections received in this period would need to be considered by the Portfolio Holder before any revised charge could be enforced.

7.0. RECOMMENDATIONS

- 7.1 That Cabinet review current parking charges and consider the three possible options as set out in **Appendix A**:
- A) Parking charges remain the same.
 - B) Parking Charges increase by 10p per hour
 - C) Parking charges increase by 20p per hour
- 7.2 To approve the extension of the hourly parking charge banding to additional car parks following the success of the 12 month trial.
- 7.3 To continue the subsidy of the “free after 4pm” parking initiative at strategic sites close to Middleton Grange Shopping Centre.

8. REASONS FOR RECOMMENDATIONS

- 8.1 To report / rectify projected under recovery of income and identify / correct consequential budget pressures on the services
- 8.2 To continue to support commercial businesses in the town centre and encourage visitors into to the town centre.

9. CONTACT OFFICER

- 9.1 Phil Hepburn
Parking Services Manager
Philip.hepburn@hartlepool.gov.uk
01429 523258

5.1 APPENDIX A

Car Park	Number of bays	Current charge	Option 1 No increase revised tariff only	Option 2 10p increase	Option 3 20p increase
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Short Stay

Waldon Street	200	£1.40 - 2 hours	60p - 1 hour	70p - 1 hour	80p - 1 hour
West Side	180	£1.80 - 3 hours	£1.20 - 2 hours	£1.40 - 2 hours	£1.60 - 2 hours
Open Market	82	£2.40 - 4 hours	£1.80 - 3 hours	£2.10 - 3 hours	£2.40 - 3 hours
M & S (Eastside)	169	£5.00 + 4 hours	£2.40 - 4 hours	£2.80 - 4 hours	£3.20 - 4 hours
Park Road (one life centre)			£3.00 - 5 hours	£3.50 - 5 hours	£4.00 - 5 hours
Basement	135	60p per hour	£3.60 - 6 hours	£4.20 - 6 hours	£4.80 - 6 hours
Mult Storey	314	60p per hour	£4.20 - 7 hours	£4.90 - 7 hours	£5.60 - 7 hours
			£4.80 + 7 hours	£5.60 + 7 hours	£6.40 + 7 hours
Multi Storey (long stay)	78	60p per hour £2.40 over 3 hour	60p - 1 hour £1.20 - 2 hours £1.80 - 3 hours £2.40 + 3 hours	70p - 1 hour £1.30 - 2 hours £1.90 - 3 hours £2.50 - + 3 hours	80p - 1 hour £1.40 - 2 hours £2.00 - 3 hours £2.60 - + 3 hours

Express parking

Andrew Street	26	50p - 30 mins £1 - 1 hour £1.50 - 2 hour max stay	50p - 30 mins £1 - 1 hour £1.50 - 2 hour max stay	60p - 30 mins £1.10 - 1 hour £1.60 - 2 hour max stay	70p - 30 mins £1.20 - 1 hour £1.70 - 2 hour max stay
Victoria Road Health Centre	55	30p - 30 mins £1 - 1 hour £1.40 - 2 hours £2.40 - 4 hours £5 all day	30p - 30 mins £1 - 1 hour £1.40 - 2 hours £2.40 - 4 hours £5 all day	30p 30 mins £1 - 1 hour £1.40 - 2 hours £2.80 - 4 hours £5.60 all day	30p - 30 mins £1 - 1 hour £1.60 - 2 hours £3.20 - 4 hours £5.60 all day

5.1 APPENDIX A

Car Park	Number of bays	Current charge	Option 1 No increase revised tariff only	Option 2 10p increase	Option 3 20p increase
Roker Street	106	70p - 30 mins 90p - 1 hour £1.40 - 2 hours £1.90 - 3 hours £2.40 - 4 hours £5.00 + 4 hours	70p - 30 mins 90p - 1 hour £1.40 - 2 hours £1.90 - 3 hours £2.40 - 4 hours £5.00 + 4 hours	50p - 30 mins 70p - 1 hour £1.50 - 2 hours £2.10 - 3 hours £2.80 - 4 hours £5.60 + 4 hours	50p - 30 mins 80p - 1 hour £1.60 - 2 hours £2.40 - 3 hours £3.20 - 4 hours £5.60 + 4 hours
Long stay					
Eden Street	40	£1.40 - 2 hour £2.40 + 2 hours	£1.20 - 2 hour £2.40 + 2 hours	£1.30 - 2 hour £2.50 + 2 hours	£1.40 - 2 hour £2.80 + 2 hours
Interchange site	125	£1.40 - 2 hours £1.90 - 3 hours £2.40 - 10 hours £5 all day	£1.40 - 2 hours £1.90 - 3 hours £2.40 - 10 hours £5 all day	£1.50 - 2 hours £2.00 - 3 hours £2.50 - 10 hours £5 all day	£1.60 - 2 hours £2.10 - 3 hours £2.60 - 10 hours £5 all day
Leisure facilities					
Mill House short stay	110	70p - 90 mins £1.40 - 2 hours £1.90 - 3 hours £2.40 - 4 hours £5.00 + 4 hours	70p - 90 mins £1.40 - 2 hours £1.90 - 3 hours £2.40 - 4 hours £5.00 + 4 hours	80p - 90 mins £1.50 - 2 hours £2.00 - 3 hours £2.50 - 4 hours £5.00 + 4 hours	90p - 90 mins £1.60 - 2 hours £2.10 - 3 hours £2.60 - 4 hours £5.00 + 4 hours
Mill House Long stay	32	£1.40 - 2 hours £1.90 - 3 hours £2.40 - 4 hours	£1.40 - 2 hours £1.90 - 3 hours £2.40 - 4 hours	£1.50 - 2 hours £2.00 - 3 hours £2.50 - 4 hours	£1.60 - 2 hours £2.10 - 3 hours £2.60 - 4 hours

5.1 APPENDIX A

Car Park	Number of bays	Current charge	Option 1 No increase revised tariff only	Option 2 10p increase	Option 3 20p increase
Mixed use					
Dover Street	106	70p - 90 mins	70p - 90 mins	80p - 90 mins	90p - 90 mins
		£1.40 - 2 hours	£1.40 - 2 hours	£1.50 - 2 hours	£1.60 - 2 hours
		£1.90 - 3 hours	£1.90 - 3 hours	£2.00 - 3 hours	£2.10 - 3 hours
		£2.40 - 4 hours	£2.40 - 4 hours	£2.50 - 4 hours	£2.60 - 4 hours

On Street pay and display

Tower street	36	£1.40 - 2 hours £2.40 - 4 hours	£1.40 - 2 hours £2.40 - 4 hours	£1.50 - 2 hours £2.50 - 4 hours	£1.60 - 2 hours £2.60 - 4 hours
Whitby Street	18	£1.40 £1.90 £2.40 £5 + 4 hours	£1.40 £2.40 + 4 hours	£1.50 £2.50 + 4 hours	£1.60 £2.60 + 4 hours

Permits

Business permits		£310 per anum	£310 per anum	£350 per anum	£375 per anum
Commuter - dedicated bay		£310 per anum	£310 per anum	£350 per anum	£375 per anum
Commuter - zone permit		£190 per anum	£190 per anum	£225 per anum	£250 per anum

5.1 APPENDIX B

Local Authority	Short Stay	Long stay	Permits/ season ticket
Middlesbrough	£1.70 for 2 hours £1.70 per hour thereafter	£3.10 all day	£744 and £800 pa
Stockton	£1.00 for 2 hours then £1 per hour	£2.40 all day	£39 - 1 month £112 - 3 month £200 - 6 months £377- 12 months
Darlington	£1 for 1 hour then £1.50 per hour	£4.00 all day	£15 per week
Redcar and Cleveland	60p per hour	60p per hour £2.50 all day	

CABINET REPORT

6 September 2010



Report of: Director of Regeneration and Neighbourhoods
and Chief Customer & Workforce Services
Officer

Subject: TRAVEL EFFICIENCY PLAN

SUMMARY

1. PURPOSE OF REPORT

To advise Members of a travel efficiency plan which consists of changes to the payments to staff and Elected Members who use their private vehicles for Council business and a salary sacrifice scheme for employees and members for car leasing that accrues savings in employer costs. To seek Cabinet's decision on the proposed travel efficiency proposals.

2. SUMMARY OF CONTENTS

- The report sets out progress to date on the negotiations with the Trade Unions and proposals for members to consider together with recommendations for introducing a salary sacrifice scheme for car leasing.
- It was originally planned to remove essential car user allowances and implement a single mileage rate from 1st April 2010 and this would have achieved an ongoing annual saving of £400,000. Implementation of these changes has been delayed to enable negotiations on the detailed implementation to be completed with the Trade Unions, although the budget forecast for future years assume this saving will be achieved from 1st April 2011. The proposals in this report will enable the £400,000 savings to be achieved from 1st April 2011. If Cabinet determines to not take the decisions required to deliver these savings this amount will have to be found from other, unplanned cuts, in addition to those which will be required as a result of grant cuts which will be made from

April next year. Cabinet will need to identify where they are prepared to see these alternative cuts made.

3. RELEVANCE TO CABINET

This was an Executive decision previously reported to Cabinet.

4. TYPE OF DECISION

Key decision. Test (i) applies Forward Plan reference CE 37/10.

5. DECISION MAKING ROUTE

Cabinet, 6 September 2010.

6. DECISION(S) REQUIRED

That Cabinet determine the preferred option for withdrawing the essential user allowance and the application of a single mileage rate for staff and Elected Members who use their private vehicles for Council business and to approve the implementation of a pilot salary sacrifice scheme for car leasing, which if successful, will lead to a full scheme at no cost to the Authority.

Report of: Director of Regeneration and Neighbourhoods
and Chief Customer & Workforce Services
Officer

Subject: TRAVEL EFFICIENCY PLAN

1. PURPOSE OF REPORT

- 1.1 To advise Members of a travel efficiency plan which consists of changes to the payments to staff and Elected Members who use their private vehicles for Council business and a salary sacrifice scheme for car leasing that accrues savings in employer costs and to seek Cabinet's decision on the proposed travel efficiency plan.

2. BACKGROUND

- 2.1 The Council faces significant and challenging reductions to its available financial resources. Costs associated with staff and Elected Member travel in the course of their duties have been reviewed to identify possible savings whilst securing current service delivery standards.
- 2.2. A report was considered by Cabinet on 10 March 2010 regarding the car allowance review and is attached as **Appendix A** (included in the confidential papers).

This item contains exempt information under Schedule 12A Local Government Act 1972 (as amended by the Local Government (Access to Information) (Variation) Order 2006) namely, Information relating to any consultations or negotiations, or contemplated consultations or negotiations, in connection with any labour relations matter arising between the authority or a Minister of the Crown and employees of, or office holders under, the authority.

Cabinet agreed to delay the required savings until 2011/12 on the basis that the Council had made financial provision for a pay award in 2010/11 which was not required given that the Local Government Employers' Organisation made no offer of a pay award for 2010/11. Negotiations have continued and options for Cabinet's consideration are set out in Paragraph 3.

- 2.3. The budget forecast for 2011/12 assumes this saving will be achieved from 1st April 2011. The achievement of this saving is now becoming essential as the new Government have indicated public expenditure cuts will be greater and occur earlier than previously anticipated.

- 2.4. In March, Cabinet also gave approval in principle to introducing a salary sacrifice car lease scheme for all employees and since then officers have progressed investigations into a car lease salary sacrifice scheme within this financial year at no cost to the Council. The initial scheme considered, as used by Newcastle City Council, cannot now be pursued due to restrictions in relation to the procurement element of the scheme therefore excluding other parties from participating in the contract. A revised proposal is set out in Paragraph 4 for Cabinet's consideration.
- 2.5. The proposals in this report will enable the £400,000 savings to be achieved from 1st April 2011 although not all the options achieve the required saving in full in 2010/11. Cabinet have already delayed implementing these proposals by 12 months. If Cabinet determines to not take the decisions required to deliver these savings this amount will have to be found from other, unplanned cuts, in addition to those which will be required as a result of grant cuts which will be made from April next year. Cabinet will need to identify where they are prepared to see these alternative cuts made.

3. REMOVAL OF ESSENTIAL CAR USER ALLOWANCE AND APPLICATION OF A SINGLE MILEAGE RATE

- 3.1 The Council currently pays a range of rates to employees and Elected Members who use their cars for Council business, based on rates determined nationally on behalf of all local authority employers and negotiations have been on-going to remove the essential user allowance and introduce a single mileage rate for all employees and Elected Members.

Current Provision

- 3.2 The national rates of car allowances which the Council uses were revised with effect from 1 April 2010. The rates are set out below:

	<u>LOWER RATE</u>	<u>MIDDLE RATE</u>	<u>NOT USED BY HBC</u>
	<u>451 - 999cc</u>	<u>1000 - 1199cc</u>	<u>1200 - 1450cc</u>
<u>Essential Users</u>			
	£846	£963	£1,239
Lump sum per annum	(£70.50 per month)	(£80.25 per month)	
per mile first 8,500	36.9p	40.9p	50.5p
per mile after 8,500	13.7p	14.4p	16.4p

Casual Users

per mile first 8,500	46.9p	52.2p	65.0p
per mile after 8,500	13.7p	14.4p	16.4p

- 3.3 The majority of employees entitled to an essential user lump sum allowance claim at the middle rate.

Negotiations to date

- 3.4 Please see **Appendix B** (included in the confidential papers)

This item contains exempt information under Schedule 12A Local Government Act 1972 namely, information relating to any consultations or negotiations, or contemplated consultations or negotiations, in connection with any labour relations matters arising between the authority or a Minister of the Crown and employees of, or office holders under, the authority (paragraph 3).

Workforce Implications

- 3.5 The majority of employees do not receive any form of car user allowance and are not therefore affected by these proposals. There are some employee groups who use their personal vehicles very regularly and the essential user allowance is considered to be a key element of their terms and conditions to reflect the Council's expectation that postholders will hold a driving licence and use their personal vehicle for Council business. Removing the allowance will have an impact on staff morale and perceptions of the value the Council places on the postholders and the work they do.
- 3.6 The main risks will be to retaining current employees and recruiting new employees. Given the actions and approaches of other Tees Valley authorities to changing allowances the risks will reduce and as other local authorities take similar action a new regional and national "standard" will evolve. The highest risk is therefore in the short term as the proposals are announced and implemented. In response, a high level of detail will be given to explain that Hartlepool is comparable to other local authorities in the medium to long term and to emphasise other employee benefits.
- 3.7 Some employees may remove their 'good will' and refuse to use their own vehicles for Council business. Pool cars will therefore be considered for those employees who do need to be mobile and there is a sound business case.
- 3.8 Employees employed by schools are not immediately affected by these proposals and Governing Bodies will be advised of Cabinet's decision in due course and the implications for their school.

Financial implications of removing the allowance

- 3.9 Please see **Appendix B** (included in the confidential papers)

This item contains exempt information under Schedule 12A Local Government Act 1972 namely, information relating to any consultations or negotiations, or contemplated consultations or negotiations, in connection with any labour relations matters arising between the authority or a Minister of the Crown and employees of, or office holders under, the authority (paragraph 3).

Next steps

- 3.10 Subject to Cabinet's decision, it is proposed that all staff who have made a claim for miles travelled on Council business and/or received an essential user lump sum in the last 12 months be advised of Cabinet's decision and provided with relevant information as to how they are personally affected during September before the Trade Unions undertake a ballot of their members.

Subject to Cabinet approval the following timetable is proposed:

Cabinet approval	6 September 2010
Employee Communications (individual letters, briefings, intranet information, etc.)	Commence 15/16 September 2010
Trade Union ballot	22 September – 6 October 2010
Cabinet	11 October 2010

- 3.11 A further report will be submitted to Cabinet on 11 October confirming the outcome of the Trade Union ballot and advising on the implications of the ballot result.

4. SALARY SACRIFICE CAR LEASE SCHEME

- 4.1 Further to the agreement in principle from the Cabinet report on 10th March 2010, officers have progressed investigations into a salary sacrifice scheme for lease cars within this financial year at no cost to the Council.
- 4.2 A Salary Sacrifice Scheme allows employees to give up the right to part of their salary in return for an employer's agreement to provide the employee with a non-cash benefit. The salary is sacrificed before Tax and National Insurance. Income Tax, Pension contributions and National Insurance is then calculated on the lower salary resulting in savings for the employee and employer.

- 4.3 Further savings will be accrued by a reduction in the mileage allowance paid to those who opt for a car under this scheme. The value of this additional saving will depend on take up of this scheme. In addition the scheme would support the Council's sustainability strategy and also act as a recruitment and retention tool.
- 4.4 Her Majesty's Revenue and Customs (HMRC) appear to view this arrangement as an employment law rather than a tax law matter, as employees are free to agree a change in their overall remuneration with their employer. HMRC will want to establish that a change in salary is "permanent" meaning that any change must be for a minimum 12 month period. In addition employees must also agree to sacrifice the salary before delivery of the car. Employees will be liable for Benefit in Kind Tax (BIK) as the scheme operates as a Company Car Scheme, however choosing a low CO₂ vehicle normally provides minimal BIK tax enabling tax savings under this scheme.
- 4.5 The introduction of such a scheme would also be particularly beneficial in the current economic climate, particularly for those employees who used their essential car user allowance to contribute to the cost of a car loan. The scheme is open to all permanent employees of the Council regardless of whether they are in receipt of essential car user allowance. Currently teachers are not eligible to participate in the scheme due to limitations on changes to their terms and conditions and teachers pension scheme. However, consideration to a 'net pay contribution arrangement' is an option to be explored. The scheme is only available for individuals who would not fall below the Minimum Wage after the salary sacrifice.
- 4.6 The initial scheme considered, as used by Newcastle City Council, cannot now be pursued due to restrictions in relation to the procurement element of the scheme therefore excluding other parties from participating in the contract.
- 4.7 North Yorkshire County Council (NYCC) has created a 'Pan Government contract' with an external vehicle provider (Tuskerdirect) which automatically allows for other public bodies to access and use the contract for their own purposes. This contract negates the need for any costly procurement exercise by ourselves and ensures all legal aspects have been addressed and agreed. NYCC has awarded the framework agreement on behalf of all UK contracting authorities including, but not limited to, Government Departments and their Agencies, Non-Departmental Public Bodies, NHS Bodies, Local Authorities, Police Authorities, Emergency Services, Educational Establishments and Registered Social Landlords who have a need to purchase these services. Officer discussions have been held with North Yorkshire County Council staff and Tuskerdirect as well as full scrutiny of all contract documentation.

- 4.8 Details of the proposed Scheme are set out in **Appendix C**. The environmental benefits of the proposed Scheme are set out in **Appendix D**.
- 4.9 Upon Cabinet approval to progress the above Scheme, a pilot scheme will need to be carried out to comply with the Council's Tax advisors and HMRC requirements.
- 4.10 Cabinet is requested to authorise the pilot scheme by way of selected single officer involvement who is prepared to participate through a voluntary agreement, therefore allowing appropriate scrutiny of tax and pension contribution arrangements and any other associated risks of the scheme before rolling out to all eligible employees within the Authority. In the unlikely event that the scheme is not approved by HMRC the Council would need to underwrite any tax liabilities incurred on the pilot.
- 4.11 Once the pilot has been introduced successfully, discussions will take place with the Salary Sacrifice Scheme provider (Tuskerdirect) to arrange for a 'soft launch' consisting of website access for employees to engage in vehicle selection and financial considerations relating to monthly contributions, tax, pension and national insurance etc. This will be complimented by a series of roadshows at which a detailed explanation of the scheme will be delivered to all staff interested in participating in the scheme.
- 4.12 The main risks of the scheme are to the employee which will be explained through scheme proposal literature prior to any take up. Gap insurance is incorporated within the scheme to protect employees against early termination of the scheme whilst participating. The pilot scheme will ensure that all potential risks in relation to tax and other associated factors are scrutinised and analysed and only if acceptable to the Council will the scheme be rolled out across the Authority.
- 4.13 Once the Council signs up to the Pan Government contract employees will be free to enter into the scheme.
- 4.14 Anticipated timetable for Council wide launch (subject to pilot findings) would be around Autumn 2010.

Financial considerations of the proposed Scheme

- 4.15 Finance officers have considered the financial impact of the proposed scheme on both employees and the Council. This has included a review of potential risks to the Council by way of in-depth discussions with NYCC and the vehicle provider.
- 4.16 In relation to individual employees the proposed scheme is not a tax free benefit, as is the case with other forms of salary sacrifice schemes.

The advantages arise from the interaction of the saving in personal tax compared to the extra tax cost of a company car. Because individuals sacrifice their gross salary, they pay less income tax, national insurance and pension contributions. The individual then pays tax on the car according to the fuel emissions of the vehicle model. The advantages only arise where a fuel efficient vehicle is obtained. The scheme used by North Yorkshire only includes fuel efficient vehicles with an CO2 emissions rating below 120 gms/km.

- 4.17 It is unlikely that the Government will reverse the current direction of tax policy given International commitments to reduce CO2 emission. If any changes were introduced by the Government the additional tax liability would fall on individual employees and not the Council. Changes to company car tax normally have a lead in time so staff could terminate their agreements if necessary. The scheme would then no longer be attractive to staff in the future
- 4.18 In relation to the financial impact on the Council the authority benefits from reduced national insurance and pension contributions, as these are not payable on the value of the salary sacrificed.
- 4.19 In relation to national insurance there is a risk that the Government change the existing regulations and bring salary sacrifice schemes within the national insurance regime. This would reduce the tax incentive for organisations to use fuel efficient vehicles. This is currently assessed as a low risk and at worst the Government may treat salary sacrifice schemes in the same way as salary for national insurance purposes, which would remove the savings currently achievable.
- 4.20 The introduction of a car Salary Sacrifice Scheme is likely to reduce the take-up of the Council's existing car loan scheme, which currently makes a small surplus owing to interest rate structures. It is anticipated that savings in national insurance contributions will offset this reduced income.
- 4.21 The position in relation to the potential savings in pension contributions is more complex. A valuation of the pension fund is currently being undertaken and this will set the employers pension contributions for the period 2011/12 to 2013/14. This valuation reflects the current aggregate pensionable pay bill. Therefore, any reduction in the pensionable pay bill, such as the introduction of car Salary Sacrifice Scheme, will mean the actual contribution to the Pension Fund will be less than expected when the valuation of the fund is being carried out. The potential short-fall per employee is approximately £700 per year.
- 4.22 The Pension Fund Treasurer has indicated that in the short-term this amount is not material, although this position may change if there is a significant take-up of the scheme. Experience from other authorities suggests take up of the car salary sacrifice scheme is phased over a

number of years. In order to protect the medium term position it would be prudent to earmark these savings to make a one-off lump sum payment to the Pension fund at the time of the next valuation in 2013. If this contribution is not needed these resources could be released to support the budget from 2014/15 onwards.

- 4.23 With regard to administration of the proposed Salary Sacrifice Scheme this will be minimal as the scheme will be administered by the private operator. The internal administration will be offset by reductions in car loan administration. In the event that the take-up of the Salary Sacrifice Scheme exceeds current car loan activity it is anticipated any additional administration costs will be offset by savings made from reduced national insurance contributions. A 12 pence tariff for each business mile travelled would accrue further savings for the Council.
- 4.24 In summary it is anticipated the Salary Sacrifice Scheme can be implemented within existing budgetary provision.

Procurement Considerations

- 4.25 The tender for the Framework Agreement was conducted following the full requirements of the Public Contracts Regulations 2006 (as amended) and the OJEU contract notice clearly identified access to the framework agreement to other local authorities.

Legal Considerations

- 4.26 As the Pan Government Contract has been devised by North Yorkshire County Council and the involvement of their legal officers, HBC legal team are content, after scrutiny of documentation, with the arrangements and therefore have no issues of concern.
- 4.27 All appropriate legal agreements and documentation for a Hartlepool Borough scheme will be dealt with by way of normal procedures for implementation of a Council-wide scheme.

5. CONSULTATION

- 5.1 Detailed consultations have been undertaken with local trade union representatives and members of Scrutiny Co-ordinating Committee Workforce Services Working Group. Both groups have indicated their support for Option C.
- 5.2. Full and detailed consultation has taken place with North Yorkshire County Council and Tuskerdirect regarding the salary sacrifice car leasing scheme.

- 5.3 Further discussions have been held with other Tees Valley local authorities and other Tees Valley local authorities are considering the adopting the Tuskerdirect scheme.

6. RECOMMENDATIONS

- 6.1 Cabinet to approve Option C be adopted as the preferred option regarding the withdrawal of essential user lump sum allowances and the application of a single mileage rate to enable consultations to be concluded. A further report will be made to Cabinet to confirm the outcome of the trade union ballot. Cabinet are requested to clarify their response to the Trade Union request set out in paragraph 3.9.5.
- 6.2 Cabinet to approve the introduction of a pilot of the salary sacrifice car leasing scheme as outlined in the report.
- 6.3 Cabinet to approve the proposal to earmark national insurance savings to offset the loss of car loan income and earmark pension savings to make a one-off contribution to the pension fund in 2013 if this is needed to protect the Council's financial position.
- 6.4 Cabinet to approve the proposals to earmark the pension saving to make a one-off lump sum payment to the pension fund in 2013 if this is necessary.

7. REASONS FOR RECOMMENDATIONS

- 7.1 To progress the achievement of significant travel efficiencies.

8. BACKGROUND PAPERS

- 8.1 Cabinet report 10th March, 2010.

9. CONTACT OFFICERS

- 9.1 For car allowances (Paragraph 3):

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For car lease salary sacrifice scheme (Paragraph 4):

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Assistant Director (Transportation and Engineering)

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APPENDIX C**The Proposed Scheme**

- B1 The Salary Sacrifice Scheme enables eligible staff to undertake a personal/private lease of a new car.
- B2 Vehicles would be supplied by North Yorkshire County Council's associated salary sacrifice framework supplier, Tuskerdirect Limited. Each vehicle is supplied with full maintenance and motor insurance cover. The employee contributes to the lease hire of the vehicle via a monthly gross salary deduction and makes savings in tax, National Insurance and pension contributions.
- B3 Calculations within the scheme ensure that those employees taking up the scheme are safeguarded to only contributing within financial capabilities. The scheme also incorporates facilities for gap and early termination, insurance, road taxation, roadside recovery, accident management, maintenance and an annual driver licence checking facility with an added option for employees to dispose of current vehicles.
- B4 The framework agreement is for a period of three years with an option to extend for a further year if the Council choose to extend.

Safeguards to the Council

- B5 Corporate Manslaughter legislation (2008) deems it necessary for businesses and therefore the Council to ensure that any vehicle, be it privately or corporately owned, is serviced and maintained to ensure the vehicle is mechanically safe and appropriate for business use. Organisations that have successfully transferred some of their grey fleet users to Salary Sacrifice Scheme cars have benefitted from improved safety levels because the cars are newer than grey fleet equivalents are regularly maintained and have higher Euro New Car Assessment Programme (NCAP) safety ratings.
- B6 The introduction of a Lease Salary Sacrifice Scheme ensures full maintenance and repairs including tyre replacement, therefore safeguarding the Council in relation to vehicle default and poor maintenance by the individual.

APPENDIX D

Environmental Benefits of the Proposed Scheme

- C1 Following the Government's guidelines for reduction in greenhouse gases and the Council's commitment to maximise efficiencies in relation to its operations and function, this scheme promotes the reduction of CO₂ emissions by encouraging employees to dispose of existing grey fleet vehicles (those with higher CO₂ emissions) and replace them with new low emission, (sub 120g CO₂ Km) vehicles. With these vehicle's being new and low carbon producers, the effects on the environment are greatly reduced compared to the average car, which produces 210g CO₂ Km. (source: www.defra.gov.uk/environment/business/envrp/pdf/conversion-factors.pdf - Annex 6).
- C2 A salary sacrifice scheme would give HBC a level of control over the CO₂ implications of its business travel, and would aid employees in reducing their own personal carbon footprints, and in doing so, contribute to the achievement of challenging national carbon reduction targets.
- C3 The United Kingdom (UK) is at the forefront of action to tackle climate change, and has a target to reduce carbon emissions by 34% by 2020 and 80% by 2050, from a 1990 baseline.
- C4 Hartlepool Borough Council is committed to tackling climate change, and has recently produced a Carbon Reduction Strategy & Implementation Plan to address this. The Council has set itself a target to reduce Carbon Dioxide (CO₂) emissions by 35% over five years from a 2008/09 baseline. A Carbon Management Board and a Carbon Management Team have been established to deliver actions to achieve this target, and are constantly investigating potential carbon reduction projects for implementation over the five year period.
- C5 Transport emissions make up around 12% of the Council's total carbon footprint, and over 26% of the average UK resident's personal carbon footprint. It is, therefore, essential that CO₂ emissions from transport are reduced significantly if local and national carbon reduction targets are to be achieved.
- C6 HBC has a number of commitments regarding carbon reduction, including: National Indicator 185 (NI185), which measures the carbon footprint of the Council's services; the 10:10 campaign, which requires HBC to reduce its carbon footprint by 10% during 2010; and the Covenant of Mayors initiative, which requires HBC to influence a large scale carbon reduction across the town.
- C7 During 2008/09 the total distance travelled by staff on business was 1,906,500 km. The average car emits 210grams of Carbon Dioxide

(CO₂) per km giving a total business use CO₂ emission in excess of 400 tonnes. (source: <http://www.defra.gov.uk/environment/business/envrp/pdf/conversion-factors.pdf> - Annex 6).

CABINET REPORT

6th September, 2010



Report of: Director of Child & Adult Services

Subject: COMMUNITY POOL 2010/2011 -
BELLE VUE COMMUNITY SPORTS & YOUTH
CENTRE

SUMMARY

1. PURPOSE OF REPORT

The purpose of this report is to advise and seek approval for the level of grant award to Belle Vue Community Sports and Youth Centre from the Community Pool for 2010/2011.

2. SUMMARY OF CONTENTS

The Community Pool budget for the 2010/2011 financial year has been set at £494,658. After Round 1 the total balance available for distribution in Round 2 is £92,102.

An application for funding is being presented from Belle Vue Community Sports and Youth Centre. Officers are recommending that an award of £22,603 is approved as a contribution to the core costs of the group. Details of this application are included in the body of this report.

3. RELEVANCE TO CABINET

Two members of Grants Committee declared an interest in the Belle Vue Community Sports and Youth Centre so therefore the grant application could not be heard at that meeting and was therefore referred to Cabinet for their consideration.

4. FINANCIAL IMPLICATIONS

Officers are recommending that a grant of £22,603 is approved for Belle Vue Community Sports and Youth Centre as a contribution to the core costs of the group. This will leave a balance in the Community Pool of £69,499 to be committed at a later date.

5. TYPE OF DECISION

Non-key

6. DECISION MAKING ROUTE

Cabinet 6th September 2010.

7. DECISION(S) REQUIRED

Members of Cabinet are requested to approve:

1. Grant aid to Belle Vue Community Sports and Youth Centre of £22,603 for 2010/2011 as recommended and detailed in paragraph 4 of the report.
2. Any allocation of grant aid to groups known to be experiencing financial difficulties to be released in monthly/quarterly instalments, as appropriate, in order to safeguard the Council's investment and minimise risk.
3. The balance of the Community Pool, £69,499 to be considered for allocation against bids at future meetings within the 2010/2011 financial year.

Report of: Director of Child & Adult Services

Subject: COMMUNITY POOL 2010/2011
BELLE VUE COMMUNITY SPORTS & YOUTH
CENTRE

1. PURPOSE OF REPORT

- 1.1 The purpose of this report is to advise and seek approval for the level of grant award to Belle Vue Community Sports and Youth Centre from the Community Pool for 2010/2011.

2. BACKGROUND

- 2.1 At a meeting of the Grants Committee on 17th July 2010 Officers presented a report from Belle Vue Community Sports and Youth Centre for Members consideration. However, two members of the Committee declared an interest in this application therefore it could not be heard by the Grants Committee and consequently it was referred to Cabinet for consideration.
- 2.2 With the budget for 2010/2011 being set at £494,658 and it being substantially oversubscribed for 2010/2011 Officers have taken a very cautious approach in relation to the formulation of the level of funding recommended for applicant groups. In Round 1 and Round 2 some applicants requested substantial increases on last years grants usually because a funding stream which was previously used to match local authority funding has come to an end. However, it should be made clear that council funding cannot replace other funding streams that have ended and that groups should exhaust all other funding opportunities before applying for council funding.
- 2.3. There is one application which is being recommended for approval at this meeting. This application is from Belle Vue Community Sports and Youth Centre which has been supported with funding from the Community Pool previously.

3. APPLICATION FOR FUNDING FROM BELLE VUE SPORTS AND YOUTH CENTRE (BVCS&YC).

- 3.1 In relation to the Community Pool criteria, which is attached as **Appendix 1** BVCS&YC fall into category iv: *other organisations/groups who provide valuable services with measurable outcomes for the benefit of Hartlepool residents living in the most disadvantaged wards.* It is recognised that Category iv organisations do contribute to the overall community activity and do address some of themes of the Community Strategy.

- 3.2 Belle Vue Community Sports and Youth Centre has benefitted from funding from the Community Pool in recent years. In the 2008/2009 financial year BVCS&YC was awarded £23,750 from the Community Pool as a contribution towards core costs. BVCS&YC did make an application to the Community Pool for the 2009/2010 financial year but the application was not processed because the group was unable to provide the necessary documentation, including their annual accounts, to enable Officers to make an informed recommendation in relation to that application.. At the end of the 2009/2010 financial year as the information was still not forthcoming the application for 2009/2010 was withdrawn.
- 3.3 BVCS&YC has now submitted an application for the 2010/2011 financial year for £47,500 as a contribution towards core costs including the salary costs of three key posts: a Centre Manager, a Caretaker and a Finance Officer.
- 3.4 During the 2010/2011 financial year BVCS&YC will work with 40 hard to engage families encouraging and enabling them to access services to find solutions to their daily problems regarding money, parenting, health, employability etc in order to improve community cohesion.
- 3.5 BVCS&YC will work with in excess of 500 young people each week, to reduce issues experienced by young people such as bullying, teenage pregnancy, underage drinking, crime and anti-social behaviour, obesity and other health issues and low achievers encouraging them to learn new skills raising their aspirations and assisting them to become more employable.
- 3.6 As BVCS&YC has now provided all the documentation to support their application Officers are able to make an informed recommendation in relation to the application for 2010/2011.

4. FINANCIAL IMPLICATIONS

- 4.1 BVCS&YC has requested a grant of £47,500 as a contribution towards the salary costs of three key posts: a Centre Manager, a Caretaker and a Finance Officer. This request is a substantial increase on the level of grant which was approved for 2008/09 and as resources are limited Officers are unable to recommend an award at this level.
- 4.2 Officers are recommending that a grant of £22,603 be approved as a contribution towards core costs including a 50% contribution to the salary costs of two key posts within the organisation: an Operations Manager and a Finance Officer for the remainder of the financial year. It is this sum which is being considered by Cabinet today.
- 4.3 The determination of levels of grant aid involves officers collating information in order to determine an individual service specification/grant acceptance. Upon confirmation of grant aid, this agreement confirms expected outcomes and targets to be achieved, which then becomes part of the monitoring process.

- 4.4 Officers are therefore recommending that a grant of £22,603 be approved for Belle Vue Community Sports and Youth Centre for 2010/2011 as a contribution to the organisations core costs including a 50% contribution to the salary costs of a Centre Manager and a Finance Officer, for the remainder of the financial year.

5. RECOMMENDATION

Members of Cabinet are requested to approve:

1. Grant aid to Belle Vue Community Sports and Youth Centre of £22,603 for 2010/2011 as recommended and detailed in paragraph 4 of the report.
2. Any allocation of grant aid to groups known to be experiencing financial difficulties to be released in monthly/quarterly instalments, as appropriate, in order to safeguard the Council's investment and minimise risk.
3. The balance of the Community Pool, £69,499 to be considered for allocation against bids at future meetings within the 2010/2011 financial year.

CONTACT OFFICER: John Mennear, Assistant Director (Child & Adult Services)

Background Papers

Application to Community Pool 2010/2011: Belle Vue Community Sports & Youth Centre
Report to Cabinet Grants Committee 14th July 2010



HARTLEPOOL BOROUGH COUNCIL

COMMUNITY POOL 2010/2011

CRITERIA AND GUIDANCE NOTES FOR APPLICANTS

The main aim of the Community Pool is to support those aspects of the activities of the voluntary/ community/not for profit sector that clearly reflect the aspirations of the Council's Community Strategy and Neighbourhood Renewal Strategy.

HARTLEPOOL AMBITION

COMMUNITY STRATEGY AND NEIGHBOURHOOD RENEWAL STRATEGY 2008-2020

Within the main strategic document, there are 8 aims and themes, which are clearly set out as priorities:-

- Jobs and the Economy
- Life Long Learning and Skills
- Health Care
- Community Safety
- Environment
- Housing
- Culture and Leisure
- Strengthening the Communities

CORPORATE STRATEGY

The Council has identified within the Community Strategy's aims and themes a number of corporate strategy priorities. The main objective of the Community Pool is to support the activity of strengthening communities.

Community Pool resources are targeted to vulnerable sectors of the community and to those organisations delivering effective and appropriate services that complement the Authority's strategic aims, "to empower individuals, groups and communities and increase the involvement of citizens in all decisions that affect their lives".

Within the Strengthening Communities theme are a number of objectives which groups funded from the Community Pool can collaborate with the Council to achieve its corporate objectives:-

- To empower local people to take a greater role in the planning and delivery of services and strategies that affect their individual lives, their local neighbourhood and the wider community.
- To increase opportunities for everyone to participate in consultation, especially "hard to reach" groups and those communities affected.
- To improve the accessibility of services and information ensuring that providers address the varied needs and requirements of the whole community.
- To fully value the voluntary and community sector and to support them to secure their long-term future through contracted service delivery, promoting volunteering and the agreement of longer term funding settlements.
- To ensure Hartlepool is a cohesive community where there is a sense of belonging for all and where people of different backgrounds, circumstances and generations are able to get along free from discrimination and harassment.

In order to identify the most disadvantaged communities for the purposes of assessing applications to the Community Pool, the rankings found in the Index of Multiple Deprivation 2004 will be used to ascertain the nature of deprivation in Hartlepool.

The following ward is in the top 1% of deprived wards nationally: **Stranton**.

The following wards are in the top 5% of deprived wards nationally: **Owton, Dyke House, Brus, St Hilda**.

The following wards are in the top 10% of deprived wards nationally: **Grange, Rift House.**

Groups targeting areas of greatest disadvantage in the town will receive a higher priority for funding.

Weightings will be applied to grant applications depending on the location of the applicant organisation and the area they serve.

FUNDING CATEGORIES

The Community Pool funding categories are as follows:-

(i) PROVIDERS OF SERVICES THAT ARE OF STRATEGIC IMPORTANCE. This includes:-

Those groups/organisations that provide services to support disadvantaged individuals. Groups may require specialist expertise, e.g. Legal advice, debt counselling, and self-improvement opportunities.

Applications from those groups providing services that directly complement the services provided by the local authority and are considered strategically important will receive priority particularly those who provide:-

- Legal advice and guidance.
- Income generation, credit union support and debt counselling.
- Voluntary sector infrastructure support: accreditation, management, fundraising.
- Counselling services.

(ii) COMMUNITY DEVELOPMENT/CAPACITY BUILDING INITIATIVES. This includes:-

those groups which support the development of community capacity, including the formation of tenants and residents groups, and seek to improve interaction between local residents and statutory service providers, including local partnerships and networks and groups working proactively to facilitate the engagement of disadvantaged sectors, to encourage them on to the first step and then signpost them onto provision elsewhere, if necessary, providing support and training to encourage self help.

Applications from local community groups, particularly those who actively provide:-

- Advocacy in relation to issues affecting the voluntary sector.
- Support to strengthen voluntary sector infrastructure; accreditation, management.
- Support with fundraising.
- Support to volunteers.
- Development of capacity building projects/activities.

(iii) ESTABLISHED GROUPS WHO HAVE NOT PREVIOUSLY BEEN SUPPORTED FROM THE COMMUNITY POOL

Groups who are considered to be established i.e. who have been fully constituted for in excess of 2 years, who have not been awarded grant aid from the Community Pool previously can apply for financial support if they are meeting the aims and objectives of the Community Pool.

(iv) OTHER ORGANISATIONS/GROUPS. This includes:-

All applications, which do not fall into the other 3 categories, but provide valuable services with measurable outcomes for the benefit of Hartlepool residents living in the most disadvantaged wards, can be considered for funding.

ALLOCATION OF FUNDING FROM THE COMMUNITY POOL

Funding is offered on a two-tier system.

➤ 3 YEAR REVENUE TAPERED GRANT

Groups can apply for a 3 year tapered funding agreement in principle subject to budgetary availability. In the second and third years of the agreement, grant recipients will be afforded, in principle, 75% and then 50% of the award made in Year 1. Under this scheme, groups cannot apply for funding from the Community Pool in year 4.

➤ 1 YEAR REVENUE TAPERED GRANT

1 year funding with applications being processed alongside all others in subsequent years.

Grant aid will only be approved for revenue funding to support organisational running costs. A funding formula will be applied with the main priority being the staffing costs of a group. Key posts within an organisation, as identified by the Community Resources Manager, can be supported with a percentage of salary costs.

Applicants should note that:-

Capital works will not be supported, i.e.

New applications for initiatives in areas currently benefiting from regeneration initiative funding will receive a lower priority.

Play initiatives will receive a lower priority because of the alternative funding sources e.g. Play Opportunities Pool.

There is no upper limit in relation to the amount applied for from the Community Pool, but applications for less than £5,000 will not be considered from the Community Pool but will be signposted to other funders.

MONITORING OF GRANT AID

All grant aid is managed through a funding agreement, which includes the terms and conditions, under which grant aid has been awarded.

The spend and the outputs/benefits relating to the grant will be monitored and if it is found that grant aid has not been spent appropriately or outputs/benefits not achieved then measures may be taken to reclaim the grant.

APPEALS PROCEDURE

Groups applying to the Community Pool will be given the opportunity to appeal against a decision made by the Grants Committee in respect of their application for funding. An appeal must be made in writing, as it will be presented to the Grants Committee for their consideration.

THE APPLICATION PROCESS

These guidance notes are here to help you complete the application form.

Please read through them and refer to them while you complete the application.

WHO MAY APPLY?

- Voluntary and community organisations serving residents of Hartlepool who have been constituted for in excess of 2 years.
- Organisations whose aims and objectives fit within the Council's strategic objectives (see criteria) and the main objective of the Community Pool which is to support the activity of strengthening communities.

WHAT DOES THE APPLICATION PROCESS INVOLVE?

The process consists of a tiered approach:-

1. The Community Resources Manager makes an assessment of the application to establish if it meets the criteria of the Community Pool.
2. If the application meets the criteria, then a level of grant aid is formulated based on information provided and allowing for Council priorities and the circumstances relating to the application.
3. A report detailing the recommendations is presented to the Members of the Grants Committee for their approval.
4. Applicant organisations will be informed of the Grants Committee decision when the minutes of the meeting have been published and have come into effect.
5. Documentation relating to any grant award is prepared by the Community Resources Manager and despatched to the applicant organisation, who must accept the terms and conditions of the award before any payment of grant can be made.
6. Once the grant terms and conditions have been accepted, funding can be released. Normally grant aid is paid in 2 instalments via the BACS system.

WHAT CAN YOU USE GRANT FOR?

Core running costs – salary costs of key staff, rent, gas, electricity, water bills.

HOW IS YOUR APPLICATION ASSESSED?

We will look at:-

- Whether your application fits the aims of the Council and the criteria and objectives of the Community Pool.
- Who in the community will benefit and whether there is a real need for your services or activities.
- Your financial status.
- Other financing arrangements and fundraising activities.
- Whether the budget of the organisation is realistic.

YOUR RESPONSIBILITY

- All successful applicants are expected to monitor their services provision and activities and expenditure of grant aid in relation to these services. An annual monitoring form must be completed.
- Successful applicants are required to acknowledge the Council's support in any publicity material produced.
- You must notify the Community Resources Manager immediately if for any reason you are not able to comply with the terms and conditions of grant aid.

COMPLETING THE APPLICATION FORM

- Applicants are required to complete all sections of the application form. If this is not possible, please explain why on a separate sheet. Incomplete applications will be returned.
- Please complete all sections fully, reference to your annual report/accounts is not appropriate and will not be accepted.
- The next part of these guidance notes attempts to further explain certain questions in the application form. Not all questions are listed here, as we consider they are self explanatory.

Section 1 Tell us about your organisation

Question 2

The main applicant or contact must be someone who we can contact during the day in office hours about this application.

Question 14

The Council needs to be assured that you are in a stable financial situation and that your Accounts are in order. *Please attach supporting documents.*

Section 2 Tell us about the grant you are requesting

Question 16

Please identify which grant you are applying for. A one-year grant award will be considered with no onus on the Local Authority to fund the organisation in subsequent years. A three-year tapered grant can be offered (with no formal agreement being made for years 2 and 3 because the Council's budget setting is done on an annual basis). In the second and third years of the agreement grant recipients will be offered, in principle, 75% and then 50% of the award made in Year 1. Under this scheme, groups cannot apply for funding from the Community Pool in year 4.

Question 19

The Council would like evidence that you are proactively trying to raise money from other non Council sources.

Section 3 Tell us about who will benefit from this grant

Question 21

Please give a realistic figure for the number of people and type of groups who will benefit. Do not put 'all members of the public'.

Question 23

Only organisations that are based in Hartlepool or serve Hartlepool residents may apply.

The Council wants to distribute funds to areas in need. We need to know where the people live who will be able to access your services.

Question 25

Be realistic. Please only tick those categories that your organisation really serves. You will not increase your chances of receiving a grant by ticking more boxes.

Section 4

Questions 26 and 27

Be realistic. Please only tick those themes and objectives that relate to the services or activities your organisation carries out.

Question 29

Please attach a separate sheet if necessary. **Be sure to include quantitative and qualitative outputs as this information will form the basis of any offer of grant aid.**

Section 5

Additional Information

Failure to provide additional documentation, as requested, could result in a delay in the processing of your application.

If possible, please return your application form and additional information electronically or if that is not possible, a hard copy can be posted. Please be sure to put sufficient postage on the envelope or your application may miss the deadline.

Any applications received after the deadline will not be considered.

CABINET REPORT

6th September, 2010



Report of: Corporate Management Team

Subject: QUARTER 1 – CORPORATE PLAN AND REVENUE
FINANCIAL MANAGEMENT REPORT 2010/2011

SUMMARY

1. PURPOSE OF REPORT

1.1 To inform Cabinet of: -

- The progress made towards achieving the Corporate Plan Actions in order to provide timely information and allow any necessary decisions to be taken;
- To provide details of progress against the Council's overall revenue budget for 2010/2011.

2. SUMMARY OF CONTENTS

2.1 The report describes progress towards achieving the actions within the Corporate Plan using the traffic light system of Green, Amber and Red. The report provides an overview of Council performance, with separate sections providing more detailed information for each Portfolio Holder to consider.

2.2 The Revenue Budget Monitoring report covers the following areas:

- Overview of Financial Position;
- Review of High Risk Budget Areas;
- Performance against Budget Pressures treated as Contingency Items;
- Progress against Departmental Salary Turnover Targets;
- Progress against Area Based Grants
- Key Balance Sheet information.

3. RELEVANCE TO CABINET

Cabinet has overall responsibility for the monitoring of the Council's Corporate Plan and the Revenue budget.

4. TYPE OF DECISION

None.

5. DECISION MAKING ROUTE

Cabinet 6th September, 2010.

6. DECISION(S) REQUIRED

Cabinet is asked to: -

- Note the current position with regard to performance and revenue monitoring;
- And approve date changes in paragraph 8.4

Report of: Corporate Management Team

Subject: QUARTER 1 – CORPORATE PLAN AND
REVENUE FINANCIAL MANAGEMENT
REPORT 2010/2011

1 PURPOSE OF REPORT

- 1.1 To inform Cabinet of the progress made towards achieving the Corporate Plan outcomes through identified actions and of progress against the Council's own 2010/2011 Revenue Budget, for the period to 30th June, 2010.

2 BACKGROUND

- 2.1 In line with previous monitoring reports, this report is an integrated document that is page numbered, thus allowing Members easier navigation around the report. (See contents table below). The report firstly provides an overall picture of performance and progress against the approved 2010/2011 revenue budget.

Section	Heading	Page
3.	Overall Performance and Progress on Actions and Performance Indicators	2
	Detailed Performance Monitoring Sections	
4.	Adult and Public Health Portfolio	3
5.	Children's Services Portfolio	4
6.	Culture, Leisure and Tourism Portfolio	5
7.	Performance Portfolio	6
8.	Finance and Procurement Portfolio	6
9.	Transport and Neighbourhoods Portfolio	7
10.	Regeneration and Economic Development Portfolio	8
11.	Community Safety and Housing	9
12.	Revenue Financial Management Information	10
13.	Conclusions	14
14.	Recommendations	14

- 2.2 This report will be submitted to Scrutiny Co-ordinating Committee on 15th October, 2010.

3 OVERALL PERFORMANCE AND PROGRESS ON ACTIONS AND PERFORMANCE INDICATORS

3.1 The Council identified 107 actions with specific completion dates and 121 Performance Indicators (PIs) as measures of success in the 2010/2011 Corporate Plan. Overall performance is good and in line with expectations with all but one action and 75% of the PIs (when annually reported PIs have been removed) judged to be either on or above targets. An explanation of the traffic lights can be found below. Tables 1 and 2 below summarise officers' views on progress as at 30th June, 2010, for each Portfolio Holder's responsibilities: -






-  Action has not been completed or PI target not achieved
-  Action/PI where intervention is required as not progressing well
-  Action/PI progress is acceptable
-  Action/PI on track to achieve
-  Action/PI completed or target achieved

Table 1 – Progress on Actions within the Corporate Plan

Portfolio	Actions by Traffic Light					
	Green (on track or achieved)		Amber (progress acceptable)		Red (not achieved or intervention required)	
	No.	%	No.	%	No.	%
Adult Services and Public Health	10	100	0	0	0	0
Children's Services	25	86	3	10	1	4
Culture, Leisure and Tourism	5	100	0	0	0	0
Performance	18	67	9	33	0	0
Finance and Procurement	7	88	1	12	0	0
Transport and Neighbourhoods	11	100	0	0	0	0
Regeneration and Economic Development	5	71	2	29	0	0
Community Safety and Housing	5	50	5	50	0	0
Total	86	80	20	19	1	1

Table 2 – Progress on Performance Indicators

Portfolio	PIs by Traffic Light					
	Green (on track or achieved)		Amber (progress acceptable)		Red (not achieved or intervention required)	
	No.	%	No.	%	No.	%
Adult Services and Public Health	5	83	1	17	0	0
Children's Services	3	100	0	0	0	0
Culture, Leisure and Tourism	1	100	0	0	0	0
Performance	0	0	0	0	0	0
Finance and Procurement	1	100	0	0	0	0
Transport and Neighbourhoods	8	73	2	18	1	9
Regeneration and Economic Development	5	83	1	17	1	0
Community Safety and Housing	7	70	4	40	0	0
Total	30	75	8	20	2	5

*figure may not always add to 100% due to rounding

DETAILED PERFORMANCE MONITORING SECTIONS

4 ADULT AND PUBLIC HEALTH PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 4.1 Within the Adult and Public Health Portfolio there are a total of 10 actions identified in the 2010/2011 Corporate Plan. A total of 9 actions have been assessed as being on target for completion and one has been completed within the timescale. No actions required intervention at this point in the year.
- 4.2 With regards to PI within the Corporate Plan, 2 have already achieved their target with are further 4 being on track or achieving acceptable progress.
- 4.3 Key areas of progress made to date in the Adult and Public Health Portfolio include: -
- The Obesity Partnership has now been re-launched as the Healthy Weight Healthy Life partnership with new terms of reference and strengthened membership and is overseeing implementation of a range of healthy eating initiatives. Investment has also been secured to develop Specialist Weight Management services;
 - The proportion of eligible people accessing support via a personal budget is increasing month on month. Targeted work is being undertaken to promote personal budgets with people with mental health needs with an event held in June. Work continues to consider how personal budgets are developed for children and young people and work is ongoing with the PCT in relation to the Personal Health Budgets pilot;

- The development of Laurel Gardens, which will provide extra care for people with dementia, is a positive step in increasing the range of housing and support options available;
- Three new services for carers, identified as priorities through the Carers Strategy, have been commissioned from April, 2010 - Carers Assessment, Carers Registration Scheme and a Carers Information Service. A target has been set to increase the number of carers registered with the Carers Emergency Respite Care Scheme from 124 in April, 2010 to 400 by December, 2010 enabling carers to feel more secure, confident and supported in their caring role.

5 CHILDREN'S SERVICES PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 5.1 Within the Children's Services Portfolio there are 29 actions identified in the 2010/2011 Corporate Plan. A total of 22 of these actions are on target for completion, 3 are making acceptable progress and 3 have been completed. One action requires intervention:

Actions assessed as requiring intervention

Outcome: Be Healthy			
Code	Action	Due Date	Note
CADHW017	Work with partner agencies, young people, schools and families to reduce under 18 conception rates by 55% from 1998 baseline and improve sexual health	31/03/2011	Recently published under 18 conception rates show a slight reduction in the under 18 conception rates for Hartlepool, 65.9% per 1000 females aged 15-17 years. This demonstrates a 12.9% change in the rate from the baseline in 1998 which was 75.6%

- 5.2 All but three PIs in the Corporate Plan are measure on an annual basis but these three quarterly PIs have achieved their targets.

- 5.3 Key areas of progress made to date in the Children's Services Portfolio include: -

- Actions in the Hidden Harm Action plan are being addressed and progress will be enhanced via Think Family project in respect of developing services for parents with a parental substance misuse as well as for their children;
- Percentage of young people NEET is 7% against a target of 7.6%. The team will be participating in NEET Reduction Activity for a full week in July. This will include evening activity. In response to the current cohort of Year 11's leaving school work is taking place to complete the Transition Plan, tracking the full cohort. September Guarantee figures indicate 92% of the Year 11 cohort have offers of learning and 67% of Year 12 cohort have offers. The introduction of Foundation

Learning (1st August, 2010) may impact on efforts to reduce the NEET cohort, however, it is anticipated that we will remain on target following the transition period;

- The parenting strategy group continues to provide the drive to ensure the objectives of the strategy are met. At the end of Q1 more than 100 parents have accessed parenting services. Barnardos coordinate the parenting services on behalf of the strategy group and a range of monitoring opportunities are being developed in partnership with the Child and Adult Data team;
- 2010 Prevention services based in the Team Around the School model continues to progress and was commented on positively by the inspectors in the Ofsted announced inspection. Primary schools in the north of the town have agreed to pilot a multi agency approach to resource allocation that will include a range of service options such as psychology service, speech/language and parenting. This will initially be chaired by the parent commissioner as part of the strategy to further integrate services.

6 CULTURE, LEISURE AND TOURISM PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 6.1 Within the Culture, Leisure and Tourism Portfolio there are a total of 5 actions that were identified in the 2010/2011 Corporate Plan. All of these actions have been assessed as being on target for completion by the agreed date.
- 6.2 Only one performance indicators is measured on a quarterly basis and this PI is on track to achieve its target. The remaining PIs are measured annually
- 6.3 Key areas of progress made to date in the Culture, Leisure and Tourism Portfolio include: -
- Learning Outside the Classroom Quality badge achieved for the Outdoor Activity service;
 - Summerhill maintained Green Flag status following re-inspection;
 - Inspire Mark (LOCOG) accreditation gained for Ready Steady Walks programme, Sports Unlimited and Free Swimming.

7 PERFORMANCE PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 7.1 Within the Performance Portfolio there are a total of 27 actions within the 2010/2011 Corporate Plan. A total of 18 of these actions have been assessed as having been completed or on target to be completed by the agreed date. A further 9 actions are performing at an acceptable level.

7.2 There are no PIs reported on a quarterly basis for the Performance Portfolio, all are measured on an annual basis.

7.3 Key areas of progress made to date in the Performance Portfolio include: -

- The LAA Delivery and Improvement Plan for 2010/2011 was agreed by Cabinet on 10th May, 2010 and by the Hartlepool Partnership on 21st May, 2010;
- Work is ongoing to facilitate the inclusion of arrangements for the functions of a Crime and Disorder Committee;
- The process for implementation the Petition Scheme is underway;
- The Business Transformation programme is under constant review and reports have been submitted to cabinet in June and July on what actions the council may take in the light of the increasing financial pressure being faced;
- Management Academy launch, management and competency profiles agreed.

8 FINANCE AND PROCUREMENT PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

8.1 Within the Finance and Procurement Portfolio a total of 8 actions were identified in the 2010/2011 Corporate Plan. One of the actions has already been completed within its due date and a further 6 are on track. One action is at an acceptable level.

8.2 There is just one PI under the Finance and Procurement Portfolio that is measured on a quarterly basis and this is on track to achieve its target.

8.3 Key areas of progress made to date in the Finance and Procurement Portfolio include: -

- Proposals for a Regional Collaborative Procurement Strategy are currently with the Council for debate and decision. The target date for approving the proposals is the end of October, 2010. Work is currently underway to decide upon a course of action to progress the decision making process.

Proposed date change

8.4 The following action have been identified by the department as an action which needs to have its target date changed and Cabinet is asked to approve these date changes.

Code	Action	Initial Due Date	Proposed due date	Comment
RND	Agree a regional,	31 Jul	29 Oct	Proposals for a Regional

OD002	sub-regional and local strategy in collaborative procurement	2010	2010	Collaborative Procurement Strategy are currently with the Council for debate and decision. Work is currently underway to decide upon a course of action to progress the decision making process. The required response by date in relation to the Regional Collaborative Procurement Business Case is the end of October 2010 therefore we are proposing a completion of 29 October 2010.
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9 TRANSPORT AND NEIGHBOURHOODS PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 9.1 Within the Transport and Neighbourhoods Portfolio there are a total of 11 actions within the 2010/2011 Corporate Plan. All of these actions have been identified as being on target to be completed by the agreed date.
- 9.2 There are a total of 11 performance indicators that have been identified as measures of success that are not reported only on an annual basis. Five of these indicators have been assessed as being expected to achieve their target by year end with a further 5 already having achieved their target, just 1 PI has not achieved target this quarter:

Performance Indicators not achieving target

PI	Indicator	Target 10/11	1 st Qtr Outturn	Comment
NI 193	Percentage amount of municipal waste land filled	6%	18%	Persistent shutdowns of the energy from waste plant in April, May and June has given rise to the large increase in the amount of waste needing to be land filled. Work is being undertaken as part of the Joint Tees Valley Waste Management Strategy to identify temporary storage locations for residual waste when shutdowns occur

- 9.3 Key areas of progress made to date in the Transport and Neighbourhoods Portfolio include: -

- Route Optimisation: Vehicles are scheduled to be fitted with tracking devices in the coming weeks and training on the 'Route-Smart' system will also be provided. It is envisaged this will provide a model, which should enable all 7 bin rounds and the trade waste service, to work more efficiently;
- Neighbourhood Management and Empower Strategy adopted by Cabinet and LSP in May, 2010;
- Local Authority Carbon Reduction Action Plan was agreed by Cabinet in early part of the municipal year. Work is underway to ensure delivery of projects. A board meeting was held

during Quarter 1 and outlined priorities for action over the coming year. Carbon Management team meetings are currently being arranged to ensure that operational aspects are monitored;

- Initiatives continue with domestic household waste collections, and at the Household Waste Recycling Centre, in order to reduce residual waste tonnages and increase the levels of materials being recycled/re-used. 'First-quarter' results appear encouraging with overall re-cycling levels at 45.2%; however, further hard work is required on these initiatives if the trend is to continue;
- Following consultation on the Core Strategy Preferred Options in Quarter 4 2009/2010, consideration has been given to the 450+ representations. Discussions have also been progressed with parties who hold an interest in key development sites to gain a better understanding of issues raised. Regular progress meetings have been held with Cabinet Members on an informal basis. A report will be presented to Cabinet in September which summarises the consultation submissions and sets out proposed responses. Recent government guidance and decisions particularly relating to the abolition of the Regional Spatial Strategy are likely to result in a recommendation to reconsult on a new a Preferred Options document which will require a rescheduling of the timetable for publication.

10 REGENERATION AND ECONOMIC DEVELOPMENT PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 10.1 Within the Regeneration and Economic Development Portfolio there are a total of 7 actions identified in the 2010/2011 Corporate Plan, with 5 being assessed as expected to be completed by the agreed date or already completed and the remaining two indicators having acceptable progress.
- 10.2 There are 7 indicators within the Corporate Plan for the Regeneration and Economic Development Portfolio which are not reported on a quarterly basis, 6 of which are either on track or acceptable progress is being made with one PI missing its target

Performance Indicators not achieving target

PI	Indicator	Target 010/11	1 st Qtr Outturn	Comment
RPD P045	Employment Rate (16-24)	54.1	41.5	This figure is the most up to date figure available and relates to Q4

PI	Indicator	Target 010/11	1 st Qtr Outturn	Comment
				2009/10. Although the target has not been achieved FJF now has over 300 clients employed and this should provide some positive impact on this figure in the near future. It is also likely that young people staying on in education has impacted negatively on this indicator.

10.3 Key areas of progress made to date in the Regeneration and the Economic Development Portfolio include: -

- Discussions have been held with owners of Middleton Grange Shopping Centre regarding associated improvements to external shopping centre areas and this had helped to secure the remodelling of the car parks adjacent to Park Road;
- Crown House has been acquired and will be demolished in August in preparation for future redevelopment of the site for business incubation units;
- Officers are continuing to attend meetings on Business Case preparation and Single Programme project development with TVU and ONE North East partners to promote Hartlepool's priorities. Close liaison is being held with the Director to ensure views are recognised and supported through Directors of Regeneration Meetings;
- Community Regeneration has assisted in commencing the archiving process with NDC in line with Government guidelines. Final project to be appraised next quarter to utilise the remaining fund;
- Community Regeneration also successfully managed to secure funding for 2010/2011 to continue 2 projects; the Business Modernisation Grants and Voluntary Sector Premises Pool, which were previously managed by the Team, but funded by NDC.

11 COMMUNITY SAFETY AND HOUSING PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

11.1 Within the Community Safety and Housing Portfolio there are a total of 10 actions within the 2010/2011 Corporate Plan. Half of the actions have been assessed as completed or on target for completion, with the remaining 5 having acceptable progress.

11.2 There are 11 Performance Indicators (PIs) included in the Corporate Plan as measures of success that are not reported on an annual basis, 3 of which have been assessed as achieving its target and a further 4 being on track to achieve target. The final 4 are progressing at an acceptable level.

11.3 Key areas of progress made to date in the Community Safety and Housing Portfolio includes: -

- The Specialist Domestic Violence Court has been successfully introduced in Hartlepool, with sterling work being undertaken by partners which will undoubtedly benefit victims and indeed the court process;
- North East refugee service now contracted to provide support to refugees and asylum seekers in the town and a Community Cohesion Strategy is being developed with partners;
- Work is continuing on construction of affordable housing at Seaton Lane, following Growth Point investment. Work is underway to release the Growth Point allocation for Belle Vue. A statement of intent regarding the Growth Point funding has been prepared by the 5 authorities and will be submitted to the Housing Minister at the end of July. Following that site assembly can continue on the Belle Vue.

12 REVENUE FINANCIAL MANAGEMENT 2010/2011 - OVERVIEW

12.1 This section provides details covering the following areas: -

- Overview of Financial Position;
- Review of High Risk Budget Areas;
- Performance against Budget Pressures treated as Contingency Items;
- Progress against Departmental Salary Turnover Targets;
- Progress against Area Based Grants
- Key Balance Sheet information.

12.2 Overview of Financial Position

12.3 A report was considered at Cabinet's meeting on 2nd August, 2010, which provided details of 2010/2011 grant cuts announced by the Government on 10th June, 2010 and the impact on the Council.

12.4 The 2010/2011 direct grant cuts total £3,556m, consisting of revenue grant cuts of £2.154m and capital grant cuts of £1.402m. As a range of revenue and capital grants are being cut, different strategies were approved for individual grant streams to reflect the different impacts on the Council's financial position. This strategy included using the forecast underspends on centralised estimates of £0.5m to offset the grant cuts in 2010/2011.

12.5 At an overall level the Council's budget is monitored on a departmental basis and the overall position is summarised at **Appendix A.**

12.6 **Appendix A** is supported by detailed Financial Management statements for each Portfolio, which now includes comments on

material variances to provide a clearer position statement as set out below:

- Appendix C - Adult and Public Health
- Appendix D - Children's Services
- Appendix E - Community Safety & Housing
- Appendix F - Culture Leisure & Tourism
- Appendix G - Finance & Procurement
- Appendix H - Regeneration & Economic Development
- Appendix I - Transport & Neighbourhood
- Appendix J - Performance

Forecast outturns have not yet been estimated as it is difficult to determine trends based on the first quarters results. These details will be included in the half year Financial Management report which will be submitted to Cabinet in early November.

There are currently no issues to bring to Members attention on departmental budgets.

12.7 **Review of High Risk Budget Areas**

- 12.8 High risk budget areas were identified as part of the budget setting report, submitted to Cabinet in February. These issues are explicitly managed and reported to ensure any problem areas are identified at an earlier stage, to enable appropriate corrective action to be taken. The areas identified as high risk budgets are attached at **Appendix B**, which explains how these items were identified and indicates that there are currently variances on a number of budgets.

The main adverse variances relate to demographic changes in Older People and Car Parking. The Older People variance is offset by an increase in associated income. The Car Parking variance is owing to income collected being lower than budgeted levels. Work is ongoing to review the Car Parking income budget with a view to addressing the long term budget gap in this area. Reserves will be used to manage the short term position for 10/11.

Further details are included in **Appendices C to J**.

12.9 **Performance against Budget Pressures treated as Contingency Items**

- 12.10 Members will recall that as part of the review of budget pressures for 2010/2011, it was determined that a number of pressures are not certain to arise, or the value of the pressure is not certain. These items were therefore classified as "contingency" items and a budget provision was made to underwrite these risks.

12.11 **Appendix K** provides a schedule of these items.

12.12 **Progress against Departmental Salary Turnover Targets**

12.13 An assumed saving from staff turnover is included within salary budgets. Details of individual department's targets are summarised in the table below.

Department	2010/11 Turnover Target £'000	Expected to 30.06.10 £'000	Actual to 30.06.10 £'000	Variance from Target £'000
Adult & Community Services	522.4	130.7	184.6	(53.9)
Chief Executives	237.6	59.4	51.1	8.3
Children's Services (excluding Schools)	267.0	66.8	36.8	30.0
Neighbourhood Services	184.0	46.0	46.0	0.0
Regeneration & Planning	118.0	29.5	29.5	0.0
	1,329.0	332.4	348.0	(15.6)

12.14 The above figures are included within the variances reported for each department at a detailed level.

12.15 **Area Based Grants**

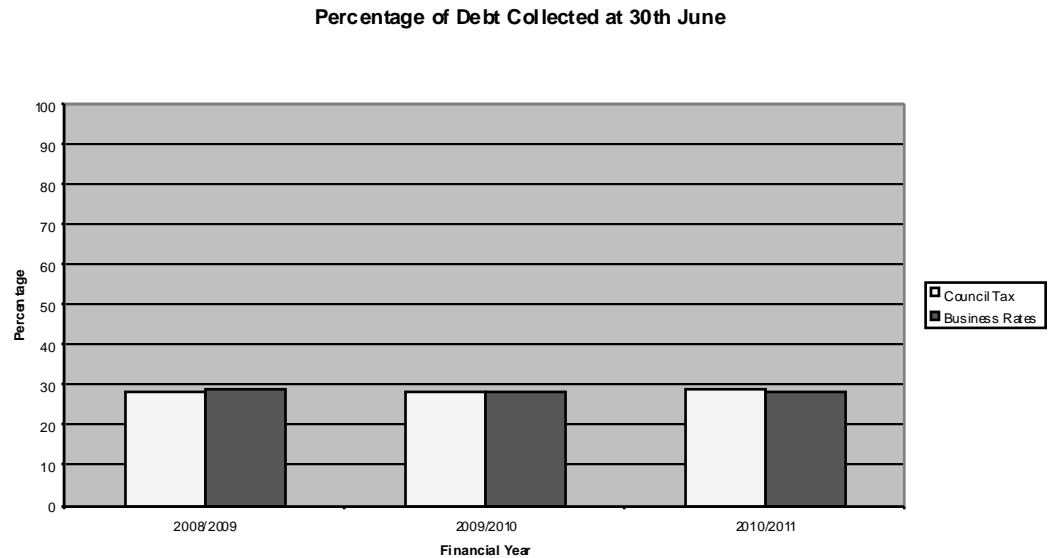
12.16 In overall terms actual expenditure amounts to £4.830m, compared to anticipated expenditure of £4.888m, resulting in a current favourable variance of £0.058m, (see **Appendix L**).

12.17 **Key Balance Sheet Information**

12.18 A Balance Sheet provides details of an organisation's assets and liabilities at a fixed point in time, for example, the end of the financial year or other fixed accounting periods. Traditionally local authorities have only produced a Balance Sheet on an annual basis and have managed key Balance Sheet issues through other more appropriate methods. However, under CAA arrangements there is a greater emphasis on demonstrating effective management of the balance sheet. The Audit Commission's preferred option is the production of interim balance sheets throughout the year. In my opinion the option is neither practical nor beneficial as a Local Authority Balance Sheet includes a large number of notional valuations for the Authority's fixed assets and pension liabilities. It is therefore more appropriate to monitor the key cash balance sheet items and these are summarised below:-

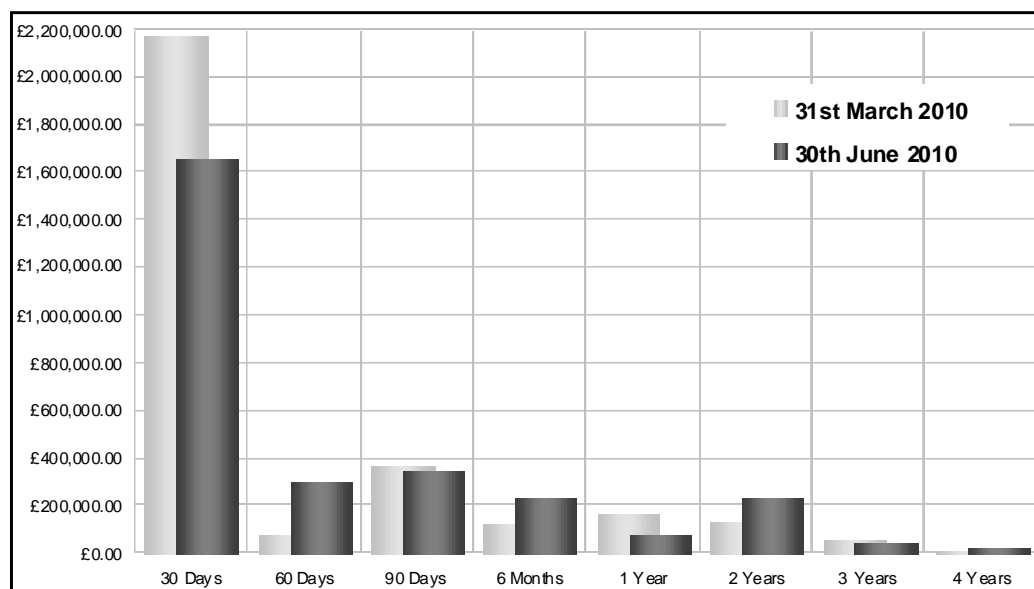
- Debtors

The Council's key debtors arise from the non payment of Council Tax, Business Rates and Sundry Debtors. These areas are therefore subject to detailed monitoring throughout the year. The position on Council Tax and Business rates are summarised below:-



The Council Tax collection rate is up slightly by 0.48% and the NNDR collection rate has remained the same when compared to the same period last financial year. In-year collection rates are affected by the timing of week/month ends.

The position in relation to Sundry Debtors is summarised below:



At the start of the current financial year the Council had outstanding sundry debts of £3.137m. During the period 1st April, 2010 to 30th June, 2010, the Council issued approximately 5,230 invoices with a value of £7.423m. As at the 30th June, 2010, the Council had collected £7.423m, leaving £3.137m outstanding, which consists of: -

- Current Debt - £2.606m

With regard to current outstanding debt, this totals £2.606m at 30th June, 2010, inclusive of approximately £1.662m of debt less than thirty days old.

- Previous Years Debt - £0.531m

These debts relate to the more difficult cases where court action or other recovery procedures are being implemented. At the 30th June, 2010, debts older than one year totalled £0.531m.

- Borrowing Requirement and Investments

The Council's borrowing requirement and investments are the most significant Balance Sheet items. Decisions in relation to the Council's borrowing requirements and investments are taken in accordance with the approved Treasury Management Strategy.

13 CONCLUSIONS

- 13.1 The report details progress towards achieving the Corporate Plan outcomes and progress against the Council's own 2010/2011 Revenue Budget for the period to 30th June, 2010.

14 RECOMMENDATION

- 14.1 Cabinet is asked to: -

- Note the current position with regard to performance and revenue monitoring;
- And approve date changes in paragraph 8.4

6.2 Appendix A

GENERAL FUND - REVENUE MONITORING REPORT TO 30th June 2010

Line No	2010/11 Latest Budget	Description of Expenditure	Actual Position 30/06/10		
			Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F (D=C-B)
	£'000		£'000	£'000	£'000
		<u>TABLE 1 - Departmental Expenditure</u>			
1	53,401	Child and Adult Services	9,153	9,143	(10)
2	21,284	Regeneration and Neighbourhood Services	13,355	13,604	248
3	9,449	Chief Executives	(966)	(1,167)	(201)
4	84,134	Total Departmental Expenditure	21,542	21,579	37
		<u>TABLE 2 - Corporate Costs</u>			
		<u>EXTERNAL REQUIREMENTS</u>			
5	192	Magistrates, Probation and Coroners Court	8	8	0
6	25	North Eastern Sea Fisheries Levy	25	25	0
7	31	Flood Defence Levy	16	16	0
8	35	Discretionary NNDR Relief	0	0	0
		<u>CORPORATE COMMITMENTS</u>			
9	2,695	I.T.	0	0	0
10	365	Audit Fees	0	0	0
11	6,845	Centralised Estimates	1,711	1,211	(500)
12	182	Insurances	0	0	0
13	90	Designated Authority Costs	0	0	0
14	362	Pensions	0	0	0
15	364	Members Allowances	96	96	(0)
16	79	Mayoral Allowance	16	16	(0)
17	91	Emergency Planning	(239)	(239)	(0)
		<u>NEW PRESSURES</u>			
18	24	Contingency	0	0	0
19	121	Planning Delivery Grant terminated	0	0	0
20	0	Business Transformation Programme	21	21	0
21	0	Teesside Airport Study	0	1	1
22	0	Receipts for Government Pool	0	0	0
23	0	Members ICT	0	0	0
24	0	Secure Remand - Corporate	0	0	0
25	23	Climate Change Initiatives (Area Based Grant Funded)	0	0	0
26	369	Strategic Contingency	0	0	0
27	130	Waste Disposal Pressure	0	0	0
28	15	2006/07 Final Council Commitments	0	0	0
29	38	2007/08 Provision for Grants/Pressures/Priorities	14	14	0
30	53	Provision for Cabinet projects	0	0	0
31	830	Job Evaluation	0	0	0
32	450	Contribution to one-off BTP costs	0	0	0
33	120	2010/11 Pressures and Contingency	0	0	0
34	100	2009/10 Pressures Year 2 and 3 additional costs	0	0	0
35	(250)	LATS Income	0	0	0
36	(500)	Removal of Revenue Funding and Replace with Capitalisation	0	0	0
37	(300)	Benefit Subsidy income	0	0	0
38	26	PARISH PRECEPTS	26	26	0
39	(1,474)	CONTRIBUTION FROM BUDGET SUPPORT FUND AND OTHER RESERVES	0	0	0
40	(3,511)	Children's Services DSG Funding - LA Element Only	(878)	(878)	0
41	91,754	Total General Fund Expenditure	22,358	21,895	(462)

2010/11 FINANCIAL RISK MANAGEMENT**Risk Rating**

A simplified version of the Risk Assessment criteria used in the Council's Risk Management Strategy has been used to rank budget risks. This assessment rates risk using the convention of green/amber/red, as defined below, although different levels of risk within each category have not been defined. The risk assessment helps inform the Council's budget monitoring process as it identifies areas that need to be monitored more closely than other budgets. These procedures help ensure that departments can manage budgets and services within the overall departmental resource allocation and the Council's overall financial management framework, which enable departments to establish reserves for significant risks and to carry forward under and over spends between financial years.

The value of expenditure/income on individual areas, together with the percentage of the authority's net budget, are shown in the table below to highlight the potential impact on the Council's overall financial position.

Green - these are unlikely events which would have a low financial impact.

Amber - these are possible events which would have a noticeable financial impact.

Red - these are almost certain to occur and would have a very significant impact. Provision would need to be made for such events in the budgets.

CORPORATE RISKS

Financial Risk	Risk Rating	2010/11 Base Budget £'000	Variance to 30th June (Favourable)/ Adverse £'000
Pay costs - Single Status and costs of living pay award	Amber	50,470	0
Higher costs of borrowing and/or lower investment returns	Green	6,819	0
IT.	Green	2,691	0
Planned Maintenance Budget	Amber	232	0
Failure to comply with relevant local authority financial legislation/regulations, NI and taxation regulations.	Amber	N/A	N/A

CHILD & ADULT SERVICES

Financial Risk	Risk Rating	2010/11 Base Budget £'000	Variance to 30th June (Favourable)/ Adverse £'000
Individual School Budget	Amber	56,977	0
Individual Pupils Budget allocated during the year to schools for high level SEN pupils	Green	1,453	0
Home to School Transport Costs	Amber	1,700	(4)
Building Schools for the Future	Amber	N/A	0
Carlton Outdoor Education Centre	Red	80	0
Increased demand in places at independent schools for pupils with high level of SEN	Amber	528	5
Increased Demand for Looked After Children Placements	Red	5,425	0
Schools Buy-Back Income	Amber	(610)	14
Demographic changes in Older People	Amber	15,585	135
Loss of Income - Tall Ships	Amber	N/A	0
Demographic changes in Working Age Adults	Red	8,983	(17)
Non-achievement of income targets - Community Services	Amber	(1,281)	(102)
Non-achievement of income targets - Social Care	Amber	(9,834)	(183)

REGENERATION & NEIGHBOURHOODS

Financial Risk	Risk Rating	2010/11 Base Budget £'000	Variance to 30th June (Favourable)/ Adverse £'000
Car Parking	Amber	(1,806)	97
Fee Income - Planning & Building Control	Amber	(680)	46
Rent Income - Economic Development Service	Green	(201)	0

ADULT & PUBLIC HEALTH SERVICES

6.2 Appendix C

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
58	Environmental Protection	5	4	(1)	
(59)	Environmental Standards	(15)	(20)	(6)	
0	Adult Education	2	2	(0)	
4,265	Assessment, Care Management & Provision 1	853	800	(53)	The favourable variance relates to staffing underspends owing to vacancies being held in anticipation of SDO restructuring.
2,483	Assessment, Care Management & Provision 2	535	518	(17)	The favourable variance relates to staffing underspends owing to vacancies being held in anticipation of SDO restructuring.
181	Carers & Assistive Technology	(34)	(42)	(8)	
802	Commissioning - Adults	225	215	(11)	
994	Commissioning - Mental Health	285	292	7	
9,222	Commissioning - Older People	2,246	2,261	15	
5,470	Commissioning - Working Age Adults	1,574	1,580	7	
304	Service Strategy and Regulation	(442)	(438)	4	
1,591	Support Services	500	474	(27)	
25,312	TOTAL	5,745	5,661	(84)	

USE OF RESERVES

The above figures include the 2010/2011 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

Description of Best Value Unit	Approved 2010/2011 Budget £'000	Planned Usage 2010/11 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Commissioning Mental Health - Agency	27	27	0	
Commissioning - Older People	20	20	0	
	47	47	0	

CHILDREN'S SERVICES

6.2 Appendix D

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual to 30/06/10 £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
2,637	Access to Education	612	564	(48)	Spending on consultants and supplies and services within the Schools Transformation Team has been lower than expected. In light of the recent announcements relating to Building Schools for the Future, a clearer picture relating to outturn projections should be known by Quarter 2.
1,013	Central Support Services	0	0	0	
187	Children's Fund	504	517	13	
11,637	Children & Families	3,062	3,089	27	Overspends on agency staff costs have been partly offset by vacancies. In addition, there has been an increase in the cost of Looked After Children, which has resulted in an adverse variance of £46k. If this continues until the end of the year then the overspend will be funded by the budgeted contingency.
314	Early Years	(75)	(82)	(7)	
210	Information Sharing & Assessment	72	65	(7)	
39	Other School Related Expenditure	(261)	(251)	10	
112	Play & Care of Children	8	7	(1)	
270	Raising Educational Achievement	(3,118)	(3,078)	40	
3,961	Special Educational Needs	499	487	(12)	
1,325	Strategic Management	205	223	18	The adverse variance mainly relates to additional staffing costs arising from maternity cover. Staff vacancies identified from September 2010 should reduce any outturn overspend.
146	Youth Justice	36	33	(3)	

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual to 30/06/10 £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
507	Youth Offending Team	309	323	14	The adverse variance mainly relates to rent of buildings, hall hire and increased ICT costs.
994	Youth Service	380	387	7	
0	Dedicated Schools Grant - Trfr to Ring-Fenced DSG Reserve	0	49	49	The overall Children's Services variance includes £49k of underspends which relate to ring-fenced Dedicated Schools Grant (DSG) funded services. The main areas of variance being Home and Hospital Teaching, the Pupil Referral Unit and salary abatements.
23,352	TOTAL	2,233	2,333	100	

USE OF RESERVES

The above figures include the 2010/2011 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

<u>Description of Best Value Unit</u>	Approved 2010/2011 Budget £'000	Planned Usage 2010/11 £'000	Variance to Date Over/ (Under) £'000	Director's Explanation of Variance
School Transformation Team (BSF)	(901)	(876)	(25)	In light of the recent announcements in respect of Building Schools for the Future, a clearer picture relating to outturn projections should be known by Quarter 2.
Carlton Outdoor Centre	(22)	(22)	0	
Early Years Support Network	(56)	(56)	0	
Transition Protocol - Disability Team	(18)	(18)	0	
Youth Justice - Crime Prevention	(35)	(35)	0	
Playing for Success	(14)	(14)	0	
Parenting Support	(20)	(20)	0	
Promotion of Breast Feeding	(44)	(44)	0	
	(1,110)	(1,085)	(25)	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
803	Consumer Services	88	85	(3)	
181	Housing Regeneration & Policy	24	24	(0)	
964	Social Behaviour & Housing	124	121	(3)	
42	Building Control	7	18	11	Inspection fee income is below expected levels as a result of the economic downturn and some work has been lost to private inspectors in the competitive market that exists.
464	Crime & Disorder	150	151	1	
(13)	Development Control	(15)	18	33	Planning fee income is less than the expected budget for quarter 1. This position will be carefully monitored in the light of the economic climate/government spending cuts, as this has the potential to impact on the number of applications generally, but more particularly, larger private sector and public sector schemes. A further update will be provided at quarter 2.
26	Drugs & Alcohol	448	448	(0)	
78	CADCAM	78	80	2	
2,546	TOTAL	906	946	40	

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

<u>Description of Best Value Unit</u>	Approved 2009/2010 Budget £'000	Planned Usage 2009/10 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Anti Social Behaviour Team Reserve	9	9	0	
Housing System Reserve	22	22	0	
	31	31	0	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
38	Archaeology	64	61	(3)	
1,879	Parks & Countryside	815	829	14	
692	Community Support	261	255	(6)	
1,676	Libraries	399	358	(41)	The favourable variance relates to staffing underspends owing to vacancies being held in anticipation of SDO restructuring.
0	Maintenance	4	4	0	
615	Museums & Heritage	152	155	2	
33	Parks	4	4	(0)	
1,543	Sports & Physical Recreation	200	216	16	
139	Strategic Arts	82	82	0	
6,615	TOTAL	1,980	1,962	(18)	

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

<u>Description of Best Value Unit</u>	Approved 2010/2011 Budget £'000	Planned Usage 20010/11 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Tall Ships	676	676	0	
	676	676	0	

FINANCE AND PROCUREMENT
6.2 Appendix G
REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
650	Asset Management	50	70	20	The loss of Housing Hartlepool Energy Management contract will adversely affect this budget. This may result in an adverse variance of £12k at outturn.
0	Logistics	59	36	(24)	
154	Procurement	(43)	(18)	25	
(230)	Property Management	50	76	26	
1,371	Strategic Management & Admin	602	602	(0)	
(191)	Building Consultancy	396	417	21	Based on the current programme of work, Building Consultancy is on target to achieve the expected level of income. However, this is on the condition that all projects proceed this financial year and are not cancelled. The cancellation of Building Schools for the Future will impact on potential income for the CDM team and the implications of this are currently being reviewed. Following the announcement that works at Dyke House School will go ahead, it is expected that income levels will be in line with budget for the current year.
9	Finance Miscellaneous	9	17	8	
(915)	Shopping Centre Income	(229)	(147)	82	It is anticipated that future quarter income will be higher than the first quarter, ensuring that the budget is on target by the financial year end. A reserve has also been created to cover a possible shortfall of rental income
94	Registration of Electors	10	10	0	
97	Municipal & Parliamentary Elections	80	92	12	
(1,691)	Central Administration	0	0	0	
0	Single Status	0	0	0	
0	HR Payroll System	62	62	0	
4,511	Accommodation	702	361	(341)	There is an ongoing review of the Accommodation Budget and it is anticipated that the budget will be on target by the financial year end.
852	Accountancy	253	233	(20)	

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
263	Internal Audit	78	61	(17)	
566	Legal Services	159	162	3	
124	Support to Members	30	31	1	
5,663	TOTAL	2,269	2,065	(204)	

USE OF RESERVES

The above figures include the 2010/2011 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

Description of Best Value Unit	Approved 2010/2011 Budget £'000	Planned Usage 2010/11 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Support to Members	27	27	0	
Election Services	8	8	0	
Finance - Audit Section	35	35	0	
Finance - Accountancy	34	34	0	
Finance - IT Investment	62	62	0	
Finance - Working from Home	23	23	0	
Corporate - Social Inclusion	100	100	0	
Corporate - Shopping Centre	146	146	0	
Corporate - Accommodation	26	26	0	
	461	461	0	

REGENERATION & ECONOMIC DEVELOPMENT

6.2 Appendix H

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
487	Urban & Planning Policy	121	110	(11)	
334	Landscape Planning & Conservation	57	54	(3)	
(53)	Salary Turnover Target - Regeneration	(11)	(11)	0	On target to achieve the savings at quarter 1.
143	Community Regeneration	69	12	(57)	
1,091	Economic Development	1,417	1,411	(7)	
2,003	TOTAL	1,653	1,576	(78)	

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

<u>Description of Best Value Unit</u>	Approved 2009/2010 Budget £'000	Planned Usage 2009/10 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Regeneration Grant Funded Staffing Reserve	58	58	0	
	58	58	0	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
24	Facilities Management	2,714	2,712	(2)	
1,601	Highway Maintenance	650	667	17	
629	Highways Liability	0	0	0	
(150)	Highways Trading	1,137	1,147	10	
541	Highways Traffic & Transportation Management	156	166	10	
189	Integrated Transport Unit - Passenger Transport	355	367	12	
197	Integrated Transport Unit -	49	55	6	
9	Integrated Transport Unit - Strategic Management	55	71	16	
(138)	Integrated Transport Unit -	1,287	1,253	(34)	The favourable variance is owing to reduced vehicle leasing costs.
0	National Driver Offender Retraining Scheme (NDORS)	40	40	0	
1,213	Network Infrastructure	181	196	15	
169	Safety Cameras	12	12	0	Awaiting agreement from MBC Chief Executive and final budget requirement from Magistrates Courts and Cleveland Police regarding the new arrangements for 10/11. It is expected at this stage that the Outturn will be within the overall budget allocated.
(108)	Section 38's - Highways Standards (New Developments)	(84)	(59)	25	Tha adverse variance relates to lower than budgeted income from developers. This income funds the costs of supervising new developments to ensure Highways standards are achieved before roads are adopted. This income has been affected by the recession and as such a budget pressure has been identified for 2011/12. Departmental funding set aside in 2009/10 to cover this pressure will be used to fund any shortfall in the current year.

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
2,169	Sustainable Transport	(73)	(73)	1	
14	Traffic Management	3	10	6	
(1,150)	Car Parking	(244)	(147)	97	The current variance is owing to daily income collected being lower than budgeted levels. Work is ongoing to review the Car Parking income budget with a view to addressing the long term budget gap in this area. Reserves will be used to manage the short term position for 10/11. There will be further pressure on this budget if charges are not increased to reflect the VAT increase in January 2011. The cost of this increase is approximately £10k per quarter.
572	Engineering Consultancy	239	256	17	
5,130	Waste & Environmental Services	2,035	2,074	39	Due to extensive improvement works at the incinerator on the SITA site, there is a strong possibility that there will be an increase in the need to landfill. This could result in a budget pressure in this Service area. The position will continue to be closely monitored and an update will be provided at Quarter 2.
2,195	Neighbourhood Management	362	338	(24)	
13,105	TOTAL	8,876	9,086	210	

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

Description of Best Value Unit	Approved 2009/2010 Budget £'000	Planned Usage 2009/10 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Highways grants	102	80	0	Further highways related grant funded expenditure is to be rehased to 2010/11.
	102	80	0	

PERFORMANCE

6.2 Appendix J

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
343	Performance & Consultation	73	66	(7)	
117	Council Tax & Housing Benefit Subsidy	(3,854)	(3,854)	0	
242	Community Partnerships	109	99	(10)	
453	Shared Services Unit	229	270	41	Implementation of the HR/Payroll system has required the use of overtime payments. Overspending in this section will be offset by underspending across the rest of the Chief Executive's Department.
113	Performance Management Misc	66	68	2	
(3)	Benefits	(19)	(38)	(19)	Allocation across all Revenues and Benefits codes at year end to ensure all budgets are within outturn.
123	Fraud	31	68	37	Allocation across all Revenues and Benefits codes at year end to ensure all budgets are within outturn.
1,100	Revenues	255	226	(29)	Allocation across all Revenues and Benefits codes at year end to ensure all budgets are within outturn.
(159)	Revenues & Benefits Central	90	116	26	Allocation across all Revenues and Benefits codes at year end to ensure all budgets are within outturn.
619	Contact Centre	276	266	(10)	
571	Corporate ICT	231	230	(1)	
391	Corporate Strategy	111	116	5	
233	Democratic	54	57	3	
741	HR Health and Safety	51	58	7	

Approved 2010/2011 Budget	Description of Best Value Unit	Expected Budget	Actual	Variance to Date - Over/ (Under) spend	Director's Explanation of Variance
£'000		£'000	£'000	£'000	
(62)	Other Office Services	(16)	9	25	The adverse variance is owing to a reduction in Land Search income which will be funded from a Corporate reserve.
165	Scrutiny	29	28	(1)	
141	Public Relations	59	63	4	
64	Registration Services	11	16	5	
347	Training & Equality	94	87	(7)	
5,539	TOTAL	(2,120)	(2,049)	71	

USE OF RESERVES

The above figures include the 2010/2011 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

<u>Description of Best Value Unit</u>	Approved 2010/2011 Budget £'000	Planned Usage 2010/11 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Corporate Strategy	297	297	0	
Registrars	35	35	0	
People Framework Development	18	18	0	
Contact Centre	51	51	0	
HR Resource Investment	5	5	0	
Revenues & Benefits - IT Developments	41	41	0	
Revenues & Benefits	64	64	0	
Revenues & Benefits - Internal Bailiff Development	16	16	0	
Revenues & Benefits - Intercept Software	6	6	0	
Revenues & Benefits - Financial Inclusion Programme	50	50	0	
Revenues & Benefits - New Scanner	15	15	0	
Revenues & Benefits - FSM Software	15	15	0	
Revenues & Benefits - e-form Development	20	20	0	
	633	633	0	

CONTINGENCY ITEMS 2010/2011

Financial Risk	2010/2011 Budget £'000	Variance to 30th June 2010 (Favourable) / Adverse £'000
<u>Child & Adult Services</u>		
Additional funding in respect of Safeguarding Children to provide staffing capacity to address issues raised by Ofsted.	100	(9)
<u>Corporate</u>		
Repayment of 2008/2009 and 2009/2010 severance costs over a period of up to 5 years.	120	0
Potential increase in discretionary Business Rates relief costs during the recession.	50	0
Potential increases in energy costs from April, 2010, which NEPO (North East Purchasing Organisation) have indicated could be around 10% for both gas and electricity.	150	0
Fire Safety Risk Management	30	0
Total	450	(9)

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual - Over/ (Under) Spend £'000	Variance to Date £'000	Directors Explanation of Variance
	Adult & Community Services				
153	Supporting People Projects	26	26	0	
330	Supporting People Administration	83	83	0	
4,045	Adult Social Care Workforce	1,011	1,011	0	
103	Carers (80% Adult)	26	26	0	
106	Learning & Disability Development Fund	13	13	0	
223	Local Involvement Networks (LINKS)	31	31	0	
420	Mental Capacity Act & Independent Mental Capacity Advocate Service	77	77	0	
63	Mental Health	0	0	0	
352	Preserved Rights	75	75	0	
44	WNF - Mobile Maintenance	11	11	0	
	WNF - Mental Health Dev. & NRF Support Network, MIND Manager & NDC				
40	Support Network	10	10	0	
84	WNF - Integrated Care Teams - PCT	21	21	0	
43	WNF - Connected Care - Manor Residents	0	0	0	
20	WNF - Skills to Work HBC	5	5	0	
20	WNF - Economic Impact Evaluation of the Tall Ships	5	5	0	
	Chief Executives				
39	WNF - Financial Inclusion - HBC	10	9	(1)	
25	WNF - Neighbourhood Renewal/Hartlepool Partnership	11	6	(5)	
120	WNF - Community Empowerment Network Core Costs	144	144	0	
60	WNF - Community Chest	90	90	0	
	Corporate				
0	Climate Change	0	0	0	
	Childrens Services				
31	14-19 Flexible Funding Pot	0	0	0	
92	Care Matters	23	4	(19)	
102	Carers (20%)	22	22	0	
229	Child and Adolescent Mental Health Service	162	166	4	
18	Child Death Review Processes	18	18	0	
41	Children's Social Care Workforce	9	9	0	
395	Children's Fund	324	322	(2)	
25	Choice Advisors	6	4	(2)	
1,118	Connexions	249	267	18	
6	Designated Teacher Funding	0	0	0	
58	Education Health Partnerships	0	0	0	
19	Extended Rights to Free Transport	3	3	0	
196	Extended Schools Start Up costs	0	0	0	
12	Entry to Employment - January Guarantee	0	0	0	
270	LSC Staff Transfer Special Purpose Grant	62	38	(24)	
474	Positive Activities for Young People	262	251	(11)	
68	Secondary National Strategy - Behaviour & Attendance	0	0	0	
108	Secondary National Strategy - Central Co-ordination	0	0	0	
72	Primary National Strategy - Central Co-ordination	0	0	0	
287	School Development Grant	17	17	0	
42	School Improvement Partners	10	10	0	
26	School Intervention Grant	0	0	0	
14	Sustainable Travel	0	0	0	
144	Teenage Pregnancy Prevention	4	0	(4)	
2	Child Trust Fund	0	0	0	
41	Young People's Substance Misuse	0	0	0	
420	WNF Primary / Secondary Schools Direct Funding	0	0	0	
45	WNF Education Business Links	13	13	0	
38	WNF New Initiatives	11	11	0	
0	WNF Project Co-ordination	0	1	1	
48	WNF On-Track Project	13	11	(2)	
58	14 - 19 Reform Support	0	0	0	

The majority of expenditure will be incurred from September 2010, coinciding with the new academic year.

0

0

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Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual - Over/ (Under) Spend £'000	Variance to Date £'000	Directors Explanation of Variance
	Neighbourhood Services				
31	Rural Bus Subsidy	0	0	0	
35	WNF - Neighbourhood Renewal/Hartlepool Partnership	9	9	0	
169	School Travel Advisers	50	51	1	
114	WNF Environmental Enforcement Wardens	20	21	1	
94	WNF Environmental Action Team	18	16	(2)	The favourable variance results from the period of a vacant post prior to the postholder being appointed.
45	WNF Schools Environmental Action Officer	16	18	2	
	Regeneration & Planning				
149	Stronger Safer Communities Fund (BSC,ASB & DPSG Elements)	57	57	0	
54	Cohesion	17	15	(2)	This variance relates to expenditure which is committed to be spent in 2010/11 for the final evaluation of NE programme.
17	Stronger Safer Communities Fund (Neighbourhood Element)	0	0	0	
13	WNF - Connect To Work	0	0	0	
90	Statutory Economic Assessments	0	0	0	
66	WNF - ASB Officer & Analyst	18	15	(3)	This relates to committed expenditure which crosses into 2010/11 re the Connect to Work Programme.
156	WNF - Safer Streets & Homes, Target Hardening	15	15	0	
118	WNF - Dordrecht Prolific Offenders Scheme	80	80	0	
22	WNF - Project Assistant	1	1	0	
61	WNF - COOL Project	61	61	0	
180	WNF - FAST	180	180	0	
9	WNF - Landlord Accreditation Scheme	3	3	0	
30	WNF - LIFE - Fire Brigade	30	30	0	
180	WNF - Neighbourhood Policing	180	180	0	
48	WNF - NR & Strategy Officer (including Skills & Knowledge)	25	25	0	
23	WNF - NAP Development	4	4	0	
60	WNF - Level 3 Progression - HCFE	60	60	0	
22	WNF - Active Skills - West View Project	22	22	0	
34	WNF - Career Coaching - HVDA	34	34	0	
	WNF - Support for adults into Skills for Life and NVQ Level 2 courses including				
130	Citizenship Learning	0	0	0	
31	WNF - Jobsmart - HBC	11	11	0	
110	WNF - Targeted Training	15	15	0	
58	WNF - Women's Opportunities	19	17	(2)	This relates to expenditure which is committed to be spent in 2010/11 in relation to the Joint Employment & Skills scheme in North NAPS.
75	WNF - Jobs Build	27	30	3	
220	WNF - Workroute ILM	14	14	0	
19	WNF - Business & Tourism Marketing	5	1	(4)	
7	WNF - Enhancing Employability	2	1	(1)	
25	WNF - Hartlepool Worksmart - Improving the Employment Offer	7	7	0	
113	WNF - HMR - Support for Scheme Delivery	113	113	0	
256	WNF - Progression to Work - Assisting local people into work	38	38	0	
254	WNF - Incubation Systems and Business Skills Training HBC/OFCA	151	151	0	
92	WNF - Volunteering into Employment - HVDA	92	92	0	
	WNF - Community Employment Outreach - OFCA/Wharton Annexe/West View				
189	Employment Action Centre	189	189	0	
147	WNF - Homelessness Project	147	147	0	
43	WNF - Carers into Training and Employment - Hpool Carers	43	43	0	
	WNF - Job Club Owton Manor West Neighbourhood Watch & Resident's				
37	Association	37	37	0	
34	WNF - West View Project	34	34	0	
43	WNF - Local Employment Assistance - OFCA	43	43	0	
36	WNF - Youth into employment - Wharton Trust	36	36	0	
15	WNF - Introduction to construction - Community Campus	15	15	0	
24	WNF - Adventure Traineeship - West View Project	24	24	0	
45	WNF - Employment Support - Hartlepool MIND	45	45	0	
95	WNF - Support for existing businesses to expand	9	9	0	
38	WNF - Programme Management	0	0	0	
250	WNF - NAP Residents Priorities	15	11	(4)	
14,995	TOTAL	4,888	4,830	(58)	

CABINET REPORT

6th September, 2010



Report of: Chief Finance Officer

Subject: CAPITAL AND ACCOUNTABLE BODY
PROGRAMME OUTTURN REPORT 2009/2010

SUMMARY

1. PURPOSE OF REPORT

- 1.1 To provide details of the Council's overall Capital outturn for 2009/2010 and the Spending Programmes where the Council acts as the Accountable Body.
- 1.2 The report considers the following areas: -
- Capital Monitoring
 - Accountable Body Programme Monitoring

2. SUMMARY OF CONTENTS

- 2.1 The report provides detailed outturn reports for Capital for each Portfolio along with an outturn for the spending programmes where the Council acts as the Accountable Body.

3. RELEVANCE TO CABINET

- 3.1 Cabinet has overall responsibility for the monitoring of the Council's budgets.

4. TYPE OF DECISION

- 4.1 None.

5. DECISION MAKING ROUTE

- 5.1 Cabinet 6th September, 2010.

6. DECISION(S) REQUIRED

- 6.1 Cabinet is asked to note the report.

Report of: Chief Finance Officer

Subject: CAPITAL AND ACCOUNTABLE BODY
PROGRAMME OUTTURN REPORT 2009/2010

1. PURPOSE OF REPORT

- 1.1 To provide details of the Council's overall Capital outturn for 2009/2010 and the Spending Programmes where the Council acts as the Accountable Body.
- 1.2 This report considers the following areas: -
- Capital Monitoring;
 - Accountable Body Programme Monitoring.

2. BACKGROUND

- 2.1 In line with previous monitoring reports, this document is an integrated comprehensive document that is page numbered, thus allowing Members easier navigation around the report. (See contents table below). The report firstly provides a summary, followed by a section for each Portfolio where more detailed information is provided.

Section	Heading	Page
3.	Capital Monitoring 2009/2010	3
4.	Accountable Body Programme	4
5.	Adult & Public Health Services Portfolio	4
6.	Children's Services Portfolio	5
7.	Community Safety & Housing Portfolio	7
8.	Culture, Leisure & Tourism Portfolio	8
9.	Regeneration & Economic Development Portfolio	9
10.	Transport and Neighbourhood Portfolio	10
11.	Finance & Performance Management Portfolio	11
12.	Recommendations	13
Appendix A	Capital Monitoring Summary	14
Appendix B	Accountable Body Monitoring Summary	15
Appendices C-H & J	Detailed Spend by Portfolio	16-23 & 25
Appendix I	Accountable Body Revenue Monitoring	24

- 2.2 This report will be submitted to Scrutiny Co-ordinating Committee for review at the earliest opportunity.

3. CAPITAL MONITORING 2009/2010

- 3.1 Details of the Capital outturns are summarised at **Appendix A**. In overall terms total expenditure amounted to £25,938,000, compared to the annual budget of £46,158,000, with £20,217,000 rephased to 2010/2011, resulting in a favourable variance of £3,000.
- 3.2 Expenditure to be rephased to 2010/2011 by portfolio is as follows:

Portfolio	£'000
Adult & Public Health Service (see section 5.1)	1,421
Children's Services (see section 6.1)	8,462
Community Safety & Housing (see section 7.1)	3,408
Culture Leisure & Tourism (see section 8.1)	1,434
Regeneration and Economic Development (see section 9.1)	623
Transport & Neighbourhood (see section 10.1)	2,479
Finance & Performance Management (see section 11.1)	2,390
Total	20,217

- 3.4 **Appendix A** is supported by individual detailed statements by Portfolio, as set out below.

Appendix C - Adult & Public Health Services
 Appendix D - Children's Service
 Appendix E - Community Safety & Housing
 Appendix F - Culture, Leisure & Tourism
 Appendix G - Regeneration & Economic Development
 Appendix H - Transport and Neighbourhood
 Appendix J - Finance & Performance Management

- 3.4 The format of the appendices shows details of actual capital expenditure as at 31st March, 2010 and shows:

Column A - Scheme Title
 Column B - Budget for Year
 Column C - Actual expenditure to 31st March, 2010
 Column D - Expenditure Rephased into 2010/2011

Column E - 2009/2010 Total Expenditure
 Column F - Variance from Budget
 Column G - Type of financing

- 3.5 Detailed analysis of all schemes on each appendix is on deposit in the Members' Library.

4. ACCOUNTABLE BODY PROGRAMME

- 4.1 The Council acts as Accountable Body for the Hartlepool New Deal for Communities (NDC). As part of its role as Accountable Body the Council needs to be satisfied that expenditure is properly incurred and is progressing as planned.

New Deal for Communities (NDC)

- 4.1.1 The total spent by NDC including grants and contributions from the Council's own resources was £3,013,000.
- 4.1.2 Capital expenditure was £1,037,000 compared to the approved budget of £1,037,000. Detailed reports showing individual schemes are included in **Appendix J, Table 2**.
- 4.1.3 Revenue Expenditure was £1,976,000 against a budget of £2,211,000 with £235,000 being rephased to 2010/2011. Detailed reports showing individual schemes are included in **Appendix I, Table 1**.

5. ADULT AND PUBLIC HEALTH SERVICE PORTFOLIO

5.1 Capital Outturn 2009/10

- 5.1.1 **Appendix C** provides a summary of the Adult and Public Health Services Capital Programme.
- 5.1.2 Actual expenditure to date amounts to £1,891,000, compared to the approved budget of £3,312,000. A total of £1,421,000 has been rephased into 2010/2011. The following items are brought to the Portfolio Holder's attention:-
- 5.1.3 The Adult Education Service holds a number of ring-fenced capital grant budgets. A total of £55,000 will be rephased to support future capital expenditure within the Adult Education Service.
- 5.1.4 A total of £85,000 will be rephased pending the demolition of the Blakelock Day Centre.
- 5.1.5 Funding has been now been identified to convert the Havelock Day Centre into a Centre for Independent Living. A total of £370,000 was identified in 2009/2010 and will be rephased into 2010/2011. This will

be supplemented by £121,000 of grants carried forward in revenue reserves that will be transferred to capital (by RCCO) in 2010/2011.

- 5.1.6 Expenditure on the Mental Health Projects scheme had been put on hold pending completion of a major review of mental health day services. This review is now coming to a close and future plans will require utilisation of this funding (£403,000) in 2010/2011.
- 5.1.7 The Campus Re-provisioning scheme was expected to span financial years. £430,000 will be rephased into 2010/2011 to support future capital expenditure.

6. CHILDREN'S SERVICES PORTFOLIO

6.1 Capital Outturn 2009/10

- 6.1.1 **Appendix D** provides a summary of the Children's Service's Capital Programme, which includes schemes funded from specific capital allocations and schemes from the revenue budget which are managed as capital projects owing to the nature of the expenditure and the accounting regulations.
- 6.1.2 Total expenditure for 2009/2010 amounted to £5,758,000, compared to the approved budget of £14,225,000. A total of £8,462,000 has been rephased into 2010/2011. The following items are brought to the Portfolio Holder's attention:-
- 6.1.3 The contingency element of the Contact Point Enablement/Integrated Children's Systems Project (£175,000) that would have been funded by Unsupported Corporate Prudential Borrowing is no longer required. The budget has been amended accordingly.
- 6.1.4 Though work has begun on site with regards to the Brierton Alterations for the Dyke House Decant the works were always planned to continue into 2010/2011. At outturn a total of £120,000 will be rephased into the next financial year.
- 6.1.5 Owing to the timing of the following schemes being added to the capital programme the following budgets will be rephased into 2010/2011:-
- Brierton Transport Interchange (£190,000),
 - Brinkburn Pool Access Works and Hoist (£65,000),
 - Eldon Grove Creation of Additional Teaching Space (£500,000),
 - Holy Trinity Outdoor Area (£85,000),
 - Lynnfield Improvement of Teaching Space (£120,000),
 - Rift House Internal Reorganisation (£100,000) and
 - Springwell Covered Link Way (£22,000).

- 6.1.6 Though work on the following schemes has progressed the following budgets will be rephased into 2010/2011 as the work had not been completed at outturn:-
- Education Development Centre Roof Enhancement (£23,000),
 - Fens Outdoor Educational Area for Foundation unit (£14,000),
 - Golden Flatts Resource Learning Centre (£15,000),
 - Kingsley Extension to Children's Centre (£14,000),
 - Rossmere Youth Centre Boiler Replacement (£55,000),
 - Springwell Pool Replacement (£11,000),
 - St Hilds Space to Learn (£862,000),
 - Stranton Caretaker's Bungalow Floor Replacement (£14,000),
 - Sure Start Central Café Extension (£18,000), and
 - Sure Start North Café Extension (£37,000).
- 6.1.7 The following devolved school budgets and central budgets that currently do not fund specific projects will also be rephased into 2010/2011 where the funding conditions allow this:-
- Aiming High For Disabled Children Grant (£18,000),
 - Children's Centre Maintenance (£16,000),
 - City Learning Centre Equipment Purchases (£65,000),
 - Computers for Pupils (£218,000),
 - Schools Devolved Formula Capital (£628,000),
 - Dyke House ICT Equipment Budget (£73,000),
 - Harnessing Technology Grant (£36,000),
 - High Tunstall Equipment Budget (£28,000),
 - School Travel Plans (£66,000),
 - Emergency Response – Contingency (£20,000), and
 - Unallocated Modernisation, Access, RCCO (£79,000).
- 6.1.8 Grant was received in 2009/2010 for the improvement of the Integrated Children's System (ICS) and as previously reported the Department for Children Schools and Families (DCSF) allowed underspends on other specifically funded schemes to be retained to support the improvement of the ICS. £45,000 will be rephased into 2010/2011 to continue to support improvements for the ICS.
- 6.1.9 Work on the Hart Primary Multipurpose Studio has been delayed owing to the discovery of bats at the location. As these are an endangered species works must be delayed until the bats have left the location for the season. Therefore £119,000 will be rephased into 2010/2011.
- 6.1.10 Work on the Hart Primary Fire Alarm replacement was delayed owing to access arrangements at the school. It was agreed that work would progress during the Easter Holidays so the budget of £20,000 has been rephased into 2010/2011.

- 6.1.11 Replacement of Gas Interlocks has also been delayed at various schools owing to access arrangements at the schools. The budget of £30,000 will be rephased to 2010/2011.
- 6.1.12 As reported to the Schools Transformation Programme Project Board construction work on the Jesmond Primary Capital Plus (PCP) was not due to begin until the end of this financial year and proposals are still under review for Rossmere Primary PCP. As a result £3,197,000 and £1,367,000 respectively will be rephased into 2010/2011. However, it should be noted that the recent change in government has meant that the security of this PCP funding is increasingly uncertain.
- 6.1.13 There is also £90,000 earmarked for Phase 2 of redevelopment works at Carlton Outdoor Centre. This will be rephased until Phase 2 works have been determined.
- 6.1.14 A total of £34,000 will be rephased relating to completed schemes where the final account has not yet been agreed or is disputed but where the Local Authority believes costs have been met.

7. COMMUNITY SAFETY AND HOUSING PORTFOLIO

7.1 Capital Outturn 2009/10

- 7.1.1 **Appendix E** provides a summary of the Community Safety and Housing's Capital Programme.
- 7.1.2 Actual expenditure to date amounts to £8,137,000 compared to the approved budget of £11,544,000, with £3,408,000 rephased into next year.
- 7.1.3 The main items to bring to the Portfolio holders attention are:

Community Safety Car Parks is a scheme for which funding was initially allocated in the previous year and has been carried forward to fund various improvements to car parks to bring them up to the community safety standard. After some initial delays this scheme is now underway and will be fully spent in 2010/11.

The North Central Hartlepool Housing Regeneration scheme is showing a rephased amount of £767,000. This relates to Housing and Communities Agency funding received in advance of the planned expenditure occurring in 2010/2011.

The Acquisition of Crown House is showing a rephased amount of £98,000. This is owing to delays in acquiring the property which has meant that the demolition will now take place in 2010/2011.

The New Community Housing Scheme (Seaton Lane, Charles Street Phase 1 is now substantially underway and will be completed in 2010/2011. The rephased amounts of £261,000 and £328,000 relate to grant funding carried forward to fund costs in 2010/2011. Charles Street Phase 2 and Kipling Road were planned to be progressed in 2010/11.

The Preventing Repossession Fund project relates to £20,000 grant funding received close to the financial year end and will therefore be spent in 2010/2011.

8. CULTURE, LEISURE AND TOURISM PORTFOLIO

8.1 Capital Outturn 2009/10

8.1.1 **Appendix F** provides a summary of the Culture, Leisure and Tourism's Capital Programme.

8.1.2 Actual expenditure to date amounts to £1,672,000, compared to the approved budget of £3,106,000. The following items are brought to the Portfolio Holder's attention:-

8.1.3 A total of £1,434,000 has been rephased into 2010/2011 including the following schemes: -

- Burn Valley Park Beck is a significant river corridor enhancement scheme which requires more funding. Grant bids have been submitted but the outcomes will not be known this financial year. Therefore £114,000 of current funding will be rephased into 2010/2011.
- The Greyfields Junior Sports Pitches Scheme has been delayed owing to bad weather. £76,000 has been rephased into 2010/2011.
- Mill House Leisure Centre Combined Heating & Power Unit and Internal Door work will began in March but there was only £18,000 of expenditure at outturn. Therefore £168,000 will be rephased into 2010/2011. At outturn the work on the Mill House Changing Village had not been completed and £122,000 has been rephased into 2010/2011.
- Works at the Owton Manor Branch Library Roof did not begin until late March 2010 owing to the late approval of the scheme and agreeing access arrangements. No expenditure had been incurred at outturn and the budget of £31,000 has been rephased into 2010/2011.
- The Owton Manor Community Centre Boiler replacement was delayed as the original prices quoted were higher than expected.

The scheme had to be redesigned and the budget (£35,000) is to be rephased into 2010/2011.

- The Playbuilder, Jutland Road Play Area and Greatham Play Area Schemes have been delayed owing to bad weather. Though much of the equipment for these Play Areas has now been purchased, installation works will not be completed until 2010/2011. A total of £263,000 has been rephased to complete these schemes.
- Rossmere MUGA & Skatepark is a major grant scheme. The delivery plan is now complete but work on site did not start until April 2010. Therefore £464,000 has been rephased into 2010/2011.
- Seaton Carew Cricket Club scheme was not agreed until November 2009 and is a guarantee against loss should the cricket club be unable to fund the total cost of the works themselves. The money was not be required in 2009/2010 and the budget of £30,000 will be rephased into 2010/2011.
- Skateboard Park budget is being held pending possible need for further funding at the Rossmere MUGA & Skatepark in 2010/2011. Therefore an additional £70,000 will be rephased into the next financial year.
- The Wingfield Castle Vehicle Deck Replacement had not been completed at outturn owing to bad weather. £93,000 has been rephased into 2010/2011.

9. REGENERATION AND ECONOMIC DEVELOPMENT PORTFOLIO

9.1 Capital Outturn 2009/10

9.1.1 **Appendix G** provides a summary of the Regeneration and Economic Development's Capital Programme.

9.1.2 Actual expenditure to date amounts to £1,023,000 compared to the approved budget of £1,646,000, with £623,000 rephased expenditure. The main items included with the rephased amount are:

- The £96,000 rephased relating to Economic Development Industrial and Commercial grants is owing to long lead in time from the point of each grant being approved to the works being completed. This budget is now committed with the various grants being at different stages of the process.
- The Regeneration Match Funding rephased amount of £108,000 represents the amount set aside to contribute to future match funded grant schemes.

- Seaside Grant Funding £200,000 was received late in the financial year and will be spent in 2010/2011 as part of the Seaton Carew Master Plan, which is currently being prepared.

10. TRANSPORT AND NEIGHBOURHOOD PORTFOLIO

10.1 Capital Outturn 2009/10

10.1.1 **Appendix H** provides a summary of the Transport and Neighbourhood's Capital Programme.

10.1.2 Actual expenditure to date amounts to £4,998,000 compared to the approved budget of £7,476,000 with £2,479,000 of expenditure rephased into 2010/2011. The overall outturn position is within budget. There are a number of underspends which offset the overspends on individual schemes. The following items are brought to the Portfolio Holder's attention:-

10.1.3 There are a number of schemes where expenditure has been rephased into 2010/2011: -

A combination of emergency responses to the bad weather and recent reductions in staff resources has led to decreased capacity in a number of areas resulting in the rephasing of budget to 2010/2011. This applies to:

- Cycle Routes General (£96,000)
- Travel Plans – Promotional Materials (£15,000)
- Sustainable Travel Awareness (£6,000)
- Road Safety Education and Training (£24,000)
- Other Bridge Schemes (£40,000)
- Safer Routes to Schools (£28,000)
- LTP General (£22,000)
- Highways Works Other Schemes (£40,000)
- LTP Monitoring (£15,000)
- LTP3 Development (£15,000)
- Structural Repairs to Wall Adjoining Highway (£60,000)
- Various resurfacing schemes and footway reconstruction works (£189,000)
- Non Adopted Highways Areas (£26,000)
- Access to Briarfields (£20,000)

10.1.4 The Hartlepool Transport Interchange contractor is on site and expected to be completed in 2010/2011. Therefore £727,000 of the current years budget has been rephased into the next financial year.

10.1.5 The Council's contribution towards the Anhydrite Mine will involve monitoring of the site in future years. The rephased budget

(£171,000) represents the amount set aside to cover the cost of this work. This contribution made by the Council was a condition of the grant funding previously received for this scheme.

- 10.1.6 Hartlepool Marina Landscaping works are continuing with £34,000 rephased into 2010/2011 when the works will be completed.
- 10.1.7 Tesco's Roundabout Area Highways Improvements have been rescheduled for 2010/2011 following the decision not to proceed with signalisation. £39,000 will be rephased into the next financial year and alternative highways improvements are now being planned.
- 10.1.8 Remedial works and Turning Heads (car turning areas) will not be completed at the Primary Health Care Centre until 2010/2011. Therefore £18,000 has been rephased into the next financial year.
- 10.1.9 The Strategy Study at Seaton Carew is expected to be completed in July, 2010 and the Strategy Study at the Town Wall is expected to be completed in December, 2010. Therefore £92,000 and £65,000 respectively has been rephased into 2010/2011.
- 10.1.10 There are a number of variances on individual carriage resurfacing and reconstruction schemes, which are all funded as part of the Local Transport Plan (LTP). These arise from differences between the actual cost of the work compared to the budget estimates. However, the schemes are within budget overall.
- 10.1.11 The Waste Performance Efficiency project relates to grant funding provided by DEFRA. The rephased amount of £97,000 is the amount planned to be spent in 2010/11 following preparation of a business case and consultation with service providers.
- 10.1.12 Included within the budget for the Construction of the Saltbarn is an RCCO of £32,000 which was required to fund the adverse variance on this scheme. This variance arose as a result of additional cabling costs following access problems from adjacent land.

11. FINANCE AND PERFORMANCE MANAGEMENT PORTFOLIO

11.1 Accountable Body Revenue Outturn 2009/2010

- 11.1.1 The Council acts as Accountable Body for New Deal for Communities. Details of outturn against the approved revenue budgets are summarised at Appendix B. Actual expenditure amounted to £1,976,000 compared to anticipated expenditure of £2,211,000 resulting in a favourable variance of £235,000.
- 11.1.2 New Deal for Communities has the flexibility for individual project expenditure in year to be brought forward or rephased to following years, and therefore the £235,000 variance will be used in 2010/11.

Next year is the final year of New Deal for Communities, so all rephased expenditure will be utilised. The following items are brought to members attention.

- 11.1.3 The Children's Learning and Activities Project has not spent the New Deal for Communities allocation in 2009/2010, therefore the £25,000 has been rephased to 2010/2011.
- 11.1.4 A member of staff working on the Community Development Workers Project and Evaluation Project left the Authority during the year and was not replaced. The budgets of £33,000 and £28,000 have been rephased to 2010/2011 to extend the contract of the Evaluation Manager.
- 11.1.5 The Community Learning Centre at Lynnfield did not need the funding in 2009/2010 as they received funding from another source which had to be spent in year. New Deal for Communities Project Board agreed to rephase their contribution of £53,000 to 2010/2011.
- 11.1.6 The Crime Premises Project recharged other projects located within 173 York Road, meaning that expenditure was lower than anticipated, and £49,000 has been rephased to 2010/2011.
- 11.1.7 The Management and Administration Project and the Neighbourhood Management Project made efficiency savings throughout the year which resulted in a favourable variance of £21,000 and £36,000 respectively. This has been rephased to 2010/2011.
- 11.1.8 The Raising Aspirations Project is run by the Child and Adult Services Department and the funding is claimed on an academic year basis. The £47,000 underspend is in relation to the 2009/2010 academic year and has been rephased to 2010/2011.

11.2 **Capital Outturn 2009/10**

- 11.2.1 **Appendix J, Table 1 Resources** – Actual expenditure amounted to £2,459,000, compared to the approved budget of £4,849,000. A total £2,390,000 has been rephased to 2010/11. The following items are brought to Members attention.
- 11.2.2 The Civic Centre Access Control System project and the Civic Centre Ramp project specifications are still being finalised, so the budgets of £72,000 and £29,000 respectively have been rephased to 2010/2011.
- 11.2.3 At outturn the Municipal Buildings were in the process of being sold to the Cleveland College of Art and Design and the boiler replacement scheme may now not go ahead. The budget of £85,000 has been rephased to 2010/2011 pending a decision on this.

11.2.4 As part of the 2006/2007 Budget Strategy, Members approved the creation of a budget to be used to fund IT investments intended to produce savings which would contribute towards the overall efficiency target. The investment had to be repaid within a seven year period. This fund has not yet been utilised, and the £500,000 has been rephased to 2010/2011.

11.2.5 Individual projects within the following funding streams have not progressed in 2009/2010, so have been rephased to 2010/2011:-

- Corporate Planned Maintenance (£42,000)
- Health and Safety (£125,000)
- SCRAPT (£166,000).

11.2.6 Owing to timing of the following schemes being added to the capital programme, no expenditure has been incurred, and the following budgets will be rephased into 2010/2011:-

- Sir William Gray House – Fire Alarm (£50,000)
- Ramp Access (£40,000)
- Installation of School Kitchen Equipment (£215,000)
- Lynn Street – Electrical Installation (£55,000)
- Lynn Street – Re-roof (£40,000).

11.2.7 Though work on the following schemes has progressed, the following budgets will be rephased into 2010/2011 as the work had not been completed at outturn:-

- Civic Centre Refurbishment (£350,000)
- IT Projects (£57,000)
- Corporate Planned Maintenance – DDA Works (£71,000)
- Rossmere Youth Centre Roof Replacement (£63,000)

11.2.8 **Appendix J, Table 2 New Deal for Communities** – Actual expenditure amounted to £1,037,000, compared to the approved budget of £1,037,000.

11.2.9 There are no items to bring to Portfolio Holders attention.

12. RECOMMENDATIONS

12.1 It is recommended that Cabinet notes the contents of the report.

7.1 Appendix A

CAPITAL MONITORING REPORT TO 31ST MARCH 2010

Line No	Portfolio	2009/10 Budget	2009/10 Actual to 31/12/2009	2009/10 Expenditure Rephased to 2010/2011	2009/10 Expenditure	2009/10 Variance from budget Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D	Col. F	Col. G (G=D+E+F)	Col. H (H=G-C)
		£'000	£'000	£'000	£'000	£'000
1	Adult & Public Health Services	3,312	1,891	1,421	3,312	0
2	Children's Services	14,225	5,758	8,462	14,220	(5)
3	Community Safety & Housing	11,544	8,137	3,408	11,545	1
4	Culture, Leisure & Tourism	3,106	1,672	1,434	3,106	0
5	Finance & Performance Management	4,849	2,459	2,390	4,849	0
6	Regeneration & Economic Developme	1,646	1,023	623	1,646	0
7	Transport & Neighbourhoo	7,476	4,998	2,479	7,477	1
8	Total Capital Expenditure	46,158	25,938	20,217	46,155	(3)

7.1 Appendix B

ACCOUNTABLE BODY PROGRAMMES - REPORT TO 31ST MARCH 2010

Line No	Accountable Body Programme	Actual Position 31/3/10		
		2009/10 Budget	2009/10 Actual Expenditure/(Income)	Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D	Col. E = (E=D-C)
		£'000	£'000	£'000
	<u>TABLE 1 - New Deal for Communities</u>			
1	Revenue Projects	2,211	1,976	(235)
2	Capital Projects	1,037	1,037	0
3	Total New Deal for Communities	3,248	3,013	(235)

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget	2009/2010 Actual as at 31/03/10	Expenditure Rephased into 2010/11	C+D 2009/2010 Total Expenditure	E-B 2009/2010 Variance from budget	Type of financing
		£'000	£'000	£'000	£'000	£'000	
7622	Adult Education - Capital Equipment Replacement	37	0	37	37	0	GRANT
7441	Adult Education - Neighbourhood Learning in Deprived Communities Fund	4	0	4	4	0	GRANT
7531	Adult Education - Office Accom	14	0	14	14	0	GRANT
7983	Blakelock Day Centre Demolition	115	30	85	115	0	caprec
7229	Cemetery Flooding Works	13	0	13	13	(0)	UDPB
7234	Chronically Sick & Disabled Adaptations	157	148	9	157	0	Mix
8108	Havelock Centre for Independent Living	370	0	370	370	0	MIX
7481	Improving Information Management (IIM) Social Care IT Infrastructure	34	25	9	34	0	Grant
7351	Improving Information Management (IIM) Systems	3	3	0	3	0	GRANT
7616	Learning Disability - Extra Care Housing	7	7	0	7	0	GRANT
7578	Lynn Street ATC Demolition	11	0	11	11	0	RCCO
7869	Masefield Road (NDNA Accommodation)	31	31	0	31	0	MIX
7389	Mental Health Projects	403	0	403	403	0	SCE(R)
8091	North Cemetery Improvements to Entrance	26	0	26	26	0	UCPB
7028	Orwell Walk Extra Care Housing for Older People	1,497	1,497	0	1,497	0	Grant
7723	Resettlement Capital Works - Campus Re-provisioning	580	150	430	580	0	GRANT
tba	Waverly Terrace Allotments - Composting Toilets	10	0	10	10	0	RCCO
		3,312	1,891	1,421	3,312	(0)	

Key

RCCO Revenue Contribution towards Capital

MIX Combination of Funding Types

UCPB Unsupported Corporate Prudential Borrowing

SCE @ Supported Capital Expenditure (Revenue)

GRANT Grant Funded

CAP REC Capital Receipt

UDPB Unsupported Departmental Prudential Borrowing

SPE Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget £'000	2009/2010 Actual as at 31/03/10 £'000	Expenditure Rephased into 2010/11 £'000	C+D 2009/2010 Total Expenditure £'000	E-B 2009/2010 Variance from budget £'000	Type of financing
n/a	Aggregate Value of Schemes less than £1,000	3	3	0	3	0	MIX
8075	Aiming High for Disabled Children	54	36	18	54	0	GRANT
7109	Brierton - Alterations re Dyke House Decant	760	640	120	760	0	MIX
8117	Brierton Site - Transport Interchange	190	0	190	190	0	MIX
8103	Brinkburn Pool - Access and Hoist	65	0	65	65	0	RCCO
8070	Brinkburn Pool - Motorised Pool Cover	10	10	0	10	0	RCCO
8053	Brougham - Replace Boiler	20	20	0	20	0	GRANT
7597	Bush Babies - Outside Play Area	23	23	0	23	0	MIX
8001	Capital Grants to External Nurseries (Early Years)	51	51	0	51	0	GRANT
7032	Carlton Outdoor Centre - Purchase of Minibus	22	20	2	22	0	MIX
7863	Carlton Outdoor Centre - Redevelopment Phase 2 (Works to be determined)	90	0	90	90	0	MIX
7979	Children's Centres - Maintenance	37	15	16	31	(6)	GRANT
7586	City Learning Centre Equipment Purchase	300	235	65	300	0	GRANT
7664	Clavering - Create New Foundation Stage Unit	8	6	2	8	0	GRANT
8055	Clavering - Window Replacement	38	39	0	39	1	GRANT
7858	Computers for Pupils	251	33	218	251	0	GRANT
7384	Devolved Capital - Various Misc Individual School Projects	1,353	725	628	1,353	0	GRANT
7575	Dyke House - ICT Equipment Purchase	73	0	73	73	0	RCCO
7108	Education Development Centre - Alterations for A2L Relocation	262	262	0	262	0	MIX
8089	Education Development Centre - Roof Replacement with enhanced roofing system	70	47	23	70	0	UCPB
8055	Education Development Centre - Window Replacement	30	23	7	30	0	UCPB
8056	Eldon Grove - Creation of Additional Teaching Space	500	0	500	500	0	MIX
7628	Eldon Grove - Major Internal Works	2	2	0	2	0	RCCO
8065	Emergency Response - Contingency	25	0	20	20	(5)	MIX
8057	Fens - Heating Replacement	24	24	0	24	0	GRANT
8058	Fens - Kitchen Roof Replacement	36	36	0	36	0	GRANT
8092	Fens - Outdoor Educational Area for Foundation Unit	92	78	14	92	0	GRANT
9004	Funding (Modernisation, Access, RCCO) Currently Unallocated	79	0	79	79	0	MIX
8071	Golden Flatts - Caretaker's Boiler Emergency Replacement	3	3	0	3	0	SCE ®
8093	Golden Flatts - Establish Nurture Area	6	0	6	6	0	GRANT
8058	Golden Flatts - Kitchen Roof Replacement	48	48	0	48	0	MIX
8082	Golden Flatts - Resource Learning Centre	30	15	15	30	0	GRANT
8051	Greatham - Roof Work Over Nursery and Hall	24	24	0	24	0	GRANT
7027	Harnessing Technology Grant	604	568	36	604	0	GRANT
8059	Hart - Create Multi-purpose Studio	120	1	119	120	0	GRANT
8068	Hart - Replace Fire Alarm System	20	0	20	20	0	GRANT
7500	High Tunstall - Refurbish Classrooms / Equipment Purchase	28	0	28	28	0	GRANT
8118	Holy Trinity - Outdoor Area	85	0	85	85	0	MIX
7814	ICT / Mobile Technology for Children's Social Workers	9	9	0	9	0	GRANT
7977	Information System for Parents & Providers Project	2	0	2	2	0	GRANT
8072	Integrated Children's System Case Management Improvement	71	26	45	71	0	GRANT
7533	Jesmond Rd - Relocate Nursery to form Foundation Unit, installation of ramps & internal works	6	0	6	6	0	MIX
7088	Jesmond Road - New Build Primary Capital Plus	3,600	403	3,197	3,600	0	MIX
7469	Kingsley - Extension to School for Children's Centre	22	8	14	22	0	GRANT
8050	Kingsley - Toilet and Window Replacement	110	114	0	114	4	GRANT
8120	Lynnfield - Improve Teaching Space	120	0	120	120	0	GRANT
8025	Lynnfield - Install Partitions	24	24	0	24	0	GRANT
7912	Manor - Replace External Doors - Improve Security	3	0	3	3	0	MIX
7426	Purchase & Install new Integrated Children's Computerised System for Children & Families	0	0	0	0	0	GRANT
8066	Replacement of Gas Interlocks	30	0	30	30	0	SCE (R)
8060	Rift House - Annexe 2 Heating	17	0	17	17	0	GRANT
8119	Rift House - Internal Reorganisation	100	0	100	100	0	MIX
7654	Rift House - Relocation of Nursery & Refurbish Existing Nursery to create a Children's Centre	6	0	6	6	0	GRANT
7928	Rift House - Resurface Car Park / Pedestrian Access	2	2	0	2	0	MIX
7088	Rossmere - Primary Capital Plus Refit	1,400	33	1,367	1,400	0	MIX
7853	Rossmere Youth Centre - Boiler Replacement	55	0	55	55	0	UCPB
7421	School Travel Plans - Develop Cycle Storage at Schools	115	49	66	115	0	GRANT
8116	Springwell - Covered Link Way	22	0	22	22	0	MIX
7917	Springwell - Install Mobile Classroom	6	6	0	6	0	MIX
8069	Springwell - Replace Pool	55	44	11	55	0	MIX

8051	Springwell - Replace Roof	51	51	0	51	0	MIX
8051	St Helens - Replace Roof	115	115	0	115	0	GRANT
7997	St Hilds - Space to Learn	1,210	348	862	1,210	0	MIX
7597	St John Vianney Starfish Daycare Outside Play Area	31	27	4	31	0	MIX
8011	St Josephs - CCTV	2	2	0	2	0	MIX

PORTFOLIO : CHILDREN'S SERVICES

Appendix D (cont)

CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2009

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget £'000	2009/2010 Actual as at 31/03/10 £'000	Expenditure Rephased into 2010/11 £'000	C+D 2009/2010 Total Expenditure £'000	E-B 2009/2010 Variance from budget £'000	Type of financing
7978	Stranton - Extension to Centre	211	213	0	213	2	MIX
7888	Stranton - Purchase & Install CCTV	2	0	2	2	0	RCCO
7763	Stranton - Replace Windows (07/08)	4	0	4	4	0	GRANT
8125	Stranton - Replace Floor Caretaker's Bungalow	35	21	14	35	0	MIX
7953	Supply and Install Mobile Classrooms	10	10	0	10	0	MIX
8023	Sure Start Central - Café Ext to Comm Facilities	62	44	18	62	0	GRANT
8007	Sure Start Central - Chatham Road Garage Conversion / Equipment	42	42	0	42	0	MIX
7388	Sure Start Central - Improvement Works at Lowthian Road	2	0	2	2	0	MIX
8023	Sure Start North - Café Ext to Comm Facilities	86	49	37	86	0	GRANT
8109	Sure Start North - Kiddykins Alterations	55	59	0	59	4	GRANT
8096	Throston - DDA Access Ramps	9	9	0	9	0	MIX
7981	Throston - Extension to school to build Children's Centre	347	347	0	347	0	GRANT
8052	Throston - Rewire School 0910 Phase	3	3	0	3	0	MIX
8055	Throston - Window Replacement	65	65	0	65	0	MIX
8067	Ward Jackson - Creation of Quiet Room	12	7	5	12	0	MIX
8061	Ward Jackson - Replacement of Roof	69	69	0	69	0	MIX
8062	West Park - Replace Heating Distribution System	26	26	0	26	0	MIX
7598	West View - Improve / Refurbish Nursery & Reception	11	0	11	11	0	GRANT
8063	West View - Replace Windows	55	50	0	50	(5)	GRANT
7463	Youth Capital Fund - Spend to be determined by Young People	65	62	3	65	0	GRANT
7089	Youth Capital Fund Plus	434	434	0	434	0	GRANT
		14,225	5,758	8,462	14,220	(5)	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget £'000	2009/2010 Actual as at 31/03/10 £'000	Expenditure Rephased into 2010/11 £'000	C+D 2009/2010 Total Expenditure £'000	E-B 2008/2009 Variance from budget £'000	Type of financing
7015	Targeted Private Housing Improvements (SRB Residual)	24	6	18	24	0	GRANT
7107	Growth Point Funded Housing	443	429	15	444	1	GRANT
7206	Community Safety Strategy Social Lighting Programme	7	0	7	7	0	UCPB
7207	Community Safety Car Parks	98	24	74	98	0	SPB
7218	Disabled Facility Grants	646	646	0	646	0	GRANT
7219	Minor Works Grants	105	108	0	108	3	GRANT
7220	Private Sector Housing Grants	355	349	2	351	(4)	GRANT
7230	North Central Hartlepool Housing Regeneration	6,238	5,471	767	6,238	0	GRANT
7231	Housing Thermal Efficiency	99	80	19	99	0	GRANT
7252	Safer Streets Initiative	47	45	3	48	1	GRANT
7368	Building Safer Communities	37	34	3	37	0	GRANT
7404	HRA Residual Expenditure	9	5	4	9	0	RCCO
7431	Community Safety Strategy	1	0	1	1	0	MIX
7878	Community Safety CCTV Upgrade	66	56	10	66	0	MIX
8083	Drug Action Team - CCTV	11	11	0	11	0	UCPB
8101	Church St Integrated Offender Management Unit refurbish basement	25	25	0	25	0	UCPB
8106	Social Housing - New Build	0	0	0	0	0	MIX
8107	Acquisition of Crown House	257	159	98	257	0	UCPB
8127	Community Housing - Charles St Phase 1	810	328	482	810	0	MIX
8128	Community Housing -Seaton Lane	1,001	261	739	1,000	(1)	MIX
8129	Community Housing -Charles St Phase 2	546	4	543	547	1	MIX
8130	Community Housing - Kipling Road	690	96	594	690	0	MIX
8155	Preventing Repossession Fund	29	0	29	29	0	GRANT
		11,544	8,137	3,408	11,545	1	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget £'000	2009/2010 Actual as at 31/03/10 £'000	Expenditure Rephased into 2010/11 £'000	C+D 2009/2010 Total Expenditure £'000	E-B 2009/2010 Variance from budget £'000	Type of financing
7110	Brougham Play Area - Playbuilder	97	48	49	97	0	MIX
7110	Burbank Play Area	51	41	10	51	0	MIX
7651	Burn Valley Park Beck	114	0	114	114	0	MIX
7110	Burn Valley Play Area	62	58	4	62	0	MIX
8074	Central Library - Community Room Lighting	7	7	0	7	0	UPCB
7046	Central Library - Revolving Door	99	99	0	99	0	MIX
8095	Central Library - Signage	7	5	2	7	0	UPCB
8094	Central Library - Toilets DDA Compliance	1	1	0	1	0	UPCB
7377	Central Library - Various Improvement Works	5	5	0	5	0	RCCO
8073	Central Library, 1st Floor Lights and Fire Alarm Adapation	29	26	3	29	0	UPCB
7110	Clavering Play Area (Playbuilder)	78	48	24	72	(6)	MIX
7375	Countryside Development Works	14	0	14	14	0	MIX
7864	Foreshore - Replacement Lifeguard Vehicle	25	25	0	25	0	RCCO
7992	Grayfields Sports Junior Pitches	147	71	76	147	0	MIX
7213	Grayfields Sports Pavillion / Football Strategy	8	8	0	8	0	MIX
7382	Greatham Play Area Equipment	9	0	9	9	0	MIX
7996	Hartlepool Maritime Experience Entranceway	1	1	0	1	0	UPCB
8020	Hartlepool Maritime Experience Lifts	27	27	0	27	0	MIX
7110	Headland Play Area	1	1	0	1	0	MIX
7831	Jutland Road Community Centre - Internal Alterations	1	0	1	1	0	MIX
7110	Jutland Road Play Area	23	0	23	23	0	MIX
7414	Jutland Road Play Area Upgrade	65	11	54	65	0	MIX
7110	King George V Play Area	85	32	53	85	0	MIX
7110	King Oswy Play Area	51	57	0	57	6	MIX
8008	Mill House - Free Swim project	6	6	0	6	0	Grant
7047	Mill House Leisure Centre - Changing Village	695	573	122	695	0	MIX
8084	Mill House Leisure Centre Combined Heating & Power Unit	177	10	167	177	0	MIX
8019	Mill House Leisure Centre Internal Doors	9	8	1	9	0	UPCB
8021	Museum of Hartlepool Signage	2	0	2	2	0	UPCB
7887	Nicholson's Field Allotments	22	22	0	22	0	RCCO
8090	Owton Manor Branch Library - Replacement Roof	31	0	31	31	0	UPCB
7853	Owton Manor Community Centre - Replace Boiler	35	0	35	35	0	UPCB
7110	Oxford Road Play Area	52	50	2	52	0	MIX
8104	Rossmere Park - MUGA & Skatepark	466	2	464	466	0	Mix
7110	Rossmere Play Area (Playbuilder)	56	34	22	56	0	MIX
8051	Seaton Carew Community Centre Roof Replacement	70	61	9	70	0	UPCB
tba	Seaton Carew Cricket Club	30	0	30	30	0	0
7110	Seaton Carew Play Area, , Seaton Park (Playbuilder)	51	39	12	51	0	MIX
n/a	Skateboard Park	70	0	70	70	0	RCCO
7991	St Patrick's Shops Multi Use Games Area (MUGA)	1	1	0	1	0	RCCO
8011	Summerhill CCTV	14	0	14	14	0	MIX
7844	Town Moor - Develop Multi Use Games Area	63	63	0	63	0	MIX
7110	Town Moor Play Area (Playbuilder)	51	50	1	51	0	MIX
7590	Ward Jackson Car Park - Tunstall Court	76	76	0	76	0	MIX
7990	Ward Jackson Park Bandstand Shutters	4	0	4	4	0	MIX
8010	Ward Jackson Park CCTV & Lights	0	0	0	0	0	MIX
7081	Waverley Terrace Community Allotment Fencing	13	13	0	13	0	MIX
8087	Wingfield Castle Vehicle Deck Replacement	105	93	12	105	0	UPCB
		3,106	1,672	1,434	3,106	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UPCB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget £'000	2009/2010 Actual as at 31/03/10 £'000	Expenditure Rephased into 2010/11 £'000	C+D 2009/2010 Total Expenditure £'000	E-B 2009/2010 Variance from budget £'000	Type of financing
7045	THI Key Buildings Headland	60	60	0	60	0	GRANT
7083	Hartlepool Business Security Fund	54	21	33	54	0	UCPB
7120	Hartlepool Active Response Team	28	19	9	28	0	GRANT
7866	Friarage Manor House	18	0	18	18	0	CAP REC
7895	Econ.Devt. - Indl & Comm - Grants to Businesses	215	119	96	215	0	UCPB
7896	BEC Toilet & Shower Facilities	49	29	20	49	0	UCPB
7897	Regeneration Match Funding	108	0	108	108	0	UCPB
8054	Victoria Buildings THI Grants	195	195	0	195	0	MIX
8076	Wharton Terrace Improvements	151	136	15	151	0	MIX
8099	Enterprise Centre New Windows	90	1	89	90	0	UCPB
8110	King Oswy Shops Improvements	19	29	0	29	10	UCPB
8113	Catcote Shops Improvements	34	1	24	25	(9)	UCPB
8114	Hartlepool College of FE Redevelopment	370	370	0	370	0	MIX
8123	Review of Strategy Study North Sands to Newburn Bridge	2	2	0	2	0	GRANT
8131	Small Retailers Partnership Grant	48	41	6	47	(1)	GRANT
8153	Seaside Grant Funding	200	0	200	200	0	GRANT
7417	Friarage Building Demolition	5	0	5	5	0	
		1,646	1,023	623	1,646	0	

Key

RCCO

Revenue Contribution towards Capital

MIX

Combination of Funding Types

UCPB

Unsupported Corporate Prudential Borrowing

SCE ®

Supported Capital Expenditure (Revenue)

GRANT

Grant Funded

CAP REC

Capital Receipt

UDPB

Unsupported Departmental Prudential Borrowing

SPB

Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget	2009/2010 Actual as at 31/03/10	Expenditure Rephased into 2010/11	C+D 2009/2010 Total Expenditure	E-B 2009/2010 Variance from budget	Type of financing
		£'000	£'000	£'000	£'000	£'000	
7044	Zebra Crossings	3	3	0	3	0	MIX
7084	Camera Partnership	46	33	14	47	1	GRANT
7095	Resurface-Cairnston North	0	3	0	3	3	GRANT
7222	Minor Works - North	18	0	18	18	0	MIX
7223	Minor Works - South	51	0	51	51	0	MIX
7224	Minor Work - Central	12	0	12	12	0	MIX
7235	Low Floor Infrastructure	36	32	3	35	(1)	SPB
7236	Bus Shelter Improvements	49	51	0	51	2	SPB
7237	Cycling-Cycle Routes General	152	56	96	152	0	MIX
7240	Rail Measures - Interchange Phase 1	1,546	734	727	1,461	(85)	SPB
7241	Programmed Major Maintenance - Dropped Crossings	30	26	4	30	0	SPB
7242	Other street lighting imps	65	53	10	63	(2)	MIX
7244	Travel Plans	19	4	15	19	0	SPB
7247	Bus Quality Corridor	6	6	0	6	0	SPB
7250	Sustainable Travel Awareness	14	5	9	14	0	GRANT
7251	CCTV on Buses	20	20	0	20	0	GRANT
7265	Coastal Protection Strategic Study	0	0	0	0	0	GRANT
7272	Wheely Bin Purchase	45	45	0	45	0	UDPB
7424	Pride in Hartlepool	15	15	0	15	0	UCPB
7465	Recycling Scheme	51	51	0	51	0	UDPB
7487	Local Transportation Plan-Monitoring	5	1	0	1	(4)	SPB
7499	Lithgo Close - Contaminated Land	384	316	68	384	0	MIX
7508	Anhydrite Mine	182	11	171	182	0	UCPB
7541	LTP-Safer Routes to School	75	46	28	74	(1)	GRANT
7542	LTP-Parking Lay-bys	19	19	0	19	0	SPB
7544	LTP-Shop Mobility	40	40	0	40	0	SPB
7545	LTP-Motorcycle Training	20	21	0	21	1	GRANT
7546	LTP-Road Safety Education & Training	25	2	24	26	1	GRANT
7549	LTP-Other Bridge Schemes	70	30	40	70	0	SPB
7580	Highways Remedial Works - Marina	4	0	4	4	0	TDC
7581	Tees Valley Boundary Signs	5	2	3	5	0	GRANT
7605	Focus-Section 278	6	6	0	6	0	GRANT
7644	LTP-School Travel Plans	9	8	1	9	0	SPB
7645	LTP-General	346	304	22	326	(20)	SPB
7706	Waterproofing Phase 2 - Multi Story Car Park	91	81	10	91	0	UCPB
7707	Highway Works - Other schemes	40	0	40	40	0	UCPB
7720	Public Conveniences	341	341	0	341	0	UDPB
7734	LTP-Tesco Roundabout Highways Improvement Schem	400	49	351	400	0	SPB
7736	LTP-York Road (Park Road to Lister Street)	0	0	0	0	0	GRANT
7821	Waste Performance Efficiency	233	135	97	232	(1)	GRANT
7835	Primary Health Care Centre-Prk Rd-S278	80	62	18	80	0	CAP REC
7838	LTP-Tees Road Footways (west side)	0	0	0	0	0	GRANT
7846	Raby Road Puffin Crossing	0	0	0	0	0	GRANT
7847	Coast Protection - Headland Fencing & Promenade	12	11	2	13	1	CAP REC
7852	Highway Imps-TESCO S106 Expend	70	70	0	70	0	GRANT
7891	Strategy Study-Seaton Carew	409	317	92	409	0	GRANT
7892	Strategy Study-Town Wall	304	239	65	304	0	GRANT
7899	Coast Protection 0809 UPB	133	131	1	132	(1)	UCPB
7906	Bryan Hanson House On Street Parking	23	5	18	23	0	UDPB
7955	LTP - Cycling	5	0	5	5	0	GRANT
7956	LTP-Cycle Route Signage	8	0	8	8	0	SPB
7957	LTP-Seaton Carew Railway Station Improvements	37	39	0	39	2	SPB
7959	LTP-Other Walking Schemes	47	24	23	47	0	SPB
7961	LTP-School 20mph Zones	10	2	8	10	0	SPB
7964	LTP-HM-Hart Lane Carriageway Reconstruction	22	0	0	0	(22)	GRANT
7965	LTP-HM-Catcote Turning Circle Reconstruction £3766	4	0	4	4	0	MIX

7966	LTP-HM-Queen Street Carriageway Reconstruction	0	0	0	0	0	GRANT
7968	HM-FletcherWalk Footway Reconstruction	4	4	0	4	0	UCPB
7970	LTP-HM-Oxford St Footway Recon	4	4	0	4	0	SPB
7972	Other Traffic Management Schemes	16	12	4	16	0	SPB
7973	Other Safety Schemes	29	25	4	29	0	GRANT
7984	King Oswy Drive Cycleway Improvements	9	0	9	9	0	SPB
7999	Marina Way Landscaping Works	81	47	34	81	0	RCCO
8006	Access Road to Briarfields	20	0	20	20	0	CAP REC
8015	Tesco - New entrance/Junc/Lights-S278	40	1	39	40	0	GRANT
8026	Highways Asset management	30	30	0	30	0	GRANT

PORTFOLIO : TRANSPORT & NEIGHBOURHOODS

Appendix H (cont)

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget	2009/2010 Actual as at 31/03/10	Expenditure Rephased into 2010/11	C+D 2009/2010 Total Expenditure	E-B 2009/2010 Variance from budget	Type of financing
		£'000	£'000	£'000	£'000	£'000	
8027	Carriageway reconstruction - Holdforth Road	50	42	8	50	0	GRANT
8028	Carriageway reconstruction - Wooler Rd rabout - No 49	150	126	24	150	0	GRANT
8029	Carriageway reconstruction - The Cliff - Lawson Road Jc	30	51	0	51	21	GRANT
8030	Resurfacing - Arkley Cr -Bruce Cr	12	3	0	3	(9)	GRANT
8031	Resurfacing - Thorpe St - Vane St	15	14	0	14	(1)	GRANT
8032	Resurfacing - Brus shops - Rear PO	8	9	0	9	1	GRANT
8033	Resurfacing - Ch Sq Paved Crgway	80	45	35	80	0	GRANT
8034	Resurfacing - Outside Civic Centre	87	71	16	87	0	GRANT
8035	Resurfacing - Hart Lane- 26 Granville Avenue	12	11	0	11	(1)	GRANT
8036	Resurf - Dalton Village Road	22	26	0	26	4	SPB
8037	Resurf - Catcote-Oxford Rd	60	0	60	60	0	SPB
8038	Resurf - Catcote Rd - Brierton Lane jnc	3	3	0	3	0	SPB
8039	Resurf - Elgin Rd	12	9	0	9	(3)	SPB
8040	Resurf - Fordyce Rd - Eskdale Rd-Greenock Rd	18	21	0	21	3	SPB
8041	Resurf - OML - Catcote Rd-Jameson Rd	42	53	0	53	11	SPB
8042	Resurf - Queen Street	22	26	0	26	4	SPB
8043	Footway Recon - Clavering Road - School-Gillens Pub	3	15	0	15	12	SPB
8044	Footway Recon - York Rd - Victoria Rd-Park Rd	46	15	31	46	0	SPB
8045	Footway Reconstruction - General	1	0	0	0	(1)	SPB
8046	LTP3 Development	15	0	15	15	0	SPB
8077	Footpath Resurfacing - Cemetery Road	33	27	6	33	0	RCCO
8078	Coast Road Pedestrian Fence	6	6	0	6	0	RCCO
8079	Household Waste Recycling Centre	300	282	18	300	0	UDPB
8080	Construction of New Saltbarn	332	332	0	332	0	RCCO
8081	Non Adopted Highway Areas	100	74	26	100	0	GRANT
8100	Structural Repairs to Wall Adjoining Highway	60	0	60	60	0	RCCO
8111	Marina Promenade LED Lighting Scheme	55	57	0	57	2	MIX
8122	Rift House Street Lighting	7	7	0	7	0	SPB
8126	Stockton Street Wall	25	17	8	25	0	RCCO
8144	Resurfacing Works - Cairnston Road	0	21	0	21	21	SPB
8145	Resurfacing Works - Oakland Avenue	0	9	0	9	9	SPB
8146	Resurfacing Works - Shakespeare Avenue	0	12	0	12	12	SPB
8147	Resurfacing Works - Thirlmere Street	0	8	0	8	8	SPB
8148	Resurfacing Works - Hylton Road	0	7	0	7	7	SPB
8149	Resurfacing Works - Northumberland Avenue	0	6	0	6	6	SPB
8150	Resurfacing Works - Croxton Avenue	0	11	0	11	11	SPB
8151	Resurfacing Works - Bournemouth Drive	0	4	0	4	4	SPB
8152	Resurfacing Works - Speeding Drive	0	6	0	6	6	SPB
		7,476	4,998	2,479	7,477	1	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE © Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

TABLE 1 - NEW DEAL FOR COMMUNITIES

Line No	2009/10 Budget	Actual Position 31/03/10		
		Description of Best Value Un	Actual Expenditure (Income)	Variance Adverse, (Favourable)
Col. A	Col. B	Col. C	Col. E £'000	Col. F (F=E-D) £'000
1	41	Anti Social Behavior	30	(11)
2	20	Back to Work Grant	5	(15)
3	0	Building Modernisation Grant	0	0
4	41	Business Support Manager	42	1
5	14	Children's Emotional Wellbeing	0	(14)
6	144	Children's Learning and Activities Project	119	(25)
7	53	Communities Project	42	(11)
8	83	Community Development Work	50	(33)
9	134	Community Housing Plan Delivery Costs	130	(4)
10	60	Community Learning Centre - Lynnhill	7	(53)
11	5	Community Learning Centre - Stranton	5	0
12	4	Community Transport	2	(2)
13	69	Crime Prevention	20	(49)
14	25	Educational Achievement Project Phase	25	0
15	14	Enterprise Support Scheme	14	0
16	8	Ethnic Minorities Project	22	14
17	94	Evaluation Project	66	(28)
18	10	Family Support	10	0
19	19	Football Development Officer	19	0
20	44	Hartlepool NDC Trust	86	42
21	5	Home Improvement Project	56	51
22	48	Key Stage 2&3 Transition	48	0
23	7	Longhill - ILM Scheme	7	0
24	39	Longhill - Site Manager	40	1
25	25	Low Level Support	25	0
26	1	Lynnhill Play Area	0	(1)
27	403	Management & Administrative	382	(21)
28	264	Neighbourhood Management Phase	228	(36)
29	84	Opening Doors - Phase I	84	0
30	1	Osbourne Road Hall	1	0
31	140	People's Access to Health	140	0
32	23	People's Centre	24	1
33	13	Police Community Support Office	12	(1)
34	90	Raising Aspirations	43	(47)
35	2	Resident Association Support	1	(1)
36	2	Resident Steering Group Laptop	2	0
37	52	Selective Licensing in the Private Rented Sector	52	0
38	24	Sustaining Attainment	24	0
39	13	Sustaining Consultancy Fees	8	(5)
40	12	Victim Support	12	0
41	0	Youth Enterprise Scheme	12	12
42	10	Young Persons Emotional Wellbeing	10	0
43	71	Youth Project	71	0
44	2,211		1,976	(235)

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

TABLE 1 - RESOURCES

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget £'000	2009/2010 Actual as at 31/03/10 £'000	Expenditure Rephased into 2010/11 £'000	C+D 2009/2010 Total Expenditure £'000	E-B 2009/2010 Variance from budget £'000	Type of financing
7113	Bryan Hanson House Carpet Renewal	43	43	0	43	0	RCCO
7867	City Challenge - Burbank/Murray Street	86	0	86	86	0	GRANT
7091	City Challenge Clawback	229	0	229	229	0	GRANT
7031	Civic Centre - Replace Sprinkler System	82	79	3	82	0	UCPB
7117	Civic Centre Access Control System	72	0	72	72	0	UCPB
7116	Civic Centre Disabled Toilets	78	78	0	78	0	UCPB
7115	Civic Centre Ramp	29	0	29	29	0	UCPB
7200	Civic Centre Refurbishment	815	465	350	815	0	UCPB
7037	Civic Centre Toilets	137	137	0	137	0	UCPB
7257	Corporate Planned Maintenance DDA Works	88	17	71	88	0	UCPB
7041	Corporate Planned Maintenance Unallocated	42	0	42	42	0	UCPB
7119	Demolition of Throston Grange Old Peoples Home	83	83	0	83	0	CAP REC
7718	Eldon Grove Leisure Centre Demolition	14	14	0	14	0	UCPB
7048	Health and Safety Money Unallocated	125	0	125	125	0	UCPB
8050	ICLIPSE Implementator	99	99	0	99	0	VARIOUS
8105	Installation of Staff Welfare Facilities	20	2	18	20	0	VARIOUS
7623	IT Projects	72	15	57	72	0	UCPB
7468	IT Strategy	500	0	500	500	0	UCPB
7988	Lynn St Garage - Install Overhead Heaters	51	45	6	51	0	UCPB
7631	Members ICT/Remote Access	20	20	0	20	0	UCPB
7264	Mobile Benefits	0	0	0	0	0	CAP REC
7989	Municipal Buildings - Access System	9	0	9	9	0	UCPB
7781	Municipal Buildings Renew Boiler & Heating System	85	0	85	85	0	UCPB
8013	Municipal Buildings Replace Fire Alarm System	0	0	0	0	0	UCPB
7982	Osbourne Road Property Demolition	1	1	0	1	0	CAP REC
7036	SCRAPT Unallocated	166	0	166	166	0	UCPB
7112	Redheugh Footpath Renewal	78	78	0	78	0	RCCO
7114	Rossmere Youth Centre Roof Replacement	63	0	63	63	0	RCCO
7026	Sir William Gray House - Fire Alarm	50	0	50	50	0	UCPB
8049	St Hilda's Church Clock - Work to North and West Dials	24	24	0	24	0	UCPB
7111	Stranton Crematorium Roof Replacement Phase 3	34	27	7	34	0	RCCO
7467	War Memorials Refurbishment	10	10	0	10	0	UCPB
8132	Removal of Building Management System Equipment	10	0	10	10	0	CAP REC
8133	Removal of Leadbitter Telephone System	2	0	2	2	0	CAP REC
8134	Create Interview Rooms - Leadbitter Buildings	15	0	15	15	0	CAP REC
8135	Adjustments at Church Street Offices - Ramp Access	40	0	40	40	0	CAP REC
8136	Removal of Offices - Hanson House	15	0	15	15	0	CAP REC
8137	Relocation of Print Room to CivicCentre	10	0	10	10	0	CAP REC
8141	Installation of Electrical Outlets at Hanson House	20	0	20	20	0	UCPB
8142	Installation & Replacement of School Kitchen Equipment	215	0	215	215	0	UCPB
7466	Vehicle Purchases	1,222	1,222	0	1,222	0	UDPB
8085	Lynn Street Depot - Electrical Installation	55	0	55	55	0	UCPB
8102	Lynn Street Depot - Re-roof Garage	40	0	40	40	0	UCPB
		4,849	2,459	2,390	4,849	0	

TABLE 2 - NEW DEAL FOR COMMUNITIES

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget £'000	2009/2010 Actual as at 31/03/10 £'000	Expenditure Rephased into 2010/11 £'000	C+D 2009/2010 Total Expenditure £'000	E-B 2009/2010 Variance from budget £'000	Type of financing
7059/7060/7971	Longhill Business Security and Environmental Improvements	2	2	0	2	0	NDC
7062	Building Modernisation Grants	51	51	0	51	0	NDC
7061	Business Security Fund	2	2	0	2	0	NDC
7063	CIA Environmental Improvements	0	0	0	0	0	NDC
7038	Opening Doors Phase III	176	176	0	176	0	NDC
7050	Osbourne Road Hall	1	1	0	1	0	NDC
7051	Voluntary Sector Premises Pool	20	20	0	20	0	NDC
8003	Sustaining Centres	45	45	0	45	0	NDC
7086	Lynnfield Play Area	18	18	0	18	0	NDC
8004	Strategic Land Purchase	6	6	0	6	0	NDC
7065/7070/8014	Neighbourhood Management	52	52	0	52	0	NDC
7079	Home Improvement Project	554	554	0	554	0	MIX
8048	NDC Trust - Property Purchase	110	110	0	110	0	NDC
		1,037	1,037	0	1,037	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE @ Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPE Supported Prudential Borrowing

CABINET REPORT

6th September, 2010



Report of: Chief Finance Officer

Subject: QUARTER 1 – CAPITAL AND ACCOUNTABLE
BODY PROGRAMME MONITORING REPORT
2010/2011

SUMMARY

1. PURPOSE OF REPORT

- 1.1 To provide details of progress against the Council's overall Capital budget for 2010/2011 and the spending programmes where the Council acts as the Accountable Body for the period to 30th June, 2010.
- 1.2 The report considers the following areas: -
- Capital Monitoring
 - Accountable Body Programme Monitoring

2. SUMMARY OF CONTENTS

- 2.1 The report provides detailed monitoring information for each Portfolio up to 30th June, 2010.

3. RELEVANCE TO CABINET

- 3.1 Cabinet has overall responsibility for the monitoring of the Council's budgets.

4. TYPE OF DECISION

- 4.1 None.

5. DECISION MAKING ROUTE

- 5.1 Cabinet 6th September, 2010.

6. DECISION(S) REQUIRED

- 6.1 Cabinet is asked to note the report.

Report of: Chief Finance Officer

Subject: QUARTER 1 – CAPITAL AND ACCOUNTABLE
BODY PROGRAMME MONITORING REPORT
2010/2011

1. PURPOSE OF REPORT

- 1.1 To inform Cabinet of progress against the Council's own 2010/2011 Capital budget and the spending programmes where the Council acts as the Accountable Body for the period to 30th June, 2010.
- 1.2 This report considers the following areas: -
- Capital Monitoring;
 - Accountable Body Programme Monitoring.

2. BACKGROUND

- 2.1 In line with previous monitoring reports, this document is an integrated comprehensive document that is page numbered, thus allowing Members easier navigation around the report. (See contents table below). The report firstly provides a summary, followed by a section for each Portfolio where more detailed information is provided.

Section	Heading	Page
3.	Capital Monitoring 2010/2011	3
4.	Accountable Body Programme	4
5.	Adult & Public Health Services Portfolio	4
6.	Children's Services Portfolio	4
7.	Community Safety & Housing Portfolio	5
8.	Culture, Leisure & Tourism Portfolio	5
9.	Regeneration & Economic Development Portfolio	6
10.	Transport and Neighbourhood Portfolio	6
11.	Finance & Procurement Portfolio	6
12.	Performance Portfolio	7
13.	Recommendations	7
Appendix A	Capital Monitoring Summary	8
Appendix B	Accountable Body Monitoring Summary	9
Appendices C-J	Detailed Spend by Portfolio	10-18
Appendix K	Accountable Body Revenue Monitoring	19

- 2.2 This report will be submitted to Scrutiny Co-ordinating Committee for review at the earliest opportunity.

3. CAPITAL MONITORING 2010/2011

- 3.1 Expenditure for all Portfolios is summarised at **Appendix A**. Actual expenditure to 30th June, 2010, totals £6,588,000, compared to the approved budget of £48,243,000, leaving £41,485,000 remaining expenditure expected to be spent in 2010/2011
- 3.2 At this time £171,000 will be rephased into 2010/2011. Expenditure to be rephased to 2010/2011 by portfolio is as follows:

Portfolio	£'000
Transport & Neighbourhood (see section 10.1)	171
Total	171

- 3.4 **Appendix A** is supported by individual detailed statements by Portfolio, as set out below.

Appendix C - Adult & Public Health Services
 Appendix D - Children's Service
 Appendix E - Community Safety & Housing
 Appendix F - Culture, Leisure & Tourism
 Appendix G - Regeneration & Economic Development
 Appendix H - Transport and Neighbourhood
 Appendix I - Finance & Procurement
 Appendix J - Performance

- 3.4 The format of the appendices shows details of anticipated and actual capital expenditure as at 30th June, 2010 and shows:

Column A - Scheme Title
 Column B - Budget for Year
 Column C - Actual expenditure to 30th June, 2010
 Column D - Expected remaining expenditure to be incurred in the period January to March, 2010
 Column E - Expenditure Rephased into 2011/2012
 Column F - 2010/2011 Total Expenditure
 Column G - Variance from Budget
 Column H - Type of financing

- 3.5 Detailed analysis of all schemes on each appendix is on deposit in the Members' Library.

4. ACCOUNTABLE BODY PROGRAMME

- 4.1 The Council acts as Accountable Body for the Hartlepool New Deal for Communities (NDC). As part of its role as Accountable Body the Council needs to be satisfied that expenditure is properly incurred and is progressing as planned.

New Deal for Communities (NDC)

The programme is currently forecasting to fully spend the current years NDC allocation of £1,188,000. There is also another £1,041,000 expenditure forecast which is funded through other grants, giving a total budget of £2,229,000 for the current financial year.

Appendix B shows the latest budget allocations against this target and expenditure as at 30th June, 2010.

- 4.2 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end

5. ADULT AND PUBLIC HEALTH SERVICE PORTFOLIO

5.1 Capital Monitoring for Period Ending 30th June, 2010

- 5.1.1 **Appendix C** provides a summary of the Adult and Public Health Services Capital Programme.

- 5.1.2 Actual expenditure to date amounts to £84,000, compared to the approved budget of £1,785,000 with £1,701,000 to be spent before the end of this financial year.

- 5.1.3 There are no items to bring to the Portfolio Holders attention.

6. CHILDREN'S SERVICES PORTFOLIO

6.1 Capital Monitoring for Period Ending 30th June, 2010

- 6.1.1 **Appendix D** provides a summary of the Children's Service's Capital Programme, which includes schemes funded from specific capital allocations and schemes from the revenue budget which are managed as capital projects owing to the nature of the expenditure and the accounting regulations.

- 6.1.2 Actual expenditure to date amounts to £1,885,000, compared to the approved budget of £20,176,000, with £18,291,000 of expenditure remaining.

- 6.1.3 Cabinet has previously approved a strategy for managing the reduction of a range of capital grants including:

- Youth Capital Grant has been reduced by £32,500. This is currently unallocated so this reduction does not impact on existing commitments. The budget has been reduced accordingly.
- Harnessing Technology Grant has been reduced by £208,000. Allocations to schools and the centrally retained fund have been reduced accordingly.
- Extended Schools Capital Grant has been reduced by £52,000. Extended Schools Grant is used to fund the Schools Capital Programme. This reduction can be met by unallocated Schools Capital Funding and does not impact on individual schemes. The budget has been reduced accordingly.
- Sure Start Capital funding has been reduced by £56,000. This amount is uncommitted and will not impact on existing schemes. The budget has been reduced accordingly.

6.1.4 The government is currently reviewing the Playbuilder Grant with the intention of reducing the allocation. The extent of the reduction has not yet been confirmed and action has been taken to ensure the Council has no unfunded costs.

6.1.5 There are no further items to bring to the Portfolio Holder's attention.

7. COMMUNITY SAFETY AND HOUSING PORTFOLIO

7.1 Capital Monitoring for Period Ending 30th June, 2010

7.1.1 **Appendix E** provides a summary of the Community Safety and Housing's Capital Programme.

7.1.2 Actual expenditure to date amounts to £779,000 compared to the approved budget of £5,296,000, with £4,517,000 remaining.

7.1.3 Members should note that there has been a reduction to the Housing Market Renewal grant which has been estimated at £403,000. Final confirmation of this amount is yet to be received. Officers are working on how this funding reduction can be accommodated within the existing programme.

7.1.4 There are no further items to bring to the Portfolio Holders attention.

8. CULTURE, LEISURE AND TOURISM PORTFOLIO

8.1 Capital Monitoring for Period Ending 30th June, 2010

8.1.1 **Appendix F** provides a summary of the Culture, Leisure and Tourism's Capital Programme.

8.1.2 Actual expenditure to date amounts to £206,000 compared to the approved budget of £1,543,000 with £1,337,000 remaining.

- 8.1.3 There are no further items to bring to the Portfolio Holders attention.

9. REGENERATION AND ECONOMIC DEVELOPMENT PORTFOLIO

9.1 Capital Monitoring for Period Ending 30th June, 2010

- 9.1.1 **Appendix G** provides a summary of the Regeneration and Economic Development's Capital Programme.

- 9.1.2 Actual expenditure to date amounts to £52,000, compared to the approved budget of £1,051,000 with £999,000 remaining. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget at outturn.

- 9.1.3 There are no further items to bring to the Portfolio Holders attention.

10. TRANSPORT AND NEIGHBOURHOOD PORTFOLIO

10.1 Capital Monitoring for Period Ending 30th June, 2010

- 10.1.1 **Appendix H** provides a summary of the Transport and Neighbourhood's Capital Programme.

- 10.1.2 Actual expenditure to date amounts to £2,535,000 compared to the approved budget of £12,439,000 with £9,734,000 remaining. An amount of £171,000 relating to future monitoring of the Anhydrite Mine has been rephased to future years. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget at outturn.

- 10.1.3 The Local Transport Plan (LTP) Programme has been reduced by £249,000 as a result of reduced government grant settlement.

- 10.1.4 There are no further items to bring to the Portfolio Holders attention.

11. FINANCE AND PROCUREMENT PORTFOLIO

11.1 Accountable Body Revenue Monitoring for Period Ending 30th June, 2010

- 11.1.1 The Council acts as Accountable Body for New Deal for Communities. Details of progress against the approved revenue budgets are summarised at **Appendix K**.

- 11.1.2 Actual expenditure to 30th June, 2010 amounts to £213,000, resulting in a current favourable variance of £107,000. However, as this is the final year of New Deal for Communities all the available funding will be utilised.

11.1.3 There are no items to be brought to Portfolio Holders attention.

11.2 Capital Monitoring for Period Ending 30th June, 2010

11.2.1 **Appendix I, Table 1 Resources** – Actual expenditure to date amounts to £1,005,000 compared to the approved budget of £5,294,000, leaving £4,289,000 expenditure remaining. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget outturn.

The Vehicle Procurement budget was determined with reference to the replacement of existing vehicles reaching the end of their lease or useful economic life. The Chief Finance Officer and Director of Regeneration and Neighbourhoods are seeking to review the proposal for each new each vehicle to ensure there is a robust business case and will be seeking to achieve savings from this budget

11.2.2 **Appendix I, Table 2 New Deal for Communities** – Actual expenditure to date is £240,000 against an approved budget of £729,000, leaving £489,000 of expenditure remaining. This is not unusual for this time of year.

11.2.3 There are no items to bring to Portfolio Holders attention and expenditure is expected to be on target at year-end.

12. PERFORMANCE PORTFOLIO

12.1 Capital Monitoring for Period Ending 30th June, 2010

12.1.1 **Appendix J** provides a summary of the Performance Capital Programme.

12.1.2 Actual expenditure to date amounts to £42,000, compared to the approved budget of £659,000, with £617,000 remaining. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget at outturn.

10.1.3 There are no further items to bring to the Portfolio Holders attention.

13. RECOMMENDATIONS

13.1 It is recommended that Cabinet notes the contents of the report.

7.2 Appendix A

CAPITAL MONITORING REPORT TO 30TH JUNE 2010

Line No	Portfolio	2010/2011 Budget	2010/2011 Actual to 30/06/2010	2010/2011 Expenditure Remaining	2010/2011 Expenditure Rephased to 2011/2012	2010/2011 Expenditure	2010/2011 Variance from budget Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F	Col. G (G=D+E+F)	Col. H (H=G-C)
		£'000	£'000	£'000	£'000	£'000	£'000
1	Adult & Public Health Services	1,785	84	1,701	0	1,785	0
2	Children's Services	20,176	1,885	18,291	0	20,176	0
3	Community Safety & Housing	5,296	779	4,517	0	5,296	0
4	Culture, Leisure & Tourism	1,543	206	1,337	0	1,543	0
5	Finance & Procurement	5,294	1,005	4,289	0	5,294	0
6	Performance	659	42	617	0	659	0
7	Regeneration & Economic Development	1,051	52	999	0	1,051	0
8	Transport & Neighbourhood	12,439	2,535	9,734	171	12,439	0
9	Total Capital Expenditure	48,243	6,588	41,485	171	48,243	0

7.2 Appendix B

ACCOUNTABLE BODY PROGRAMMES - REPORT TO 30TH JUNE 2010

Line No	2010/11 Latest Budget	Accountable Body Programme	Actual Position 30/06/10			Projected Outturn Variance
			2010/11 Expected Expenditure/ (Income) Col. D	2010/11 Actual Expenditure/ (Income) Col. E	Variance: Adverse/ (Favourable) Col. F = (F=E-D) £'000	
Col. A	Col. B	Col. C	£'000	£'000	£'000	£'000
		TABLE 1 - New Deal for Communities				
1	1,500	Revenue Projects	320	213	(107)	0
2	729	Capital Projects	240	240	0	0
3	2,229	Total NDC	560	453	(107)	0

PORTFOLIO : ADULT & PUBLIC HEALTH SERVICE

+

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 201

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						H Type of financing
		B 2010/201 Budget £'000	C 2010/201 Actual as at 30/6/1 £'000	D 2010/201 Expenditure Remaining £'000	E Expenditure Rephased into 2011/12 £'000	F C+D+E 2010/201 Total Expenditure £'000	G F-B 2010/201 Variance from budget £'000	
7441	Adult Education - Neighbourhood Learning in Deprived Communities Fund	4	0	4	0	4	0	GRANT
7531	Adult Education - Office Accommodation	14	3	11	0	14	0	GRANT
7622	Adult Education- Capital Equipment Replacement	37	0	37	0	37	0	GRANT
7983	Blakelock Day Centre Demolition	85	3	82	0	85	0	caprec
7234	Chronically Sick & Disabled Adaptation	126	10	116	0	126	0	Mix
8115	Havelock Day Centre - Window Replacement	65	54	11	0	65	0	UCPB
7481	Improving Information Management (IIM) - IT Infrastructure	45	5	40	0	45	0	Grant
7351	Improving Information Management (IIM) - Systems	370	3	367	0	370	0	MIX
7578	Lynn Street ATC Demolition	11	0	11	0	11	0	RCCO
7389	Mental Health Project	490	0	490	0	490	0	SCE(R)
7723	Resettlement/ Campus Works - Capital Grants	430	0	430	0	430	0	GRANT
8217	Waverley Terrace Community Allotments - Composting Toilet	10	0	10	0	10	0	RCCO
7229	Stranton Cemetery Flooding Works	13	0	13	0	13	0	UDPB
8091	North Cemetery - Improvements to Entrances	26	6	19	0	26	0	UCPB
8100	North Cemetery - Structural Refurbishment to Walls	60	0	60	0	60	0	UDPB
		1,785	84	1,701	0	1,785	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funding
CAP REC Capital Receipts
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A	EXPENDITURE IN CURRENT YEAR							Type of financing
		B	C	D	E	F	G		
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	2010/2011 Total Expenditure £'000	2010/2011 Variance from budget £'000		
7122	A2L Centre - Installation of Porch/Canopy	5	0	5	0	5	0	Grant	
7121	A2L Centre - Paving and Lighting Replacement	8	0	8	0	8	0	Grant	
8075	Aiming High for Disabled Children	143	5	138	0	143	0	Grant	
8175	Barnard Grove - Heating Connect Annexe to KS2	30	0	30	0	30	0	Grant	
8174	Barnard Grove - KS1 Fire Alarm Installation	10	0	10	0	10	0	Grant	
8176	Barnard Grove - Replace Bungalow Floor	15	0	15	0	15	0	Grant	
8177	Barnard Grove - Replace KS2 Roof	72	0	72	0	72	0	MIX	
7109	Brierton - Alterations re Dyke House Decant	560	245	315	0	560	0	MIX	
8117	Brierton Site - Transport Interchange	190	0	190	0	190	0	Grant	
8103	Brinkburn Pool - Access and Hoist	65	0	65	0	65	0	Mix	
8070	Brinkburn Pool - Motorised Pool Cover	1	0	1	0	1	0	SCE(R)	
7344	Brinkburn Pool - Reinstatement of Pool after Fire	1	0	1	0	1	0	Grant	
8178	Brougham - Replace Boiler	110	0	110	0	110	0	Grant	
8139	BSF- Dyke House	2,500	0	2,500	0	2,500	0	RCCO	
8138	BSF- ICT	1,500	0	1,500	0	1,500	0	Grant	
8001	Capital Grants to External Nurseries (Early Years)	190	190	0	0	190	0	Mix	
7032	Carlton Outdoor Centre - Purchase of Minibus	2	0	2	0	2	0	Grant	
7863	Carlton Outdoor Centre - Redevelopment Phase 2 (Works to be	90	1	89	0	90	0	Grant	
8179	Catcote - Replace Boiler	65	0	65	0	65	0	Grant	
7979	Children's Centres - Maintenance	16	6	10	0	16	0	Grant	
7586	City Learning Centre Equipment Purchase	215	106	109	0	215	0	Grant	
7664	Clavering - Create New Foundation Stage Unit	2	0	2	0	2	0	Grant	
8181	Clavering - Replace Boiler House Roof	25	0	25	0	25	0	Mix	
8180	Clavering - Replace Bungalow Heating	5	0	5	0	5	0	Grant	
7491	Clavering - Replace Roof Phase 4 (06/07)	0	0	0	0	0	0	Grant	
7858	Computers for Pupils	91	57	34	0	91	0	Grant	
7384	Devolved Capital - Various Misc Individual School Projects	944	270	674	0	944	0	Grant	
7575	Dyke House ICT Equipment Purchase	73	73	0	0	73	0	Mix	
8097	Early Years (GSSG) Unallocated	6	0	6	0	6	0	Mix	
8089	Education Development Centre - Roof Replacement with enhanced roofing s	23	4	19	0	23	0	Mix	
8055	Education Development Centre - Window Replacement	7	1	6	0	7	0	Grant	
8056	Eldon Grove - Creation of Additional Teaching Space	500	0	500	0	500	0	Grant	
8182	Eldon Grove - Replace Boiler and distribution system	95	0	95	0	95	0	Grant	
8065	Emergency Response - Contingency	20	0	20	0	20	0	Mix	
8092	Fens - Outdoor Educational Area for Foundation Unit	14	0	14	0	14	0	Grant	
9004	Funding (Modernisation, Access, RCCO) Currently Unallocated	307	0	307	0	307	0	Grant	
8093	Golden Flatts - Establish Nurture Area	6	0	6	0	6	0	Grant	
7922	Golden Flatts - Heating distribution system	60	0	60	0	60	0	Mix	
8082	Golden Flatts - Resource Learning Centre	15	1	14	0	15	0	Mix	
8183	Grange - Annexe Fire Alarm Installation	5	0	5	0	5	0	Grant	
8202	Grange -Replace Classroom Annexe	400	0	400	0	400	0	Grant	
7027	Harnessing Technology Grant	372	37	335	0	372	0	Mix	
8059	Hart - Create Multi-purpose Studio	119	1	118	0	119	0	Mix	
8184	Hart - Replace Fence	9	0	9	0	9	0	Grant	
8068	Hart - Replace Fire Alarm System	20	9	11	0	20	0	Mix	
7500	High Tunstall - Refurbish Classrooms / Equipment Purchase	28	0	28	0	28	0	Grant	
8118	Holy Trinity - Outdoor Area	25	25	0	0	25	0	RCCO	
8072	Integrated Children's System Case Management Improvement	45	0	45	0	45	0	Mix	
7533	Jesmond Rd - Relocate Nursery to form Foundation Unit, installation of ramps & internal works	6	0	6	0	6	0	Mix	
7088	Jesmond Road - New Build Primary Capital Plus	3,197	109	3,088	0	3,197	0	Grant	
7469	Kingsley - Extension to School for Children's Centre	14	1	13	0	14	0	Grant	
8186	Kingsley - Replace 1st floor windows	16	0	16	0	16	0	Mix	
8185	Kingsley - Replace Kitchen	46	0	46	0	46	0	Mix	
8120	Lynnfield - Improve Teaching Space	120	0	120	0	120	0	Mix	
7912	Manor - Replace External Doors - Improve Security	3	0	3	0	3	0	Mix	
8203	Owton Manor - Improve Foundation Stage Outdoor area	50	0	50	0	50	0	Grant	
8187	Owton Manor - Replace 1st floor windows	75	0	75	0	75	0	Mix	
7110	Play Builder Grant	599	0	599	0	599	0	Grant	
7437	Playing for Success - Develop New Classroom at Hartlepool United	1	0	1	0	1	0	Grant	
7042	Primary Capital Programme	3,378	0	3,378	0	3,378	0	Mix	
8066	Replacement of Gas Interlocks	30	0	30	0	30	0	Grant	
8060	Rift House - Annexe 2 Heating	17	0	17	0	17	0	Grant	
8119	Rift House - Internal Reorganisation	100	0	100	0	100	0	Mix	
8204	Rossmere - Improve Foundation Stage Outdoor area	15	0	15	0	15	0	Mix	
7088	Rossmere - Primary Capital Plus Refit	1,367	46	1,321	0	1,367	0	Grant	
8188	Rossmere - Replace KS2 Toilets	30	0	30	0	30	0	Grant	
8158	Rossmere Way - New Kitchen	27	0	27	0	27	0	Mix	
7853	Rossmere Youth Centre - Boiler Replacement	55	47	8	0	55	0	Mix	
7421	School Travel Plans - Develop Cycle Storage at Schools	66	0	66	0	66	0	Mix	

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	2010/2011 Total Expenditure £'000	2010/2011 Variance from budget £'000	Type of financing
8116	Springwell - Covered Link Way	22	0	22	0	22	0	Grant
8205	Springwell - Create Enterprise area and Cyber Café	60	0	60	0	60	0	Grant
8069	Springwell - Replace Pool	11	0	11	0	11	0	Grant
8189	Springwell - Roof replacement	40	0	40	0	40	0	Grant
8206	St Helens - Primary Interior Remodel	180	0	180	0	180	0	Grant
8192	St Helens - Replace Corner Posts	25	0	25	0	25	0	Mix
7997	St Hilda - Space to Learn	862	552	310	0	862	0	Grant
7597	St John Vianney Starfish Daycare Outside Play Area	4	0	4	0	4	0	Grant
8207	Stranton - Improve Outdoor Learning Area	22	0	22	0	22	0	Mix
8190	Stranton - KS1 Replacement wiring	23	0	23	0	23	0	Mix
7888	Stranton - Purchase & Install CCTV	2	0	2	0	2	0	RCCO
8125	Stranton - Replace Floor Caretaker's Bungalow	14	8	6	0	14	0	RCCO
8191	Stranton - Replace KS1 Windows	38	0	38	0	38	0	Grant
7763	Stranton - Replace Windows (07/08)	4	0	4	0	4	0	Mix
8023	Sure Start Central - Café Ext to Community Facilities	18	18	0	0	18	0	Grant
7388	Sure Start Central - Improvement Works at Lowthian Road	2	0	2	0	2	0	Mix
8159	Sure Start Central - Outside Classroom	7	7	0	0	7	0	SCE (R)
8023	Sure Start North - Café Ext to Community Facilities	62	62	0	0	62	0	Grant
8193	Throston - Window replacement	80	2	78	0	80	0	Grant
7469	Unallocated - Children's Centre Grant	83	0	83	0	83	0	Grant
8067	Ward Jackson - Creation of Quiet Room	5	2	3	0	5	0	Grant
8194	Ward Jackson - Window replacement	25	0	25	0	25	0	Grant
8208	Ward Jackson -Create Foundation Unit	60	0	60	0	60	0	Grant
8196	West Park - Bungalow Access works	8	0	8	0	8	0	Grant
8195	West Park - Heating distribution Ph 2	28	0	28	0	28	0	UCPB
8209	West Park - Improve Reception class toilet area	10	0	10	0	10	0	UCPB
8199	West Park - Kitchen Replacement	60	0	60	0	60	0	UCPB
8198	West Park - Replace Heating Distribution System	78	0	78	0	78	0	Grant
8197	West Park - Roof Replacement	10	0	10	0	10	0	Grant
7598	West View - Improve / Refurbish Nursery & Reception	11	0	11	0	11	0	Grant
8200	West View - KS1 & KS2 Window replacement	70	0	70	0	70	0	Grant
7463	Youth Capital Fund - Spend to be determined by Young People	19	0	19	0	19	0	Grant
8218	Youth Service Portable MUGA	17	0	17	0	17	0	Grant
		20,176	1,885	18,291	0	20,176	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	2010/2011 Total Expenditure £'000	2010/2011 Variance from budget £'000	Type of financing
7015	Targeted Private Housing Improvements	18	0	18	0	18	0	CAP REC
7083	Hartlepool Business Security Fund	33	17	16	0	33	0	UCPB
7107	Growth Point Funded Housing Projects	413	6	406	0	413	0	GRANT
7218	Mandatory Disabled Facilities Grant	640	51	589	0	640	0	GRANT
7219	Minor Works Grant	70	12	58	0	70	0	GRANT
7220	Discretionary Renovations Grant	367	20	348	0	367	0	GRANT
7230	North/Central - Housing Market Renewal	3,479	674	2,805	0	3,479	0	GRANT
7231	Thermal Housing Efficiency Measures	79	0	79	0	79	0	GRANT
7368	Building Safer Communities	3	0	3	0	3	0	GRANT
7404	Housing Revenue Account (HRA) Residual Expenditure	4	0	4	0	4	0	RCCO
7431	Community Safety Strategy	151	0	151	0	151	0	UCPB
7878	Community Safety CCTV Upgrade	10	0	10	0	10	0	MIX
8155	Preventing Repossession Fund	29	0	29	0	29	0	GRANT
		5,296	779	4,517	0	5,296	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE @ Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPE Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
8021	Museum of Hartlepool Signage	2	2	0	0	2	0	UCPB
8087	Wingfield Castle Vehicle Deck Replacement	12	0	12	0	12	0	UCPB
8073	Central Library, 1st Floor Lights and Fire Alarm Adaptation	4	0	4	0	4	0	UCPB
8090	Owton Manor Branch Library - Replacement Roof	31	24	7	0	31	0	UCPB
8095	Central Library - Signage	2	0	2	0	2	0	UCPB
8211	Central Library - Boiler Replacement	70	0	70	0	70	0	UCPB
8104	Rossmere MUGA & Skatepark	464	1	463	0	464	0	Mix
7047	Mill House Leisure Centre - Changing Village	121	97	24	0	121	0	MIX
7831	Jutland Road Community Centre - Internal Alterations	1	1	0	0	1	0	MIX
7853	Owton Manor Community Centre - Replace Boiler	35	0	35	0	35	0	UCPB
8019	Mill House Leisure Centre Internal Doors	1	1	0	0	1	0	UCPB
8051	Seaton Carew Community Centre Roof Replacement	9	0	9	0	9	0	UCPB
8084	Mill House Leisure Centre Combined Heating & Power Unit	167	80	87	0	167	0	UCPB
8212	Seaton Carew Sports Hall Roof Replacement	85	0	85	0	85	0	UCPB
8213	Seaton Carew Community Centre Window Replacement	65	0	65	0	65	0	UCPB
8216	Seaton Carew Cricket Club	30	0	30	0	30	0	UCPB
n/a	Skateboard Park	70	0	70	0	70	0	RCCO
7110	Brougham Play Area - Playbuilder	49	0	49	0	49	0	GRANT
7110	Burbank Play Area	11	0	11	0	11	0	GRANT
7110	Burn Valley Gardens	4	0	4	0	4	0	GRANT
7110	Clavering Play Area (Playbuilder)	24	0	24	0	24	0	GRANT
7110	Jutland Road Play Area	23	0	23	0	23	0	GRANT
7110	King George V Play Area	53	0	53	0	53	0	GRANT
7110	Oxford Road Play Area	3	0	3	0	3	0	GRANT
7110	Rossmere Play Area (Playbuilder)	22	0	22	0	22	0	GRANT
7110	Seaton Carew Play Area, Seaton Park (Playbuilder)	13	0	13	0	13	0	GRANT
7110	Town Moor Play Area (Playbuilder)	1	0	1	0	1	0	GRANT
7375	Countryside Development Works	14	0	14	0	14	0	MIX
7382	Greatham Play Area Equipment	9	0	9	0	9	0	MIX
7414	Jutland Road Play Area Upgrade	54	0	54	0	54	0	MIX
7990	Ward Jackson Park Bandstand Shutters	4	0	4	0	4	0	MIX
7992	Grayfields Sports Junior Pitches	76	0	76	0	76	0	MIX
8011	Summerhill CCTV	14	0	14	0	14	0	MIX
		1,543	206	1,337	0	1,543	0	

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE @ Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPE Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
7120	Hartlepool Active Response Team Vehicles	9	0	9	0	9	0	MIX
7417	Friarage Field - Building Demolition	5	0	5	0	5	0	RCCO
7866	Friarage Manor House	18	0	18	0	18	0	CAP REC
7895	Industrial & Commercial Business Grants	96	9	87	0	96	0	UCPB
7896	Brougham Enterprise Centre Toilet & Shower Facilities	20	0	20	0	20	0	UCPB
7897	Regeneration Match Funding	358	0	358	0	358	0	UCPB
8076	Wharton Terrace Improvements	16	0	16	0	16	0	MIX
8099	Brougham Enterprise Centre - New Enhanced Windows	89	0	89	0	89	0	UCPB
8107	Acquisition of Crown House	98	2	96	0	98	0	UCPB
8110	King Oswy Shops - Improvements	8	6	2	0	8	0	UCPB
8112	Lower Owton Manor Shops - Improvements	5	0	5	0	5	0	UCPB
8113	Catcote Shops - Improvements	44	35	9	0	44	0	UCPB
8153	Seaside Grant Funding	200	0	200	0	200	0	GRANT
8161	Newburn Bridge - Roofing and Replacement of Doors	85	0	85	0	85	0	UCPB
		1,051	52	999	0	1,051	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPE Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
7084	Principal Roads Camera Partnership	14	0	14	0	14	0	GRANT
7206	Community Safety Social Lighting Programme	7	0	7	0	7	0	UCPB
7207	Car Parking Security/CCTV	238	2	237	0	239	0	SPE
7222	Minor Works - North Area	86	0	86	0	86	0	MIX
7223	Minor Works - South Area	114	0	114	0	114	0	MIX
7224	Minor Works - Central Area	50	0	50	0	50	0	MIX
7235	Low Floor Infrastructure	33	1	32	0	33	0	SPE
7236	Bus Shelter Improvements	20	0	20	0	20	0	SPE
7237	Cycle Routes (General)	26	15	10	0	25	0	MIX
7240	Hartlepool Transport Interchange	812	434	378	0	812	0	SPE
7241	Pedestrian Dropped Crossing	34	0	34	0	34	0	SPE
7242	Other Street Lighting Improvements	80	0	80	0	80	0	MIX
7244	Travel Plans	20	0	20	0	20	0	SPE
7250	Travel Awareness	19	0	19	0	19	0	GRANT
7252	Safer Streets Initiative	23	0	23	0	23	0	GRANT
7272	Wheely Bin Purchase	45	13	32	0	45	0	UDPB
7424	Pride in Hartlepool	0	0	0	0	0	0	UCPB
7465	Recycling Scheme	0	0	0	0	0	0	UDPB
7499	Contaminated Land - Lithgo Close	68	1	68	0	69	0	MIX
7508	Anhydrite Mine - Derelict Land	171	0	0	171	171	0	UCPB
7541	Safer Routes to Schools	108	0	108	0	108	0	GRANT
7546	Road Safety Education & Training	36	0	36	0	36	0	GRANT
7549	Other Bridge Schemes	110	0	110	0	110	0	SPE
7580	Highways Remedial Works - Marina	4	0	4	0	4	0	TDC
7581	Tees Valley Boundary Signs	3	0	3	0	3	0	GRANT
7644	School Travel Plans	16	0	16	0	16	0	SPE
7645	Local Transport Plan (LTP) General	110	0	110	0	110	0	MIX
7706	Waterproofing Ph2 Multi Storey Car Park	10	0	10	0	10	0	UCPB
7707	HM Other Schemes (non-LTP)	40	0	40	0	40	0	UCPB
7734	Hart Lane/Wiltshire Way Junction Improvements 0708	401	1	400	0	401	0	SPE
7821	Waste Performance Efficiency - Amenity Site	97	18	79	0	97	0	MIX
7835	Primary Health Care Centre Park	18	0	18	0	18	0	CAP REC
7847	Coast Protection - Headland Fencing & Promenade	2	0	2	0	2	0	CAP REC
7852	Highways Improvements - TESCO S106 Expend	0	0	0	0	(0)	0	GRANT
7891	Strategy Study - Seaton Carew	92	13	79	0	92	0	GRANT
7892	Strategy Study - Town Wall	65	24	41	0	65	0	GRANT
7899	Coast Protection 0809	1	0	1	0	1	0	UCPB
7906	Bryan Hanson House On Street Parking	18	0	18	0	18	0	UDPB
7959	Other Walking Schemes	18	2	16	0	18	0	SPE
7961	School 20mph Zones	18	0	17	0	17	0	SPE
7965	Catcote Turning Circle Reconstruction	4	0	4	0	4	0	MIX
7972	Other Traffic Management Schemes	159	8	151	0	159	0	SPE
7973	Other Safety Schemes	29	21	8	0	29	0	GRANT
7999	Marina Way Landscaping	34	9	25	0	34	0	RCCO
8006	Access Road to Briarfields	20	0	20	0	20	0	CAP REC
8015	Tesco New Entrance/Junction/Lights	39	0	39	0	39	0	GRANT
8027	Carriageway Reconstruction John Howe Gardens/Holdforth Road	8	0	8	0	8	0	GRANT
8028	Carriageway Reconstruction Wooler Road Roundabout No 49	24	0	24	0	24	0	GRANT
8033	Resurface Church Square Paved Carriageway	35	0	35	0	35	0	GRANT
8034	Resurface Outside Civic Centre	16	0	16	0	16	0	GRANT
8037	Resurface Catcote Road/Oxford Road/Marlows Road	60	0	60	0	60	0	GRANT
8044	Footway Recon - York Road/Victoria Road/Park Road	31	2	30	0	32	0	GRANT
8045	Footway Recon - Everett Street No 75 to 79	1	1	1	0	2	0	GRANT
8046	LTP3 Development	38	8	30	0	38	0	GRANT
8077	Footpath Resurfacing - Cemetery Road	6	6	0	0	6	0	RCCO
8079	Household Waste Recycling Centre	18	0	18	0	18	0	UDPB
8081	Non Adopted Highway Areas	26	0	26	0	26	0	UCPB
8114	Hartlepool College of FE - Redevelopment	130	130	0	0	130	0	UDPB
8123	Review Strategy Study - North Sands to Newburn Bridge	2	0	2	0	2	0	SPE
8126	Stockton Street Wall	8	0	8	0	8	0	SPE
8127	Charles Street Community Housing	3,869	840	3,029	0	3,869	0	UDPB
8128	Community Housing - Seaton Lane	2,431	900	1,531	0	2,431	0	UDPB
8130	Community Housing - Kipling Road	1,895	79	1,817	0	1,896	0	UDPB
8131	Small Retailers - Partnership Grant	6	6	0	0	6	0	GRANT
8151	Resurfacing Works - Bourmemouth Drive	1	1	0	0	1	0	SPE
Various	Carriageway Resurfacing	521	0	521	0	521	0	SPE
		12,439	2,535	9,734	171	12,439	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE @ Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPE Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010**TABLE 1 - RESOURCES**

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
7466	Vehicle Procurement	1905	8	1,897	0	1905	0	UDPB
8085	Church Street Offices - Install Electrical Distribution System	55	0	55	0	55	0	UCPB
8102	Church Street - Re-Roof Garage with Enhanced Roofing System	50	34	16	0	50	0	UCPB
8214	Building Management System - Replace Equipment	45	0	45	0	45	0	UCPB
8215	Lynn Street Depot - Work Shops - Replace Roof	50	0	50	0	50	0	UCPB
7091	City Challenge Clawback	229	0	229	0	229	0	MIX
7867	City Challenge Burbank/Murray Street	86	0	86	0	86	0	MIX
8164	Seaton Carew Sports Hall - Replace Heating System	35	0	35	0	35	0	MIX
8165	Stranton Nursery - Replace Boiler	70	0	70	0	70	0	MIX
7532	Local Public Service Agreement (LPSA) 2 Grant	949	659	290	0	949	0	MIX
8132	Relocation of Building Management System Equip to Bryan Hanson House	10	1	9	0	10	0	MIX
7036	Unallocated SCRAP Budget	486	0	486	0	486	0	MIX
8166	Maritime Experience - Replace Boilers	25	0	25	0	25	0	MIX
8167	Automatic Entry Doors - Civic Centre Disability Works	10	0	10	0	10	0	MIX
8171	Footpath Renewal - Grayfields	10	0	10	0	10	0	MIX
8172	Footpath Renewal - Burn Valley	35	0	35	0	35	0	MIX
8173	Voltage Optimisation - Civic Centre	48	47	1	0	48	0	MIX
8162	Footpath Renewals	10	0	10	0	10	0	MIX
7031	Civic Centre - Replace Sprinkler System	2	0	2	0	2	0	MIX
8163	Civic Centre Carpet Replacement - Ground Floor	22	0	22	0	22	0	MIX
7041	Corporate Planned Maintenance Unallocated	42	0	42	0	42	0	MIX
8141	Installation of Electrical Outlets - Bryan Hanson House	20	2	18	0	20	0	MIX
7115	Civic Centre Ramp	29	0	29	0	29	0	MIX
7257	Disabled Adaptations (Various Locations)	111	16	95	0	111	0	MIX
7117	Civic Centre Access Control System	72	0	72	0	72	0	MIX
7119	Demolition of Thorston Grange Old Peoples Home	2	2	0	0	2	0	MIX
7200	Civic Centre Refurbishment	350	94	256	0	350	0	MIX
7111	Stranton Crematorium Roof Replacement	8	0	8	0	8	0	MIX
7781	Renew Boiler and Heating System - Municipal Buildings	85	0	85	0	85	0	MIX
7114	Rossmere Youth Centre - Roof Replacement	63	49	14	0	63	0	MIX
7988	Lynn St Garage - Install Overhead Heaters	6	0	6	0	6	0	MIX
7989	Access System - Municipal Buildings	9	0	9	0	9	0	MIX
8134	Create Interview Rooms - Municipal Buildings	15	14	1	0	15	0	MIX
8136	Removal of Offices - Hanson House	15	4	11	0	15	0	MIX
8137	Removal of Print Room to Civic Centre	10	0	10	0	10	0	MIX
7026	Sir William Gray House - Replace Fire Alarm	50	50	0	0	50	0	MIX
8135	Ramps - Accessibility (Church Street offices)	40	23	17	0	40	0	MIX
8105	Installation of Staff Welfare Facilities (Civic Centre)	18	0	18	0	18	0	MIX
8142	School Kitchen Replacements (Various Schools)	215	0	215	0	215	0	MIX
8133	Removal of Leadbitter Telephone System	2	2	0	0	2	0	MIX
		5,294	1,005	4,289	0	5,294	0	

TABLE 2 - NEW DEAL FOR COMMUNITIES

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
7061	Business Security Fund	2	2	0	0	2	0	NDC
7063	CIA Environmental Improvements	39	0	39	0	39	0	NDC
7038	Opening Doors Phase III	99	24	75	0	99	0	NDC
7050	Osbourne Road Hall	3	0	3	0	3	0	NDC
7051	Voluntary Sector Premises Pool	5	2	3	0	5	0	NDC
7086	Lynnfield Play Area	25	0	25	0	25	0	NDC
65/7070/80	Neighbourhood Management	64	6	58	0	64	0	NDC
7079	Home Improvement Project	316	44	272	0	316	0	MIX
7054	Crime Premises	14	0	14	0	14	0	NDC
8048	NDC Trust III	162	162	0	0	162	0	NDC
		729	240	489	0	729	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPE Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
7635	Intranet Content Management System	3	3	0	0	3	0	MIX
8143	Council Tax Demand Notices	10	0	10	0	10	0	MIX
7468	IT Strategy	500	0	500	0	500	0	MIX
7623	Corporate IT Projects	57	10	47	0	57	0	MIX
7631	Members ICT/Remote Access	5	5	0	0	5	0	MIX
7837	Microsoft Outlook Migration	24	24	0	0	24	0	MIX
7048	Unallocated Health & Safety Issues	60	0	60	0	60	0	MIX
		659	42	617	0	659	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE @ Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPE Supported Prudential Borrowing

ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 30th JUNE 2010

TABLE 1 - NEW DEAL FOR COMMUNITIES

Line No	183) Budget	Description of Best Value Unit	Actual Position 30/6/10			Projected Outturn Variance
			Forecast Expenditure / (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)	
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F (F=E-D)	
	£'000		£'000	£'000	£'000	£'000
1	35	Anti Social Behaviour	9	7	(2)	0
2	20	Back to Work Grant	5	4	(1)	0
3	8	Business Support Manager	10	6	(4)	0
4	115	Children's Learning and Activities project	19	32	13	0
5	60	Communications Project	16	13	(3)	0
6	34	Community Development Work	9	6	(3)	0
7	142	Community Housing Plan Delivery Costs 2008-11	34	0	(34)	0
8	53	Community Learning Centre - Lynnfield	0	0	0	0
9	2	Community Transport	0	0	0	0
10	94	Crime Premises	15	(7)	(22)	0
11	1	Enterprise Support Scheme	0	1	1	0
12	28	Evaluation Project	7	10	3	0
13	10	Family Support	2	2	0	0
14	22	KS3 Sustaining Performance	0	0	0	0
15	15	Longhill - Site Manger	9	10	1	0
16	2	Lynnfield Play Area	0	0	0	0
17	446	Management & Administration	101	66	(35)	0
18	263	Neighbourhood Management Phase II	67	56	(11)	0
19	81	Raising Aspirations	0	0	0	0
20	2	Resident Association Support	1	0	(1)	0
21	2	Resident Steering Group (RSG) Laptops	0	0	0	0
22	45	Selective Licensing in the Private Rented Sector	11	0	(11)	0
23	5	Sustaining Consultancy Fund	1	0	(1)	0
24	14	Youth Enterprise Scheme	4	7	3	0
25	1,500		320	213	(107)	0

CABINET REPORT

6th September, 2010



Report of: Chief Finance Officer

Subject: REVENUE OUTTURN REPORT 2009/2010

SUMMARY

1. PURPOSE OF REPORT

To provide details of the Council's overall Revenue Outturn for 2009/2010.

2. SUMMARY OF CONTENTS

The Detailed Revenue Outturn report covers the following areas:-

- Overview of 2009/2010 Revenue Outturn
- Outturn against Departmental, Corporate, and High Risk Budget Areas;
- Detailed Outturns by Portfolio;
- Outturn Position on Efficiency Saving Targets Identified in the 2009/2010 Budget Strategy;
- Revenue Contributions towards Capital Expenditure
- School Balances as at 31st March, 2010
- Performance against budget pressures treated as contingencies
- Area Based Grant Outturn
- Key Balance Sheet information.

3. RELEVANCE TO CABINET

Cabinet has overall responsibility for the monitoring of the Council's Revenue Budget.

4. TYPE OF DECISION

None.

5. DECISION MAKING ROUTE

Cabinet, 6th September, 2010.

6. DECISION(S) REQUIRED

Cabinet are asked to note the report.

Report of: Chief Financial Officer

Subject: OUTTURN REPORT 2009/2010

1. PURPOSE OF REPORT

- 1.1 To provide details of the Council's 2009/2010 Revenue Outturn.

2. BACKGROUND

- 2.1 The initial 2009/2010 Outturn Strategy was approved by Council on 11th February, 2010 and the final strategy was approved by Cabinet on 24th May, 2010. The reports informed Members that the overall outturn was better than previously reported. The main changes related to the level of corporate and departmental underspends being higher than previously reported and additional one-off benefits.
- 2.2 The approved outturn strategy was reflected in the 2009/2010 Statement of Accounts which was approved by Audit Committee on 25th June, 2010.
- 2.4 This report now provides details of the final outturn position for 2009/2010. Previous monitoring reports integrated both performance information and budget monitoring information. A report on performance against Performance Indicators for 2009/2010 will be presented to Cabinet in September 2010.
- 2.5 This report covers the following headings: -

Section	Heading	Page
3	Revenue Outturn 2009/2010	2 - 8
4	Recommendations	8
Appendix A	Summary Revenue Outturn Report to 31 st March, 2010 by Department	10
Appendices B – H	Revenue Outturn Report to 31 st March, 2010, by Portfolio	11 - 29
Appendix I	Outturn against High Risk Budget Areas by Department	30
Appendix J	Outturn Position on Efficiency Savings/Increased Income Targets identified in the 2009/2010 Budget Strategy	31 - 43
Appendix K	Revenue Contributions towards Capital Expenditure	44 - 45
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- 2.6 This report will be referred to Scrutiny Co-ordinating Committee on 3rd September, 2010. This arrangement will ensure that Scrutiny Co-ordinating Committee is provided with details of the final outturn as soon as practical.

3. REVENUE OUTTURN 2009/2010

- 3.1 An initial report on the 2009/2010 outturn strategy was approved by Cabinet and Council in February, 2010. The report covered the following key issues: -

- i) the establishment of a specific reserve ring fenced for Looked after Children of £0.25m funded from the underspend on the Children's Services 2009/2010 budget. This reserve will help the Council manage in-year financial risks of higher Looked after Children costs which is a volatile area;
- ii) the establishment of a Strategic Risk Reserve of £2.36m funded from net departmental underspends, the underspend on corporate budgets and the release of monies no longer needed for grant repayment within the Supporting People Reserve. The potential risks to be funded from this reserve are estimated at £4.8m+ and relate to equal pay/equal value claims, income shortfalls, the achievement of salary turnover targets and additional Building Schools for the Future one-off costs

A further report was taken to Cabinet in May, 2010. This indicated that the position for departmental outturns was more favourable and the overall underspend was higher than anticipated. This position reflected a number of factors including higher vacancies arising from the phase implementation of the new management structure changes, lower expenditure across a range of budget areas and favourable outturns on trading activities.

This favourable outturn allowed the allocation of uncommitted one-off resources to support specific one-off expenditure commitments £0.250m for Adult Social Care, £0.200m Older People Risk Reserve, £0.06m Budget Consultation Reserve and £0.055m Core Strategy Inquiry Reserve.

When account is taken of the additional reserves identified above the total resources available for the Strategic Risk Reserve was £2.510m

- 3.2 The above reports provided a strategic overview of the 2009/2010 outturn. This report provides the details of the final outturn position for 2009/2010. This section provides details covering the following areas: -

- Overview of 2009/2010 Revenue Outturn.
- Outturn against high risk budget areas.
- Outturn position on efficiency savings/increased income targets identified in the 2009/2010 Budget Strategy.
- Revenue Contributions towards Capital Expenditure
- School Balances 2009/2010.

- Key Balance Sheet information.

3.3 2009/2010 Outturn

A summary outturn position for the General Fund is detailed at **Appendix A**, which shows £2.510m has been transferred to the Strategic Risk Reserve.

3.6 **Appendix A** is supported by individual detailed outturn statements for each portfolio; as set below:

- Appendix B - Adult and Public Health
- Appendix C - Children's Services
- Appendix D - Community Safety & Housing
- Appendix E - Culture Leisure & Tourism
- Appendix F - Finance & Performance Management
- Appendix G - Regeneration & Economic Development
- Appendix H - Transport & Neighbourhood

3.7 These detailed reports include reasons for the main variances.

3.8 In accordance with the Council's Financial Procedure Rules, transfers to Strategic Risk Reserves have been agreed with the Chief Finance Officer. Details of the contributions to reserves in 2009/2010 are also set out in **Appendix B to H** of this report.

3.9 Outturn against High Risk Budget Areas

During 2009/2010, as well as monitoring budgets by individual departments and corporate budgets at a global level, high risk budget areas were identified and explicitly monitored. The outturn for each high risk budget area is attached at **Appendix I**, which indicates that there are variances on a number of the departmental budgets. These variances have, in part, contributed to the underspend on Departmental budgets.

3.10 Outturn Position on Efficiency Savings/Increased Income Targets Identified in the 2009/2010 Budget Strategy

The table below shows the summary of savings included in the 2009/2010 Budget Strategy. This shows that savings are £151,000 less than expected. A comprehensive schedule is attached at **Appendix J** and further details regarding the overall monitoring position for each Portfolio are set out in **Appendices C to I** of this report.

Department	2009/10 Target	Savings achieved from Efficiency	Variance from 2009/10 Target
	£'000	£'000	£'000
Adult and Community Services	1,010	965	45
Chief Executives	160	103	57
Children's Services	593	629	(36)
Neighbourhood Services	521	436	85
Regeneration & Planning	176	176	0
Total	2,460	2,309	151

3.11 Revenue Contributions towards Capital Expenditure

In accordance with Financial Procedure Rules, departmental outturns reflect a number of contributions towards capital expenditure schemes as detailed in **Appendix K**. These transfers have been agreed by the Chief Finance Officer and are detailed for Members information.

3.12 School Balances as at 31st March, 2010

The level of School Balances has been regularly reported to the Schools' Forum as the level remains high both locally and nationally. It is recognised that schools may hold relatively high levels of reserves for strategic purposes linked to their development plans. Conversely they should not sit on "excessive" high levels of uncommitted balances at the detriment of providing Education services to today's pupils.

3.13 School Balances have decreased during 2009/2010 from £3.882m to £3.559m, a reduction of 8.3%. However, the Local Authority maintains that overall Hartlepool balances are too high and that corrective action is required by a number of schools.

- 17 primary schools plus the nursery have balances which exceed 8% of their current ISB.
- 2 secondary schools have balances which exceed 5% of their current ISB.

3.14 In line with best practice, the Children's Services Department has requested information from schools regarding their planned use of balances this year as discussed and agreed by the Schools' Forum. However, seven schools failed to submit their return by the agreed deadline of 30th June, 2010. Therefore, their outturn balances have been reported as being wholly uncommitted for the purpose of this report. A comprehensive analysis of School Balances is detailed in **Appendix L**. The position is summarised in the table below.

Table – Summarised School Balances 2009/2010

Purpose Held	Primary £'000	Secondary £'000	Total £'000	%of Total
Setting Budget for 2010/2011	399	612	1,011	28.4
ICT Developments	75	135	210	5.9
Premises Improvements	227	0	227	6.4
Capital Projects	266	39	305	8.6
Pupil Number Changes	93	328	421	11.8
Workforce Remodelling	145	167	312	8.8
Long Term Sickness	69	0	69	1.9
Other Specific Purposes	187	55	242	6.8
Sub Total – earmarked	1,463	1,336	2,799	78.6
General	812	7	819	23
Deficit Recovery	(58)	0	(58)	(1.6)
Total	2,217	1,343	3,559	100

3.15 **Performance against Budget Pressures treated as Contingency Items**

Members will recall that as part of the review of budget pressures for 2009/2010, it was determined that a number of pressures are not certain to arise, or the value of the pressure is not certain. These items were therefore classified as “contingency” items and a budget provision was made to underwrite these risks.

3.16 **Appendix M** provides a schedule of these items. The main variance is the £63,000 contingency relating to the ‘provision of capacity to manage the transfer of 16-19 education and training funding to the Local Authority’ which is no longer required in 2009/2010.

3.17 **Area Based Grants**

In overall terms actual expenditure amounted to £12.345m, compared to a budget of £12.697m. The variance has been used to create departmental ring-fenced grant reserves of £0.266m and a corporate ring-fenced grant reserve of £0.128m.

3.18 **Appendix N** provides a detailed outturn by department.

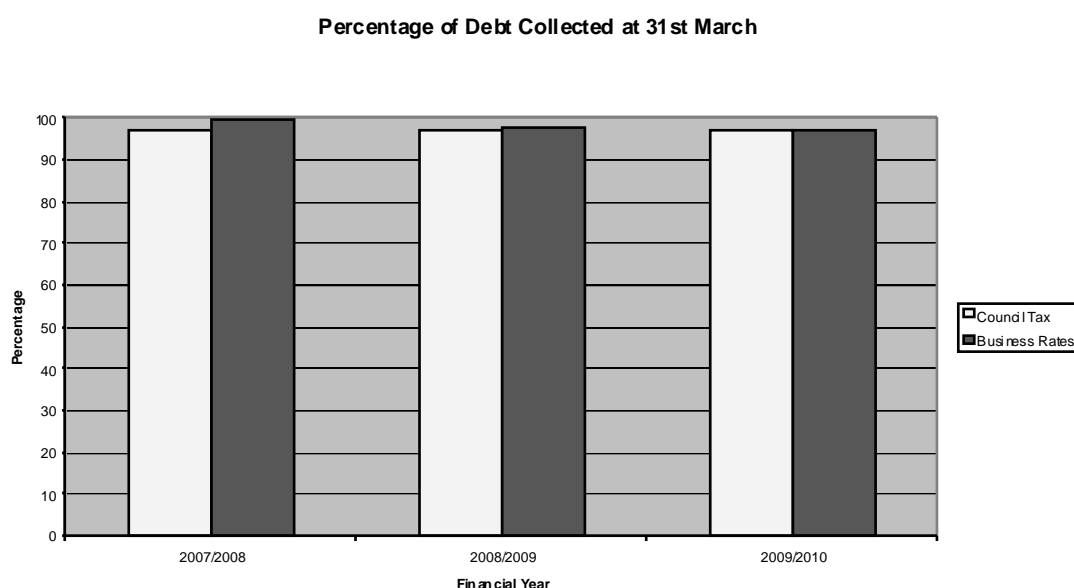
3.19 **Key Balance Sheet Information**

A Balance Sheet provides details of an organisation’s assets and liabilities at a fixed point in time, for example, the end of the financial year or other fixed accounting periods. Traditionally, local authorities have only produced a Balance Sheet on an annual basis and have managed Key Balance Sheet issues through other more appropriate methods. However, under the new CPA arrangements there is a greater emphasis on demonstrating effective management of the Balance Sheet. The Audit Commission’s preferred

option is the production of Interim Balance sheets throughout the year. In my opinion this option is neither practical nor beneficial as a Local Authority Balance Sheet includes a large number of “notional” valuations for an Authority’s fixed assets and pension liabilities. It is therefore more appropriate to monitor the key cash based Balance Sheet items and these items are summarised below: -

- Debtors

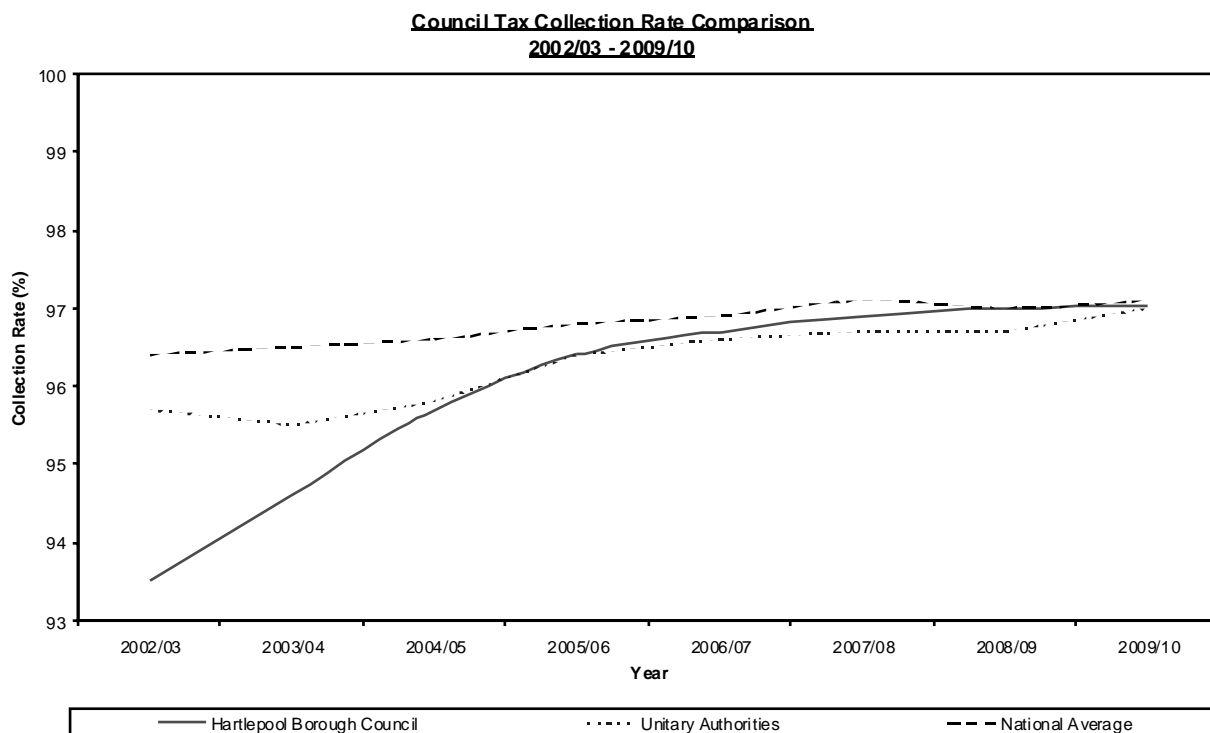
The Council's key debtors arise from the non payment of Council Tax, Business Rates and Sundry Debtors. These areas are therefore subject to detailed monitoring throughout the year. The position on Council Tax and Business Rates is summarised below:



For 2009/2010 the Council Tax in year collection rate has remained the same at 97% and the NNDR in year collection rate is down slightly by 1.1% (to 96.8%) when compared to the previous financial year. The fall in the NNDR collection rate can be explained by the National Business Rates Deferral Scheme introduced by the previous Government in response to the recession. This allowed businesses to defer paying part of their NNDR bill until the following financial year. This deferment equated to 1% of the NNDR liability.

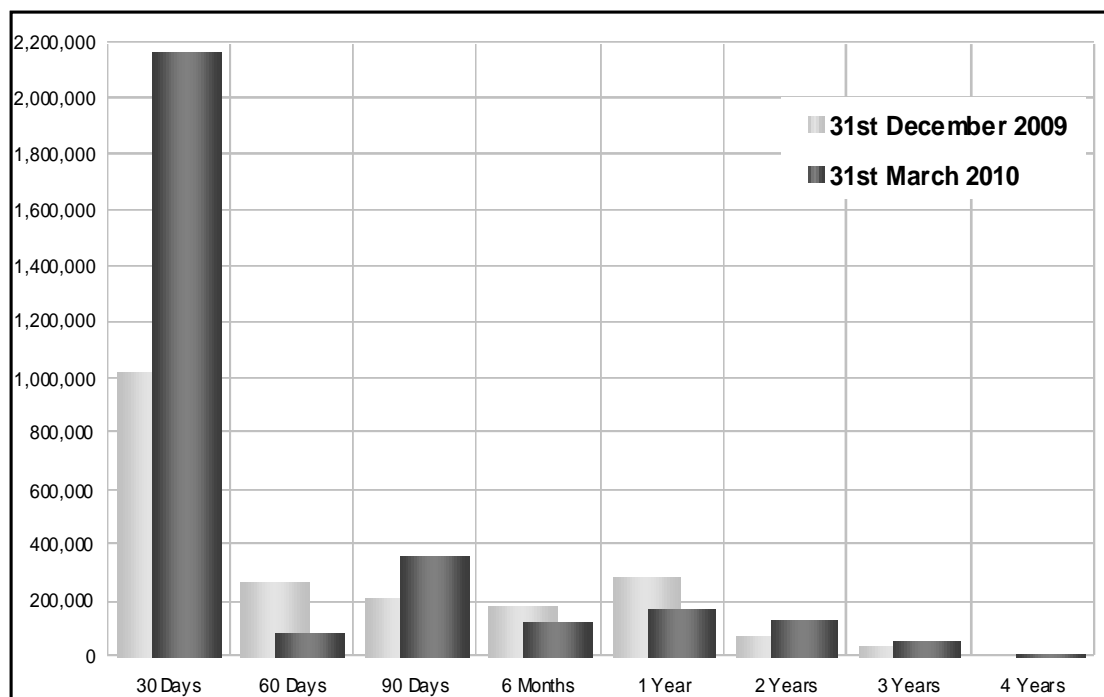
For Members information the following graph details Council Tax collection rates for the period 2002/2003 to 2009/2010 for Hartlepool, Unitary Authority Average and National Average (source of figures is the Department for Communities and Local Government – Collection Rates for Council Tax statistics). The graph shows that over this period Hartlepool has significantly improved its collection performance. Key highlights include the following issues:

- In 2002/2003 Hartlepool's collection rate was below the national and unitary authorities' average.
- In 2008/2009 Hartlepool's collection rate exceeded the national and the Unitary Authorities average.
- Since 2006/2007 Hartlepool's collection performance has consistently exceeded the Unitary Authorities average.



The Council's long term collection performance for Council Tax has been positively maintained at over 99.5%. In 2009/2010, £793,000 of previous year's Council Tax arrears was successfully collected by the Council.

The position in relation to Sundry Debtors is summarised below:



At the start of the current financial year the Council had outstanding sundry debts of £2.575m. During the period 1st April, 2009 to 31st March, 2010, the Council issued 13,675 invoices with a value of £17.509m. Together these two amounts total £20.084m. As at 31st March, 2010, the Council had collected £16.947m. Significantly, by 30th June, 2010, 97% of the sundry debt raised in 2009/2010 (by value) had been successfully collected by the Council.

- Current Year Debt

With regard to current outstanding debt, this totals £2.758m at 31st March, 2010, inclusive of approximately £2.174m of debt outstanding for less than thirty days.

- Previous Years Debt

These debts relate to the more difficult cases where court action or other recovery procedures are being implemented. At 31st March, 2010, debts older than one year totalled £0.379m compared to £0.297m at 31st December, 2010.

- Borrowing Requirements

The Council's arrangement for borrowing accord with the Council's Treasury Management Strategy, which was drawn up to comply with the Code of Practice for Treasury Management in Local Authorities published by the Chartered Institute of Public Finance and Accountancy.

In accordance with this strategy the Council has taken a proactive approach to managing cash investments and debt. During 2009/2010

the Council repaid long term debt of £20m. The necessary liquidity was provided through a combination of reducing investments as they matured and temporary borrowing, pending the maturity of other investments. This strategy reduced external cash investments during a period of market uncertainty. The Council had no investments with Icelandic banks as these organisations were not on the Council's approved investments list. The Council will maintain this position until the current market uncertainty is resolved or long term interest rates are forecast to increase at which stage the Council will reassess its long term borrowing requirement.

4. RECOMMENDATIONS

- 4.1 Members note the report.

Description of Expenditure	Outturn Position		
	2009/10 Approved Budget £'000	2009/10 Actual Expenditure / Income £'000	2009/10 Variance: Adverse/ (Favourable) £'000
<u>Departmental Expenditure</u>			
Child & Adult Services	55,145	54,805	(340)
Chief Executives Department	4,680	4,650	(30)
Regeneration & Neighbourhood Services	24,170	23,942	(228)
Total Departmental Expenditure	83,995	83,397	(598)
Non Departmental Expenditure	9,435	8,023	(1,412)
Dedicated Schools Grant Related Expenditure	59,700	59,700	0
Area Based Grant	12,697	12,697	0
Total Departmental and Non Departmental Expenditure	165,827	163,817	(2,010)
Release of Supporting People Reserve			(500)
Contribution to Strategic Risk Reserve			2,510
Net Contribution to General Fund Balance			0

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/10 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Change in Forecast Outturn (Comments only made on main variances)	Explanation of Change in Forecast Outturn (Comments only made on main variances)
£'000		£'000	£'000	£'000		
21	Adult Education	0	0	0		
4,614	Assessment & Care Management 1	(215)	(288)	(73)	The current favourable variance relates to staff vacancies. The vacancy freeze being operated ahead of business transformation has increased the savings in this area owing to the large workforce. This is not sustainable in the long term but will continue to the financial year end which is reflected in the outturn projection.	Continued staffing vacancies have increased the level of this favourable variance.
2,859	Assessment & Care Management 2	(122)	(215)	(93)	The majority of this variance relates to staff vacancies on hold pending a restructure of this area. It is not anticipated that these savings will continue at the same rate once the restructure is implemented. The outturn projection reflects this.	Continued staffing vacancies have increased the level of this favourable variance.
174	Carers & Assistive Technology	0	(32)	(32)		The favourable variance relates to under spends for telecare equipment.
915	Commissioning - Adults	(120)	(150)	(30)	The current favourable variance relates to staff vacancies and temporary external funding from the PCT and Joseph Rowntree Foundation. The projected outturn forecast reflects the income received and the staff vacancies filled.	
824	Commissioning - Mental Health	200	244	44	As previously reported the current adverse variance relates to continuing increased demand for community based care in this area. A pressure has been identified for this area in 10/11. The outturn variance forecast reflects this continuing trend.	
8,830	Commissioning - Older People	147	157	10	The majority of the current adverse variance relates to continuing increasing demand for transitional and rehabilitation beds. It is expected that this trend will continue and the outturn forecast has been updated to reflect this.	
5,524	Commissioning - Working Age	(145)	(15)	130	This area includes a temporary budget pressure for an individual with complex needs. The case is under review subject to court proceedings. Projected outturn for one individual has been placed in a reserve. This more accurately reflects this temporary funding.	The favourable variance has decreased in this area as the temporary budget for one individual has been placed in a reserve. This more accurately reflects the current position for this best value group and associated activity.
458	Environmental Standards	93	23	(70)	Increased fee income within Consumer Services will offset the projected deficit.	the adverse variance I owing to lower than anticipated income levels in the Cemeteries and Crematorium, and Outdoor markets budgets. This variance has been partly offset by favourable variances in Consumer Services as reported in Quarter 3.
330	Service Strategy & Regulation	0	(10)	(10)		
3,780	Support Services	28	85	57		The adverse variance has increased specifically around Bad Debt provision and higher than anticipated IT charges.
28,323	TOTAL	(134)	(201)	(67)		

ADULT & PUBLIC HEALTH**7.3 Appendix B****REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010****Note 1 - Contributions from Reserves**

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10 Budget Col. A £'000	2009/10 Outturn Col. B £'000	2009/10 Variance: Adverse/ (Favourable) Col. C (C=B-A) £'000	Comments
Commissioning Adults - Communities for Health	120	120	0	Variance refers to slippage in usage for adaptations. Balance to be carried forward and committed to be spent in 2010-11
Commissioning Mental Health - Agency	10	10	0	
Commissioning - Older People	0	0	0	
Assessment, Care Mgt & Prov 2	27	0	(27)	Variance relates to slippage in planned projects around the implementation of the national Stroke Strategy. The balance to be carried forward and committed to be spent in 2010-11
Carers & Assistive Technology	0	0	0	
Assessment, Care Mgt & Prov 2	65	0	(65)	Variance relates to slippage in planned projects proposed and agreed in the 5-year Supporting People strategy. This funding will be required in future years as the projects are implemented and it is requested that any unused balance is carried forward at outturn
Commissioning Adults - Supporting People	350	276	(74)	
	572	406	(166)	

Note 2 - Contribution to Reserves

The above figures include the following Contributions to Reserves:-

Description of Reserve	2009/10 Contribution £'000	Comments
Tobacco Control	165	These reserves were created from the balance of grant funding and are committed to be spent in 2010-11
Communities for Health	156	
Carer Emergency Respite Care Service	54	
Social Care Reform Grant	271	
Stroke Care (DoH Grant)	21	
Telecare Equipment	60	Contribution received from PCT towards cost of various capital works linked to Carers' Respite Services
Adult Education	145	
50+ Forum (PCT Income)	30	Funding obtained through joint working with PCT to cover legal requirements
RCCO for Carers Respite Services	80	
Social Care Clients - New Post	47	Contribution to Contact Centre Video Interpretation for deaf people.
Working Neighbourhood Fund	4	
Renaissance Projects	8	Renaissance Education Project and Access Core Projects
	8	Match funding for future HLF bid to improve collections storage and facilities
Sir William Gray House		Contribution to capital works in respect of Chronically Sick and Disabled Persons
RCCO re CSDP	68	
TOTAL	1,117	

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on main variances)	Explanation of Change in Forecast Outturn (Comments only made on main variances)
£'000		£'000	£'000	£'000		
2,563	Access to Education	280	178	(102)	The current and forecast outturn variances comprise pressures on the Schools Transformation Team budget (£325k adverse outturn projection) mainly arising from the increased need to use legal and other advisors in respect of the ICT and Design & Build contracts and to alleviate school concerns relating to the BSF project. This can be partly offset by savings on departmental staff vacancies and home to school transport costs.	The final overspend was less than anticipated owing to the following factors. A) The Schools Transformation Team overspend was reduced following reductions to external consultant usage B) Demand for home to school transport reduced in the latter part of the year C) Vacancy savings arose in the Attendance Team D) Feasibility study costs were lower than previous years as a result of better capital planning.
1,640	Central Support Services	0	22	22		
89	Children's Fund	0	1	1		
10,954	Children, Young People and Families	(389)	(149)	240	Demand for the external placement of Looked After Children has remained stable throughout (LAC) the year and the need for such placements has been carefully monitored. The contingency provision of £250,000 included within the LAC budget is unlikely to be required this year and in addition, other underspends are projected across the service area. Due to the volatile nature of demand for Children and Family services Cabinet have agreed at their meeting on 8th February 2010 to transfer any year end underspend to the departments LAC reserve to mitigate against in year cost pressures during future years.	Actual spending on children and families was in line with quarter 3 projections. In light of the department's and Council's overall satisfactory outturn position Cabinet agreed that the unspent contingency for additional Looked After Children of £250,000 would be transferred to reserves.
364	Early Years	13	2	(11)		
131	Information, Sharing & Assessment	(14)	12	26		
1,927	Other School Related Expenditure	(34)	(96)	(62)	Savings have been achieved on reduced premature retirement costs and software licences. In addition, the department has not needed any of the 14-19 contingency funding as work to plan the transfer of LSC responsibilities has	The overall underspend was higher than expected owing to the following factors - A) A proportion of school ISB funding was retained as a contingency pending DCSF auditing of the LA's PLASC details. This funding of £32,000 is ring

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

					<p>been absorbed by existing staff. By year end, a proportion of the savings identified above will be required to cover the costs of managing the former Brierton School site whilst refurbishment is taking place and to cover the costs of additional swimming instructors who have been recruited to enhance teaching to pupil ratios following health and safety concerns. As reported at Q2, the department is holding an earmarked proportion of schools funding relating to former Brierton School redundancy and salary protection costs. This Appendix accounts for carry forward of the anticipated overspend against this funding which will be offset by additional funding in future years as agreed by the Schools Forum.</p>	<p>fenced and was carried forward for distribution to schools in 2010/11. B) The department allocated additional funding of £40,000 to offset the costs of operating the former Brierton school site prior to the decant of Dyke House pupils.</p>
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REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget £'000	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3 £'000	Actual - Over/ (Under) spend £'000	Increase/ (Decrease) in total Departmental Overspend £'000	Explanation of Forecast Variance reported at Quarter 3 only made on main variances) (Comments only made on main variances)	Explanation of Change in Forecast Outturn main variances) (Comments only made on main variances)
126	Play & Care of Children	(4)	(36)	(32)		At quarter 3 a shortfall on play and care income was projected although this was expected to be offset by underspends on play development arising from additional Surestart grant. Actual play and care income was however higher at the year end than had been anticipated resulting in a net underspend on these services.
1,536	Raising Educational Achievement	(34)	33	67	Savings relate to staff salary vacancies plus lower demand on departmental budgets for school development and curriculum support.	The movement from a projected underspend to an actual overspend was attributable to the EDC. The centre suffered a loss of income when meeting rooms previously used for meetings were temporarily unavailable whilst the PRU was transferring. In addition caretaking, gas and printing costs were higher than expected.
2,579	Special Educational Needs	(106)	(252)	(146)	Savings relate to vacancies in the Education Psychology team, lower than expected demand for Home and Hospital Teaching and savings on the operating costs of the PRU following transfer to the EDC site.	Savings on home and hospital teaching were greater than projected. In addition both independent and other LA school fees were underspent. These DSG underspends have been carried forward to 2010/11.
928	Strategic Management	(102)	(159)	(57)	There are savings relating to the Central Training budget and reduced demand for CRB checks.	The underspend on the central training budget was higher than expected, partly due to the allocation of additional TDA grant funding. In addition a planned contribution to link social care records to the Document Management System did not arise due to implementation delays. This is now going ahead in 2010/11.
132	Youth Justice	0	(12)	(12)		
1,048	Youth Service	14	37	23		
0	Contribution to Dedicated Schools Grant Reserve	140	292	152	Within the variances described above are net savings on DSG funded services totalling £140k. This saving is ring fenced and will be automatically carried forward to be utilised in 2010/11 subject to consultation with the Schools Forum.	All the additional savings summarised above which arose on DSG funded services (Independent school fees etc) led to a greater net underspend. This has increased the carry forward balance which will be reported to the Schools Forum in October 2010.
24,017	TOTAL	(236)	(127)	109		

CHILDREN'S SERVICES

7.3 Appendix C

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Note 1 - Contributions from Reserves

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10 Budget Col. A £'000	2009/10 Outturn Col. B £'000	2009/10 Variance: Adverse/ (Favourable) Col. C (C=B-A) £'000	Comments
School Transformation Team	975	975	0	
Carlton Outdoor Centre	86	71	(15)	
Early Years Support Network	4	7	3	
Dedicated Schools Grant	355	355	0	
Competitions Manager	0	3	3	
ContactPoint	2	2	0	
Broadband	0	24	24	Expenditure was required in 2009/10.
Teenage Pregnancy Prevention	5	0	(5)	
Swimming Pool Improvements	75	75	0	
Youth Service - Cont to Rossmere				
Skate Park	70	70	0	
Social Care Back scanning Costs	80	80	0	
Dedicated Schools Grant - Brierton				
Salary Protection	290	276	(14)	
Youth Opportunity Fund	4	4	0	
Playing for Success	14	14	0	
Youth	15	0	(15)	Planned Expenditure was funded from existing budgets.
TOTAL	1,975	1,956	(19)	

CHILDREN'S SERVICES

7.3 Appendix C

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Note 2 - Contribution to Reserves.

The above figures include the following Contributions to Reserves:-

Description of Reserve	2009/10 Contribution £'000	Comments
Dedicated Schools Grant	292	The net underspend on DSG funded services is ring fenced and must be carried forward for allocation in 2010/11 subject to Schools Forum notification.
Promotion of Breast Feeding	90	This funding was carried forward to enable a Breast feeding coordinator to be appointed to implement the LA's breastfeeding strategy in 2010/11 and 2011/12.
ContactPoint	6	This was the 5% eligible grant carry forward and will be used in 2010/11 to fund eligible expenditure.
Local Safeguarding Children's Board	16	This is a ring-fenced budget owing to joint funding with other public bodies and funding will be carried forward to finance expenditure in 2010/11.
C&F Donations Account	8	This is a specific fund consisting of donations etc towards looked after children with the balance being carried forward into 2010/11.
Youth Opportunity Fund	8	This was the 5% eligible grant carry forward and will be used in 2010/11 to fund eligible expenditure.
Community Facilities in Schools	100	The LA's subsidy budget was not used during 2009/10 but two school based facilities did generate deficits which are being investigated in the new year. Retrospective LA subsidy funding may therefore be required.
Integrated Workforce Devt	47	Due to early retirement of the Workforce Development Manager the department was delayed in producing a spending plan. CWDC have agreed that funding could be carried forward to 2010/11.
Playing for Success	6	This is the unspent element of match funding towards this scheme which will be carried forward into 2010/11.
Looked after Children	250	As part of its outturn strategy Cabinet agreed that the departments unspent Looked After Children contingency could be carried forward to meet the costs of additional placements in 2010/11.
Children with Disabilities	18	This was the balance of grant remaining which will be used in 2010/11.
Education Business Partnerships	6	
Young People Leaving Care	29	
Early Years Support Network	2	
Info Systems for Parents & Providers	1	
Healthy Eating in EY Settings	5	
Teen/Early Years Life Check	32	
TOTAL	916	

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on main variances)	Explanation of Change in Forecast Outturn made on main variances) (Comments only
£'000		£'000	£'000	£'000		
818	Consumer Services	(112)	(86)	26	The favourable variance is owing to vacant posts (£40k) and higher than expected license fee income (£72K)	
219	Environmental Action	0	5	5		
128	Building Control	80	90	10	Fee income is below target at the end of Qtr 3 as a result of the recession and also because of work lost to private sector inspectors in a competitive market.	
77	CADCAM	0	45	45		
981	Community Safety	12	9	(3)	A combination of small adverse variances in relation to the running costs of the Anti Social Behaviour Unit is expected to lead to an adverse variance of around £12,000 at year end	
314	Community Strategy	0	(14)	(14)		
169	Development Control	(200)	(35)	165	The favourable variance is largely owing to exceptional fee income relating to Wynard Business Park. This income can be taken to the budget in the current year and mitigates lower fees from smaller developments which have reduced owing to the recession.	Actual fee income was in line with quarter 3 projections. Owing to a better than expected Departmental Outturn it was agreed to make a contribution to Reserves to fund the additional costs associated with the large projects over the coming year(s). e.g. Wynyard Business Park.
30	Drug Action Team	0	0	0		
1,042	Housing Services	0	23	23		
370	Landscape & Conservation	0	(22)	(22)		
530	Youth Offending Service	0	3	3		
4,678	TOTAL	(220)	18	238		

COMMUNITY SAFETY & HOUSING

7.3 Appendix D

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Note 1 - Use of Reserves

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10	2009/10	2009/10	Comments
	Budget	Outturn	Variance:	
	Col. A	Col. B	Adverse/ (Favourable)	
	£'000	£'000	Col. C (C=B-A) £'000	
Youth Offending Reserve	35	0	(35)	New additional grant funding replaced the need for this use of reserve.
Capital Funding Reserve	0	27	27	Mainly relates to funding towards the backlog of Disabled Facility Grants
Anti Social Behaviour Team Reserve	9	9	0	
TOTAL	44	36	-8	

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/10 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on main variances)	Explanation of Change in Forecast Outturn (Comments only made on main variances)
£'000		£'000	£'000	£'000		
63	Allotments	0	(11)	(11)		
42	Archaeology	0	(3)	(3)		
785	Community Support	0	(5)	(5)		
958	Museums & Heritage	31	47	16	The current adverse variance relates to unexpected costs in relation to site improvements at Sir William Gray House and the Historic Quay, and underachievement of sales income. As previously reported the projected outturn variance relates to the expected underachievement of income from the Coffee Shop as well as continuing trends. It is not expected that the full building cleaning efficiency will be achieved following further discussions with Neighbourhood Services. It is planned to restrain all other costs to offset this and the outturn reflects this.	
377	Strategic Arts	7	(5)	(12)	The majority of this current adverse variance relates to under achievement of income at the Borough Hall Bar. It is anticipated that this trend will continue to the end of the year based on previous years, the outturn projection reflects this, the situation has improved since last quarter owing to increased lettings of the Borough Hall Buildings.	
406	Countryside	0	(17)	(17)		
174	Foreshore	0	(4)	(4)		
39	Grounds Maintenance	0	(43)	(43)		This favourable variance is linked to the corresponding overspend in Parks where there have been internal recharges
2,010	Libraries	(29)	(14)	15	The current favourable variance relates to held staff vacancies across the Library service pending a restructure. It is anticipated that these vacancies will continue as part of the Service Delivery Option process and Business Transformation. The favourable outturn reflects this.	
308	Maintenance	16	5	(11)	The current adverse variance is owing to increased cyclical maintenance charges in particular at Grayfields and the Headland Sports Hall. The adverse variance is expect to remain until the end of the year and is reflected in the outturn projection.	

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/10 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on main variances)	Explanation of Change in Forecast Outturn (Comments only made on main variances)
639	Parks	0	46	46		This adverse variance is linked to the favourable position in Grounds Maintenance.
0	Tall Ships 2010	0	0	0		
1	Renaissance in the Regions	0	1	1		
1,759	Sports & Physical Recreation	0	14	14		
7,561	TOTAL	25	11	(14)		

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010**Note 1 - Contributions from Reserves**

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10 Budget Col. A £'000	2009/10 Outturn Col. B £'000	2009/10 Variance: Adverse/ (Favourable) Col. C (C=B-A) £'000	Comments
Sports Awards	3	0	(3)	
community Sports Coach DIP	8	2	(6)	
Community Activities - Adults in Sport	22	22	0	
Sports Disability	3	3	0	
Adult Education	20	20	0	
Headland Project 2009/10	1	1	0	
CSC Disability Work	2	2	0	
Public Health Physical Activity	0	8	8	
Swim Development Co-ordinator	4	4	0	
BSF Swim Strategy /Mill House	16	0	(16)	
Mill House	0	4	4	
LPSA Social Inclusion Participation in Sport	12	0	(12)	
Community Grants Pool	50	0	(50)	The balance of this reserve will be utilised in 2010-11 to fund community grants
Museums acquisitions	0	5	5	
Renaissance in the Regions	9	0	(9)	
Civic Lottery	17	17	0	
Tall Ships	0	83	83	This adverse variance will be funded from the overall reserve for the event from 2010-11
Parks - Tree Management	7	4	(3)	
TOTAL	174	175	1	

Note 2 - Contribution to Reserves

The above figures include the following Contributions to Reserves:-

Description of Reserve	2009/10 Contribution £'000	Comments
Youth Support worker in Throston Library	1	Post essential to carry forward Young Persons' activity programme
Archaeology Projects	4	Ongoing externally funded project
Archaeology - Production of Monograph Series	8	Long-term project with external funding
Health Walks	15	Match funding to maintain grant award

CULTURE, LEISURE & TOURISM

7.3 Appendix E

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Creation of Reserve for Grayfields pitch improvements	21	Reserve to ensure completion of work already underway
Staby House works	17	Reserve to mitigate Health & Safety issues
RCCO towards roof replacement Owton Manor Library	6	Essential work required to ensure continuity of service
TOTAL	72	

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on main variances)	Explanation of Change in Forecast Outturn (Comments only made on main variances)
£'000		£'000	£'000	£'000		
410	Client Services	0	18	18		
1,106	Neighbourhood Services Central Admin	0	1	0		
77	Neighbourhood Services Internal Works	(100)	(296)	(196)	At this stage the indication is that the trading accounts are likely to report a favourable variance at year end. This is mainly owing to additional income generation from building works and reduced vehicle financing costs.	The favourable variance is owing to a successful year on some of the Departments trading activities, which includes additional income generation from building works, and a higher than normal level of unscheduled works on Grounds Maintenance. Some of the planned expenditure around Highways was unspent at the year end as a result of the harsh Winter period, and reserves have been created to carry forward this budget to fund works in 10/11.
(118)	Property Services	1	(67)	(68)		The favourable variance is owing to higher than expected income generation from recharges for Technical Officer Salaries.
13	Public Relations	0	0	0		
1	Democratic Services	0	0	0		
4,116	Finance Division	(100)	48	148	Housing Benefit Subsidy £60k - A temporary benefit of £200k was in the base budget proposal for 2010/11; a higher benefit is anticipated on a permanent basis next year and this amount is beginning to flow through this year. Audit £40k - favourable outturn projection owing to a vacant post and limited overtime worked.	Quarter 3 forecasts did not reflect closure of various year end accounts. Closure of these accounts identified a number of adverse variances which have been offset by underspends elsewhere in the Chief Executives department which has a total favourable variance of £30k compared to the £36k projected at quarter 3.
0	Legal Service	0	6	6		
(890)	Shopping Centre Income	0	4	4		
0	Reserve - Shopping Centre	0	(4)	(4)		
110	Registration Services	0	0	0		

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on main variances)	Explanation of Change in Forecast Outturn (Comments only made on main variances)
£'000		£'000	£'000	£'000		
96	Registration of Electors	0	(10)	(10)		
107	Municipal and Parliamentary	0	(2)	(2)		
197	Corporate Strategy & Public Consultation	0	(46)	(46)		Favourable variances on staffing budgets facilitates the creation of reserves to support Business Transformation over the next 2 years, including ICT Developments, PLACE Survey and potential restructure issues. The balance the favourable variance contributes toward the overall Chief Executives Department favourable variance.
144	Support to Members	0	2	2		
(110)	Other Office Services	0	(5)	(5)		
112	Printing	0	(4)	(4)		
0	Human Resources	64	45	(19)	It was anticipated that an efficiency saving would be achieved after the implementation of the HR/Payroll system, and although work is progressing on the implementation of the system, the saving will not be achieved until next financial year. There will also be an adverse variance on Counselling Support, which is in turn reducing the sickness absence. It is anticipated that this shortfall can be managed within the overall Chief Executive's Department at year end.	Use of departmental reserves and a reduced adverse variance on the Counselling Support, ensured that there was a decrease in the final adverse variance.
18	Training & Equality	0	1	1		
631	Contact Centre	0	0	0		
67	Administration Buildings Running Expenses	0	(70)	(70)		Favourable variance on Service Charges facilitates the creation of a reserve for future years accommodation costs, with the balance contributing towards the overall Chief Executive's Department favourable variance.
68	Performance Management	0	5	5		
6,155	TOTAL	(135)	(374)	(240)		

FINANCE & PERFORMANCE MANAGEMENT

7.3 Appendix F

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Note 1 - Use of Reserves

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10 Budget Col. A £'000	2009/10 Outturn Col. B £'000	2009/10 Variance: Adverse/ (Favourable) Col. C (C=B-A) £'000	Comments
Contact Centre	69	18	(51)	On-going software maintenance slipped into 2010/11.
Corporate Strategy	250	82	(168)	On-going ICT Developments and support Business Transformation.
Registration Services	29	2	(27)	On-going building work on the Registry Office.
Human Resources	71	13	(58)	Expenditure has been deferred to 2010/11.
HR Payroll System	185	185	0	
Finance	228	221	(7)	
Internal Audit	30	0	(30)	IT Investment to support the move towards remote/site working following strategic review slipped into 2010/11.
Accountancy	34	34	0	
Finance IT Investment	147	85	(62)	Reserve used to support the overall position of the department.
Revenues & Benefits	127	0	(127)	Reserve used to support the overall position of the department.
Financial Inclusion	50	0	(50)	Expenditure to participate in the Financial Inclusion Partnership slipped into 2010/11.
Corporate - Social Inclusion	100	0	(100)	Expenditure to participate in the Social Inclusion slipped into 2010/11.
Corporate - Credit Union	50	50	0	
Corporate - Shopping Centre	150	4	(146)	Reserve to provide for reduced income from Middleton Grange Shopping Centre slipped into 2010/11.
Corporate - Land Charges	120	119	(1)	
Use of Corporate Reserves	37	37	0	
TOTAL	1,677	850	(827)	

FINANCE & PERFORMANCE MANAGEMENT

7.3 Appendix F

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Note 2 - Contribution to Reserves

The above figures include the following Contributions to Reserves:-

Description of Reserve	2009/10 Contribution £'000	Comments
Corporate Strategy	62	Created from under spending in Corporate Strategy to support ICT Developments and Business Transformation which will be used in 2010/11.
Registrars	8	Created from under spending on Registrars to support on-going building work on the Registry Office which will be used in 2010/11.
Internal Audit	5	Created from under spending in Audit to support the move towards remote/site working which will be used in future years.
Accountancy	34	Created from under spending in Accountancy for Agency Staff to support IFRS which will be used in 2010/11.
Revenues & Benefits	50	Created from under spending in Revenues and Benefits for a new scanner, FSM System and a Benefits e-form which will be used in 2010/11.
Accommodation	26	Created from under spending in Accommodation to support future years accommodation costs
Working from Home	16	Created to support the costs of home-working key fobs which will be used in 2010/11.
	201	

REGENERATION & ECONOMIC DEVELOPMENT

7.3 Appendix G

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 only made on main variances)	(Comments only made on main variances)
£'000		£'000	£'000	£'000		
(12)	Administration	0	(9)	(9)		
(1)	Divisional Regent & Planning	0	0	0		
(143)	Regeneration Staff Savings	(12)	(43)	(31)	Staff turnover savings are higher than the profiled budget at the end of Q3 partly as a result of the freezes in recruitment during the year and a chief officer level vacancy	Continued staffing vacancies have increased the level of this favourable variance.
1,330	Economic Development	0	9	9		
1,779	Planning Policy & Regeneration	0	58	58		The adverse variance relates to a reduction in the amount of grant income claimed for admin support.
2,953	TOTAL	(12)	15	27		

Note 1 - Use of Reserves

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10 Budget Col. A £'000	2009/10 Outturn Col. B £'000	2009/10 Variance: Adverse/ (Favourable) Col. C (C=B-A) £'000	Comments
Regeneration Reserve - Specific	73	73	0	Funding of staff costs on some grant funded projects.
Regeneration MRU	16	92	76	The planned use of some of this reserve has been brought forward from 2010/11 to fund an RCCO towards the cost of a capital scheme on Victoria Buildings, part of the 'Townscape Heritage Initiative'. Also an extra 10k used to contribute towards staffing where fee income reduced.
TOTAL	89	165	76	

Note 2 - Contribution to Reserves

The above figures include the following Contributions to Reserves:-

Description of Reserve	2009/10 Contribution £'000	Comments
Ring Fenced Grant Funding	1,181	Relates to various grants and other specific funding carried forward to cover committed expenditure in future years. This reflects timing differences between receiving grant funding and incurring the expenditure, and includes projects which will be delivered over more than one year.
Neighbourhood & Regen MRU	524	Managed Revenue Underspends carried forward to fund future pressures and developments within the Regeneration and Neighbourhood Services Department. Schemes to be funded include Feasibility Studies for new grants/projects £87k, funding to cover timing delays on Coastal Protection work £58k and Contaminated Land £26k, Mobile dust monitoring equipment and staffing £41k, the installation of tracking devices on Neighbourhood Service vehicles £36k, Major Regeneration Fund supporting businesses in Church St Conservation Area £34k, pressures on Housing fee income £40k, and future Building Control costs associated with large developments expected in future years £80k for which fee income has been received.
TOTAL	1,705	

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on main variances)	Explanation of Change in Forecast Outturn made on main variances) (Comments only)
£'000		£'000	£'000	£'000		
1,054	Engineering Consultancy	(15)	(36)	(21)		
7,941	Environment	(110)	(279)	(169)	Increased recycling and a reduction in 'residual' waste has resulted in cost savings in the waste management area.	The cost savings in the waste management area have continued. Market conditions have improved and the value received for recyclables and scrap has increased which has resulted in a reduction in the overall cost of waste disposal.
297	Highways Management	0	84	84		The adverse variance is owing to additional staffing costs in the winter maintenance budget including overtime and officers car allowances.
3,107	Highways Services	0	232	232		The adverse variance relates to lower than budgeted \$38 income from developments. This income funds the costs of supervising new developments to ensure Highways standards are achieved before roads are adopted. This income has been affected by the recession and this budget therefore continues to be closely monitored and may face income shortfalls in the future. This current adverse variance has been covered by favourable variances on trading activities elsewhere in the Neighbourhood Services Department.
286	Town Care Management	0	24	24		
14	Traffic Management	0	(1)	(1)		
1,994	Traffic & Transportation	7	27	20	The adverse variance is owing to the provision of the H1 bus service to North Tees hospital	
288	Transport Services	0	(1)	(1)		
408	Transportation Management	37	11	(26)	The adverse variance is owing to reduced fee earning work in this area.	
(857)	Car Parking	260	1	(259)	Car parking income is lower than budgeted because of the economic downturn, bad weather and reduced visitors. In addition, running costs have been exceptionally high as a result of the transition to the new car parking arrangements and increased government regulation in this area. A report is currently being prepared for members to address this issue.	Actual car parking income was in line with quarter 3 projections. The shortfall was funded from a contribution from the Strategic Risk Reserve of £200k in accordance with the agreed Outturn Strategy.
74	Minor Works	0	0	0		
14,606	TOTAL	179	60	(119)		

Note 1 - Use of Reserves

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10 Budget Col. A £'000	2009/10 Outturn Col. B £'000	2009/10 Variance: Adverse/ (Favourable) Col. C (C=B-A) £'000	Comments
Remedial repairs	155	155	0	
Ring Fenced Grants	102	102	0	
TOTAL	257	257	0	

Note 2 - Contribution to Reserves

The above figures include the following Contributions to Reserves:-

Description of Reserve	2009/10 Contribution £'000	Comments
Remedial repairs	313	Amounts set aside for Highways and Building Maintenance to fund remedial works in 2010/11 £113k, and provide match funding for Government funding awarded to address potholes £200k.
TOTAL	313	

High Risk Budget Areas by Department

7.3 Appendix I

Risk Rating

A simplified version of the Risk Assessment criteria used in the Council's Risk Management Strategy has been used to budget risks. This assessment rates risk using the convention of green/amber/red, as defined below, although different of risk within each category have not been defined. The risk assessment helps inform the Council's budget monitoring process as it identifies areas that need to be monitored more closely than other budgets. These procedures help ensure that departments can manage budgets and services within the overall departmental resource allocation and the Councils overall financial management framework, which enable departments to establish reserves for significant risks and to carry forward under and over spends between financial years.

The value of expenditure/income on individual areas, are shown in the table below, along with the current variance to date.

Green - these are unlikely events which would have a low financial impact.

Amber - these are possible events which would have a noticeable financial impact.

Red - these are almost certain to occur and would have a very significant impact. Provision would need to be made for events in the budgets.

Financial Risk	Risk Rating	2009/2010 Budget £'000	Outturn Variance (Favourable) / Adverse £'000
Adult & Community Services			
Demographic changes in Older People	Amber	15,370	157
Demographic changes in Working Age Adults	Red	8,716	(15)
Property Maintenance - Community Buildings	Amber	284	(11)
Non-achievement of Income targets within Community Services	Amber	(1,351)	(249)
Non-achievement of Income targets within Social Care Budgets	Amber	(9,457)	(289)
Total		13,563	(407)
Regeneration & Planning			
Fee Income - Planning & Building Control	Amber	659	(92)
Rent Income - Economic Development Service	Green	175	2
Total		834	(90)
Neighbourhood Services			
Environment, Environmental Action & Town Care Management	Amber	8,446	(250)
Car Parking	Amber	(857)	260
Total		7,589	10
Corporate Budgets			
Higher costs of borrowing and/or lower investment returns	Green	5,804	(1,688)
ICT	Green	2,561	131
Planned Maintenance Budget	Amber	215	0
Delivery of Planned Savings	Amber	2,460	0
Total		11,040	(1,557)
Children's Services			
Individual School Budget	Amber	55,557	0
Individual Pupils Budget allocated during the year to schools for high level SEN pupils	Green	1,628	11
Home to School Transport Costs	Amber	1,516	(59)
Schools Transformation Team (Building Schools for the Future)	Amber	974	304
Carlton Outdoor Education Centre	Red	68	4
Increased demand in places at independent schools for pupils with high level of SEN	Amber	600	(109)
Increased demand for Looked After Children Placements	Red	5,293	(118)
Total		65,636	33

7.3 Appendix J

ADULT AND COMMUNITY SERVICES - PROPOSED EFFICIENCIES

Budget heading / Cost Centre	Description	09/10 Budget £'000	Outturn £'000	Comments
Community Forest	Membership of North East Community Forest ended following merger of NECF with Groundwork Trust . In future work to be bid for on a project by project basis	28	28	Efficiencies were achieved
Grounds Maint Contract 1 and 2	Reconfigure attendant provision at Grayfields and Summerhill at a lower cost than the current contractual Arrangements	13	13	Efficiencies were achieved
Art Gallery Tourist Information	Streamlining of site management rosters and <u>minor</u> adjustments to service opening times, including streamlined private view arrangements.	18	18	Efficiencies were achieved
Art Gallery Maritime Experience Museum of Hartlepool	To get better value from suppliers by reviewing contracts and replacing them with more efficient ways of working.	22	14	£18K of this efficiency relates to a contract with Neighbourhood Services that cannot currently be renegotiated. Vacancies and other efficiencies have been found to offset this situation. The outturn
Libraries General Reference Library	Reduce expenditure on library stock; using internal and external data to enable better and more informed purchasing choices to be made. Stock and community profiling in 2009/10 to help identify local usage and key areas of stock. Benefits; improved stock turn [stock attracts more issues],	15	15	Efficiencies were achieved
Central Library Relief Register	Introduction of RFID ie. self issue & receipt of library books, at the Central Library. RFID agreed by Cabinet and approved by IT Partnership Board subject to further clarification of cost analysis. Benefit: staff released from repetitive and manual tasks to improve customer services.	21	21	Efficiencies were achieved
Community Centres	Restructure of cleaning and caretaking staff within Community Centres to deliver service at lower cost than current arrangements	20	20	Efficiencies were achieved
Community Development	Reduction in printing, training and project development fund budgets whilst maintaining service level.	12	12	Efficiencies were achieved
Borough Building	Refine the working arrangements within the Borough Hall and Sports Centre to maximise targeted activity and use.	20	20	Efficiencies were achieved
Arts Development	Redirect investment in professional artist fees. This includes reduction of budget from Tees Valley investment Fund to allow direct spend in Hartlepool.	10	10	Efficiencies were achieved

Budget heading / Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Support, Time & Recovery Team	Current Support Time and Recovery service over staffed by 2 posts (currently vacant). Reducing this service by these 2 posts will not affect provision and retains the number of staff needed to deliver the service.	39	39	Vacant posts to be removed from structure.
Brooklyn Day Centre	Accessing people to mainstream provision rather than building based statutory provision thereby promoting choice and social inclusion.	5	5	Efficiencies were achieved
Warren Road Day Centre	Reduction in the number of senior link workers to allow a flatter management structure and more flexible working to promote a more modernised and efficient service and release cash for Individual Budgets.	60	60	Efficiencies were achieved
Learning Disabilities SWAT Team	Co-location of LA and NHS Learning Disability teams at Warren Road, enabling efficiencies across rent and utilities.	30	30	Efficiencies were achieved
Sensory Loss Team	Physical Disabilities team to be relocated within locality teams to promote integrated and seamless service provision. Team Manager post, currently vacant, to be disestablished.	45	45	Restructure completed and efficiency achieved.
Sensory Loss Team	Physical Disabilities team to be relocated within locality teams to promote integrated and seamless service provision. Team clerk post, currently filled by temporary postholder, to be disestablished.	20	20	Restructure completed and efficiency achieved.
Warren Road Day Centre	Relocation to share accommodation and thereby reduce costs of rent and utilities by sharing the costs across the LA and NHS.	3	3	Efficiencies were achieved
Learning Disabilities Agency	Use of the fair price tool kit across the Tees region to allow efficient and equitable pricing by reviewing contracts.	30	30	Efficiency achieved through person centred approaches to ensure effective use of resources and maximising of individual benefits in some cases

Budget heading / Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Learning Disabilities Agency	End block contract for respite care beds service and develop alternative, smaller unit with other respite care alternatives in line with personalised services.	50	50	Efficiencies were achieved
Adults Management	Review of planning function to link to wider reorganisation of Adult Social care to ensure more efficient processes.	44	44	Vacant post removed from structure.
Care Management Team 2	Integration of management structures with PCT.	45	45	Restructure completed and efficiency achieved.
Duty Team	Re-alignment of skill mix within Duty team - capacity at first point of contact unaffected.	10	10	Restructure completed and efficiency achieved.
Support Services	Review of divisional admin staff planned for late 2009. Links to wider Business Transformation programme.	37	0	Efficiency on hold pending Business Transformation Review.
Workforce Planning & Development	Changes to deployment of training resources, including possible procurement and partnership gains.	15	15	Efficiencies were achieved
Finance Section	Finance Section receive and manage benefits on behalf of many service users. Departmental Review planned for late 2009, including processes and numbers of referrals.	25	25	Vacancy held to meet efficiency in 09-10 pending review of this area under BT.
Older People Purchasing	Hartfields Extra Care Village to be utilised rather than residential care for older people who require substantial levels of support to remain safe. Improve quality of life. Manage financial resources more effectively.	125	125	Efficiencies were achieved

Budget heading / Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Leisure Centres	Review of Mill House Leisure Centre staffing and rostering arrangements to maximise efficient working.	20	20	Restructure completed and efficiency achieved.
Integrated Care Team 1 and 3	Integration of internal Homecare service and Intensive Support team to create new Direct Care & Support Service. Integration with PCT will support the introduction of Telehealth and offer a more efficient service around rapid response cases. Focussing on early intervention and using specialist workforce to deliver outcomes and transfer less complex work to independent sector.	193	193	Efficiencies were achieved
Occupational Therapy	Redesign of business processes in Occupational Therapy, building on work completed with Care Services Efficiency Delivery programme, and embracing electronic and home working. Improvements in technology and review of skill mix will lead to more robust scheduling at first point of contact.	35	35	Restructure complete and efficiency achieved.
Total for Adult & Community Service:		1,010	965	

CHIEF EXECUTIVES DEPARTMENT - PROPOSED EFFICIENCIES

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Corporate Strategy	A reduction in a variety of operating expenses within Corporate Strategy division including, as a result of reviews of paper circulation, reductions in printing costs	9.1	9.1	
Corporate Strategy	Reviews of consultation activity and changes in practise have resulted in a reduced need for fieldwork activities to undertake scheduled consultation	7.0	7	
Corporate Strategy	Minor reductions in operating expenses	2.5	2.5	
Corporate Strategy	Reduction in printing costs for Corporate Plan as take up of hard copies has reduced significantly in recent years	1.0	1	

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Accountancy	Following the implementation of new Financial Management System and review of working practices a vacant Accounting Technician post can be deleted. Whilst, this proposal will not impact on current operational requirements, it reduces capacity to support non core activities, such as new corporate initiatives, support for departmental finance teams when they have vacancies, or support of new grant regimes.	22.0	22	
Internal Audit	Internal Audit are implementing new audit management software (Teammate) and associated changes to operational practices during 2008/09. These changes will enable a reduction in staffing of 0.3 fte.	7.0	7	
Recovery and Inspection	Increased net income from extension of Internal Bailiff pilot within HBC to cover 3 officers, with bailiff charges accruing to the Council.	41.0	41	
HR	Following the implementation of new HR/Payroll System and review of working practices two currently filled HR Administrator posts can be deleted within 3 months of Phase 1A being tested and implemented. Whilst, this proposal will not impact on current operational requirements, it reduces capacity to support non core activities, such as new corporate initiatives or demands from schools under SLA arrangements.	56	0	Achievement of this efficiency was dependant on the implementation of the HR/Payroll system, which is now in place. However the saving has been delayed until 2010-11, with the shortfall being managed within the overall Chief Executives Department Outturn.
HR	Reduced printing and postage costs arising HR/Payroll system	1	0	As efficiency above.

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Corporate Strategy	A review of operating practices has resulted in the identification of reduced printing and circulation costs and a reduction of 0.5 admin staff	13.4	13.4	
	Total for Chief Executives	160	103	

CHILDREN'S SERVICES - PROPOSED EFFICIENCIES

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Admin	In setting the 2008/09 budget the department had to incorporate £100k for the back scanning of social care records to comply with legislation. This exercise involves temporary staffing and equipment costs and the exercise	100	100	
Premature Retirement Costs	The department is continuing to experience reduced costs on its PRC (Premature Retirement Costs) budget as former employees and their dependents die. Based on current commitments, savings of £30,000 are	30	66	
Youth	A mini restructure as part of integrated working between Connexions and the Youth Service will result in a managerial post being saved. This will release a vacant post yielding a net saving of £40k. There would be no adverse impact on provision for young people.	40	40	
Exmoor Grove	Staffing and premises savings have been identified resulting from changes to the shift patterns and opening hours at Exmoor Grove with no adverse impact on service delivery or children accessing services	90	90	Staff vacancies have ensured that the budget is not overspent.
Resource Centres	Efficiencies from maintenance and building costs associated with family resource centres	20	20	
Admin Support	Reduction in admin support posts across the Children's Services Department through rationalisation of service and maximising potential benefits of current vacancies	54	54	
School Improvement Partners	Review arrangements in relation to School Improvement Partners and Ofsted inspections to maximise income and reduce costs	12	12	
Co-ordinators	Deletion of Outdoor Education Co-ordinator post	40	40	
School Development & Curriculum	This budget is used to support "one-off" initiatives and to help those schools in challenging circumstances. Deletion of this funding may increase the risk of schools moving into Ofsted or cause for concern category and/or pupil performance declining.	40	40	

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Pupil Support	Further reduce the subsidy paid to support attendance at Lanehead and Carlton Outdoor Centres by pupils other than those from low income families. Hartlepool currently provides higher subsidies than Middlesbrough and Redcar and Cleveland Councils although the gap narrowed as a result of the 2008/09 budget.	5	5	
Admin Support	Reduction of admin support posts across the Children's Services Department through rationalisation of service.	126	126	Overall savings have not been fully achieved. A virement from the departmental contingency for the balance of £16k has ensured that the savings have been achieved within the service.
Primary Swimming	Savings could be made from the use of swimming pools and rationalisation of staff employed to deliver the primary swimming programme. This could involve redundancy costs and/or one off costs to	10	10	As no savings have been achieved following the delayed revision of working arrangements, a virement from the Directorate contingency was approved. As a
Commissioning	Efficiencies could be realised via improved commissioning and procurement practice with external suppliers of daycare.	26	26	
	Total for Children's Services	593	629	

NEIGHBOURHOOD SERVICES - PROPOSED EFFICIENCIES

Budget heading/Cost Centre	Description	09/10 Budget £'000	Outturn £'000	Comments
Pride in Hartlepool	External Sponsorship for Pride in Hartlepool	5	5	Tidy Britain paid for advertising
Consumer Services	Savings in licensing operations based on income predictions / operational needs	20	20	on target
Environmental Standards	Additional income by increasing burial and cremation charges by 10%.	55	0	Did not achieve Target 10% increase not implemented
Property Services	Restructure building maintenance and management section	30	30	post redundant - will be achieved
Road Safety	Advertising and marketing within road safety section	5	0	Difficulty in obtaining sponsorship/income generation owing to economic climate
Environment	Rationalisation of supervision of weekend working	10	10	on target
Environment	Reduction of end market costs for the recycling of plastic and cardboard	20	20	on target
Environmental Action	Fine income generation through the introduction of dog control orders	10	10	on target
Neighbourhood Management	Restructure savings within Neighbourhood Management	86	86	redundancies achieved - on target
Overall Budgets	Cash freeze a range of budget headings at 2008/09 level	25		on target
N/S facilities management	Reduction of one further member of FM team dealing with schools. Minimal risk	30	30	post redundant - will be achieved
Admin Civic	Reduction in administrative IT support saving in one post	21	21	post redundant - will be achieved
Grounds Maintenance	Rationalisation of supervisory arrangements resulting in a reduction of two one posts	24	24	post redundant - will be achieved
Consumer Services	Reduction in one technical officers post, Low impact work can be absorbed by current team	16	16	post redundant - will be achieved
Markets	Markets supervision function to be transferred to neighbourhood management. Saving one part time post	11	11	on target although market rent income was not achieved
Street cleansing	Modernisation of Cleansing and grounds maintenance function resulting in savings on vehicle usage	19	19	on target
Property Services	Reconfigure property management service with retirement of staff member	10	10	retirement and subsequent restructure achieved
Engineering consultancy	Removal of Principal Engineer post as part of the exercise to combine Building and engineering consultancy divisions. This achieved through normal retirement	18	18	Retirement of Chief Engineer in October
Engineering consultancy	Combining building consultancy and engineering consultancy will result in the reduction in one managerial post. Minimal risk.	30	30	Building & Engineering Consultancy not combined. Alternative Business Transformation savings achieved
consumer services	Reduction in one technical officers post, will require additional training within current team	26	26	on target
Admin BHH	Transfer of one member of admin team to NDORS function. This will be externally funded	20	20	transfer complete
Dial a ride	Increase charge by 50p per journey which will generate £15,000. A further £15,000 will be achieved from expenditure efficiencies on this service.	30	30	Efficiency target achieved- grant income and raising fares by 50p yielded £14K.
	Total for Neighbourhood Services	521	436	

REGENERATION AND PLANNING _ PROPOSED EFFICIENCIES

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Economic Development: Contribution to Sub Regional Partnerships	Reduction in the HBC contribution to the Joint Strategy Unit. It is expected that the JSU will once again reduce their budget to reflect the national cashable efficiency target. The final saving will depend on the inflation factor used and population statistics applied by the JSU but a reduction in the region of £5,000 could be possible with no effect on the	5	5	
Housing Advice / Private Sector Housing	Reduction of a number of supplies and services headings within the Housing Division's budget. A number of minor budgets can be reduced or removed which would together generate a small scale efficiency without a	15	15	
Community Strategy	Reduction in several supplies and services headings within the Community Strategy Division's budget. A number of small budgets can be reduced which would generate a small scale efficiency with a limited	3	3	
Admin	Reduction in several supplies and services headings within the Support Services Division's budget. Several budgets can be reduced which would generate a small scale efficiency with only a minimal affect on the	5	5	
Planning Policy and Regeneration Management	A mini restructure within the Planning Policy and Information Team and reduction in budget for supporting the production of Local Development Framework (LDF) related documents by the team and any associated research / consultancy support. This does carry some risk to the delivery of a statutory process but nevertheless is deemed manageable within	10	10	
Inflation Freeze	An inflation freeze imposed on various non contractual budget headings. It is proposed to manage a number of headings without implementing a 2.5% inflation allowance. It is felt that such a freeze could be implemented without a major negative affect on departmental services.	9	9	
Youth Offending	YOS Commissioning: Youth Offending Service (YOS) provides a comprehensive service to young offenders, and also works with their family and victims. Several services are provided by the voluntary sector, and the Service Level Agreements have been re-negotiated on an annual basis. A programme to re-commission these services will be developed for 2008-2010. Specifications will be reviewed following consultation with service	4	4	

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Youth Offending	YOS Sessional Workers: The Youth Offending Service requires a pool of sessional workers, with different skills, knowledge and experience to support the full-time staff with their supervision of young offenders. Sessional workers have a contract with HBC which allows them to work flexibly, to suit the requirements for each individual young offender. They are not contracted to work fixed hours per week and are paid by the hour. This proposal will change the funding for sessional workers from HBC mainstream budget to a grant budget. All other arrangements will remain the same.	10	10	
Community Safety	Cost of Accommodation. HBC currently supports the Police occupation at 6 of the 7 local offices by funding (or contributing to) the rates, repairs and maintenance and rent (where appropriate) of these buildings. One of these buildings (9 Church Street) is however shortly to be vacated by the Police and it is proposed to accommodate the Partnership's Reducing Re-offending Team within this office. Contributions from the Drug Interventions Programme and Probation towards the running costs of the building will result and consequently reduce the cost to the authority.	10	10	
Youth Offending	YOS Admin Post: Due to a full-time vacancy arising with the YOS, a review of the admin capacity has been undertaken and an efficiency saving of 0.5 FTE can be achieved.	10	10	
Admin	Reduction in staffing resources within the Support Services Division. Further work would be required to identify the most appropriate course of action to achieve this efficiency although there appears to be an opportunity (albeit fairly limited) to do this without negatively impacting on existing permanent employees. This would however increase the pressure on team members who at the start of 2007/08 began to support the newly transferred Housing Division with no additional resource.	7	7	
Strategic Housing	Reduction in the budget for research activities and specialist studies on Housing. Ongoing specialist work is required to statutorily assess housing needs for the council's housing and planning strategies and to support bids for funding. This proposed reduction does carry risk of the authority failing to adequately identify or respond to local need in statutory services. Some mitigating measures exist through the continuing work with other authorities at the sub regional and regional level and the introduction of Choice Based Lettings will contribute to our understanding	10	10	

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Development Control	Development Control fee income: projected fee income increase reflects increased fee rates, widened scope of charging for applications (including related to discharging of conditions) and projected level of future applications, based on patterns over 2007-8, 2008-9 to date and assumptions based on known schemes in the pipeline. Such increase would reduce the net cost of the DC service, whilst allowing the maintenance of existing level of service and performance (which contributes towards level of Housing and Planning Delivery Grant received). Fee income level is monitored throughout the year and overall service budget will be managed to take account of any variance from projected fee income level. There is however RISK attached to this proposed efficiency in view of the reliance on external factors and in	20	20	
Major Regeneration Projects	Major Regeneration Projects: A reduction on this budget heading would be necessary to meet a 3% efficiency saving target. The budget is used primarily to support the Victoria Harbour programme and as such is a high priority. There is a risk of not securing grant funding as a result of this reduction and the lower resource level may slow the momentum of	20	20	
Economic Development Business Grants	Business Grants: proposed reduction in budget relies on reinforcing close working relationship with Business Link North East, One NorthEast and other business support agencies and maximising on signposting/referring business applicants to other sources of finance, with reduced call on Council grant funds. Risk of such a reduction however is that it may undermine the incubation strategy and efforts to promote business start-ups and growth, thereby affecting LAA/MAA targets especially in the	28	28	
Economic Development Marketing	Marketing budget: proposed reduction in budget relies on Council being able to benefit from increased levels of awareness-raising, marketing and positive PR generated via other means and agencies, e.g. One NorthEast's Regional Image Strategy, Tall Ships' Race-related PR, property developers' marketing. Risk of such a reduction however is that such other activity is beyond Council control and cannot be guaranteed. There is a case for actually <u>increasing</u> marketing activity related both to property investors/developers/ businesses and to tourists/visitors, given that Hartlepool has an expanding "product" to market, e.g. business units at Queen's Meadow, Tall Ships' Race and potential investment	10	10	
	Total for Regeneration & Planning	176	176	
	Overall Total	2,460	2,309	

Revenue Contributions Towards Capital Expenditure

7.3 Appendix K

Department	Scheme	Amount (£)
Chief Executive's	Public Consultation & Complaints and Scrutiny contribution to Eclipse IT System	40,000
Total		40,000
Adult & Community Services	Sir William Grey House Disability Improvements	628
	Museum of Harlepool - Redisplay	11,524
	Hartlepool Maritime Experience Lift	610
	Headland Community Resource Centre	11,569
	Ward Jackson Park CCTV & Light	3,000
	Ward Jackson Park Fountain	978
	Grayfields CCTV	2,160
	Foreshore - Replacement Lifeguard Vehicle	14,000
	Burn Valley Park Beck - River Corridor Enhancement	20,000
	Town Moor - Develop Multi Use Games Area	17,060
	Burn Valley Allotment Security Gate	680
	Station Lane Allotments Security gate	960
	Station Lane Allotments Security gate	85
	Central Library RFID Self Issue	84,668
	Headland Community Resource Centre	9,817
	Mill House Leisure Centre Feasibility	7,293
	Town Moor - Develop Multi Use Games Area	16,000
	Chronically Sick & Disabled Adaptations	66,974
	Central Library Disabled Toilet	4,901
	Masefield Road (NDNA Accommodation)	100,000
Total		372,906

Revenue Contributions Towards Capital Expenditure**7.3 Appendix K**

Department	Scheme	Amount (£)
Children's Services	Rossmere Skatepark & MUGA	70,000
	Integrated Children's System Improvements	11,214
	Brinkburn Swimming Pool Access Works and Cover	74,971
	DSG Contribution to Schools Capital Programme	679,034
	2008/09 DSG u/s - Contribution to Schools Capital Programme	355,400
	Sure Start Contribution to Throston Children's Centre	7,784
Total		1,198,403
Neighbourhood Services	Community Safety CCTV Upgrad	3,048
	Disabled Facility Grants	23,741
	Hartlepool Active Response Team Vehicle	27,678
	Enterprise Centre Toilet & Shower Facilities	9,201
	Footpath Resurfacing - Cemetery Road	33,025
	Coast Road Pedestrian Fence	6,400
	Construction of New Saltbarn	31,680
	HCFE College Redevelopment	61,683
	Rift House Street Lighting	2,500
	Stockton Street Wall	25,000
	Marina Way Landscaping Works	47,073
	Victoria Buildings Grants	66,000
	Wharton Terrace Improvements	24,300
Total		361,329

SCHOOL BALANCES SUMMARY 2007/08 - 2009/10

SCHOOL	Recent Trends			Analysis of balances as at 31.3.10				
	Balance as at 31.03.08 £	Balance as at 31.03.09 £	Balance as at 31.03.10 £	Deficit Recovery £	Earmarked balances £	General reserves £	Total 2010/11 ISB £	General reserves as % of budget share %
PRIMARY								
Barnard Grove	57,866	96,305	45,432	0	0	45,432	1,097,571	4.1%
Brougham	176,568	79,014	100,199	0	98,357	1,842	1,065,301	0.2%
Clavering	(7,425)	(28,950)	35,571	0	0	35,571	915,050	3.9%
Eldon Grove	89,369	141,114	115,165	0	60,000	55,165	1,413,205	3.9%
Elwick	132,662	80,666	73,640	0	0	73,640	348,934	21.1%
Fens	195,819	207,899	140,067	0	97,037	43,030	1,235,438	3.5%
Golden Flatts	32,486	28,925	74,248	0	61,732	12,516	761,752	1.6%
Grange	158,728	99,724	75,067	0	25,700	49,367	1,487,865	3.3%
Greatham	59,925	53,379	89,955	0	70,000	19,955	428,019	4.7%
Hart	42,139	24,375	38,536	0	0	38,536	360,820	10.7%
Holy Trinity	89,754	38,924	59,028	0	34,446	24,582	649,942	3.8%
Jesmond Road	38,399	82,861	123,413	0	123,413	0	1,073,587	0.0%
Kingsley	99,723	235,800	186,060	0	186,060	0	1,602,913	0.0%
Lynnfield	27,239	46,639	29,638	0	0	29,638	1,214,088	2.4%
Owton Manor	69,170	20,220	42,533	0	42,533	0	929,758	0.0%
Rift House	27,126	45,546	40,150	0	18,829	21,321	641,089	3.3%
Rossmere	7,960	42,171	72,195	0	35,910	36,285	1,185,702	3.1%
Sacred Heart	126,538	112,511	76,663	0	0	76,663	1,380,032	5.6%
St Aidans	58,014	35,888	70,598	0	45,091	25,507	1,011,899	2.5%
St Begas	(38,631)	(81,639)	(58,139)	(58,139)	0	0	553,339	0.0%
St Cuthberts	32,959	42,221	35,761	0	3,500	32,261	879,290	3.7%
St Helens	52,923	70,803	93,697	0	58,000	35,697	920,753	3.9%
St John Vianney	95,642	52,415	90,149	0	90,149	0	762,590	0.0%
St Josephs	135,424	98,831	21,840	0	0	21,840	627,667	3.5%
St Teresa's	70,971	110,400	74,297	0	58,000	16,297	977,322	1.7%
Stranton	83,919	1,255	31,378	0	0	31,378	970,438	3.2%
Throston	103,729	151,496	99,770	0	95,147	4,623	1,209,060	0.4%
Ward Jackson	137,523	99,404	75,155	0	67,027	8,128	550,351	1.5%
West Park	104,013	75,213	70,696	0	32,231	38,465	986,535	3.9%
West View	100,750	136,964	74,476	0	74,476	0	1,187,015	0.0%
Total Primary	2,361,282	2,200,374	2,097,236	(58,139)	1,377,637	777,738	28,427,325	2.7%
SECONDARY								
Brierton	652,674	132,266	0					
Dyke House	356,533	807,691	421,214	0	421,214		4,766,843	0.0%
English Martyrs	244,564	126,886	120,814	0	120,814		7,383,336	0.0%
High Tunstall	296,482	182,612	458,055	0	458,055		5,227,926	0.0%
Manor College	(215,833)	94,684	235,544	0	229,000	6,544	5,180,475	0.1%
St Hilda's	304,846	271,336	106,909	0	106,909		3,802,685	0.0%
Total Secondary	1,639,266	1,615,475	1,342,536	0	1,335,992	6,544	26,361,265	0.0%
SPECIAL								
Catcote	2,158	27,434	711	0	711	0	1,806,332	0.0%
Springwell	104,304	24,330	81,659	0	47,500	34,159	1,169,840	2.9%
Total Special	106,462	51,764	82,370	0	48,211	34,159	2,976,172	1.1%
NURSERY								
Seaton Nursery	15,992	14,157	37,124	0	37,000	124	227,008	0.1%
Total Nursery	15,992	14,157	37,124	0	37,000	124	227,008	0.1%
TOTAL BALANCES	4,123,002	3,881,770	3,559,266	(58,139)	2,798,841	818,564	57,991,770	1.4%

7.3 Appendix M

Adult and Community Services - Contingency

Budget heading/ Cost Centre	Cost Centre Code	Description	Risk Probability	Value included in contingency (based on risk probability)	Outturn Use of Contingency	Comments
Sport & Recreation	12210	Launch of Government's free swim initiative consists of 2 elements - Over 60's for which the available funding is known and for under 16's, available funding currently unknown. We will not be made aware of the funding until late Autumn but in the information available to date, there is a hint of some element of match funding being required. If we decide not to go ahead with the initiative, we will not be able to access the capital funding also available to improve swimming pool provision.	Low	10	0	Reduced take up of this scheme by other LA's has resulted in Hartlepool's settlement being greater than anticipated. It is not expected that this contingency will be required at this stage.
Older People	17001	Abdiel Centre - risk of closing owing to Extra Care scheme at Derwent Grange - possible displacement of individuals resulting in similar circumstance to closure of St. Cuthbert's	High	45	45	Contingency fully utilised for day centre placements within this cost centre
		Total Contingency		55	45	

7.3 Appendix M

Chief Executive's Department - Contingency

Budget heading/ Cost Centre	Cost Centre Code	Description	Risk Probability	Value included in contingency (based on risk probability)	Outturn Use of Contingency	Comments
External Audit	23581	Increase in External Audit fees arising from implications of IFRS (as described above). External Auditors will review progress in preparing for IFRS as part of 2009/10 audit work..	Very Low	11	0	There has been no indication from the Audit Commission that fees will be increased.
		Total Contingency		11	0	

7.3 Appendix M

Children's Services - Contingency

Budget heading/ Cost Centre	Cost Centre Code	Description	Risk Probability	Value included in contingency (based on risk probability)	Outturn Use of Contingency	Comments
Lifelong Learning	22463	Provision of capacity to manage the transfer of 16-19 education and training funding to the local authority. This is required to ensure that effective planning can be put in place to ensure sufficient places for young people can be commissioned from 2010. Funding would provide for a lead officer and a project officer.	Medium	63	0	This contingency has been deferred until 2010/11.
Community Facilities	Various	A review of the sustainability of Community Facilities operating from schools is under review as requested by the Children's Services Scrutiny Forum. Some facilities are operating at a deficit and it is unlikely that they will be able to generate sufficient income to break even. After maximising access to early years and Standards Funding available to schools it is likely that annual subsidies of between £100,000 and £200,000 per year will be required.	Medium	42	42	A Reserve was created at year end for this £42k as there may be a requirement to utilise some or all of this to fund Community Facility deficits brought forward from 2009/10 and/or during 2010/11.
Total Contingency				105	42	

7.3 Appendix M

Neighbourhood Services - Contingency

Budget heading/ Cost Centre	Cost Centre Code	Description	Risk Probability	Value included in contingency (based on risk probability)	Outturn Use of Contingency	Comments
0		This increase is almost certain because of the collapse in the recycling market	High	30	30	Recycling market has reached a low and is not anticipated to recover in the immediate future.
Recycling		Household Waste Recycling Centre Contract out to tender this financial year. Expected contract rates will increase.	High	43	43	Tender price was as high as anticipated therefore funding will be required.
		Total Contingency		73	73	

7.3 Appendix M

Regeneration and Planning - Contingency

Budget heading/ Cost Centre	Cost Centre Code	Description	Risk Probability	Value included in contingency (based on risk probability)	Outturn Use of Contingency	Comments
Straightline Project	12107	Straightline Project. This is an alcohol awareness project for young people either found in possession of alcohol by the Police or who are identified by other agencies. This successful and well regarded project is funded from LAA Reward Grant. Continued funding will depend on the public's perception of two elements of anti social behaviour (i) teenager hanging around (ii) rowdy and drunken behaviour. This reliance on public perception for future project funding poses some risk and it is proposed a contingency arrangement is considered.	Medium	21	21	
Community Safety	12064	Monitoring of CCTV cameras is currently undertaken by Housing Hartlepool, under a Service Level Agreement, which comes to an end in March 09. Costs associated with the SLA are historical, dating back to the mid 1990s, when the council took over the responsibility for CCTV from the Police. The current arrangement with Housing Hartlepool is inextricably linked to the services they provide for Telecare and community alarm monitoring, as part of the Supporting People programme. The monitoring centre staff also provide the Council's emergency and out-of-hours contact point. CCTV monitoring costs paid by the Council do not currently cover Housing Hartlepool's overheads costs for the monitoring centre. The budget increase would cover these overhead costs and ensure continued arrangements for all Council services currently provided from the centre. The increase for 09/10 has been based on a tendering exercise for CCTV monitoring at Longhill ind estate, conducted during 07/08, when Housing Hartlepool won the contract, as the tenderer with lowest price. Increases in subsequent years will be based on RPI.	High	38	38	
SLA Waiting List Management	27071	Management and operation of the housing waiting list to ensure proper allocation of housing on the basis of need. This is a statutory service of Hartlepool Council as the Housing Authority. The service is provided through an SLA by Housing Hartlepool, which is the main social housing provider enabling integration with landlord functions, at a nominal cost. Through a required review a realistic cost has been negotiated for the provision of this service and Housing Hartlepool have agreed to provide 50% of the cost subject to Board approval. This provision covers the estimated non budgeted cost to the Council.	High	60	60	
		Total Contingency		119	119	
		Total all departments		363	279	

REVENUE FINANCIAL OUTTURN REPORT 2009/2010

Line No	Latest Budget	Outturn	Variance Adverse/ (Favourable)	Description of Project/Grant	Amount Rephasd to 2010-2011	Amount Contributed Corporate Reserve
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G (G=D-F)
	£'000	£'000	£'000		£'000	£'000
Adult & Community Services						
1	30	30	0	Supporting People Projects	0	0
2	149	128	(21)	Supporting People Administration	0	21
3	261	244	(17)	Adult Social Care Workforce	0	17
4	480	481	1	Carers (80% Adult)	0	(1)
5	164	163	(1)	Learning & Disability Development Fund	0	1
6	99	94	(5)	Local Involvement Networks (LINKS)	4	1
7	119	93	(26)	Mental Capacity Act & Indepdnt Mental Capacity Adv Service	0	26
8	344	341	(3)	Mental Health	0	3
9	322	322	0	Preserved Rights	0	0
10	46	44	(2)	WNF - Mobile Maintenance	2	0
11	91	91	0	WNF - Mental Health Dev & NRF Supp Nwork, MIND Mgr & NDC Supp Nwork	0	0
12	20	20	0	WNF - Integrated Care Teams - PCT	0	0
13	25	25	0	WNF - Connected Care - Manor Residents	0	(0)
14	51	51	0	WNF - Skills to Work HBC	0	0
15	10	9	(1)	WNF - Economic Impact Evaluation of the Tall Ships	1	0
16	44	44	0	WNF - Belle Vue Sports	0	0
17	28	27	(1)	WNF - Exercise Referral	1	0
Chief Executives						
18	40	37	(3)	WNF - Financial Inclusion - HBC	3	0
Corporate						
19	0	0	0	Climate Change	0	0
Childrens Services						
20	31	31	0	14-19 Flexible Funding Pot	0	0
21	90	61	(29)	Care Matters	0	29
22	99	99	0	Carers (20%)	0	0
23	223	223	0	Child and Adolescent Mental Health Service	0	0
24	17	17	0	Child Death Review Processes	0	0
25	42	42	0	Children's Social Care Workforce	0	0
26	395	395	0	Children's Fund	0	0
27	25	25	0	Choice Advisors	0	0
28	1,106	1,063	(43)	Connexions	0	43
29	6	6	0	Designated Teacher Funding	0	0
30	69	55	(14)	Education Health Partnerships	15	(1)
31	18	45	27	Extended Rights to Free Transport	0	(27)
32	477	477	0	Extended Schools Start Up costs	0	0
33	404	386	(18)	Positive Activities for Young People	0	18
34	68	68	0	Secondary National Strategy - Behaviour & Attendance	0	0
35	108	108	0	Secondary National Strategy - Central Co-ordination	0	0
36	72	72	0	Primary National Strategy - Central Co-ordination	0	0
37	287	287	0	School Development Grant	0	0
38	42	42	0	School Improvement Partners	0	0
39	26	26	0	School Intervention Grant	0	0
40	7	0	(7)	Sustainable Travel	7	0
41	144	147	3	Teenage Pregnancy Prevention	0	(3)
42	2	2	0	Child Trust Fund	0	0
43	410	410	0	WNF Primary / Secondary Schools Direct Funding	0	0
44	51	51	0	WNF Education Business Links	0	0
45	0	0	0	WNF NAP North Flexible Fund	0	0
46	41	41	0	WNF New Initiatives	0	0
47	5	5	0	WNF Project Co-ordination	0	0
48	51	51	0	WNF On-Track Project	0	0
49	89	89	0	WNF - Reducing Childhood Obesity	0	0
50	60	60	0	14 - 19 Reform Support	0	0
Neighbourhood Services						
51	184	197	13	Road Safety Grant	0	(13)
52	30	30	0	Rural Bus Subsidy	0	0
53	38	28	(10)	School Travel Advisers	0	10
54	66	66	0	WNF Schools Environmental Action Officer	0	0
55	159	158	(1)	WNF Environmental Enforcement Wardens	1	0
56	109	109	0	WNF Environmental Action Team	0	0

REVENUE FINANCIAL OUTTURN REPORT 2009/2010

Line No	Latest Budget	Outturn	Variance Adverse/ (Favourable)	Description of Project/Grant	Amount Rephased to 2010-2011	Amount Contributed Corporate Reserve
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G
	£'000	£'000	£'000		£'000	£'000
	Regeneration & Planning					
57	47	42	(5)	Cohesion	5	0
58	307	290	(17)	Stronger Safer Communities Fund (Neighbourhood Element)	17	0
59	182	182	0	Stronger Safer Communities Fund (BSC, ASB & DPSG Elements)	0	0
60	40	40	0	Young People Substance Misuse Partnership	0	0
61	36	23	(13)	WNF - Connect To Work	13	0
62	40	40	0	WNF - Adventure Traineeship - West View Project	0	0
63	51	51	0	WNF - Employment Support - Hartlepool MIND	0	0
64	57	57	0	WNF - Support for existing businesses to expand	0	0
65	26	26	0	WNF - Active Skills - West View Project	0	0
66	37	37	0	WNF - Career Coaching - HVDA	0	0
67	83	83	0	WNF - Level 3 Progression - HCCE	0	0
68	4	4	0	WNF - Administration of LLP	0	0
69	62	62	0	WNF - Support for adults into Skills for Life and NVQ Level 2 courses including Citizenship Learning	0	0
70	187	181	(6)	WNF - Safer Streets & Homes, Target Hardening	3	3
71	131	131	0	WNF - Dordrecht Prolific Offenders Scheme	0	0
72	26	26	0	WNF - Project Assistant	0	0
73	71	71	0	WNF - ASB Officer & Analyst	0	0
74	67	67	0	WNF - COOL Project	0	0
75	194	193	(1)	WNF - FAST	1	0
76	10	10	0	WNF - Landlord Accreditation Scheme	0	0
77	34	34	0	WNF - LIFE - Fire Brigade	0	0
78	200	200	0	WNF - Neighbourhood Policing	0	0
79	123	123	0	WNF - HMR - Support for Scheme Delivery	0	0
80	140	140	0	WNF - Community Empowerment Network Core Costs	0	0
81	90	90	0	WNF - Community Chest	0	0
82	237	157	(80)	WNF - NAP Residents Priorities	79	1
83	40	40	0	WNF - NAP Development	0	0
84	96	96	0	WNF - Neighbourhood Renewal/Hartlepool Partnership	0	0
85	53	53	0	WNF - NR & Strategy Officer (including Skills & Knowledge)	0	0
86	47	47	0	WNF - Local Employment Assistance - OFCA	0	0
87	32	34	2	WNF - Jobsmart - HBC	0	(2)
88	39	39	0	WNF - Youth into employment - Wharton Trust	0	0
89	16	16	0	WNF - Introduction to construction - Community Campus	0	0
90	74	77	3	WNF - Women's Opportunities	0	(3)
91	9	9	0	WNF - Enhancing Employability	0	0
92	159	159	0	WNF - Homelessness Project	0	0
93	46	46	0	WNF - Carers into Training and Employment - Hpool Carers	0	0
94	122	122	0	WNF - Targeted Training	0	0
95	80	79	(1)	WNF - Jobs Build	0	1
96	240	240	0	WNF - Workroute ILM	0	(0)
97	275	274	(1)	WNF - Progression to Work - Assisting local people into work	0	1
98	100	100	0	WNF - Volunteering into Employment - HVDA	0	0
99	215	217	2	WNF - Community Employment Outreach - OFCA/Wharton Annexe/West View Employment Action Centre	0	(2)
100	41	41	0	WNF - Job Club Owton Manor West Neighbourhood Watch & Resident's Association	0	0
101	37	37	0	WNF - West View Project	0	(0)
102	28	28	0	WNF - Hartlepool Worksmart - Improving the Employment Offer	0	0
103	274	273	(1)	WNF - Incubation Systems and Business Skills Training	0	1
104	20	21	1	HBC/OFCA	0	(1)
105	8	8	0	WNF - Business & Tourism Marketing	0	0
106	13	13	0	WNF - Family Case Load Workers	0	0
107	0	0	0	WNF - Programme Management	0	0
108	400	400	0	WNF Burn Valley Residents Priority Budget	0	0
109	60	0	(60)	Jobs and Economy	0	0
				Statutory Economic Assessments	60	0
	12,380	12,045	(335)	TOTAL	213	122

317 Core Projects funded from ABG - Corporate

12,697

CABINET REPORT

6 September 2010



Report of: Director of Child and Adult Services

Subject: HEALTH WHITE PAPER: EQUITY AND EXCELLENCE: LIBERATING THE NHS (JULY 2010)

SUMMARY

1. PURPOSE OF REPORT

- 1.1 The purpose of this report is to present to the Cabinet a summary paper of the Health White Paper: Equity and Excellence: Liberating the NHS (July 2010).

2. SUMMARY OF CONTENTS

- 2.1 The White paper sets out the Coalition Governments' plans for radically reforming the NHS in England and Wales.

3. RELEVANCE TO CABINET

- 3.1 This is a significant policy issue that Cabinet need to be aware of given the impact on the commissioning and provision of health services and public health. The proposals have significant implication for the role and responsibilities of the Local Authority in relation to Health.

4. TYPE OF DECISION

- 4.1 Note for information.

5. DECISION MAKING ROUTE

Cabinet 6 September 2010.

6. DECISION(S) REQUIRED

- 6.1 Cabinet is required to note the content of the NHS White Paper and implications for the Local Authority.

Report of: Director of Child and Adult Services

Subject: HEALTH WHITE PAPER: EQUITY AND EXCELLENCE: LIBERATING THE NHS (JULY 2010)

1. INTRODUCTION

- 1.1 The purpose of this paper is to brief Hartlepool Borough Council Cabinet regarding the proposals and implications of the newly published Health White Paper 'Equity and Excellence: Liberating the NHS (July 2010).
- 1.2 The Paper will detail the major proposals; will highlight the implications for the Local Authority relating to the proposals and the timescale and process for implementing the proposals.

2. PROPOSALS

- 2.1 The NHS White Paper is radical and far reaching in how it will transform the current arrangements for commissioning, providing, performance managing and holding to account National Health Service (NHS) services. It is also hugely significant to the Local Authority as it places a requirement on the Council to assume new responsibilities in relation to health services, public health and health improvement.
- 2.2 The proposals are as follows:
 - Putting patients first through greater choice, involvement and control and a more important role for clinicians in deciding on health priorities. People will be given greater choice of provider, including the right to choose to register with any GP, and greater involvement in decisions about their care.
 - An independent NHS Commissioning Board will allocate NHS resources to general practitioner-based consortia and support them in their commissioning decisions. It will also provide national leadership on commissioning for quality improvement; promote patient involvement and choice and support the development of GP commissioning consortia. It will also commission national and regional specialist services and community services such as GP, dentistry, pharmacy and maternity services as well as allocate and account for NHS resources.

- The NHS Commissioning Board will be fully operational in April 2012, when Strategic Health Authorities will be abolished. A national Public Health Service will be created to promote public health, with responsibility for local delivery of public health transferred from PCTs to local authorities.
- The NHS Commissioning Board will be a champion for patient and carer involvement.
- Greater accountability, local autonomy and democratic legitimacy through the development of GP commissioning consortia, working in partnership at local level with local authorities. Decisions on treatment and care will pass directly to groups of health practitioners who will be responsible for around £80 billion of NHS resources per annum. It is anticipated that there will be around 500-600 general practitioner commissioning consortia across England and all GPs will be required to join a consortium. Each consortium will have to be of sufficient size to manage financial risk and to commission services jointly with local authorities. The NHS Commissioning Board will be responsible for holding consortia to account for their use of NHS resources. They will have the freedom to decide whether to undertake commissioning activities themselves or outsource commissioning activity to other organisations, including local authorities. These consortia will have a duty to promote equalities, to work in partnership with local authorities and will also have a duty of patient and public involvement.
- Maintain NHS spending in real terms, though there will be efficiencies in the region of 45 per cent of total NHS management costs to offset rising demographic demands. There will be “no bail-outs for organisations which overspend public budgets”. There is an expectation that management costs will be cut by more than 45 per cent by abolishing PCTs and SHAs, a major reduction in the overall size of the Department of Health, and a major cull of health-related quangos.
- PCTs will have an important but time-limited role in supporting health practitioners to develop their commissioning capacity and to ensure a smooth transition to the new model. It is planned that following the Health Bill in 2012/13, general practitioner-based consortia will take full financial responsibility from April 2013 when PCTs will be abolished.
- Creation of an independent NHS Commissioning Board to oversee commissioning and to champion improvement and patient involvement in health services. The development of GP commissioning consortia and the creation of the NHS Commissioning Board will pave the way for the abolition of Strategic Health Authorities (SHAs) in 2012/13 and Primary Care Trusts (PCTs) 2013.

- New roles and resources for local councils in public health, and a new statutory Health and Wellbeing Board to ensure coordination, integration and partnership working on social care, public health and health improvement.
- Abolition of the health oversight and scrutiny role for councils. There will be the creation of a national Health Watch for England to be the national voice of patients and the public. Local involvement networks will become local Health Watch branches. Local authorities will retain their statutory duty to support patient and public involvement activity. Health Watch England will be created as an independent consumer champion within the Care Quality Commission (CQC). Local involvement networks will be rebranded as Local Health Watch and will ensure that the voices of patients and carers are at the heart of the commissioning process. Local Health Watch will be funded by and accountable to local authorities and they will have a legal duty to ensure that Health Watch is operating effectively. Councils will have responsibility for commissioning Local Health Watch or Health Watch England to provide support and advocacy services. At national level, Health Watch England will provide leadership to local branches and will provide advice to national bodies, including the NHS Commissioning Board, Monitor and the Secretary of State. It will also have the power to propose CQC investigations of poor services, based on local intelligence.
- New joint roles for both Monitor and the Care Quality Commission (CQC), with Monitor becoming the economic regulator for all health and social care providers and CQC becoming the quality inspectorate.
- There will be better information for patients and carers, a wider range of on-line services and new ways for patients and clinicians to communicate. All providers and commissioners will have a legal duty to provide accurate and timely data, and the Department of Health (DH) will publish an information strategy to seek views on how best to implement the changes. Patients will have control over their health records and will be able to share them with other organisations, such as patient support groups and patient advocates.
- There will be a further consultation on extending choice later in 2010. The White Paper reiterates the Government's commitment to extending choice through a roll-out of personal budgets for health. The NHS Commissioning Board will have a key role in extending choice and control, and Monitor will ensure that patients have a choice.

- The NHS will focus on outcomes, rather than meet top-down targets. The first step towards this will be the new NHS Outcomes Framework which will include a set of national outcome goals, against which the NHS Commissioning Board will be accountable. The outcomes will focus on clinical effectiveness, patient safety and patient experience of their care. The DH will be publishing a separate consultation document on the development of national outcome goals.
- The outcome framework will be supported by quality standards developed by the National Institute for Health and Clinical Excellence (NICE). Within the next five years, NICE will develop 150 standards for all the main pathways of care, covering both health and social care services.

3. IMPLICATIONS FOR THE LOCAL AUTHORITY

3.1 The proposals have significant implications for the Local Authority. The implications are as follows:

- PCT public health improvement functions will be transferred to local councils after the abolition of PCTs in 2013.
- Local Directors of Public Health will be jointly appointed by the Local Authority and the National Public Health Service. Further clarity is required around the arrangements for the employment of public health teams and the accountability of the Local Director of Public Health.
- A ring-fenced public health budget will be allocated to local authorities to support their public health and health improvement functions.
- Councils will be required to establish “health and wellbeing boards” to join up the commissioning of local NHS services, social care and health improvement. This will allow local authorities to take a strategic approach on promoting integration across health and adult social care, children’s services (including safeguarding) and the wider local authority agenda.
- An extension and simplification of powers to enable joint working between the NHS and local authorities.
- Health Overview and Scrutiny Committees (HOSCs) will be replaced by the above functions.

It is worth noting that many of the details relating to public health are not yet known as the white paper for public health is still to be published in late autumn.

4. PROCESS AND TIMESCALES FOR IMPLEMENTATION

- 4.1 Consultation is now underway regarding the proposals in anticipation of a Health Bill to legislate for these changes in the Autumn (see table below).
- 4.2 Five further supporting papers have been released for consultation. These publications give greater details of what is being proposed. The publications are:
- ‘Liberating the NHS – ‘Commissioning for patients’
 - ‘Liberating the NHS - Transparency in Outcomes Framework’
 - ‘Liberating the NHS - Local Democratic Legitimacy in health’
 - ‘Liberating the NHS – Regulating Healthcare Providers’
 - ‘Liberating the NHS – The Review of Arm’s Length Bodies’
- 4.3 The timescale for all of the proposals to be implemented is in the table below:

Commitment	Date
Further publications on: • framework for transition • NHS outcomes framework • commissioning for patients • local democratic legitimacy in health • freeing providers and economic regulation	July 2010
Report of the arm’s length bodies review published	Summer 2010
Health Bill introduced in Parliament	Autumn 2010
Further publications on: • vision for adult social care • information strategy • patient choice • a provider-led education and training • review of data returns	By end 2010
Separation of SHAs’ commissioning and provider oversight functions	
Public Health White Paper	Late 2010
Introduction of choice for: • care for long-term conditions • diagnostic testing, and post-diagnosis	From 2011
White Paper on social care reform	2011
Choice of consultant-led team	By April 2011
Shadow NHS Commissioning Board established as a special health authority	April 2011
Arrangements to support shadow health and wellbeing partnerships begin to be put in place	April 2011
Quality accounts expanded to all providers of NHS care	April 2011
Cancer Drug Fund established	April 2011
Choice of treatment and provider in some mental health services	From April 2011
Improved outcomes from NHS Outcomes Framework	April 2011
Expand validity, collection and use of PROMs	April 2011
Develop pathway tariffs for use by commissioners	April 2011
Quality accounts: nationally comparable information published	June 2011

Commitment	Date
Report on the funding of long-term care and support	By July 2011
Hospitals required to be open about mistakes	Summer 2011
GP consortia established in shadow form	2011/12
Tariffs: • Adult mental health currencies developed • National currencies introduced for critical care • Further incentives to reduce avoidable readmissions • Best-practice tariffs introduced for interventional radiology, day-case surgery for breast surgery, hernia repairs, and some orthopaedic surgery	2011/12
NHS Outcomes Framework fully implemented	By April 2012
Majority of reforms come into effect: • NHS Commissioning Board fully established • New local authority health and wellbeing boards in place • Limits on the ability of the Secretary of State to micromanage and intervene • Public record of all meetings between the Board and the Secretary of State • Public Health Service in place, with ring-fenced budget and local health improvement led by Directors of Public Health in local authorities • NICE put on a firmer statutory footing • HealthWatch established • Monitor established as economic regulator	April 2012
International Classification of Disease (ICD) 10 clinical diagnosis coding system introduced	From 2012/13
NHS Commissioning Board makes allocations for 2013/14 direct to GP consortia	Autumn 2012
Free choice of GP practice	2012
Formal establishment of all GP consortia	
SHAs are abolished	2012/13
GP consortia hold contracts with providers	April 2013
PCTs are abolished	From April 2013
All NHS trusts become, or are part of, foundation trusts	2013/14
All providers subject to Monitor regulation	2013/14
Choice of treatment and provider for patients in the vast majority of NHS-funded services	By 2013/14
Introduction of value-based approach to the way that drug companies are paid for NHS medicines	2013/14
NHS management costs reduced by over 45%	By end 2014
NICE expected to produce 150 quality standards	By July 2015

5. RECOMMENDATIONS

- 5.1 It is recommended that Cabinet note the content of this report and consider the implications of the proposals for the Local Authority.
- 5.2 It is requested that Cabinet provide guidance as to the next steps and process for discussing the implications with other stakeholders impacted on by the proposals.

Report of: Director of Regeneration and Neighbourhoods

Subject: HARTLEPOOL LOCAL SITES REVIEW

1. PURPOSE OF REPORT

- 1.1 The report describes the process for reviewing Hartlepool's non-statutory nature conservation and geological sites and proposes changes to the list of those sites as recorded in the 2006 Hartlepool Local Plan.

2. INTRODUCTION

- 2.1 Hartlepool Borough Council has undertaken a review of its non-statutory nature conservation sites. In parallel with this, the local geology group (Tees Valley RIGS) has undertaken a review of non-statutory geological sites. The review process has followed guidance produced by Defra in 2006 in their publication "Local Sites: guidance on their Identification, Selection and Management."
- 2.2 The review has been overseen by the Tees Valley Local Sites Partnership. The partnership includes representation from all five Tees Valley unitary authorities, all of which have been carrying out their own Local Sites review

3. REVIEW PROCESS

- 3.1 The Defra guidance states that designation should be based on objective criteria that have been decided by the local sites partnership, taking into consideration the characteristics of the local area. The Tees Valley Local Sites Partnership has agreed a range of criteria for selection of sites. Examples of these include all sites with populations of Common Lizard or Harvest Mice and areas of woodland with 10 or more characteristic plant species. A full list of the criteria can be found on the Tees Valley Biodiversity website at <http://teesvalleybiodiversity.org.uk/wp-content/uploads/2009/08/LWS-Guidelines-V71.pdf>. The Defra guidance states that all sites meeting those criteria should be selected.
- 3.2 All of the existing non-statutory sites were surveyed to see if they met the criteria. In addition other sites that were thought to have substantive nature conservation interest features that might merit designation were surveyed.

- 3.3 The Defra guidance also sought to standardise the names of non-statutory sites. In Hartlepool these are currently known as Sites of Nature Conservation Interest and Regionally Important Geological or Geomorphological Sites. The guidelines recommend that those sites being designated for their biodiversity interest should be termed Local Wildlife Sites and those for the geodiversity interest as Local Geological Sites. Together they are known as Local Sites. This change in terminology has been adopted across the Tees Valley.
- 3.4 The review process in Hartlepool has been led by the Council's Ecologist, working in partnership with the Natural Environment sub-group of the Hartlepool Local Strategic Partnership. The group identified potential sites in Hartlepool and, in some cases, helped to survey them. The group decided on the list of sites to be proposed and recommended them to the Tees Valley Local Sites Partnership to be verified. The list of sites was verified by the Partnership at its meeting on 29th September 2009. A further two sites which came to light later were verified on 14th July 2010.
- 3.5 The results of the review have also taken to the full Environment theme group of the Hartlepool Local Strategic Partnership for information.
- 3.6 All landowners of the various sites have been informed and given the opportunity to comment. Responses have been received from five landowners, two of which raised concerns about the public accessing the sites. These concerns have been responded to and assurance given that designation as a Local Site does not give any right of access to any person to that area of land.

4. RESULTS OF THE LOCAL SITES REVIEW

- 4.1 There are 39 nature conservation sites and one geological conservation site currently listed in the 2006 Hartlepool Local Plan. Of the nature conservation sites, eight did not meet any of the current criteria therefore are proposed for de-designation. Certain of the other sites were amalgamated as they were adjacent to each other and had similar interest features. A further 15 new sites were identified as meeting the criteria. There are now 43 sites proposed for designation as Local Wildlife Sites.
- 4.2 A further five geological sites have been identified bringing the total to six.
- 4.3 The full list of Local Sites and further information on each of them can be found on the Council's website under Ecology

5. DECISION REQUIRED

- 5.1 Cabinet is asked to endorse the findings of the Local Sites review and the amendments to the list of non-statutory nature conservation sites.

6. CONTACT OFFICER

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CABINET REPORT

6th September 2010



Report of: Director of Regeneration and Neighbourhoods

Subject: CORE STRATEGY PREFERRED OPTIONS
REPORT

SUMMARY

1. PURPOSE OF REPORT

To notify Cabinet of feedback from the public consultation on the Core Strategy Preferred Options Report, to highlight changes in national planning policy which will impact on the preparation of the Core Strategy and to seek guidance on next steps including the possible revisiting of the Preferred Options Stage.

2. SUMMARY OF CONTENTS

The report summarises the feedback from the public consultation stage of the Core Strategy Preferred Options which was carried out earlier this year highlighting the main issues of concern and support. The report then sets out recent changes to Government policy which will impact on the preparation of the Core Strategy, in particular the abolition of the Regional Spatial Strategy. Key policy issues are then discussed in greater detail. In the light of this information and officer advice, the report seeks Cabinet guidance on the content and process of the Core Strategy including whether to progress to the Publication Stage or to re-consult on a revised Preferred Options document.

3. RELEVANCE TO CABINET

The Core Strategy sets the strategic planning framework for the town over the next fifteen years and will impact across a number of portfolios.

4. TYPE OF DECISION

The Core Strategy forms part of the plans and strategies which together comprise the development plan and are part of the Council's budget and policy framework.

5. DECISION MAKING ROUTE

Cabinet 6th September 2010

6. DECISION(S) REQUIRED

- i) Members views are requested in relation to key policy issues in the light of the consultation feedback and recent Government policy changes.
- ii) Member's views are requested on whether to progress to Publication stage of the Core Strategy or to re-consult on a revised Preferred Options document.

Report of: Director of Regeneration and Neighbourhoods

Subject: CORE STRATEGY PREFERRED OPTIONS
REPORT

1. PURPOSE OF REPORT

- 1.1 To notify Cabinet of feedback from the public consultation on the Core Strategy Preferred Options Report, to highlight changes in national planning policy which will impact on the preparation of the Core Strategy and to seek guidance on next steps including the possible revisiting of the Preferred Options Stage.

2. BACKGROUND

- 2.1 The preparation of the Core Strategy Development Plan Document is a requirement under the 'Local Development Framework' planning system established by the Planning and Compulsory Purchase Act 2004. The Hartlepool Core Strategy will set out the key elements of the planning framework for the area and will comprise a spatial vision and strategic objectives, a spatial strategy and core policies. It will set out broadly but clearly what kind of place Hartlepool will be in the future; what kind of changes will be needed to make this happen; and how this will be brought about. It will provide the delivery mechanism for the 2008 Sustainable Community Strategy ('Hartlepool's Ambition') and other plans and strategies of the Council and of other bodies in as far as they relate to the use and development of land.
- 2.2 The first public stage in the process was the publication of the Issues and Options Report which was subject to public consultation between October 2007 and February 2008. Feedback from this exercise was taken into account in the preparation of the next stages of the Core Strategy – the Preferred Options. Cabinet approved the Preferred Options Draft Report for consultation on 25th January 2010 along with its accompanying Sustainability Appraisal and Habitats Regulations Assessment. The consultation ran for a period of 8 weeks from 29th January to 26th March 2010 and accorded with the Council's adopted Statement of Community Involvement (SCI). The consultation included a range of measures including direct mailing to statutory consultees, key interest and community groups; attendance at Neighbourhood Consultative Forums, Neighbourhood Action Plan (NAP) forums, the Chamber of Commerce, the Economic Forum and other local group meetings; manned and unmanned exhibition stands in libraries, local

supermarkets and other local venues, and; publication of the report on-line.

- 2.3 Policies within the Core Strategy must be informed by a strong evidence base and preparation has reflected a range of background research and studies including:-
- Hartlepool and Tees Valley Strategic Housing Market Assessments (SHMAs)(2007 & 2008)
 - Strategic Housing Land Availability Assessment (SHLAA) (2010)
 - 5 Year Housing Land Supply (2009)
 - Housing Economic Viability Assessment (2009)
 - PPG17 Open Space Assessment (2008)
 - Employment Land Review (2008)
 - Tees Valley Green Infrastructure Strategy (2008)
 - Strategic Flood Risk Assessment 2007 & 2010
 - Hartlepool Retail Study 2009
 - The Central Area Investment Framework 2009
- 2.4 The Preferred Options document was also required to reflect national and regional policy including those in the Regional Spatial Strategy (RSS) which set out general locations for delivering the housing and other strategic development needs such as employment, retail, leisure, community and essential public services and transport development. The Preferred Options document reflected Hartlepool's need to sustain and improve the town's economy, protect the environment and deliver a range of sustainable housing which reflect local need.
- 2.5 In preparing the Preferred Options document, a number of key issues were identified which the document at the time sought to address. These included:-
- Victoria Harbour is not progressing as a mixed-use redevelopment site as anticipated and it is likely that the 3,500 new homes on brownfield land envisaged will not be delivered in the short to medium term. The Hartlepool Docks area should therefore be identified as land for port related development to take advantage of potential new investment opportunities in offshore wind and sustainable energy.
 - taking the Regional Spatial Strategy targets for housing into account and the omission of Victoria Harbour mixed use land,
 - there was a need for the Core Strategy to re-think the overall strategy on the delivery of possible housing sites in the Borough.
 - the Strategic Housing Land Availability Assessment (SHLAA)

has assessed a wide variety of housing sites across the Borough looking particularly at suitability, availability and achievability.

- some of these sites might be alternative locations in place of Victoria Harbour.
- the Hartlepool and Tees Valley Strategic Housing Market Assessments (2007 & 2008) have illustrated the need for more affordable housing on developments within the town, the shortage of bungalows within the Borough and the saturation of the market for apartments.
- the Employment Land Review has assessed the various employment designations within the Hartlepool Local Plan and has suggested some de-allocations.
- Hartlepool has been identified as one of 10 potential sites within England and Wales which would be suitable for a new nuclear power station.
- climate change needs a high profile within the Core Strategy in line with the advice contained within Government Guidance.
- the recognition of the planning permission granted for the hospital at Wynyard Park.
- the economic downturn and the impacts it has had, especially within the town centre area and delivery of new housing, need to be reflected.
- work on the Planning Policy Guidance Note 17 Assessment and the Tees Valley Green Infrastructure Strategy has helped to illustrate where there are shortfalls and deficiencies in the provision.
- the Retail Study 2009 raised a number of major concerns with regards to the Town Centre. There was a higher than average number of vacant units in the centre particularly Middleton Grange. As a result the study advocated that extreme caution should be exercised in permitting new retail floor space outside the Town Centre.

3. CONSULTATION FEEDBACK

- 3.1 The 8 week consultation period generated 460 responses, 395 of which were from individual Hartlepool residents, 5 from statutory consultees 15 from consultancies/house builders and 45 from other stakeholder groups and organisations. A detailed summary of all responses received is set out in the Consultation Statement which is attached as **Appendix 1** and which will be published on the Council's

website. The main features of the consultation are highlighted in the following paragraphs.

- 3.2 In terms of residents' feedback, the majority of representations related to the proposed housing allocations at Claxton/Brierton, Tunstall Farm and Quarry Farm. The main objections relate to an overestimation of housing demand over the next 15 years, the loss of green field land when there is ample brown field land to use, traffic increase and congestion, flooding issues and damage to wild life and biodiversity.
- 3.3 There were some comments about lack of awareness and publicity surrounding the consultation and suggestions that the Council should have leaflet dropped specific areas where proposals were so large and potentially contentious. Officers consider that the consultation stage was widely publicised (see Appendix 1) and in accordance with the approved Statement of Community Involvement although they will take account of these responses for future consultation stages and balance these against time and cost factors.
- 3.4 Five responses were received from Statutory Consultees – Government Office North East, the Highways Agency, Natural England, English Heritage and the Environment Agency. All were supportive of the Core Strategy as set out in the Preferred Options 2010 document and had no major objections although each made specific references on individual policies. Particular issues raised by each organisation included:-
- **Government Office North East** – need to ensure deliverability of policies within plan period and comments on specific drafting/wording of policies.
 - **Highways Agency** – generally supportive of housing locations in the urban area; some sustainability concerns about sites at Wynyard and North Burn that do not reduce the need to travel as they are isolated from existing facilities and services.
 - **Natural England** – need greater reference to protection, management and enhancement of the natural environment in the Vision statement; should have regard to requirements of PPS9 regarding biodiversity interest on brown field land; decommissioning and new build of nuclear power station will require close scrutiny including Appropriate Assessment under the Habitats Regulations, land at Quarry Farm and High Tunstall is of importance to farm land birds and is arable productive land; Wynyard North impinges significantly on several areas of woodland SNCI (Sites of Nature Conservation Interest) and believe housing development here is not appropriate.

- **English Heritage** – object to allocation of land at North Burn on the basis of unsustainability and impact on the natural environment; also suggest Council should indicate its intentions regarding the Community Infrastructure Levy.
- **Environment Agency** – generally supports the document and strongly supports Preferred Options CS13 (Built Environment), CS14 (Open Spaces), and 15 (Natural Environment). Suggests some rewording of CS2 (Climate Change).

3.5 In relation to Planning Consultancies and house builders, all tended to support the locational strategy for compact urban expansion. There were comments raised in relation to phasing of sites, site densities and overall housing figures including statements contesting their accuracy and suggesting increased numbers. Representations were also made by individual consultancies and house builders in support of individual sites in which they have an interest. Representations were also made supporting the de-allocation of some employment land at Oakesway and Sovereign Park.

3.6 Forty-five responses were received from other stakeholders with comments generally specific to issues within their remit or locality. All of these responses are summarised in the Consultation Statement, but some of the main issues raised included:-

- Greatham Parish Council expressing concerns about what is meant by eco-industries to be located at Graythorp.
- Hartlepool College of Further Education concerned about lack of reference to the Innovation and Skills Quarter within the town centre.
- Concerns raised by several parties around waste management sites close to the town centre.
- Tees Archaeology requesting that the spatial vision should include reference to the historic environment including Listed buildings, conservation areas Scheduled Ancient Monuments and archaeological sites.
- The Woodland Trust expressing the need to emphasise the importance of ensuring that residents have access to woodland as well as natural green space
- Teesmouth Bird Club advising against the development of Claxton and Brierton.
- Hartlepool Civic Society objecting to the development of the rural hinterland when empty sites remain within the urban fence, suggesting that the Claxton site is too large and would overwhelm Greatham and suggesting there is more industrial land than required
- The Police Liaison Team requesting that there should be more reference to crime prevention and community safety and crime prevention through environmental design.

4. POLICY CHANGES AND IMPLICATIONS

- 4.1 Since the Preferred Options document was published for consultation, there has been a change in Government and the new coalition Government has made a number of policy pronouncements with regard to planning. One of the most significant so far is the decision to abolish the Regional Spatial Strategy. Local authorities no longer need to take account of the policies within the RSS.
- 4.2 This has implications in particular in relation to the delivery of housing targets. Under the RSS each local authority had an identified housing target which set out the number of houses to be built annually towards a total target of achievement over the whole Plan period. For Hartlepool the annual target was 395 net additional dwellings per annum with a total number over the RSS period (2004- 2021) of 6730. These targets were initially based on evidence of need and informed by information provided at the local and Tees Valley level which reflected the Tees Valley's aspiration of achieving economic growth. Whilst the Council is no longer tied to achieving these targets, evidence in the SHMAs still indicate a current housing shortage in the Borough and a need to deliver a substantial number and range of new housing to meet current need as well as supporting economic growth aspirations. In addition the coalition Government has reasserted the aims of the previous administration towards delivering new homes by offering financial incentives to Councils to build new homes. Details of these incentives are still emerging, but would be seen as replacing the previous Housing and Planning Delivery Grant scheme.
- 4.3 The RSS targets for net additional housing across the region have consistently not been met and in Hartlepool, at the time of the RSS suspension, the Borough was approximately 900 dwellings behind the cumulative housing target. The RSS targets have proven to be locally unrealistic and essentially difficult to achieve in the Borough bearing in mind the previous and current housing market. In view of the RSS suspension and the performance of the housing market, with particular reference to the downturn in the private housing market, officers have re-assessed the housing targets and propose a reduced local housing provision for the Borough. A housing provision report is currently being produced by officers and when published it will contribute to the existing LDF evidence base.
- 4.4 The reduction of overall housing numbers will not restrict growth, it will reflect the actual local housing provision that is needed and that can be realistically delivered over the period of the Core Strategy. The reduction will help the Council to control development more effectively as these targets will be reflected in the five year housing land supply document, which provides evidence and indicative phasing of development over the next five years. If such targets are not being met

it would give potential developers some justification for bringing forward alternative development sites which may not be considered a priority for the Council.

- 4.5 The other main implication of the suspension of the RSS relates to the allocation of employment land. Previously, the RSS safeguarded land for general employment, port related activity, chemical and steel uses and at key employment locations. Within Hartlepool, the RSS identified Wynyard Business Park and North Burn as Key Employment location (KEL's). The RSS suspension provides the opportunity to locally reassess these allocations. The Core Strategy will continue to safeguard land for general employment, port related activity and chemical and steel uses, however, it will not allocate land as key employment locations. This is important bearing in mind that opportunities for Samsung scale high tech investment are now extremely limited; that there is a large amount of currently allocated land in this area, and; that the Core Strategy is essentially about facilitating development over a period of 15 years. Looking at the two sites, whilst Wynyard Business Park is currently under development, the North Burn site is not. The prospect of securing development on this site within the Core Strategy Plan period are relatively slight and to develop this in isolation would require significant investment in creating access and improving the existing highway network to facilitate development. Informal discussions with developers who have an interest in the site suggest that they have no immediate development plans for this land. Bearing this in mind, it is suggested that the allocation of North Burn as a high tech employment site be removed from the Core Strategy.

5 CONSIDERATION OF ISSUES

- 5.1 The Preferred Options report identified 16 key policies under 7 themes. These themes related to an overall Locational Strategy, Minimising and Adapting to Climate Change, New Development, Housing, Strengthening the Local Economy, Environment and Transport. General consultation feedback is summarised in Section 3 of this report, however, it is fair to say that the vast majority of responses received related to the identification and location of sites for housing development.
- 5.2 Since the closure of the consultation period, officers have continued dialogue with site developers, owners and/or agents and some statutory consultees to gain a better understanding of their representations and to discuss how issues and objections raised could be addressed. Meetings have also been held with objectors and/or their representatives to allow them clarify their concerns. The key sites are considered in greater detail below.

South Western Extension (Claxton/Brierton/Eaglesfield Road)

- 5.3 This is included as the main strategic housing area and was identified as the most sustainable site for delivering housing targets following the decision not to pursue the Victoria Harbour master plan. The site would provide 2,750 dwellings in a sustainable extension to the town providing a mix and balance of good quality housing with associated green infrastructure and local amenities.
- 5.4 The site raised no objection from statutory undertakers and service providers but received a large number (258) of objections from local residents, Hartlepool Civic Society and Teesmouth Bird Club particularly in relation to the Claxton part of the site. Issues related mainly to future demand for housing, the existence of empty properties elsewhere, urban sprawl, loss of high quality landscape and countryside, loss of habitats, sustainability, pressure on existing services, and impact on existing properties. Officers have subsequently attended public meetings with the Fens Residents Association to discuss these issues of concern. Meeting have also been held with the developers with an interest in the site who are preparing a master plan for the area at which the issues raised by the objectors were discussed with a view to discussing how these impacts could be minimised and addressed.
- 5.5 Whilst the level and nature of the objections to this site are noted, it is imperative that housing development land, in addition to recognised brownfield sites, is identified to deliver the required housing numbers to satisfy identified local need. Officers consider that this is the most sustainable option to achieve this as it fits the locational strategy preference of compact urban growth, the scale allows for the development of a community which relates well with adjacent established housing areas and the establishment of high quality green infrastructure and sustainable transport links, in a location which would not adversely impact on, and should provide positive support towards town centre regeneration.

Victoria Harbour

- 5.6 Victoria Harbour is identified for port related activity in the locational strategy following previous attempts to secure a mixed use scheme delivered against an overall master plan. Allocation as employment land for port related activity will support the provision of much needed jobs within a central location and help take advantage of new and emerging opportunities in offshore wind and sustainable energy development.
- 5.7 Representation has been received from the port owners PD Ports objecting to the exclusion of mixed use elements within their site. The objection states that the site remains suitable for, and should be allocated in part as, a strategic site for mixed use development, albeit

not on the same scale as previously proposed in the Local Plan and master plan. Subsequent discussions have been held with the owners and their agents and sketch plans have been presented which show two areas of residential led mixed use development, one to the south-west and one to the south-east of their site. PD Ports consider that although the main focus of their land would be on port related activity, there is scope to accommodate some mixed use elements across the site.

- 5.8 Officers views are that the delivery of a mixed use scheme for Victoria Harbour should be on the basis of a properly planned and phased master plan for the site and in accordance with supplementary planning guidance (SPD) which secures appropriate infrastructure, design quality, landscaping, zoning of uses etc. Piecemeal development of such an important site should not be supported, as it would not deliver the transformational enhancements that a carefully planned and controlled scheme would achieve. In addition to this, it is considered that residential uses particularly in the locations proposed, would not be compatible with the heavy engineering uses that would likely be associated with the manufacture of offshore wind turbines or related structures or equipment. The Council's Public Protection team has serious concerns regarding the suitability of residential uses adjacent to potential major manufacturing, engineering and fabrication uses. It is suggested therefore that the proposed identification of this site for port related use should remain.

Wynyard Business Park

- 5.9 Detailed and extensive representations have been received from agents acting on behalf of Wynyard Park Ltd. objecting to aspects of the Preferred Options document and seeking to justify support for the development of an alternative housing provision within a mixed use development at Wynyard Business Park. The main objections can be summarised as follows:-
- there is a substantial shortfall in Hartlepool's housing supply
 - the proposed western urban extension and south-western extension are not supported by any robust evidence which demonstrates an assessment against all reasonable alternatives
 - initial housing market evidence (provided) has indicated that the concentration of a large proportion of new housing in urban extensions, particularly the south-west extension will not adequately meet the needs of the housing market
 - the importance of the Key Employment Location (KEL) in delivering a step change in Hartlepool's economic performance is not recognised and no provision is made for its delivery
 - there is insufficient recognition of the potential of the new hospital at Wynyard Park to deliver opportunities for Hartlepool and the sub-region, and
 - Wynyard Park should be identified as a location for mixed-use development whilst maintaining its function as a KEL.

- 5.10 Following the closure of the consultation period, there have been a series of meetings and discussions with consultants acting on behalf of Wynyard Park to allow Wynyard Park to explain in greater detail their plans for this extensive site, and to discuss issues of concern raised by officers. Wynyard Park believe that accelerated economic development of the KEL can be achieved by broadening the current use to include a range of housing accommodation on the site along with associated amenities. The development of the proposed hospital would provide significant additional momentum as it would support the development of a cluster or series of clusters of medical associated businesses. Wynyard Park have referred to evidence of mixed use sites in other parts of the country and in Europe which have achieved significant success on the back of this model.
- 5.11 Whilst indicating support for the business investment in the KEL and the potential benefits that the hospital development would bring, (although the recent decision by the Government not to provide the public funding to support it's development may make this aspect more difficult to achieve), officers have expressed strong reservations about the merits of substantial numbers of houses at Wynyard Business Park. There is particular concern about the impact a large number of new houses would have on the existing areas of Hartlepool and in particular the core urban area. Although lying within Hartlepool's boundary the location of the site is such that occupiers of the properties may not see Hartlepool as the natural focus for their social and economic needs and development here at the expense of sites closer to Hartlepool may impact negatively on the development of the town. There are also issues related to sustainability in terms of location and travel patterns which would support the argument for the development of alternative sites for housing.
- 5.12 In terms of issues raised about housing delivery and the robustness of the evidence base, officers are of the view that there is strong and sufficient evidence to support the sites included in the Preferred Options document. As acknowledged earlier in this report recent re-evaluation of the evidence base suggests the overall housing targets may be reduced. Evidence in the Strategic Housing Land Availability Assessment (SHLAA) confirms that there are sufficient alternative sites available to achieve housing targets.
- 5.13 The Tees Valley Strategic Housing Market Assessment does however, highlight a shortage across the sub-region of high quality executive housing which is evidenced by a migration from the Tees Valley to areas of North Yorkshire and Durham. Wynyard is one of the few locations within the Tees Valley with the potential to compete with these areas and to provide a suitable alternative location. It is considered therefore that there may be potential to accommodate a limited amount of very low density, high quality (in terms of design and eco-standards) executive housing within a closely defined part of the

site. This would cater for the sub-regional market whilst providing support for the development of the business park.

Tunstall Farm

- 5.14 Tunstall Farm is identified in the Preferred Options document as a site for executive housing accommodating around 60 dwellings. The site was included in response to an identified need for executive housing which will contribute to a balanced housing supply and support the retention and attraction of higher socio-economic groups which are important to the future success of the town's economy. Discussions with developers and evidence in the SHMA had identified 'pockets to the west of Hartlepool as appropriate locations for new executive housing'.
- 5.15 The inclusion of this site has generated a considerable number of objections (119, compared with 3 in support) from local residents and organisations, who have expressed strong concerns primarily in relation to on-site affordable housing provision, flooding/drainage, disturbance generated by increased traffic, loss of green field land, visual amenity, impact on existing services particularly local schools and impact on existing residential properties.
- 5.16 Discussions have taken place with the developers who have an interest in the site to highlight the concerns raised, and to discuss illustrative site layouts submitted by them particularly in the context of housing densities. The developers have expressed a desire to increase the density to allow approximately 80 executive homes (4/5 bed detached with generous plots and double garage) on the site at a density of 11.6 to the hectare. (the Department of Communities and Local Government estimates that the average density of new build in England in 2008 and 2009 was 43 to the hectare). The proposed developers of the site have met with the Council's Engineers and initial flood risk investigations have taken place. The developers have given strong assurances that their proposals in conjunction with flood alleviation work already committed by the Council can solve the long term flooding problems at Valley Drive. This represents a potential significant planning gain for the local community.
- 5.17 Whilst acknowledging the level and nature of objections raised, officers consider that Tunstall Farm should remain within the Core Strategy as a site for executive housing, for reasons highlighted in paragraph 5.14.

Quarry Farm

- 5.18 Quarry Farm is included in the Preferred Options as part of the Western Extension housing area. It is identified as accommodating approximately 300 dwellings of a range of types with an emphasis on family and executive homes. Associated with the development would

be a significant area of land set aside for new planting, landscaping and natural amenity.

- 5.19 30 representations have been received in relation to this site, all but one being letters of objection. These included representations from Hartlepool Civic Society and Elwick Parish Council. The main objections related to traffic and congestion problems, particularly increased traffic through Elwick village, increased risk of flooding, environmental impact on wildlife habitats and ecosystem, security/crime and development outside the existing urban fence. The developers with an interest in the site have expressed a commitment to providing substantial compensatory landscape and habitat enhancements on adjacent land. They have also included representation requesting land to be released earlier in the programme for development and proposing reduced development densities which would result in fewer houses on site.
- 5.20 In physical terms the site represents a significant incursion into the countryside on the western fringe and the Council has in the past resisted development here, and this action was supported on appeal. Inclusion in the Preferred Options document, however, reflected the current need to provide a range and choice of sites and to achieve the housing targets identified in the RSS. With the abolition of the RSS and the identification of revised housing targets there is scope to reduce the number of sites allocated for development. Of those sites included in the Preferred Options document, this is one which officers would recommend for removal from the Core Strategy in reflection of the reduced housing targets, its physical location and the impact on the urban form of Hartlepool.

Affordable Housing

- 5.21 A further issue to consider relates to the development of policies for affordable housing. The intention to date has been to prepare a separate Development Plan Document (DPD) containing policies which set out requirements for the provision of affordable housing on new sites. The original reasoning behind the preparation of a separate Affordable Housing DPD was to put in place affordable housing policies as quickly as possible, and this reflected the timescale for producing the Core Strategy. The Affordable Housing DPD had progressed to Preferred Options stage but delays caused by the need to undertake viability assessments on affordable sites as evidence for the policy proposals, has meant that the timescales for the adoption of the two documents have come closer together.
- 5.22 Subsequent discussions with Government Office North East (GONE) led to strong advice from them that the affordable housing policies should be incorporated within the Core Strategy. Taking this on board, officers are now of the view that the affordable housing policies should

be incorporated within the Core Strategy. Not having to produce two documents will have some cost savings, particularly in officers time, reduced consultation costs and the removal of the requirement to have two Examinations in Public.

- 5.23 It is the intention to bring a report to the next Cabinet meeting seeking approval to submit an amended Local Development Scheme (LDS) to GONE for approval. The LDS is the document which sets out a rolling programme for the preparation of documents relating to forward planning and contains details of which documents will be produced and the timetable for doing so. This document is updated on a regular basis. The revised LDS will reflect the merger of the two documents, and Cabinet will be asked to approve this proposal at that time.

6 NEXT STEPS

- 6.1 Taking the Core Strategy forward, the next step in the process would normally be to move to Publication stage whereby the Council after taking account of feedback from the Preferred Options consultation would produce its 'proposed' Core Strategy document. Following a period of further consultation the Publication document would then be submitted to the Secretary of State. There would then follow a Public Examination in front of an independent Inspector where objectors and interested parties can make formal representation on aspects of the Core Strategy. Once the Council has produced the Publication Document, there is a presumption that there will be minimal, if any, further changes to the document before submission.
- 6.2 If the Preferred Options stage leads the Council to consider making significant policy changes there is the option to revisit this stage and to carry out further consultation on a revised Preferred Options document. Given the policy changes outlined in this report, resulting from the proposed abolition of the RSS including reduced housing targets and removal of North Burn, and the discussions and representations relating to proposed housing sites, it is suggested that the Preferred Options stage is revisited. If Cabinet is in agreement, it would be the intention to bring a report back to Cabinet in October/November seeking authority to consult on a revised version of the Preferred Options document.

7 LEGAL CONSIDERATIONS

- 7.1 There is a statutory duty to prepare a Local Development Framework (LDF) in accordance with the Planning and Compulsory Purchase Act 2004. The Core Strategy is one of the main Development Plan Documents which forms part of the LDF.

8 EQUALITY AND DIVERSITY CONSIDERASTIONS

- 8.1 Consultation on the Preferred Options document has been carried out in accordance with the Council's adopted Statement of Community Involvement (SCI). The SCI was prepared in compliance with the Hartlepool Compact and its associated protocols.

9 FINANCIAL CONSIDERATIONS

- 9.1 There will be some minimal additional costs associated with publicising and managing a further Preferred Options consultation process and these costs would need to be funded through existing departmental budgets. There could be long term savings relating to reduced costs associated with the 'Examination in Public' stage if objections/issues can be resolved through revised Preferred Options.

10 RECOMMENDATIONS

- 10.1
- i) Members views are requested in relation to key policy issues in the light of the consultation feedback and recent Government policy changes.
 - ii) Member's views are requested on whether to progress to Publication stage of the Core Strategy or to re-consult on a revised Preferred Options document.

11 CONTACT OFFICER

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**CONSULTATION STATEMENT RELATING TO THE
PREFERRED OPTIONS CORE STRATEGY DEVELOPMENT
PLAN DOCUMENT PUBLICATION DRAFT
February – March 2010
HARTLEPOOL BOROUGH COUNCIL**

LOCAL DEVELOPMENT FRAMEWORK

SEPTEMBER 2010



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1.0 INTRODUCTION

- 1.1 This statement outlines the consultation undertaken in the preparation of the Council's preferred options Core Strategy Development Plan Document (DPD). The consultation was carried out in accordance with the policies and protocols of the Council's adopted Statement of Community Involvement (January 2010).
- 1.2 This statement outlines the extent of the consultation carried out with stakeholders and the public throughout February and March 2010.
- 1.3 This statement provides details of the consultation and publicity process including local advertisements, consultees, meetings and exhibitions, and sets out in appendix 2 a summary of all the responses received (462 in total) through the eight-week public consultation exercises.
- 1.4 This Statement is released prior to the publication stage of the Core Strategy. Upon receipt of any comments an acknowledgment was sent and information was given stating that a consultation statement would be published in September 2010 at the publication stage. Formal publication will not take place in September, however, it is considered necessary to allow all interested parties the option to view a summary of all the comments received. A summary of all responses received can be viewed in Appendix 2.
- 1.5 **This statement does not include information on how each comment has been dealt with in the formation of the Submission draft Core Strategy; this is because the formulation of the Core strategy Submission draft is still in progress. A further consultation statement will be published during the publication period.**
- 1.6 A copy of this document can be found on the Council's website at www.hartlepool.gov.uk or a copy can be obtained by contacting the Planning Policy Team on 01429 284308.

2.0 CONSULTATION RELATED TO THE DEVELOPMENT OF THE PREFERRED OPTIONS

2.1 During the development of the Council's Preferred Options, regular meetings were arranged and attended by Planning Policy Team members and these included on occasions officers from other Council departments who provided advice on their specialist areas.

2.2 Internal Discussions

Frequent internal consultation took place with the following council members, officers and departments:

- The Mayor
- Director of Regeneration & Neighbourhoods
- Assistant Director, Planning & Regeneration
- Urban Policy Team
- Development Control Team
- Community Regeneration Team
- Housing Regeneration and Policy Team
- Highways, Traffic and Transportation Team
- Sustainability Officer
- Ecologist

2.3 Members Training

A Members seminar was held on 11th September 2009 to help ensure that all Councillors were fully informed about the Core Strategy proposals and how they or the ward members can get involved in shaping the document.

2.4 Steering Group

A steering group was set up where a cross discipline group of officers could share and reflect on information already collected.

2.5 Steering Group one was held on Thursday 24th September 2009 and officers from the following council departments attended:

- Director, Regeneration & Neighbourhoods
- Head of Regeneration
- Assistant Director, Planning & Economic Development
- Assistant Director, Community & Services
- Urban Policy Team
- Sustainability Officer
- Economic Development Team
- Development Control Team
- Landscape & Conservation (Ecologist)
- Parks & Countryside Team
- Transportation & Traffic Team
- Environmental Standards (Climate Change Officer)

2.6 The second steering group was held on 7th January 2010 and officers from the following council departments attended:

- Chief Executive
- Director, Regeneration & Neighbourhoods
- Assistant Director, Regeneration & Economic Development
- Assistant Director, Neighbourhood Services

- Assistant Director, Community Services
- Head of Procurement, Property & Public Protection
- Urban Policy Team
- Support Services Team
- Community Strategy Team
- Economic Development Team
- Landscape Planning & Conservation Team
- Transportation & Traffic Team
- Countryside Access Team
- Parks & Countryside Team
- Estates Team
- Environmental Standards Team
- Neighbourhood Managers

2.7 Individual Hartlepool Resident Meetings (held on request)

- Mr and Mrs Ogle

2.8 External Meetings

- Stockton Borough Council
- Government Office North East
- North East Chamber of Commerce

3.0 CONSULTATION RELATING TO THE FORMAL CONSULTATION PERIOD FOR THE PREFERRED OPTIONS

3.1 Advertisement prior to the consultation period

- Advert in Hartlepool mail
- Posters in all Sure Start Buildings
- Posters in all Community centres
- Letters to 391 stakeholders
- Letters to 12 individual local residents

3.2 Public Consultation

Following the publication of the document, the Council began an eight-week public consultation and in order to allow for greater input into the preparation of the Core Strategy DPD, a wider consultation exercise was carried out. This included neighbouring local authorities, parish councils and neighbouring parish councils, key stakeholders and members of the public. A list of consultees is attached at Appendix 2.

3.5 Advertisement During the Consultation Period

Once the consultation had started all information was posted on the Council's web site and the publication of the Core Strategy Preferred Options document and the related consultation were advertised in HartBeat, the town's local magazine.

3.6 Exhibitions

In order to further engage with members of the public, a number of staffed and unstaffed public exhibitions were organised.

3.7 Central Library

These exhibitions commenced with a permanent exhibition in the Borough's central library, the exhibition was staffed on the following dates:

Day	Date	Time
Wednesday	3/2/10	2 - 7pm
Saturday	13/2/10	10am - 1:30pm
Saturday	6/3/10	10am - 1pm
Wednesday	24/3/10	10am - 3pm

3.8 Supermarkets

Whilst the library exhibitions were useful in raising the awareness of the documents and gaining feedback from the public it was considered that the exhibitions also needed to be situated in other locations, which were highly visible to and well used by the community. It was decided that the exhibition should be displayed and staffed by Council officers in local supermarkets. The table below shows when and where these events took place.

Location	Day	Date	Time
Sainsburys	Thursday	18/2/10	11am - 6pm
Morrisons	Wednesday	24/2/10	11am - 6pm
Tesco	Friday	5/3/10	11am - 6pm
Asda	Thursday	18/3/10	10am - 4pm

3.9 Middleton Grange Shopping Centre

The Middleton Grange Shopping Centre was also considered to be a location with a significant footfall, and it was considered to be a suitable location to hold a number of staffed exhibitions.

The table below shows the dates and time when the exhibitions were held in the shopping centre.

Day	Date	Time
Wednesday	10/2/10	9-4pm
Thursday	11/2/10	9-4pm
Wednesday	10/3/10	9-4pm
Thursday	11/3/10	9-4pm

3.10 The Hartlepool Partnership

The Hartlepool Partnership is the town's Local Strategic Partnership (LSP) and brings together key stakeholders from the public, private and community sectors. The Hartlepool Partnership is a network of partnerships working to ensure everyone in Hartlepool has a good standard of living and access to quality services that improve year on year.

A report was taken to the meeting on Friday 19th March 2010 for information and discussion. Officers were on hand to answer any questions raised and members of the Partnership were given relevant contact details should they wish to submit a formal representation.

3.11 Neighbourhood Meetings

To further ensure that Hartlepool residents had the opportunity to view the Core Strategy and associated documents and discuss any concerns or ideas they had, at a time and location that was convenient for them, a series of day time and evening community meetings were arranged and attended.

Location	Day	Date	Time
Fens Residents Association Committee meeting	Tuesday	9/2/10	7pm – 9pm
North Neighbourhood Forum	Wednesday	17/2/10	10am -12noon
Central Neighbourhood Forum	Thursday	18/2/10	10am -12noon
South Neighbourhood Forum	Friday	19/2/10	10am -12noon
Greatham Parish Council public meeting	Tuesday	2/3/10	6:30-9pm
Tees Valley Rural Forum Thursday	Thursday	4/3/10	6-8pm
Talking to Communities Meeting	Wednesday	10/3/10	12-2.45
Fens Public Meeting	Monday	22/3/10	7pm-9pm

3.12 Neighbourhood Action Plan (NAP) Meetings

Neighbourhood Action Plans have been developed to ensure that local residents in the most deprived areas of the town have the opportunity to play a role in making their neighbourhood a better place to live. The NAPs identify residents priorities and Council officers work alongside ward councillors and other service providers, to identify and address the issues identified within their neighbourhood plan.

Regular NAP Forum meetings are held and it was considered essential to tap into these meetings to allow the Planning Policy Team to interact directly with residents from these communities, in a forum where everyone feels relaxed and happy to chat through their concerns for their area and the wider town. The table below shows the meetings attended during the consultation period.

Location	Day	Date	Time
Rossmere Nap (Jutland RD Community Centre)	Tuesday	16/2/10	10am - 12noon
Headland NAP (Funky World)	Wednesday	17/2/10	6pm
Owton NAP (Manor Residents)	Thursday	18/2/10	10am - 12noon
Dyke House NAP (Avondale Centre)	Friday	19/2/10	1pm - 3pm
Town Centre Communities (Belle Vue Centre)	Wednesday	24/2/10	5.30pm
West View NAP (St John Vianney)	Thursday	25/2/10	1pm – 3pm
Burbank NAP (Burbank Community Centre)	Tuesday	2/3/10	9.30am - 11.30am
Rift House NAP (Rift House Community Building)	Thursday	4/3/10	6pm – 8pm
Throston NAP	Friday	12/3/10	1pm – 3pm
Central NAP (Hartlepool Community Centre)	Monday	15/3/10	6.30pm – 8pm

3.13 External Stakeholder Meetings

Presentations were given to the following external organisations

North East Chamber of Commerce, 3rd March 2010.

Hartlepool Housing Partnership, 3rd March 2010

Hartlepool Economic Forum 8th March 2010.

4.0 POST PREFERRED OPTIONS CONSULTATION

- 4.1 Following receipt of 462 comments, to assist with the preparation of the submission draft Core Strategy Habitat Regulations Assessment and a Sustainability Appraisal and to inform the Infrastructure Strategy, meetings were held with the following key stakeholders:
- 4.2 HBC Internal Officer Meetings
- Education
 - Estates
 - Economic Development
 - Major Projects
 - Housing, Regeneration and Policy
 - Education Services
- 4.3 External stakeholders
- Highways Agency
 - Environment Agency
 - Natural England
 - Government Office North East
 - Durham County Council
 - CABE
 - Wynyard Park Ltd.
 - PD Ports
 - Yuill Homes Limited
 - Nathaniel Litchfield and Partners
 - Wimpey Homes
 - White Young Green
 - GVA Grimley
 - Spencer Holdings
 - Persimmon Homes
 - Ward Councillors
- 4.4 One Stop Shop
- On a number of occasions various issues were discussed at the Council's One Stop Shop meeting. The One Stop Shop brings together officers from a variety of Council departments, where planning applications, informal inquiries and other land use issues are discussed and informal opinions and guidance is given.

APPENDIX 1 CONSULTEES CONTACTED BY LETTER

Able UK Ltd	Accent North East
Adams Holmes Associates	Advisory Council for the Education of Romanies etc
Airport Planning & Development Limited	Ambulance HQ
Anchor Housing Association	Ancient Monuments Society
Andrew McCarthy Associates	Appletons
Asda	ASP Services
Association of North East Councils	Avondale Centre & City Learning Centre
B.U.R.T. (Burbank)	Baird Menswear Brands Limited
Banks	Barnard Grove Primary School
Barnardo's B76	Barret Homes NE
Barton Willmore	BDP Planning Limited
Belle Vue Residents Association	Belle Vue Sports Centre
Bellway Homes	BenBailey Homes
Big Tree Planning Limited	Brenda Road Properties Limited
Brewers Fayre Travel Inn	Brierton School
British Butterfly Conservation Society	British Energy
British Telecom	British Telecommunications plc
British Waterways	British Wind Energy Association
Brougham Area Residents Association	Brougham Primary School
Brus Ward Residents Association	Burn Valley North Residents Association
Cameron Hall Developments Ltd.	Cameron's Brewery
Camping & Caravaning Club	Catcote School
Cemex UK Operations Ltd	Chapman Warren
Chris Thomas Ltd	Churches Together in Hartlepool
Citizens' Advice Bureau	Civic Trust
Civil Aviation Authority	CJC
Clavering Primary School	Cleveland Buildings Preservation Trust
Cleveland Constabulary	Cleveland Cycling Campaign
Cleveland Industrial Archaeology Society	Cleveland Police
Cliff Wolsingham & Co	Clydesdale Forge Co
Coastliners Transport 2000 Tees Valley	Cobden Area Residents Association (CARA)
Colin Hatcher	College of Art
Colliers CRE	Community Relations Department (Police)
ConocoPhillips UK Ltd	Council for British Archaeology
Council for the Protection of Rural England	County Fire Brigade
Crown Castle International	Crown Commissioners
Dalton Piercy Parish Council	Darlington Borough Council
David Stovell & Millwater	Davis Planning
De Pol Associates	Dean and Chapter of Durham
Decoflex Ltd	Defence Land Agent
Defra Flood Management Division	Dennis Downen Associates
Dent and Derwent Residents Association	Department for Education and Skills
Department for Transport	Depol Associates
Derek Stephens	Derwent Grange Residents Association
Development Planning Partnership	Devereux Architects
Dialogue	Dickenson Dees
DPDS	Dransfield Properties Ltd
Drivers Jonas	DTZ
Dunelm Property Services Limited	Durham Bat Group
Durham County Council	Durham Heritage Coast
Dyke House Area Residents Association	EDF British Energy
Elwick Parish Council	Elwick Women's Institute
Emergency Planning Officer	Employment Services
Endeavour Housing Association	Energy Workshop
England & Lyle	English Golf Union

English Heritage	ENTEC UK
Environment Agency	Esh Developments
EWS	Expanded Metal Co. Ltd
Fens Residents Association	Ferguson McIlveen
Flexability	FMU Limited
Forestry Commission	Framptons
Frank Haslam Milan - North East	Friends of North Cemetery
Friends of Rossmere Park	Friends of the Earth
Friends of Ward Jackson Park	Fuller Peiser
Furness/Cameron/Belk Resident Association	Future Energy Solutions (formerly ETSU)
G L Hearn	Garden History Society
Garlands	General Aviation Awareness Council
Georgian Group	Go Ahead Northern
GONE	Grand Hotel
Grange Road Methodist Church Resource Centre	Great North Eastern Railway
Greatham Parish Council	Greatham Women's Institute
Greig Cavey	Grindon Parish Council
Gus Robinson	GVA Grimley
H M Inspector of Nuclear Installation	Halcrow Group Limited
Hallam Land Management Ltd	Hammond Suddards
Hart Parish Council	Hart Village Women's Institute
Hartlepool Action Team for Jobs	Hartlepool Ahmadiyya Muslim Association
Hartlepool Archaeological & Historical Society	Hartlepool Asian Association
Hartlepool Boys Brigade	Hartlepool Business Link
Hartlepool Centre for the Deaf	Hartlepool Civic Society
Hartlepool College of Further Education	Hartlepool Community Health Council
Hartlepool Countryside Volunteers	Hartlepool Cricket Club
Hartlepool Economic Forum	Hartlepool Education Development Centre
Hartlepool Environmental Network	Hartlepool Girl Guides Association
Hartlepool Golf Club	Hartlepool Headland Parish Council
Hartlepool Natural History Society	Hartlepool Partnership
Hartlepool People Ltd	Hartlepool Primary Care Trust
Hartlepool Scout Association	Hartlepool Sixth Form College
Hartlepool Sports Council	Hartlepool United Football Club
Hartlepool Water	Hartwell Residents Association
HAT Contracting Services	Headland Development Company Ltd
Headland Future Ltd.	Headland Parish Council
Headland Residents Association	Health & Safety Executive
Heerema	Helios Properties
Henry Boot Developments Ltd	Highways Agency
Highways Agency Northern	HMS Trincomalee Trust
Holt and Lister Residents Association	Home Group Ltd
Homes & Community Agency	Housing 21
Housing Hartlepool	Huntsman Tioxide Ltd
Hutchison 3G UK Ltd	HVDA
I.Fewster	I.N.C.A.
ICI	J & B Fuels
J J Hardy & Sons Ltd	Jackson Plan Limited
James Barr	JDR Cables
Jennifer Hubbard	Jomast Construction Ltd
Jones Day	Jones, Lang & Lascelles
JWPC	Kebbell Developments Ltd
Keepmoat Partnership	King Sturge LLP
La Farge Aggregates	Lambert Smith Hampton
Lancaster Road Residents	Landmark Information Group
	LARA Motor Recreation
Langtree Properties Limited	Lexington Payne Homes Limited

LeeBell Developments	
Lidl	Lovell Partnerships Limited
Malcolm Arnold	Mandale Properties
Manners & Harrison	Manor Residents Association
Marina	Marine Hotel
Marmion Estate Residents Association	Marineau, Solicitors
McAlpine & Sons	McInally Associates
McInerney Homes	McNicholas Bros
Mecca	Middlesbrough Borough Council
Middleton Grange Shopping Centre	Miller Homes
Minerals Products Association	Ministry of Defence
Mobile Operators Association	Monk Hesleden Parish Council
Moorside Residents Group	MP
Muslim Welfare Association	NACRO
Nathaniel Lichfield	National Farmers Union
National Grid plc	Natural England
NEDL	Network Rail
New Deal for Communities	Newton Bewley Parish Meeting
North East Chamber of Commerce	North East Chamber of Commerce & Industry
North Eastern Sea Fisheries Committee	North Tees & Hartlepool NHS Trust
Northern Gas Networks Ltd	Northern Rail Limited
Northern Regional Health Authority	Northumbrian Water
npower renewables	Oak and Pine Residents Association
One North East	One Voice Tees Valley
Owton Fens Community Association (OFCA)	Owton Manor West Residents Association
Oxford Road Residents Association	P D Ports
Park Residents Association	Paul & Company
Peacock & Smith	Peel Holdings plc (Durham Tees Valley Airport)
Percy Street Residents	Persimmon Homes
Posford Duvivier	Pot of Gold Ltd
Princess Residents Association	Prism Planning
Property Services Agency	Railway Housing Association
Raymond Barnes	Redcar & Cleveland Borough Council
Residents Association of Clavering and Hart Station	Residents of St Aidens (ROSA)
Rift House Community Associated	Rift House East Residents Association
Robert Turley Associates	Roger Tym & Partners
Rokeby Developments	Rossmere Residents Group
Royal Mail	Royal Mail Property Holdings
RPS	RSPB
S.C.A. Packaging	Safe in Teesside
Sand & Gravel Association	Sanderson Wetherall
Seaton Carew Cricket & Sports Club	Seaton Carew Golf Club
Sedgefield Town Council	Serco-Ned Rail
Seymour Civil Engineering Limited	Shepherd Homes
Sherburn Stone Co. Ltd	Shoosmith
Signet Planning	Smiths Gore
Society for the Protection of Ancient Buildings	Somerfield Stores Ltd
Spingwell Residents Association	Sport England Northern Region
SSP	Stagecoach North East
Staindiffe Hotel	Stephenson Johnson Riley
Stewart Ross Associates	Stockton Borough Council
Stockton Road Residents Association	Stonham Housing Association
Storey Sons & Parker	Stotfold Area Residents Association
Strutt & Parker	Sustrans
Taylor Wimpey UK Limited	Tees Archaeology Service
Tees Valley Arts	Tees Valley Housing Association
Tees Valley Joint Strategy Unit	Tees Valley Living

Tees Valley Local Access Forum	Tees Valley Regeneration
Tees Valley Rural Community Council	Tees Valley Wildlife Trust
Teesmouth Field Centre	Terence O'Rourke plc
Tesco	The British Wind Energy Association (BWEA)
The Coal Authority	The Co-operative Group Ltd
The Crown Estate	The Guinness Trust
The Home Builders Federation	The Hospital of God at Greatham
The Marine Conservation Society	The Planning Bureau Ltd
The Planning Inspectorate	The Ramblers Association
The Showmen's Guild of Great Britain - Northern Section	The Theatres Trust
The Victorian Society	Thorton Street Residents association (TARA)
Three Rivers Housing Group	Throston Grange Residents Association
Thurlbeck & Co	Tirley Associates
Tony Thorpe Associates	Town Planning Consultancy
Twentieth Century Society	Unemployment Strategy Team
UNITE	United Utilities
University of Newcastle	University of Northumbria
Vodafone	Vue Cinemas
Walton & Co	Ward Hadaway
West End Residents Association	West Hartlepool Rugby Football Club
West View Advice & Resource Centre	West View Residents Association
Wharton/Errol Street Residents Group	White Young Green
Whitegates	Whitestone Weavers
Wilkinsons	Wingate Parish Council
Wm Morrison Supermarkets plc	Wolviston Parish Council
Woodland Trust	Woodlands plc
WSP Development	Wynyard Park Limited
Youngs Recycling	Yuill Homes Ltd

Individuals

12 individual local residents were also informed of the consultation by letter, these residents were contacted as they were on the consultation data based as residents who had an interest in the land or had made representation to the Council on planning issues in the past and stated that they wished to be consulted.

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APPENDIX 2: CORE STRATEGY PREFERRED OPTIONS CONSULTATION RESPONSES

Reference Number	Relationship to Hartlepool	Site	Comments
HCS0001	PD Ports	Victoria Harbour	PD Ports have a concern that there has been significant misunderstanding of their intentions on the Victoria Harbour site. The project as originally envisaged did not prove to be financially viable, however, PD Ports is continuing to explore market led sustainable mixed-use development opportunities for the site, including housing. They call for a consistent message to be sent out in relation to the availability of Hartlepool for port related activity and future opportunities to deliver a sustainable mixed-use development.
HCS0002	Councillor	Tunstall Farm (-)	Objects to the Tunstall Farm housing development, stating the Governments Inspector reasoning five years ago as still valid, as there is a need to avoid housing development activity on flood-risk zones. The respondent feels this site is unsuitable for executive housing development.
HCS0003	Civil Aviation Society	All	The CAA does not wish to comment on Local Development Plans, however, where officially safeguarded aerodromes lie within the Council's area of jurisdiction, it is recommended that the council considers the need of such aerodromes within your development plan and consult with the aerodrome operator/licensees directly.
HCS0004	Councillor	All	The respondent recommend all of the Front and Coronation Drive not presently utilised be kept as it is, i.e. No more new builds of any type to preserve area from further congestion and enhance the spatial environment for tourism. Also, this fits need to be prudent against increasing risk to flooding with rising sea levels. Recommend further enhancement of park area and community facilities. Need to consult existing stakeholders – Church, Holy Trinity School, Golf and Cricket Club. Recommend need to deal with landfill site issues as they impact on residential area. Also recommend long term strategy to move industry, especially recycling businesses away from Longhill and Sandgate to more out of town areas. Following loss of any sea change monies a fresh look at tourist strategy policy is needed. Recommended more vigorous handling of derelict buildings in the area, especially on the Front where they impact on tourist

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			<p>attractiveness of the area. Recommended need to deal with landfill site issues as they impact on residential area. Fix in existing green areas within Seaton and, if possible give more protection in planning terms e.g. to Warrior Pond area.</p> <p>Recommend further enhancement of park area and community facilities. Need to consult existing stakeholders – Church, Holy Trinity School, Golf and Cricket Club. Transport links to Marina require further study and possibly in connection with links to the Railway Station.</p>
<p>HCS0005/6, HCS0013/14, HCS0036/37, HCS0071/72/73/74, HCS0086, HCS0097, HCS0099, HCS0100, HCS0104, HCS0120, HCS0122/123/124/125/126/127/128, HCS0455/456, HCS0136, HCS0140/141, HCS0144, HCS0149, HCS0151, HCS0154, HCS0158, HCS0160/161/162/63/164 HCS0165, HCS0166/167/168/169/170,171/172/173/174/175/176/177/178/179/180/181/182, HCS0188, HCS0190, HCS0219/220, HCS0223, HCS0225, HCS0227/228, HCS0245/246/247, HCS0249, HCS0252,</p>	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services.

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HCS0279/280/281, HCS0286, HCS0288/289, HCS0294/295, HCS0299/0300, HCS0306/307, HCS0309/ 310, HCS0374/375/376, HCS0381/382/383 HCS0403, HCS0408/409/410 HCS0412, HCS0440 and HCS0452.			<ul style="list-style-type: none"> Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p>
HCS0007	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> Do not accept that future housing demand will necessitate the creation of such a large estate. Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. Loss of high quality landscape and countryside. Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. Underground water main and overhead power lines, both constraining development. Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. Negative effect on quality of life and the desirability of properties for existing residents. Rural land is a convenient haven of countryside tranquillity. Greatham Beck is one of the last suitable habitats for the endangered

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			<p>water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife.</p> <ul style="list-style-type: none"> • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge. Additional Information Required to be made Public. 1. Details of consequences of NOT adhering to the governments directions on the provision of new building plots 2. What options are available to the Council to ensure that the development as the Docks goes ahead therefore reducing the need for this development at Claxton to go ahead? What is the estimated number of jobs created at the Docks? 3. What action has or can the Council take to reduce the number of plots that the government have specified has the Council appealed?</p>
HCS0008	Tees Valley Biodiversity Partnership	All	<p>Finds the report to be fundamentally good and comprehensive. Have several concerns with CS1 in that the wording suggests that development is very separate to environmental considerations. The policy should also include reference to the relationship between landscape character and new development and say something about GI. Suggests that the term "Green Infrastructure" used instead of "Green Network". Needs greater clarity in CS3 over the link between GI and flood protection, especially where this might</p>

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			include floodwater management through SUDS. Feels the list on page 27 should also include biodiversity. To be consistent with CS15. Supports the statement on green tourism in CS11 but may consider “nature tourism” better terminology. Supports the use of BREEAM in CS13 and the need to support and protect green spaces in CS14. References to GI could be made more prominent. Organisation is supportive of CS15 but needs to reflect the sequential approach to conserving biodiversity.
HCS0009	Resident	Tunstall Farm (-)	Provides various ideas on how to implement a new drainage system that the respondent believes will alleviate flood problems in the area of west Hartlepool. Suggests that vacant land at Tunstall Farm should be added to Summerhill to accommodate more tree planting and provide a habitat for wildlife. Also suggests that there is a urgent need for a new outer ring-road starting at Claxton Bank, off the A689 and continue to Hart. There is flooding at Valley Drive noted and drainage and sewage problems discussed in-depth.
HCS0010	Ministry of Defence	Hart and Elwick	The following development of Hart, Elwick and Dalton Piercy fall within the High Moorsley safeguarding area. Any development, which exceeds 15m above ground level, must be referred to the office for further consultation. The MOD has no safeguarding concerns provided proposed development with those areas recognised as being within a statutory safeguarding zone does not exceed 15.2m agl and all wind energy applications.
HCS0011	Resident	All housing sites (-)	Disagrees with CS1 as they feel that there should be no expansion of town boundaries or extension of villages. Disagrees with CS7 as the respondent believes that there should be no facilities to encourage settlement. Objects to CS8 also as there are industrial building standing empty and CS9 as Jackson's Landing has remained empty. Agrees with CS16, however, would disagree if the council wanted to open up Greatham station as the respondent does not want excess traffic coming through village.
HCS0012	Longhill Sandgate Business Association	Sandgate and Longhill (-)	Concerned about the “bad neighbour uses” within the area, particularly Sandgate and Longhill within the Southern Business Zone. There is great concern over the serious problems that the open processing of waste and landfill cause. The respondent suggests that bad neighbour businesses should be directed to the south of the Southern Business Zone.

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HCS0015, HCS0050/51/52, HCS0067/68/69/70, HCS0075/76/77, HCS0087/88, HCS0085 HCS0091/92/ 93/94/95, HCS0101, HCS0105, HCS0107/108, HCS0111/112/113, HCS0129/130/131/132 133/134/135, HCS0138, 0139, HCS0142/143, HCS0146/147, HCS0150, HCS0159, HCS0183, HCS0187, HCS0189, HCS0191/192, HCS0194/195/196/ 197/198/199, HCS0200 /201/202, HCS0210, HCS0215, HCS0229/230/231/232, HCS0242/243/244, HCS0251, HCS0253, 254/255, HCS0257, HCS0261/262, HCS0273, HCS0277, HCS0283, 284, 285, HCS0287, HCS0293, HCS0297, 298,	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposals to build a large new estate adjacent to the Fens Estate. The following reasons are given:</p> <ul style="list-style-type: none"> ▪ Future housing demand is overstated; there are already a lot of empty properties in the town and the population is forecasted to stabilise over the next 10 years; ▪ Large scale development as proposed at Claxton would put unacceptable extra pressure on the A689, no matter where the entry/exit point may be located; ▪ Any connection to Owton Manor/Brierton would adversely affect congestion and road safety in residential areas. Particularly along Brierton Lane and Owton Manor Lane/Macrae Road as the proposed feeder road would become a western by-pass; ▪ Of all greenfield sites that could be chosen to expand the town the site at Claxton is the furthest from the town centre thus being the most costly in terms of car journeys. This type of urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport: expanding Hartlepool to the south west would almost certainly serve to encourage the use of Hartlepool as a dormitory town for those employed in the rest of the Tees Valley conurbation and actually encouraging increased car commuting. ▪ RSS, particularly policy 10 and 16 are referred to in order to support their claim against urban sprawl. ▪ A viable road scheme is not offered leading to increased traffic and congestion ▪ There would be a loss of high quality landscape, agricultural land and countryside, both of which are valuable assets to this part of Hartlepool. There will be a loss of biodiversity and habitat for wildlife, especially for endangered species such as water vole. ▪ Increased risk of flooding: Greatham Beck already overflows after exceptionally heavy rainfall. It cannot take any further surface water drainage without dramatically increasing flood risk. ▪ Any pollution from further building will increase the possibility of
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HCS0303, HCS0378/79, HCS0383, HCS0400, HCS0405/406, HCS0413/414 and HCS0454.			<p>devastating the creek area including Seal Sands and the Nature reserve. The RSPB has spent millions of pounds encouraging tourism to the area and protecting the natural ethos of the area.</p> <ul style="list-style-type: none"> Added to that is the intention to develop Graythorpe which is only a short distance from Greatham as an industrial site for further dumping of “questionable waste”. Building more houses close to the Major Oil Storage, COMAH and Nuclear sites verges on crass. We strongly object to the concentration of all “bad neighbour uses” into areas already having COMAH AND Nuclear sites. This area is close to residential areas at Greatham and Seaton Carew as well as the most important wildlife sites. This has to be detrimental to the quality of our living environment. <p>Alternative suggestions for housing development in different locations are suggested throughout the town. They mainly focus on the previously developed land, vacant factories and brownfield sites. The respondent believes that the management of Hartlepool needs to have a more reflective view. Instead of “preferred” option we need to look towards a more caring option – caring for its people and for its environment.</p>
HCS0016	Resident	Town Centre	Agrees with all the preferred options policies, but with regard to CS9 it would have been desirable to see comments regarding the College’s new build and how this could be used to lever further investments in the Town Centre.
HCS0017	Resident	Wynyard Woods West	Agrees with all the preferred options policies, however, only with CS1 if the housing site at proposed at Wynyard Woods West continues to be for executive housing to meet demand.
HCS0018	Tees Valley Wildlife Trust	All	Supports CS2, however, would like greater clarity on the link between Green Infrastructure and flood protection, especially where this might include floodwater management through SUDS and incorporation of features for biodiversity into these. This list should also include biodiversity so as to be consistent with CS15, which refers to habitats being created through new developments. In CS11 the trust would like to see reference to the authority working with its local partners to promote green tourism, wildlife habitats and the natural environment. With regard to CS14, the trust would like to encourage the

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			authority to consider the development of a Green Infrastructure Strategy. For CS15 it is suggested that the chapter needs updating to refer to the new system of Local Sites (instead of SNCI's/ RIGS), which the Authority is already operating and complies with PPS9, Defra Local Sites Guidance and NI 197 Guidance. CS15 should be modified so that policy responds to the hierarchy of conservation designations in PPS9, clearly protecting the interest features of statutorily protected sites and then also protecting and encouraging management of Local Sites in line with the Tees Valley BAP.
HCS0019	Indigo Planning Ltd on behalf of Curry's and PC World.	Town centre/ Marina	Are not opposed to the objective of protecting the vitality and viability of the town centre, however, are concerned that PO CS1 does not fully recognise and support the role and function of existing retailing warehousing within the Marina, seeking to place it below the other edge of centre site in this sequential order. Suggests a change in the wording of CS1.
HCS0020	Ramblers' Association	Westward expansion and all.	Demand that the westward expansion and CS1 in general do not conflict with other plans to protect the environment and rights of way. The association fully supports CS3 and planning obligations, however, believe that rights of way and cycle tracks be introduced into the wording of the paragraph 7.2 and PO CS3 on page 26. Believe that CS9 and CS10 should help maintain and enhance pedestrian links with the centres in order to dissuade car usage. For CS11 greater emphasis is required on the borough's rights of way network, which provides "by far the cheapest form of outdoor activity both in terms of equipment and maintenance of the network".
HCS0021	Resident	Quarry Farm, Upper Warren and Tunstall Farm (-)	Opposes any housing development to the west of Hartlepool, including Quarry Farm, Upper Warren and Tunstall Farm. Believes that it is imperative that improvements are made to the existing road network before future development occurs, due to traffic and congestion problems.
HCS0022	Resident	Tunstall Farm (-)	Strongly opposes the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Believes there are numerous negative effects that will occur including, traffic problems, limited school places and well as the 10% social housing allocation.

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HCS0023	Resident	Tunstall Farm (-)	Opposes the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Has major concerns regarding the increased risk of flooding, and domestic and industrial traffic. In addition there is great opposition to increased dirt, dust and mud that accompanies construction.
HCS0024	Resident	Tunstall Farm (-)	Strongly opposes the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to the long history of flooding in the area, and the exacerbation the new development will cause, poor access to the site, the competition of places to the existing schools and the negative change in character that would occur to the existing “executive” housing.
HCS0025	Resident	Tunstall Farm (-)	Strongly opposes the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to the loss of countryside and wildlife and the abundance of brownfield land and vacant properties currently under-utilised.
HCS0026	Q.R.S Precision Engineering LTD.	Waste	Voices great concern regarding the granting of a licence to “Easy Skips” and waste management in the area in general, being located near to housing and the Town Centre. Feels that the location of these businesses is affecting the image of Hartlepool and demonstrates lack of vision in the council.
HCS0027	Resident	Tunstall Farm (-)	Opposes the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to the previous Government Inspector decision that upheld the residents concern of the possibility of substantial increased risk of flooding. Also states the possibility of the increased traffic, which is a danger to children walking to school.
HCS0028	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land.
HCS0029	Resident	Tunstall Farm (-)	Strongly opposes the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to the previous Government Inspector decision that upheld the residents concern of the possibility of substantial increased risk of flooding.
HCS0030	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to

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			increased traffic and congestion, increased flood risk and disruption.
HCS0031	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to increased traffic and congestion.
HCS0032	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Makes reference to the previous Government Inspector decision and the unchanged environmental conditions.
HCS0033	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Particular concern is stated with regard to the social housing allocation and believes there will be subsequent anti-social behaviour due to previous experience.
HCS0034	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. There is a concern over the loss of existing flood relief land, therefore an increased risk of flooding due to development, lack of sufficient access roads, pressure placed on schools, the loss of green belt land when there is an abundance of brownfield sites, and the general disruption of noise, construction and traffic.
HCS0035	Resident	South-Western Expansion (-)	Objects to the proposed development plans of a housing estate to the south west of the Fens Estate stating the following reasons: population stabilisation and hence no demand for housing on this scale, loss of valuable, quality agricultural land, abundance of brownfield land available to develop, existing drainage problems, traffic and congestion, and loss of visual amenity.
HCS0038	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. There is a particular concern over the allocation of 10% social housing and the subsequent change in atmosphere of the place in which the respondent feels will lead to the exodus of existing residents. Feels that Hartlepool should be attracting “professional people”. Does not believe this is a sustainable location to build houses. Increased flood risk is also mentioned.
HCS0039	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. There is a concern over unacceptable increase in traffic, flooding, fewer places at both West Park and

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			High Tunstall School and disruption to the residents through building work.
HCS0040	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. The respondent objects on the grounds that the development will increase traffic, disruption, noise, and a potential increase in flooding.
HCS0041	Tees mouth Bird Club	South-Western Expansion (-)	Tees mouth Bird Club provided relevant ornithological data regarding the Brierton area of Hartlepool extending to the Claxton area proposed for housing development. Based on the areas ornithological value TBC does not consider the survey area to be suitable for housing development and will object to such proposal. Various “hotspots” are identified of particular biodiversity interest.
HCS0042	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. There is a concern over the existing infrastructure of schools roads ability to support the development. Flooding and traffic congestion are also stated as major concerns.
HCS0043	Hartlepool College of Further Education	Town Centre	States there is little or no reference to the development of the “education Quarter” in the Town Centre or of any reference to the College development in the Education sector. The reference to the Education Quarter in the CS would be essential in order to support any future bids for funding.
HCS0044	Resident	Tunstall Farm (-)	Disagrees with CS1 and CS5, particularly the allocation of Tunstall Farm as residential building land. The respondent believes that housing should be allocated on previously developed land. States increased flood risk and the pressure placed on existing local residents for school places.
HCS0045	Resident	Climate Change, Waste and Town Centre.	Disagrees with CS2 and CS4 believes that parents taking their children to school is a large unnecessary contributor to climate change. Disagrees with CS8 and believes that the location of bad neighbourhood uses are too close to the town centre and people are subjected to significant emissions of smoke, dirt and obnoxious smells. Greater monitoring and control of operations are needed. There is also disagreement with CS9 and believes that Middleton Grange shopping centre is outdated and should be knocked down and the site given provision to low-rent/low-cost facilities.
HCS0046	Resident	South-Western	Objects to the proposed development of a housing estate to the south west of the Fens Estate, stating the loss of biodiversity in the area, particularly wildlife

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		Expansion (-)	loss of birds losing their habitat. Also believes that the proposed road from Brierton Lane to the A689 will create a rat-run.
HCS0047	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing Tunstall Farm, converting greenbelt land into residential land. There is a concern over flooding and the incompetence of the drainage system.
HCS0048	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing Tunstall Farm, converting greenbelt land into residential land. Believes that as the area is categorised as a high flood risk area by the Environment Agency, and difficulty to obtain house insurance. Building more houses in the area would increase the flood risk. Believes there is no change in circumstance since the Government Inspector deemed the area in question unsuitable as residential building land.
HCS0049	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing Tunstall Farm, converting greenbelt land into residential land. Objects to the loss of amenity and green areas of the town, increased traffic, and flooding. The loss of wildlife and biodiversity of the area is also a major concern. There is also a reference to the previous decision of the Government Inspector who stopped the development to go ahead.
HCS0053	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing Tunstall Farm, converting greenbelt land into residential land. The respondent has concerns of over-subscribed local school places and thus increased competition for places with new housing, increased flooding problems and traffic.
HCS0054	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the

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			<p>brown hare, grey partridge and lapwing.</p> <ul style="list-style-type: none"> • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. • Greatham should have sufficient space between its boundaries and a new estate to keep its village status • View of houses from A689 should be screened • Brownfield land within the borough could be utilised first <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p>
HCS0055	Resident	South-Western Expansion	The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:

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		(-)	<ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. • I believe that rented accommodation is needed primarily for young couples and single people who cannot afford a mortgage and no more than three bedrooms. • Land to the rear of B&Q and Halfords could be utilised first. • Greatham should have sufficient space between its boundaries and a new estate to keep its village status. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an</p>
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			attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.
HCS0056	Resident	Dalton Piercy village envelope	The respondent made a request for the village envelope of Dalton Piercy to be amended to incorporate a proposed construction of a dwelling in the garden of his property. Suggest that there needs to be greater transparency and openness of how this boundary was demarcated. States there are minimal amenities in Dalton Piercy and there is a slight problem with traffic and "rat runs".
HCS0058	Resident	Tunstall Farm (-)	Objects to the proposed development plans for changing green belt land at Tunstall Farm into residential land. The respondent is concerned over the change in atmosphere that social housing will bring, increased traffic and congestion, increased competition for school places and refers to the problem of rats in the locale that will be displaced due to the construction work. There is also an objection to the noise and dirt that construction of housing will bring over a prolonged time period.
HCS0059	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. There is a concern over the change in character of the area from a quiet and peaceful neighbourhood. The respondent also refers to the decision of the Government Inspector who previously upheld objections to the inappropriate use of protected 'green' land. Is also concerned about the loss of a rural view.
HCS0060	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing Tunstall Farm, converting greenbelt land into residential land. There is a concern that the new development would change the character of the area, which is currently occupied by professionals. There are also concerns over the loss of green space, over-subscribed local school places and increased risk of flooding and

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			rat infestation. There is a specific objection regarding the allocation of social housing in the area.
HCS0061	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing Tunstall Farm, converting greenbelt land into residential land. There is a concern over the current demand for housing in the area, loss of visual amenity, over-subscribed local school places and refers to the previous decision of the Government Inspector who previously upheld objections to the inappropriate use of protected land.
HCS0062	Smiths Gore on behalf of the Church Commissioners for England	All, Wynyard	The commission agrees with the vision of “an outward looking and inclusive communities”, however; feel this should not be restricted to only the ‘principle towns’ of the borough. Believe that insufficient emphasis is given to the forthcoming new ‘Super Hospital’ at Wynyard. Additionally, they believe that council needs to allow a level of development in rural areas.
HCS0063	Resident	Quarry Farm (-)	Objects to the proposed development plans for housing Quarry Farm, converting greenbelt land into residential land. There is a concern over flooding, traffic, and eradication of woodland with a negative effect on the environment.
HCS0064	Resident	Quarry Farm (-)	Objects to the proposed development plans for housing Quarry Farm, converting greenbelt land into residential land. There is a concern over flooding, traffic, loss of “natural” habitat and security issue, and thus, fear of crime.
HCS0065	Resident	Quarry Farm (-)	Strongly objects to the proposed development plans for housing at Quarry Farm, converting greenbelt land into residential land. Refers to the loss of flora and fauna. There is also a concern over increased traffic particularly Elwick Road.
HCS0066	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm, converting greenbelt land into residential land. Refers to the previous Government Inspectors decision and the high level of council tax they pay. Feel that several years of building and the associated noise and traffic will be unnecessary. Also, there is a concern over flooding and the allocation of social housing near to the existing estate.
HCS0078 and HCS0079	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm.
HCS0080	Resident	Tunstall	Strongly objects to the proposed development plans for housing at Tunstall

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		Farm (-)	Farm, converting greenbelt land into residential land. Refers to the previous Government Inspectors decision and the high level of council tax they pay. Feel that several years of building and the associated noise and traffic will be unnecessary.
HCS0081	Resident	Tunstall Farm (-)	Objects to the proposed development plans for West Park. Refers to increased traffic and flooding and has a fear of over-building in Greenfield areas with loss of identity to villages. Does not understand why the council is ignoring the Government Inspectors earlier decision in 2005.
HCS0082	Resident	Tunstall Farm (-)	Objects to the proposed development plans for housing at Tunstall Farm, with concerns over traffic congestion, especially at peak times and the use of green belt land for development.
HCS0083	Resident	Tunstall Farm (-)	Objects to the development plans for housing at Tunstall Farm. States increased traffic and increased pressure on places at West Park and High Tunstall Schools. Increased risk of flooding and disruption through the building phase. Does not understand why the council is ignoring the Government Inspectors earlier decision in 2005.
HCS0084	Resident	Tunstall Farm (-)	Objects to any development that may increase traffic along Hylton Road/ Valley Drive as the respondent already finds this intolerable.
HCS0089	Headland Parish Council	All	The Parish Council would like to ensure that the historical significance of the area is fully considered with every future planning application.
HCS0090	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing.

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			<ul style="list-style-type: none"> • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p>
HCS0096	Resident	South-Western Expansion (-)	Objects to the proposed development plan for the south western extension to Hartlepool. Main concern is risk of flooding to property.
HCS0098	Resident	Tunstall Farm (-)	Strongly objects to the development plans for housing adjacent to West Park. Concerns regarding drainage problems and inadequate sewage, loss of wildlife, congestion and traffic, the change of character in the area from a quiet to busy location and regards the 10% social housing allocation as social engineering.
HCS0102	NA	NA	Incorrect numbering, no HCS does not 0102 exists
HCS0103	Resident	Quarry Farm (-)	Objects to the development plans for housing at Quarry Farm. Concerns regarding the decline of the town centre, congestion, traffic and increased risk of

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			flooding. Also feels there was little publicity with regard to the concerned proposal.
HCS0106	Resident	South-Western Expansion (-)	<p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p>
HCS0109	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposals to build a large new estate adjacent to the Fens Estate. The following reasons are given:</p> <p>Future housing demand is overstated; there are already a lot of empty properties in the town and the population is forecasted to stabilise over the next 10 years;</p> <ul style="list-style-type: none"> ▪ Large scale development as proposed at Claxton would put unacceptable extra pressure on the A689, no matter where the entry/exit point may be located; ▪ Any connection to Owton Manor/Brierton would adversely affect congestion and road safety in residential areas. Particularly along Brierton Lane and Owton Manor Lane/Macrae Road as the proposed feeder road would become a western by-pass; ▪ Of all greenfield sites that could be chosen to expand the town the site at Claxton is the furthest from the town centre thus being the most costly in terms of car journeys. This type of urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport: expanding Hartlepool to the south west would almost certainly serve to encourage the use of Hartlepool as a dormitory town for those employed in the rest of the Tees Valley conurbation and actually encouraging increased car commuting.

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			<ul style="list-style-type: none"> ▪ RSS, particularly policy 10 and 16 are referred to in order to support their claim against urban sprawl. ▪ A viable road scheme is not offered leading to increased traffic and congestion ▪ There would be a loss of high quality landscape, agricultural land and countryside, both of which are valuable assets to this part of Hartlepool. There will be a loss of biodiversity and habitat for wildlife, especially for endangered species such as water vole. ▪ Increased risk of flooding: Greatham Beck already overflows after exceptionally heavy rainfall. It cannot take any further surface water drainage without dramatically increasing flood risk. ▪ Any pollution from further building will increase the possibility of devastating the creek area including Seal Sands and the Nature reserve. The RSPB has spent millions of pounds encouraging tourism to the area and protecting the natural ethos of the area. ▪ Added to that is the intention to develop Graythorpe which is only a short distance from Greatham as an industrial site for further dumping of “questionable waste”. ▪ Building more houses close to the Major Oil Storage, COMAH and Nuclear sites verges on crass. We strongly object to the concentration of all “bad neighbour uses” into areas already having COMAH AND Nuclear sites. This area is close to residential areas at Greatham and Seaton Carew as well as the most important wildlife sites. This has to be detrimental to the quality of our living environment. <p>Alternative suggestions for housing development in different locations are suggested throughout the town. They mainly focus on the previously developed land, vacant factories and brownfield sites. The respondent believes that the management of Hartlepool needs to have a more reflective view. Instead of “preferred” option we need to look towards a more caring option – caring for its people and for its environment.</p> <ul style="list-style-type: none"> • Totally opposed to the Claxton development and believes it would destroy the village of Greatham. Suggests that housing should be built on land
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			between Belle-Vue and Seaton Road.
HCS0110	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposals to build a large new estate adjacent to the Fens Estate. The following reasons are given:</p> <ul style="list-style-type: none"> ▪ Future housing demand is overstated; there are already a lot of empty properties in the town and the population is forecasted to stabilise over the next 10 years; ▪ Large scale development as proposed at Claxton would put unacceptable extra pressure on the A689, no matter where the entry/exit point may be located; ▪ Any connection to Owton Manor/Brierton would adversely affect congestion and road safety in residential areas. Particularly along Brierton Lane and Owton Manor Lane/Macrae Road as the proposed feeder road would become a western by-pass; ▪ Of all greenfield sites that could be chosen to expand the town the site at Claxton is the furthest from the town centre thus being the most costly in terms of car journeys. This type of urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport: expanding Hartlepool to the south west would almost certainly serve to encourage the use of Hartlepool as a dormitory town for those employed in the rest of the Tees Valley conurbation and actually encouraging increased car commuting. ▪ RSS, particularly policy 10 and 16 are referred to in order to support their claim against urban sprawl. ▪ A viable road scheme is not offered leading to increased traffic and congestion ▪ There would be a loss of high quality landscape, agricultural land and countryside, both of which are valuable assets to this part of Hartlepool. There will be a loss of biodiversity and habitat for wildlife, especially for endangered species such as water vole. ▪ Increased risk of flooding: Greatham Beck already overflows after exceptionally heavy rainfall. It cannot take any further surface water drainage without dramatically increasing flood risk.

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			<ul style="list-style-type: none"> Any pollution from further building will increase the possibility of devastating the creek area including Seal Sands and the Nature reserve. The RSPB has spent millions of pounds encouraging tourism to the area and protecting the natural ethos of the area. Added to that is the intention to develop Graythorpe which is only a short distance from Greatham as an industrial site for further dumping of “questionable waste”. Building more houses close to the Major Oil Storage, COMAH and Nuclear sites verges on crass. We strongly object to the concentration of all “bad neighbour uses” into areas already having COMAH AND Nuclear sites. This area is close to residential areas at Greatham and Seaton Carew as well as the most important wildlife sites. This has to be detrimental to the quality of our living environment. <p>Alternative suggestions for housing development in different locations are suggested throughout the town. They mainly focus on the previously developed land, vacant factories and brownfield sites. The respondent believes that the management of Hartlepool needs to have a more reflective view. Instead of “preferred” option we need to look towards a more caring option – caring for its people and for its environment.</p> <ul style="list-style-type: none"> Brownfield sites that are currently unsightly in town should be developed first.
HCS0114	Resident	South-Western Expansion (-)	<p>If a decision is made to proceed with the South West extension:</p> <p>A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p>
HCS0115	Resident	South-	<p>If a decision is made to proceed with the South West extension:</p>

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		Western Expansion (-)	A significant green w edge should be left betw een the tw o estates, so that an attractive feeling is retained, landscape preserved, w ildlife protected, include a large extension to the Local Nature Reserve, w ith appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green w edge could enable the improvement of local rights of w ay to the w ider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green w edge.
HCS0116	Greatham Parish Council	Hospital of God	Concern that the Hospital of God should be treated like all other applicants w ith regard to rules and regulations w hen planning matters are concerned and Greatham is and should remain as a village and not effected by urban sprawl.
HCS0117	Resident	All	Agrees with most of the preferred options policy and strongly agrees that the old Steetley site should be re-used. Disagrees w ith CS10 as the respondent feels there should be promotion of traditional shops such as butchers and bakers in place of hot food takeaw ays. Agrees w ith CS11 in general but believes that ST Hilda's Church should be open all year round and be better managed. Possibility of a indoor climbing w all at Summerhill Centre. In general w ould like Hartlepool to have more links w ith higher education and have cheaper bus fares.
HCS0118	Resident	South-Western Expansion (-)	Objects to the southw est expansion of the tow n believing the scheme as short-sighted, environmentally damaging and borne out of the councils desire to satisfy government targets. Specific objections include the building on green field land, increased run-off and drainage issues and increased traffic. Believes this development contradicts many of the other preferred options and the central tenets of green, sustainable living. Is unsatisfied w ith the w ay information w as disseminated to the residents, believing that it w as not w ell advertised and difficult to find on the w ebsite.
HCS0119	Resident	Tunstall Farm (-)	Objects to the development plans for housing at Tunstall Farm, as the respondent believes this w ill devalue their property. Concerns regarding congestion, traffic and increased risk of flooding are stated.
HCS0121	Resident	Tunstall	Strongly objects to the proposed plan to extend the tow n boundary to include

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		Farm (-)	Tunstall Farm. The main reasons for such strong distain for the development are as follows: since the Planning Inspectorate rejected the plans to develop the land in Tunstall Farm nothing has changed; the allocation of social housing is a concern, believing that this will not lead to social mixing and eventually the degradation of the area. The respondent believes that the Council incurred unnecessary expense to set up a Public Enquiry the first time the site was proposed and believes this will happen again and be an unnecessary cost to the taxpayer.
HCS0137	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for housing at Tunstall Farm. Concerns regarding increased risk of flooding and inadequate drainage systems to cope with this new development. Would like to raise the same objections and concerns that were stated in previous inspection.
HCS0145	Resident	South-Western Expansion (-)	Feels there is no demand for a South-Western Expansion to be built on green belt land. It is also stated that the provision of social housing will reduce the surrounding property prices. Increased traffic flow and a poor sewage and drainage would be aggravated. Fear of increased crime through the creation of recreation areas and rights of way.
HCS0148	Fens Resident Association	South-Western Expansion (-)	Many residents feel they were not made aware of the proposals and properly consulted in adequate timescales. They feel that the projection population figures do not warrant the amount of housing proposed. Fearful over the loss of green belt land and loss of public rights of way to urban sprawl. Another concern is what impact the proposed development will have on the existing infrastructure of the fens, particularly increased traffic, parking issues and pressure upon school places. Negative impacts on property prices and the quality of life of residents is also a major concern. The presence of conservation ponds, high-pressure water pipelines and overhead power lines are seen as major constraints to development. The loss of high quality landscape and countryside, very poor drainage and the fact that houses in New ark Road are already in Flood Zone 3 further cause concern. The Fens Residents Association concludes that the proposal is neither necessary nor desirable.
HCS0152	Resident	Tunstall Farm (-)	Objects to the development plans for housing at Tunstall Farm. Concerns regarding congestion, traffic, school provision and drainage are stated.

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HCS0153	Resident	Tunstall Farm (-)	Objects to the development plans for housing at Tunstall Farm. Concerns regarding congestion, traffic and increased risk of flooding due to the loss of agricultural land to aid natural drainage capacity are stated. These problems should be addressed first before any further building development occurs in the area.
HCS0155	Resident	Tunstall Farm (-)	Strongly objects to the development plans for housing at Tunstall Farm. Concerns regarding congestion, traffic and increased risk of flooding are stated.
HCS0156	Resident	All	Believes that the local authority has not given enough thought to the future of the area. Instead of a “preferred” option we need to look towards a more caring option – caring for its people and for its environment.
HCS0157	Resident	Tunstall Farm (-)	Strongly objects to the development plans for housing at Tunstall Farm. Does not understand why the council is ignoring the Government Inspectors earlier decision in 2005. Objects to any development on this land.
HCS0184	Resident	Tunstall Farm (-)	Objects to the development plans for housing at Tunstall Farm. Concerns regarding congestion, traffic and increased risk of flooding with reduced school places and more competition. Does not understand why the council is ignoring the Government Inspectors earlier decision.
HCS0185	Resident	Tunstall Farm (-)	Strongly objects to the development plans for housing at Tunstall Farm. Concerns regarding congestion, traffic and increased risk of flooding with reduced school places and more competition. Does not understand why the council is ignoring the Government Inspectors earlier decision.
HCS0186	Resident	Tunstall Farm (-)	Strongly objects to the development plans for housing at Tunstall Farm. Concerned about the devaluation of property, congestion and increased traffic and increased risk of flooding. States that affordable housing is deemed undesirable in the area and suggest crime (fear of) will change the character of the area.
HCS0193	Resident	Tunstall Farm (-)	Objects to the proposed development plans for West Park, stating flooding and traffic congestion as major concerns.
HCS0203	Greatham Parish Council	South-Western Expansion (-),	Feels there has been a lack of consultation prior to the inclusion of the option to expand the urban fence to allow 2200 houses at Claxton. Feels that PD Ports has extreme influence in planning policy and is Greatham Parish Council extremely concerned as what is meant by the option for Eco-industries to be

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		Graythorp	located at Graythorp.
HCS0204	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development stating the following reasons: the increased risk of flooding in the Valley Drive area, increase in traffic in the area already congested at peak times, amenity loss of greenfield site and the subsequent effect on the Summerhill facility, and the potential for further development to be allowed, leading to the opening of Valley Drive as a through route for traffic.
HCS0205	Resident	Tunstall Farm (+)	After consultation with the planning policy team in which the respondent had clarification on several points of the preferred options report they do not have any real objections to the Tunstall Farm development. There was some concern over the possibility of flooding and loss of wildlife in the area. General comments were made in regard the siting of many landfill sites in the town and the negative image this has. The respondent also feel the public consultation process could be longer and better, with more open ended questions asked to gain the respondents feelings other than one word answers.
HCS0206	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development stating the following reasons: the noise and disruption caused by extra traffic and the increased risk of flooding. The objector believes that they pay "very high" council tax for very few services and should not be put through this disruption.
HCS0207	Resident	Quarry Farm (-)	Objects to the proposed Quarry Farm housing development stating the poor access and increase in traffic and serious risks posed to the public, especially children.
HCS0208	Resident	All, South-Western Expansion (-)	Agrees with most of the proposed aims and changes needed to achieve a successful future for Hartlepool, however, has concerns over the following: the stabilisation of population therefore overstated demand, existing executive housing should not be downgraded to accommodate mixed housing, the location of the proposed hospital will endanger peoples lives by being too far away, objects to the western expansion of the town and loss of fertile agricultural land, objects to the erection of wind turbines. Although agrees with most of the policy of on mixed housing but not the extending of the western and south western boundaries due to the impact on the countryside, wildlife, increased traffic and environmental issues. Do not agree with the idea of local

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			centres as they create anti-social behaviour, and that gypsies and travellers should not be located on greenfield land. Draws attention to fly-tipping problems and is angry about the methods of consultation with the public and believe they were not adequately informed.
HCS0209	Resident	South-Western Expansion (-)	Objects to the proposed housing development at Claxton stating the following reasons: the destruction of prime agricultural land, the fear of Hartlepool becoming one amorphous conurbation with the rest of Teesside, the loss of village identity and the need to build on brownfield land before greenfield land.
HCS0211	Resident	Tunstall Farm (-)	Objects to the proposed housing development at west park stating the following reasons: the increased in traffic, particularly at school times, the possible increase in risk of flooding, the loss of another green belt and the negative impact on the towns image.
HCS0212	Resident	All	Agrees with all the preferred options policies.
HCS0213	Sport England	All	Sport England supports CS3, CS4 and CS14, however, have a couple of concerns. It is stated that Hartlepool's Playing Pitch Strategy is dated 2006, which from their perspective means it is out-of-date and therefore open to challenge. The omission that built sports facilities are not afforded protection in the same way as sports pitches or that there is no policy which seeks to preserve and where necessary, enhance the quality, quantity and accessibility of Hartlepool's built sports facilities.
HCS0214	Resident	Quarry Farm (-)	Objects to the proposed Quarry Farm housing development stating the following reasons: the increased risk of flooding, the existing public right of way should be moved to the west of any new development to maintain countryside views. Respondent believes that the publicity/ communication for this significant development could have been a lot better. As a minimum there should have been a "fly-leaflet" posted least to the residents bordering the proposed development.
HCS0216	Resident	Quarry Farm (-)	Objects to the proposed housing development at Quarry Farm and believes they were not given sufficient notice to object. They state the eradication of woodland and countryside and the negative effect on the environment along with flooding. The objector also believes that there will be a reduction in their property value.

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HCS0217	Resident	Tunstall Farm (-)	Objects to the proposed development of Tunstall Farm stating the following reasons: loss of greenfield area on the unproven basis that more executive housing will attract incomers to live in Hartlepool, traffic congestion, the negative impact on highways, water supply and sewage treatment, also believes there will be a negative impact on the environment for minimal economic and social advantage and loss of green space for limited benefit and finally a similar proposal was rejected in 2005 by a Government Inspector.
HCS0218	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce

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			<p>car journeys and promote sustainable transport.</p> <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Witnessed flooding many times, housing would exacerbate this problem.
HCS0221	Resident	South-Western Expansion (-)	Objects to the south western expansion of Hartlepool stating the following reasons: the new entry/exit point onto the A689, the absence of plans to build schools, shops and other facilities for the newly built area, the loss of a local nature reserve and the increased flood risk.
HCS0222	Resident	South-Western Expansion (-)	Objects to the south western expansion of Hartlepool stating the following reasons: the housing demand is overstated. There are no plans to build a secondary school and there is a oversubscribed primary school, problems of flooding with increased hard surface run-off, and the inadequacy of the sewage system, loss of wildlife and recreational space.
HCS0224	Resident	South-Western Expansion (-)	Objects to the south western expansion of Hartlepool stating the following reasons: the housing demand is overstated and there are not enough employment opportunities. There are no plans to build a secondary school and there is a oversubscribed primary school, problems of flooding with increased hard surface run-off, the increase in waste and the inadequacy of the sewage system, loss of wildlife, the presence of a high pressure pipeline and the perceived possible reduction in value of properties.
HCS0226	Resident	South-Western Expansion (-)	<p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and</p>

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			wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.
HCS0233	Resident	South-Western Expansion (-)	Objects to the south west expansion of Hartlepool on the grounds that the road system will be radically effected, older, brownfield sites should be developed first, there is a high pressure gas pipeline running through the middle of the proposed site at Claxton and the problem of overhead power lines which could be restrictive. Flooding and the lack of secondary school facilities are also stated. Believe that if the Wynyard hospital development goes ahead the old hospital site should be developed as residential land. Generally the council should recalculate the number of houses it proposes to build.
HCS0234	Resident	Tunstall Farm (-)	Objects to the proposed development plan to develop green belt land behind Hylton Road and Valley Road. The objector is concerned with the loss of value to their property along with several years of building works and the accompanied traffic, noise, dirty roads and restricted access.
HCS0235	Resident	South-Western Expansion (-)	Strongly objects to the proposed building of housing next to the Fens Estate stating the inadequacy of the road system, increased risk of flooding and the undesirability of social housing being allocated so close to the objector's property.
HCS0236	Resident	Quarry Farm (-)	Objects to the Quarry Farm development, stating the inadequacy of the road system, increased risk of flooding, loss of green belt land and negative impact on the town centre by pushing residents further a-field to spend money in other areas.
HCS0237	Resident	Quarry Farm (-)	Objects to the Quarry Farm development, stating increased risk of flooding, loss of a natural floodplain and negative impact on wildlife and increased traffic.
HCS0238	Hartlepool Civic Society	South-Western Expansion (-)	Objects to the allocation of rural hinterland for housing, while empty sites remain within the existing urban fence. Believes that the proposed Claxton housing development is too large and would overwhelm the village of Greatham. The society suggests that there may be more industrial allocation than demand.

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			There is a belief that PD Ports is allowed to dictate the future of Hartlepool Centre.
HCS0239	Resident	Graythorp Eco (-) Rural	Concerned about the Graythorp Eco Industries and the environment of Hartlepool being used by bad neighbourhood uses. Suggest the need for green belt buffer zones in the area. Would like to see a better working arrangement with rural communities to see how developments might be fitted successfully into the rural environment.
HCS0240	Resident	Hart and Elwick (+)	The transport system needs to be rectified before the building of housing occurs. The proposed housing for Hart and Elwick should be accepted due to an aging population and would help revitalise these communities by attracting more families to support local businesses, churches and schools.
HCS0241	Resident	Tunstall Farm (-)	Objects to the conversion of green belt land at Tunstall Farm into residential building land. Cannot understand why the site is being considered after a Government Inspector refused to allow the development a few years ago. States traffic and inadequate drainage as major concerns.
HCS0248	Yuill Homes	Housing (+)	Yuill supports the preferred Locational strategy and generally supports the aims and objectives of policy CS2. The respondent feels that a clear reference to viability is needed for the policy to remain sound. Overall, they support the proposed strategic distribution of housing set out in CS5, however, object to the timescales for new sites coming forward in conformity with the locations identified in policy CS1. Supports the inclusion of Quarry Farm in HCS5, however, would like great flexibility to be released earlier. With regard to CS6 and in relation to Quarry Farm objects to the policy wording as they feel it is not appropriate to have mixed tenure and executive homes on the same site. Whilst there is support for the general aims of CS13 there are specific objections to issues of energy efficiency and sustainable construction.
HCS0250	Resident	South-Western Expansion (-)	Strongly opposes the proposed housing development to the west of the Fens Estate. Fear of flooding and loss of biodiversity and green space. Objector feels that they were not listened to adequately or taken seriously at the public consultation meeting.
HCS0256	Resident	South-Western	Objects to the proposed southwest expansion of housing close to the Fens Estate. Increased traffic, lack of school facilities and loss of biodiversity and

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		Expansion (-)	open green spaces are major concerns.
HCS0257	Resident	South- Western Expansion (-)	<p>The respondent objects to the proposals to build a large new estate adjacent to the Fens Estate. The following reasons are given:</p> <ul style="list-style-type: none"> ▪ Future housing demand is overstated; there are already a lot of empty properties in the town and the population is forecasted to stabilise over the next 10 years; ▪ Large scale development as proposed at Claxton would put unacceptable extra pressure on the A689, no matter where the entry/exit point may be located; ▪ Any connection to Owton Manor/Brierton would adversely affect congestion and road safety in residential areas. Particularly along Brierton Lane and Owton Manor Lane/Macrae Road as the proposed feeder road would become a western by-pass; ▪ Of all greenfield sites that could be chosen to expand the town the site at Claxton is the furthest from the town centre thus being the most costly in terms of car journeys. This type of urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport: expanding Hartlepool to the south west would almost certainly serve to encourage the use of Hartlepool as a dormitory town for those employed in the rest of the Tees Valley conurbation and actually encouraging increased car commuting. ▪ RSS, particularly policy 10 and 16 are referred to in order to support their claim against urban sprawl. ▪ A viable road scheme is not offered leading to increased traffic and congestion ▪ There would be a loss of high quality landscape, agricultural land and countryside, both of which are valuable assets to this part of Hartlepool. There will be a loss of biodiversity and habitat for wildlife, especially for endangered species such as water vole. ▪ Increased risk of flooding: Greatham Beck already overflows after exceptionally heavy rainfall. It cannot take any further surface water

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			<p>drainage without dramatically increasing flood risk.</p> <ul style="list-style-type: none"> Any pollution from further building will increase the possibility of devastating the creek area including Seal Sands and the Nature reserve. The RSPB has spent millions of pounds encouraging tourism to the area and protecting the natural ethos of the area. Added to that is the intention to develop Graythorpe which is only a short distance from Greatham as an industrial site for further dumping of "questionable waste". Building more houses close to the Major Oil Storage, COMAH and Nuclear sites verges on crass. We strongly object to the concentration of all "bad neighbour uses" into areas already having COMAH AND Nuclear sites. This area is close to residential areas at Greatham and Seaton Carew as well as the most important wildlife sites. This has to be detrimental to the quality of our living environment. <p>Alternative suggestions for housing development in different locations are suggested throughout the town. They mainly focus on the previously developed land, vacant factories and brownfield sites. The respondent believes that the management of Hartlepool needs to have a more reflective view. Instead of "preferred" option we need to look towards a more caring option – caring for its people and for its environment.</p> <ul style="list-style-type: none"> 40 plus years ago there was an airport at Greatham. It was a "green belt" yet was used to build the north works of the steel works, complete with unsightly cooling towers, rolling mills and pipe mills. The units do not blend in with the green belt, but will bring lots of jobs to the area, according to the Borough Council, who seem to want to smother Greatham village. The many demolished house sites in Hartlepool would be more suitable than using more land and extending boundaries.
HCS0258	Resident	South-Western Expansion (-)	<p>Opposes the building of houses west of the fens and believes there has been an overstated need. Points to the abandoned buildings and vacant land around the city, which could be utilised. Concerned about the increase in traffic and the creation of "rat runs".</p>

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HCS0259	Resident	Quarry Farm (-)	Objects to the change in of town boundary to include the development of Quarry Farm and the building of houses on this site. Concerned about flooding of his property, and traffic in the area. Also objects to the provision of affordable housing on “premium sites”.
HCS0260	Resident	Hart Village (+)	Fully supports the small-scale development in Hart Village referred to in the Preferred Options Report.
HCS0263	Resident	Tunstall Farm (-)	Objection the proposed housing development at Tunstall Farm contained within CS5 New Housing development policy. Problematic drainage, flooding and loss of value to property are stated.
HCS0264	Resident	Elwick (-)	Primary concern relating the building of properties in the Elwick area relates to the oversubscription of school places and the that the granting of building permission is will set a precedent to build on other green spaces within the village.
HCS0265	Resident	Hart and Elwick (+)	Has no objection to the proposed modest development in the villages of Elwick and Hart or to the expansion of Hartlepool as a town, but do have major concerns over traffic flow.
HCS0266	Resident	Quarry Farm (-) Upper Warren (-)	Has a concern of the Quarry Farm development and also the Upper Warren and Tunstall Farm housing development. They believe that it is imperative that improvements are made to the existing road network before any further development occurs.
HCS0268	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the

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			<p>brown hare, grey partridge and lapwing.</p> <ul style="list-style-type: none"> • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • There needs to provision for secondary schools with any development. Believes that Manor School is bursting with pupil numbers.
HCS0267	Resident	South-Western Expansion (-)	Opposes the proposed housing development near Greatham, as it is prime agricultural land. The objector is fearful that the character of the village will be lost urban sprawl.

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HCS0269	GVA Grimley	Sovereign Park	The respondent has no objection to the Locational strategy set out in Policy CS1 and also supports CS2, CS3 and CS4. With regard to CS5 they are pleased that the council have acknowledged that they have underperformed on RSS figures in the past five years. There is support for CS6 in general and state that they will undertake negotiations with the council to secure a suitable mix for their scheme at Sovereign Park. With regard to CS8 it is requested that the site of Sovereign Park is de-allocated from employment uses.
HCS0270	Resident	Upper Warren (-)	Objects to current position of the land labelled "Upper Warren" and suggest their own land for consideration that would consist of an envelope to be extended further to the west to reach the boundary of the Hart Reservoir, and plant woodland on low grade farm land.
HCS0271	English Heritage	All, North Burn & Wynyard (-)	Welcomes the spatial vision for Hartlepool and supports the protection of the town centre but requires the Locational Strategy needs a more sophisticated approach. States heritage protection is not the same as heritage avoidance. With regard to climate change it is argued that it is imperative that the strategy properly responds to and explains the apparent inconstancy of locating significant employment land away from residential areas. English Heritage formally objects to the allocation of employment land at North Burn. They also suggest that although the Community Infrastructure Levy is uncertain, the document perhaps ought to make some statement regarding the council's intentions should it come to pass. It is stated that with regard to housing it is better to state that the expansion of a village could rather than would have an adverse effect on the surrounding countryside. Within CS5 it is stated that Elwick is a Conservation Area and it is important that the scale of new development does not adversely affect the character of the area. CS7 should have regard to the safeguarding of environmental assets and particularly heritage assets. North Burn and Wynyard are adjudged to be unsustainable locations, which are likely to lead to "detrimental effects" upon the natural environment. English Heritage also welcomes the need for proposals under CS9 and CS5 to conform to CS13.
HCS0272	Resident	Tunstall Farm (-)	Objects to the proposed new housing estate on land at Tunstall Farm. States that a similar development was considered some years ago and the

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			Government Inspector upheld the objections of the local residents and believes nothing has changed since that review . Also states flooding increased traffic and demand for school places in the area.
HCS0274	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a</p>

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			<p>large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Would definitely not want more traffic on Truro Drive.
HCS0275	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services.

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			<ul style="list-style-type: none"> Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> Housing would exacerbate the flooding problem. Beck widening would destroy habitat.
HCS0276	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> Do not accept that future housing demand will necessitate the creation of such a large estate. Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. Loss of high quality landscape and countryside. Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. Underground water main and overhead power lines, both constraining development. Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. Negative effect on quality of life and the desirability of properties for existing residents.

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			<ul style="list-style-type: none"> • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Housing has not been thought through properly its based on 300 demand and not social, demographic or other needs. • Lack of school could lead to increase foot traffic. • Increased pressure on Catcote road and Truro Drive.
HCS0278	Resident	Tunstall Farm (-)	Strongly objects to the proposal to build houses on the Tunstall Farm site. The main reasons include the loss of green belt land, poor existing drainage infrastructure, access problems and the allocation of 10% affordable housing.
HCS0282	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable.

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			<ul style="list-style-type: none"> • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • The amount of Council Tax collected will not cover the services the Council will have to provide, especially as there will be affordable housing.
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			<ul style="list-style-type: none"> If the proposal to build goes ahead, the respondent is against any park or play areas being provided as they believe there are gangs of youths and children roaming around the estate and think that any such areas would only provide a gathering area for these 'gangs'.
HCS0290	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> Do not accept that future housing demand will necessitate the creation of such a large estate. Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. Loss of high quality landscape and countryside. Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. Underground water main and overhead power lines, both constraining development. Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. Negative effect on quality of life and the desirability of properties for existing residents. Rural land is a convenient haven of countryside tranquillity. Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. Extra pressure on already struggling front line services. Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension:</p>

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			<p>A significant green w edge should be left betw een the tw o estates, so that an attractive feeling is retained, landscape preserved, w ildlife protected, include a large extension to the Local Nature Reserve, w ith appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green w edge could enable the improvement of local rights of w ay to the w ider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green w edge.</p> <p>The amount of Council Tax collected w ill not cover the services the Council w ill have to provide, especially as there w ill be affordable housing.</p> <ul style="list-style-type: none"> • Increased car use as development is 'out in the sticks'. • The proposal for ponds for waste water would be dangerous for children.
HCS0291	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the follow ing reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand w ill necessitate the creation of such a large estate. • Already a lot of empty properties in the tow n and Hartlepool Council itself forecast that over the next 10 years the population w ill remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brow n hare, grey partridge and lapw ing. • Underground w ater main and overhead pow er lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing w ould increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity.

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HCS0292	Resident	Tunstall Farm (+)	Fully support the development at Tunstall Farm as part of the western extension.
HCS0296	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate.

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			<ul style="list-style-type: none"> • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Increased traffic on Catcote Road and Truro Drive.
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			<ul style="list-style-type: none"> Road is unsuitable for H.V.G traffic.
HCS0301	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> Do not accept that future housing demand will necessitate the creation of such a large estate. Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. Loss of high quality landscape and countryside. Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. Underground water main and overhead power lines, both constraining development. Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. Negative effect on quality of life and the desirability of properties for existing residents. Rural land is a convenient haven of countryside tranquillity. Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. Extra pressure on already struggling front line services. Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and</p>

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			<p>wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Truro Drive Traffic already at saturation. • Any new road must go to the Fens school and Manor school without the need to use Truro Drive. • A traffic survey should be done to assess the traffic levels. • Manor school could not handle more pupils.
HCS0302	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered

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			<p>water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife.</p> <ul style="list-style-type: none"> • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Object to more traffic on Truro Drive. • There must be another road to the A19. • Why the need for so many houses?
HCS0304	Elwick Parish Council	Quarry Farm (-)	Object to the development at Quarry Farm, as they feel it will put too much pressure on the road network through Elwick Village to the A19.
HCS0305	Resident	All	Believes that there is overstated housing needs and feels that a new western distributor road should be the main priority. Increased traffic is a major concern and believes that the new road should be a dual carriageway, as even green options will still favour private transport such as electric cars. Believes that the South West housing expansion is a response to the demise of the Victoria Harbour site falling through. Broadly agrees with CS, however, not with the method of delivery. Disagrees with expansion to the southwest urban fence. States that the development should take place out towards Dalton Percy, over Tunstall Farm linking into the proposed development at Brierton and Eaglesfield Road. If the building of the new hospital at Wynyard goes ahead, as it is seen as a Key Employment Location, there should be extra housing concentrated in

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			that area for staff to limit CO2 emissions from traffic. States that there is only anecdotal evidence of the lack of social housing in the Ow ton area. Believes that Wynyard cannot remain a solely executive housing estate, if the new hospital is to go ahead. If pepper potting is there to encourage a mix of housing it should be applied across all housing provision.
HCS0308	Resident	South-Western Expansion (-)	Objects to the proposals to build a large new estate adjacent to the fens estate. The objector does not accept that future housing demand will necessitate the creation of such a large estate.
HCS0311	Government Office North East	All	Generally support the strategic approach and the amended Locational Strategy taking into account the changes to the Victoria Harbour regeneration proposals. Need to make sure that the revised version of the Core Strategy is both deliverable and states targets that can in turn be monitored in line with national government objectives. The Secretary of State objects to specific parts of the draft policy CS2 as it conflicts with PPS25; policy CS4 as it conflicts with PPS12; policy CS5 as it conflicts with PPS3; policy CS9 as it conflicts with PPS4; policy CS10 as it conflicts with PPS4; policy CS11 as it conflicts with PPS5; policy CS12 as it conflicts with PPS12; policy CS13 as it conflicts with PPS1; policy CS14 as it conflicts with PPG17; policy CS16 as it conflicts with PPG12; policy CS18 as it conflicts with PPG13; and policy CS18 as it conflicts with PPS12.
HCS0312	England and Lyle for Northumbria Water	All	Welcomes the content of CS3 (Planning Obligations), acknowledges the intention of CS5 (New Housing Development), fully support CS13 (Built Environment) and supports CS14 (Open Spaces). NWL encourages the integration of SUDS in order to mitigate flood risk and encourage habitat creation where appropriate.
HCS0313	Environment Agency	All	Felt it was overall a very good strategy. Two points of concern were the wording of policy CS2, the other with the evidence base and the lack of a Sequential Test, a requirement of PPS25.
HCS0314	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the use of green belt land instead of brownfield land. Believes that building affordable housing near to established residents would have a negative impact.

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HCS0315	Spawforths	All	Generally supports policy CS1 (Locational Strategy) as it reconfirms the key employment location at North Burn and the high quality site at Queens Meadow. Generally supports policy CS2 (Climate Change). Would like increased numbers of housing figures in CS5 (new Housing Development). Have requested to the Hartlepool SHLAA to support the de-allocation of the Oaksway Industrial Estate for residential led mixed use development on behalf of OnSite North East Partnership Ltd.
HCS0316	National Grid	All	States the transmissions located in Hartlepool authority. National Grid is happy to provide the Council with advice and guidance regarding their networks. Need to consult the National Grid on any DPD or site-specific proposals that could affect the infrastructure.
HCS0317	Resident	Tunstall Farm (-)	Objects to the proposed housing development at Tunstall Farm, on the grounds that the site was considered a few years ago and was turned down by an independent Governmental Inspector.
HCS0318	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the use of green belt land instead of brownfield land with a negative impact on wildlife and increased traffic levels, noise and pollution, and increased flooding.
HCS0319	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development due to increased pressure on the existing road system and traffic congestion.
HCS0320	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the risk of increased flooding and pressure on the existing road system and traffic congestion.
HCS0321	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the risk of increased flooding, the use of greenfield land above brownfield PDL and traffic congestion.
HCS0322	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the risk of increased flooding and traffic congestion.
HCS0323	Resident	Tunstall Farm (-)	Objects to the proposed housing development at Tunstall Farm, on the grounds that the site was considered a few years ago and was turned down by an independent Governmental Inspector, increased traffic and previously delivered schemes which the objector deems poorly designed with limited trees or green space.

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HCS0324	Tees mouth Bird Club	North Burn and Wynyard (-) South-Western Expansion (-)	<p>Fully endorse strict controls on and limits to development and the concept of “compact” urban growth in order to protect urban green spaces and the rural fringe. Recommend Hartlepool Borough Council to undertake a further reappraisal of potential housing sites and to seek out land allocations that are less environmentally damaging than those proposed for Brierton and Claxton. We believe there will be significant local opposition to the proposed housing in these areas from the local population, particularly those living on the Fens Estate. It is interesting to note that the amount of proposed new ‘green wedge’ shown on the Core Strategy Options Map is far exceeded by the loss of greenfield land, though we welcome the Council's commitment to protecting existing and new green wedges. TBC endorse the main view of public feedback that new development should be confined to previously developed land, wherever possible. This will help to retain open countryside and green spaces within the town, which local people cherish, and protect greenfield sites. Many of these are at variance with the preferred options to develop greenfield sites on the western side of the town, North Burn and Wynyard and again undermine the Strategy's cornerstones of “sustainability” and “compact growth”. It would be useful if this Policy is expanded to explain how sea level rise and coastal squeeze are to be managed (as per SMP2).</p> <p>TBC strongly advise against the use of Claxton and Brierton for new housing in view of the significant ornithological evidence TBC recently provided to the Council and its consultants, underlining the importance of these two sites. We recommend that the Council commissions an independent re-survey of these areas to assess their current nature conservation value, bearing in mind that the last detailed appraisal was the TBC's Breeding Birds Survey (undertaken in 1999-2002). TBC would be pleased to provide an independent service, to assist the Council, if requested.</p> <p>We applaud the Council's decision to omit Brierton and Claxton from Phase 1 in order to focus development on previously developed land defer the use of greenfield sites. Is it possible to further reallocate the first phase of Claxton into 2019-2024, as this will give more time for alternative brownfield sites to be located, or for a change of heart on the use of Victoria Harbour? TBC fully</p>
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			<p>endorse Green Tourism in the Borough, particularly in the coastal and estuarine areas, which are some of the most important on the English east coast. TBC's development of the Heugh Lighthouse site as the first, purpose-built sea watching bird observatory in the UK will attract a great deal of interest and give local people and visitors an opportunity to learn about seabird migration, as well as observing seals, Harbour Proposals and other marine wildlife at first-hand. TBC do not understand what "the Tees Valley Brand" means. This could do with a brief explanation.</p> <p>We consider that the development of the Brierton and Claxton areas for housing will have a negative impact on Summerhill Country Park through 'urbanisation'. TBC believe that Hartlepool Headland does not have the necessary infrastructure (particularly road access and car parks) to accommodate large-scale tourism development and over-development may seriously impact on this area's special qualities. Existing tourist features, such as the Heugh Gun Battery, appear to attract very low numbers of visitors. Any tourism development on The Headland should be relatively small-scale and sensitive. There will be conflict between the continued use of Summerhill as access to the countryside and the proposed housing developments at Brierton and Claxton. We fully agree with this statement concerning the varying standards of design in regenerated parts of the town, which represent missed opportunities.</p>
HCS0325	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the loss of green belt land and the already limited green landscape within Hartlepool.
HCS0326	Police	All	Highlights the absence of the any direct reference to Crime Prevention and Community Safety. The emerging LDF should also make reference to "crime prevention through environmental design" and in particular "Secured by Design".
HCS0327	Resident	Quarry Farm (-)	Objection to the proposed housing development of Quarry Farm. Particular concerns with the traffic problems, eradication of woodland/countryside, effect on the environment and flooding potential.
HCS0328	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development, stating the reasons of increased flooding and congestion. Also refers to the failure to deliver the development years previous.

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HCS0329	Resident	Tunstall Farm/ Quarry Farm (-)	Objects to the proposed Tunstall Farm/Quarry Farm development, stating the reasons of increased traffic, the loss of artefacts, increased flooding, insufficient school facilities, Valley Drive becoming a “rat-run” and the loss of value to the property concerned.
HCS0330	Coal Authority	NA	Have no specific comments to make on this document at this stage.
HCS0331	Resident	Quarry Farm (-)	Objects to the proposed Quarry Farm development, stating the reasons of negative environmental impact on wildlife and ecosystem, increased traffic and pollution, inadequate road network, flooding, poor exiting drainage and sewage system, crime and (fear of).
HCS0332	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the loss of green belt land and the already limited green landscape within Hartlepool.
HCS0333	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the use of green belt land instead of brownfield land and therefore inconsistent with the council's policy to “protect and enhance the open and green spaces within the town”.
HCS0334	Resident	South-Western Expansion (-)	Has no objection to the Westward expansion in principle, however, believes that the existing sewers and drainage system are inadequate.
HCS0335	Resident	Tunstall Farm (-)	Objects to the proposed Tunstall Farm housing development based on the following points. The use of green belt land instead of brownfield land, the fact that the development would detract from the amenity value of Summerhill, increased problems of flooding and the lack of access to the development.
HCS0336	North East Planning Body	All	States that all of Hartlepool's proposed Preferred Options policies are broadly in line with RSS policy objectives. The report outlines a number of objectives which should be reflected in the final document, including the need for new development proposals to include SUDS, travel plans, energy efficiency and renewable energy measures and the need for appropriate phasing of housing allocations.
HCS0337	Resident	Tunstall Farm (-)	Fully objects to the Tunstall Farm housing development, particularly the stipulation that 10% of which should be allocated as social housing.
HCS0338	Royal	Town centre	The role of Hartlepool's high quality natural environment (as identified in the

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	Society Protection of Birds	(+) All housing (-)	SWOT analysis) should be given greater prominence in the vision. The first sentence should contain an additional clause, 'protected and improved environment'. We also suggest the second sentence begins: 'Securing a high quality built and natural environment will make...'. We support the inclusion of climate change as a key theme as well as the environment. We welcome the inclusion of green infrastructure within this list, although it would be strengthened if it read 'Green infrastructure and biodiversity'. However, to achieve the appropriate level of contribution the proposed Planning Obligations SPD should set out how the Council will determine the green infrastructure/biodiversity requirements from a given development. The RSPB is generally supportive of the climate change policy, but strongly recommends that the fifth bullet ensure that renewable energy developments 'can be satisfactorily accommodated with the landscape and without adverse impacts on biodiversity'. We strongly support the policy that 'developments outside the Town Centre which would undermine its vitality and viability will not be permitted', as a key measure to ensure sustainable development. We support this policy, though it is in direct conflict with other Core Strategy policies, particularly CS1 and CS5 regarding the westwards extension of Hartlepool. We also recommend that the open countryside is 'protected and enhanced': many parts of the countryside could be significantly improved in terms of biodiversity and access, which can also generate revenue for farmers through Environmental Stewardship payments. The RSPB support the reuse of buildings in the countryside, however there is significant risk that locations for nesting birds, particularly barn owls, can be lost during redevelopment. Whilst it might not be appropriate for the Core Strategy, the RSPB recommends that the LDF contains guidance (perhaps in an SPD) that sets out how developers should ensure continued use of farm buildings by birds and also bats.
HCS0339	Resident	South- Western Expansion (-)	Suggests that the Claxton development over-estimates housing need in the area and relies on RSS figures which are out of date and rest upon the now postponed Victoria Harbour plan being a driver of development. Has a concern over the placement of the housing within range of the proposed nuclear power station and the effect this will have on the Tees Valley Emergency Plan. Has a

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			strong objection to the “pepper potting” of affordable housing in areas of greater affluence. Also has a concern over transport provision.
HCS0340	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm housing development concerning the disappearance of green belt land. Greater objection came from the affordable housing allocation next to executive housing and questioned the demand for executive housing in Hartlepool. Exacerbation of traffic and congestion in the area as well as the pressure on local schools.
HCS0341	The Theatres Trust	All	Supports the inclusion of arts and culture in PO CS1 as being a town centre use for future developments, however, feel that in CS9 needs this detailed repetition for consistency. Believe that theatre uses should be included with CS9 rather than CS11.
HCS0342	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm housing development concerning the disappearance of green belt land. Greater objection came from the 10% affordable housing allocation, stating the fear of the degeneration of the area.
HCS0343	Resident	Quarry Farm (-)	Objection to the Quarry Farm housing development, stating traffic problems, eradication of woodland/countryside, effect on the environment, flooding potential, security issues and the possibility for anti-social behaviour.
HCS0344	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm housing development, stating the increased risk of flooding due to inadequacy of the existing surface water drainage system and congestion.
HCS0345	Resident	Tunstall Farm (-)	Objection to the building of houses at the West Park area.
HCS0346 and HCS0347	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm housing development, stating loss of greenfield area on the basis that more executive housing will attract incomers to live in Hartlepool, traffic congestion, the negative effect on highways, water supply and sewage treatment, negative impact on environment for minimal economic and social advantage and limited benefit due to loss of green space. The previous Government Inspector's decision to refuse the development is also mentioned.
HCS0348	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm housing development, stating loss of value to property, increased risk of flooding, pressure on school places and increased traffic along with disruption and road works for a prolonged period.
HCS0349	Resident	Tunstall	Objection to the Tunstall Farm housing development, stating the previous

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		Farm (-)	objections that were upheld by a Government Inspector, house building on the site would increase the risk of flooding, put pressure on school places and increased traffic.
HCS0350	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm housing development, stating the loss of green belt land and subsequently recreational outdoor space.
HCS0351	English Heritage	Villages (-), North Burn and Wynyard (-)	English Heritage welcomes the intention of the Core Strategy to reflect, at the local level, the national and regional policy objective of improving the town's economy whilst protecting and enhancing the environment. It should be noted that the historic assets of the area could benefit a wide range of interests and help meet a number of strategic objectives of the CS, including social inclusion, physical regeneration, sustainability and the minimisation of waste and energy consumption. What the Vision fails to do is champion the protection of the Borough's environment as a totality. PPS5 makes clear that the historic environment is everywhere. Whilst the Vision statement of the CS refers to the built and natural environment it remains silent with regard to the historic environment. Surprising is the omission of any reference to climate change, environmental limits and the sustainability agenda in paragraph 3.5. With disappointment I disagree that the heritage assets of the Borough cannot help with the economic regeneration of the area or the lifelong learning and skills. The title 'built environment' should be changed to the 'built and historic environment'. The CS must be more explicit that there is a link between conserving our heritage assets and utilising the historic environment in creating sustainable places. It is noted that heritage protection is not the same as heritage avoidance. Priority should be given to the development of brownfield land and buildings. Any further development at Wynyard, or indeed elsewhere, should be based on a full understanding of the historic character and significance of the area and the safeguarding of those elements of it that are culturally valued. Allowing development to encroach nearer to the villages may only serve to erode their rural character and reduce strategic gaps. English Heritage opines that there is an oversupply of terraced housing. It is argued that there is nothing inherently wrong with terraced housing and should be repaired to reach a specified standard. North Burn and Wynyard are adjudged to be

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			unsustainable locations, which are likely to lead to detrimental effects on the environment. The coastline is stated to be of historic importance and the marine and Christian heritage needs to be protected and developed sensitively for tourism.
HCS0352	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm development, stating housing building on the site would increase the risk of flooding, put pressure on school places and increased traffic.
HCS0353	Hartlepool Economic Forum	All	Supports the Preferred Options, which allows for expansion of development opportunities. The Forum also endorsed the Economy section incorporating the development of the energy sector both wind and nuclear. In respect to housing, westward expansion of housing needs to be connected to the rest of the town to aid economic linkage and access.
HCS0354	Resident	Tunstall Farm (-)	Objection to the Tunstall Farm development, stating housing building on the site would increase the risk of flooding, the site previously being refused and increased traffic.
HCS0355	One North East	All	Positive response overall to the Core Strategy, particularly CS2 (Climate Change), CS (Planning Obligations) and CS11 (Leisure and Tourism). Suggests there is greater emphasis on providing greater connectivity needed.
HCS0356	Resident	Quarry Farm (-)	Objection to the proposed Quarry Farm housing development. Increased traffic, unsafe roads and flooding as the main reasons for disagreement.
HCS0357	Resident	Tunstall Farm (+)	Agrees with the document in general, however, objects to the housing development at Tunstall Farm, due to unacceptable risk of flooding, disruption and noise and increased traffic and congestion.
HCS0358 and HCS0359	Resident	Tunstall Farm (-)	Objection to the proposed Tunstall Farm housing development. Refers to previous planning refusals in the area, the fact that it is greenfield land, increased traffic and problems with access as the main reasons for disagreement.
HCS0360	Resident	Quarry Farm (-)	Objection to the proposed housing development at Quarry Farm, stating the loss of greenfield land, increased traffic, loss of wildlife and habitat and flooding as the main reasons for disagreement.
HCS0361	Resident	Tunstall Farm (-)	Objects to the proposed housing development of Tunstall Farm. Refers to previous planning refusals in the area, the fact that it is greenfield land,

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			increased traffic and pressure on school places and the decrease in property value due to the building of social housing as the main reasons for disagreement.
HCS0362A	All Saints Church – Hartlepool Deanery Synod	Recreation	Main concern is CS4 (Community Facilities and Services) policy. Registers their firm view that there needs to be adequate community facilities, in the form of Community centres and recreational areas that need to be an essential component in any large residential development such as Claxton. Provision should be required from developers.
HCS0362B	Resident	Quarry Farm (-)	Objects to the proposed housing development of Quarry Farm. Refers to previous planning refusals in the area, increased traffic and woodland issues as the main reasons for disagreement.
HCS0363	Resident	Tunstall Farm (-)	Objection to the proposed housing development at Tunstall Farm. States serious drainage problems in the area and the increase in housing and therefore the load on an already stretched system would be disastrous. The traffic increasing pressure on an already stressed system. Exiting the estate via the Elwick Road, Wooler Road Junction especially at busy times is extremely dangerous. Brownfield sites should be used and run-down area re-developed. Very much opposed to building on green wedges.
HCS0364	PD Ports	Victoria Harbour	Suggests that the Council is incorrect to state in the Core Strategy Preferred Options that Victoria Harbour is no longer available for mixed used development at all. Given the reduced scale of residential development now being proposed for Victoria Harbour by PD Ports, there is the continued view by the respondent, that a SPD for Victoria Harbour is not necessary.
HCS0365	GVA Grimely	Sovereign Park	Supports CS1, CS2, CS3 and CS4. However, has queries with CS5 and requests that the Council amends their housing supply figures and believe that the Sovereign Park site is located in a more sustainable location than those mentioned in Policy CS5.
HCS0366	Resident	Tunstall Farm (-)	Objects to the proposed housing development of Tunstall Farm, stating that the topography and size of the site would be a notable intrusion into the countryside and a significant erosion of the Burn Valley green wedge.
HCS0367	Persimmon Homes	Upper Warren	Agrees with the principle of CS1 Locational Strategy policy, however, requests land at Brewery Farm (Upper Warren) to accommodate a larger allocation with

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	Teesside		increase housing numbers on this location. Also agrees with the principles of CS5 but urges the council to look favourably on applications to develop those identified location/sites which are demonstrated to be deliverable.
HCS0368	NLP on behalf of Wynyard Estates Ltd.	Wynyard Woods West	Agrees with the Preferred Option CS1 (Locational Strategy), particularly the identification of Wynyard Woods West as a location for executive housing. Does not fully agree with Preferred Option CS3 (Planning Obligations) in delivering affordable housing. Disagree with Preferred Option CS5 (New Housing Development) in the annual net additional dwelling targets (they want them higher) and more dwellings to be built at Wynyard Woods West. Agree with CS6 that Wynyard Woods West should be an executive housing development.
HCS0369	Resident	South-Western Expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could

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			<p>adversely affect water voles and other aquatic and waterside wildlife.</p> <ul style="list-style-type: none"> • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension:</p> <p>A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • Fens's school, Manor school, Fens's shops and Truro Drive could not cope with all the people living on the new estate.
HCS0370	Resident	Western Bypass/ proposes new village	Enquires whether the proposed Western Bypass would bisect their land and the therefore their business would become "uneconomic". It is for this reason that the responder will include their land for Hartlepool Borough Council to consider for developable land, proposing a new village.
HCS0371	Resident	South-Western Expansion (-)	Objects to the proposals to build a large new estate next to the Fens Estate. The resident is not opposed to the building of housing on the proposed location, but to the size and scale of it. States congestion, increased accidents and loss of wildlife as principle reasons.
HCS0372	Resident	Quarry Farm (-)	Objects to the proposed application for housing on Quarry Farm, stating increased traffic and drainage affecting the foundations and structure of the objector's home.
HCS0373	Resident	All housing (-)	Objects to the proposed housing estate in CS5 (New Housing Development) stating traffic and drainage as major concerns.
HCS0377	Resident	South-Western Expansion	The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:

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		(-)	<ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future</p>
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			<p>management of the green wedge.</p> <ul style="list-style-type: none"> Other areas of the town would benefit from this development. Brownfield land should be utilised and unsightly old buildings should be demolished, including, the Odeon Cinema, the Longscar Hall, Tunstall Court and Jackson's Landing. The site of Hartlepool Hospital is also developable once the new one has been built. The landscape should not be ruined and would be detrimental not only to the residents but also other people who enjoy the area regularly.
HCS0380	Resident	South western expansion (-)	Objects to south western expansion of Hartlepool by the building of a large new estate adjacent to Fens Estate on the grounds that the Preferred Options does not fit in with RSS as well as leading to problems of traffic congestion in the area. Also need to take account of flooding in the area and the provision of schooling for additional residents.
HCS0384	Resident	Tunstall Farm (-)	Objects to the proposed housing development at Tunstall Farm, stating flooding and drainage problems as big concerns.
HCS0385	Resident	All	Suggests the report is based on the "recent past" and believes that the Core Strategy is merely dogma based on "as if" policies. Suggests that CS12 should consider land share coops again to build closed cycle economics.
HCS0386A	Resident	All housing (-)	Disagrees with many policies on the grounds that there is a lack of detailed information and objects particularly to CS5 and the development of green belt land.
HCS0386B	Resident	South western expansion (-)	Agrees with the general approach of the Core Strategy and the Spatial Vision, however, has concerns regarding the amount of green land planned to be built upon, especially around Greatham.
HCS0387A	Resident	Tunstall Farm (-)	Objects to the green belt land at Tunstall Farm changing into Residential Building Land, stating increased flood risk, traffic and congestion at the prominent reasons.
HCS0387B	Tees Archaeology	All housing (-)	Tees Archaeology Believes the spatial vision should make reference to the value of the Historic Environment of Hartlepool including listed buildings, Conservation Areas, Scheduled Monuments and archaeological sites. Objects to the Preferred Options CS5 New Housing Development on the grounds of

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			overstated needs, not building on greenfield sites and the destruction of archaeological remains. Disagrees with CS13 Built Environment policy suggesting that it does not reflect the value of the Historic Environment.
HCS0388	Resident	Tunstall Farm (-)	Objects to the building of houses on Tunstall Farm, stating the reasons of flooding, congestion in West Park, traffic, unsuitability of the site and the negative impact on the environment.
HCS0389	Resident	South western expansion (-)	Objects to the proposed development of 2,200 houses to be built upon land adjacent to the Fens estate. Cites traffic and poor drainage and sewers as the main reasons.
HCS0390	Resident	South western expansion (+)	Fully supports the proposed housing development at Owton Grange Farm and Brierton Lane.
HCS0391	Resident	Tunstall Farm (-)	Objects to the Tunstall Farm housing development, listing poor drainage and access as principal reasons.
HCS0392	Resident	Tunstall Farm (-)	Objection to the proposed housing development contained within the CS. There have been previous applications to develop the land behind Hylton Road and Valley Drive for housing which has been refused on the basis of impact on local environment – including road usage issues and the impact on local schools. The current option lays out the match against sustainability appraisal objectives; the respondent believes that the proposed housing plan for the above mentioned area contradicts the following objectives: Sustainability Appraisal Objective: Will it promote the re-use of previously developed land? The land identified is greenfield and always has been. There are many more brownfield sites in Hartlepool, which could be developed to bring back the area to life - Headland (Steetley land), Brenda Road area. Will it avoid inappropriate development in the floodplain? The area identified is sloped and therefore the run off runs to an area of high risk flooding. It is well known that this area is the first to flood in the winter and last to dry out in the spring. By building on this land the impact would be compounded by the reduction of areas for water absorption. Will it help to ensure the balance of supply and demand in the housing stock is met in

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			<p>sustainable locations? The area identified is not a sustainable location - the impact upon the busy Catcote Road will cause a huge impact upon the flow of traffic along that main road. The amount of traffic using that road has already been acknowledged by the placement of traffic lights at Park Road and in the future Oxford Road junctions. To place another major junction will cause another pinch point on that road.</p> <p>Housing sites are reasonably well connected to existing communities providing opportunities to link in with existing transport and community infrastructure in the most sustainable manner. With respect to "links to existing transport and community infrastructure" The proposed plan for the above area created and estate on its own - the site will not be a part of the West Park development - it's own entrance on Catcote Road - an emergency exit on to Valley Drive which would no doubt be used if school places were allocated at West Park or High Tunstall - thus impacting upon the noise, transport environment which is currently experienced. The site is not aligned to any major public transport therefore requiring car ownership, as the closest shopping area is Elwick Road, which is already a nightmare to park and navigate.</p>
HCS0393	Councillor		Repeated in HSC0461.
HCS0394	Resident	South western expansion (+)	Supports the proposed south western housing development contained within Preferred Options CS5 (New Housing development). Objects to the spatial vision on the grounds of the failure of public transport in the area to meet people's needs.
HCS0395	QRS Precision Engineering Ltd	Waste (-)	Disagrees with Preferred Options CS2 (Climate Change) stating the prevalence of ill-operated landfill sites and their contribution to methane gas release and skip lorry movements effecting air quality and road surfaces. Objects to Preferred Option CS8 (Local Economy) and suggests the relocation of Town Centre Waste Transfer Stations, tips and landfill sites where the Longhill/ Sandgate/ Seaton areas are blighted with the aforementioned.
HCS0396	NA	NA	Inaccurate numbering, HCS0396 does not exist.
HCS0397	NA	NA	Inaccurate numbering, HCS0396 does not exist.
HCS0398	Resident	South	Disagrees with the Preferred Options CS1 (Locational Strategy) and Preferred

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		western expansion (-)	Options CS5 (New Housing Development) stating the southw estern extension of the tow n, especially the Claxton, Greatham and Ow ton area as particularly objectionable on the grounds of high unemployment rates and the loss of wildlife and greenspaces. Strongly supports the preferred Option CS7 (Providing for Gypsies and Travellers) particularly encouraging engagement with travelling communities. Agrees w ith Preferred Option CS11 (Leisure and Tourism) but feels that the Headland and Seaton Carew are undervalued and warrant more investment.
HCS0399	Resident	South western expansion (-)	Agrees with the spatial vision of the Core Strategy and the general principles. Objects to Preferred Option CS5 (New Housing Development) due to too much emphasis on greenfield site development. Disagrees with Preferred Option CS6 (Overall Housing Mix) as they believe there should be more provision for bungalow s. Objects to the Preferred Option CS16 (Improving Connectivity) stating that the Western Bypass/distributor road is purely for developers to gain access to greenfield land for housing.
HCS0401	Resident	South western expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the follow ing reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand w ill necessitate the creation of such a large estate. • Already a lot of empty properties in the tow n and Hartlepool Council itself forecast that over the next 10 years the population w ill remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brow n hare, grey partridge and lapw ing. • Underground w ater main and overhead pow er lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing w ould increase flood risk. • Negative effect on quality of life and the desirability of properties for

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			<p>existing residents.</p> <ul style="list-style-type: none"> • Rural land is a convenient haven of countryside tranquillity. • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • The amount of Council Tax collected will not cover the services the Council will have to provide, especially as there will be affordable housing. • The market for apartments in Hartlepool and surrounding areas is at saturation point. Need to maximise the use of previously developed land. Extreme caution should be experienced in permitting new retail floor space outside the Town Centre. Is concerned over the development of the Jackson's Landing site. • If tourism is to be a real success in Hartlepool, the visual impact of the chemical and industrial landscape has to be addressed. Concerned over the health and safety issues on the local population. The tourism industry should not negatively affect the Hartlepool or Seaton Carew coastline or the Seal Sands area.
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HCS0402	Resident	South western expansion (-)	Objects to the South Western Expansion of Hartlepool due to overstated need and the effect on wildlife and environment loss. Increased flood risk and congestion on existing roads are a major concern.
HCS0404	Resident	Quarry Farm (-)	Object to the proposed development of Quarry Farm, stating additional pressure on roads in the area, taking up of green field, leading to possible drainage problems in the area and contamination of land. Strongly object to any proposal to develop a new housing estate on Tunstall Farm land. Flooding and health hazards with young people playing around the beck are also a concern.
HCS0407	Resident	South western expansion (-)	Objections are stated to the new estate adjacent to the Fens estate. The effect on the wildlife and environment are noted as major concerns. Flood risk and drainage problems.
HCS0415	Resident	South western expansion (-)	<p>The respondent objects to the proposed South-Western Expansion of Hartlepool to build a new large estate adjacent to the Fens Estate stating the following reasons:</p> <ul style="list-style-type: none"> • Do not accept that future housing demand will necessitate the creation of such a large estate. • Already a lot of empty properties in the town and Hartlepool Council itself forecast that over the next 10 years the population will remain stable. • Unacceptable extra pressure onto the A689, adversely affect congestion and road safety in the areas. • Loss of high quality landscape and countryside. • Agricultural fields provide refuge and habitat for species such as the brown hare, grey partridge and lapwing. • Underground water main and overhead power lines, both constraining development. • Greatham Beck already overflows after exceptionally heavy rainfall extra housing would increase flood risk. • Negative effect on quality of life and the desirability of properties for existing residents. • Rural land is a convenient haven of countryside tranquillity.

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			<ul style="list-style-type: none"> • Greatham Beck is one of the last suitable habitats for the endangered water voles. Increased incidences of pumping station failures or incorrect connections of domestic sewage to surface water drainage could adversely affect water voles and other aquatic and waterside wildlife. • Extra pressure on already struggling front line services. • Urban sprawl does not support Hartlepool Council's aspirations to reduce car journeys and promote sustainable transport. <p>If a decision is made to proceed with the South West extension: A significant green wedge should be left between the two estates, so that an attractive feeling is retained, landscape preserved, wildlife protected, include a large extension to the Local Nature Reserve, with appropriate tree planting and wetland areas to alleviate flood risk and enhance biodiversity and also incorporate a park and recreational play areas. A green wedge could enable the improvement of local rights of way to the wider countryside and rambling routes to the villages, providing opportunities for doorstep walks, healthy exercise and environmental education and provision should be made for the future management of the green wedge.</p> <ul style="list-style-type: none"> • The amount of Council Tax collected will not cover the services the Council will have to provide, especially as there will be affordable housing. • The local schools – Fens Primary and Manor Village are already over-subscribed, large numbers of additional children requiring education and access would add to the difficulties.
HCS0416	Resident	South western expansion (-)	Objections are stated to the new estate adjacent to the Fens estate. The effect on the wildlife and environment are noted as major concerns. Flood risk and drainage problems.
HCS0417	Park Residents Association	Quarry Farm and Tunstall Farm (-)	Suggests that the method of notification to the residents that had objected previously to the housing development sites stated in the Local Plan 2005 was not adequate. Strong objections noted to the Quarry Farm and Tunstall Farm housing developments. Concerns over flooding in the West Park Area and the failure of the existing sewerage system. An increase in traffic and congestion

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			are major concerns. The environmental detrimental effects of the development and the effect on the wildlife in the area are stated. Recommends a reassessment of sites investigated in the SHLAA.
HCS0418	NLP on behalf of Taylor Wimpey	Tunstall Farm	Agrees with CS1 Locational Strategy, objects to the phasing of housing supply as suggested in Preferred Option CS5 and the phasing of brownfield and greenfield sites release. Supports the proposed allocation of Tunstall Farm for residential development. Supports the CS6 of providing a mix of housing supply, and broadly supports CS3.
HCS0419	GONE	All	Generally support the strategic approach and the amended Locational Strategy taking into account the changes to the Victoria Harbour regeneration proposals. Need to make sure that the revised version of the Core Strategy is both deliverable and states targets that can in turn be monitored in line with national government objectives. The Secretary of State objects to specific parts of the draft policy CS2 as it conflicts with PPS25; policy CS4 as it conflicts with PPS12; policy CS5 as it conflicts with PPS3; policy CS9 as it conflicts with PPS4; policy CS10 as it conflicts with PPS4; policy CS11 as it conflicts with PPS5; policy CS12 as it conflicts with PPS12; policy CS13 as it conflicts with PPS1; policy CS14 as it conflicts with PPG17; policy CS16 as it conflicts with PPG12; policy CS18 as it conflicts with PPG13; and policy CS18 as it conflicts with PPS12.
HCS0420	NLP on behalf of Wynyard Estates Ltd	All	Fully supports Preferred Option CS1 (Location Strategy) in the allocation of housing development sites. Suggests that the production of an Affordable Housing Development Plan Document is a more appropriate way of dealing with the provision of affordable housing and that planning obligation policy is “subjected to full and proper scrutiny through the Examination in Public Process”. Suggests that the data used in Preferred Options CS5 (New Housing Development) are under-estimated and figures should be increased. Supports CS6 policy that Wynyard Woods West should be developed as an executive housing area.
HCS0421	NLP on behalf of MBH Investments	Eco-Industries	Would like further clarification on the proposed Eco-Industries within the Graythorp area as shown on the Proposals Map (Key Diagram 1).

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HCS0422	One North East	All	Positive response overall to the Core Strategy, particularly CS2 (Climate Change), CS (Planning Obligations) and CS11 (Leisure and Tourism). Suggests there is greater emphasis on providing greater connectivity needed.
HCS0423	Spawforths on behalf of Yuills	South West Extension	Supportive of the strategic approach of the Core Strategy and the development of the Hartlepool South West Extension. Supports the overall approach of Key Diagram 1, however, objects to the areas defined as “new green wedges”. CS2 (Climate Change) is generally supported, however, objections are made in that it conflicts with the sustainable urban extensions required to deliver development. Other specific objections to policies are voiced in relation to policies that seek to limit development or obtain contributions.
HCS0424	Barton Wilmore on behalf of Wynyard Park	Wynyard Park	<p>Shortfall in housing supply as highlighted by Barton Wilmore's analysis of HBC SHLAA. Proposed western extension is not supported by robust evidence, which demonstrates, that the assessment is against reasonable alternatives. Proposed housing will not meet the needs of the housing market.</p> <p>The importance of the KEL in delivering a stem change in Hartlepool's economic performance is not recognised and there is no provision for its delivery. Insufficient recognition of the new hospital at Wynyard and the opportunity for a skills cluster.</p> <p>Wynyard Park should be an area of mixed-use development while maintaining its function as a KEL.</p> <p>CS1, Proposed Western extension is not supported by robust evidence, which demonstrates, that the assessment is against reasonable alternatives.</p> <p>Housing development strategy is not deliverable</p> <p>The opportunity to provide a health cluster should be accounted for in CS.</p> <p>CS2, Mixed-use development would help tackle climate change better than a single use area. Suggests rewording of policy CS2</p> <p>CS5, the current housing strategy is not deliverable within the plan period</p> <p>Lack of a robust evidence base to support the concentration of housing in one location. The number of houses set out are contrary to the 2009 SHLAA</p> <p>There is no contingency plan if the allocations set out in the policy cannot be delivered. Policy CS6, The appropriate housing mix will not be delivered.</p> <p>There is a lack of vision for Wynyard Park as a KEL as required in policy 20 of</p>

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			<p>the RSS. There is no recognition of the potential economic benefits of the new hospital as a focus for a health cluster. Policy CS9, Policy does not reflect the diverse office users who may not be satisfied with town centre sites.</p> <p>Policy CS16 the lack of commitment to the improvements of connectivity, particularly for those without access to a car, between Hartlepool and Wynyard park KEL. Lack of recognition of increased connectivity resulting from the new hospital. Object to the concept of a western distributor road, the road would not receive funding and is likely to be undeliverable.</p> <p>Extensive representation made in relation to the CS not meeting the test of soundness.</p> <p>Wynyard Park land is over Stockton and Hartlepool. Whose site has permission for 685,150 sqm of employment space, 89,996 sqm has been built out. World-class hospital approved. Recognised in RSS as one of the eight KELs. The LPA should focus on the delivery of the KEL. Owner is committed to an exemplar scheme for design; build quality and sustainability.</p> <p>Propose to include housing in the site to accelerate the growth of the KEL, A mix of uses provides a ready supply of labour in close proximity to employment, mixed use sites are attractive to investors, miles of uses delivers accelerated rates of take up.</p> <p>HCSPO identifies the amount of Key employment location within the borough at 185.06 hectares; this exceeds the RSS maximum (135 hectares) and implies that there is scope for other uses. The LPA should recognise the potential of the site and its unique selling point. Since the new owner in 2005, marketing has increases as has development. People living close to work will help reduce work journey. The site could provide a mix of affordability levels without relying on public subsidy. A mixed-use site would afford greater opportunities to secure viable public transport and linked trips.</p> <p>Seek a new policy within the local plan in relation to Wynyard to help meet the requirements of the RSS and RES.</p>
HCS0425	Resident	Quarry Farm (-)	Objects to the Quarry Farm development, stating extra traffic problems, flooding, the destruction of woodland and the subsequent effect on wildlife.
HCS0426	Resident	South West	Objects to the proposal to build a new housing estate in the south west of the

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		Extension (-)	town at Claxton farm, due to loss of wildlife, over-development and encroaching on green belt land.
HCS0427	Hartlepool Revival Board	South West Extension	The proposals for town expansion, particularly to the southwest, should only be taken forward with due consideration to the potential effects on the areas of older housing around the town centre.
HCS0428	Resident	Tunstall Farm (-)	Strongly disagrees with the inclusion of Tunstall Farm housing development in the Preferred Options CS5 (New Housing Development) and the general housing policy. It is suggested that the policy does not respect or support the current green belt and maximise the development of brownfield sites or take notice of previous public enquires.
HCS0429	Tees Valley Local Access Forum	South West Extension (-)	The list of improvements to the rights of way network is welcomed, however, it is stated that greater detail is needed. With regard to housing, there is a concern with housing development being located on greenfield sites. The allocating of housing on the Claxton/Brierton area, which is highly productive agricultural land is objected to. The western Bypass proposal is seen as a good idea, however, is not seen to be comprehensive enough.
HCS0430	Resident	Tunstall Farm (-)	Strongly objects to the Tunstall Farm development, stating the concerns for developing on green belt land, “devaluing prestigious areas of the town with social housing”, congestion and traffic problems, quality of the road surfaces, poor drainage systems, increased demand on schools and fear of crime.
HCS0431	NLP on behalf of MBH Investments Ltd	Eco-Industries	Would like further clarification on the proposed Eco-Industries within the Graythorp area as shown on the Proposals Map (Key Diagram 1).
HCS0432	NLP on behalf of Taylor Wimpey	Tunstall Farm	Agrees with the Preferred Option CS1 (Locational Strategy) and supports the strategy of a compact urban form with most expansion being concentrated in areas adjoining the existing built up area. Supports the proposed allocation of Tunstall Farm for residential development and for lower density executive housing, however, objects to the proposed density of ~9ha per dwelling, stating non-viability from a commercial/ market perspective. Agrees that there is an oversupply of apartments in Hartlepool and broadly supports the principle of Planning Obligations. Objects to the phasing of housing supply as suggested in Preferred Option CS5

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			(New Housing Development) and also the phasing of brownfield and greenfield sites release as stated in CS5.
HCS0433	Durham County Council	Housing, Gypsies and transport.	<p>Raised questions regarding SHLAA site selection and highlighted that a policy permitting expansion of the town into greenfield land is likely to be controversial. Commented on the sensitivity in which the Gypsy and Travelling population needs to be dealt with and objected to the statement of “adequately screened and landscaped” in Preferred Option CS7 (Providing for Gypsies and Travellers) due to contentious wording, as concealing a group of people from the rest of the population may prove unconstructive to improving community cohesion and promoting positive interactions.</p> <p>Supports the efforts of Preferred Option CS16 (Transport) to improve connectivity, accessibility and economic growth and efforts to promote public transport. Cross-boundary services are stated as extremely important and need to be maintained and strengthened as well as road/pedestrian/rail/cycle network improvements.</p> <p>No other objections were stated.</p>
HCS0434	Environment Agency	All	<p>Generally positive response to the document and strongly supports Preferred Option CS13 (Built Environment), Preferred Option CS14 (Open Spaces) and Preferred Option CS15 (Natural Environment) and specifically, the inclusion of the sentence ‘new development will be located so as not to have an adverse impact on the integrity of internationally designated nature conservation sites’ in Preferred Options CS1 (Locational Strategy).</p> <p>The wording of Preferred Option CS2 (Climate Change) is queried as not being in conformity with PPS25. A revised statement more in keeping with PPS25 is recommended.</p>
HCS0435	Resident	Tunstall Farm (-)	Objection to the development of Green Belt land at Tunstall Farm into residential building land and construction on Green Belt land in general.
HCS0436	Resident	All	<p>Agrees broadly with Preferred Option CS1 (Locational Strategy) and CS2 (Climate Change), however, with reservations on particular points. Agrees with CS3 (Planning Obligations) and CS4 (Community Facilities and Services).</p> <p>Objections to Preferred Option CS5 (New Housing Development) policies, believes there is no demand for housing at Wynyard and Tunstall Farm and that</p>

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			numbers are overstated.
HCS0437	Woodland Trust	All	Supports Preferred Options CS15 (Natural Environment) for including strong protection for ancient woodland, points out that we need to include reference to give 'strong protection to ancient and veteran trees'. Feel that we have not taken on board comments from previous consultation and need to state the importance of ensuring that the residents of Hartlepool have access to trees and woodland, as well as other natural green space. Would also like to see the wording of Preferred Option CS14 to include trees and woodland in the list of assets to be safeguarded from inappropriate development.
HCS0438	HBC Development Control	All	It would be beneficial to incorporate more Development Control policies into the Core Strategy in order to resist poor quality developments in some instances. Also suggests that there should be a Heritage DPD or specific policy, which will provide more detailed guidelines in respect to developments affecting Conservation Areas and Listed Buildings.
HCS0439	Councillor		Repeated.
HCS0441	Resident	Tunstall Farm (-)	Objects to the development plans for a new housing estate in the West Park area stating flooding; increased traffic and the over-demand of the local primary school as specific reasons.
HCS0442	Resident	South Western Expansion (-)	Objects to Preferred Options CS5 (New Housing Development) covering the South Western Expansion at Claxton, particularly the effects on the drainage system.
HCS0443	Natural England	All, power Station, Tunstall Farm, Quarry Farm and Wynyard	Natural England remains concerned that the protection, management and enhancement of the natural environment is not properly included in the Vision for the area. Stats that an attractive environment is much more than simply improvements to the quality and design of housing and other areas. Natural England requests that the council have regard to the requirements of PPS9 paragraph 13 with respect to biodiversity interests on brownfield land. With regard to the Nuclear Power Station, potential impacts of both decommissioning and any other new station on the environment will need close scrutiny including Appropriate Assessment under the Habitat Regulations with mitigation. The LDF must ensure compensatory measures can and will be secured where impacts

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			cannot be avoided, as opportunities and available land are increasingly limited in the Borough. Land identified in the Preferred Locational Strategy should be adequately served with Green Infrastructure. The justification for use of greenfield sites, as opposed to concentrating delivery of housing on brownfield sites in the Borough needs further explanation in the text. Natural England feels that the land at Quarry Farm East/West and High Tunstall Farm comprises land of importance to farmland birds and is arable productive land. States that Wynyard North impinges significantly on several areas of woodland SNCI. Believes that housing development here is not appropriate. There is a need to identify the issue of coastal squeeze. Welcomes the recognition of planning obligations to support open space and green infrastructure, however, considers that the contribution of planning obligations to biodiversity, geodiversity and landscape could be strengthened within policy CS3. The section on Highway Infrastructure should be expanded to include explicit reference to walking, cycling and public transport provision. Welcomes the opportunities for green tourism and the need to be developed in a sensitive manner.
HCS0444	Resident	Quarry Farm (-)	Objects to the Quarry Farm Development proposed within Preferred Option CS5 (New Housing Development), particularly the flooding of properties, increased traffic and effect on wildlife and the environment.
HCS0445	Resident	Tunstall Farm (-)	Objects to the Preferred Option CS5 (New Housing Development) of Tunstall Farm on the grounds of increased traffic, impact upon wildlife and states that the placement of affordable housing within Hartlepool does not attract the entrepreneurs and executive business people that are desirable.
HCS0446	Resident	All housing (-)	Objects to the Preferred Option CS5 (New Housing Development), specifically, the data given to support house-building numbers. Object to the expansion of the Urban Fence Development Limit and the use of greenfield sites for housing construction. Highlight that the Victoria Harbour development has been eliminated for further development and believe this previously developed land to be the most appropriate location for development. Objects to Preferred Option CS16 (Improving Connectivity), specifically, the proposed Western Bypass.
HCS0447	Resident	All	Agrees in principle to the Spatial Vision but has reservations about delivery. Concerned about the impact of policies upon disabled people and their needs

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			being met. Disagrees with Preferred Options CS1 (Locational Strategy), Preferred Option CS5 (New Housing Development) and Preferred Option CS13 (Built Environment) and CS16, CS17, CS18, CS19.
HCS0448	Resident	South Western Expansion (-)	Objects to the expansion of the west of the town stated within Preferred Option CS5 (New Housing Development). Concerned about the increase in traffic, congestion and the subsequent increase in noise and pollution.
HCS0449	Highways Agency	Wynyard and North Burn,	The agency supports the vision of Hartlepool and the themes and objectives. The agency is generally supportive of locating new housing development in the urban area. It is suggested that a number of sites are, however, located in unsustainable locations (CS5) in that they do not reduce the need to travel. Specific reference was given to Wynyard and North Burn, as they are isolated from existing facilities and amenities. The agency supports the CS2, CS3 and CS4. With regard to CS16, the agency is unclear of the evidence base, and is interested in the development of an infrastructure plan to be consulted on.
HCS0450	NLP on behalf of Wynyard Estates Ltd	Wynyard Woods West	Agrees with the Preferred Option CS1 (Locational Strategy), particularly the identification of Wynyard Woods West as a location for executive housing. Does not fully agree with Preferred Option CS3 (Planning Obligations) in delivering affordable housing. Disagree with Preferred Option CS5 (New Housing Development) in the annual net additional dwelling targets (they want them higher) and more dwellings to be built at Wynyard Woods West. Agree with CS6 that Wynyard Woods West should be an executive housing development.
HCS0451	Park Residents Association	Tunstall Farm and Quarry Farm (-)	The Park Residents Association object to the proposed development at Tunstall Farm and Quarry Farm. Reasons given are traffic, pressure on existing schools, the destruction of countryside and the effect on wildlife residing on that land.
HCS0453	Resident	Tunstall Farm (-)	Objection is given to Preferred Option CS5 (New Housing Development), particularly the Tunstall Farm housing development, with specific concerns over flooding.
HCS0457	Resident	South Western Expansion	Objection to the proposed development of the Claxton Estate with specific concern over flooding and traffic complications.

4.2 Appendix 1

		(-)	
HCS0458	Resident	Tunstall Farm (-)	Strongly objects to the proposed development plans for Tunstall Farm stating the previous Planning Inspectorate Report 2005 findings of recommending the deleting of the development. The effect on drainage and sewerage disposal, increased traffic, the encroachment on green belt boundaries, the over-subscription to schools and the effect on wildlife and conservation areas.
HCS0459	Resident	Tunstall Farm (-)	Objects to the Tunstall Farm housing development contained within Preferred Option CS5 (New Housing Development). States flooding as the main concern and the inadequate drainage system that currently is in place.
HCS0460	Resident	South Western Expansion (-)	Objects to the proposed expansion and development of the Fens/ Claxton residential area. States flooding and the existing drainage system as the main reasons for the objection to Preferred Option CS5 (New Housing Development).
HCS0461	Councillor	Quarry Farm (-)	Councillor Hilary Thompson proposed issues in which she had 33 people in agreement through the signing of a petition. Raised issues with the Quarry Farm development and the subsequent traffic that may materialise. Improvements to the road network are recommended before development begins. The housing needs to reflect the population and consist of bungalows and affordable housing. Schools will be placed under pressure therefore extra places will need to be allocated. Support for the rural economy is needed in the provision of reliable broadband.
HCS0462	Resident	Tunstall Farm (-)	Objects to the proposed inclusion of land at Tunstall Farm as residential building land within the Core Strategy 2010. States the already strained drainage and sewerage system and the increased risk of flooding. Increased traffic is also stated as a large concern along with a change in character of the area and a lack of school places at West Park Primary School.

4.2 Appendix 1

APPENDIX 5 – ADVERTISEMENT OF THE CORE STRATEGY

Press release for Hartlepool mail

HARTLEPOOL COUNCIL
PRESS RELEASE

Help shape the future of Hartlepool

HARTLEPOOL residents are being urged to help shape the future of their town by taking part in a major consultation.

Hartlepool Council is currently developing a new planning blueprint – called a Core Strategy – which will lay down the main planning framework for the borough for the next 15 years. It will replace the existing Hartlepool Local Plan.

It will include details of how Hartlepool is expected to develop by 2026, what kind of changes will be needed to make this happen and how they will be brought about.

Following an earlier stage of consultation, the Council has drawn up an initial draft of the strategy and is asking people for their views on that.

Among the key points in the draft strategy are:

- The expansion of the town to the west and south-west for new housing with new road access northwards off the A689.
- The extension of the town centre boundary to include the Mill House area and the football ground.
- The allocation of Victoria Harbour for port-related uses.
- Small expansions of the housing sites at Wynyard Woods and Tunstall Farm for executive housing.
- Improvements to, and the extension of, the so-called ‘green wedges’ around the town – these are the large green open spaces which lead from the countryside into the town and which are protected from development. The draft strategy identifies the possibility of extending the Middle Warren green wedge towards the town and creating new green wedges in the Claxton area to minimise the impact of new housing development.
- Identifying parts of the Southern Business Zone, including Graythorp, as an area for a centre of excellence for environmental waste management and industries, which help the environment.
- Identifying a potential new nuclear power station for the town.

Hartlepool Mayor Stuart Drummond said: “This is by far the most important and far-reaching document which the Council will prepare over the next 12 months.

“It will play a key part in how our town develops over the next 15 years, including in terms of housing, industry and regeneration.

“It matters to every person who lives or works in Hartlepool, so I would urge everyone to please give us their views.”

The consultation begins today and will run until Friday 26 March and there are various ways people can take part.

There will be the following information displays and drop-in consultation sessions where people can speak to Council officers and complete a consultation questionnaire:

Hartlepool Central Library (foyer), York Road

Wednesday 3 February from 2pm to 7pm
Saturday 13 February from 10am to 1pm
Saturday 6 March from 10am to 1pm
Wednesday 24 March from 10am to 3pm

Middleton Grange Shopping Centre (the central square)

Wednesday 10 February from 9am to 4pm
Thursday 11 February from 9am to 4pm
Wednesday 10 March from 9am to 4pm
Thursday 11 March from 9am to 4pm

Copies of the draft strategy can also be viewed – and the questionnaire completed – at all Hartlepool's libraries as well as at Civic Centre in Victoria Road and at the Council's offices at Bryan Hanson House in Hanson Square during normal opening hours.

The questionnaire can also be completed online at <http://planningpolicy.hartlepool.gov.uk> and it is also available by calling 01429 523280 or emailing planningpolicy@hartlepool.gov.uk

Completed questionnaires should be returned to Hartlepool Council, Bryan Hanson House, Hanson Square, Hartlepool, TS24 7BT.

Comments can also be sent by letter to the Planning Policy team at Bryan Hanson House, or by email to planningpolicy@hartlepool.gov.uk

Information on the Core Strategy is also available by calling 01429 523280.

Ends. Press release PRO37710. 29 January 2010.
Issued by Julian Heward, Public Relations Officer, on 01429 523044.

Advertisement poster



Take Part in the Future Planning of Hartlepool

A Core Strategy is being prepared to set out the planning framework for the Town in the future. The Council has produced a draft strategy of how the Borough will develop over the next 15 years. We are seeking your views on our Preferred Options.

CABINET REPORT

6th September 2010



Report of: Director of Regeneration and Neighbourhoods

Subject: REVIEW OF PARKING CHARGES

SUMMARY

1. PURPOSE OF REPORT

To examine and review the current level of permit and pay and display parking charges.

2. SUMMARY OF CONTENTS

This report sets out the current tariff charges and explains the recent changes to tariff structures / measures introduced to support business regeneration. In addition the report examines the implication of a projected budget under recovery and sets out options for possible charge increases.

3. RELEVANCE TO CABINET

This decision will have a bearing on residents and visitors to Hartlepool.

4. TYPE OF DECISION

Key Decision test i and ii applies Forward Plan reference Number– RN23 / 10.

5. DECISION MAKING ROUTE

Cabinet 6th September 2010

6. DECISION(S) REQUIRED

- 6.1 That Cabinet review current parking charges and consider the three possible options as set out in **Appendix A**:
- 1) Parking charges remain the same.
 - 2) Parking Charges increase by 10p per hour
 - 3) Parking charges increase by 20p per hour
- 6.2 To approve the extension of the hourly parking charge banding to additional car parks following the success of the 12 month trial.
- 6.3 To continue the subsidy of the “free after 4pm” parking initiative at strategic sites close to Middleton Grange Shopping Centre

Report of: Director of Regeneration and Neighbourhoods

Subject: REVIEW OF PARKING CHARGES

1. PURPOSE OF REPORT

- 1.1 To examine and review the current level of permit and pay and display parking charges.

2. BACKGROUND

- 2.1 Traffic Regulation Orders are currently enforced by a team of 11 Civil Enforcement Officers (parking) under the jurisdiction of the Traffic Management Act 2004. A further 5 dedicated support staff provide administrative support under the direction of the Parking Services Manager.
- 2.2 Under the current legislation the service is able to use income from both the pay and display revenue and penalty charge payments to finance the running costs of the scheme and financially support other traffic and transport related initiatives. The service is however not permitted to set income targets.
- 2.3 Financial figures for 2008/2009 period, shows the service made an operational surplus of £822,125. However this was substantially below the Chief Finance Officers projected budget recovery for the service.
- 2.4 Parking charges reflect the demand for usage around the town centre and tariff controls ensure a turnover of vehicles when required. The parking areas are currently structured into the following five bands:
- 1) Short stay – (being up to 4 hours). Such sites generally support shoppers and visitors and encourage a turnover of vehicles to provide convenient available parking spaces close to the shops and amenities.
 - 2) Express parking – such sites provide a low short stay parking tariff and longer stays are discouraged by an increasingly higher rate. Sites are located close to commercial activities such as banks / building societies where the duration of the stay tends not to exceed 2 hours.

- 3) Leisure – Mill House requires regular parking availability and serves a high turnover of visitors throughout the day. Parking charges are set to coincide with the parking stay required to use the facilities.
 - 4) Long stay– All day parking provision required by commuters. Sites usually offer both a daily charge rate and discounted permit parking for regular users.
 - 5) Mixed use- allows both long and short stay parking provision.
- 2.5 The majority of pay and display parking spaces tend to be in or around the commercial shopping centre of the town centre and fall within the short stay parking category. Usage figures have however been in decline over the last 36 months, reflecting a national trend which coincides with the general economic recession. Car park usage in Hartlepool has fallen from 1.25 million in 2004/05, 1 million in 2007/2008 to a current level of 800,000 in 2009/10.
- 2.6 Despite this fall in usage, the current parking tariffs (as shown in **Appendix A**) have not increased since October 2008.
- 2.7 The Parking Services Section is expected to recover pay and display income of £1,531,032 this financial year. The revenue recovered allows the section to be self financing, but also supports a number of transport and traffic initiatives. Failure to recover such an income level will create a budget pressure on the service. Although the parking charges have remained unchanged in recent years the expected income recovery has increased in accordance with the inflationary rate set by the Chief Finance Officer. This income, together with a decrease in vehicular activity and several subsidised initiatives, has seen the service under recover the expected level of income. It is estimated that without corrective measures, this under recovery is likely to equate to £150,000 for year ending 2010/11.
- 2.8 In recognition of the difficult economic conditions, HBC have trialled a number of initiatives aimed at assisting local businesses and traders during this difficult economic climate. Although very popular, such measures of subsidy have had a negative impact on the expected income recovery of the service.
- 2.9 Such initiatives / subsidies have included:
- 1) Free after 4pm – this was initially introduced in the run up to Christmas and was particularly popular with local businesses in and around the Middleton Grange Shopping Centre. The Shopping Centre management noticed a significant increase in footfall after 4pm.

- 2) Revised tariff rates. – After criticism that short stay visits were being affected by the need for motorists to pay a minimum 2 hour parking charge, the rates were changed on several car parks to allow parking charges to be made on an hourly basis. This has proved particularly popular with visitors who are now able to use the parking facilities for the minimum tariff stay.
- 3) Closure of Westside Car Park – This site is one of the most popular and highest revenue locations with a constant high turnover of motorists. However to assist market traders and in an effort to revitalise the market at Hartlepool, Members agreed to relocate the market site into Westside. The net effect on parking income is however expected to be an under recovery in the region of £36,000

2.10 Other considering factors

- 1) Loss of Albert Street Car Park – Closure of this site as a result of the HCFE development has seen the loss of 120 parking spaces.
- 2) Refurbishment of Waldon Street Car Park – The closure of 178 spaces for a 3 month period to enable the site to be refurbished has had a significant impact on expected income recovery.

3. PROPOSALS

- 3.1 **Appendix A** shows the existing parking charges and sets out three options for consideration. Option 1 retains the general charge level with some minor amendments to the tariff structures. Option 2 proposes a 10p per hour increase and Option 3 proposes a 20p per hour increase.
- 3.2 As the cost of increasing the parking charge has some significant overheads (advertising Legal Orders, Signage alterations etc.) It is normally considered prudent for any proposed increases to remain for the next 12- 18 month period.
- 3.3 In view of the popularity of the revised one hour parking charge it is proposed to extend this tariff structure from the current sites at the Multi Storey, and Basement car parks to include Waldon Street, Westside, Eastside, Back York Road / Open Market and Park Road one life centre car parks.
- 3.3 In order to address the concerns of the commercial sector and in particular those businesses who depend on public parking provision, it is proposed continue the free after 4pm parking within those parking sites that are integrally linked to the Shopping Centre, being Multi Storey, Basement and Marks and Spencer's.

4. RISK IMPLICATIONS

- 4.1 Any cost increase is likely to be unpopular particularly in this current economic climate. Vehicle activity is already in decline and any charge increase may have a further detrimental effect, at least in the short term, on usage. A significant continuation of declining vehicle activity would have a further impact on anticipated revenue and could lead to a further budget pressure.
- 4.2 The encouragement of visitors to the town centre is seen a key factor in the continued regeneration of the town centre. Although parking charges are a necessity, an excessive increase may have a detrimental effect on visitors to the town. For this reason, **Appendix B** shows the comparison parking charges made by neighbouring Authorities.

5.0 FINANCIAL CONSIDERATIONS

- 5.1 Failure to increase the parking charges will create an immediate budget pressure on the service and the service will under recover the expected income. This will have further implications for forthcoming financial years.
- 5.2 The projected increase of VAT levels to 20% will have a further bearing on projected pay and display income once the new rates become effective in January 2011.
- 5.3 The current economic climate makes it difficult to assess reasons for the current decline in levels of parking usage and consequently the net impact of any proposed charge increase is difficult to calculate. However it is expected that approval of Option B (10p increase) would generally equate to an additional annual recovery of £150,000.

6.0 LEGAL CONSIDERATIONS

- 6.1 Any changes to the pay and display parking charges would need to be advertised as part of a formal Legal Order. Any objections received in this period would need to be considered by the Portfolio Holder before any revised charge could be enforced.

7.0. RECOMMENDATIONS

- 7.1 That Cabinet review current parking charges and consider the three possible options as set out in **Appendix A**:
- A) Parking charges remain the same.
 - B) Parking Charges increase by 10p per hour
 - C) Parking charges increase by 20p per hour
- 7.2 To approve the extension of the hourly parking charge banding to additional car parks following the success of the 12 month trial.
- 7.3 To continue the subsidy of the “free after 4pm” parking initiative at strategic sites close to Middleton Grange Shopping Centre.

8. REASONS FOR RECOMMENDATIONS

- 8.1 To report / rectify projected under recovery of income and identify / correct consequential budget pressures on the services
- 8.2 To continue to support commercial businesses in the town centre and encourage visitors into to the town centre.

9. CONTACT OFFICER

- 9.1 Phil Hepburn
Parking Services Manager
Philip.hepburn@hartlepool.gov.uk
01429 523258

5.1 APPENDIX A

Car Park	Number of bays	Current charge	Option 1 No increase revised tariff only	Option 2 10p increase	Option 3 20p increase
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Short Stay

Waldon Street	200	£1.40 - 2 hours	60p - 1 hour	70p - 1 hour	80p - 1 hour
West Side	180	£1.80 - 3 hours	£1.20 - 2 hours	£1.40 - 2 hours	£1.60 - 2 hours
Open Market	82	£2.40 - 4 hours	£1.80 - 3 hours	£2.10 - 3 hours	£2.40 - 3 hours
M & S (Eastside)	169	£5.00 + 4 hours	£2.40 - 4 hours	£2.80 - 4 hours	£3.20 - 4 hours
Park Road (one life centre)			£3.00 - 5 hours	£3.50 - 5 hours	£4.00 - 5 hours
Basement	135	60p per hour	£3.60 - 6 hours	£4.20 - 6 hours	£4.80 - 6 hours
Mult Storey	314	60p per hour	£4.20 - 7 hours	£4.90 - 7 hours	£5.60 - 7 hours
			£4.80 + 7 hours	£5.60 + 7 hours	£6.40 + 7 hours
Multi Storey (long stay)	78	60p per hour £2.40 over 3 hour	60p - 1 hour £1.20 - 2 hours £1.80 - 3 hours £2.40 + 3 hours	70p - 1 hour £1.30 - 2 hours £1.90 - 3 hours £2.50 - + 3 hours	80p - 1 hour £1.40 - 2 hours £2.00 - 3 hours £2.60 - + 3 hours

Express parking

Andrew Street	26	50p - 30 mins £1 - 1 hour £1.50 - 2 hour max stay	50p - 30 mins £1 - 1 hour £1.50 - 2 hour max stay	60p - 30 mins £1.10 - 1 hour £1.60 - 2 hour max stay	70p - 30 mins £1.20 - 1 hour £1.70 - 2 hour max stay
Victoria Road Health Centre	55	30p - 30 mins £1 - 1 hour £1.40 - 2 hours £2.40 - 4 hours £5 all day	30p - 30 mins £1 - 1 hour £1.40 - 2 hours £2.40 - 4 hours £5 all day	30p 30 mins £1 - 1 hour £1.40 - 2 hours £2.80 - 4 hours £5.60 all day	30p - 30 mins £1 - 1 hour £1.60 - 2 hours £3.20 - 4 hours £5.60 all day

5.1 APPENDIX A

Car Park	Number of bays	Current charge	Option 1 No increase revised tariff only	Option 2 10p increase	Option 3 20p increase
Roker Street	106	70p - 30 mins 90p - 1 hour £1.40 - 2 hours £1.90 - 3 hours £2.40 - 4 hours £5.00 + 4 hours	70p - 30 mins 90p - 1 hour £1.40 - 2 hours £1.90 - 3 hours £2.40 - 4 hours £5.00 + 4 hours	50p - 30 mins 70p - 1 hour £1.50 - 2 hours £2.10 - 3 hours £2.80 - 4 hours £5.60 + 4 hours	50p - 30 mins 80p - 1 hour £1.60 - 2 hours £2.40 - 3 hours £3.20 - 4 hours £5.60 + 4 hours
Long stay					
Eden Street	40	£1.40 - 2 hour £2.40 + 2 hours	£1.20 - 2 hour £2.40 + 2 hours	£1.30 - 2 hour £2.50 + 2 hours	£1.40 - 2 hour £2.80 + 2 hours
Interchange site	125	£1.40 - 2 hours £1.90 - 3 hours £2.40 - 10 hours £5 all day	£1.40 - 2 hours £1.90 - 3 hours £2.40 - 10 hours £5 all day	£1.50 - 2 hours £2.00 - 3 hours £2.50 - 10 hours £5 all day	£1.60 - 2 hours £2.10 - 3 hours £2.60 - 10 hours £5 all day
Leisure facilities					
Mill House short stay	110	70p - 90 mins £1.40 - 2 hours £1.90 - 3 hours £2.40 - 4 hours £5.00 + 4 hours	70p - 90 mins £1.40 - 2 hours £1.90 - 3 hours £2.40 - 4 hours £5.00 + 4 hours	80p - 90 mins £1.50 - 2 hours £2.00 - 3 hours £2.50 - 4 hours £5.00 + 4 hours	90p - 90 mins £1.60 - 2 hours £2.10 - 3 hours £2.60 - 4 hours £5.00 + 4 hours
Mill House Long stay	32	£1.40 - 2 hours £1.90 - 3 hours £2.40 - 4 hours	£1.40 - 2 hours £1.90 - 3 hours £2.40 - 4 hours	£1.50 - 2 hours £2.00 - 3 hours £2.50 - 4 hours	£1.60 - 2 hours £2.10 - 3 hours £2.60 - 4 hours

5.1 APPENDIX A

Car Park	Number of bays	Current charge	Option 1 No increase revised tariff only	Option 2 10p increase	Option 3 20p increase
Mixed use					
Dover Street	106	70p - 90 mins	70p - 90 mins	80p - 90 mins	90p - 90 mins
		£1.40 - 2 hours	£1.40 - 2 hours	£1.50 - 2 hours	£1.60 - 2 hours
		£1.90 - 3 hours	£1.90 - 3 hours	£2.00 - 3 hours	£2.10 - 3 hours
		£2.40 - 4 hours	£2.40 - 4 hours	£2.50 - 4 hours	£2.60 - 4 hours

On Street pay and display

Tower street	36	£1.40 - 2 hours £2.40 - 4 hours	£1.40 - 2 hours £2.40 - 4 hours	£1.50 - 2 hours £2.50 - 4 hours	£1.60 - 2 hours £2.60 - 4 hours
Whitby Street	18	£1.40 £1.90 £2.40 £5 + 4 hours	£1.40 £2.40 + 4 hours	£1.50 £2.50 + 4 hours	£1.60 £2.60 + 4 hours

Permits

Business permits		£310 per anum	£310 per anum	£350 per anum	£375 per anum
Commuter - dedicated bay		£310 per anum	£310 per anum	£350 per anum	£375 per anum
Commuter - zone permit		£190 per anum	£190 per anum	£225 per anum	£250 per anum

5.1 APPENDIX B

Local Authority	Short Stay	Long stay	Permits/ season ticket
Middlesbrough	£1.70 for 2 hours £1.70 per hour thereafter	£3.10 all day	£744 and £800 pa
Stockton	£1.00 for 2 hours then £1 per hour	£2.40 all day	£39 - 1 month £112 - 3 month £200 - 6 months £377- 12 months
Darlington	£1 for 1 hour then £1.50 per hour	£4.00 all day	£15 per week
Redcar and Cleveland	60p per hour	60p per hour £2.50 all day	

CABINET REPORT

6 September 2010



Report of: Director of Regeneration and Neighbourhoods
and Chief Customer & Workforce Services
Officer

Subject: TRAVEL EFFICIENCY PLAN

SUMMARY

1. PURPOSE OF REPORT

To advise Members of a travel efficiency plan which consists of changes to the payments to staff and Elected Members who use their private vehicles for Council business and a salary sacrifice scheme for employees and members for car leasing that accrues savings in employer costs. To seek Cabinet's decision on the proposed travel efficiency proposals.

2. SUMMARY OF CONTENTS

- The report sets out progress to date on the negotiations with the Trade Unions and proposals for members to consider together with recommendations for introducing a salary sacrifice scheme for car leasing.
- It was originally planned to remove essential car user allowances and implement a single mileage rate from 1st April 2010 and this would have achieved an ongoing annual saving of £400,000. Implementation of these changes has been delayed to enable negotiations on the detailed implementation to be completed with the Trade Unions, although the budget forecast for future years assume this saving will be achieved from 1st April 2011. The proposals in this report will enable the £400,000 savings to be achieved from 1st April 2011. If Cabinet determines to not take the decisions required to deliver these savings this amount will have to be found from other, unplanned cuts, in addition to those which will be required as a result of grant cuts which will be made from

April next year. Cabinet will need to identify where they are prepared to see these alternative cuts made.

3. RELEVANCE TO CABINET

This was an Executive decision previously reported to Cabinet.

4. TYPE OF DECISION

Key decision. Test (i) applies Forward Plan reference CE 37/10.

5. DECISION MAKING ROUTE

Cabinet, 6 September 2010.

6. DECISION(S) REQUIRED

That Cabinet determine the preferred option for withdrawing the essential user allowance and the application of a single mileage rate for staff and Elected Members who use their private vehicles for Council business and to approve the implementation of a pilot salary sacrifice scheme for car leasing, which if successful, will lead to a full scheme at no cost to the Authority.

Report of: Director of Regeneration and Neighbourhoods
and Chief Customer & Workforce Services
Officer

Subject: TRAVEL EFFICIENCY PLAN

1. PURPOSE OF REPORT

- 1.1 To advise Members of a travel efficiency plan which consists of changes to the payments to staff and Elected Members who use their private vehicles for Council business and a salary sacrifice scheme for car leasing that accrues savings in employer costs and to seek Cabinet's decision on the proposed travel efficiency plan.

2. BACKGROUND

- 2.1 The Council faces significant and challenging reductions to its available financial resources. Costs associated with staff and Elected Member travel in the course of their duties have been reviewed to identify possible savings whilst securing current service delivery standards.
- 2.2. A report was considered by Cabinet on 10 March 2010 regarding the car allowance review and is attached as **Appendix A** (included in the confidential papers).

This item contains exempt information under Schedule 12A Local Government Act 1972 (as amended by the Local Government (Access to Information) (Variation) Order 2006) namely, Information relating to any consultations or negotiations, or contemplated consultations or negotiations, in connection with any labour relations matter arising between the authority or a Minister of the Crown and employees of, or office holders under, the authority.

Cabinet agreed to delay the required savings until 2011/12 on the basis that the Council had made financial provision for a pay award in 2010/11 which was not required given that the Local Government Employers' Organisation made no offer of a pay award for 2010/11. Negotiations have continued and options for Cabinet's consideration are set out in Paragraph 3.

- 2.3. The budget forecast for 2011/12 assumes this saving will be achieved from 1st April 2011. The achievement of this saving is now becoming essential as the new Government have indicated public expenditure cuts will be greater and occur earlier than previously anticipated.

- 2.4. In March, Cabinet also gave approval in principle to introducing a salary sacrifice car lease scheme for all employees and since then officers have progressed investigations into a car lease salary sacrifice scheme within this financial year at no cost to the Council. The initial scheme considered, as used by Newcastle City Council, cannot now be pursued due to restrictions in relation to the procurement element of the scheme therefore excluding other parties from participating in the contract. A revised proposal is set out in Paragraph 4 for Cabinet's consideration.
- 2.5. The proposals in this report will enable the £400,000 savings to be achieved from 1st April 2011 although not all the options achieve the required saving in full in 2010/11. Cabinet have already delayed implementing these proposals by 12 months. If Cabinet determines to not take the decisions required to deliver these savings this amount will have to be found from other, unplanned cuts, in addition to those which will be required as a result of grant cuts which will be made from April next year. Cabinet will need to identify where they are prepared to see these alternative cuts made.

3. REMOVAL OF ESSENTIAL CAR USER ALLOWANCE AND APPLICATION OF A SINGLE MILEAGE RATE

- 3.1 The Council currently pays a range of rates to employees and Elected Members who use their cars for Council business, based on rates determined nationally on behalf of all local authority employers and negotiations have been on-going to remove the essential user allowance and introduce a single mileage rate for all employees and Elected Members.

Current Provision

- 3.2 The national rates of car allowances which the Council uses were revised with effect from 1 April 2010. The rates are set out below:

	<u>LOWER RATE</u>	<u>MIDDLE RATE</u>	<u>NOT USED BY HBC</u>
	<u>451 - 999cc</u>	<u>1000 - 1199cc</u>	<u>1200 - 1450cc</u>
<u>Essential Users</u>			
	£846	£963	£1,239
Lump sum per annum	(£70.50 per month)	(£80.25 per month)	
per mile first 8,500	36.9p	40.9p	50.5p
per mile after 8,500	13.7p	14.4p	16.4p

Casual Users

per mile first 8,500	46.9p	52.2p	65.0p
per mile after 8,500	13.7p	14.4p	16.4p

- 3.3 The majority of employees entitled to an essential user lump sum allowance claim at the middle rate.

Negotiations to date

- 3.4 Please see **Appendix B** (included in the confidential papers)

This item contains exempt information under Schedule 12A Local Government Act 1972 namely, information relating to any consultations or negotiations, or contemplated consultations or negotiations, in connection with any labour relations matters arising between the authority or a Minister of the Crown and employees of, or office holders under, the authority (paragraph 3).

Workforce Implications

- 3.5 The majority of employees do not receive any form of car user allowance and are not therefore affected by these proposals. There are some employee groups who use their personal vehicles very regularly and the essential user allowance is considered to be a key element of their terms and conditions to reflect the Council's expectation that postholders will hold a driving licence and use their personal vehicle for Council business. Removing the allowance will have an impact on staff morale and perceptions of the value the Council places on the postholders and the work they do.
- 3.6 The main risks will be to retaining current employees and recruiting new employees. Given the actions and approaches of other Tees Valley authorities to changing allowances the risks will reduce and as other local authorities take similar action a new regional and national "standard" will evolve. The highest risk is therefore in the short term as the proposals are announced and implemented. In response, a high level of detail will be given to explain that Hartlepool is comparable to other local authorities in the medium to long term and to emphasise other employee benefits.
- 3.7 Some employees may remove their 'good will' and refuse to use their own vehicles for Council business. Pool cars will therefore be considered for those employees who do need to be mobile and there is a sound business case.
- 3.8 Employees employed by schools are not immediately affected by these proposals and Governing Bodies will be advised of Cabinet's decision in due course and the implications for their school.

Financial implications of removing the allowance

- 3.9 Please see **Appendix B** (included in the confidential papers)

This item contains exempt information under Schedule 12A Local Government Act 1972 namely, information relating to any consultations or negotiations, or contemplated consultations or negotiations, in connection with any labour relations matters arising between the authority or a Minister of the Crown and employees of, or office holders under, the authority (paragraph 3).

Next steps

- 3.10 Subject to Cabinet's decision, it is proposed that all staff who have made a claim for miles travelled on Council business and/or received an essential user lump sum in the last 12 months be advised of Cabinet's decision and provided with relevant information as to how they are personally affected during September before the Trade Unions undertake a ballot of their members.

Subject to Cabinet approval the following timetable is proposed:

Cabinet approval	6 September 2010
Employee Communications (individual letters, briefings, intranet information, etc.)	Commence 15/16 September 2010
Trade Union ballot	22 September – 6 October 2010
Cabinet	11 October 2010

- 3.11 A further report will be submitted to Cabinet on 11 October confirming the outcome of the Trade Union ballot and advising on the implications of the ballot result.

4. SALARY SACRIFICE CAR LEASE SCHEME

- 4.1 Further to the agreement in principle from the Cabinet report on 10th March 2010, officers have progressed investigations into a salary sacrifice scheme for lease cars within this financial year at no cost to the Council.
- 4.2 A Salary Sacrifice Scheme allows employees to give up the right to part of their salary in return for an employer's agreement to provide the employee with a non-cash benefit. The salary is sacrificed before Tax and National Insurance. Income Tax, Pension contributions and National Insurance is then calculated on the lower salary resulting in savings for the employee and employer.

- 4.3 Further savings will be accrued by a reduction in the mileage allowance paid to those who opt for a car under this scheme. The value of this additional saving will depend on take up of this scheme. In addition the scheme would support the Council's sustainability strategy and also act as a recruitment and retention tool.
- 4.4 Her Majesty's Revenue and Customs (HMRC) appear to view this arrangement as an employment law rather than a tax law matter, as employees are free to agree a change in their overall remuneration with their employer. HMRC will want to establish that a change in salary is "permanent" meaning that any change must be for a minimum 12 month period. In addition employees must also agree to sacrifice the salary before delivery of the car. Employees will be liable for Benefit in Kind Tax (BIK) as the scheme operates as a Company Car Scheme, however choosing a low CO₂ vehicle normally provides minimal BIK tax enabling tax savings under this scheme.
- 4.5 The introduction of such a scheme would also be particularly beneficial in the current economic climate, particularly for those employees who used their essential car user allowance to contribute to the cost of a car loan. The scheme is open to all permanent employees of the Council regardless of whether they are in receipt of essential car user allowance. Currently teachers are not eligible to participate in the scheme due to limitations on changes to their terms and conditions and teachers pension scheme. However, consideration to a 'net pay contribution arrangement' is an option to be explored. The scheme is only available for individuals who would not fall below the Minimum Wage after the salary sacrifice.
- 4.6 The initial scheme considered, as used by Newcastle City Council, cannot now be pursued due to restrictions in relation to the procurement element of the scheme therefore excluding other parties from participating in the contract.
- 4.7 North Yorkshire County Council (NYCC) has created a 'Pan Government contract' with an external vehicle provider (Tuskerdirect) which automatically allows for other public bodies to access and use the contract for their own purposes. This contract negates the need for any costly procurement exercise by ourselves and ensures all legal aspects have been addressed and agreed. NYCC has awarded the framework agreement on behalf of all UK contracting authorities including, but not limited to, Government Departments and their Agencies, Non-Departmental Public Bodies, NHS Bodies, Local Authorities, Police Authorities, Emergency Services, Educational Establishments and Registered Social Landlords who have a need to purchase these services. Officer discussions have been held with North Yorkshire County Council staff and Tuskerdirect as well as full scrutiny of all contract documentation.

- 4.8 Details of the proposed Scheme are set out in **Appendix C**. The environmental benefits of the proposed Scheme are set out in **Appendix D**.
- 4.9 Upon Cabinet approval to progress the above Scheme, a pilot scheme will need to be carried out to comply with the Council's Tax advisors and HMRC requirements.
- 4.10 Cabinet is requested to authorise the pilot scheme by way of selected single officer involvement who is prepared to participate through a voluntary agreement, therefore allowing appropriate scrutiny of tax and pension contribution arrangements and any other associated risks of the scheme before rolling out to all eligible employees within the Authority. In the unlikely event that the scheme is not approved by HMRC the Council would need to underwrite any tax liabilities incurred on the pilot.
- 4.11 Once the pilot has been introduced successfully, discussions will take place with the Salary Sacrifice Scheme provider (Tuskerdirect) to arrange for a 'soft launch' consisting of website access for employees to engage in vehicle selection and financial considerations relating to monthly contributions, tax, pension and national insurance etc. This will be complimented by a series of roadshows at which a detailed explanation of the scheme will be delivered to all staff interested in participating in the scheme.
- 4.12 The main risks of the scheme are to the employee which will be explained through scheme proposal literature prior to any take up. Gap insurance is incorporated within the scheme to protect employees against early termination of the scheme whilst participating. The pilot scheme will ensure that all potential risks in relation to tax and other associated factors are scrutinised and analysed and only if acceptable to the Council will the scheme be rolled out across the Authority.
- 4.13 Once the Council signs up to the Pan Government contract employees will be free to enter into the scheme.
- 4.14 Anticipated timetable for Council wide launch (subject to pilot findings) would be around Autumn 2010.

Financial considerations of the proposed Scheme

- 4.15 Finance officers have considered the financial impact of the proposed scheme on both employees and the Council. This has included a review of potential risks to the Council by way of in-depth discussions with NYCC and the vehicle provider.
- 4.16 In relation to individual employees the proposed scheme is not a tax free benefit, as is the case with other forms of salary sacrifice schemes.

The advantages arise from the interaction of the saving in personal tax compared to the extra tax cost of a company car. Because individuals sacrifice their gross salary, they pay less income tax, national insurance and pension contributions. The individual then pays tax on the car according to the fuel emissions of the vehicle model. The advantages only arise where a fuel efficient vehicle is obtained. The scheme used by North Yorkshire only includes fuel efficient vehicles with an CO2 emissions rating below 120 gms/km.

- 4.17 It is unlikely that the Government will reverse the current direction of tax policy given International commitments to reduce CO2 emission. If any changes were introduced by the Government the additional tax liability would fall on individual employees and not the Council. Changes to company car tax normally have a lead in time so staff could terminate their agreements if necessary. The scheme would then no longer be attractive to staff in the future
- 4.18 In relation to the financial impact on the Council the authority benefits from reduced national insurance and pension contributions, as these are not payable on the value of the salary sacrificed.
- 4.19 In relation to national insurance there is a risk that the Government change the existing regulations and bring salary sacrifice schemes within the national insurance regime. This would reduce the tax incentive for organisations to use fuel efficient vehicles. This is currently assessed as a low risk and at worst the Government may treat salary sacrifice schemes in the same way as salary for national insurance purposes, which would remove the savings currently achievable.
- 4.20 The introduction of a car Salary Sacrifice Scheme is likely to reduce the take-up of the Council's existing car loan scheme, which currently makes a small surplus owing to interest rate structures. It is anticipated that savings in national insurance contributions will offset this reduced income.
- 4.21 The position in relation to the potential savings in pension contributions is more complex. A valuation of the pension fund is currently being undertaken and this will set the employers pension contributions for the period 2011/12 to 2013/14. This valuation reflects the current aggregate pensionable pay bill. Therefore, any reduction in the pensionable pay bill, such as the introduction of car Salary Sacrifice Scheme, will mean the actual contribution to the Pension Fund will be less than expected when the valuation of the fund is being carried out. The potential short-fall per employee is approximately £700 per year.
- 4.22 The Pension Fund Treasurer has indicated that in the short-term this amount is not material, although this position may change if there is a significant take-up of the scheme. Experience from other authorities suggests take up of the car salary sacrifice scheme is phased over a

number of years. In order to protect the medium term position it would be prudent to earmark these savings to make a one-off lump sum payment to the Pension fund at the time of the next valuation in 2013. If this contribution is not needed these resources could be released to support the budget from 2014/15 onwards.

- 4.23 With regard to administration of the proposed Salary Sacrifice Scheme this will be minimal as the scheme will be administered by the private operator. The internal administration will be offset by reductions in car loan administration. In the event that the take-up of the Salary Sacrifice Scheme exceeds current car loan activity it is anticipated any additional administration costs will be offset by savings made from reduced national insurance contributions. A 12 pence tariff for each business mile travelled would accrue further savings for the Council.
- 4.24 In summary it is anticipated the Salary Sacrifice Scheme can be implemented within existing budgetary provision.

Procurement Considerations

- 4.25 The tender for the Framework Agreement was conducted following the full requirements of the Public Contracts Regulations 2006 (as amended) and the OJEU contract notice clearly identified access to the framework agreement to other local authorities.

Legal Considerations

- 4.26 As the Pan Government Contract has been devised by North Yorkshire County Council and the involvement of their legal officers, HBC legal team are content, after scrutiny of documentation, with the arrangements and therefore have no issues of concern.
- 4.27 All appropriate legal agreements and documentation for a Hartlepool Borough scheme will be dealt with by way of normal procedures for implementation of a Council-wide scheme.

5. CONSULTATION

- 5.1 Detailed consultations have been undertaken with local trade union representatives and members of Scrutiny Co-ordinating Committee Workforce Services Working Group. Both groups have indicated their support for Option C.
- 5.2. Full and detailed consultation has taken place with North Yorkshire County Council and Tuskerdirect regarding the salary sacrifice car leasing scheme.

- 5.3 Further discussions have been held with other Tees Valley local authorities and other Tees Valley local authorities are considering the adopting the Tuskerdirect scheme.

6. RECOMMENDATIONS

- 6.1 Cabinet to approve Option C be adopted as the preferred option regarding the withdrawal of essential user lump sum allowances and the application of a single mileage rate to enable consultations to be concluded. A further report will be made to Cabinet to confirm the outcome of the trade union ballot. Cabinet are requested to clarify their response to the Trade Union request set out in paragraph 3.9.5.
- 6.2 Cabinet to approve the introduction of a pilot of the salary sacrifice car leasing scheme as outlined in the report.
- 6.3 Cabinet to approve the proposal to earmark national insurance savings to offset the loss of car loan income and earmark pension savings to make a one-off contribution to the pension fund in 2013 if this is needed to protect the Council's financial position.
- 6.4 Cabinet to approve the proposals to earmark the pension saving to make a one-off lump sum payment to the pension fund in 2013 if this is necessary.

7. REASONS FOR RECOMMENDATIONS

- 7.1 To progress the achievement of significant travel efficiencies.

8. BACKGROUND PAPERS

- 8.1 Cabinet report 10th March, 2010.

9. CONTACT OFFICERS

- 9.1 For car allowances (Paragraph 3):

Joanne Machers
Chief Customer & Workforce Services Officer
Chief Executive's Department
Civic Centre
Hartlepool
TS24 8AY

Tel – 01429 523003

Email: joanne.machers@hartlepool.gov.uk

For car lease salary sacrifice scheme (Paragraph 4):

Alastair Smith

Assistant Director (Transportation and Engineering)

Regeneration and Neighbourhoods

Civic Centre

Hartlepool

TS24 8AY

Tel – 01429 523802

E-mail: alastair.smith@hartlepool.gov.uk

APPENDIX C**The Proposed Scheme**

- B1 The Salary Sacrifice Scheme enables eligible staff to undertake a personal/private lease of a new car.
- B2 Vehicles would be supplied by North Yorkshire County Council's associated salary sacrifice framework supplier, Tuskerdirect Limited. Each vehicle is supplied with full maintenance and motor insurance cover. The employee contributes to the lease hire of the vehicle via a monthly gross salary deduction and makes savings in tax, National Insurance and pension contributions.
- B3 Calculations within the scheme ensure that those employees taking up the scheme are safeguarded to only contributing within financial capabilities. The scheme also incorporates facilities for gap and early termination, insurance, road taxation, roadside recovery, accident management, maintenance and an annual driver licence checking facility with an added option for employees to dispose of current vehicles.
- B4 The framework agreement is for a period of three years with an option to extend for a further year if the Council choose to extend.

Safeguards to the Council

- B5 Corporate Manslaughter legislation (2008) deems it necessary for businesses and therefore the Council to ensure that any vehicle, be it privately or corporately owned, is serviced and maintained to ensure the vehicle is mechanically safe and appropriate for business use. Organisations that have successfully transferred some of their grey fleet users to Salary Sacrifice Scheme cars have benefitted from improved safety levels because the cars are newer than grey fleet equivalents are regularly maintained and have higher Euro New Car Assessment Programme (NCAP) safety ratings.
- B6 The introduction of a Lease Salary Sacrifice Scheme ensures full maintenance and repairs including tyre replacement, therefore safeguarding the Council in relation to vehicle default and poor maintenance by the individual.

APPENDIX D

Environmental Benefits of the Proposed Scheme

- C1 Following the Government's guidelines for reduction in greenhouse gases and the Council's commitment to maximise efficiencies in relation to its operations and function, this scheme promotes the reduction of CO₂ emissions by encouraging employees to dispose of existing grey fleet vehicles (those with higher CO₂ emissions) and replace them with new low emission, (sub 120g CO₂ Km) vehicles. With these vehicle's being new and low carbon producers, the effects on the environment are greatly reduced compared to the average car, which produces 210g CO₂ Km. (source: www.defra.gov.uk/environment/business/envrp/pdf/conversion-factors.pdf - Annex 6).
- C2 A salary sacrifice scheme would give HBC a level of control over the CO₂ implications of its business travel, and would aid employees in reducing their own personal carbon footprints, and in doing so, contribute to the achievement of challenging national carbon reduction targets.
- C3 The United Kingdom (UK) is at the forefront of action to tackle climate change, and has a target to reduce carbon emissions by 34% by 2020 and 80% by 2050, from a 1990 baseline.
- C4 Hartlepool Borough Council is committed to tackling climate change, and has recently produced a Carbon Reduction Strategy & Implementation Plan to address this. The Council has set itself a target to reduce Carbon Dioxide (CO₂) emissions by 35% over five years from a 2008/09 baseline. A Carbon Management Board and a Carbon Management Team have been established to deliver actions to achieve this target, and are constantly investigating potential carbon reduction projects for implementation over the five year period.
- C5 Transport emissions make up around 12% of the Council's total carbon footprint, and over 26% of the average UK resident's personal carbon footprint. It is, therefore, essential that CO₂ emissions from transport are reduced significantly if local and national carbon reduction targets are to be achieved.
- C6 HBC has a number of commitments regarding carbon reduction, including: National Indicator 185 (NI185), which measures the carbon footprint of the Council's services; the 10:10 campaign, which requires HBC to reduce its carbon footprint by 10% during 2010; and the Covenant of Mayors initiative, which requires HBC to influence a large scale carbon reduction across the town.
- C7 During 2008/09 the total distance travelled by staff on business was 1,906,500 km. The average car emits 210grams of Carbon Dioxide

(CO₂) per km giving a total business use CO₂ emission in excess of 400 tonnes. (source: <http://www.defra.gov.uk/environment/business/envrp/pdf/conversion-factors.pdf> - Annex 6).

CABINET REPORT

6th September, 2010



Report of: Director of Child & Adult Services

Subject: COMMUNITY POOL 2010/2011 -
BELLE VUE COMMUNITY SPORTS & YOUTH
CENTRE

SUMMARY

1. PURPOSE OF REPORT

The purpose of this report is to advise and seek approval for the level of grant award to Belle Vue Community Sports and Youth Centre from the Community Pool for 2010/2011.

2. SUMMARY OF CONTENTS

The Community Pool budget for the 2010/2011 financial year has been set at £494,658. After Round 1 the total balance available for distribution in Round 2 is £92,102.

An application for funding is being presented from Belle Vue Community Sports and Youth Centre. Officers are recommending that an award of £22,603 is approved as a contribution to the core costs of the group. Details of this application are included in the body of this report.

3. RELEVANCE TO CABINET

Two members of Grants Committee declared an interest in the Belle Vue Community Sports and Youth Centre so therefore the grant application could not be heard at that meeting and was therefore referred to Cabinet for their consideration.

4. FINANCIAL IMPLICATIONS

Officers are recommending that a grant of £22,603 is approved for Belle Vue Community Sports and Youth Centre as a contribution to the core costs of the group. This will leave a balance in the Community Pool of £69,499 to be committed at a later date.

5. TYPE OF DECISION

Non-key

6. DECISION MAKING ROUTE

Cabinet 6th September 2010.

7. DECISION(S) REQUIRED

Members of Cabinet are requested to approve:

1. Grant aid to Belle Vue Community Sports and Youth Centre of £22,603 for 2010/2011 as recommended and detailed in paragraph 4 of the report.
2. Any allocation of grant aid to groups known to be experiencing financial difficulties to be released in monthly/quarterly instalments, as appropriate, in order to safeguard the Council's investment and minimise risk.
3. The balance of the Community Pool, £69,499 to be considered for allocation against bids at future meetings within the 2010/2011 financial year.

Report of: Director of Child & Adult Services

Subject: COMMUNITY POOL 2010/2011
BELLE VUE COMMUNITY SPORTS & YOUTH
CENTRE

1. PURPOSE OF REPORT

- 1.1 The purpose of this report is to advise and seek approval for the level of grant award to Belle Vue Community Sports and Youth Centre from the Community Pool for 2010/2011.

2. BACKGROUND

- 2.1 At a meeting of the Grants Committee on 17th July 2010 Officers presented a report from Belle Vue Community Sports and Youth Centre for Members consideration. However, two members of the Committee declared an interest in this application therefore it could not be heard by the Grants Committee and consequently it was referred to Cabinet for consideration.
- 2.2 With the budget for 2010/2011 being set at £494,658 and it being substantially oversubscribed for 2010/2011 Officers have taken a very cautious approach in relation to the formulation of the level of funding recommended for applicant groups. In Round 1 and Round 2 some applicants requested substantial increases on last years grants usually because a funding stream which was previously used to match local authority funding has come to an end. However, it should be made clear that council funding cannot replace other funding streams that have ended and that groups should exhaust all other funding opportunities before applying for council funding.
- 2.3. There is one application which is being recommended for approval at this meeting. This application is from Belle Vue Community Sports and Youth Centre which has been supported with funding from the Community Pool previously.

3. APPLICATION FOR FUNDING FROM BELLE VUE SPORTS AND YOUTH CENTRE (BVCS&YC).

- 3.1 In relation to the Community Pool criteria, which is attached as **Appendix 1** BVCS&YC fall into category iv: *other organisations/groups who provide valuable services with measurable outcomes for the benefit of Hartlepool residents living in the most disadvantaged wards.* It is recognised that Category iv organisations do contribute to the overall community activity and do address some of themes of the Community Strategy.

- 3.2 Belle Vue Community Sports and Youth Centre has benefitted from funding from the Community Pool in recent years. In the 2008/2009 financial year BVCS&YC was awarded £23,750 from the Community Pool as a contribution towards core costs. BVCS&YC did make an application to the Community Pool for the 2009/2010 financial year but the application was not processed because the group was unable to provide the necessary documentation, including their annual accounts, to enable Officers to make an informed recommendation in relation to that application.. At the end of the 2009/2010 financial year as the information was still not forthcoming the application for 2009/2010 was withdrawn.
- 3.3 BVCS&YC has now submitted an application for the 2010/2011 financial year for £47,500 as a contribution towards core costs including the salary costs of three key posts: a Centre Manager, a Caretaker and a Finance Officer.
- 3.4 During the 2010/2011 financial year BVCS&YC will work with 40 hard to engage families encouraging and enabling them to access services to find solutions to their daily problems regarding money, parenting, health, employability etc in order to improve community cohesion.
- 3.5 BVCS&YC will work with in excess of 500 young people each week, to reduce issues experienced by young people such as bullying, teenage pregnancy, underage drinking, crime and anti-social behaviour, obesity and other health issues and low achievers encouraging them to learn new skills raising their aspirations and assisting them to become more employable.
- 3.6 As BVCS&YC has now provided all the documentation to support their application Officers are able to make an informed recommendation in relation to the application for 2010/2011.

4. FINANCIAL IMPLICATIONS

- 4.1 BVCS&YC has requested a grant of £47,500 as a contribution towards the salary costs of three key posts: a Centre Manager, a Caretaker and a Finance Officer. This request is a substantial increase on the level of grant which was approved for 2008/09 and as resources are limited Officers are unable to recommend an award at this level.
- 4.2 Officers are recommending that a grant of £22,603 be approved as a contribution towards core costs including a 50% contribution to the salary costs of two key posts within the organisation: an Operations Manager and a Finance Officer for the remainder of the financial year. It is this sum which is being considered by Cabinet today.
- 4.3 The determination of levels of grant aid involves officers collating information in order to determine an individual service specification/grant acceptance. Upon confirmation of grant aid, this agreement confirms expected outcomes and targets to be achieved, which then becomes part of the monitoring process.

- 4.4 Officers are therefore recommending that a grant of £22,603 be approved for Belle Vue Community Sports and Youth Centre for 2010/2011 as a contribution to the organisations core costs including a 50% contribution to the salary costs of a Centre Manager and a Finance Officer, for the remainder of the financial year.

5. RECOMMENDATION

Members of Cabinet are requested to approve:

1. Grant aid to Belle Vue Community Sports and Youth Centre of £22,603 for 2010/2011 as recommended and detailed in paragraph 4 of the report.
2. Any allocation of grant aid to groups known to be experiencing financial difficulties to be released in monthly/quarterly instalments, as appropriate, in order to safeguard the Council's investment and minimise risk.
3. The balance of the Community Pool, £69,499 to be considered for allocation against bids at future meetings within the 2010/2011 financial year.

CONTACT OFFICER: John Mennear, Assistant Director (Child & Adult Services)

Background Papers

Application to Community Pool 2010/2011: Belle Vue Community Sports & Youth Centre
Report to Cabinet Grants Committee 14th July 2010



HARTLEPOOL BOROUGH COUNCIL

COMMUNITY POOL 2010/2011

CRITERIA AND GUIDANCE NOTES FOR APPLICANTS

The main aim of the Community Pool is to support those aspects of the activities of the voluntary/ community/not for profit sector that clearly reflect the aspirations of the Council's Community Strategy and Neighbourhood Renewal Strategy.

HARTLEPOOL AMBITION

COMMUNITY STRATEGY AND NEIGHBOURHOOD RENEWAL STRATEGY 2008-2020

Within the main strategic document, there are 8 aims and themes, which are clearly set out as priorities:-

- Jobs and the Economy
- Life Long Learning and Skills
- Health Care
- Community Safety
- Environment
- Housing
- Culture and Leisure
- Strengthening the Communities

CORPORATE STRATEGY

The Council has identified within the Community Strategy's aims and themes a number of corporate strategy priorities. The main objective of the Community Pool is to support the activity of strengthening communities.

Community Pool resources are targeted to vulnerable sectors of the community and to those organisations delivering effective and appropriate services that complement the Authority's strategic aims, "to empower individuals, groups and communities and increase the involvement of citizens in all decisions that affect their lives".

Within the Strengthening Communities theme are a number of objectives which groups funded from the Community Pool can collaborate with the Council to achieve its corporate objectives:-

- To empower local people to take a greater role in the planning and delivery of services and strategies that affect their individual lives, their local neighbourhood and the wider community.
- To increase opportunities for everyone to participate in consultation, especially "hard to reach" groups and those communities affected.
- To improve the accessibility of services and information ensuring that providers address the varied needs and requirements of the whole community.
- To fully value the voluntary and community sector and to support them to secure their long-term future through contracted service delivery, promoting volunteering and the agreement of longer term funding settlements.
- To ensure Hartlepool is a cohesive community where there is a sense of belonging for all and where people of different backgrounds, circumstances and generations are able to get along free from discrimination and harassment.

In order to identify the most disadvantaged communities for the purposes of assessing applications to the Community Pool, the rankings found in the Index of Multiple Deprivation 2004 will be used to ascertain the nature of deprivation in Hartlepool.

The following ward is in the top 1% of deprived wards nationally: **Stranton**.

The following wards are in the top 5% of deprived wards nationally: **Owton, Dyke House, Brus, St Hilda**.

The following wards are in the top 10% of deprived wards nationally: **Grange, Rift House.**

Groups targeting areas of greatest disadvantage in the town will receive a higher priority for funding.

Weightings will be applied to grant applications depending on the location of the applicant organisation and the area they serve.

FUNDING CATEGORIES

The Community Pool funding categories are as follows:-

(i) PROVIDERS OF SERVICES THAT ARE OF STRATEGIC IMPORTANCE. This includes:-

Those groups/organisations that provide services to support disadvantaged individuals. Groups may require specialist expertise, e.g. Legal advice, debt counselling, and self-improvement opportunities.

Applications from those groups providing services that directly complement the services provided by the local authority and are considered strategically important will receive priority particularly those who provide:-

- Legal advice and guidance.
- Income generation, credit union support and debt counselling.
- Voluntary sector infrastructure support: accreditation, management, fundraising.
- Counselling services.

(ii) COMMUNITY DEVELOPMENT/CAPACITY BUILDING INITIATIVES. This includes:-

those groups which support the development of community capacity, including the formation of tenants and residents groups, and seek to improve interaction between local residents and statutory service providers, including local partnerships and networks and groups working proactively to facilitate the engagement of disadvantaged sectors, to encourage them on to the first step and then signpost them onto provision elsewhere, if necessary, providing support and training to encourage self help.

Applications from local community groups, particularly those who actively provide:-

- Advocacy in relation to issues affecting the voluntary sector.
- Support to strengthen voluntary sector infrastructure; accreditation, management.
- Support with fundraising.
- Support to volunteers.
- Development of capacity building projects/activities.

(iii) ESTABLISHED GROUPS WHO HAVE NOT PREVIOUSLY BEEN SUPPORTED FROM THE COMMUNITY POOL

Groups who are considered to be established i.e. who have been fully constituted for in excess of 2 years, who have not been awarded grant aid from the Community Pool previously can apply for financial support if they are meeting the aims and objectives of the Community Pool.

(iv) OTHER ORGANISATIONS/GROUPS. This includes:-

All applications, which do not fall into the other 3 categories, but provide valuable services with measurable outcomes for the benefit of Hartlepool residents living in the most disadvantaged wards, can be considered for funding.

ALLOCATION OF FUNDING FROM THE COMMUNITY POOL

Funding is offered on a two-tier system.

➤ 3 YEAR REVENUE TAPERED GRANT

Groups can apply for a 3 year tapered funding agreement in principle subject to budgetary availability. In the second and third years of the agreement, grant recipients will be afforded, in principle, 75% and then 50% of the award made in Year 1. Under this scheme, groups cannot apply for funding from the Community Pool in year 4.

➤ 1 YEAR REVENUE TAPERED GRANT

1 year funding with applications being processed alongside all others in subsequent years.

Grant aid will only be approved for revenue funding to support organisational running costs. A funding formula will be applied with the main priority being the staffing costs of a group. Key posts within an organisation, as identified by the Community Resources Manager, can be supported with a percentage of salary costs.

Applicants should note that:-

Capital works will not be supported, i.e.

New applications for initiatives in areas currently benefiting from regeneration initiative funding will receive a lower priority.

Play initiatives will receive a lower priority because of the alternative funding sources e.g. Play Opportunities Pool.

There is no upper limit in relation to the amount applied for from the Community Pool, but applications for less than £5,000 will not be considered from the Community Pool but will be signposted to other funders.

MONITORING OF GRANT AID

All grant aid is managed through a funding agreement, which includes the terms and conditions, under which grant aid has been awarded.

The spend and the outputs/benefits relating to the grant will be monitored and if it is found that grant aid has not been spent appropriately or outputs/benefits not achieved then measures may be taken to reclaim the grant.

APPEALS PROCEDURE

Groups applying to the Community Pool will be given the opportunity to appeal against a decision made by the Grants Committee in respect of their application for funding. An appeal must be made in writing, as it will be presented to the Grants Committee for their consideration.

THE APPLICATION PROCESS

These guidance notes are here to help you complete the application form.

Please read through them and refer to them while you complete the application.

WHO MAY APPLY?

- Voluntary and community organisations serving residents of Hartlepool who have been constituted for in excess of 2 years.
- Organisations whose aims and objectives fit within the Council's strategic objectives (see criteria) and the main objective of the Community Pool which is to support the activity of strengthening communities.

WHAT DOES THE APPLICATION PROCESS INVOLVE?

The process consists of a tiered approach:-

1. The Community Resources Manager makes an assessment of the application to establish if it meets the criteria of the Community Pool.
2. If the application meets the criteria, then a level of grant aid is formulated based on information provided and allowing for Council priorities and the circumstances relating to the application.
3. A report detailing the recommendations is presented to the Members of the Grants Committee for their approval.
4. Applicant organisations will be informed of the Grants Committee decision when the minutes of the meeting have been published and have come into effect.
5. Documentation relating to any grant award is prepared by the Community Resources Manager and despatched to the applicant organisation, who must accept the terms and conditions of the award before any payment of grant can be made.
6. Once the grant terms and conditions have been accepted, funding can be released. Normally grant aid is paid in 2 instalments via the BACS system.

WHAT CAN YOU USE GRANT FOR?

Core running costs – salary costs of key staff, rent, gas, electricity, water bills.

HOW IS YOUR APPLICATION ASSESSED?

We will look at:-

- Whether your application fits the aims of the Council and the criteria and objectives of the Community Pool.
- Who in the community will benefit and whether there is a real need for your services or activities.
- Your financial status.
- Other financing arrangements and fundraising activities.
- Whether the budget of the organisation is realistic.

YOUR RESPONSIBILITY

- All successful applicants are expected to monitor their services provision and activities and expenditure of grant aid in relation to these services. An annual monitoring form must be completed.
- Successful applicants are required to acknowledge the Council's support in any publicity material produced.
- You must notify the Community Resources Manager immediately if for any reason you are not able to comply with the terms and conditions of grant aid.

COMPLETING THE APPLICATION FORM

- Applicants are required to complete all sections of the application form. If this is not possible, please explain why on a separate sheet. Incomplete applications will be returned.
- Please complete all sections fully, reference to your annual report/accounts is not appropriate and will not be accepted.
- The next part of these guidance notes attempts to further explain certain questions in the application form. Not all questions are listed here, as we consider they are self explanatory.

Section 1 Tell us about your organisation

Question 2

The main applicant or contact must be someone who we can contact during the day in office hours about this application.

Question 14

The Council needs to be assured that you are in a stable financial situation and that your Accounts are in order. *Please attach supporting documents.*

Section 2 Tell us about the grant you are requesting

Question 16

Please identify which grant you are applying for. A one-year grant award will be considered with no onus on the Local Authority to fund the organisation in subsequent years. A three-year tapered grant can be offered (with no formal agreement being made for years 2 and 3 because the Council's budget setting is done on an annual basis). In the second and third years of the agreement grant recipients will be offered, in principle, 75% and then 50% of the award made in Year 1. Under this scheme, groups cannot apply for funding from the Community Pool in year 4.

Question 19

The Council would like evidence that you are proactively trying to raise money from other non Council sources.

Section 3 Tell us about who will benefit from this grant

Question 21

Please give a realistic figure for the number of people and type of groups who will benefit. Do not put 'all members of the public'.

Question 23

Only organisations that are based in Hartlepool or serve Hartlepool residents may apply.

The Council wants to distribute funds to areas in need. We need to know where the people live who will be able to access your services.

Question 25

Be realistic. Please only tick those categories that your organisation really serves. You will not increase your chances of receiving a grant by ticking more boxes.

Section 4

Questions 26 and 27

Be realistic. Please only tick those themes and objectives that relate to the services or activities your organisation carries out.

Question 29

Please attach a separate sheet if necessary. **Be sure to include quantitative and qualitative outputs as this information will form the basis of any offer of grant aid.**

Section 5

Additional Information

Failure to provide additional documentation, as requested, could result in a delay in the processing of your application.

If possible, please return your application form and additional information electronically or if that is not possible, a hard copy can be posted. Please be sure to put sufficient postage on the envelope or your application may miss the deadline.

Any applications received after the deadline will not be considered.

CABINET REPORT

6th September, 2010



Report of: Corporate Management Team

Subject: QUARTER 1 – CORPORATE PLAN AND REVENUE
FINANCIAL MANAGEMENT REPORT 2010/2011

SUMMARY

1. PURPOSE OF REPORT

1.1 To inform Cabinet of: -

- The progress made towards achieving the Corporate Plan Actions in order to provide timely information and allow any necessary decisions to be taken;
- To provide details of progress against the Council's overall revenue budget for 2010/2011.

2. SUMMARY OF CONTENTS

2.1 The report describes progress towards achieving the actions within the Corporate Plan using the traffic light system of Green, Amber and Red. The report provides an overview of Council performance, with separate sections providing more detailed information for each Portfolio Holder to consider.

2.2 The Revenue Budget Monitoring report covers the following areas:

- Overview of Financial Position;
- Review of High Risk Budget Areas;
- Performance against Budget Pressures treated as Contingency Items;
- Progress against Departmental Salary Turnover Targets;
- Progress against Area Based Grants
- Key Balance Sheet information.

3. RELEVANCE TO CABINET

Cabinet has overall responsibility for the monitoring of the Council's Corporate Plan and the Revenue budget.

4. TYPE OF DECISION

None.

5. DECISION MAKING ROUTE

Cabinet 6th September, 2010.

6. DECISION(S) REQUIRED

Cabinet is asked to: -

- Note the current position with regard to performance and revenue monitoring;
- And approve date changes in paragraph 8.4

Report of: Corporate Management Team

Subject: QUARTER 1 – CORPORATE PLAN AND
REVENUE FINANCIAL MANAGEMENT
REPORT 2010/2011

1 PURPOSE OF REPORT

- 1.1 To inform Cabinet of the progress made towards achieving the Corporate Plan outcomes through identified actions and of progress against the Council's own 2010/2011 Revenue Budget, for the period to 30th June, 2010.

2 BACKGROUND

- 2.1 In line with previous monitoring reports, this report is an integrated document that is page numbered, thus allowing Members easier navigation around the report. (See contents table below). The report firstly provides an overall picture of performance and progress against the approved 2010/2011 revenue budget.

Section	Heading	Page
3.	Overall Performance and Progress on Actions and Performance Indicators	2
	Detailed Performance Monitoring Sections	
4.	Adult and Public Health Portfolio	3
5.	Children's Services Portfolio	4
6.	Culture, Leisure and Tourism Portfolio	5
7.	Performance Portfolio	6
8.	Finance and Procurement Portfolio	6
9.	Transport and Neighbourhoods Portfolio	7
10.	Regeneration and Economic Development Portfolio	8
11.	Community Safety and Housing	9
12.	Revenue Financial Management Information	10
13.	Conclusions	14
14.	Recommendations	14

- 2.2 This report will be submitted to Scrutiny Co-ordinating Committee on 15th October, 2010.

3 OVERALL PERFORMANCE AND PROGRESS ON ACTIONS AND PERFORMANCE INDICATORS

3.1 The Council identified 107 actions with specific completion dates and 121 Performance Indicators (PIs) as measures of success in the 2010/2011 Corporate Plan. Overall performance is good and in line with expectations with all but one action and 75% of the PIs (when annually reported PIs have been removed) judged to be either on or above targets. An explanation of the traffic lights can be found below. Tables 1 and 2 below summarise officers' views on progress as at 30th June, 2010, for each Portfolio Holder's responsibilities: -






-  Action has not been completed or PI target not achieved
-  Action/PI where intervention is required as not progressing well
-  Action/PI progress is acceptable
-  Action/PI on track to achieve
-  Action/PI completed or target achieved

Table 1 – Progress on Actions within the Corporate Plan

Portfolio	Actions by Traffic Light					
	Green (on track or achieved)		Amber (progress acceptable)		Red (not achieved or intervention required)	
	No.	%	No.	%	No.	%
Adult Services and Public Health	10	100	0	0	0	0
Children's Services	25	86	3	10	1	4
Culture, Leisure and Tourism	5	100	0	0	0	0
Performance	18	67	9	33	0	0
Finance and Procurement	7	88	1	12	0	0
Transport and Neighbourhoods	11	100	0	0	0	0
Regeneration and Economic Development	5	71	2	29	0	0
Community Safety and Housing	5	50	5	50	0	0
Total	86	80	20	19	1	1

Table 2 – Progress on Performance Indicators

Portfolio	PIs by Traffic Light					
	Green (on track or achieved)		Amber (progress acceptable)		Red (not achieved or intervention required)	
	No.	%	No.	%	No.	%
Adult Services and Public Health	5	83	1	17	0	0
Children's Services	3	100	0	0	0	0
Culture, Leisure and Tourism	1	100	0	0	0	0
Performance	0	0	0	0	0	0
Finance and Procurement	1	100	0	0	0	0
Transport and Neighbourhoods	8	73	2	18	1	9
Regeneration and Economic Development	5	83	1	17	1	0
Community Safety and Housing	7	70	4	40	0	0
Total	30	75	8	20	2	5

*figure may not always add to 100% due to rounding

DETAILED PERFORMANCE MONITORING SECTIONS

4 ADULT AND PUBLIC HEALTH PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 4.1 Within the Adult and Public Health Portfolio there are a total of 10 actions identified in the 2010/2011 Corporate Plan. A total of 9 actions have been assessed as being on target for completion and one has been completed within the timescale. No actions required intervention at this point in the year.
- 4.2 With regards to PI within the Corporate Plan, 2 have already achieved their target with are further 4 being on track or achieving acceptable progress.
- 4.3 Key areas of progress made to date in the Adult and Public Health Portfolio include: -
- The Obesity Partnership has now been re-launched as the Healthy Weight Healthy Life partnership with new terms of reference and strengthened membership and is overseeing implementation of a range of healthy eating initiatives. Investment has also been secured to develop Specialist Weight Management services;
 - The proportion of eligible people accessing support via a personal budget is increasing month on month. Targeted work is being undertaken to promote personal budgets with people with mental health needs with an event held in June. Work continues to consider how personal budgets are developed for children and young people and work is ongoing with the PCT in relation to the Personal Health Budgets pilot;

- The development of Laurel Gardens, which will provide extra care for people with dementia, is a positive step in increasing the range of housing and support options available;
- Three new services for carers, identified as priorities through the Carers Strategy, have been commissioned from April, 2010 - Carers Assessment, Carers Registration Scheme and a Carers Information Service. A target has been set to increase the number of carers registered with the Carers Emergency Respite Care Scheme from 124 in April, 2010 to 400 by December, 2010 enabling carers to feel more secure, confident and supported in their caring role.

5 CHILDREN'S SERVICES PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 5.1 Within the Children's Services Portfolio there are 29 actions identified in the 2010/2011 Corporate Plan. A total of 22 of these actions are on target for completion, 3 are making acceptable progress and 3 have been completed. One action requires intervention:

Actions assessed as requiring intervention

Outcome: Be Healthy			
Code	Action	Due Date	Note
CADHW017	Work with partner agencies, young people, schools and families to reduce under 18 conception rates by 55% from 1998 baseline and improve sexual health	31/03/2011	Recently published under 18 conception rates show a slight reduction in the under 18 conception rates for Hartlepool, 65.9% per 1000 females aged 15-17 years. This demonstrates a 12.9% change in the rate from the baseline in 1998 which was 75.6%

- 5.2 All but three PIs in the Corporate Plan are measure on an annual basis but these three quarterly PIs have achieved their targets.

- 5.3 Key areas of progress made to date in the Children's Services Portfolio include: -

- Actions in the Hidden Harm Action plan are being addressed and progress will be enhanced via Think Family project in respect of developing services for parents with a parental substance misuse as well as for their children;
- Percentage of young people NEET is 7% against a target of 7.6%. The team will be participating in NEET Reduction Activity for a full week in July. This will include evening activity. In response to the current cohort of Year 11's leaving school work is taking place to complete the Transition Plan, tracking the full cohort. September Guarantee figures indicate 92% of the Year 11 cohort have offers of learning and 67% of Year 12 cohort have offers. The introduction of Foundation

Learning (1st August, 2010) may impact on efforts to reduce the NEET cohort, however, it is anticipated that we will remain on target following the transition period;

- The parenting strategy group continues to provide the drive to ensure the objectives of the strategy are met. At the end of Q1 more than 100 parents have accessed parenting services. Barnardos coordinate the parenting services on behalf of the strategy group and a range of monitoring opportunities are being developed in partnership with the Child and Adult Data team;
- 2010 Prevention services based in the Team Around the School model continues to progress and was commented on positively by the inspectors in the Ofsted announced inspection. Primary schools in the north of the town have agreed to pilot a multi agency approach to resource allocation that will include a range of service options such as psychology service, speech/language and parenting. This will initially be chaired by the parent commissioner as part of the strategy to further integrate services.

6 CULTURE, LEISURE AND TOURISM PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 6.1 Within the Culture, Leisure and Tourism Portfolio there are a total of 5 actions that were identified in the 2010/2011 Corporate Plan. All of these actions have been assessed as being on target for completion by the agreed date.
- 6.2 Only one performance indicators is measured on a quarterly basis and this PI is on track to achieve its target. The remaining PIs are measured annually
- 6.3 Key areas of progress made to date in the Culture, Leisure and Tourism Portfolio include: -
- Learning Outside the Classroom Quality badge achieved for the Outdoor Activity service;
 - Summerhill maintained Green Flag status following re-inspection;
 - Inspire Mark (LOCOG) accreditation gained for Ready Steady Walks programme, Sports Unlimited and Free Swimming.

7 PERFORMANCE PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 7.1 Within the Performance Portfolio there are a total of 27 actions within the 2010/2011 Corporate Plan. A total of 18 of these actions have been assessed as having been completed or on target to be completed by the agreed date. A further 9 actions are performing at an acceptable level.

7.2 There are no PIs reported on a quarterly basis for the Performance Portfolio, all are measured on an annual basis.

7.3 Key areas of progress made to date in the Performance Portfolio include: -

- The LAA Delivery and Improvement Plan for 2010/2011 was agreed by Cabinet on 10th May, 2010 and by the Hartlepool Partnership on 21st May, 2010;
- Work is ongoing to facilitate the inclusion of arrangements for the functions of a Crime and Disorder Committee;
- The process for implementation the Petition Scheme is underway;
- The Business Transformation programme is under constant review and reports have been submitted to cabinet in June and July on what actions the council may take in the light of the increasing financial pressure being faced;
- Management Academy launch, management and competency profiles agreed.

8 FINANCE AND PROCUREMENT PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

8.1 Within the Finance and Procurement Portfolio a total of 8 actions were identified in the 2010/2011 Corporate Plan. One of the actions has already been completed within its due date and a further 6 are on track. One action is at an acceptable level.

8.2 There is just one PI under the Finance and Procurement Portfolio that is measured on a quarterly basis and this is on track to achieve its target.

8.3 Key areas of progress made to date in the Finance and Procurement Portfolio include: -

- Proposals for a Regional Collaborative Procurement Strategy are currently with the Council for debate and decision. The target date for approving the proposals is the end of October, 2010. Work is currently underway to decide upon a course of action to progress the decision making process.

Proposed date change

8.4 The following action have been identified by the department as an action which needs to have its target date changed and Cabinet is asked to approve these date changes.

Code	Action	Initial Due Date	Proposed due date	Comment
RND	Agree a regional,	31 Jul	29 Oct	Proposals for a Regional

OD002	sub-regional and local strategy in collaborative procurement	2010	2010	Collaborative Procurement Strategy are currently with the Council for debate and decision. Work is currently underway to decide upon a course of action to progress the decision making process. The required response by date in relation to the Regional Collaborative Procurement Business Case is the end of October 2010 therefore we are proposing a completion of 29 October 2010.
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9 TRANSPORT AND NEIGHBOURHOODS PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 9.1 Within the Transport and Neighbourhoods Portfolio there are a total of 11 actions within the 2010/2011 Corporate Plan. All of these actions have been identified as being on target to be completed by the agreed date.
- 9.2 There are a total of 11 performance indicators that have been identified as measures of success that are not reported only on an annual basis. Five of these indicators have been assessed as being expected to achieve their target by year end with a further 5 already having achieved their target, just 1 PI has not achieved target this quarter:

Performance Indicators not achieving target

PI	Indicator	Target 10/11	1 st Qtr Outturn	Comment
NI 193	Percentage amount of municipal waste land filled	6%	18%	Persistent shutdowns of the energy from waste plant in April, May and June has given rise to the large increase in the amount of waste needing to be land filled. Work is being undertaken as part of the Joint Tees Valley Waste Management Strategy to identify temporary storage locations for residual waste when shutdowns occur

9.3 Key areas of progress made to date in the Transport and Neighbourhoods Portfolio include: -

- Route Optimisation: Vehicles are scheduled to be fitted with tracking devices in the coming weeks and training on the 'Route-Smart' system will also be provided. It is envisaged this will provide a model, which should enable all 7 bin rounds and the trade waste service, to work more efficiently;
- Neighbourhood Management and Empower Strategy adopted by Cabinet and LSP in May, 2010;
- Local Authority Carbon Reduction Action Plan was agreed by Cabinet in early part of the municipal year. Work is underway to ensure delivery of projects. A board meeting was held

during Quarter 1 and outlined priorities for action over the coming year. Carbon Management team meetings are currently being arranged to ensure that operational aspects are monitored;

- Initiatives continue with domestic household waste collections, and at the Household Waste Recycling Centre, in order to reduce residual waste tonnages and increase the levels of materials being recycled/re-used. 'First-quarter' results appear encouraging with overall re-cycling levels at 45.2%; however, further hard work is required on these initiatives if the trend is to continue;
- Following consultation on the Core Strategy Preferred Options in Quarter 4 2009/2010, consideration has been given to the 450+ representations. Discussions have also been progressed with parties who hold an interest in key development sites to gain a better understanding of issues raised. Regular progress meetings have been held with Cabinet Members on an informal basis. A report will be presented to Cabinet in September which summarises the consultation submissions and sets out proposed responses. Recent government guidance and decisions particularly relating to the abolition of the Regional Spatial Strategy are likely to result in a recommendation to reconsult on a new a Preferred Options document which will require a rescheduling of the timetable for publication.

10 REGENERATION AND ECONOMIC DEVELOPMENT PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 10.1 Within the Regeneration and Economic Development Portfolio there are a total of 7 actions identified in the 2010/2011 Corporate Plan, with 5 being assessed as expected to be completed by the agreed date or already completed and the remaining two indicators having acceptable progress.
- 10.2 There are 7 indicators within the Corporate Plan for the Regeneration and Economic Development Portfolio which are not reported on a quarterly basis, 6 of which are either on track or acceptable progress is being made with one PI missing its target

Performance Indicators not achieving target

PI	Indicator	Target 010/11	1 st Qtr Outturn	Comment
RPD P045	Employment Rate (16-24)	54.1	41.5	This figure is the most up to date figure available and relates to Q4

PI	Indicator	Target 010/11	1 st Qtr Outturn	Comment
				2009/10. Although the target has not been achieved FJF now has over 300 clients employed and this should provide some positive impact on this figure in the near future. It is also likely that young people staying on in education has impacted negatively on this indicator.

10.3 Key areas of progress made to date in the Regeneration and the Economic Development Portfolio include: -

- Discussions have been held with owners of Middleton Grange Shopping Centre regarding associated improvements to external shopping centre areas and this had helped to secure the remodelling of the car parks adjacent to Park Road;
- Crown House has been acquired and will be demolished in August in preparation for future redevelopment of the site for business incubation units;
- Officers are continuing to attend meetings on Business Case preparation and Single Programme project development with TVU and ONE North East partners to promote Hartlepool's priorities. Close liaison is being held with the Director to ensure views are recognised and supported through Directors of Regeneration Meetings;
- Community Regeneration has assisted in commencing the archiving process with NDC in line with Government guidelines. Final project to be appraised next quarter to utilise the remaining fund;
- Community Regeneration also successfully managed to secure funding for 2010/2011 to continue 2 projects; the Business Modernisation Grants and Voluntary Sector Premises Pool, which were previously managed by the Team, but funded by NDC.

11 COMMUNITY SAFETY AND HOUSING PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

11.1 Within the Community Safety and Housing Portfolio there are a total of 10 actions within the 2010/2011 Corporate Plan. Half of the actions have been assessed as completed or on target for completion, with the remaining 5 having acceptable progress.

11.2 There are 11 Performance Indicators (PIs) included in the Corporate Plan as measures of success that are not reported on an annual basis, 3 of which have been assessed as achieving its target and a further 4 being on track to achieve target. The final 4 are progressing at an acceptable level.

11.3 Key areas of progress made to date in the Community Safety and Housing Portfolio includes: -

- The Specialist Domestic Violence Court has been successfully introduced in Hartlepool, with sterling work being undertaken by partners which will undoubtedly benefit victims and indeed the court process;
- North East refugee service now contracted to provide support to refugees and asylum seekers in the town and a Community Cohesion Strategy is being developed with partners;
- Work is continuing on construction of affordable housing at Seaton Lane, following Growth Point investment. Work is underway to release the Growth Point allocation for Belle Vue. A statement of intent regarding the Growth Point funding has been prepared by the 5 authorities and will be submitted to the Housing Minister at the end of July. Following that site assembly can continue on the Belle Vue.

12 REVENUE FINANCIAL MANAGEMENT 2010/2011 - OVERVIEW

12.1 This section provides details covering the following areas: -

- Overview of Financial Position;
- Review of High Risk Budget Areas;
- Performance against Budget Pressures treated as Contingency Items;
- Progress against Departmental Salary Turnover Targets;
- Progress against Area Based Grants
- Key Balance Sheet information.

12.2 Overview of Financial Position

12.3 A report was considered at Cabinet's meeting on 2nd August, 2010, which provided details of 2010/2011 grant cuts announced by the Government on 10th June, 2010 and the impact on the Council.

12.4 The 2010/2011 direct grant cuts total £3,556m, consisting of revenue grant cuts of £2.154m and capital grant cuts of £1.402m. As a range of revenue and capital grants are being cut, different strategies were approved for individual grant streams to reflect the different impacts on the Council's financial position. This strategy included using the forecast underspends on centralised estimates of £0.5m to offset the grant cuts in 2010/2011.

12.5 At an overall level the Council's budget is monitored on a departmental basis and the overall position is summarised at **Appendix A.**

12.6 **Appendix A** is supported by detailed Financial Management statements for each Portfolio, which now includes comments on

material variances to provide a clearer position statement as set out below:

- Appendix C - Adult and Public Health
- Appendix D - Children's Services
- Appendix E - Community Safety & Housing
- Appendix F - Culture Leisure & Tourism
- Appendix G - Finance & Procurement
- Appendix H - Regeneration & Economic Development
- Appendix I - Transport & Neighbourhood
- Appendix J - Performance

Forecast outturns have not yet been estimated as it is difficult to determine trends based on the first quarters results. These details will be included in the half year Financial Management report which will be submitted to Cabinet in early November.

There are currently no issues to bring to Members attention on departmental budgets.

12.7 **Review of High Risk Budget Areas**

- 12.8 High risk budget areas were identified as part of the budget setting report, submitted to Cabinet in February. These issues are explicitly managed and reported to ensure any problem areas are identified at an earlier stage, to enable appropriate corrective action to be taken. The areas identified as high risk budgets are attached at **Appendix B**, which explains how these items were identified and indicates that there are currently variances on a number of budgets.

The main adverse variances relate to demographic changes in Older People and Car Parking. The Older People variance is offset by an increase in associated income. The Car Parking variance is owing to income collected being lower than budgeted levels. Work is ongoing to review the Car Parking income budget with a view to addressing the long term budget gap in this area. Reserves will be used to manage the short term position for 10/11.

Further details are included in **Appendices C to J**.

12.9 **Performance against Budget Pressures treated as Contingency Items**

- 12.10 Members will recall that as part of the review of budget pressures for 2010/2011, it was determined that a number of pressures are not certain to arise, or the value of the pressure is not certain. These items were therefore classified as "contingency" items and a budget provision was made to underwrite these risks.

12.11 **Appendix K** provides a schedule of these items.

12.12 **Progress against Departmental Salary Turnover Targets**

12.13 An assumed saving from staff turnover is included within salary budgets. Details of individual department's targets are summarised in the table below.

Department	2010/11 Turnover Target £'000	Expected to 30.06.10 £'000	Actual to 30.06.10 £'000	Variance from Target £'000
Adult & Community Services	522.4	130.7	184.6	(53.9)
Chief Executives	237.6	59.4	51.1	8.3
Children's Services (excluding Schools)	267.0	66.8	36.8	30.0
Neighbourhood Services	184.0	46.0	46.0	0.0
Regeneration & Planning	118.0	29.5	29.5	0.0
	1,329.0	332.4	348.0	(15.6)

12.14 The above figures are included within the variances reported for each department at a detailed level.

12.15 **Area Based Grants**

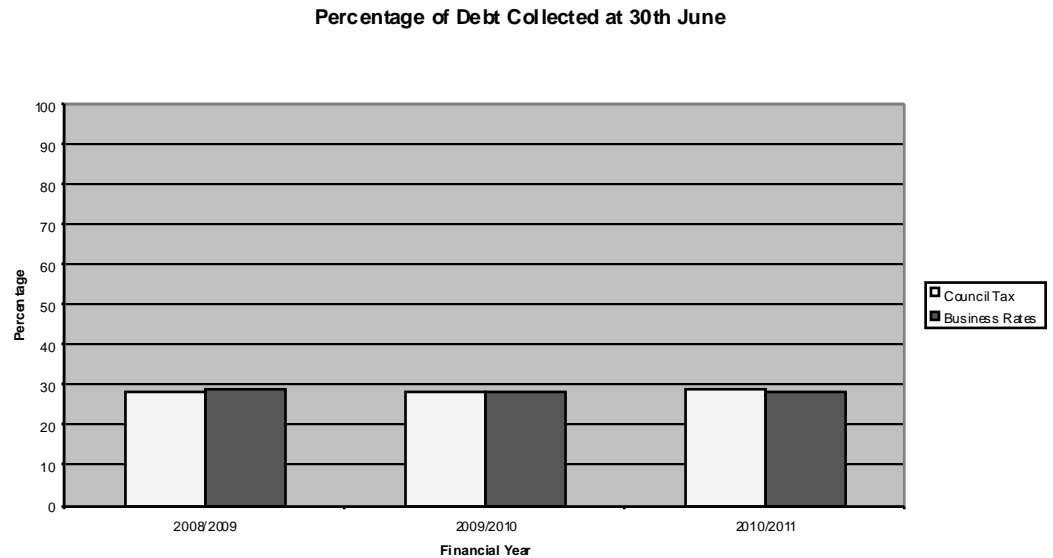
12.16 In overall terms actual expenditure amounts to £4.830m, compared to anticipated expenditure of £4.888m, resulting in a current favourable variance of £0.058m, (see **Appendix L**).

12.17 **Key Balance Sheet Information**

12.18 A Balance Sheet provides details of an organisation's assets and liabilities at a fixed point in time, for example, the end of the financial year or other fixed accounting periods. Traditionally local authorities have only produced a Balance Sheet on an annual basis and have managed key Balance Sheet issues through other more appropriate methods. However, under CAA arrangements there is a greater emphasis on demonstrating effective management of the balance sheet. The Audit Commission's preferred option is the production of interim balance sheets throughout the year. In my opinion the option is neither practical nor beneficial as a Local Authority Balance Sheet includes a large number of notional valuations for the Authority's fixed assets and pension liabilities. It is therefore more appropriate to monitor the key cash balance sheet items and these are summarised below:-

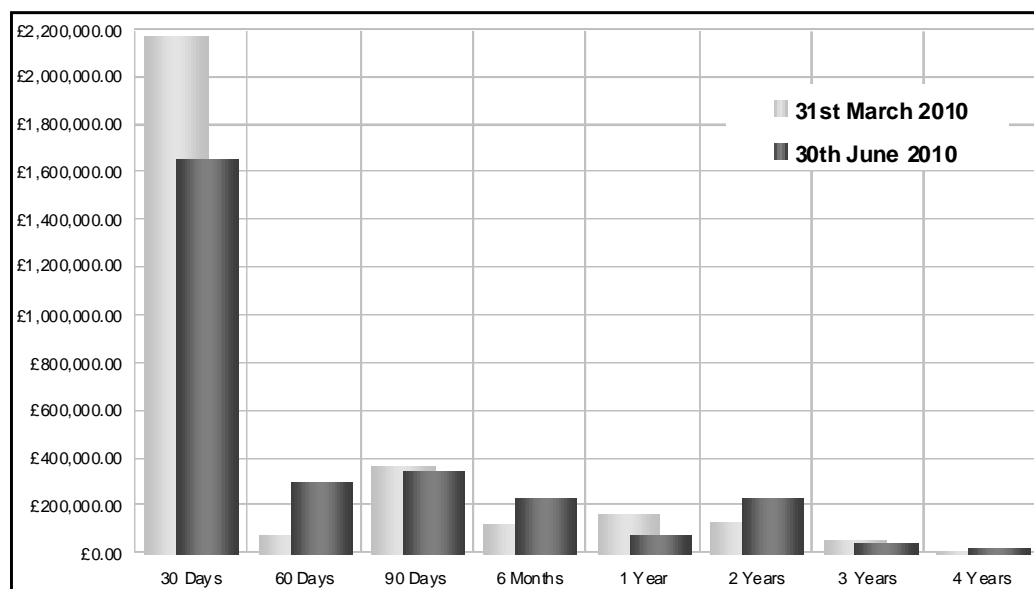
- Debtors

The Council's key debtors arise from the non payment of Council Tax, Business Rates and Sundry Debtors. These areas are therefore subject to detailed monitoring throughout the year. The position on Council Tax and Business rates are summarised below:-



The Council Tax collection rate is up slightly by 0.48% and the NNDR collection rate has remained the same when compared to the same period last financial year. In-year collection rates are affected by the timing of week/month ends.

The position in relation to Sundry Debtors is summarised below:



At the start of the current financial year the Council had outstanding sundry debts of £3.137m. During the period 1st April, 2010 to 30th June, 2010, the Council issued approximately 5,230 invoices with a value of £7.423m. As at the 30th June, 2010, the Council had collected £7.423m, leaving £3.137m outstanding, which consists of: -

- Current Debt - £2.606m

With regard to current outstanding debt, this totals £2.606m at 30th June, 2010, inclusive of approximately £1.662m of debt less than thirty days old.

- Previous Years Debt - £0.531m

These debts relate to the more difficult cases where court action or other recovery procedures are being implemented. At the 30th June, 2010, debts older than one year totalled £0.531m.

- Borrowing Requirement and Investments

The Council's borrowing requirement and investments are the most significant Balance Sheet items. Decisions in relation to the Council's borrowing requirements and investments are taken in accordance with the approved Treasury Management Strategy.

13 CONCLUSIONS

- 13.1 The report details progress towards achieving the Corporate Plan outcomes and progress against the Council's own 2010/2011 Revenue Budget for the period to 30th June, 2010.

14 RECOMMENDATION

- 14.1 Cabinet is asked to: -

- Note the current position with regard to performance and revenue monitoring;
- And approve date changes in paragraph 8.4

6.2 Appendix A

GENERAL FUND - REVENUE MONITORING REPORT TO 30th June 2010

Line No	2010/11		Actual Position 30/06/10		
	Latest Budget	Description of Expenditure	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F (D=C-B)
	£'000		£'000	£'000	£'000
		<u>TABLE 1 - Departmental Expenditure</u>			
1	53,401	Child and Adult Services	9,153	9,143	(10)
2	21,284	Regeneration and Neighbourhood Services	13,355	13,604	248
3	9,449	Chief Executives	(966)	(1,167)	(201)
4	84,134	Total Departmental Expenditure	21,542	21,579	37
		<u>TABLE 2 - Corporate Costs</u>			
		<u>EXTERNAL REQUIREMENTS</u>			
5	192	Magistrates, Probation and Coroners Court	8	8	0
6	25	North Eastern Sea Fisheries Levy	25	25	0
7	31	Flood Defence Levy	16	16	0
8	35	Discretionary NNDR Relief	0	0	0
		<u>CORPORATE COMMITMENTS</u>			
9	2,695	I.T.	0	0	0
10	365	Audit Fees	0	0	0
11	6,845	Centralised Estimates	1,711	1,211	(500)
12	182	Insurances	0	0	0
13	90	Designated Authority Costs	0	0	0
14	362	Pensions	0	0	0
15	364	Members Allowances	96	96	(0)
16	79	Mayoral Allowance	16	16	(0)
17	91	Emergency Planning	(239)	(239)	(0)
		<u>NEW PRESSURES</u>			
18	24	Contingency	0	0	0
19	121	Planning Delivery Grant terminated	0	0	0
20	0	Business Transformation Programme	21	21	0
21	0	Teesside Airport Study	0	1	1
22	0	Receipts for Government Pool	0	0	0
23	0	Members ICT	0	0	0
24	0	Secure Remand - Corporate	0	0	0
25	23	Climate Change Initiatives (Area Based Grant Funded)	0	0	0
26	369	Strategic Contingency	0	0	0
27	130	Waste Disposal Pressure	0	0	0
28	15	2006/07 Final Council Commitments	0	0	0
29	38	2007/08 Provision for Grants/Pressures/Priorities	14	14	0
30	53	Provision for Cabinet projects	0	0	0
31	830	Job Evaluation	0	0	0
32	450	Contribution to one-off BTP costs	0	0	0
33	120	2010/11 Pressures and Contingency	0	0	0
34	100	2009/10 Pressures Year 2 and 3 additional costs	0	0	0
35	(250)	LATS Income	0	0	0
36	(500)	Removal of Revenue Funding and Replace with Capitalisation	0	0	0
37	(300)	Benefit Subsidy income	0	0	0
38	26	PARISH PRECEPTS	26	26	0
39	(1,474)	CONTRIBUTION FROM BUDGET SUPPORT FUND AND OTHER RESERVES	0	0	0
40	(3,511)	Children's Services DSG Funding - LA Element Only	(878)	(878)	0
41	91,754	Total General Fund Expenditure	22,358	21,895	(462)

2010/11 FINANCIAL RISK MANAGEMENT**Risk Rating**

A simplified version of the Risk Assessment criteria used in the Council's Risk Management Strategy has been used to rank budget risks. This assessment rates risk using the convention of green/amber/red, as defined below, although different levels of risk within each category have not been defined. The risk assessment helps inform the Council's budget monitoring process as it identifies areas that need to be monitored more closely than other budgets. These procedures help ensure that departments can manage budgets and services within the overall departmental resource allocation and the Council's overall financial management framework, which enable departments to establish reserves for significant risks and to carry forward under and over spends between financial years.

The value of expenditure/income on individual areas, together with the percentage of the authority's net budget, are shown in the table below to highlight the potential impact on the Council's overall financial position.

Green - these are unlikely events which would have a low financial impact.

Amber - these are possible events which would have a noticeable financial impact.

Red - these are almost certain to occur and would have a very significant impact. Provision would need to be made for such events in the budgets.

CORPORATE RISKS

Financial Risk	Risk Rating	2010/11 Base Budget £'000	Variance to 30th June (Favourable)/ Adverse £'000
Pay costs - Single Status and costs of living pay award	Amber	50,470	0
Higher costs of borrowing and/or lower investment returns	Green	6,819	0
IT.	Green	2,691	0
Planned Maintenance Budget	Amber	232	0
Failure to comply with relevant local authority financial legislation/regulations, NI and taxation regulations.	Amber	N/A	N/A

CHILD & ADULT SERVICES

Financial Risk	Risk Rating	2010/11 Base Budget £'000	Variance to 30th June (Favourable)/ Adverse £'000
Individual School Budget	Amber	56,977	0
Individual Pupils Budget allocated during the year to schools for high level SEN pupils	Green	1,453	0
Home to School Transport Costs	Amber	1,700	(4)
Building Schools for the Future	Amber	N/A	0
Carlton Outdoor Education Centre	Red	80	0
Increased demand in places at independent schools for pupils with high level of SEN	Amber	528	5
Increased Demand for Looked After Children Placements	Red	5,425	0
Schools Buy-Back Income	Amber	(610)	14
Demographic changes in Older People	Amber	15,585	135
Loss of Income - Tall Ships	Amber	N/A	0
Demographic changes in Working Age Adults	Red	8,983	(17)
Non-achievement of income targets - Community Services	Amber	(1,281)	(102)
Non-achievement of income targets - Social Care	Amber	(9,834)	(183)

REGENERATION & NEIGHBOURHOODS

Financial Risk	Risk Rating	2010/11 Base Budget £'000	Variance to 30th June (Favourable)/ Adverse £'000
Car Parking	Amber	(1,806)	97
Fee Income - Planning & Building Control	Amber	(680)	46
Rent Income - Economic Development Service	Green	(201)	0

ADULT & PUBLIC HEALTH SERVICES

6.2 Appendix C

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
58	Environmental Protection	5	4	(1)	
(59)	Environmental Standards	(15)	(20)	(6)	
0	Adult Education	2	2	(0)	
4,265	Assessment, Care Management & Provision 1	853	800	(53)	The favourable variance relates to staffing underspends owing to vacancies being held in anticipation of SDO restructuring.
2,483	Assessment, Care Management & Provision 2	535	518	(17)	The favourable variance relates to staffing underspends owing to vacancies being held in anticipation of SDO restructuring.
181	Carers & Assistive Technology	(34)	(42)	(8)	
802	Commissioning - Adults	225	215	(11)	
994	Commissioning - Mental Health	285	292	7	
9,222	Commissioning - Older People	2,246	2,261	15	
5,470	Commissioning - Working Age Adults	1,574	1,580	7	
304	Service Strategy and Regulation	(442)	(438)	4	
1,591	Support Services	500	474	(27)	
25,312	TOTAL	5,745	5,661	(84)	

USE OF RESERVES

The above figures include the 2010/2011 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

Description of Best Value Unit	Approved 2010/2011 Budget £'000	Planned Usage 2010/11 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Commissioning Mental Health - Agency	27	27	0	
Commissioning - Older People	20	20	0	
	47	47	0	

CHILDREN'S SERVICES

6.2 Appendix D

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual to 30/06/10 £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
2,637	Access to Education	612	564	(48)	Spending on consultants and supplies and services within the Schools Transformation Team has been lower than expected. In light of the recent announcements relating to Building Schools for the Future, a clearer picture relating to outturn projections should be known by Quarter 2.
1,013	Central Support Services	0	0	0	
187	Children's Fund	504	517	13	
11,637	Children & Families	3,062	3,089	27	Overspends on agency staff costs have been partly offset by vacancies. In addition, there has been an increase in the cost of Looked After Children, which has resulted in an adverse variance of £46k. If this continues until the end of the year then the overspend will be funded by the budgeted contingency.
314	Early Years	(75)	(82)	(7)	
210	Information Sharing & Assessment	72	65	(7)	
39	Other School Related Expenditure	(261)	(251)	10	
112	Play & Care of Children	8	7	(1)	
270	Raising Educational Achievement	(3,118)	(3,078)	40	
3,961	Special Educational Needs	499	487	(12)	
1,325	Strategic Management	205	223	18	The adverse variance mainly relates to additional staffing costs arising from maternity cover. Staff vacancies identified from September 2010 should reduce any outturn overspend.
146	Youth Justice	36	33	(3)	

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual to 30/06/10 £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
507	Youth Offending Team	309	323	14	The adverse variance mainly relates to rent of buildings, hall hire and increased ICT costs.
994	Youth Service	380	387	7	
0	Dedicated Schools Grant - Trfr to Ring-Fenced DSG Reserve	0	49	49	The overall Children's Services variance includes £49k of underspends which relate to ring-fenced Dedicated Schools Grant (DSG) funded services. The main areas of variance being Home and Hospital Teaching, the Pupil Referral Unit and salary abatements.
23,352	TOTAL	2,233	2,333	100	

USE OF RESERVES

The above figures include the 2010/2011 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

Description of Best Value Unit	Approved 2010/2011 Budget £'000	Planned Usage 2010/11 £'000	Variance to Date Over/ (Under) £'000	Director's Explanation of Variance
School Transformation Team (BSF)	(901)	(876)	(25)	In light of the recent announcements in respect of Building Schools for the Future, a clearer picture relating to outturn projections should be known by Quarter 2.
Carlton Outdoor Centre	(22)	(22)	0	
Early Years Support Network	(56)	(56)	0	
Transition Protocol - Disability Team	(18)	(18)	0	
Youth Justice - Crime Prevention	(35)	(35)	0	
Playing for Success	(14)	(14)	0	
Parenting Support	(20)	(20)	0	
Promotion of Breast Feeding	(44)	(44)	0	
	(1,110)	(1,085)	(25)	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
803	Consumer Services	88	85	(3)	
181	Housing Regeneration & Policy	24	24	(0)	
964	Social Behaviour & Housing	124	121	(3)	
42	Building Control	7	18	11	Inspection fee income is below expected levels as a result of the economic downturn and some work has been lost to private inspectors in the competitive market that exists.
464	Crime & Disorder	150	151	1	
(13)	Development Control	(15)	18	33	Planning fee income is less than the expected budget for quarter 1. This position will be carefully monitored in the light of the economic climate/government spending cuts, as this has the potential to impact on the number of applications generally, but more particularly, larger private sector and public sector schemes. A further update will be provided at quarter 2.
26	Drugs & Alcohol	448	448	(0)	
78	CADCAM	78	80	2	
2,546	TOTAL	906	946	40	

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

<u>Description of Best Value Unit</u>	Approved 2009/2010 Budget £'000	Planned Usage 2009/10 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Anti Social Behaviour Team Reserve	9	9	0	
Housing System Reserve	22	22	0	
	31	31	0	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
38	Archaeology	64	61	(3)	
1,879	Parks & Countryside	815	829	14	
692	Community Support	261	255	(6)	
1,676	Libraries	399	358	(41)	The favourable variance relates to staffing underspends owing to vacancies being held in anticipation of SDO restructuring.
0	Maintenance	4	4	0	
615	Museums & Heritage	152	155	2	
33	Parks	4	4	(0)	
1,543	Sports & Physical Recreation	200	216	16	
139	Strategic Arts	82	82	0	
6,615	TOTAL	1,980	1,962	(18)	

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

<u>Description of Best Value Unit</u>	Approved 2010/2011 Budget £'000	Planned Usage 20010/11 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Tall Ships	676	676	0	
	676	676	0	

FINANCE AND PROCUREMENT
6.2 Appendix G
REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
650	Asset Management	50	70	20	The loss of Housing Hartlepool Energy Management contract will adversely affect this budget. This may result in an adverse variance of £12k at outturn.
0	Logistics	59	36	(24)	
154	Procurement	(43)	(18)	25	
(230)	Property Management	50	76	26	
1,371	Strategic Management & Admin	602	602	(0)	
(191)	Building Consultancy	396	417	21	Based on the current programme of work, Building Consultancy is on target to achieve the expected level of income. However, this is on the condition that all projects proceed this financial year and are not cancelled. The cancellation of Building Schools for the Future will impact on potential income for the CDM team and the implications of this are currently being reviewed. Following the announcement that works at Dyke House School will go ahead, it is expected that income levels will be in line with budget for the current year.
9	Finance Miscellaneous	9	17	8	
(915)	Shopping Centre Income	(229)	(147)	82	It is anticipated that future quarter income will be higher than the first quarter, ensuring that the budget is on target by the financial year end. A reserve has also been created to cover a possible shortfall of rental income
94	Registration of Electors	10	10	0	
97	Municipal & Parliamentary Elections	80	92	12	
(1,691)	Central Administration	0	0	0	
0	Single Status	0	0	0	
0	HR Payroll System	62	62	0	
4,511	Accommodation	702	361	(341)	There is an ongoing review of the Accommodation Budget and it is anticipated that the budget will be on target by the financial year end.
852	Accountancy	253	233	(20)	

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
263	Internal Audit	78	61	(17)	
566	Legal Services	159	162	3	
124	Support to Members	30	31	1	
5,663	TOTAL	2,269	2,065	(204)	

USE OF RESERVES

The above figures include the 2010/2011 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

Description of Best Value Unit	Approved 2010/2011 Budget £'000	Planned Usage 2010/11 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Support to Members	27	27	0	
Election Services	8	8	0	
Finance - Audit Section	35	35	0	
Finance - Accountancy	34	34	0	
Finance - IT Investment	62	62	0	
Finance - Working from Home	23	23	0	
Corporate - Social Inclusion	100	100	0	
Corporate - Shopping Centre	146	146	0	
Corporate - Accommodation	26	26	0	
	461	461	0	

REGENERATION & ECONOMIC DEVELOPMENT

6.2 Appendix H

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
487	Urban & Planning Policy	121	110	(11)	
334	Landscape Planning & Conservation	57	54	(3)	
(53)	Salary Turnover Target - Regeneration	(11)	(11)	0	On target to achieve the savings at quarter 1.
143	Community Regeneration	69	12	(57)	
1,091	Economic Development	1,417	1,411	(7)	
2,003	TOTAL	1,653	1,576	(78)	

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

<u>Description of Best Value Unit</u>	Approved 2009/2010 Budget £'000	Planned Usage 2009/10 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Regeneration Grant Funded Staffing Reserve	58	58	0	
	58	58	0	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
24	Facilities Management	2,714	2,712	(2)	
1,601	Highway Maintenance	650	667	17	
629	Highways Liability	0	0	0	
(150)	Highways Trading	1,137	1,147	10	
541	Highways Traffic & Transportation Management	156	166	10	
189	Integrated Transport Unit - Passenger Transport	355	367	12	
197	Integrated Transport Unit -	49	55	6	
9	Integrated Transport Unit - Strategic Management	55	71	16	
(138)	Integrated Transport Unit -	1,287	1,253	(34)	The favourable variance is owing to reduced vehicle leasing costs.
0	National Driver Offender Retraining Scheme (NDORS)	40	40	0	
1,213	Network Infrastructure	181	196	15	
169	Safety Cameras	12	12	0	Awaiting agreement from MBC Chief Executive and final budget requirement from Magistrates Courts and Cleveland Police regarding the new arrangements for 10/11. It is expected at this stage that the Outturn will be within the overall budget allocated.
(108)	Section 38's - Highways Standards (New Developments)	(84)	(59)	25	Tha adverse variance relates to lower than budgeted income from developers. This income funds the costs of supervising new developments to ensure Highways standards are achieved before roads are adopted. This income has been affected by the recession and as such a budget pressure has been identified for 2011/12. Departmental funding set aside in 2009/10 to cover this pressure will be used to fund any shortfall in the current year.

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
2,169	Sustainable Transport	(73)	(73)	1	
14	Traffic Management	3	10	6	
(1,150)	Car Parking	(244)	(147)	97	The current variance is owing to daily income collected being lower than budgeted levels. Work is ongoing to review the Car Parking income budget with a view to addressing the long term budget gap in this area. Reserves will be used to manage the short term position for 10/11. There will be further pressure on this budget if charges are not increased to reflect the VAT increase in January 2011. The cost of this increase is approximately £10k per quarter.
572	Engineering Consultancy	239	256	17	
5,130	Waste & Environmental Services	2,035	2,074	39	Due to extensive improvement works at the incinerator on the SITA site, there is a strong possibility that there will be an increase in the need to landfill. This could result in a budget pressure in this Service area. The position will continue to be closely monitored and an update will be provided at Quarter 2.
2,195	Neighbourhood Management	362	338	(24)	
13,105	TOTAL	8,876	9,086	210	

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

Description of Best Value Unit	Approved 2009/2010 Budget £'000	Planned Usage 2009/10 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Highways grants	102	80	0	Further highways related grant funded expenditure is to be rehased to 2010/11.
	102	80	0	

PERFORMANCE

6.2 Appendix J

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
343	Performance & Consultation	73	66	(7)	
117	Council Tax & Housing Benefit Subsidy	(3,854)	(3,854)	0	
242	Community Partnerships	109	99	(10)	
453	Shared Services Unit	229	270	41	Implementation of the HR/Payroll system has required the use of overtime payments. Overspending in this section will be offset by underspending across the rest of the Chief Executive's Department.
113	Performance Management Misc	66	68	2	
(3)	Benefits	(19)	(38)	(19)	Allocation across all Revenues and Benefits codes at year end to ensure all budgets are within outturn.
123	Fraud	31	68	37	Allocation across all Revenues and Benefits codes at year end to ensure all budgets are within outturn.
1,100	Revenues	255	226	(29)	Allocation across all Revenues and Benefits codes at year end to ensure all budgets are within outturn.
(159)	Revenues & Benefits Central	90	116	26	Allocation across all Revenues and Benefits codes at year end to ensure all budgets are within outturn.
619	Contact Centre	276	266	(10)	
571	Corporate ICT	231	230	(1)	
391	Corporate Strategy	111	116	5	
233	Democratic	54	57	3	
741	HR Health and Safety	51	58	7	

Approved 2010/2011 Budget	Description of Best Value Unit	Expected Budget	Actual	Variance to Date - Over/ (Under) spend	Director's Explanation of Variance
£'000		£'000	£'000	£'000	
(62)	Other Office Services	(16)	9	25	The adverse variance is owing to a reduction in Land Search income which will be funded from a Corporate reserve.
165	Scrutiny	29	28	(1)	
141	Public Relations	59	63	4	
64	Registration Services	11	16	5	
347	Training & Equality	94	87	(7)	
5,539	TOTAL	(2,120)	(2,049)	71	

USE OF RESERVES

The above figures include the 2010/2011 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

<u>Description of Best Value Unit</u>	<u>Approved 2010/2011 Budget</u> £'000	<u>Planned Usage 2010/11</u> £'000	<u>Variance Over/ (Under)</u> £'000	Director's Explanation of Variance
Corporate Strategy	297	297	0	
Registrars	35	35	0	
People Framework Development	18	18	0	
Contact Centre	51	51	0	
HR Resource Investment	5	5	0	
Revenues & Benefits - IT Developments	41	41	0	
Revenues & Benefits	64	64	0	
Revenues & Benefits - Internal Bailiff Development	16	16	0	
Revenues & Benefits - Intercept Software	6	6	0	
Revenues & Benefits - Financial Inclusion Programme	50	50	0	
Revenues & Benefits - New Scanner	15	15	0	
Revenues & Benefits - FSM Software	15	15	0	
Revenues & Benefits - e-form Development	20	20	0	
	633	633	0	

CONTINGENCY ITEMS 2010/2011

Financial Risk	2010/2011 Budget £'000	Variance to 30th June 2010 (Favourable) / Adverse £'000
<u>Child & Adult Services</u>		
Additional funding in respect of Safeguarding Children to provide staffing capacity to address issues raised by Ofsted.	100	(9)
<u>Corporate</u>		
Repayment of 2008/2009 and 2009/2010 severance costs over a period of up to 5 years.	120	0
Potential increase in discretionary Business Rates relief costs during the recession.	50	0
Potential increases in energy costs from April, 2010, which NEPO (North East Purchasing Organisation) have indicated could be around 10% for both gas and electricity.	150	0
Fire Safety Risk Management	30	0
Total	450	(9)

36

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual - Over/ (Under) Spend £'000	Variance to Date £'000	Directors Explanation of Variance
	Neighbourhood Services				
31	Rural Bus Subsidy	0	0	0	
35	WNF - Neighbourhood Renewal/Hartlepool Partnership	9	9	0	
169	School Travel Advisers	50	51	1	
114	WNF Environmental Enforcement Wardens	20	21	1	
94	WNF Environmental Action Team	18	16	(2)	The favourable variance results from the period of a vacant post prior to the postholder being appointed.
45	WNF Schools Environmental Action Officer	16	18	2	
	Regeneration & Planning				
149	Stronger Safer Communities Fund (BSC,ASB & DPSG Elements)	57	57	0	
54	Cohesion	17	15	(2)	This variance relates to expenditure which is committed to be spent in 2010/11 for the final evaluation of NE programme.
17	Stronger Safer Communities Fund (Neighbourhood Element)	0	0	0	
13	WNF - Connect To Work	0	0	0	
90	Statutory Economic Assessments	0	0	0	
66	WNF - ASB Officer & Analyst	18	15	(3)	This relates to committed expenditure which crosses into 2010/11 re the Connect to Work Programme.
156	WNF - Safer Streets & Homes, Target Hardening	15	15	0	
118	WNF - Dordrecht Prolific Offenders Scheme	80	80	0	
22	WNF - Project Assistant	1	1	0	
61	WNF - COOL Project	61	61	0	
180	WNF - FAST	180	180	0	
9	WNF - Landlord Accreditation Scheme	3	3	0	
30	WNF - LIFE - Fire Brigade	30	30	0	
180	WNF - Neighbourhood Policing	180	180	0	
48	WNF - NR & Strategy Officer (including Skills & Knowledge)	25	25	0	
23	WNF - NAP Development	4	4	0	
60	WNF - Level 3 Progression - HCCE	60	60	0	
22	WNF - Active Skills - West View Project	22	22	0	
34	WNF - Career Coaching - HVDA	34	34	0	
	WNF - Support for adults into Skills for Life and NVQ Level 2 courses including				
130	Citizenship Learning	0	0	0	
31	WNF - Jobsmart - HBC	11	11	0	
110	WNF - Targeted Training	15	15	0	
58	WNF - Women's Opportunities	19	17	(2)	This relates to expenditure which is committed to be spent in 2010/11 in relation to the Joint Employment & Skills scheme in North NAPS.
75	WNF - Jobs Build	27	30	3	
220	WNF - Workroute ILM	14	14	0	
19	WNF - Business & Tourism Marketing	5	1	(4)	
7	WNF - Enhancing Employability	2	1	(1)	
25	WNF - Hartlepool Worksmart - Improving the Employment Offer	7	7	0	
113	WNF - HMR - Support for Scheme Delivery	113	113	0	
256	WNF - Progression to Work - Assisting local people into work	38	38	0	
254	WNF - Incubation Systems and Business Skills Training HBC/OFCA	151	151	0	
92	WNF - Volunteering into Employment - HVDA	92	92	0	
	WNF - Community Employment Outreach - OFCA/Wharton Annexe/West View				
189	Employment Action Centre	189	189	0	
147	WNF - Homelessness Project	147	147	0	
43	WNF - Carers into Training and Employment - Hpool Carers	43	43	0	
	WNF - Job Club Owton Manor West Neighbourhood Watch & Resident's				
37	Association	37	37	0	
34	WNF - West View Project	34	34	0	
43	WNF - Local Employment Assistance - OFCA	43	43	0	
36	WNF - Youth into employment - Wharton Trust	36	36	0	
15	WNF - Introduction to construction - Community Campus	15	15	0	
24	WNF - Adventure Traineeship - West View Project	24	24	0	
45	WNF - Employment Support - Hartlepool MIND	45	45	0	
95	WNF - Support for existing businesses to expand	9	9	0	
38	WNF - Programme Management	0	0	0	
250	WNF - NAP Residents Priorities	15	11	(4)	
14,995	TOTAL	4,888	4,830	(58)	

CABINET REPORT

6th September, 2010



Report of: Chief Finance Officer

Subject: CAPITAL AND ACCOUNTABLE BODY
PROGRAMME OUTTURN REPORT 2009/2010

SUMMARY

1. PURPOSE OF REPORT

- 1.1 To provide details of the Council's overall Capital outturn for 2009/2010 and the Spending Programmes where the Council acts as the Accountable Body.
- 1.2 The report considers the following areas: -
- Capital Monitoring
 - Accountable Body Programme Monitoring

2. SUMMARY OF CONTENTS

- 2.1 The report provides detailed outturn reports for Capital for each Portfolio along with an outturn for the spending programmes where the Council acts as the Accountable Body.

3. RELEVANCE TO CABINET

- 3.1 Cabinet has overall responsibility for the monitoring of the Council's budgets.

4. TYPE OF DECISION

- 4.1 None.

5. DECISION MAKING ROUTE

- 5.1 Cabinet 6th September, 2010.

6. DECISION(S) REQUIRED

- 6.1 Cabinet is asked to note the report.

Report of: Chief Finance Officer

Subject: CAPITAL AND ACCOUNTABLE BODY
PROGRAMME OUTTURN REPORT 2009/2010

1. PURPOSE OF REPORT

- 1.1 To provide details of the Council's overall Capital outturn for 2009/2010 and the Spending Programmes where the Council acts as the Accountable Body.
- 1.2 This report considers the following areas: -
- Capital Monitoring;
 - Accountable Body Programme Monitoring.

2. BACKGROUND

- 2.1 In line with previous monitoring reports, this document is an integrated comprehensive document that is page numbered, thus allowing Members easier navigation around the report. (See contents table below). The report firstly provides a summary, followed by a section for each Portfolio where more detailed information is provided.

Section	Heading	Page
3.	Capital Monitoring 2009/2010	3
4.	Accountable Body Programme	4
5.	Adult & Public Health Services Portfolio	4
6.	Children's Services Portfolio	5
7.	Community Safety & Housing Portfolio	7
8.	Culture, Leisure & Tourism Portfolio	8
9.	Regeneration & Economic Development Portfolio	9
10.	Transport and Neighbourhood Portfolio	10
11.	Finance & Performance Management Portfolio	11
12.	Recommendations	13
Appendix A	Capital Monitoring Summary	14
Appendix B	Accountable Body Monitoring Summary	15
Appendices C-H & J	Detailed Spend by Portfolio	16-23 & 25
Appendix I	Accountable Body Revenue Monitoring	24

- 2.2 This report will be submitted to Scrutiny Co-ordinating Committee for review at the earliest opportunity.

3. CAPITAL MONITORING 2009/2010

- 3.1 Details of the Capital outturns are summarised at **Appendix A**. In overall terms total expenditure amounted to £25,938,000, compared to the annual budget of £46,158,000, with £20,217,000 rephased to 2010/2011, resulting in a favourable variance of £3,000.
- 3.2 Expenditure to be rephased to 2010/2011 by portfolio is as follows:

Portfolio	£'000
Adult & Public Health Service (see section 5.1)	1,421
Children's Services (see section 6.1)	8,462
Community Safety & Housing (see section 7.1)	3,408
Culture Leisure & Tourism (see section 8.1)	1,434
Regeneration and Economic Development (see section 9.1)	623
Transport & Neighbourhood (see section 10.1)	2,479
Finance & Performance Management (see section 11.1)	2,390
Total	20,217

- 3.4 **Appendix A** is supported by individual detailed statements by Portfolio, as set out below.

Appendix C - Adult & Public Health Services
 Appendix D - Children's Service
 Appendix E - Community Safety & Housing
 Appendix F - Culture, Leisure & Tourism
 Appendix G - Regeneration & Economic Development
 Appendix H - Transport and Neighbourhood
 Appendix J - Finance & Performance Management

- 3.4 The format of the appendices shows details of actual capital expenditure as at 31st March, 2010 and shows:

Column A - Scheme Title
 Column B - Budget for Year
 Column C - Actual expenditure to 31st March, 2010
 Column D - Expenditure Rephased into 2010/2011

Column E - 2009/2010 Total Expenditure
 Column F - Variance from Budget
 Column G - Type of financing

- 3.5 Detailed analysis of all schemes on each appendix is on deposit in the Members' Library.

4. ACCOUNTABLE BODY PROGRAMME

- 4.1 The Council acts as Accountable Body for the Hartlepool New Deal for Communities (NDC). As part of its role as Accountable Body the Council needs to be satisfied that expenditure is properly incurred and is progressing as planned.

New Deal for Communities (NDC)

- 4.1.1 The total spent by NDC including grants and contributions from the Council's own resources was £3,013,000.
- 4.1.2 Capital expenditure was £1,037,000 compared to the approved budget of £1,037,000. Detailed reports showing individual schemes are included in **Appendix J, Table 2**.
- 4.1.3 Revenue Expenditure was £1,976,000 against a budget of £2,211,000 with £235,000 being rephased to 2010/2011. Detailed reports showing individual schemes are included in **Appendix I, Table 1**.

5. ADULT AND PUBLIC HEALTH SERVICE PORTFOLIO

5.1 Capital Outturn 2009/10

- 5.1.1 **Appendix C** provides a summary of the Adult and Public Health Services Capital Programme.
- 5.1.2 Actual expenditure to date amounts to £1,891,000, compared to the approved budget of £3,312,000. A total of £1,421,000 has been rephased into 2010/2011. The following items are brought to the Portfolio Holder's attention:-
- 5.1.3 The Adult Education Service holds a number of ring-fenced capital grant budgets. A total of £55,000 will be rephased to support future capital expenditure within the Adult Education Service.
- 5.1.4 A total of £85,000 will be rephased pending the demolition of the Blakelock Day Centre.
- 5.1.5 Funding has been now been identified to convert the Havelock Day Centre into a Centre for Independent Living. A total of £370,000 was identified in 2009/2010 and will be rephased into 2010/2011. This will

be supplemented by £121,000 of grants carried forward in revenue reserves that will be transferred to capital (by RCCO) in 2010/2011.

- 5.1.6 Expenditure on the Mental Health Projects scheme had been put on hold pending completion of a major review of mental health day services. This review is now coming to a close and future plans will require utilisation of this funding (£403,000) in 2010/2011.
- 5.1.7 The Campus Re-provisioning scheme was expected to span financial years. £430,000 will be rephased into 2010/2011 to support future capital expenditure.

6. CHILDREN'S SERVICES PORTFOLIO

6.1 Capital Outturn 2009/10

- 6.1.1 **Appendix D** provides a summary of the Children's Service's Capital Programme, which includes schemes funded from specific capital allocations and schemes from the revenue budget which are managed as capital projects owing to the nature of the expenditure and the accounting regulations.
- 6.1.2 Total expenditure for 2009/2010 amounted to £5,758,000, compared to the approved budget of £14,225,000. A total of £8,462,000 has been rephased into 2010/2011. The following items are brought to the Portfolio Holder's attention:-
- 6.1.3 The contingency element of the Contact Point Enablement/Integrated Children's Systems Project (£175,000) that would have been funded by Unsupported Corporate Prudential Borrowing is no longer required. The budget has been amended accordingly.
- 6.1.4 Though work has begun on site with regards to the Brierton Alterations for the Dyke House Decant the works were always planned to continue into 2010/2011. At outturn a total of £120,000 will be rephased into the next financial year.
- 6.1.5 Owing to the timing of the following schemes being added to the capital programme the following budgets will be rephased into 2010/2011:-
- Brierton Transport Interchange (£190,000),
 - Brinkburn Pool Access Works and Hoist (£65,000),
 - Eldon Grove Creation of Additional Teaching Space (£500,000),
 - Holy Trinity Outdoor Area (£85,000),
 - Lynnfield Improvement of Teaching Space (£120,000),
 - Rift House Internal Reorganisation (£100,000) and
 - Springwell Covered Link Way (£22,000).

- 6.1.6 Though work on the following schemes has progressed the following budgets will be rephased into 2010/2011 as the work had not been completed at outturn:-
- Education Development Centre Roof Enhancement (£23,000),
 - Fens Outdoor Educational Area for Foundation unit (£14,000),
 - Golden Flatts Resource Learning Centre (£15,000),
 - Kingsley Extension to Children's Centre (£14,000),
 - Rossmere Youth Centre Boiler Replacement (£55,000),
 - Springwell Pool Replacement (£11,000),
 - St Hilds Space to Learn (£862,000),
 - Stranton Caretaker's Bungalow Floor Replacement (£14,000),
 - Sure Start Central Café Extension (£18,000), and
 - Sure Start North Café Extension (£37,000).
- 6.1.7 The following devolved school budgets and central budgets that currently do not fund specific projects will also be rephased into 2010/2011 where the funding conditions allow this:-
- Aiming High For Disabled Children Grant (£18,000),
 - Children's Centre Maintenance (£16,000),
 - City Learning Centre Equipment Purchases (£65,000),
 - Computers for Pupils (£218,000),
 - Schools Devolved Formula Capital (£628,000),
 - Dyke House ICT Equipment Budget (£73,000),
 - Harnessing Technology Grant (£36,000),
 - High Tunstall Equipment Budget (£28,000),
 - School Travel Plans (£66,000),
 - Emergency Response – Contingency (£20,000), and
 - Unallocated Modernisation, Access, RCCO (£79,000).
- 6.1.8 Grant was received in 2009/2010 for the improvement of the Integrated Children's System (ICS) and as previously reported the Department for Children Schools and Families (DCSF) allowed underspends on other specifically funded schemes to be retained to support the improvement of the ICS. £45,000 will be rephased into 2010/2011 to continue to support improvements for the ICS.
- 6.1.9 Work on the Hart Primary Multipurpose Studio has been delayed owing to the discovery of bats at the location. As these are an endangered species works must be delayed until the bats have left the location for the season. Therefore £119,000 will be rephased into 2010/2011.
- 6.1.10 Work on the Hart Primary Fire Alarm replacement was delayed owing to access arrangements at the school. It was agreed that work would progress during the Easter Holidays so the budget of £20,000 has been rephased into 2010/2011.

- 6.1.11 Replacement of Gas Interlocks has also been delayed at various schools owing to access arrangements at the schools. The budget of £30,000 will be rephased to 2010/2011.
- 6.1.12 As reported to the Schools Transformation Programme Project Board construction work on the Jesmond Primary Capital Plus (PCP) was not due to begin until the end of this financial year and proposals are still under review for Rossmere Primary PCP. As a result £3,197,000 and £1,367,000 respectively will be rephased into 2010/2011. However, it should be noted that the recent change in government has meant that the security of this PCP funding is increasingly uncertain.
- 6.1.13 There is also £90,000 earmarked for Phase 2 of redevelopment works at Carlton Outdoor Centre. This will be rephased until Phase 2 works have been determined.
- 6.1.14 A total of £34,000 will be rephased relating to completed schemes where the final account has not yet been agreed or is disputed but where the Local Authority believes costs have been met.

7. COMMUNITY SAFETY AND HOUSING PORTFOLIO

7.1 Capital Outturn 2009/10

- 7.1.1 **Appendix E** provides a summary of the Community Safety and Housing's Capital Programme.
- 7.1.2 Actual expenditure to date amounts to £8,137,000 compared to the approved budget of £11,544,000, with £3,408,000 rephased into next year.
- 7.1.3 The main items to bring to the Portfolio holders attention are:

Community Safety Car Parks is a scheme for which funding was initially allocated in the previous year and has been carried forward to fund various improvements to car parks to bring them up to the community safety standard. After some initial delays this scheme is now underway and will be fully spent in 2010/11.

The North Central Hartlepool Housing Regeneration scheme is showing a rephased amount of £767,000. This relates to Housing and Communities Agency funding received in advance of the planned expenditure occurring in 2010/2011.

The Acquisition of Crown House is showing a rephased amount of £98,000. This is owing to delays in acquiring the property which has meant that the demolition will now take place in 2010/2011.

The New Community Housing Scheme (Seaton Lane, Charles Street Phase 1 is now substantially underway and will be completed in 2010/2011. The rephased amounts of £261,000 and £328,000 relate to grant funding carried forward to fund costs in 2010/2011. Charles Street Phase 2 and Kipling Road were planned to be progressed in 2010/11.

The Preventing Repossession Fund project relates to £20,000 grant funding received close to the financial year end and will therefore be spent in 2010/2011.

8. CULTURE, LEISURE AND TOURISM PORTFOLIO

8.1 Capital Outturn 2009/10

8.1.1 **Appendix F** provides a summary of the Culture, Leisure and Tourism's Capital Programme.

8.1.2 Actual expenditure to date amounts to £1,672,000, compared to the approved budget of £3,106,000. The following items are brought to the Portfolio Holder's attention:-

8.1.3 A total of £1,434,000 has been rephased into 2010/2011 including the following schemes: -

- Burn Valley Park Beck is a significant river corridor enhancement scheme which requires more funding. Grant bids have been submitted but the outcomes will not be known this financial year. Therefore £114,000 of current funding will be rephased into 2010/2011.
- The Greyfields Junior Sports Pitches Scheme has been delayed owing to bad weather. £76,000 has been rephased into 2010/2011.
- Mill House Leisure Centre Combined Heating & Power Unit and Internal Door work will began in March but there was only £18,000 of expenditure at outturn. Therefore £168,000 will be rephased into 2010/2011. At outturn the work on the Mill House Changing Village had not been completed and £122,000 has been rephased into 2010/2011.
- Works at the Owton Manor Branch Library Roof did not begin until late March 2010 owing to the late approval of the scheme and agreeing access arrangements. No expenditure had been incurred at outturn and the budget of £31,000 has been rephased into 2010/2011.
- The Owton Manor Community Centre Boiler replacement was delayed as the original prices quoted were higher than expected.

The scheme had to be redesigned and the budget (£35,000) is to be rephased into 2010/2011.

- The Playbuilder, Jutland Road Play Area and Greatham Play Area Schemes have been delayed owing to bad weather. Though much of the equipment for these Play Areas has now been purchased, installation works will not be completed until 2010/2011. A total of £263,000 has been rephased to complete these schemes.
- Rossmere MUGA & Skatepark is a major grant scheme. The delivery plan is now complete but work on site did not start until April 2010. Therefore £464,000 has been rephased into 2010/2011.
- Seaton Carew Cricket Club scheme was not agreed until November 2009 and is a guarantee against loss should the cricket club be unable to fund the total cost of the works themselves. The money was not be required in 2009/2010 and the budget of £30,000 will be rephased into 2010/2011.
- Skateboard Park budget is being held pending possible need for further funding at the Rossmere MUGA & Skatepark in 2010/2011. Therefore an additional £70,000 will be rephased into the next financial year.
- The Wingfield Castle Vehicle Deck Replacement had not been completed at outturn owing to bad weather. £93,000 has been rephased into 2010/2011.

9. REGENERATION AND ECONOMIC DEVELOPMENT PORTFOLIO

9.1 Capital Outturn 2009/10

9.1.1 **Appendix G** provides a summary of the Regeneration and Economic Development's Capital Programme.

9.1.2 Actual expenditure to date amounts to £1,023,000 compared to the approved budget of £1,646,000, with £623,000 rephased expenditure. The main items included with the rephased amount are:

- The £96,000 rephased relating to Economic Development Industrial and Commercial grants is owing to long lead in time from the point of each grant being approved to the works being completed. This budget is now committed with the various grants being at different stages of the process.
- The Regeneration Match Funding rephased amount of £108,000 represents the amount set aside to contribute to future match funded grant schemes.

- Seaside Grant Funding £200,000 was received late in the financial year and will be spent in 2010/2011 as part of the Seaton Carew Master Plan, which is currently being prepared.

10. TRANSPORT AND NEIGHBOURHOOD PORTFOLIO

10.1 Capital Outturn 2009/10

10.1.1 **Appendix H** provides a summary of the Transport and Neighbourhood's Capital Programme.

10.1.2 Actual expenditure to date amounts to £4,998,000 compared to the approved budget of £7,476,000 with £2,479,000 of expenditure rephased into 2010/2011. The overall outturn position is within budget. There are a number of underspends which offset the overspends on individual schemes. The following items are brought to the Portfolio Holder's attention:-

10.1.3 There are a number of schemes where expenditure has been rephased into 2010/2011: -

A combination of emergency responses to the bad weather and recent reductions in staff resources has led to decreased capacity in a number of areas resulting in the rephasing of budget to 2010/2011. This applies to:

- Cycle Routes General (£96,000)
- Travel Plans – Promotional Materials (£15,000)
- Sustainable Travel Awareness (£6,000)
- Road Safety Education and Training (£24,000)
- Other Bridge Schemes (£40,000)
- Safer Routes to Schools (£28,000)
- LTP General (£22,000)
- Highways Works Other Schemes (£40,000)
- LTP Monitoring (£15,000)
- LTP3 Development (£15,000)
- Structural Repairs to Wall Adjoining Highway (£60,000)
- Various resurfacing schemes and footway reconstruction works (£189,000)
- Non Adopted Highways Areas (£26,000)
- Access to Briarfields (£20,000)

10.1.4 The Hartlepool Transport Interchange contractor is on site and expected to be completed in 2010/2011. Therefore £727,000 of the current years budget has been rephased into the next financial year.

10.1.5 The Council's contribution towards the Anhydrite Mine will involve monitoring of the site in future years. The rephased budget

(£171,000) represents the amount set aside to cover the cost of this work. This contribution made by the Council was a condition of the grant funding previously received for this scheme.

- 10.1.6 Hartlepool Marina Landscaping works are continuing with £34,000 rephased into 2010/2011 when the works will be completed.
- 10.1.7 Tesco's Roundabout Area Highways Improvements have been rescheduled for 2010/2011 following the decision not to proceed with signalisation. £39,000 will be rephased into the next financial year and alternative highways improvements are now being planned.
- 10.1.8 Remedial works and Turning Heads (car turning areas) will not be completed at the Primary Health Care Centre until 2010/2011. Therefore £18,000 has been rephased into the next financial year.
- 10.1.9 The Strategy Study at Seaton Carew is expected to be completed in July, 2010 and the Strategy Study at the Town Wall is expected to be completed in December, 2010. Therefore £92,000 and £65,000 respectively has been rephased into 2010/2011.
- 10.1.10 There are a number of variances on individual carriage resurfacing and reconstruction schemes, which are all funded as part of the Local Transport Plan (LTP). These arise from differences between the actual cost of the work compared to the budget estimates. However, the schemes are within budget overall.
- 10.1.11 The Waste Performance Efficiency project relates to grant funding provided by DEFRA. The rephased amount of £97,000 is the amount planned to be spent in 2010/11 following preparation of a business case and consultation with service providers.
- 10.1.12 Included within the budget for the Construction of the Saltbarn is an RCCO of £32,000 which was required to fund the adverse variance on this scheme. This variance arose as a result of additional cabling costs following access problems from adjacent land.

11. FINANCE AND PERFORMANCE MANAGEMENT PORTFOLIO

11.1 Accountable Body Revenue Outturn 2009/2010

- 11.1.1 The Council acts as Accountable Body for New Deal for Communities. Details of outturn against the approved revenue budgets are summarised at Appendix B. Actual expenditure amounted to £1,976,000 compared to anticipated expenditure of £2,211,000 resulting in a favourable variance of £235,000.
- 11.1.2 New Deal for Communities has the flexibility for individual project expenditure in year to be brought forward or rephased to following years, and therefore the £235,000 variance will be used in 2010/11.

Next year is the final year of New Deal for Communities, so all rephased expenditure will be utilised. The following items are brought to members attention.

- 11.1.3 The Children's Learning and Activities Project has not spent the New Deal for Communities allocation in 2009/2010, therefore the £25,000 has been rephased to 2010/2011.
- 11.1.4 A member of staff working on the Community Development Workers Project and Evaluation Project left the Authority during the year and was not replaced. The budgets of £33,000 and £28,000 have been rephased to 2010/2011 to extend the contract of the Evaluation Manager.
- 11.1.5 The Community Learning Centre at Lynnfield did not need the funding in 2009/2010 as they received funding from another source which had to be spent in year. New Deal for Communities Project Board agreed to rephase their contribution of £53,000 to 2010/2011.
- 11.1.6 The Crime Premises Project recharged other projects located within 173 York Road, meaning that expenditure was lower than anticipated, and £49,000 has been rephased to 2010/2011.
- 11.1.7 The Management and Administration Project and the Neighbourhood Management Project made efficiency savings throughout the year which resulted in a favourable variance of £21,000 and £36,000 respectively. This has been rephased to 2010/2011.
- 11.1.8 The Raising Aspirations Project is run by the Child and Adult Services Department and the funding is claimed on an academic year basis. The £47,000 underspend is in relation to the 2009/2010 academic year and has been rephased to 2010/2011.

11.2 **Capital Outturn 2009/10**

- 11.2.1 **Appendix J, Table 1 Resources** – Actual expenditure amounted to £2,459,000, compared to the approved budget of £4,849,000. A total £2,390,000 has been rephased to 2010/11. The following items are brought to Members attention.
- 11.2.2 The Civic Centre Access Control System project and the Civic Centre Ramp project specifications are still being finalised, so the budgets of £72,000 and £29,000 respectively have been rephased to 2010/2011.
- 11.2.3 At outturn the Municipal Buildings were in the process of being sold to the Cleveland College of Art and Design and the boiler replacement scheme may now not go ahead. The budget of £85,000 has been rephased to 2010/2011 pending a decision on this.

- 11.2.4 As part of the 2006/2007 Budget Strategy, Members approved the creation of a budget to be used to fund IT investments intended to produce savings which would contribute towards the overall efficiency target. The investment had to be repaid within a seven year period. This fund has not yet been utilised, and the £500,000 has been rephased to 2010/2011.
- 11.2.5 Individual projects within the following funding streams have not progressed in 2009/2010, so have been rephased to 2010/2011:-
- Corporate Planned Maintenance (£42,000)
 - Health and Safety (£125,000)
 - SCRAPT (£166,000).
- 11.2.6 Owing to timing of the following schemes being added to the capital programme, no expenditure has been incurred, and the following budgets will be rephased into 2010/2011:-
- Sir William Gray House – Fire Alarm (£50,000)
 - Ramp Access (£40,000)
 - Installation of School Kitchen Equipment (£215,000)
 - Lynn Street – Electrical Installation (£55,000)
 - Lynn Street – Re-roof (£40,000).
- 11.2.7 Though work on the following schemes has progressed, the following budgets will be rephased into 2010/2011 as the work had not been completed at outturn:-
- Civic Centre Refurbishment (£350,000)
 - IT Projects (£57,000)
 - Corporate Planned Maintenance – DDA Works (£71,000)
 - Rossmere Youth Centre Roof Replacement (£63,000)
- 11.2.8 **Appendix J, Table 2 New Deal for Communities** – Actual expenditure amounted to £1,037,000, compared to the approved budget of £1,037,000.
- 11.2.9 There are no items to bring to Portfolio Holders attention.

12. RECOMMENDATIONS

- 12.1 It is recommended that Cabinet notes the contents of the report.

7.1 Appendix A

CAPITAL MONITORING REPORT TO 31ST MARCH 2010

Line No	Portfolio	2009/10 Budget	2009/10 Actual to 31/12/2009	2009/10 Expenditure Rephased to 2010/2011	2009/10 Expenditure	2009/10 Variance from budget Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D	Col. F	Col. G (G=D+E+F)	Col. H (H=G-C)
		£'000	£'000	£'000	£'000	£'000
1	Adult & Public Health Services	3,312	1,891	1,421	3,312	0
2	Children's Services	14,225	5,758	8,462	14,220	(5)
3	Community Safety & Housing	11,544	8,137	3,408	11,545	1
4	Culture, Leisure & Tourism	3,106	1,672	1,434	3,106	0
5	Finance & Performance Management	4,849	2,459	2,390	4,849	0
6	Regeneration & Economic Developme	1,646	1,023	623	1,646	0
7	Transport & Neighbourhoo	7,476	4,998	2,479	7,477	1
8	Total Capital Expenditure	46,158	25,938	20,217	46,155	(3)

7.1 Appendix B

ACCOUNTABLE BODY PROGRAMMES - REPORT TO 31ST MARCH 2010

Line No	Accountable Body Programme	Actual Position 31/3/10		
		2009/10 Budget	2009/10 Actual Expenditure/(Income)	Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D	Col. E = (E=D-C)
		£'000	£'000	£'000
	<u>TABLE 1 - New Deal for Communities</u>			
1	Revenue Projects	2,211	1,976	(235)
2	Capital Projects	1,037	1,037	0
3	Total New Deal for Communities	3,248	3,013	(235)

PORTFOLIO : ADULT & PUBLIC HEALTH SERVICE

7.1 Appendix C

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget	2009/2010 Actual as at 31/03/10	Expenditure Rephased into 2010/11	C+D 2009/2010 Total Expenditure	E-B 2009/2010 Variance from budget	Type of financing
		£'000	£'000	£'000	£'000	£'000	
7622	Adult Education - Capital Equipment Replacement	37	0	37	37	0	GRANT
7441	Adult Education - Neighbourhood Learning in Deprived Communities Fund	4	0	4	4	0	GRANT
7531	Adult Education - Office Accom.	14	0	14	14	0	GRANT
7983	Blakelock Day Centre Demolition	115	30	85	115	0	caprec
7229	Cemetery Flooding Works	13	0	13	13	(0)	UDPB
7234	Chronically Sick & Disabled Adaptations	157	148	9	157	0	Mix
8108	Havelock Centre for Independent Living	370	0	370	370	0	MIX
7481	Improving Information Management (IIM) Social Care IT Infrastructure	34	25	9	34	0	Grant
7351	Improving Information Management (IIM) Systems	3	3	0	3	0	GRANT
7616	Learning Disability - Extra Care Housing	7	7	0	7	0	GRANT
7578	Lynn Street ATC Demolition	11	0	11	11	0	RCCO
7869	Masefield Road (NDNA Accommodation)	31	31	0	31	0	MIX
7389	Mental Health Projects	403	0	403	403	0	SCE(R)
8091	North Cemetery Improvements to Entrance	26	0	26	26	0	UCPB
7028	Orwell Walk Extra Care Housing for Older People	1,497	1,497	0	1,497	0	Grant
7723	Resettlement Capital Works - Campus Re-provisioning	580	150	430	580	0	GRANT
tba	Waverly Terrace Allotments - Composting Toilets	10	0	10	10	0	RCCO
		3,312	1,891	1,421	3,312	(0)	

Key

RCCO Revenue Contribution towards Capital

MIX Combination of Funding Types

UCPB Unsupported Corporate Prudential Borrowing

SCE @ Supported Capital Expenditure (Revenue)

GRANT Grant Funded

CAP REC Capital Receipt

UDPB Unsupported Departmental Prudential Borrowing

SPE Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010								
Project Code	A	B	C	D	E	F	G	
	Scheme Title	2009/2010 Budget	2009/2010 Actual	Expenditure	C+D	E-B	Type of financing	
		£'000	as at 31/03/10 £'000	Rephased into 2010/11 £'000	2009/2010 Total Expenditure £'000	2009/2010 Variance from budget £'000		
n/a	Aggregate Value of Schemes less than £1,000	3	3	0	3	0	MIX	
8075	Aiming High for Disabled Children	54	36	18	54	0	GRANT	
7109	Brierton - Alterations re Dyke House Decant	760	640	120	760	0	MIX	
8117	Brierton Site - Transport Interchange	190	0	190	190	0	MIX	
8103	Brinkburn Pool - Access and Hoist	65	0	65	65	0	RCCO	
8070	Brinkburn Pool - Motorised Pool Cover	10	10	0	10	0	RCCO	
8053	Brougham - Replace Boiler	20	20	0	20	0	GRANT	
7597	Bush Babies - Outside Play Area	23	23	0	23	0	MIX	
8001	Capital Grants to External Nurseries (Early Years)	51	51	0	51	0	GRANT	
7032	Carlton Outdoor Centre - Purchase of Minibus	22	20	2	22	0	MIX	
7863	Carlton Outdoor Centre - Redevelopment Phase 2 (Works to be determined)	90	0	90	90	0	MIX	
7979	Children's Centres - Maintenance	37	15	16	31	(6)	GRANT	
7586	City Learning Centre Equipment Purchase	300	235	65	300	0	GRANT	
7664	Clavering - Create New Foundation Stage Unit	8	6	2	8	0	GRANT	
8055	Clavering - Window Replacement	38	39	0	39	1	GRANT	
7858	Computers for Pupils	251	33	218	251	0	GRANT	
7384	Devolved Capital - Various Misc Individual School Projects	1,353	725	628	1,353	0	GRANT	
7575	Dyke House - ICT Equipment Purchase	73	0	73	73	0	RCCO	
7108	Education Development Centre - Alterations for A2L Relocation	262	262	0	262	0	MIX	
8089	Education Development Centre - Roof Replacement with enhanced roofing system	70	47	23	70	0	UCPB	
8055	Education Development Centre - Window Replacement	30	23	7	30	0	UCPB	
8056	Eldon Grove - Creation of Additional Teaching Space	500	0	500	500	0	MIX	
7628	Eldon Grove - Major Internal Works	2	2	0	2	0	RCCO	
8065	Emergency Response - Contingency	25	0	20	20	(5)	MIX	
8057	Fens - Heating Replacement	24	24	0	24	0	GRANT	
8058	Fens - Kitchen Roof Replacement	36	36	0	36	0	GRANT	
8092	Fens - Outdoor Educational Area for Foundation Unit	92	78	14	92	0	GRANT	
9004	Funding (Modernisation, Access, RCCO) Currently Unallocated	79	0	79	79	0	MIX	
8071	Golden Flatts - Caretaker's Boiler Emergency Replacement	3	3	0	3	0	SCE ®	
8093	Golden Flatts - Establish Nurture Area	6	0	6	6	0	GRANT	
8058	Golden Flatts - Kitchen Roof Replacement	48	48	0	48	0	MIX	
8082	Golden Flatts - Resource Learning Centre	30	15	15	30	0	GRANT	
8051	Greatham - Roof Work Over Nursery and Hall	24	24	0	24	0	GRANT	
7027	Harnessing Technology Grant	604	568	36	604	0	GRANT	
8059	Hart - Create Multi-purpose Studio	120	1	119	120	0	GRANT	
8068	Hart - Replace Fire Alarm System	20	0	20	20	0	GRANT	
7500	High Tunstall - Refurbish Classrooms / Equipment Purchase	28	0	28	28	0	GRANT	
8118	Holy Trinity - Outdoor Area	85	0	85	85	0	MIX	
7814	ICT / Mobile Technology for Children's Social Workers	9	9	0	9	0	GRANT	
7977	Information System for Parents & Providers Project	2	0	2	2	0	GRANT	
8072	Integrated Children's System Case Management Improvement	71	26	45	71	0	GRANT	
7533	Jesmond Rd - Relocate Nursery to form Foundation Unit, installation of ramps & internal works	6	0	6	6	0	MIX	
7088	Jesmond Road - New Build Primary Capital Plus	3,600	403	3,197	3,600	0	MIX	
7469	Kingsley - Extension to School for Children's Centre	22	8	14	22	0	GRANT	
8050	Kingsley - Toilet and Window Replacement	110	114	0	114	4	GRANT	
8120	Lynnfield - Improve Teaching Space	120	0	120	120	0	GRANT	
8025	Lynnfield - Install Partitions	24	24	0	24	0	GRANT	
7912	Manor - Replace External Doors - Improve Security	3	0	3	3	0	MIX	
7426	Purchase & Install new Integrated Children's Computerised System for Children & Families	0	0	0	0	0	GRANT	
8066	Replacement of Gas Interlocks	30	0	30	30	0	SCE (R)	
8060	Rift House - Annexe 2 Heating	17	0	17	17	0	GRANT	
8119	Rift House - Internal Reorganisation	100	0	100	100	0	MIX	
7654	Rift House - Relocation of Nursery & Refurbish Existing Nursery to create a Children's Centre	6	0	6	6	0	GRANT	
7928	Rift House - Resurface Car Park / Pedestrian Access	2	2	0	2	0	MIX	
7088	Rossmere - Primary Capital Plus Refit	1,400	33	1,367	1,400	0	MIX	
7853	Rossmere Youth Centre - Boiler Replacement	55	0	55	55	0	UCPB	
7421	School Travel Plans - Develop Cycle Storage at Schools	115	49	66	115	0	GRANT	
8116	Springwell - Covered Link Way	22	0	22	22	0	MIX	
7917	Springwell - Install Mobile Classroom	6	6	0	6	0	MIX	
8069	Springwell - Replace Pool	55	44	11	55	0	MIX	

8051	Springwell - Replace Roof	51	51	0	51	0	MIX
8051	St Helens - Replace Roof	115	115	0	115	0	GRANT
7997	St Hilda - Space to Learn	1,210	348	862	1,210	0	MIX
7597	St John Vianney Starfish Daycare Outside Play Area	31	27	4	31	0	MIX
8011	St Josephs - CCTV	2	2	0	2	0	MIX

PORTFOLIO : CHILDREN'S SERVICES

Appendix D (cont)

CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2009

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget £'000	2009/2010 Actual as at 31/03/10 £'000	Expenditure Rephased into 2010/11 £'000	C+D 2009/2010 Total Expenditure £'000	E-B 2009/2010 Variance from budget £'000	Type of financing
7978	Stranton - Extension to Centre	211	213	0	213	2	MIX
7888	Stranton - Purchase & Install CCTV	2	0	2	2	0	RCCO
7763	Stranton - Replace Windows (07/08)	4	0	4	4	0	GRANT
8125	Stranton - Replace Floor Caretaker's Bungalow	35	21	14	35	0	MIX
7953	Supply and Install Mobile Classrooms	10	10	0	10	0	MIX
8023	Sure Start Central - Café Ext to Comm Facilities	62	44	18	62	0	GRANT
8007	Sure Start Central - Chatham Road Garage Conversion / Equipment	42	42	0	42	0	MIX
7388	Sure Start Central - Improvement Works at Lowthian Road	2	0	2	2	0	MIX
8023	Sure Start North - Café Ext to Comm Facilities	86	49	37	86	0	GRANT
8109	Sure Start North - Kiddykins Alterations	55	59	0	59	4	GRANT
8096	Throston - DDA Access Ramps	9	9	0	9	0	MIX
7981	Throston - Extension to school to build Children's Centre	347	347	0	347	0	GRANT
8052	Throston - Rewire School 0910 Phase	3	3	0	3	0	MIX
8055	Throston - Window Replacement	65	65	0	65	0	MIX
8067	Ward Jackson - Creation of Quiet Room	12	7	5	12	0	MIX
8061	Ward Jackson - Replacement of Roof	69	69	0	69	0	MIX
8062	West Park - Replace Heating Distribution System	26	26	0	26	0	MIX
7598	West View - Improve / Refurbish Nursery & Reception	11	0	11	11	0	GRANT
8063	West View - Replace Windows	55	50	0	50	(5)	GRANT
7463	Youth Capital Fund - Spend to be determined by Young People	65	62	3	65	0	GRANT
7089	Youth Capital Fund Plus	434	434	0	434	0	GRANT
		14,225	5,758	8,462	14,220	(5)	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget £'000	2009/2010 Actual as at 31/03/10 £'000	Expenditure Rephased into 2010/11 £'000	C+D 2009/2010 Total Expenditure £'000	E-B 2008/2009 Variance from budget £'000	Type of financing
7015	Targeted Private Housing Improvements (SRB Residual)	24	6	18	24	0	GRANT
7107	Growth Point Funded Housing	443	429	15	444	1	GRANT
7206	Community Safety Strategy Social Lighting Programme	7	0	7	7	0	UCPB
7207	Community Safety Car Parks	98	24	74	98	0	SPB
7218	Disabled Facility Grants	646	646	0	646	0	GRANT
7219	Minor Works Grants	105	108	0	108	3	GRANT
7220	Private Sector Housing Grants	355	349	2	351	(4)	GRANT
7230	North Central Hartlepool Housing Regeneration	6,238	5,471	767	6,238	0	GRANT
7231	Housing Thermal Efficiency	99	80	19	99	0	GRANT
7252	Safer Streets Initiative	47	45	3	48	1	GRANT
7368	Building Safer Communities	37	34	3	37	0	GRANT
7404	HRA Residual Expenditure	9	5	4	9	0	RCCO
7431	Community Safety Strategy	1	0	1	1	0	MIX
7878	Community Safety CCTV Upgrade	66	56	10	66	0	MIX
8083	Drug Action Team - CCTV	11	11	0	11	0	UCPB
8101	Church St Integrated Offender Management Unit refurbish basement	25	25	0	25	0	UCPB
8106	Social Housing - New Build	0	0	0	0	0	MIX
8107	Acquisition of Crown House	257	159	98	257	0	UCPB
8127	Community Housing - Charles St Phase 1	810	328	482	810	0	MIX
8128	Community Housing -Seaton Lane	1,001	261	739	1,000	(1)	MIX
8129	Community Housing -Charles St Phase 2	546	4	543	547	1	MIX
8130	Community Housing - Kipling Road	690	96	594	690	0	MIX
8155	Preventing Repossession Fund	29	0	29	29	0	GRANT
		11,544	8,137	3,408	11,545	1	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget £'000	2009/2010 Actual as at 31/03/10 £'000	Expenditure Rephased into 2010/11 £'000	C+D 2009/2010 Total Expenditure £'000	E-B 2009/2010 Variance from budget £'000	Type of financing
7110	Brougham Play Area - Playbuilder	97	48	49	97	0	MIX
7110	Burbank Play Area	51	41	10	51	0	MIX
7651	Burn Valley Park Beck	114	0	114	114	0	MIX
7110	Burn Valley Play Area	62	58	4	62	0	MIX
8074	Central Library - Community Room Lighting	7	7	0	7	0	UPCB
7046	Central Library - Revolving Door	99	99	0	99	0	MIX
8095	Central Library - Signage	7	5	2	7	0	UPCB
8094	Central Library - Toilets DDA Compliance	1	1	0	1	0	UPCB
7377	Central Library - Various Improvement Works	5	5	0	5	0	RCCO
8073	Central Library, 1st Floor Lights and Fire Alarm Adapation	29	26	3	29	0	UPCB
7110	Clavering Play Area (Playbuilder)	78	48	24	72	(6)	MIX
7375	Countryside Development Works	14	0	14	14	0	MIX
7864	Foreshore - Replacement Lifeguard Vehicle	25	25	0	25	0	RCCO
7992	Grayfields Sports Junior Pitches	147	71	76	147	0	MIX
7213	Grayfields Sports Pavillion / Football Strategy	8	8	0	8	0	MIX
7382	Greatham Play Area Equipment	9	0	9	9	0	MIX
7996	Hartlepool Maritime Experience Entranceway	1	1	0	1	0	UPCB
8020	Hartlepool Maritime Experience Lifts	27	27	0	27	0	MIX
7110	Headland Play Area	1	1	0	1	0	MIX
7831	Jutland Road Community Centre - Internal Alterations	1	0	1	1	0	MIX
7110	Jutland Road Play Area	23	0	23	23	0	MIX
7414	Jutland Road Play Area Upgrade	65	11	54	65	0	MIX
7110	King George V Play Area	85	32	53	85	0	MIX
7110	King Oswy Play Area	51	57	0	57	6	MIX
8008	Mill House - Free Swim project	6	6	0	6	0	Grant
7047	Mill House Leisure Centre - Changing Village	695	573	122	695	0	MIX
8084	Mill House Leisure Centre Combined Heating & Power Unit	177	10	167	177	0	MIX
8019	Mill House Leisure Centre Internal Doors	9	8	1	9	0	UPCB
8021	Museum of Hartlepool Signage	2	0	2	2	0	UPCB
7887	Nicholson's Field Allotments	22	22	0	22	0	RCCO
8090	Owton Manor Branch Library - Replacement Roof	31	0	31	31	0	UPCB
7853	Owton Manor Community Centre - Replace Boiler	35	0	35	35	0	UPCB
7110	Oxford Road Play Area	52	50	2	52	0	MIX
8104	Rossmere Park - MUGA & Skatepark	466	2	464	466	0	Mix
7110	Rossmere Play Area (Playbuilder)	56	34	22	56	0	MIX
8051	Seaton Carew Community Centre Roof Replacement	70	61	9	70	0	UPCB
tba	Seaton Carew Cricket Club	30	0	30	30	0	0
7110	Seaton Carew Play Area, , Seaton Park (Playbuilder)	51	39	12	51	0	MIX
n/a	Skateboard Park	70	0	70	70	0	RCCO
7991	St Patrick's Shops Multi Use Games Area (MUGA)	1	1	0	1	0	RCCO
8011	Summerhill CCTV	14	0	14	14	0	MIX
7844	Town Moor - Develop Multi Use Games Area	63	63	0	63	0	MIX
7110	Town Moor Play Area (Playbuilder)	51	50	1	51	0	MIX
7590	Ward Jackson Car Park - Tunstall Court	76	76	0	76	0	MIX
7990	Ward Jackson Park Bandstand Shutters	4	0	4	4	0	MIX
8010	Ward Jackson Park CCTV & Lights	0	0	0	0	0	MIX
7081	Waverley Terrace Community Allotment Fencing	13	13	0	13	0	MIX
8087	Wingfield Castle Vehicle Deck Replacement	105	93	12	105	0	UPCB
		3,106	1,672	1,434	3,106	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UPCB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget £'000	2009/2010 Actual as at 31/03/10 £'000	Expenditure Rephased into 2010/11 £'000	C+D 2009/2010 Total Expenditure £'000	E-B 2009/2010 Variance from budget £'000	Type of financing
7045	THI Key Buildings Headland	60	60	0	60	0	GRANT
7083	Hartlepool Business Security Fund	54	21	33	54	0	UCPB
7120	Hartlepool Active Response Team	28	19	9	28	0	GRANT
7866	Friarage Manor House	18	0	18	18	0	CAP REC
7895	Econ.Devt. - Indl & Comm - Grants to Businesses	215	119	96	215	0	UCPB
7896	BEC Toilet & Shower Facilities	49	29	20	49	0	UCPB
7897	Regeneration Match Funding	108	0	108	108	0	UCPB
8054	Victoria Buildings THI Grants	195	195	0	195	0	MIX
8076	Wharton Terrace Improvements	151	136	15	151	0	MIX
8099	Enterprise Centre New Windows	90	1	89	90	0	UCPB
8110	King Oswy Shops Improvements	19	29	0	29	10	UCPB
8113	Catcote Shops Improvements	34	1	24	25	(9)	UCPB
8114	Hartlepool College of FE Redevelopment	370	370	0	370	0	MIX
8123	Review of Strategy Study North Sands to Newburn Bridge	2	2	0	2	0	GRANT
8131	Small Retailers Partnership Grant	48	41	6	47	(1)	GRANT
8153	Seaside Grant Funding	200	0	200	200	0	GRANT
7417	Friarage Building Demolition	5	0	5	5	0	
		1,646	1,023	623	1,646	0	

Key

RCCO

Revenue Contribution towards Capital

MIX

Combination of Funding Types

UCPB

Unsupported Corporate Prudential Borrowing

SCE ®

Supported Capital Expenditure (Revenue)

GRANT

Grant Funded

CAP REC

Capital Receipt

UDPB

Unsupported Departmental Prudential Borrowing

SPB

Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget	2009/2010 Actual as at 31/03/10	Expenditure Rephased into 2010/11	C+D 2009/2010 Total Expenditure	E-B 2009/2010 Variance from budget	Type of financing
		£'000	£'000	£'000	£'000	£'000	
7044	Zebra Crossings	3	3	0	3	0	MIX
7084	Camera Partnership	46	33	14	47	1	GRANT
7095	Resurface-Cairnston North	0	3	0	3	3	GRANT
7222	Minor Works - North	18	0	18	18	0	MIX
7223	Minor Works - South	51	0	51	51	0	MIX
7224	Minor Work - Central	12	0	12	12	0	MIX
7235	Low Floor Infrastructure	36	32	3	35	(1)	SPB
7236	Bus Shelter Improvements	49	51	0	51	2	SPB
7237	Cycling-Cycle Routes General	152	56	96	152	0	MIX
7240	Rail Measures - Interchange Phase 1	1,546	734	727	1,461	(85)	SPB
7241	Programmed Major Maintenance - Dropped Crossings	30	26	4	30	0	SPB
7242	Other street lighting imps	65	53	10	63	(2)	MIX
7244	Travel Plans	19	4	15	19	0	SPB
7247	Bus Quality Corridor	6	6	0	6	0	SPB
7250	Sustainable Travel Awareness	14	5	9	14	0	GRANT
7251	CCTV on Buses	20	20	0	20	0	GRANT
7265	Coastal Protection Strategic Study	0	0	0	0	0	GRANT
7272	Wheely Bin Purchase	45	45	0	45	0	UDPB
7424	Pride in Hartlepool	15	15	0	15	0	UCPB
7465	Recycling Scheme	51	51	0	51	0	UDPB
7487	Local Transportation Plan-Monitoring	5	1	0	1	(4)	SPB
7499	Lithgo Close - Contaminated Land	384	316	68	384	0	MIX
7508	Anhydrite Mine	182	11	171	182	0	UCPB
7541	LTP-Safer Routes to School	75	46	28	74	(1)	GRANT
7542	LTP-Parking Lay-bys	19	19	0	19	0	SPB
7544	LTP-Shop Mobility	40	40	0	40	0	SPB
7545	LTP-Motorcycle Training	20	21	0	21	1	GRANT
7546	LTP-Road Safety Education & Training	25	2	24	26	1	GRANT
7549	LTP-Other Bridge Schemes	70	30	40	70	0	SPB
7580	Highways Remedial Works - Marina	4	0	4	4	0	TDC
7581	Tees Valley Boundary Signs	5	2	3	5	0	GRANT
7605	Focus-Section 278	6	6	0	6	0	GRANT
7644	LTP-School Travel Plans	9	8	1	9	0	SPB
7645	LTP-General	346	304	22	326	(20)	SPB
7706	Waterproofing Phase 2 - Multi Story Car Park	91	81	10	91	0	UCPB
7707	Highway Works - Other schemes	40	0	40	40	0	UCPB
7720	Public Conveniences	341	341	0	341	0	UDPB
7734	LTP-Tesco Roundabout Highways Improvement Schem	400	49	351	400	0	SPB
7736	LTP-York Road (Park Road to Lister Street)	0	0	0	0	0	GRANT
7821	Waste Performance Efficiency	233	135	97	232	(1)	GRANT
7835	Primary Health Care Centre-Prk Rd-S278	80	62	18	80	0	CAP REC
7838	LTP-Tees Road Footways (west side)	0	0	0	0	0	GRANT
7846	Raby Road Puffin Crossing	0	0	0	0	0	GRANT
7847	Coast Protection - Headland Fencing & Promenade	12	11	2	13	1	CAP REC
7852	Highway Imps-TESCO S106 Expend	70	70	0	70	0	GRANT
7891	Strategy Study-Seaton Carew	409	317	92	409	0	GRANT
7892	Strategy Study-Town Wall	304	239	65	304	0	GRANT
7899	Coast Protection 0809 UPB	133	131	1	132	(1)	UCPB
7906	Bryan Hanson House On Street Parking	23	5	18	23	0	UDPB
7955	LTP - Cycling	5	0	5	5	0	GRANT
7956	LTP-Cycle Route Signage	8	0	8	8	0	SPB
7957	LTP-Seaton Carew Railway Station Improvements	37	39	0	39	2	SPB
7959	LTP-Other Walking Schemes	47	24	23	47	0	SPB
7961	LTP-School 20mph Zones	10	2	8	10	0	SPB
7964	LTP-HM-Hart Lane Carriageway Reconstruction	22	0	0	0	(22)	GRANT
7965	LTP-HM-Catcote Turning Circle Reconstruction £3766	4	0	4	4	0	MIX

7966	LTP-HM-Queen Street Carriageway Reconstruction	0	0	0	0	0	GRANT
7968	HM-FletcherWalk Footway Reconstruction	4	4	0	4	0	UCPB
7970	LTP-HM-Oxford St Footway Recon	4	4	0	4	0	SPB
7972	Other Traffic Management Schemes	16	12	4	16	0	SPB
7973	Other Safety Schemes	29	25	4	29	0	GRANT
7984	King Oswy Drive Cycleway Improvements	9	0	9	9	0	SPB
7999	Marina Way Landscaping Works	81	47	34	81	0	RCCO
8006	Access Road to Briarfields	20	0	20	20	0	CAP REC
8015	Tesco - New entrance/Junc/Lights-S278	40	1	39	40	0	GRANT
8026	Highways Asset management	30	30	0	30	0	GRANT

PORTFOLIO : TRANSPORT & NEIGHBOURHOODS

Appendix H (cont)

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget	2009/2010 Actual as at 31/03/10	Expenditure Rephased into 2010/11	C+D 2009/2010 Total Expenditure	E-B 2009/2010 Variance from budget	Type of financing
		£'000	£'000	£'000	£'000	£'000	
8027	Carriageway reconstruction - Holdforth Road	50	42	8	50	0	GRANT
8028	Carriageway reconstruction - Wooler Rd rabout - No 49	150	126	24	150	0	GRANT
8029	Carriageway reconstruction - The Cliff - Lawson Road Jc	30	51	0	51	21	GRANT
8030	Resurfacing - Arkley Cr -Bruce Cr	12	3	0	3	(9)	GRANT
8031	Resurfacing - Thorpe St - Vane St	15	14	0	14	(1)	GRANT
8032	Resurfacing - Brus shops - Rear PO	8	9	0	9	1	GRANT
8033	Resurfacing - Ch Sq Paved Crgway	80	45	35	80	0	GRANT
8034	Resurfacing - Outside Civic Centre	87	71	16	87	0	GRANT
8035	Resurfacing - Hart Lane- 26 Granville Avenue	12	11	0	11	(1)	GRANT
8036	Resurf - Dalton Village Road	22	26	0	26	4	SPB
8037	Resurf - Catcote-Oxford Rd	60	0	60	60	0	SPB
8038	Resurf - Catcote Rd - Brierton Lane jnc	3	3	0	3	0	SPB
8039	Resurf - Elgin Rd	12	9	0	9	(3)	SPB
8040	Resurf - Fordyce Rd - Eskdale Rd-Greenock Rd	18	21	0	21	3	SPB
8041	Resurf - OML - Catcote Rd-Jameson Rd	42	53	0	53	11	SPB
8042	Resurf - Queen Street	22	26	0	26	4	SPB
8043	Footway Recon - Clavering Road - School-Gillens Pub	3	15	0	15	12	SPB
8044	Footway Recon - York Rd - Victoria Rd-Park Rd	46	15	31	46	0	SPB
8045	Footway Reconstruction - General	1	0	0	0	(1)	SPB
8046	LTP3 Development	15	0	15	15	0	SPB
8077	Footpath Resurfacing - Cemetery Road	33	27	6	33	0	RCCO
8078	Coast Road Pedestrian Fence	6	6	0	6	0	RCCO
8079	Household Waste Recycling Centre	300	282	18	300	0	UDPB
8080	Construction of New Saltbarn	332	332	0	332	0	RCCO
8081	Non Adopted Highway Areas	100	74	26	100	0	GRANT
8100	Structural Repairs to Wall Adjoining Highway	60	0	60	60	0	RCCO
8111	Marina Promenade LED Lighting Scheme	55	57	0	57	2	MIX
8122	Rift House Street Lighting	7	7	0	7	0	SPB
8126	Stockton Street Wall	25	17	8	25	0	RCCO
8144	Resurfacing Works - Cairnston Road	0	21	0	21	21	SPB
8145	Resurfacing Works - Oakland Avenue	0	9	0	9	9	SPB
8146	Resurfacing Works - Shakespeare Avenue	0	12	0	12	12	SPB
8147	Resurfacing Works - Thirlmere Street	0	8	0	8	8	SPB
8148	Resurfacing Works - Hylton Road	0	7	0	7	7	SPB
8149	Resurfacing Works - Northumberland Avenue	0	6	0	6	6	SPB
8150	Resurfacing Works - Croxton Avenue	0	11	0	11	11	SPB
8151	Resurfacing Works - Bournemouth Drive	0	4	0	4	4	SPB
8152	Resurfacing Works - Speeding Drive	0	6	0	6	6	SPB
		7,476	4,998	2,479	7,477	1	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE © Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

TABLE 1 - NEW DEAL FOR COMMUNITIES

Line No	2009/10 Budget	Actual Position 31/03/10		
		Description of Best Value Un	Actual Expenditure (Income)	Variance Adverse, (Favourable)
Col. A	Col. B	Col. C	Col. E £'000	Col. F (F=E-D) £'000
1	41	Anti Social Behavior	30	(11)
2	20	Back to Work Grant	5	(15)
3	0	Building Modernisation Grant	0	0
4	41	Business Support Manager	42	1
5	14	Children's Emotional Wellbeing	0	(14)
6	144	Children's Learning and Activities Project	119	(25)
7	53	Communities Project	42	(11)
8	83	Community Development Work	50	(33)
9	134	Community Housing Plan Delivery Costs	130	(4)
10	60	Community Learning Centre - Lynnfie	7	(53)
11	5	Community Learning Centre - Stranct	5	0
12	4	Community Transport	2	(2)
13	69	Crime Premises	20	(49)
14	25	Educational Achievement Project Phase	25	0
15	14	Enterprise Support Scheme	14	0
16	8	Ethnic Minorities Project	22	14
17	94	Evaluation Project	66	(28)
18	10	Family Support	10	0
19	19	Football Development Officer	19	0
20	44	Hartlepool NDC Trust	86	42
21	5	Home Improvement Project	56	51
22	48	Key Stage 2&3 Transition	48	0
23	7	Longhill - ILM Scheme	7	0
24	39	Longhill - Site Manager	40	1
25	25	Low Level Support	25	0
26	1	Lynnfield Play Area	0	(1)
27	403	Management & Administrative	382	(21)
28	264	Neighbourhood Management Phase	228	(36)
29	84	Opening Doors - Phase I	84	0
30	1	Osbourne Road Hall	1	0
31	140	People's Access to Health	140	0
32	23	People's Centre	24	1
33	13	Police Community Support Office	12	(1)
34	90	Raising Aspirations	43	(47)
35	2	Resident Association Support	1	(1)
36	2	Resident Steering Group Laptop	2	0
37	52	Selective Licensing in the Private Rented Sector	52	0
38	24	Sustaining Attainment	24	0
39	13	Sustaining Consultancy Funding	8	(5)
40	12	Victim Support	12	0
41	0	Youth Enterprise Scheme	12	12
42	10	Young Persons Emotional Wellbeing	10	0
43	71	Youth Project	71	0
44	2,211		1,976	(235)

CAPITAL MONITORING REPORT PERIOD ENDING 31st MARCH 2010

TABLE 1 - RESOURCES

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget £'000	2009/2010 Actual as at 31/03/10 £'000	Expenditure Rephased into 2010/11 £'000	C+D 2009/2010 Total Expenditure £'000	E-B 2009/2010 Variance from budget £'000	Type of financing
7113	Bryan Hanson House Carpet Renewal	43	43	0	43	0	RCCO
7867	City Challenge - Burbank/Murray Street	86	0	86	86	0	GRANT
7091	City Challenge Clawback	229	0	229	229	0	GRANT
7031	Civic Centre - Replace Sprinkler System	82	79	3	82	0	UCPB
7117	Civic Centre Access Control System	72	0	72	72	0	UCPB
7116	Civic Centre Disabled Toilets	78	78	0	78	0	UCPB
7115	Civic Centre Ramp	29	0	29	29	0	UCPB
7200	Civic Centre Refurbishment	815	465	350	815	0	UCPB
7037	Civic Centre Toilets	137	137	0	137	0	UCPB
7257	Corporate Planned Maintenance DDA Works	88	17	71	88	0	UCPB
7041	Corporate Planned Maintenance Unallocated	42	0	42	42	0	UCPB
7119	Demolition of Throston Grange Old Peoples Home	83	83	0	83	0	CAP REC
7718	Eldon Grove Leisure Centre Demolition	14	14	0	14	0	UCPB
7048	Health and Safety Money Unallocated	125	0	125	125	0	UCPB
8050	ICLIPSE Implementator	99	99	0	99	0	VARIOUS
8105	Installation of Staff Welfare Facilities	20	2	18	20	0	VARIOUS
7623	IT Projects	72	15	57	72	0	UCPB
7468	IT Strategy	500	0	500	500	0	UCPB
7988	Lynn St Garage - Install Overhead Heaters	51	45	6	51	0	UCPB
7631	Members ICT/Remote Access	20	20	0	20	0	UCPB
7264	Mobile Benefits	0	0	0	0	0	CAP REC
7989	Municipal Buildings - Access System	9	0	9	9	0	UCPB
7781	Municipal Buildings Renew Boiler & Heating System	85	0	85	85	0	UCPB
8013	Municipal Buildings Replace Fire Alarm System	0	0	0	0	0	UCPB
7982	Osbourne Road Property Demolition	1	1	0	1	0	CAP REC
7036	SCRAPT Unallocated	166	0	166	166	0	UCPB
7112	Redheugh Footpath Renewal	78	78	0	78	0	RCCO
7114	Rossmere Youth Centre Roof Replacement	63	0	63	63	0	RCCO
7026	Sir William Gray House - Fire Alarm	50	0	50	50	0	UCPB
8049	St Hilda's Church Clock - Work to North and West Dials	24	24	0	24	0	UCPB
7111	Stranton Crematorium Roof Replacement Phase 3	34	27	7	34	0	RCCO
7467	War Memorials Refurbishment	10	10	0	10	0	UCPB
8132	Removal of Building Management System Equipment	10	0	10	10	0	CAP REC
8133	Removal of Leadbitter Telephone System	2	0	2	2	0	CAP REC
8134	Create Interview Rooms - Leadbitter Buildings	15	0	15	15	0	CAP REC
8135	Adjustments at Church Street Offices - Ramp Access	40	0	40	40	0	CAP REC
8136	Removal of Offices - Hanson House	15	0	15	15	0	CAP REC
8137	Relocation of Print Room to CivicCentre	10	0	10	10	0	CAP REC
8141	Installation of Electrical Outlets at Hanson House	20	0	20	20	0	UCPB
8142	Installation & Replacement of School Kitchen Equipment	215	0	215	215	0	UCPB
7466	Vehicle Purchases	1,222	1,222	0	1,222	0	UDPB
8085	Lynn Street Depot - Electrical Installation	55	0	55	55	0	UCPB
8102	Lynn Street Depot - Re-roof Garage	40	0	40	40	0	UCPB
		4,849	2,459	2,390	4,849	0	

TABLE 2 - NEW DEAL FOR COMMUNITIES

Project Code	A Scheme Title	B	C	D	E	F	G
		2009/2010 Budget £'000	2009/2010 Actual as at 31/03/10 £'000	Expenditure Rephased into 2010/11 £'000	C+D 2009/2010 Total Expenditure £'000	E-B 2009/2010 Variance from budget £'000	Type of financing
7059/7060/7971	Longhill Business Security and Environmental Improvements	2	2	0	2	0	NDC
7062	Building Modernisation Grants	51	51	0	51	0	NDC
7061	Business Security Fund	2	2	0	2	0	NDC
7063	CIA Environmental Improvements	0	0	0	0	0	NDC
7038	Opening Doors Phase III	176	176	0	176	0	NDC
7050	Osbourne Road Hall	1	1	0	1	0	NDC
7051	Voluntary Sector Premises Pool	20	20	0	20	0	NDC
8003	Sustaining Centres	45	45	0	45	0	NDC
7086	Lynnfield Play Area	18	18	0	18	0	NDC
8004	Strategic Land Purchase	6	6	0	6	0	NDC
7065/7070/8014	Neighbourhood Management	52	52	0	52	0	NDC
7079	Home Improvement Project	554	554	0	554	0	MIX
8048	NDC Trust - Property Purchase	110	110	0	110	0	NDC
		1,037	1,037	0	1,037	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE @ Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPE Supported Prudential Borrowing

CABINET REPORT

6th September, 2010



Report of: Chief Finance Officer

Subject: QUARTER 1 – CAPITAL AND ACCOUNTABLE
BODY PROGRAMME MONITORING REPORT
2010/2011

SUMMARY

1. PURPOSE OF REPORT

- 1.1 To provide details of progress against the Council's overall Capital budget for 2010/2011 and the spending programmes where the Council acts as the Accountable Body for the period to 30th June, 2010.
- 1.2 The report considers the following areas: -
- Capital Monitoring
 - Accountable Body Programme Monitoring

2. SUMMARY OF CONTENTS

- 2.1 The report provides detailed monitoring information for each Portfolio up to 30th June, 2010.

3. RELEVANCE TO CABINET

- 3.1 Cabinet has overall responsibility for the monitoring of the Council's budgets.

4. TYPE OF DECISION

- 4.1 None.

5. DECISION MAKING ROUTE

- 5.1 Cabinet 6th September, 2010.

6. DECISION(S) REQUIRED

- 6.1 Cabinet is asked to note the report.

Report of: Chief Finance Officer

Subject: QUARTER 1 – CAPITAL AND ACCOUNTABLE
BODY PROGRAMME MONITORING REPORT
2010/2011

1. PURPOSE OF REPORT

- 1.1 To inform Cabinet of progress against the Council's own 2010/2011 Capital budget and the spending programmes where the Council acts as the Accountable Body for the period to 30th June, 2010.
- 1.2 This report considers the following areas: -
- Capital Monitoring;
 - Accountable Body Programme Monitoring.

2. BACKGROUND

- 2.1 In line with previous monitoring reports, this document is an integrated comprehensive document that is page numbered, thus allowing Members easier navigation around the report. (See contents table below). The report firstly provides a summary, followed by a section for each Portfolio where more detailed information is provided.

Section	Heading	Page
3.	Capital Monitoring 2010/2011	3
4.	Accountable Body Programme	4
5.	Adult & Public Health Services Portfolio	4
6.	Children's Services Portfolio	4
7.	Community Safety & Housing Portfolio	5
8.	Culture, Leisure & Tourism Portfolio	5
9.	Regeneration & Economic Development Portfolio	6
10.	Transport and Neighbourhood Portfolio	6
11.	Finance & Procurement Portfolio	6
12.	Performance Portfolio	7
13.	Recommendations	7
Appendix A	Capital Monitoring Summary	8
Appendix B	Accountable Body Monitoring Summary	9
Appendices C-J	Detailed Spend by Portfolio	10-18
Appendix K	Accountable Body Revenue Monitoring	19

- 2.2 This report will be submitted to Scrutiny Co-ordinating Committee for review at the earliest opportunity.

3. CAPITAL MONITORING 2010/2011

- 3.1 Expenditure for all Portfolios is summarised at **Appendix A**. Actual expenditure to 30th June, 2010, totals £6,588,000, compared to the approved budget of £48,243,000, leaving £41,485,000 remaining expenditure expected to be spent in 2010/2011
- 3.2 At this time £171,000 will be rephased into 2010/2011. Expenditure to be rephased to 2010/2011 by portfolio is as follows:

Portfolio	£'000
Transport & Neighbourhood (see section 10.1)	171
Total	171

- 3.4 **Appendix A** is supported by individual detailed statements by Portfolio, as set out below.

Appendix C - Adult & Public Health Services
 Appendix D - Children's Service
 Appendix E - Community Safety & Housing
 Appendix F - Culture, Leisure & Tourism
 Appendix G - Regeneration & Economic Development
 Appendix H - Transport and Neighbourhood
 Appendix I - Finance & Procurement
 Appendix J - Performance

- 3.4 The format of the appendices shows details of anticipated and actual capital expenditure as at 30th June, 2010 and shows:

Column A - Scheme Title
 Column B - Budget for Year
 Column C - Actual expenditure to 30th June, 2010
 Column D - Expected remaining expenditure to be incurred in the period January to March, 2010
 Column E - Expenditure Rephased into 2011/2012
 Column F - 2010/2011 Total Expenditure
 Column G - Variance from Budget
 Column H - Type of financing

- 3.5 Detailed analysis of all schemes on each appendix is on deposit in the Members' Library.

4. ACCOUNTABLE BODY PROGRAMME

- 4.1 The Council acts as Accountable Body for the Hartlepool New Deal for Communities (NDC). As part of its role as Accountable Body the Council needs to be satisfied that expenditure is properly incurred and is progressing as planned.

New Deal for Communities (NDC)

The programme is currently forecasting to fully spend the current years NDC allocation of £1,188,000. There is also another £1,041,000 expenditure forecast which is funded through other grants, giving a total budget of £2,229,000 for the current financial year.

Appendix B shows the latest budget allocations against this target and expenditure as at 30th June, 2010.

- 4.2 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end

5. ADULT AND PUBLIC HEALTH SERVICE PORTFOLIO

5.1 Capital Monitoring for Period Ending 30th June, 2010

- 5.1.1 **Appendix C** provides a summary of the Adult and Public Health Services Capital Programme.

- 5.1.2 Actual expenditure to date amounts to £84,000, compared to the approved budget of £1,785,000 with £1,701,000 to be spent before the end of this financial year.

- 5.1.3 There are no items to bring to the Portfolio Holders attention.

6. CHILDREN'S SERVICES PORTFOLIO

6.1 Capital Monitoring for Period Ending 30th June, 2010

- 6.1.1 **Appendix D** provides a summary of the Children's Service's Capital Programme, which includes schemes funded from specific capital allocations and schemes from the revenue budget which are managed as capital projects owing to the nature of the expenditure and the accounting regulations.

- 6.1.2 Actual expenditure to date amounts to £1,885,000, compared to the approved budget of £20,176,000, with £18,291,000 of expenditure remaining.

- 6.1.3 Cabinet has previously approved a strategy for managing the reduction of a range of capital grants including:

- Youth Capital Grant has been reduced by £32,500. This is currently unallocated so this reduction does not impact on existing commitments. The budget has been reduced accordingly.
- Harnessing Technology Grant has been reduced by £208,000. Allocations to schools and the centrally retained fund have been reduced accordingly.
- Extended Schools Capital Grant has been reduced by £52,000. Extended Schools Grant is used to fund the Schools Capital Programme. This reduction can be met by unallocated Schools Capital Funding and does not impact on individual schemes. The budget has been reduced accordingly.
- Sure Start Capital funding has been reduced by £56,000. This amount is uncommitted and will not impact on existing schemes. The budget has been reduced accordingly.

6.1.4 The government is currently reviewing the Playbuilder Grant with the intention of reducing the allocation. The extent of the reduction has not yet been confirmed and action has been taken to ensure the Council has no unfunded costs.

6.1.5 There are no further items to bring to the Portfolio Holder's attention.

7. COMMUNITY SAFETY AND HOUSING PORTFOLIO

7.1 Capital Monitoring for Period Ending 30th June, 2010

7.1.1 **Appendix E** provides a summary of the Community Safety and Housing's Capital Programme.

7.1.2 Actual expenditure to date amounts to £779,000 compared to the approved budget of £5,296,000, with £4,517,000 remaining.

7.1.3 Members should note that there has been a reduction to the Housing Market Renewal grant which has been estimated at £403,000. Final confirmation of this amount is yet to be received. Officers are working on how this funding reduction can be accommodated within the existing programme.

7.1.4 There are no further items to bring to the Portfolio Holders attention.

8. CULTURE, LEISURE AND TOURISM PORTFOLIO

8.1 Capital Monitoring for Period Ending 30th June, 2010

8.1.1 **Appendix F** provides a summary of the Culture, Leisure and Tourism's Capital Programme.

8.1.2 Actual expenditure to date amounts to £206,000 compared to the approved budget of £1,543,000 with £1,337,000 remaining.

- 8.1.3 There are no further items to bring to the Portfolio Holders attention.

9. REGENERATION AND ECONOMIC DEVELOPMENT PORTFOLIO

9.1 Capital Monitoring for Period Ending 30th June, 2010

- 9.1.1 **Appendix G** provides a summary of the Regeneration and Economic Development's Capital Programme.

- 9.1.2 Actual expenditure to date amounts to £52,000, compared to the approved budget of £1,051,000 with £999,000 remaining. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget at outturn.

- 9.1.3 There are no further items to bring to the Portfolio Holders attention.

10. TRANSPORT AND NEIGHBOURHOOD PORTFOLIO

10.1 Capital Monitoring for Period Ending 30th June, 2010

- 10.1.1 **Appendix H** provides a summary of the Transport and Neighbourhood's Capital Programme.

- 10.1.2 Actual expenditure to date amounts to £2,535,000 compared to the approved budget of £12,439,000 with £9,734,000 remaining. An amount of £171,000 relating to future monitoring of the Anhydrite Mine has been rephased to future years. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget at outturn.

- 10.1.3 The Local Transport Plan (LTP) Programme has been reduced by £249,000 as a result of reduced government grant settlement.

- 10.1.4 There are no further items to bring to the Portfolio Holders attention.

11. FINANCE AND PROCUREMENT PORTFOLIO

11.1 Accountable Body Revenue Monitoring for Period Ending 30th June, 2010

- 11.1.1 The Council acts as Accountable Body for New Deal for Communities. Details of progress against the approved revenue budgets are summarised at **Appendix K**.

- 11.1.2 Actual expenditure to 30th June, 2010 amounts to £213,000, resulting in a current favourable variance of £107,000. However, as this is the final year of New Deal for Communities all the available funding will be utilised.

11.1.3 There are no items to be brought to Portfolio Holders attention.

11.2 Capital Monitoring for Period Ending 30th June, 2010

11.2.1 **Appendix I, Table 1 Resources** – Actual expenditure to date amounts to £1,005,000 compared to the approved budget of £5,294,000, leaving £4,289,000 expenditure remaining. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget outturn.

The Vehicle Procurement budget was determined with reference to the replacement of existing vehicles reaching the end of their lease or useful economic life. The Chief Finance Officer and Director of Regeneration and Neighbourhoods are seeking to review the proposal for each new each vehicle to ensure there is a robust business case and will be seeking to achieve savings from this budget

11.2.2 **Appendix I, Table 2 New Deal for Communities** – Actual expenditure to date is £240,000 against an approved budget of £729,000, leaving £489,000 of expenditure remaining. This is not unusual for this time of year.

11.2.3 There are no items to bring to Portfolio Holders attention and expenditure is expected to be on target at year-end.

12. PERFORMANCE PORTFOLIO

12.1 Capital Monitoring for Period Ending 30th June, 2010

12.1.1 **Appendix J** provides a summary of the Performance Capital Programme.

12.1.2 Actual expenditure to date amounts to £42,000, compared to the approved budget of £659,000, with £617,000 remaining. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget at outturn.

10.1.3 There are no further items to bring to the Portfolio Holders attention.

13. RECOMMENDATIONS

13.1 It is recommended that Cabinet notes the contents of the report.

7.2 Appendix A

CAPITAL MONITORING REPORT TO 30TH JUNE 2010

Line No	Portfolio	2010/2011 Budget	2010/2011 Actual to 30/06/2010	2010/2011 Expenditure Remaining	2010/2011 Expenditure Rephased to 2011/2012	2010/2011 Expenditure	2010/2011 Variance from budget Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F	Col. G (G=D+E+F)	Col. H (H=G-C)
		£'000	£'000	£'000	£'000	£'000	£'000
1	Adult & Public Health Services	1,785	84	1,701	0	1,785	0
2	Children's Services	20,176	1,885	18,291	0	20,176	0
3	Community Safety & Housing	5,296	779	4,517	0	5,296	0
4	Culture, Leisure & Tourism	1,543	206	1,337	0	1,543	0
5	Finance & Procurement	5,294	1,005	4,289	0	5,294	0
6	Performance	659	42	617	0	659	0
7	Regeneration & Economic Development	1,051	52	999	0	1,051	0
8	Transport & Neighbourhood	12,439	2,535	9,734	171	12,439	0
9	Total Capital Expenditure	48,243	6,588	41,485	171	48,243	0

7.2 Appendix B

ACCOUNTABLE BODY PROGRAMMES - REPORT TO 30TH JUNE 2010

Line No	2010/11 Latest Budget	Accountable Body Programme	Actual Position 30/06/10			Projected Outturn Variance
			2010/11 Expected Expenditure/ (Income) Col. D	2010/11 Actual Expenditure/ (Income) Col. E	Variance: Adverse/ (Favourable) Col. F = (F=E-D) £'000	
Col. A	Col. B	Col. C	£'000	£'000	£'000	£'000
		<u>TABLE 1 - New Deal for Communities</u>				
1	1,500	Revenue Projects	320	213	(107)	0
2	729	Capital Projects	240	240	0	0
3	2,229	Total NDC	560	453	(107)	0

PORTFOLIO : ADULT & PUBLIC HEALTH SERVICE

+

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 201

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/201 Budget £'000	2010/201 Actual as at 30/6/1 £'000	2010/201 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/201 Total Expenditure £'000	F-B 2010/201 Variance from budget £'000	Type of financing
7441	Adult Education - Neighbourhood Learning in Deprived Communit	4	0	4	0	4	0	GRANT
7531	Adult Education - Office Accommodati	14	3	11	0	14	0	GRANT
7622	Adult Education- Capital Equipment Replacem	37	0	37	0	37	0	GRANT
7983	Blakelock Day Centre Demoliti	85	3	82	0	85	0	caprec
7234	Chronically Sick & Disabled Adaptatio	126	10	116	0	126	0	Mix
8115	Havelock Day Centre - Window Replacem	65	54	11	0	65	0	UCPB
7481	Improving Information Management (IIM) - IT Infrastruct	45	5	40	0	45	0	Grant
7351	Improving Information Management (IIM) - Syste	370	3	367	0	370	0	MIX
7575	Lynn Street ATC Demolitic	11	0	11	0	11	0	RCCO
7385	Mental Health Project	490	0	490	0	490	0	SCE(R)
7723	Resettlement/ Campus Works - Capital Grai	430	0	430	0	430	0	GRANT
8217	Waverley Terrace Community Allotments - Composting Toi	10	0	10	0	10	0	RCCO
7225	Stranton Cemetery Flooding Wor	13	0	13	0	13	0	UDPB
8091	North Cemetery - Improvements to Entrar	26	6	19	0	26	0	UCPB
8100	North Cemetery - Structural Refurbishment to V	60	0	60	0	60	0	UDPB
		1,785	84	1,701	0	1,785	0	

Key

RCCO Revenue Contribution towards Capi
MIX Combination of Funding Type
UCPB Unsupported Corporate Prudential Borrowi
SCE ® Supported Capital Expenditure (Reven

GRANT Grant Funde
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowi
SPB Supported Prudential Borrowir

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A	EXPENDITURE IN CURRENT YEAR							H
		B	C	D	E	F	G		
		2010/2011 Budget	2010/2011 Actual as at 30/6/10	2010/2011 Expenditure Remaining	Expenditure Rephased into 2011/12	2010/2011 Total Expenditure	2010/2011 Variance from budget	Type of financing	
		£'000	£'000	£'000	£'000	£'000	£'000		
7122	A2L Centre - Installation of Porch/Canopy	5	0	5	0	5	0	Grant	
7121	A2L Centre - Paving and Lighting Replacement	8	0	8	0	8	0	Grant	
8075	Aiming High for Disabled Children	143	5	138	0	143	0	Grant	
8175	Barnard Grove - Heating Connect Annexe to KS2	30	0	30	0	30	0	Grant	
8174	Barnard Grove - KS1 Fire Alarm Installation	10	0	10	0	10	0	Grant	
8176	Barnard Grove - Replace Bungalow Floor	15	0	15	0	15	0	Grant	
8177	Barnard Grove - Replace KS2 Roof	72	0	72	0	72	0	MIX	
7109	Brierton - Alterations re Dyke House Decant	560	245	315	0	560	0	MIX	
8117	Brierton Site - Transport Interchange	190	0	190	0	190	0	Grant	
8103	Brinkburn Pool - Access and Hoist	65	0	65	0	65	0	Mix	
8070	Brinkburn Pool - Motorised Pool Cover	1	0	1	0	1	0	SCE(R)	
7344	Brinkburn Pool - Reinstatement of Pool after Fire	1	0	1	0	1	0	Grant	
8178	Brougham - Replace Boiler	110	0	110	0	110	0	Grant	
8139	BSF- Dyke House	2,500	0	2,500	0	2,500	0	RCCO	
8138	BSF- ICT	1,500	0	1,500	0	1,500	0	Grant	
8001	Capital Grants to External Nurseries (Early Years)	190	190	0	0	190	0	Mix	
7032	Carlton Outdoor Centre - Purchase of Minibus	2	0	2	0	2	0	Grant	
7863	Carlton Outdoor Centre - Redevelopment Phase 2 (Works to be	90	1	89	0	90	0	Grant	
8179	Catcote - Replace Boiler	65	0	65	0	65	0	Grant	
7979	Children's Centres - Maintenance	16	6	10	0	16	0	Grant	
7586	City Learning Centre Equipment Purchase	215	106	109	0	215	0	Grant	
7664	Clavering - Create New Foundation Stage Unit	2	0	2	0	2	0	Grant	
8181	Clavering - Replace Boiler House Roof	25	0	25	0	25	0	Mix	
8180	Clavering - Replace Bungalow Heating	5	0	5	0	5	0	Grant	
7491	Clavering - Replace Roof Phase 4 (06/07)	0	0	0	0	0	0	Grant	
7858	Computers for Pupils	91	57	34	0	91	0	Grant	
7384	Devolved Capital - Various Misc Individual School Projects	944	270	674	0	944	0	Grant	
7575	Dyke House ICT Equipment Purchase	73	73	0	0	73	0	Mix	
8097	Early Years (GSSG) Unallocated	6	0	6	0	6	0	Mix	
8089	Education Development Centre - Roof Replacement with enhanced roofing s	23	4	19	0	23	0	Mix	
8055	Education Development Centre - Window Replacement	7	1	6	0	7	0	Grant	
8056	Eldon Grove - Creation of Additional Teaching Space	500	0	500	0	500	0	Grant	
8182	Eldon Grove - Replace Boiler and distribution system	95	0	95	0	95	0	Grant	
8065	Emergency Response - Contingency	20	0	20	0	20	0	Mix	
8092	Fens - Outdoor Educational Area for Foundation Unit	14	0	14	0	14	0	Grant	
9004	Funding (Modernisation, Access, RCCO) Currently Unallocated	307	0	307	0	307	0	Grant	
8093	Golden Flatts - Establish Nurture Area	6	0	6	0	6	0	Grant	
7922	Golden Flatts - Heating distribution system	60	0	60	0	60	0	Mix	
8082	Golden Flatts - Resource Learning Centre	15	1	14	0	15	0	Mix	
8183	Grange - Annexe Fire Alarm Installation	5	0	5	0	5	0	Grant	
8202	Grange -Replace Classroom Annexe	400	0	400	0	400	0	Grant	
7027	Harnessing Technology Grant	372	37	335	0	372	0	Mix	
8059	Hart - Create Multi-purpose Studio	119	1	118	0	119	0	Mix	
8184	Hart - Replace Fence	9	0	9	0	9	0	Grant	
8068	Hart - Replace Fire Alarm System	20	9	11	0	20	0	Mix	
7500	High Tunstall - Refurbish Classrooms / Equipment Purchase	28	0	28	0	28	0	Grant	
8118	Holy Trinity - Outdoor Area	25	25	0	0	25	0	RCCO	
8072	Integrated Children's System Case Management Improvement	45	0	45	0	45	0	Mix	
	Jesmond Rd - Relocate Nursery to form Foundation Unit, installation of								
7533	ramps & internal works	6	0	6	0	6	0	Mix	
7088	Jesmond Road - New Build Primary Capital Plus	3,197	109	3,088	0	3,197	0	Grant	
7469	Kingsley - Extension to School for Children's Centre	14	1	13	0	14	0	Grant	
8186	Kingsley - Replace 1st floor windows	16	0	16	0	16	0	Mix	
8185	Kingsley - Replace Kitchen	46	0	46	0	46	0	Mix	
8120	Lynnfield - Improve Teaching Space	120	0	120	0	120	0	Mix	
7912	Manor - Replace External Doors - Improve Security	3	0	3	0	3	0	Mix	
8203	Owton Manor - Improve Foundation Stage Outdoor area	50	0	50	0	50	0	Grant	
8187	Owton Manor - Replace 1st floor windows	75	0	75	0	75	0	Mix	
7110	Play Builder Grant	599	0	599	0	599	0	Grant	
7437	Playing for Success - Develop New Classroom at Hartlepool United	1	0	1	0	1	0	Grant	
7042	Primary Capital Programme	3,378	0	3,378	0	3,378	0	Mix	
8066	Replacement of Gas Interlocks	30	0	30	0	30	0	Grant	
8060	Rift House - Annexe 2 Heating	17	0	17	0	17	0	Grant	
8119	Rift House - Internal Reorganisation	100	0	100	0	100	0	Mix	
8204	Rossmere - Improve Foundation Stage Outdoor area	15	0	15	0	15	0	Mix	
7088	Rossmere - Primary Capital Plus Refit	1,367	46	1,321	0	1,367	0	Grant	
8188	Rossmere - Replace KS2 Toilets	30	0	30	0	30	0	Grant	
8158	Rossmere Way - New Kitchen	27	0	27	0	27	0	Mix	
7853	Rossmere Youth Centre - Boiler Replacement	55	47	8	0	55	0	Mix	
7421	School Travel Plans - Develop Cycle Storage at Schools	66	0	66	0	66	0	Mix	

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	2010/2011 Total Expenditure £'000	2010/2011 Variance from budget £'000	Type of financing
8116	Springwell - Covered Link Way	22	0	22	0	22	0	Grant
8205	Springwell - Create Enterprise area and Cyber Café	60	0	60	0	60	0	Grant
8069	Springwell - Replace Pool	11	0	11	0	11	0	Grant
8189	Springwell - Roof replacement	40	0	40	0	40	0	Grant
8206	St Helens - Primary Interior Remodel	180	0	180	0	180	0	Grant
8192	St Helens - Replace Corner Posts	25	0	25	0	25	0	Mix
7997	St Hilda - Space to Learn	862	552	310	0	862	0	Grant
7597	St John Vianney Starfish Daycare Outside Play Area	4	0	4	0	4	0	Grant
8207	Stranton - Improve Outdoor Learning Area	22	0	22	0	22	0	Mix
8190	Stranton - KS1 Replacement wiring	23	0	23	0	23	0	Mix
7888	Stranton - Purchase & Install CCTV	2	0	2	0	2	0	RCCO
8125	Stranton - Replace Floor Caretaker's Bungalow	14	8	6	0	14	0	RCCO
8191	Stranton - Replace KS1 Windows	38	0	38	0	38	0	Grant
7763	Stranton - Replace Windows (07/08)	4	0	4	0	4	0	Mix
8023	Sure Start Central - Café Ext to Community Facilities	18	18	0	0	18	0	Grant
7388	Sure Start Central - Improvement Works at Lowthian Road	2	0	2	0	2	0	Mix
8159	Sure Start Central - Outside Classroom	7	7	0	0	7	0	SCE (R)
8023	Sure Start North - Café Ext to Community Facilities	62	62	0	0	62	0	Grant
8193	Throston - Window replacement	80	2	78	0	80	0	Grant
7469	Unallocated - Children's Centre Grant	83	0	83	0	83	0	Grant
8067	Ward Jackson - Creation of Quiet Room	5	2	3	0	5	0	Grant
8194	Ward Jackson - Window replacement	25	0	25	0	25	0	Grant
8208	Ward Jackson -Create Foundation Unit	60	0	60	0	60	0	Grant
8196	West Park - Bungalow Access works	8	0	8	0	8	0	Grant
8195	West Park - Heating distribution Ph 2	28	0	28	0	28	0	UCPB
8209	West Park - Improve Reception class toilet area	10	0	10	0	10	0	UCPB
8199	West Park - Kitchen Replacement	60	0	60	0	60	0	UCPB
8198	West Park - Replace Heating Distribution System	78	0	78	0	78	0	Grant
8197	West Park - Roof Replacement	10	0	10	0	10	0	Grant
7598	West View - Improve / Refurbish Nursery & Reception	11	0	11	0	11	0	Grant
8200	West View - KS1 & KS2 Window replacement	70	0	70	0	70	0	Grant
7463	Youth Capital Fund - Spend to be determined by Young People	19	0	19	0	19	0	Grant
8218	Youth Service Portable MUGA	17	0	17	0	17	0	Grant
		20,176	1,885	18,291	0	20,176	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	2010/2011 Total Expenditure £'000	2010/2011 Variance from budget £'000	Type of financing
7015	Targeted Private Housing Improvements	18	0	18	0	18	0	CAP REC
7083	Hartlepool Business Security Fund	33	17	16	0	33	0	UCPB
7107	Growth Point Funded Housing Projects	413	6	406	0	413	0	GRANT
7218	Mandatory Disabled Facilities Grant	640	51	589	0	640	0	GRANT
7219	Minor Works Grant	70	12	58	0	70	0	GRANT
7220	Discretionary Renovations Grant	367	20	348	0	367	0	GRANT
7230	North/Central - Housing Market Renewal	3,479	674	2,805	0	3,479	0	GRANT
7231	Thermal Housing Efficiency Measures	79	0	79	0	79	0	GRANT
7368	Building Safer Communities	3	0	3	0	3	0	GRANT
7404	Housing Revenue Account (HRA) Residual Expenditure	4	0	4	0	4	0	RCCO
7431	Community Safety Strategy	151	0	151	0	151	0	UCPB
7878	Community Safety CCTV Upgrade	10	0	10	0	10	0	MIX
8155	Preventing Repossession Fund	29	0	29	0	29	0	GRANT
		5,296	779	4,517	0	5,296	0	

Key

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UCPB Unsupported Corporate Prudential Borrowing
SCE @ Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPE Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
8021	Museum of Hartlepool Signage	2	2	0	0	2	0	UCPB
8087	Wingfield Castle Vehicle Deck Replacement	12	0	12	0	12	0	UCPB
8073	Central Library, 1st Floor Lights and Fire Alarm Adaptation	4	0	4	0	4	0	UCPB
8090	Owton Manor Branch Library - Replacement Roof	31	24	7	0	31	0	UCPB
8095	Central Library - Signage	2	0	2	0	2	0	UCPB
8211	Central Library - Boiler Replacement	70	0	70	0	70	0	UCPB
8104	Rossmere MUGA & Skatepark	464	1	463	0	464	0	Mix
7047	Mill House Leisure Centre - Changing Village	121	97	24	0	121	0	MIX
7831	Jutland Road Community Centre - Internal Alterations	1	1	0	0	1	0	MIX
7853	Owton Manor Community Centre - Replace Boiler	35	0	35	0	35	0	UCPB
8019	Mill House Leisure Centre Internal Doors	1	1	0	0	1	0	UCPB
8051	Seaton Carew Community Centre Roof Replacement	9	0	9	0	9	0	UCPB
8084	Mill House Leisure Centre Combined Heating & Power Unit	167	80	87	0	167	0	UCPB
8212	Seaton Carew Sports Hall Roof Replacement	85	0	85	0	85	0	UCPB
8213	Seaton Carew Community Centre Window Replacement	65	0	65	0	65	0	UCPB
8216	Seaton Carew Cricket Club	30	0	30	0	30	0	UCPB
n/a	Skateboard Park	70	0	70	0	70	0	RCCO
7110	Brougham Play Area - Playbuilder	49	0	49	0	49	0	GRANT
7110	Burbank Play Area	11	0	11	0	11	0	GRANT
7110	Burn Valley Gardens	4	0	4	0	4	0	GRANT
7110	Clavering Play Area (Playbuilder)	24	0	24	0	24	0	GRANT
7110	Jutland Road Play Area	23	0	23	0	23	0	GRANT
7110	King George V Play Area	53	0	53	0	53	0	GRANT
7110	Oxford Road Play Area	3	0	3	0	3	0	GRANT
7110	Rossmere Play Area (Playbuilder)	22	0	22	0	22	0	GRANT
7110	Seaton Carew Play Area, Seaton Park (Playbuilder)	13	0	13	0	13	0	GRANT
7110	Town Moor Play Area (Playbuilder)	1	0	1	0	1	0	GRANT
7375	Countryside Development Works	14	0	14	0	14	0	MIX
7382	Greatham Play Area Equipment	9	0	9	0	9	0	MIX
7414	Jutland Road Play Area Upgrade	54	0	54	0	54	0	MIX
7990	Ward Jackson Park Bandstand Shutters	4	0	4	0	4	0	MIX
7992	Grayfields Sports Junior Pitches	76	0	76	0	76	0	MIX
8011	Summerhill CCTV	14	0	14	0	14	0	MIX
		1,543	206	1,337	0	1,543	0	

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MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
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CAP REC Capital Receipt
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SPE Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
7120	Hartlepool Active Response Team Vehicles	9	0	9	0	9	0	MIX
7417	Friarage Field - Building Demolition	5	0	5	0	5	0	RCCO
7866	Friarage Manor House	18	0	18	0	18	0	CAP REC
7895	Industrial & Commercial Business Grants	96	9	87	0	96	0	UCPB
7896	Brougham Enterprise Centre Toilet & Shower Facilities	20	0	20	0	20	0	UCPB
7897	Regeneration Match Funding	358	0	358	0	358	0	UCPB
8076	Wharton Terrace Improvements	16	0	16	0	16	0	MIX
8099	Brougham Enterprise Centre - New Enhanced Windows	89	0	89	0	89	0	UCPB
8107	Acquisition of Crown House	98	2	96	0	98	0	UCPB
8110	King Oswy Shops - Improvements	8	6	2	0	8	0	UCPB
8112	Lower Owton Manor Shops - Improvements	5	0	5	0	5	0	UCPB
8113	Catcote Shops - Improvements	44	35	9	0	44	0	UCPB
8153	Seaside Grant Funding	200	0	200	0	200	0	GRANT
8161	Newburn Bridge - Roofing and Replacement of Doors	85	0	85	0	85	0	UCPB
		1,051	52	999	0	1,051	0	

Key

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UDPB Unsupported Departmental Prudential Borrowing
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CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
7084	Principal Roads Camera Partnership	14	0	14	0	14	0	GRANT
7206	Community Safety Social Lighting Programme	7	0	7	0	7	0	UCPB
7207	Car Parking Security/CCTV	238	2	237	0	239	0	SPE
7222	Minor Works - North Area	86	0	86	0	86	0	MIX
7223	Minor Works - South Area	114	0	114	0	114	0	MIX
7224	Minor Works - Central Area	50	0	50	0	50	0	MIX
7235	Low Floor Infrastructure	33	1	32	0	33	0	SPE
7236	Bus Shelter Improvements	20	0	20	0	20	0	SPE
7237	Cycle Routes (General)	26	15	10	0	25	0	MIX
7240	Hartlepool Transport Interchange	812	434	378	0	812	0	SPE
7241	Pedestrian Dropped Crossing	34	0	34	0	34	0	SPE
7242	Other Street Lighting Improvements	80	0	80	0	80	0	MIX
7244	Travel Plans	20	0	20	0	20	0	SPE
7250	Travel Awareness	19	0	19	0	19	0	GRANT
7252	Safer Streets Initiative	23	0	23	0	23	0	GRANT
7272	Wheely Bin Purchase	45	13	32	0	45	0	UDPB
7424	Pride in Hartlepool	0	0	0	0	0	0	UCPB
7465	Recycling Scheme	0	0	0	0	0	0	UDPB
7499	Contaminated Land - Lithgo Close	68	1	68	0	69	0	MIX
7508	Anhydrite Mine - Derelict Land	171	0	0	171	171	0	UCPB
7541	Safer Routes to Schools	108	0	108	0	108	0	GRANT
7546	Road Safety Education & Training	36	0	36	0	36	0	GRANT
7549	Other Bridge Schemes	110	0	110	0	110	0	SPE
7580	Highways Remedial Works - Marina	4	0	4	0	4	0	TDC
7581	Tees Valley Boundary Signs	3	0	3	0	3	0	GRANT
7644	School Travel Plans	16	0	16	0	16	0	SPE
7645	Local Transport Plan (LTP) General	110	0	110	0	110	0	MIX
7706	Waterproofing Ph2 Multi Storey Car Park	10	0	10	0	10	0	UCPB
7707	HM Other Schemes (non-LTP)	40	0	40	0	40	0	UCPB
7734	Hart Lane/Wiltshire Way Junction Improvements 0708	401	1	400	0	401	0	SPE
7821	Waste Performance Efficiency - Amenity Site	97	18	79	0	97	0	MIX
7835	Primary Health Care Centre Park	18	0	18	0	18	0	CAP REC
7847	Coast Protection - Headland Fencing & Promenade	2	0	2	0	2	0	CAP REC
7852	Highways Improvements - TESCO S106 Expend	0	0	0	0	(0)	0	GRANT
7891	Strategy Study - Seaton Carew	92	13	79	0	92	0	GRANT
7892	Strategy Study - Town Wall	65	24	41	0	65	0	GRANT
7899	Coast Protection 0809	1	0	1	0	1	0	UCPB
7906	Bryan Hanson House On Street Parking	18	0	18	0	18	0	UDPB
7959	Other Walking Schemes	18	2	16	0	18	0	SPE
7961	School 20mph Zones	18	0	17	0	17	0	SPE
7965	Catcote Turning Circle Reconstruction	4	0	4	0	4	0	MIX
7972	Other Traffic Management Schemes	159	8	151	0	159	0	SPE
7973	Other Safety Schemes	29	21	8	0	29	0	GRANT
7999	Marina Way Landscaping	34	9	25	0	34	0	RCCO
8006	Access Road to Briarfields	20	0	20	0	20	0	CAP REC
8015	Tesco New Entrance/Junction/Lights	39	0	39	0	39	0	GRANT
8027	Carriageway Reconstruction John Howe Gardens/Holdforth Road	8	0	8	0	8	0	GRANT
8028	Carriageway Reconstruction Wooler Road Roundabout No 49	24	0	24	0	24	0	GRANT
8033	Resurface Church Square Paved Carriageway	35	0	35	0	35	0	GRANT
8034	Resurface Outside Civic Centre	16	0	16	0	16	0	GRANT
8037	Resurface Catcote Road/Oxford Road/Marlows Road	60	0	60	0	60	0	GRANT
8044	Footway Recon - York Road/Victoria Road/Park Road	31	2	30	0	32	0	GRANT
8045	Footway Recon - Everett Street No 75 to 79	1	1	1	0	2	0	GRANT
8046	LTP3 Development	38	8	30	0	38	0	GRANT
8077	Footpath Resurfacing - Cemetery Road	6	6	0	0	6	0	RCCO
8079	Household Waste Recycling Centre	18	0	18	0	18	0	UDPB
8081	Non Adopted Highway Areas	26	0	26	0	26	0	UCPB
8114	Hartlepool College of FE - Redevelopment	130	130	0	0	130	0	UDPB
8123	Review Strategy Study - North Sands to Newburn Bridge	2	0	2	0	2	0	SPE
8126	Stockton Street Wall	8	0	8	0	8	0	SPE
8127	Charles Street Community Housing	3,869	840	3,029	0	3,869	0	UDPB
8128	Community Housing - Seaton Lane	2,431	900	1,531	0	2,431	0	UDPB
8130	Community Housing - Kipling Road	1,895	79	1,817	0	1,896	0	UDPB
8131	Small Retailers - Partnership Grant	6	6	0	0	6	0	GRANT
8151	Resurfacing Works - Bournemouth Drive	1	1	0	0	1	0	SPE
Various	Carriageway Resurfacing	521	0	521	0	521	0	SPE
		12,439	2,535	9,734	171	12,439	0	

Key

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UCPB Unsupported Corporate Prudential Borrowing
SCE @ Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPE Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

TABLE 1 - RESOURCES

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
7466	Vehicle Procurement	1905	8	1,897	0	1905	0	UDPB
8085	Church Street Offices - Install Electrical Distribution System	55	0	55	0	55	0	UCPB
8102	Church Street - Re-Roof Garage with Enhanced Roofing System	50	34	16	0	50	0	UCPB
8214	Building Management System - Replace Equipment	45	0	45	0	45	0	UCPB
8215	Lynn Street Depot - Work Shops - Replace Roof	50	0	50	0	50	0	UCPB
7091	City Challenge Clawback	229	0	229	0	229	0	MIX
7867	City Challenge Burbank/Murray Street	86	0	86	0	86	0	MIX
8164	Seaton Carew Sports Hall - Replace Heating System	35	0	35	0	35	0	MIX
8165	Stranton Nursery - Replace Boiler	70	0	70	0	70	0	MIX
7532	Local Public Service Agreement (LPSA) 2 Grant	949	659	290	0	949	0	MIX
8132	Relocation of Building Management System Equip to Bryan Hanson House	10	1	9	0	10	0	MIX
7036	Unallocated SCRAP Budget	486	0	486	0	486	0	MIX
8166	Maritime Experience - Replace Boilers	25	0	25	0	25	0	MIX
8167	Automatic Entry Doors - Civic Centre Disability Works	10	0	10	0	10	0	MIX
8171	Footpath Renewal - Grayfields	10	0	10	0	10	0	MIX
8172	Footpath Renewal - Burn Valley	35	0	35	0	35	0	MIX
8173	Voltage Optimisation - Civic Centre	48	47	1	0	48	0	MIX
8162	Footpath Renewals	10	0	10	0	10	0	MIX
7031	Civic Centre - Replace Sprinkler System	2	0	2	0	2	0	MIX
8163	Civic Centre Carpet Replacement - Ground Floor	22	0	22	0	22	0	MIX
7041	Corporate Planned Maintenance Unallocated	42	0	42	0	42	0	MIX
8141	Installation of Electrical Outlets - Bryan Hanson House	20	2	18	0	20	0	MIX
7115	Civic Centre Ramp	29	0	29	0	29	0	MIX
7257	Disabled Adaptations (Various Locations)	111	16	95	0	111	0	MIX
7117	Civic Centre Access Control System	72	0	72	0	72	0	MIX
7119	Demolition of Thorston Grange Old Peoples Home	2	2	0	0	2	0	MIX
7200	Civic Centre Refurbishment	350	94	256	0	350	0	MIX
7111	Stranton Crematorium Roof Replacement	8	0	8	0	8	0	MIX
7781	Renew Boiler and Heating System - Municipal Buildings	85	0	85	0	85	0	MIX
7114	Rossmere Youth Centre - Roof Replacement	63	49	14	0	63	0	MIX
7988	Lynn St Garage - Install Overhead Heaters	6	0	6	0	6	0	MIX
7989	Access System - Municipal Buildings	9	0	9	0	9	0	MIX
8134	Create Interview Rooms - Municipal Buildings	15	14	1	0	15	0	MIX
8136	Removal of Offices - Hanson House	15	4	11	0	15	0	MIX
8137	Removal of Print Room to Civic Centre	10	0	10	0	10	0	MIX
7026	Sir William Gray House - Replace Fire Alarm	50	50	0	0	50	0	MIX
8135	Ramps - Accessibility (Church Street offices)	40	23	17	0	40	0	MIX
8105	Installation of Staff Welfare Facilities (Civic Centre)	18	0	18	0	18	0	MIX
8142	School Kitchen Replacements (Various Schools)	215	0	215	0	215	0	MIX
8133	Removal of Leadbitter Telephone System	2	2	0	0	2	0	MIX
		5,294	1,005	4,289	0	5,294	0	

TABLE 2 - NEW DEAL FOR COMMUNITIES

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
7061	Business Security Fund	2	2	0	0	2	0	NDC
7063	CIA Environmental Improvements	39	0	39	0	39	0	NDC
7038	Opening Doors Phase III	99	24	75	0	99	0	NDC
7050	Osbourne Road Hall	3	0	3	0	3	0	NDC
7051	Voluntary Sector Premises Pool	5	2	3	0	5	0	NDC
7086	Lynnfield Play Area	25	0	25	0	25	0	NDC
65/7070/80	Neighbourhood Management	64	6	58	0	64	0	NDC
7079	Home Improvement Project	316	44	272	0	316	0	MIX
7054	Crime Premises	14	0	14	0	14	0	NDC
8048	NDC Trust III	162	162	0	0	162	0	NDC
		729	240	489	0	729	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPE Supported Prudential Borrowing

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
7635	Intranet Content Management System	3	3	0	0	3	0	MIX
8143	Council Tax Demand Notices	10	0	10	0	10	0	MIX
7468	IT Strategy	500	0	500	0	500	0	MIX
7623	Corporate IT Projects	57	10	47	0	57	0	MIX
7631	Members ICT/Remote Access	5	5	0	0	5	0	MIX
7837	Microsoft Outlook Migration	24	24	0	0	24	0	MIX
7048	Unallocated Health & Safety Issues	60	0	60	0	60	0	MIX
		659	42	617	0	659	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE @ Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPE Supported Prudential Borrowing

ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 30th JUNE 2010

TABLE 1 - NEW DEAL FOR COMMUNITIES

Line No	183) Budget	Description of Best Value Unit	Actual Position 30/6/10			Projected Outturn Variance
			Forecast Expenditure / (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)	
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F (F=E-D)	
	£'000		£'000	£'000	£'000	£'000
1	35	Anti Social Behaviour	9	7	(2)	0
2	20	Back to Work Grant	5	4	(1)	0
3	8	Business Support Manager	10	6	(4)	0
4	115	Children's Learning and Activities project	19	32	13	0
5	60	Communications Project	16	13	(3)	0
6	34	Community Development Work	9	6	(3)	0
7	142	Community Housing Plan Delivery Costs 2008-11	34	0	(34)	0
8	53	Community Learning Centre - Lynnfield	0	0	0	0
9	2	Community Transport	0	0	0	0
10	94	Crime Premises	15	(7)	(22)	0
11	1	Enterprise Support Scheme	0	1	1	0
12	28	Evaluation Project	7	10	3	0
13	10	Family Support	2	2	0	0
14	22	KS3 Sustaining Performance	0	0	0	0
15	15	Longhill - Site Manger	9	10	1	0
16	2	Lynnfield Play Area	0	0	0	0
17	446	Management & Administration	101	66	(35)	0
18	263	Neighbourhood Management Phase II	67	56	(11)	0
19	81	Raising Aspirations	0	0	0	0
20	2	Resident Association Support	1	0	(1)	0
21	2	Resident Steering Group (RSG) Laptops	0	0	0	0
22	45	Selective Licensing in the Private Rented Sector	11	0	(11)	0
23	5	Sustaining Consultancy Fund	1	0	(1)	0
24	14	Youth Enterprise Scheme	4	7	3	0
25	1,500		320	213	(107)	0

CABINET REPORT

6th September, 2010



Report of: Chief Finance Officer

Subject: REVENUE OUTTURN REPORT 2009/2010

SUMMARY

1. PURPOSE OF REPORT

To provide details of the Council's overall Revenue Outturn for 2009/2010.

2. SUMMARY OF CONTENTS

The Detailed Revenue Outturn report covers the following areas:-

- Overview of 2009/2010 Revenue Outturn
- Outturn against Departmental, Corporate, and High Risk Budget Areas;
- Detailed Outturns by Portfolio;
- Outturn Position on Efficiency Saving Targets Identified in the 2009/2010 Budget Strategy;
- Revenue Contributions towards Capital Expenditure
- School Balances as at 31st March, 2010
- Performance against budget pressures treated as contingencies
- Area Based Grant Outturn
- Key Balance Sheet information.

3. RELEVANCE TO CABINET

Cabinet has overall responsibility for the monitoring of the Council's Revenue Budget.

4. TYPE OF DECISION

None.

5. DECISION MAKING ROUTE

Cabinet, 6th September, 2010.

6. DECISION(S) REQUIRED

Cabinet are asked to note the report.

Report of: Chief Financial Officer

Subject: OUTTURN REPORT 2009/2010

1. PURPOSE OF REPORT

- 1.1 To provide details of the Council's 2009/2010 Revenue Outturn.

2. BACKGROUND

- 2.1 The initial 2009/2010 Outturn Strategy was approved by Council on 11th February, 2010 and the final strategy was approved by Cabinet on 24th May, 2010. The reports informed Members that the overall outturn was better than previously reported. The main changes related to the level of corporate and departmental underspends being higher than previously reported and additional one-off benefits.
- 2.2 The approved outturn strategy was reflected in the 2009/2010 Statement of Accounts which was approved by Audit Committee on 25th June, 2010.
- 2.4 This report now provides details of the final outturn position for 2009/2010. Previous monitoring reports integrated both performance information and budget monitoring information. A report on performance against Performance Indicators for 2009/2010 will be presented to Cabinet in September 2010.
- 2.5 This report covers the following headings: -

Section	Heading	Page
3	Revenue Outturn 2009/2010	2 - 8
4	Recommendations	8
Appendix A	Summary Revenue Outturn Report to 31 st March, 2010 by Department	10
Appendices B – H	Revenue Outturn Report to 31 st March, 2010, by Portfolio	11 - 29
Appendix I	Outturn against High Risk Budget Areas by Department	30
Appendix J	Outturn Position on Efficiency Savings/Increased Income Targets identified in the 2009/2010 Budget Strategy	31 - 43
Appendix K	Revenue Contributions towards Capital Expenditure	44 - 45
Appendix L	School Balances as at 31 st March, 2010	46
Appendix M	Performance Against Schedule of Budget Pressures to be Treated as Contingency Items	47 - 51
Appendix N	Area Based Grants	52 - 53

- 2.6 This report will be referred to Scrutiny Co-ordinating Committee on 3rd September, 2010. This arrangement will ensure that Scrutiny Co-ordinating Committee is provided with details of the final outturn as soon as practical.

3. REVENUE OUTTURN 2009/2010

- 3.1 An initial report on the 2009/2010 outturn strategy was approved by Cabinet and Council in February, 2010. The report covered the following key issues: -

- i) the establishment of a specific reserve ring fenced for Looked after Children of £0.25m funded from the underspend on the Children's Services 2009/2010 budget. This reserve will help the Council manage in-year financial risks of higher Looked after Children costs which is a volatile area;
- ii) the establishment of a Strategic Risk Reserve of £2.36m funded from net departmental underspends, the underspend on corporate budgets and the release of monies no longer needed for grant repayment within the Supporting People Reserve. The potential risks to be funded from this reserve are estimated at £4.8m+ and relate to equal pay/equal value claims, income shortfalls, the achievement of salary turnover targets and additional Building Schools for the Future one-off costs

A further report was taken to Cabinet in May, 2010. This indicated that the position for departmental outturns was more favourable and the overall underspend was higher than anticipated. This position reflected a number of factors including higher vacancies arising from the phase implementation of the new management structure changes, lower expenditure across a range of budget areas and favourable outturns on trading activities.

This favourable outturn allowed the allocation of uncommitted one-off resources to support specific one-off expenditure commitments £0.250m for Adult Social Care, £0.200m Older People Risk Reserve, £0.06m Budget Consultation Reserve and £0.055m Core Strategy Inquiry Reserve.

When account is taken of the additional reserves identified above the total resources available for the Strategic Risk Reserve was £2.510m

- 3.2 The above reports provided a strategic overview of the 2009/2010 outturn. This report provides the details of the final outturn position for 2009/2010. This section provides details covering the following areas: -

- Overview of 2009/2010 Revenue Outturn.
- Outturn against high risk budget areas.
- Outturn position on efficiency savings/increased income targets identified in the 2009/2010 Budget Strategy.
- Revenue Contributions towards Capital Expenditure
- School Balances 2009/2010.

- Key Balance Sheet information.

3.3 2009/2010 Outturn

A summary outturn position for the General Fund is detailed at **Appendix A**, which shows £2.510m has been transferred to the Strategic Risk Reserve.

3.6 **Appendix A** is supported by individual detailed outturn statements for each portfolio; as set below:

- Appendix B - Adult and Public Health
- Appendix C - Children's Services
- Appendix D - Community Safety & Housing
- Appendix E - Culture Leisure & Tourism
- Appendix F - Finance & Performance Management
- Appendix G - Regeneration & Economic Development
- Appendix H - Transport & Neighbourhood

3.7 These detailed reports include reasons for the main variances.

3.8 In accordance with the Council's Financial Procedure Rules, transfers to Strategic Risk Reserves have been agreed with the Chief Finance Officer. Details of the contributions to reserves in 2009/2010 are also set out in **Appendix B to H** of this report.

3.9 Outturn against High Risk Budget Areas

During 2009/2010, as well as monitoring budgets by individual departments and corporate budgets at a global level, high risk budget areas were identified and explicitly monitored. The outturn for each high risk budget area is attached at **Appendix I**, which indicates that there are variances on a number of the departmental budgets. These variances have, in part, contributed to the underspend on Departmental budgets.

3.10 Outturn Position on Efficiency Savings/Increased Income Targets Identified in the 2009/2010 Budget Strategy

The table below shows the summary of savings included in the 2009/2010 Budget Strategy. This shows that savings are £151,000 less than expected. A comprehensive schedule is attached at **Appendix J** and further details regarding the overall monitoring position for each Portfolio are set out in **Appendices C to I** of this report.

Department	2009/10 Target	Savings achieved from Efficiency	Variance from 2009/10 Target
	£'000	£'000	£'000
Adult and Community Services	1,010	965	45
Chief Executives	160	103	57
Children's Services	593	629	(36)
Neighbourhood Services	521	436	85
Regeneration & Planning	176	176	0
Total	2,460	2,309	151

3.11 Revenue Contributions towards Capital Expenditure

In accordance with Financial Procedure Rules, departmental outturns reflect a number of contributions towards capital expenditure schemes as detailed in **Appendix K**. These transfers have been agreed by the Chief Finance Officer and are detailed for Members information.

3.12 School Balances as at 31st March, 2010

The level of School Balances has been regularly reported to the Schools' Forum as the level remains high both locally and nationally. It is recognised that schools may hold relatively high levels of reserves for strategic purposes linked to their development plans. Conversely they should not sit on "excessive" high levels of uncommitted balances at the detriment of providing Education services to today's pupils.

3.13 School Balances have decreased during 2009/2010 from £3.882m to £3.559m, a reduction of 8.3%. However, the Local Authority maintains that overall Hartlepool balances are too high and that corrective action is required by a number of schools.

- 17 primary schools plus the nursery have balances which exceed 8% of their current ISB.
- 2 secondary schools have balances which exceed 5% of their current ISB.

3.14 In line with best practice, the Children's Services Department has requested information from schools regarding their planned use of balances this year as discussed and agreed by the Schools' Forum. However, seven schools failed to submit their return by the agreed deadline of 30th June, 2010. Therefore, their outturn balances have been reported as being wholly uncommitted for the purpose of this report. A comprehensive analysis of School Balances is detailed in **Appendix L**. The position is summarised in the table below.

Table – Summarised School Balances 2009/2010

Purpose Held	Primary £'000	Secondary £'000	Total £'000	%of Total
Setting Budget for 2010/2011	399	612	1,011	28.4
ICT Developments	75	135	210	5.9
Premises Improvements	227	0	227	6.4
Capital Projects	266	39	305	8.6
Pupil Number Changes	93	328	421	11.8
Workforce Remodelling	145	167	312	8.8
Long Term Sickness	69	0	69	1.9
Other Specific Purposes	187	55	242	6.8
Sub Total – earmarked	1,463	1,336	2,799	78.6
General	812	7	819	23
Deficit Recovery	(58)	0	(58)	(1.6)
Total	2,217	1,343	3,559	100

3.15 **Performance against Budget Pressures treated as Contingency Items**

Members will recall that as part of the review of budget pressures for 2009/2010, it was determined that a number of pressures are not certain to arise, or the value of the pressure is not certain. These items were therefore classified as “contingency” items and a budget provision was made to underwrite these risks.

3.16 **Appendix M** provides a schedule of these items. The main variance is the £63,000 contingency relating to the ‘provision of capacity to manage the transfer of 16-19 education and training funding to the Local Authority’ which is no longer required in 2009/2010.

3.17 **Area Based Grants**

In overall terms actual expenditure amounted to £12.345m, compared to a budget of £12.697m. The variance has been used to create departmental ring-fenced grant reserves of £0.266m and a corporate ring-fenced grant reserve of £0.128m.

3.18 **Appendix N** provides a detailed outturn by department.

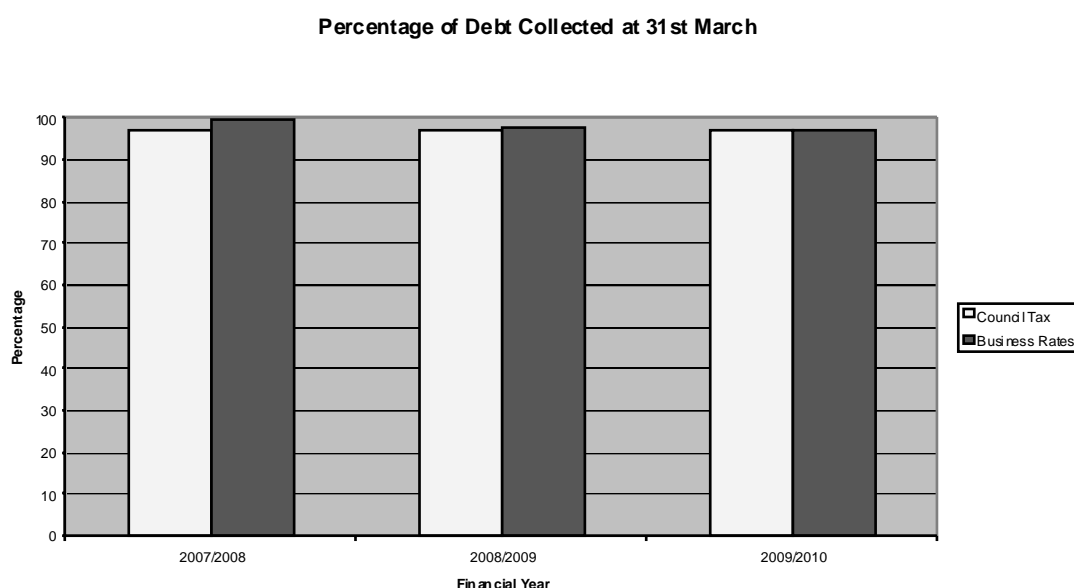
3.19 **Key Balance Sheet Information**

A Balance Sheet provides details of an organisation’s assets and liabilities at a fixed point in time, for example, the end of the financial year or other fixed accounting periods. Traditionally, local authorities have only produced a Balance Sheet on an annual basis and have managed Key Balance Sheet issues through other more appropriate methods. However, under the new CPA arrangements there is a greater emphasis on demonstrating effective management of the Balance Sheet. The Audit Commission’s preferred

option is the production of Interim Balance sheets throughout the year. In my opinion this option is neither practical nor beneficial as a Local Authority Balance Sheet includes a large number of “notional” valuations for an Authority’s fixed assets and pension liabilities. It is therefore more appropriate to monitor the key cash based Balance Sheet items and these items are summarised below: -

- Debtors

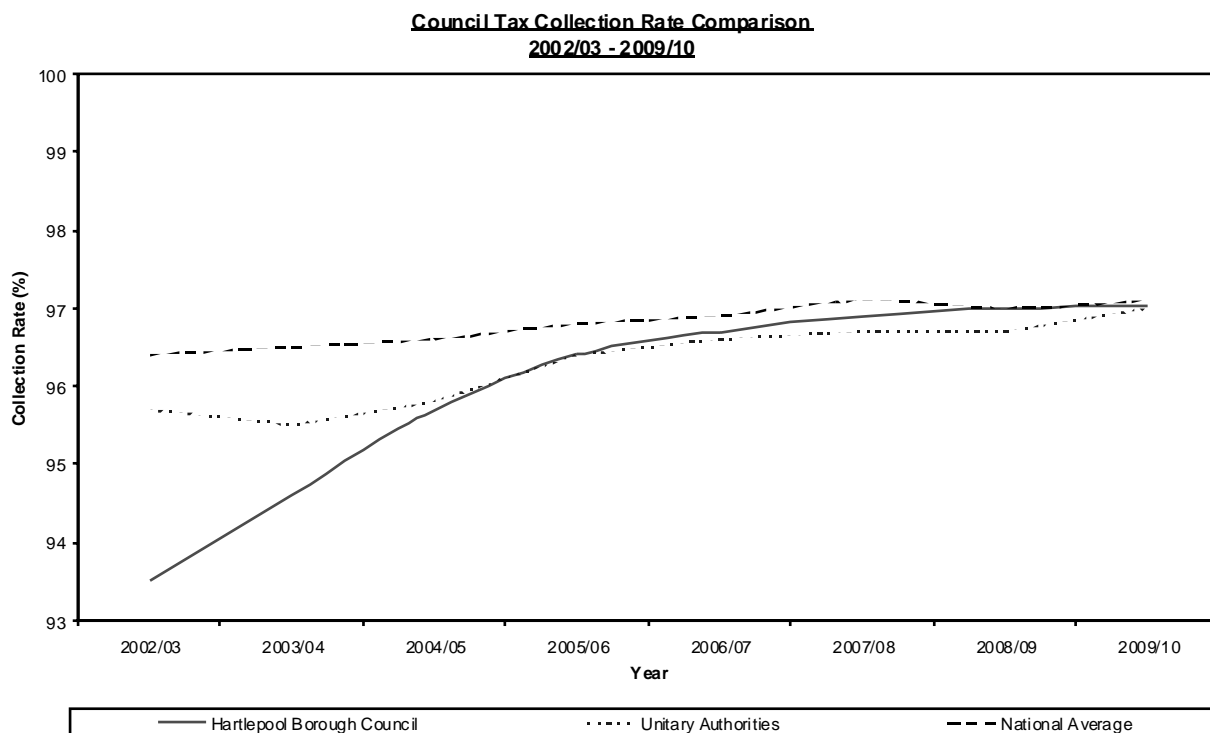
The Council's key debtors arise from the non payment of Council Tax, Business Rates and Sundry Debtors. These areas are therefore subject to detailed monitoring throughout the year. The position on Council Tax and Business Rates is summarised below:



For 2009/2010 the Council Tax in year collection rate has remained the same at 97% and the NNDR in year collection rate is down slightly by 1.1% (to 96.8%) when compared to the previous financial year. The fall in the NNDR collection rate can be explained by the National Business Rates Deferral Scheme introduced by the previous Government in response to the recession. This allowed businesses to defer paying part of their NNDR bill until the following financial year. This deferment equated to 1% of the NNDR liability.

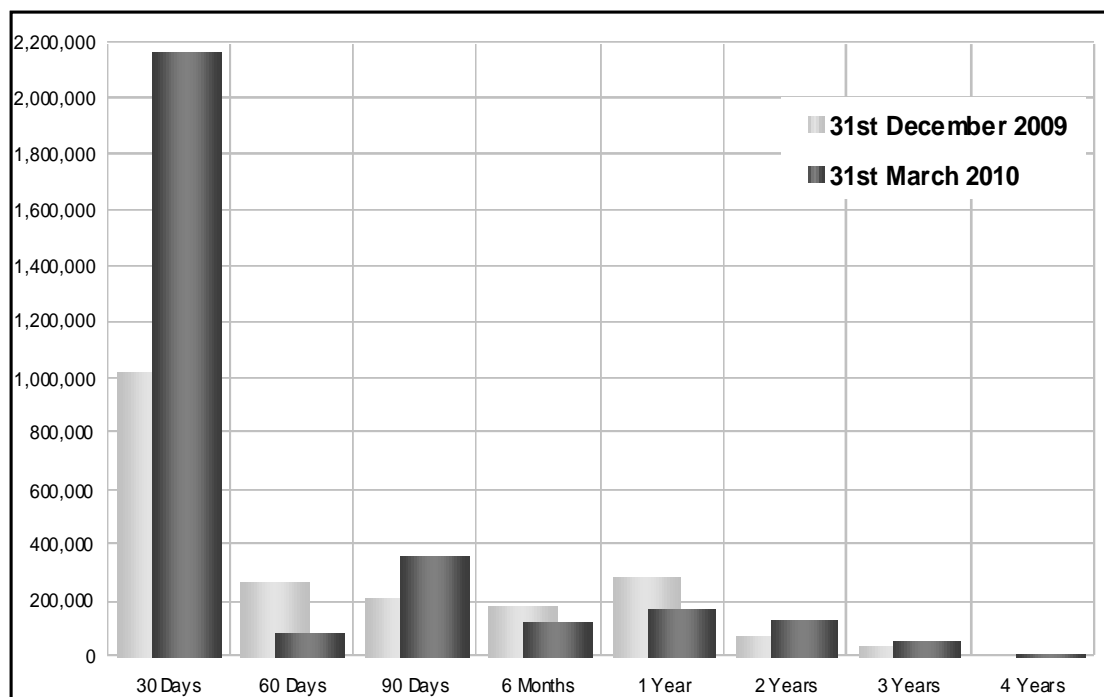
For Members information the following graph details Council Tax collection rates for the period 2002/2003 to 2009/2010 for Hartlepool, Unitary Authority Average and National Average (source of figures is the Department for Communities and Local Government – Collection Rates for Council Tax statistics). The graph shows that over this period Hartlepool has significantly improved its collection performance. Key highlights include the following issues:

- In 2002/2003 Hartlepool's collection rate was below the national and unitary authorities' average.
- In 2008/2009 Hartlepool's collection rate exceeded the national and the Unitary Authorities average.
- Since 2006/2007 Hartlepool's collection performance has consistently exceeded the Unitary Authorities average.



The Council's long term collection performance for Council Tax has been positively maintained at over 99.5%. In 2009/2010, £793,000 of previous year's Council Tax arrears was successfully collected by the Council.

The position in relation to Sundry Debtors is summarised below:



At the start of the current financial year the Council had outstanding sundry debts of £2.575m. During the period 1st April, 2009 to 31st March, 2010, the Council issued 13,675 invoices with a value of £17.509m. Together these two amounts total £20.084m. As at 31st March, 2010, the Council had collected £16.947m. Significantly, by 30th June, 2010, 97% of the sundry debt raised in 2009/2010 (by value) had been successfully collected by the Council.

- Current Year Debt

With regard to current outstanding debt, this totals £2.758m at 31st March, 2010, inclusive of approximately £2.174m of debt outstanding for less than thirty days.

- Previous Years Debt

These debts relate to the more difficult cases where court action or other recovery procedures are being implemented. At 31st March, 2010, debts older than one year totalled £0.379m compared to £0.297m at 31st December, 2010.

- Borrowing Requirements

The Council's arrangement for borrowing accord with the Council's Treasury Management Strategy, which was drawn up to comply with the Code of Practice for Treasury Management in Local Authorities published by the Chartered Institute of Public Finance and Accountancy.

In accordance with this strategy the Council has taken a proactive approach to managing cash investments and debt. During 2009/2010

the Council repaid long term debt of £20m. The necessary liquidity was provided through a combination of reducing investments as they matured and temporary borrowing, pending the maturity of other investments. This strategy reduced external cash investments during a period of market uncertainty. The Council had no investments with Icelandic banks as these organisations were not on the Council's approved investments list. The Council will maintain this position until the current market uncertainty is resolved or long term interest rates are forecast to increase at which stage the Council will reassess its long term borrowing requirement.

4. RECOMMENDATIONS

- 4.1 Members note the report.

Description of Expenditure	Outturn Position		
	2009/10 Approved Budget £'000	2009/10 Actual Expenditure / Income £'000	2009/10 Variance: Adverse/ (Favourable) £'000
<u>Departmental Expenditure</u>			
Child & Adult Services	55,145	54,805	(340)
Chief Executives Department	4,680	4,650	(30)
Regeneration & Neighbourhood Services	24,170	23,942	(228)
Total Departmental Expenditure	83,995	83,397	(598)
Non Departmental Expenditure	9,435	8,023	(1,412)
Dedicated Schools Grant Related Expenditure	59,700	59,700	0
Area Based Grant	12,697	12,697	0
Total Departmental and Non Departmental Expenditure	165,827	163,817	(2,010)
Release of Supporting People Reserve			(500)
Contribution to Strategic Risk Reserve			2,510
Net Contribution to General Fund Balance			0

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/10 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Change in Forecast Outturn (Comments only made on main variances)	Explanation of Change in Forecast Outturn (Comments only made on main variances)
£'000		£'000	£'000	£'000		
21	Adult Education	0	0	0		
4,614	Assessment & Care Management 1	(215)	(288)	(73)	The current favourable variance relates to staff vacancies. The vacancy freeze being operated ahead of business transformation has increased the savings in this area owing to the large workforce. This is not sustainable in the long term but will continue to the financial year end which is reflected in the outturn projection.	Continued staffing vacancies have increased the level of this favourable variance.
2,859	Assessment & Care Management 2	(122)	(215)	(93)	The majority of this variance relates to staff vacancies on hold pending a restructure of this area. It is not anticipated that these savings will continue at the same rate once the restructure is implemented. The outturn projection reflects this.	Continued staffing vacancies have increased the level of this favourable variance.
174	Carers & Assistive Technology	0	(32)	(32)		The favourable variance relates to under spends for telecare equipment.
915	Commissioning - Adults	(120)	(150)	(30)	The current favourable variance relates to staff vacancies and temporary external funding from the PCT and Joseph Rowntree Foundation. The projected outturn forecast reflects the income received and the staff vacancies filled.	
824	Commissioning - Mental Health	200	244	44	As previously reported the current adverse variance relates to continuing increased demand for community based care in this area. A pressure has been identified for this area in 10/11. The outturn variance forecast reflects this continuing trend.	
8,830	Commissioning - Older People	147	157	10	The majority of the current adverse variance relates to continuing increasing demand for transitional and rehabilitation beds. It is expected that this trend will continue and the outturn forecast has been updated to reflect this.	
5,524	Commissioning - Working Age	(145)	(15)	130	This area includes a temporary budget pressure for an individual with complex needs. The case is under review subject to court proceedings. Projected outturn for one individual has been placed in a reserve. This more accurately reflects this temporary funding.	The favourable variance has decreased in this area as the temporary budget for one individual has been placed in a reserve. This more accurately reflects the current position for this best value group and associated activity.
458	Environmental Standards	93	23	(70)	Increased fee income within Consumer Services will offset the projected deficit.	the adverse variance I owing to lower than anticipated income levels in the Cemeteries and Crematorium, and Outdoor markets budgets. This variance has been partly offset by favourable variances in Consumer Services as reported in Quarter 3.
330	Service Strategy & Regulation	0	(10)	(10)		
3,780	Support Services	28	85	57		The adverse variance has increased specifically around Bad Debt provision and higher than anticipated IT charges.
28,323	TOTAL	(134)	(201)	(67)		

ADULT & PUBLIC HEALTH**7.3 Appendix B****REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010****Note 1 - Contributions from Reserves**

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10 Budget Col. A £'000	2009/10 Outturn Col. B £'000	2009/10 Variance: Adverse/ (Favourable) Col. C (C=B-A) £'000	Comments
Commissioning Adults - Communities for Health	120	120	0	
Commissioning Mental Health - Agency	10	10	0	
Commissioning - Older People	0	0	0	
Assessment, Care Mgt & Prov 2	27	0	(27)	Variance refers to slippage in usage for adaptations. Balance to be carried forward and committed to be spent in 2010-11
Carers & Assistive Technology	0	0	0	
Assessment, Care Mgt & Prov 2	65	0	(65)	Variance relates to slippage in planned projects around the implementation of the national Stroke Strategy. The balance to be carried forward and committed to be spent in 2010-11
Commissioning Adults - Supporting People	350	276	(74)	Variance relates to slippage in planned projects proposed and agreed in the 5-year Supporting People strategy. This funding will be required in future years as the projects are implemented and it is requested that any unused balance is carried forward at outturn
	572	406	(166)	

Note 2 - Contribution to Reserves

The above figures include the following Contributions to Reserves:-

Description of Reserve	2009/10 Contribution £'000	Comments
Tobacco Control	165	
Communities for Health	156	
Carer Emergency Respite Care Service	54	
Social Care Reform Grant	271	
Stroke Care (DoH Grant)	21	
Telecare Equipment	60	
Adult Education	145	
50+ Forum (PCT Income)	30	
RCCO for Carers Respite Services	80	These reserves were created from the balance of grant funding and are committed to be spent in 2010-11
Social Care Clients - New Post	47	Contribution received from PCT towards cost of various capital works linked to Carers' Respite Services
Working Neighbourhood Fund	4	Funding obtained through joint working with PCT to cover legal requirements
Renaissance Projects	8	Contribution to Contact Centre Video Interpretation for deaf people.
	8	Renaissance Education Project and Access Core Projects
Sir William Gray House		Match funding for future HLF bid to improve collections storage and facilities
RCCO re CSDP	68	Contribution to capital works in respect of Chronically Sick and Disabled Persons
TOTAL	1,117	

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on main variances)	Explanation of Change in Forecast Outturn (Comments only made on main variances)
£'000		£'000	£'000	£'000		
2,563	Access to Education	280	178	(102)	The current and forecast outturn variances comprise pressures on the Schools Transformation Team budget (£325k adverse outturn projection) mainly arising from the increased need to use legal and other advisors in respect of the ICT and Design & Build contracts and to alleviate school concerns relating to the BSF project. This can be partly offset by savings on departmental staff vacancies and home to school transport costs.	The final overspend was less than anticipated owing to the following factors. A) The Schools Transformation Team overspend was reduced following reductions to external consultant usage B) Demand for home to school transport reduced in the latter part of the year C) Vacancy savings arose in the Attendance Team D) Feasibility study costs were lower than previous years as a result of better capital planning.
1,640	Central Support Services	0	22	22		
89	Children's Fund	0	1	1		
10,954	Children, Young People and Families	(389)	(149)	240	Demand for the external placement of Looked After Children has remained stable throughout (LAC) the year and the need for such placements has been carefully monitored. The contingency provision of £250,000 included within the LAC budget is unlikely to be required this year and in addition, other underspends are projected across the service area. Due to the volatile nature of demand for Children and Family services Cabinet have agreed at their meeting on 8th February 2010 to transfer any year end underspend to the departments LAC reserve to mitigate against in year cost pressures during future years.	Actual spending on children and families was in line with quarter 3 projections. In light of the department's and Council's overall satisfactory outturn position Cabinet agreed that the unspent contingency for additional Looked After Children of £250,000 would be transferred to reserves.
364	Early Years	13	2	(11)		
131	Information, Sharing & Assessment	(14)	12	26		
1,927	Other School Related Expenditure	(34)	(96)	(62)	Savings have been achieved on reduced premature retirement costs and software licences. In addition, the department has not needed any of the 14-19 contingency funding as work to plan the transfer of LSC responsibilities has	The overall underspend was higher than expected owing to the following factors - A) A proportion of school ISB funding was retained as a contingency pending DCSF auditing of the LA's PLASC details. This funding of £32,000 is ring

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

					<p>been absorbed by existing staff. By year end, a proportion of the savings identified above will be required to cover the costs of managing the former Brierton School site whilst refurbishment is taking place and to cover the costs of additional swimming instructors who have been recruited to enhance teaching to pupil ratios following health and safety concerns. As reported at Q2, the department is holding an earmarked proportion of schools funding relating to former Brierton School redundancy and salary protection costs. This Appendix accounts for carry forward of the anticipated overspend against this funding which will be offset by additional funding in future years as agreed by the Schools Forum.</p>	<p>fenced and was carried forward for distribution to schools in 2010/11. B) The department allocated additional funding of £40,000 to offset the costs of operating the former Brierton school site prior to the decant of Dyke House pupils.</p>
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REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget £'000	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3 £'000	Actual - Over/ (Under) spend £'000	Increase/ (Decrease) in total Departmental Overspend £'000	Explanation of Forecast Variance reported at Quarter 3 only made on main variances) (Comments only made on main variances)	Explanation of Change in Forecast Outturn main variances) (Comments only made on main variances)
126	Play & Care of Children	(4)	(36)	(32)		At quarter 3 a shortfall on play and care income was projected although this was expected to be offset by underspends on play development arising from additional Surestart grant. Actual play and care income was however higher at the year end than had been anticipated resulting in a net underspend on these services.
1,536	Raising Educational Achievement	(34)	33	67	Savings relate to staff salary vacancies plus lower demand on departmental budgets for school development and curriculum support.	The movement from a projected underspend to an actual overspend was attributable to the EDC. The centre suffered a loss of income when meeting rooms previously used for meetings were temporarily unavailable whilst the PRU was transferring. In addition caretaking, gas and printing costs were higher than expected.
2,579	Special Educational Needs	(106)	(252)	(146)	Savings relate to vacancies in the Education Psychology team, lower than expected demand for Home and Hospital Teaching and savings on the operating costs of the PRU following transfer to the EDC site.	Savings on home and hospital teaching were greater than projected. In addition both independent and other LA school fees were underspent. These DSG underspends have been carried forward to 2010/11.
928	Strategic Management	(102)	(159)	(57)	There are savings relating to the Central Training budget and reduced demand for CRB checks.	The underspend on the central training budget was higher than expected, partly due to the allocation of additional TDA grant funding. In addition a planned contribution to link social care records to the Document Management System did not arise due to implementation delays. This is now going ahead in 2010/11.
132	Youth Justice	0	(12)	(12)		
1,048	Youth Service	14	37	23		
0	Contribution to Dedicated Schools Grant Reserve	140	292	152	Within the variances described above are net savings on DSG funded services totalling £140k. This saving is ring fenced and will be automatically carried forward to be utilised in 2010/11 subject to consultation with the Schools Forum.	All the additional savings summarised above which arose on DSG funded services (Independent school fees etc) led to a greater net underspend. This has increased the carry forward balance which will be reported to the Schools Forum in October 2010.
24,017	TOTAL	(236)	(127)	109		

CHILDREN'S SERVICES

7.3 Appendix C

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Note 1 - Contributions from Reserves

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10 Budget Col. A £'000	2009/10 Outturn Col. B £'000	2009/10 Variance: Adverse/ (Favourable) Col. C (C=B-A) £'000	Comments
School Transformation Team	975	975	0	
Carlton Outdoor Centre	86	71	(15)	
Early Years Support Network	4	7	3	
Dedicated Schools Grant	355	355	0	
Competitions Manager	0	3	3	
ContactPoint	2	2	0	
Broadband	0	24	24	Expenditure was required in 2009/10.
Teenage Pregnancy Prevention	5	0	(5)	
Swimming Pool Improvements	75	75	0	
Youth Service - Cont to Rossmere				
Skate Park	70	70	0	
Social Care Back scanning Costs	80	80	0	
Dedicated Schools Grant - Brierton				
Salary Protection	290	276	(14)	
Youth Opportunity Fund	4	4	0	
Playing for Success	14	14	0	
Youth	15	0	(15)	Planned Expenditure was funded from existing budgets.
TOTAL	1,975	1,956	(19)	

CHILDREN'S SERVICES

7.3 Appendix C

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Note 2 - Contribution to Reserves.

The above figures include the following Contributions to Reserves:-

Description of Reserve	2009/10 Contribution £'000	Comments
Dedicated Schools Grant	292	The net underspend on DSG funded services is ring fenced and must be carried forward for allocation in 2010/11 subject to Schools Forum notification.
Promotion of Breast Feeding	90	This funding was carried forward to enable a Breast feeding coordinator to be appointed to implement the LA's breastfeeding strategy in 2010/11 and 2011/12.
ContactPoint	6	This was the 5% eligible grant carry forward and will be used in 2010/11 to fund eligible expenditure.
Local Safeguarding Children's Board	16	This is a ring-fenced budget owing to joint funding with other public bodies and funding will be carried forward to finance expenditure in 2010/11.
C&F Donations Account	8	This is a specific fund consisting of donations etc towards looked after children with the balance being carried forward into 2010/11.
Youth Opportunity Fund	8	This was the 5% eligible grant carry forward and will be used in 2010/11 to fund eligible expenditure.
Community Facilities in Schools	100	The LA's subsidy budget was not used during 2009/10 but two school based facilities did generate deficits which are being investigated in the new year. Retrospective LA subsidy funding may therefore be required.
Integrated Workforce Devt	47	Due to early retirement of the Workforce Development Manager the department was delayed in producing a spending plan. CWDC have agreed that funding could be carried forward to 2010/11.
Playing for Success	6	This is the unspent element of match funding towards this scheme which will be carried forward into 2010/11.
Looked after Children	250	As part of its outturn strategy Cabinet agreed that the departments unspent Looked After Children contingency could be carried forward to meet the costs of additional placements in 2010/11.
Children with Disabilities	18	This was the balance of grant remaining which will be used in 2010/11.
Education Business Partnerships	6	
Young People Leaving Care	29	
Early Years Support Network	2	
Info Systems for Parents & Providers	1	
Healthy Eating in EY Settings	5	
Teen/Early Years Life Check	32	
TOTAL	916	

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on main variances)	Explanation of Change in Forecast Outturn made on main variances) (Comments only
£'000		£'000	£'000	£'000		
818	Consumer Services	(112)	(86)	26	The favourable variance is owing to vacant posts (£40k) and higher than expected license fee income (£72K)	
219	Environmental Action	0	5	5		
128	Building Control	80	90	10	Fee income is below target at the end of Qtr 3 as a result of the recession and also because of work lost to private sector inspectors in a competitive market.	
77	CADCAM	0	45	45		
981	Community Safety	12	9	(3)	A combination of small adverse variances in relation to the running costs of the Anti Social Behaviour Unit is expected to lead to an adverse variance of around £12,000 at year end	
314	Community Strategy	0	(14)	(14)		
169	Development Control	(200)	(35)	165	The favourable variance is largely owing to exceptional fee income relating to Wynard Business Park. This income can be taken to the budget in the current year and mitigates lower fees from smaller developments which have reduced owing to the recession.	Actual fee income was in line with quarter 3 projections. Owing to a better than expected Departmental Outturn it was agreed to make a contribution to Reserves to fund the additional costs associated with the large projects over the coming year(s). e.g. Wynyard Business Park.
30	Drug Action Team	0	0	0		
1,042	Housing Services	0	23	23		
370	Landscape & Conservation	0	(22)	(22)		
530	Youth Offending Service	0	3	3		
4,678	TOTAL	(220)	18	238		

COMMUNITY SAFETY & HOUSING

7.3 Appendix D

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Note 1 - Use of Reserves

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10	2009/10	2009/10	Comments
	Budget	Outturn	Variance:	
	Col. A	Col. B	Adverse/ (Favourable)	
	£'000	£'000	Col. C (C=B-A) £'000	
Youth Offending Reserve	35	0	(35)	New additional grant funding replaced the need for this use of reserve.
Capital Funding Reserve	0	27	27	Mainly relates to funding towards the backlog of Disabled Facility Grants
Anti Social Behaviour Team Reserve	9	9	0	
TOTAL	44	36	-8	

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/10 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on only made on main variances)	Explanation of Change in Forecast Outturn (Comments only made on main variances)
£'000		£'000	£'000	£'000		
63	Allotments	0	(11)	(11)		
42	Archaeology	0	(3)	(3)		
785	Community Support	0	(5)	(5)		
958	Museums & Heritage	31	47	16	The current adverse variance relates to unexpected costs in relation to site improvements at Sir William Gray House and the Historic Quay, and underachievement of sales income. As previously reported the projected outturn variance relates to the expected underachievement of income from the Coffee Shop as well as continuing trends. It is not expected that the full building cleaning efficiency will be achieved following further discussions with Neighbourhood Services. It is planned to restrain all other costs to offset this and the outturn reflects this.	
377	Strategic Arts	7	(5)	(12)	The majority of this current adverse variance relates to under achievement of income at the Borough Hall Bar. It is anticipated that this trend will continue to the end of the year based on previous years, the outturn projection reflects this, the situation has improved since last quarter owing to increased lettings of the Borough Hall Buildings.	
406	Countryside	0	(17)	(17)		
174	Foreshore	0	(4)	(4)		
39	Grounds Maintenance	0	(43)	(43)		This favourable variance is linked to the corresponding overspend in Parks where there have been internal recharges
2,010	Libraries	(29)	(14)	15	The current favourable variance relates to held staff vacancies across the Library service pending a restructure. It is anticipated that these vacancies will continue as part of the Service Delivery Option process and Business Transformation. The favourable outturn reflects this.	
308	Maintenance	16	5	(11)	The current adverse variance is owing to increased cyclical maintenance charges in particular at Grayfields and the Headland Sports Hall. The adverse variance is expect to remain until the end of the year and is reflected in the outturn projection.	

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/10 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on main variances)	Explanation of Change in Forecast Outturn main variances) (Comments only made on
639	Parks	0	46	46		This adverse variance is linked to the favourable position in Grounds Maintenance.
0	Tall Ships 2010	0	0	0		
1	Renaissance in the Regions	0	1	1		
1,759	Sports & Physical Recreation	0	14	14		
7,561	TOTAL	25	11	(14)		

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010**Note 1 - Contributions from Reserves**

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10 Budget Col. A £'000	2009/10 Outturn Col. B £'000	2009/10 Variance: Adverse/ (Favourable) Col. C (C=B-A) £'000	Comments
Sports Awards	3	0	(3)	
community Sports Coach DIP	8	2	(6)	
Community Activities - Adults in Sport	22	22	0	
Sports Disability	3	3	0	
Adult Education	20	20	0	
Headland Project 2009/10	1	1	0	
CSC Disability Work	2	2	0	
Public Health Physical Activity	0	8	8	
Swim Development Co-ordinator	4	4	0	
BSF Swim Strategy /Mill House	16	0	(16)	
Mill House	0	4	4	
LPSA Social Inclusion Participation in Sport	12	0	(12)	
Community Grants Pool	50	0	(50)	The balance of this reserve will be utilised in 2010-11 to fund community grants
Museums acquisitions	0	5	5	
Renaissance in the Regions	9	0	(9)	
Civic Lottery	17	17	0	
Tall Ships	0	83	83	This adverse variance will be funded from the overall reserve for the event from 2010-11
Parks - Tree Management	7	4	(3)	
TOTAL	174	175	1	

Note 2 - Contribution to Reserves

The above figures include the following Contributions to Reserves:-

Description of Reserve	2009/10 Contribution £'000	Comments
Youth Support worker in Throston Library	1	Post essential to carry forward Young Persons' activity programme
Archaeology Projects	4	Ongoing externally funded project
Archaeology - Production of Monograph Series	8	Long-term project with external funding
Health Walks	15	Match funding to maintain grant award

CULTURE, LEISURE & TOURISM

7.3 Appendix E

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Creation of Reserve for Grayfields pitch improvements	21	Reserve to ensure completion of work already underway
Staby House works	17	Reserve to mitigate Health & Safety issues
RCCO towards roof replacement Owton Manor Library	6	Essential work required to ensure continuity of service
TOTAL	72	

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on main variances)	Explanation of Change in Forecast Outturn (Comments only made on main variances)
	Admin	0	1	0		
77	Neighbourhood Services Internal Works	(100)	(296)	(196)	At this stage the indication is that the trading accounts are likely to report a favourable variance at year end. This is mainly owing to additional income generation from building works and reduced vehicle financing costs.	The favourable variance is owing to a successful year on some of the Departments trading activities, which includes additional income generation from building works, and a higher than normal level of unscheduled works on Grounds Maintenance. Some of the planned expenditure around Highways was unspent at the year end as a result of the harsh Winter period, and reserves have been created to carry forward this budget to fund works in 10/11.
(118)	Property Services	1	(67)	(68)		The favourable variance is owing to higher than expected income generation from recharges for Technical Officer Salaries.
13	Public Relations	0	0	0		
1	Democratic Services	0	0	0		
4,116	Finance Division	(100)	48	148	Housing Benefit Subsidy £60k - A temporary benefit of £200k was in the base budget proposal for 2010/11; a higher benefit is anticipated on a permanent basis next year and this amount is beginning to flow through this year. Audit £40k - favourable outturn projection owing to a vacant post and limited overtime worked.	Quarter 3 forecasts did not reflect closure of various year end accounts. Closure of these accounts identified a number of adverse variances which have been offset by underspends elsewhere in the Chief Executives department which has a total favourable variance of £30k compared to the £36k projected at quarter 3.
0	Legal Service	0	6	6		
(890)	Shopping Centre Income	0	4	4		
0	Reserve - Shopping Centre	0	(4)	(4)		
110	Registration Services	0	0	0		

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on main variances)	Explanation of Change in Forecast Outturn (Comments only made on main variances)
144	Support to Members	0	2	2		
(110)	Other Office Services	0	(5)	(5)		
112	Printing	0	(4)	(4)		
0	Human Resources	64	45	(19)	It was anticipated that an efficiency saving would be achieved after the implementation of the HR/Payroll system, and although work is progressing on the implementation of the system, the saving will not be achieved until next financial year. There will also be an adverse variance on Counselling Support, which is in turn reducing the sickness absence. It is anticipated that this shortfall can be managed within the overall Chief Executive's Department at year end.	Use of departmental reserves and a reduced adverse variance on the Counselling Support, ensured that there was a decrease in the final adverse variance.
18	Training & Equality	0	1	1		
631	Contact Centre	0	0	0		
67	Administration Buildings Running Expenses	0	(70)	(70)		Favourable variance on Service Charges facilitates the creation of a reserve for future years accommodation costs, with the balance contributing towards the overall Chief Executive's Department favourable variance.
68	Performance Management	0	5	5		
6,155	TOTAL	(135)	(374)	(240)		

FINANCE & PERFORMANCE MANAGEMENT

7.3 Appendix F

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Note 1 - Use of Reserves

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10 Budget Col. A £'000	2009/10 Outturn Col. B £'000	2009/10 Variance: Adverse/ (Favourable) Col. C (C=B-A) £'000	Comments
Contact Centre	69	18	(51)	On-going software maintenance slipped into 2010/11.
Corporate Strategy	250	82	(168)	On-going ICT Developments and support Business Transformation.
Registration Services	29	2	(27)	On-going building work on the Registry Office.
Human Resources	71	13	(58)	Expenditure has been deferred to 2010/11.
HR Payroll System	185	185	0	
Finance	228	221	(7)	
Internal Audit	30	0	(30)	IT Investment to support the move towards remote/site working following strategic review slipped into 2010/11.
Accountancy	34	34	0	
Finance IT Investment	147	85	(62)	Reserve used to support the overall position of the department.
Revenues & Benefits	127	0	(127)	Reserve used to support the overall position of the department.
Financial Inclusion	50	0	(50)	Expenditure to participate in the Financial Inclusion Partnership slipped into 2010/11.
Corporate - Social Inclusion	100	0	(100)	Expenditure to participate in the Social Inclusion slipped into 2010/11.
Corporate - Credit Union	50	50	0	
Corporate - Shopping Centre	150	4	(146)	Reserve to provide for reduced income from Middleton Grange Shopping Centre slipped into 2010/11.
Corporate - Land Charges	120	119	(1)	
Use of Corporate Reserves	37	37	0	
TOTAL	1,677	850	(827)	

FINANCE & PERFORMANCE MANAGEMENT

7.3 Appendix F

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Note 2 - Contribution to Reserves

The above figures include the following Contributions to Reserves:-

Description of Reserve	2009/10 Contribution £'000	Comments
Corporate Strategy	62	Created from under spending in Corporate Strategy to support ICT Developments and Business Transformation which will be used in 2010/11.
Registrars	8	Created from under spending on Registrars to support on-going building work on the Registry Office which will be used in 2010/11.
Internal Audit	5	Created from under spending in Audit to support the move towards remote/site working which will be used in future years.
Accountancy	34	Created from under spending in Accountancy for Agency Staff to support IFRS which will be used in 2010/11.
Revenues & Benefits	50	Created from under spending in Revenues and Benefits for a new scanner, FSM System and a Benefits e-form which will be used in 2010/11.
Accommodation	26	Created from under spending in Accommodation to support future years accommodation costs
Working from Home	16	Created to support the costs of home-working key fobs which will be used in 2010/11.
	201	

REGENERATION & ECONOMIC DEVELOPMENT

7.3 Appendix G

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 only made on main variances)	(Comments only made on main variances)
£'000		£'000	£'000	£'000		
(12)	Administration	0	(9)	(9)		
(1)	Divisional Regent & Planning	0	0	0		
(143)	Regeneration Staff Savings	(12)	(43)	(31)	Staff turnover savings are higher than the profiled budget at the end of Q3 partly as a result of the freezes in recruitment during the year and a chief officer level vacancy	Continued staffing vacancies have increased the level of this favourable variance.
1,330	Economic Development	0	9	9		
1,779	Planning Policy & Regeneration	0	58	58		The adverse variance relates to a reduction in the amount of grant income claimed for admin support.
2,953	TOTAL	(12)	15	27		

Note 1 - Use of Reserves

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10 Budget Col. A £'000	2009/10 Outturn Col. B £'000	2009/10 Variance: Adverse/ (Favourable) Col. C (C=B-A) £'000	Comments
Regeneration Reserve - Specific	73	73	0	Funding of staff costs on some grant funded projects.
Regeneration MRU	16	92	76	The planned use of some of this reserve has been brought forward from 2010/11 to fund an RCCO towards the cost of a capital scheme on Victoria Buildings, part of the 'Townscape Heritage Initiative'. Also an extra 10k used to contribute towards staffing where fee income reduced.
TOTAL	89	165	76	

Note 2 - Contribution to Reserves

The above figures include the following Contributions to Reserves:-

Description of Reserve	2009/10 Contribution £'000	Comments
Ring Fenced Grant Funding	1,181	Relates to various grants and other specific funding carried forward to cover committed expenditure in future years. This reflects timing differences between receiving grant funding and incurring the expenditure, and includes projects which will be delivered over more than one year.
Neighbourhood & Regen MRU	524	Managed Revenue Underspends carried forward to fund future pressures and developments within the Regeneration and Neighbourhood Services Department. Schemes to be funded include Feasibility Studies for new grants/projects £87k, funding to cover timing delays on Coastal Protection work £58k and Contaminated Land £26k, Mobile dust monitoring equipment and staffing £41k, the installation of tracking devices on Neighbourhood Service vehicles £36k, Major Regeneration Fund supporting businesses in Church St Conservation Area £34k, pressures on Housing fee income £40k, and future Building Control costs associated with large developments expected in future years £80k for which fee income has been received.
TOTAL	1,705	

REVENUE OUTTURN REPORT FOR FINANCIAL YEAR 2009/2010

Approved 2009/2010 Budget	Description of Best Value Unit	Forecast Over/ (Under) spend reported at the end of Quarter 3	Actual - Over/ (Under) spend	Increase/ (Decrease) in total Departmental Overspend	Explanation of Forecast Variance reported at Quarter 3 (Comments only made on main variances)	Explanation of Change in Forecast Outturn made on main variances) (Comments only)
£'000		£'000	£'000	£'000		
1,054	Engineering Consultancy	(15)	(36)	(21)		
7,941	Environment	(110)	(279)	(169)	Increased recycling and a reduction in 'residual' waste has resulted in cost savings in the waste management area.	The cost savings in the waste management area have continued. Market conditions have improved and the value received for recyclables and scrap has increased which has resulted in a reduction in the overall cost of waste disposal.
297	Highways Management	0	84	84		The adverse variance is owing to additional staffing costs in the winter maintenance budget including overtime and officers car allowances.
3,107	Highways Services	0	232	232		The adverse variance relates to lower than budgeted \$38 income from developments. This income funds the costs of supervising new developments to ensure Highways standards are achieved before roads are adopted. This income has been affected by the recession and this budget therefore continues to be closely monitored and may face income shortfalls in the future. This current adverse variance has been covered by favourable variances on trading activities elsewhere in the Neighbourhood Services Department.
286	Town Care Management	0	24	24		
14	Traffic Management	0	(1)	(1)		
1,994	Traffic & Transportation	7	27	20	The adverse variance is owing to the provision of the H1 bus service to North Tees hospital	
288	Transport Services	0	(1)	(1)		
408	Transportation Management	37	11	(26)	The adverse variance is owing to reduced fee earning work in this area.	
(857)	Car Parking	260	1	(259)	Car parking income is lower than budgeted because of the economic downturn, bad weather and reduced visitors. In addition, running costs have been exceptionally high as a result of the transition to the new car parking arrangements and increased government regulation in this area. A report is currently being prepared for members to address this issue.	Actual car parking income was in line with quarter 3 projections. The shortfall was funded from a contribution from the Strategic Risk Reserve of £200k in accordance with the agreed Outturn Strategy.
74	Minor Works	0	0	0		
14,606	TOTAL	179	60	(119)		

Note 1 - Use of Reserves

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years. The table below provides a breakdown of these reserves.

Description of Reserve	2009/10 Budget Col. A £'000	2009/10 Outturn Col. B £'000	2009/10 Variance: Adverse/ (Favourable) Col. C (C=B-A) £'000	Comments
Remedial repairs	155	155	0	
Ring Fenced Grants	102	102	0	
TOTAL	257	257	0	

Note 2 - Contribution to Reserves

The above figures include the following Contributions to Reserves:-

Description of Reserve	2009/10 Contribution £'000	Comments
Remedial repairs	313	Amounts set aside for Highways and Building Maintenance to fund remedial works in 2010/11 £113k, and provide match funding for Government funding awarded to address potholes £200k.
TOTAL	313	

High Risk Budget Areas by Department

7.3 Appendix I

Risk Rating

A simplified version of the Risk Assessment criteria used in the Council's Risk Management Strategy has been used to budget risks. This assessment rates risk using the convention of green/amber/red, as defined below, although different of risk within each category have not been defined. The risk assessment helps inform the Council's budget monitoring process as it identifies areas that need to be monitored more closely than other budgets. These procedures help ensure that departments can manage budgets and services within the overall departmental resource allocation and the Councils overall financial management framework, which enable departments to establish reserves for significant risks and to carry forward under and over spends between financial years.

The value of expenditure/income on individual areas, are shown in the table below, along with the current variance to date.

Green - these are unlikely events which would have a low financial impact.

Amber - these are possible events which would have a noticeable financial impact.

Red - these are almost certain to occur and would have a very significant impact. Provision would need to be made for events in the budgets.

Financial Risk	Risk Rating	2009/2010 Budget £'000	Outturn Variance (Favourable) / Adverse £'000
Adult & Community Services			
Demographic changes in Older People	Amber	15,370	157
Demographic changes in Working Age Adults	Red	8,716	(15)
Property Maintenance - Community Buildings	Amber	284	(11)
Non-achievement of Income targets within Community Services	Amber	(1,351)	(249)
Non-achievement of Income targets within Social Care Budgets	Amber	(9,457)	(289)
Total		13,563	(407)
Regeneration & Planning			
Fee Income - Planning & Building Control	Amber	659	(92)
Rent Income - Economic Development Service	Green	175	2
Total		834	(90)
Neighbourhood Services			
Environment, Environmental Action & Town Care Management	Amber	8,446	(250)
Car Parking	Amber	(857)	260
Total		7,589	10
Corporate Budgets			
Higher costs of borrowing and/or lower investment returns	Green	5,804	(1,688)
ICT	Green	2,561	131
Planned Maintenance Budget	Amber	215	0
Delivery of Planned Savings	Amber	2,460	0
Total		11,040	(1,557)
Children's Services			
Individual School Budget	Amber	55,557	0
Individual Pupils Budget allocated during the year to schools for high level SEN pupils	Green	1,628	11
Home to School Transport Costs	Amber	1,516	(59)
Schools Transformation Team (Building Schools for the Future)	Amber	974	304
Carlton Outdoor Education Centre	Red	68	4
Increased demand in places at independent schools for pupils with high level of SEN	Amber	600	(109)
Increased demand for Looked After Children Placements	Red	5,293	(118)
Total		65,636	33

7.3 Appendix J

ADULT AND COMMUNITY SERVICES - PROPOSED EFFICIENCIES

Budget heading / Cost Centre	Description	09/10 Budget £'000	Outturn £'000	Comments
Community Forest	Membership of North East Community Forest ended following merger of NECF with Groundwork Trust . In future work to be bid for on a project by project basis	28	28	Efficiencies were achieved
Grounds Maint Contract 1 and 2	Reconfigure attendant provision at Grayfields and Summerhill at a lower cost than the current contractual Arrangements	13	13	Efficiencies were achieved
Art Gallery Tourist Information	Streamlining of site management rosters and <u>minor</u> adjustments to service opening times, including streamlined private view arrangements.	18	18	Efficiencies were achieved
Art Gallery Maritime Experience Museum of Hartlepool	To get better value from suppliers by reviewing contracts and replacing them with more efficient ways of working.	22	14	£18K of this efficiency relates to a contract with Neighbourhood Services that cannot currently be renegotiated. Vacancies and other efficiencies have been found to offset this situation. The outturn
Libraries General Reference Library	Reduce expenditure on library stock; using internal and external data to enable better and more informed purchasing choices to be made. Stock and community profiling in 2009/10 to help identify local usage and key areas of stock. Benefits; improved stock turn [stock attracts more issues],	15	15	Efficiencies were achieved
Central Library Relief Register	Introduction of RFID ie. self issue & receipt of library books, at the Central Library. RFID agreed by Cabinet and approved by IT Partnership Board subject to further clarification of cost analysis. Benefit: staff released from repetitive and manual tasks to improve customer services.	21	21	Efficiencies were achieved
Community Centres	Restructure of cleaning and caretaking staff within Community Centres to deliver service at lower cost than current arrangements	20	20	Efficiencies were achieved
Community Development	Reduction in printing, training and project development fund budgets whilst maintaining service level.	12	12	Efficiencies were achieved
Borough Building	Refine the working arrangements within the Borough Hall and Sports Centre to maximise targeted activity and use.	20	20	Efficiencies were achieved
Arts Development	Redirect investment in professional artist fees. This includes reduction of budget from Tees Valley investment Fund to allow direct spend in Hartlepool.	10	10	Efficiencies were achieved

Budget heading / Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Support, Time & Recovery Team	Current Support Time and Recovery service over staffed by 2 posts (currently vacant). Reducing this service by these 2 posts will not affect provision and retains the number of staff needed to deliver the service.	39	39	Vacant posts to be removed from structure.
Brooklyn Day Centre	Accessing people to mainstream provision rather than building based statutory provision thereby promoting choice and social inclusion.	5	5	Efficiencies were achieved
Warren Road Day Centre	Reduction in the number of senior link workers to allow a flatter management structure and more flexible working to promote a more modernised and efficient service and release cash for Individual Budgets.	60	60	Efficiencies were achieved
Learning Disabilities SWAT Team	Co-location of LA and NHS Learning Disability teams at Warren Road, enabling efficiencies across rent and utilities.	30	30	Efficiencies were achieved
Sensory Loss Team	Physical Disabilities team to be relocated within locality teams to promote integrated and seamless service provision. Team Manager post, currently vacant, to be disestablished.	45	45	Restructure completed and efficiency achieved.
Sensory Loss Team	Physical Disabilities team to be relocated within locality teams to promote integrated and seamless service provision. Team clerk post, currently filled by temporary postholder, to be disestablished.	20	20	Restructure completed and efficiency achieved.
Warren Road Day Centre	Relocation to share accommodation and thereby reduce costs of rent and utilities by sharing the costs across the LA and NHS.	3	3	Efficiencies were achieved
Learning Disabilities Agency	Use of the fair price tool kit across the Tees region to allow efficient and equitable pricing by reviewing contracts.	30	30	Efficiency achieved through person centred approaches to ensure effective use of resources and maximising of individual benefits in some cases

Budget heading / Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Learning Disabilities Agency	End block contract for respite care beds service and develop alternative, smaller unit with other respite care alternatives in line with personalised services.	50	50	Efficiencies were achieved
Adults Management	Review of planning function to link to wider reorganisation of Adult Social care to ensure more efficient processes.	44	44	Vacant post removed from structure.
Care Management Team 2	Integration of management structures with PCT.	45	45	Restructure completed and efficiency achieved.
Duty Team	Re-alignment of skill mix within Duty team - capacity at first point of contact unaffected.	10	10	Restructure completed and efficiency achieved.
Support Services	Review of divisional admin staff planned for late 2009. Links to wider Business Transformation programme.	37	0	Efficiency on hold pending Business Transformation Review.
Workforce Planning & Development	Changes to deployment of training resources, including possible procurement and partnership gains.	15	15	Efficiencies were achieved
Finance Section	Finance Section receive and manage benefits on behalf of many service users. Departmental Review planned for late 2009, including processes and numbers of referrals.	25	25	Vacancy held to meet efficiency in 09-10 pending review of this area under BT.
Older People Purchasing	Hartfields Extra Care Village to be utilised rather than residential care for older people who require substantial levels of support to remain safe. Improve quality of life. Manage financial resources more effectively.	125	125	Efficiencies were achieved

Budget heading / Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Leisure Centres	Review of Mill House Leisure Centre staffing and rostering arrangements to maximise efficient working.	20	20	Restructure completed and efficiency achieved.
Integrated Care Team 1 and 3	Integration of internal Homecare service and Intensive Support team to create new Direct Care & Support Service. Integration with PCT will support the introduction of Telehealth and offer a more efficient service around rapid response cases. Focussing on early intervention and using specialist workforce to deliver outcomes and transfer less complex work to independent sector.	193	193	Efficiencies were achieved
Occupational Therapy	Redesign of business processes in Occupational Therapy, building on work completed with Care Services Efficiency Delivery programme, and embracing electronic and home working. Improvements in technology and review of skill mix will lead to more robust scheduling at first point of contact.	35	35	Restructure complete and efficiency achieved.
Total for Adult & Community Service:		1,010	965	

CHIEF EXECUTIVES DEPARTMENT - PROPOSED EFFICIENCIES

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Corporate Strategy	A reduction in a variety of operating expenses within Corporate Strategy division including, as a result of reviews of paper circulation, reductions in printing costs	9.1	9.1	
Corporate Strategy	Reviews of consultation activity and changes in practise have resulted in a reduced need for fieldwork activities to undertake scheduled consultation	7.0	7	
Corporate Strategy	Minor reductions in operating expenses	2.5	2.5	
Corporate Strategy	Reduction in printing costs for Corporate Plan as take up of hard copies has reduced significantly in recent years	1.0	1	

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Accountancy	Following the implementation of new Financial Management System and review of working practices a vacant Accounting Technician post can be deleted. Whilst, this proposal will not impact on current operational requirements, it reduces capacity to support non core activities, such as new corporate initiatives, support for departmental finance teams when they have vacancies, or support of new grant regimes.	22.0	22	
Internal Audit	Internal Audit are implementing new audit management software (Teammate) and associated changes to operational practices during 2008/09. These changes will enable a reduction in staffing of 0.3 fte.	7.0	7	
Recovery and Inspection	Increased net income from extension of Internal Bailiff pilot within HBC to cover 3 officers, with bailiff charges accruing to the Council.	41.0	41	
HR	Following the implementation of new HR/Payroll System and review of working practices two currently filled HR Administrator posts can be deleted within 3 months of Phase 1A being tested and implemented. Whilst, this proposal will not impact on current operational requirements, it reduces capacity to support non core activities, such as new corporate initiatives or demands from schools under SLA arrangements.	56	0	Achievement of this efficiency was dependant on the implementation of the HR/Payroll system, which is now in place. However the saving has been delayed until 2010-11, with the shortfall being managed within the overall Chief Executives Department Outturn.
HR	Reduced printing and postage costs arising HR/Payroll system	1	0	As efficiency above.

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Corporate Strategy	A review of operating practices has resulted in the identification of reduced printing and circulation costs and a reduction of 0.5 admin staff	13.4	13.4	
	Total for Chief Executives	160	103	

CHILDREN'S SERVICES - PROPOSED EFFICIENCIES

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Admin	In setting the 2008/09 budget the department had to incorporate £100k for the back scanning of social care records to comply with legislation. This exercise involves temporary staffing and equipment costs and the exercise	100	100	
Premature Retirement Costs	The department is continuing to experience reduced costs on its PRC (Premature Retirement Costs) budget as former employees and their dependents die. Based on current commitments, savings of £30,000 are	30	66	
Youth	A mini restructure as part of integrated working between Connexions and the Youth Service will result in a managerial post being saved. This will release a vacant post yielding a net saving of £40k. There would be no adverse impact on provision for young people.	40	40	
Exmoor Grove	Staffing and premises savings have been identified resulting from changes to the shift patterns and opening hours at Exmoor Grove with no adverse impact on service delivery or children accessing services	90	90	Staff vacancies have ensured that the budget is not overspent.
Resource Centres	Efficiencies from maintenance and building costs associated with family resource centres	20	20	
Admin Support	Reduction in admin support posts across the Children's Services Department through rationalisation of service and maximising potential benefits of current vacancies	54	54	
School Improvement Partners	Review arrangements in relation to School Improvement Partners and Ofsted inspections to maximise income and reduce costs	12	12	
Co-ordinators	Deletion of Outdoor Education Co-ordinator post	40	40	
School Development & Curriculum	This budget is used to support "one-off" initiatives and to help those schools in challenging circumstances. Deletion of this funding may increase the risk of schools moving into Ofsted or cause for concern category and/or pupil performance declining.	40	40	

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Pupil Support	Further reduce the subsidy paid to support attendance at Lanehead and Carlton Outdoor Centres by pupils other than those from low income families. Hartlepool currently provides higher subsidies than Middlesbrough and Redcar and Cleveland Councils although the gap narrowed as a result of the 2008/09 budget.	5	5	
Admin Support	Reduction of admin support posts across the Children's Services Department through rationalisation of service.	126	126	Overall savings have not been fully achieved. A virement from the departmental contingency for the balance of £16k has ensured that the savings have been achieved within the service.
Primary Swimming	Savings could be made from the use of swimming pools and rationalisation of staff employed to deliver the primary swimming programme. This could involve redundancy costs and/or one off costs to	10	10	As no savings have been achieved following the delayed revision of working arrangements, a virement from the Directorate contingency was approved. As a
Commissioning	Efficiencies could be realised via improved commissioning and procurement practice with external suppliers of daycare.	26	26	
	Total for Children's Services	593	629	

NEIGHBOURHOOD SERVICES - PROPOSED EFFICIENCIES

Budget heading/Cost Centre	Description	09/10 Budget £'000	Outturn £'000	Comments
Pride in Hartlepool	External Sponsorship for Pride in Hartlepool	5	5	Tidy Britain paid for advertising
Consumer Services	Savings in licensing operations based on income predictions / operational needs	20	20	on target
Environmental Standards	Additional income by increasing burial and cremation charges by 10%.	55	0	Did not achieve Target 10% increase not implemented
Property Services	Restructure building maintenance and management section	30	30	post redundant - will be achieved
Road Safety	Advertising and marketing within road safety section	5	0	Difficulty in obtaining sponsorship/income generation owing to economic climate
Environment	Rationalisation of supervision of weekend working	10	10	on target
Environment	Reduction of end market costs for the recycling of plastic and cardboard	20	20	on target
Environmental Action	Fine income generation through the introduction of dog control orders	10	10	on target
Neighbourhood Management	Restructure savings within Neighbourhood Management	86	86	redundancies achieved - on target
Overall Budgets	Cash freeze a range of budget headings at 2008/09 level	25		on target
N/S facilities management	Reduction of one further member of FM team dealing with schools. Minimal risk	30	30	post redundant - will be achieved
Admin Civic	Reduction in administrative IT support saving in one post	21	21	post redundant - will be achieved
Grounds Maintenance	Rationalisation of supervisory arrangements resulting in a reduction of two one posts	24	24	post redundant - will be achieved
Consumer Services	Reduction in one technical officers post, Low impact work can be absorbed by current team	16	16	post redundant - will be achieved
Markets	Markets supervision function to be transferred to neighbourhood management. Saving one part time post	11	11	on target although market rent income was not achieved
Street cleansing	Modernisation of Cleansing and grounds maintenance function resulting in savings on vehicle usage	19	19	on target
Property Services	Reconfigure property management service with retirement of staff member	10	10	retirement and subsequent restructure achieved
Engineering consultancy	Removal of Principal Engineer post as part of the exercise to combine Building and engineering consultancy divisions. This achieved through normal retirement	18	18	Retirement of Chief Engineer in October
Engineering consultancy	Combining building consultancy and engineering consultancy will result in the reduction in one managerial post. Minimal risk.	30	30	Building & Engineering Consultancy not combined. Alternative Business Transformation savings achieved
consumer services	Reduction in one technical officers post, will require additional training within current team	26	26	on target
Admin BHH	Transfer of one member of admin team to NDORS function. This will be externally funded	20	20	transfer complete
Dial a ride	Increase charge by 50p per journey which will generate £15,000. A further £15,000 will be achieved from expenditure efficiencies on this service.	30	30	Efficiency target achieved- grant income and raising fares by 50p yielded £14K.
	Total for Neighbourhood Services	521	436	

REGENERATION AND PLANNING _ PROPOSED EFFICIENCIES

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Economic Development: Contribution to Sub Regional Partnerships	Reduction in the HBC contribution to the Joint Strategy Unit. It is expected that the JSU will once again reduce their budget to reflect the national cashable efficiency target. The final saving will depend on the inflation factor used and population statistics applied by the JSU but a reduction in the region of £5,000 could be possible with no effect on the	5	5	
Housing Advice / Private Sector Housing	Reduction of a number of supplies and services headings within the Housing Division's budget. A number of minor budgets can be reduced or removed which would together generate a small scale efficiency without a	15	15	
Community Strategy	Reduction in several supplies and services headings within the Community Strategy Division's budget. A number of small budgets can be reduced which would generate a small scale efficiency with a limited	3	3	
Admin	Reduction in several supplies and services headings within the Support Services Division's budget. Several budgets can be reduced which would generate a small scale efficiency with only a minimal affect on the	5	5	
Planning Policy and Regeneration Management	A mini restructure within the Planning Policy and Information Team and reduction in budget for supporting the production of Local Development Framework (LDF) related documents by the team and any associated research / consultancy support. This does carry some risk to the delivery of a statutory process but nevertheless is deemed manageable within	10	10	
Inflation Freeze	An inflation freeze imposed on various non contractual budget headings. It is proposed to manage a number of headings without implementing a 2.5% inflation allowance. It is felt that such a freeze could be implemented without a major negative affect on departmental services.	9	9	
Youth Offending	YOS Commissioning: Youth Offending Service (YOS) provides a comprehensive service to young offenders, and also works with their family and victims. Several services are provided by the voluntary sector, and the Service Level Agreements have been re-negotiated on an annual basis. A programme to re-commission these services will be developed for 2008-2010. Specifications will be reviewed following consultation with service	4	4	

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Youth Offending	YOS Sessional Workers: The Youth Offending Service requires a pool of sessional workers, with different skills, knowledge and experience to support the full-time staff with their supervision of young offenders. Sessional workers have a contract with HBC which allows them to work flexibly, to suit the requirements for each individual young offender. They are not contracted to work fixed hours per week and are paid by the hour. This proposal will change the funding for sessional workers from HBC mainstream budget to a grant budget. All other arrangements will remain the same.	10	10	
Community Safety	Cost of Accommodation. HBC currently supports the Police occupation at 6 of the 7 local offices by funding (or contributing to) the rates, repairs and maintenance and rent (where appropriate) of these buildings. One of these buildings (9 Church Street) is however shortly to be vacated by the Police and it is proposed to accommodate the Partnership's Reducing Re-offending Team within this office. Contributions from the Drug Interventions Programme and Probation towards the running costs of the building will result and consequently reduce the cost to the authority.	10	10	
Youth Offending	YOS Admin Post: Due to a full-time vacancy arising with the YOS, a review of the admin capacity has been undertaken and an efficiency saving of 0.5 FTE can be achieved.	10	10	
Admin	Reduction in staffing resources within the Support Services Division. Further work would be required to identify the most appropriate course of action to achieve this efficiency although there appears to be an opportunity (albeit fairly limited) to do this without negatively impacting on existing permanent employees. This would however increase the pressure on team members who at the start of 2007/08 began to support the newly transferred Housing Division with no additional resource.	7	7	
Strategic Housing	Reduction in the budget for research activities and specialist studies on Housing. Ongoing specialist work is required to statutorily assess housing needs for the council's housing and planning strategies and to support bids for funding. This proposed reduction does carry risk of the authority failing to adequately identify or respond to local need in statutory services. Some mitigating measures exist through the continuing work with other authorities at the sub regional and regional level and the introduction of Choice Based Lettings will contribute to our understanding	10	10	

Budget heading/Cost Centre	Description	09/10 Budget £000	Outturn £'000	Comments
Development Control	Development Control fee income: projected fee income increase reflects increased fee rates, widened scope of charging for applications (including related to discharging of conditions) and projected level of future applications, based on patterns over 2007-8, 2008-9 to date and assumptions based on known schemes in the pipeline. Such increase would reduce the net cost of the DC service, whilst allowing the maintenance of existing level of service and performance (which contributes towards level of Housing and Planning Delivery Grant received). Fee income level is monitored throughout the year and overall service budget will be managed to take account of any variance from projected fee income level. There is however RISK attached to this proposed efficiency in view of the reliance on external factors and in	20	20	
Major Regeneration Projects	Major Regeneration Projects: A reduction on this budget heading would be necessary to meet a 3% efficiency saving target. The budget is used primarily to support the Victoria Harbour programme and as such is a high priority. There is a risk of not securing grant funding as a result of this reduction and the lower resource level may slow the momentum of	20	20	
Economic Development Business Grants	Business Grants: proposed reduction in budget relies on reinforcing close working relationship with Business Link North East, One NorthEast and other business support agencies and maximising on signposting/referring business applicants to other sources of finance, with reduced call on Council grant funds. Risk of such a reduction however is that it may undermine the incubation strategy and efforts to promote business start-ups and growth, thereby affecting LAA/MAA targets especially in the	28	28	
Economic Development Marketing	Marketing budget: proposed reduction in budget relies on Council being able to benefit from increased levels of awareness-raising, marketing and positive PR generated via other means and agencies, e.g. One NorthEast's Regional Image Strategy, Tall Ships' Race-related PR, property developers' marketing. Risk of such a reduction however is that such other activity is beyond Council control and cannot be guaranteed. There is a case for actually <u>increasing</u> marketing activity related both to property investors/developers/ businesses and to tourists/visitors, given that Hartlepool has an expanding "product" to market, e.g. business units at Queen's Meadow, Tall Ships' Race and potential investment	10	10	
	Total for Regeneration & Planning	176	176	
	Overall Total	2,460	2,309	

Revenue Contributions Towards Capital Expenditure

7.3 Appendix K

Department	Scheme	Amount (£)
Chief Executive's	Public Consultation & Complaints and Scrutiny contribution to Eclipse IT System	40,000
Total		40,000
Adult & Community Services	Sir William Grey House Disability Improvements	628
	Museum of Harlepool - Redisplay	11,524
	Hartlepool Maritime Experience Lift	610
	Headland Community Resource Centre	11,569
	Ward Jackson Park CCTV & Light	3,000
	Ward Jackson Park Fountain	978
	Grayfields CCTV	2,160
	Foreshore - Replacement Lifeguard Vehicle	14,000
	Burn Valley Park Beck - River Corridor Enhancement	20,000
	Town Moor - Develop Multi Use Games Area	17,060
	Burn Valley Allotment Security Gate	680
	Station Lane Allotments Security gate	960
	Station Lane Allotments Security gate	85
	Central Library RFID Self Issue	84,668
	Headland Community Resource Centre	9,817
	Mill House Leisure Centre Feasibility	7,293
	Town Moor - Develop Multi Use Games Area	16,000
	Chronically Sick & Disabled Adaptations	66,974
	Central Library Disabled Toilet	4,901
	Masefield Road (NDNA Accommodation)	100,000
Total		372,906

Revenue Contributions Towards Capital Expenditure

7.3 Appendix K

Department	Scheme	Amount (£)
Children's Services	Rossmere Skatepark & MUGA	70,000
	Integrated Children's System Improvements	11,214
	Brinkburn Swimming Pool Access Works and Cover	74,971
	DSG Contribution to Schools Capital Programme	679,034
	2008/09 DSG u/s - Contribution to Schools Capital Programme	355,400
	Sure Start Contribution to Throston Children's Centre	7,784
Total		1,198,403
Neighbourhood Services	Community Safety CCTV Upgrad	3,048
	Disabled Facility Grants	23,741
	Hartlepool Active Response Team Vehicle	27,678
	Enterprise Centre Toilet & Shower Facilities	9,201
	Footpath Resurfacing - Cemetery Road	33,025
	Coast Road Pedestrian Fence	6,400
	Construction of New Saltbarn	31,680
	HCFE College Redevelopment	61,683
	Rift House Street Lighting	2,500
	Stockton Street Wall	25,000
	Marina Way Landscaping Works	47,073
	Victoria Buildings Grants	66,000
	Wharton Terrace Improvements	24,300
Total		361,329

SCHOOL BALANCES SUMMARY 2007/08 - 2009/10

SCHOOL	Recent Trends			Analysis of balances as at 31.3.10				
	Balance as at 31.03.08 £	Balance as at 31.03.09 £	Balance as at 31.03.10 £	Deficit Recovery £	Earmarked balances £	General reserves £	Total 2010/11 ISB £	General reserves as % of budget share %
PRIMARY								
Barnard Grove	57,866	96,305	45,432	0	0	45,432	1,097,571	4.1%
Brougham	176,568	79,014	100,199	0	98,357	1,842	1,065,301	0.2%
Clavering	(7,425)	(28,950)	35,571	0	0	35,571	915,050	3.9%
Eldon Grove	89,369	141,114	115,165	0	60,000	55,165	1,413,205	3.9%
Elwick	132,662	80,666	73,640	0	0	73,640	348,934	21.1%
Fens	195,819	207,899	140,067	0	97,037	43,030	1,235,438	3.5%
Golden Flatts	32,486	28,925	74,248	0	61,732	12,516	761,752	1.6%
Grange	158,728	99,724	75,067	0	25,700	49,367	1,487,865	3.3%
Greatham	59,925	53,379	89,955	0	70,000	19,955	428,019	4.7%
Hart	42,139	24,375	38,536	0	0	38,536	360,820	10.7%
Holy Trinity	89,754	38,924	59,028	0	34,446	24,582	649,942	3.8%
Jesmond Road	38,399	82,861	123,413	0	123,413	0	1,073,587	0.0%
Kingsley	99,723	235,800	186,060	0	186,060	0	1,602,913	0.0%
Lynnfield	27,239	46,639	29,638	0	0	29,638	1,214,088	2.4%
Owton Manor	69,170	20,220	42,533	0	42,533	0	929,758	0.0%
Rift House	27,126	45,546	40,150	0	18,829	21,321	641,089	3.3%
Rossmere	7,960	42,171	72,195	0	35,910	36,285	1,185,702	3.1%
Sacred Heart	126,538	112,511	76,663	0	0	76,663	1,380,032	5.6%
St Aidans	58,014	35,888	70,598	0	45,091	25,507	1,011,899	2.5%
St Begas	(38,631)	(81,639)	(58,139)	(58,139)	0	0	553,339	0.0%
St Cuthberts	32,959	42,221	35,761	0	3,500	32,261	879,290	3.7%
St Helens	52,923	70,803	93,697	0	58,000	35,697	920,753	3.9%
St John Vianney	95,642	52,415	90,149	0	90,149	0	762,590	0.0%
St Josephs	135,424	98,831	21,840	0	0	21,840	627,667	3.5%
St Teresa's	70,971	110,400	74,297	0	58,000	16,297	977,322	1.7%
Stranton	83,919	1,255	31,378	0	0	31,378	970,438	3.2%
Throston	103,729	151,496	99,770	0	95,147	4,623	1,209,060	0.4%
Ward Jackson	137,523	99,404	75,155	0	67,027	8,128	550,351	1.5%
West Park	104,013	75,213	70,696	0	32,231	38,465	986,535	3.9%
West View	100,750	136,964	74,476	0	74,476	0	1,187,015	0.0%
Total Primary	2,361,282	2,200,374	2,097,236	(58,139)	1,377,637	777,738	28,427,325	2.7%
SECONDARY								
Brierton	652,674	132,266	0					
Dyke House	356,533	807,691	421,214	0	421,214		4,766,843	0.0%
English Martyrs	244,564	126,886	120,814	0	120,814		7,383,336	0.0%
High Tunstall	296,482	182,612	458,055	0	458,055		5,227,926	0.0%
Manor College	(215,833)	94,684	235,544	0	229,000	6,544	5,180,475	0.1%
St Hilda's	304,846	271,336	106,909	0	106,909		3,802,685	0.0%
Total Secondary	1,639,266	1,615,475	1,342,536	0	1,335,992	6,544	26,361,265	0.0%
SPECIAL								
Catcote	2,158	27,434	711	0	711	0	1,806,332	0.0%
Springwell	104,304	24,330	81,659	0	47,500	34,159	1,169,840	2.9%
Total Special	106,462	51,764	82,370	0	48,211	34,159	2,976,172	1.1%
NURSERY								
Seaton Nursery	15,992	14,157	37,124	0	37,000	124	227,008	0.1%
Total Nursery	15,992	14,157	37,124	0	37,000	124	227,008	0.1%
TOTAL BALANCES	4,123,002	3,881,770	3,559,266	(58,139)	2,798,841	818,564	57,991,770	1.4%

7.3 Appendix M

Adult and Community Services - Contingency

Budget heading/ Cost Centre	Cost Centre Code	Description	Risk Probability	Value included in contingency (based on risk probability)	Outturn Use of Contingency	Comments
Sport & Recreation	12210	Launch of Government's free swim initiative consists of 2 elements - Over 60's for which the available funding is known and for under 16's, available funding currently unknown. We will not be made aware of the funding until late Autumn but in the information available to date, there is a hint of some element of match funding being required. If we decide not to go ahead with the initiative, we will not be able to access the capital funding also available to improve swimming pool provision.	Low	10	0	Reduced take up of this scheme by other LA's has resulted in Hartlepool's settlement being greater than anticipated. It is not expected that this contingency will be required at this stage.
Older People	17001	Abdiel Centre - risk of closing owing to Extra Care scheme at Derwent Grange - possible displacement of individuals resulting in similar circumstance to closure of St. Cuthbert's	High	45	45	Contingency fully utilised for day centre placements within this cost centre
		Total Contingency		55	45	

7.3 Appendix M

Chief Executive's Department - Contingency

Budget heading/ Cost Centre	Cost Centre Code	Description	Risk Probability	Value included in contingency (based on risk probability)	Outturn Use of Contingency	Comments
External Audit	23581	Increase in External Audit fees arising from implications of IFRS (as described above). External Auditors will review progress in preparing for IFRS as part of 2009/10 audit work..	Very Low	11	0	There has been no indication from the Audit Commission that fees will be increased.
		Total Contingency		11	0	

7.3 Appendix M

Children's Services - Contingency

Budget heading/ Cost Centre	Cost Centre Code	Description	Risk Probability	Value included in contingency (based on risk probability)	Outturn Use of Contingency	Comments
Lifelong Learning	22463	Provision of capacity to manage the transfer of 16-19 education and training funding to the local authority. This is required to ensure that effective planning can be put in place to ensure sufficient places for young people can be commissioned from 2010. Funding would provide for a lead officer and a project officer.	Medium	63	0	This contingency has been deferred until 2010/11.
Community Facilities	Various	A review of the sustainability of Community Facilities operating from schools is under review as requested by the Children's Services Scrutiny Forum. Some facilities are operating at a deficit and it is unlikely that they will be able to generate sufficient income to break even. After maximising access to early years and Standards Funding available to schools it is likely that annual subsidies of between £100,000 and £200,000 per year will be required.	Medium	42	42	A Reserve was created at year end for this £42k as there may be a requirement to utilise some or all of this to fund Community Facility deficits brought forward from 2009/10 and/or during 2010/11.
Total Contingency				105	42	

7.3 Appendix M

Neighbourhood Services - Contingency

Budget heading/ Cost Centre	Cost Centre Code	Description	Risk Probability	Value included in contingency (based on risk probability)	Outturn Use of Contingency	Comments
0		This increase is almost certain because of the collapse in the recycling market	High	30	30	Recycling market has reached a low and is not anticipated to recover in the immediate future.
Recycling		Household Waste Recycling Centre Contract out to tender this financial year. Expected contract rates will increase.	High	43	43	Tender price was as high as anticipated therefore funding will be required.
		Total Contingency		73	73	

7.3 Appendix M

Regeneration and Planning - Contingency

Budget heading/ Cost Centre	Cost Centre Code	Description	Risk Probability	Value included in contingency (based on risk probability)	Outturn Use of Contingency	Comments
Straightline Project	12107	Straightline Project. This is an alcohol awareness project for young people either found in possession of alcohol by the Police or who are identified by other agencies. This successful and well regarded project is funded from LAA Reward Grant. Continued funding will depend on the public's perception of two elements of anti social behaviour (i) teenager hanging around (ii) rowdy and drunken behaviour. This reliance on public perception for future project funding poses some risk and it is proposed a contingency arrangement is considered.	Medium	21	21	
Community Safety	12064	Monitoring of CCTV cameras is currently undertaken by Housing Hartlepool, under a Service Level Agreement, which comes to an end in March 09. Costs associated with the SLA are historical, dating back to the mid 1990s, when the council took over the responsibility for CCTV from the Police. The current arrangement with Housing Hartlepool is inextricably linked to the services they provide for Telecare and community alarm monitoring, as part of the Supporting People programme. The monitoring centre staff also provide the Council's emergency and out-of-hours contact point. CCTV monitoring costs paid by the Council do not currently cover Housing Hartlepool's overheads costs for the monitoring centre. The budget increase would cover these overhead costs and ensure continued arrangements for all Council services currently provided from the centre. The increase for 09/10 has been based on a tendering exercise for CCTV monitoring at Longhill ind estate, conducted during 07/08, when Housing Hartlepool won the contract, as the tenderer with lowest price. Increases in subsequent years will be based on RPI.	High	38	38	
SLA Waiting List Management	27071	Management and operation of the housing waiting list to ensure proper allocation of housing on the basis of need. This is a statutory service of Hartlepool Council as the Housing Authority. The service is provided through an SLA by Housing Hartlepool, which is the main social housing provider enabling integration with landlord functions, at a nominal cost. Through a required review a realistic cost has been negotiated for the provision of this service and Housing Hartlepool have agreed to provide 50% of the cost subject to Board approval. This provision covers the estimated non budgeted cost to the Council.	High	60	60	
		Total Contingency		119	119	
		Total all departments		363	279	

REVENUE FINANCIAL OUTTURN REPORT 2009/2010

Line No	Latest Budget	Outturn	Variance Adverse/ (Favourable)	Description of Project/Grant	Amount Rephased to 2010-2011	Amount Contributed Corporate Reserve
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G (G=D-F)
	£'000	£'000	£'000		£'000	£'000
Adult & Community Services						
1	30	30	0	Supporting People Projects	0	0
2	149	128	(21)	Supporting People Administration	0	21
3	261	244	(17)	Adult Social Care Workforce	0	17
4	480	481	1	Carers (80% Adult)	0	(1)
5	164	163	(1)	Learning & Disability Development Fund	0	1
6	99	94	(5)	Local Involvement Networks (LINKS)	4	1
7	119	93	(26)	Mental Capacity Act & Indepdnt Mental Capacity Adv Service	0	26
8	344	341	(3)	Mental Health	0	3
9	322	322	0	Preserved Rights	0	0
10	46	44	(2)	WNF - Mobile Maintenance	2	0
11	91	91	0	WNF - Mental Health Dev & NRF Supp Nwork, MIND Mgr & NDC Supp Nwork	0	0
12	20	20	0	WNF - Integrated Care Teams - PCT	0	0
13	25	25	0	WNF - Connected Care - Manor Residents	0	(0)
14	51	51	0	WNF - Skills to Work HBC	0	0
15	10	9	(1)	WNF - Economic Impact Evaluation of the Tall Ships	1	0
16	44	44	0	WNF - Belle Vue Sports	0	0
17	28	27	(1)	WNF - Exercise Referral	1	0
Chief Executives						
18	40	37	(3)	WNF - Financial Inclusion - HBC	3	0
Corporate						
19	0	0	0	Climate Change	0	0
Childrens Services						
20	31	31	0	14-19 Flexible Funding Pot	0	0
21	90	61	(29)	Care Matters	0	29
22	99	99	0	Carers (20%)	0	0
23	223	223	0	Child and Adolescent Mental Health Service	0	0
24	17	17	0	Child Death Review Processes	0	0
25	42	42	0	Children's Social Care Workforce	0	0
26	395	395	0	Children's Fund	0	0
27	25	25	0	Choice Advisors	0	0
28	1,106	1,063	(43)	Connexions	0	43
29	6	6	0	Designated Teacher Funding	0	0
30	69	55	(14)	Education Health Partnerships	15	(1)
31	18	45	27	Extended Rights to Free Transport	0	(27)
32	477	477	0	Extended Schools Start Up costs	0	0
33	404	386	(18)	Positive Activities for Young People	0	18
34	68	68	0	Secondary National Strategy - Behaviour & Attendance	0	0
35	108	108	0	Secondary National Strategy - Central Co-ordination	0	0
36	72	72	0	Primary National Strategy - Central Co-ordination	0	0
37	287	287	0	School Development Grant	0	0
38	42	42	0	School Improvement Partners	0	0
39	26	26	0	School Intervention Grant	0	0
40	7	0	(7)	Sustainable Travel	7	0
41	144	147	3	Teenage Pregnancy Prevention	0	(3)
42	2	2	0	Child Trust Fund	0	0
43	410	410	0	WNF Primary / Secondary Schools Direct Funding	0	0
44	51	51	0	WNF Education Business Links	0	0
45	0	0	0	WNF NAP North Flexible Fund	0	0
46	41	41	0	WNF New Initiatives	0	0
47	5	5	0	WNF Project Co-ordination	0	0
48	51	51	0	WNF On-Track Project	0	0
49	89	89	0	WNF - Reducing Childhood Obesity	0	0
50	60	60	0	14 - 19 Reform Support	0	0
Neighbourhood Services						
51	184	197	13	Road Safety Grant	0	(13)
52	30	30	0	Rural Bus Subsidy	0	0
53	38	28	(10)	School Travel Advisers	0	10
54	66	66	0	WNF Schools Environmental Action Officer	0	0
55	159	158	(1)	WNF Environmental Enforcement Wardens	1	0
56	109	109	0	WNF Environmental Action Team	0	0

REVENUE FINANCIAL OUTTURN REPORT 2009/2010

Line No	Latest Budget	Outturn	Variance Adverse/ (Favourable)	Description of Project/Grant	Amount Rephased to 2010-2011	Amount Contributed Corporate Reserve
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G
	£'000	£'000	£'000		£'000	£'000
	Regeneration & Planning					
57	47	42	(5)	Cohesion	5	0
58	307	290	(17)	Stronger Safer Communities Fund (Neighbourhood Element)	17	0
59	182	182	0	Stronger Safer Communities Fund (BSC, ASB & DPSG Elements)	0	0
60	40	40	0	Young People Substance Misuse Partnership	0	0
61	36	23	(13)	WNF - Connect To Work	13	0
62	40	40	0	WNF - Adventure Traineeship - West View Project	0	0
63	51	51	0	WNF - Employment Support - Hartlepool MIND	0	0
64	57	57	0	WNF - Support for existing businesses to expand	0	0
65	26	26	0	WNF - Active Skills - West View Project	0	0
66	37	37	0	WNF - Career Coaching - HVDA	0	0
67	83	83	0	WNF - Level 3 Progression - HCCE	0	0
68	4	4	0	WNF - Administration of LLP	0	0
69	62	62	0	WNF - Support for adults into Skills for Life and NVQ Level 2 courses including Citizenship Learning	0	0
70	187	181	(6)	WNF - Safer Streets & Homes, Target Hardening	3	3
71	131	131	0	WNF - Dordrecht Prolific Offenders Scheme	0	0
72	26	26	0	WNF - Project Assistant	0	0
73	71	71	0	WNF - ASB Officer & Analyst	0	0
74	67	67	0	WNF - COOL Project	0	0
75	194	193	(1)	WNF - FAST	1	0
76	10	10	0	WNF - Landlord Accreditation Scheme	0	0
77	34	34	0	WNF - LIFE - Fire Brigade	0	0
78	200	200	0	WNF - Neighbourhood Policing	0	0
79	123	123	0	WNF - HMR - Support for Scheme Delivery	0	0
80	140	140	0	WNF - Community Empowerment Network Core Costs	0	0
81	90	90	0	WNF - Community Chest	0	0
82	237	157	(80)	WNF - NAP Residents Priorities	79	1
83	40	40	0	WNF - NAP Development	0	0
84	96	96	0	WNF - Neighbourhood Renewal/Hartlepool Partnership	0	0
85	53	53	0	WNF - NR & Strategy Officer (including Skills & Knowledge)	0	0
86	47	47	0	WNF - Local Employment Assistance - OFCA	0	0
87	32	34	2	WNF - Jobsmart - HBC	0	(2)
88	39	39	0	WNF - Youth into employment - Wharton Trust	0	0
89	16	16	0	WNF - Introduction to construction - Community Campus	0	0
90	74	77	3	WNF - Women's Opportunities	0	(3)
91	9	9	0	WNF - Enhancing Employability	0	0
92	159	159	0	WNF - Homelessness Project	0	0
93	46	46	0	WNF - Carers into Training and Employment - Hpool Carers	0	0
94	122	122	0	WNF - Targeted Training	0	0
95	80	79	(1)	WNF - Jobs Build	0	1
96	240	240	0	WNF - Workroute ILM	0	(0)
97	275	274	(1)	WNF - Progression to Work - Assisting local people into work	0	1
98	100	100	0	WNF - Volunteering into Employment - HVDA	0	0
99	215	217	2	WNF - Community Employment Outreach - OFCA/Wharton Annexe/West View Employment Action Centre	0	(2)
100	41	41	0	WNF - Job Club Owton Manor West Neighbourhood Watch & Resident's Association	0	0
101	37	37	0	WNF - West View Project	0	(0)
102	28	28	0	WNF - Hartlepool Worksmart - Improving the Employment Offer	0	0
103	274	273	(1)	WNF - Incubation Systems and Business Skills Training	0	1
104	20	21	1	HBC/OFCA	0	(1)
105	8	8	0	WNF - Business & Tourism Marketing	0	0
106	13	13	0	WNF - Family Case Load Workers	0	0
107	0	0	0	WNF - Programme Management	0	0
108	400	400	0	WNF Burn Valley Residents Priority Budget	0	0
109	60	0	(60)	Jobs and Economy	0	0
				Statutory Economic Assessments	60	0
	12,380	12,045	(335)	TOTAL	213	122

317 Core Projects funded from ABG - Corporate

12,697

CABINET REPORT

6 September 2010



Report of: Director of Child and Adult Services

Subject: HEALTH WHITE PAPER: EQUITY AND EXCELLENCE: LIBERATING THE NHS (JULY 2010)

SUMMARY

1. PURPOSE OF REPORT

- 1.1 The purpose of this report is to present to the Cabinet a summary paper of the Health White Paper: Equity and Excellence: Liberating the NHS (July 2010).

2. SUMMARY OF CONTENTS

- 2.1 The White paper sets out the Coalition Governments' plans for radically reforming the NHS in England and Wales.

3. RELEVANCE TO CABINET

- 3.1 This is a significant policy issue that Cabinet need to be aware of given the impact on the commissioning and provision of health services and public health. The proposals have significant implication for the role and responsibilities of the Local Authority in relation to Health.

4. TYPE OF DECISION

- 4.1 Note for information.

5. DECISION MAKING ROUTE

Cabinet 6 September 2010.

6. DECISION(S) REQUIRED

- 6.1 Cabinet is required to note the content of the NHS White Paper and implications for the Local Authority.

Report of: Director of Child and Adult Services

Subject: HEALTH WHITE PAPER: EQUITY AND EXCELLENCE: LIBERATING THE NHS (JULY 2010)

1. INTRODUCTION

- 1.1 The purpose of this paper is to brief Hartlepool Borough Council Cabinet regarding the proposals and implications of the newly published Health White Paper 'Equity and Excellence: Liberating the NHS (July 2010).
- 1.2 The Paper will detail the major proposals; will highlight the implications for the Local Authority relating to the proposals and the timescale and process for implementing the proposals.

2. PROPOSALS

- 2.1 The NHS White Paper is radical and far reaching in how it will transform the current arrangements for commissioning, providing, performance managing and holding to account National Health Service (NHS) services. It is also hugely significant to the Local Authority as it places a requirement on the Council to assume new responsibilities in relation to health services, public health and health improvement.
- 2.2 The proposals are as follows:
 - Putting patients first through greater choice, involvement and control and a more important role for clinicians in deciding on health priorities. People will be given greater choice of provider, including the right to choose to register with any GP, and greater involvement in decisions about their care.
 - An independent NHS Commissioning Board will allocate NHS resources to general practitioner-based consortia and support them in their commissioning decisions. It will also provide national leadership on commissioning for quality improvement; promote patient involvement and choice and support the development of GP commissioning consortia. It will also commission national and regional specialist services and community services such as GP, dentistry, pharmacy and maternity services as well as allocate and account for NHS resources.

- The NHS Commissioning Board will be fully operational in April 2012, when Strategic Health Authorities will be abolished. A national Public Health Service will be created to promote public health, with responsibility for local delivery of public health transferred from PCTs to local authorities.
- The NHS Commissioning Board will be a champion for patient and carer involvement.
- Greater accountability, local autonomy and democratic legitimacy through the development of GP commissioning consortia, working in partnership at local level with local authorities. Decisions on treatment and care will pass directly to groups of health practitioners who will be responsible for around £80 billion of NHS resources per annum. It is anticipated that there will be around 500-600 general practitioner commissioning consortia across England and all GPs will be required to join a consortium. Each consortium will have to be of sufficient size to manage financial risk and to commission services jointly with local authorities. The NHS Commissioning Board will be responsible for holding consortia to account for their use of NHS resources. They will have the freedom to decide whether to undertake commissioning activities themselves or outsource commissioning activity to other organisations, including local authorities. These consortia will have a duty to promote equalities, to work in partnership with local authorities and will also have a duty of patient and public involvement.
- Maintain NHS spending in real terms, though there will be efficiencies in the region of 45 per cent of total NHS management costs to offset rising demographic demands. There will be “no bail-outs for organisations which overspend public budgets”. There is an expectation that management costs will be cut by more than 45 per cent by abolishing PCTs and SHAs, a major reduction in the overall size of the Department of Health, and a major cull of health-related quangos.
- PCTs will have an important but time-limited role in supporting health practitioners to develop their commissioning capacity and to ensure a smooth transition to the new model. It is planned that following the Health Bill in 2012/13, general practitioner-based consortia will take full financial responsibility from April 2013 when PCTs will be abolished.
- Creation of an independent NHS Commissioning Board to oversee commissioning and to champion improvement and patient involvement in health services. The development of GP commissioning consortia and the creation of the NHS Commissioning Board will pave the way for the abolition of Strategic Health Authorities (SHAs) in 2012/13 and Primary Care Trusts (PCTs) 2013.

- New roles and resources for local councils in public health, and a new statutory Health and Wellbeing Board to ensure coordination, integration and partnership working on social care, public health and health improvement.
- Abolition of the health oversight and scrutiny role for councils. There will be the creation of a national Health Watch for England to be the national voice of patients and the public. Local involvement networks will become local Health Watch branches. Local authorities will retain their statutory duty to support patient and public involvement activity. Health Watch England will be created as an independent consumer champion within the Care Quality Commission (CQC). Local involvement networks will be rebranded as Local Health Watch and will ensure that the voices of patients and carers are at the heart of the commissioning process. Local Health Watch will be funded by and accountable to local authorities and they will have a legal duty to ensure that Health Watch is operating effectively. Councils will have responsibility for commissioning Local Health Watch or Health Watch England to provide support and advocacy services. At national level, Health Watch England will provide leadership to local branches and will provide advice to national bodies, including the NHS Commissioning Board, Monitor and the Secretary of State. It will also have the power to propose CQC investigations of poor services, based on local intelligence.
- New joint roles for both Monitor and the Care Quality Commission (CQC), with Monitor becoming the economic regulator for all health and social care providers and CQC becoming the quality inspectorate.
- There will be better information for patients and carers, a wider range of on-line services and new ways for patients and clinicians to communicate. All providers and commissioners will have a legal duty to provide accurate and timely data, and the Department of Health (DH) will publish an information strategy to seek views on how best to implement the changes. Patients will have control over their health records and will be able to share them with other organisations, such as patient support groups and patient advocates.
- There will be a further consultation on extending choice later in 2010. The White Paper reiterates the Government's commitment to extending choice through a roll-out of personal budgets for health. The NHS Commissioning Board will have a key role in extending choice and control, and Monitor will ensure that patients have a choice.

- The NHS will focus on outcomes, rather than meet top-down targets. The first step towards this will be the new NHS Outcomes Framework which will include a set of national outcome goals, against which the NHS Commissioning Board will be accountable. The outcomes will focus on clinical effectiveness, patient safety and patient experience of their care. The DH will be publishing a separate consultation document on the development of national outcome goals.
- The outcome framework will be supported by quality standards developed by the National Institute for Health and Clinical Excellence (NICE). Within the next five years, NICE will develop 150 standards for all the main pathways of care, covering both health and social care services.

3. IMPLICATIONS FOR THE LOCAL AUTHORITY

3.1 The proposals have significant implications for the Local Authority. The implications are as follows:

- PCT public health improvement functions will be transferred to local councils after the abolition of PCTs in 2013.
- Local Directors of Public Health will be jointly appointed by the Local Authority and the National Public Health Service. Further clarity is required around the arrangements for the employment of public health teams and the accountability of the Local Director of Public Health.
- A ring-fenced public health budget will be allocated to local authorities to support their public health and health improvement functions.
- Councils will be required to establish “health and wellbeing boards” to join up the commissioning of local NHS services, social care and health improvement. This will allow local authorities to take a strategic approach on promoting integration across health and adult social care, children’s services (including safeguarding) and the wider local authority agenda.
- An extension and simplification of powers to enable joint working between the NHS and local authorities.
- Health Overview and Scrutiny Committees (HOSCs) will be replaced by the above functions.

It is worth noting that many of the details relating to public health are not yet known as the white paper for public health is still to be published in late autumn.

4. PROCESS AND TIMESCALES FOR IMPLEMENTATION

- 4.1 Consultation is now underway regarding the proposals in anticipation of a Health Bill to legislate for these changes in the Autumn (see table below).
- 4.2 Five further supporting papers have been released for consultation. These publications give greater details of what is being proposed. The publications are:
- ‘Liberating the NHS – ‘Commissioning for patients’
 - ‘Liberating the NHS - Transparency in Outcomes Framework’
 - ‘Liberating the NHS - Local Democratic Legitimacy in health’
 - ‘Liberating the NHS – Regulating Healthcare Providers’
 - ‘Liberating the NHS – The Review of Arm’s Length Bodies’
- 4.3 The timescale for all of the proposals to be implemented is in the table below:

Commitment	Date
Further publications on: • framework for transition • NHS outcomes framework • commissioning for patients • local democratic legitimacy in health • freeing providers and economic regulation	July 2010
Report of the arm’s length bodies review published	Summer 2010
Health Bill introduced in Parliament	Autumn 2010
Further publications on: • vision for adult social care • information strategy • patient choice • a provider-led education and training • review of data returns	By end 2010
Separation of SHAs’ commissioning and provider oversight functions	
Public Health White Paper	Late 2010
Introduction of choice for: • care for long-term conditions • diagnostic testing, and post-diagnosis	From 2011
White Paper on social care reform	2011
Choice of consultant-led team	By April 2011
Shadow NHS Commissioning Board established as a special health authority	April 2011
Arrangements to support shadow health and wellbeing partnerships begin to be put in place	April 2011
Quality accounts expanded to all providers of NHS care	April 2011
Cancer Drug Fund established	April 2011
Choice of treatment and provider in some mental health services	From April 2011
Improved outcomes from NHS Outcomes Framework	April 2011
Expand validity, collection and use of PROMs	April 2011
Develop pathway tariffs for use by commissioners	April 2011
Quality accounts: nationally comparable information published	June 2011

Commitment	Date
Report on the funding of long-term care and support	By July 2011
Hospitals required to be open about mistakes	Summer 2011
GP consortia established in shadow form	2011/12
Tariffs: • Adult mental health currencies developed • National currencies introduced for critical care • Further incentives to reduce avoidable readmissions • Best-practice tariffs introduced for interventional radiology, day-case surgery for breast surgery, hernia repairs, and some orthopaedic surgery	2011/12
NHS Outcomes Framework fully implemented	By April 2012
Majority of reforms come into effect: • NHS Commissioning Board fully established • New local authority health and wellbeing boards in place • Limits on the ability of the Secretary of State to micromanage and intervene • Public record of all meetings between the Board and the Secretary of State • Public Health Service in place, with ring-fenced budget and local health improvement led by Directors of Public Health in local authorities • NICE put on a firmer statutory footing • HealthWatch established • Monitor established as economic regulator	April 2012
International Classification of Disease (ICD) 10 clinical diagnosis coding system introduced	From 2012/13
NHS Commissioning Board makes allocations for 2013/14 direct to GP consortia	Autumn 2012
Free choice of GP practice	2012
Formal establishment of all GP consortia	
SHAs are abolished	2012/13
GP consortia hold contracts with providers	April 2013
PCTs are abolished	From April 2013
All NHS trusts become, or are part of, foundation trusts	2013/14
All providers subject to Monitor regulation	2013/14
Choice of treatment and provider for patients in the vast majority of NHS-funded services	By 2013/14
Introduction of value-based approach to the way that drug companies are paid for NHS medicines	2013/14
NHS management costs reduced by over 45%	By end 2014
NICE expected to produce 150 quality standards	By July 2015

5. RECOMMENDATIONS

- 5.1 It is recommended that Cabinet note the content of this report and consider the implications of the proposals for the Local Authority.
- 5.2 It is requested that Cabinet provide guidance as to the next steps and process for discussing the implications with other stakeholders impacted on by the proposals.

CABINET

6th September 2010



Report of: Chair of the Health Scrutiny Forum

Subject: RESPONDING TO THE WHITE PAPER EQUITY AND EXCELLENCE: LIBERATING THE NHS AND LIBERATING THE NHS: LOCAL DEMOCRATIC LEGITIMACY IN HEALTH CONSULTATION – COVERING REPORT

1. PURPOSE OF REPORT

- 1.1 To provide Cabinet with the comments of the Members of the Scrutiny Co-ordinating Committee and Health Scrutiny Forum in relation to the White Paper 'Equity and Excellence: Liberating the NHS' and the consultation document 'Liberating the NHS: Local Democratic Legitimacy in Health'.

2. BACKGROUND INFORMATION

- 2.1 Members of the Scrutiny Co-ordinating Committee and Health Scrutiny Forum jointly met on 27 August 2010 to formulate a response to the White Paper 'Equity and Excellence: Liberating the NHS' and the consultation document 'Liberating the NHS: Local Democratic Legitimacy in Health', the latter attached as **Appendix A** to this report.
- 2.2 Scrutiny Members acknowledge that under Agenda Item 7.4 of today's Cabinet meeting is a report by the Director of Child and Adult Services in relation to the Health White Paper. It is hoped that Cabinet consider these comments alongside Agenda Item 7.4, however, Members wished to highlight that the role of health oversight and scrutiny role is not being abolished, but White Paper 'Equity and Excellence: Liberating the NHS' suggests that the new functions for Local Authorities:-

*"Would replace the current statutory functions of Health Overview and Scrutiny Committees"*¹

¹ DoH, 12 July 2010, p.35

- 2.3 During the Joint Meeting of the Scrutiny Co-ordinating Committee and Health Scrutiny Forum held on 27 August 2010, Members agreed the following statement:-

“The Scrutiny Co-ordinating Committee and Health Scrutiny Forum has great anxiety over the proposed developments contained in the NHS White Paper ‘Equity and Excellence: Liberating the NHS,’ Scrutiny Members are concerned that the development of GP Consortia and the abolition of the Private Patient Income Cap for Foundation Trusts (which it is proposed all Acute Trusts will become) may ultimately result in privatisation of the NHS.

However, Scrutiny Members wish to be constructive in their assessment of ‘Equity and Excellence: Liberating the NHS’ and of the consultation document ‘Liberating the NHS: Local Democratic Legitimacy in Health’ and have agreed the following points:-

- That in terms of GP Consortia the ideal of a local GP Consortia should reflect co-terminosity with Local Authority boundaries, any GP Consortia with a wider geographical remit will not be as responsive to the needs of the local populous;
- That there needs to be clear guidance for resolution where Health and Wellbeing Boards do not agree on joint commissioning and pooled budget arrangements;
- That there are major reservations about the scrutiny role in relation to major service redesign being transferred to the Health and Wellbeing Board. The Health and Wellbeing Board must be accountable for its actions and by siting the power to consult in relation to major service redesign in the Health and Wellbeing Board, there is a real danger of a body that is not answerable to the needs of the local community;
- That grave concerns exist about the scrutiny and referral function being subsumed into the Health and Wellbeing Board. Effective scrutiny is reflective of the notion of being the critical friend, it is very difficult to reprise this role when the commissioners and scrutineers are both intrinsic parts of the Health and Wellbeing Board;
- That the best way of ensuring arrangements for scrutiny and referral maximise local resolution, is by ensuring that the current scrutiny powers are retained external to the Health and Wellbeing Board. Health OSCs have been effective in highlighting issues of local concern and by bringing relevant local Health bodies together to achieve resolution without escalation to the national level, however, this has only been possible through the power that Health OSCs currently have in relation to

NHS bodies having a duty to provide information and respond to recommendations;

- That at the very least the Government must ensure that Local Authorities are prescribed to provide a Health Scrutiny function to ensure effective scrutiny of the Health and Wellbeing Board can be achieved. Effective scrutiny will be best achieved by a scrutiny function that is independent of the Health and Wellbeing Boards, as mirrored in the current Select Committee system at Westminster.”

2.4 Cabinet may wish to note that the statement under 2.3 will be utilised by Scrutiny Members as their response direct to the Government, the Tees Valley Health Scrutiny Joint Committee, Hartlepool LINK and any other relevant bodies seeking Scrutiny's views in relation to the White Paper 'Equity and Excellence: Liberating the NHS' and the consultation document 'Liberating the NHS: Local Democratic Legitimacy in Health'.

3. RECOMMENDATIONS

3.1 That Cabinet notes this report and take its contents into consideration alongside the report of the Director of Child and Adult Services at Agenda Item 7.4 of today's meeting.

Contact Officer:- James Walsh – Scrutiny Support Officer
Chief Executive's Department - Corporate Strategy
Hartlepool Borough Council
Tel: 01429 523647
Email: james.walsh@hartlepool.gov.uk

BACKGROUND PAPERS

The following background paper was used in the production of this report:-

- (a) Department of Health (12 July 2010) *Equity and Excellence: Liberating the NHS*, Available from
http://www.dh.gov.uk/prod_consum_dh/groups/dh_digitalassets/@dh/@en/@ps/documents/digitalasset/dh_117794.pdf (Accessed 24 August 2010)

Liberating the NHS:

Local democratic legitimacy
in **health**

A consultation on proposals

DH INFORMATION READER BOX

Policy	Estates Commissioning IM & T Finance Social Care / Partnership Working
HR / Workforce Management Planning / Clinical	
Document Purpose	Consultation/Discussion
Gateway Reference	14531
Title	Local Democratic Legitimacy in Health
Author	Department of Health & Communities and Local Government
Publication Date	22 Jul 2010
Target Audience	PCT CEs, Care Trust CEs, Directors of PH, Local Authority CEs, Directors of Adult SSs, PCT Chairs, GPs, Directors of Children's SSs
Circulation List	PCT CEs, Care Trust CEs, Directors of PH, Local Authority CEs, Directors of Adult SSs, PCT Chairs, GPs, Directors of Children's SSs, Voluntary Organisations/NDPBs
Description	The document sets out proposals to strengthen the role of local government in health by: i) local authorities taking on local public health improvement functions; ii) local authorities having a new role in promoting integration; and iii) Local HealthWatch organisations acting as independent consumer champions, accountable to local authorities.
Cross Ref	Equity and Excellence: Liberating the NHS (July 2010)
Superseded Docs	
Action Required	Interested parties should respond to the consultation
Timing	Respond by 11 October 2010
Contact Details	The White Paper Team - Consultation responses 6th Floor Richmond House 79 Whitehall London SW1A 2NS nhswhitepaper@dh.gsi.gov.uk www.dh.gov.uk/liberatingtheNHS
For Recipient's Use	

Foreword

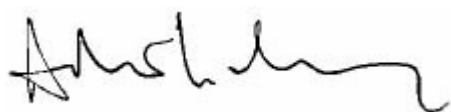
A decade of centralising, controlling government has left our public services strangled with red tape, focused on processes not outcomes, and weakened by the need to account to bureaucrats instead of the public. Too many decisions have been made nationally, rather than locally, without enough public involvement. The NHS, like other public services, has suffered as a result. The creativity and innovation of health professionals has been stifled while the public are frustrated at the lack of opportunities to speak up and make a difference to their local health services.

Localism is one of the defining principles of this Government: pushing power away from Whitehall out to those who know best what will work in their communities. Our plans to make this happen in health are set out in the recent white paper: *Equity and Excellence: Liberating the NHS*. It will restore real decision-making powers to patients and GPs.

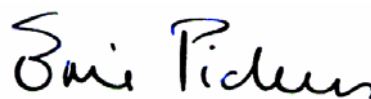
The NHS is one of Britain's greatest achievements, and a service of which we can all be proud. It will continue to be a national service, held to account by Parliament. But for the first time in forty years, there will be real local democratic accountability and legitimacy in the NHS. Elected councillors and councils will have a new role in ensuring the NHS is responsible and answerable to local communities. By commissioning HealthWatch - the new way for patients and the public to shape health services - councils will be responsible for ensuring local voices are heard and patients are able to exercise genuine choice. Councils will also take the lead in improving local public health.

In this new role, councils will be assessing local needs, promoting more joined up services, and supporting joint commissioning. This builds on the excellent work that is already being done by some councils in joining up services to improve local health and social care and will help ensure a closer working relationship between health and other council responsibilities, such as housing and environmental health. This means that patients who need the help of both health and social care services can expect to get much more coherent, effective support in future.

This short paper seeks your views on these important changes to establish local democratic accountability in the NHS. We look forward to hearing from you.



Rt. Hon. Andrew Lansley CBE MP
Secretary of State for Health



Rt. Hon. Eric Pickles MP
Secretary of State for Communities
and Local Government

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Introduction

1. The White Paper *Equity and Excellence: Liberating the NHS* set out the Government's strategy for the NHS. Our intention is to create an NHS which is much more responsive to patients, and achieves better outcomes, with increased autonomy and clear accountability at every level.
2. *Liberating the NHS* makes clear the Government's policy intentions, and provides a coherent framework. Further work lies ahead to develop and implement detailed proposals. In progressing this work the Department will be engaging with external organisations, seeking their help and wishing to benefit from their expertise.
3. This short document, *Local democratic legitimacy in health*, provides further information on proposals for increasing local democratic legitimacy in health, through a clear and enhanced role for local government. Through elected members, local authorities will bring greater local democratic legitimacy to health. They will bring the perspective of local place - of neighbourhoods and communities - into commissioning plans. Local authorities can take a broader, more effective view of health improvement. They are uniquely placed to promote integration of local services across the boundaries between the NHS, social care and public health.
4. This consultation has been produced jointly by the Department of Health and the Department for Communities and Local Government.
5. It is part of a public consultation on specific aspects of the White Paper. The initial suite of supporting papers also includes:
 - *Commissioning for patients*
 - *Regulating healthcare providers*
 - *The review of arm's-length bodies*
 - *Transparency in outcomes: a framework for the NHS*

The Government will publish a response prior to the introduction of a Health Bill later this year.

6. National accountability for the health service is critical. It currently receives about £100 billion of taxpayers' funding, and it is right that it is held to account for the stewardship of these finances and outcomes through Parliament. The reforms the Government set out in *Liberating the NHS* will remove ongoing political interference from the health service, through the creation of an independent NHS

Commissioning Board, but national accountability will remain. In the future, there will be a more transparent relationship between national government and the NHS, with less scope for day-to-day political interference.

7. One of the central features of the proposals in the White Paper is to devolve commissioning responsibilities and budgets as far as possible to those who are best placed to act as patients' advocates and support them in their healthcare choices. Through our world-renowned system of general practice, GPs and other primary care professionals are already supporting patients in managing their health, promoting continuity and coordination of care, and making referrals to more specialist services. In empowering GP practices to come together in wider groupings, or 'consortia', to commission care on their patients' behalf and manage NHS resources, we are building on these foundations. We are also empowering them to work more effectively alongside the full range of other health and care professionals.
8. Most commissioning decisions will now be made by consortia of GP practices, free from top-down managerial control and supported and held to account for the outcomes they achieve by the NHS Commissioning Board. This will push decision-making much closer to patients and local communities and ensure that commissioners are accountable to them. It will ensure that commissioning decisions are underpinned by clinical insight and knowledge of local healthcare needs. It will enable consortia to work closely with secondary care, other health and care professionals and with community partners to design joined-up services that make sense to patients and the public. It will not be appropriate for all commissioning decisions to be made at a local level and some specialist services, such as paediatrics, will need to be commissioned at a higher geographical unit, by the NHS Commissioning Board. *Commissioning for patients* - published alongside this document - gives further detail of how GP commissioning consortia and the NHS Commissioning Board will work.
9. Within this strong national system, the Government wants to strengthen local democracy. Giving people the opportunity to exercise their voices as individuals is an important part of this. The proposals build on the existing mechanisms, such as patients using information about a provider to exercise choice, or participating as an active member of a local foundation trust. We will strengthen the collective voice of patients and the public through arrangements led by local authorities, and at national level, through a powerful new consumer champion, HealthWatch England, located in the Care Quality Commission.
10. Within this new system, local authorities will have an enhanced role in health. The Government intends that they will have greater responsibility in four areas:

- leading joint strategic needs assessments (JSNA)¹ to ensure coherent and co-ordinated commissioning strategies;
 - supporting local voice, and the exercise of patient choice;
 - promoting joined up commissioning of local NHS services, social care and health improvement; and
 - leading on local health improvement and prevention activity.
11. With the local authority taking a convening role, it will provide the opportunity for local areas to further integrate health with adult social care, children's services (including education) and wider services, including disability services, housing, and tackling crime and disorder. This has the potential to meet people's needs more effectively and promote the best use of public resources. The local authority will lead the process of undertaking joint strategic needs assessments across health and local government services and promote joint commissioning between GP consortia and local authorities. GP consortia and the NHS Commissioning Board will be responsible for making health care commissioning decisions, informed by the JSNA. We would encourage local authorities to take the NHS Constitution into account when influencing local commissioning decisions about NHS services.
12. The Government will work with the Local Government Association to understand the potential benefits of place-based budgets through the Spending Review period. We will look at the potential application of these approaches to cross-cutting areas of health spending that require effective partnerships with local authorities and other frontline organisations, for example older people's services, and substance misuse.
13. The Government is committed to ensuring that there is a strong local voice for patients through democratic representation in healthcare. The Coalition Programme proposed directly elected individuals on the primary care trusts (PCT) board as a mechanism for doing this. However, because of the proposed transfer of commissioning functions to the NHS Commissioning Board and GP consortia, the Government has concluded that PCTs should be abolished. Instead, we propose an enhanced role for elected local councillors and local authorities, as a more effective way to boost local democratic engagement. In this document, the Government is bringing forward practical plans that give stronger effect to its intentions for local democratisation in health.

¹ A joint strategic needs assessment is an assessment of the health and wellbeing needs of the population in a local area and since 2007 it has been a statutory duty for primary care trusts and local authorities to undertake one. They aim to establish a shared, evidence based consensus on key local priorities to support commissioning to improve health and wellbeing outcomes and reduce inequalities. In practice the JSNA falls to the Directors of Public Health, Directors of Adult Social Services and Directors of Children's Services to carry out, as set out in the JSNA guidance.

Strengthening public and patient involvement

14. *Liberating the NHS* set out plans to create a much more responsive NHS that is genuinely centred on the needs and wishes of patients, through increased choice, an information revolution, stronger voice, and commissioning by GP consortia. These changes will radically shift the power of the health service away from Whitehall and closer to the individual and the professionals that serve them.
15. Choice, control and better information are at the heart of these plans, but these need to be backed up by support for individuals and local voice. We want local people to have a greater say in decisions that affect their health and care and have a clear route to influence the services they receive. Since the *NHS Plan*, structures for leading local involvement have been subject to numerous changes. The Government intends to build on the current statutory arrangements, to develop a more powerful and stable local infrastructure in the form of local HealthWatch, which will act as local consumer champions across health and care. Local Involvement Networks (LINKs) will become the local HealthWatch.
16. We propose that local HealthWatch be given additional functions and funding. Like LINKs, they will continue to promote patient and public involvement, and seek views on local health and social care services which can be fed back into local commissioning. Also like LINKs, they are likely to continue to take an interest in the NHS Constitution.

Q1 Should local HealthWatch have a formal role in seeking patients' views on whether local providers and commissioners of NHS services are taking account of the NHS Constitution?

17. We also propose that HealthWatch perform a wider role, so that they become more like a "citizen's advice bureau" for health and social care - the local consumer champion - providing a signposting function to the range of organisations that exist. We therefore propose that they are granted additional specific responsibilities, matched by additional funding, for:
 - NHS complaints advocacy services. Currently, this is a national function for the NHS, exercised through a Department of Health contract for the Independent Complaints Advocacy Service. We propose that this responsibility is devolved to local authorities to commission through local or national HealthWatch, so that they can support people who want to make a complaint.

- Supporting individuals to exercise choice, for example helping them choose a GP practice. Giving patients and users the right to choice, and greater information, is essential, but it is not always sufficient to enable everyone to exercise it. Local HealthWatch will have a key role in offering support to those that need it.

Q2 Should local HealthWatch take on the wider role outlined in paragraph 17 with responsibility for complaints advocacy and supporting individuals to exercise choice and control?

18. Local authorities have a vital role in commissioning HealthWatch arrangements that serve their local populations well. They will continue to fund HealthWatch, and contract for their services. Local authorities have an important responsibility, set out in statute, for discharging these duties, and holding local HealthWatch to account for delivering services that are effective and value for money. They will also ensure that the focus of local HealthWatch activities is representative of the local community. In the event of under-performance, a local authority should intervene; and ultimately re-tender the contract where that is in the best interests of its local population.

Q3 What needs to be done to enable local authorities to be the most effective commissioners of local HealthWatch?

19. Local HealthWatch would still be able to report concerns about the quality of the provision of local NHS or social care services to HealthWatch England, in order to inform the need for potential regulatory action, independently of its host local authority. HealthWatch England will form a statutory part of the Care Quality Commission (CQC), the quality regulator for health and social care. This key role for local HealthWatch will be underpinned by continued rights to visit provider services.

Improving integrated working

20. People want services that feel joined up, and it can be a source of great frustration when that does not happen. Integration means different things to different people but at its heart is building services around individuals, not institutions. The Government is clear that joint, integrated working is vital to developing a personalised health and care system that reflects people's health and care needs. Services also need to be developed in ways that fit around the people who use them, and their families, and that they can understand and shape. We have an opportunity to strengthen integrated working across the health and social care agenda, from the point of providing services, to people understanding how services need to be commissioned to best meet the health and wellbeing needs of local populations. We can also improve integrated working right along the care pathway - from prevention, treatment and care, to recovery, rehabilitation and reablement.
21. *Liberating the NHS* has been designed to strengthen integration in many ways, for example:
- by giving people using services more choice and control about what matters most to them. Critically this includes choice of treatment and care not just choice of provider. People will have more power in the system to decide what matters most to them;
 - by extending the availability of personal budgets in the NHS and social care, with joint assessment and care planning;
 - quality standards will be developed systematically across patient pathways, for example the recently published NICE dementia standard;
 - through the CQC as an effective inspectorate of essential quality standards, that span health and social care;
 - through payment systems being used to support joint working, for example the proposals around payment by results and hospital readmissions, which should create opportunities for the full engagement of the wider health and care economy before discharging people from hospital; and
 - through freeing up providers to innovate and focus on the needs of people using services rather than the needs of a top-down central bureaucracy. For example, the Government is proposing to remove the

constraints that currently exist for foundation trusts to enable them to augment their NHS role, by, for example, expanding into social care.

22. The existing framework provided in legislation² sets out optional partnership arrangements for service-level collaboration between local authorities and health-related bodies. The arrangements include:

- lead commissioning (with PCTs or local authorities leading commissioning services for a client group on behalf of both organisations);
- integrated provision (for example care trusts); and
- pooled budgets.

23. Take up of the current flexibilities to enable joint commissioning and pooled budgets has been relatively limited. It has tended to focus on specific service areas, such as mental health and learning disabilities. The full potential of joint commissioning, for example to secure services that are joined up around the needs of older people or children and families, remains untapped. The new commissioning arrangements will support this. GP commissioning consortia will have a duty to work with colleagues in the wider NHS and in social care to deliver higher quality care, a better patient experience and more efficient use of NHS resources.

Q4 What more, if anything, could and should the Department do to free up the use of flexibilities to support integrated working?

Q5 What further freedoms and flexibilities would support and incentivise integrated working?

24. The Government believes that there is scope for stronger institutional arrangements, within local authorities, led by elected members, to support partnership working across health and social care, and public health. Local authorities' skills, experience and existing relationships present them with an opportunity to bring together the new players in the health system, as well as to provide greater local democratic legitimacy in health.

25. One option is to leave it up to NHS commissioners and local authorities as to whether they want to work together, and should they so wish, to devise their own local arrangements. An alternative approach, which the Government prefers, is to specify the establishment of a statutory role, within each upper tier local authority, to support joint working on health and wellbeing.

² Section 75 of the NHS Act 2006

26. The advantages of having a statutory arrangement are that it would provide duties on relevant NHS commissioners to take part, and provide a high-level framework of functions. In this way it would offer clarity of expectation about partnership working.

Q6 Should the responsibility for local authorities to support joint working on health and wellbeing be underpinned by statutory powers?

27. One way in which respective roles and responsibilities could be enhanced further, is through a statutory partnership board - a health and wellbeing board - within the local authority. This would provide a vehicle and focal point through which joint working could happen. Alternatively, local partners may prefer to design their own arrangements. We would like your views on how best to achieve partnership working and integrated commissioning.
28. If health and wellbeing boards were created, requirements for such a board would be minimal, with Local Authorities enjoying freedom and flexibility as to how it would work in practice.

Q7 Do you agree with the proposal to create a statutory health and wellbeing board or should it be left to local authorities to decide how to take forward joint working arrangements?

Functions of health and wellbeing boards

29. The primary aim of the health and wellbeing boards would be to promote integration and partnership working between the NHS, social care, public health and other local services and improve democratic accountability. The local authority would bring partners together to agree priorities for the benefit of patients and taxpayers, informed by local people and neighbourhood needs.
30. The Government proposes that statutory health and wellbeing boards would have four main functions:
- to assess the needs of the local population and lead the statutory joint strategic needs assessment;
 - to promote integration and partnership across areas, including through promoting joined up commissioning plans across the NHS, social care and public health;
 - to support joint commissioning and pooled budget arrangements, where all parties agree this makes sense; and

- to undertake a scrutiny role in relation to major service redesign (as set out in paragraph 42 - 50).

Q8 Do you agree that the proposed health and wellbeing boards should have the main functions described in paragraph 30?

Q9 Is there a need for further support to the proposed health and wellbeing boards in carrying out aspects of these functions, for example information on best practice in undertaking JSNAs?

31. The health and wellbeing board would allow more effective engagement between local government and NHS commissioners. There would be a statutory obligation for the local authority and commissioners to participate as members of the board and act in partnership on these functions. Whilst responsibility and accountability for NHS commissioning would rest with the NHS Commissioning Board and GP consortia, the health and wellbeing board would give local authorities influence over NHS commissioning, and corresponding influence for NHS commissioners in relation to health improvement, reducing health inequalities, and social care.
32. The aim is to ensure coherent and coordinated local commissioning plans across the NHS, social care and public health, for example in relation to mental health, older people's or children's care, with intelligence and insight about people's wants and needs systematically shaping and commissioning decisions. These arrangements would also enable local authorities to engage more effectively via GP consortia, who would be making health care commissioning decisions. A significant benefit of the health reforms will be the removal of political interference in the day-to-day running of the health service. The local authority and its partners will only be able to ensure that the needs of their population are adequately assessed if they work together to ensure that national politics are not replaced by unconstructive local politics.
33. The health and wellbeing board could also be a vehicle for taking forward joint commissioning and pooled budgets, where parties agree this makes most sense and it is in line with the financial controls set by the NHS Commissioning Board.

Q10 If a health and wellbeing board was created, how do you see the proposals fitting with the current duty to cooperate through children's trusts?

Operation of health and wellbeing boards

34. We anticipate that the statutory health and wellbeing boards would sit at the upper tier local authority level. However, the boards would want to put in place

arrangements to discharge their functions at the right level to ensure that the needs of diverse areas and neighbourhoods are at the core of their work, and that democratic representatives of areas below the upper tier can contribute. This would be particularly important in two-tier areas, where boards may want to delegate the lead for some functions to districts or neighbourhoods. Neighbouring boroughs may also choose to establish a single board covering their combined area, should that make most sense locally.

35. We anticipate that the health and wellbeing boards would have a lead role in determining the strategy and allocation of any local application of place-based budgets for health. The health and wellbeing boards would have an important role in relation to other local partnerships, including those relating to vulnerable adults and children's safeguarding. If the Local Children's Safeguarding Board became concerned that the local safeguarding arrangements were not working as they should, and in particular if there were concerns about the NHS partners, they could raise this with the health and wellbeing board, who would escalate it to the NHS Commissioning Board if they were unable to achieve local resolution.
36. To reduce bureaucracy, we anticipate that local authorities may want to use the proposed health and wellbeing boards to replace current health partnerships where they exist, and work with the local strategic partnership (at the upper tier) to promote links and connections between the wider needs and aspirations of local neighbourhoods and health and wellbeing.
37. If these proposals are taken forward, we will need to ensure that appropriate arrangements are made to support the full package of reforms in London with links between the borough boards and the Mayor. The Government would particularly welcome views on this point.

Q11 How should local health and wellbeing boards operate where there are arrangements in place to work across local authority areas, for example building on the work done in Greater Manchester or in London with the link to the Mayor?

Membership of health and wellbeing boards

38. If taken forward, the boards would bring together local elected representatives including the Leader or the Directly Elected Mayor, social care, NHS commissioners, local government and patient champions around one table. The Directors of Public Health, within the local authority, would also play a critical role. The elected members of the local authority would decide who chaired the board.

39. The board would include both the relevant GP consortia and representation from the NHS Commissioning Board (where relevant issues are being discussed). It may be relevant for the NHS Commissioning Board to attend when issues relating to the services that they commission are being discussed, for example family health services, specialised services and maternity services. We would specify both parties' duty to take part in the partnership in legislation.
40. In addition to the strategic role, at a practical level, health and wellbeing boards could agree joint NHS and social care commissioning of specific services, for example mental health services, including prevention, or agree the allocation and strategy for place-based budgets on cross-cutting health issues. The precise role of place-based budgets should be a decision for the health and wellbeing board in light of local priorities. For the board to function well, it will undoubtedly require input from the relevant local authority directors, on social care, public health and children's services. We also propose a local representative from HealthWatch will have a seat on the board, so that it has influence and responsibility in the local decision-making process. We recognise the novelty of arrangements bringing together elected members and officials in this way and would welcome views as to how local authorities can make this work most effectively.
41. To ensure that the board is able to engage effectively with local people and neighbourhoods, local authorities may also choose to invite local representatives of the voluntary sector and other relevant public service officials to participate in the board. They may also want to invite providers into discussions, taking care to adhere to the principles of fairness, engaging providers in an equal and transparent manner.

Q12 Do you agree with our proposals for membership requirements set out in paragraph 38 - 41?

Overview and scrutiny function

42. In the current system, overview and scrutiny committees (OSCs) have the power to scrutinise major health service changes and the ongoing planning, development and operation of services. They are set up in local authorities and set their own priorities for scrutiny, reflecting the interests and concerns of the communities they serve. They are able to hold the NHS to account by:
- calling NHS managers to give information, answer questions and provide explanation about services and decisions and making recommendations locally;

- requiring consultation by the NHS where major changes to health services are proposed; and
 - referring contested service changes to the Secretary of State for Health.
43. If a health and wellbeing board was created within a local authority, it would have a key new role in promoting joint working, with the aim of making commissioning plans across the NHS, public health and social care coherent, responsive and integrated. It would be able to exercise strategic oversight of health and care services. It would be better equipped to scrutinise these services locally. To avoid duplication, we propose that the statutory functions of the OSC would transfer to the health and wellbeing board.
44. This transfer would strengthen the overview that local authorities have on health decisions and bring in the voice of the local HealthWatch. Having a seat on the health and wellbeing board gives HealthWatch a stronger formal role in commissioning discussions than currently exists for LINKs. This would provide additional opportunity for patients and the public to hold decision makers to account and offer scrutiny and patient voice.
45. Members of the health and wellbeing board, including elected councillors, would have the opportunity to identify shared goals and priorities and to identify early on in their respective commissioning processes how best to address these. This emphasis on proactive local partnership would minimise the potential for disputes. We will work with local authorities and the NHS to develop guidance on how best to resolve these issues locally, so that they are only referred on in the most exceptional circumstances.

Q13 What support might commissioners and local authorities need to empower them to resolve disputes locally, when they arise?

46. Within the scope of NHS services, as defined by the Secretary of State, GP consortia will be free to decide commissioning priorities to reflect local needs, consistent with the public sector equality duties and supported by the national framework of quality standards, tariffs and national model contracts established by the NHS Commissioning Board. GP consortia will also have a duty to engage and involve the public in planning services and considering any proposed changes in how those services are provided. In addition, the health and wellbeing board would have an important role in enabling the NHS Commissioning Board to assure itself that GP consortia are fulfilling their duties in ways that are responsive to patients and the public.
47. If health and wellbeing boards had significant concerns about substantial service changes, an attempt should first be made to resolve this locally, for example with local commissioners, through the health and wellbeing board itself. The boards

would be expected to take account of the need to deliver services more efficiently, and of the wider quality, innovation, productivity and prevention (QIPP) agenda. The board may choose to engage external expertise to help resolve the issue, for example a clinical expert, the Centre for Public Scrutiny or the Independent Reconfiguration Panel.

48. For a minority of cases, there will still need to be a system of dispute resolution beyond the local level. This should happen only in exceptional cases as local resolution should be the preferred course of action. Where the dispute is unable to be resolved, the health and wellbeing board would have a power to refer the commissioning decision to the NHS Commissioning Board. If the issue relates to a decision made by the NHS Commissioning Board (e.g. in relation to maternity services) the health and wellbeing board may choose to refer it directly to the Secretary of State.
49. If the NHS Commissioning Board is satisfied that the correct procedure has been followed and that the decisions are based on clinical evidence, but the health and wellbeing board still has significant concerns about the issue, the health and wellbeing board would have a statutory power to refer cases to the Secretary of State. The Secretary of State would then consider the NHS Commissioning Board's report alongside the reasons for referral, seeking advice from the Independent Reconfiguration Panel. In the context of the new regulatory framework, the Secretary of State for Health's involvement will be subject to independent decisions made by regulators - the economic regulator, and the Care Quality Commission - for example on the basis of patient safety.

Q14 Do you agree that the scrutiny and referral function of the current health OSC should be subsumed within the health and wellbeing board (if boards are created)?

Q15 How best can we ensure that arrangements for scrutiny and referral maximise local resolution of disputes and minimise escalation to the national level?

50. Public scrutiny is an essential part of ensuring that Government and public services remain effective and accountable. It helps to achieve a genuine accountability for the use of public resources. A formal health scrutiny function will continue to be important within the local authority, and the local authority will need to assure itself that it has a process in place to adequately scrutinise the functioning of the health and wellbeing board and health improvement policy decisions.

Q16 What arrangements should the local authority put in place to ensure that there is effective scrutiny of the health and wellbeing board's functions? To what extent should this be prescribed?

Local authority leadership for health improvement

51. In future, local authorities will have a stronger influence on the health outcomes of their local area. When PCTs cease to exist we intend to transfer responsibility and funding for local health improvement activity to local authorities. Embedding leadership for local health improvement activity within local authorities builds upon the existing success of the many joint Director of Public Health appointments between local authorities and PCTs. It is intended to unlock synergies with the wider role of local authorities in tackling the determinants of ill health and health inequalities.
52. Funding for health improvement includes that spent on the prevention of ill-health by addressing lifestyle factors such as smoking, alcohol, diet and physical exercise. So, for example, we envisage that smoking cessation services would be funded from the resources transferred to the local authority, but treatment for individuals with impaired lung function through smoking would be funded from resources allocated to GP consortia by the NHS Commissioning Board.
53. Local authority leadership for local health improvement will be complemented by the creation of a National Public Health Service (PHS). The PHS will integrate and streamline health improvement and protection bodies and functions, and will include an increased emphasis on research, analysis and evaluation. It will secure the delivery of public health services that need to be undertaken at a national level.
54. In order to manage public health emergencies, the PHS will have powers in relation to the NHS, matched by corresponding duties for NHS resilience. The NHS Commissioning Board will have a role in supporting the Secretary of State for Health and the PHS to ensure that the NHS in England is resilient and able to be mobilised during any emergency it faces, or as part of a national response to threats external to the NHS.
55. The local authority will also play an important role in PHS campaigns of national importance, which aim to protect public health or provide population screening; and it will have a role in national health improvement campaigns, tailoring programmes to meet the needs of its local population.
56. Local Directors of Public Health will be jointly appointed by local authorities and the PHS. They will have a ring-fenced health improvement budget, allocated by the PHS; and they will be able to deploy these resources to deliver national and local priorities. There will be direct accountability to both the local authority, and, through the PHS, to the Secretary of State. Through being employees of the local authority, local Directors of Public Health will have direct influence over the

wider determinants of health, advising elected members and as part of the senior management team of the local authority.

57. The Secretary of State, through the PHS, will agree with local authorities the local application of national health improvement outcomes. It will be for local authorities to determine how best to secure the outcomes and this may include commissioning services, for example, from providers of NHS care. Local neighbourhoods will have freedom and flexibility to set local priorities, working within a national framework.
58. In the Government's work to develop a public health White Paper, we will engage stakeholders on arrangements for the abolition of PCTs and the establishment of the public health ring-fenced health improvement budget. Arrangements for health improvement will also be aligned with future arrangements for outcomes in local government, and in particular with the approach to social care outcomes.

Conclusion and summary of consultation questions

59. This document has set out the Government's plans for increasing local democratic legitimacy in health, by giving local authorities a stronger role in supporting patient choice and ensuring effective local voice; promoting more effective NHS, social care and public health commissioning arrangements, through the proposed new health and wellbeing boards; and local leadership for health improvement. We will need to ensure, through this consultation exercise and broader policy work, that the health system is financially sustainable through the transition to the new structures that we lay out here, as well as in the longer term.
60. Implementation will be consistent with the new burdens doctrine. Subject to legislation, health improvement functions will transfer to local authorities from 2012. We propose that statutory partnership functions would also be established formally from 2012. However, if the idea receives positive support, the Departments of Health and Communities and Local Government will support local authorities to establish shadow arrangements with the PCT, emerging GP consortia and LINKs in 2011. The Government proposes to make the changes through its forthcoming Health Bill, planned for introduction this autumn, subject to the responses received to this consultation.
61. The Government would welcome views on the following questions:
- Q1 Should local HealthWatch have a formal role in seeking patients' views on whether local providers and commissioners of NHS services are taking account of the NHS Constitution?*
- Q2 Should local HealthWatch take on the wider role outlined in paragraph 17, with responsibility for complaints advocacy and supporting individuals to exercise choice and control?*
- Q3 What needs to be done to enable local authorities to be the most effective commissioners of local HealthWatch?*
- Q4 What more, if anything, could and should the Department do to free up the use of flexibilities to support integrated working?*
- Q5 What further freedoms and flexibilities would support and incentivise integrated working?*
- Q6 Should the responsibility for local authorities to support joint working on health and wellbeing be underpinned by statutory powers?*

- Q7 Do you agree with the proposal to create a statutory health and wellbeing board or should it be left to local authorities to decide how to take forward joint working arrangements?*
- Q8 Do you agree that the proposed health and wellbeing board should have the main functions described in paragraph 30?*
- Q9 Is there a need for further support to the proposed health and wellbeing boards in carrying out aspects of these functions, for example information on best practice in undertaking joint strategic needs assessments?*
- Q10 If a health and wellbeing board was created, how do you see the proposals fitting with the current duty to cooperate through children's trusts?*
- Q11 How should local health and wellbeing boards operate where there are arrangements in place to work across local authority areas, for example building on the work done in Greater Manchester or in London with the link to the Mayor?*
- Q12 Do you agree with our proposals for membership requirements set out in paragraph 38 - 41?*
- Q13 What support might commissioners and local authorities need to empower them to resolve disputes locally, when they arise?*
- Q14 Do you agree that the scrutiny and referral function of the current health OSC should be subsumed within the health and wellbeing board (if boards are created)?*
- Q15 How best can we ensure that arrangements for scrutiny and referral maximise local resolution of disputes and minimise escalation to the national level?*
- Q16 What arrangements should the local authority put in place to ensure that there is effective scrutiny of the health and wellbeing board's functions? To what extent should this be prescribed?*
- Q17 What action needs to be taken to ensure that no-one is disadvantaged by the proposals, and how do you think they can promote equality of opportunity and outcome for all patients, the public and, where appropriate, staff?*
- Q18 Do you have any other comments on this document?*

62. Responses to the questions in this consultation document should be sent to nhswhitepaper@dh.gsi.gov.uk or to the White Paper Team, Room 601, Department of Health, 79 Whitehall, London SW1A 2NS by 11 October 2010.

Annex 1: The consultation process

Criteria for consultation

This consultation follows the ‘Government Code of Practice’, in particular we aim to:

- formally consult at a stage where there is scope to influence the policy outcome;
- consult for at least 12 weeks - the policies in this document were included in the NHS White Paper, *Liberating the NHS*, which was launched on 12 July for a 12 week consultation period closing on 5 October;
- be clear about the consultations process in the consultation documents: what is being proposed, the scope to influence and the expected costs and benefits of the proposals;
- ensure the consultation exercise is designed to be accessible to, and clearly targeted at, those people it is intended to reach;
- keep the burden of consultation to a minimum to ensure consultations are effective and to obtain consultees’ ‘buy-in’ to the process;
- analyse responses carefully and give clear feedback to participants following the consultation;
- ensure officials running consultations are guided in how to run an effective consultation exercise and share what they learn from the experience.

The full text of the Code of Practice and related guidance is on the Better Regulation website at www.bis.gov.uk/policies/better-regulation/consultation-guidance

Comments on the consultation process itself

If you have concerns or comments which you would like to make relating specifically to the consultation process itself please contact:

Consultations Coordinator
Department of Health
3E48, Quarry House

Leeds

LS2 7UE

e-mail: consultations.co-ordinator@dh.gsi.gov.uk

Please do not send consultation responses to this address.

Confidentiality of information

We manage the information you provide in response to this consultation in accordance with the Department of Health's Information Charter (available at www.dh.gov.uk).

Information we receive, including personal information, may be published or disclosed in accordance with the access to information regimes (primarily the Freedom of Information Act 2000 (FOIA), the Data Protection Act 1998 (DPA) and the Environmental Information Regulations 2004).

If you want the information that you provide to be treated as confidential, please be aware that, under the FOIA, there is a statutory Code of Practice with which public authorities must comply and which deals, amongst other things, with obligations of confidence. In view of this, it would be helpful if you could explain to us why you regard the information you have provided as confidential. If we receive a request for disclosure of the information we will take full account of your explanation, but we cannot give an assurance that confidentiality can be maintained in all circumstances. An automatic confidentiality disclaimer generated by your IT system will not, of itself, be regarded as binding on the Department.

The Department will process your personal data in accordance with the DPA and in most circumstances this will mean that your personal data will not be disclosed to third parties.

Summary of the consultation

A response to this consultation will be made available at www.dh.gov.uk by the end of this year.