

CABINET AGENDA



Monday 7th November 2005

at 10:00 a.m.

in the Committee Room B

MEMBERS: CABINET:

The Mayor, Stuart Drummond

Councillors Fortune, Hill, Jackson, Payne and R Waller

Also invited:

Councillor Shaw in the absence of Councillor James - Chair of Scrutiny Co-ordinating Committee

1. APOLOGIES FOR ABSENCE

2. TO RECEIVE ANY DECLARATIONS OF INTEREST BY MEMBERS

3. MINUTES

- 3.1 To receive the Record of Decision in respect of the meeting held on 24th October, 2005 (to be circulated)

4. BUDGET AND POLICY FRAMEWORK

- 4.2 None

5. KEY DECISIONS

- 5.1 Briarfields Allotments – *Acting Director of Adult and Community Services*
5.2 New Deal for Communities Community Housing Plan – Dalton Street – *The Director of Regeneration and Planning Services/Director of Neighbourhood Services*
5.3 Hartlepool Tree Strategy – *The Director of Regeneration and Planning Services*

6. OTHER ITEMS REQUIRING DECISION

- 6.1 Northgate Framework Arrangement – *Chief Financial Officer*
- 6.2 Children and Young People's Strategic Partnership: Membership – *Director of Children's Services*
- 6.3 Consultation on the Merger Between County Durham and Darlington Priority Services NHS Trust and Tees and North East Yorkshire NHS Trust – *Director of Adult and Community Services/Director of Children's Services*

7. ITEMS FOR DISCUSSION

None

8. ITEMS FOR INFORMATION

- 8.1 Quarter 2 – Corporate Plan Progress and Revenue Budget Monitoring Report 2005/06 – *Assistant Chief Executive/Chief Financial Officer*
- 8.2 NRF, Capital and Accountable Body Monitoring Report 2005/206 – *Chief Financial Officer*

9. REPORTS FROM OVERVIEW OF SCRUTINY FORUMS

- 9.1 Final Report – Review into the Authority's Financial Reserves - To be presented by Councillor Shaw in the absence of Councillor James, Chair of Scrutiny Co-ordinating Committee

EXEMPT ITEMS

Under Section 100(A)(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following items of business on the grounds that it involves the likely disclosure of exempt information as defined in the paragraphs referred to below of Part 1 of Schedule 12A of the Local Government Act 1972 as amended by the Local Government (Access to Information) Act 1985

10. CONFIDENTIAL KEY DECISIONS

- 10.1 None

11. OTHER ITEMS REQUIRING DECISION

- 11.1 None

CABINET REPORT

7th November 2005



Report of: Acting Director of Adult and Community Services

Subject: BRIARFIELDS ALLOTMENT SITE

SUMMARY

1. PURPOSE OF REPORT

To place before Cabinet a report in response to the Local Government Ombudsman's conclusions on the future of the former Briarfields allotment site. To invite Cabinet to determine action to be taken in response.

2. SUMMARY OF CONTENTS

The report includes the relevant element of the Ombudsman Report, provides background information and costings in relation to the principal recommendations of the Ombudsman – re-establishment of the allotments. It also includes consideration of existing allotment provision and the sequence of options required in coming to a decision.

3. RELEVANCE TO CABINET

The actions criticised by the Ombudsman were executive functions determined by Cabinet.

4. TYPE OF DECISION

Key – Test (i)

5. DECISION MAKING ROUTE

Cabinet 7th November 2005
Potentially Council – potential departure from Budget and Policy Framework.

6. DECISION(S) REQUIRED

That Cabinet consider the reinstatement of the Briarfields allotments and decide a preferred course of action.

Subject to Cabinet's decision at paragraph 11.1 Cabinet may need to seek Council's approval to add the scheme to the capital programme and to amend the approved prudential borrowing limits.

Report of: Acting Director of Adult and Community Services

Subject: BRIARFIELDS ALLOTMENT SITE

1. PURPOSE OF REPORT

- 1.1 This report follows from that presented to Cabinet on the 24th October 2005 by the Chief Solicitor which reflected upon the receipt of the Ombudsman Report relating to the findings of 'Maladministration causing injustice' in relation to the Briarfields site.
- 1.2 This report focuses upon the decision required in relation to the allotments and the actions to be taken. The report includes detailed analysis of the current allotment provision, the views of the former Briarfields allotment tenants and cost estimates of the re-establishment options.

2. BACKGROUND

- 2.1 So far as is relevant to this report, the Ombudsman recommended that the Council give serious consideration to the re-instatement of the Briarfields allotment (albeit possibly with fewer plots).
- 2.2 Steps which comply with the Ombudsman recommendation regarding consideration of the reinstatement of the allotments have already been taken. At the meeting of Cabinet on the 6th September 2005 when dealing with the item "Proposed Modification to the Hartlepool Local Plan" it accepted the Inspector's recommendations to the review of the Local Plan with regards to Briarfields (i.e. to remove the Briarfields Paddock and allotment site from low density housing provision). At the same time, Cabinet called for a report on the re-establishment of the Briarfields allotments. This report specifically addresses the issue.

3. THE FORMER BRIARFIELDS ALLOTMENT SITE

- 3.1 The former Briarfields allotments consisted of 32 allotments situated on the south side of the Briarfields Site, an area of 2.54 acres. The whole site is estimated at 8 acres in size.

- 3.2 The allotment tenants were given notice to quit in October 2002 effective from October 2003 and the site was eventually fully cleared of allotment fencing, structures and debris in February 2004. In the subsequent two growing seasons the area has become overgrown and no significant evidence of the former allotment site now exists.
- 3.3 A plan of the Briarfields site is attached at **Appendix 1**.
- 3.4 The Local Plan is currently out for consultation, if the Cabinet's agreed amendment made on the 6th September and subsequently agreed by Council is adopted, the former allotments land will have the status of key green open space. The draft Local Plan indicates that planning permission will only be given for developments which relate to the use of land within the key green spaces for a variety of specified uses, including allotments, subject to there being no significant adverse impact on the character of the area, recreation facilities, the green network or wildlife.
- 3.5 To revert to allotment use, this will require a designed layout and submission of the scheme for planning permission.
- 3.6 Prior to the submission of planning permission Cabinet would need to determine upon what basis it is creating land for allotment use. Whilst provision is a statutory responsibility of Local Authorities particularly where demand is demonstrated, the status of the site so created requires careful consideration. Essentially, the Cabinet would need to decide whether to re-establish the allotments on a statutory or non-statutory basis, the main relevant practical difference being that whilst statutory allotments needs the permission of the Secretary of State for disposal, non-statutory allotments do not; Hartlepool currently has both statutory and non-statutory sites.
- 3.7 Having secured the Secretary's of State permission, on two occasions, 17th September 2001 and again 25th October 2004, the latter without any conditions, to dispose of Briarfields, caution is required if re-establishment is to be considered on a statutory basis.
- 3.8 Clearly, if the Cabinet takes the decision to re-establish allotments at Briarfields and bearing in mind the potential investment required to achieve this (see Section 9), there would be an expectation that such a use would remain in place for the foreseeable future. The Cabinet should also have regard to the possibility of changes in circumstances in the longer term – possibly in relation to changes in allotment supply and demand or to uses of the adjoining land, for example – and should therefore seek to retain some degree of flexibility in terms of the longer term use of the site. To safeguard the ability to revert to alternative use without reference to the Secretary of State.

4. ALLOTMENT SITE VACANCY POSITION (SEPTEMBER 2005)

4.1 Much has been reported in the past upon the vacancy position of allotments within Hartlepool. It will be appreciated that the vacancy position is a fluid situation, however significant changes in tenancies always occur around May each year as new tenancy invoices are issued, inspections throughout the year also identify issues such as mis-use or non-cultivation which can lead to eviction notices being issued.

4.2 To illustrate the current position, a statistical position has been compiled for the 29th September 2005, this is attached at **Appendix 2**. The Appendix demonstrates the following:

Hartlepool has 1057 allotment plots (excluding Briarfields). The number of plots vacant were 128, which is 12% of the total allotment provision. These plots are in the process of being offered to those on the waiting list which consists of 106 individuals. Of the 106 individuals it should be pointed out that 15 are waiting for a specific plot, if and when it should become available, 46 individuals are waiting for a plot on a specific site. Of these two groups (i.e. 61 in total), 16 individuals are very specific in terms of plot or site where no vacancies exist. It will therefore be appreciated that such people could be on the waiting list for a considerable time, the table provided in **Appendix 2** gives a site by site breakdown.

4.3 The average time those currently on the waiting list have waited is 42 weeks and from the total list of 106 individuals:

24% of people have been on waiting list less than 3 months
56% of people have been on waiting list between 3 and 6 months.
18% of people have been on waiting list between 6 months and a year
26% of people have been on waiting list for over a year.

4.4 In May 2002 Independent ILAM Consultants undertook an assessment of Hartlepool's allotment provision and concluded that with 28 allotments per 1000 population, Hartlepool provided significantly higher than the national average number of allotments (15 per 1000).

4.5 The ILAM Consultant's report recommended that a reduction of allotment sites be considered in conjunction with maintenance of reasonable waiting list times, thereby allowing concentration of maintenance resources and future investment into a reduced allotment holding. The investment would upgrade those plots or sites which are difficult to let due to a lack of maintenance, excessive vandalism and anti-social behaviour problems.

- 4.6 One site at Waverley Terrace falls into the category, a site with 75% vacancies and due to be the recipient of major capital investment to establish a model, modern twelve plot site. This will be used as a model to consider for long term improvements in other allotment sites. It is also useful in providing a quality standard and pricing model should the decision be taken to re-establish the Briarfields Allotments.
- 4.7 The current snap shot demonstrating allotment vacancies and the existing significant investment required in the current allotment site stock would suggest that there is no justification in re-introducing allotments onto the Briarfields site on the basis of need when viewed from a Borough perspective.
- 4.8 Furthermore the suggestion that has been aired, of the former tenants being allowed to temporarily 'see out' their allotment interests in a new Briarfields cannot be justified when the costs of such reinstatement are considered. First and foremost, from a service-wide perspective any capital investment in providing improved allotment sites should be targeted towards upgrading the current sites.

5. DISCUSSION WITH THE FORMER TENANTS

- 5.1 To determine accurate input into this report a survey of the former tenants was undertaken, this was sent to the 16 former tenants who were given notices to quit in October 2002.
- 5.2 The survey form is attached at **Appendix 3a** and the analysis of the returns received is attached as **Appendix 3b**.
- 5.3 Of the 16 former tenants, one has retired permanently from allotment gardening and nine expressed a definite desire to return to Briarfields if the opportunity was given.
- 5.4 Five former tenants did not respond, One further response would not declare a preference until after the Cabinet / Council decision.
- 5.5 A small number of former tenants stipulated conditions in terms of a high quality site with good security, services and facilities. This was explored further in a meeting held with representatives of the Briarfields Allotment Association (BAA) on Friday 7th October 2005.
- 5.6 The meeting with the BAA sought to explore the expectations of the former tenants in a re-established site, should this be approved, namely:
 - i) The quality and scope of the re-establishments
 - ii) Physical location on the Briarfields site
 - iii) Management Options

6. QUALITY OF REINSTATEMENT

- 6.1 The former allotment site and adjacent Paddock is overgrown. It is presumed however that if an allotment site be re-instated, it should be of a high quality and of good appearance to ensure it is seen as a good neighbour and not a detrimental one. This would ensure that the site could in theory co-exist with low density housing should that be developed on the adjacent land in future years.
- 6.2 The model allotment layout proposed for Waverley Terrace allotments was shared and met with agreement as a possible blue print for a Briarfields reinstatement, attached, **Appendix 4**. This includes:
- a. 2.4m high steel perimeter fence
 - b. Internal hedge planting to partially screen the site
 - c. Internal plot fencing – low height
 - d. Compacted pathways
 - e. Water provision per every 4 allotments
- 6.3 In addition, to reach the allotment site, a compacted 4m roadway, a new water supply and a compacted car park and internal access roadway were identified. The BAA did not give a high priority to a roadway, however to reach the remote site this is deemed essential for a newly provided facility.
- 6.4 The BAA representatives also requested consideration of provision of greenhouses and sheds to each plot. For ease of consideration a £500 allowance per plot has been identified in the costings proposals for specific consideration by Members.

7. PHYSICAL POSITION OF ALLOTMENT

- 7.1 From the returns received, i.e. nine desiring to return, it was determined that it would be prudent to design any new allotment site based on a 12 site layout. The consensus of opinion from BAA was that a full thirty-two plot site could not be justified and a lower provision was specifically referenced to by the Ombudsman in his conclusion.
- 7.2 The preferred position of this twelve site plot was on the higher ground towards the south east corner of the site bounded by the public footpath and the right of way.
- 7.3 This would seem a reasonable location for any allotment site and would have the least impact on any development of the land should any future amendments to the Local Plan allow (**Appendix 5**).

8. MANAGEMENT OPTIONS

- 8.1 The BAA expressed interest in a future “self-management” of the site at least to a delegation model which is currently being tested by the Woodcroft Allotment site at Seaton Carew. This can be explored further as circumstances allow.

9. COST ESTIMATES OF RE-ESTABLISHMENT

- 9.1 The cost estimates for re-establishment have been compiled for a new site, these should be sufficiently robust to accurately determine the upper cost limits required to reinstate the allotments.
- 9.2 The cost estimates were compiled on a number of scenarios, i.e. a 12 plot site, a 32 plot site, a high security steel fence, a traditional timber fence and common facilities including access road, car park and new water supply.
- 9.3 To ensure any allotment site is fit for use the site would also need removal of overgrowth, clearance and rotovation. The cost estimate (all options) are attached at **Appendix 6**. Having had detailed discussion with the former tenant representatives it is considered appropriate to focus on the provision of a twelve site plot with high security steel fence and roadway, car park and water supply to suit.
- 9.4 Using the cost estimate provided, column two (i.e. 12 plot site) identifies the following:

£

Site Clearance and Rotation	9,918.75
Fencing external and internal inc. gateways	33,825.00
Access works and Services	35,000.00
Prelims at 15%	11,811.56
Contingency at 10%	9,055.53
Fees at 12% including Planning etc.	<u>11,953.30</u>
	£111,564.14

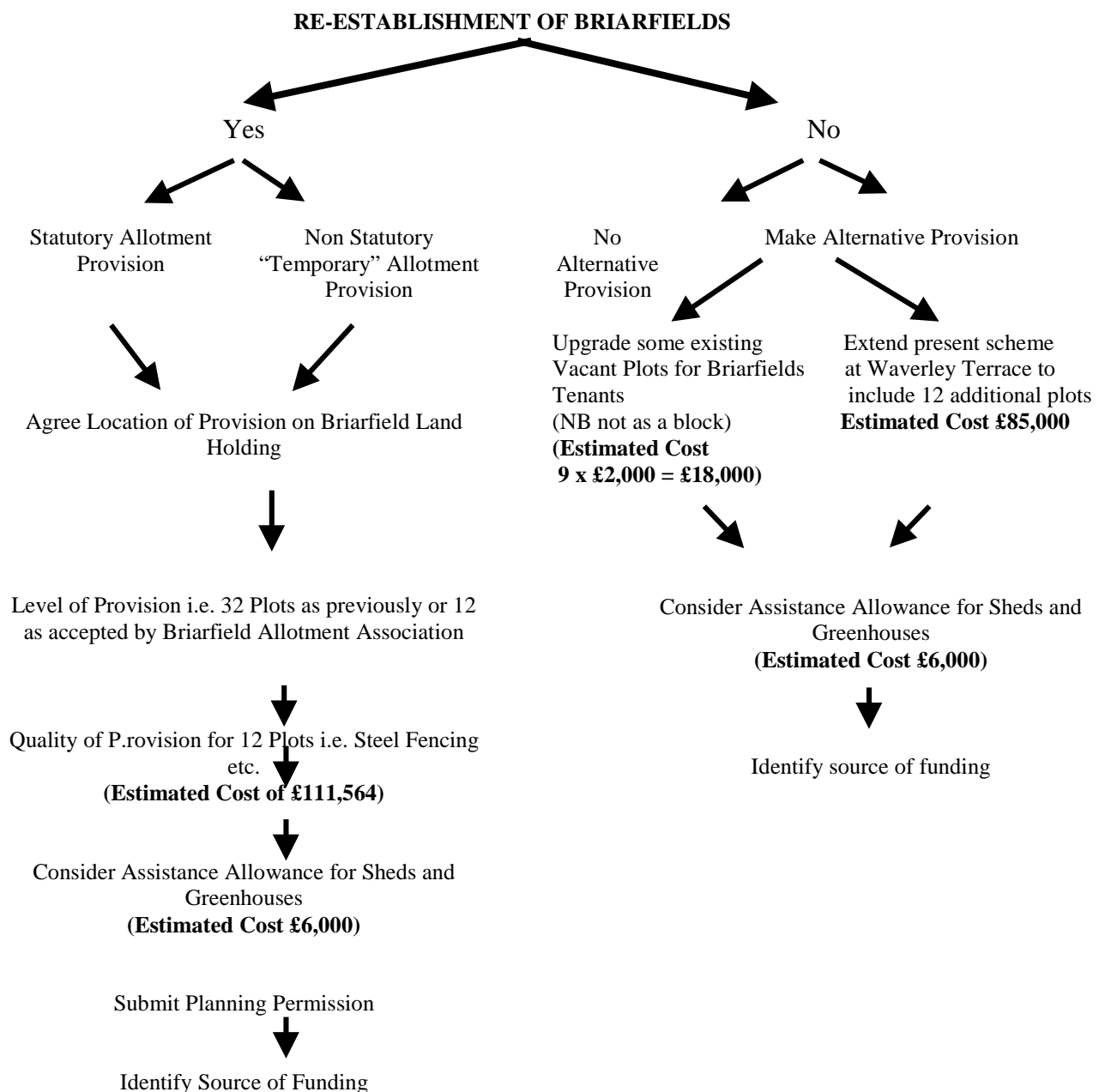
- 9.5 This allows some scope for cost movements. For instance, as the former allotments debris has already been removed in February 2004 it may be appropriate to remove the turf / overgrowth and dump elsewhere on site as a ‘green solution’, similarly any reductions in specific elements will reduce the percentage prelims, and fee totals, the total also includes a £9000 contingency.

- 9.6 However it would be unsafe to make any assumption at this stage that this 12 site allotment provision could be delivered for less than £100,000. This is a comparable cost to the 12 plot site at Waverley Terrace. The design has not been agreed by BAA, although the principles are accepted, these costings do not include the desire for sheds or greenhouses, a ball park budget of £500 per site (i.e. 12 x 500 = £6,000) should be allowed.

10. CONCLUSION

- 10.1 The Briarfields Allotments have been accepted to be surplus to requirements by the Secretary of State in 2002 and again in 2004. This has been confirmed in the Independent ILAM Consultant's report.
- 10.2 To fulfil the Ombudsman's recommendation requires "serious thought" to be given to the re-establishment of Briarfields as an allotment site. Whether or not to re-establish them calls for a decision which balances the interests of the allotment holders and the priorities of the Council in providing for the needs of the community as a whole.
- 10.3 The desire of the nine former allotment holders to return to a re-established site is a strong one, this is perfectly understandable as they did not want to leave in the first place.
- 10.4 The change in status of Briarfields from an anticipated 'low density housing' site to that of 'key open green space' is not particularly relevant as the Council could simply decide to leave the site as it is without reference to any short term 'green space' use.
- 10.5 A decision to accept re-establishment of the allotments or a decision declining such an option both lead to supplementary considerations. Furthermore should the re-establishment be approved, the funding to undertake the re-establishment must be identified as this is a departure from the existing budget framework and, as such, would require to be submitted to the Council for approval.
- 10.6 The one area that has not previously been explored, refers to working on alternative provision i.e. if "re-establishment" is rejected at Briarfields but some alternative provision is offered. This alternative option is unlikely to find immediate favour with the former Briarfields tenants but could be an option whereby not only do the former tenants receive a good quality 'refurbished' plot but the subsequent capital expenditure is being invested within the existing allotment holdings.

- 10.7 To be specific it would mean either refurbishment of a specific plot or plots within an existing site (meaning preference would be given to former Briarfields tenants over anyone on the current waiting list) or the further expansion of the "Model" Waverley Terrace allotment site with an additional block of twelve allotments in addition to the twelve currently planned.
- 10.8 Either of the options outlined above would require expenditure – an estimated £2000 per plot ($2000 \times 9 = £18,000$) on individual sites across town to those who wished to take up this option, or, an extension to Waverley at an estimated cost of £85,000 (i.e. the equivalent investment to that which is currently being planned).
- 10.9 All of these options and the decision process are outlined as follows:



- 10.10 The existing budget does not include provision to meet the capital costs of the options identified in the above table. Therefore, if Members wish to implement one of these options the capital costs will need to be funded from prudential borrowing as no other resources are available to meet these costs. As Members will be aware Scrutiny Co-ordinating Committee have recently completed a review of the Council's reserves. This review has confirmed that the Council's reserves are largely fully committed and reserves could not be used to fund this expenditure.
- 10.11 If Members do determine to implement one of the options identified in the report and to fund the capital costs from prudential borrowing the resulting revenue costs will need to be funded from within the overall budget from 2006/2007. This will increase the level of savings required to balance the 2006/2007 budget. Details of the revenue costs of the three options are summarised below, based on maximum estimated costs.

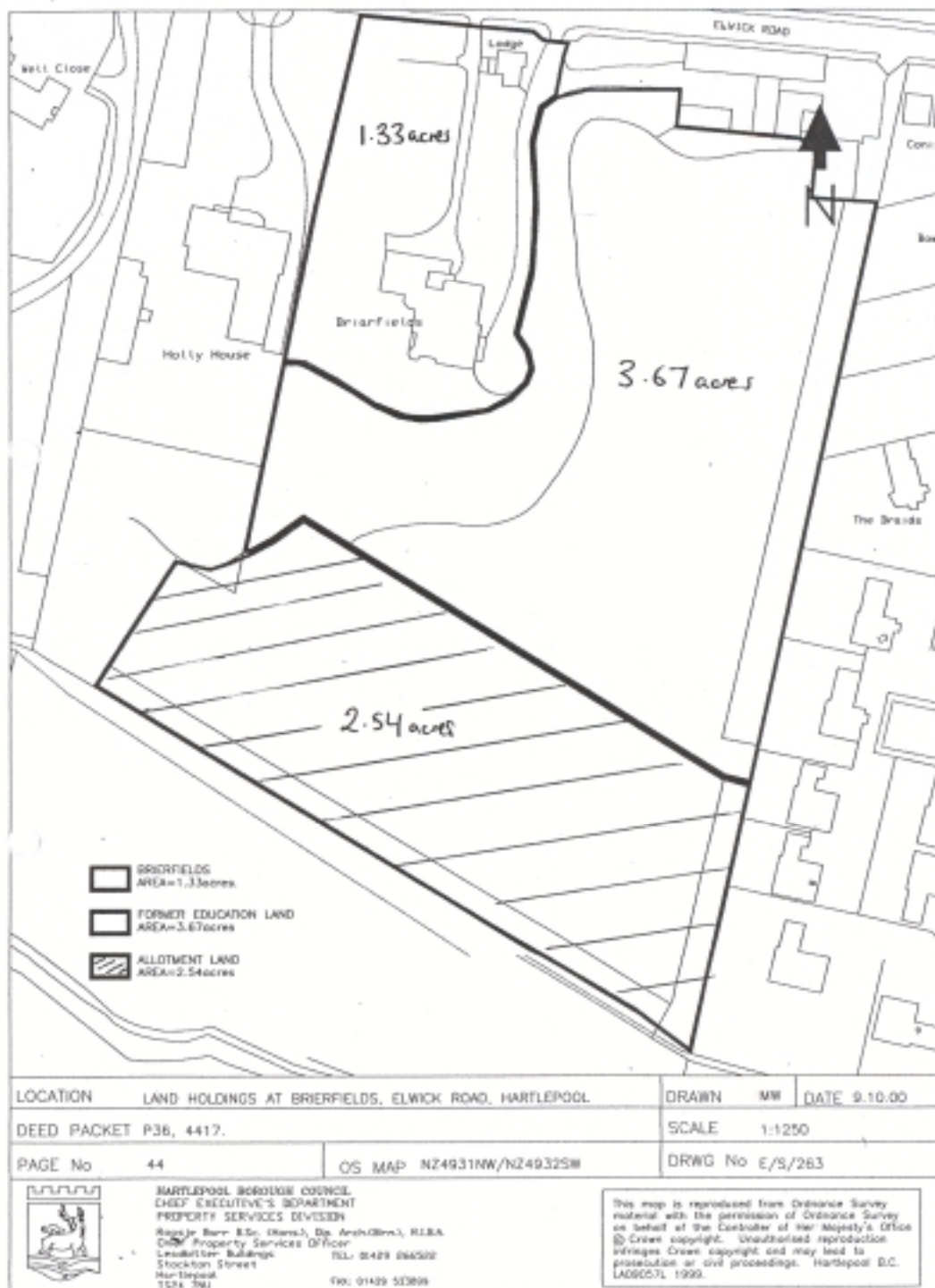
	<u>Capital Cost</u> <u>£'000</u>	<u>Revenue Cost</u> <u>£'000</u>
Provision at Briarfields	117	11
Improve existing plots	24	2
Extension Waverley Terrace	91	8

11. RECOMMENDATIONS

- 11.1 That Cabinet consider the reinstatement of the Briarfields allotments and decide a preferred course of action.
- 11.2 Subject to Cabinet's decision at paragraph 11.1 Cabinet may need to seek Council's approval to add the scheme to the capital programme and to amend the approved prudential borrowing limits.

CONTACT OFFICER: John Mennear, Acting Assistant Director
(Community Services)

Background Papers



Update on Current Position	Provision		Vacancies			Waiting List					
	Total No. of Plots on site	No. of plots on site as a percentage of Borough Total	No. of plots vacant 29/9/05	No. of plots vacant as a percentage of the site total 29/9/05	No. of plots vacant as a percentage of the Borough total 29/9/05	No. of people waiting for a specific plot	No. of people waiting for any plot on one site alone	Total No. of people being specific about wanting a plot at just this site	No. of People on waiting list interested in a plot at a site with no vacancies	Maximum potential No. of people (106 individuals) on waiting list interested in a plot	% of people on waiting list that expressed an interest in an allotment at this site
Brierton	58	5.5%	12	20.7%	1.1%	0	2	2	0	26	25%
Burn Valley	76	7.2%	0	0.0%	0.0%	0	9	9	9	32	30%
Catcote	56	5.3%	6	10.7%	0.6%	1	3	4	0	23	22%
Chester Road	147	13.9%	10	6.8%	0.9%	6	0	6	0	32	30%
Greatham	3	0.3%	0	0.0%	0.0%	0	1	1	1	5	5%
Haswell	30	2.8%	1	3.3%	0.1%	0	1	1	0	20	19%
Nicholsons Field	169	16.0%	3	1.8%	0.3%	0	8	8	0	19	18%
Olive Street	1	0.1%	0	0.0%	0.0%	0	0	0	0	3	3%
Station Lane	79	7.5%	18	22.8%	1.7%	0	2	2	0	11	10%
Stranton	174	16.5%	11	6.3%	1.0%	0	4	4	0	28	26%
Thompson Gr.	5	0.5%	0	0.0%	0.0%	0	0	0	0	9	8%
Thornhill	86	8.1%	8	9.3%	0.8%	2	3	5	0	32	30%
Throston	82	7.8%	14	17.1%	1.3%	4	4	8	0	36	34%
Waverley	60	5.7%	45	75.0%	4.3%	2	3	5	0	16	15%
Woodcroft	31	2.9%	0	0.0%	0.0%	0	6	6	6	13	12%
Total	1057	100%	128	174%	12.1%	15	46	61	16	305	288%

Number of Allotments (excl Briarfields)	1057
Total number of Allotments Vacant	128
Percentage of allotments vacant	12.1%
Number of individuals on Waiting List	106
No. waiting for a specific plot	15
No. waiting for any plot at a specific site	46
Total No. of people being specific in terms of plot or site	61
No. of people specific about a site or plot where no vacancies exist	16
Of the 106 individuals on the waiting list the no. of applications is	305
Average Time those currently on waiting list have been on	42 weeks
% of people on waiting list less than 3 months	24%
% of people on waiting between 3 and 6 months	32%
% of people on waiting list between 6 months and a year	18%

FORMER BRIARFIELDS ALLOTMENT TENANTS QUESTIONNAIRE

Plot No: «Plot_No»
«LastName»

Name: «Title» «FirstName»

In answering please be aware that:

- i The exact configuration, size and access to any reinstated allotment site has yet to be determined*
- ii This questionnaire applies to yourself as a former Tenant and is not a transferable option to family members, friends or associates.*

Please Tick
as
Appropriate

1. I have retired from allotment gardening and do not wish to be considered for a re-instated Briarfields allotment ☐
2. If the opportunity arises, I would wish to resume allotment gardening at a reinstated Briarfields Site. ☐
3. I now have an alternative allotment allocated (please complete SITE: _____ PLOT N^o _____) and wish to retain my new allotment. Therefore I do not wish to be considered for a reinstated Briarfields Allotment. ☐
4. I have an allotment elsewhere (please complete SITE: _____ PLOT N^o _____) but wish to be considered for a transfer to a reinstated Briarfields allotment. ☐

Question 5 – Applicable to all recipients of this questionnaire:

5. I wish to discuss the original offer which proposed the allocation of an upgraded/ prepared allotment on either a site of my choice/site nearer to my home ie a non Briarfields Site Allotment. ☐

Additional comments or questions

Signed : _____ Date: _____

**Please Return in the attached prepaid envelope to:
(Before Friday 7th October 2005)**

John Mennear, Acting Assistant Director (Cultural Services)
Adult and Community Services Department, PO Box 96, Civic Centre, Victoria Road,
Hartlepool, TS24 8YW

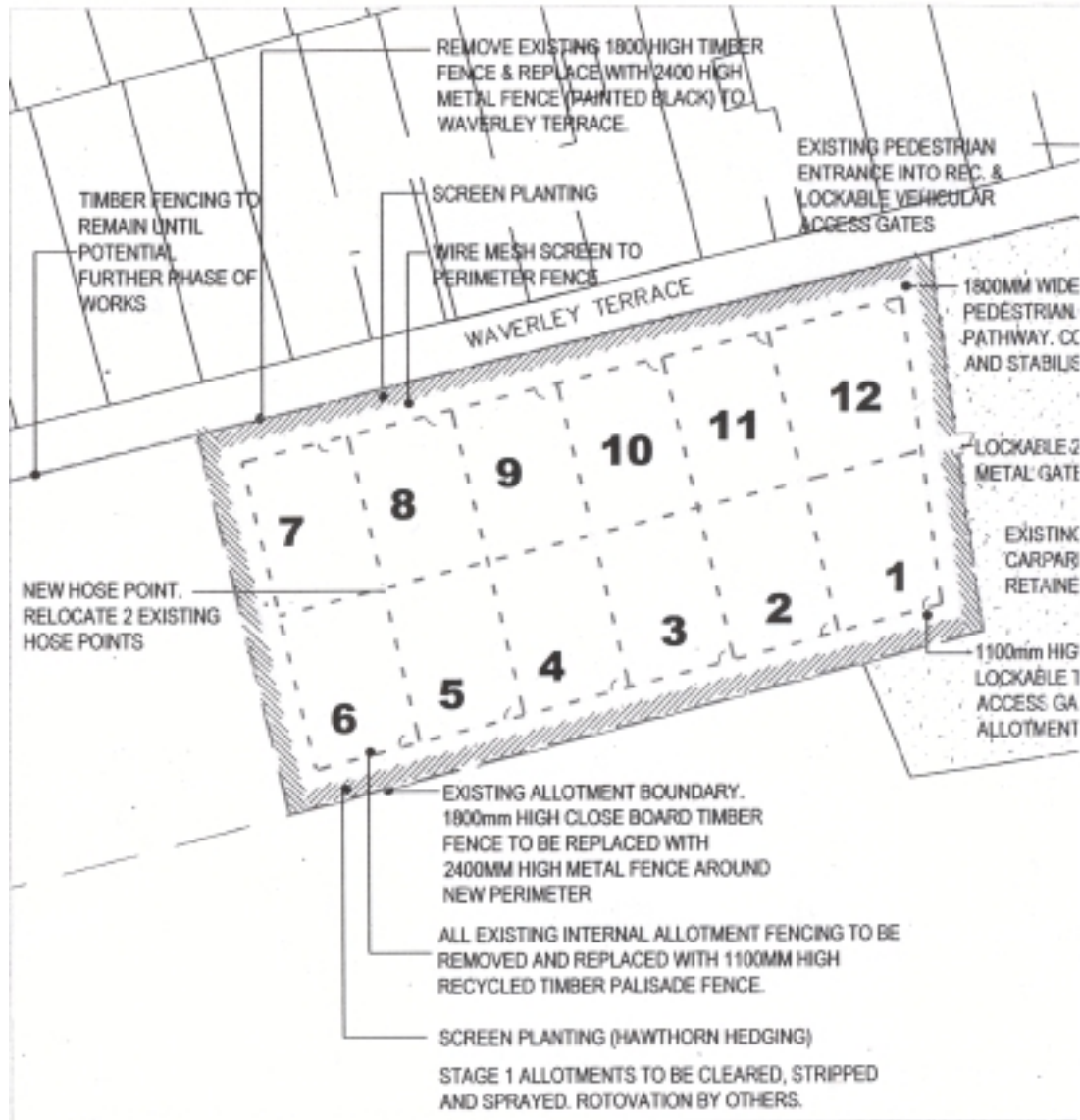
Tel: 01429 523914 Email: john.mennear@hartlepool.gov.uk

RETURN ANALYSIS

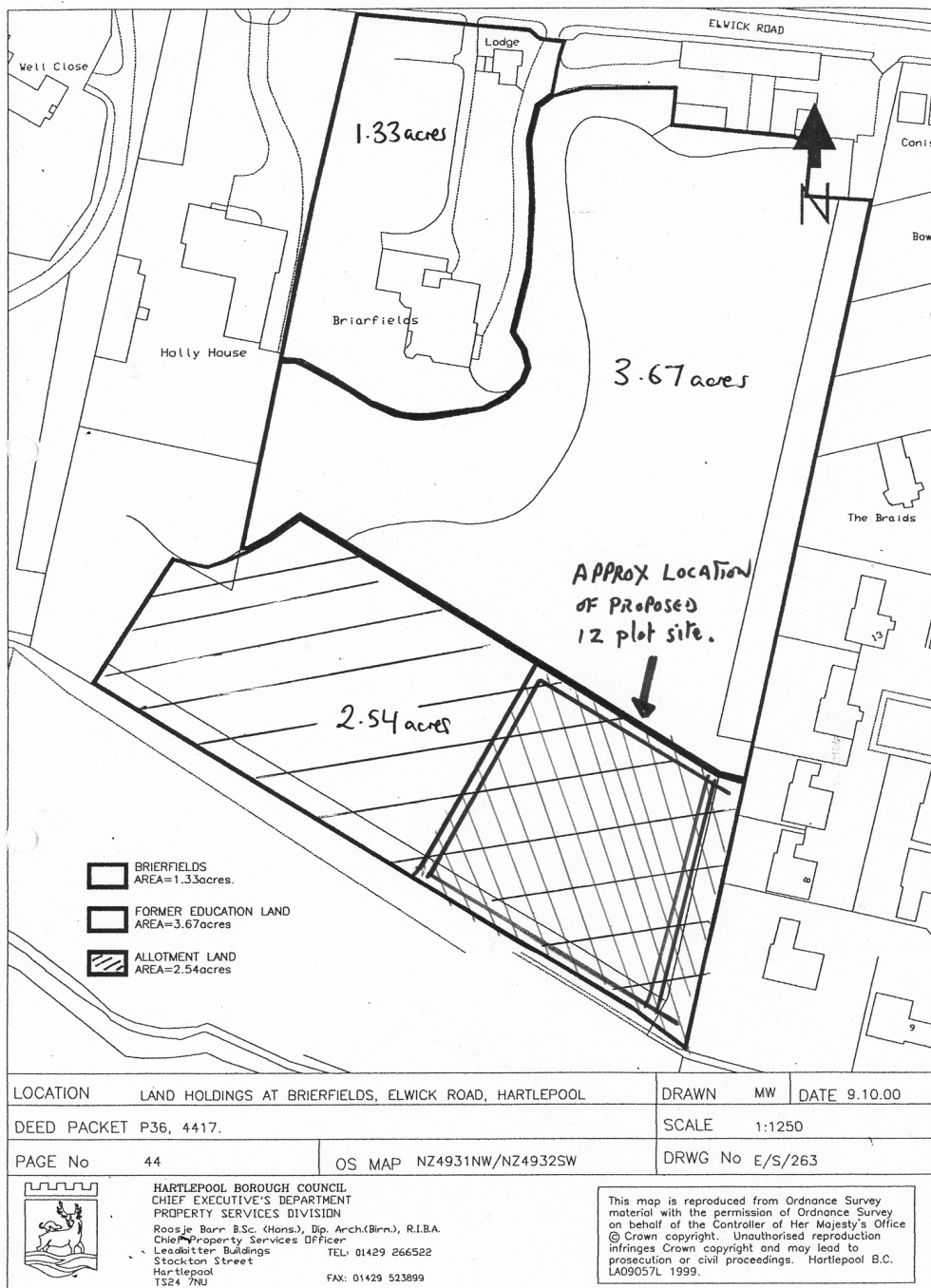
Notice to quit and compensated * (2003)	Retired	Opportunity for return confirmed	Alt. Plot and Satisfied	Alt. Plot and request return	Consider original offer
J Smith	-	-	-	-	-
A Kitching		✓		✓	
Reece		✓			✓
C Beattie		✓ ¹			
E Allan		✓			✓
K Sharp	-	-	-	-	-
P Pickens*		✓			✓
J Everett		✓			✓
F Halbert		✓			
R Smithwhite*		✓			
RA Noddings		✓			
A Jones	✓				
M King	-	-	-	-	-
K Parker	-	-	-	-	-
J N Scott	-	-	-	-	-
E Trundley	-	-	-	-	? ²
	1	9	0	1	4

¹ – only to a secure high quality site² – doesn't wish to consider options until after Cabinet/Council decision

* - refused to accept compensation, ie outstanding



2. STAGE 1 OF ALLOTMENT REDEVELOPMENT



Briarfields Allotment Refurbishment

Preliminary cost estimates

Revision:

Summary cost estimate

Revision:

		12 No. allotments		32 No. allotments	
		timber fence	metal fence	timber fence	metal fence
Ref	Description	Amount	Amount	Amount	Amount
Site clearance					
1	Spray weed killer to allotment plots	661.25	661.25	1,662.50	1,662.50
2	Clear existing turf/ vegetation to plots & remove to licenced tip.	7,935.00	7,935.00	19,950.00	19,950.00
3	Rotovate allotment areas to 250mm deep	1,322.50	1,322.50	3,325.00	3,325.00
		9,918.75	9,918.75	24,937.50	24,937.50
Fencing					
4a	Supply & install 2000mm high closeboard timber palisade fence to perimeter	11,000.00	N/A	17,500.00	N/A
4b	Supply & install 2400mm high galv powdercoated palisade fence to perimeter	N/A	19,800.00	N/A	31,500.00
5	Supply & install 1400mm high timber palisade fence to interior plots	13,125.00	13,125.00	39,200.00	39,200.00
6	New 1400mm timber access gate to allotments.	720.00	720.00	1,920.00	1,920.00
7	New 2000mm access gate to allotment perimeter	100.00	180.00	100.00	180.00
		24,945.00	33,825.00	58,720.00	72,800.00
Access works & services					
8	Clear loose material, stabilise, spread and compact 4000mm wide road access	15,500.00	15,500.00	15,500.00	15,500.00
9	Clear loose material, stabilise and compact car park area	6,800.00	6,800.00	17,000.00	17,000.00
10	Clear loose material, stabilise and compact 2000mm wide footpath	9,700.00	9,700.00	17,800.00	17,800.00
11	Supply & install water points to allotments	3,000.00	3,000.00	6,000.00	6,000.00
		35,000.00	35,000.00	56,300.00	56,300.00
Total of capital works		69,863.75	78,743.75	139,957.50	154,037.50
Preliminaries (at 15%)		10,479.56	11,811.56	20,993.63	23,105.63
Contingency (at 10%)		8,034.33	9,055.53	16,095.11	17,714.31
		88,377.64	99,610.84	177,046.24	194,857.44
Fees (at 12%, inc. planning and CDM)		10,605.32	11,953.30	21,245.55	23,382.89
Grand total		98,982.96	111,564.15	198,291.79	218,240.33
Rationalised total		£98,983	£111,564	£198,292	£218,240

CABINET REPORT

7th November 2005



Report of: The Director of Regeneration and Planning Services
and The Director of Neighbourhood Services

Subject: NEW DEAL FOR COMMUNITIES COMMUNITY
HOUSING PLAN – DALTON STREET

SUMMARY

1. PURPOSE OF REPORT

To update Cabinet and to seek endorsement in principle to a recent decision of the New Deal For Communities Steering Group to amend the Community Housing Plan in respect of Dalton Street.

2. SUMMARY OF CONTENTS

The report summarises the background to the Community Housing Plan with specific reference to Dalton Street, and confirms recent decisions made by the NDC Steering Group in respect of taking this part of the Plan forward.

3. RELEVANCE TO CABINET

This project has strategic relevance across a range of portfolios, and is key to both Regeneration & Planning and Liveability.

4. TYPE OF DECISION

Key. Tests (i) and (ii) apply.

5. DECISION MAKING ROUTE

Cabinet will make the decision at its meeting on 7 November 2005.

6. DECISION(S) REQUIRED

Cabinet is recommended to: -

- 6.1 Support the 'in principle' decision of the NDC Steering Group to acquire the remaining residential and, subject to resource availability, the commercial interests in Dalton street;
- 6.2 Support the development of an integrated and co-ordinated approach to the redevelopment of Dalton Street linked to the potential commercial re-use and rehabilitation of Titan House;
- 6.3 Note the requirement for appropriate officers to work closely with Hartlepool NDC, Hartlepool Revival, Endeavour Housing Association and parties potentially interested in bringing forward re-use proposals for Titan House, such that future redevelopment proposals provide as far as is practical a coherent solution to the future of Dalton Street and its surrounds;
- 6.4 Agree to receive further reports in respect of these proposals in due course.

Report of: The Director of Regeneration & Planning Services &
The Director of Neighbourhood Services

Subject: NEW DEAL FOR COMMUNITIES COMMUNITY
HOUSING PLAN – DALTON STREET

1. PURPOSE OF REPORT

- 1.1 To update Cabinet in respect of proposals for Dalton Street within the New Deal For Communities (NDC) Community Housing Plan.

2. BACKGROUND

- 2.1 Following the completion of a substantial body of work around explaining and understanding the dynamics and characteristics of housing markets in Hartlepool and the increasingly visible manifestation of problems associated with declining demand for older, private, terraced dwellings in the town, Hartlepool NDC undertook an ambitious, comprehensive and inclusive programme of public participation with residents and others within the New Deal area throughout 2002 in order to develop and secure support and agreement on a Community Housing Plan (CHP)
- 2.2 The major housing market difficulties the Plan seeks to help address include low relative house prices, high levels of empty property, high levels of poorly managed privately rented property, a concentration of older, poorer quality housing and a relatively poor quality environment and lack of open space amenity. At national, regional and sub-regional levels there is a clear recognition that dealing with such problems effectively is a key priority and fundamental to ensuring the long-term sustainability of some of our communities. As part of the extensive NDC regeneration programme, the CHP seeks to help restore the balance between housing supply and demand in the New Deal area and deliver a positive, sensitive and managed renewal of the area.
- 2.3 The Community Housing Plan was officially launched in May 2003, and includes proposals for the acquisition and demolition of residential and non-residential property with 'homeswaps' and relocation grants for affected residents, the development of new homes targeted toward local people, improvements to existing housing, the creation of community parks and other landscaping and environmental improvements.
- 2.4 In respect of Dalton Street, the CHP proposed the demolition of 40 residential properties, the provision of additional garden space for a number of houses in Carlton Street, the retention of existing businesses with

premises upgrades and improvements, and a tree-lined park linkage to York Road.

- 2.5 As reported to the then Town Management Portfolio Holder in June 2003, the CHP has no formal planning or legal status and therefore it was necessary at that time to have the proposals independently tested to ensure they could be supported as part of the proper planning of the area, and be practically delivered.
- 2.6 This piece of technical work (called ‘area assessments’) was completed during 2004, and included a rigorous planning assessment of the various elements within the CHP, a wide range of stakeholder consultation and analysis, together with a critique of the CHP in terms of the likelihood of it being successfully deliverable through a Planning CPO. In respect of Dalton Street, the conclusions were that the proposals within the CHP would not contribute positively to the proper planning of the area for a variety of reasons, and would be difficult to substantiate through the CPO process. Cabinet therefore resolved to receive further reports in due course following further consultations with local residents, businesses and other stakeholders with a view to building some alternative and deliverable proposals.

3 CURRENT POSITION

- 3.1 Since the Community Housing Plan was produced, Hartlepool Revival (the housing regeneration company delivering the NDC Housing Plan) has sought to acquire residential properties in this area, as they have become available. They currently own or have agreement to purchase all but 5 of the residential interests in Dalton Street.
- 3.2 After extensive discussion and consideration of the wide range of issues and interests involved, the NDC Steering Group at its meeting of 4 August 2005 resolved to acquire if possible all of the residential and commercial interests in Dalton St, subject to resource availability.
- 3.3 The Steering Group has also resolved, on 6th October 2005, to give its support for the principle of some new housing redevelopment, possibly through a housing association.

4 KEY CONSIDERATIONS

- 4.1 An element of the further consultations that have taken place has included ‘market testing’ potential options for the area with the private sector. Hartlepool Revival has engaged with several house builders and others in order to assess potential options for redevelopment, including (further to the NDC Steering Group decision above) options which could potentially include acquisition of some or all of the businesses at the west end of Dalton Street, in addition to all of the residential properties, subject to resource availability.

- 4.2 Providing a mix of some good quality, new, affordable housing in Dalton Street has been identified as a priority by some residents in the local community, and is also in step with objectives within the Borough Housing Strategy in terms of replacing older, poorer quality housing with new homes in sustainable locations. Further to the decision above in respect of this, Endeavour Housing Association has very recently submitted an outline bid to the Housing Corporation to support the development of 10 no. two bedroom and three bedroom homes for rent. The Council has confirmed its 'in principle' support for this bid to the Housing Corporation, subject to any planning and transport considerations and requirements being satisfied. Additional new housing could potentially be developed as a result of the acquisition of commercial premises as described above, although clearly this would be subject to the availability of sufficient resources.
- 4.3 Titan House comprises a substantial office building providing 11 ground floor retail units with five stories of offices above, and is located at the south end of Dalton Street/York Road. The building originally was a purpose built office block with ground floor retail units. Whilst the ground floor shop units have had a reasonably high occupancy rate, the upper floor office accommodation has been vacant for a number of years. Given the problems facing Titan House and the difficulty in finding a re-use for the building, and because of its prominent position in the centre of the town, it was prioritised as a key building in the NDC Commercial Areas and Strategic Buildings Improvement Strategy. The building therefore is eligible for grant provided the re-use is commercial or business related.
- 4.4 The Council has recently had some reasonably positive developer interest in respect of potential re-use of Titan House, and these discussions are ongoing. Whilst no formal planning application has been submitted, facilitating viable re-use proposals is clearly a priority for the Council in terms of this building's impact on this part of the town centre.
- 4.5 Giving due consideration to each of the above, clearly there are some critical challenges to promoting a coherent redevelopment of Dalton Street. Most particularly these are around achieving compatibility between proposals for new housing as described above with the potential for developing a positive and sustainable re-use of Titan House and its rehabilitation as a key building within the town. Also around effectively managing the sensitivities associated with the potential acquisition of the Dalton Street businesses. Additionally, there are various planning policy, development control, transport and other issues, which also require due consideration, and therefore an integrated and co-ordinated approach is clearly required.

5 FINANCIAL AND RISK CONSIDERATIONS

- 5.1 Hartlepool Revival has purchased, or has in place agreement to purchase almost all of the residential properties in Dalton Street. Failure to progress the scheme as proposed will have financial implications as this could jeopardise income which could be reinvested in the Community Housing

Plan area if the properties and associated land is not sold on for development. The inclusion of the commercial properties within a redevelopment scheme would provide better options for the comprehensive redevelopment of the area, improve the likelihood of securing CPO approval and enhance the chances of securing disposal to a suitable developer.

- 5.2 Failure to secure enhancement of the Dalton Street area through a comprehensive approach towards redevelopment would also have implications for securing developer interest and investment in Titan House, resulting in a potential lost opportunity and continuing problems of securing a re-use and restoration of this key building.
- 5.3 Agreement in principle to seek to acquire the commercial properties carries to some degree of financial risk in so far as funding for acquisition has still to be secured. Such funding will need to be in place should the Council resolve at some future date to progress a CPO to acquire the properties compulsorily, although at this stage this is not being proposed and discussions are being held with business owners with a view to acquiring the properties by agreement. Potential sources of funding for acquisition include, Hartlepool Revival income from land sales, SHIP (Single Housing Investment Pot) funds and ODPM (Office of the Deputy Prime Minister)/TVL (Tees Valley Living) funds.

6. **RECOMMENDATIONS**

6.1 Cabinet is recommended to: -

1. Support the 'in principle' decision of the NDC Steering Group to acquire the remaining residential and, subject to resource availability, the commercial interests in Dalton Street;
2. Support the development of an integrated and co-ordinated approach to the redevelopment of Dalton Street linked to the potential commercial re-use and rehabilitation of Titan House; and,
3. Note the requirement for appropriate Officers to work closely with Hartlepool NDC, Hartlepool Revival, Endeavour Housing Association, and parties potentially interested in bringing forward re-use proposals for Titan House such that future redevelopment proposals provide as far as is practicable a coherent solution to the future of Dalton Street and its surrounds.
4. Agree to receive further reports in respect of these proposals in due course.

CABINET REPORT

7th November 2005



Report of: THE DIRECTOR OF REGENERATION AND
PLANNING SERVICES

Subject: HARTLEPOOL TREE STRATEGY

SUMMARY

1. PURPOSE OF REPORT

- 1.1 To seek Cabinet endorsement of the Hartlepool Tree Strategy

2. SUMMARY OF CONTENTS

- 2.1 The Hartlepool Tree Strategy summarises the position with regard to the current status of trees in the Borough. It sets out aims and objectives to ensure the enhancement and sustainability of the Borough's tree stocks through appropriate planting and management.

3. RELEVANCE TO CABINET

- 3.1 This is a strategic issue for Cabinet. The implementation of the tree strategy has links to a wide range of other Council objectives and strategies.

4. TYPE OF DECISION

- 4.1 Key decision, test (ii) applies.

5. DECISION MAKING ROUTE

- 5.1 Cabinet 7th November 2005.

6. DECISION(S) REQUIRED

- 6.1 Cabinet is recommended to endorse the corporate tree strategy.

Report of: THE DIRECTOR OF REGENERATION AND
PLANNING SERVICES

Subject: HARTLEPOOL TREE STRATEGY

1. PURPOSE OF REPORT

- 1.1 To seek Cabinet endorsement of the Hartlepool Tree Strategy.

2. BACKGROUND

- 2.1 Trees are widely recognised as providing a diverse range of benefits. A few of their more important benefits are that they reduce pollution and improve air quality; absorb carbon dioxide and improve the image of an area. These benefits have obvious links to health, climate change and economic development.
- 2.2 The North East in general and Hartlepool in particular, have very low levels of tree cover compared to the national average. In Hartlepool this has been compounded to some extent by its maritime climate.
- 2.3 A number of plans and strategies make reference to the importance of trees. These include the Hartlepool Local Plan and the Tees Valley Structure Plan, as well as the Tees Forest Strategy and the Tees Valley Biodiversity Action Plan. However, there is currently no single document which pulls together all the issues related to trees into a single “Hartlepool Tree Strategy.”
- 2.4 A tree strategy for Hartlepool will provide a position statement based on what we know to date, and set out aims and objectives to ensure the enhancement and sustainability of the borough’s tree stocks through appropriate planting and management.

3. THE HARTLEPOOL TREE STRATEGY

- 3.1 The Hartlepool Tree Strategy describes the current position with regards to and open space plantings. In particular, the investment in tree planting along the A689 corridor has resulted in a very attractive approach to the town. Conversely, trees in the Borough. There are evident successes such as much of the highway there are many areas where tree cover is low or where large numbers of trees are reaching the end of their life at the same time and their removal will have a significantly adverse effect on the landscape. This latter point is particularly relevant in some of the cemeteries such as North Cemetery. The strategy also relates the role of trees to various policies, both within the Council and in a wider context and identifies responsibilities and

opportunities for the various Council departments. Finally, the tree strategy sets out objectives and a resulting action plan to ensure the long-term sustainability and enhancement of the Borough's tree stocks. A copy of the Hartlepool Tree Strategy is attached as **Appendix 1**.

4. CONSULTATIONS

- 4.1 The Hartlepool Tree Strategy was developed in collaboration with officers from all departments with responsibility for tree management and has been circulated to Chief Officers. It was also discussed with partners from the Natural & Built Environment sub-group of the Community Strategy whose membership includes the Tees Forest and Tees Valley Wildlife Trust as well as other community groups. Resulting comments have been incorporated in the final draft.

5. FINANCIAL IMPLICATIONS

- 5.1 As the Tree Strategy is essentially a guidance document, there are no direct financial implications. Rather it will result in the production of action plans for various categories of trees which will highlight where work is required, should funding sources become available.

6. CONCLUSION

- 6.1 Trees are an important asset for the Borough. They bring many benefits, but also responsibilities. The sustainability of Hartlepool's tree resources will be enhanced by a strategic approach to their long-term management.

7. OFFICER RECOMMENDATIONS

- 7.1 Cabinet gives its endorsement to the Hartlepool Tree Strategy

A STRATEGY FOR TREES IN HARTLEPOOL

1.0 Introduction - Why a tree strategy?

Trees, whether they be, individual street trees, woodlands, or hedgerows, are essential components and indicators of a diverse and high quality environment. A number of plans and strategies make reference to the importance of trees. These include the Hartlepool Local Plan and the Tees Valley Structure Plan, as well as the Tees Forest Strategy and the Tees Valley Biodiversity Action Plan. Their relevance to trees is discussed in more detail in Section Six. However, there is no single document which pulls together all the issues related to trees into a single "Strategy for Trees in Hartlepool."

A tree strategy for Hartlepool will provide a position statement based on what we know to date, and set out aims and objectives to ensure the enhancement and sustainability of the borough's tree stocks through appropriate planting and management.

2 Why do we need trees?

Trees are substantial structures that can have a considerable effect on people and their environment. In the first instance this may be just an appreciation of the aesthetic appeal that trees can bring to an area and an intuitive feeling that they in some way improve their surroundings. However there is a growing body of evidence and appreciation of the positive role that trees can have across a wide range of areas.

2.1 Benefiting Health

Trees can have direct benefits to health, for example by providing shade and therefore giving protection from harmful UV rays. **(1)** They can also have more subtle effects. For example, studies have shown that giving people views of a natural environment, such as ones with trees in it, reduces their stress levels and also helps people recover more quickly from illness. **(2,3)**

2.2 Reducing Pollution

Trees can act as significant filters of airborne pollution, particularly of particles that can contribute to asthma and allergies. A park with trees in it can filter out up to 85% of airborne particles and a street with an avenue of trees can remove up to 70% of particles from the air. Even when the leaves have fallen the trees still have a substantial role as filters of dust particles **(4)**

Trees are also very efficient at filtering out pollution from heavy metal particles such as lead **(5)**

2.3 Reducing Greenhouse Gases

It is well known that trees take up carbon dioxide, a greenhouse gas, and store it in their tissues, thus taking it out of the atmosphere. As a by-product of this process, they produce the oxygen that is essential for life on earth. To put this into context, on average, two mature trees produce enough oxygen for each person's needs and a single tree can reduce the carbon dioxide in the atmosphere by 150kg per year. **(6,7)**

2.4 Increasing Wildlife

Large areas of this country were once covered by trees and hence much of our native wildlife is adapted to a habitat with trees in it. For example trees such as Willow and Oak can have over 400 different species of insect associated with them. **(8)** Also most of the garden birds that we see around us are actually species of the woodland edge and are therefore at home in an environment with trees and shrubs. A number of the rarer wildlife species in the Tees Valley are particularly associated with trees. This includes such diverse groups as bats, butterflies and bluebells.

2.5 Helping the Economy

An environment that is aesthetically pleasing will be more successful in attracting new business and inward investment, and tree planting is perhaps the major factor in effecting aesthetic improvement.

There is also a growing recognition that commitment by business to enhance the natural environment enables them to attract workers hence improving the quality and productivity of their workforce. **(9)**

Trees grown around a building can reduce the amount of energy needed for both air conditioning and heating by ameliorating the extremes of weather conditions. **(10)**

Trees can also add value to a property. A recent survey of householders on the Wynyard estate by The Tees Forest showed that residents felt that living in a well tree-scaped environment added up to 25% to the value of their house.

3 Hartlepool's Tree Resources.

Hartlepool has one of the lowest percentage tree covers of any English Local Authority. Even taking into account the extensive tree cover at Wynyard, and recent new plantings, the percentage of tree cover for the borough is around 3% compared to the national average of 8.6%. **(11)** This sparse tree cover, particularly within the urban area is due partly to historical land clearance for ship building and agriculture, partly to the difficult climatic conditions and partly to the rapid expansion of the town since the early 1800's. The climatic conditions, particularly the cold, salt-laden winds off the sea limit the ability of trees to thrive in certain exposed conditions, as the repeated failure of trees to establish in the Central Park area attests. On the other hand, there have been areas where trees have transformed the image of an area. Notable in

this latter respect are the tree-lined verges along the A689, which provide a very attractive introduction to the town.

For the purposes of this strategy trees can be defined as all the trees, woodlands and hedgerows within the Borough. In Hartlepool the major existing components are:

- trees on highways and other open spaces;
- trees in formal parks, recreation grounds, cemeteries & schools;
- trees in private ownership, particularly in gardens;
- woodlands and hedgerows on and beyond the Urban Fringe and in the surrounding countryside;
- recently planted woodland.

3.1 Council owned trees

The council has recently, (2004/2005), had an independent survey of all the trees in its ownership. The survey identified trees that may pose a risk to the public or property, but also assessed the general health of the trees and gave recommendations for their future management. The general conclusions of the survey and implications for management are given below:

Trees in highways and open spaces are generally in good condition. This is due largely to a combination of the fact that most of the trees are relatively young and are of hardy species. However most of the trees are of a very few species, predominantly sycamore, and this can detract from their amenity value and be a potential problem should another species-specific disease, similar to Dutch Elm disease occur. The main concern with highway trees that the survey identified, are the hybrid poplar trees that forms the majority of the planting on Belle Vue Way. These are prone to becoming brittle as they get older and consequently become an increasing safety risk. A phased replacement programme is recommended over something like a 20 year period in order to address the increased risk whilst maintaining the amenity value of Belle Vue Way.

The town's parkland trees are in good condition, but there is a need for a thorough review in order to address the problem of the over mature and even age structure of the existing trees and the recent loss of large numbers of English Elm. While the felling of Elm has provided new opportunities, it has also highlighted the neglect of previous management in that the remaining predominantly Ash and Sycamore trees are often of a poor shape and structure themselves due to years of competition with the elms for light and water.

The town's cemetery trees are similar to the parks in being of an even age structure and over mature. This is particularly pronounced in North Cemetery where most of the trees are of the same age and species and consequently can be expected to die at the same, leaving the cemetery largely devoid of trees. Some of the cemeteries are also in need of new planting in areas that currently have few or no trees.

Cemeteries, such as West View Road, have, however, one of the most significant banks of tree cover within the Council's ownership in the urban area.

Schools within Hartlepool vary from the very urban hard spaced sites such as Jesmond Road to open green spaced sites such as St Hild's Comprehensive.

The former County Council and more recently Hartlepool City Challenge and SRB programmes has been responsible for one of the largest programmes of tree planting within the Borough in recent years. These programmes have tended to see the establishment of blocks of largely native species planted on otherwise mown green "deserts" to create shelter belts and providing both an environmental education and nature conservation resource.

3.2 Privately Owned Trees

Much of Hartlepool's existing mature, urban tree cover is located on privately owned land, particularly gardens. These are particularly prevalent in the rural villages and the Park area of the town.

The Council through the Development Control process has some influence over private trees through a combination of measures including, the eight Conservation Areas, Tree Preservation Orders, 106 agreements and planning conditions. There are currently in excess of 170 individual or group Tree Preservation Orders on the statute. Tree Preservation Orders and Conservation Area status only protect threatened trees of high amenity value however, and cannot be used to encourage and guarantee good tree management or to protect trees whose value is other than amenity.

3.3 Woodlands

Whilst the total tree cover for the Borough is low, there are a number of mature woodlands that are of high importance for their visual or nature conservation qualities. By far the largest of these is the complex of woodlands on the Wynyard estate. A few of these woodlands are classed as semi-ancient, that is to say that there has been woodland on that site since AD 1600. The recorded semi-ancient woodlands in Hartlepool are: The Howls at Dalton Piercy; Thorpe Bulmer Dene and elements of the Wynyard woodlands.

3.4 New woodland planting

A number of new woodland planting schemes have occurred in the borough in the last decade. The largest of which has been the planting of 28Ha of new woodland at Summerhill, but other sites with substantial new planting include Hart Moor Farm; Hart Station; Faith Wood at Newton Bewley and Red Gap Moor, west of the A19. The Council is, where possible, encouraging, often extensive, tree planting and management on private land through the use of planning conditions and agreements, as seen, for example, at Middle Warren. The Parks and Countryside team is also promoting the take up of Forestry

Authority grants on land beyond the urban fence, thereby contributing to The Tees Forest.

3.5 Hedgerows

Hedgerows are a characteristic feature of much of the British landscape. Although they have decreased in importance for control of farm stock, they are still of crucial importance for wildlife and often mark historical boundaries. Under the 1997 Hedgerow Regulations, it is against the law to remove certain countryside hedges without first notifying the local planning authority. Where a hedgerow is classed as important under the Act, the planning authority may prohibit its removal by issuing a hedgerow retention notice. The criteria for determining which hedgerows qualify as being important are based around the hedges age and diversity and its historical or wildlife value. Currently, there is no list of which hedgerows in the Borough would qualify as being important under the Regulations

4 Statutory Duties and Responsibilities

A number of duties and powers relating to trees rest with the Local Authority as a result of various Acts of Parliament. These include the 1980 Highways Act, the 1971/1999 Town & Country Planning Act, the 1976 Miscellaneous Provisions Act, the Hedgerows Regulations (1994) and the Wildlife and Countryside Act (1981).

5 Aims and objectives

5.1 Aim

The aim of the Hartlepool Tree Strategy is to enhance the status and the role of trees in the Borough and to ensure the sustainability of its tree stock.

5.2 Objectives

Within the aims of the strategy there are four overarching objectives:

- i) To ensure the protection and care of the existing tree population
- ii) To ensure the safety and well-being of the public through the assessment and management of risk associated with trees.
- iii) To increase the number of trees by encouraging the planting and management of new trees using appropriate species for the location.
- iv) To promote a greater ownership and appreciation of trees, among both the Local Authority and the public of Hartlepool.

6. Policy – The National, Sub-Regional and Local Perspective

There are a number of local and national policy documents which, either directly or indirectly, provide reference to the need for Hartlepool to develop a clear and comprehensive Tree Strategy.

6.1 Hartlepool Local Plan

The Hartlepool Local Plan gives guidance with regard to land use and matters that can be addressed through the Town and Country Planning legislative framework. As such it contains a number of policies, which have a direct or indirect bearing on the protection, planting and management of trees in Hartlepool. One of the four strategy areas of the Local Plan, “the conservation and improvement of the environment,” gives impetus for the need for a borough-wide tree strategy. The Local Plan policies related to trees are listed in Appendix 1. The Local Plan also contains guidance in the form of supplementary guidance on Tree Preservation Orders and Landscape Design, Management, Planting and Tree Protection.

6.2 Community Strategy

The Hartlepool Community Strategy is the common planning framework for all services in Hartlepool. It promotes the social, economic and environmental well-being of the town and reinforces sustainability as a principle running through all the plans and strategies for the town. In short, it is designed to ensure joined up thinking and mutual empowerment in the provision of services at a local level. Its vision is “...that Hartlepool will be a prosperous, caring, confident and outward looking community, in an attractive environment, realising its potential.”

Within the Community Strategy there are seven priority aims and within each of those a number of objectives, several of which have links to the need for a Borough-wide tree strategy.

These particular objectives are listed in Appendix 2.

6.3 Hartlepool Energy Strategy

The Borough Council is currently formulating an Energy Strategy, one of the aims of which will be to make the authority carbon neutral. The planting of trees is recognised as being able to make a significant contribution to this as the trees lock up carbon in their tissues as they grow.

6.4 Hartlepool Climate Change Strategy

The Borough Council is also working on a Climate Change Strategy. Trees feature in the draft of this both as absorbers of Carbon Dioxide and as providers of shade which will ameliorate the predicted rise in temperatures.

6.5 Tees Valley Structure Plan

The Tees Valley Structure Plan sets out the strategic planning policies that will guide the future development and use of land within the Tees Valley up to the year 2016. It provides the strategic policy framework for planning and development control locally. It includes policies to conserve the natural beauty and amenity of the area, improve the physical environment, manage the growth in traffic, and guide housing and industrial development to the most appropriate areas. The Structure Plan policies related to trees are listed in Appendix 3.

6.5 Tees Valley & UK Biodiversity Action Plans

Launched in 1999, the Tees Valley Biodiversity Action Plan (TVBAP) sets out priorities for nature conservation in the former Cleveland County area. As such it has been endorsed by the four unitary authorities and is sponsored by them, with additional sponsorship from English Nature and Tees Valley Wildlife Trust. It outlines a number of habitats and species of particular conservation concern in the former Cleveland area and aims to formulate specific action plans to promote those habitats and species to a favourable conservation status.

Habitats in the Action Plan include, both semi-natural broad-leaved woodland and planted coniferous woodland, as well as ancient and species rich hedgerows. However the plan also aims to improve the wildlife value of urban habitats, including schools and amenity grassland, churchyards and cemeteries, gardens and allotments and roadside verges. Trees will play a large part in the enhancement of these urban habitats.

The UK Biodiversity Action Plan gives details on species and habitats of conservation concern throughout the UK and lists a number of species found in Hartlepool that are not currently in the TVBAP.

A full list of tree-related Tees Valley and UK Biodiversity Action Plan habitats and species occurring in Hartlepool are found in Appendix 4.

6.6 The Tees Forest Plan

Adopted in 2000, The Tees Forest Plan forms part of the "Forests for the Community" programme, designed to achieve major environmental improvements around built up areas. Covering a large part of the five Local Authority areas in the Tees valley, The Tees Forest will be a well-wooded mosaic of landscapes and land uses rather than a continuous cover of trees. The Tees Forest is made up of 13 management zones, three of which are within, or partly within the borough of Hartlepool. The specific proposals for each of the management zones that lie within Hartlepool are set out in Appendix 5.

6.7 Regional Spatial Strategy

The regional spatial strategy sets out a long-term strategy for the spatial development of the north-east and provides the spatial context for the delivery of other regional strategies. One of its four themes is to: "conserve, enhance and capitalise upon the region's diverse natural and built environment,

heritage and culture.” The Strategy recognises the wide range of benefits of trees, woodland and forests and sets out guidance with respect to these in Policy 38. See Appendix 6.

6.8 The National and Global Context

In 1990 the Government produced a white paper on the UK’s environmental strategy called “This Common Inheritance”. This document specifically stated the need to encourage multipurpose management of woodland for public recreation, enhancement of landscape quality, wildlife habitat potential as well as commercial forest activities.

In 1992 the Earth Summit was held in Rio at which a number of key policy documents were approved by nation states including Biodiversity, and Agenda 21. Agenda 21 is concerned with how countries should reconcile development with environmental concerns so that sustainable development can be achieved into the 21st century.

In 1994 in direct response to the Rio Summit, the Government produced “Sustainable Development, the UK Strategy” which made particular reference to the important contribution, which trees make to the urban environment. The Government through this document is encouraging Local Authorities to develop long-term strategies for the management and care of trees in their ownership.

7. Borough Council Delivery Partners

A Strategy for Trees cannot be successfully implemented without the commitment of all relevant Council Departments. The Local Authority both as land owner and manager and through its statutory powers has a primary opportunity to push forward the enhancement of both rural and urban trees, either through direct action or by facilitating and encouraging the actions of outside agencies and amongst the local population generally. The responsibilities and opportunities of various council departments in relation to trees are set out below:

7.1 Regeneration & Planning Services

The Landscape Planning & Conservation section, based within the Regeneration & Planning Services Department currently offers the only comprehensive arboricultural service with the Council (other than tree surgery, which is based within neighbourhood services). The arboricultural service currently provided within the Landscape Planning & Conservation Team includes:

- provision of survey, creation and administration of Tree Preservation Orders;
- arboricultural advice on insurance claims relating to Council-owned trees;

- arboricultural advice relating to trees belonging to the Council, when requested by the client officer;
- commenting on planning applications;
- providing an internal "One Stop Shop" for public enquiries relating to trees;
- the promotion and planting of trees in relation to regeneration programmes or development opportunities;
- provision of supplementary planning guidance relating to trees and Tree Preservation Orders;
- provision of supplementary planning guidance relating to hedgerows
- inventory of hedgerows within the borough;
- implementation and publicity of Biodiversity Action Plan targets relevant to trees, hedgerows and woodlands;
- publicising the value of trees through events and public leaflets.

In addition to the current duties and responsibilities of the department's Landscape Planning & Conservation team, outlined above, the Regeneration & Planning Services Department will:

- Ensure both the protection and provision of trees forms a key element of strategic and local planning policy.
- Encourage tree planting by way of conditions attached to planning permissions.
- Identify opportunities for trees within the Local and Structure plan review process (The Hartlepool Development Plan).
- Champion the incorporation of woodland and street tree planting into briefs for regeneration and environmental initiatives.
- Fully protect existing trees and woodland using appropriate legislative powers.
- Maximise the use of appropriate landscape conditions to planning permissions.
- Encourage advanced planting on development sites.
- Ensure mechanisms for the long-term management of trees are built into landscape conditions and adoption arrangements.
- Promote trees and woodlands as a means of enhancing land and rental value and as a marketing tool in attracting inward investment.
- Encourage and promote the potential for employment within woodland and timber related businesses.

7.2 Children's Services/ Individual Schools

The Children's Services Department, both as landlord and as advisors to individual school headteachers and governing bodies, will look at these duties and responsibilities in relation to trees and public safety as well as educational scope and opportunity. Specifically the Children's Services Department will give consideration to the following:

- Facilitating a survey of trees and woodlands, which are under the responsibility of individual schools or the department (jointly with LP&C).

- Investigating opportunities for increasing tree and woodland cover in school and college grounds to provide educational opportunities, shelter, and a stimulating environment (with support to schools from LP&C).
- Involving pupils in appropriate physical and academic work related to trees and woodlands (with support to schools from LP&C).

7.3 Adult & Community Services

The Adult & Community Services Department within its Parks & Countryside portfolio, will look to consider and seek, where appropriate, additional corporate resources for the following:

- Carry out an inventory of trees and woodlands, which are under the department's care.
- Review the landscape structure of public open space and seek opportunities for new woodland planting in urban areas (in conjunction with neighbourhood services).
- Look for opportunities to compost green and woody wastes and specify its use (in association with Waste Management).
- Incorporate long-term management aims and tree inspections and maintenance into future Grounds Maintenance Contract specifications (in association with LP&C).
- Maximise the opportunities to communicate to the public the value of trees in Hartlepool and the Borough's contribution to The Tees Forest.
- Work with the Tees Forest in seeking new planting opportunities in the urban fringe and rural areas.
- Involve local people in the practical management of trees and woodland, as an active recreational pastime.
- Seek appropriate financial commitment to ensure tree management and maintenance is adequately resourced.

7.4 Neighbourhood Services

The Neighbourhood Services Department, through its various service areas, will consider undertaking the following:

7.4.1 Technical Services

- Ensure the health and safety of trees, the public and highway users through a cyclical programme of tree inspection, recommendation and appropriate management of street trees including the provision of adequate financial resources.
- Ensure trees are adequately protected through good highway design and maintenance.
- Protect tree canopies and trunks from accidental collision damage and protect tree roots from excavation damage and monitor the excavations of other utilities.
- Minimise chemical pollution from de-icers and herbicides.

- Make provision for structure planting and street trees including the planting of higher canopy forest species in highway design and improvement schemes.
- Ensure appropriate internal or external staff resources are in place to fulfil the above, including provision of CPD training of existing highway inspectors.

Environmental Services (including Area Management)

- Review the landscape structure of public open space and seek opportunities for new woodland planting in urban areas (in conjunction with Community Services).
- Ensure the health and safety of the public and trees through a cyclical programme of tree inspection, recommendation and appropriate management
- Actively encourage composting of green and woody municipal and domestic waste.
- Actively encourage the re-use and marketing of recycled timber including felled timber such as dead Elm.

7.5 Procurement and Property Services

- Investigate opportunities for tree planting on council-owned land.
- Ensure the adequate protection and promotion of trees on Council land including those at land to be disposed of by the Authority (with LP&C)

8. Conclusion

This strategy for trees in Hartlepool is in essence a Vision Statement setting out a clear strategic aim, objectives and targets together with the general roles and responsibilities of the various Council departments with regards to the protection, promotion, planting and long term management of trees.

This strategy is not a static document and will lead to the production of specific Action Plans for various categories of trees. It is intended that it will lead, over time, to a positive enhancement of the environment, leisure and economic opportunities of the residents and visitors to Hartlepool.

Appendix 1. Local Plan policies of relevance to trees

Policy GEP1. General Environmental Principles

The Borough Council, in determining planning applications for development will,...take account of the following matters as appropriate:

vii. The effect on existing trees, hedgerows and other landscape features.

Policy GEP7. Frontages of Main Approaches

The Borough Council, when considering development proposals adjoining the major corridors listed below, will require that a particularly high standard of design, landscaping and, where appropriate, woodland planting are provided which will improve the visual environment...

Policy GEP9. Developer's Contributions

The Borough Council will, where appropriate, seek contributions from developers for the provision of additional works deemed to be required as a result of the development. Contributions may be required for:

- The layout and maintenance of landscaping and woodland planting.
- Works to enhance nature conservation features.

Policy GEP12. Trees, Hedgerows and Development

The Borough Council will, where appropriate, seek within development sites, the retention of existing, and the planting of additional, trees and hedgerows.

Where trees and hedgerows on a site, or on an adjacent site, are of a significant quality in terms of species or visual amenity, planning permission for development may be refused if the loss or damage of those trees would have a significant impact on the local environment and its enjoyment by the public. This would be particularly the case where there are trees that are protected by a tree preservation order, or hedgerows protected by the 1997 Hedgerow Regulations or where they contribute to the character and appearance of a conservation area.

In considering proposals for development of sites where there are existing trees worthy of protection within the site or on adjacent sites, the Borough Council will have regard to the need to make additional tree preservation orders.

Where trees and hedgerows which contribute to the street scene are lost through development, their replacement using trees and hedgerows of an appropriate scale and species to the area will, where practical, be required as a condition of planning approval.

Planning conditions will be imposed to ensure that trees and hedgerows to be retained on a development site or which could be affected on an adjacent site, will be adequately protected from damage during construction.

The Borough Council will have regard to its powers of prosecution where damage or destruction of trees which are subject to tree preservation orders or planning conditions has taken place.

Policy GEP13 Works to Protected Trees

Permission for the felling of any tree included in a tree preservation order or located within a conservation area will not be granted unless:

- i. The removal forms part of an approved development scheme, or
- ii. The tree or trees are proven to be adversely affecting the structural condition or safety of buildings, or
- iii. The trees represent an unacceptable risk to the safety of the public, or
- iv. It is in the interest of the health of the tree or nearby trees, or
- v. The felling is required as part of an agreed management scheme in the interest of age, structure or diversity, or
- vi. There is no significant impact on the local environment and its enjoyment by the public.

Permission for tree surgery works to protected trees will only be approved where:

- The tree is a danger to human life
- The tree is causing danger to property, or
- It is in the interests of the well-being of the tree.

Policy GEP14 Review of Tree preservation Orders

The Borough Council will keep under review tree preservation orders to ensure that they are accurate and legally valid and will make further tree preservation orders as appropriate.

Policy GEP17 Derelict Land Reclamation

Reclamation schemes will include landscaping and tree planting...

Policy Ind1 Wynyard Business Park

Conditions will be imposed and legally binding agreements sought to secure or create and maintain features of nature conservation interest and for landscaping and woodland planting.

Policy Ind2 North Burn Electronics Components Park

High Quality Landscaping and woodland planting appropriate to the surrounding natural environment are provided, particularly along the main road frontages.

Policy Ind 3 Queens Meadow Business Park

Substantial high quality landscaping and woodland planting are provided, particularly on the main road frontages and along the boundary closest to Greatham village.

Policy Ind 4 Higher Quality Industrial Sites

Substantial landscaping is provided, particularly on the main road frontages.

Policy Com11 Commercial Improvement Areas

The Borough Council will encourage environmental and other improvement and enhancement schemes in designated commercial improvement areas.

Policy Hsg5(A) General Housing Allocations

Advice will be sought in respect of the development of site j. Eaglesfield Road to ensure that adjoining land is substantially planted for community forest purposes.

Policy Hsg12(A) New Residential Layout – Design and Other Requirements

Proposals for residential development will be permitted in locations which accord with policies...provided that:

vii. existing features of interest, including trees and hedgerows.... are retained where possible.

Policy Hsg16(A) Residential Mobile Homes

Where development is to be approved, substantial landscaping will be required to maintain visual amenity.

Policy Rec8 Areas of Quiet Recreation

These areas will be landscaped and planted...

Policy GN1 Enhancement of the Green Network

The Borough Council will seek to develop, protect and enhance a network of green spaces in the urban area of Hartlepool.....The visual and amenity value of the green spaces within the network will be enhanced by landscaping and tree planting.

Policy GN4 Landscaping of Main Approaches

The Borough Council will undertake strategic landscaping schemes and woodland planting...

Policy GN5 Tree Planting

The Borough Council will seek to provide additional tree and woodland planting in Hartlepool at the following locations

- a. How Beck Green Wedge
- b. Burn Valley Family Wood
- c. Tunstall Farm
- d. Summerhill and Masefield Road
- e. West of Owton Manor
- f. Tees Bay Recreational Area
- g. West of Brenda Road
- h. South of Elizabeth Way

Where appropriate the council will impose planning conditions and/or seek obligations to ensure that tree planting is provided as part of development proposals within and adjoining these areas.

Policy WL8 Protection of SNCIs, RIGSs and Ancient Semi-natural Woodland
Development likely to have a significant adverse effect on a site of nature conservation importance or a regionally important geological/geomorphological site or Ancient Semi-natural Woodland, which is not otherwise allocated in the local plan, will not be permitted unless the reasons

for the development clearly outweigh the harm to the substantive nature conservation or geological or geomorphological value of the site.

Where development on a designated site is approved, the borough council may impose planning conditions and/or seek legal agreements to minimise the harm to the site, enhance the remaining nature conservation interest and secure any compensatory measures and site management that may be required.

Policy HE1 Protection and Enhancement of Conservation Areas

In determining applications, particular regard will be had to the need for the following:

iv. Existing trees, hedgerows and landscape features on the site to be retained.

Policy HE6 Protection and Enhancement of Registered Parks and Gardens

The Borough Council will encourage environmental improvements to enhance registered parks and gardens.

Policy Rur6 Development in the Countryside

The following factors will be taken into account in determining applications for planning permission in the open countryside:

vi. The requirement where appropriate for additional tree and hedge planting.

Within the Tees Forest area the Borough Council will impose planning conditions and will seek legally binding agreements, as appropriate, to ensure the planting of trees and hedgerows in association with new development.

Policy Rur11 New Housing in the Open Countryside

Proposal for new houses on single infill sites within hamlets or groups of houses or one for one replacement dwellings will only be permitted where visual intrusion is minimised and provided that:

- i. Existing features including trees and hedgerows are retained where possible.

Policy Rur14 The Tees Forest

Development proposals considered appropriate in the countryside and which are located within the area of the Tees Forest should seek to include tree planting (using locally native species)

Policy Rur16 Recreation in the Countryside

Within the Tees Forest area the Borough Council will impose planning conditions and seek legally binding agreements, as appropriate, to ensure the planting of trees and hedgerows in association with new development.

Policy Min3 Mineral Extraction

...planning permission will only be granted where:

v. Appropriate landscaping (including tree planting where appropriate) is undertaken around the site to reduce the visual impact.

Appendix 2. Hartlepool Community Strategy Objectives of relevance to trees

Priority Aim 1. Jobs and the Economy

Objective 3. To ensure that the transport infrastructure, property and the physical environment are attractive, modern, responsive, flexible and eco-friendly.

Objective 7. To promote a positive image of the town.

Priority Aim 5. Environment and Housing

Objective 1. To protect and enhance the natural environment and the countryside, and ensure that the biodiversity of local wildlife and plants is valued and protected and their habitats are maintained and enhanced.

Objective 2. To protect and enhance the amenity and nature conservation value of the Borough's open spaces including brownfield sites.

Objective 6. To improve, maintain and keep clean the highways, roads, buildings, open spaces, rights of way, beaches and other public areas of the town.

Objective 13. To minimise all forms of pollution – air, noise, land and water, and ensure that pollution is limited to levels which natural systems can cope with without damage.

Appendix 3. Tees Valley Structure Plan policies with relevance to trees

ENV 16 "Woodland, trees and hedgerows will be protected wherever possible. Proposals to increase tree cover in the Tees Valley will generally be encouraged where there are no adverse affects on landscape character, nature conservation, archaeological or historic interests. The local authorities support the strategy to create the Tees Forest, which will include measures to increase woodland planting for timber production, amenity use and conservation."

ENV17 "New development should comply with high standards and protect and enhance not only features of recognised environmental importance but also contribute to improving the wider environment and quality of life. These should include:

- ii) Imaginative landscaping and the creation and management of new wildlife habitats or corridors as part of the development;"
- iii) Improvement of vacant or under used land, including tree planting, where appropriate, particularly as part of the community forest."

ENV18 "The environment of urban fringe areas will be improved. Measures should support the creation of the Tees Forest and include:

iii) Softening the urban edge through landscaping and tree planting.”

ENV19 “the character, appearance and amenity of the countryside will be improved and enhanced by:

- ii) Encouraging opportunities to extend and improved wildlife habitats on farmland, for example through additional tree planting, retaining and creating new hedgerows;
- v) Additional tree planting, including through the Tees Forest initiative.

ENV 21 “The urban environment will be improved through the following measures:

- i) Encouraging redevelopment and re-use of vacant and derelict sites, including landscaping and management (including wildlife habitats), where appropriate;
- iii) Tree planting and landscaping, where appropriate, in areas deficient of planting.

Appendix 4. Tees Valley & UK Biodiversity Action Plans
Habitats and Species in Hartlepool of relevance to Trees

Habitats

- Plantation Conifer Woods
- Semi-natural Broadleaved Woods
- Ancient & Species Rich Hedges
- Churchyards & Cemeteries
- School Grounds
- Amenity Grassland & Parks
- Gardens & Allotments

Species

- Bats
- Red Squirrel
- Tree Sparrow
- Spotted Flycatcher
- Bullfinch
- Song Thrush
- Barn Owl
- Turtle Dove

Appendix 5. The Tees Forest Proposals for Hartlepool

The three Local Management Zones that lie within Hartlepool are: Hartlepool Rural Fringe; Greatham Corridor and Wynyard.

Hartlepool Rural Fringe

The Hartlepool Rural Fringe local management zone is a large area of undulating, mainly arable farmland in the north and west of the borough. Mature woodland is generally confined to the Howls at Dalton Piercy and a few small farm copses. The percentage of woodland cover now stands at 4.86% or 228ha hectares. This has increased from 1.2% in 1991, due largely to the new woodland planting at Summerhill. The specific Tees Forest Proposals for the Hartlepool Rural Fringe are:

- LMZ1.1 Woodland creation will be focused on larger areas in excess of 20 hectares with a mixed character. At least three areas greater than 50 hectares and one in excess of 100 hectares will be required as landscape and recreational foci.
- LMZ1.2 Maximise the use of Summerhill, the primary Gateway site which links the town and countryside and seek an extension of its planted area.
- LMZ1.3 Woodland management will be focused on habitat corridors and areas of older woodland.
- LMZ1.4 North of the A179 it will be important to develop habitats suitable for red squirrel by planting selected species, to encourage their survival locally.

Greatham Corridor

The Greatham Corridor is an area of flat low-lying arable farmland, industrial sites, vacant land and major roads, separating Billingham from Hartlepool and therefore crosses the administrative border of Hartlepool and Stockton on Tees Borough Councils. The woodland cover in the section within Hartlepool is 1.81% or 14.84 hectares and this remains one of the least wooded of the 13 management zones.

The Tees Forest Proposals for the Greatham Corridor are:

- LMZ2.1 Extend the influence of Cowpen Bewley Woodland Park into neighbouring areas, including the proposed International Nature Reserve.
- LMZ2.2 Plant alongside the A689 road corridor, whilst retaining views of the Cleveland Hills.
- LMZ2.3 Improve the green character of the eastern part of the zone, particularly the Greatham to Seaton Carew corridor and nearby brownfield land.
- LMZ2.4 Encapsulate the villages of Wolviston and Newton Bewley within a woodland setting to retain or enhance their village characteristics.

- LMZ2.5 Create linear woodland features to maximise their impact on the landscape and reduce the overly open aspect of the zone, especially north of the A689.
- LMZ2.6 Work with farmers and landowners to diversify land-use, enhance biodiversity and provide new and improved access routes.
- LMZ2.7 Establish a Greenway route between Summerhill and Cowpen Bewley, and provide links to the International Nature Reserve.

Wynyard

The Wynyard local management zone spans the A689, west of the A19 with approximately half of its area lying within the Hartlepool boundary. It is unique in the Tees Forest as it comprises extensive areas of mature woodland. Much of this woodland is conifer plantation, though there are other woodland types present, including semi-ancient woodland. The woodland cover of the portion of the LMZ in Hartlepool is currently 41.2% or 151.5ha.

The Tees Forest Proposals for Wynyard are:

- LMZ 3.1 Woodland management will be encouraged, with the aim of maintaining the mixed woodland character of the zone and local biodiversity and to safeguard the woodlands from deterioration.
- LMZ 3.2 New planting should connect with existing woodland areas and seek to ameliorate the visual impact of new development. In the long-term new planting will also provide for a more balanced age structure to mature woodland and allow for follow through woodlands when existing blocks are over-mature.
- LMZ 3.3 In addition to existing permissive routes, creation of a boundaried Greenway route through Wynyard will allow managed recreational access.

Appendix 6 Regional Spatial Strategy

Policy 38 – Trees, Woodlands and Forests

Strategies, plant and programmes should:

- a) in line with the North East Regional Forest Strategy, seek to maximise the social, economic and environmental opportunities that trees, woodlands and forests present, particularly in regeneration areas and on derelict, damaged and underused sites;
- b) support the expansion of community forestry;
- c) facilitate the expansion of tree cover, particularly in urban centres and the rural urban fringe, to provide accessible leisure, recreation and environmental education opportunities;
- d) support the establishment of integrated timber processing facilities, including related industries such as renewable energy, close to existing facilities and timber resources;
- e) seek to maximise the tourism development opportunities presented by woodlands and forests, particularly in rural areas; and
- f) identify and ensure strong protection of areas of ancient woodland

Hartlepool Tree Strategy - Action Plan

Objective 1. Protection and care of existing tree population

Action	Partners	By When
1. Where possible, survey and assess all woodlands (private and public) within the borough including condition and wildlife value	LP&C/ Tees Forest TVBAP	2007
2. Create a inventory of important hedgerows using the 1997 Hedgerow Regulations	LP&C/ volunteers	2005 ongoing
3. Produce Supplementary Planning Guidance for hedgerows	LP&C/ Urban Policy	2007
4. Undertake a review of existing TPOs and revoke/remake as appropriate	LP&C/ Legal	2008
5. Identify high amenity value trees, not currently on TPOs and place on TPOs as appropriate	LP&C/Legal	2005 ongoing

Objective 2. Ensure public safety through risk assessment

Action	Partners	By When
1. Complete a full survey and inventory of all council owned trees and transfer to GIS	all departments	2006
2. Identify immediate problems and prepare an emergency work programme for each client dept.	All departments LP&C	2005
3. Prepare management plan for Council-owned trees including cyclical maintenance	LP&C Relevant departments	2006

Objective 3. Encourage planting of new trees

Action	Partners	By When
1. Prepare a planting strategy for the Authority with action plans agreed with each department	LP&C All departments	2006 ongoing
2. Investigate potential funding sources for additional tree planting	All departments LP&C	2005-ongoing
3. Seek funding for replacement of poplar trees on Belle Vue Way	LP&C/Highways	2005 ongoing
4. Investigate council-owned land for opportunities for new planting to contribute to Tees Forest Targets	Land & Property/ LP&C Community Services Tees Forest	ongoing
5. Encourage the take-up of forestry grants for new planting on private land	Community Services/ Tees Forest	ongoing

Objective 4. Increase ownership and understanding of trees

Action	Partners	By When
1. Produce a public leaflet on Hartlepool's trees, incorporating	LP&C	2006

a summary of the tree strategy

3. Publicise and implement Biodiversity Action Plan targets relevant to trees, woodland and hedgerows	LP&C/ TVBAP	2006 ongoing
4. Identify and promote veteran and other notable trees	LP&C Tees Forest	2006
5. Organise and publicise events to promote interest in trees	LP&C Community Services	2004-ongoing
6. Develop a recycling and/or marketing strategy for tree products resulting from arboricultural work	All departments	2006
7. Where required, provide in-house training for all Council employees whose work may involve them in dealing, directly or indirectly, with trees	All HBC depts	2006
8. Investigate the feasibility of a tree warden scheme	LP&C/ Community Services	2005
9. Distribute arboricultural guidance leaflets on tree management to relevant officers and the public	LP&C	2006 ongoing

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CABINET REPORT

7th November 2005



Report of: Chief Financial Officer

Subject: NORTHGATE FRAMEWORK ARRANGEMENT

SUMMARY

1. PURPOSE OF REPORT

- 1.1 The purpose of the report is to update members on emerging details of a Framework Arrangement with Northgate Information Solutions covering the establishment of a benefits and revenues processing centre within the borough.

2. SUMMARY OF CONTENTS

- 2.1. The report provides details of a memorandum of understanding that is being developed between Northgate and the Council setting out certain proposed responsibilities and commitments on both sides.

3. RELEVANCE TO CABINET

- 3.1. Whilst the Centre is to be developed by Northgate, given the context of the ICT partnering arrangement and the regeneration implications it is of strategic significance to the Council.

4. TYPE OF DECISION

- 4.1. Non Key decision.

5. DECISION MAKING ROUTE

- 5.1. The decision will be made by Cabinet.

Report of: Chief Financial Officer

Subject: NORTHGATE FRAMEWORK ARRANGEMENT

1. PURPOSE OF REPORT

- 1.1. The purpose of the report is to update members on emerging details of the Framework Arrangement with Northgate Information Solutions covering the establishment of a benefits and revenues processing centre within the borough. This report follows a previous report to Cabinet that detailed the project and the potential opportunities for the Council and the Borough.

2. BACKGROUND

- 2.1. Northgate are committed to the development of a processing facility in Hartlepool, in the first instance for the administration of benefits new claims and changes in circumstances workload. The processing centre will, via secure IT links, undertake benefits administration for local authorities using client council electronic scanned records.
- 2.2. The target market for the Centre's services will be the back office processing requirements of Councils with staffing and operating cost issues, notably the London Boroughs and councils in the South East of England. Additionally the Centre will offer temporary assistance with backlog processing for those Councils that have implemented new computer systems or have suffered from staff shortfalls through sickness / maternity leave.
- 2.3. Northgate are to lease from the Council the accommodation formerly occupied by Housing Hartlepool at the Middleton Grange Shopping Centre to establish the Centre. However they have indicated that should the venture prove a success with adequate demand for its services they will probably need to relocate in future to larger premises.
- 2.4. The first phase of the development will be completed by November 2005 and will involve the appointment of 14 assessment staff covering two benefit assessment teams. A second planned phase will involve the creation of a third assessment team involving another 7 staff by March 2006. Further expansion will be dependent upon Northgate's success in marketing and securing sufficient workload.

3 MEMORANDUM OF UNDERSTANDING ISSUES

- 3.1. The Council is currently in discussions with Northgate regarding arrangements, commitments and responsibilities. Whilst these have not at

this stage been finalised, members can be appraised of the principles currently being considered.

3.2. Hartlepool Borough Council will:

- Share information related to benefit regulations and legislation with Northgate including any notices or broadcasts related to interpretation.
- Allow at times and conditions to be agreed by the Council, access to the Council's training database for Revenues and Benefits work.
- Review and discuss with Northgate any projected temporary staff resourcing problems with a view to Northgate potentially addressing those shortfalls via the Centre.
- Help Northgate promote the Centre's services to other local authorities.
- Be offered the services of a Northgate Trainer / Consultant at an agreed flat rate cost per day.
- Subject to available capacity, undertake work for Northgate on short-term assignments at an agreed flat rate cost per day inclusive of all costs.
- Allow Northgate to publicise the availability of this support arrangement.

3.3. Northgate Information Solutions will:

- Allow the Council to use their meeting room / training room at Middleton Grange for staff training up to 50 days per annum.
- Provide processing resources to the Council for peak processing requirements at an agreed flat rate cost per day inclusive of all costs (which will be the same rate charged by the Council for short-term assignments).
- Share with the Council any good practice or data gained through the processing of work for other authorities.
- Share with the Council its work plans for the Centre.
- Promote the "Hartlepool" brand and location for business in publicity and marketing material in consultation with the Council.
- Provide at least two high quality training positions to local graduates.

- 3.4 Details of the agreed charges between the Council and Northgate are set out in Appendix A, which is within the not publication part of the agenda, as it details exempt information under Schedule 12A of the Local Government Act 1972. Namely, information relating to the financial or business affairs of any particular person (other than the authority).

4 **RECOMMENDATION**

- 4.1. Members are requested to note this report and authorise the Chief Financial Officer to finalise discussions with Northgate regarding a Framework agreement.

CABINET

7th November 2005



Report of: Director of Children's Services

Subject: CHILDREN AND YOUNG PEOPLE'S
STRATEGIC PARTNERSHIP: MEMBERSHIP

SUMMARY

1. PURPOSE OF REPORT

- 1.1 The purpose of the report is to consider two requests which have been received for representation on the Executive Board of the Children and Young People's Strategic Partnership.

2. SUMMARY OF CONTENTS

- 2.1 The report explains the structure of the Children and Young People's Strategic Partnership and its remit and responsibilities.
- 2.2 The report outlines the requests which have been received for places on the Executive Board, in relation to the voluntary and community sector and Councillors and identifies the options for responding to these requests.

3. RELEVANCE TO CABINET

- 3.1 Cabinet received a report on 21st March 2005 (attached to the main report) which established the Children and Young People's Strategic Partnership. This report relates to possible amendments to the agreed structure.

4. TYPE OF DECISION

- 4.1 Non key decision.

5. DECISION MAKING ROUTE

- 5.1 Cabinet 7th November 2005.

6. DECISION(S) REQUIRED

- 6.1 Cabinet is asked to consider how to respond to the requests for membership of the Executive Board in relation to the voluntary and community sector and Councillors.

Report of: Director of Children's Services

Subject: CHILDREN AND YOUNG PEOPLE'S STRATEGIC PARTNERSHIP: MEMBERSHIP

1. PURPOSE OF REPORT

The purpose of the report is to consider two requests which have been received for representation on the Executive Board of the Children and Young People's Strategic Partnership.

2. BACKGROUND

- 2.1 On 21st March 2005, Cabinet agreed to establish a Children and Young People's Strategic Partnership (CYPSP) in accordance with Government guidance issued in May 2001. A copy of the original report to Cabinet is attached as an appendix to this report.
- 2.2 It was noted that the proposals for establishing the Partnership were interim proposals, subject to further modification over time for two reasons. Firstly by 1st April 2008, the Council will need to have established a Children's Trust and this will have an impact on the CYPSP. Secondly, Cabinet recognised that some interim changes might be required once the Director of Children's Services was appointed and following the restructuring of the council departments.

3. REPRESENTATIONS RECEIVED IN RESPECT OF MEMBERSHIP OF THE CHILDREN AND YOUNG PEOPLE'S STRATEGIC PARTNERSHIP

- 3.1 The Director of Children's Services has received two representations in respect of membership of the Executive Board:
 - The voluntary and community sector has suggested that, in accordance with the protocol between Hartlepool Partnership and the Hartlepool Community Empowerment Network, provision should be made for the community and voluntary sector to be represented on the Executive Board for the CYPSP. The representative would be elected in accordance with the protocol.
 - A councillor has suggested that because the Executive Board potentially has decision making functions, that a councillor should be in attendance at Executive Board meetings. It should be noted that whilst it is the Executive Board which is likely to develop proposals for decision making, ultimately decision making will be taken by the appropriate body e.g.

Hartlepool Borough Council, the Primary Care Trust, and the Police Authority etc.

4. OPTIONS

4.1 Cabinet has three main options in relation to the above requests:

- Option 1: to turn down the requests;
- Option 2: to agree to the creation of an additional place on the board for either or both categories;
- Option 3: to agree to nominees from either or both categories to be co-opted on to the Executive Board.

4.2 The arrangements for establishing the CYPSP were the subject of extensive consultation including discussion at the Health and Social Care Strategy Group and the Board of the Hartlepool Primary Care Trust. It is recommended that any amendments to the Executive Board should be re-circulated through the constituent groups for information.

5. DECISION REQUIRED

5.1 Cabinet's views are sought on which option they would like to pursue in relation to the two representations received by the Director of Children's Services.

5.2 Cabinet is asked whether it would wish to consider any further representations itself or whether it would wish to delegate these either to the Director of Children's Services and the Executive Member for Children's Services, or to the Executive Board.

CABINET REPORT

21st March 2005



Report of: Acting Director of Social Services.

Subject: CHILDREN AND YOUNG PEOPLE'S STRATEGIC PARTNERSHIP

SUMMARY

1. PURPOSE OF REPORT

- 1.1 To seek approval for the implementation of the Children and Young People's Strategic Partnership.

2. SUMMARY OF CONTENTS

- 2.1 The report provides background information on the development of the joint planning arrangements for services for children and young people and the detailed proposals for the setting up of a Children and Young People's Strategic Partnership.

3. RELEVANCE TO CABINET

- 3.1 The Partnership arrangements will ensure that planning of children and young people's services is carried out on a multi-agency basis and with the involvement of users/carers and the wider community. This will enable the local authority to carry out its present statutory governance duties with regard to children and young people's services and those anticipated under the Children Act 2004 guidance and regulations.

4. TYPE OF DECISION

- 4.1 Key decision.

5. DECISION MAKING ROUTE

5.1 Cabinet – 21 March 2005.

6. DECISION(S) REQUIRED

6.1 Cabinet to approve the implementation of the Children and Young People's Strategic Partnership.

Report of: Acting Director of Social Services.

Subject: CHILDREN AND YOUNG PEOPLE'S STRATEGIC PARTNERSHIP.

1. PURPOSE OF REPORT

- 1.1 To seek approval from Cabinet for the implantation of the Children and Young People's Strategic Partnership.

2. BACKGROUND

- 2.1 Government guidance issued in May 2001 entitled "Co-ordinated Service Planning for Vulnerable Children and Young People in England" recognised the need for changes to planning structures. This guidance required local authorities to establish as Children and Young People's Strategic Partnership (CYPSP) and placed local authorities in the lead role in working with partners in the voluntary and community sectors. The target date for the introduction of CYPSPs was April 2003 and it was intended that the CYPSP would be accountable to the Local Strategic Partnership.
- 2.2 Currently, strategic planning of children and young people's services is a function of the Planning and Implementation Group (Children and Families) (PLIG). This group reports to the Health and Social Care Strategy Group and is chaired by the Assistant Director (Children & Families), Social Services. When it was first established, the PLIG was an appropriate arena for planning but the current complex and challenging agenda for change, as well as the growth in the range of services for children, suggest that this partnership arrangement is reaching the end of its useful life.
- 2.3 In March 2004 the Government published the Children Bill and 'Every Child Matters – Next Steps' (Department for Education and Skills). The Bill is now an Act, Children Act, 2004 and guidance and regulations are being developed and implemented. Amongst many changes in the provision of services for children and young people, this has signalled the need to develop more robust collaborative commissioning arrangements for children and young people across the local authority and Primary Care Trust (PCT). This requirement has been incorporated into the proposals outlined below.
- 2.4 It must be emphasised that these proposals are interim and subject to further modification over time. Changes will be necessary once the Director of Children's Services is appointed and following any internal restructuring of Council Departments. Further into the future the role of the CYPSP almost

certainly will be subsumed by a Children's Trust arrangement, as proposed in the Children Act, 2004.

3. THE PROPOSED STRUCTURE OF THE CHILDREN AND YOUNG PEOPLE'S STRATEGIC PARTNERSHIP

3.1 Configuration of the CYPSP

It is proposed that the work of the CYPSP is undertaken in three ways:

- Partnership Group
- Executive Board
- Stakeholder consultation

3.2 Accountability/Governance

It is proposed that initially the CYPSP is accountable for its remit through an Executive Board to the Health and Care Strategy Group and through this to the Local Strategic Partnership. Eventually this may change as the authority considers its future commissioning and organisational structures for children's services. Decision making under existing governance arrangements through for example the Local Authority Cabinet and PCT Board will remain unchanged at present.

3.3 Children and Young People's Strategic Partnership

The role of the CYPSP will be to act as forum within which consideration will be given to the way in which children and young people's services could be developed and improved, and to make recommendations to the Executive Board. It will receive regular reports from sub-groups (see 3.3.5). Its membership will thus need to reflect a wide range of statutory and non-statutory provision within the Borough. Individual members, or task groups of members, could be commissioned to undertake specific pieces of work on behalf of the Executive Board.

3.3.1 Remit of the CYPSP

The primary roles and responsibilities of the CYPSP will be as follows:

- Promote positive outcomes for all children and young people and to seek to prevent children and young people experiencing negative outcomes and social exclusion.
- Contribute to a multiagency, strategic approach to commissioning of services
- Receive reports on a regular basis from sub-groups and identifying any implications for the planning, commissioning or provision of services and make appropriate recommendations to the Executive Board.
- Commission specific pieces of work from individuals or time-limited task groups

- Lead and promote effective consultation with service providers (statutory and non-statutory), users of services and carers.

3.3.2 Membership

It is proposed that the membership of the existing Planning and Implementation Group for Children and Families be expanded to strengthen the input from the voluntary, independent and private sector and people who use the services. Suggested membership of the CYPSP is attached as **APPENDIX 1**.

3.3.3 Chairing

The Partnership will be chaired by the Lead Member for Children's Services, Hartlepool Borough Council. Guidance on the role of the lead member is presently out for consultation from the Department for Education and Skills. The proposed chairing of the Partnership does not appear to conflict with the guidance, but will be reviewed again following the publication of the statutory guidance.

3.3.4 Frequency of Meetings

It is proposed that the CYPSP meets a minimum of 3 times per annum.

3.3.5 Sub Groups

The sub-groups will act as the 'workhorses' of the system. They provide a source of expertise and will also provide the CYPSP with advice on the priorities for service development in their specific area of work.

It is proposed that the following groups will report to the CYPSP (see **APPENDIX 2**):

- Local Safeguarding Children Board
- Children with a Disability
- Child and Adolescent Mental Health
- Connexions
- Sure Start strategic partnership
- IRT steering group
- Safer Hartlepool Partnership Children and Young Persons sub-group
- Looked After Children
- Teenage Pregnancy
- Children's Fund

3.4 Executive Board

At the core of the partnership will be an Executive Board.

3.4.1 Remit:

The primary roles and responsibilities of the Executive Board will be as follows:

- Set the overall strategic direction for children and young people's services provided by or commissioned by the statutory organisations.
- Co-ordinate the planning framework for children and young people's services under the Children's Plan as proposed in the Children Act 2004, (for implementation in April 2006) and other relevant multiagency or single agency strategies, eg Children with a Disability strategy, Extended Schools strategy and ensure that such strategies are updated on a regular basis.
- Co-ordinate commissioning processes undertaken by the statutory organisations and establish the basis for a collaborative commissioning structure, including the introduction of pooled budgets where appropriate, on behalf of those organisations.
- Ensure that effective arrangements are in place in place for consultation with service providers (statutory and non-statutory), users of services and carers.

3.4.2 Chairing of the Board:

Proposals are presently being considered by the Local Authority for reorganisation of its present service structure. This includes the proposal for a Director of Children's Services. The Executive Board will be chaired by the proposed Director of Children's Services, following reorganisation within the Local Authority.

3.4.3 Membership:

Membership would comprise:

- Director of Children's Services
- Hartlepool PCT nominee
- Children's Services Directorate education lead
- Children's Services Directorate social care lead
- Safer Hartlepool Partnership nominee

3.4.4 Frequency of Meetings:

It is anticipated that this group will meet approximately quarterly.

3.5 Consultation

One of the main areas of work for the CYPSP will be the establishment of an effective consultation mechanism to inform both its work and that of the Executive Board. It is envisaged that Development Days will be held 2 or 3 times a year to share the work of the CYPSP and Executive Board with a

wider audience of interested people and/or organisations and to receive comment on, and proposals for, services for development and improvement.

3.6 Business Support and Secretarial Requirements

In order to assist the CYPSP and Board to function effectively there will need to be adequate professional and secretarial support. This includes:

- Researching and preparing strategy and policy development papers on a project planning basis.
- Undertaking a business planning role, including development and implementation of strategies and plans.
- Co-ordinating the work of the sub-groups on behalf of the CYPSP and Board
- Administering and minuting CYPSP and Board meetings
- In due course, supporting the commissioning functions of the Board.

These requirements will be addressed by the Council when the resources required by the proposed Children's Services Directorate are being considered, and in consultation with other statutory agencies.

4. CONSULTATION ON THE PROPOSALS

- 4.1 In July 2004 a consultation paper entitled "Introducing a Children & Young People's Strategic Partnership" was shared across the existing Planning and Implementation Group members and sub-groups. These groups included multiagency representation and the involvement of users and carers.
- 4.2 Three written comments were received and there was further debate in a meeting of the Planning and Implementation Group. The comments were primarily in connection with the detail and the omission of some groups. These have been addressed in this final document.
- 4.3 The proposals have been approved by the Board of the Hartlepool Primary Care Trust and have been endorsed by the Health and Care Strategy Group on 18 November 2004.

5. IMPLEMENTATION PROCESS

- 5.1 It is proposed that initial dates for meetings of the Partnership would be set for June 2005, October 2005 and February 2006. This will allow time for the clarification of the proposals for the local authority reorganisation and appointment of the Director of Children's Services. The Executive Board can then be set up in advance and develop proposals for the style and content of the Partnership meetings.
- 5.2 In the interim the existing Planning and Implementation Group will continue to work on the proposals and the involvement of all parties.

6. FINANCIAL IMPLICATIONS

- 6.1 There are no immediate financial implications. The present administration of the Planning and Implementation Group is supported through the Social Services Department. This will be reviewed following implementation of proposals for local authority reorganisation and development of Children's Services.

7. RECOMMENDATION

- 7.1 Cabinet to approve proposals for implementation of the Children and Young People's Strategic Partnership.

APPENDIX 1

**PROPOSED MEMBERSHIP OF THE CHILDREN AND YOUNG PEOPLE'S
STRATEGIC PARTNERSHIP**

Children's Services Directorate

LEA Lead

Social Care Lead

Primary School representative

Secondary School representative

Community Services

Neighbourhood Services

Safer Hartlepool Partnership

Police

Probation (NOMS)

Hartlepool Primary Care Trust

Tees and North East Yorkshire NHS Trust (Including CAMHS and Learning
Disability Services)

North Tees and Hartlepool (NHS) Trust

Durham and Tees Valley Strategic Health Authority#

Connexions

Sure Start

HVDA

Independent Sector agencies

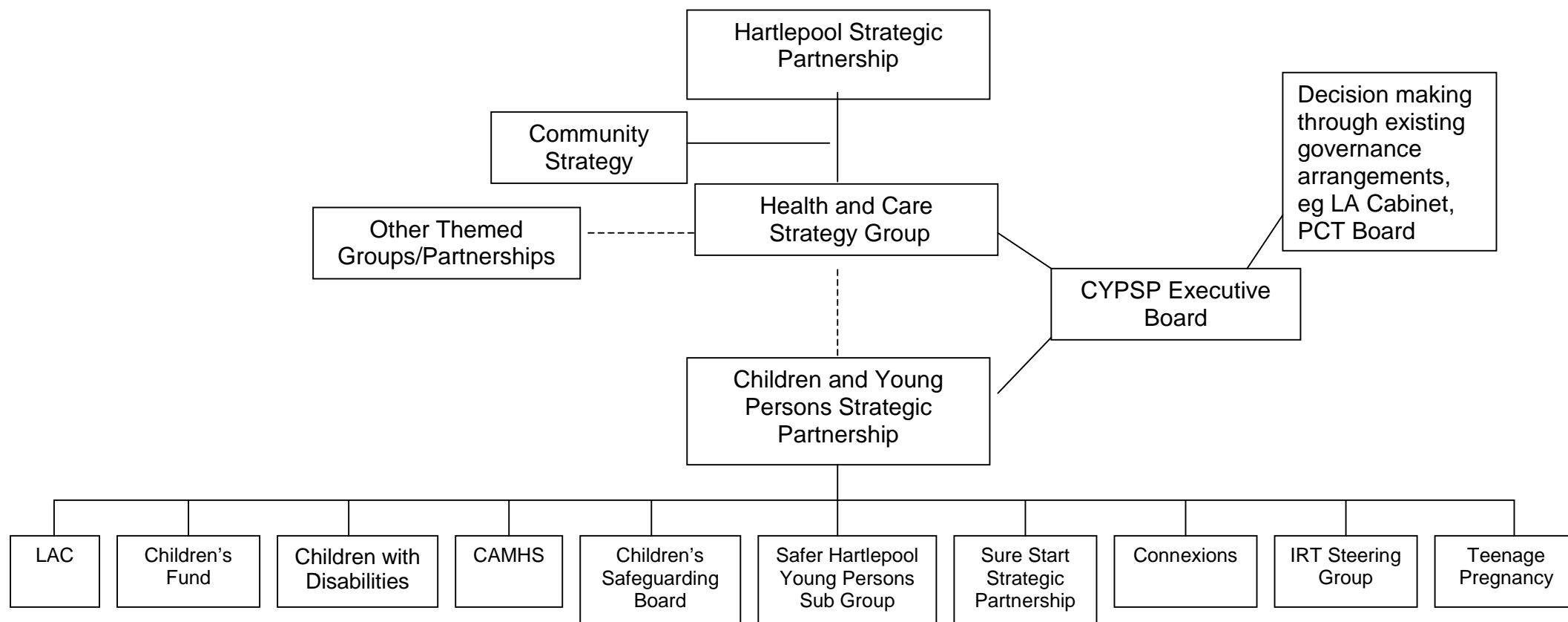
Community representatives

Users and Carers

Chairs of subgroups, if not covered by above representations.

APPENDIX 2

CYPSP Structure and accountability framework



CABINET REPORT

7th November 2005



Report of: Acting Director of Adult and Community Services and
Director of Children Services

Subject: CONSULTATION ON THE MERGER BETWEEN
COUNTY DURHAM & DARLINGTON PRIORITY
SERVICES NHS TRUST & TEES AND NORTH EAST
YORKSHIRE NHS TRUST

SUMMARY

1. PURPOSE OF REPORT

To seek Cabinet's views on the proposed merger between County Durham & Darlington Priority Services NHS Trust and Tees and North East Yorkshire NHS Trust as part of the public consultation.

2. SUMMARY OF CONTENTS

The report outlines the key issues in respect of the merger. The new organisation would provide all the mental health, learning disability and addictive behaviour services that the two organisations currently provide across County Durham and Tees Valley and North East Yorkshire.

The consultation document has been referred to the following planning groups: Mental Health LIT, Older People LIT and the Learning Disabilities Partnership Board.

The consultation period ends on 14 November 2005.

3. RELEVANCE TO CABINET

This is a major organisational change and could impact on Hartlepool residents.

4. TYPE OF DECISION

Non Key

5. DECISION MAKING ROUTE

The decision will be made by Cabinet and Scrutiny

6. DECISION(S) REQUIRED

To note the consultation exercise and to agree a response to the proposals.

Report of: Director of Adult and Community Services (and
Director of Children Services)

Subject: CONSULTATION ON THE MERGER BETWEEN
COUNTY DURHAM & DARLINGTON PRIORITY
SERVICES NHS TRUST & TEES AND NORTH EAST
YORKSHIRE NHS TRUST

1. PURPOSE OF REPORT

- 1.1 To seek Cabinet's views on the proposed merger between County Durham & Darlington Priority Services NHS Trust and Tees and North East Yorkshire NHS Trust as part of the public consultation.

2. BACKGROUND

- 2.1 The attached consultation document highlights the key issues involved in the proposed merger.
- 2.2 The consultation document (attached as **APPENDIX 1**) stresses the commitment to continue to improve services and suggests that the improvement journey would be speeded up by the merger. The new organisation will aim to continue to support and strengthen services that are working successfully and develop these which not yet fully developed.
- 2.3 The argument is made that the merged trust would have the capacity to develop locally the specialist services which are currently provided outside the area.
- 2.4 The requirement for specialised local services was highlighted in the recent strategic specialist review of Health Authority services. It must be stressed to the Trust that these must be accessible for Hartlepool residents (and the necessary transport links available).

The merged trust would also be in a position to bid for foundation trust status.

3. ISSUES

- 3.1 The main arguments made for the merger centre on the following rationale-
- i) The development of services in accordance with the NSFs, Valuing People and other key strategic documents.
 - ii) The ability to recruit and retain highly skilled staff.
 - iii) Provision of locally based integrated services.

- iv) Strengthening the accountability to local people by further developing user involvement.
 - v) Further development of effective relationships with staff.
 - vi) Improvement of local services.
 - vii) Engagement in effective partnerships.
 - viii) Strengthening the area as a centre of excellence.
 - ix) Development of training schemes.
 - x) Minimise the amount spent on overheads.
- 3.2 The document presents the argument for the merger. Local consultation to date has indicated concerns around the importance of maintaining local services and continuation of existing strong local partnership and engagement with service users.
- 3.3 Locally we have an integrated service for mental health and would like to see these arrangements continue and evolve.
- 3.4 Services for people with Learning Disabilities are based on effective partnerships with health community working but no formalised arrangements exist however the Local Authority is the lead agency.
- 3.5 The Local Authority's response to the consultation should cover the following points:
- i) The proposed merger is welcomed as long as the existing partnerships working is maintained and developed.
 - ii) The potential overhead savings should be invested in local services on an equitable basis. The consultation document suggests that they will try to ensure this. It is felt a more robust assurance is required on this point.
 - iii) The advances that have been made locally in developing functional teams (for example for psychosis and personality disorder) should be retained.
 - iv) That work to look at barriers to service provision, including the 65 age cut off, should be examined.
 - v) That specialised services developed in accordance with the consultation document should be easily accessible to Hartlepool residents.


4 RECOMMENDATIONS

- 4.1 That the Cabinet note the report.
- 4.2 That Cabinet agree a response to the consultation proposed merger.



Consultation on Merger

We are consulting on County Durham and Darlington Priority Services NHS Trust and Tees and North East Yorkshire NHS Trust merging to form a new trust. That new trust would provide all the mental health, learning disability and addictive behaviour services currently provided by the two organisations.



Mental illness affects one in four adults at some time during their lives, but good mental health is important to us all.

Up to 1.75 million people in this country have a learning disability, and the number with severe problems is increasing. They all should have opportunities to play a part in their local communities.

Substance misuse costs the UK £4 billion a year, and the 144,000 people receiving treatment nationally are estimated to be just a third of those with an addictive behaviour problem.

Across County Durham, the Tees Valley, and North East Yorkshire people with mental health problems, learning disabilities, or addictive behaviour problems have, for a number of years, been supported by the services provided by our two specialist trusts – County Durham and Darlington Priority Services NHS Trust, and Tees and North East Yorkshire NHS Trust.

We are both successful organisations committed to providing the best possible services for local people. Thanks to the skills and commitment of staff in both trusts we have strong records of setting up and developing services in line with national guidelines and the needs of the people we together support.

If we are to continue that progress and provide local people with greater access to a wider range of services, we believe that we must now together look to the future. Both County Durham and Tees Valley Strategic Health Authority, and North and East Yorkshire and Northern Lincolnshire Strategic Health Authority support our move to get ready for the future.

During June and July 2005 we talked to lots of different people with an interest in our services, including service users and carers, staff, our patient and public involvement forums, local authorities, primary care trusts, and MPs.

We explained how the national reforms being introduced in the NHS would impact on local mental health, learning disability and addictive behaviour services. We talked to them about the need for change so we can create a viable, sustainable organisation able to prosper and offer the best possible local services in the reformed NHS.

We also asked them about whether we should:

- Stay as two separate trusts, or
- Work together in closer partnership, or
- Come together to form one new trust.

We have also considered other options, including working with other trusts in the region.

During the discussions we made it clear that we were willing to consider any option that would give the organisational structure which could successfully provide and develop the services local people need now and in the future.

People recognised that we need to change, and so they quickly discounted the option of the two organisations continuing to develop separately, neither was greater partnership working supported as an option.

What was clear from the talks was that people supported the two trusts formally consulting on the option of:

- the two organisations coming together to form a new trust that would provide all the mental health, learning disability and addictive behaviour services we currently offer in County Durham, the Tees Valley, and North East Yorkshire.

Throughout the discussions there were a number of areas highlighted as needing to be maintained and built on by any new trust that would be formed. They include:

- access to local services for local people,
- existing strong working partnerships
eg. integrated health and social care teams,
- effective user, carer and staff involvement.

We have talked to, and listened to the views of many groups and individuals. Now we want to hear formally what you think about this option for organisational change that will not affect the way services are currently delivered, but will create a new organisation to provide all the current mental health, learning disability and addictive behaviour services across County Durham, the Tees Valley and North East Yorkshire.

County Durham and Darlington Priority Services NHS Trust



Sandy Taylor
Chief Executive



Jo Turnbull
Chairman

Tees and North East Yorkshire NHS Trust

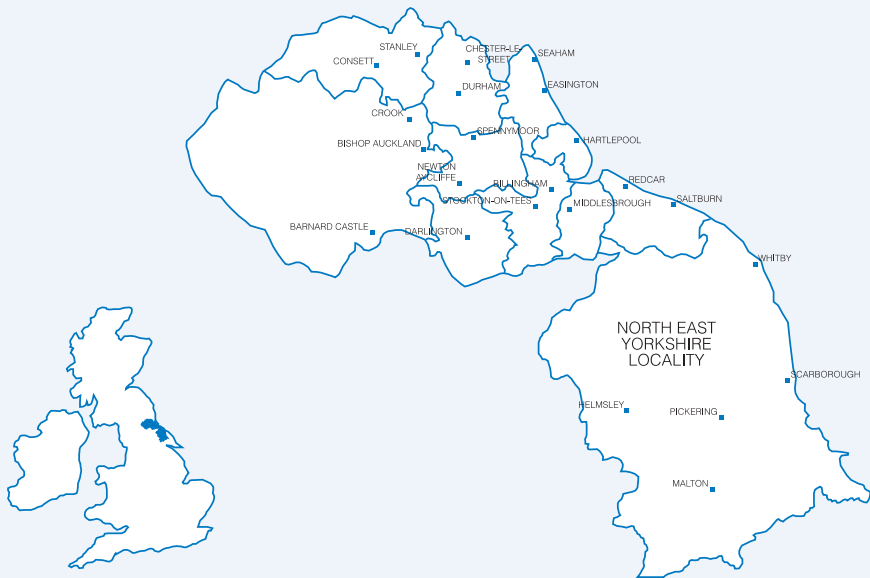


Moira Britton
Chief Executive



Eileen Grace
Chair

Background Information on the Trusts



Coming together to form a new trust would involve all the existing services currently provided by the two trusts across County Durham, the Tees Valley, and North East Yorkshire.

The new trust would continue with any service developments or plans for better facilities that have already been approved by the two current trusts.

County Durham and Darlington Priority Services NHS Trust was created in 1998. It employs over 1,900 staff and delivers services from more than 80 facilities spread across a geographic area of 950 square miles. The trust provides a range of services including:

- child and adolescent mental health specialist services;
- adult mental health inpatient facilities, community services, day hospitals, out patient services and prison in-reach services;
- older people's mental health inpatient facilities, community services, day hospitals and out patient services;
- adult learning disability assessment and treatment, inpatient facilities, behavioural therapies and outreach services;
- substance misuse specialist services;
- therapies and psychology to support each of the above services.

Together with community services, the major inpatient facilities from which current services are delivered include:

- County Hospital, Durham, for adult mental health services;
- Derwent Clinic, Shotley Bridge Hospital (including Allensford ward) Derwentside, for adult and older people's mental health services;
- Earls House, Durham for older people's mental health services and learning disability services;
- West Park Hospital, Darlington, for adult and older people's mental health services;
- Auckland Park Hospital, Bishop Auckland, for older people's mental health services.

The trust is nearing the end of an extensive modernisation programme across Darlington and County Durham. Over the past five years we have opened new mental health facilities in Durham, Bishop Auckland and Darlington, and strengthened and developed clinical services to support these developments.

In June 2005 we were also given approval to develop a £23m hospital for the north of County Durham to replace the County Hospital in Durham and the Allensford ward at Derwent Clinic, Shotley Bridge.

Tees and North East Yorkshire NHS Trust was formed in 1999. It employs over 3,000 staff working from more than 70 sites, serving a population of 800,000 people.

The trust provides a range of services including:

- mental health and addictive behaviour services for the adults and older people of Easington, Hartlepool, Stockton, Middlesbrough, Redcar & Cleveland, Scarborough, Whitby and Ryedale;
- child and adolescent mental health services for the people of Hartlepool, Stockton, Middlesbrough, Redcar & Cleveland, and North East Yorkshire;
- learning disability services for the people of Hartlepool, Stockton, Middlesbrough, and Redcar & Cleveland;
- some specialist mental health and learning disability services for other parts of northern England.

Together with community services the main inpatient bases from which current services are delivered are:

- St. Luke's Hospital in Middlesbrough for adult and older people's mental health services, forensic mental health and learning disability services;
- West Lane Hospital in Middlesbrough for child and adolescent mental health services;
- the mental health unit at the University Hospital of Hartlepool for adult and older people's mental health services;
- Bankfields Court in Normanby, Middlesbrough for learning disability services;
- Cross Lane Hospital in Scarborough for adult and older people's mental health services.

The trust is currently modernising many of its buildings as part of its Ad>ance programme. This will mean, that thanks to £8.4m of NHS funding, in 2006 there will be:

- a new purpose-built unit in Hartlepool for adult and older people's mental health services,
- a new purpose-built unit in Stockton for older people's mental health services,
- a new assessment and treatment unit in Stockton for people with learning disabilities,
- a new rehabilitation unit in Middlesbrough for adults with mental health problems.

Also in 2009 we plan to open a number of specialist mental health and learning disability units as part of an £80m scheme to replace St. Luke's Hospital.

The Case For Organisational Change

The Boards of County Durham and Darlington Priority Services NHS Trust and Tees and North East Yorkshire NHS Trust would not consider change if we did not believe that it would ultimately improve services for local people.

Together we plan to speed up the journey towards improving local services. The first step on that journey involves looking at the best form of organisation for managing all the mental health, learning disability and addictive behaviour services currently provided across County Durham, the Tees Valley and North East Yorkshire. That organisational change is what we are now formally consulting on.

Once the best possible organisation is in place to manage services then we can rapidly begin the second step on the journey to speed up the delivery of improved care. This would involve:

- strengthening those already successful local services that are valued by service users and carers;
- improving those services that need further development, and
- looking to set up services locally that people need but which are not now available in the area currently served by the two trusts.

These improvements would be made with the full involvement of our service users, carers, staff, partner organisations, and the public. Any service change would involve further wide ranging consultation.

The Challenges We Face

Mental health, learning disability and addictive behaviour services are facing many local and national challenges to provide the best possible care.

Over the past seven years the NHS in England has been on a journey of major improvement with significant increases in funding for health services. There has also been a greater national focus on mental health, learning disability, and addictive behaviour services as the result of:

- The NHS Plan
- National Service Framework for Mental Health
- National Service Framework for Older People
- Valuing People, the White Paper on Learning Disabilities
- National Service Framework for Children's Services
- Independence, Well-being and Choice, the Green Paper on adult services.

The NHS is now focusing on giving individuals more responsive, convenient and personal services, with people having greater choice about how, where, and when they are treated. The NHS wants to give better support to people with life long illnesses and conditions, including some mental health problems.

Despite the greater focus on specialist health services in recent years, the pressure on NHS spending is increasing. We are unlikely to see the big rises in funding in the future that we have seen over the last seven years. As a result it will become even more important for us to focus on more effective and efficient ways to maintain the high local and national profile of mental health, learning disability and addictive behaviour services to make sure they get the ongoing funding and support they need, as well as to tackle the stigma that affects the lives of so many of our service users and their carers.

Successful NHS trusts, including those providing mental health, learning disability and addictive behaviour services, are being encouraged to move towards Foundation Trust status. This gives the opportunity for thousands of people, as Foundation Trust members, to have a greater say in what services are available locally, as well as how and where they are provided.

Foundation Trusts also have more freedom than standard NHS trusts to increase efficiency, allowing them to reinvest savings in growing their services to meet local needs and national guidance.

Our two trusts currently serve some of the most deprived communities in the country, with higher than national averages of mental health problems. The challenges we face have been acknowledged by the local primary care trusts that pay for our services. They have increased the money we receive so we can provide more services in local communities, and they have supported our plans for modernising our buildings and services to meet national targets and local needs.

Recently there was a review of specialist mental health and learning disability services in County Durham and the Tees Valley. It found that millions of pounds each year are spent on people receiving specialist care outside the area because some services are just not available here.

Alone both trusts believe they would find it very difficult to:

- Develop the specialist services needed locally and highlighted in the review,
- Become successful Foundation Trusts,
- Maintain the high local and national profile of mental health, learning disability and addictive behaviour services.

Across the country a number of trusts that provide mental health, learning disability, and addictive behaviour services are now also considering their futures, and whether coming together to form larger trusts will help them to more effectively meet the national and local challenges.

Benefits of a Merged Trust

When thinking about the reasons for coming together to form a new trust we believe that such a move would give us the critical mass to also help to:

Develop and deliver services - in line with National Service Frameworks, Valuing People, and the wide range of national priorities and strategies. Also to work with our service commissioners, partner organisations, service users and carers to develop and deliver services to meet the local needs of people in every part of County Durham, the Tees Valley, and North East Yorkshire.

Recruit and retain the highly skilled staff - needed to provide local specialist services at a time when nationally there is a shortage of staff with the skills to provide the best mental health, learning disability and addictive behaviour services. Both trusts have been relatively successfully in recruiting and retaining staff over the years, but as we develop more new services in the future we will need to get better. One trust providing a wider range of service would offer a greater range of career development and training opportunities for all staff.

Provide locally based integrated services for local people - Both trusts have good records of developing integrated health and social care services that any new trust would want to maintain and develop. One trust for the whole area would have greater opportunities to develop new specialist services, giving local people more choice about how and where they are cared for.

Strengthen our accountability to local people - by further developing partnership arrangements with service users, carers, advocates and the public in all parts of County Durham, the Tees Valley and North East Yorkshire to make sure they are involved in and influencing the development and delivery of local services.

Further develop effective relationships with staff - We have both successfully built good relationships with our staff and their representatives, in line with the best employment practices. Any new trust would want to maintain and develop those relationships.

Staff may be concerned about how any organisational change will affect their jobs. This proposed move is about having the best organisation able to provide and develop the highest quality services in the future. The proposed merger will not impact on clinical jobs and we will do our best to minimise the impact of any change on the jobs of all the staff currently employed by both trusts.

Improve the quality and range of local services - coming together to form a new trust is the first step in a journey towards further improving local services, so that wherever someone lives in County Durham, the Tees Valley, and North East Yorkshire they will have the same choice of a wide range of high quality specialist services designed to meet their needs.

Engage in effective partnerships - with commissioners, local authorities, independent health service providers, voluntary organisations, and neighbouring trusts so that together we can provide a wider range of high quality services for local people.

Improve services to achieve excellence in care - in all parts of the County Durham, the Tees Valley and North East Yorkshire. Neither trust would be considering organisational change if we did not think it would lead to further improvements in the quality of local services.

Strengthen the area as a centre of excellence for research and development - in mental health, learning disability and addictive behaviour services by creating a range of academic posts, and developing a specialist research centre with the University of Durham. The opportunities this would provide will help to attract highly skilled staff to the area.

Develop training schemes - to specifically meet the needs of our staff who work in the services we currently provide, and those who will work in the new specialist services we want to develop in the future. One specialist trust covering County Durham, the Tees Valley and North East Yorkshire would have greater influence on local universities to set up the training schemes our staff will need to further develop their skills in the future.

Minimise the amount spent on overheads - while maximising the amount spent on mental health, learning disability and addictive behaviour services. Both trusts have strong records of successfully managing their budgets so we are not proposing coming together to form a new trust in order to save money. This move is about having the best possible organisation to provide and develop the highest quality services in the future.

However in coming together to form one organisation there may be some efficiencies, for example, there will be only one Trust Board with one group of directors instead of the current two. Whatever savings we are able to make by coming together we will try to make sure that they are reinvested in local mental health, learning disability and addictive behaviour services.

Making A Decision

We believe that coming together to form a new trust is the best way to make sure we can continue to provide and develop the mental health, learning disability and addictive behaviour services that the people of County Durham, the Tees Valley and North East Yorkshire need.

We have set out our reasons for believing that this is the best way forward, but before we make any decision on the future of the two trusts we want to know what you think about how local services should be managed in the future.

We have been advised by the Department of Health that as the option for organisational change we are consulting on will not initially result in changes to patient services we should carry out the consultation under the NHS Trusts (Disestablishment and Establishment) Regulations 1996. This means that we are only obliged to consult with staff, staff representatives and the trusts' Patient and Public Involvement Forums.

However both trusts are committed to the effective involvement of service users, carers, staff, the public and partner organisations in all aspects of their work so we have decided to widen our formal consultation.

Between August 15 and November 14, 2005, we will be talking to our staff, service users, carers, partner organisations, and the public about our proposal for the two trusts to come together to form one new organisation. This is a 13 week consultation period, a week longer than the statutory 12 week consultation period to take the summer holidays into account.

Their views will genuinely help us to shape the way local services are managed. Now you can tell us what you think by:

- Completing the tear off slip at the back of this document and sending it to us, or
- Logging onto our websites -www.peoplikeus.nhs.uk or www.cddps.nhs.uk

- Inviting representatives of the trusts to meet you or a group of people to discuss the option for change, or
- Attending one of a number of public meetings that will be held across County Durham, the Tees Valley, and North East Yorkshire. They will be advertised widely in local newspapers, trusts' premises, local libraries and on our websites – www.peoplelikeus.nhs.uk or www.cddps.nhs.uk
- contacting the project manager – Caroline Parnell at Flatts Lane Centre, Flatts Lane, Normanby, Middlesbrough, TS6 0SZ; tel: 01642 516461; fax 01642 516460, or email: caroline.parnell@tney.northy.nhs.uk

At the end of the consultation all your comments, views and questions will go to the Boards of the two trusts for them to decide whether they should go ahead with the proposal to come together to form one new trust.

If there is support for the move then the two trusts will make a recommendation to County Durham and Tees Valley Strategic Health Authority in December 2005.

If the strategic health authority supports the creation of one new trust then an application document will be sent to the Secretary of State for Health in January 2006. Subject to the Secretary of State's approval the two existing trusts would be disestablished and a new trust created in April 2006.

Any trust created as a result of this option of organisational change would be obliged to carry out wide consultation in line with the Health and Social Care Act: Strengthening Accountability if it should want to make any changes to local services in the future.

Getting to know what decision has been made

All comments, views and questions raised during the formal consultation, with responses from the trusts, will be posted on the trusts' websites - www.peoplelikeus.nhs.uk and www.cddps.nhs.uk – after the formal consultation period.

We will also use the websites to give details of the decisions then made by the Trusts Boards, strategic health authority, and Secretary of State for Health. If you do not have access to a computer give the project manager your contact details and she will be happy to keep you up to date with what is going on.

We will use the local media to share information with the public about any decisions on change that may be made. We will also run articles in the trusts' publications and offer information to service user and carer newsletters and websites.

Questions and answers

We have already had informal talks with many groups and individuals about our future. Here are some of the questions they have asked and our responses. They may help you to form a view, make a comment, or ask further questions about our proposal:

Will staff be made redundant?

Staff may be concerned about how any organisational change will affect their jobs. This proposed move is about having the best possible organisation to provide and develop the highest quality services in the future. The proposed merger will not impact on clinical jobs, and we will do our best to minimise the impact of any change on the jobs of all the staff currently employed by both trusts.

Will there be cost savings?

Both trusts have strong records of successfully managing their budgets so we are not proposing coming together to form a new trust in order to save money. This move is about having the best possible organisation to provide and develop the highest quality services in the future.

However in coming together to form one organisation there may be some small savings, for example, there will be only one Trust Board with one group of directors instead of the current two. Whatever savings we are able to make by coming together we will try to ensure that they are reinvested in local mental health, learning disability and addictive behaviour services.

Will local services remain or will service users have to travel further?

Both trusts are committed to having a wide range of services available locally. This is in line with national policies and local needs. The proposal to come together to form a new trust would not involve moving or changing any existing service, neither would it affect any new services already agreed.

If the two organisations did merge to form a new trust any major change to current services that the new organisation may want in the future would be subject to separate wide ranging formal consultation.

One of the reasons for proposing the creation of a single new trust is to be better able to develop more local specialist services so that people who are having to travel outside their local area would, in the future, have a choice to be treated in this area.

Transport is an issue for many of our service users and carers, and we are currently working with our partners in local authorities, neighbouring trusts and public transport companies to try to improve the transport infrastructure in many parts of County Durham, the Tees Valley and North East Yorkshire.

How will services be managed?

If the two organisations did come together to create a new trust, then it would be the responsibility of the Board of that new trust to decide how services should be managed. Any new trust would need to recognise the importance of having a management structure able to respond to the needs and priorities of the people living in the different geographic areas that it will cover.

Isn't one big trust going to be too remote and impersonal?

It is the organisation best able to manage and further develop services in the future that is the subject of the proposal on which we are now formally consulting. The staff providing services in the communities served by the two existing trusts, and the services they deliver would not be affected by this proposal. The personal contact our staff have with service users and carers would stay the same. The future development of services under any new trust would be built upon the effective relationships that our staff have with service users and carers.

Why make an organisational change now?

We could wait to make any changes. However both County Durham and Darlington Priority Services NHS Trust and Tees and North East Yorkshire NHS Trust believe that local people should not have to wait for a wider range of improved services. We believe that if by now considering the best organisational structure we can more rapidly develop and improve local services then we have a public duty not to delay.

Will an organisational change now stop services moving to other trusts in the future?

The NHS has changed rapidly over the last seven years to improve services, and that change is likely to continue. The proposal of the two trusts merging to form a new organisation reflects similar changes going on across the country. If the proposal is supported and goes ahead, it does not stop future discussions about the best way for local services to be provided.

Where will the headquarters of any new trust be?

If the two organisations did merge to create a new trust, then it would be the responsibility of the Board of that new trust to decide where the organisation's headquarters would be.

Although all trusts need a base, what is more important is how services are managed locally and delivered to meet the needs of local people.

How will service users and carers be involved in the work of any new trust?

Both trusts are committed to, and have seen the benefits of, effectively involving service users and carers in all aspects of their work. Any new organisation would want to maintain, and build on the strong foundations of involvement already laid by the existing trusts. It would be the duty of the new organisation to talk to service users and carers across County Durham, the Tees Valley, and North East Yorkshire about how they wanted to be involved in the workings of any new trust.

How will this option affect existing development plans eg Advance or the replacement of the County Hospital in Durham?

Both trusts are committed to ensuring that any change does not affect already agreed service developments. We have both given public commitments about our plans to improve services and the buildings from which we work, and they would be honoured by any new trust.

How will local people know that any new trust had achieved its aims?

There are a number of ways of assessing and monitoring the performance of all NHS trusts, and any new organisation would be subject to those measures. Commissioners of services hold trusts responsible for the delivery of services to agreed three year plans. There are now annual patient and staff surveys, and from next year the annual star ratings will be replaced by a Health Check based on Standards for Better Health. Local authority overview and scrutiny committees and trust's patient and public involvement forum will have a role to play in signing of the organisation's annual Health Checks.

Proposal

Should County Durham and Darlington Priority Services NHS Trust and Tees and North East Yorkshire NHS Trust come together to form a new trust providing all the current services in County Durham, the Tees Valley and North East Yorkshire?

My comment, view, or question is:

[continued...](#)



Send your completed slip to:

Caroline Parnell
Flatts Lane Centre,
Flatts Lane,
Normanby,
Middlesbrough,
TS6 0SZ

By November 14, 2006

To help keep you up to date with the latest
news about the consultation and all decisions
made please give us the following
information:

Your Name:

Telephone number:

Address:

Email address:

This document is available in other formats, including large print, other languages, and audio, on request to Caroline Parnell, project manager, on 01642 516461 or caroline.parnell@tney.northy.nhs.uk

CABINET REPORT

7th November, 2005



Report of: Assistant Chief Executive and Chief Financial Officer

Subject: QUARTER 2 – CORPORATE PLAN PROGRESS
AND REVENUE BUDGET MONITORING REPORT
2005/2006

SUMMARY

1. PURPOSE OF REPORT

1.1 To inform Cabinet of: -

- the progress made towards achieving the Corporate Plan service improvement priorities (SIPs) in order to provide timely information and allow any necessary decisions to be taken;
- to provide details of progress against the Council's overall revenue budget for 2005/2006.

2. SUMMARY OF CONTENTS

2.1 The report describes progress towards achieving the service improvement priorities using the traffic light system of Green, Amber and Red. The report provides an overview of Council performance, with appendices 1 to 6 providing more detailed information for each Portfolio Holder to consider.

2.2 The Revenue Budget Monitoring report covers the following areas:

- Progress against departmental and corporate budgets and High Risk Budget Areas;
- Progress against saving/increased income targets identified in the 2005/2006 Budget Strategy;
- Progress against departmental salary turnover targets;
- Key Balance Sheet information;
- Outturn Presentation in 2005/2006 Statement of Accounts.

3. RELEVANCE TO CABINET

3.1 Cabinet has overall responsibility for the monitoring of the Council's Corporate Plan and the Revenue budget.

4. TYPE OF DECISION

4.1 None.

5. DECISION MAKING ROUTE

Cabinet 7th November, 2005

6. DECISION(S) REQUIRED

Cabinet is asked to note the report.

Report of: Assistant Chief Executive and
Chief Financial Officer

Subject: QUARTER 2 – CORPORATE PLAN
PROGRESS AND REVENUE BUDGET
MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

- 1.1 To inform Cabinet of the progress made towards achieving the Corporate Plan service improvement priorities and of progress against the Council's own 2005/2006 Revenue Budget for the period to 30th September, 2005.

2. BACKGROUND

- 2.1 Previously performance information and revenue monitoring information were reported separately to Cabinet. As agreed at Cabinet on 22nd August, 2005, the information has been integrated to form one report that will allow Cabinet to consider performance and expenditure together.
- 2.2 This will also address the requirements of the Comprehensive Performance Assessment (CPA), to be completed in 2006/2007, which will, amongst other things, assess the extent to which the Council's "performance management is integrated with the management of resources (finance, people and IT), so that resources follow priorities whilst retaining the flexibility to move resources around to respond to performance issues".
- 2.3 This report and Appendices 1-6 (blue pages) containing more detailed information by Portfolio, will be split into two main sections. The first section will look in detail at the performance and progress on service improvement priorities and key performance indicators. The second section will look in more detail at the progress made against the Council's own 2005/2006 Revenue Budget.
- 2.4 Capital expenditure, Neighbourhood Renewal Fund (NRF) expenditure and expenditure where the Council acts as Accountable Body are detailed in a separate report elsewhere on the Agenda. To ensure that Members are not presented with an overly complex report it has been necessary to separate Revenue monitoring from the other monitoring information.

- 2.5 This report will be submitted to Scrutiny Co-ordinating Committee on 25th November, 2005. This will ensure that Scrutiny Committee are able to review the report at the earliest opportunity.

3. PERFORMANCE AND PROGRESS ON SERVICE IMPROVEMENT PRIORITIES AND KEY PERFORMANCE INDICATORS

- 3.1 The Council identified 155 service improvement priorities (SIPs) for 2005/2006 with specific milestones, and 80 key performance indicators (KPIs) as measures of success in the 2005/2006 Corporate Plan.
- 3.2 It has been necessary to split a number of the SIPs as they were too complex to be maintained and reported, as one overall priority. As a result there are now 178 SIPs, although the number of KPIs has remained the same.
- 3.3 A number of service improvement priorities relate directly to the negotiation of a Local Public Service Agreement (LPSA2) with the Government. Progress on negotiating LPSA2 targets has been delayed due, mainly, to the Government transferring negotiations from the Office of the Deputy Prime Minister (ODPM) to Government Office North East (GONE). This is to ensure that the LPSA2 is negotiated as part of our Local Area Agreement, with the intention of commencing both in April 2006.
- 3.4 As a result of this all actions relating to the LPSA2 are assessed as being Red, or 'below target' but are not included in the overall assessment of performance.
- 3.5 Overall performance is good with 74% of the SIPs and 55% of the KPIs (where a judgement can be made) judged to be either on or above targets. Tables 1 and 2 below summarise officers' views on progress as at 30th September, 2005, for each Portfolio Holder's responsibilities.
- 3.6 When compared to performance in quarter 1, reported to Cabinet on 22nd August, 2005, the percentage of SIPs assessed as being either on or above target has reduced from 75% to 74%. However, the number of SIPs has actually increased from 116 in quarter 1, to 121 in quarter 2. The increase in the number of SIPs, as previously explained, has led to this occurring.
- 3.7 The percentage of KPIs that have been assessed as being on or above target has risen slightly to 55%, from 52% in quarter 1.

Table 1 – Progress on Service Improvement Priorities

Portfolio	SIPs by Traffic Light					
	Red		Amber		Green	
	No.	%	No.	%	No.	%
Regeneration and Liveability	3	7%	12	28%	28	65%
Culture Housing and Transportation	2	8 %	3	12%	21	81%
Children's Services	4	21%	2	11%	13	68%
Adult Services and Public Health	3	13%	7	29%	14	58%
Finance	1	8%	3	23%	9	69%
Performance Management	3	7%	2	4%	41	89%
Total	16	9%	29	17%	126	74%

*figure may not always add to 100% due to rounding.

Note: Definition of traffic lights: -

- **Red:** Below target (i.e. has not been, or is unlikely to be, achieved by milestone);
- **Amber:** Unsure (i.e. achievement by milestone is uncertain);
- **Green:** On or above target (i.e. has been, or is likely to be, achieved by milestone).

Table 2 – Progress on Key Performance Indicators

Portfolio	KPIs by Traffic Light					
	Red		Amber		Green	
	No.	%	No.	%	No.	%
Regeneration and Liveability	0	-	1	14%	6	86%
Culture Housing and Transportation	1	20%	2	40%	2	40%
Children's Services	4	33%	0	-	8	67%
Adult Services and Public Health	0	-	4	80%	1	20%
Finance	0	-	2	100%	0	-
Performance Management	0	-	1	50%	1	50%
Total	6	18%	9	27%	18	55%

Note: Definition of traffic lights: -

- **Red:** Below target (i.e. not likely to achieve year-end target);
- **Amber:** Unsure (i.e. achievement of year end target is uncertain);
- **Green:** On or above target (i.e. likely to achieve year-end target).

- 3.8 It should be noted that a number of KPIs are only assessed and monitored once a year and are therefore not included in Table 2, above, or any of the summary analysis.
- 3.9 The strategic improvement priorities and key performance indicators judged to be below target and therefore at significant risk of not being completed by the milestone or achieving the target agreed by the Council are set out for each Portfolio Holder in the attached appendices.
- 3.10 Key areas of progress included: -
- Recycling has increased in pilot areas by 45% and town-wide by more than 20% since kerbside recycling has been introduced.
 - Thirty three Tall Ships called at Hartlepool over the three days Tall Ships festival that was held in July as an integral part of the Newcastle/Gateshead Tall Ships Festival. Many of the ships stayed in Hartlepool for the full three days and over 175,000 visitors attended the event.
 - GCSE exam results indicated a rise in both the number of A* - G passes (up 3% from last year) and number of A* - C passes (up 4% from last year).
 - The number of adults across the Borough participating in basic skills classes is more than double the target – 535 adults in 2004/2005 (academic year) compared to the target of 260.
 - Initial budget proposals for 2006/2007 have been approved and issued for Consultation.
 - A new “e-consultation” system has been implemented enabling consultation exercises to be conducted electronically. The Employee survey to be conducted, using this method where appropriate, in November, 2005.

4. REVENUE MONITORING 2005/2006

- 4.1 As indicated in the previous Budget Monitoring report the arrangements for monitoring the revenue budget have been developed and this report now provides details covering the following areas: -
- Progress against departmental and corporate budgets and High Risk Budget Areas;
 - Progress against saving/increased income targets identified in the 2005/2006 Budget Strategy;
 - Progress against departmental salary turnover targets;
 - Key Balance Sheet information;
 - Outturn Presentation in 2005/2006 Statement of Accounts.

4.2 **Progress Against Departmental and Corporate Budgets and High Risk Budget Areas**

- 4.3 In previous years the Authority's Budget Monitoring arrangements have not specifically identified high risk budget areas. Budget Monitoring procedures have previously been focused on monitoring individual departmental and corporate budgets at a global level. These procedures need to be maintained to ensure all areas of expenditure are monitored throughout the year. In addition, the Authority needs to explicitly monitor the position on high risk budget areas which would have significant impact on the Authority's overall financial position if actual expenditure/income levels are not in line with budget forecasts. The areas identified as high risk budgets are attached at Appendix A, which indicates that there are significant variances on a number of the departmental budgets. However, it is currently anticipated that the adverse variances on these budgets will largely be offset by favourable variances on other departmental budgets, as detailed in the following paragraphs. Detailed explanations of these areas are in Appendices 1-6 (blue pages).
- 4.4 Detailed revenue monitoring reports are attached at Appendices 1-6. These reports are prepared on a Portfolio basis to enable each Portfolio Holder to readily review their area of responsibility. However, the Council's budget is monitored on a departmental basis and therefore, the Portfolio reports are summarised by departments at Appendix B, Table 1. In total they show a favourable variance to date of £1,414,800 and a projected outturn favourable variance of £714,400. With the exception of Neighbourhood Services all departments are expected to be broadly in line by the year-end.
- 4.5 Neighbourhood Services Department is currently forecasting an overall adverse outturn variance of £248,300. Officers are currently reviewing the situation and this will either be absorbed within the overall Neighbourhood Services budget or if this is not possible will need to be carried forward as a managed overspend.
- 4.6 The previous budget monitoring report to Cabinet on 22nd August, 2005, indicated that there would be an adverse variance for Children's Services Portfolio at the year-end. This is owing to pressures on the placement budget for specialist placement and independent fostering. This budget is currently forecasting an adverse variance at outturn of £530,000. However, this is offset by underspends on Agency Placements and Flint Walk. Therefore, the forecast variance for this area at outturn is £79,700 adverse. This amount will be funded from the reserve set aside as part of the 2004/2005 Outturn Strategy agreed by Council on 23rd June, 2005.

4.7 **Progress Against Savings/Increased Income Targets Identified in the 2005/2006 Budget Strategy**

A number of savings/increased income targets are included in the 2005/2006 Budget Strategy. These items are summarised below, together with comments on progress to date.

<u>Budget Description</u> <u>£'000</u>	<u>Value</u>	<u>Current Position</u>
Restructure Saving	300	Following the recent appointment of the remaining new Director a strategy for delivering these savings on a sustainable basis is being developed. As an interim measure for 2005/2006 this saving will largely be achieved from salary savings arising from higher vacancy levels.
Efficiency Saving	200	This saving arises from the implementation of the mobile benefits initiatives and is on target to be achieved at the year end.
Increased Income Targets	175	Charges for a number of areas for 2005/2006 have increased. It is anticipated that the income targets will be achieved at the year-end. There is a risk that the increase in Home Care charges will not be achieved by the year-end. Any shortfall will be managed within the department's own budget.
Increase in Salary Turnover Target	150	The amount has been incorporated with departmental budgets and is on target. Further details are provided in the following section.

4.8 **Progress Against Departmental Salary Turnover Targets**

An assumed saving from staff turnover is included within salary budgets and this allowance was increased by £0.15m from 2005/2006. Details of individual department's targets are summarised in the following table. With the exception of

Neighbourhood Services, it is anticipated that the target for 2005/2006 will be achieved by the year-end. Neighbourhood Services is currently anticipating that they will not achieve their turnover target and this is reflected in the forecast outturn identified in paragraph 4.5.

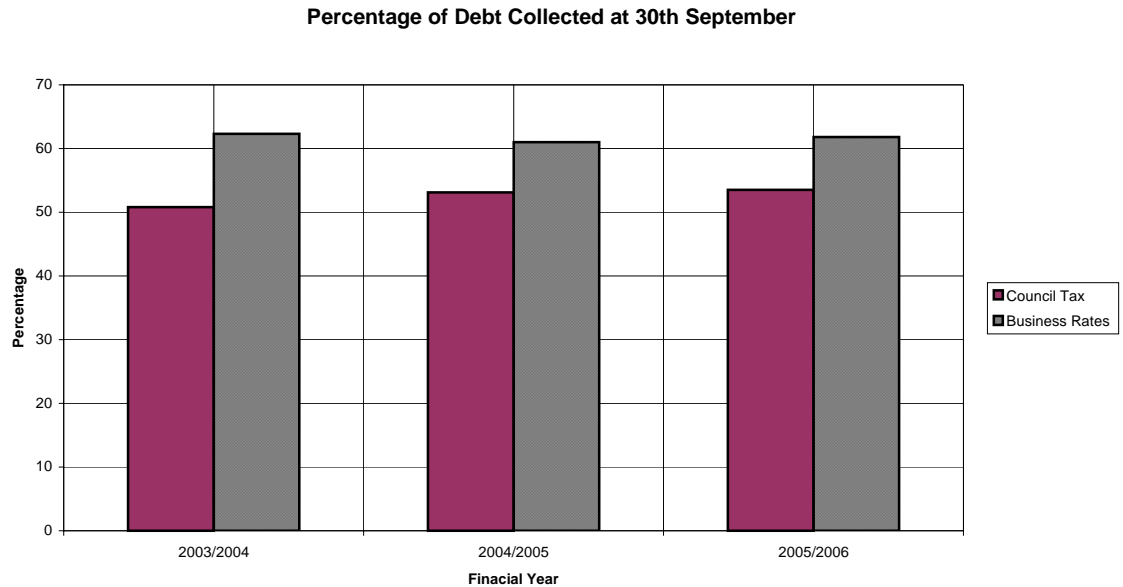
	2005/2006 Turnover Target	Expected to 30.09.05	Actual to 30.09.05	Variance (Adverse)/ Favourable to 30.09.05
Department	£'000	£'000	£'000	£'000
Adults & Community Services	233.7	113.0	116.0	3.0
Children's Services	179.8	101.1	103.0	1.9
Neighbourhood Services	119.7	59.9	0	(59.9)
Regeneration & Planning	61.8	30.9	52.0	21.1
Chief Executives	146.3	73.1	88.6	15.5
Total	741.3	378.0	359.6	(18.4)

4.9 Key Balance Sheet Information

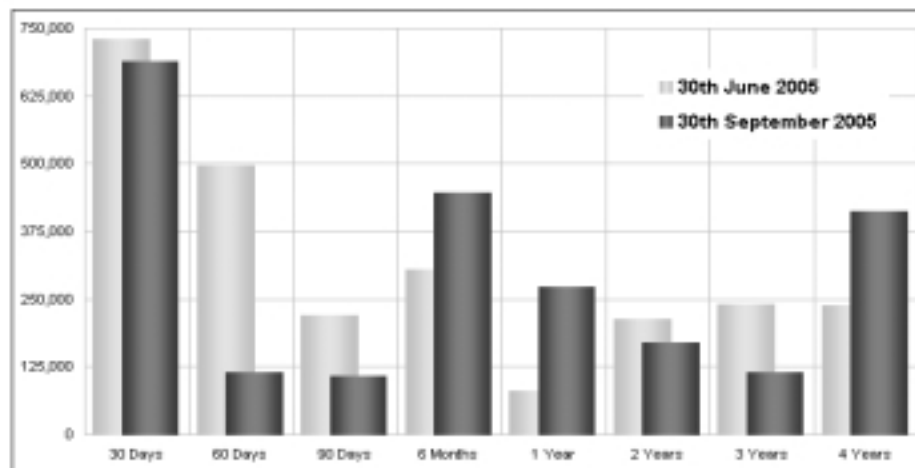
A Balance Sheet provides details of an organisation's assets and liabilities at a fixed point in time, for example, the end of the financial year or other fixed accounting periods. Traditionally local authorities have only produced a Balance Sheet on an annual basis and have managed Key Balance Sheet issues through other more appropriate methods. However, under the new CPA arrangements there is a greater emphasis on demonstrating effective management of the Balance Sheet. The Audit Commission's preferred option is the production of Interim Balance sheets throughout the year. In my opinion this option is neither practical nor beneficial as a Local Authority Balance Sheet includes a large number of "notional" valuation for an Authority's fixed assets and pension liabilities. It is therefore more appropriate to monitor the key cash based Balance Sheet items and these items are summarised below: -

- Debtors

The Council's key debtors arise from the non payment of Council Tax, Business Rates and Sundry Debtors. These areas are therefore subject to detailed monitoring throughout the year. The position on Council Tax and Business Rates is summarised below:



The position in relation to Sundry Debtors is summarised below:



At the start of the current financial year the Council had outstanding sundry debts of £1.471m. During the period 1st April, 2005 to 30th September, 2005, the Council issued approximately 6,157 invoices with a value of £7.406m. Together these two amounts total £8.877m. As at 30th September, 2005, the Council had collected £6.555m.

- **Current Year Debt**

With regard to current outstanding debt, this totals £1.358m at 30th September, 2005, inclusive of approximately £0.689m of debt outstanding for less than thirty days.

- Previous Years Debt

These debts relate to the more difficult cases where court action or other recovery procedures are being implemented. At the 30th September, 2004, debts older than one year totalled £964,000 compared to £795,000 at 31st March, 2005.

- Borrowing Requirements

The Treasury Management Strategy provides the framework for managing the Council's borrowing requirement. At 31st March, 2004, the majority of the Council's external debt was held as short term loans. This position reflected the action taken to secure interest savings from the stock transfer process and the lower interest costs of short term loans compared to long term loans at that time. Action has now been taken, in accordance with the trigger points defined in the Treasury Management Strategy to replace maturing short-term loans with fixed rate, 25 to 30 years, loans with rates of 4.55% to 4.6%. This action has secured the £1m saving built into the base budget from 2005/2006.

4.10 **Outturn Presentation in 2005/2006 Statement of Accounts**

The previous paragraphs detail how the various financial transactions are reported in the Council's management accounts. These items are reported differently in the Council's Statutory Accounts. In 2004/2005 these different reporting requirements caused some confusion. Therefore, to avoid this situation arising from this year I would advise Members that on the basis of the current forecasts, the Council's statutory accounts for 2005/2006 will record a "surplus for the year" of £1.363m. The make up of this amount and the commitments it will fund are summarised below: -

	<u>Variance</u> <u>Favourable</u> <u>/ (Adverse)</u> <u>£'000</u>
<u>Sources of "Surplus for Year"</u>	
Underspend on Corporate and Departmental Budgets	714
Receipt of 2003/2004 Backdate Population Grant	334
Receipt of Income from Housing RTB Sharing Agreement	615
	<hr/>
Surplus for Year – to be reported in Statutory Accounts	1,363

CommitmentsInvest to Save Proposals

Young People's Service	(30)
Access to Learning (A2L)	(81)
Broad Band Implementation	(90)
Phase 2 Equal Pay Costs	(547) *
Contribution to RTB Income Reserves	<u>(615)</u>
Uncommitted Resources	<hr/> 0

*As indicated in the final 2004/2005 Outturn Strategy funding for approximately half of these costs has been identified. The remaining unfunded costs amount to £1.7m. It is suggested that this amount be set aside to partly fund these costs. The remaining costs will need to be funded from the Balance Sheet.

5. RECOMMENDATIONS

- 5.1 It is recommended that Members note the report.

High Risk Budget Areas by Department**Appendix A**

Best Value Unit / Best Value Sub Unit	2005/06 Budget £'000	Variance to 30/09/2005 (Favourable) / Adverse £'000	Forecast Variance 2005/06 (Favourable) / Adverse £'000
Adult & Community Services			
Older People Purchasing	6,357.4	(42.2)	(150.0)
Learning Disabilities Purchasing	2,131.8	76.2	175.0
Assessment & Care Mgmt.	138.6	63.0	150.0
Home Care Service	1,545.5	(137.8)	(120.0)
Arts, Events & Museums	163.1	20.7	40.0
Building Maintenance	223.7	0.9	10.0
Total	10,560.1	(19.2)	105.0
Regeneration & Planning			
Development Control	391.2	(53.4)	0.0
Planning Policy and Regeneration	544.0	(212.5)	0.0
Total	935.2	(265.9)	0.0
Neighbourhood Services			
Highways	3,204.8	0.0	0.0
Retained Housing	579.8	15.0	30.0
Property Services	227.3	29.0	58.0
Total	4,011.9	44.0	88.0
Corporate Budgets			
Centralised Estimates	5,725.0	(300.0)	(600.0)
Total	5,725.0	(300.0)	(600.0)
Children's Services			
Home to School Transport	1,283.1	48.5	142.5
Extra District/Independent School Fees	626.6	0.0	65.0
Access 2 Learning Centre	872.7	60.5	0.0
Fostering and Adoption	2,199.0	440.0	530.0
Total	4,981.4	549.0	737.5

Appendix B**GENERAL FUND - REVENUE MONITORING REPORT TO 30TH SEPTEMBER 2005**

Line No	Actual Position 30/09/05			Description of Expenditure	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/06	2005/06	
	Col. B	Col. C	Col. D (D=C-B)		Latest Budget	Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	£'000	£'000	£'000	Col. E	Col. F	Col. G	Col. H (H=G-F)
					£'000	£'000	£'000
				<u>TABLE 1 - Departmental Expenditure</u>			
1	13,040.3	12,796.5	(243.8)	Adult & Community Services	25,761.1	25,749.1	(12.0)
2	6,409.7	6,211.0	(198.7)	Childrens Services (excl Schools)	18,421.8	18,482.4	60.6
3	11,120.6	11,228.0	107.4	Neighbourhood Services	14,407.4	14,655.7	248.3
4	1,855.5	1,586.8	(268.7)	Regeneration & Planning	3,787.2	3,768.6	(18.6)
5	3,945.6	3,675.8	(269.8)	Resources	4,279.3	4,279.3	0.0
6	36,371.7	35,498.1	(873.6)	Total Departmental Expenditure	66,656.8	66,935.1	278.3
				<u>TABLE 2 - Corporate Costs</u>			
7	50.0	40.0	(10.0)	Emergency Planning	100.0	80.0	(20.0)
8	3,311.0	3,011.0	(300.0)	Centralised Estimates	5,725.0	5,125.0	(600.0)
9	1,177.0	1,177.0	0.0	SX3 Information Partnership	2,353.0	2,353.0	0.0
10	121.0	84.0	(37.0)	Pensions	424.0	350.0	(74.0)
11	56.0	56.0	0.0	Probation and Coroner's Court	158.0	158.0	0.0
12	315.0	115.0	(200.0)	Designated & Custodian Authority Costs	315.0	115.0	(200.0)
13	0.0	0.0	0.0	Insurances	185.0	185.0	0.0
14	150.0	124.0	(26.0)	Audit Fees	310.0	259.0	(51.0)
15	30.0	36.0	6.0	Land Drainage Levy	30.0	36.0	6.0
16	18.0	18.0	0.0	North Eastern Sea Fisheries Precept	18.0	18.0	0.0
17	159.0	149.0	(10.0)	Members' Allowances	318.0	298.0	(20.0)
18	35.0	33.0	(2.0)	Mayoral Allowance	69.0	65.0	(4.0)
19	19.0	19.0	0.0	Parish Precepts	19.0	19.0	0.0
20	31.0	81.0	50.0	Discretionary Rates	31.0	81.0	50.0
21	26.0	50.0	24.0	Major Tourist Attraction Support	52.0	52.0	0.0
22	1.0	1.0	0.0	Contingency - General	20.0	20.0	0.0
23	0.0	0.0	0.0	Housing Stock Transfer Costs	330.0	330.0	0.0
				<u>One -Off Commitments</u>			
24	80.0	45.0	(35.0)	Hart Quarry Judicial Review (See line 31)	80.0	45.0	(35.0)
25	28.0	28.0	0.0	The Way Forward (See line 31)	28.0	28.0	0.0
26	215.0	215.0	0.0	Termination Costs (See line 31)	484.0	484.0	0.0
27	7.0	7.0	0.0	HBC Share Of TVURC / TVDC Restructure (See line 31)	7.0	7.0	0.0
28	5,829.0	5,289.0	(540.0)	Total Corporate Costs	11,056.0	10,108.0	(948.0)
				<u>Contributions From Corporate Reserves</u>			
29	0.0	0.0	0.0	Contributions To / From Balances	(2,300.0)	(2,300.0)	0.0
30	0.0	0.0	0.0	Contribution From Corporate Childrens Services Reserve	0.0	(79.7)	(79.7)
31	(330.0)	(295.0)	35.0	Contribns From Corp.Reserves towards One - Off Commitments (See lines 24 to 27)	(599.0)	(564.0)	35.0
32	(688.4)	(724.6)	(36.2)	<u>Contributions From Departmental Reserves</u>	(1,825.3)	(1,825.3)	0.0
				<u>Contributions To Corporate Reserves</u>			
33	0.0	0.0	0.0	Contrbn To FBR Reserve	400.0	400.0	0.0
34	41,182.3	39,767.5	(1,414.8)	Total General Fund Expenditure	73,388.5	72,674.1	(714.4)

Appendix 1

Report of: Director of Regeneration & Planning,
Director of Neighbourhood Services and
Chief Financial Officer

Subject: REGENERATION & LIVEABILITY PORTFOLIO
REVENUE MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

- 1.1 To provide details of progress made towards achieving the Corporate Plan service improvement priorities and the Revenue budgets for the Regeneration and Liveability Portfolio for the six months to 30th September, 2005.

2. PERFORMANCE UPDATE FOR THE PERIOD ENDING 30TH SEPTEMBER, 2005

- 2.1 Within the Regeneration and Liveability Portfolio there are a total of 44 service improvement priorities (SIPs) that were identified in the 2005/2006 Corporate Plan. Generally performance towards these SIPs is good, with 65% (28 SIPs) being on target for completion by the agreed milestone. However, there are 3 SIPs (7%) which are assessed as being 'below target' and as such are unlikely to be achieved by the milestone. Table RL1 below details these SIPs, along with an explanation for the delay as well as any remedial action planned.

Table RL1 – SIPs assessed as being below target

Improvement Priority (SIP)	Milestone	Comment
EH1/05.5 Increase environmental enforcement activity – remove all unlicensed vehicles within 48 hours	By June 2005	Appointment of additional enforcement staff dependent on outcome of VAT Relief funding. A report is to go to the Housing Hartlepool Board to advise.
EH3/05.2 Delivery of the proposed Hartlepool Transport Interchange through the Local Transport Plan commissioning	December 2005	Work is due to commence on site in December 2005.
JE3/05.1 Ongoing promotion to prospective public sector funders and private sector investors and developers	Presentation to key public sector funding partners May 2005	The Hartlepool Investment Prospectus discussed with key public sector funding partners, including One-North East and Government Office North East in September.

Appendix 1

- 2.2 A number of key performance indicators (KPIs) were included in the Corporate plan as measures of success. A number of these can only be assessed and reported on an annual basis, but of those indicators that progress can be monitored, 86% of the Regeneration and Liveability KPIs are assessed as being on or above target. There are 0 KPIs that are currently assessed as being below target.
- 2.3 Key areas of progress made to date in the Regeneration and Liveability Portfolio includes: -
- Recycling has increased in pilot areas by 45% and town-wide by more than 20% since kerbside recycling has been introduced;
 - The travel concession scheme has been extended to Middlesbrough for the current financial year;
 - “Building Futures” Offices in Stranton, a Tees-Valley wide construction labour market initiative, were occupied in September and the first 6 trainee placements completed.

3. REVENUE MONITORING FOR PERIOD ENDING 30TH SEPTEMBER, 2005

- 3.1 Details of Regeneration & Liveability’s actual expenditure and anticipated expenditure as at 30th September, 2005, are shown at **Appendix 1.1**.
- 3.2 In overall terms actual expenditure amounts to £4,637,900, compared to anticipated expenditure of £4,838,300, resulting in a current favourable variance of £200,400. The projected outturn is £9,917,800, compared to the latest budget of £9,951,400, resulting in a forecast favourable variance of £33,600.
- 3.3 The main items to bring to Portfolio Holder’s attention are: -

Line 4: Development Control
Current Variance: £53,400 Favourable
Forecast Variance: Nil

The favourable variance has arisen because the level of fee income generated by the service is above the budgeted target. The Government increased planning application fees payable nationally on 1st April, 2005. This is a volatile budget and assessing future trends is difficult. It is, however, anticipated that a favourable variance in the region of the current level will occur at outturn.

It is proposed to contribute this balance to reserves to help address future funding issues in the reserve.

Line 8: Planning Policy and Regeneration
Current Variance: £212,500 Favourable
Forecast Variance: Nil

The favourable variance has arisen partly because only minimal expenditure has occurred so far in the year against the major regeneration projects budget.

In accordance with the Authority's Financial Procedure Rules a transfer of resources from revenue to capital has been proposed by the Director of Regeneration & Planning and agreed by the Chief Financial Officer: -

- The transfer of £83,000 from this budget to fund the Council's EDRMS.

In addition, a further £130,000 of planning delivery grant has been awarded to the Council for its good performance in planning in the previous year. Although expenditure against these headings is expected in the second half of the year, a favourable variance of around £100,000 is estimated at this stage. This amount will be added to the Council's reserve to fund future costs of developing the Victoria Dock regeneration project.

Line 10: Environment
Current Variance : £57,900 Adverse
Forecast Variance: £115,000 Adverse

The collection of bulky waste within the NDC area is contributing £20,000 towards the projected overspend. This service is currently funded to mid November, after which time the service would need to be reduced to a single vehicle providing a four weekly service to avoid this projected overspend.

The Street Cleansing Service provided at Navigation Point contributes £30,000 towards the projected overspend. This service is currently under review and the Director of Neighbourhood Services will be bringing a separate report to a future meeting. The venture with NDC requires match funding from Hartlepool Borough Council to improve the cleanliness of the NDC area. In the main the joint funding arrangement requires payment in kind and typically consists of officer's time. However, the provision of vehicles is met by funding from the Street Cleansing budget. The current estimated spend on these vehicles is £50,000, which is placing severe pressure on Street Cleansing funds. It should also be noted that NDC funding for this initiative expires in March, 2006. A new bid has been submitted for a four-year period after this, which is anticipated to be successful.

Appendix 1

The maintenance of hanging baskets within the central area is contributing an additional £16,000 overspend towards the projected variance.

Additional residential areas are coming on line, therefore, it is not believed that it will possible to cover all of the above pressures within existing budgets as has been the case in previous years.

Line 12: Town Care Management

Current Variance: £4,900 Adverse

Forecast Variance: £20,000 Adverse

Pressures on the provision of this service currently being addressed, as expenditure in none staffing areas is much higher than budgeted and is anticipated to continue. The restructure in the department is expected to address this pressure and every attempt will be made to absorb this overspend within the departments overall budget.

Lines 17 - 31: Contribution from Reserves

Current Variance: Nil

Forecast Variance: Nil

These reserves were created in previous years to fund specific known pressures and will be used by the year-end.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report.

PORTFOLIO : REGENERATION & LIVEABILITY**Appendix 1.1****REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-D)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	289.9	289.9	0.0	Administration	0.0	0.0	0.0
2	32.5	24.3	(8.2)	Building Control	160.3	160.3	0.0
3	97.4	94.4	(3.0)	Community Strategy	240.0	240.0	0.0
4	72.6	19.2	(53.4)	Development Control	391.2	391.2	0.0
5	44.5	60.8	16.3	Divisional Management	0.0	0.0	0.0
6	602.9	602.9	0.0	Economic Development	1,088.5	1,088.5	0.0
7	169.0	162.7	(6.3)	Landscape & Conservation	258.1	258.1	0.0
8	132.8	(79.7)	(212.5)	Planning Policy & Regeneration	544.0	544.0	0.0
9	(4.9)	0.0	4.9	Regeneration Staff Savings	(9.7)	(9.7)	0.0
10	2,789.5	2,847.4	57.9	Environment	5,753.0	5,868.0	115.0
11	124.0	129.5	5.5	Environmental Action	292.6	292.6	0.0
12	69.3	74.2	4.9	Town Care Management	118.6	138.6	20.0
13	183.6	180.9	(2.7)	Community Safety	695.8	695.8	0.0
14	79.1	75.3	(3.8)	Youth Offending Service (Partnership)	364.0	345.4	(18.6)
15	156.1	156.1	0.0	Drug Action Team (100% grant funded)	55.0	55.0	0.0
16	4,838.3	4,637.9	(200.4)		9,951.4	10,067.8	116.4

CONTRIBUTION FROM RESERVES

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-D)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
17	0.0	0.0	0.0	Neighbourhood Action Plan production	(15.0)	(15.0)	0.0
18	(25.0)	(25.0)	0.0	Neighbourhood Action Plan staffing costs	(50.0)	(50.0)	0.0
19	(2.7)	(2.7)	0.0	ERDMS project consultancy	(2.7)	(2.7)	0.0
20	0.0	0.0	0.0	LAA Agreement - consultancy	(7.1)	(7.1)	0.0
21	(3.5)	(3.5)	0.0	BPR & GIS consultancy	(14.2)	(14.2)	0.0
22	(30.0)	(30.0)	0.0	PAP system and Academy integration	(30.0)	(30.0)	0.0
23	0.0	0.0	0.0	Town Centre Management Project (Morrisons)	(15.0)	(15.0)	0.0
24	(2.0)	(2.0)	0.0	RTP1 Training Course	(2.0)	(2.0)	0.0
25	(5.0)	(5.0)	0.0	Secretary to Divisional Heads Salary	(10.0)	(10.0)	0.0
26	(13.4)	(13.4)	0.0	Urban Policy Staffing	(26.7)	(26.7)	0.0
27	0.0	0.0	0.0	Community Strategy	(5.4)	(5.4)	0.0
28	(55.3)	(55.3)	0.0	Business Grants	(55.3)	(55.3)	0.0
29	0.0	0.0	0.0	Community Safety Initiatives	(10.3)	(10.3)	0.0
30	0.0	0.0	0.0	Contribution to YOS HYPED Accommodation	(77.0)	(77.0)	0.0
31	(55.0)	(55.0)	0.0	Contribution to Drugs Building	(55.0)	(55.0)	0.0
32	(191.9)	(191.9)	0.0		(375.7)	(375.7)	0.0

33	4,646.4	4,446.0	(200.4)	PORTFOLIO TOTALS	9,575.7	9,692.1	116.4
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Report of: Director of Regeneration & Planning,
Director of Neighbourhood Services,
Acting Director of Adult & Community Services
and Chief Financial Officer

Subject: CULTURE, HOUSING AND
TRANSPORTATION PORTFOLIO REVENUE
MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

- 1.1 To provide details of progress made towards achieving the Corporate Plan service improvement priorities and the Revenue budgets for the Culture Housing and Transportation Portfolio for the six months to 30th September, 2005.

2. PERFORMANCE UPDATE FOR THE PERIOD ENDING 30TH SEPTEMBER, 2005

- 2.1 Within the Culture, Housing and Transportation Portfolio there are a total of 26 service improvement priorities (SIPs) that were identified in the 2005/2006 Corporate Plan. Generally performance towards these SIPs is very good, with 81% (21) of SIPs being on target for completion by the agreed milestone. This compares favourably with the overall 74% of SIPs on or above target across all Portfolios. However, there are 2 SIPs (8%) which are assessed as being 'below target' and as such are unlikely to be achieved by the milestone. Table CHT1 below details these SIPs, along with an explanation for the delay as well as any remedial action planned.

Table CHT1 – SIPs assessed as being below target

Improvement Priority (SIP)	Milestone	Comment
CL3/05.8 Production of a series of self guided walks to increase opportunities for informal recreation in the countryside	Publication and launch of leaflets by June 2005	Leaflets launched and available in August 2005. Milestone has now been achieved, although was below target. The traffic light will be amended to 'Green' for future reporting.
EH4/05.5 Complete a review of all Supporting People Contracts	March 2006	Reviews are still behind schedule, although work is ongoing and progress is being made. Supporting People Inspection is taken place week commencing 31 October and this will look to address this issue.

8.1 Appendix 2

- 2.2 A number of key performance indicators (KPIs) were included in the Corporate Plan as measures of success. A number of these can only be assessed and reported on an annual basis, but of those indicators that progress can be monitored, 20% of the Culture, Housing and Transportation KPIs are assessed as being on or above target. This actually equates to only 1 KPI (20%) that has been currently assessed as being below target and this is shown in Table CHT2, below.

Table CHT2 – KPIs assessed as being below target

Improvement Priority (SIP)	Target (2005/06)	Outturn	Comment
LPI NS 12b Extra care sheltered accommodation for b) other vulnerable person provision	57	0	There have been some site issues which have held up the building process and which may cause delay in completion.

- 2.3 Key areas of progress made to date in the Culture, Housing and Transportation Portfolio include: -
- Hartlepool's first Triathlon event was held in August and was deemed so successful that it is proposed to hold the event on an annual basis.
 - Thirty three Tall Ships called at Hartlepool over the three days Tall Ships festival that was held in July as an integral part of the Newcastle/Gateshead Tall Ships Festival. Many of the ships stayed in Hartlepool for the full three days, and over 175,000 visitors attended the event.
 - A reading club has been established to increase reader development opportunities and promote literacy. Attendance at the group, which meets every four weeks, is good.

3. REVENUE MONITORING FOR PERIOD ENDING 30TH SEPTEMBER, 2005

- 3.1 Details of Culture, Housing and Transportation's actual expenditure and anticipated expenditure as at 30th September, 2005, are shown at **Appendix 2.1**.
- 3.2 In overall terms actual expenditure amounts to £5,812,700, compared to anticipated expenditure of £5,800,700, resulting in a current adverse variance of £12,000. The projected outturn for the year is £11,500,400 resulted in an adverse variance of £63,000.

3.3 The main items to bring to Portfolio Holder's attention are: -

Line 8: Allotments

Current Variance: £17,900 Adverse

Forecast Variance: £15,000 Adverse

The adverse variance is mainly owing to the Council having to carry out essential maintenance at the Town's allotments.

Line 9: Community Support

Current Variance: £51,100 Favourable

Forecast Variance: Nil

The current favourable variance is mainly the result of Community Pool grants not yet being awarded and some underspends on Community Centres. It is anticipated that grants will be fulfilled by the year-end and essential maintenance to bring buildings to an acceptable standard will be carried out. These actions are likely to lead to a nil variance at the year-end.

Line 16: Traffic and Road Safety

Current Variance: £56,600 Adverse

Forecast Variance: Nil

Expenditure on the provision of the parking service is currently higher than anticipated due to a minor delay in the set up of decriminalised car parking. It is anticipated that this can be reversed but careful budget monitoring will be necessary.

Line 18: Retained Housing

Current Variance : £15,000 Adverse

Forecast Variance: £30,000 Adverse

The variance on this budget has resulted from lower than expected income in relation to the Supporting People Floating Support contract provided by the Housing Advice Team. The planned level of income could not be achieved within the current capacity of the Section.

As outlined in the previous Monitoring report, the Retained Housing Budget has been increased by £66,500 for the year, based on the proposal to use two reserves set up in the previous year.

Line 20: Foreshore Reserve

Current Variance: Nil

Forecast Variance: Nil

This £11,400 reserve was created to fund Foreshore initiatives in 2005/2006. It is proposed to use £9,000 of this reserve for the treatment of the Paddling Pool at the Headland. The remainder of the reserve will be rephased to 2006/2007 to fund further Foreshore

initiatives. The budget and profile has been adjusted to reflect this revised expenditure profile.

Line 21: Action for Jobs Reserve
Current Variance: Nil
Forecast Variance: Nil

This £2,600 reserve was created to contribute towards the Action for Jobs Scheme in 2005/2006. It is now expected that there will be an underspend of £1,700 against this reserve at outturn. It is proposed to rephase this balance to 2006/2007 to continue to fund this scheme. The budget and profile has been adjusted to reflect this revised expenditure profile.

Line 22: Sports Leader Awards Reserve
Current Variance: Nil
Forecast Variance: Nil

This £5,200 reserve was created to contribute towards the Community of Higher Sports Leader Awards in 2005/2006. It is now expected that only half of this reserve will be required in 2005/2006 with the remainder being rephased to 2006/2007 to continue to support this scheme. The budget and profile has been adjusted to reflect this revised expenditure profile.

Lines 23 – 29: Contributions from Reserves
Current Variance: Nil
Forecast Variance: Nil

These reserves were created in previous years to fund specific known pressures and will be used by the year-end.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report.

PORTFOLIO : CULTURE, HOUSING AND TRANSPORTATION**Appendix 2.1****REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B) £'000		Col. F	Col. G	Col. H (H=G-F) £'000
1	529.0	494.1	(34.9)	Sports & Physical Recreation	1,324.3	1,324.3	0.0
2	22.8	24.6	1.8	Parks	457.6	465.6	8.0
3	197.6	215.8	18.2	Countryside	388.2	388.2	0.0
4	112.1	123.0	10.9	Foreshore	163.6	163.6	0.0
5	144.7	145.6	0.9	Maintenance	223.7	233.7	10.0
6	631.6	597.9	(33.7)	Arts, Events & Museums	982.4	982.4	0.0
7	69.6	74.4	4.8	Archaeology Services	26.7	26.7	0.0
8	20.8	38.7	17.9	Allotments	53.4	68.4	15.0
9	389.1	338.6	(50.5)	Community Support	752.8	752.8	0.0
10	867.1	831.2	(35.9)	Libraries	1,768.2	1,768.2	0.0
11	399.0	423.8	24.8	Recharge Accounts	0.0	0.0	0.0
12	0.0	4.4	4.4	Central Administration	0.0	0.0	0.0
13	148.4	156.2	7.8	Engineers	414.3	414.3	0.0
14	305.7	309.6	3.9	Highways and Transportation	566.4	566.4	0.0
15	1,460.1	1,460.1	0.0	Highways Services	3,204.8	3,204.8	0.0
16	(83.3)	(26.7)	56.6	Traffic & Road Safety	(263.4)	(263.4)	0.0
17	303.9	303.9	0.0	Transport Services	979.6	979.6	0.0
18	289.9	304.9	15.0	Retained Housing	579.8	609.8	30.0
19	5,808.1	5,820.1	12.0		11,622.4	11,685.4	63.0

CONTRIBUTION FROM RESERVES

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B) £'000		Col. F	Col. G	Col. H (H=G-F) £'000
20	0.0	0.0	0.0	Use of SRR - Foreshore	(9.0)	(9.0)	0.0
21	0.0	0.0	0.0	Use of SRR - Action for Jobs	(0.9)	(0.9)	0.0
22	0.0	0.0	0.0	Use of SRR - Sports Leader Awards	(2.6)	(2.6)	0.0
23	0.0	0.0	0.0	Use of SRR - Active Sport	(0.8)	(0.8)	0.0
24	0.0	0.0	0.0	Use of SRR - Countryside	(22.2)	(22.2)	0.0
25	(7.4)	(7.4)	0.0	Use of SRR - Grants to Vol Orgs	(8.0)	(8.0)	0.0
26	0.0	0.0	0.0	Use of SRR - Wingfield Castle Report	(15.0)	(15.0)	0.0
27	0.0	0.0	0.0	Cont from Corporate Reserves - H Quay	(60.0)	(60.0)	0.0
28	0.0	0.0	0.0	Use of Supporting People Reserve	(32.0)	(32.0)	0.0
29	0.0	0.0	0.0	Use of Private Landlord Reserve	(34.5)	(34.5)	0.0
30	(7.4)	(7.4)	0.0	TOTAL	(171.7)	(171.7)	0.0
31	5,800.7	5,812.7	12.0	PORTFOLIO TOTALS	11,450.7	11,513.7	63.0

Appendix 3

Report of: Director of Children's Services,
Acting Director of Adult & Community Services
and Chief Financial Officer

Subject: CHILDREN'S SERVICES PORTFOLIO
REVENUE MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

- 1.1 To provide details of progress made towards achieving the Corporate Plan service improvement priorities and the Revenue budgets for the Children's Services Portfolio for the six months to 30th September, 2005.

2. PERFORMANCE UPDATE FOR THE PERIOD ENDING 30TH SEPTEMBER, 2005

- 2.1 Within the Children's Services Portfolio there are a total of 19 service improvement priorities (SIPs) that were identified in the 2005/2006 Corporate Plan. Generally performance towards these SIPs is good, with 68% (13) of SIPs being on target for completion by the agreed milestone. This is compared with the overall 74% of SIPs on or above target across all Portfolios. However, there are 4 SIPs (21%) which are assessed as being 'below target' and as such are unlikely to be achieved by the milestone. This does not compare favourably with the overall Council position, of 8% of all SIPs assessed as being below target. Table CS1 below details these SIPs, along with an explanation for the delay as well as any remedial action planned.

Table CS1 – SIPs assessed as being below target

Improvement Priority (SIP)	Milestone	Comment
HC/Children/1/05.2 Audit assessments to monitor practice	Ongoing	Current workload commitments have caused a delay in the commencement of this activity. It is likely to take place in 2006.
HC/Children/2/05.1 Continue foster care recruitment programme	Ongoing	The number of foster carers remains at 70 over the last 6 months. Although this is below the target the reduction has been stopped.
HC/Children/5/05.3 Improve educational outcomes for children looked after – local indicator developed	June 05	Indicator involving a 'Value Added' component is being developed and preliminary use (5 looked after children (LAC) in Year 6) has shown above average improvement in some areas compared with peers. The

Appendix 3

		scheme needs extending to all LAC, although the results should be viewed with caution, as there is no guarantee that all LAC will show the same improvement.
HC/Children/6/05.2 E2E scheme commenced	April 05	Learning and Skills Council rejected the Council's financial application at very late stage in proceedings. Alternative is being pursued.

- 2.2 A number of key performance indicators (KPIs) were included in the Corporate Plan as measures of success. A number of these can only be assessed and reported on an annual basis, but of those indicators that progress can be monitored, 67% of the Children's Services KPIs are assessed as being on or above target. Only 55% of all Council KPIs, where an assessment can be made, are currently on or above target, so this figure compares well. However, there are 4 KPIs (33%) that are currently assessed as being below target and these are shown in Table CS2, below.
- 2.3 Whilst viewing the table it is worth noting that in some cases, BVPI 40 for example, the level of performance improvement in 2004 was one of the highest nationally and it would be difficult to maintain this level of improvement year on year. Despite this all education targets are set with an element of challenge, making them more difficult to achieve.

Table CS2 – KPIs assessed as being below target

Improvement Priority (SIP)	Target (2005/06)	Outturn	Comment
BVPI 181c Percentage of 14 year old pupils in schools maintained by the local education authority achieving Level 5 or above in the Key Stage 3 test in Science	73%	68%	4% improvement on previous year. Gap to national performance narrowed to 2%. Target not achieved.
BVPI 181d Percentage of 14 year old pupils in schools maintained by the local education authority achieving Level 5 or above in the Key Stage 3 test in ICT Assessment	70%	61.4%	Target not achieved. Weak performance is a concern, although new testing arrangements in 2006 expected to assist performance.
BVPI 39 Percentage of 15 year old pupils in schools maintained by the local education authority achieving five GCSEs or equivalent at grades A* - G including English and	90.6%	88.3%	3% improvement on previous years by very challenging LPSA stretched target not achieved.

Appendix 3

Improvement Priority (SIP)	Target (2005/06)	Outturn	Comment
Maths			
BVPI 40 Percentage of pupils in schools maintained by the local education authority achieving level 4 or above in the Key Stage 2 Mathematics test	84%	78%	Performance is 3% above national average for second year in succession, although target not achieved.

2.4 Key areas of progress made to date in the Children's Services Portfolio include: -

- The best ever performance was achieved for the percentage of pupils maintained by the Local Education Authority achieving level 4 or above in the Key Stage 2 English test. Performance was above the national average.
- A number of young people have received individual tutoring for their GCSE exams.
- GCSE exam results indicated a rise in both the number of A* - G passes (up 3% from last year) and number of A* - C passes (up 4% from last year).
- The introduction of Personal Education Plans for children looked after has led to an improvement in attainment.

3. REVENUE MONITORING FOR PERIOD ENDING 30TH SEPTEMBER, 2005

3.1 Details of Children's Services actual expenditure and anticipated expenditure as at 30th September, 2005, are shown at **Appendix 3.1**.

3.2 In overall terms actual expenditure amounts to £6,033,100, compared to anticipated expenditure of £6,231,800, resulting in a current favourable variance of £198,700.

3.3 The projected outturn is currently estimated to be £17,860,700, compared to the budget of £17,879,800, resulting in a forecast favourable variance of £19,100.

3.4 The items to bring to the Portfolio Holder's attention are: -

Line 1: Access to Education

Current Variance: £28,000 Adverse

Forecast Variance: £90,600 Adverse

The main reason for both the current and forecast adverse variances is Home to School Transport as there is an increase in the number of escorted journeys for pupils with special educational needs.

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The significant forecast adverse variance on Home to School Transport (£142,500) is partly offset by forecast favourable variances on maintenance payments to pupils attending Carlton (£9,400), Education Social Workers (£24,000) and Asset Management (£18,500), owing to staff vacancies and an expected underspend on Supplies and Services respectively.

Line 2: Early Years

Current Variance: £36,800 Favourable

Forecast Variance: £51,300 Favourable

The favourable variance has occurred as there has been a lower than expected take up of nursery places for 3 year-old children.

Line 3: Other School Related Expenditure

Current Variance: £27,100 Favourable

Forecast Variance: £28,500 Favourable

The main reason for the favourable variance is that expenditure on early retirement costs for teachers is lower than anticipated.

Line 4: Raising Educational Achievement

Current Variance: £19,300 Favourable

Forecast Variance: £32,600 Adverse

The favourable variance has occurred because of a planned underspend in respect of Carlton Outdoor Education Centre which will be the subject of major capital works commencing in October, 2005. A forecast variance at outturn of £109,000 is anticipated on Carlton. It is proposed to create a Reserve equal to this variance to contribute towards the cost of the capital scheme and to cover the costs during the period of reduced operation up to September, 2006. The creation of this reserve has been included in the outturn projection.

The forecast adverse variance relates to the Advisory Service mainly owing to reduced grant income.

Line 5: Special Educational Needs

Current Variance: £61,400 Adverse

Forecast Variance: £70,700 Adverse

The main reasons for this adverse variance are Independent School Fees being higher than anticipated and pressures on staffing, premises and equipment purchases for the new classroom at the Access 2 Learning Centre.

Appendix 3

Line 6: Strategic Management

Current Variance: £80,300 Favourable

Forecast Variance: £133,200 Favourable

The main reasons for this favourable variance is vacancies within Children's Services support services and underspends against supplies and services budgets. Some of these vacancies are expected to be filled later in the year, however, certain posts are being reviewed as part of the 2006/2007 budget exercise so may not be filled this financial year resulting in a favourable outturn variance.

Savings arising from senior management vacancies are to be used to partly offset the costs of the interim arrangements agreed at Cabinet on 6th June, 2005, the balance of these costs being funded from reserves (see Line 20).

Line 9: Children, Young People and Families Support

Current Variance: £111,000 Favourable

Forecast Variance: £79,700 Adverse

The current favourable variance has occurred owing to staff vacancies and the continuing delay in the opening of the Flint Walk Placement Support Centre.

As previously reported there continues to be pressures around placement costs for children and young people. The Fostering and Adoption budget is high risk and is currently forecasting an adverse variance at outturn of £530,000, however, this will be partially offset by underspends on agency placements and Flint Walk.

Officers are continuing to review strategies in order to make savings in all possible areas. However, it is expected that independent fostering will be overspent at the end of this financial year.

This position continues to be closely monitored by officers.

Line 12: Information, Sharing & Assessment (ISA)

Current Variance: £9,400 Favourable

Forecast Variance: Nil

The current favourable variance is owing to staff vacancies, which in turn have resulted in a delay in implementing the new ISA System.

Line 15: Educational Achievement Reserve

Current Variance: Nil

Forecast Variance: Nil

This reserve was created to fund the costs of specialist advisors. Half of this reserve (£61,000) was previously expected to be utilised this year with the remainder next year. It is now expected that

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£80,000 will be spent this financial year with the balance in next year. The budget and profile will now be adjusted to reflect this revised expenditure profile.

Line 16: Building Schools for the Future Reserve**Current Variance: Nil****Forecast Variance: Nil**

This reserve was to be utilised in 2005/2006 to balance the Education budget and ensure expenditure was at the desired level. It was originally anticipated that £143,000 would be used this financial year, however, this has been revised to £138,000 and the budget has been amended to reflect this.

Lines 17 - 21: Contributions to Reserves**Current Variance: Nil****Forecast Variance: Nil**

These reserves were created in previous years to fund specific known pressures and will be used by the year-end.

Line 22: ISA Initiatives Reserve**Current Variance: Nil****Forecast Variance: Nil**

This reserve relates to the implementation of ISA Initiatives. As detailed in Line 12 the implementation of the ISA System has been delayed. The full reserve is not expected to be utilised in 2005/2006. The remaining balance will be rephased to 2006/2007 to cover this delayed expenditure. The budget and profile has been adjusted to reflect this revised expenditure profile.

Lines 26 – 28: Sure Start**Current Variance: £212,600 Favourable****Forecast Variance: Nil**

The three local Sure Start programmes are grant funded. The favourable current variance is owing to late receipt of partner agencies salaries invoices. The grants will be fully utilised by the end of the financial year.

Line 28: Teenage Pregnancy Initiative**Current Variance: £53,700 Favourable****Forecast Variance: Nil**

This budget relates to the implementation of the teenage pregnancy strategies agreed locally with partner agencies. It is funded by the Teenage Pregnancy Local Implementation Grant. Any underspend will be rolled forward into 2006/2007 to continue to support the agreed action plan.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report.

PORTFOLIO : CHILDRENS SERVICES**Appendix 3.1****REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	924.9	952.9	28.0	Access to Education	2,327.3	2,417.9	90.6
2	(458.6)	(495.4)	(36.8)	Early Years	359.7	308.4	(51.3)
3	(379.6)	(406.7)	(27.1)	Other School Related Expenditure	107.8	79.3	(28.5)
4	316.6	297.3	(19.3)	Raising Educational Achievement	740.3	772.9	32.6
5	985.2	1,046.6	61.4	Special Educational Needs	2,784.3	2,855.0	70.7
6	145.9	65.6	(80.3)	Strategic Management	1,035.3	902.1	(133.2)
7	21.4	21.4	0.0	Central Support Services	882.5	882.5	0.0
8	(16.8)	(16.8)	0.0	MRU - CPA Excellent Status	(16.8)	(16.8)	0.0
9	4,201.6	4,090.6	(111.0)	Children, Young People and Families Support	8,716.0	8,795.7	79.7
10	141.3	146.6	5.3	Youth Justice	283.5	283.5	0.0
11	405.3	398.6	(6.7)	Youth Service	977.6	977.6	0.0
12	25.6	16.2	(9.4)	Information, Sharing & Assessment	92.4	92.4	0.0
13	96.9	94.1	(2.8)	Play & Care of Children	131.9	131.9	0.0
14	6,409.7	6,211.0	(198.7)		18,421.8	18,482.4	60.6

CONTRIBUTION FROM RESERVES

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
15	(69.6)	(69.6)	0.0	Educational Achievement	(80.0)	(80.0)	0.0
16	(69.0)	(69.0)	0.0	Building Schools for the Future	(138.0)	(138.0)	0.0
17	(15.3)	(15.3)	0.0	Children's Serv Implementation	(100.0)	(100.0)	0.0
18	0.0	0.0	0.0	SEN Provision	(48.8)	(48.8)	0.0
19	0.0	0.0	0.0	Flint Walk Development	(67.0)	(67.0)	0.0
20	(24.0)	(24.0)	0.0	Way Forward	(57.3)	(57.3)	0.0
21	0.0	0.0	0.0	Boy's Welfare Refurbishment	(30.0)	(30.0)	0.0
22	0.0	0.0	0.0	ISA Initiatives	(20.9)	(20.9)	0.0
23	0.0	0.0	0.0	Corporate Children's Services Reserve	0.0	(79.7)	(79.7)
24	(177.9)	(177.9)	0.0	TOTAL	(542.0)	(621.7)	(79.7)

25	6,231.8	6,033.1	(198.7)	PORTFOLIO TOTALS	17,879.8	17,860.7	(19.1)
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MEMO ITEMS

26	(0.2)	2.0	2.2	Sure Start North	0.0	0.0	0.0
27	34.3	(55.3)	(89.6)	Sure Start South	0.0	0.0	0.0
28	46.9	(78.3)	(125.2)	Sure Start Central	0.0	0.0	0.0
29	0.0	(53.7)	(53.7)	Teenage Pregnancy Initiative	0.0	0.0	0.0
30	81.0	(185.3)	(266.3)	TOTAL	0.0	0.0	0.0

Appendix 4

Report of: Acting Director of Adult & Community Services,
Director of Children's Services,
Director of Neighbourhood Services and
Chief Financial Officer

Subject: ADULT & PUBLIC HEALTH SERVICE
PORTFOLIO REVENUE MONITORING
REPORT 2005/2006

1. PURPOSE OF REPORT

- 1.1 To provide details of progress made towards achieving the Corporate Plan service improvement priorities and the Revenue budgets for the Adult and Public Health Portfolio for the six months to 30th September, 2005.

2. PERFORMANCE UPDATE FOR THE PERIOD ENDING 30TH SEPTEMBER, 2005

- 2.1 Within the Adult and Public Health Portfolio there are a total of 24 service improvement priorities (SIPs) that were identified in the 2005/2006 Corporate Plan. Of these 14 (58%) have been assessed as being on or above target for completion by the agreed milestone. This appears to compare poorly with the average across all portfolios of 74%. However, only 3 (13%) have been assessed as being 'below target' and as such is unlikely to be achieved by the milestone. This is only just above the overall Council position of 8% of all SIPs assessed as being below target. Table ASPH1 below details the SIPs, along with explanation for the delay as well as any remedial action planned.

Table ASPH1 – SIPs assessed as being below target

Improvement Priority (SIP)	Milestone	Comment
HC/Adults/1/05.1 Empower people through greater take up of Direct Payments	Ongoing	Working locally on improving processes and working with neighbours and the University of Birmingham to identify best practice. However, the recruitment of support posts has been delayed which has led to a delay in progressing.
HC/Adults/2/05.1 Reshape access for public in light of	June 05	Identified service requirements for Contact Centre. Joint service with Children's Department continues.

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restructure and the Contact Centre		Other authorities researched. discussions with Corporate colleagues ongoing and slippage in date for Contact Centre agreed.
HC/Adults/4/05.1 Implement Public Health Strategy	From April 05	Scrutiny of healthy lifestyles completed. Public Health Strategy Group has begun to meet. LPSA2 proposal drafted for extending GP referral scheme.

2.2 A number of key performance indicators (KPIs) were included in the Corporate Plan as measures of success. A number of these can only be assessed and reported on an annual basis, but of those indicators that progress can be monitored, 20% of the Adult and Public Health KPIs are assessed as being on or above target. This equates to only 1 indicator, from a total of 5. All of the remaining 4 are classified as 'unsure' as whilst performance is broadly on target it is difficult to predict whether the targets will be achieved.

2.3 Key areas of progress made to date in the Adult and Public Health Portfolio include: -

- Adult Placement Scheme for those with a learning disability is in place, helping people with learning disabilities to enjoy a fuller life in society.
- All services, that can be delivered electronically, will be by the December 2005 'e-government' deadline.
- The number of adults across the Borough participating in Basic Skills classes is more than double the target – 535 adults in 2004/2005 (academic year) compared to the target of 260.

3. REVENUE MONITORING FOR PERIOD ENDING 30TH SEPTEMBER, 2005

3.1 Details of Adult & Public Health Services actual expenditure and anticipated expenditure as at 30th September, 2005, are shown at **Appendix 4.1.**

3.2 In overall terms actual expenditure amounts to £9,700,500, compared to anticipated expenditure of £9,844,100, resulting in a current favourable variance of £143,600.

3.3 The projected outturn is currently estimated to be £20,761,200, compared to the budget of £20,806,200, resulting in a forecast favourable variance of £45,000.

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3.4 The main items to bring to Portfolio Holder's attention are: -

Line 1: Assessment & Care Management

Current Variance: £57,700 Adverse

Forecast Variance: £85,000 Adverse

The adverse position on this budget is owing to the purchase of Occupational Therapy equipment for clients. Activity levels have been increased to respond to increased demand and to keep waiting lists to a minimum.

The 2006/2007 budget process will review eligibility in this area but this will only impact on low value one-off items. Maintenance of established equipment will continue and therefore a pressure exists for future years.

In accordance with the Authority's Financial Procedure Rules a transfer of resources from revenue to capital has been proposed by the Acting Director of Adult & Community Services and agreed by the Chief Financial Officer: -

- Havelock Centre – the transfer of £13,000 from this budget to fund essential disabled access works.

Staff vacancies in Assessment and Care Management have reduced the anticipated overspend. The adverse outturn projections on this budget will be offset by the favourable projection on the Home Care Service. Officers will continue to review and monitor the situation.

Line 2: Home Care

Current Variance: £137,800 Favourable

Forecast Variance: £120,000 Favourable

The variance in this group arises from a temporary under-use of Home Care hours whilst restructuring of the service is completed.

There has been some delay in fully staffing the service owing to new staff requiring induction and training by experienced Home Care staff within the caring environment. Fourteen new members of staff have recently been appointed and it is anticipated that by the end of the financial year the service will be running at optimum capacity.

As previously reported, during the restructure of the Home Care Service a number of employees opted to take voluntary redundancy. The costs will be funded by a Specific Revenue Reserve (SRR). (Line 18).

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Line 3: Learning Disability Purchasing

Current Variance: £76,200 Adverse

Forecast Variance: £175,000 Adverse

A number of factors have influenced the adverse variance on this budget, namely, additional complex packages for Home Care since the start of the year and increased costs for respite with effect from August, 2005.

The pressures on this service are compounded by the much improved life expectancy of people with learning disabilities. Also many carers, generally the parents of those service users, are becoming too frail to care for them as they have previously. It is estimated that over the next decade there will be ten new residential/supported living packages each year. There is also a market pressure on fee levels both locally and nationally.

The adverse forecast variance will be partially offset by the favourable forecast variance on Older People's Purchasing.

Line 5: Mental Health

Current Variance: £72,400 Favourable

Forecast Variance: £60,000 Favourable

The favourable variance in this group arises from staff vacancies incurred in the first six months of this financial year. It is anticipated that although appointments will be made, a favourable variance of approximately £60,000 is estimated at year-end.

Line 6: Older People Purchasing

Current Variance: £42,200 Favourable

Forecast Variance: £150,000 Favourable

The favourable variance on this budget has arisen owing to slippage in the use of Government grants and the phased application of development monies. Also there have been fewer admissions to Residential Care and intensive packages of care at home, arising from the success of work carried out in the areas of hospital discharges and multi-link practice.

The year-end position anticipates a continued approach of not allocating Access & Systems Capacity grant to new developments. The grant slippage will enable us to utilise the monies to offset the adverse variance in Learning Disabilities Purchasing. The use of grants in this way is not sustainable as under development will add to the already growing pressures in future years.

Other pressures, which face Older People budgets over the next decade include increased life expectancy. The number of clients aged 85 and over will rise and our current investment into Elderly

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Mentally Infirm (EMI) services is insufficient. Also the weekly cost of Care Home beds is due to be reviewed from April, 2006.

In accordance with the Authority's Financial Procedure Rules a transfer of resources from revenue to capital has been proposed by the Acting Director of Adult & Community Services and agreed by the Chief Financial Officer: -

- Lynn Street ATC – the transfer of £120,000 has been made to cover the cost of demolition of Lynn Street ATC, which has been vacant for a number of years and is in a dangerous state of repair.

Line 7: Older People Residential Care (Intermediate Care – Swinburne)

Current Variance: £52,300 Favourable

Forecast Outturn: £50,000 Favourable

The favourable variance on this budget has arisen owing to staffing vacancies. Staff are now in post and the existing favourable variance is likely to remain fairly static as the year progresses and the new service becomes more established.

Line 9: Support Services

Current Variance: £26,000 Adverse

Forecast Outturn: £75,000 Adverse

The adverse variance on this budget has arisen owing to staff advertisements and recruitment costs mainly for the new Director of the department, which has cost £50,000 to date.

The Council has employed consultants to review the faire price for care. The costs will be funded by the earmarked strategic revenue reserve. (Line 19).

Line 12: Adult Education

Current Variance: £99,300 Adverse

Forecast Variance: £99,300 Adverse

The Adult Education Service recently underwent a re-inspection by the Adult Learning Inspectorate. This resulted in additional expenditure being incurred in the academic year August, 2004 to July, 2005 on areas of weakness, in particular staff development. This has resulted in an adverse variance, which will be financed from the Adult Education specific reserve (see Line 23 below).

In addition, funding from the LSC for vocational training courses is subject to clawback if learner numbers do not achieve the targeted level. In the academic year August, 2004 to July, 2005 the target set underachieved for the first time and the LSC have the option to clawback an element of the funding given. The course fee and

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additional income generated in the same academic year is sufficient to cover this anticipated clawback.

Line 14: Consumer Services**Current Variance: £78,300 Favourable****Forecast Variance: Nil**

Vacant posts account for £25,300 of this variance. However, given the nature of the service and the need to undertake a statutory level of inspections this underspend will be used to employ specialist consultants to undertake the work. The balance of the underspend relates to early than anticipated receipt of Licensing Act income. This budget is projected to break even at the year-end.

Lines 17 - 22: Use of Reserves**Current Variance: Nil****Forecast Variance: Nil**

These reserves were created in previous years to fund one-off commitments. These reserves will be fully utilised by the year-end.

Line 23: Use of Adult Education Reserve**Current Variance: Nil****Forecast Variance: Nil**

This reserve was created to address short and long term pressures from within the Adult Education Service as identified in the Post Inspection Plan. It was originally forecasted that £151,300 of this reserve would be required this financial year, however, this has now been revised to £99,300 (see Line 13 above).

Line 24: Use of Bursary Reserve**Current Variance: Nil****Forecast Variance: Nil**

This reserve was created to ring fence the Council's share of the joint funded Bursary Scheme for Trainees. The appointment of trainees in the year has resulted in the need to use some of this Reserve.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report.

PORTFOLIO : ADULT & PUBLIC HEALTH SERVICE**Appendix 4.1****REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	1,582.3	1,640.0	57.7	Assessment and Care Management	3,128.3	3,213.3	85.0
2	747.9	610.1	(137.8)	Home Care	1,545.5	1,425.5	(120.0)
3	966.6	1,042.8	76.2	Learning Disability - Purchasing	2,131.8	2,306.8	175.0
4	751.3	745.5	(5.8)	Learning Disability - Support Services	1,521.5	1,521.5	0.0
5	634.8	562.4	(72.4)	Mental Health	1,271.2	1,211.2	(60.0)
6	2,985.7	2,943.5	(42.2)	Older People - Purchasing	6,357.4	6,207.4	(150.0)
7	175.9	123.6	(52.3)	Older People - Residential Care	361.3	311.3	(50.0)
8	656.6	653.4	(3.2)	Physical Disability	1,271.2	1,271.2	0.0
9	867.0	893.0	26.0	Support Services	1,541.2	1,616.2	75.0
10	107.7	90.6	(17.1)	Sensory Loss and Occupational Therapy	251.4	251.4	0.0
11	69.8	68.2	(1.6)	Service Strategy & Regulation	140.1	140.1	0.0
12	111.3	111.3	0.0	Adult Education	99.3	99.3	0.0
13	0.0	0.0	0.0	Supporting People	685.8	685.8	0.0
14	311.0	232.7	(78.3)	Consumer Services	826.1	826.1	0.0
15	287.8	279.9	(7.9)	Environmental Standards	301.7	301.7	0.0
16	10,255.7	9,997.0	(258.7)		21,433.8	21,388.8	(45.0)

CONTRIBUTION FROM RESERVES

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
17	0.0	0.0	0.0	Use of Supporting People Reserve	(308.0)	(308.0)	0.0
18	(130.0)	(130.0)	0.0	Home Care Reserve	(130.0)	(130.0)	0.0
19	(31.0)	(31.0)	0.0	Review of Charging Consultancy fees	(31.0)	(31.0)	0.0
20	0.0	(36.2)	(36.2)	Staff Accommodation Reserve	(36.2)	(36.2)	0.0
21	0.0	0.0	0.0	Use of Local Air Pollution Reserve	(12.0)	(12.0)	0.0
22	0.0	0.0	0.0	Use of Environmental Partnership Res.	(5.1)	(5.1)	0.0
23	(99.3)	(99.3)	0.0	Use of Adult Education Reserve	(99.3)	(99.3)	0.0
24	0.0	0.0	0.0	Use of Bursary Reserve	(6.0)	(6.0)	0.0
25	(260.3)	(296.5)	(36.2)		(627.6)	(627.6)	0.0
26	9,995.4	9,700.5	(294.9)	PORTFOLIO TOTALS	20,806.2	20,761.2	(45.0)

Appendix 5

Report of: Chief Executive and
Chief Financial Officer

Subject: FINANCE PORTFOLIO REVENUE
MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

- 1.1 To provide details of progress made towards achieving the Corporate Plan service improvement priorities and the Revenue budgets for the Finance Portfolio for the six months to 30th September, 2005.

2. PERFORMANCE UPDATE FOR THE PERIOD ENDING 30TH SEPTEMBER, 2005

- 2.1 Within the Finance Portfolio there are a total of 13 service improvement priorities (SIPs) that were identified in the 2005/2006 Corporate Plan. Overall performance is good, with 69% (9) of the SIPs having been assessed as being on or above target for completion by the agreed milestone. The total across all the Portfolios is 74% so this is just below the average. Only 1 SIP (8%) which is assessed as being below target and as such is unlikely to be achieved by the milestone. Table F1 below details the SIP, along with an explanation for the delay as well as any remedial action planned.

Table F1 – SIPs assessed as being below target

Improvement Priority (SIP)	Milestone	Comment
OD5/05 Freedom of information – Prepare records retention and disposal procedures	June 05	A period of sick leave has had an adverse effect on the timetabling arrangements. The draft policy requires further consideration with a final draft anticipated by December 2005. Roll out anticipated in first half of 2006.

- 2.2 A number of key performance indicators (KPIs) were included in the Corporate Plan as measures of success. A number of these can only be assessed and reported on an annual basis and are therefore not reported at this stage in the year. The Finance Portfolio only has 2 KPIs that it can report and both of these are assessed as being unsure of whether the target will be achieved – and explanations for this is shown in Table F2 below.

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Table F2 – KPIs assessed as being unsure of whether target will be achieved

Improvement Priority (SIP)	Target (2005/06)	Outturn	Comment
LPI CE 9a Annual Efficiency targets achieved: Cashable	£1.092m	-	Procedures not yet developed to specifically monitor progress. Delay owing to other priorities and limited staffing resources. However, because of nature of year one cashable efficiency monitoring can be delayed as savings should be achievable
LPI CE 9b Annual Efficiency targets achieved: Total	£2.184m	-	Procedures not yet developed to specifically monitor progress. Delay owing to other priorities and limited staffing resources. However, because of nature of year one cashable efficiency monitoring can be delayed as savings should be achievable

2.3 Key areas of progress made to date in the Finance Portfolio include: -

- Initial budget proposals for 2006/2007 have been approved and issued for Consultation;
- Annual Efficiency Statement has been submitted to ODPM.

3. **REVENUE MONITORING FOR PERIOD ENDING 30TH SEPTEMBER, 2005**

3.1 Details of Finance's actual expenditure and anticipated expenditure as at 30th September, 2005, are shown at **Appendix 5.1**.

3.2 In overall terms actual expenditure amounts to £1,791,000, compared to anticipated expenditure of £1,949,300, resulting in a current favourable variance of £158,300. It is anticipated that spending will be in line with budgets by the end of the financial year.

3.3 The main items to bring to Portfolio Holder's attention are: -

Line 5: Revenues

Current Variance: £100,500 Favourable

Forecast Variance: Nil

The current variance is owing to grant income being received earlier than anticipated. The budget is expected to be on target by the financial year-end.

Line 8: Miscellaneous

Current Variance: £40,600 Favourable

Forecast Variance: Nil

This variance is owing to a higher than anticipated amount of income received from the Shopping Centre receipts in the first quarter. The budget for the full year has not been adjusted to reflect this as future quarters may also vary from that originally anticipated.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report.

PORTFOLIO : FINANCE**Appendix 5.1****REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	461.3	459.2	(2.1)	Accountancy	808.4	808.4	0.0
2	30.2	31.9	1.7	Benefits	60.0	60.0	0.0
3	167.7	147.6	(20.1)	Internal Audit	293.4	293.4	0.0
4	78.3	81.6	3.3	Payments Unit	204.7	204.7	0.0
5	749.9	649.4	(100.5)	Revenues	1,014.7	1,014.7	0.0
6	92.8	92.1	(0.7)	Fraud	186.0	186.0	0.0
7	253.0	253.7	0.7	Legal Services	488.9	488.9	0.0
8	116.1	75.5	(40.6)	Miscellaneous	(2,473.1)	(2,473.1)	0.0
9	1,949.3	1,791.0	(158.3)		583.0	583.0	0.0
10	1,949.3	1,791.0	(158.3)	PORTFOLIO TOTALS	583.0	583.0	0.0

Appendix 6

Report of: Chief Executive,
Director of Neighbourhood Services and
Chief Financial Officer

Subject: PERFORMANCE MANAGEMENT PORTFOLIO
REVENUE MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

- 1.1 To provide details of progress made towards achieving the Corporate Plan service improvement priorities and the Revenue budgets for the Performance Management Portfolio for the six months to 30th September, 2005.

2. PERFORMANCE UPDATE FOR THE PERIOD ENDING 30TH SEPTEMBER, 2005

- 2.1 Within the Performance Management Portfolio there are a total of 46 service improvement priorities (SIPs) that were identified in the 2005/2006 Corporate Plan. Overall performance is very good, with 41 SIPs, or 89%, being assessed as being on or above target for completion by the agreed milestone, comparing favourably with the figure of 74% across all Portfolio areas. There are 3 SIPs (7%) which have been assessed as being 'below target' and as such is unlikely to be achieved by the milestone. Table PM1 below details the SIPs, along with an explanation for the delay as well as any remedial action planned.

Table PM1 – SIPs assessed as being below target

Improvement Priority (SIP)	Milestone	Comment
OD10/05.1 Implement developments following agreement of the corporate consultation strategy	From Apr 05	Joint Consultation/Communications/ Customer Strategy still to be approved. Plans are in hand to work on actions.
OD17/05.1 Complete Job Evaluation	Mar 06	Improved arrangements implemented whereby each department has dedicated Analyst days.
OD6/05.5 Review the Council's corporate identity/branding	Apr-Sept 05	Consideration is still being given to this. Further consultation is required with departments.

Appendix 6

- 2.2 A number of key performance indicators (KPIs) were included in the Corporate Plan as measures of success. A number of these can only be assessed and reported on an annual basis and only two indicators within the Performance Management Portfolio can be assessed at this stage. Of these two, 1 has been assessed as being on or above target and the other classified as 'unsure' as whilst performance is improving it is difficult to predict whether the target will be achieved.
- 2.3 Key areas of progress made to date in the Performance Management Portfolio include: -
- A new "e-consultation" system has been implemented enabling consultation exercises to be conducted electronically. The Employee survey to be conducted, using this method where appropriate, in November, 2005.
 - Successful "Talking to Communities" event held to begin consultation with BME communities.
 - Sickness absence improvement plan on target. Slight improvement already achieved.
 - New Scrutiny Forums and Work Programmes established.
 - All interactions with public, which are capable of electronic service delivery, on line for 100% target by December, 2005.

3. REVENUE MONITORING FOR PERIOD ENDING 30TH SEPTEMBER, 2005

- 3.1 Details of Performance Management's actual expenditure and anticipated expenditure as at 30th September, 2005, are shown at **Appendix 6.1**.
- 3.2 In overall terms actual expenditure amounts to £6,990,200, compared to anticipated expenditure of £7,059,700, resulting in a current favourable variance of £69,500. It is anticipated that spending will be in line with budgets by the end of the financial year.
- 3.3 The main items to bring to Portfolio Holder's attention are: -

Line 3: Corporate Services & Public Consultation
Current Variance: £55,300 Favourable
Forecast Variance: Nil

The current variance is owing to staff vacancies at the beginning of the year, some of which have now been filled. This best value unit has reached the full year's salary abatement target by the half-year point.

Appendix 6**Line 17: Property Services****Current Variance: £29,000 Adverse****Projected Variance: £58,000 Adverse**

This service is very similar to the DSO Trading Activities in that it relies on trading generated income to fund expenditure. Current estimates show a potential £58,000 adverse variance projected at the year-end. There are, however, certain caveats to this projection. The first being that income from projected schemes cannot be guaranteed. Failure to reach these targets will have an adverse effect on this account. Secondly, the number of staff leaving has had a major detrimental impact on this account. The reduction in directly employed technical staff has resulted in the employment of agency personnel to meet the required workloads. The costs associated with agency labour are far higher than those associated with direct employment and this has resulted in the adverse variance currently projected. Officers are monitoring this budget to attempt to avoid any adverse variance at the year-end.

Line 18: Building Cleaning**Current Variance: £13,000 Adverse****Forecast Variance: £25,300 Adverse**

Additional pressures associated with the archive store being brought into operational service as office space have added cost pressures to this service. Every attempt will be made to minimise this variance but additional funding is being sought to cover the extra cost associated with the Archive Store building.

Line 22: Use of Single Status Reserve**Current Variance: Nil****Forecast Variance: Nil**

This reserve was set up to meet specific ongoing staffing costs, has been fully utilised at this point in the year.

Line 23: Use of Local Land and Property Gazetteer Reserve**Current Variance: Nil****Forecast Variance: Nil**

This reserve was set up to meet salary, training and software expenses and will be fully utilised by the financial year-end.

Line 24: Use of Senior HR Staff Reserve**Current Variance: Nil****Forecast Variance: Nil**

This reserve was set up to meet extra staffing needed to support the corporate restructure and subsequent departmental restructures and will be fully utilised by the financial year-end.

Appendix 6

Line 25: Use of Accommodation Changes Reserve

Current Variance: Nil

Forecast Variance: Nil

This reserve is linked with the above reserve (Line 24), to meet accommodation changes and will be fully utilised by the financial year-end.

Line 26: Use of Legionella Reserve

Current Variance: Nil

Forecast Variance: Nil

The reserve was set up to carry forward amounts set aside to pay for compliance works as they arise. The amount to be used from the reserve is based on the current estimate of these costs in relation to schools.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report.

PORTFOLIO : PERFORMANCE MANAGEMENT**Appendix 6.1****REVENUE MONITORING REPORT PERIOD ENDING 30 SEPTEMBER 2005**

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/06 Latest Budget	2005/06 Projected Outturn	Projected Variance Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	56.6	54.0	(2.6)	Public Relations	124.4	124.4	0.0
2	121.7	117.8	(3.9)	Democratic Services	249.2	249.2	0.0
3	273.2	217.9	(55.3)	Corporate Strategy & Public Consultation	548.9	548.9	0.0
4	84.0	73.2	(10.8)	Support To Members	169.2	169.2	0.0
5	(74.6)	(79.0)	(4.4)	Other Office Services	(149.2)	(149.2)	0.0
6	46.6	49.4	2.8	Printing	63.0	63.0	0.0
7	3.3	5.4	2.1	Purchasing	13.7	13.7	0.0
8	46.2	32.4	(13.8)	Registration Services	91.5	91.5	0.0
9	303.4	323.5	20.1	Human Resources	715.6	715.6	0.0
10	165.8	148.0	(17.8)	Training & Equality	303.2	303.2	0.0
11	129.8	115.1	(14.7)	Contact Centre	259.7	259.7	0.0
12	789.4	776.2	(13.2)	Miscellaneous	1,218.8	1,218.8	0.0
13	30.0	30.0	0.0	Single Status	30.0	30.0	0.0
14	13.1	13.1	0.0	Local Land and Property Gazetteer	22.8	22.8	0.0
15	6.4	6.4	0.0	Senior HR Staff	20.0	20.0	0.0
16	1.4	1.4	0.0	Accommodation Changes	15.5	15.5	0.0
17	28.3	57.3	29.0	Property Services & Other	488.0	546.0	58.0
18	101.5	114.5	13.0	Building Cleaning	227.3	252.6	25.3
19	4,984.5	4,984.5	0.0	DSO	181.3	181.3	0.0
20	0.0	0.0	0.0	Contribution to NS Deficit	51.5	51.5	0.0
21	7,110.6	7,041.1	(69.5)		4,644.4	4,727.7	83.3

CONTRIBUTION FROM RESERVES

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/06 Latest Budget	2005/06 Projected Outturn	Projected Variance Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
22	(30.0)	(30.0)	0.0	Single Status Reserve	(30.0)	(30.0)	0.0
23	(13.1)	(13.1)	0.0	Local Land and Property Gazetteer Reserve	(22.8)	(22.8)	0.0
24	(6.4)	(6.4)	0.0	Senior HR Staff Reserve	(20.0)	(20.0)	0.0
25	(1.4)	(1.4)	0.0	Accommodation Changes Reserve	(15.5)	(15.5)	0.0
26	0.0	0.0	0.0	Legionella Reserve	(20.0)	(20.0)	0.0
27	(50.9)	(50.9)	0.0	TOTAL	(108.3)	(108.3)	0.0
28	7,059.7	6,990.2	(69.5)	PORTFOLIO TOTALS	4,536.1	4,619.4	83.3

CABINET REPORT

7th November, 2005



Report of: Chief Financial Officer

Subject: NRF, CAPITAL AND ACCOUNTABLE BODY
PROGRAMME MONITORING REPORT 2005/2006

SUMMARY

1. PURPOSE OF REPORT

To provide details of progress against the Council's overall Capital budget for 2005/2006 and progress against the Spending Programmes where the Council acts as the Accountable Body and Neighbourhood Renewal Fund (NRF).

The report considers the following areas: -

- NRF
- Capital Monitoring
- Accountable Body Programme Monitoring

2. SUMMARY OF CONTENTS

The report provides detailed monitoring reports for Capital for each Portfolio up to 30th September, 2005. The Finance Portfolio report also includes Accountable Body Programme spend for the same period. The report follows the format adopted for the previous report and budgets are reported by Portfolio Holder and analysed by department, to enable each Portfolio Holder to readily review their area of responsibility.

3. RELEVANCE TO CABINET

Cabinet has overall responsibility for the monitoring of the Council's budgets.

4. TYPE OF DECISION

None

5. DECISION MAKING ROUTE

Cabinet 7th November, 2005.

6. DECISION(S) REQUIRED

Cabinet is asked to note the report.

Report of: Chief Financial Officer

Subject: NRF, CAPITAL AND ACCOUNTABLE BODY
PROGRAMME MONITORING REPORT
2005/2006

1. PURPOSE OF REPORT

- 1.1 To inform Cabinet of progress against the Council's own 2005/2006 Capital budget; and progress against the spending programmes where the Council acts as the Accountable Body and Neighbourhood Renewal Fund (NRF) for the period to 30th September, 2005.
- 1.2 This report considers the following areas: -
- NRF
 - Capital Monitoring;
 - Accountable Body Programme Monitoring;

2. BACKGROUND

- 2.1 As explained in the separate Revenue Monitoring report elsewhere on this agenda, the reporting of Budget Monitoring information has been separated over two reports. This report concentrates on NRF, Capital and the spending programmes where the Council acts as Accountable Body.
- 2.2 This report reflects the recent changes in departmental responsibilities as well as reflecting the changes in Portfolio responsibilities. Therefore, the main reports have been prepared by Portfolio Holder respectively and analysed by department, allowing each Portfolio Holder to readily review their area of responsibility.
- 2.3 This report will be submitted to Scrutiny Co-ordinating Committee on 25th November, 2005. This will ensure that Scrutiny Committee are able to review the report at the earliest opportunity.

3. NRF MONITORING 2005/2006

- 3.1 Details of the NRF expenditure are summarised at Appendix A. Details of individual schemes are contained in Appendices 1-6 (blue pages). At this stage actual expenditure amounts to £1,175,600, compared to expected expenditure of £1,641,800, a favourable variance of £466,200. The Local Strategic Partnership reviews any

variances and agrees a revised Programme Budget to ensure a full spend on the NRF Programme. Therefore, this budget will be fully spent by the year-end.

4. CAPITAL MONITORING 2005/2006

- 4.1 Expenditure for all Portfolios are summarised at Appendix B. Detailed report by scheme are attached at Appendices 1-6 (blue pages). Actual expenditure to 30th September, 2005, totals £10,841,200, compared to an approved budget of £31,861,400. With a further £21,020,200 expected to be paid before the year-end.
- 4.2 The position is not unusual as there is traditionally a long lead time between the commencement of capital projects and payment for works executed. Detailed monitoring of progress on implementing capital projects indicate that the required financial outputs will be achieved by the year-end. The position will continue to be closely monitored throughout the remainder of the year.

5. ACCOUNTABLE BODY PROGRAMME

- 5.1 The Council acts as Accountable Body for the Hartlepool New Deal for Communities (NDC), Single Regeneration Budget (SRB) programmes and the Children's Fund Partnership. As part of its role as Accountable Body the Council needs to be satisfied that expenditure is properly incurred and is progressing as planned. In addition, the Council has been allocated monies from the Tees Valley Single Programme Partnership (SP). Although, we are not the Accountable Body for the Partnership, the Council still has responsibilities for ensuring that expenditure is properly incurred and progressing as planned. This objective is achieved through a variety of means, including your consideration of monitoring reports for these areas as follows: -

i) New Deal for Communities (NDC)

The management of NDC resources is subject to specific Government regulations where the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme. The programme is currently forecasting full year expenditure at £10,115,500 against a grant approval of £10,200,000. The forecast is very close to the allocation and is being closely monitored.

Details of progress against NDC revenue and capital budgets are summarised at Appendix C, Table 1. Detailed reports showing individual schemes are included within Appendices 5.1, Table 2 and 5.2, Table 3 (blue pages).

There are no items to bring to Members attention and expenditure will be within the approved limits.

ii) **Single Regeneration Budget (SRB)**

The Council act as Accountable Body for the North Hartlepool Partnership. Details of progress against the approved budget are summarised at Appendix C, Table 2. Detailed reports showing individual schemes are included with Appendices 5.1, Table 1 and 5.2, Table 2 (blue pages).

There are no items to bring to Members attention and expenditure will be on target at the year-end.

iii) **Single Programme (SP)**

These monies are allocated to the Council by Tees Valley Single Programme Partnership. The Partnership Board approves the annual delivery plan. Details of progress against budgets are summarised at Appendix C, Table 3. Schemes are detailed within Appendices 5.1, Table 3 and 5.2, Table 4 (blue pages).

There are no items to bring to Members attention and expenditure will be on target at the year-end.

iv) **Children's Fund**

The Children's Fund is funded by the Children and Young Persons Unit (CYPUP).

The Children's Fund have been granted a budget of £444,200 for financial year 2005/2006 along with £40,000 carried forward from 2004/2005. Actual expenditure to date amounts to £184,600 as set out in Appendix C, Table 4 (blue pages). Detailed information is set in Appendix 5, Table 4 (blue pages).

6. RECOMMENDATIONS

- 6.1 It is recommended that Members note the report.

Appendix A

NEIGHBOURHOOD RENEWAL FUND - REVENUE MONITORING REPORT TO 30TH SEPTEMBER 2005

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/06 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	1,296.9	966.8	(330.1)	Regeneration & Planning	2,851.6	2,851.6	0.0
2	72.7	67.1	(5.6)	Childrens Services	209.5	194.0	(15.5)
3	272.2	141.7	(130.5)	Adult Services	528.8	528.8	0.0
	1,641.8	1,175.6	(466.2)		3,589.9	3,574.4	(15.5)

Appendix B

CAPITAL MONITORING REPORT TO 30TH SEPTEMBER 2005

Line No	Portfolio	2005/06 Budget	2005/06 Actual	2005/06 Expenditure Remaining	2005/06 Total Expenditure	2005/06 Variance from budget
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F (F=D+E)	Col. G (G=F-C)
£	£	£	£	£	£	£
1	Regeneration & Liveability	1,938.5	342.3	1,596.2	1,938.5	0.0
2	Culture, Housing & Transport	15,538.6	4,878.1	10,660.5	15,538.6	0.0
3	Children's Services	7,357.2	2,774.3	4,582.9	7,357.2	0.0
4	Adult & Public Health Services	1,166.7	414.4	752.3	1,166.7	0.0
5	Finance	3,262.4	995.1	2,267.3	3,262.4	0.0
6	Performance Management	2,598.0	1,437.0	1,161.0	2,598.0	0.0
	Total Capital Expenditure	31,861.4	10,841.2	21,020.2	31,861.4	-

Appendix C

ACCOUNTABLE BODY PROGRAMMES - MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005

Line No	Actual Position 30/09/05			Description of Expenditure	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/06 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col.C	Col. D (D=C-B)	Col. E	Col. F	Col.G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
				<u>TABLE 1 - New Deal for Communities</u>			
1	2,462.1	2,035.3	(426.8)	Revenue Projects	5,909.9	5,909.9	0.0
2	2,509.4	2,509.4	0.0	Capital Projects	4,391.1	4,391.1	0.0
3	4,971.5	4,544.7	(426.8)	Total NDC	10,301.0	10,301.0	0.0
				<u>TABLE 2 - SRB North Hartlepool Partnership</u>			
4	233.3	171.6	(61.7)	Revenue Projects	494.9	461.3	(33.6)
5	1,590.0	1,590.0	0.0	Capital Projects	3,941.6	3,941.6	0.0
6	1,823.3	1,761.6	(61.7)	Total SRB	4,436.5	4,402.9	(33.6)
				<u>TABLE 3 Single Programme</u>			
7	229.9	185.7	(44.2)	Revenue Projects	657.9	657.9	0.0
8	17.3	17.3	0.0	Capital Projects	31.8	31.8	0.0
9	247.20	203.00	(44.2)	Total SP	689.7	689.70	0.0
				<u>TABLE 4 - Miscellaneous</u>			
10	187.0	184.6	(2.4)	Childrens Fund	484.2	484.2	0.0
11	187.0	184.6	0.0	Total Miscellaneous	484.2	484.2	0.0

Report of: Director of Regeneration & Planning,
Director of Neighbourhood Services and
Chief Financial Officer

Subject: REGENERATION & LIVEABILITY PORTFOLIO
NRF & CAPITAL MONITORING REPORT
2005/2006

1. PURPOSE OF REPORT

- 1.1 To provide details of progress against the NRF budget and Capital budget for the Regeneration & Liveability Portfolio for 2005/2006.

**2. NRF MONITORING FOR PERIOD ENDING
30TH SEPTEMBER, 2005**

- 2.1 Details of Regeneration's NRF actual expenditure and anticipated expenditure as at 30th September, 2005, are shown at **Appendix 1.1**.
- 2.2 In overall terms actual expenditure amounts to £984,100, compared to anticipated expenditure of £1,357,200, resulting in a current favourable variance of £373,100.
- 2.3 It is anticipated that by the end of the financial year the full NRF allocation for this Portfolio will have been spent.
- 2.4 There are no major items to bring to the Portfolio Holder's attention.

**3. CAPITAL MONITORING FOR PERIOD ENDING
30TH SEPTEMBER, 2005**

- 3.1 Details of anticipated and actual capital expenditure as at 30th September, 2005, is summarised in **Appendix 1.2** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 30th September, 2005
- Column D - Expected remaining expenditure to be incurred in the period October, 2005 to March, 2006
- Column E - Expected total expenditure to be incurred by 31st March, 2006
- Column F - Column E less Column B = expected slippage or over/under spend
- Column G - Type of financing

- 3.2 Detailed analysis of these schemes are on deposit in the Member's Library.
- 3.3 Actual expenditure to date amounts to £342,300, compared to the approved budget of £1,938,500, with £1,596,200 of expenditure remaining.
- 3.4 The projected outturn is currently estimated to be £1,938,500, compared to anticipated expenditure of £1,938,500, resulting in a nil outturn variance.
- 3.5 There are no major items to bring to Portfolio Holder's attention.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report.

PORTFOLIO : REGENERATION & PLANNING**Appendix 1.1****NEIGHBOURHOOD RENEWAL FUND****REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000	Col. E	£'000	£'000	£'000
1	30.0	12.8	(17.2)	Management & Consultancy	60.0	60.0	0.0
2	51.2	23.8	(27.4)	Basic Skills Training	102.4	102.4	0.0
3	17.0	17.3	0.3	Neighbourhood Renewal Officer	33.9	33.9	0.0
4	12.6	14.5	1.9	Targeted Training	25.3	25.3	0.0
5	25.1	0.0	(25.1)	Womens Opportunities	50.3	50.3	0.0
6	37.7	36.9	(0.8)	Jobsbuild	75.3	75.3	0.0
7	51.0	40.8	(10.2)	ILM	102.1	102.1	0.0
8	2.5	1.9	(0.6)	Tourism/Business Marketing	5.0	5.0	0.0
9	11.9	12.2	0.3	Marketing Assistant	23.7	23.7	0.0
10	14.2	5.7	(8.5)	Employment Co-ordinator	28.5	28.5	0.0
11	4.0	8.0	4.0	Hartlepool Action Team for Jobs Marketing	8.0	8.0	0.0
12	19.0	18.7	(0.3)	Improving the Employment Offer	38.0	38.0	0.0
13	11.9	9.5	(2.4)	Employment Skills Officer	23.7	23.7	0.0
14	10.0	0.4	(9.6)	Self Employment Training	20.0	20.0	0.0
15	25.0	11.7	(13.3)	Learning Mentors	50.0	50.0	0.0
16	21.0	10.9	(10.1)	Study Support Officer	42.0	42.0	0.0
17	40.9	26.6	(14.3)	North Central Hartlepool Delivery Team Staff Costs	81.8	81.8	0.0
18	52.2	21.1	(31.1)	North Central Hartlepool Residents' Priorities	104.3	104.3	0.0
19	58.3	70.1	11.8	Assisting Local People into Work	116.6	116.6	0.0
20	111.0	92.4	(18.6)	Incubator System	222.2	222.2	0.0
21	32.5	65.0	32.5	Volunteering into Employment	65.0	65.0	0.0
22	4.3	0.0	(4.3)	Skills & Knowledge	8.6	8.6	0.0
23	41.3	36.2	(5.1)	Dyke House Jackson Environmental Team	79.4	79.4	0.0
24	24.2	25.6	1.4	Rift House/Burn Valley NAP	48.4	48.4	0.0
25	35.5	8.0	(27.5)	NRF Owtton NAP	71.0	71.0	0.0
26	20.0	0.0	(20.0)	Rossmere NAP	40.0	40.0	0.0
27	44.5	0.0	(44.5)	NRF North Hartlepool NAP	89.0	89.0	0.0
28	7.5	1.5	(6.0)	Community Safety Small Grants Fund	15.0	15.0	0.0
29	12.5	14.2	1.7	Anti Social Behaviour Officer	25.0	25.0	0.0
30	150.0	162.9	12.9	Community Safety Wardens	300.0	300.0	0.0
31	17.5	28.3	10.8	Diversionsary Activities - Weekend Youth Clubs	35.0	35.0	0.0
32	85.0	42.6	(42.4)	Target Hardening	170.0	170.0	0.0
33	59.7	26.6	(33.1)	Hartlepool Scheme for Prolific Offenders	119.4	119.4	0.0
34	12.5	10.0	(2.5)	Project Assistant	25.0	25.0	0.0
35	17.3	20.2	2.9	COOL Project	34.7	34.7	0.0
36	77.5	61.2	(16.3)	Families Changing Communities	155.0	155.0	0.0
37	7.2	3.9	(3.3)	Addvance Project	14.4	14.4	0.0
38	16.7	16.4	(0.3)	ASB Analyst	33.5	33.5	0.0
39	17.0	8.9	(8.1)	Burglary Prevention	34.0	34.0	0.0
40	2.4	0.0	(2.4)	Burbank Sports	4.8	4.8	0.0
41	5.3	0.0	(5.3)	COOL Project Jesmond Road	10.6	10.6	0.0
42	0.0	0.0	0.0	Community Coordination	45.8	45.8	0.0
43	0.0	0.0	0.0	Landlord Accreditation	44.0	44.0	0.0
44	0.0	0.0	0.0	Young Firefighters	170.9	170.9	0.0
45	1,296.9	966.8	(330.1)		2,851.6	2,851.6	0.0

PORTFOLIO : REGENERATION & LIVEABILITY**Appendix 1.2****CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

		EXPENDITURE IN CURRENT YEAR					
	A	B	C	D	E	F	G
Element 3 Code	Scheme Title	2005/2006 Budget £'000	2005/2006 Actual as at 30/09/05 £'000	2005/2006 Expenditure Remaining £'000	2005/2006 Total Expenditure £'000	2005/2006 Variance from budget £'000	Type of financing
AR51600	Newburn Bridge Units - Electrical Refit Works	42.0	42.0	0.0	42.0	0.0	MIX
RGC00004	Brougham Enterprise Centre Refurbishment	500.0	0.0	500.0	500.0	0.0	GRANT
HLF011	Railing Restoration	2.9	2.9	0.0	2.9	0.0	GRANT
AR51017	Security Grants	25.0	0.0	25.0	25.0	0.0	MIX
RGC00001	Community Safety - Newburn Bridge Ind Est CCTV	0.0	0.0	0.0	0.0	0.0	UPB
AR50130	Minor Works - North	63.1	0.0	63.1	63.1	0.0	MIX
AR50131	Minor Works - South	75.0	10.8	64.2	75.0	0.0	MIX
AR50143	Minor Work - Central	61.7	6.4	55.3	61.7	0.0	MIX
AR55004	Wheely Bin Purchase	62.7	11.5	51.2	62.7	0.0	UPB
NSC00004	Sand.Rd/Sheriff St-C	4.5	0.3	4.2	4.5	0.0	CAPR
NSC00007	Recycling Scheme (Pr	609.5	11.5	598.0	609.5	0.0	UPB
NSC00003	Burbank Street Removal of Scrub Beds	5.0	0.0	5.0	5.0	0.0	CAPR
AR40039	Community Rehabilitation Centre	304.8	242.6	62.2	304.8	0.0	GRANT
RGC00029	YOS Reparation Vehicle	14.2	14.2	0.0	14.2	0.0	RCCO
CS000014	CSS - Victims of Burglary	2.7	0.0	2.7	2.7	0.0	CAPR
COMSFTY	Community Safety Strategy	59.4	0.0	59.4	59.4	0.0	UPB
CS000028	CSS - Alleygates	27.3	0.0	27.3	27.3	0.0	CAPR
CS000025	CSS - CCTV Digital Recording	3.5	0.0	3.5	3.5	0.0	UPB
AR25201	ASBO - Police Office - Jutland Road	30.0	0.1	29.9	30.0	0.0	RCCO
CS000003	Building Safer Communities	45.2	0.0	45.2	45.2	0.0	GRANT
		1,938.5	342.3	1,596.2	1,938.5	0.0	

Report of: Director of Regeneration & Planning,
Director of Neighbourhood Services,
Acting Director of Adult & Community Services
and Chief Financial Officer

Subject: CULTURE, HOUSING AND
TRANSPORTATION PORTFOLIO CAPITAL
MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

- 1.1 To provide details of progress against the Capital budget for the Culture, Housing and Transportation Portfolio for 2005/2006.

**2. CAPITAL MONITORING FOR PERIOD ENDING
30TH SEPTEMBER, 2005**

- 2.1 Details of anticipated and actual capital expenditure as at 30th September, 2005, is summarised in **Appendix 2.1** and shows:

Column A - Scheme Title
Column B - Budget for Year
Column C - Actual expenditure to 30th September, 2005
Column D - Expected remaining expenditure to be incurred in the period October, 2005 to March, 2006
Column E - Expected total expenditure to be incurred by 31st March, 2006
Column F - Column E less Column B = expected slippage or over/under spend
Column G - Type of financing

- 2.2 Detailed analysis of these schemes are on deposit in the Member's Library.

- 2.3 Actual expenditure to date amounts to £4,878,100, compared to the approved budget of £15,538,600, with £10,660,500 of expenditure remaining. The forecast outturn for the year is £15,538,600, resulting in a nil variance.

- 2.4 There are no major items to bring to Portfolio Holder's attention.

3. RECOMMENDATIONS

- 3.1 It is recommended that Members note the report.

PORTFOLIO : CULTURE, HOUSING AND TRANSPORTATION**Appendix 2.1****CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

		EXPENDITURE IN CURRENT YEAR					
	A	B	C	D	E	F	G
Element 3 Code	Scheme Title	2005/2006 Budget £'000	2005/2006 Actual as at 30/09/05 £'000	2005/2006 Expenditure Remaining £'000	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
AR20707	Museum Capital Works	117.1	0.0	117.1	117.1	0.0	RCCO
CS000016	Wingfield Castle - replace deckings	47.0	0.0	47.0	47.0	0.0	RCCO
AR20111	SWGH - DDA Works	10.0	0.0	10.0	10.0	0.0	MIX
CS000017	Historic Quay - Redecoration of frontages	2.4	0.0	2.4	2.4	0.0	RCCO
CS000023	Library Improvements	1.9	0.0	1.9	1.9	0.0	RCCO
CPCHS11-25	Summerhill - all	11.3	0.0	11.3	11.3	0.0	MIX
CPCHS2	Ward Jackson Park Refurbishment	13.6	2.1	11.5	13.6	0.0	MIX
ASC00004	Ward Jackson Park - Fountain Repairs	8.2	0.0	8.2	8.2	0.0	MIX
AR54500 & SRBC	Rossmere Lake/Green Wedge Improvements	1.3	0.0	1.3	1.3	0.0	CAPR
AR40101	Burn Valley Improvements	176.3	37.5	138.8	176.3	0.0	MIX
AR40096	Grayfields Sports Strategy	1,295.2	51.9	1,243.3	1,295.2	0.0	MIX
AR40095	Grayfields Bowling Env Imps	6.3	1.7	4.6	6.3	0.0	MIX
ASC00005	Bowling Green Improvements	20.0	0.0	20.0	20.0	0.0	MIX
CS000012	Seaton Play Area Improvements	10.8	8.5	2.3	10.8	0.0	MIX
CSC00029	Greatham Play Area Equipment	40.0	0.0	40.0	40.0	0.0	RCCO
RGC00002	Jutland Road Play Area Upgrade	20.0	0.0	20.0	20.0	0.0	GRANT
ASC00002	Burn Valley Playground CCTV	14.7	0.0	14.7	14.7	0.0	UPB
AR40306	Throston Community Centre	30.0	0.0	30.0	30.0	0.0	MIX
AR40102	Seaton Carew Cricket Club Ground Imps	20.0	0.0	20.0	20.0	0.0	CAPR
CS000004-9	Wildspaces - All	9.7	2.9	6.8	9.7	0.0	MIX
CS000010	English Nature - Sea Buckthorn Clearance	3.5	0.0	3.5	3.5	0.0	GRANT
NOF012CA-F	NOF Playing Fields - ALL	61.8	2.5	59.3	61.8	0.0	MIX
CSC00027	H2O	2,000.0	0.1	1,999.9	2,000.0	0.0	MIX
CS000019	Countryside Development Works	15.0	0.0	15.0	15.0	0.0	MIX
CS000024	King George V- Fencing Works	2.8	2.8	0.0	2.8	0.0	RCCO
NRFC001	Skateboard Park	5.3	0.0	5.3	5.3	0.0	MIX
NRFC005	NRF- Waverley Allotments	85.0	4.1	80.9	85.0	0.0	MIX
NSC00021	HRA Residual	77.0	49.1	27.9	77.0	0.0	CAPR
AR50103	Disabled Facility Grants	497.0	105.9	391.1	497.0	0.0	MIX
AR50210/216	North Central Hartlepool	5,539.2	3,392.5	2,146.7	5,539.2	0.0	MIX
AR50205	Research/Consultancy	30.0	0.0	30.0	30.0	0.0	SHIP
NEW	Contribution to Sub Region	10.0	0.0	10.0	10.0	0.0	SHIP
AR50104	Home Plus Grants (provided by Endeavour HA)	154.0	54.9	99.1	154.0	0.0	SHIP
AR50218	Thermal Efficiency	270.0	0.7	269.3	270.0	0.0	SHIP
AR50111/NDC1HS	Housing Renewal	552.0	128.0	424.0	552.0	0.0	SHIP
AR51215	Low Floor Infrastructure	30.0	2.5	27.5	30.0	0.0	SCE
AR51216	Bus Shelter Improvements	10.0	0.0	10.0	10.0	0.0	SCE
AR51242	Other Bus Measures	10.0	6.9	3.1	10.0	0.0	SCE
AR51291	Bus Quality Corridor	20.0	2.0	18.0	20.0	0.0	SCE
AR51292	Tees Valley Bus Real Time Information	20.0	7.2	12.8	20.0	0.0	SCE
AR51381	CCTV on Buses	10.0	0.2	9.8	10.0	0.0	SCE
AR51383	Rural Bus Challenge	44.0	0.0	44.0	44.0	0.0	SCE
AR51223	Cycle Routes General	1.6	(1.3)	2.9	1.6	0.0	SCE
NSC00026	Greatham Cycleway	10.0	0.0	10.0	10.0	0.0	SCE
AR51247	Cycling - Greatham Greenway	18.8	0.6	18.2	18.8	0.0	GRANT
AR51284	Cycle Parking	5.0	0.4	4.6	5.0	0.0	SCE
AR51410	King Oswy Drive/West View Road Cycle Route	5.0	3.7	1.3	5.0	0.0	SCE
AR51412	Advanced Cycle Route Scheme Design	10.0	0.3	9.7	10.0	0.0	SCE
NSC00027	Brenda Road Cycleway	24.8	24.8	0.0	24.8	0.0	SCE
AR51224	Burn Valley Cycle Route	13.5	13.5	0.0	13.5	0.0	SCE
NSC00028	Cycling Strategy	15.6	10.6	5.0	15.6	0.0	SCE
AR51220	Safer Routes to School	64.0	(7.2)	71.2	64.0	0.0	SCE
AR51245	Dropped Crossings	25.0	0.0	25.0	25.0	0.0	SCE
AR51246	Guarding	0.7	0.7	0.0	0.7	0.0	SCE
AR51248	Other Street Lighting	113.0	47.1	65.9	113.0	0.0	SCE
AR51240	Minor Works	20.0	3.5	16.5	20.0	0.0	SCE
AR51286	A689 Corridor Study	45.0	8.7	36.3	45.0	0.0	SCE
AR51287	Town Centre Signage	20.0	1.1	18.9	20.0	0.0	SCE
AR51288	Decriminalised Parking Enforcement	25.0	20.1	4.9	25.0	0.0	SCE
AR51389	Mass Action at Give Way Junctions	5.0	3.8	1.2	5.0	0.0	SCE
AR51415	Hart Lane Study	55.5	55.3	0.2	55.5	0.0	SCE
NSC00029	Congestion Reduction	26.7	0.0	26.7	26.7	0.0	SCE
NSC00030	Longhill Industrial Estate Improvements	50.0	0.0	50.0	50.0	0.0	SCE
NSC00031	Seaton Carew Safety Improvements	30.0	10.2	19.8	30.0	0.0	SCE
AR51244	Hartlepool Transport Interchange	2,027.2	8.0	2,019.2	2,027.2	0.0	CAPR
AR51043	Local Safety Scheme	15.0	0.0	15.0	15.0	0.0	SCE
AR51295	Minor Safety Schemes	0.1	0.1	0.0	0.1	0.0	SCE
AR51388	Safer Streets Initiative	25.0	4.5	20.5	25.0	0.0	SCE
AR40027	Community Safety Car Parks	20.0	0.0	20.0	20.0	0.0	SCE
AR40012	Community Safetyy-Social Lighting Programme	16.6	0.1	16.5	16.6	0.0	MIX

PORTFOLIO : CULTURE, HOUSING AND TRANSPORTATION**Appendix 2.1 (cont)****CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 30/09/05 £'000	2005/2006 Expenditure Remaining £'000	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
AR53015	Coastal Protection Strategic Study	5.1	3.5	1.6	5.1	0.0	GRANT
AR53019	Stell River Improvement Project	0.3	0.0	0.3	0.3	0.0	GRANT
AR51249	Local Transportation Plan-Monitoring	10.0	0.5	9.5	10.0	0.0	SCE
NSC00032	LTP2 Development	40.0	23.1	16.9	40.0	0.0	SCE
NSC00023	Pride in Hartlepool	20.0	2.7	17.3	20.0	0.0	SCE
AR40037	Community Safety-Alleyway Stopping-Up Programme	5.9	3.7	2.2	5.9	0.0	CAPR
AR53025	Coronation Drive Coast Protection Works Phase 3	8.1	0.0	8.1	8.1	0.0	GRANT
AR53027	Alleygates Capital Works	15.7	1.8	13.9	15.7	0.0	CAPR
AR53032	Greenland Creosote Works	11.2	3.3	7.9	11.2	0.0	SCE
AR53033	Former Spion Kop - Contaminated Land	22.6	3.8	18.8	22.6	0.0	SCE
AR53035	Lithgo Close - Contaminated Land	60.6	60.6	0.0	60.6	0.0	SCE
AR51254	Travel Plans	20.0	11.4	8.6	20.0	0.0	SCE
AR51297	Sustainable Travel Awareness	12.3	12.3	0.0	12.3	0.0	SCE
NSC00033	Repainting Hart Railway Bridge	62.1	62.1	0.0	62.1	0.0	SCE
NSC00034	Greatham Creek Bridge Repairs	42.9	0.0	42.9	42.9	0.0	SCE
NSC00035	Brenda Road Railway Bridge	0.7	0.7	0.0	0.7	0.0	SCE
AR51251	Highways Maintenance Other Schemes	0.3	0.0	0.3	0.3	0.0	SCE
NSC00011	Jesmond Gardens	18.8	0.0	18.8	18.8	0.0	SCE
NSC00012	Chester Road	18.8	0.0	18.8	18.8	0.0	SCE
NSC00013	St Aidans Street	12.7	0.0	12.7	12.7	0.0	SCE
NSC00014	Longfellow Walk	11.3	0.0	11.3	11.3	0.0	SCE
NSC00015	Clarence Road	5.3	1.4	3.9	5.3	0.0	SCE
NSC00018	A689 Wynyard Road	260.7	260.7	0.0	260.7	0.0	SCE
NSC00024	Park Drive footpath scheme	11.1	0.0	11.1	11.1	0.0	SCE
NSC00025	Greatham Link Road footpath	5.5	0.0	5.5	5.5	0.0	SCE
NSC00037	Easington Road Drainage	3.0	0.0	3.0	3.0	0.0	SCE
AR51281	Station Lane Pumping Station	57.8	5.7	52.1	57.8	0.0	SCE
AR51071	Highways Remedial Works - Hartlepool Marina	16.3	10.0	6.3	16.3	0.0	TDC
AR51416	New Car Park York Road Flatlets	104.9	66.1	38.8	104.9	0.0	CAPR
AR53020	Tees Valley Boundary Signs	8.4	3.0	5.4	8.4	0.0	GRANT
AR53026	Morrisons Supermarket - Section 278	2.2	2.2	0.0	2.2	0.0	GRANT
AR53034	Rural Bus Challenge Scheme	70.0	0.0	70.0	70.0	0.0	GRANT
AR53036	Coronation Drive - new car park	6.4	0.0	6.4	6.4	0.0	CAPR
AR53037	Marks & Spencer Car Park Refurbishment	290.2	234.5	55.7	290.2	0.0	CAPR
AR53038	Waldon Street Access Road Improvements	2.4	0.0	2.4	2.4	0.0	CAPR
AR53039	Open Market Resurfacing	45.2	1.8	43.4	45.2	0.0	CAPR
AR51413	Clavering to King Oswy Drive(Sustrans Links to Schools)	55.0	20.0	35.0	55.0	0.0	SCE
AR51289	Motorcycle Parking	0.1	0.1	0.0	0.1	0.0	SCE
AR51385	Murray Street LSS	5.0	4.0	1.0	5.0	0.0	SCE
AR51278	Holdforth Rd-Easington Rd to exit Hospital- Reconst	6.5	6.5	0.0	6.5	0.0	SCE
NSC00038	I Block Surface Dressing	71.3	0.0	71.3	71.3	0.0	SCE
NSC00039	Bamburgh Road Surface Dressing	31.4	0.0	31.4	31.4	0.0	SCE
NRFC002	Street Lighting	50.0	0.0	50.0	50.0	0.0	GRANT
RGC00003	Acquisition, Improvement & Demolition of Housing Stock	40.0	0.0	40.0	40.0	0.0	GRANT
		15,538.6	4,878.1	10,660.5	15,538.6	0.0	

Report of: Director of Children's Services,
Director of Adult & Community Services and
Chief Financial Officer

Subject: CHILDREN'S SERVICES PORTFOLIO NRF
AND CAPITAL MONITORING REPORT
2005/2006

1. PURPOSE OF REPORT

- 1.1 To provide details of progress against the NRF budget and Capital budget for the Children's Services Portfolio for 2005/2006.

**2. NRF MONITORING FOR PERIOD ENDING
30TH SEPTEMBER, 2005**

- 2.1 Details of Children's Services NRF actual expenditure and anticipated expenditure as at 30th September, 2005, are shown at **Appendix 3.1**.
- 2.2 In overall terms actual expenditure amounts to £67,100, compared to anticipated expenditure of £72,700, resulting in a current favourable variance of £5,600.
- 2.3 The projected outturn is currently estimated to be £194,000, compared to the budget of £209,500, resulting in a forecast favourable variance of £15,500.
- 2.4 There are no major items to bring to the Portfolio Holder's attention.

**3. CAPITAL MONITORING FOR PERIOD ENDING
30TH SEPTEMBER, 2005**

- 3.1 Details of anticipated and actual capital expenditure as at 30th September, 2005, is summarised in **Appendix 3.2** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 30th September, 2005
- Column D - Expected remaining expenditure to be incurred in the period October, 2005 to March, 2006
- Column E - Expected total expenditure to be incurred by 31st March, 2006
- Column F - Column E less Column B = expected slippage or over/under spend

Column G - Type of financing

- 3.2 Detailed analysis of these schemes are on deposit in the Member's Library.
- 3.3 Actual expenditure to date amounts to £2,774,300, compared to the approved budget of £7,357,200, with £4,582,900 of expenditure remaining.
- 3.4 The projected outturn is currently estimated to be £7,357,200, compared to anticipated expenditure of £7,357,200, resulting in a nil outturn variance.
- 3.5 There are no major items to bring to Portfolio Holder's attention.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report.

PORTFOLIO : CHILDRENS SERVICES**Appendix 3.1****NEIGHBOURHOOD RENEWAL FUND****REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/06 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	6.0	5.6	(0.4)	NRF - Attendance/Behaviour/Mobility	12.0	12.0	0.0
2	25.0	19.9	(5.1)	NRF - Education Business Links	50.0	34.0	(16.0)
	2.5	2.5	0.0	NRF - Project Co-ordination	10.0	10.0	0.0
3	0.0	0.0	0.0	NRF - Contingency	14.3	14.3	0.0
4	12.5	12.7	0.2	NRF - Behaviour	26.0	27.0	1.0
5	5.3	5.0	(0.3)	NRF - Childrens Services - Emotional Literacy	20.5	20.0	(0.5)
6	10.3	10.3	0.0	NRF - New Initiatives	37.0	37.0	0.0
7	11.1	11.1	0.0	NRF - PCT Occupational Care for Kids	39.7	39.7	0.0
8	72.7	67.1	(5.6)		209.5	194.0	(15.5)

PORTFOLIO : CHILDREN'S SERVICES**Appendix 3.2****CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 30/09/05 £'000	2005/2006 Expenditure Remaining £'000	2005/2006 Total Expenditure £'000	2005/2006 Variance from budget £'000	Type of financing
AR70026	Barnard Grove Primary Roofing/Windows (04/05)	0.5	0.0	0.5	0.5	0.0	MIX
AR70027	Barnard Grove P Access Int (04/05) Mod to Entrance	0.1	0.0	0.1	0.1	0.0	SCE(R)
AR70122	Brierton - Roof Repair - Phase 2	0.1	0.0	0.1	0.1	0.0	GRANT
AR70133	Brierton Relocation	14.5	0.0	14.5	14.5	0.0	MIX
AR70135	Brierton Remove Boundary Fence	36.0	3.2	32.8	36.0	0.0	MIX
AR70137	Convert Brierton Top Site to PRU	10.1	3.5	6.6	10.1	0.0	MIX
AR70141	Brierton Convert Classroom for SEN	24.6	0.0	24.6	24.6	0.0	ACCESS
AR70143	Brierton- Replace Boiler in Caretakers House	4.9	0.0	4.9	4.9	0.0	MODERN
	Brierton - Rent of Mobile Unit	15.0	0.0	15.0	15.0	0.0	RCCO
CHC00010	Brougham Outside Play Area	20.0	20.0	0.0	20.0	0.0	GRANT
AR70425	Clavering Primary Replace Roof and Windows	46.6	32.8	13.8	46.6	0.0	GRANT
AR70426	Clavering- Kitchen Interlocks	10.0	0.0	10.0	10.0	0.0	MODERN
AR70427	Clavering Primary Replace Boiler Control	84.5	0.0	84.5	84.5	0.0	GRANT
AR70640	Dyke House Refurb Boys Toilet (04/05)	3.0	0.0	3.0	3.0	0.0	MIX
AR70646	Dyke House Replace Boiler in Science Block	64.1	0.2	63.9	64.1	0.0	MIX
AR70648	Dyke House Replace Boiler in Caretakers House	5.2	0.0	5.2	5.2	0.0	GRANT
AR70908	English Martyrs - PE & Sport - New Pitch	20.6	0.0	20.6	20.6	0.0	MIX
CHC00012	English Martyrs Remodel	527.2	0.0	527.2	527.2	0.0	GRANT
AR70716	Eldon Grove Access Project	34.0	0.0	34.0	34.0	0.0	ACCESS
AR71023	Fens Roof Repair (Main Hall)	1.6	0.0	1.6	1.6	0.0	GRANT
AR71026	Fens - NOF PE & Sport - Playground	15.0	0.0	15.0	15.0	0.0	GRANT
AR71032	Fens Access Initiative	0.3	0.0	0.3	0.3	0.0	SCE(R)
AR71033	Fens Rewire Phase 2	76.5	38.5	38.0	76.5	0.0	MODERN
AR71123	Golden Flatts Multi Use Games Area	99.1	98.7	0.4	99.1	0.0	MIX
AR71127	Golden Flatts Classroom Alterations	10.0	0.3	9.7	10.0	0.0	GRANT
AR71203	Grange Replace Classrooms	26.5	0.0	26.5	26.5	0.0	GRANT
AR71214	Grange Community Storage Facility	2.7	0.0	2.7	2.7	0.0	GRANT
AR71220	Grange Renew Annexe Timber Windows (04/05)	0.4	0.0	0.4	0.4	0.0	MIX
AR71222	Grange Air Conditioning 04/05	0.5	0.0	0.5	0.5	0.0	MIX
AR71314	Greatham Replace Boiler 04/05	5.0	0.0	5.0	5.0	0.0	MIX
CHC00013	Greatham Car Park Improvements	22.2	0.0	22.2	22.2	0.0	GRANT
AR74108	Hart Boundary Wall Repair	6.6	0.0	6.6	6.6	0.0	GRANT
AR71717	High Tunstall - PE & Sport - New Gym	8.4	0.0	8.4	8.4	0.0	MIX
AR71721	High Tunstall Access Int (04/05) Toilets & Footpaths	0.2	0.0	0.2	0.2	0.0	SCE(R)
AR71722	High Tunstall Roof Repairs	15.3	0.0	15.3	15.3	0.0	GRANT
AR71723	High Tunstall Step Lift	23.0	0.0	23.0	23.0	0.0	GRANT
AR71814	Jesmond Rd - PE & Sport	5.0	0.4	4.6	5.0	0.0	GRANT
AR7818	Jesmond Rd - Resite Kitchen	46.8	0.0	46.8	46.8	0.0	MIX
AR71903	NDS3 - Kingsley Extension	1.0	1.0	0.0	1.0	0.0	MIX
AR71917	Kingsley - PE & Sport - Playground	20.4	20.4	0.0	20.4	0.0	GRANT
AR71928	Kingsley - Modifications to Entrance	16.5	0.1	16.4	16.5	0.0	RCCO
AR71930	Kingsley Replace Boiler Plant	31.7	0.0	31.7	31.7	0.0	GRANT
AR72113	Lynnfield - Ramps	2.1	0.0	2.1	2.1	0.0	GRANT
AR72116	Lynnfield - Roofing	123.3	1.3	122.0	123.3	0.0	GRANT
AR72217	Manor New Science Lab	6.6	0.0	6.6	6.6	0.0	MIX
AR72231	Manor - PE & Sport - New Tennis Courts	90.5	81.4	9.1	90.5	0.0	MIX
AR72234	Manor E Learning Centre	682.5	605.8	76.7	682.5	0.0	MIX
AR72235	Manor - Boiler to Drama Block	42.5	0.0	42.5	42.5	0.0	GRANT
AR72238	Manor - Replace Windows	62.9	1.0	61.9	62.9	0.0	GRANT
AR72311	Owton Manor - Space for Sports and Art	12.6	0.0	12.6	12.6	0.0	MIX
AR72312	Owton Manor - Boiler	13.0	0.0	13.0	13.0	0.0	MIX
AR72422	Rift House Boiler Replacement 04/05	4.4	0.6	3.8	4.4	0.0	MIX
AR72622	Rossmere Access Initiative 04/05	4.6	0.0	4.6	4.6	0.0	MIX
AR72715	Sacred Heart Hall Extension	30.0	26.9	3.1	30.0	0.0	RCCO
AR73011	Springwell - PE & Sport	60.8	5.0	55.8	60.8	0.0	MIX
AR73112	Stranton - Space for Sport and Arts	27.2	0.0	27.2	27.2	0.0	GRANT
AR73123	Stranton Primary Replace Windows 05/06	25.3	0.2	25.1	25.3	0.0	GRANT
AR53205	St Aidans- Extend Playground	54.2	0.0	54.2	54.2	0.0	MIX
AR73309	St Begas Primary - Community Room/Toilets	108.3	73.1	35.2	108.3	0.0	GRANT
AR73528	St Helens Primary - Health Extension	168.9	92.5	76.4	168.9	0.0	GRANT
AR73529	St Helens - Kitchen Refurbishment	82.0	1.3	80.7	82.0	0.0	GRANT
VA000020	St Hilts - New Build	45.8	0.0	45.8	45.8	0.0	MIX
AR73609	St John Vianney EYC	276.4	171.5	104.9	276.4	0.0	GRANT
AR73809	St Teresa's - Boiler	2.5	2.5	0.0	2.5	0.0	GRANT

PORTFOLIO : CHILDREN'S SERVICES**Appendix 3.2 (cont)****CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 30/09/05 £'000	2005/2006 Expenditure Remaining £'000	2005/2006 Total Expenditure £'000	2005/2006 Variance from budget £'000	Type of financing
AR73810	St Teresa's - Childrens Centre Extension	146.9	115.2	31.7	146.9	0.0	GRANT
AR74017	Throston Window Replacement	66.9	55.6	11.3	66.9	0.0	GRANT
AR74117	Ward Jackson - PE & Sport - Storage	1.6	0.0	1.6	1.6	0.0	MIX
AR74121	Ward Jackson Windows Phase 2	0.9	0.0	0.9	0.9	0.0	GRANT
AR74123	Ward Jackson Windows Phase 3	27.7	18.4	9.3	27.7	0.0	GRANT
AR74309	West Park - Roof Repair - Phase 2	4.4	0.0	4.4	4.4	0.0	GRANT
AR74312	West Park - PE & Sport - Playground	10.1	6.8	3.3	10.1	0.0	MIX
AR74314	West Park Primary Re-roof Phase 3 (04/05)	1.6	0.3	1.3	1.6	0.0	GRANT
AR74315	West Park Roof Repairs	30.2	0.0	30.2	30.2	0.0	GRANT
AR74423	West View - Football Foundation	5.5	0.0	5.5	5.5	0.0	GRANT
AR74434	West View Replace Hall Windows	23.9	0.3	23.6	23.9	0.0	GRANT
CHC00011	West View Asbestos Removal	0.7	0.7	0.0	0.7	0.0	GRANT
AR70323	Catcote - Shower / Changing Facilities	26.4	0.3	26.1	26.4	0.0	GRANT
AR74507	Carlton Camp Redevelopment Phase 1 - PE & Sport	826.3	37.5	788.8	826.3	0.0	MIX
CHC00003	Lanehead Redevelopment Contribution	180.0	0.0	180.0	180.0	0.0	GRANT
DEVCAAP	Devolved Capital	893.3	179.2	714.1	893.3	0.0	MIX
DHSECLC	Dyke House - CLC	50.0	29.8	20.2	50.0	0.0	MIX
DHSEXT	Dyke House CLC Extension	107.0	17.2	89.8	107.0	0.0	MIX
ED100004	Playing for Success	6.7	0.0	6.7	6.7	0.0	GRANT
ED100007	Childrens Centres - Capital Projects - Third Party	25.8	0.0	25.8	25.8	0.0	GRANT
CHC00014	Childrens Centre Equipment	30.0	0.0	30.0	30.0	0.0	GRANT
CHC00015	Childrens Centre IT/BT	24.0	8.7	15.3	24.0	0.0	GRANT
AR78129	EDC Kitchen and Dinning Room	2.7	0.0	2.7	2.7	0.0	RCCO
ED100009	Dyke House School - Blue Room	2.7	0.0	2.7	2.7	0.0	MIX
ED100012	Rossmere Pool Demolition	13.0	0.0	13.0	13.0	0.0	RCCO
SEED	Seed Challenge	54.8	54.8	0.0	54.8	0.0	MIX
SPORTCOLL	Brierton Community Sports - Sports College	0.5	0.0	0.5	0.5	0.0	MIX
SRBCD10	Brierton Community Sports	20.4	0.0	20.4	20.4	0.0	MIX
CHC00008	SEN Equipment	4.7	4.7	0.0	4.7	0.0	RCCO
CHC00009	Workforce Remodelling	133.8	12.6	121.2	133.8	0.0	GRANT
TRAVELPLAN	School Travel Plans	63.0	8.7	54.3	63.0	0.0	GRANT
NDC1ED03	NDC Community Learning Lynnfield	18.9	0.0	18.9	18.9	0.0	GRANT
WHITEBRD	Interactive Whiteboards	64.3	62.2	2.1	64.3	0.0	GRANT
AR71622	St Hilds - Costs Prior to Sale	402.0	386.4	15.6	402.0	0.0	RCCO
AR82201	Flint Walk Office Refurbishment	131.7	106.4	25.3	131.7	0.0	MIX
SSCHSCER	Children's Personal SCE(R) 2004-05	17.0	0.0	17.0	17.0	0.0	SCE(R)
SSICS	Integrated Children's Services Grant	52.0	0.0	52.0	52.0	0.0	GRANT
AR73121	Sure Start Office - Stranton Primary,NDC	0.6	0.6	0.0	0.6	0.0	GRANT
AR76214	Sure Start South Nursery Extension	271.3	269.9	1.4	271.3	0.0	GRANT
AR76205	Sure Start Main Centre	0.3	0.0	0.3	0.3	0.0	GRANT
LOWTHIAN	Sure Start Central- Lowthian Road	24.1	1.5	22.6	24.1	0.0	GRANT
AR74114	Sure Start Central Ward Jackson School	9.6	0.0	9.6	9.6	0.0	GRANT
EQUIP	Sure Start North, Furniture & Equipment	2.3	0.0	2.3	2.3	0.0	GRANT
AR76212	Sure Start North, Hindpool Close	14.4	0.0	14.4	14.4	0.0	GRANT
AR76210	Sure Start North, West View Community Centre	2.5	0.0	2.5	2.5	0.0	GRANT
AR40045	Rift House Neighbourhood Nursery	4.2	0.0	4.2	4.2	0.0	MIX
AR75217	Brinkburn Pool Improvements	184.9	37.6	147.3	184.9	0.0	MIX
AR75219	Brinkburn YC - Boilerplant works	24.6	24.6	0.0	24.6	0.0	RCCO
AR75009	Bridge YC - Replace Heating	12.5	0.0	12.5	12.5	0.0	RCCO
CS000020	Mobile Youth Provision	52.0	52.0	0.0	52.0	0.0	RCCO
CS000021	SENDA - Brinkburn Sports Hall	0.4	0.1	0.3	0.4	0.0	GRANT
		7,357.2	2,774.3	4,582.9	7,357.2	0.0	

Report of: Acting Director of Adult & Community Services,
Director of Children's Services,
Director of Neighbourhood Services and
Chief Financial Officer

Subject: ADULT & PUBLIC HEALTH SERVICE
PORTFOLIO NRF & CAPITAL MONITORING
REPORT 2005/2006

1. PURPOSE OF REPORT

- 1.1 To provide details of progress against the Capital budget for the Adult & Public Health Portfolio for 2005/2006.

**2. NRF MONITORING FOR PERIOD ENDING
30TH SEPTEMBER, 2005**

- 2.1 Details of Adult & Public Health Service NRF actual expenditure and anticipated expenditure as at 30th September, 2005, are shown at **Appendix 4.1**.
- 2.2 In overall terms actual expenditure amounts to £124,400, compared to anticipated expenditure of £211,900, resulting in a current favourable variance of £87,500.
- 2.3 It is anticipated that by the end of the financial year the full NRF allocation for this Portfolio will have been spent.

**3. CAPITAL MONITORING FOR PERIOD ENDING
30TH SEPTEMBER, 2005**

- 3.1 Details of anticipated and actual capital expenditure as at 30th September, 2005, is summarised in **Appendix 4.1** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 30th September, 2005
- Column D - Expected remaining expenditure to be incurred in the period October, 2005 to March, 2006
- Column E - Expected total expenditure to be incurred by 31st March, 2006
- Column F - Column E less Column B = expected slippage or over/under spend
- Column G - Type of financing

- 3.2 Detailed analysis of these schemes are on deposit in the Member's Library.
- 3.3 Actual expenditure to date amounts to £414,400, compared to the approved budget of £1,166,700, with £752,300 of expenditure remaining. It is estimated that the total budget will be utilised by the end of this financial year.
- 3.4 There are no major items to bring to Portfolio Holder's attention.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report.

PORTFOLIO : ADULT & PUBLIC HEALTH SERVICE**Appendix 4.1****NEIGHBOURHOOD RENEWAL FUND****REVENUE MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	14.9	12.4	(2.5)	NRF - Benefit Advice	29.7	29.7	0.0
2	41.7	30.2	(11.5)	NRF - Mental Health Development Project	83.5	83.5	0.0
3	7.7	5.4	(2.3)	NRF - Mobile Maintenance Worker	15.3	15.3	0.0
4	9.2	4.5	(4.7)	NRF - Health Inclusion Project	18.3	18.3	0.0
5	11.6	13.1	1.5	NRF - Hartlepool Special Olympics	23.3	23.3	0.0
6	39.3	0.0	(39.3)	NRF - Integrated Health & Social Care Teams	78.5	78.5	0.0
7	21.4	9.1	(12.3)	NRF - Owton Ross Health Dev Worker	42.8	42.8	0.0
8	39.5	6.2	(33.3)	NRF - Smoking Issues	79.0	79.0	0.0
9	20.0	40.0	20.0	NRF - Health Inequalities Comm Chest	40.0	40.0	0.0
10	6.6	3.5	(3.1)	NRF - Male Life Expectancy Research	13.3	13.3	0.0
11	15.7	0.0	(15.7)	Addlink Project	15.7	15.7	0.0
12	22.2	0.0	(22.2)	Fitness from Football	44.5	44.5	0.0
13	22.4	17.3	(5.1)	Belle Vue Sports Project	44.9	44.9	0.0
11	272.2	141.7	(130.5)		528.8	528.8	0.0

PORTFOLIO : ADULT AND PUBLIC HEALTH SERVICE**Appendix 4.2****CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005**

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 30/09/05 £'000	2005/2006 Expenditure Remaining	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
AR51051	Chronically Sick & Disabled Persons Adaptations	105.3	14.5	90.8	105.3	0.0	MIX
MHSCE04	Mental Health SCE(R) 2004-05	154.2	0.0	154.2	154.2	0.0	SCE(R)
MHSCE04	Mental Health SCE(R) 2004-05 - Additional	31.2	0.0	31.2	31.2	0.0	SCE(R)
AR82406	Improving Information Management	102.8	9.9	92.9	102.8	0.0	MIX
ACS00003	Lynne Street ATC Demolition	120.0	0.0	120.0	120.0	0.0	RCCO
AR81120	Havelock Disabled Access Ramps	13.0	0.0	13.0	13.0	0.0	RCCO
ASC00001	Brooklyn UK On-line	7.5	6.5	1.0	7.5	0.0	GRANT
NRFSS01	NRF Adaptions	61.2	39.9	21.3	61.2	0.0	GRANT
DDA	Adult Education - Disabled Adaptations	52.0	20.5	31.5	52.0	0.0	GRANT
ED400007	Adult Education - Capital Equip Replacement	23.8	0.0	23.8	23.8	0.0	GRANT
ED400008	Capital Reserve (ERDF)	45.4	0.0	45.4	45.4	0.0	RCCO
ED400009	Adult Ed - ACL Underspend - DDA & Quality	4.6	0.0	4.6	4.6	0.0	RCCO
ED400010	Adult Education - NLDC	86.7	71.1	15.6	86.7	0.0	GRANT
AR40093	West View Community Centre - Phase 2	3.0	0.2	2.8	3.0	0.0	MI X
NSC00019	Spion Kop Cem Environmental Project (INCA)	30.0	1.1	28.9	30.0	0.0	CAPR
AR50213	Cemetery Flooding Works	326.0	250.7	75.3	326.0	0.0	UPB
		1,166.7	414.4	752.3	1,166.7	0.0	

Report of: Chief Executive and
Chief Financial Officer

Subject: FINANCE PORTFOLIO CAPITAL AND
ACCOUNTABLE BODY PROGRAMME
REPORT 2005/2006

1. PURPOSE OF REPORT

- 1.1 To provide details of progress against the Capital budget for the Finance Portfolio for 2005/2006 and provide detail of progress against regeneration schemes for which the Council acts as Accountable Body.

2. ACCOUNTABLE BODY REVENUE MONITORING FOR PERIOD ENDING 30TH SEPTEMBER, 2005

- 2.1 The Council acts as Accountable Body for the North Hartlepool, Hartlepool New Deal for Communities, Single Programme Partnerships and the Children's Fund. Details of progress against the approved revenue budgets are summarised at **Appendix 5.1**.

2.2 Table 1 – Single Regeneration Budget (SRB)

Details of progress against the approved revenue budgets are summarised at Table 1. Actual expenditure to date amounts to £171,600, compared to anticipated expenditure of £233,300, resulting in a current favourable variance of £61,700.

- 2.3 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

2.4 Table 2 – New Deal for Communities (NDC)

The management of NDC resources is subject to specific Government regulations where the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme. The programme is currently forecasting full year expenditure at £10,115,500 against a grant approval of £10,200,000. Actual expenditure towards that target as at 30th September, 2005, was £4,666,900. The forecast is very close to the allocation and is being closely monitored.

Details of progress against the approved revenue budgets are summarised at Table 2. Actual expenditure to date amounts to £2,035,300, compared to anticipated expenditure of £2,462,100, resulting in a current favourable variance of £426,800.

- 2.5 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

2.6 **Table 3 – Single Programme**

These monies are allocated to the Council by Tees Valley Single Programme Partnership. The Council has been allocated £657,900 to spend in 2005/2006 on revenue projects. Actual expenditure to date amounts to £185,700, compared to anticipated expenditure of £229,900, resulting in a favourable variance of £44,200.

- 2.7 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

2.8 **Table 4 – Children's Fund Programme**

The Children's Fund Programme is wholly funded by the Children and Young Person's Unit (CYPU).

The Children's Fund has been granted a budget of £444,200 for financial year 2005/2006 along with £40,000 carried forward from 2004/2005. Actual expenditure to date amounts to £184,600, compared to expected spend to date of £187,000 as set out in Appendix 5.1, Table 4.

- 2.8 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

3. **CAPITAL MONITORING FOR PERIOD ENDING
30TH SEPTEMBER, 2005**

- 3.1 Details of anticipated and actual capital expenditure as at 30th September, 2005, is summarised in **Appendix 5.2** and shows:

- Column A - Scheme Title
- Column B - Budget for Year
- Column C - Actual expenditure to 30th September, 2005
- Column D - Expected remaining expenditure to be incurred in the period October, 2005 to March, 2006
- Column E - Expected total expenditure to be incurred by 31st March, 2006
- Column F - Column E less Column B = expected slippage or over/under spend
- Column G - Type of financing

- 3.2 Detailed analysis of these schemes are on deposit in the Member's Library.

3.3 Table 1 – Resources

Actual expenditure to date amounts to £995,100, compared to the approved budget of £3,262,400, with £2,267,300 of expenditure remaining.

- 3.4 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

3.5 Table 2 – Single Regeneration Budget

Details of progress against the approved capital budgets are summarised at Table 2. Actual expenditure to date amounts to £1,590,000, compared to the approved budget of £3,941,600, with £2,351,600 of expenditure remaining.

- 3.6 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

3.7 Table 3 – New Deal for Communities

The management of NDC resources is subject to specific Government regulations were the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme. The programme is currently forecasting full year expenditure at £10,115,500 against a grant approval of £10,200,000. Actual expenditure towards that target as at 30th September, 2005 was £4,666,900. The forecast is very close to the allocation and is being closely monitored.

Details of progress against the approved capital budgets are summarised at Table 3. Actual expenditure to date amounts to £2,509,400, compared to the approved budget of £4,391,100, with £1,881,600 of expenditure remaining.

- 3.8 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

3.9 Table 4 – Single Programme

These monies are allocated to the Council by the Tees Valley Single Programme Partnership. The Council has been allocated £31,800 to spend in 2005/2006 on capital projects. Actual expenditure to date amounts to £17,300, with £14,500 of expenditure remaining.

- 3.10 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

4. RECOMMENDATIONS

- 4.1 It is recommended that Members note the report.

PORTFOLIO : FINANCE**Appendix 5.1****ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING****30th SEPTEMBER 2005****TABLE 1 - SINGLE REGENERATION BUDGET**

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance; Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	80.0	77.3	(2.7)	Programme Administration Budget	160.0	160.0	0.0
2	6.9	6.6	(0.3)	Detached Youth Worker	13.7	13.7	0.0
3	3.8	3.8	0.0	Headland Capacity Building	5.1	5.1	0.0
4	2.3	2.3	0.0	Abbey Street Project	3.0	3.0	0.0
5	0.9	0.9	0.0	Community Events and Tourism	0.9	0.9	(0.0)
6	0.9	0.2	(0.7)	Headland History Project	1.8	1.8	0.1
7	1.5	0.0	(1.5)	Community CCTV	3.0	3.0	0.0
8	2.3	0.0	(2.3)	Headland Promenade CCTV	4.5	4.5	0.0
9	54.0	11.4	(42.6)	Jobsbuild	108.0	108.0	0.0
10	14.8	10.2	(4.6)	Targeted Training	29.5	29.5	0.0
11	0.3	0.0	(0.3)	Commercial Improvement Area	0.6	0.6	0.0
12	15.0	0.0	(15.0)	Headland Tourism Marketing	43.0	30.0	(13.0)
13	4.1	0.0	(4.1)	Headland Key Building Grants	16.5	8.3	(8.2)
14	42.0	54.4	12.4	Intermediate Labour Market	96.5	84.0	(12.5)
15	1.0	0.0	(1.0)	New Opportunities (Adult Education)	2.0	2.0	0.0
16	0.3	0.0	(0.3)	Education Enhancement (Home Loan)	0.5	0.5	0.0
17	3.2	4.5	1.3	English Martyrs Transitional Enhancement	6.4	6.4	(0.0)
18	233.3	171.6	(61.7)		494.9	461.3	(33.6)

TABLE 2 - NEW DEAL FOR COMMUNITIES

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance; Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
19	15.9	21.6	5.7	Longhill - Site Manager	45.1	45.1	0.0
20	4.8	3.6	(1.2)	Longhill - Business Security Scheme	14.4	14.4	0.0
21	33.5	39.7	6.2	Longhill - ILM Scheme	143.3	143.3	0.0
22	0.0	8.2	8.2	Longhill - CCTV	10.0	10.0	0.0
23	6.3	9.1	2.7	Childcare Training	18.1	18.1	0.0
24	98.9	104.0	5.1	Employment Advice and Support: At Work	262.0	262.0	0.0
25	2.1	0.2	(1.9)	NDC Link Worker	2.1	2.1	0.0
26	51.1	26.7	(24.3)	Enterprise Development Package	139.6	139.6	0.0
27	16.3	1.8	(14.5)	Commercial Areas - Building Modernisation	45.9	45.9	0.0
28	0.0	0.0	0.0	Commercial Areas - Env. Improvements	16.5	16.5	0.0
29	21.4	18.7	(2.7)	Commercial Areas - Bus Support Manager	47.0	47.0	0.0
30	44.9	44.9	0.0	Mental Health Support Workers	89.7	89.7	0.0
31	24.1	11.4	(12.6)	Complementary Therapies	49.3	49.3	0.0
32	11.5	11.5	(0.0)	Drop in for Health - Health Bus	23.0	23.0	0.0
33	25.6	44.7	19.1	Health Dev. Workers & Activity Block Fund	97.3	97.3	0.0
34	89.8	51.6	(38.2)	Sure Start Extension	269.5	269.5	0.0
35	67.2	54.5	(12.7)	Practical Support to Individuals	201.7	201.7	0.0
36	179.4	151.2	(28.2)	Community Wardens	335.0	335.0	0.0
37	41.2	33.7	(7.5)	Target Hardening - Phase 3	101.5	101.5	0.0
38	10.1	14.2	4.2	Community Safety Grants Pool	25.9	25.9	0.0
39	19.5	19.3	(0.3)	Reach for Success - Hoop Dreams (Crime)	39.5	39.5	0.0
40	15.8	15.8	(0.0)	Good Citizenship Initiative	31.7	31.7	0.0
41	21.0	22.7	1.7	Drugs Outreach Workers (Anti-Drugs)	40.2	40.2	0.0
42	50.0	25.0	(25.0)	Drug Enforcement Unit	100.0	100.0	0.0
43	17.0	12.8	(4.2)	Victim Support	30.9	30.9	0.0

Appendix 5.1 (cont)

TABLE 2 - NEW DEAL FOR COMMUNITIES

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
44	73.8	66.5	(7.3)	Community Safety Premises	139.7	139.7	0.0
45	33.5	34.0	0.5	Domestic Violence	71.3	71.3	0.0
46	21.0	9.2	(11.9)	Dordrecht	42.1	42.1	0.0
47	5.3	0.0	(5.3)	CCTV Implementation	10.6	10.6	0.0
48	11.9	0.0	(11.9)	CCTV Implementation - Phase 2	23.9	23.9	0.0
49	10.1	4.0	(6.1)	Offendering / Mentoring Scheme	20.5	20.5	0.0
50	32.9	32.0	(0.9)	Anti-Social Behaviour	100.7	100.7	0.0
51	18.2	6.4	(11.9)	Community Learning Centre - Stranton	96.7	96.7	0.0
52	3.9	3.9	(0.0)	Brierton Laptop Computers	3.9	3.9	0.0
53	18.5	6.4	(12.1)	Community Learning Centre - Lynnfield	106.2	106.2	0.0
54	19.6	17.0	(2.6)	Social Inclusion	41.8	41.8	0.0
55	37.3	13.4	(23.9)	Continuing Education and Vocational Training	94.0	94.0	0.0
56	28.2	20.8	(7.3)	Bursary Fund	64.1	64.1	0.0
57	12.8	12.8	(0.0)	Hoop Dreams (Education)	25.6	25.6	0.0
58	75.4	6.8	(68.6)	Educational Achievement Project	213.1	213.1	0.0
59	0.0	0.0	0.0	Key Stage 2 & 3 Transition	26.7	26.7	0.0
60	27.5	29.7	2.2	Community Chest	40.0	40.0	0.0
61	43.2	38.2	(5.0)	Learn Through Play	43.2	43.2	0.0
62	23.1	23.1	0.0	Belle Vue Extension	46.2	46.2	0.0
63	7.6	7.6	0.0	Osbourne Road Hall	15.2	15.2	0.0
64	55.2	59.3	4.1	Ethnic Minorities	110.6	110.6	0.0
65	17.7	17.7	0.0	Money Advice and Debt Counselling Service	35.3	35.3	0.0
66	42.7	42.7	(0.0)	Money Wise Community Banking	85.5	85.5	0.0
67	34.7	29.8	(4.9)	Peoples Centre	72.1	72.1	0.0
68	14.1	14.1	0.0	Family Support	28.3	28.3	0.0
69	2.0	0.1	(2.0)	Voluntary Sector Premises Pool	6.0	6.0	0.0
70	55.5	0.0	(55.4)	Hartlepool Youth Project	166.4	166.4	0.0
71	72.6	63.3	(9.2)	Capacity Building	151.5	151.5	0.0
72	6.6	0.5	(6.0)	Sunday Opening	11.5	11.5	0.0
73	22.4	22.7	0.3	Arts Development Initiative	44.2	44.2	0.0
74	8.7	8.7	(0.0)	Grange Road Methodist Church	17.3	17.3	0.0
75	5.2	6.1	0.9	Community Transport	12.4	12.4	0.0
76	32.8	32.8	(0.0)	Horizon Centre	65.5	65.5	0.0
77	18.1	10.5	(7.6)	Events Project	18.1	18.1	0.0
78	34.7	23.0	(11.7)	Childrens Activities Project	103.0	103.0	0.0
79	19.0	28.6	9.5	Hartbeat	38.1	38.1	0.0
80	3.3	0.0	(3.3)	Hartlepool Arts Studio Project	3.3	3.3	0.0
81	7.5	0.0	(7.5)	Indoor Skateboard Park	7.5	7.5	0.0
82	21.4	21.4	0.0	Housing Advice and Tenancy Support Service	42.9	42.9	0.0
83	55.3	63.1	7.9	Environmental Task Force	102.6	102.6	0.0
84	157.3	107.9	(49.4)	Housing Regeneration Company	421.9	421.9	0.0
85	43.4	40.6	(2.8)	Evaluation Project	91.2	91.2	0.0
86	36.0	40.7	4.7	Communications Project	61.4	61.4	0.0
87	0.5	22.3	21.8	Neighbourhood Management	128.9	128.9	0.0
88	324.3	300.7	(23.7)	Management and Administration	680.0	680.0	0.0
89	2,462.1	2,035.3	(426.8)		5,909.9	5,909.9	0.0

Appendix 5.1 (cont)

TABLE 3 - SINGLE PROGRAMME

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
90	30.0	30.0	0.0	Tees Valley for Offshore High Value Engineering	60.0	60.0	0.0
91	77.8	54.8	(23.0)	Building Futures	356.4	356.4	0.0
92	14.0	2.0	(12.0)	Queens Meadow Marketing Initiative	28.0	28.0	0.0
93	16.4	20.7	4.3	Coastal Arc Coordinator	32.7	32.7	0.0
93	30.5	16.6	(13.9)	Coastal Arc Tourism (Marketing and Training)	59.8	59.8	0.0
94	27.7	31.6	3.9	Coastal Arc Tourism (Events Hartlepool)	54.0	54.0	0.0
95	3.5	0.0	(3.5)	Coastal Arc Tourism (Events Redcar)	7.0	7.0	0.0
96	30.0	30.0	0.0	Management and Administration	60.0	60.0	0.0
97	229.9	185.7	(44.2)		657.9	657.9	0.0

TABLE 4 - ACCOUNTABLE BODY PROGRAMME

Line No	Actual Position 30/09/05			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2005/6 Latest Budget	2005/06 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
98	187.0	184.6	(2.4)	Children's Fund Partnership	484.2	484.2	0.0
99	187.0	184.6	(2.4)		484.2	484.2	0.0

PORTFOLIO : FINANCE**Appendix 5.2****CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005****TABLE 1 - RESOURCES**

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		A	B	C	D	E	F
		2005/2006 Budget £'000	2005/2006 Actual as at 30/09/05 £'000	2005/2006 Expenditure Remaining	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	G Type of financing
AR100103	Civic Centre Capital Maintenance	1000.0	2.9	997.1	1,000.0	0.0	PRUD BOR
AR52002	Memorial for lives Lost at Sea	4.8	0.0	4.8	4.8	0.0	CAPR
AR52017	Disability Discrimination Act Works	54.0	0.5	53.5	54.0	0.0	PRUD BOR
AR52018	Civic -Imps to Public Facilities	6.6	0.1	6.5	6.6	0.0	CAPR
AR52023	Regeneration Office Accommodation	9.9	0.0	9.9	9.9	0.0	CAPR
AR52027	Demolition of Stranton House	0.8	0.0	0.8	0.8	0.0	CAPR
AR52032	Piazza and Slipway re Trin Trust	0.8	0.4	0.4	0.8	0.0	CAPR
AR52039	Archive Store Refurbishment	32.8	9.3	23.5	32.8	0.0	MIX
AR52044	York Flatlets Demolition	17.5	8.2	9.3	17.5	0.0	CAPR
AR52045	Equal Pay Costs	10.3	0.0	10.3	10.3	0.0	CAPR
AR52046	Mobile Benefits	234.0	93.1	140.9	234.0	0.0	RES
CC901	City Challenge Architects TOS	1.6	0.0	1.6	1.6	0.0	CAPR
CC907	City Challenge Clayback	228.8	0.0	228.8	228.8	0.0	CAPR
IEG06	IEG - Smartcard Consortium	1.6	1.6	0.0	1.6	0.0	IEGGRANT
IEG08	IEG - Remote/Roaming Lotus Notes Prof.	17.2	17.2	0.0	17.2	0.0	IEGGRANT
IEG09	Non Stop Gov E Forms Software	149.7	52.4	97.3	149.7	0.0	IEGGRANT
IEG10	E-Consultation System	239.0	72.5	166.5	239.0	0.0	IEGGRANT
RSC00001	FMS	137.1	39.9	97.2	137.1	0.0	RES
RSC00002	ERDM and Workflow	541.2	541.2	0.0	541.2	0.0	RES
RSC00005	Friarage Field Building Demolition	120.0	3.1	116.9	120.0	0.0	MIX
RSC00011	E Procurement	6.0	6.0	0.0	6.0	0.0	RCCO
RSC00012	St Bennedicts Barlows Building Demolition	150.0	14.6	135.4	150.0	0.0	CAPR
RSC00013	HR Analyser System	98.5	98.0	0.5	98.5	0.0	RCCO
AR10060B	Corporate Planned Maint- Civic Ctre PH4 Bal System	33.3	0.0	33.3	33.3	0.0	RCCO
AR10068C	Corporate Planned Maint- Civic Ctre Electricity	30.0	12.1	17.9	30.0	0.0	RCCO
AR76019	Corporate Planned Maint- Rossmere YC - DDA Works	90.0	0.0	90.0	90.0	0.0	RCCO
AR78132	Corporate Planned Maint- EDC Ph2 Roofing Conf Hall	27.8	22.0	5.8	27.8	0.0	RCCO
AR78702	Corporate Planned Maint- A2L Brienton Recoat Roof	19.1	0.0	19.1	19.1	0.0	RCCO
		3,262.4	995.1	2,267.3	3,262.4	0.0	

Appendix 5.2 (cont)

TABLE 2 - SINGLE REGENERATION BUDGET

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		A	B	C	D	E	F
		2005/2006 Budget £'000	2005/2006 Actual as at 30/09/05 £'000	2005/2006 Expenditure Remaining	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	G Type of financing
SRB3CD17	Voluntary Sector Premises Pool	30.0	0.0	30.0	30.0	0.0	SRB
SRB3CD32	Headland Community Resource Centre Ph 1 & 2	22.1	0.0	22.1	22.1	0.0	MIX
SRB3CD36	Sports Improvement Scheme	1642.4	687.9	954.5	1,642.4	0.0	MIX
SRB3CD42	Carnegie Building Refurbishment	922.8	451.9	470.9	922.8	0.0	MIX
SRB3CS03	Tackling Crime Together - Street Lighting Project	30.9	5.5	25.4	30.9	0.0	MIX
SRB3CS04	Tackling Crime Together - Community Safety Initiative	5.1	0.0	5.1	5.1	0.0	SRB
SRB5CS05	Community CCTV	18.1	0.0	18.1	18.1	0.0	SRB
SRB3CS08	Headland Promenade CCTV	13.7	0.0	13.7	13.7	0.0	SRB
SRB3ED07	Oakesway Industrial Improvement Area	7.0	0.0	7.0	7.0	0.0	SRB
SRB3ED21	Commercial Improvement Area	207.6	0.0	207.6	207.6	0.0	MIX
SRB3ED22	Developing Enterprise Scheme	16.0	0.0	16.0	16.0	0.0	MIX
SRB3ED28	Heugh Battery Project	33.2	24.6	8.6	33.2	0.0	SRB
SRB3EN12	Headland Key Buildings (Grants)	161.0	21.5	139.5	161.0	0.0	MIX
SRB3EN19	Headland Regeneration Programme	80.5	70.0	10.5	80.5	0.0	MIX
SRB3HS1/2	Council House Improvement Project	96.2	0.0	96.2	96.2	0.0	SRB
SRB3HS11	Targeted Private Housing Improvements	286.2	44.8	241.4	286.2	0.0	MIX
SRB3HS20	Environmental Improvements - Key Residential Areas	368.8	283.8	85.0	368.8	0.0	MIX
		3,941.6	1,590.0	2,351.6	3,941.6	0.0	

TABLE 3 - NEW DEAL FOR COMMUNITIES

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 30/09/05 £'000	2005/2006 Expenditure Remaining	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
NDC1ET02D	Longhill Junction Improvements	177.0	0.0	177.0	177.0	0.0	MIX
NDC1ET18/19	Longhill Business Security and Environmental Imps	352.0	120.4	231.7	352.0	0.0	MIX
NDC1ET20	Business Security Fund	83.6	24.9	58.6	83.6	0.0	NDC
NDC1ET21	CIA Building Modernisation Grant	369.9	41.3	328.6	369.9	0.0	NDC
NDC1ET22A	CIA Environmental Improvements	362.7	2.2	360.5	362.7	0.0	NDC
NDC1CS15	Crime Premises	62.2	32.8	29.3	62.2	0.0	NDC
NDC1CS18	Street Lighting Phase 2	45.4	0.0	45.4	45.4	0.0	MIX
NDC1CS19	Target Hardening Phase 3	134.0	4.3	129.7	134.0	0.0	NDC
NDC1CS21	CCTV Implementation - Phase 2	2.0	2.0	0.0	2.0	0.0	NDC
NDC1CD05	Osbourne Road Hall	39.7	0.0	39.7	39.7	0.0	NDC
NDC1CD11	Voluntary Sector Premises Pool	95.7	13.3	82.3	95.7	0.0	NDC
NDC1CD22	Peoples Centre	36.9	17.4	19.5	36.9	0.0	NDC
NDC1CD23	Hartlepool Youth Project	22.4	6.8	15.6	22.4	0.0	NDC
NDC1HS1	Area Remodelling Project	2,580.2	2,244.0	336.2	2,580.2	0.0	MIX
NDC1HS8	Neighbourhood management	27.5	0.0	27.5	27.5	0.0	NDC
		4,391.1	2,509.4	1,881.6	4,391.1	0.0	

TABLE 4 - SINGLE PROGRAMME

Element 3 Code	Scheme Title	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
		2005/2006 Budget £'000	2005/2006 Actual as at 30/09/05 £'000	2005/2006 Expenditure Remaining	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
SP00003	HER Initiative	18.5	16.8	1.7	18.5	0.0	GRANT
SP00033	Coastal Arc Interreg Joint Costs	13.3	0.5	12.8	13.3	0.0	GRANT
		31.8	17.3	14.5	31.8	0.0	

Report of: Chief Executive,
Director of Neighbourhood Services and
Chief Financial Officer

Subject: PERFORMANCE MANAGEMENT PORTFOLIO
CAPITAL MONITORING REPORT 2005/2006

1. PURPOSE OF REPORT

- 1.1 To provide details of progress against the NRF budget and Capital budget for the Performance Management Portfolio for 2005/2006.

**2. CAPITAL MONITORING FOR PERIOD ENDING
30TH SEPTEMBER, 2005**

- 2.1 Details of anticipated and actual capital expenditure as at 30th September, 2005, is summarised in **Appendix 6.1** and shows:

Column A - Scheme Title
Column B - Budget for Year
Column C - Actual expenditure to 30th September, 2005
Column D - Expected remaining expenditure to be incurred in the period October, 2005 to March, 2006
Column E - Expected total expenditure to be incurred by 31st March, 2006
Column F - Column E less Column B = expected slippage or over/under spend
Column G - Type of financing

- 2.2 Detailed analysis of these schemes are on deposit in the Member's Library.

- 2.3 Actual expenditure to date amounts to £1,437,000, compared to the approved budget of £2,598,000 with £1,161,000 of expenditure remaining. The forecast outturn for the year is £2,598,000, resulting in a nil variance.

- 2.4 There are no major items to bring to Portfolio Holder's attention.

3. RECOMMENDATIONS

- 3.1 It is recommended that Members note the report.

PORTFOLIO : PERFORMANCE MANAGEMENT

Appendix 6.1

CAPITAL MONITORING REPORT PERIOD ENDING 30TH SEPTEMBER 2005

	A	EXPENDITURE IN CURRENT YEAR					
		B	C	D	E	F	G
Element 3 Code	Scheme Title	2005/2006 Budget £'000	2005/2006 Actual as at 30/09/05 £'000	2005/2006 Expenditure Remaining	C+D 2005/2006 Total Expenditure £'000	E-B 2005/2006 Variance from budget £'000	Type of financing
NSC00020	Dso Vehicles	2,598.0	1,437.0	1,161.0	2,598.0	0.0	USB
		2,598.0	1,437.0	1,161.0	2,598.0	0.0	

CABINET REPORT

7th November 2005



Report of: Scrutiny Co-ordinating Committee

Subject: FINAL REPORT – REVIEW INTO THE
AUTHORITY'S FINANCIAL RESERVES

1. PURPOSE OF REPORT

- 1.1 To present the findings of the Scrutiny Co-ordinating Committee following its review into the Authority's Financial Reserves.

2. BACKGROUND INFORMATION

- 2.1 At a meeting of the former Resources Scrutiny Forum (disbanded in July 2005), the Forum indicated that the Authority's Financial Reserves were an area worthy of further consideration.
- 2.2 Since the recent review into the Authority's Overview and Scrutiny Structure in July 2005, the work of the former Resources Scrutiny Forum was absorbed by this Committee. As a result of the revised structure, the proposed review into the Authority's Financial Reserves was subsequently incorporated into the Scrutiny Co-ordinating Committee's Work Programme for the 2005/06 Municipal Year.

3. OVERALL AIM OF THE SCRUTINY ENQUIRY

- 3.1 The overall aim of the scrutiny review was to increase the Scrutiny Co-ordinating Committee's understanding of the Authority's Financial Reserves.

4. TERMS OF REFERENCE FOR THE SCRUTINY ENQUIRY

- 4.1 The Terms of Reference for the Scrutiny review were as outlined below:-

(a) To determine why the Authority requires Reserves?

- (b) To determine the Authority's and Chief Financial Officer's statutory responsibilities for Reserves?
- (c) To examine how Reserves are established?
- (d) To gain an understanding of the Authority's Specific Reserves and General Fund Balances? and
- (e) To examine the links between the Authority's Reserves, the Authority's Budget and Council Tax levels?

5. MEMBERSHIP OF THE SCRUTINY CO-ORDINATING COMMITTEE

5.1 The membership of the Committee were as detailed below:-

Councillors Cambridge, Clouth, Cook, Cranney, Flintoff, Hall, Hargreaves, James, Kaiser, Lilley, A Marshall, J Marshall, Preece, Richardson, Shaw and Wright.

Resident Representative: Evelyn Leck.

6. METHODS OF INVESTIGATION

6.1 Members of the Committee met formally between 30 September 2005 to 21 October 2005 to discuss and receive evidence relating to this review. Detailed records of the issues raised during these meetings are available from the Council's Democratic Services.

6.2 A brief summary of the methods of investigation are outlined below:-

- (a) Presentation / Verbal Evidence from the Authority's Chief Financial Officer and Assistant Financial Officer (supplemented by written reports where appropriate); and
- (b) A briefing report of the Scrutiny Manager which provided the relevant background information and key documentation.

7. FINDINGS

7.1 **Why the Authority requires Reserves?** – The Committee found that the Authority's Reserves were a key component of the Council's 'financial toolkit' and were held for a variety of reasons, as outlined below:-

- (a) To smooth income/expenditure flows;
- (b) To support current levels of expenditure;

- (c) To deal with unexpected circumstances ie loss of large capital receipt;
 - (d) To manage changes in sustainable income; and
 - (e) To achieve future revenue savings.
- 7.2 **Chief Financial Officer's statutory responsibilities for Reserves –** Members were informed that both the Authority and the Chief Financial Officer, had statutory responsibilities as set out in the Local Government Act 2003. As part of the Council Tax setting process, the Chief Financial Officer is required to report to Council on the robustness of the budget estimates together with the adequacy of the proposed Reserves and that it is the Council's responsibility to consider such report of the Chief Financial Officer when making decisions with regard to the budget and Council Tax.
- 7.3 **How Reserves are established?** – Based on the evidence presented to the Committee, it was evident that there was various means of establishing Reserves as outlined below:-
- (a) By spending less than current income;
 - (b) By reducing ongoing expenditure commitments;
 - (c) By selling one-off assets; and
 - (d) By receiving one off windfalls ie grant opportunities and housing stock transfer, which has accounted for the majority of the Authority's Reserves that have been set aside to support future years' budgets.
- 7.4 **The Difference between Specific Reserves and General Fund Balances?** Members found that there was no real difference between Specific Reserves and the General Fund Balances, only by way of accounting definition / classification.
- 7.5 Specific Reserves were monies ring-fenced for:-
- (a) Specific investment / changes ie The Way Forward;
 - (b) Held for liabilities ie Insurance Fund, Equal Pay costs; or
 - (c) For Third Party ie School Balances.
- 7.6 General Fund Balances were found to be similar to that of Specific Reserves and were likely to be committed to support future revenue budgets and to meet capital commitments.
- 7.7 **Links between the Authority's Reserves, Budget and Council Tax Levels –** It was evident that the Authority's Reserves is an essential component to the Authority's budget setting and Council Tax level setting

processes, together with supporting the Council's three year Budget Strategy.

8. CONCLUSIONS

8.1 The Scrutiny Co-ordinating Committee concluded:-

- (a) That it was evident that the Authority had a strategy for the use of Reserves;
- (b) That the value of the Authority's Reserves as at 31 March 2005 was approximately £35 million;
- (c) That the Authority's Financial Reserves played a fundamental part of supporting the three year Budget Strategy, together with the budget setting and Council Tax level setting processes;
- (d) That there was clearly a beneficial impact on the Authority when using Reserves to hold down the Council Tax;
- (e) That in summary Reserves were used to manage risk and to protect services from unanticipated events that would possibly result in temporary 'cuts';
- (f) That the Audit Commission, the Authority's external auditors, were currently in the process of undertaking a review into the Authority's Reserves and anticipated presenting its findings to the Authority this Autumn; and
- (g) That there was an opportunity to return a handful of Specific Reserves and General Fund Balances back to the Authority's General Fund, following detailed consideration of the itemised Corporate and Departmental Reserves.

9. RECOMMENDATIONS

9.1 Members of the Scrutiny Co-ordinating Committee recommends to the Cabinet:-

- (a) That consideration be given to returning the £1.6 million Coastal Defences Specific Reserve to the Authority's General Fund, in light of the findings to be published in the engineer's report which is expected to state that significant improvement works would not be required as originally expected, only that of maintenance works;
- (b) That any remaining balances from the Benefit Subsidy Reserve be returned to the Authority's General Fund as at 31 March 2006 and the associated risk transferred to the General Fund;

- (c) That the £50,000 Specific Reserve, ring-fenced for the Council Tax Re-Evaluations for 2007/08 be returned to the Authority's General Fund, given the Government has deferred such exercise until 2010;
- (d) That the procedures in place to ensure salary savings from vacant posts are rigorously followed across all departments to enable any resultant savings to be monitored and tracked within the overall budgetary control process.
- (e) That upon receipt of Audit Commission's findings into the Authority's Financial Reserves, consideration be given by the Scrutiny Co-ordinating Committee to the content of their report.

10. ACKNOWLEDGEMENTS

- 10.1 The Committee is grateful to all those who have presented evidence during the course of this review. We would like to place on record our appreciation, in particular of the willingness and co-operation we have received from the below named:-

Chief Financial Officer; and

Assistant Chief Financial Officer.

COUNCILLOR MARJORIE JAMES CHAIR OF SCRUTINY CO-ORDINATING COMMITTEE

October 2005

Contact:- Charlotte Burnham – Scrutiny Manager
Chief Executive's Department - Corporate Strategy
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BACKGROUND PAPERS

The following background papers were consulted or referred to in the preparation of this report:-

- (i) Report of the Scrutiny Manager entitled 'Scoping report – Review into the Authority's Financial Reserves' presented to the Scrutiny Co-ordinating Committee held on 30 September 2005.

- (ii) Presentation of the Chief Finance Officer and Assistant Chief Finance Officer entitled 'Review into the Authority's Financial Reserves' delivered to the Scrutiny Co-ordinating Committee held on 30 September 2005.
- (iii) Report of the Scrutiny Manager entitled 'Draft Final Report – Review into the Authority's Financial Reserves' presented to the Scrutiny Co-ordinating Committee held on 21 October 2005.
- (iv) Report of the Chief Financial Officer entitled 'Budgetary Breakdown of The Way Forward Allocated Reserve' presented to the Scrutiny Co-ordinating Committee held on 21 October 2005.
- (v) Hartlepool Borough Council's Budget for Best Value 2005/06 and Capital Programme to 2007/08.