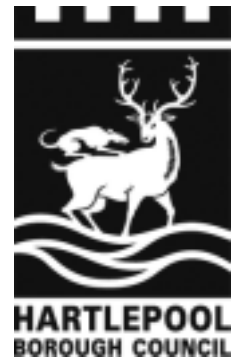


SCRUTINY CO-ORDINATING COMMITTEE AGENDA



Friday 15th October 2010

at 2.00 pm

in the Council Chamber,
Civic Centre, Hartlepool

MEMBERS: SCRUTINY CO-ORDINATING COMMITTEE:

Councillors C Akers-Belcher, S Akers-Belcher, Cook, Cranney, Flintoff, Griffin, James, London, A Marshall, McKenna, Preece, Richardson, Shaw, Simmons, Thomas and Wells.

Resident Representatives: Evelyn Leck, Linda Shields and Angie Wilcox

1. **APOLOGIES FOR ABSENCE**

2. **TO RECEIVE ANY DECLARATIONS OF INTEREST BY MEMBERS**

3. **MINUTES**

3.1 To confirm the minutes of the meeting held on 24th September 2010 (*to follow*)

4. **RESPONSES FROM THE COUNCIL, THE EXECUTIVE OR COMMITTEES OF THE COUNCIL TO REPORTS OF THE SCRUTINY COORDINATING COMMITTEE**

No Items.

5. **CONSIDERATION OF REQUEST FOR SCRUTINY REVIEWS FROM COUNCIL, EXECUTIVE MEMBERS AND NON EXECUTIVE MEMBERS**

No Items.

6. **FORWARD PLAN**

No Items.

7. CONSIDERATION OF PROGRESS REPORTS / BUDGET AND POLICY FRAMEWORK DOCUMENTS

- 7.1 Medium Term Financial Strategy (MTFS) 2011/2012 to 2014/2015 – Initial Consultation Proposals – *Chief Finance Officer*

8. CONSIDERATION OF FINANCIAL MONITORING/CORPORATE REPORTS

- 8.1 Quarter 1 - Corporate Plan and Revenue Financial Management Report 2010/11 – *Chief Financial Officer and Head of Performance and Partnerships*
- 8.2 Quarter 1 - Capital and Accountable Body Programme Monitoring 2010/11 – *Chief Finance Officer*

9. ITEMS FOR DISCUSSION

- 9.1 The Provision of Face to Face Financial Advice and Information Services in Hartlepool - Setting the Scene Report – *Extended Services and Early Years Manager / Hartlepool Financial Inclusion Partnership Development Officer*
- 9.2 Progress Report – Council Assisted Scheme for the Provision of Household White Goods/Furniture – *Scrutiny Manager*
- 9.3 Children’s Services Scrutiny Forum – Response to the Referral from Scrutiny Co-ordinating Committee on the Refurbishment of Exmoor Grove Children’s Home – *Chair of the Children’s Services Scrutiny Forum*
- 9.4 Children’s Services Scrutiny Forum - Response to the Referral from Scrutiny Co-ordinating Committee on the Service Delivery Option – Sure Start, Extended Services and Play – *Chair of the Children’s Services Scrutiny*
- 9.5 Referral Feedback Report - Housing Adaptations Policy Review 2010 - 2013 – *Chair of the Joint Regeneration and Planning Services Scrutiny Forum, Children’s Services Scrutiny Forum and Adult and Community Services Scrutiny Forum*
- 9.6 Request for Funding to Support the Neighbourhood Services Scrutiny Forum’s Current Scrutiny Investigation – *Scrutiny Manager*

10. CALL-IN REQUESTS

11. ANY OTHER ITEMS WHICH THE CHAIRMAN CONSIDERS ARE URGENT

ITEMS FOR INFORMATION

- i) Date of Next Meeting Friday 5th November 2010, commencing at 2.00pm in the Council Chamber, Civic Centre.**

SCRUTINY CO-ORDINATING COMMITTEE

15th October, 2010



Report of: Chief Finance Officer

Subject: MEDIUM TERM FINANCIAL STRATEGY
(MTFS) 2011/2012 TO 2014/2015 – INITIAL
CONSULTATION PROPOSALS

1. PURPOSE OF REPORT

- 1.1 To outline the key issues affecting the Council's financial position over the period 2011/2012 to 2014/2015 and the implications this has for setting the 2011/2012 budget.

2. BACKGROUND

- 2.1 In previous years the initial consultation on Cabinet's budget proposals for the forthcoming financial year has taken place during October and November. This was done on the basis of grant settlements covering more than one financial year. The current multi-year grant settlement ends at the end of the current year.
- 2.2 As Members will be aware the public sector faces a period of reduced funding. Details of the impact on individual Government departments will be announced on 20th October, 2010. The impact on individual councils will not be known until late December, 2010 or early January, 2011.
- 2.3 This position makes financial planning extremely difficult and a different approach to consultation will be needed in relation to the budget proposals for 2011/2012. A detailed report on the key issues affecting the Council's financial position will be considered by Cabinet on 10th October, 2010. A copy of the Cabinet report is attached at **Appendix A** and the key issues are summarised in Section 3 of this report.

3. SUMMARY OF CABINET REPORT

3.1 The Cabinet report provides a detailed overview of the financial issues affecting the Council in relation to:

- The national financial position and the Spending Review;
- The 2010/2011 financial position;
- Capital Programme 2011/2011 to 2014/2015;
- General Fund and Council Tax 2011/2012 to 2014/2015;
- Redundancy issues and funding;
- Budget Risks;
- Timetable;
- Specific Grants;
- Consultation.

3.2 The report advises Members that the public sector is facing a prolonged period of austerity as the Government is committed to reducing the public sector deficit. Details of the impact on individual Government departments will be announced on the 20th October, 2010.

3.3 The Government have already indicated that unprotected areas face cuts of 25% over a 4 year period. The report therefore outlines two planning scenarios to address the scale of anticipated grant reductions and uncertainty over the phasing of grants cuts. For the Council's main Formula Grant these forecasts are based on reductions of 25% and 30% over the next four years, with the cuts being front loaded in 2011/2012. On this basis the Council faces a gross deficit on the General Fund budget of between £20.8m and £23.1m over the next four years.

3.4 The forecast General Fund deficit for 2011/2012 is between £8.1m and £10.7m. The report outlines a number of measures to reduce the 2011/2012 forecast gap to between £4.1m and £6.7m. The forecast are after planned Business Transformation efficiencies. Detailed proposals for bridging this gap will need to be developed and will require some very difficult decisions.

3.5 In relation to specific grants, which include the Area Based Grant, the Council will also face cuts in funding. This is an extremely difficult area to predict as the Government have not yet determined which local authority grants they will prioritise. At a local level this is a particularly difficult area as the Council receives significant specific grants, Owing to the significant expected cut in the main Formula Grant the Council will not be able to manage this position by mainstreaming Specific Grants which are cut. Therefore, cuts in Specific Grants will result in existing services being scaled back to the level of available grant funding, or ceased completely if the grant is withdrawn entirely.

- 3.6 The development of detailed budget proposals for next year is critically dependant upon Government funding announcements. Some details will be provided in the Spending Review in October. The detailed allocations for individual councils are not expected until late December or early January, 2011. This will mean that budget decisions will need to be made over a shorter time period and it will not be possible to follow the normal budget timetable. Therefore, the report includes a proposed timetable to address these issues, which will enable the Council to set a budget in February, 2011.

4. ISSUES FOR SCRUTINY CO-ORDINATING COMMITTEE CONSIDERATION

- 4.1 Details of the initial consultation proposals being considered by Cabinet are set out in paragraph 14.5 of the attached report at **Appendix A**. Details of Cabinet's views on these proposals will be provided at your meeting on 15th October, 2010.
- 4.2 The original process, and timetable, for Scrutiny involvement in the budget setting process for 2011/12 was approved by the Scrutiny Co-ordinating Committee at its meeting on the 3 September 2010. In order to accommodate the external constraints on the budget timetable for 2011/2012, outlined earlier in the report, consideration now needs to be given to the revision of the previously successful process and timetable for Scrutiny involvement in the budget setting process for this year.
- 4.3 In consultation with the Chair of the Scrutiny Co-ordinating Committee, a revised process / timetable is in the process of being formulated for consideration by Members. Given the timetable for preparation of this agenda, it was not possible to finalise details of the suggested process / timetable in time for circulation with this report. However, a copy of the revised process / timetable will be circulated, under separate cover, prior to today's meeting for consideration by Members.
- 4.4 In considering the suggested revisions to the process / timetable required to respond to the external constraints on the budget timetable for 2011/2012, Members are asked to kindly note that it may be necessary to revise arrangements of some meetings diaried in November and January for consideration of the budget proposals. Further arrangements will also be made for the relevant Director(s) and the appropriate Cabinet Member(s), subject to their availability, for attendance at the relevant Scrutiny meeting(s).

5. RECOMMENDATION

5.1 It is recommended that Members:-

- i) Note the report;
- ii) Consider the Cabinet report of 10th October, 2010 and the initial consultation proposals detailed in paragraph 14.5;
- iii) Determine arrangements for Scrutinising Cabinet's budget proposals within the external constraints on the budget timetable for 2011/2012.

Contact:- Chris Little – Chief Financial Officer
Chief Executive's Department
Hartlepool Borough Council
Tel: 01429 523003
Email: chris.little@hartlepool.gov.uk

BACKGROUND PAPERS

The following background paper was used in the preparation of this report:-

- (i) Report to Cabinet by the Chief Financial Officer - 11 October 2010 entitled 'Medium Term Financial Strategy (MtfS) 2011/2012 to 2014/2015'

Report of: CORPORATE MANAGEMENT TEAM

Subject: MEDIUM TERM FINANCIAL STRATEGY
(MTFS) 2011/2012 TO 2014/2015

1. PURPOSE OF REPORT

- 1.1 To outline the key issues affecting the Council's financial position over the period 2011/2012 to 2014/2015 and the implications this has for setting the 2011/2012 budget.

2. BACKGROUND

- 2.1 In 2008 the Council began planning for a tougher financial position. The key element of this strategy was the development of the Business Transformation Programme (BTP) which aims to achieve savings of £8 million by 2012/2013.
- 2.2 The previous MTFS anticipated the BTP delivering savings of £6 million by 2012/2013. The lower target included in the MTFS recognised the complexity and challenges of delivering such a major programme of efficiency savings. As detailed later in the report there is now greater confidence that the BTP savings will be nearer the £8 million target. This will enable a higher saving to be achieved in 2011/2012 than previously anticipated in the existing MTFS.
- 2.3 The first phase of the BTP achieved a saving of £2.5 million from implementing revised management structures. The achievement of these savings avoided elected members having to make decisions about direct cuts to front line services in 2010/2011.
- 2.4 The MTFS was updated during 2009 in response to the banking crisis and the recession. At that time it was becoming clearer that there had been a fundamental deterioration in public finances which would impact on future levels of public spending for many years. This position reflected three key factors:
- a reduction in tax revenues, particularly in relation to the banking and financial sectors;
 - increased expenditure on unemployment and related benefits;
 - an anticipation that Government borrowing would continue to increase and by 2013/2014 there would be a cumulative shortfall of £700 billion, which would mean Public Sector Debt doubling by 2013/2014.

- 2.5 Against this background the MTFS was revised and grant reductions of 5% anticipated for three years from 2011/2012. On this basis it was anticipated that Council faced annual deficits of £4 million per year, after the delivery of planned BTP savings.
- 2.6 Following the Council's decision to review the MTFS detailed reports were issued by various organisations, including CIPFA and the Audit Commission, which supported our view that grants would be reduced from 2011/2012.

3. NATIONAL FINANCIAL POSITION

- 3.1 Following the General Election the new Chancellor presented what he called the Government's 'Emergency Budget' which defined the direction of future public spending levels. The key issues for local authorities within the 'Emergency Budget' are as follows:

- 80:20 ratio of spending cuts versus tax increases

This statement clearly outlined the Coalition Government's decision to reduce the majority of the Public Sector deficit by reducing expenditure rather than by tax increases. An analysis of the detailed figures within the Chancellor's budget report indicates that the majority of the tax increases were already in the system and reflected decisions by the previous Government. The only significant tax increase announced by the current Chancellor was the rise in VAT from 17.5% to 20%, which is effective from 4th January, 2011.

- Indication that the average reduction in funding for unprotected areas over the four years commencing 2011/2012 will be 25%

As this is an average decrease some areas will be subject to lower decreases and some to higher decreases. It is unlikely that the Government will see local authorities as a high priority area, therefore cuts in grants of more than 25% are likely. The actions taken by the Government to implement in-year cuts, including cuts to the Working Neighbourhood Fund grant which is only given to the sixty four more deprived councils in the country, illustrates the risk to local authority funding in 2011/2012 and beyond.

- Announcement of a Spending Review Framework

Further details are provided in Section 4.

- Public Sector pay freeze for two years

The Chancellor indicated that there will be a two year pay freeze for public sector workers. Employees earning below £21,000 will receive a flat rate pay increase in these years of £250.

At this stage it is not clear how this position will affect Council employees as their pay levels are determined by national pay bargaining. The Employers Organisation have so far said there will be no pay award for 2010/2011 and have refused the unions request to refer this issue to ACAS for independent arbitration.

- Council Tax Freeze 2011/2012 and 2012/13

The Government have not provided details of how this proposal will be implemented and whether it will be funded by the Government (as had been the case in Scotland where the devolved Government have funded a Council Tax freeze for three years, but are reviewing position for 2011/2012).

- Council Tax Capping

Following the 'Emergency Budget' the Local Government Secretary issued a Consultation Paper setting out proposals to change the existing capping regime. These proposals suggest abolishing the Secretary of State's power to cap "excessive" Council Tax increases and to introduce local referendums on Council Tax increases.

The Secretary of State believes these proposals are a technical issue and therefore reduced the consultation period from the normal twelve weeks to six weeks.

A detailed response to the consultation proposals was sent by the Finance Portfolio Holder, outlining concerns that the proposals undermine the democratic and financial independence of local authorities. The response suggests that if the Government believes referendum on tax increases are a good idea they should have applied this criteria to the VAT increase to 20%. A copy of this letter is attached at Appendix 1 (the detailed Appendices to this letter have been excluded as they covered the technical aspects of the Governments proposals).

4. SPENDING REVIEW

4.1 The Chancellor announced details of a Spending Review Framework to enable the Government to determine funding allocations and cuts for 2011/2012 and future years. Details of the Spending Review will be published on 20th October, 2010. The Spending Review Framework document included Government commitments that it will:

- carry out Britain's unavoidable deficit reduction plan in a way that strengthens and unites the country. 'The Spending Review will be guided by the principles of freedom, fairness and responsibility, in order to demonstrate that we are all in this together;

- limit as far as possible the impact of reductions in spending on the most vulnerable in society and on those regions heavily dependent on the public sector’.

4.2 Owing to the pace at which the in-year grant cuts were made these principles were not followed for the 2010/2011 cuts as a simple percentage approach to grant reductions was adopted. This included cuts in funding streams, most notably the Working Neighbourhood Fund grant, which resulted in a greater grant cut per person for Hartlepool and the other North East councils compared to the national average.

4.3 If this issued is not addressed when the Government reduce fomula grant there will be a greater adverse impact on the North East and Hartlepool. This is owing to the higher levels of formula grant allocated to these authorities to address deprivation and their lower Council Tax bases. The following table highlights the current levels of formula grant allocated to individual councils.

Table 1 – Comparison of 2010/2011 fomula grant per head of population

	£
Newcastle upon Tyne	633
Middlesbrough	625
South Tyneside	609
Sunderland	570
Gateshead	562
Hartlepool	554
Redcar and Cleveland	492
Durham	465
North Tyneside	444
Northumberland	416
Stockton-on-Tees	404
Darlington	388
Average North East Councils	507
National Average	488

4.4 In recognition of the above position the Chief Finance Officers of the twelve North East Unitary Councils have responded to the Spending Review suggesting how the Government can ensure areas with higher levels of deprivation and dependency on the public sector can be partly protected from spending cuts, as follows:

- The Spending Review report should include a section which sets out explicitly the approach that the Government will adopt to deliver its commitments that ‘the Spending Review will be

guided by the principles of freedom, fairness and responsibility, in order to demonstrate that we are all in this together' and limit as far as possible the impact on reductions in spending on the most vulnerable in society and on those regions heavily dependent on the public sector;

- ii) Departments should be required to present to ministers an impact assessment which includes the cash reduction per head of population and a regional analysis to ensure there is transparency about the impact for each local authority in each region;
- iii) Specific grants aimed to help vulnerable people and focusing on the most deprived areas in the country (e.g. Supporting People grant and Working Neighbourhood Fund grant) should be given greater protection and specific attention in the decision making process, taking into account reductions already made in 2010/2011;
- iv) Where specific grant reductions are made it should ideally be based on a grant per head of population (as adjusted by the Area Cost Adjustment where this is relevant), as apposed to a simple percentage reduction; and
- v) Where reductions are made to the Formula Revenue Grant, the reductions are delivered using a general per head of population reduction in the central allocation element of the four block model protecting allocations for resource equalisation and higher needs assessment.

4.5 The results of the Spending Review will be published on 20th October, 2010. It is expected that this document will provide details of high level Government spending plans for up to four years from 2011/2012.

4.6 Details of the impact on individual councils will not be known until the Local Government finance settlement is issued. This document is normally published late November/early December. There have been reports this announcement may be delayed until early January, 2011. It is also unclear what period the detailed settlement for local authorities will cover. This uncertainty makes financial planning more difficult and further reports will be submitted to Cabinet as soon as more information becomes available.

4.7 There is also uncertainty about how the detailed cuts in local authority funding will be implemented. This position reflects the legal position in relation to Business Rates which at a national level are ring fenced for redistribution to local authorities and cannot currently be cut by the Government. In practise this is a technical issue which the Government will address by either changing existing legislation

(unlikely given existing time constraints), or by making correspondingly higher cuts to other grant regimes (the likely solution).

- 4.8 At a national level this will not affect the total funding cuts to local authorities. However, at a local level this position will significantly complicate local decision making. This issue may need to be examined closely once details of funding allocations are announced.

5. REVIEW OF LOCAL GOVERNMENT FINANCE

- 5.1 On 13th September, 2010, the Local Government Secretary, Eric Pickles, provided further details on the coalition's planned review of local government finance. The Local Government Secretary indicated this review will begin in a year's time, after the Localism Bill has gone through Parliament and the total funding for Local Government has been determined in the Spending Review.
- 5.2 The Government have stated that most of the finance review will replicate the work of Sir Michael Lyons' 2007 report on the subject. The Minister said "it isn't that Lyons missed out on anything major. We need to look at Prudential Borrowing, charges, trading and by then there will be a General Power of competence. We will be repeating about 95% of Lyons, because it was an excellent report. Then it will be up to us to make a political decision". The Minister ruled out a local income tax, which was one of Lyons suggestions.
- 5.3 Details of this review will be reported when they become available.

6. 2010/2011 FINANCIAL POSITION

- 6.1 The announcement by the Government of in-year funding reductions in June has had an adverse impact on the Council's financial position as both revenue and capital grants have been reduced.
- 6.2 In relation to in-year revenue grant cuts these amounted to £1.7m. The Council has partly mitigated the impact of these cuts by using temporary resources to support expenditure until the end of the financial year, although spending cuts of around £0.8m have been implemented in the current year. In addition, proposals to achieve spending cuts from 1st April, 2011, have been identified to offset these in-year grant cuts. Further cuts are likely to be required for 2011/2012 to address additional grant cuts arising from the Spending Review.
- 6.3 The Council's Local Public Service Agreement Reward grant was also cut by 50%. This amount had been earmarked for one-off costs arising from Building Schools for the Future. An assessment of the resources required for the reduced programme is currently being undertaken.

- 6.4 With regard to cuts in capital grants the Council has had to scale back planned capital spending.
- 6.5 At a local level a strategy for funding the Tall Ships income shortfall has been developed and will be referred to Council for approval on 28th October, 2010. This strategy allocates one-off resources to address this issue.
- 6.6 A detailed budget management report for the first six months will be submitted to Cabinet in early November. The report will include the first detailed forecast outturns for the current year.
- 6.7 It is not anticipated that there will be any significant issues arising in relation to departmental budgets. Income shortfalls identified in 2009/2010 are continuing in relation to car parking, land charges and shopping centre income. It is anticipated the 2010/2011 shortfalls can be funded from the reserve allocated to manage these risks.
- 6.8 On the upside it is anticipated that a number of the year 1 BTP Service Delivery Option (SDO) reviews will be achieved earlier. These savings had not been anticipated to be achieved until next year and are already built in the MTFS for 2011/2012. It is now anticipated that there will be a part year benefit in the current year. If these savings are not needed to offset overspends in other areas it is suggested that the uncommitted resources are earmarked to fund future termination costs (see paragraph 9). Work is progressing to implement the SDO's as soon as possible and to quantify the part year benefit for 2010/2011. Details will be reported to a future Cabinet meeting.

7. CAPITAL PROGRAMME 2011/2012 TO 2013/2014

7.1 Government Capital Allocations

- 7.2 The previous Government issued multi year capital allocations up to 2010/2011 which provided greater financial stability. The previous Chancellor indicated that by 2014/2015 public sector capital investment would reduce from 3.1% of gross domestic product in 2009/2010 to 1.5% in 2014/2015. The current Chancellor's forecasts project a further reduction of 0.2% (£2.4bn) in capital investment, despite implications made in the 'Emergency Budget' that there would be no new capital cuts.
- 7.3 At a local level we have already seen the impact of these cuts in terms of the Building Schools for the Future Programme and the hospital decision.
- 7.4 With regard to capital allocations for 2011/2012 and future years details will be provided in the Spending Review. The Council will

need to review the position when detailed allocations for future years are known.

7.5 Local Allocations

7.6 The 2010/2011 budget provided the following capital allocations for local priorities and advised Members that if they wish to continue these priorities beyond 2010/2011 the borrowing costs will need to be funded from the revenue budget headroom.

	<u>Capital Budget £'000</u>
SCAPT Priorities	1,200
<u>Other Issues:</u>	
Neighbourhood Forum Minor Works	156
Community Safety Initiative	150
Disabled Adaptations	50

7.7 A detailed proposal for continuing the SCRAPT priorities and combining this with health and safety issues is included in the proposed revenue budget pressures detailed in paragraph 8.7.

7.8 In relation to the other issues Members need to determine if they wish to continue these initiatives. Assuming Members wish to support these initiatives the loan repayment costs of £35,000 will need to be funded from the available headroom, as detailed in paragraph 8.7.

8. GENERAL FUND REVENUE BUDGET 2011/2012 TO 2014/2015

8.1 As indicated earlier in the report the public sector faces the most challenging financial position since the Second World War. The Coalition Government is committed to reducing the public sector deficit over the lifetime of a single Parliament. They have also set out their intention that £4 in every £5 of this reduction will come from reducing public sector spending and only £1 from increased tax.

8.2 At a national level the Government have stated unprotected areas will see average reductions of 25% over the four years commencing 2011/2012. The impact on individual Government departments will not be known until the results of the Spending Review are published.

8.3 It is hoped that the Spending Review will provide clarity on a number of key issues:

- How the average 25% reduction will be allocated across Government departments and which departments will suffer the greatest cuts:
- How the cuts will be phased.

- 8.4 The detailed impact on individual local authorities will not be known until the Local Government grant settlement is announced in late December or early January, 2011.
- 8.5 Against this background it is extremely difficult to predict grant levels for the next four years. However, owing to the timescale for preparing the budget and the scale of the financial challenges facing the Council we cannot wait until the Government announces grant allocations for 2011/2012 and future years. Therefore, two planning scenarios have been examined to address the scale of anticipated grant reductions and uncertainty over the phasing of grant cuts.
- 8.6 The following table outlines these proposals and highlights the scale of the 2011/2012 budget deficit and the cumulative budget deficit for the period 2011/2012 to 2014/2015.

Table 2 – Forecast Budget Deficits

Grant Cut over 4 years starting 2011/12	2011/12 Deficit £'m	Cumulative deficit 2011/12 to 2014/15 £'m
Total cut 25% - 10% 2011/12, then 5% per year	8.1	20.8
Total cut 30% - 15% 2011/12, then 5% per year	10.7	23.1

8.7 The above deficits reflect the following local planning assumptions.

i) Impact of Inflation

Whilst inflation levels are currently low there will still be inflationary pressures on budgets and provision has been

included within the forecasts. As detailed in paragraph 8.9 the provision for pay awards can be reduced.

ii) The inclusion of £1m headroom for budget pressures, arising from demographic changes and other factors

Details of the proposals which will need to be funded from this provision are included in Appendix 2 and total £1.289m. The additional committed is included in the forecast deficit detailed in Table 2.

iii) Reduction in Budget Support Fund of £0.6m

The previous MTFs reflected the phased reduction in the annual contributions from the Budget Support Fund. This funding is temporary and the contribution will reduce from £1.5m in 2010/2011 to £0.9m in 2011/2012, which is the final year of available funding from the Budget Support Fund.

iv) Council Tax Level

Owing to the uncertainty regarding the Government's proposed Council Tax freeze and the detailed criteria for triggering Council Tax referendum (which assumes these regulations are introduced for 2011/2012) no increases in Council Tax income for 2011/2012 and 2012/2013 have been included in the forecast deficits.

If the Government provide a grant equal to the income generated from a Council Tax increase of 2.5% (the Council's previous planning assumption), the deficit for 2011/2012 would reduce by approximately £1m. This proposal would cost the Government £625 million to implement for all councils in England.

v) Implementation of Planned Business Transformation Programme Efficiencies

The existing BTP anticipates additional savings in 2011/2012 of £1.3m and work is progressing well to deliver these efficiencies ahead of schedule. This will bring the cumulative BTP efficiencies achieved in 2010/2011 and 2011/2012 to £3.8m.

8.8 **Proposals for reducing the 2011/2012 Budget Deficit**

8.9 There are a range of permanent and temporary measures available to reduce the 2011/2012 deficit. The temporary items are beneficial

in addressing the 2011/2012 deficit, although this will defer part of the budget deficit to 2012/2013. These issues are detailed below:

	<u>£'000</u>
<u>Permanent Benefits</u>	
i) <u>Increase in Business Transformation Programme Efficiencies</u>	1,600
<p>The MTFS currently anticipates BTP of £6m over a four year period, with £1.3m included in 2011/2012 forecasts. Based on progress to date it is anticipated that the aspirational target of £8m can be achieved over a shorter period. It is therefore now possible to anticipate a further £1.6m in 2011/2012 2012, subject to members agreeing proposals which are brought forward.</p>	
ii) <u>Lower Pay Awards 2010/2011 and 2011/2012</u>	1,000
<p>The position on pay awards for April, 2010 and 2011 is now becoming clearer and the cumulative provision can be reduced by £1m in 2011/2012. This assumes there are no pay awards for 2010/2011 and 2011/21012 and leaves provision to cover the estimated cost of a flat rate increase of £250 for employees earning below £21,000 from April, 2011.</p>	
iii) <u>Removal of One-Off Budgets for Brierton Site Costs and Dyke House Transport Costs</u>	345
<p>The Dyke House Capital Scheme will be completed over a shorter period than originally anticipated. Therefore, provision for these costs was made in the 2009/2010 Outturn Strategy. This means the base budget provision for this item is not needed for 2011/2012.</p>	

iv) Removal Mill House Loan Repayment Budget 309

The base budget includes £0.309m to support Prudential Borrowing towards the Mill House replacement. This project needs to secure significant grant funding to proceed. As this is unlikely to be achievable in the current financial climate this budget can be taken as a permanent saving.

v) Reduction in Looked After Children Contingency 250

This proposal depends on 2009/2010 expenditure trends continuing in the current year which would enable the Looked After Children Risk Reserve to be increased to £0.5m. This would provide a Risk Reserve equivalent to the value of the contingency for this area of two years.

vi) Review 2009/2010 and 2011/2012 Pressures and Contingency 83

A review of this item has identified a number of minor issues which no longer require funding.

Total Permanent Budget Reduction 3,587

Temporary Benefitsi) Use of Specific Departmental Reserves 561

Departments created a number of specific reserves as part of the 2009/2010 outturn strategy. These reserves are specifically earmarked to meet service pressures which have been included in the commitment identified against the budget headroom, as detailed in 8.7 (ii). These reserves can be released to support expenditure in 2011/2012.

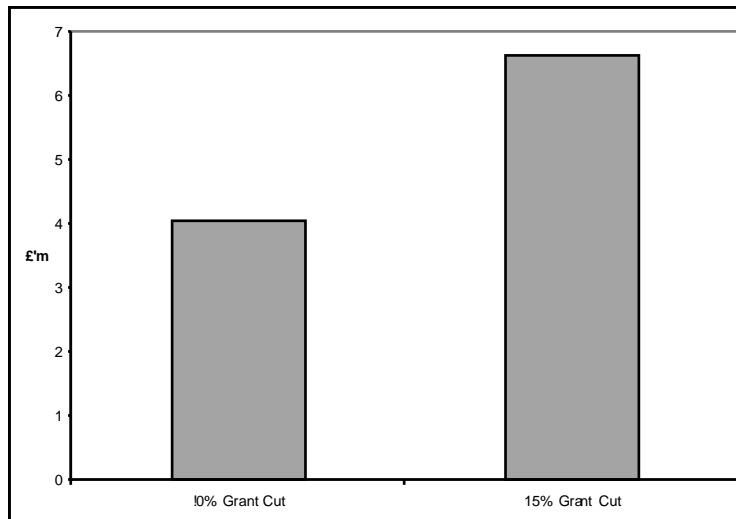
Total Temporary Resources 561

Total Permanent Budget Reductions and Temporary Resources 4,148

8.10 Residual Budget Deficit 2011/2012 to 2014/2015

8.11 The proposals identified in the previous paragraph reduce the forecast 2011/2012 budget deficit to between £4.1m and £6.7m assuming grant reductions of 10% and 15%, as summarised.

Table 3 – Residual 2011/2012 Budget Deficit



8.12 The actual grant cut for 2011/2012 will not be known until late in December or early January, 2011. As each +/-1% change in the Council's General Fund grant equates to £0.5m there will need to be a significant change from the planning assumptions of 10% and 15% to make a significant difference to the forecast deficit for 2011/2012. Therefore, the planning assumption of a deficit within the range of £4.1m to £6.7m is appropriate at this stage.

8.13 Detailed proposals for addressing deficits of this magnitude will need to be developed and implemented within a very short timescale. This strategy will need to assess the potential impact of staff redundancies which are likely to be at a higher level than in previous years. Cuts to specific grants will also have an impact. The Council will therefore need to follow specific consultation procedures with employees affected by grant cuts.

8.14 With regard to the budget position beyond 2011/2012 the Council will continue to face significant budget deficits as summarised below. These forecast assume each years budget is balanced through permanent reductions in net expenditure.

Table 4 – Residual Budget Deficits 2012/2013 to 2014/2015

Grant Cut over 4 years starting 2011/12	2012/13 Deficit £'m	2013/14 Deficit £'m	2014/15 Deficit £'m
Total cut 25% - 10% 2011/12, then 5% per year	5.0	3.9	3.8
Total cut 30% - 15% 2011/12, then 5% per year	4.9	3.8	3.7

8.15 It will become increasingly difficult to bridge the budget deficits after 2011/2012 owing to the measures which will have already been implemented. Therefore, as well as developing detailed proposals for addressing the 2011/2012 deficit, the Council needs to begin planning now how it will address future deficits. This strategy needs to include:

- sharing services with other councils or organisations;
- commissioning services from other organisations;
- increasing income;
- prioritising services and identify areas which will be scaled back or stop completely.

9. REDUNDANCY ISSUES AND FUNDING

9.1 The scale of the impending cuts means that there will be redundancies within the public sector and the Council. At this stage it is unclear where these reductions will fall as the Government have not yet determined which areas they wish to protect and which areas will be cut. Once these details are known the Council will need to undertake detailed consultation with employees at risk. This will need to be completed within a very short timescale owing to the timing of the Government's grant announcement and the deadline for setting the 2011/2012 budget.

9.2 There will be significant one-off termination costs from making people redundant. Based on experience of implementing the management structure changes these costs could exceed the year one savings by 30%. On this basis the Council faces potential termination costs arising solely from the General Fund Grant cuts in the region of £5m to £8m. The actual figure may be higher when cuts to specific grant regimes are known.

9.3 In order to address one-off costs of this magnitude the Council will need to consider a combination of funding streams covering:

i) Review of Reserves

Significant commitments already exist against the Council's main reserves. A comprehensive review of these commitments and resources will need to be undertaken to identify resources which can be released to support termination costs. This will need to include prioritising existing commitments and capitalising eligible expenditure if this releases reserves, although provision will need to be made for the resulting repayment costs.

ii) Seeking Government Approval to Capitalise Termination Costs

The Council has previously not met eligibility criteria to capitalise termination costs owing to the level of reserves. This position is likely to change as reserves are used up.

Equally, the Government have been asked to review existing capitalisation rules to reflect the unprecedented financial challenges facing councils and to implement a new system based on local affordability.

iii) Allocate underspends and one-off benefits

As indicated earlier in the report the one-off benefits from lower interest costs have already been earmarked to partly mitigate in-year grant cuts and the Tall Ships income deficit. It is suggested that any further benefits which arise in the current year are earmarked for termination costs.

iv) Impact of Grant Settlement

Proposals for achieving cuts to offset a grant reduction of up to 15% for 2011/2012 need to be developed. In the event that the 2011/2012 grant cut is less than 15% Members will need to determine if they wish to implement these savings in full to offset grant reductions in 2012/2013. This would provide a temporary benefit in 2011/2012 which could be allocated towards termination costs.

10. BUDGET RISKS

10.1 The major financial risks facing the Council is the level of grant allocations, the detailed basis for implementing cuts to different grant regimes and the links between grant regimes at a local level.

10.2 The Council also continues to monitor a range of risks and to make appropriate plans to mitigate these risks so that services are not adversely affected. As part of the 2010/2011 budget the Council reviewed its previous strategy of mitigating risk by allocating monies to individual risks and carrying earmarked reserves. This strategy is dependent upon there being sufficient financial flexibility to do this. This is no longer the case, therefore, a 'Strategic Risk Reserve' was established for these risks. This reserve has a current balance of £2.3m.

10.3 The risks against this reserve were initially estimated at £4.8m. Further work has been carried out and continues to be done to refine these and other risks. Some risks have occurred and been addressed, including the 2009/2010 income shortfalls and the non payment of the Local Public Service Agreement Reward grant. Other risks have been reviewed.

- 10.4 A new risk has been identified in relation to the sustainability of the Area Based Grant contribution to the General Fund budget of £0.490m in 2011/2012.
- 10.5 Further details on current risks are provided in Appendix 3 and summarised in Table 5. In overall terms the table shows the total value of risks has reduced from £4.8m+ to £3.29m+. This is mainly owing to the significant reduction in the BSF one-off costs risks owing to the Government cutting funding for this programme. As indicated previously these risks fall over a number of years. It will be necessary to consider topping up this reserve in future years depending on changes to the underlying risk factors or the availability of any further flexibility. Should the amounts payable in any year exceed the risk reserves, the shortfall will need to be met from the General Fund balance as a last resort.

Table 5 – Risk Issues Summary

Risk	Risk Assessment	Year	Estimated Value £'000
<u>Income Shortfalls</u>	Red	10/11 + 11/12	300
<u>Equal Pay and Equal Value Claims</u>	Red	10/11 onwards	2,000+
<u>Achievement of Salary Turnover Target</u>	Amber	10/11 onwards	500
<u>Additional BSF One-Off Costs</u>	Green	11/12	?
<u>JE Appeal Exceed £0.4m</u>	Amber/ Green	Back- dated to 06/07	?
<u>Sustainability of the Area Based Grant contribution to the General Fund Budget</u>	Amber	11/12	490
<u>Estimated Value of Risks</u>			3,290

11. TIMETABLE

- 11.1 As indicated earlier in the report there is considerable uncertainty about the date the Government will announce details of grant allocations for individual councils. The latest indications suggest this announcement may not be made until early in January, 2011. It is also unclear whether this announcement will just cover 2011/2012, or it will be a multi-year settlement.

- 11.2 This uncertainty means that the Council cannot follow the normal budget process or timetable, as the level of cuts which will need to be made and consequently the level of redundancies cannot accurately be assessed at this stage. Therefore, it is not possible to put forward detailed proposals for consultation and scrutiny, as this would also require the Council to commence formal consultation on proposed redundancies.
- 11.3 This situation means that the budget process will need to be condensed into a shorter period, to enable the Council to set the 2011/2012 budget in February, 2011. A proposed timetable to achieve this objective is detailed at Appendix 4 and details the key milestones for preparing the 2011/2012 budget. This timetable is critically dependant on the Government providing details of key information, including grant allocations for all areas and information on Council Tax capping regulations.
- 11.4 The proposed timetable indicates that the next key stage in the development of a strategy for managing cuts to grants in 2011/2012 will be the Spending Review announcement on 20th October, 2010. This announcement will enable CMT and Cabinet to review the Council's financial position and develop a strategy for addressing the 2011/2012 budget deficit. This will require a period of intensive work from late October to early November to enable Cabinet to formally approve initial proposals for next years budget at a special Cabinet meeting on 29th November, 2010. These details can then be referred to Scrutiny Co-ordinating Committee for consideration. This milestone will also enable the difficult process of consulting staff on potential redundancies to commence.

12. SPECIFIC GRANTS

- 12.1 This report concentrates on the impact of cuts to the Council's 'Formula Grant', which is the main un-ringfenced revenue grant received by the Council.
- 12.2 The Council also receives an Area Based Grant allocation of £14.4m, after the in-year cuts. This is also an un-ringfenced grant. The Area Based Grant includes a range of grants which were previously ringfenced, including funding for Supporting People and Connexions. This grant also includes the Working Neighbourhoods fund. The Government is likely to cut these grants and the position will need to be reviewed when detailed grant allocations are known.
- 12.3 The Council also currently receives ringfenced grants in the order of £15 million. These grants will also be reviewed by the Government and in many cases will be terminated or scaled back significantly. The impact on Hartlepool will need to be assessed when detailed grant allocations are known.

12.4 Given the significant pressures on the core budget from a cut in the Formula Grant the Council will not be in a position to mainstream either cuts in the Area Based Grant or Specific grants. Therefore, cuts to these grant regimes will need to be passported and services terminated or scaled back accordingly. For Council schemes the Council will need to fund redundancy costs from its own resources, as grant funding is generally committed to meeting running costs to the end of the year and redundancy costs are generally not eligible for grant funding. This will place an additional financial burden on the Council. Where redundancy costs can be funded from grants schemes will be scaled back where possible to reduce the financial impact on the Council.

13. CONSULTATION

13.1 Budget Consultation

13.2 The Council has undertaken a range of consultation research to inform the 2011 budget process. These have included:

- Viewpoint panel survey – 954 responses;
- Public survey open to all online and paper survey – 235 and 574 response respectively;
- Staff survey open to all staff only online – 370 responses;
- Discussion meetings with young people (over 50 people), community representatives and business representatives (approximately 40 people). Consulting with young people was specific request of Members.

13.3 In addition there has been national research by a number of organisations. The next section below summarises some key points emerging from this national and local research.

13.4 The main focus on local views in this summary is on the results from the Viewpoint panel which are based on a representative sample of adult residents. These are augmented with the results from other sources where it adds to the analysis.

13.5 More detailed results from the local consultations are at Appendices 5 to 9.

13.6 National Context

13.7 A majority appear to accept the need for action to reduce spending and the deficit, although a significant minority do not. There is less consensus on how action to reduce the deficit should be taken. There is considerable reluctance to see reductions in some services.

Ipsos Mori, April 2010

54% agreed there is a real need to cut spending on public services in order to pay off the very high national debt, 39% disagreed

64% think that most savings can be made through efficiencies alone without affecting the nature of services they receive at all.

Globescan for BBC, September 2010

60% were in favour of reducing the deficit, 33% were not in favour

82% surveyed were against education and healthcare cuts.

66% opposed cuts in military spending.

13.8 **Local Views**

13.9 The Viewpoint panel were asked their views on:

- overall performance and value for money of the Council;
- the acceptability of cutting expenditure on a range of services;
- different options for providing services.

13.10 The same survey was made available to the general public online and in paper. The views expressed were broadly similar to those of Viewpoint. (See Appendix 5).

13.11 Only 29% of Viewpoint panel members agreed the Council provides value for money; 50% were pleased with the overall level of service provided by the Council.

13.12 The Viewpoint panel were asked to say whether it was acceptable or unacceptable to cut future spending on 47 services. For 34 out of 47 (72%) service categories a majority of respondents stated that reduced spending was unacceptable. See Table 6 below. Overall it appears residents have no great appetite for reduced spending.

13.13 From the panel there was strong support for working with other public sector agencies (86%) and voluntary community and charitable organisations (84%) in order to protect services. There was less support, although still a majority, for working with the private sector (63%) and neighbouring councils (56%).

13.14 **Table 6 – Summary of Viewpoint Survey**

13.15 Listed below are a number of services where the Council is thinking about changing its spending. For each individual service please let us know whether it would be acceptable or unacceptable to cut future spending on that service.

Excluding Don't knows and No Answers

	Acceptable to cut %	Unacceptable to cut %
Maintaining roads, footpaths, street lights and gullies/drains	9	91
Safeguarding children and young people (e.g. child protection)	10	90
Waste collection, disposal and recycling	10	90
Care in own home to support daily living	12	88
Support for children with disabilities and special needs (including education psychology and assessment)	12	88
Residential care / day centres	15	85
Support for children and young people in need, including adoption and fostering	15	85
Road safety (e.g. school crossing patrols, traffic calming measures, and winter gritting)	15	85
Street cleaning and litter picking	19	81
Support for young people in care (including young people leaving care)	21	79
Anti-social behaviour team	23	77
'Dial A Ride' for people with disabilities	23	77
Coast protection (e.g. sea defences)	25	75
Provision of equipment and aids to support daily living	26	74
School catering	27	74
Improved opportunities for employment	27	73
Working to reduce drug and alcohol misuse	28	72
Maintaining & cleaning Council property e.g. schools, leisure centres, libraries, and community centres	29	71
Public and environmental health (e.g. cemeteries and crematoriums, trading standards, and welfare rights)	31	69
Sport and physical recreation (e.g. Mill House, and Headland Sports Hall)	31	69
Security patrols (e.g. Community Support Officers)	32	68
Parks, playgrounds and countryside	35	65
Working with young people to reduce offending	36	64
Regeneration projects (e.g. run down housing areas, affordable housing, community regeneration)	36	64
Youth services (e.g. youth clubs, activities, advice and support for 13 to 19 year olds)	37	63
Youth offending service (e.g. working with young offenders)	38	62

	Acceptable to cut %	Unacceptable to cut %
Beach safety (e.g. lifeguards)	38	62
Libraries	39	61
Provision of advice to encourage self help	41	59
Environmental enforcement (dog wardens, noise pollution, pest control)	45	55
Maintaining grounds (e.g. grass, verges, flower beds)	46	54
Transport to school (e.g. mainstream and special needs schools)	47	53
Closed circuit television (CCTV)	49	51
Support for bus services and concessionary fares	49	51
Community development (e.g. community centres and support for voluntary organisations)	51	49
Museums, art gallery, theatre, Historic Quay, festivals and events	52	48
Support for schools (e.g. improve exam results and attendance)	53	47
Support for employers and businesses	53	47
Adult and community education and learning	55	45
Energy efficiency / management	58	42
Tourism, including the Tourist Information Centre	62	38
Dealing with abandoned vehicles	63	37
Support services, e.g. accountancy, legal advice, personnel, and housing benefit and council tax administration	63	37
Support for alternative transport, such as paths and cycle lanes	65	35
Climate change / carbon reduction	66	34
Planning, Building Control, and Development Control	69	31
Support for Councillors and democratic arrangements	91	9

Note to Table 6: For each service the proportion of "Don't knows" varied from 1% (Waste collection and recycling) to 19% (Adults - Provision of advice to encourage self help). These responses have been excluded from the table. The range of results suggests that respondents have taken account of those areas where individuals are unclear or unfamiliar with the service.

13.16 In addition to Viewpoint other methods of consultation have been used. The different approaches mean the results are not directly comparable; however, it is useful to identify common themes and

differences. The paragraphs below indicate some common themes and some differences.

- 13.17 The public responses, particularly on rating services by acceptability for spending reductions, were very similar to Viewpoint. However, this group overall had a less favourable view of council performance.
- 13.18 Young people (see Appendix 6) were even less keen on reducing spending. For 20 out of 23 (87%) service categories a majority of young people stated that reduced spending was unacceptable. Services for vulnerable adults and children again emerged as areas unacceptable to cut. Supporting the economy and creating job opportunities was given greater emphasis. The views expressed also reflected their specific interests. So providing places to go and things for people to do was their top priority. Providing parks, playgrounds and open spaces were also rated more highly.
- 13.19 Community representatives (through the LSP, Community Network and Economic Forum) have had opportunities to feed in views (see Appendices 7 and 8). Community representatives were more positive about the council overall with 53% stating the Council provides value for money; and 78% were pleased with the overall level of service provided by the Council.
- 13.20 Staff (see Appendix 9) have a more positive view of Council performance and value for money. Staff tended to give lower priority to environmental services such as roads, street cleaning putting more emphasis on not reducing spending on services for vulnerable adults and children. This may reflect the mix of staff responding to the online survey.
- 13.21 **Consultation Conclusion**
- 13.22 The consultation provides some insights that may be useful for decision makers. However, on such a complex topic there are inevitably a range of views and no absolutely clear consensus. The methods adopted cannot answer some questions. For example, they do not show how the public would trade off reductions in various services in the likely scenario where most services will face reduced expenditure. For example, how the public would trade off spending on environmental services versus services for vulnerable adults and children which all emerge as priorities.
- 13.23 The comments below are provided as a basis for further discussion and consideration as part of the process of setting the budget.
- 13.24 **Prioritise front line services** and among front line services the environment and vulnerable adults and children are identified as areas least acceptable for reductions. From Viewpoint the top 10

services least acceptable to cut relate to the environment, vulnerable adults and vulnerable children. See box below and Table 6.

The 10 services least acceptable to reduce suggests 3 broad priorities for the public	
1. Environment	Maintaining roads, footpaths, street lights and gullies/drains, Waste collection, disposal and recycling, Street cleaning and litter picking
2. Vulnerable adults	Care in own home to support daily living, Residential care / day centres
3. Vulnerable children	Safeguarding children and young people (e.g. child protection), Support for children with disabilities and special needs (including education psychology and assessment)

13.25 **Priorities within front line services.** Within front line services there is a tendency to seek to protect services that address immediate needs over those with longer term aims. A range of service categories including alternative transport, climate change, planning and building control emerge as lower priorities. Where there was scope for broader discussions around the topic, for example with young people, the concern to protect services which could bring longer term benefits to the town also emerged, for example the need develop employment opportunities (see Appendix 6).

13.26 Some front line services, while valued, are not regarded as such high priorities in the current circumstances. For example young people suggested only having one library and making facilities such as Museums self financing. For a wide range of services including environmental enforcement activity (dog wardens, noise pollution, pest control) to adult and community education (see Table 6) the Viewpoint results suggest views are very evenly divided.

13.27 **Prioritise efficiency savings.** The public have a poor opinion of the Council's value for money. Comments from survey forms and discussions with groups elicit a wide range of suggestions for how costs might be reduced. For example, reducing pay for those earning over £30,000, reducing sickness absence benefits and improved procurement. Hartlepool results accord with national research where a majority (64%) think that most savings can be made through efficiencies alone without affecting the nature of services they receive.

14. CONCLUSION

14.1 The public sector faces the most difficult financial position since the end of the Second World War. The Government are committed to reducing the budget deficit more quickly than planned by the previous Government. The Chancellor has indicated this will mean average cuts to unprotected areas of 25% over four years commencing

2011/2012. Details of the impact on individual Government departments will be announced on 20th October, 2010.

- 14.2 The impact on individual Council's will not be known until late December, or early January, 2011, when details of the Local Government grant allocations are announced. This makes financial planning significantly more difficult.
- 14.3 The Council has already taken significant action through the Business Transformation Programme to address a more challenging financial position. This will not be enough to address the scale of the grant cuts from 2011/2012. Therefore, work has begun to develop a strategy to address cuts in the Formula Grant between 25% and 30% over a four year period.
- 14.4 The Council will also need to address the impact of cuts to the Area Based Grant and Specific Grants. Given the pressure on the General Fund budget from a cut in the Formula Grant it is anticipated that these cuts will need to be passported to the areas affected and services stopped, or scaled back to the level of available funding.
- 14.5 The uncertainty about the level of future grant allocations and the timing of detailed announcements by the Government makes financial planning difficult. It also means that the normal budget timetable cannot be followed. Therefore, a revised timetable has been developed to reflect the timing of key Government announcements, as detailed in Appendix 4. As part of this timetable it is suggested that this report is referred to Scrutiny Co-ordinating Committee on 15th October, 2010, together with the following initial consultation issues:

Table 7 – Initial Consultation Issues

- i) Do Members support the proposal to use Unsupported Prudential Borrowing to continue to fund the following local capital investment priorities, which will have an unfunded revenue pressure of £35,000?
- Neighbourhood Forum Minor Works allocations £156,000
 - Community Safety Initiatives £150,000
 - Disabled Adaptations £50,000
- ii) Do Members support the proposed revenue pressures identified in Appendix 3, totalling £1.289m?
- iii) Do Members support the proposals identified in paragraph 8.9 to reduce the 2011/2012 budget deficit?
- iv) If the phasing of grant cuts is less severe than 15% in 2012/2012 than forecast, do Member support the principle that

the Council should implement equivalent to a 15% grant cut in 2011/2012 if this protects the Council's financial position in the medium term?

15. RECOMMENDATIONS

15.1 It is recommended that Cabinet:

- i) Notes the report;
- ii) Approves the proposed budget timetable detailed in Appendix 4;
- iii) Refers the report and initial consultation proposals detailed to Table 76 to Scrutiny Co-ordinating Committee.

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Corporate Finance

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HARTLEPOOL
Borough Council

10 August 2010

Jasna Begum
Local Government Finance Directorate
Department for Communities and Local Government
Zone 5/D2 Eland House
Bressenden Place
LONDON
SW1E 5DU

Dear Jasna

LOCAL REFERENDUMS TO VETO EXCESSIVE COUNCIL TAX INCREASES - CONSULTATION

Thank you for providing the opportunity to respond to the Government's proposal to introduce local referendums to veto excessive Council Tax increases as an alternative to capping by Central Government.

The document issued by CLG states "this as a technical consultation seeking views from experts on the practicalities of implementing our proposals. Given this, the consultation will run for a shorter time frame of six weeks". In my opinion this is not a technical issue as the proposed change fundamentally undermines the democratic and financial independence of local authorities to determine the amount they raise from Council Tax and therefore the quality of services delivered to local people.

Since becoming a Unitary Authority in April, 1996, Hartlepool Council has actively engaged with local electors on our spending plans and Council Tax levels. As a result of this engagement over the period 1996/1997 to 2010/2011 the Council's element of the Council Tax bill has increased by 79% compared to the national increase of 122%. I therefore see no need for either the existing capping criteria or the introduction of local referendums both of which undermine the democratic legitimacy and financial independence of local councils.

The decision to reduce the consultation period to only six weeks and to schedule this consultation for the main holiday period clearly demonstrates that the coalition Government are not interested in local authorities views. In my opinion this is an extremely important issue and a longer consultation would be appropriate.

If the Government believes referendums on tax increases are such a good idea perhaps they should hold one on the proposed VAT increase? The increase in VAT from 17.5% to 20% is a regressive increase which will hit the most vulnerable members of society hardest. My Council has recently written to the Government about this issue.

Issues which should be addressed by the Government

In my opinion the proposal to introduce referendums fails to address the fundamental financial issues facing Local Government. The Government needs to address a number of key issues to enable councils to plan local services effectively in these challenging financial times:

- Provide a multi-year grant settlement so individual authorities can plan services;
- Provide clarity on the proposed Council Tax freeze and how/if this will be funded;
- Ensure that cuts in Government grants to local authorities are based on an amount per head of population as opposed to making across the board percentage cuts which impact adversely on areas with higher deprivation; and
- Ensure the element of resource equalisation included in the formula grant is protected. This is a critical issue for my Council as we currently lose £2.4m through the existing floor damping mechanism. If the Council received this money we would be able to reduce Council Tax by 6%.

Comments on proposed legislation (Section 9)

The proposal that billing authorities should organise and administer referendums is practically a sensible proposal. However, these arrangements need to ensure that where the referendum is the result of a proposal by a precepting authority it is clear this is the case. It is important that billing authorities are not held accountable for the actions of independent precepting authorities. This will be a difficult issue to address as in my experience most members of the public still find it difficult to understand that a large part of the Council Tax they pay relates to services provided by precepting authorities.

The proposal to send out information on the proposed Council Tax increase and budget, the comparative non excessive Council Tax rise etc., needs careful consideration. The legislation needs to make it clear this information will be sent out separately but at the same time as the Council Tax bills. This will be necessary for two reasons:

- To ensure the public are clear which authority (or authorities) the referendum(s) relate to as you could have the situation where a billing, precepting authority and parish council all required to undertake a referendum;
- Existing Council Tax billing requirements already mean that envelope capacity is either fully used or close to capacity and there is insufficient room for referendum information.

Other Comments

The proposals on referendum make only limited reference to the responsibilities of an Authority's Section 151 to advise the Authority on the robustness of the proposed annual budgets. The proposal requiring authorities to draw up budgets and proposed Council Tax levels in the usual way and to also draw up shadow budgets place increased responsibility on the Section 151 Officer. Presumably this Officer will also need to ensure the "supporting factual material setting out the proposed Council Tax increase and budget, the comparative non-excessive Council Tax rise and shadow budgets and the estimated cost of holding the referendum" is robust? If this is the case this needs to be recognised in the legislation.

Specific Consultation Questions

Comments on the specific consultation questions are provided in Annex A.

Yours sincerely

COUNCILLOR R PAYNE
FINANCE PORTFOLIO HOLDER
Enc.

SCHEDULE OF 2011/12 BUDGET PRESSURES

2011/12 PRESSURES - CORPORATE ITEMS

Budget Area	Value of Pressure £'000	Description of Pressure
Repayment costs of using Prudential Borrowing to capitalise revenue expenditure in 2010/11.	50	Repayment costs of using Prudential Borrowing to capitalise revenue expenditure in 2010/11 to achieve revenue saving in 2010/11 of £0.5m.
Repayment costs of using Prudential Borrowing for local priorities.	35	Repayment costs of using Prudential Borrowing for local priorities covering following capital allocations for 2011/12 - Neighbourhood Forum Minor Works allocations £156,000, Community Safety Initiatives £150,000 and Disabled Adaptations £50,000.
Repayment costs from continuing SCRAPT programme.	180	Repayment costs arising from capital allocation of £2.2million in 2011/12 to continue SCRAPT programme, second phase of planned maintenance work and DDA works. Detailed proposals for using the capital allocation will be developed if Cabinet approves inclusion of this revenue pressure.
	265	

2011/12 PRESSURES - CHILD AND ADULT SERVICES

Budget Area	Value of Pressure £'000	Description of Pressure
Mental Health	155	Continuation of previous trend of an increase in the number of high cost community based packages associated with Aspergers/autism/complex dual diagnosis. These are complex cases requiring significant funding and trends are expected to continue in the coming years. Council is under a statutory duty to meet assessed needs and there are risks around failure in meeting our Duty of Care.
Older People demographics	190	Continuation of previous years trend demographic trend arising from an aging population and increase in individuals with severe dementia requiring care.
Leaming Disabilities	250	Increase in number of individuals with complex care needs.
YOS Senior Practitioner	50	Increased capacity to address issue raised in OFSTED inspection.
	645	

2011/12 PRESSURES - REGENERATION AND NEIGHBOURHOODS DEPARTMENT

Budget Area	Value of Pressure £'000	Description of Pressure
Removal and disposal of abandoned and nuisance vehicles.	15	Funding for the removal and disposal of abandoned and nuisance vehicles. Formerly funded through I PSA reward grant monies.
Waste Disposal	50	Increase in Waste Disposal Costs arising from increase in EfW gate fee and landfill tax.
Concessionary Fares	110	Provision for above inflationary increase in Concessionary Fares.
Section 38 Budget	111	Loss on income arising from reduction in development, which is expected to continue owing to reductions in public sector capital spending. This risk was previously managed at a departmental level, but this is no longer sustainable as the existing reserves is expected to be fully committed in 2011/12. Therefore, this commitment need including in the budget forecasts for 2011/12 assume and the remaining reserve released to support the overall budget.
Environmental Enforcement Officers	93	3 x Environmental Enforcement Officers funded by Housing Hartlepool. Current funding is for one year only
	379	

Total Pressures	1289
Less Headroom included in budget forecasts for pressures	(1,000)
Additional net pressure to be funded	289

Schedule of Risk Issues

Risk	Risk Assessment	Year	Estimated Value £'000
<u>Income Shortfalls</u> Continuation of adverse trends owing to impact of recession on shopping centre, car parking and land charges income.	Red	10/11 + 11/12	300
<u>Equal Pay and Equal Value Claims</u> The Council continues to face a range of equal pay and equal value claims. A separate detailed report was reported to Cabinet on 27 th September, 2010 to provide an update on these risks. This report advises Members that this risk continues to be the single largest risk, after grant cuts. Therefore a significant provision continues to be necessary to attempt to safeguard services and the Council's position.	Red	10/11 onwards	2,000+
<u>Achievement of Salary Turnover Target</u> The base budget includes a 3% reduction in staffing costs to reflect normal delays in filling vacancies. The target is currently some £1m and has generally been achieved. There is an increasing risk the target will not be achieved owing to lower turnover and reduction in public sector vacancies. The turnover target will need to be reduced by down in proportion the value of salary savings taken to balance the 2010/2011 budget.	Amber	10/11 onwards	500
<u>Additional BSF One-Off Costs</u> This risk was previously estimated at £1.8m for the full BSF programme and was not expected to arise until 2012/2013. Following the reduction in this programme this risk has reduced. Work is currently ongoing to assess this risk.	Green	11/12	?

Risk	Risk Assessment	Year	Estimated Value £'000
<p><u>JE Appeal Exceed £0.4m</u></p> <p>This risk has reduced following the completion of 'red circle' appeals which carried the highest risk. Other appeals continue to be progressed.</p>	Amber/ Green	Back-dated to 06/07	?
<p><u>Sustainability of the Area Based Grant contribution to the General Fund Budget</u></p> <p>When the Area Based Grant was introduced a comprehensive review of existing commitments and grant flexibility was completed. This review identified resources to support the General Fund budget which for 2011/2012 are anticipated to remain at £0.49m. There is an increasing risk that the Government will cut the Area Based Grant, particularly the Working Neighbourhood Fund element, which will mean this support may reduce, or not be available at all.</p>	Amber	11/12	490
<u>Estimated Value of Risks</u>			3,290

PROPOSED BUDGET TIMETABLE

1. Cabinet 11th October, 2010
 - Overview of budget position
2. Scrutiny Co-ordinating Committee 15th October, 2010
 - Consideration of Cabinet Report from 11th October, 2010
3. Spending Review Announcement 20th October, 2010
4. Cabinet and CMT review impact of Spending Review on the Council's financial position and develop strategy for managing budget deficit – late October to early November.
5. Members Seminar – Impact of Spending Review on the Council's forecast position as reported to Cabinet on 11th October 2010.
6. Cabinet 29th November, 2010
 - Determine detailed proposals to be referred to Scrutiny Co-ordinating Committee
7. Scrutiny Co-ordinating Committee 3rd December, 2010
 - Consideration of Cabinet Report from 29th November, 2010
8. Local Government Grant announcement – late December, 2010./early January, 2011
9. Cabinet and CMT review impact of Local Government Grant announcement on the Council's financial position – late December, 2010./early January, 2011
10. Cabinet finalise budget proposals - early to mid February, 2011
11. Council consider Cabinet budget proposals – mid to late February, 2011.

Detailed Consultation Results from Viewpoint and Public

Have your say on Council spending - Viewpoint – 954 responses

- The country has hit hard financial times. The new Government is taking financial decisions which will impact on the town and Council for many years to come.
- The Council has reduced spending in 2010/2011 by £4.2m to balance the budget for this year. Grant cuts announced by Government in June means that Hartlepool Council will have to make further savings totalling £1.66m by March, 2011. This is Hartlepool's share of the £1.2bn of savings that councils throughout the country have to make as part of the overall savings of £6.2bn announced by the Coalition Government.
- Councillor Robbie Payne, the Council's Cabinet Member for Finance and Procurement, said: "All councils were expecting to be hit so this has come as no surprise. To save such a significant amount in such a short timescale will not be easy but we have no other option."
- The Government is also examining spending beyond 2011 by holding what's called a "comprehensive spending review" this autumn. This will spell out Government spending levels for the next 3 years. While we don't know the details we know it is going to be tough.
- The spending review will impact heavily on the Council because 65% of Council spending comes from Government grants. Only 35% is made up from Council Tax and charges. The Government has said that Council Tax will not increase for 2011/2012.
- The Council anticipates that savings of £12m over the next 3 years will need to be made to offset reductions in Government grant. This has to be taken from a total Council spend of £93m per year. This is in addition to the £6m of efficiency savings already planned by the Council.
- To plan for this the Council will be talking to many people and organisations over the next 6 months as the Council agrees its budget for 2011/2012 and beyond.
- This is your first opportunity to help shape the Council's priorities for spending by telling us what is most important to you.

If you would like any further information on this topic please contact us on (01429) 523101 or via e-mail cemtpa@hartlepool.gov.uk

1. To what extent do you agree or disagree that Hartlepool Borough Council provides value for money? Please tick one box only. (N=1111)

Strongly agree 4%	Tend to agree 25%	Neither agree nor disagree 32%	Tend to disagree 27%	Strongly disagree 12%	Don't know *
Strongly agree / tend to agree:		29%			
Tend to disagree / strongly disagree:		39%			

2. Before we begin collecting your views on different services, thinking of the overall service Hartlepool Borough Council currently provides, how pleased are you with our service? Please tick one box only. (N=1156)

Very pleased 5%	Fairly pleased 45%	Neither pleased nor unhappy 28%	Fairly unhappy 19%	Very unhappy 3%	Don't know *
Very pleased / fairly pleased:		50%			
Fairly unhappy / very unhappy:		22%			

Appendix 5

3. Listed below are a number of services where the Council is thinking about changing its spending. For each individual service please let us know whether it would be acceptable or unacceptable to cut future spending on that service.

(Please tick one box on each line)

<u>Adult social services</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Care in own home to support daily living (N=1183)	11	78	11
Provision of equipment and aids to support daily living (N=1178)	22	65	13
Provision of advice to encourage self help (N=1177)	33	48	19
Residential care / day centres (N=1174)	13	76	11
<u>Children's services</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Safeguarding children and young people (e.g. child protection) (N=1181)	9	84	7
Support for children and young people in need, including adoption and fostering (N=1181)	13	75	12
Transport to school (e.g. mainstream and special needs schools) (N=1179)	41	47	12
Support for young people in care (including young people leaving care) (N=1177)	18	68	14
Youth services (e.g. youth clubs, activities, advice and support for 13 to 19 year olds) (N=1176)	32	54	14
Support for schools (e.g. improve exam results and attendance) (N=1175)	46	40	14
Support for children with disabilities and special needs (including education psychology and assessment) (N=1183)	11	82	7
<u>Crime and community safety</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Closed circuit television (CCTV) (N=1184)	46	47	7
Security patrols (e.g. Community Support Officers) (N=1181)	30	64	5
Working with young people to reduce offending (N=1180)	30	55	14
Dealing with abandoned vehicles (N=1182)	54	32	14
Working to reduce drug and alcohol misuse (N=1182)	26	66	8
Anti-social behaviour team (N=1183)	21	70	8
Youth offending service (e.g. working with young offenders) (N=1183)	32	52	16

Appendix 5

<u>Environment & health</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Waste collection, disposal and recycling (N=1178)	10	89	1
Maintaining grounds (e.g. grass, verges, flower beds) (N=1176)	42	49	9
Street cleaning and litter picking (N=1175)	18	77	5
Coast protection (e.g. sea defences) (N=1170)	23	67	11
Energy efficiency / management (N=1176)	49	36	15
Climate change / carbon reduction (N=1178)	57	30	13
Public and environmental health (e.g. cemeteries and crematoriums, trading standards, and welfare rights) (N=1179)	28	62	10
Environmental enforcement (dog wardens, noise pollution, pest control) (N=1174)	41	49	11
<u>Recreation, leisure and community</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Beach safety (e.g. lifeguards) (N=1181)	35	58	7
Parks, playgrounds and countryside (N=1177)	31	58	10
Libraries (N=1167)	35	55	11
Museums, art gallery, theatre, Historic Quay, festivals and events (N=1176)	47	43	10
Sport and physical recreation (e.g. Mill House, and Headland Sports Hall) (N=1174)	28	63	10
Community development (e.g. community centres and support for voluntary organisations) (N=1172)	45	43	12
<u>Regeneration and planning</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Planning, Building Control, and Development Control (N=1173)	59	26	16
Adult and community education and learning (N=1177)	48	40	12
Tourism, including the Tourist Information Centre (N=1176)	54	33	14
Support for employers and businesses (N=1178)	46	41	13
Improved opportunities for employment (N=1171)	24	66	9
Regeneration projects (e.g. run down housing areas, affordable housing, community regeneration) (N=1177)	32	57	11
<u>Support services and management</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Maintaining & cleaning Council property e.g. schools, leisure centres, libraries, and community centres (N=1182)	27	66	7
Support services, e.g. accountancy, legal advice, personnel, and housing and council tax administration (N=1169)	56	32	12
Support for Councillors and democratic arrangements (N=1181)	81	8	10
School catering (N=1175)	24	66	10

Appendix 5

<u>Transport</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Support for bus services and concessionary fares (N=1182)	45	47	8
'Dial A Ride' for people with disabilities (N=1182)	21	70	9
Road safety (e.g. school crossing patrols, traffic calming measures, and winter gritting) (N=1182)	14	82	4
Maintaining roads, footpaths, street lights and gullies/drains (N=1184)	9	88	3
Support for alternative transport, such as paths and cycle lanes (N=1180)	59	31	10

4. Do you have any suggestions or examples of how the Council could save money over the next 12 months? If so, please use the space below to tell us about them: (693 suggestions received)

Reduce the level of services provided	(87)	Reduce managers pay	(18)
Have fewer councillors	(71)	Reduce the pay of the mayor	(15)
More efficient working / more productive	(69)	Charge more for council services	(11)
Scrap role of mayor	(69)	Fix the level of staff pay	(9)
Reduce councillor expenses	(52)	Scrap Hartbeat	(9)
Employ less staff	(45)	Reduce staff expenses	(8)
Reduce staff perks	(32)	Be more energy efficient	(7)
Fewer managers within the council	(32)	Privatise some services / get better value	(7)
Give those on benefits manual work to do	(22)	Increase the level of fines	(6)
Reduce the level of staff pay	(21)	Other	(81)
Cut councillors pay	(21)		

5. If, to protect services, the Council needed to consider different ways of delivering them, which of the following methods would you support?

(Please tick one box on each line)

	Strongly support %	Tend to support %	Don't really support %	Don't support at all %	Don't know %	Support %	Don't support %
a. Work with the private sector to provide services instead of the Council (N=1186)	24	39	23	14	*	63	37
b. Work with other public sector agencies to deliver services (e.g. NHS and police) (N=1118)	35	51	8	6	*	86	14
c. Work with voluntary community and charitable organisations (N=1106)	35	50	11	5	*	84	16
d. Share services with other councils (e.g. a neighbouring council such as Middlesbrough) (N=1101)	23	33	21	23	*	56	44



Have your say on Council spending. Public results, 789 completed questionnaires (574 paper, 235 online)

ALL councils are facing a challenging time as the new Coalition Government has given a commitment to reduce public sector spending.

Hartlepool Borough Council has already reduced spending in 2010/11 by £4.2m to balance the budget. Due to cuts in Government grants, the Council will need to make further savings of £1.66m in the current financial year, as well as finding additional savings resulting from the Government's emergency budget, which was recently announced.

The new Government is also examining spending beyond 2011 and will decide this autumn how much grant the Council will get for the next 3 years. This will impact heavily on Council finances as two thirds of Councils spending comes from Government grants and the rest is made up from Council Tax and income. Council Tax will not increase for 2011/12. The Council will need to make savings of £12m over the next three years to compensate for reductions in Government grant. This is in addition to the £6m of efficiency savings already planned by the Council.

Councillor Robbie Payne, the Council's Finance Portfolio holder said: "All councils are expecting to be hit financially and we will face some tough choices in the months and years ahead. But the worst choice would be to fail to put in place a credible plan to deal with this situation. To help us plan we need to understand the priorities of people across the town."

To help plan for the future, we will be talking to many people and organisations over the next few months before our budget for 2011/12 and beyond is agreed. This is your first opportunity to help shape the Council's priorities for spending by telling us what is most important to you. You can also fill this questionnaire out online by going to <http://consultation.hartlepool.gov.uk>. If you would like any more information, please call (01429) 523041 or e-mail youtownyoursay@hartlepool.gov.uk.

1. To what extent do you agree or disagree that Hartlepool Borough Council provides value for money? Please tick one box only.

Strongly agree %	Tend to agree %	Neither agree nor disagree %	Tend to disagree %	Strongly disagree %	Don't know %
7	23	22	30	19	*

2. Before we begin collecting your views on different services, thinking of the overall service Hartlepool Borough Council currently provides, how pleased are you with our service? Please tick one box only.

Very pleased %	Fairly pleased %	Neither pleased nor unhappy %	Fairly unhappy %	Very unhappy %	Don't know %
7	34	28	20	11	*

Appendix 5

3. Listed below are a number of services where the Council is thinking about changing its spending. For each individual service please let us know whether it would be acceptable or unacceptable to cut future spending on that service.

(Please tick one box on each line)

<u>Adult social services</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Care in own home to support daily living	12	80	8
Provision of equipment and aids to support daily living	20	70	10
Provision of advice to encourage self help	37	49	14
Residential care / day centres	14	78	8
<u>Children's services</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Safeguarding children and young people (e.g. child protection)	11	81	8
Support for children and young people in need, including adoption and fostering	15	75	10
Transport to school (e.g. mainstream and special needs schools)	47	43	11
Support for young people in care (including young people leaving care)	22	64	14
Youth services (e.g. youth clubs, activities, advice and support for 13 to 19 year olds)	34	54	12
Support for schools (e.g. improve exam results and attendance)	47	42	12
Support for children with disabilities and special needs (including education psychology and assessment)	12	82	6

Appendix 5

<u>Crime and community safety</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Closed circuit television (CCTV)	49	44	8
Security patrols (e.g. Community Support Officers)	39	56	5
Working with young people to reduce offending	37	51	13
Dealing with abandoned vehicles	53	34	13
Working to reduce drug and alcohol misuse	34	56	10
Anti-social behaviour team	29	64	8
Youth offending service (e.g. working with young offenders)	41	45	14
<u>Support services and management</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Maintaining & cleaning Council property e.g. schools, leisure centres, libraries, and community centres	32	60	8
Support services, e.g. accountancy, legal advice, personnel, and housing and council tax administration	64	25	11
Support for Councillors and democratic arrangements	81	9	10
School catering	37	51	11
<u>Environment & health</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Waste collection, disposal and recycling	11	86	3
Maintaining grounds (e.g. grass, verges, flower beds)	42	48	10
Street cleaning and litter picking	19	75	6
Coast protection (e.g. sea defences)	27	62	11
Energy efficiency / management	62	25	13
Climate change / carbon reduction	65	22	13
Public and environmental health (e.g. cemeteries and crematoriums, trading standards, and welfare rights)	28	62	11
Environmental enforcement (dog wardens, noise pollution, pest control)	41	50	8

Appendix 5

<u>Recreation, leisure and community</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Beach safety (e.g. lifeguards)	36	56	8
Parks, playgrounds and countryside	33	58	9
Libraries	28	68	5
Museums, art gallery, theatre, Historic Quay, festivals and events	50	40	9
Sport and physical recreation (e.g. Mill House, and Headland Sports Hall)	33	59	8
Community development (e.g. community centres and support for voluntary organisations)	43	48	9
<u>Regeneration and planning</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Planning, Building Control, and Development Control	63	23	14
Adult and community education and learning	53	38	9
Tourism, including the Tourist Information Centre	59	30	11
Support for employers and businesses	55	32	13
Improved opportunities for employment	32	57	11
Regeneration projects (e.g. run down housing areas, affordable housing, community regeneration)	37	53	10
<u>Transport</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Support for bus services and concessionary fares	38	57	5
'Dial A Ride' for people with disabilities	25	69	6
Road safety (e.g. school crossing patrols, traffic calming measures, and winter gritting)	20	75	5
Maintaining roads, footpaths, street lights and gullies/drains	11	86	3
Support for alternative transport, such as paths and cycle lanes	64	28	8

Appendix 5

4. Do you have any suggestions or examples of how the Council could save money over the next 12 months? If so, please use the space below to tell us about them: (971 comments received)

	%	(no.)		%	(no.)
Reduce the level of services provided	8	81	Reduce managers pay	3	29
Have fewer councillors	14	138	Reduce the pay of the mayor	0	4
More efficient working / more productive	8	78	Charge more for council services	2	19
Scrap role of mayor	15	147	Fix the level of staff pay	1	10
Reduce councillor expenses	7	71	Scrap Hartbeat	1	14
Employ less staff	5	45	Reduce staff expenses	2	16
Reduce staff perks	4	41	Be more energy efficient	1	13
Fewer managers within the council	5	47	Privatise some services / get better value	2	23
Give those on benefits manual work to do	2	19	Increase the level of fines	1	7
Reduce the level of staff pay	1	11	Other	14	134
Cut councillors pay	2	24			

Appendix 5

5. If, to protect services, the Council needed to consider different ways of delivering them, which of the following methods would you support?

(Please tick one box on each line)

	Strongly support %	Tend to support %	Don't really support %	Don't support at all %	Don't know
a. Work with the private sector to provide services instead of the Council	21	34	21	24	*
b. Work with other public sector agencies to deliver services (e.g. NHS and police)	38	47	6	9	*
c. Work with voluntary community and charitable organisations	38	39	13	10	*
d. Share services with other councils (e.g. a neighbouring council such as Middlesbrough)	22	26	19	34	*

Appendix 5

About you...

You do not need to answer the following questions, but it would be really useful to us if you would.

6. Are you...	Male % 43	Female % 48			
7. How old are you?	16-24 4	25-44 % 18	45-64 % 36	65+ % 31	No answer % 10
8. Are you...	White % 99	Other % 1			
9. Please tell us your postcode?	<div style="border: 1px solid black; padding: 5px;"> TS24: 15%; TS25: 33%; TS26: 8%; TS27: 4%; Outside H/pool: 0.5%; No answer: 25% </div>				

Report of Consultation with Young People

Young Peoples feedback on the Budget Spending Plan for Hartlepool

Background

Hartlepool IYSS was tasked to consult with young people from across the town on how the council should be spending the money it receives from the Government, as well as from Council Tax and other charges. Young people were asked to complete a town wide survey that had been designed to gain peoples views on spending. Along side this there were a number of focus groups ran with over 50 young people from different backgrounds, ethnicity and gender in order to gain some 'real feedback' and 'opinions' from young people in relation to Hartlepool Borough Councils spending plan for the coming years.

The groups of young people that took part in this consultation included:

- College of FE students
- Brinkburn Sixth Form College.- mixed gender young people aged between 16 – 17years who access Brinkburn Youth Club during lunch and free time from the college
- Brinkburn Young Girls group - all female group of young women aged 14 – 16 years,
- Greatham Youth Centre - mixed gender young people accessing youth club activities predominantly in the 13 – 18 age range
- Salaam Girls group – all female group of young women aged 13 – 19 years
- Brinkburn Youth Centre – mixed gender young people accessing youth club activities predominantly in the 13 – 18 age range
- Hartlepool Young Carers – mixed group of young people aged 13 to 19 years
- UKYP - mixed group of young people involved in participation activities with a primary focus on giving a voice to young people aged 11-18 years
- Hartlepool Grant Givers mixed gender group of young people aged 13 – 18 years, who are actively involved in participation activities for young people.
- Hartlepool Young Inspectors – mixed group of young people aged 13 - 19 who are actively involved in participation activities for young people with a specific agenda for inspecting young peoples services
- Throston Project- mixed gender young people accessing youth club activities predominantly in the 13 – 18 age range

As well as the young people who took part in the focus sessions a number of young people from across the town filled in the questionnaires as way of offering the opportunity to participate and make their views count.

The workshops were run on an informal basis with facilitated discussion about council spending in general. Within those discussions there were some adult set questions asked to the group to begin the conversation around the budget.

Young people were given some background information on the current financial situation, including how the council is currently spending money in 2010 and 2011 and how much the council needs to reduce its spending in the coming years. The information given to the young people covered what the money is currently being spent on and how as a council we pay for the spending. Also discussed was the 'bigger picture' in terms of what the new Coalition Government is saying. After being given this information young people were asked to fill in the questionnaires. A summary of results from the questionnaires is provided below.

The groups also discussed three topics:

- 'Your suggestions and /or examples of how the council can save money over the next 12 months'
- 'Imagine you have to make the decision for the council, you are the mayor and you have to agree a budget for 2010 for each of the main service groups'.
- 'What council areas should be protected and why'?

A summary of points from the discussions is also provided below.

7.1 - 10.10.15 - SCC - Appendix A - Medium Term Financial Strategy (MTFS) 2011-12 to 2014-15



Have your say on Council spending

All councils are facing a difficult time as the new Government has committed to reduce public sector spending. As a result, Hartlepool Borough Council has received LESS money than expected from Central Government this year and we will receive LESS money next year. We have also been told we cannot raise more money through Council Tax, as this has been frozen for next year. This means that Hartlepool Borough Council cannot continue to pay for all the services we currently provide. We need your help to decide which services we should reduce spending on and which services you think are important for us to continue spending money on.

About you...			
1. Are you...	Male	Female	2. How old are you?
	50%	50%	
3. Are you...	White	Other	
	93%	7%	
4. Please tell us your postcode?	TS24: 21%; TS25: 51%; TS26: 10%; TS27: 4%; NA: 13%		

5. Do you agree or disagree that Hartlepool Borough Council provides value for money? Please tick one box only.			
Agree 23%	Neither agree nor disagree 21%	Disagree 37%	Don't know 19%

6. How pleased are you with the service Hartlepool Borough Council provides? Please tick one box only.			
Pleased 20%	Neither pleased nor unhappy 31%	Unhappy 37%	Don't know 11%

7. Listed below are a number of services where the Council is thinking about changing its spending. For each service please let us know whether it would be acceptable or unacceptable to cut future spending on that service.

Please tick one box on each line)

Appendix 6

<u>Adult social services</u>	Can cut %	Can't cut %	Don't know %
To help older people or disabled adults to live at home for longer - by providing carers (e.g. nurses), equipment (such as hand rails and stair lifts) and advice.	11	81	7
Providing care homes and day care centres - for people who are no longer able to live at home, and to give carers a break	6	77	17

<u>Children's services</u>	Can cut %	Can't cut %	Don't know %
Supporting schools - e.g. transport to schools, school meals, and helping people get better exam results.	12	78	10
Help for children with disabilities and special needs - by providing equipment, carers and schools	13	81	6
Providing places for young people to go and things for young people to do - e.g. youth clubs and community centres.	6	84	10
Adoption and fostering - e.g. finding children safe families to live with and providing support when they leave care.	10	77	13
Keeping young people safe - by protecting children from abuse or neglect.	14	80	6

Appendix 6

<u>Regeneration and planning</u>	Can cut %	Can't cut %	Don't know %
Supporting the economy - including helping small businesses, creating jobs, and promoting tourism	22	66	12
Houses and buildings - deciding where, when and how new houses, run down areas, and old buildings can be developed and restored	49	28	23

<u>Crime and community safety</u>	Can cut %	Can't cut %	Don't know %
Monitoring crime - through CCTV, patrols by Community Support Officers, & Anti-social behaviour teams	28	63	9
Preventing and dealing with crime - through reducing drug and alcohol misuse and working with people who are at risk of offending, and by removing abandoned vehicles and working with offenders	21	66	13

<u>Support services and management</u>	Can cut %	Can't cut %	Don't know %
Looking after Council buildings - including cleaning, and repairing Schools, Libraries and community centres	32	58	10
The Mayor and Councillors - including allowances, meetings, and staff that support them	62	22	16
Council office staff - such as accountants, lawyers, receptionists and secretaries	47	35	18

Appendix 6

<u>Transport</u>	Can cut %	Can't cut %	Don't know %
Roads and footpaths - including streetlights, drains, and cycle paths	19	71	10
Keeping roads safe - including lolly-pop men, zebra crossings, and winter gritting	19	74	7
Public Transport - including cheaper bus fares for older people and 'Dial A Ride' for people with disabilities	24	69	7

<u>Recreation, leisure and community</u>	Can cut %	Can't cut %	Don't know %
Cultural and leisure facilities - including Libraries, Museums, Art galleries, Theatres, Leisure Centres and community centres	34	52	15
Parks and open spaces - including Summerhill, Ward Jackson Park, Burn Valley, playgrounds, and lifeguards	16	74	10

<u>Environment and health</u>	Can cut %	Can't cut %	Don't know %
Rubbish and recycling - including emptying your bins, getting rid of your rubbish, and recycling your waste	19	74	7
Keeping Hartlepool clean and tidy - including cutting grass, planting flowers, cleaning up litter and dog poo.	25	62	13
Looking after Hartlepool - including cemeteries, beaches, and stopping the sea flooding Hartlepool	7	90	3
Protecting the environment - by looking at how Hartlepool can be energy efficiency and reduce climate change	32	54	13

Summary of discussions with young people

The workshops were run on an informal basis with facilitated discussion about council spending in general. Within those discussions there were some adult set questions asked to the group to begin the conversation around the budget.

The two topics discussed and key points were:

- 'Your suggestions and /or examples of how the council can save money over the next 12 months'
 - Everyone's has to take responsibility
 - Get better value for money from energy use, mobile phones, procurement, utilisation of council building
 - Reduce spending on lower priority areas such as flower arrangements and sculptures,
 - Integrate community safety services
 - Take opportunities for increasing income – e.g. museums
 - Providing only one library
 - Getting young people involved in the upkeep of parks
 - Involving communities in street cleaning and litter picking

- 'Imagine you have to make the decision for the council, you are the mayor and you have to agree a budget for 2010 for each of the main service groups. What council areas should be protected and why?'
 - Support for employees and business to help address unemployment
 - Beach safety
 - Youth centres, parks and activities for young people
 - Education and schools
 - Services for older people
 - Dial a ride
 - Street lighting
 - Waste collection

A fuller summary of points from the discussions is provided below.

Key areas of discussion:

'Your suggestions and /or examples of how the council can save money over the next 12 months'

Some of the key thoughts and ideas expressed by young people were very different from group to group and varied depending on each group's knowledge of the council and what it does on the whole.

A key theme that came across from the majority of the workshops was that saving money was everyone's responsibility and that some simple things like switching off lights and computers at the wall would be contributing if everyone did it!

Some young people expressed the view that councillors expenses needed to be taken in to consideration as well as the 'Mayors' salary also.

A lot of the workshops brought about discussions over the yellow lines that had been put all around the town and the 'real need' for these. Young people felt they were a waste of council resources and the reasoning of them being put there for the tall ships did not justify the amount that was spent on them.

The use of work mobile phones was raised and young people thought that they needed to be monitored in terms of the deal you get from the company and using the cheapest handset as opposed to a 'blackberry' or 'iphone'.

Appendix 6

Consistently young people talked about spending money on things that actually decorate the town like flowers and sculptures and thought that in the current financial situation this could be a key saving.

Recycling was again a common factor that came up in a number of workshops and young people did not feel that enough emphasis was placed on this as a money saving factor.

'Making use of existing council buildings and space' was another key concern the young people highlighted as they didn't think the council utilised their own spaces as much as they could.

Touching upon some personal experiences the young people thought that council catering at meetings and events should be stopped and that people should provide their own. Some young people had existing knowledge of the council catering prices and thought that they were very expensive compared to some other providers.

This led in to the discussion on procurement and how some council services are bound to use 'set providers'. Young people found this notion extremely hard to accept and thought in some cases this could lead to spending 'too much' money on things that could be bought cheaper elsewhere thus creating an immediate saving.

An issue raised in some of the workshops was that of 'museums being self funded', as young people thought that they should generate their own sources of income as they would be more that capable of doing so.

Finally young people discussed the area of what they termed as 'middle managers' and 'pen pushers'. They felt that there was not always a need to have managers for the sake of it and that those people who were key to delivering services were the most important people to employ. The young people did point out that they did not favour people losing their jobs but that job roles should reflect people being made accountable and that their work should make a difference.

In relation to all council services and departments young people were asked to consider two main questions when making their comments. The two questions were:

'Imagine you have to make the decision for the council, you are the mayor and you have to agree a budget for 2010 for each of the main service groups'

'What council areas should be protected and why?'

Regeneration and planning

Young people's views in this area were around protection and support for employees and businesses, so that the unemployment figure for Hartlepool could be reduced. The young people felt very strongly about protecting employment for people as they thought that it would have a detrimental effect on 'Hartlepool' as a whole if people lost their jobs. They also felt that it was important that new jobs were created as more and more people were leaving university and other training courses and found it difficult to find work. Young people expressed the view that we should put money in to existing buildings rather than 'knocking down and building new'. Also there was a general agreement that less money should be spent on tourism as they didn't feel it was a big enough priority and that perhaps Hartlepool being a small town didn't really need that much money spent on tourist information although it needs to be noted that they understood the value of tourism and the money it brings in to the town. They thought that it was important to have some support around adult training if the town were to get people back in to paid employment.

Crime and community safety

A common theme in this area was that CCTV is important to both keeping people safe and making them feel safe as well as acting as a deterrent to people who may commit crime. However some cameras could be better located and positioned in some areas. In terms of some services that fall under the crime and community safety heading young people thought that they could be integrated in to one service as they seem to be aiming for similar goals. Also discussed was the emphasis of preventative work on drug and alcohol misuse and anti social behaviour with young people from an early age in order to influence their choices as an adult. Some young people thought that the Antisocial Behaviour Unit was not a service for young people but for adults only and that this should be the job of the police and not for a separate department. Another key theme was that the dealing with abandoned vehicles was not needed as a role as 'there are more important things to be dealt with than this' is how the young people put it. In terms of C.S.O's young people felt that they had little power and or authority and that perhaps they were not as important as some other services under this heading.

Recreation, leisure and community

The majority of young people said that beach safety should be protected as it is used by a large majority of people across the town as well as sport and recreation as it promotes a healthy lifestyle although it is not a big 'need' for young people as they are involved in sport when they attend school. A key thought was that there should be one library in the town as Hartlepool is only small and the main library is centrally located and is easy to get to using public transport from all areas of town. Parks for young people should be protected but young people should be involved in the upkeep of them as they would appreciate them more, and it is young people who vandalise them most. Parks are also places that people who have little money can take their families free of charge so they should be kept. Museums and art galleries should not have any money put in to them because they can generate their own and that they are not a 'need' unlike some other services.

Children's services

The majority of young people wanted to protect youth centres and activities for young people. They thought that this helps keep them 'out of trouble and from committing antisocial behaviour and getting ASBO 13s and may increase the quality of a young person's life. Also Education should be protected as this was important for all young people having a good quality of life in the future. Young people said that children and young people are vulnerable so safeguarding is important but it was important that 'young people' should be allowed to be 'young people' and that sometimes procedures are silly and a waste of time. Transport is important to get to school but where possible young people can walk as it keeps them healthy and fit. The conversations around support for attendance and exam results was felt that it was less important than the rest of them as young people who had knowledge of attendance officers didn't really think they made that much difference.

Support services and management

Majority of young people agreed it was important to keep up the maintenance and cleaning of schools etc in order to allow people safe and healthy environments to work and learn in. The vast majority of the young people said it was not important to have support for councillors and democratic arrangements as they should be doing this themselves and at the very least it should be cut down because they felt it wasn't value for money. One option was to have an integrated service within support services and management. However school catering was important as for some young people their school dinner may be the only meal they have all day and so this need to be nutritional and well balanced and young people should be allowed seconds if they like.

Adult Social Services

Young people had some mixed views in this area with some young people taking the approach that families should be responsible where as the majority felt it was important to

Appendix 6

offer support in the whole of this area. The common argument was that OAPs in particular have paid their way in society all their lives and should be looked after and given a good quality of life. Day centres and residential centres were deemed to be important in enabling a good quality of life and making sure that some people did not become housebound and could have some form of social activity in their lives.

Transport

Young people generally felt that there should be concessionary fares for not only the elderly but for young people as well. Young people discussed the difficulty of getting to different parts of the town for activities and the cost associated with this. Young people would protect dial a ride as they felt this was important for people who have disabilities and ensuring their quality of life. Young people thought that street lighting was important in all areas of the town and should be protected but that more efficient lighting (such as solar lights) should be used. They did not think that cycle paths and lanes were important as they felt they were not used enough to justify spending any amount of money on them.

Environment and health

Majority of young people said to protect waste collection but that a bigger emphasis should be placed on recycling. Some groups discussed the idea that things like street cleaning and litter picking should be done within the element of community service to save money. Most of the young people were not concerned with the protection of dog wardens and coast protection etc as they did not think that people listened and that it was value for money.

Notes from Meeting Community Network Representatives Meeting

Community Network Notes of meeting Tuesday 7 September Budget Consultation – questions, comments and responses –

The meeting started with a presentation about the Council's overall budget position.

Community Network representatives were then asked to complete a questionnaire seeking views on overall council performance and areas where reductions/cuts in spending would be acceptable or unacceptable. Questions were also asked about preferences regarding who would be acceptable as service provider. This was the same questionnaire used for Viewpoint, public and staff consultation.

Initial comments following budget presentation

Is reduction in pay for higher paid staff (over £30,000) being considered?

Council: No plans as yet to review higher pay but national review of pension arrangements likely to consider increasing payments and reducing benefits.

Council saved £2.5m in management costs. Is that a net figure or were there costs?

Council: Cost in year 1 was slightly more than £2.5m.

Does £21,000 pay cap for eligibility to receive £250 flat rate pay increase take account of overtime?

Council: Government proposal not clear so don't know.

Is absenteeism being addressed?

Council: Yes and have done so for several years. Absence rates have reduced. Council as an employer is probably more sympathetic to sickness and would not want to force people back to work when for example they are caring for vulnerable older people.

Further comment: That still didn't explain why Hartlepool higher than other councils.

Volunteers being asked by Government to take on more roles in their communities. Why was HVDA cut by 17%.

Council: Council decision, judgement about priorities and action required to balance the budget for 2010/11.

Reserves and investment: how much and where does it go?

Council: Interest rates currently very low, less than 1%.

Council is a cautious investor, had no money invested in Icelandic banks.

Overall reserves £30m but much of this earmarked for specific costs or held in trust.
e.g. school reserves £5m but these controlled by schools, reserves set aside to meet insurance claims.

Council should cut mayor and his gang

Council: no comment

Council should have addressed spending long time ago. Money wasted for example replacing adequate roundabout with traffic lights, building bus station. Council should consult on these projects before going ahead.

Council: no comment

Council should reduce use of consultants.

Council: Council do seek to use council staff but sometimes necessary where it would be uneconomic to retain our own staff. For example work on Building Schools for the Future.

Agree with central government plans to ring fence education and health but not overseas aid. Government should have consulted before deciding what would be ringfenced.

Council: no comment

Completion of questionnaire

Difficult to respond using questionnaire, too simple, does not give enough information. Consulted needed more information in order to provide considered responses. For example, those consulted might not appreciate that voluntary and community sector provided valuable services to the elderly and other communities.

Council: This is the first phase of consultation to get broad overview of priorities. Further consultation on detailed proposals is planned.

Not enough information for effective consultation. Not just about cuts. Look at alternative providers, eligibility criteria, and opportunities for increasing income. Want to see cost reduced not services.

Council: Council addressing these issues through a programme for service delivery option reviews (SDOs). Situation is often complicated. Scope for cost reduction often limited where staff costs are the main costs because TUPE regulation protect staff.

How can organisation feed their views into these reviews? Some organisations feel they could provide services at a lower cost e.g. Youth Connexions Service.

Consultation with potential providers should happen. Need to make sure consultation takes place.

Council: Officers leading reviews should be consulting organisations on their views but capacity was limited.

Services for the elderly, infirm and children should be ringfenced.

There is scope to reduce support for schools. Leave head teachers to decide if they want to buy in support. Reference to Learning Support team where staff were made redundant and schools made their own arrangements. In some case support was taken because it was free rather than because it was valued.

There are statutory services which must be provided but there is room to interpret what this means. For example free school meals could be water, fruit and a sandwich rather than a hot meal or salad. But there as value in the better service because for many children this was their main meal.

Consider using charities to deliver services. Give them the job and monitor what they do.

Council: Council looking at whether there is a better way to deliver services. All services are being looked at. Council often seeks to use other providers such as charities.

Keith Bayley confirmed and provided examples.

Will change to benefit regulations, such as introduction of Fit Notes, impact on the number of volunteers.

Increasing unemployment will mean there is no shortage of volunteers.

Concern about availability of money to regenerate areas already demolished or that remain unfit. Need to ensure these properties remain maintained.

Use local small builders to maintain and refurbish rather than demolish.

Council: Over the recent years council had been successful in winning bids for regional money and had done better than many neighbouring authorities.

Housing regeneration is often complex, for example, the impact of property speculators buying properties in the hope of profits.

7.1 - 10.10.15 - SCC - Appendix A - Medium Term Financial Strategy (MTFS) 2011-12 to 2014-15

Bus companies holding council to ransom. Why can't council run its own bus service which might be less expensive?

Council: Changes to bus regulation would require national change. Some councils had tried to run their own bus services and these had been bankrupted by low cost competition from national bus companies.

Block off Villiers Street

Council: raise with Engineers

Council response:

Villiers Street is already closed at one end. There is no plan to change this arrangement. Villiers Street is used for access to the public display area (Army Careers, Hartlepool Mail, etc), it has a taxi rank on it and also as pick up and drop off for disabled visitors to the library.

Need to invest to save. Alcohol services, for example, for which Hartlepool has been red flagged. These services save £5 for each £1 invested because fewer people require treatment; there is less anti social behaviour etc. There are too many targets about activity rather than outcomes and prevention.

Council: Often the investment by the council produces savings by other organisations. For example council invest in alcohol services and NHS and other organisation such as the Police make the savings. Need to find a way of sharing the costs and benefits.

Working with the private sector: the voluntary sector also makes a profit or surplus but the difference is that this is retained in the local area. Private sector takes profit out of the town.

Protect most vulnerable jobs – need to protect low earners with least power.

Procurement – often view given by a council officer is that it doesn't matter who delivers the services. This is too simplistic. Need to construct tenders so local companies and voluntary sector have fair opportunity to bid successfully. These factors need to be built into the process. Often easy approach is taken aggregating contracts which may only be attractive to larger companies.

Procurement: 2 stages. Portfolio holder agrees to procurement and officers then arrange and manage procurement process. Mayor is speaking about procurement on Thursday at the People Centre.

Dredging harbour £230,000 for Tall Ships. Contract payment but work not completed.

Council: to investigate dredging contract.

Council response:

Discussions took place during the Tall Ships planning process between HBC, Marina and PD Ports on the possible need to dredge parts of the West Harbour approach to enable Tall Ships to safely navigate their way into Hartlepool Marina.

At no point was a contract for £230,000 discussed or agreed.

Professional soundings were taken and this research showed that with very careful navigation, the Tall Ships would be able to have safe passage through the West Harbour approach. This proved to be the case during the event.

Community Representative Results, 23 Completed Questionnaires

<p>1. To what extent do you agree or disagree that Hartlepool Borough Council provides value for money? Please tick one box only.</p>					
Strongly agree %	Tend to agree %	Neither agree nor disagree %	Tend to disagree %	Strongly disagree %	Don't know %
10	43	14	29	5	*
<p>2. Before we begin collecting your views on different services, thinking of the overall service Hartlepool Borough Council currently provides, how pleased are you with our service? Please tick one box only.</p>					
Very pleased %	Fairly pleased %	Neither pleased nor unhappy %	Fairly unhappy %	Very unhappy %	Don't know %
13	65	13	4	4	*

3. Listed below are a number of services where the Council is thinking about changing its spending. For each individual service please let us know whether it would be acceptable or unacceptable to cut future spending on that service.

(Please tick one box on each line)

<u>Adult social services</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Care in own home to support daily living	4	96	0
Provision of equipment and aids to support daily living	9	87	4
Provision of advice to encourage self help	35	61	4
Residential care / day centres	17	78	4
<u>Children's services</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Safeguarding children and young people (e.g. child protection)	5	96	0
Support for children and young people in need, including adoption and fostering	5	96	0
Transport to school (e.g. mainstream and special needs schools)	27	56	18
Support for young people in care (including young people leaving care)	27	73	0
Youth services (e.g. youth clubs, activities, advice and support for 13 to 19 year olds)	18	64	18
Support for schools (e.g. improve exam results and attendance)	50	50	0
Support for children with disabilities and special needs (including education psychology and assessment)	5	96	0

Appendix 8

<u>Crime and community safety</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Closed circuit television (CCTV)	59	32	9
Security patrols (e.g. Community Support Officers)	18	82	0
Working with young people to reduce offending	5	82	14
Dealing with abandoned vehicles	55	41	5
Working to reduce drug and alcohol misuse	18	73	9
Anti-social behaviour team	23	73	5
Youth offending service (e.g. working with young offenders)	18	68	14
<u>Support services and management</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Maintaining & cleaning Council property e.g. schools, leisure centres, libraries, and community centres	35	48	17
Support services, e.g. accountancy, legal advice, personnel, and housing and council tax administration	61	30	9
Support for Councillors and democratic arrangements	78	9	13
School catering	27	64	9
<u>Environment & health</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Waste collection, disposal and recycling	14	82	5
Maintaining grounds (e.g. grass, verges, flower beds)	36	55	9
Street cleaning and litter picking	18	77	5
Coast protection (e.g. sea defences)	35	48	17
Energy efficiency / management	55	36	9
Climate change / carbon reduction	55	32	14
Public and environmental health (e.g. cemeteries and crematoriums, trading standards, and welfare rights)	18	77	5
Environmental enforcement (dog wardens, noise pollution, pest control)	26	65	9
<u>Recreation, leisure and community</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Beach safety (e.g. lifeguards)	35	61	4
Parks, playgrounds and countryside	41	55	5
Libraries	30	52	17

Appendix 8

Museums, art gallery, theatre, Historic Quay, festivals and events	52	44	4
Sport and physical recreation (e.g. Mill House, and Headland Sports Hall)	22	70	9
Community development (e.g. community centres and support for voluntary organisations)	9	83	9
<u>Regeneration and planning</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Planning, Building Control, and Development Control	61	22	17
Adult and community education and learning	39	48	13
Tourism, including the Tourist Information Centre	52	44	4
Support for employers and businesses	61	39	0
Improved opportunities for employment	9	83	9
Regeneration projects (e.g. run down housing areas, affordable housing, community regeneration)	9	82	9
<u>Transport</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Support for bus services and concessionary fares	35	56	9
'Dial A Ride' for people with disabilities	17	74	9
Road safety (e.g. school crossing patrols, traffic calming measures, and winter gritting)	14	82	5
Maintaining roads, footpaths, street lights and gullies/drains	27	68	5
Support for alternative transport, such as paths and cycle lanes	73	18	9

4. Do you have any suggestions or examples of how the Council could save money over the next 12 months? If so, please use the space below to tell us about them:

Results being summarised

5. If, to protect services, the Council needed to consider different ways of delivering them, which of the following methods would you support? (Please tick one box on each line)

Appendix 8

	Strongly support %	Tend to support %	Don't really support %	Don't support at all %	Don't know
a. Work with the private sector to provide services instead of the Council	24	19	24	33	*
b. Work with other public sector agencies to deliver services (e.g. NHS and police)	50	50	0	0	*
c. Work with voluntary community and charitable organisations	82	18	0	0	*
d. Share services with other councils (e.g. a neighbouring council such as Middlesbrough)	14	52	24	10	*

Appendix 8

About you...

You do not need to answer the following questions, but it would be really useful to us if you would.

6. Are you...	Male % 52	Female % 48			
7. How old are you?	16-24 0	25-44 % 39	45-64 % 30	65+ % 30	
8. Are you...	White % 100	Mixed 0	Asian or Asian British 0	Black or Black British 0	Chinese or other ethnic group 0
9. Please tell us your postcode?	TS24: 39%; TS25: 22%; TS26: 39%				

Staff Results, 370 Completed Questionnaires

1. To what extent do you agree or disagree that Hartlepool Borough Council provides value for money? Please tick one box only.

Strongly agree %	Tend to agree %	Neither agree nor disagree %	Tend to disagree %	Strongly disagree %	Don't know *
17	48	22	10	3	*

2. Before we begin collecting your views on different services, thinking of the overall service Hartlepool Borough Council currently provides, how pleased are you with our service? Please tick one box only.

Very pleased %	Fairly pleased %	Neither pleased nor unhappy %	Fairly unhappy %	Very unhappy %	Don't know *
19	56	20	5	1	*

3. Listed below are a number of services where the Council is thinking about changing its spending. For each individual service please let us know whether it would be acceptable or unacceptable to cut future spending on that service. (Please tick one box on each line)

<u>Adult social services</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Care in own home to support daily living	8	81	11
Provision of equipment and aids to support daily living	18	68	14
Provision of advice to encourage self help	29	50	20
Residential care / day centres	16	70	14
<u>Children's services</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Safeguarding children and young people (e.g. child protection)	5	92	4
Support for children and young people in need, including adoption and fostering	6	84	10
Transport to school (e.g. mainstream and special needs schools)	50	35	15
Support for young people in care (including young people leaving care)	12	76	13
Youth services (e.g. youth clubs, activities, advice and support for 13 to 19 year olds)	43	42	15
Support for schools (e.g. improve exam results and attendance)	47	39	15
Support for children with disabilities and special needs (including education psychology and assessment)	8	83	10
<u>Crime and community safety</u>	Acceptable to	Unacceptable to	Don't

Appendix 9

	cut %	cut %	know %
Closed circuit television (CCTV)	62	30	8
Security patrols (e.g. Community Support Officers)	41	50	9
Working with young people to reduce offending	29	56	15
Dealing with abandoned vehicles	63	20	17
Working to reduce drug and alcohol misuse	30	55	15
Anti-social behaviour team	33	53	15
Youth offending service (e.g. working with young offenders)	31	51	18
<u>Support services and management</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Maintaining & cleaning Council property e.g. schools, leisure centres, libraries, and community centres	41	50	9
Support services, e.g. accountancy, legal advice, personnel, and housing and council tax administration	49	37	14
Support for Councillors and democratic arrangements	85	5	10
School catering	38	48	13

Appendix 9

<u>Environment & health</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Waste collection, disposal and recycling	19	76	6
Maintaining grounds (e.g. grass, verges, flower beds)	58	32	11
Street cleaning and litter picking	30	63	7
Coast protection (e.g. sea defences)	30	55	15
Energy efficiency / management	58	28	14
Climate change / carbon reduction	62	24	15
Public and environmental health (e.g. cemeteries and crematoriums, trading standards, and welfare rights)	31	56	13
Environmental enforcement (dog wardens, noise pollution, pest control)	45	45	10
<u>Recreation, leisure and community</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Beach safety (e.g. lifeguards)	37	54	10
Parks, playgrounds and countryside	39	50	11
Libraries	49	44	8
Museums, art gallery, theatre, Historic Quay, festivals and events	56	36	9
Sport and physical recreation (e.g. Mill House, and Headland Sports Hall)	39	53	8
Community development (e.g. community centres and support for voluntary organisations)	53	36	11
<u>Regeneration and planning</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Planning, Building Control, and Development Control	58	29	13
Adult and community education and learning	53	36	11
Tourism, including the Tourist Information Centre	60	27	13
Support for employers and businesses	50	37	13
Improved opportunities for employment	28	59	13
Regeneration projects (e.g. run down housing areas, affordable housing, community regeneration)	29	59	12

Appendix 9

<u>Transport</u>	Acceptable to cut %	Unacceptable to cut %	Don't know %
Support for bus services and concessionary fares	61	32	7
'Dial A Ride' for people with disabilities	23	69	9
Road safety (e.g. school crossing patrols, traffic calming measures, and winter gritting)	18	76	6
Maintaining roads, footpaths, street lights and gullies/drains	18	76	6
Support for alternative transport, such as paths and cycle lanes	70	21	9

4. Do you have any suggestions or examples of how the Council could save money over the next 12 months? If so, please use the space below to tell us about them:

	%	(no.)		%	(no.)
Reduce the level of services provided	9	26	Reduce managers pay	1	4
Have fewer councillors	10	28	Reduce the pay of the mayor	0	0
More efficient working / more productive	15	41	Charge more for council services	1	4
Scrap role of mayor	6	17	Fix the level of staff pay	1	2
Reduce councillor expenses	4	10	Scrap Hartbeat	1	2
Employ less staff	2	6	Reduce staff expenses	3	7
Reduce staff perks	7	18	Be more energy efficient	3	9
Fewer managers within the council	4	12	Privatise some services / get better value	3	9
Give those on benefits manual work to do	1	3	Increase the level of fines	0	0
Reduce the level of staff pay	2	6	Other	25	69
Cut councillors pay	1	3			

Appendix 9

5. If, to protect services, the Council needed to consider different ways of delivering them, which of the following methods would you support? (Please tick one box on each line)

	Strongly support %	Tend to support %	Don't really support %	Don't support at all %	Don't know
a. Work with the private sector to provide services instead of the Council	9	25	27	41	*
b. Work with other public sector agencies to deliver services (e.g. NHS and police)	35	54	5	6	*
c. Work with voluntary community and charitable organisations	21	55	17	7	*
d. Share services with other councils (e.g. a neighbouring council such as Middlesbrough)	26	40	16	18	*

Appendix 9

About you...

You do not need to answer the following questions, but it would be really useful to us if you would.

6. Are you...	Male %	Female %	No answer %		
	26	52	22		
7. How old are you?	16-24	25-44 %	45-64 %	65+ %	No answer %
	5	43	29	0.5	22
8. Are you...	White %	Other %	No answer %		
	76	1	23		
9. Please tell us your postcode?	<div style="border: 1px solid black; padding: 2px;"> TS24: 11%; TS25: 10%; TS26: 8%; TS27: 4%; Outside: 5%; No answer: 63% </div>				

OVERALL PROCESS**1) 29 Nov 2010 - Cabinet**

To determine proposals for consideration by SCC

2) 1 Dec 2010 - 'All Day' SCC

To consider Cabinet proposals (process for consideration potentially to be based upon the options outlined).

3) Between the 6 Dec and 13 Dec 2010 - Potential Scrutiny Forum / Working Groups

Potential meetings / Working Groups to consider any issues identified for further consideration at the SCC meeting on the 1 Dec 2010.

4) 17 Dec 2010 – Potential SCC at 1pm

Potential meeting to consider responses from Scrutiny Forums / Working Groups

5) Late Dec 2010 - Cabinet

To consider SCC views / comments on proposals

6) Late Dec 2010/early Jan 2011 - Local Gov. announcement

Please note that the amended process will replace the two stage process normally undertaken (i.e. the usual process for Scrutiny involvement can not take place this year.)

Please see a number of possible options outlined on the following pages.

OPTION 1

- All Scrutiny Members to be invited to attend.
- Portfolio Holders to be invited to attend.
- Members in attendance to be split in to two groups (A and B).
- Each group to attend two separate sessions (swapping between each).
- Potential of further meetings of each of the Forums/SCC in the week commencing.

All Day Scrutiny Co-ordinating Committee (1 December 2010)

Time: AM (8.30 to 12:15pm)		Lunch		Time: PM (12:45pm to 5:30pm)			
		(12:15pm to 12:45pm)					
<p>8:30am to 9:00am Introductory Session</p> <ul style="list-style-type: none"> • Budget Intro. • Explanation meeting process. 	<p>9:00am to 10:30am Two Sessions running at a time in separate rooms:-</p> <p>Regeneration Services Budget Session – Group A</p> <p>and</p> <p>Adult Services Budget Session – Group B</p>	Coffee Break	<p>10:45am to 12:15pm Two Sessions running at a time in separate rooms:-</p> <p>Adult Services Budget Session – Group A</p> <p>and</p> <p>Regeneration Services Budget Session – Group B</p>	<p>12:45pm to 2:15pm Two Sessions running at a time in separate rooms:-</p> <p>Neighbourhood Services Budget – Group A</p> <p>and</p> <p>Child Services Budget Session – Group B</p>	Coffee Break	<p>2:30pm to 4:00pm Two Sessions running at a time in separate rooms:-</p> <p>Child Services Budget Session – Group A</p> <p>and</p> <p>Neighbourhood Services Budget – Group B</p>	<p>4:00pm to 5:30pm Concluding Session</p> <ul style="list-style-type: none"> • Consideration of CEX budget. • Feedback from individual sessions

Potential Benefits:

- Members to have the opportunity to gain a view of issues / budget areas outside their Forum remit.
- Members retain the opportunity to break down departmental activities in to smaller areas for easier consideration.

OPTION 2

- All Scrutiny Members to be invited to attend.
- Portfolio Holders to be invited to attend.
- Members in attendance to be split in to respective Forums (on a departmental basis).

All Day Scrutiny Co-ordinating Committee (1 December 2010)				
Time: AM (9.00 to 12:00noon)		Lunch (12:00 noon to 12:30pm)	Time: PM (12:30pm to 5:00pm)	
8:30am to 9:00am Introductory Session <ul style="list-style-type: none"> • Budget Intro. • Explanation meeting process. 	9:00am to 12noon Regeneration and Neighbourhoods Department Budget Session All Scrutiny Members to be invited.		12:30pm to 3:30pm Child and Adult Services Department Budget Session All Scrutiny Members to be invited.	3:30pm to 5:00pm Concluding Session <ul style="list-style-type: none"> • Consideration of CEX budget. • Feedback from individual sessions

Potential Benefits:

- Members to have the opportunity to gain a rounded view / overall of the whole budget process.
- Reduced pressure on Directors time.

OPTION 3

- All Scrutiny Members to be invited to attend.
- Portfolio Holders to be invited to attend.
- Members in attendance to be split in to Forum memberships and look at appropriate budget areas.
- Alternatively, each of the sessions could be formal Forum meetings with SCC adjourned at 10am and reconvened at 2:30pm for feedback from each of the Forums.
- Issue – There will be a small cross over of Members (see below).

All Day Scrutiny Co-ordinating Committee (1 December 2010)				
Time: AM (9.30 to 12:00noon)		Lunch (12:00 noon to 12:30pm)	Time: PM (12:30pm to 4:30pm)	
<p>9:30am to 10:00am Introductory Session</p> <ul style="list-style-type: none"> • Budget Intro. • Explanation meeting process. 	<p>10:00am to 12noon</p> <p>Two Sessions running at a time in separate rooms:-</p> <p>Session 1:</p> <p>Regeneration and Planning Services Scrutiny Forum members - to look at the appropriate budget areas.</p> <p>Session 2:</p> <p>Adult and Community Services Scrutiny Forum members - to look at the appropriate budget areas.</p> <p>Member crossover: None</p>		<p>12:30pm to 2:30pm</p> <p>Two Sessions running at a time in separate rooms:-</p> <p>Session 1:</p> <p>Neighbourhood Services Scrutiny Forum members - to look at the appropriate budget areas.</p> <p>Session 2:</p> <p>Children’s Services Scrutiny Forum members - to look at the appropriate budget areas.</p> <p>Member crossover: Cllr Griffin and Fleet</p>	<p>2:30pm to 4:30pm Concluding Session</p> <ul style="list-style-type: none"> • Consideration of CEX budget. • Feedback from individual sessions

Potential Benefits:

- Members to have the opportunity to retain one phase of the existing Budget Scrutiny process within a condensed time frame.

SCRUTINY CO-ORDINATING COMMITTEE

15 October 2010



Report of: Chief Finance Officer and Head of Performance and Partnerships

Subject: QUARTER 1 – CORPORATE PLAN AND REVENUE FINANCIAL MANAGEMENT REPORT 2010/2011

SUMMARY

1. PURPOSE OF REPORT

1.1 To provide details of progress against the Council's overall revenue budget for 2010/2011.

2. CONSIDERATION OF ISSUES

2.1 A separate report has not been prepared for your Committee as a comprehensive report was submitted to Cabinet on 6th September, 2010 (**Appendix 1**). This report sets out the key issues to bring to your attention.

2.2 In line with previous monitoring reports, the attached report provides an overall picture of performance and progress against the approved 2010/2011 revenue budget.

3. RECOMMENDATIONS

3.1 Members consider the report.



CABINET REPORT

6th September, 2010

Report of: Corporate Management Team

Subject: QUARTER 1 – CORPORATE PLAN AND REVENUE
FINANCIAL MANAGEMENT REPORT 2010/2011

SUMMARY

1. PURPOSE OF REPORT

1.1 To inform Cabinet of: -

- The progress made towards achieving the Corporate Plan Actions in order to provide timely information and allow any necessary decisions to be taken;
- To provide details of progress against the Council's overall revenue budget for 2010/2011.

2. SUMMARY OF CONTENTS

2.1 The report describes progress towards achieving the actions within the Corporate Plan using the traffic light system of Green, Amber and Red. The report provides an overview of Council performance, with separate sections providing more detailed information for each Portfolio Holder to consider.

2.2 The Revenue Budget Monitoring report covers the following areas:

- Overview of Financial Position;
- Review of High Risk Budget Areas;
- Performance against Budget Pressures treated as Contingency Items;
- Progress against Departmental Salary Turnover Targets;
- Progress against Area Based Grants
- Key Balance Sheet information.

3. RELEVANCE TO CABINET

Cabinet has overall responsibility for the monitoring of the Council's Corporate Plan and the Revenue budget.

4. TYPE OF DECISION

None.

5. DECISION MAKING ROUTE

Cabinet 6th September, 2010.

6. DECISION(S) REQUIRED

Cabinet is asked to: -

- Note the current position with regard to performance and revenue monitoring;
- And approve date changes in paragraph 8.4

Report of: Corporate Management Team

Subject: QUARTER 1 – CORPORATE PLAN AND
REVENUE FINANCIAL MANAGEMENT
REPORT 2010/2011

1 PURPOSE OF REPORT

- 1.1 To inform Cabinet of the progress made towards achieving the Corporate Plan outcomes through identified actions and of progress against the Council's own 2010/2011 Revenue Budget, for the period to 30th June, 2010.

2 BACKGROUND

- 2.1 In line with previous monitoring reports, this report is an integrated document that is page numbered, thus allowing Members easier navigation around the report. (See contents table below). The report firstly provides an overall picture of performance and progress against the approved 2010/2011 revenue budget.

Section	Heading	Page
3.	Overall Performance and Progress on Actions and Performance Indicators	2
	Detailed Performance Monitoring Sections	
4.	Adult and Public Health Portfolio	3
5.	Children's Services Portfolio	4
6.	Culture, Leisure and Tourism Portfolio	5
7.	Performance Portfolio	6
8.	Finance and Procurement Portfolio	6
9.	Transport and Neighbourhoods Portfolio	7
10.	Regeneration and Economic Development Portfolio	8
11.	Community Safety and Housing	9
12.	Revenue Financial Management Information	10
13.	Conclusions	14
14.	Recommendations	14

- 2.2 This report will be submitted to Scrutiny Co-ordinating Committee on 15th October, 2010.

3 OVERALL PERFORMANCE AND PROGRESS ON ACTIONS AND PERFORMANCE INDICATORS

3.1 The Council identified 107 actions with specific completion dates and 121 Performance Indicators (PIs) as measures of success in the 2010/2011 Corporate Plan. Overall performance is good and in line with expectations with all but one action and 75% of the PIs (when annually reported PIs have been removed) judged to be either on or above targets. An explanation of the traffic lights can be found below Tables 1 and 2 below summarise officers' views on progress as at 30th June, 2010, for each Portfolio Holder's responsibilities: -






-  Action has not been completed or PI target not achieved
-  Action/PI where intervention is required as not progressing well
-  Action/PI progress is acceptable
-  Action/PI on track to achieve
-  Action/PI completed or target achieved

Table 1 – Progress on Actions within the Corporate Plan

Portfolio	Actions by Traffic Light					
	Green (on track or achieved)		Amber (progress acceptable)		Red (not achieved or intervention required)	
	No.	%	No.	%	No.	%
Adult Services and Public Health	10	100	0	0	0	0
Children's Services	25	86	3	10	1	4
Culture, Leisure and Tourism	5	100	0	0	0	0
Performance	18	67	9	33	0	0
Finance and Procurement	7	88	1	12	0	0
Transport and Neighbourhoods	11	100	0	0	0	0
Regeneration and Economic Development	5	71	2	29	0	0
Community Safety and Housing	5	50	5	50	0	0
Total	86	80	20	19	1	1

Table 2 – Progress on Performance Indicators

Portfolio	PIs by Traffic Light					
	Green (on track or achieved)		Amber (progress acceptable)		Red (not achieved or intervention required)	
	No.	%	No.	%	No.	%
Adult Services and Public Health	5	83	1	17	0	0
Children's Services	3	100	0	0	0	0
Culture, Leisure and Tourism	1	100	0	0	0	0
Performance	0	0	0	0	0	0
Finance and Procurement	1	100	0	0	0	0
Transport and Neighbourhoods	8	73	2	18	1	9
Regeneration and Economic Development	5	83	1	17	1	0
Community Safety and Housing	7	70	4	40	0	0
Total	30	75	8	20	2	5

*figure may not always add to 100% due to rounding

DETAILED PERFORMANCE MONITORING SECTIONS

4 ADULT AND PUBLIC HEALTH PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 4.1 Within the Adult and Public Health Portfolio there are a total of 10 actions identified in the 2010/2011 Corporate Plan. A total of 9 actions have been assessed as being on target for completion and one has been completed within the timescale. No actions required intervention at this point in the year.
- 4.2 With regards to PI within the Corporate Plan, 2 have already achieved their target with are further 4 being on track or achieving acceptable progress.
- 4.3 Key areas of progress made to date in the Adult and Public Health Portfolio include: -
- The Obesity Partnership has now been re-launched as the Healthy Weight Healthy Life partnership with new terms of reference and strengthened membership and is overseeing implementation of a range of healthy eating initiatives. Investment has also been secured to develop Specialist Weight Management services;
 - The proportion of eligible people accessing support via a personal budget is increasing month on month. Targeted work is being undertaken to promote personal budgets with people with mental health needs with an event held in June. Work continues to consider how personal budgets are developed for children and young people and work is ongoing with the PCT in relation to the Personal Health Budgets pilot;

- The development of Laurel Gardens, which will provide extra care for people with dementia, is a positive step in increasing the range of housing and support options available;
- Three new services for carers, identified as priorities through the Carers Strategy, have been commissioned from April, 2010 - Carers Assessment, Carers Registration Scheme and a Carers Information Service. A target has been set to increase the number of carers registered with the Carers Emergency Respite Care Scheme from 124 in April, 2010 to 400 by December, 2010 enabling carers to feel more secure, confident and supported in their caring role.

5 CHILDREN'S SERVICES PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 5.1 Within the Children's Services Portfolio there are 29 actions identified in the 2010/2011 Corporate Plan. A total of 22 of these actions are on target for completion, 3 are making acceptable progress and 3 have been completed. One action requires intervention:

Actions assessed as requiring intervention

Outcome: Be Healthy			
Code	Action	Due Date	Note
CADHW017	Work with partner agencies, young people, schools and families to reduce under 18 conception rates by 55% from 1998 baseline and improve sexual health	31/03/2011	Recently published under 18 conception rates show a slight reduction in the under 18 conception rates for Hartlepool, 65.9% per 1000 females aged 15-17 years. This demonstrates a 12.9% change in the rate from the baseline in 1998 which was 75.6%

- 5.2 All but three PIs in the Corporate Plan are measure on an annual basis but these three quarterly PIs have achieved their targets.

- 5.3 Key areas of progress made to date in the Children's Services Portfolio include: -

- Actions in the Hidden Harm Action plan are being addressed and progress will be enhanced via Think Family project in respect of developing services for parents with a parental substance misuse as well as for their children;
- Percentage of young people NEET is 7% against a target of 7.6%. The team will be participating in NEET Reduction Activity for a full week in July. This will include evening activity. In response to the current cohort of Year 11's leaving school work is taking place to complete the Transition Plan, tracking the full cohort. September Guarantee figures indicate 92% of the Year 11 cohort have offers of learning and 67% of

Year 12 cohort have offers. The introduction of Foundation Learning (1st August, 2010) may impact on efforts to reduce the NEET cohort, however, it is anticipated that we will remain on target following the transition period;

- The parenting strategy group continues to provide the drive to ensure the objectives of the strategy are met. At the end of Q1 more than 100 parents have accessed parenting services. Barnardos coordinate the parenting services on behalf of the strategy group and a range of monitoring opportunities are being developed in partnership with the Child and Adult Data team;
- 2010 Prevention services based in the Team Around the School model continues to progress and was commented on positively by the inspectors in the Ofsted announced inspection. Primary schools in the north of the town have agreed to pilot a multi agency approach to resource allocation that will include a range of service options such as psychology service, speech/language and parenting. This will initially be chaired by the parent commissioner as part of the strategy to further integrate services.

6 CULTURE, LEISURE AND TOURISM PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

6.1 Within the Culture, Leisure and Tourism Portfolio there are a total of 5 actions that were identified in the 2010/2011 Corporate Plan. All of these actions have been assessed as being on target for completion by the agreed date.

6.2 Only one performance indicators is measured on a quarterly basis and this PI is on track to achieve its target. The remaining PIs are measured annually

6.3 Key areas of progress made to date in the Culture, Leisure and Tourism Portfolio include: -

- Learning Outside the Classroom Quality badge achieved for the Outdoor Activity service;
- Summerhill maintained Green Flag status following re-inspection;
- Inspire Mark (LOCOG) accreditation gained for Ready Steady Walks programme, Sports Unlimited and Free Swimming.

7 PERFORMANCE PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

7.1 Within the Performance Portfolio there are a total of 27 actions within the 2010/2011 Corporate Plan. A total of 18 of these actions have been assessed as having been completed or on

target to be completed by the agreed date. A further 9 actions are performing at an acceptable level.

7.2 There are no PIs reported on a quarterly basis for the Performance Portfolio, all are measured on an annual basis.

7.3 Key areas of progress made to date in the Performance Portfolio include: -

- The LAA Delivery and Improvement Plan for 2010/2011 was agreed by Cabinet on 10th May, 2010 and by the Hartlepool Partnership on 21st May, 2010;
- Work is ongoing to facilitate the inclusion of arrangements for the functions of a Crime and Disorder Committee;
- The process for implementation the Petition Scheme is underway;
- The Business Transformation programme is under constant review and reports have been submitted to cabinet in June and July on what actions the council may take in the light of the increasing financial pressure being faced;
- Management Academy launch, management and competency profiles agreed.

8 FINANCE AND PROCUREMENT PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

8.1 Within the Finance and Procurement Portfolio a total of 8 actions were identified in the 2010/2011 Corporate Plan. One of the actions has already been completed within its due date and a further 6 are on track. One action is at an acceptable level.

8.2 There is just one PI under the Finance and Procurement Portfolio that is measured on a quarterly basis and this is on track to achieve its target.

8.3 Key areas of progress made to date in the Finance and Procurement Portfolio include: -

- Proposals for a Regional Collaborative Procurement Strategy are currently with the Council for debate and decision. The target date for approving the proposals is the end of October, 2010. Work is currently underway to decide upon a course of action to progress the decision making process.

Proposed date change

8.4 The following action have been identified by the department as an action which needs to have its target date changed and Cabinet is asked to approve these date changes.

Code	Action	Initial Due Date	Proposed due date	Comment
RND OD002	Agree a regional, sub-regional and local strategy in collaborative procurement	31 Jul 2010	29 Oct 2010	Proposals for a Regional Collaborative Procurement Strategy are currently with the Council for debate and decision. Work is currently underway to decide upon a course of action to progress the decision making process. The required response by date in relation to the Regional Collaborative Procurement Business Case is the end of October 2010 therefore we are proposing a completion of 29 October 2010.

9 TRANSPORT AND NEIGHBOURHOODS PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

9.1 Within the Transport and Neighbourhoods Portfolio there are a total of 11 actions within the 2010/2011 Corporate Plan. All of these actions have been identified as being on target to be completed by the agreed date.

9.2 There are a total of 11 performance indicators that have been identified as measures of success that are not reported only on an annual basis. Five of these indicators have been assessed as being expected to achieve their target by year end with a further 5 already having achieved their target, just 1 PI has not achieved target this quarter:

Performance Indicators not achieving target

PI	Indicator	Target 10/11	1 st Qtr Outturn	Comment
NI 193	Percentage amount of municipal waste land filled	6%	18%	Persistent shutdowns of the energy from waste plant in April, May and June has given rise to the large increase in the amount of waste needing to be land filled. Work is being undertaken as part of the Joint Tees Valley Waste Management Strategy to identify temporary storage locations for residual waste when shutdowns occur

9.3 Key areas of progress made to date in the Transport and Neighbourhoods Portfolio include: -

- Route Optimisation: Vehicles are scheduled to be fitted with tracking devices in the coming weeks and training on the 'Route-Smart' system will also be provided. It is envisaged this will provide a model, which should enable all 7 bin rounds and the trade waste service, to work more efficiently;
- Neighbourhood Management and Empower Strategy adopted by Cabinet and LSP in May, 2010;

- Local Authority Carbon Reduction Action Plan was agreed by Cabinet in early part of the municipal year. Work is underway to ensure delivery of projects. A board meeting was held during Quarter 1 and outlined priorities for action over the coming year. Carbon Management team meetings are currently being arranged to ensure that operational aspects are monitored;
- Initiatives continue with domestic household waste collections, and at the Household Waste Recycling Centre, in order to reduce residual waste tonnages and increase the levels of materials being recycled/re-used. 'First-quarter' results appear encouraging with overall re-cycling levels at 45.2%; however, further hard work is required on these initiatives if the trend is to continue;
- Following consultation on the Core Strategy Preferred Options in Quarter 4 2009/2010, consideration has been given to the 450+ representations. Discussions have also been progressed with parties who hold an interest in key development sites to gain a better understanding of issues raised. Regular progress meetings have been held with Cabinet Members on an informal basis. A report will be presented to Cabinet in September which summarises the consultation submissions and sets out proposed responses. Recent government guidance and decisions particularly relating to the abolition of the Regional Spatial Strategy are likely to result in a recommendation to reconsult on a new a Preferred Options document which will require a rescheduling of the timetable for publication.

10 REGENERATION AND ECONOMIC DEVELOPMENT PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

- 10.1 Within the Regeneration and Economic Development Portfolio there are a total of 7 actions identified in the 2010/2011 Corporate Plan, with 5 being assessed as expected to be completed by the agreed date or already completed and the remaining two indicators having acceptable progress.
- 10.2 There are 7 indicators within the Corporate Plan for the Regeneration and Economic Development Portfolio which are not reported on a quarterly basis, 6 of which are either on track or acceptable progress is being made with one PI missing its target

Performance Indicators not achieving target

PI	Indicator	Target 010/11	1 st Qtr Outturn	Comment
RPD P045	Employment Rate (16-24)	54.1	41.5	This figure is the most up to date figure available and relates to Q4 2009/10. Although the target has

PI	Indicator	Target 010/11	1 st Qtr Outturn	Comment
				not been achieved FJF now has over 300 clients employed and this should provide some positive impact on this figure in the near future. It is also likely that young people staying on in education has impacted negatively on this indicator.

10.3 Key areas of progress made to date in the Regeneration and the Economic Development Portfolio include: -

- Discussions have been held with owners of Middleton Grange Shopping Centre regarding associated improvements to external shopping centre areas and this had helped to secure the remodelling of the car parks adjacent to Park Road;
- Crown House has been acquired and will be demolished in August in preparation for future redevelopment of the site for business incubation units;
- Officers are continuing to attend meetings on Business Case preparation and Single Programme project development with TVU and ONE North East partners to promote Hartlepool's priorities. Close liaison is being held with the Director to ensure views are recognised and supported through Directors of Regeneration Meetings;
- Community Regeneration has assisted in commencing the archiving process with NDC in line with Government guidelines. Final project to be appraised next quarter to utilise the remaining fund;
- Community Regeneration also successfully managed to secure funding for 2010/2011 to continue 2 projects; the Business Modernisation Grants and Voluntary Sector Premises Pool, which were previously managed by the Team, but funded by NDC.

11 COMMUNITY SAFETY AND HOUSING PORTFOLIO - Performance Update for the Period Ending 30th June, 2010

11.1 Within the Community Safety and Housing Portfolio there are a total of 10 actions within the 2010/2011 Corporate Plan. Half of the actions have been assessed as completed or on target for completion, with the remaining 5 having acceptable progress.

11.2 There are 11 Performance Indicators (PIs) included in the Corporate Plan as measures of success that are not reported on an annual basis, 3 of which have been assessed as achieving its target and a further 4 being on track to achieve target. The final 4 are progressing at an acceptable level.

11.3 Key areas of progress made to date in the Community Safety and Housing Portfolio includes: -

- The Specialist Domestic Violence Court has been successfully introduced in Hartlepool, with sterling work being undertaken by partners which will undoubtedly benefit victims and indeed the court process;
- North East refugee service now contracted to provide support to refugees and asylum seekers in the town and a Community Cohesion Strategy is being developed with partners;
- Work is continuing on construction of affordable housing at Seaton Lane, following Growth Point investment. Work is underway to release the Growth Point allocation for Belle Vue. A statement of intent regarding the Growth Point funding has been prepared by the 5 authorities and will be submitted to the Housing Minister at the end of July. Following that site assembly can continue on the Belle Vue.

12 REVENUE FINANCIAL MANAGEMENT 2010/2011 - OVERVIEW

12.1 This section provides details covering the following areas: -

- Overview of Financial Position;
- Review of High Risk Budget Areas;
- Performance against Budget Pressures treated as Contingency Items;
- Progress against Departmental Salary Turnover Targets;
- Progress against Area Based Grants
- Key Balance Sheet information.

12.2 Overview of Financial Position

12.3 A report was considered at Cabinet's meeting on 2nd August, 2010, which provided details of 2010/2011 grant cuts announced by the Government on 10th June, 2010 and the impact on the Council.

12.4 The 2010/2011 direct grant cuts total £3,556m, consisting of revenue grant cuts of £2.154m and capital grant cuts of £1.402m. As a range of revenue and capital grants are being cut, different strategies were approved for individual grant streams to reflect the different impacts on the Council's financial position. This strategy included using the forecast underspends on centralised estimates of £0.5m to offset the grant cuts in 2010/2011.

12.5 At an overall level the Council's budget is monitored on a departmental basis and the overall position is summarised at **Appendix A.**

12.6 **Appendix A** is supported by detailed Financial Management statements for each Portfolio, which now includes comments on

material variances to provide a clearer position statement as set out below:

- Appendix C - Adult and Public Health
- Appendix D - Children's Services
- Appendix E - Community Safety & Housing
- Appendix F - Culture Leisure & Tourism
- Appendix G - Finance & Procurement
- Appendix H - Regeneration & Economic Development
- Appendix I - Transport & Neighbourhood
- Appendix J - Performance

Forecast outturns have not yet been estimated as it is difficult to determine trends based on the first quarters results. These details will be included in the half year Financial Management report which will be submitted to Cabinet in early November.

There are currently no issues to bring to Members attention on departmental budgets.

12.7 **Review of High Risk Budget Areas**

- 12.8 High risk budget areas were identified as part of the budget setting report, submitted to Cabinet in February. These issues are explicitly managed and reported to ensure any problem areas are identified at an earlier stage, to enable appropriate corrective action to be taken. The areas identified as high risk budgets are attached at **Appendix B**, which explains how these items were identified and indicates that there are currently variances on a number of budgets.

The main adverse variances relate to demographic changes in Older People and Car Parking. The Older People variance is offset by an increase in associated income. The Car Parking variance is owing to income collected being lower than budgeted levels. Work is ongoing to review the Car Parking income budget with a view to addressing the long term budget gap in this area. Reserves will be used to manage the short term position for 10/11.

Further details are included in **Appendices C to J**.

12.9 **Performance against Budget Pressures treated as Contingency Items**

- 12.10 Members will recall that as part of the review of budget pressures for 2010/2011, it was determined that a number of pressures are not certain to arise, or the value of the pressure is not certain.

These items were therefore classified as “contingency” items and a budget provision was made to underwrite these risks.

12.11 **Appendix K** provides a schedule of these items.

12.12 **Progress against Departmental Salary Turnover Targets**

12.13 An assumed saving from staff turnover is included within salary budgets. Details of individual department’s targets are summarised in the table below.

Department	2010/11 Turnover Target £'000	Expected to 30.06.10 £'000	Actual to 30.06.10 £'000	Variance from Target £'000
Adult & Community Services	522.4	130.7	184.6	(53.9)
Chief Executives	237.6	59.4	51.1	8.3
Children's Services (excluding Schools)	267.0	66.8	36.8	30.0
Neighbourhood Services	184.0	46.0	46.0	0.0
Regeneration & Planning	118.0	29.5	29.5	0.0
	1,329.0	332.4	348.0	(15.6)

12.14 The above figures are included within the variances reported for each department at a detailed level.

12.15 **Area Based Grants**

12.16 In overall terms actual expenditure amounts to £4.830m, compared to anticipated expenditure of £4.888m, resulting in a current favourable variance of £0.058m, (see **Appendix L**).

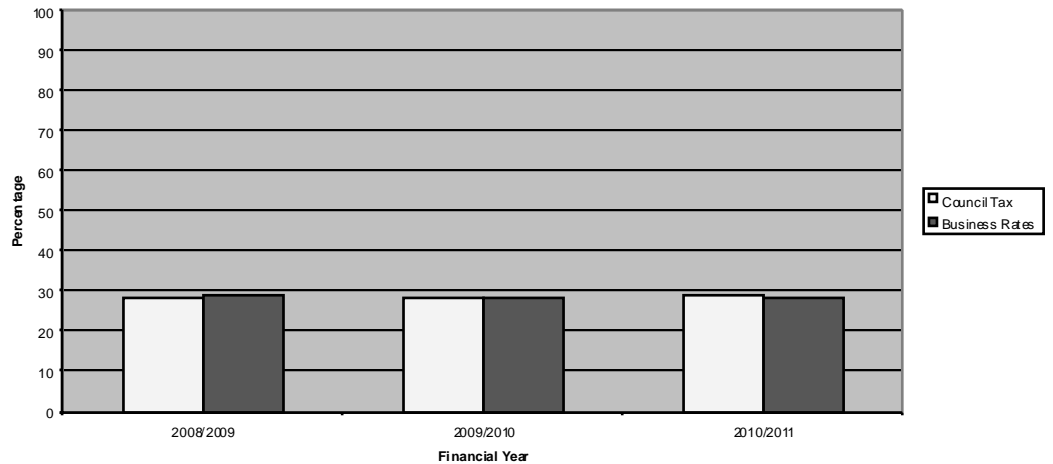
12.17 **Key Balance Sheet Information**

12.18 A Balance Sheet provides details of an organisation’s assets and liabilities at a fixed point in time, for example, the end of the financial year or other fixed accounting periods. Traditionally local authorities have only produced a Balance Sheet on an annual basis and have managed key Balance Sheet issues through other more appropriate methods. However, under CAA arrangements there is a greater emphasis on demonstrating effective management of the balance sheet. The Audit Commission’s preferred option is the production of interim balance sheets throughout the year. In my opinion the option is neither practical nor beneficial as a Local Authority Balance Sheet includes a large number of notional valuations for the Authority’s fixed assets and pension liabilities. It is therefore more appropriate to monitor the key cash balance sheet items and these are summarised below:-

- Debtors

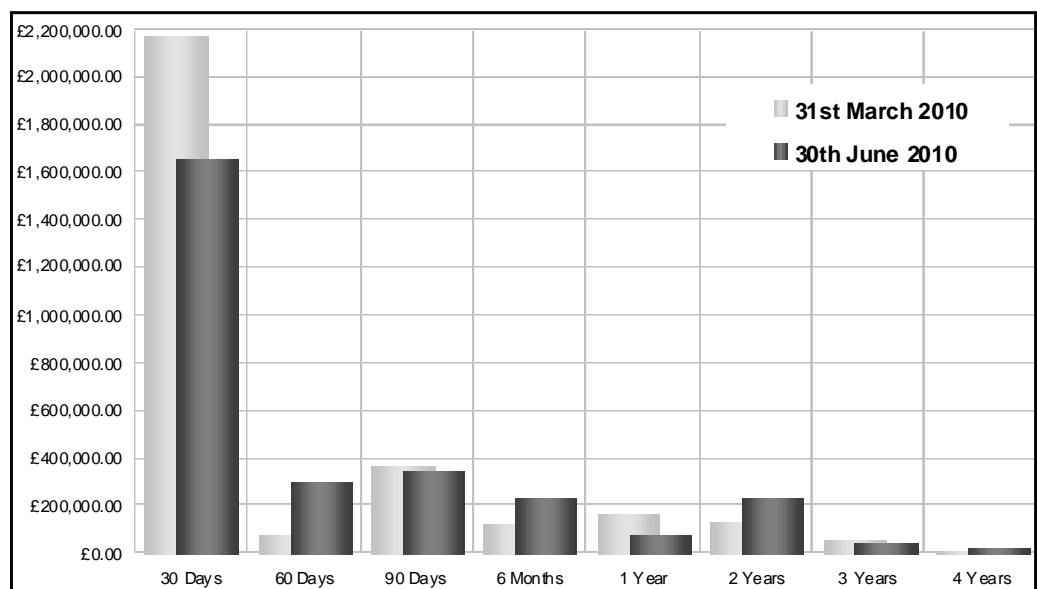
The Council's key debtors arise from the non payment of Council Tax, Business Rates and Sundry Debtors. These areas are therefore subject to detailed monitoring throughout the year. The position on Council Tax and Business rates are summarised below:-

Percentage of Debt Collected at 30th June



The Council Tax collection rate is up slightly by 0.48% and the NNDR collection rate has remained the same when compared to the same period last financial year. In-year collection rates are affected by the timing of week/month ends.

The position in relation to Sundry Debtors is summarised below:



At the start of the current financial year the Council had outstanding sundry debts of £3.137m. During the period 1st April, 2010 to 30th June, 2010, the Council issued approximately 5,230 invoices with a value of £7.423m. As at the 30th June, 2010, the Council had collected £7.423m, leaving £3.137m outstanding, which consists of: -

- Current Debt - £2.606m

With regard to current outstanding debt, this totals £2.606m at 30th June, 2010, inclusive of approximately £1.662m of debt less than thirty days old.

- Previous Years Debt - £0.531m

These debts relate to the more difficult cases where court action or other recovery procedures are being implemented. At the 30th June, 2010, debts older than one year totalled £0.531m.

- Borrowing Requirement and Investments

The Council's borrowing requirement and investments are the most significant Balance Sheet items. Decisions in relation to the Council's borrowing requirements and investments are taken in accordance with the approved Treasury Management Strategy.

13 CONCLUSIONS

- 13.1 The report details progress towards achieving the Corporate Plan outcomes and progress against the Council's own 2010/2011 Revenue Budget for the period to 30th June, 2010.

14 RECOMMENDATION

- 14.1 Cabinet is asked to: -

- Note the current position with regard to performance and revenue monitoring;
- And approve date changes in paragraph 8.4

GENERAL FUND - REVENUE MONITORING REPORT TO 30th June 2010.

Line No	2010/11		Actual Position 30/06/10		
	Latest Budget	Description of Expenditure	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F (D=C-B)
	£'000		£'000	£'000	£'000
		TABLE 1 - Departmental Expenditure			
1	53,401	Child and Adult Services	9,153	9,143	(10)
2	21,284	Regeneration and Neighbourhood Services	13,355	13,604	248
3	9,449	Chief Executives	(966)	(1,167)	(201)
4	84,134	Total Departmental Expenditure	21,542	21,579	37
		TABLE 2 - Corporate Costs			
		EXTERNAL REQUIREMENTS			
5	192	Magistrates, Probation and Coroners Court	8	8	0
6	25	North Eastern Sea Fisheries Levy	25	25	0
7	31	Flood Defence Levy	16	16	0
8	35	Discretionary NNDR Relief	0	0	0
		CORPORATE COMMITMENTS			
9	2,695	I.T.	0	0	0
10	365	Audit Fees	0	0	0
11	6,845	Centralised Estimates	1,711	1,211	(500)
12	182	Insurances	0	0	0
13	90	Designated Authority Costs	0	0	0
14	362	Pensions	0	0	0
15	364	Members Allowances	96	96	(0)
16	79	Mayoral Allowance	16	16	(0)
17	91	Emergency Planning	(239)	(239)	(0)
		NEW PRESSURES			
18	24	Contingency	0	0	0
19	121	Planning Delivery Grant terminated	0	0	0
20	0	Business Transformation Programme	21	21	0
21	0	Teesside Airport Study	0	1	1
22	0	Receipts for Government Pool	0	0	0
23	0	Members ICT	0	0	0
24	0	Secure Remand - Corporate	0	0	0
25	23	Climate Change Initiatives (Area Based Grant Funded)	0	0	0
26	369	Strategic Contingency	0	0	0
27	130	Waste Disposal Pressure	0	0	0
28	15	2006/07 Final Council Commitments	0	0	0
29	38	2007/08 Provision for Grants/Pressures/Priorities	14	14	0
30	53	Provision for Cabinet projects	0	0	0
31	830	Job Evaluation	0	0	0
32	450	Contribution to one-off BTP costs	0	0	0
33	120	2010/11 Pressures and Contingency	0	0	0
34	100	2009/10 Pressures Year 2 and 3 additional costs	0	0	0
35	(250)	LATS Income	0	0	0
36	(500)	Removal of Revenue Funding and Replace with Capitalisation	0	0	0
37	(300)	Benefit Subsidy income	0	0	0
38	26	PARISH PRECEPTS	26	26	0
39	(1,474)	CONTRIBUTION FROM BUDGET SUPPORT FUND AND OTHER RESERVES	0	0	0
40	(3,511)	Children's Services DSG Funding - LA Element Only	(878)	(878)	0
41	91,754	Total General Fund Expenditure	22,358	21,895	(462)

2010/11 FINANCIAL RISK MANAGEMENT**Risk Rating**

A simplified version of the Risk Assessment criteria used in the Council's Risk Management Strategy has been used to rank budget risks. This assessment rates risk using the convention of green/amber/red, as defined below, although different levels of risk within each category have not been defined. The risk assessment helps inform the Council's budget monitoring process as it identifies areas that need to be monitored more closely than other budgets. These procedures help ensure that departments can manage budgets and services within the overall departmental resource allocation and the Council's overall financial management framework, which enable departments to establish reserves for significant risks and to carry forward under and over spends between financial years.

The value of expenditure/income on individual areas, together with the percentage of the authority's net budget, are shown in the table below to highlight the potential impact on the Council's overall financial position.

Green - these are unlikely events which would have a low financial impact.

Amber - these are possible events which would have a noticeable financial impact.

Red - these are almost certain to occur and would have a very significant impact. Provision would need to be made for such events in the budgets.

CORPORATE RISKS

Financial Risk	Risk Rating	2010/11 Base Budget £'000	Variance to 30th June (Favourable)/ Adverse £'000
Pay costs - Single Status and costs of living pay award	Amber	50,470	0
Higher costs of borrowing and/or lower investment returns	Green	6,819	0
IT.	Green	2,691	0
Planned Maintenance Budget	Amber	232	0
Failure to comply with relevant local authority financial legislation/regulations, NI and taxation regulations.	Amber	N/A	N/A

CHILD & ADULT SERVICES

Financial Risk	Risk Rating	2010/11 Base Budget £'000	Variance to 30th June (Favourable)/ Adverse £'000
Individual School Budget	Amber	56,977	0
Individual Pupils Budget allocated during the year to schools for high level SEN pupils	Green	1,453	0
Home to School Transport Costs	Amber	1,700	(4)
Building Schools for the Future	Amber	N/A	0
Carlton Outdoor Education Centre	Red	80	0
Increased demand in places at independent schools for pupils with high level of SEN	Amber	528	5
Increased Demand for Looked After Children Placements	Red	5,425	0
Schools Buy-Back Income	Amber	(610)	14
Demographic changes in Older People	Amber	15,585	135
Loss of Income - Tall Ships	Amber	N/A	0
Demographic changes in Working Age Adults	Red	8,983	(17)
Non-achievement of income targets - Community Services	Amber	(1,281)	(102)
Non-achievement of income targets - Social Care	Amber	(9,834)	(183)

REGENERATION & NEIGHBOURHOODS

Financial Risk	Risk Rating	2010/11 Base Budget £'000	Variance to 30th June (Favourable)/ Adverse £'000
Car Parking	Amber	(1,806)	97
Fee Income - Planning & Building Control	Amber	(680)	46
Rent Income - Economic Development Service	Green	(201)	0

ADULT & PUBLIC HEALTH SERVICES

Appendix C

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
58	Environmental Protection	5	4	(1)	
(59)	Environmental Standards	(15)	(20)	(6)	
0	Adult Education	2	2	(0)	
4,265	Assessment, Care Management & Provision 1	853	800	(53)	The favourable variance relates to staffing underspends owing to vacancies being held in anticipation of SDO restructuring.
2,483	Assessment, Care Management & Provision 2	535	518	(17)	The favourable variance relates to staffing underspends owing to vacancies being held in anticipation of SDO restructuring.
181	Carers & Assistive Technology	(34)	(42)	(8)	
802	Commissioning - Adults	225	215	(11)	
994	Commissioning - Mental Health	285	292	7	
9,222	Commissioning - Older People	2,246	2,261	15	
5,470	Commissioning - Working Age Adults	1,574	1,580	7	
304	Service Strategy and Regulation	(442)	(438)	4	
1,591	Support Services	500	474	(27)	
25,312	TOTAL	5,745	5,661	(84)	

USE OF RESERVES

The above figures include the 2010/2011 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

Description of Best Value Unit	Approved 2010/2011 Budget £'000	Planned Usage 2010/11 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Commissioning Mental Health - Agency	27	27	0	
Commissioning - Older People	20	20	0	
	47	47	0	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual to 30/06/10 £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
2,637	Access to Education	612	564	(48)	Spending on consultants and supplies and services within the Schools Transformation Team has been lower than expected. In light of the recent announcements relating to Building Schools for the Future, a clearer picture relating to outturn projections should be known by Quarter 2.
1,013	Central Support Services	0	0	0	
187	Children's Fund	504	517	13	
11,637	Children & Families	3,062	3,089	27	Overspends on agency staff costs have been partly offset by vacancies. In addition, there has been an increase in the cost of Looked After Children, which has resulted in an adverse variance of £46k. If this continues until the end of the year then the overspend will be funded by the budgeted contingency.
314	Early Years	(75)	(82)	(7)	
210	Information Sharing & Assessment	72	65	(7)	
39	Other School Related Expenditure	(261)	(251)	10	
112	Play & Care of Children	8	7	(1)	
270	Raising Educational Achievement	(3,118)	(3,078)	40	
3,961	Special Educational Needs	499	487	(12)	
1,325	Strategic Management	205	223	18	The adverse variance mainly relates to additional staffing costs arising from maternity cover. Staff vacancies identified from September 2010 should reduce any outturn overspend.
146	Youth Justice	36	33	(3)	

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual to 30/06/10 £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
507	Youth Offending Team	309	323	14	The adverse variance mainly relates to rent of buildings, hall hire and increased ICT costs.
994	Youth Service	380	387	7	
0	Dedicated Schools Grant - Trfr to Ring-Fenced DSG Reserve	0	49	49	The overall Children's Services variance includes £49k of underspends which relate to ring-fenced Dedicated Schools Grant (DSG) funded services. The main areas of variance being Home and Hospital Teaching, the Pupil Referral Unit and salary abatements.
23,352	TOTAL	2,233	2,333	100	

USE OF RESERVES

The above figures include the 2010/2011 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

<u>Description of Best Value</u> <u>Unit</u>	Approved 2010/2011 Budget £'000	Planned Usage 2010/11 £'000	Variance to Date Over/ (Under) £'000	Director's Explanation of Variance
School Transformation Team (BSF)	(901)	(876)	(25)	In light of the recent announcements in respect of Building Schools for the Future, a clearer picture relating to outturn projections should be known by Quarter 2.
Carlton Outdoor Centre	(22)	(22)	0	
Early Years Support	(56)	(56)	0	
Transition Protocol - Disability Team	(18)	(18)	0	
Youth Justice - Crime Prevention	(35)	(35)	0	
Playing for Success	(14)	(14)	0	
Parenting Support	(20)	(20)	0	
Promotion of Breast	(44)	(44)	0	
	(1,110)	(1,085)	(25)	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
803	Consumer Services	88	85	(3)	
181	Housing Regeneration & Policy	24	24	(0)	
964	Social Behaviour & Housing	124	121	(3)	
42	Building Control	7	18	11	Inspection fee income is below expected levels as a result of the economic downturn and some work has been lost to private inspectors in the competitive market that exists.
464	Crime & Disorder	150	151	1	
(13)	Development Control	(15)	18	33	Planning fee income is less than the expected budget for quarter 1. This position will be carefully monitored in the light of the economic climate/government spending cuts, as this has the potential to impact on the number of applications generally, but more particularly, larger private sector and public sector schemes. A further update will be provided at quarter 2.
26	Drugs & Alcohol	448	448	(0)	
78	CADCAM	78	80	2	
2,546	TOTAL	906	946	40	

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

Description of Best Value Unit	Approved 2009/2010 Budget £'000	Planned Usage 2009/10 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Anti Social Behaviour Team Reserve	9	9	0	
Housing System Reserve	22	22	0	
	31	31	0	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
38	Archaeology	64	61	(3)	
1,879	Parks & Countryside	815	829	14	
692	Community Support	261	255	(6)	
1,676	Libraries	399	358	(41)	The favourable variance relates to staffing underspends owing to vacancies being held in anticipation of SDO restructuring.
0	Maintenance	4	4	0	
615	Museums & Heritage	152	155	2	
33	Parks	4	4	(0)	
1,543	Sports & Physical Recreation	200	216	16	
139	Strategic Arts	82	82	0	
6,615	TOTAL	1,980	1,962	(18)	

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

<u>Description of Best Value Unit</u>	Approved 2010/2011 Budget £'000	Planned Usage 20010/11 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Tall Ships	676	676	0	
	676	676	0	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
650	Asset Management	50	70	20	The loss of Housing Hartlepool Energy Management contract will adversely affect this budget. This may result in an adverse variance of £12k at outturn.
0	Logistics	59	36	(24)	
154	Procurement	(43)	(18)	25	
(230)	Property Management	50	76	26	
1,371	Strategic Management & Admin	602	602	(0)	
(191)	Building Consultancy	396	417	21	Based on the current programme of work, Building Consultancy is on target to achieve the expected level of income. However, this is on the condition that all projects proceed this financial year and are not cancelled. The cancellation of Building Schools for the Future will impact on potential income for the CDM team and the implications of this are currently being reviewed. Following the announcement that works at Dyke House School will go ahead, it is expected that income levels will be in line with budget for the current year.
9	Finance Miscellaneous	9	17	8	
(915)	Shopping Centre Income	(229)	(147)	82	It is anticipated that future quarter income will be higher than the first quarter, ensuring that the budget is on target by the financial year end. A reserve has also been created to cover a possible shortfall of rental income
94	Registration of Electors	10	10	0	
97	Municipal & Parliamentary Elections	80	92	12	
(1,691)	Central Administration	0	0	0	
0	Single Status	0	0	0	
0	HR Payroll System	62	62	0	
4,511	Accommodation	702	361	(341)	There is an ongoing review of the Accommodation Budget and it is anticipated that the budget will be on target by the financial year end.
852	Accountancy	253	233	(20)	

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
263	Internal Audit	78	61	(17)	
566	Legal Services	159	162	3	
124	Support to Members	30	31	1	
5,663	TOTAL	2,269	2,065	(204)	

USE OF RESERVES

The above figures include the 2010/2011 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

Description of Best Value Unit	Approved 2010/2011 Budget £'000	Planned Usage 2010/11 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Support to Members	27	27	0	
Election Services	8	8	0	
Finance - Audit Section	35	35	0	
Finance - Accountancy	34	34	0	
Finance - IT Investment	62	62	0	
Finance - Working from Home	23	23	0	
Corporate - Social Inclusion	100	100	0	
Corporate - Shopping Centre	146	146	0	
Corporate - Accomodation	26	26	0	
	461	461	0	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
487	Urban & Planning Policy	121	110	(11)	
334	Landscape Planning & Conservation	57	54	(3)	
(53)	Salary Turnover Target - Regeneration	(11)	(11)	0	On target to achieve the savings at quarter 1.
143	Community Regeneration	69	12	(57)	
1,091	Economic Development	1,417	1,411	(7)	
2,003	TOTAL	1,653	1,576	(78)	

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

Description of Best Value Unit	Approved 2009/2010 Budget £'000	Planned Usage 2009/10 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Regeneration Grant Funded Staffing Reserve	58	58	0	
	58	58	0	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget	Description of Best Value Unit	Expected Budget	Actual	Variance to Date - Over/ (Under) spend	Director's Explanation of Variance
£'000		£'000	£'000	£'000	
24	Facilities Management	2,714	2,712	(2)	
1,601	Highway Maintenance	650	667	17	
629	Highways Liability	0	0	0	
(150)	Highways Trading	1,137	1,147	10	
541	Highways Traffic & Transportation Management	156	166	10	
189	Integrated Transport Unit - Passenger Transport	355	367	12	
197	Integrated Transport Unit -	49	55	6	
9	Integrated Transport Unit - Strategic Management	55	71	16	
(138)	Integrated Transport Unit -	1,287	1,253	(34)	The favourable variance is owing to reduced vehicle leasing costs.
0	National Driver Offender Retraining Scheme (NDORS)	40	40	0	
1,213	Network Infrastructure	181	196	15	
169	Safety Cameras	12	12	0	Awaiting agreement from MBC Chief Executive and final budget requirement from Magistrates Courts and Cleveland Police regarding the new arrangements for 10/11. It is expected at this stage that the Outturn will be within the overall budget allocated.
(108)	Section 38's - Highways Standards (New Developments)	(84)	(59)	25	The adverse variance relates to lower than budgeted income from developers. This income funds the costs of supervising new developments to ensure Highways standards are achieved before roads are adopted. This income has been affected by the recession and as such a budget pressure has been identified for 2011/12. Departmental funding set aside in 2009/10 to cover this pressure will be used to fund any shortfall in the current year.

Approved 2010/2011 Budget	Description of Best Value Unit	Expected Budget	Actual	Variance to Date - Over/ (Under) spend	Director's Explanation of Variance
£'000		£'000	£'000	£'000	
2,169	Sustainable Transport	(73)	(73)	1	
14	Traffic Management	3	10	6	
(1,150)	Car Parking	(244)	(147)	97	The current variance is owing to daily income collected being lower than budgeted levels. Work is ongoing to review the Car Parking income budget with a view to addressing the long term budget gap in this area. Reserves will be used to manage the short term position for 10/11. There will be further pressure on this budget if charges are not increased to reflect the VAT increase in January 2011. The cost of this increase is approximately £10k per quarter.
572	Engineering Consultancy	239	256	17	
5,130	Waste & Environmental Services	2,035	2,074	39	Due to extensive improvement works at the incinerator on the SITA site, there is a strong possibility that there will be an increase in the need to landfill. This could result in a budget pressure in this Service area. The position will continue to be closely monitored and an update will be provided at Quarter 2.
2,195	Neighbourhood Management	362	338	(24)	
13,105	TOTAL	8,876	9,086	210	

USE OF RESERVES

The above figures include the 2009/2010 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

Description of Best Value Unit	Approved 2009/2010 Budget £'000	Planned Usage 2009/10 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Highways grants	102	80	0	Further highways related grant funded expenditure is to be rehased to 2010/11.
	102	80	0	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2010/2011

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
343	Performance &	73	66	(7)	
117	Council Tax & Housing Benefit Subsidy	(3,854)	(3,854)	0	
242	Community Partnerships	109	99	(10)	
453	Shared Services Unit	229	270	41	Implementation of the HR/Payroll system has required the use of overtime payments. Overspending in this section will be offset by underspending across the rest of the Chief Executive's Department.
113	Performance Management Misc	66	68	2	
(3)	Benefits	(19)	(38)	(19)	Allocation across all Revenues and Benefits codes at year end to ensure all budgets are within outturn.
123	Fraud	31	68	37	Allocation across all Revenues and Benefits codes at year end to ensure all budgets are within outturn.
1,100	Revenues	255	226	(29)	Allocation across all Revenues and Benefits codes at year end to ensure all budgets are within outturn.
(159)	Revenues & Benefits	90	116	26	Allocation across all Revenues and Benefits codes at year end to ensure all budgets are within outturn.
619	Contact Centre	276	266	(10)	
571	Corporate ICT	231	230	(1)	
391	Corporate Strategy	111	116	5	
233	Democratic	54	57	3	
741	HR Health and Safety	51	58	7	

Approved 2010/2011 Budget £'000	Description of Best Value Unit	Expected Budget £'000	Actual £'000	Variance to Date - Over/ (Under) spend £'000	Director's Explanation of Variance
(62)	Other Office Services	(16)	9	25	The adverse variance is owing to a reduction in Land Search income which will be funded from a Corporate reserve.
165	Scrutiny	29	28	(1)	
141	Public Relations	59	63	4	
64	Registration Services	11	16	5	
347	Training & Equality	94	87	(7)	
5,539	TOTAL	(2,120)	(2,049)	71	

USE OF RESERVES

The above figures include the 2010/2011 approved budget along with the planned use of Departmental Reserves created in previous years.

The details below provide a breakdown of these reserves

<u>Description of Best Value Unit</u>	Approved 2010/2011 Budget £'000	Planned Usage 2010/11 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
Corporate Strategy	297	297	0	
Registrars	35	35	0	
People Framework Development	18	18	0	
Contact Centre	51	51	0	
HR Resource Investment	5	5	0	
Revenues & Benefits - IT Developments	41	41	0	
Revenues & Benefits	64	64	0	
Revenues & Benefits - Internal Bailiff Development	16	16	0	
Revenues & Benefits - Intercept Software	6	6	0	
	50	50	0	
Revenues & Benefits - New Scanner	15	15	0	
Revenues & Benefits - FSM Software	15	15	0	
Revenues & Benefits - e-form Development	20	20	0	
	633	633	0	

SCRUTINY CO-ORDINATING COMMITTEE

15 October 2010



Report of: Chief Finance Officer

Subject: QUARTER 1 - CAPITAL AND ACCOUNTABLE
BODY PROGRAMME MONITORING REPORT
2010/2011

SUMMARY

1. PURPOSE OF REPORT

1.1 To provide details of progress against the Council's overall Capital budget for 2010/2011 the Spending Programme where the Council acts as the Accountable Body.

2. CONSIDERATION OF ISSUES

2.1 A separate report has not been prepared for your Committee as a comprehensive report was submitted to Cabinet on 6th September, 2010 (**Appendix 1**). This report sets out the key issues to bring to your attention.

3. RECOMMENDATIONS

3.1 Members consider the report.

CABINET REPORT

6th September, 2010



Report of: Chief Finance Officer

Subject: QUARTER 1 – CAPITAL AND ACCOUNTABLE
BODY PROGRAMME MONITORING REPORT
2010/2011

SUMMARY

1. PURPOSE OF REPORT

1.1 To provide details of progress against the Council's overall Capital budget for 2010/2011 and the spending programmes where the Council acts as the Accountable Body for the period to 30th June, 2010.

1.2 The report considers the following areas: -

- Capital Monitoring
- Accountable Body Programme Monitoring

2. SUMMARY OF CONTENTS

2.1 The report provides detailed monitoring information for each Portfolio up to 30th June, 2010.

3. RELEVANCE TO CABINET

3.1 Cabinet has overall responsibility for the monitoring of the Council's budgets.

4. TYPE OF DECISION

4.1 None.

5. DECISION MAKING ROUTE

5.1 Cabinet 6th September, 2010.

6. DECISION(S) REQUIRED

6.1 Cabinet is asked to note the report.

Report of: Chief Finance Officer

Subject: QUARTER 1 – CAPITAL AND ACCOUNTABLE
BODY PROGRAMME MONITORING REPORT
2010/2011

1. PURPOSE OF REPORT

1.1 To inform Cabinet of progress against the Council's own 2010/2011 Capital budget and the spending programmes where the Council acts as the Accountable Body for the period to 30th June, 2010.

1.2 This report considers the following areas: -

- Capital Monitoring;
- Accountable Body Programme Monitoring.

2. BACKGROUND

2.1 In line with previous monitoring reports, this document is an integrated comprehensive document that is page numbered, thus allowing Members easier navigation around the report. (See contents table below). The report firstly provides a summary, followed by a section for each Portfolio where more detailed information is provided.

Section	Heading	Page
3.	Capital Monitoring 2010/2011	3
4.	Accountable Body Programme	4
5.	Adult & Public Health Services Portfolio	4
6.	Children's Services Portfolio	4
7.	Community Safety & Housing Portfolio	5
8.	Culture, Leisure & Tourism Portfolio	5
9.	Regeneration & Economic Development Portfolio	6
10.	Transport and Neighbourhood Portfolio	6
11.	Finance & Procurement Portfolio	6
12.	Performance Portfolio	7
13.	Recommendations	7
Appendix A	Capital Monitoring Summary	8
Appendix B	Accountable Body Monitoring Summary	9
Appendices C-J	Detailed Spend by Portfolio	10-18
Appendix K	Accountable Body Revenue Monitoring	19

- 2.2 This report will be submitted to Scrutiny Co-ordinating Committee for review at the earliest opportunity.

3. CAPITAL MONITORING 2010/2011

- 3.1 Expenditure for all Portfolios is summarised at **Appendix A**. Actual expenditure to 30th June, 2010, totals £6,588,000, compared to the approved budget of £48,243,000, leaving £41,485,000 remaining expenditure expected to be spent in 2010/2011
- 3.2 At this time £171,000 will be rephased into 2010/2011. Expenditure to be rephased to 2010/2011 by portfolio is as follows:

Portfolio	£'000
Transport & Neighbourhood (see section 10.1)	171
Total	171

- 3.4 **Appendix A** is supported by individual detailed statements by Portfolio, as set out below.

Appendix C - Adult & Public Health Services
 Appendix D - Children's Service
 Appendix E - Community Safety & Housing
 Appendix F - Culture, Leisure & Tourism
 Appendix G - Regeneration & Economic Development
 Appendix H - Transport and Neighbourhood
 Appendix I - Finance & Procurement
 Appendix J - Performance

- 3.4 The format of the appendices shows details of anticipated and actual capital expenditure as at 30th June, 2010 and shows:

Column A - Scheme Title
 Column B - Budget for Year
 Column C - Actual expenditure to 30th June, 2010
 Column D - Expected remaining expenditure to be incurred in the period January to March, 2010
 Column E - Expenditure Rephased into 2011/2012
 Column F - 2010/2011 Total Expenditure
 Column G - Variance from Budget
 Column H - Type of financing

- 3.5 Detailed analysis of all schemes on each appendix is on deposit in the Members' Library.

4. ACCOUNTABLE BODY PROGRAMME

- 4.1 The Council acts as Accountable Body for the Hartlepool New Deal for Communities (NDC). As part of its role as Accountable Body the Council needs to be satisfied that expenditure is properly incurred and is progressing as planned.

New Deal for Communities (NDC)

The programme is currently forecasting to fully spend the current years NDC allocation of £1,188,000. There is also another £1,041,000 expenditure forecast which is funded through other grants, giving a total budget of £2,229,000 for the current financial year.

Appendix B shows the latest budget allocations against this target and expenditure as at 30th June, 2010.

- 4.2 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end

5. ADULT AND PUBLIC HEALTH SERVICE PORTFOLIO

5.1 Capital Monitoring for Period Ending 30th June, 2010

- 5.1.1 **Appendix C** provides a summary of the Adult and Public Health Services Capital Programme.

5.1.2 Actual expenditure to date amounts to £84,000, compared to the approved budget of £1,785,000 with £1,701,000 to be spent before the end of this financial year.

5.1.3 There are no items to bring to the Portfolio Holders attention.

6. CHILDREN'S SERVICES PORTFOLIO

6.1 Capital Monitoring for Period Ending 30th June, 2010

6.1.1 **Appendix D** provides a summary of the Children's Service's Capital Programme, which includes schemes funded from specific capital allocations and schemes from the revenue budget which are managed as capital projects owing to the nature of the expenditure and the accounting regulations.

6.1.2 Actual expenditure to date amounts to £1,885,000, compared to the approved budget of £20,176,000, with £18,291,000 of expenditure remaining.

6.1.3 Cabinet has previously approved a strategy for managing the reduction of a range of capital grants including:

- Youth Capital Grant has been reduced by £32,500. This is currently unallocated so this reduction does not impact on existing commitments. The budget has been reduced accordingly.
- Harnessing Technology Grant has been reduced by £208,000. Allocations to schools and the centrally retained fund have been reduced accordingly.
- Extended Schools Capital Grant has been reduced by £52,000. Extended Schools Grant is used to fund the Schools Capital Programme. This reduction can be met by unallocated Schools Capital Funding and does not impact on individual schemes. The budget has been reduced accordingly.
- Sure Start Capital funding has been reduced by £56,000. This amount is uncommitted and will not impact on existing schemes. The budget has been reduced accordingly.

6.1.4 The government is currently reviewing the Playbuilder Grant with the intention of reducing the allocation. The extent of the reduction has not yet been confirmed and action has been taken to ensure the Council has no unfunded costs.

6.1.5 There are no further items to bring to the Portfolio Holder's attention.

7. COMMUNITY SAFETY AND HOUSING PORTFOLIO

7.1 Capital Monitoring for Period Ending 30th June, 2010

7.1.1 **Appendix E** provides a summary of the Community Safety and Housing's Capital Programme.

7.1.2 Actual expenditure to date amounts to £779,000 compared to the approved budget of £5,296,000, with £4,517,000 remaining.

7.1.3 Members should note that there has been a reduction to the Housing Market Renewal grant which has been estimated at £403,000. Final confirmation of this amount is yet to be received. Officers are working on how this funding reduction can be accommodated within the existing programme.

7.1.4 There are no further items to bring to the Portfolio Holders attention.

8. CULTURE, LEISURE AND TOURISM PORTFOLIO

8.1 Capital Monitoring for Period Ending 30th June, 2010

8.1.1 **Appendix F** provides a summary of the Culture, Leisure and Tourism's Capital Programme.

8.1.2 Actual expenditure to date amounts to £206,000 compared to the approved budget of £1,543,000 with £1,337,000 remaining.

8.1.3 There are no further items to bring to the Portfolio Holders attention.

9. REGENERATION AND ECONOMIC DEVELOPMENT PORTFOLIO

9.1 Capital Monitoring for Period Ending 30th June, 2010

9.1.1 **Appendix G** provides a summary of the Regeneration and Economic Development's Capital Programme.

9.1.2 Actual expenditure to date amounts to £52,000, compared to the approved budget of £1,051,000 with £999,000 remaining. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget at outturn.

9.1.3 There are no further items to bring to the Portfolio Holders attention.

10. TRANSPORT AND NEIGHBOURHOOD PORTFOLIO

10.1 Capital Monitoring for Period Ending 30th June, 2010

10.1.1 **Appendix H** provides a summary of the Transport and Neighbourhood's Capital Programme.

10.1.2 Actual expenditure to date amounts to £2,535,000 compared to the approved budget of £12,439,000 with £9,734,000 remaining. An amount of £171,000 relating to future monitoring of the Anhydrite Mine has been rephased to future years. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget at outturn.

10.1.3 The Local Transport Plan (LTP) Programme has been reduced by £249,000 as a result of reduced government grant settlement.

10.1.4 There are no further items to bring to the Portfolio Holders attention.

11. FINANCE AND PROCUREMENT PORTFOLIO

11.1 Accountable Body Revenue Monitoring for Period Ending 30th June, 2010

11.1.1 The Council acts as Accountable Body for New Deal for Communities. Details of progress against the approved revenue budgets are summarised at **Appendix K**.

11.1.2 Actual expenditure to 30th June, 2010 amounts to £213,000, resulting in a current favourable variance of £107,000. However, as this is the final year of New Deal for Communities all the available funding will be utilised.

11.1.3 There are no items to be brought to Portfolio Holders attention.

11.2 Capital Monitoring for Period Ending 30th June, 2010

11.2.1 **Appendix I, Table 1 Resources** – Actual expenditure to date amounts to £1,005,000 compared to the approved budget of £5,294,000, leaving £4,289,000 expenditure remaining. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget outturn.

The Vehicle Procurement budget was determined with reference to the replacement of existing vehicles reaching the end of their lease or useful economic life. The Chief Finance Officer and Director of Regeneration and Neighbourhoods are seeking to review the proposal for each new each vehicle to ensure there is a robust business case and will be seeking to achieve savings from this budget

11.2.2 **Appendix I, Table 2 New Deal for Communities** – Actual expenditure to date is £240,000 against an approved budget of £729,000, leaving £489,000 of expenditure remaining. This is not unusual for this time of year.

11.2.3 There are no items to bring to Portfolio Holders attention and expenditure is expected to be on target at year-end.

12. PERFORMANCE PORTFOLIO

12.1 Capital Monitoring for Period Ending 30th June, 2010

12.1.1 **Appendix J** provides a summary of the Performance Capital Programme.

12.1.2 Actual expenditure to date amounts to £42,000, compared to the approved budget of £659,000, with £617,000 remaining. While the actual expenditure is low it is not unusual for this time of year and it is anticipated that expenditure will be in line with budget at outturn.

10.1.3 There are no further items to bring to the Portfolio Holders attention.

13. RECOMMENDATIONS

13.1 It is recommended that Cabinet notes the contents of the report.

Appendix A

CAPITAL MONITORING REPORT TO 30TH JUNE 2010

Line No	Portfolio	2010/2011 Budget	2010/2011 Actual to 30/06/2010	2010/2011 Expenditure Remaining	2010/2011 Expenditure Rephased to 2011/2012	2010/2011 Expenditure	2010/2011 Variance from budget Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F	Col. G (G=D+E+F) £'000	Col. H (H=G-C) £'000
		£'000	£'000	£'000	£'000	£'000	£'000
1	Adult & Public Health Services	1,785	84	1,701	0	1,785	0
2	Children's Services	20,176	1,885	18,291	0	20,176	0
3	Community Safety & Housing	5,296	779	4,517	0	5,296	0
4	Culture, Leisure & Tourism	1,543	206	1,337	0	1,543	0
5	Finance & Procurement	5,294	1,005	4,289	0	5,294	0
6	Performance	659	42	617	0	659	0
7	Regeneration & Economic Development	1,051	52	999	0	1,051	0
8	Transport & Neighbourhood	12,439	2,535	9,734	171	12,439	0
9	Total Capital Expenditure	48,243	6,588	41,485	171	48,243	0

Appendix B

ACCOUNTABLE BODY PROGRAMMES - REPORT TO 30TH JUNE 2010

Line No	2010/11 Latest Budget	Accountable Body Programme	Actual Position 30/06/10			Projected Outturn Variance
			2010/11 Expected Expenditure/ (Income)	2010/11 Actual Expenditure/ (Income)	Variance: Adverse/ (Favourable)	
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F = (F=E-D)	
	£'000		£'000	£'000	£'000	£'000
		TABLE 1 - New Deal for Communities				
1	1,500	Revenue Projects	320	213	(107)	0
2	729	Capital Projects	240	240	0	0
3	2,229	Total NDC	560	453	(107)	0

PORTFOLIO : ADULT & PUBLIC HEALTH SERVICE

Appendix C

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
7441	Adult Education - Neighbourhood Learning in Deprived Communities Fund	4	0	4	0	4	0	GRANT
7531	Adult Education - Office Accommodation	14	3	11	0	14	0	GRANT
7622	Adult Education- Capital Equipment Replacement	37	0	37	0	37	0	GRANT
7983	Blakelock Day Centre Demolition	85	3	82	0	85	0	caprec
7234	Chronically Sick & Disabled Adaptations	126	10	116	0	126	0	Mix
8115	Havelock Day Centre - Window Replacement.	65	54	11	0	65	0	UCPB
7481	Improving Information Management (IIM) - IT Infrastructure	45	5	40	0	45	0	Grant
7351	Improving Information Management (IIM) - Systems	370	3	367	0	370	0	MIX
7578	Lynn Street ATC Demolition	11	0	11	0	11	0	RCCO
7389	Mental Health Projects	490	0	490	0	490	0	SCE(R)
7723	Resettlement/ Campus Works - Capital Grant	430	0	430	0	430	0	GRANT
8217	Waverley Terrace Community Allotments - Composting Toilets	10	0	10	0	10	0	RCCO
7229	Stranton Cemetery Flooding Works	13	0	13	0	13	0	UDPB
8091	North Cemetery - Improvements to Entrance	26	6	19	0	26	0	UCPB
8100	North Cemetery - Structural Refurbishment to Wall	60	0	60	0	60	0	UDPB
		1,785	84	1,701	0	1,785	0	

Key

RCCO Revenue Contribution towards Capital

MIX Combination of Funding Types

UCPB Unsupported Corporate Prudential Borrowing

SCE © Supported Capital Expenditure (Revenue)

GRANT Grant Funded

CAP REC Capital Receipt

UDPB Unsupported Departmental Prudential Borrowing

SPE Supported Prudential Borrowing

PORTFOLIO - CHILDREN'S SERVICES

Appendix D

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						H Type of financing
		B	C	D	E	F	G	
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	
7122	A2L Centre - Installation of Porch/Canopy	5	0	5	0	5	0	Grant
7121	A2L Centre - Paving and Lighting Replacement	8	0	8	0	8	0	Grant
8075	Aiming High for Disabled Children	143	5	138	0	143	0	Grant
8175	Barnard Grove - Heating Connect Annexe to KS2	30	0	30	0	30	0	Grant
8174	Barnard Grove - KS1 Fire Alarm Installation	10	0	10	0	10	0	Grant
8176	Barnard Grove - Replace Bungalow Floor	15	0	15	0	15	0	Grant
8177	Barnard Grove - Replace KS2 Roof	72	0	72	0	72	0	MIX
7109	Brierton - Alterations re Dyke House Decant	560	245	315	0	560	0	MIX
8117	Brierton Site - Transport Interchange	190	0	190	0	190	0	Grant
8103	Brinkburn Pool - Access and Hoist	65	0	65	0	65	0	Mix
8070	Brinkburn Pool - Motorised Pool Cover	1	0	1	0	1	0	SCE(R)
7344	Brinkburn Pool - Reinstatement of Pool after Fire	1	0	1	0	1	0	Grant
8178	Brougham - Replace Boiler	110	0	110	0	110	0	Grant
8139	BSF- Dyke House	2,500	0	2,500	0	2,500	0	RCCO
8138	BSF- ICT	1,500	0	1,500	0	1,500	0	Grant
8001	Capital Grants to External Nurseries (Early Years)	190	190	0	0	190	0	Mix
7032	Carlton Outdoor Centre - Purchase of Minibus	2	0	2	0	2	0	Grant
7863	Carlton Outdoor Centre - Redevelopment Phase 2 (Works to be	90	1	89	0	90	0	Grant
8179	Catcote - Replace Boiler	65	0	65	0	65	0	Grant
7979	Children's Centres - Maintenance	16	6	10	0	16	0	Grant
7586	City Learning Centre Equipment Purchase	215	106	109	0	215	0	Grant
7664	Clavering - Create New Foundation Stage Unit	2	0	2	0	2	0	Grant
8181	Clavering - Replace Boiler House Roof	25	0	25	0	25	0	Mix
8180	Clavering - Replace Bungalow Heating	5	0	5	0	5	0	Grant
7491	Clavering - Replace Roof Phase 4 (06/07)	0	0	0	0	0	0	Grant
7858	Computers for Pupils	91	57	34	0	91	0	Grant
7384	Devolved Capital - Various Misc Individual School Projects	944	270	674	0	944	0	Grant
7575	Dyke House ICT Equipment Purchase	73	73	0	0	73	0	Mix
8097	Early Years (GSSG) Unallocated	6	0	6	0	6	0	Mix
8089	Education Development Centre - Roof Replacement with enhanced roofing system	23	4	19	0	23	0	Mix
8055	Education Development Centre - Window Replacement	7	1	6	0	7	0	Grant
8056	Eldon Grove - Creation of Additional Teaching Space	500	0	500	0	500	0	Grant
8182	Eldon Grove - Replace Boiler and distribution system	95	0	95	0	95	0	Grant
8065	Emergency Response - Contingency	20	0	20	0	20	0	Mix
8092	Fens - Outdoor Educational Area for Foundation Unit	14	0	14	0	14	0	Grant
9004	Funding (Modernisation, Access, RCCO) Currently Unallocated	307	0	307	0	307	0	Grant
8093	Golden Flatts - Establish Nurture Area	6	0	6	0	6	0	Grant
7922	Golden Flatts - Heating distribution system	60	0	60	0	60	0	Mix
8082	Golden Flatts - Resource Learning Centre	15	1	14	0	15	0	Mix
8183	Grange - Annexe Fire Alarm Installation	5	0	5	0	5	0	Grant
8202	Grange -Replace Classroom Annexe	400	0	400	0	400	0	Grant
7027	Harassing Technology Grant	372	37	335	0	372	0	Mix
8059	Hart - Create Multi-purpose Studio	119	1	118	0	119	0	Mix
8184	Hart - Replace Fence	9	0	9	0	9	0	Grant
8068	Hart - Replace Fire Alarm System	20	9	11	0	20	0	Mix
7500	High Tunstall - Refurbish Classrooms / Equipment Purchase	28	0	28	0	28	0	Grant
8118	Holy Trinity - Outdoor Area	25	25	0	0	25	0	RCCO
8072	Integrated Children's System Case Management Improvement Jesmond Rd - Relocate Nursery to form Foundation Unit, installation of	45	0	45	0	45	0	Mix
7533	ramps & internal works	6	0	6	0	6	0	Mix
7088	Jesmond Road - New Build Primary Capital Plus	3,197	109	3,088	0	3,197	0	Grant
7469	Kingsley - Extension to School for Children's Centre	14	1	13	0	14	0	Grant
8186	Kingsley - Replace 1st floor windows	16	0	16	0	16	0	Mix
8185	Kingsley - Replace Kitchen	46	0	46	0	46	0	Mix
8120	Lynnfield - Improve Teaching Space	120	0	120	0	120	0	Mix
7912	Manor - Replace External Doors - Improve Security	3	0	3	0	3	0	Mix
8203	Owton Manor - Improve Foundation Stage Outdoor area	50	0	50	0	50	0	Grant
8187	Owton Manor - Replace 1st floor windows	75	0	75	0	75	0	Mix
7110	Play Builder Grant	599	0	599	0	599	0	Grant
7437	Playing for Success - Develop New Classroom at Hartlepool United	1	0	1	0	1	0	Grant
7042	Primary Capital Programme	3,378	0	3,378	0	3,378	0	Mix
8066	Replacement of Gas Interlocks	30	0	30	0	30	0	Grant
8060	Rift House - Annexe 2 Heating	17	0	17	0	17	0	Grant
8119	Rift House - Internal Reorganisation	100	0	100	0	100	0	Mix
8204	Rossmere - Improve Foundation Stage Outdoor area	15	0	15	0	15	0	Mix
7088	Rossmere - Primary Capital Plus Refit	1,367	46	1,321	0	1,367	0	Grant
8188	Rossmere - Replace KS2 Toilets	30	0	30	0	30	0	Grant
8158	Rossmere Way - New Kitchen	27	0	27	0	27	0	Mix
7853	Rossmere Youth Centre - Boiler Replacement	55	47	8	0	55	0	Mix
7421	School Travel Plans - Develop Cycle Storage at Schools	66	0	66	0	66	0	Mix

PORTFOLIO - CHILDREN'S SERVICES

Appendix D (cont)

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR							H Type of financing
		B	C	D	E	F	G		
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000		
8116	Springwell - Covered Link Way	22	0	22	0	22	0	Grant	
8205	Springwell - Create Enterprise area and Cyber Café	60	0	60	0	60	0	Grant	
8069	Springwell - Replace Pool	11	0	11	0	11	0	Grant	
8189	Springwell - Roof replacement	40	0	40	0	40	0	Grant	
8206	St Helens - Primary Interior Remodel	180	0	180	0	180	0	Grant	
8192	St Helens - Replace Corner Posts	25	0	25	0	25	0	Mix	
7997	St Hilda - Space to Learn	862	552	310	0	862	0	Grant	
7597	St John Vianney Starfish Daycare Outside Play Area	4	0	4	0	4	0	Grant	
8207	Stranton - Improve Outdoor Learning Area	22	0	22	0	22	0	Mix	
8190	Stranton - KS1 Replacement wiring	23	0	23	0	23	0	Mix	
7888	Stranton - Purchase & Install CCTV	2	0	2	0	2	0	RCCO	
8125	Stranton - Replace Floor Caretaker's Bungalow	14	8	6	0	14	0	RCCO	
8191	Stranton - Replace KS1 Windows	38	0	38	0	38	0	Grant	
7763	Stranton - Replace Windows (07/08)	4	0	4	0	4	0	Mix	
8023	Sure Start Central - Café Ext to Community Facilities	18	18	0	0	18	0	Grant	
7388	Sure Start Central - Improvement Works at Lowthian Road	2	0	2	0	2	0	Mix	
8159	Sure Start Central - Outside Classroom	7	7	0	0	7	0	SCE (R)	
8023	Sure Start North - Café Ext to Community Facilities	62	62	0	0	62	0	Grant	
8193	Throston - Window replacement	80	2	78	0	80	0	Grant	
7469	Unallocated - Children's Centre Grant	83	0	83	0	83	0	Grant	
8067	Ward Jackson - Creation of Quiet Room	5	2	3	0	5	0	Grant	
8194	Ward Jackson - Window replacement	25	0	25	0	25	0	Grant	
8208	Ward Jackson - Create Foundation Unit	60	0	60	0	60	0	Grant	
8196	West Park - Bungalow Access works	8	0	8	0	8	0	Grant	
8195	West Park - Heating distribution Ph 2	28	0	28	0	28	0	UCPB	
8209	West Park - Improve Reception class toilet area	10	0	10	0	10	0	UCPB	
8199	West Park - Kitchen Replacement	60	0	60	0	60	0	UCPB	
8198	West Park - Replace Heating Distribution System	78	0	78	0	78	0	Grant	
8197	West Park - Roof Replacement	10	0	10	0	10	0	Grant	
7598	West View - Improve / Refurbish Nursery & Reception	11	0	11	0	11	0	Grant	
8200	West View - KS1 & KS2 Window replacement	70	0	70	0	70	0	Grant	
7463	Youth Capital Fund - Spend to be determined by Young People	19	0	19	0	19	0	Grant	
8218	Youth Service Portable MUGA	17	0	17	0	17	0	Grant	
		20,176	1,885	18,291	0	20,176	0		

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE @ Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPE Supported Prudential Borrowing

PORTFOLIO : COMMUNITY SAFETY AND HOUSING

Appendix E

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
7015	Targeted Private Housing Improvements	18	0	18	0	18	0	CAP REC
7083	Hartlepool Business Security Fund	33	17	16	0	33	0	UCPB
7107	Growth Point Funded Housing Projects	413	6	406	0	413	0	GRANT
7218	Mandatory Disabled Facilities Grant	640	51	589	0	640	0	GRANT
7219	Minor Works Grant	70	12	58	0	70	0	GRANT
7220	Discretionary Renovations Grant	367	20	348	0	367	0	GRANT
7230	North/Central - Housing Market Renewal	3,479	674	2,805	0	3,479	0	GRANT
7231	Thermal Housing Efficiency Measures	79	0	79	0	79	0	GRANT
7368	Building Safer Communities	3	0	3	0	3	0	GRANT
7404	Housing Revenue Account (HRA) Residual Expenditure	4	0	4	0	4	0	RCCO
7431	Community Safety Strategy	151	0	151	0	151	0	UCPB
7878	Community Safety CCTV Upgrade	10	0	10	0	10	0	MIX
8155	Preventing Repossession Fund	29	0	29	0	29	0	GRANT
		5,296	779	4,517	0	5,296	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

PORTFOLIO : CULTURE, LEISURE AND TOURISM

Appendix F

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B 2010/2011 Budget £'000	C 2010/2011 Actual as at 30/6/10 £'000	D 2010/2011 Expenditure Remaining £'000	E Expenditure Rephased into 2011/12 £'000	F C+D+E 2010/2011 Total Expenditure £'000	G F-B 2010/2011 Variance from budget £'000	H Type of financing
8021	Museum of Hartlepool Signage	2	2	0	0	2	0	UCPB
8087	Wingfield Castle Vehicle Deck Replacement	12	0	12	0	12	0	UCPB
8073	Central Library, 1st Floor Lights and Fire Alarm Adapatation	4	0	4	0	4	0	UCPB
8090	Owton Manor Branch Library - Replacement Roof	31	24	7	0	31	0	UCPB
8095	Central Library - Signage	2	0	2	0	2	0	UCPB
8211	Central Library - Boiler Replacement	70	0	70	0	70	0	UCPB
8104	Rossmere MUGA & Skatepark	464	1	463	0	464	0	Mix
7047	Mill House Leisure Centre - Changing Village	121	97	24	0	121	0	MIX
7831	Jutland Road Community Centre - Internal Alterations	1	1	0	0	1	0	MIX
7853	Owton Manor Community Centre - Replace Boiler	35	0	35	0	35	0	UCPB
8019	Mill House Leisure Centre Internal Doors	1	1	0	0	1	0	UCPB
8051	Seaton Carew Community Centre Roof Replacement	9	0	9	0	9	0	UCPB
8084	Mill House Leisure Centre Combined Heating & Power Unit	167	80	87	0	167	0	UCPB
8212	Seaton Carew Sports Hall Roof Replacement	85	0	85	0	85	0	UCPB
8213	Seaton Carew Community Centre Window Replacement	65	0	65	0	65	0	UCPB
8216	Seaton Carew Cricket Club	30	0	30	0	30	0	UCPB
n/a	Skateboard Park	70	0	70	0	70	0	RCCO
7110	Brougham Play Area - Playbuilder	49	0	49	0	49	0	GRANT
7110	Burbank Play Area	11	0	11	0	11	0	GRANT
7110	Burn Valley Gardens	4	0	4	0	4	0	GRANT
7110	Clavering Play Area (Playbuilder)	24	0	24	0	24	0	GRANT
7110	Jutland Road Play Area	23	0	23	0	23	0	GRANT
7110	King George V Play Area	53	0	53	0	53	0	GRANT
7110	Oxford Road Play Area	3	0	3	0	3	0	GRANT
7110	Rossmere Play Area (Playbuilder)	22	0	22	0	22	0	GRANT
7110	Seaton Carew Play Area, Seaton Park (Playbuilder)	13	0	13	0	13	0	GRANT
7110	Town Moor Play Area (Playbuilder)	1	0	1	0	1	0	GRANT
7375	Countryside Development Works	14	0	14	0	14	0	MIX
7382	Greatham Play Area Equipment	9	0	9	0	9	0	MIX
7414	Jutland Road Play Area Upgrade	54	0	54	0	54	0	MIX
7990	Ward Jackson Park Bandstand Shutters	4	0	4	0	4	0	MIX
7992	Grayfields Sports Junior Pitches	76	0	76	0	76	0	MIX
8011	Summerhill CCTV	14	0	14	0	14	0	MIX
		1,543	206	1,337	0	1,543	0	

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

PORTFOLIO : REGENERATION & ECONOMIC DEVELOPMENT

Appendix G

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B 2010/2011 Budget £'000	C 2010/2011 Actual as at 30/6/10 £'000	D 2010/2011 Expenditure Remaining £'000	E Expenditure Rephased into 2011/12 £'000	F C+D+E 2010/2011 Total Expenditure £'000	G F-B 2010/2011 Variance from budget £'000	H Type of financing
7120	Hartlepool Active Response Team Vehicles	9	0	9	0	9	0	MIX
7417	Friarage Field - Building Demolition	5	0	5	0	5	0	RCCO
7866	Friarage Manor House	18	0	18	0	18	0	CAP REC
7895	Industrial & Commercial Business Grants	96	9	87	0	96	0	UCPB
7896	Brougham Enterprise Centre Toilet & Shower Facilities	20	0	20	0	20	0	UCPB
7897	Regeneration Match Funding	358	0	358	0	358	0	UCPB
8076	Wharton Terrace Improvements	16	0	16	0	16	0	MIX
8099	Brougham Enterprise Centre - New Enhanced Windows	89	0	89	0	89	0	UCPB
8107	Acquisition of Crown House	98	2	96	0	98	0	UCPB
8110	King Oswy Shops - Improvements	8	6	2	0	8	0	UCPB
8112	Lower Owton Manor Shops - Improvements	5	0	5	0	5	0	UCPB
8113	Catcote Shops - Improvements	44	35	9	0	44	0	UCPB
8153	Seaside Grant Funding	200	0	200	0	200	0	GRANT
8161	Newburn Bridge - Roofing and Replacement of Doors	85	0	85	0	85	0	UCPB
		1,051	52	999	0	1,051	0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

PORTFOLIO : TRANSPORT & NEIGHBOURHOODS

Appendix H

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR							H Type of financing
		B	C	D	E	F	G		
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000		
7084	Principal Roads Camera Partnership	14	0	14	0	14	0	GRANT	
7206	Community Safety Social Lighting Programme	7	0	7	0	7	0	UCPB	
7207	Car Parking Security/CCTV	238	2	237	0	239	0	SPB	
7222	Minor Works - North Area	86	0	86	0	86	0	MIX	
7223	Minor Works - South Area	114	0	114	0	114	0	MIX	
7224	Minor Works - Central Area	50	0	50	0	50	0	MIX	
7235	Low Floor Infrastructure	33	1	32	0	33	0	SPB	
7236	Bus Shelter Improvements	20	0	20	0	20	0	SPB	
7237	Cycle Routes (General)	26	15	10	0	25	0	MIX	
7240	Hartlepool Transport Interchange	812	434	378	0	812	0	SPB	
7241	Pedestrian Dropped Crossing	34	0	34	0	34	0	SPB	
7242	Other Street Lighting Improvements	80	0	80	0	80	0	MIX	
7244	Travel Plans	20	0	20	0	20	0	SPB	
7250	Travel Awareness	19	0	19	0	19	0	GRANT	
7252	Safer Streets Initiative	23	0	23	0	23	0	GRANT	
7272	Wheely Bin Purchase	45	13	32	0	45	0	UDPB	
7424	Pride in Hartlepool	0	0	0	0	0	0	UCPB	
7465	Recycling Scheme	0	0	0	0	0	0	UDPB	
7499	Contaminated Land - Lithgo Close	68	1	68	0	69	0	MIX	
7508	Anhydrite Mine - Derelict Land	171	0	0	171	171	0	UCPB	
7541	Safer Routes to Schools	108	0	108	0	108	0	GRANT	
7546	Road Safety Education & Training	36	0	36	0	36	0	GRANT	
7549	Other Bridge Schemes	110	0	110	0	110	0	SPB	
7580	Highways Remedial Works - Marina	4	0	4	0	4	0	TDC	
7581	Tees Valley Boundary Signs	3	0	3	0	3	0	GRANT	
7644	School Travel Plans	16	0	16	0	16	0	SPB	
7645	Local Transport Plan (LTP) General	110	0	110	0	110	0	MIX	
7706	Waterproofing Ph2 Multi Storey Car Park	10	0	10	0	10	0	UCPB	
7707	HM Other Schemes (non-LTP)	40	0	40	0	40	0	UCPB	
7734	Hart Lane/Wiltshire Way Junction Improvements 0708	401	1	400	0	401	0	SPB	
7821	Waste Performance Efficiency - Amenite Site	97	18	79	0	97	0	MIX	
7835	Primary Health Care Centre Park	18	0	18	0	18	0	CAP REC	
7847	Coast Protection - Headland Fencing & Promenade	2	0	2	0	2	0	CAP REC	
7852	Highways Improvements - TESCO S106 Expend	0	0	0	0	(0)	0	GRANT	
7891	Strategy Study - Seaton Carew	92	13	79	0	92	0	GRANT	
7892	Strategy Study - Town Wall	65	24	41	0	65	0	GRANT	
7899	Coast Protection 0809	1	0	1	0	1	0	UCPB	
7906	Bryan Hanson House On Street Parking	18	0	18	0	18	0	UDPB	
7959	Other Walking Schemes	18	2	16	0	18	0	SPB	
7961	School 20mph Zones	18	0	17	0	17	0	SPB	
7965	Catcote Turning Circle Reconstruction	4	0	4	0	4	0	MIX	
7972	Other Traffic Management Schemes	159	8	151	0	159	0	SPB	
7973	Other Safety Schemes	29	21	8	0	29	0	GRANT	
7999	Marina Way Landscaping	34	9	25	0	34	0	RCCO	
8006	Access Road to Briarfields	20	0	20	0	20	0	CAP REC	
8015	Tesco New Entrance/Junction/Lights	39	0	39	0	39	0	GRANT	
8027	Carriageway Reconstruction John Howe Gardens/Holdforth Road	8	0	8	0	8	0	GRANT	
8028	Carriageway Reconstruction Wooler Road Roundabout No 49	24	0	24	0	24	0	GRANT	
8033	Resurface Church Square Paved Carriageway	35	0	35	0	35	0	GRANT	
8034	Resurface Outside Civic Centre	16	0	16	0	16	0	GRANT	
8037	Resurface Catcote Road/Oxford Road/Marlowe Road	60	0	60	0	60	0	GRANT	
8044	Footway Recon - York Road/Victoria Road/Park Road	31	2	30	0	32	0	GRANT	
8045	Footway Recon - Everett Street No 75 to 79	1	1	1	0	2	0	GRANT	
8046	LTP3 Development	38	8	30	0	38	0	GRANT	
8077	Footpath Resurfacing - Cemetery Road	6	6	0	0	6	0	RCCO	
8079	Household Waste Recycling Centre	18	0	18	0	18	0	UDPB	
8081	Non Adopted Highway Areas	26	0	26	0	26	0	UCPB	
8114	Hartlepool College of FE - Redevelopment	130	130	0	0	130	0	UDPB	
8123	Review Strategy Study - North Sands to Newburn Bridge	2	0	2	0	2	0	SPB	
8126	Stockton Street Wall	8	0	8	0	8	0	SPB	
8127	Charles Street Community Housing	3,869	840	3,029	0	3,869	0	UDPB	
8128	Community Housing - Seaton Lane	2,431	900	1,531	0	2,431	0	UDPB	
8130	Community Housing - Kipling Road	1,895	79	1,817	0	1,896	0	UDPB	
8131	Small Retailers - Partnership Grant	6	6	0	0	6	0	GRANT	
8151	Resurfacing Works - Bournemouth Drive	1	1	0	0	1	0	SPB	
Various	Carriageway Resurfacing	521	0	521	0	521	0	SPB	
		12,439	2,535	9,734	171	12,439	0		

Key			
RCCO	Revenue Contribution towards Capital	GRANT	Grant Funded
MIX	Combination of Funding Types	CAP REC	Capital Receipt
UCPB	Unsupported Corporate Prudential Borrowing	UDPB	Unsupported Departmental Prudential Borrowing
SCE ®	Supported Capital Expenditure (Revenue)	SPB	Supported Prudential Borrowing

PORTFOLIO : FINANCE & PROCUREMENT

Appendix I

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

TABLE 1 - RESOURCES

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B 2010/2011 Budget £'000	C 2010/2011 Actual as at 30/6/10 £'000	D 2010/2011 Expenditure Remaining £'000	E Expenditure Rephased into 2011/12 £'000	F C+D+E 2010/2011 Total Expenditure £'000	G F-B 2010/2011 Variance from budget £'000	H Type of financing
7466	Vehicle Procurement	1905	8	1,897	0	1905	0	UDPB
8085	Church Street Offices - Install Electrical Distribution System	55	0	55	0	55	0	UCPB
8102	Church Street - Re-Roof Garage with Enhanced Roofing System	50	34	16	0	50	0	UCPB
8214	Building Management System - Replace Equipment	45	0	45	0	45	0	UCPB
8215	Lynn Street Depot - Work Shops - Replace Roof	50	0	50	0	50	0	UCPB
7091	City Challenge Clawback	229	0	229	0	229	0	MIX
7867	City Challenge Burbank/Murray Street	86	0	86	0	86	0	MIX
8164	Seaton Carew Sports Hall - Replace Heating System	35	0	35	0	35	0	MIX
8165	Stranton Nursery - Replace Boiler	70	0	70	0	70	0	MIX
7532	Local Public Service Agreement (LPSA) 2 Grant	949	659	290	0	949	0	MIX
8132	Relocation of Building Management System Equip to Bryan Hanson House	10	1	9	0	10	0	MIX
7036	Unallocated SCRAP Budget	486	0	486	0	486	0	MIX
8166	Maritime Experience - Replace Boilers	25	0	25	0	25	0	MIX
8167	Automatic Entry Doors - Civic Centre Disability Works	10	0	10	0	10	0	MIX
8171	Footpath Renewal - Grayfields	10	0	10	0	10	0	MIX
8172	Footpath Renewal - Burn Valley	35	0	35	0	35	0	MIX
8173	Voltage Optimisation - Civic Centre	48	47	1	0	48	0	MIX
8162	Footpath Renewals	10	0	10	0	10	0	MIX
7031	Civic Centre - Replace Sprinkler System	2	0	2	0	2	0	MIX
8163	Civic Centre Carpet Replacement - Ground Floor	22	0	22	0	22	0	MIX
7041	Corporate Planned Maintenance Unallocated	42	0	42	0	42	0	MIX
8141	Installation of Electrical Outlets - Bryan Hanson House	20	2	18	0	20	0	MIX
7115	Civic Centre Ramp	29	0	29	0	29	0	MIX
7257	Disabled Adaptations (Various Locations)	111	16	95	0	111	0	MIX
7117	Civic Centre Access Control System	72	0	72	0	72	0	MIX
7119	Demolition of Throston Grange Old Peoples Home	2	2	0	0	2	0	MIX
7200	Civic Centre Refurbishment	350	94	256	0	350	0	MIX
7111	Stranton Crematorium Roof Replacement	8	0	8	0	8	0	MIX
7781	Renew Boiler and Heating System - Municipal Buildings	85	0	85	0	85	0	MIX
7114	Rossmere Youth Centre - Roof Replacement	63	49	14	0	63	0	MIX
7988	Lynn St Garage - Install Overhead Heaters	6	0	6	0	6	0	MIX
7989	Access System - Municipal Buildings	9	0	9	0	9	0	MIX
8134	Create Interview Rooms - Municipal Buildings	15	14	1	0	15	0	MIX
8136	Removal of Offices - Hanson House	15	4	11	0	15	0	MIX
8137	Removal of Print Room to Civic Centre	10	0	10	0	10	0	MIX
7026	Sir William Gray House - Replace Fire Alarm	50	50	0	0	50	0	MIX
8135	Ramps - Accessibility (Church Street offices)	40	23	17	0	40	0	MIX
8105	Installation of Staff Welfare Facilities (Civic Centre)	18	0	18	0	18	0	MIX
8142	School Kitchen Replacements (Various Schools)	215	0	215	0	215	0	MIX
8133	Removal of Leadbitter Telephone System	2	2	0	0	2	0	MIX
		5,294	1,005	4,289	0	5,294	0	

TABLE 2 - NEW DEAL FOR COMMUNITIES

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B 2010/2011 Budget £'000	C 2010/2011 Actual as at 30/6/10 £'000	D 2010/2011 Expenditure Remaining £'000	E Expenditure Rephased into 2011/12 £'000	F C+D+E 2010/2011 Total Expenditure £'000	G F-B 2010/2011 Variance from budget £'000	H Type of financing
7061	Business Security Fund	2	2	0	0	2	0	NDC
7063	CIA Environmental Improvements	39	0	39	0	39	0	NDC
7038	Opening Doors Phase III	99	24	75	0	99	0	NDC
7050	Osbourne Road Hall	3	0	3	0	3	0	NDC
7051	Voluntary Sector Premises Pool	5	2	3	0	5	0	NDC
7086	Lynnfield Play Area	25	0	25	0	25	0	NDC
65/7070/80	Neighbourhood Management	64	6	58	0	64	0	NDC
7079	Home Improvement Project	316	44	272	0	316	0	MIX
7054	Crime Premises	14	0	14	0	14	0	NDC
8048	NDC Trust III	162	162	0	0	162	0	NDC
		729	240	489	0	729	0	

RCCO Revenue Contribution towards Capital GRANT Grant Funded
 MIX Combination of Funding Types CAP REC Capital Receipt
 UCPB Unsupported Corporate Prudential Borrowing UDPB Unsupported Departmental Prudential Borrowing
 SCE @ Supported Capital Expenditure (Revenue) SPB Supported Prudential Borrowing

PORTFOLIO : PERFORMANCE

Appendix J

CAPITAL MONITORING REPORT PERIOD ENDING 30th JUNE 2010

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2010/2011 Budget £'000	2010/2011 Actual as at 30/6/10 £'000	2010/2011 Expenditure Remaining £'000	Expenditure Rephased into 2011/12 £'000	C+D+E 2010/2011 Total Expenditure £'000	F-B 2010/2011 Variance from budget £'000	Type of financing
7635	Intranet Content Management System	3	3	0	0	3	0	MIX
8143	Council Tax Demand Notices	10	0	10	0	10	0	MIX
7468	IT Strategy	500	0	500	0	500	0	MIX
7623	Corporate IT Projects	57	10	47	0	57	0	MIX
7631	Members ICT/Remote Access	5	5	0	0	5	0	MIX
7837	Microsoft Outlook Migration	24	24	0	0	24	0	MIX
7048	Unallocated Health & Safety Issues	60	0	60	0	60	0	MIX
		659	42	617	0	659	0	

Key

RCCO Revenue Contribution towards Capital

MIX Combination of Funding Types

UCPB Unsupported Corporate Prudential Borrowing

SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded

CAP REC Capital Receipt

UDPB Unsupported Departmental Prudential Borrowing

SPE Supported Prudential Borrowing

PORTFOLIO : FINANCE & PROCURMENT

Appendix K

ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 30th JUNE 2010**TABLE 1 - NEW DEAL FOR COMMUNITIES**

Line No	183) Budget	Description of Best Value Unit	Actual Position 30/6/10			Projected Outturn Variance
			Forecast Expenditure / (Income)	Actual Expenditure / (Income)	Variance Adverse / (Favourable)	
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F (F=E-D)	
	£'000		£'000	£'000	£'000	£'000
1	35	Anti Social Behaviour	9	7	(2)	0
2	20	Back to Work Grant	5	4	(1)	0
3	8	Business Support Manager	10	6	(4)	0
4	115	Children's Learning and Activities project	19	32	13	0
5	60	Communications Project	16	13	(3)	0
6	34	Community Development Work	9	6	(3)	0
7	142	Community Housing Plan Delivery Costs 2008-11	34	0	(34)	0
8	53	Community Learning Centre - Lynnfield	0	0	0	0
9	2	Community Transport	0	0	0	0
10	94	Crime Premises	15	(7)	(22)	0
11	1	Enterprise Support Scheme	0	1	1	0
12	28	Evaluation Project	7	10	3	0
13	10	Family Support	2	2	0	0
14	22	KS3 Sustaining Performance	0	0	0	0
15	15	Longhill - Site Manger	9	10	1	0
16	2	Lynnfield Play Area	0	0	0	0
17	446	Management & Administration	101	66	(35)	0
18	263	Neighbourhood Management Phase II	67	56	(11)	0
19	81	Raising Aspirations	0	0	0	0
20	2	Resident Association Support	1	0	(1)	0
21	2	Resident Steering Group (RSG) Laptops	0	0	0	0
22	45	Selective Licensing in the Private Rented Sector	11	0	(11)	0
23	5	Sustaining Consultancy Fund	1	0	(1)	0
24	14	Youth Enterprise Scheme	4	7	3	0
25	1,500		320	213	(107)	0

SCRUTINY CO-ORDINATING COMMITTEE

15th October 2010



Report of: Extended Services and Early Years Manager and
Hartlepool Financial Inclusion Partnership
Development Officer

Subject: THE PROVISION OF FACE TO FACE FINANCIAL
ADVICE AND INFORMATION SERVICES IN
HARTLEPOOL – SETTING THE SCENE REPORT

1. PURPOSE OF REPORT

1.1 To provide members with information of how face to face financial advice is provided in Hartlepool.

2. BACKGROUND

2.1 At the meeting of 23 July 2010, members agreed that “face to face” financial advice would be part of the scrutiny co-ordinating committee’s work programme 2010/11.

2.2 In addition child poverty was investigated by scrutiny co-ordinating committee in 2009/2010 work programme. Members requested through this investigation for officers to carry out a mapping exercise of financial advice. This report contains information collected from this exercise and further information to support the current scrutiny investigation.

2.3 The initial child poverty investigation showed that one of the key issues impacting on poverty in families is that of poor financial management and debt. Families with limited financial resources may have their situation made worse by an inability to access “mainstream” credit facilities with the result that they make use of loan sharks or purchase arrangements that charge huge interest rates. Families who may appear more affluent may also struggle with large debts.

3. NEEDS ANALYSIS

3.1 A range of indicators set out the need for financial advice in Hartlepool. There are three categorised groups of households in relation to financial inclusion; On the Breadline, Credit Hungry Families and Elderly Deprivation.

Key characteristics can be defined as:

On the Breadline estimated as 26.8% of all Hartlepool households

- Young lone parents and single people living on benefits or earning low incomes and have poor financial capability. They struggle to cope with unexpected household expense due to a lack of savings or realisable assets.
- Live in the lowest value council, housing association, rented properties. High proportion of households have no full-time earner, majority pay no tax due to their low earnings/income.
- Shop in discount stores and are high spenders on childcare products and services such as utilities – prepayment arrangements.
- Find it difficult to obtain banking facilities and credit and are most likely to default.

Credit Hungry Families estimated as 13.1% of all Hartlepool households

- Typically couples in their 20's – 30's with young or school aged children. Little or no ability to save. Income is below average with a high proportion being used to fund existing debts – no reserves for emergencies– low financial awareness.
- Use credit extensively from a variety of sources to maintain their lifestyle often 'maxing out' credit cards and taking on loans for luxuries, holidays and have goods on hire purchase agreements.
- Live in low value housing terraced/semi's, but large number have mortgages, other typically rent from council, private landlords etc.
- Will often run out of cash before next payday so may use wage advance companies. This group are the largest risk for debt defaults.

Elderly Deprivation estimated as 15.2% of all Hartlepool households

- Pensioners living in poor circumstances and almost completely dependent upon state income. During their working lives were unable to make provision for old age.
- Manage their finances well to but still struggle to meet basic necessities such as rent, food, utilities – if they have any savings at all this would be set aside for their funeral.
- Majority live alone in small rented flats or sheltered accommodation.
- Have poor access to transport – so shop locally. Socially isolated due to lack of money for leisure/interests, some may have access to family support

Further information that sets out a need for financial advice includes:

- Personal insolvencies have increased from 10.9 per 10,000 of the population in 2005 to 30.1 per 10,000 of the population in 2009.

- 10,000 households in Hartlepool are involved in financial arrangements with home credit companies. It is therefore estimated that if the poorest families were removed the Doorstep leading arrangements, this would release at least £4 million into the local economy.
- According to HMRC 3,715 families in Hartlepool are not claiming essential Working Family Tax Credits that they are entitled to.
- The number of children living in families claiming income support/ job seekers allowance is 4,925 of these 3,555 live with a lone parent
- The Basic Bank Account Report published recently by the Financial Inclusion Taskforce confirmed that the number of un-paid or 'returned items' (eg standing orders and direct debits) due to a lack of available funds on deposit in an individuals account at the time payment is requested, is rising. This is a significant problem for people with incomes of under £15,000 per annum.

There are also a number of statistics that give an indication of a risk of financial exclusion

- 28.6% of children are living in families on key benefits;
- 10.5% of adults with children are lone parents;
- There are 56,100 working age adults in Hartlepool - worklessness currently stands at 33.8%.

4. PARTNERSHIP WORKING

- 4.1 In order to support households to improve their financial situation the Financial Inclusion Partnership operates as a sub group of the Economic Forum (a theme partnership of the Local Strategic Partnership). This partnership works to provide a co-ordinated, targeted cross agency response to financial inclusion. It also offers the opportunity to pool resources to deliver projects.
- 4.2 There are 35 members of the partnership who work together to identify solutions to financial inclusion. The aim of the partnership is to bring advice, information and agencies "closer to communities" to reach the most vulnerable in accessible non threatening venues.
- 4.3 The partnership has delivered a number of Money Matters Road Shows and produced Money Matters publications to encourage residents to seek advice and information to address their money or debt concerns and to maximise the up-take of welfare benefits. It has taken a lead role in promoting the pitfalls associated with high interest lenders and unlicensed lenders (Loan Sharks). Links have been established with the DWP Financial Inclusion Champions Initiative and a mentoring role is provided to local agencies and partners in respect of increasing their understanding of issues related to financial exclusion.

5. MAPPING EXERCISE

- 5.1 The child poverty scrutiny investigation held in 2009/2010 identified a need to carry out a mapping exercise of face to face financial advice. Questionnaires were circulated to all providers and the information collated showed that there are over 500 workers who offer signposting to financial advice. This may consist of an initial discussion about financial support but the workers are not sufficiently trained to provide financial face to face advice.
- 5.2 There are four main providers of face to face advice in Hartlepool:
- Citizens Advice Bureau;
 - West View Advice and Resource Centre;
 - Manor Residents through Connected Care; and
 - Jobcentre plus.

All of the above have provided information about the face to face financial advice they offer. These questionnaires are attached as appendices. NB Jobcentre plus did not reply. They concentrate on the provision of welfare benefits advice.

- 5.3 In order to provide face to face financial advice providers need to be licensed through the Office of Fair Trading. Licenses are only approved once the organisation has undertaken rigorous training and qualifications have been achieved.
- 5.4 In addition to the face to face providers HMRC (Her Majesty's Revenue and Customs) offer a telephone helpline and email support.

6. FUNDING

Information has been requested from central finance on the amount of council funding currently attributed to the IAG service providers in 5.2 above. This will be provided in due course.

7. FURTHER ISSUES TO EXPLORE

- 7.1 The need for these services has not been quantified in the mapping exercise. Anecdotal evidence indicates that the services offering face to face financial advice are overstretched with waiting lists for appointments. The workers who signpost to the face to face financial advice services have not been questioned to clarify need. Scrutiny Co-ordinating Committee may wish to explore this further to gain a clearer understanding of need in order to understand resource implications.
- 7.2 The numbers of people accessing these services can be captured by the providers but it is unknown whether all people signposted to the service actually take it up.

- 7.3 Each of the providers has indicated that they get their funding from a range of sources. This is positive as it means that they are not reliant on one funding stream but the inevitable changes in government funding may have an impact on these providers in the short to medium term.

8. RECOMMENDATION

- 8.1 That Members note the content of the report, seeking clarification on any relevant issues, where felt appropriate.

9. BACKGROUND PAPERS

Appendix A Citizens Advice Bureau
Appendix B West View Advice and Resource Centre
Appendix C Manor Residents Connected Care

10. CONTACT OFFICER

Danielle Swainston, Sure Start, Extended Services and Early Years Manager, 01429 523671, Email: Danielle.swainston@hartlepool.gov.uk

Carol Jones, Hartlepool Financial Inclusion Partnership Development Officer, 01429 863542, Email: carol.jones@hartlepool.gov.uk

Hartlepool Borough Council Scrutiny Investigation

Face to Face Money, Debt and Financial Advice Services Questionnaire

Organisation/Company Name	Hartlepool Citizens Advice Bureau
Address/Contact Details	87 Park Road, Hartlepool TS26 9HP
Telephone/email	268242/manager@hartlepool.cabnet.org.uk
The services You Provide	
1. Do you provide face to face money, debt and other financial advice & information?	Yes : Full Debt Advice Service and also Money Guidance Service.
2. Is the service free of charge?	Yes
3. Do you provide the service on behalf of other partners eg via Service Level Agreements?	Yes : We have core funding from Hartlepool Borough Council and also funding for Debt Advice from the Legal Services Commission. However, funding from the LSC ends in November 2010.
4. Do you assist clients seeking money, debt and other financial advice & information by 'navigating or signposting' them other service providers?	The Bureau can 'currently' deal with all Debt Advice enquiries but this may change from November when we lose a full-time caseworker post.
5. Is there an agreed referral system in place?	No agreed referral systems with any organisation but we regularly signpost to other agencies that are either local, regional or national.
6. At what locations are your services based eg main office, outreach sites etc?	1. Main Office 2. Hartlepool Carers Centre 3. Hartlepool County Court
7. What is the focus of the service and how is it accessed?	The main Bureau office on Park Road is open 5 days a week from 9.30am to 3.00pm and no appointment is needed for a first visit to our offices. We also provide a daily Telephone Advice service and also an E-Mail enquiry service. The focus of our services is giving advice and assistance with Debt Advice, Housing Advice, Employment Law, Welfare Benefits, Consumer Advice, Money Guidance . Taxes and a variety of other subjects and topics.
8. How is the service funded?	Current funding bodies are : 1. Hartlepool Borough Council 2. Legal Services Commission 3. Financial Services Authority 4. The Financial Inclusion Fund 5. The Northern Rock Foundation
9. Current level of demand for the services you provide?	We currently have 3 FTE equivalent Debt Advice Workers and they are all currently booked up with appointments for the next 4 weeks. For the daily drop-in service we are currently booked up to 1 week in advance following the initial diagnostic interview.

10. Would you please indicate if you are willing to be further involved with the Scrutiny Investigation?	Yes
--	-----

Thank you for completing this survey

Please return your questionnaire **no later than Monday 27th September 2010** to:

Carol Jones - Hartlepool Financial Inclusion Partnership Development Officer via email at
carol.jones@hartlepool.gov.uk



Hartlepool Borough Council Scrutiny Investigation

Face to Face Money, Debt and Financial Advice Services Questionnaire

Organisation/Company Name	West View Advice & Resource Centre Limited
Address/Contact Details	30 Miers Avenue Hartlepool TS24 9HH 01429 271275 Val@wvarc30.org.uk
Telephone/email	
The services You Provide	
1. Do you provide face to face money, debt and other financial advice & information?	Yes (If yes, please state the type of service provided) We provide specialist debt advice service, budgetary advice and advice & information on the full range of welfare benefits
2. Is the service free of charge?	Yes
3. Do you provide the service on behalf of other partners eg via Service Level Agreements?	Yes SLA's with Belle Vue & Housing Hartlepool Contract with HBC for Childrens Centres, Agreement with Macmillan Cancer Support
4. Do you assist clients seeking money, debt and other financial advice & information by 'navigating or signposting' them other service providers?	No (If yes – who are the main partners you refer clients to?)
5. Is there an agreed referral system in place?	Yes- Self referral by telephone or presentation. Standard fom for SLA'S & Contractors
6. At what locations are your services based eg main office, outreach sites etc?	Main Office & Stranton CC, Lynfield CC, Hindpool, CC, Chatham CC, Rift House CC, Rossmere CC, St John Vianney CC, Belle Vue Centre, Central Library, Wynyard House, home visits for the housebound
7. What is the focus of the service and how is it accessed?	The focus is to provide free, independent, confidential information to the residents of Hartlepool, accessed as described above in 5
8. How is the service funded?	Relies heavily on grant funding from Charitable foundations, some contract and SLA and Community Pool
9. Current level of demand for the services you provide?	(See attached)
10. Would you please indicate if you are willing to be further involved with the Scrutiny Investigation?	No

Thank you for completing this survey

Please return your questionnaire **no later than Monday 27th September 2010** to:

Carol Jones - Hartlepool Financial Inclusion Partnership Development Officer via email at
carol.jones@hartlepool.gov.uk



Hartlepool Borough Council Scrutiny Investigation

Face to Face Money, Debt and Financial Advice Services Questionnaire

Organisation/Company Name	Connected Care
Address/Contact Details Telephone/email	Kilmarnock Road Hartlepool TS25 3NU
The services You Provide 1. Do you provide face to face money, debt and other financial advice & information? 2. Is the service free of charge? 3. Do you provide the service on behalf of other partners eg via Service Level Agreements? 4. Do you assist clients seeking money, debt and other financial advice & information by 'navigating or signposting' them other service providers? 5. Is there an agreed referral system in place?	Yes – face to face money, debt and all benefits provided by DWP (If yes, please state the type of service provided) Yes Yes – PCT funding through HVDA core grants to voluntary sector (If yes, then please list all current SLA partners) Yes/No - yes and no, referrals are made on basis per client when needed, Connected Care normally deals with the full case, referrals could be housing, social services etc(If yes – who are the main partners you refer clients to?) Yes - telephone call followed by a letter (If yes, then please describe the type of referral eg standard form, telephone call etc?)
6. At what locations are your services based eg main office, outreach sites etc?	Main office
7. What is the focus of the service and how is it accessed?	Money, debt advice – accessed by phone, calling into the centre
8. How is the service funded?	PCT core funding for voluntary sector
9. Current level of demand for the services you provide?	The service runs five days a week, with six appointments each day, however demand is such that we could operate to full capacity with two advisors on full time basis
10. Would you please indicate if you are willing to be further involved with the Scrutiny Investigation?	Yes

Thank you for completing this survey

Please return your questionnaire **no later than Monday 27th September 2010** to:
Carol Jones - Hartlepool Financial Inclusion Partnership Development Officer via email at
carol.jones@hartlepool.gov.uk



SCRUTINY CO-ORDINATING COMMITTEE

15 October 2010



Report of: Scrutiny Manager

Subject: PROGRESS REPORT – COUNCIL ASSISTED SCHEME FOR THE PROVISION OF HOUSEHOLD WHITE GOODS/FURNITURE

1. PURPOSE OF REPORT

- 1.1 To update Members on the submission of a report to the Scrutiny Co-ordinating Committee on the options for, and feasibility of, the introduction of a council assisted scheme for the provision of household white goods/furniture.

2. BACKGROUND INFORMATION

- 2.1 As part of the Scrutiny Co-ordinating Committee's investigation into Child Poverty and Financial Inclusion, reference was made to the potential benefits of scheme to generate a revenue income stream from the provision of furniture and white goods to families in receipt of benefits. Following further discussion by the Committee, on the 23 July 2010, Members requested that a report exploring the feasibility of / business case for such a scheme be submitted to the next meeting of the Scrutiny Co-ordinating Committee. The content of this report to include:-

- (i) An outline timetable, with clear milestones, of how this issue can be progressed including engagement with potential providers; and
- (ii) Information from authorities already undertaking this provision.

- 2.2 In accordance with the wishes of the Committee work has now commenced on the production of this report, with Damian Wilson (Assistant Director (Regeneration & Planning)) acting as lead officer. In exploring the potential feasibility and way forward for such a scheme, the following areas of work and research are now underway:-

- (i) The operation of similar schemes elsewhere in the UK;
- (ii) Funding arrangements;
- (iii) Impacts upon child poverty / affordable credit;
- (iv) Impact upon the average length of tenancy; and
- (v) Potential site visit (with invitation to the Chair of the Committee).

2.3 In endeavouring to provide the Committee with the level and quality of information required, every effort is being made to complete the necessary work to facilitate the submission of the required report to the Scrutiny Co-ordination Committee on the 3 December 2010.

3. RECOMMENDATIONS

3.1 That Members:-

- (i) Note progress in preparation of the requested report; and
- (ii) Approve an extension of the deadline for the submission of a report to the Scrutiny Co-ordinating Committee on the 3 December 2010.

Contact Officer:- Joan Stevens – Scrutiny Manager
Chief Executive's Department - Corporate Strategy
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Tel: 01429 284142
Email: joan.stevens@hartlepool.gov.uk

BACKGROUND PAPERS

- i) Minutes of the Scrutiny Co-ordinating Committee held on the 19 March 2010, 26 March 2010 and 23 July 2010.

SCRUTINY CO-ORDINATING COMMITTEE

15 October 2010



Report of: Chair of the Children's Services Scrutiny Forum

Subject: CHILDREN'S SERVICES SCRUTINY FORUM -
RESPONSE TO THE REFERRAL FROM SCRUTINY CO-
ORDINATING COMMITTEE ON THE REFURBISHMENT
OF EXMOOR GROVE CHILDREN'S HOME

1. PURPOSE OF REPORT

1.1 To inform Members of the Scrutiny Co-ordinating Committee of the response from the Children's Services Scrutiny Forum regarding the referral from this Committee on the refurbishment of Exmoor Grove Children's Home.

2. BACKGROUND INFORMATION

2.1 At the meeting of the Scrutiny Co-ordinating Committee on 3 September 2010 the refurbishment of Exmoor Grove Children's Home came to the Committee's attention during consideration of the Forward Plan.

2.2 At this meeting Members expressed concern that the capital expenditure for the refurbishment of Exmoor Grove Children's Home currently estimated to be in excess of £100,000 was excessive and further information on this was requested.

2.3 In view of the current financial constraints of the Council, it was suggested by the Scrutiny Co-ordinating Committee that this matter be reviewed and Members agreed to refer it to the Children's Services Scrutiny Forum for investigation.

3. CONSIDERATION OF THE REFERRAL

3.1 The Children's Services Scrutiny Forum met on the 28 September 2010 to consider the referral. At this meeting officers from the department provided a breakdown of the costs and the reasons for the refurbishment.

3.2 After consideration of the information and an examination of the costs, the Children's Services Scrutiny Forum agreed that the refurbishment of Exmoor

Grove should go ahead. In relation to the costs the Forum agreed that they were reasonable with the exception of the following:-

- (a) Professional Fees: Members expressed concern that the cost for professional fees was a very high percentage of the overall cost which could be spent more productively. Members requested that the cost for professional fees be reviewed and reduced wherever possible.

4. RECOMMENDATION

- 4.1 That Members of the Scrutiny Co-ordinating Committee note and agree the comments of the Children's Services Scrutiny Forum relating to the refurbishment of Exmoor Grove Children's Home for presentation to the Children's Services Portfolio Holder on 26 October 2010.

**COUNCILLOR CHRIS SIMMONS
CHAIR OF THE CHILDREN'S SERVICES SCRUTINY FORUM**

Contact:- Laura Stones – Scrutiny Support Officer
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Hartlepool Borough Council
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Email: laura.stones@hartlepool.gov.uk

BACKGROUND PAPERS

The following background papers were used in preparation of this report:-

- (a) Scrutiny Co-ordinating Committee Referral - Refurbishment of Exmoor Grove Children's Home – Covering Report presented to the Children's Services Scrutiny Forum on 28 September 2010
- (b) Scrutiny Co-ordinating Committee Referral – Refurbishment of Exmoor Grove Children's Home presented to the Children's Services Scrutiny Forum on 28 September 2010

SCRUTINY CO-ORDINATING COMMITTEE

15 October 2010



Report of: Chair of the Children's Services Scrutiny Forum

Subject: CHILDREN'S SERVICES SCRUTINY FORUM -
RESPONSE TO THE REFERRAL FROM SCRUTINY CO-
ORDINATING COMMITTEE ON THE SERVICE
DELIVERY OPTION – SURE START, EXTENDED
SERVICES AND PLAY

1. PURPOSE OF REPORT

1.1 To inform Members of the Scrutiny Co-ordinating Committee of the response from the Children's Services Scrutiny Forum regarding the referral from this Committee on the Service Delivery Option (SDO) for Sure Start, Extended Services and Play.

2. BACKGROUND INFORMATION

2.1 At the meeting of the Scrutiny Co-ordinating Committee on 3 September 2010 the SDO for Sure Start, Extended Services and Play came to the Committee's attention during consideration of the Forward Plan.

2.2 At this meeting Members sought clarification as to how the efficiency target and budget base figures had been calculated as part of the SDO for this service area.

2.3 In view of these queries, it was agreed that consideration of the SDO would be referred to the Children's Services Scrutiny Forum for further investigation.

3. CONSIDERATION OF THE REFERRAL

3.1 The Children's Services Scrutiny Forum met on the 30 September 2010 to consider the referral. At this meeting officers from the department provided information on the options available for a proposed £40,400 savings target which included how the target and budget base figures had been calculated.

- 3.2 After consideration of the information and the options available, the Children's Services Scrutiny Forum supported the SDO and the proposed £40,400 savings target.
- 3.3 In addition to this, the Forum thought that it would be beneficial if all schools could provide after school and holiday provision. Members suggested the option of OSCARS running the provision from schools and requested that this be explored further.

4. RECOMMENDATION

- 4.1 That Members of the Scrutiny Co-ordinating Committee note and agree the comments of the Children's Services Scrutiny Forum relating to the SDO for Sure Start, Extended Services and Play for presentation to Cabinet in November 2010.

**COUNCILLOR CHRIS SIMMONS
CHAIR OF THE CHILDREN'S SERVICES SCRUTINY FORUM**

Contact:- Laura Stones – Scrutiny Support Officer
Chief Executive's Department – Corporate Strategy
Hartlepool Borough Council
Tel: 01429 523087
Email: laura.stones@hartlepool.gov.uk

BACKGROUND PAPERS

The following background papers were used in preparation of this report:-

- (a) Referral from the Scrutiny Co-ordinating Committee – Service Delivery Option – Sure Start, Extended Services and Play: Covering Report presented to the Children's Services Scrutiny Forum on 30 September 2010
- (b) Referral from the Scrutiny Co-ordinating Committee – Service Delivery Option – Sure Start, Extended Services and Play presented to the Children's Services Scrutiny Forum on 30 September 2010

SCRUTINY CO-ORDINATING COMMITTEE

15 October 2010



Report of: Chair of the Joint Regeneration and Planning Services Scrutiny Forum, Children's Services Scrutiny Forum and Adult & Community Services Scrutiny Forum.

Subject: REFERRAL FEEDBACK REPORT - HOUSING ADAPTATIONS POLICY REVIEW 2010 - 2013

1. PURPOSE OF THE REPORT

- 1.1 To provide Members of the Scrutiny Co-ordinating Committee with the views of Members present at the joint meeting of the Regeneration and Planning Services, Children's Services and Adult & Community Services Scrutiny Forums regarding the referral of the Housing Adaptations Policy (2010 – 2013).

2. BACKGROUND INFORMATION

- 2.1 At its meeting of 3 September 2010, during consideration of the Forward Plan, it came to the attention of the Scrutiny Co-ordinating Committee that the Housing Adaptations Policy (2010 – 2013) was being reviewed. In exploring the Forward Plan entry, the Scrutiny Co-ordinating Committee raised concerns that there had been no Elected Member involvement in the development of the Housing Adaptations Policy (2010 – 2013).
- 2.2 In light of these concerns, the Scrutiny Co-ordinating Committee referred consideration of the draft policy to a joint meeting of the Regeneration and Planning Services, Adult and Community Services and the Children's Services Scrutiny Forums. The Joint Forum met on 27 September 2010 to:-
- (i) Consider the Draft Housing Adaptations Policy (2010 – 2013) and formulate a view for consideration by Cabinet as part of the consultation process;
 - (ii) Formulate a response to the referral for consideration by the Scrutiny Co-ordinating Committee.

2.3 Officers from the Housing Regeneration and Policy Department attended the Joint Forum meeting on 27 September 2010 to present an overview of the policy and consultation and to answer Members questions.

3. JOINT FORUM CONSIDERATION OF THE REFERRAL

3.1 The Joint Forum considered the presentation by the Officers from the Housing Regeneration and Policy Department and sought clarification on a number of issues regarding the policy and the consultation process.

3.2 Members present at the meeting of the Joint Forum raised grave concerns about the absence of Member consultation on the Housing Adaptations Policy 2010 – 2013. These concerns mirrored those initially expressed by the Scrutiny Co-ordinating Committee at the time of the referral of the policy to the Joint Forum.

3.3 During the course of discussions:-

- (i) Members noted that an email had been sent to all Members from the Department seeking their views on the Housing Adaptations Policy 2010-13. It was, however, emphasised that this had only been sent as a result of the Scrutiny Co-ordinating Committee referral;
- (ii) Members agreed that they were comfortable with the policy as a whole, but were keen to input to the development of the Housing Adaptations Policy as it continues;
- (iii) It was agreed that Members be given the opportunity, over and above discussions at the Joint Scrutiny meeting to submit detailed views on the content of the Policy. These views should be submitted to the Housing Regeneration and Policy Officer for consideration as part of the consultation process; and
- (iv) Members explored the frequency and triggers for convening the Adaptations Operations Panel, as detailed in the consultation documentation, and reinforced the need for them to be as flexible as possible (based on Officers professional judgement). As such, the Adaptations Operations Panel should be convened on a flexible basis.

3.4 It was, therefore, concluded that:-

- (i) Members were comfortable with the content of the draft Housing Adaptations Policy (2010 – 2013) as a whole and should proceed as planned;
- (ii) Members should be consulted / involved at appropriate stages in the continuing development of the Housing Adaptation Policy (2010 – 2013);

- (iii) The Adaptations Operations Panel should be convened on a flexible basis;
- (iv) Additional comments from individual Member regarding the Housing Adaptations Policy 2010 – 2013 (as detailed in Section 3.3 (iii)) should be made to the Housing Regeneration and Policy Officer for submission as part of the consultation process; and
- (v) Members should be included in the consultation process for all policies so that their views can be taken into account before they are enforced.

4. RECOMMENDATION

- 4.1 That Members of the Scrutiny Co-ordinating Committee note and agree the views of the Joint Regeneration and Planning Services, Children's Services and Adult & Community Services Scrutiny Forum relating to the Housing Adaptations Policy 2010 – 2013 for presentation to Cabinet in November.

**COUNCILLOR CHRIS SIMMONS
CHAIR OF THE JOINT REGENERATION AND PLANNING SERVICES,
CHILDREN'S SERVICES AND ADULT & COMMUNITY SERVICES
SCRUTINY FORUM**

Contact Officer:- Elaine Hind – Scrutiny Support Officer
Chief Executive's Department – Corporate Strategy
Hartlepool Borough Council

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BACKGROUND PAPERS

The following background paper was used in the preparation of this report:-

- (i) The report of the Scrutiny Support Officer entitled 'Scrutiny Co-ordinating Committee Referral – Housing Adaptations Policy (2010 – 2013) – Covering Report' Presented to the Joint meeting of the Regeneration and Planning Services, Children's Services and Adult and Community Services Forum in 27 September 2010.
- (ii) HBC Housing Adaptations Policy Review August 2010
- (iii) HBC Housing Adaptations Policy 2010 – 2013
- (iv) HBC Housing Adaptations Policy Action Plan 2010 – 2013
- (v) HBC Housing Adaptations Policy 2010 – 2013 Consultation 1.9.10 – 30.9.10

SCRUTINY CO-ORDINATING COMMITTEE

15 October 2010



Report of: Scrutiny Manager

Subject: REQUEST FOR FUNDING TO SUPPORT THE NEIGHBOURHOOD SERVICES SCRUTINY FORUM'S CURRENT SCRUTINY INVESTIGATION

1. PURPOSE OF REPORT

- 1.1 To seek approval from the Scrutiny Co-ordinating Committee for a request for funding for the Neighbourhood Services Scrutiny Forum, from within the Overview and Scrutiny Function's dedicated scrutiny budget.

2. FUNDING PROPOSAL

- 2.1 In line with Council procedures, the agreed pro-forma has been completed and is attached as **Appendix A**. The purpose of the completed pro-forma is to assist this Committee in determining whether approval should be given to fund the additional support requested by the Neighbourhood Services Scrutiny Forum, as part of their current investigation.

3. THE COUNCIL'S FINANCIAL PROCEDURE RULES

- 3.1 The Financial Procedure Rules are those rules that the Council must have to govern its financial affairs. These rules are required by law to ensure that large sums of public money are spent properly and wisely.
- 3.2 The Financial Procedure Rules together with Standing Orders, apply to all parts of the Council, to Elected Members and employees and form an integral part of the Council's Constitution.
- 3.3 Consequently, whilst this Committee is requested to make a decision on the merits of the request for funding, the Committee must also adhere to the Council's Financial Procedure Rules.

4. RECOMMENDATIONS

4.1 It is recommended that the Scrutiny Co-ordinating Committee:-

- (a) determines whether the proposal is justified on the basis of information provided in **Appendix A**;
- (b) determines whether the proposal is a sufficient priority within the remaining budgetary provision; and
- (c) agrees in principal that any funding allocated, is in accordance with the Council's Financial Procedure Rules.

Contact:-

Joan Stevens – Scrutiny Manager
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Hartlepool Borough Council
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BACKGROUND PAPERS

No background papers were used in the preparation of this report.

**PRO-FORMA TO REQUEST FUNDING TO SUPPORT
CURRENT SCRUTINY INVESTIGATION**

<p>Title of the Overview and Scrutiny Committee:</p> <p>Neighbourhood Services Scrutiny Forum</p>
<p>Title of the current scrutiny investigation for which funding is requested:</p> <p>20's Plenty – Traffic Calming Measures</p>
<p>To clearly identify the purpose for which additional support is required:</p> <p>To fund a consultation exercise asking for people (Regional organisations; local taxi and bus companies; resident associations; care homes; social landlords) to attend a meeting / submit written views. Funding is required to cover the cost of printing and postage.</p>
<p>To outline indicative costs to be incurred as a result of the additional support:</p> <p>£57.56</p>
<p>To outline any associated timescale implications:</p> <p>The consultation process will take place throughout October 2010</p>
<p>To outline the 'added value' that may be achieved by utilising the additional support as part of the undertaking of the Scrutiny Investigation:</p> <p>To gather views from residents / organisations / groups on physical traffic calming measures and 20 mph zones / limits</p>
<p>To outline any requirements / processes to be adhered to in accordance with the Council's Financial Procedure Rules / Standing Orders:</p> <p>N/A</p>
<p>To outline the possible disadvantages of not utilising the additional support during the undertaking of the Scrutiny Investigation:</p> <p>Unable to gather views to make an informed decision</p>
<p>To outline any possible alternative means of additional support outside of this proposal:</p> <p>None identified</p>