

# CHILDREN'S SERVICES COMMITTEE AGENDA



**Tuesday 1 December 2015**

**at 4.00 pm**

**in the Council Chamber,  
Civic Centre, Hartlepool.**

MEMBERS: CHILDREN'S SERVICES COMMITTEE

Councillors Fleet, Griffin, Hall, Lauderdale, Lawton, Loynes and Simmons.

Co-opted Members: Julie Cordiner and Michael Lee

Six Young People's Representatives

Observer: Councillor Richardson, Chair of Adult Services Committee

**1. APOLOGIES FOR ABSENCE**

**2. TO RECEIVE ANY DECLARATIONS OF INTEREST BY MEMBERS**

**3. MINUTES**

- 3.1 Minutes of the meeting held on date 6 October, 2015 (*previously circulated and published*).

**4. BUDGET AND POLICY FRAMEWORK ITEMS**

None.

**5. KEY DECISIONS**

- 5.1 Schools Funding Formula 2016/17 – *Director of Child and Adult Services*



**6. OTHER ITEMS REQUIRING DECISION**

- 6.1 Approval of School Term and Holiday Dates for Community and Voluntary Controlled Schools – *Director of Child and Adult Services*
- 6.2 To Nominate Local Authority Representatives to Serve on School Governing Bodies – *Director of Child and Adult Services*
- 6.3 Better Childhood Programme – *Director of Child and Adult Services*
- 6.4 Engineering Masterclasses 2015 Evaluation – *Director of Child and Adult Services*
- 6.5 Strategic Financial Management Report – as at 30 September 2015 – *Director of Child and Adult Services and Chief Finance Officer*

**7. ITEMS FOR INFORMATION**

None.

**8. ANY OTHER BUSINESS WHICH THE CHAIR CONSIDERS URGENT**

**FOR INFORMATION**

Date of next meeting – Tuesday 19 January 2016 at 4.00 pm in the Civic Centre, Hartlepool.



# **CHILDREN'S SERVICES COMMITTEE**

## **MINUTES AND DECISION RECORD**

6 OCTOBER 2015

The meeting commenced at 4.00 pm in the Civic Centre, Hartlepool

**Present:**

Councillor: Chris Simmons (In the Chair)

Councillors: Ged Hall and Trisha Lawton.

Also present: Councillor Jim Ainslie as substitute for Councillor Sheila Griffin in accordance with Council Procedure Rule 5.2.

Jo Heaney, Commissioning and Delivery Manager, NHS Hartlepool and Stockton-on-Tees CCG

**Co-opted members:**

Julie Cordiner (C of E Diocesan Representative)  
Michael Lee (RC Diocesan Representative)

**Young people's representatives:**

Rebekah Kadhim, Lauren Howells and Jack Palmer.

**Officers:**

Sally Robinson, Director of Child and Adult Services  
Danielle Swainston, Assistant Director, Children's Services  
Mark Patton, Assistant Director, Education, Learning and Skills 0-19  
Rachel Smith, Strategic Commissioner  
Julie Reed, School Place Planning, Admissions and Capital Manager  
Jacqui Braithwaite, Principal Educational Psychologist  
Helen White, Participation Manager  
Helen Swales, Participation Worker  
David Cosgrove, Democratic Services Officer

### **38. Apologies for Absence**

Councillors Mary Fleet, Sheila Griffin, John Lauderdale and Brenda Loynes.

### **39. Declarations of Interest**

Councillors Simmons, Hall and Ainslie declared personal interests in Minute No. 45 as local authority appointed school governors.

#### **40. Minutes of the meeting held on 15 September 2015**

Confirmed.

#### **41. CAMHS Transformation Locality Plan** (*Director of Child and Adult Services*)

##### **Type of decision**

Key Decision (test (ii)) Forward Plan Reference No. C&AS40/15

##### **Purpose of report**

To approve the Hartlepool CAMHS Transformation Locality Plan and to grant delegated powers to amend the plan as needed following the assurance review process to ensure that funding is secured.

##### **Issue(s) for consideration**

The Principal Educational Psychologist reported that the Tees CAMHS Transformation Group was established in 2012/13, and involves representatives from each local authority area, the Clinical Commissioning Group, TEWV and the voluntary and community sector. The key focus of the group was to develop a Tees CAMHS Transformation Strategy in response to the national 'No Health Without Mental Health' strategy.

Earlier this year, a report was published by the Children and Young People's Mental Health Taskforce entitled 'Future in Mind'. This report identified a number of proposals the government wished to see in place by 2020 and established a clear direction with key principles about how to make it easier for children and young people to access high quality mental health care when they need it. The key drive was to establish a whole system approach focusing on prevention of mental ill health, early intervention and recovery.

Additional funding had been identified to support the aims set out in 'Future in Mind'. In order for CCGs and local areas to access these monies, localities were required to develop and submit their Transformation Plans to NHS England by 16th October 2015. Therefore, during the summer, Hartlepool had been working closely with the CCG and partners to build upon the Tees CAMHS Transformation Strategy and associated Transformation Locality Plan to ensure that all actions and targets are aligned with the requirements as set out in 'Future in Mind'. The plan would then go through the assurance process at a regional level before any funds were released.

In order to achieve this ambition in the short time scale available, the

plan was/would be taken to the following forums for discussion and information:

- Adults Mental Health Forum – 3<sup>rd</sup> September 2015
- Hartlepool Health and Wellbeing Board - 5<sup>th</sup> October 2015
- Children's Strategic Partnership – 17<sup>th</sup> November 2015

The Principal Educational Psychologist gave a presentation to the Committee setting out the process of developing the Transformation Locality Plan and the subsequent processes that were required including the new governance arrangements.

The tight timescales had placed a significant burden on officers and partners in developing the new plan. It had been hoped that there would be some information sharing from those authorities that had submitted plans early to NHS England but this had unfortunately not happened. It was acknowledged that the document would be a 'living' document subject to adaptation even after submission and approval. The deadline for submission of 16 October required officers to seek delegated authority to make the necessary amendments and inclusion of the information still being gathered.

The Commissioning and Delivery Manager from NHS Hartlepool and Stockton-on-Tees CCG commented that NHS England would be looking for an indication of increased investment to bring a transformation of services; more of the same was not an option.

The Church of England Diocesan representative commented that while there was much in the plan about awareness raising with schools and professionals there was little aimed at parents. Parents know what to do when a child is physically ill but had little or no guidance on what to do if their child had mental health issues. Using social media to connect with children and young people would give them access to advice and guidance in a way they understood.

One of the young people's representatives indicated that one of the issues they had identified was the waiting time to gain access to CAMHS. The Principal Educational Psychologist indicated that one of the key issues was that by moving resources to prevention, the waiting lists for intervention should reduce. The CCG representative stated that this was an issue that had been identified by the CCG and there had been some staffing changes in order to reduce waiting times.

The Chair welcomed the proposed plan. The access to CAMHS had improved in recent years but more was needed for young people and their families. Bringing those most affected into developing new services was a positive move towards developing the services they actually needed as opposed to fitting need to what was available.

The Chair considered that the most important factor for the future was

ensuring that the changes to the service were having a positive impact.

### **Decision**

1. That the Hartlepool CAMHS Transformation Locality Plan be endorsed;
2. That delegated authority be granted to officers to amend the plan if it fails to meet the NHS England requirements to ensure that funding is released;
3. That the strategic governance for the implementation of the plan lay within the remit of the Children's Strategic Partnership and the Joint Commissioning Executive reporting to the Health and Wellbeing Board;
4. That periodic updates be submitted to the Health and Wellbeing Board in line with the Health and Wellbeing Strategy and also to the Children's Services Committee.

## **42. School Admission Arrangements for 2017/18** (Director of Child and Adult Services)

### **Type of decision**

Key Decision test (ii) applies. Forward Plan Reference Number: CAS41/15.

### **Purpose of report**

To consider and agree the proposed admission arrangements for Community and Voluntary Controlled Schools in Hartlepool for 2017/18 academic year.

### **Issue(s) for consideration**

The Strategic Commissioner reported that all admission authorities must set admission arrangements annually. Where changes were proposed to admission arrangements, the admission authority must first consult on those arrangements. If there were no changes proposed they only need to be consulted on at least every 7 years. Consultation must be for a minimum of 6 weeks and must take place between 1 October and 31 January of the school year before those arrangements are to apply. The consultation period allowed parents, other schools, religious authorities and the local community to raise any concerns about proposed admission arrangements.

There are no changes proposed to the current admission arrangements for Community and Voluntary Controlled schools that require public consultation. The published admission number for each Community

and Voluntary Controlled School was set out in Appendix 1 to the report. The admission numbers for Voluntary Aided, Foundation Schools, Academies and free schools were also included for information.

There were also no changes proposed to the admission arrangements including the over-subscription criteria in respect of Community and Voluntary Controlled schools which were set out in Appendix 2 to the report.

The coordinated Admission Schemes for Primary Schools and Secondary Schools for 2017/18 would be formulated and published on the Authority's website by 1 January 2016, in accordance with the Schools Admissions Code.

The Strategic Commissioner also reported that there had been a large number of applications for reception classes in September 2015. Arrangements had been made with a small number of schools (four) to take additional children to alleviate some of the demand pressures in the north of the town. These 'bulge' classes were a temporary measure only and the places had been offered in accordance with the published admissions policy.

Following the primary National Offer Day (16 April 2015) there were a number of parents dissatisfied that they did not receive one of their school preferences and the Council subsequently received a petition seeking a review of the admissions policy on the allocation of primary school places to include attendance at nursery as part of the admissions criteria. The petition was brought to the attention of Children's Services Committee on 16 June 2015.

Committee resolved that headteachers should be made aware of the terms of the petition. Headteachers have been informed and governing bodies would also be made aware of the petition in the autumn term. A report will be brought to a future Children's Services Committee which will outline the responses received. Should Members decide to formally consult on the terms of the petition, because of the statutory consultation timescales, recommendations could only be considered for the 2018/19 Admissions Arrangements.

In view of the pressure for primary places in the north, it was proposed to permanently increase capacity at two schools. However, to enable the buildings to be extended will require Committee to approve funding allocations which was the subject of the following agenda item.

### **Decision**

That in respect of Community and Voluntary Controlled schools admission arrangements for 2017/18 -

1. That the admission numbers as set out in Appendix 1 to the report

be approved.

2. That the current admission arrangements as set out in Appendix 2 to the report be approved.

## **43. School Place Planning / Basic Need Funding**

*(Director of Child and Adult Services)*

### **Type of decision**

Key Decision test (i) applies. Forward Plan Reference Number: CAS 39/15.

### **Purpose of report**

To seek approval to spend the 2015/16, 2016/17 and part of the 2017/18 Basic Need capital funding allocations.

### **Issue(s) for consideration**

The Strategic Commissioner reported that in previous years, Hartlepool's primary schools have had surplus capacity across the town and the need for additional places was not considered to be an issue. In 2012 the town was split into three geographical planning areas for funding purposes; North West, Central and East and South West. This helped secure Basic Need funding from the Department for Education.

There has been pressure on primary school places in the north of the town for the 2015/16 academic year and it was expected that the pressure for places would also be an issue for the 2016/17 academic year. This was due to a combination of significant planned developments in the North of the town and the increasing popularity of schools in that area.

Officers had identified two schools in the north of the town where capacity could be increased without deviating from the principles set out in the Child and Adult Services Department, Early Years and Schools Infrastructure Plan. The plan outlined the principle that no primary school would be larger than a two form of entry i.e. a Published Admission Number (PAN) above 60 which was 420 places and a secondary school no bigger than 1250 pupil places which was 250 per year group.

The identified schools are Clavering and Hart. The intention was to increase the published admission numbers for these two schools. This would initially provide an additional five places at Clavering and three places at Hart in the Reception year and eventually a total of 35 and 21 pupil places respectively would be created across all year groups. However, works would be required to be carried out to accommodate the extra places in advance of the expected funding payments.



The proposal was to commence works at Clavering - Phase 1 (Key Stage 1 area) and Hart in February/March 2016. A second phase scheme would also be required at Clavering in 2017, to accommodate those additional pupils in Key Stage 1. A feasibility study for Key Stage 2 building works had yet to be carried out.

The Building Design and Construction team had provided estimated costs for works at Clavering and Hart Primary Schools. Initial discussions with the headteachers of both schools had taken place and both had agreed to a 10% contribution. The estimated costs for each school were detailed in a confidential appendix to the report. The appendix contained exempt information under Schedule 12A Local Government Act 1972 (as amended by the Local Government (Access to Information) (Variation) Order 2006) namely, information relating to the financial or business affairs of any particular person (including the authority holding that information).

The Chair commented that the pupil projection figures had not taken account of the unintentional effects of past changes to the criteria. Hopefully, this proposal would provide a longer term solution to some of the issues experienced this year.

### **Decision**

1. That the Basic Need funding on schemes to increase capacity at Clavering and Hart Primary schools, as detailed in confidential Appendix 1 to the report be approved.
2. That it is noted that if capacity is permanently increased at Clavering, further works would also be required to the Key Stage 2 area of the school.

## **44. Commissioning of Emotional Wellbeing Support Service for Parents** *(Director of Child and Adult Services)*

### **Type of decision**

Non key decision.

### **Purpose of report**

To request approval from Children's Services Committee to implement a procurement exercise through tender submissions for the provision of an emotional wellbeing service for parents.

### **Issue(s) for consideration**

The Assistant Director, Children's Services reported in 2014, Hartlepool Borough Council commissioned a provider to deliver an emotional

wellbeing service for parents involved with the Think Family, Think Communities (TFTC) programme, who were affected by the issues stated above. Due to the success of this service, Hartlepool Borough Council would like to mainstream this approach, by offering emotional wellbeing support to all parents involved with children's services. Evidence from the initial pilot shows that parents have welcomed this support and it has enabled them to improve their own emotional wellbeing in order to meet their children's needs.

It was proposed that the service is commissioned in line with the council's contract procedure rules. The service would be advertised for two years with an extension for a further two twelve months, based on demand, satisfactory performance and funding being available. The total value of the initial two year contract is proposed to be £45,000 per annum (£90,000 for the initial two years contract period).

### **Decision**

That the procurement of a mentoring service for children and young people be approved.

## **45. Schools Formula 2016/17 – Item referred to Children's Services Committee by Schools Forum** *(Assistant Director, Education, Learning and Skills 0-19)*

### **Type of decision**

Non-key Decision.

### **Purpose of report**

To provide an update on the EFAs published Schools Revenue Funding for 2016/17 and the implications for School budget setting.

### **Issue(s) for consideration**

The Assistant Director, Education, Learning and Skills 0-19 reported that the Schools Forum at its meeting on 8 September had considered the school budgets for 2016/17. One of the issues that the Forum considered was the percentage rate to be included in the schools funding formula for deprivation. The average rate of funding allocated via deprivation factors by Hartlepool's statistical neighbours is 9%. The North East average deprivation rate is 11.3% and Hartlepool's was one of the highest at 15%.

In light of the government's forthcoming spending review and the potential for the introduction of a national funding formula for all schools, there was much debate on the deprivation percentage particularly in reducing the rate. The Assistant Director indicated that schools had asked for some modelling of figures to be done based on the status

quo, 12% and 9% rate. In the discussions it was considered that going to the 9% rate in 2016/17 may be too big a drop in funding for some schools in one year. When it came to a vote on the issue, the forum was split equally between maintaining 15% and reducing to 12% and therefore sought guidance from the Children's Services Committee.

It was questioned as to whether any discussion had been held on the lump sum element of school funding which currently stood at £75,000 per annum. The Assistant Director said there was some debate but there was an over-whelming majority in support of retaining the lump sum at current level. The reasons behind the government move to a national funding regime were questioned. The Assistant Director indicated that there was a national debate on school funding and the simplistic view was that it would be fairer and more transparent across the country.

The Committee discussed the issues around the deprivation percentage to be included in the funding formula and two proposals were put forward: 13.5% and 12%. Following a vote by show of hands, the rate of 13.5% was agreed and the Chair asked that this be reported back to the Schools Forum as a compromise figure for 2016/17 which would help schools move towards a reduced deprivation figure.

#### **Decision**

That the Committee indicates its support for a deprivation figure of 13.5% being included in the schools funding formula for 2016/17.

### **46. Pupil Achievement Summary 2015 (Provisional)** (*Director of Child and Adult Services*)

#### **Type of decision**

For information.

#### **Purpose of report**

To provide summary of pupil achievement outcomes from public examinations 2014-15, and to indicate any significant trends.

#### **Issue(s) for consideration**

The Assistant Director, Education, Learning and Skills 0-19 reported that children and young people in Hartlepool undertake formal assessments of their attainment and progress throughout each academic year. These assessments were a mixture of teacher assessments, which were moderated and standardised, and tests or examinations that were set nationally. Formal national testing and examinations usually happen in the summer term each year, although some 'early entry' public examinations were taken by Year 10 and Year

11 students at other times throughout Key Stage 4.

The national figures quoted were very early figures, taken from a (large) sub-set of all schools, and had to be considered as indicative only at this stage. Firmer figures would be published by the Department for Education in late October/early November.

The report gave a detailed summary of outcomes at Early Years Foundation Stage, Key Stage 1 and Key Stage 2. At the time of writing the report the Assistant Director stated that there was still a great deal of uncertainty nationally Key Stage 4 and Key Stage 5 and in Hartlepool about final outcomes for individual students as a result of many appeals across a wide range of GCSE subject areas. In addition, there were some errors in the first national data release. This meant that summative indicators for individual schools were still changing almost on a weekly basis.

In summarizing the results, the Assistant Director stated that at primary level in Hartlepool schools were doing an excellent job in preparing children for secondary school and had to be congratulated for this work.

Members welcomed the report and echoed the comments in relation to the performance of primary schools in Hartlepool. It was evident that a lot of good practice was taking place and the narrowing of the performance gap between boys and girls to well below the national average was a sign of this.

The Chair referred to Hartlepool's involvement in the pilot for children to start at school nursery at age 2. Hartlepool had been congratulated on the performance in achieving a 88% uptake and being the highest achieving local authority in the country in this regard.

There was concern at the secondary school performance information being finalised. The Assistant Director commented that an updated report would be submitted to Committee.

### **Decision**

That the report be noted.

## **47. Any Other Items which the Chairman Considers are Urgent**

### Date of Next Meeting

The Chairman indicated that after a pre agenda meeting earlier in the day it was suggested that the next meeting of the Committee scheduled for Tuesday 3 November be cancelled. The date of the next meeting of the Committee would therefore be Tuesday 1 December 2015 commencing at 4.00 pm in the Civic Centre, Hartlepool.

The meeting concluded at 5.30 pm.

**P J DEVLIN**

**CHIEF SOLICITOR**

**PUBLICATION DATE: 23 OCTOBER 2015**

# CHILDREN'S SERVICES COMMITTEE

1 December 2015



**Report of:** Director of Child and Adult Services

**Subject:** SCHOOL FUNDING FORMULA 2016/17

## 1. TYPE OF DECISION/APPLICABLE CATEGORY

1.1 Key Decision (tests (i) and (ii). General Exception rules also apply.

## 2. PURPOSE OF REPORT

2.1 The purpose of the report is to provide an update on the Dedicated Schools Grant (DSG) and to consider and approve the 2016/17 Schools Funding Formula.

## 3. BACKGROUND

3.1 The local authority (LA) receives funding for education via the Dedicated Schools Grant (DSG) grant. This is split in to three areas: the Schools Block, the Early Years Block and the High Needs Block. This report refers to the Schools Block funding only.

3.2 The level of funding allocated to the LA is based upon census returns submitted by the LA. The funding is then calculated based upon 2012/13 cash levels per pupil/child.

3.3 Until the details of the spending review are received it is not known what the final funding allocations will be for the Early Years and High Needs Block. Advice from the Education Funding Agency indicates that that there will be no increases in funding. Final allocations will be subject to the latest census information.

3.4 The local schools formula has to be reviewed and agreed annually. This is then used as a basis for allocating individual school budgets to schools. The LA consults with the Schools Forum in order to agree the formula.

#### **4. SCHOOLS FORMULA 2016/17**

- 4.1 From June 2015, the Council has been consulting with the Schools Forum on the 2016/17 School Formula. A number of meetings have taken place to consider a range of options. During the consultations, the 2016/17 formula factors were agreed with the exception of the deprivation factor. In 2015/16 the factor was set at 15%. Any change to the factors does not affect the overall level of funding allocated to schools.
- 4.2 Schools Forum considered three final options to enable a decision to be made on the deprivation factor for 2016/17, as detailed below.
- i) 15/16 formula - with the deprivation @ 15% (no change)
  - ii) 15/16 formula – with the deprivation factor reduced to 12%
  - iii) 15/16 formula – with the deprivation factor reduced to 9%
- 4.3 Forum members voted for each option. Option (i) and (ii) both received split votes of 7 for and 7 against. Option (iii) was unanimously rejected. The decision was therefore referred to Children's Services committee.
- 4.4 At the Children's Services Committee held on 6<sup>th</sup> October deprivation was discussed in detail. Members noted that Hartlepool's statistical neighbours average deprivation rate was 9% and the Hartlepool was one of the highest at 15%. Members agreed to set the deprivation rate at 13.5% for the 2016/17 schools formula.
- 4.5 Now that all formula factors have been agreed the individual school budget allocations will be calculated and submitted to the Education Funding Agency (EFA) in January 2016. When this has been approved by the EFA schools will be notified of their budget allocation.

#### **5. RISK IMPLICATIONS**

- 5.1 If the recommendations are not approved, the Council will be unable to submit the individual schools budgets for approval to the EFA within the required deadline.

#### **6. FINANCIAL CONSIDERATIONS**

- 6.1 There are no financial considerations.

#### **7. LEGAL CONSIDERATIONS**

- 7.1 There are no legal considerations

**8. CHILD AND FAMILY POVERTY CONSIDERATIONS**

8.1 There are no child and family poverty considerations

**9. EQUALITY AND DIVERSITY CONSIDERATIONS**

9.1 There are no equality and diversity considerations

**10. STAFF CONSIDERATIONS**

10.1 There are no staff considerations

**11. ASSET MANAGEMENT CONSIDERATIONS**

11.1 There are no asset management considerations

**12. RECOMMENDATIONS**

12.1 It is recommended that Members:

- (i) approve the Schools Formula for 2016/17 as detailed in section 4 of this report;
- (ii) note the contents of the report and that further updates will follow when funding has been confirmed for the Early Years and High Needs blocks.

**13. REASONS FOR RECOMMENDATIONS**

13.1 Approval of the Schools Formula is required before the detailed allocations calculated for each school can be submitted to the EFA in January 2016.

**14. BACKGROUND PAPERS**

14.1 Children's Services Committee papers – 6<sup>th</sup> October 2015  
Schools Formula 2016/17

**15. CONTACT OFFICERS**

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# CHILDREN'S SERVICES COMMITTEE

1 December 2015



**Report of:** Director of Child and Adult Services

**Subject:** APPROVAL OF SCHOOL TERM AND HOLIDAY DATES FOR COMMUNITY AND VOLUNTARY CONTROLLED SCHOOLS

## 1. TYPE OF DECISION/APPLICABLE CATEGORY

Non Key

## 2. PURPOSE OF REPORT

- 2.1 To report to the Children's Services Committee changes made to the Deregulations Act 2015 in respect of the approval of school term dates for community and voluntary controlled school by local authorities.

## 3. BACKGROUND

- 3.1 In a report to the Committee on 14<sup>th</sup> July, 2015 Members of the Children's Services Committee were informed that under Section 16 of the Deregulations Act 2015 responsibility for setting school term dates will be removed from the local authority and their responsibility will be transferred to the governing bodies of Community and Controlled schools for setting their own school holiday pattern. Traditionally the LA and the governing bodies of all the Voluntary Aided and Foundation schools work together to ensure a consistent pattern across the town in respect of school term and holiday dates, though in the case of Roman Catholic schools in particular, some variations occur in some years.
- 3.2 In a further communication received by the local authority on 18<sup>th</sup> September 2015 gave notification of the following amendment to the Act:

*"the provision which gives the governing bodies of community, voluntary controlled, community special schools, and maintained nursery schools in England the responsibility for setting their own school term and holiday dates*

*will not be commenced. These schools will not therefore be given the power to set their own term dates.*

*Currently academies, free schools, foundation schools and voluntary-aided schools have freedom over their term dates. Local authorities already have the power to vary term dates for other schools including for individual schools, and there has been no real clamour from maintained schools which do not have this power to have this freedom. Given this it would not be appropriate to commence with widening this to those maintained schools themselves, but we encourage local authorities to listen sympathetically to arguments for change from these schools. Those schools that are performing well can still choose to become academies in order to set their own term dates.”*

- 3.3 In late August in line with the original provisions of the Act, which came in to effect from 1<sup>st</sup> September 2015, schools were advised of details of school term dates for 2016-17 and the removal from the local authorities of the responsibility for setting school term dates, and that this responsibility would transfer to governing bodies of community and voluntary controlled schools.
- 3.4 In September 2015 the local authority was informed by the Central cluster of schools, and one or two others schools in Hartlepool, that they had amended the term dates for 2016-17 recommended by the Committee on 14<sup>th</sup> July, 2015.
- 3.5 The schools that have made amendments to the local authority published term dates for 2016-17 are:
  - Brougham Primary School
  - Clavering Primary School
  - High Tunstall College of Science
  - Jesmond Gardens Primary School
  - Lynnfield Primary School
  - Hart Primary School
  - Rift House Primary School

#### **4. PROPOSALS**

- 4.1 None.

#### **5. RISK IMPLICATIONS**

None.

#### **6. FINANCIAL CONSIDERATIONS**

None.

**7. LEGAL CONSIDERATIONS**

None.

**8. CHILD AND FAMILY POVERTY CONSIDERATIONS**

None.

**9. EQUALITY AND DIVERSITY CONSIDERATIONS**

None.

**10. STAFF CONSIDERATIONS**

None.

**11. ASSET MANAGEMENT CONSIDERATIONS**

None.

**12. RECOMMENDATIONS**

- 12.1 To note the decision of the DfE in their communication to local authorities in September 2015 not to remove the responsibility from local authorities to set school term dates for community and voluntary controlled schools as set out in the Deregulation Act 2015.
- 12.2 To endorse the decisions of the Central cluster and other community and foundation schools to changes made to the school term dates for 2016-17.

**13. REASONS FOR RECOMMENDATIONS**

- 13.1 The proposal seeks agreement to the changes to the 2016-17 term dates which have been published by the Central cluster of schools, and one or two other schools in Hartlepool which change the end date to the autumn term 2016 and return date in the spring term 2017. These amendments to the local authority published term dates were made in good faith and have already been communicated to staff, children and their families.

**14. BACKGROUND PAPERS**

None.

**15. CONTACT OFFICER**

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# CHILDREN'S SERVICES COMMITTEE

1 December 2015



**Report of:** Director of Child and Adult Services

**Subject:** TO NOMINATE LOCAL AUTHORITY  
REPRESENTATIVES TO SERVE ON SCHOOL  
GOVERNING BODIES

## 1. TYPE OF DECISION/APPLICABLE CATEGORY

Non key

## 2. PURPOSE OF REPORT

- 2.1 To update members of the Children's Services Committee in respect of vacancies that currently exist for local authority representative governors, and to request that members recommend nominees to the governing bodies where vacancies currently exist.

## 3. BACKGROUND

- 3.1 Reports have previously been presented to the Children's Services Committee in February and July 2015 alerting members to the requirement that local authority governors are now nominated by the local authority but appointed by the governing body on the basis that the nominee has the skills to contribute to the effective governance and success of the school, and meets any other eligibility criteria they may have set.

A schedule (**Appendix A**) is attached setting out details of vacancies which currently exist, together with applications received (**Appendix B**). **This item contains exempt information under Schedule 12A of the Local Government Act 1972 (as amended by the Local Government), (Access to Information), (Variations Order 2006) namely, information relating to any individual (Para 1).**

**4. PROPOSALS**

4.1 None

**5. RISK IMPLICATIONS**

None.

**6. FINANCIAL CONSIDERATIONS**

None.

**7. LEGAL CONSIDERATIONS**

None.

**8. CHILD AND FAMILY POVERTY CONSIDERATIONS**

None.

**9. EQUALITY AND DIVERSITY CONSIDERATIONS**

None.

**10. STAFF CONSIDERATIONS**

None.

**11. ASSET MANAGEMENT CONSIDERATIONS**

None.

**12. RECOMMENDATIONS**

12.1 The committee gives consideration to applicants as set out in **Appendix B** in respect of local authority nominations for consideration by governing bodies where vacancies currently exist.

**13. REASONS FOR RECOMMENDATIONS**

To ensure that the nomination of local authority governors will contribute to improve the effectiveness of the governing body to which they are appointed.

**14. BACKGROUND PAPERS**

None.

**15. CONTACT OFFICER**

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**VACANCIES FOR LOCAL AUTHORITY REPRESENTATIVES ON GOVERNING BODIES**

SCHOOL	VACANCY	APPLICATIONS RECEIVED	NOMINEES RECOMMENDED FOR CONSIDERATION AND APPOINTMENT BY GOVERNING BODY
Greatham C. E. (VA) Primary School	Mr. G. Lilley Term of Officer expires 16 <sup>th</sup> November 2015	Mr. G. Lilley	
Federated Governing Body St Peter's Elwick C.E. and Hart Primary Schools	1 vacancy	Mrs. B. Hart Currently Associate Member	

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# CHILDREN'S SERVICES COMMITTEE

1 December 2015



**Report of:** Director of Child and Adult Services

**Subject:** BETTER CHILDHOOD PROGRAMME

## 1. TYPE OF DECISION/APPLICABLE CATEGORY

1.1 Non key decision

## 2. PURPOSE OF REPORT

2.1 To update Children's Services Committee on the delivery of the Better Childhood Programme.

## 3. BACKGROUND

3.1 In October 2014, Hartlepool Borough Council in partnership with Hartlepool and Stockton on Tees Clinical Commissioning Group, Cleveland Police and North Tees and Hartlepool NHS Trust submitted a bid to the Department for Communities and Local Government for a Transformation Challenge Award. The bid outlined an ambitious programme of transformation in the way that services for children are delivered in Hartlepool through the following arrangements:

- Establishing an integrated single point of access which will provide a multi professional triage and assessment hub to improve intelligence and information sharing, risk assessment and decision making in the identification of vulnerable families and ensuring they get access to the right early or specialist support;
- Redesigning the approach to early help to establish a multi professional team of family partners utilising capacity within health, local authority and voluntary and community sector;
- Achieving efficiencies within the NHS Trust in relation to avoidable presentation and admissions to Accident and Emergency of children by strengthening early help and clinical capacity to meet health needs of children at a locality level.

- 3.2 The partnership received an award of £750,000 to deliver the Better Childhood Programme and work commenced in April 2015. In line with the details of the bid and in order to build capacity to deliver the ambitious transformation programme, Hartlepool Borough Council has worked in partnership with an external organisation iMPower to develop and deliver of the programme.

#### 4. PROPOSALS

- 4.1 Between April and September 2015, work has focussed on undertaking an analysis of current demand levels and pathways across the child's journey through services. The information to inform the demand analysis has been gathered from a broad range of sources including scrutiny of data, in depth reviews of individual cases and a survey of the children's workforce both within the Council and in partner organisations. The findings from this phase of the programme have been presented to the workforce through a series of workshops. In summary, these findings are that:
- Demand levels across the children's social care system in Hartlepool are high and the current pathways for accessing support have encouraged an over reliance on social care;
  - There is a need to better understand and respond to root cause issues which are impacting upon children and driving demand in Hartlepool, in particular domestic abuse and parental substance misuse;
  - Interventions with children and their families need to be effective to respond to root cause issues and prevent children from becoming looked after through improved early intervention;
  - There is a need for a very different model of service provision across the children's strategic partnership which is multi agency, integrated and focussed on reducing future demand by tackling root cause issues.
- 4.2 Work has now commenced on developing a shared vision for the future service delivery model and a re-design and re-modelling of the wider children's system to significantly strengthen prevention and early intervention provision based on the following design principles:
- Improved analysis of 'root cause' issues to better respond, first time, to the challenges facing families in Hartlepool;
  - A service response focused on building family and community resilience with provision designed to enable families to deal with 'crisis' themselves;
  - The 'blurring' of professional boundaries to remove silo working and ensure all services respond to root cause issues present in families lives;
  - A recognition that for some families, they will require on-going 'life coach' intervention to enable them to remain out of specialist support;
  - Integration of multi agency services at all levels with the co-location, co-management and co-delivery of services for children in locality early help teams;
  - Targeted early help teams located around schools and learning communities at a local level with a core aim of building the capacity and resilience of universal provision & local communities;

- Streamlined pathways between early help and social care teams with social care enabled to access a range of multi-agency support when needs escalate.
- 4.3 Phase two of the programme will be delivered between now and June 2016. This will entail developing the shared vision through staff and partner engagement. This work has already started with the children's services workforce and partner workshops are planned. Through this work, the new integrated model of working will be developed and consulted upon underpinned by engagement and workforce development to drive integration and ensure smooth transition to new ways of working. This is very much in line with the original vision as outlined in the bid where workforce development is seen as critical to new models of service delivery to improve outcomes for children and their families. It is anticipated that integrated locality teams will go live from June 2016 and the model further developed and built upon as more services for children align to this way of working.
- 4.4 Parallel to the above, the Strategic Management Board which is made up of partners involved in the development of the North Tees Multi Agency Children's Hub (MACH) has overseen the project management arrangements for this aspect of the Better Childhood Programme. The Business Case for the North Tees MACH for both Hartlepool and Stockton Borough Council's has been developed and agreed by the Board. This was a key milestone in the delivery of the MACH as officers now move into the implementation phase. Work has commenced in the business process mapping for the MACH ensuring that the practice model reflects multi agency working and supports the principle of prevention and early intervention wherever possible. Successful delivery of the MACH in line with this principle will start to tackle the finding from the demand analysis detailed above of the over reliance on social care.
- 4.5 The intention as reported previously to Children's Services Committee is that Hartlepool Borough Council will deliver the MACH on behalf of both local authorities and the MACH will be located in Hartlepool. Over the coming months, formal consultation will commence with the workforce to move to a new structure with effect from April 2016 when the MACH will go live. Following a report to Finance and Policy Committee on 21 September 2015 a further report will be presented to Finance and Policy Committee detailing the costings of the service alongside the staffing structure in January 2016.

## **5. RISK IMPLICATIONS**

- 5.1 As with any major transformation programme, there are risks inherent in the programme. These are monitored and managed through the project management arrangements.

## **6. FINANCIAL CONSIDERATIONS**

- 6.1 The Council was awarded a grant from DCLG to deliver the Better Childhood Programme as an invest to save initiative. One of the key drivers for the programme is to strengthen the effectiveness of prevention and early intervention services for children, young people and their families. This, in turn, should reduce demand for high cost, specialist services for children, particularly those associated with children looked after, and contribute the Council's medium term financial strategy around efficiency.

## **7. LEGAL CONSIDERATIONS**

- 7.1 Legal services will be involved in negotiating the arrangements for the North Tees MACH.

## **8. CHILD AND FAMILY POVERTY CONSIDERATIONS**

- 8.1 The vision of the Better Childhood Programme is to improve the lives and outcomes of children and their families in Hartlepool and reduce child and family poverty. The proposals outlined in this report aim to configure services in such a way as to be responsive to the presenting needs of children and their families and, wherever possible, prevent need from escalating. It promotes a way of working which ensure that people receive the right level of service commensurate with their needs and that people get access to the right service, first time. This will ensure that children and families living in poverty receive the advice, guidance and support they need to reduce the impact of poverty with the ultimate aim of achieving a standard of living above the poverty line.

## **9. EQUALITY AND DIVERSITY CONSIDERATIONS**

- 9.1 The delivery of the Better Childhood Programme promotes improved outcomes for vulnerable children and young people and their families.

## **10. STAFF CONSIDERATIONS**

- 10.1 The proposed changes to the way in which services for children are delivered will impact upon the staff delivering those services. Staff are already engaged in the design of what the future service model will look like and have expressed enthusiasm for and commitment to the programme. The Council's HR service has been engaged and will advise on circumstances where formal staff consultation is required and the process for this.

- 10.2 An extensive programme of workforce development which underpins this programme has commenced and will continue through to and beyond the go live date.

## **11. ASSET MANAGEMENT CONSIDERATIONS**

- 11.1 Accommodation issues will be addressed within the project management process.

## **12. RECOMMENDATIONS**

- 12.1 Children's Services Committee is asked to note the progress made in delivering the Better Childhood Programme and endorse the continuance of this work.

## **13. REASONS FOR RECOMMENDATIONS**

- 13.1 The Better Childhood Programme is key development of children's services towards delivering improved outcomes for children young people and their families.

## **14. BACKGROUND PAPERS**

Better Childhood Programme Bid  
Finance and Policy Committee 21<sup>st</sup> September 2015 – Further Development of Advice and Guidance Services

## **15. CONTACT OFFICER**

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# CHILDREN'S SERVICES COMMITTEE

1<sup>st</sup> December 2015



**Report of:** Director of Child and Adult Services

**Subject:** ENGINEERING MASTERCLASSES 2015  
EVALUATION

## 1. TYPE OF DECISION/APPLICABLE CATEGORY

1.1 This report is for information.

## 2. PURPOSE OF REPORT

2.1 This report provides background information to the 2015 series of Royal Institution Engineering Masterclasses, and an evaluation of their impact.

## 3. BACKGROUND

- 3.1 The Royal Institution (Ri) has been running masterclasses in engineering, mathematics and computer science for many years. The masterclasses are organised all over the UK and are hands-on and interactive extracurricular sessions led by top experts from academia and industry for keen and talented young people (13-14 year-olds, Year 9). The masterclass programme was started by Professor Sir Christopher Zeeman in 1981 after the popularity of his 1978 Christmas Lectures on mathematics. The masterclasses aim to open the eyes of young people to the excitement, beauty and value of mathematics, engineering and computer science and in turn, inspire the next generation.
- 3.2 The Royal Institution has tried to organise an engineering masterclass series in the Tees Valley for many years. Given the profile of the engineering sector in the Tees Valley it proved surprisingly hard to find a way to make this happen. The education team in Hartlepool's Child & Adult Services Department decided to take this on, and began planning in the late spring term of 2015. Support from EDF Power and Hart Biologicals was quickly won. These two organisations contributed the use of their venues free of charge which helped to keep project costs to a minimum. Additionally, staff from these two organisations contributed a masterclass each to the series.

- 3.3 The Hartlepool engineering masterclass series comprised six Saturday morning sessions in June and July 2015. Five of the sessions were held in Hartlepool and ran from 10.00 am until 12.30 pm. The final and sixth session was a full-day celebration event held at Northumbria University in Newcastle. At this final event, students from other Ri series held throughout the school year in the north-east came together to talk and hear about engineering.
- 3.4 All five secondary schools in Hartlepool engaged enthusiastically in the series and nominated gifted and talented Year 9 students. Students were required to commit to attending all six masterclasses.
- 3.5 The five masterclasses held in Hartlepool were varied and covered engineering fields such as pylon construction, robotics, mechanical gearing, bund walls and building rail tracks. Engineers from Hartlepool, London, Birmingham and from around the region delivered the sessions. Most of the materials were provided by the engineers and their companies free of charge. Some materials were also contributed at no cost by The English Martyrs School and Sixth Form College and by Manor Community Academy. A small cost was incurred for the replacement of some consumable construction materials. See section 6. below regarding costs for the series.
- 3.6 The 2015 masterclass series was fully evaluated, with evaluative contributions from the students and from the professional engineers. An evaluation report has been submitted to the Ri.

#### 4. OUTCOMES

- 4.1 A total of 27 students enrolled on the Hartlepool masterclass series with students from all five maintained secondary schools participating. Attendance was excellent, with the average attendance being 24 to each session; the majority of absences were due to illness or family events/holidays. The Royal Institution report that these take-up and attendance rates are high compared with other series in England.
- 4.2 Students enjoyed the robotics masterclass most, followed closely by the *Power To The People* pylon construction masterclass and the bund wall masterclass. Students most enjoyed the masterclasses where they were active for the majority of the time. Students were less likely to enjoy masterclasses where they were sat listening/watching for long periods of time, this includes the Celebration Event where they reported that there was “too much sitting around”. Students enjoyed working with students from other schools, and made new friends as a result of the Saturday morning classes. All students indicated that they would consider engineering as a possible career path. Some of the high-frequency words used by the students to describe the Hartlepool series were: fun, interesting, challenging, maths, exciting, teamwork.

- 4.3 All of the engineers reported that they enjoyed working in Hartlepool, and working with our young people. All said that they would be happy to return to deliver another masterclass. Key delivery partners of EDF Power and Hart Biologicals have indicated that they would be willing to support future series.
- 4.4 Members of the education division in Child & Adult Services gave up some of their Saturday mornings to support the masterclasses. Additionally, teachers from all five secondary schools gave up some of their Saturday mornings to support the series too. Without this supervisory and hands-on support from colleagues the series would not have happened.
- 4.5 Lessons learned when considering the organisation of future series:
- start planning for 2016 earlier;
  - identify and apply for any external funding support earlier;
  - identify adult support for each masterclass earlier in the process to share the load out;
  - local priorities and feedback from first series indicates an extension to include a mathematics series in 2016 should be considered;
  - masterclasses should be active and hands-on as far as possible, a possible challenge for a mathematics series; any 'input' from contributors which involves static listening/watching should be chunked into twenty-minute (at most) segments.

## 5. RISK IMPLICATIONS

Risk assessments for all practical activities undertaken during the masterclasses were undertaken and are held with the appropriate organisation delivering each masterclass.

## 6. FINANCIAL CONSIDERATIONS

The costs incurred in providing the 2015 series were due to a return rail fare from London, some materials required for the construction masterclass, and bus hire for transport to and from Newcastle for the celebration event. This totalled approximately £500. This was met through existing funds. However, a grant from the Cleveland Scientific Institute (CSI) has been applied for to cover these costs. The outcome of the CSI committee decision regarding this grant application should be known by the time this report is presented in Committee, and a verbal update will be provided at that time.



**7. LEGAL CONSIDERATIONS**

There are no legal considerations.

**8. CHILD AND FAMILY POVERTY CONSIDERATIONS**

There are no child and family poverty considerations.

**9. EQUALITY AND DIVERSITY CONSIDERATIONS**

The guidance from the Royal Institute is that the masterclasses are suitable for more able students. All more able students in every mainstream school in Hartlepool were considered by their teachers when making nominations. Students from each of the five mainstream secondary schools in Hartlepool attended the series.

**10. STAFF CONSIDERATIONS**

There are no staff considerations.

**11. ASSET MANAGEMENT CONSIDERATIONS**

There are no asset management considerations.

**12. RECOMMENDATIONS**

Committee to note the contents of this report, and to consent to the organisation of further series in 2016.

**13. REASONS FOR RECOMMENDATIONS**

- 13.1 The sectors of science, technology, engineering and mathematics (STEM) are one of the keys to the economic prosperity of Hartlepool and the Tees Valley in the next 10-15 years. Events such as this series raise the profile of these employment sectors and encourage young people to consider career pathways in these fields. Additionally, young people are exposed to and interact with high level professionals in a range of employment areas through these classes. This contributes to a broadening of their horizons and an improvement in their communication skills.

**14. BACKGROUND PAPERS**

There are no background papers to this report.

**15. CONTACT OFFICER**

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# CHILDREN'S SERVICES COMMITTEE

1<sup>st</sup> DECEMBER 2015



**Report of:** Director of Child & Adult Services and Chief Finance Officer

**Subject:** STRATEGIC FINANCIAL MANAGEMENT REPORT - AS AT 30<sup>th</sup> SEPTEMBER 2015

## 1. TYPE OF DECISION/APPLICABLE CATEGORY

Non key decision.

## 2. PURPOSE OF REPORT

- 2.1 The purpose of the report is to inform Members of the 2015/16 forecast General Fund Outturn, 2015/16 Capital Programme Monitoring and provide details for the specific budget areas that this Committee is responsible for.

## 3. BACKGROUND AND FINANCIAL OUTLOOK

- 3.1 As detailed in the Medium Term Financial Strategy Report submitted to the Finance and Policy Committee on 23<sup>RD</sup> November 2015 the Government will implement further cuts in funding for Councils in 2016/17 and future years. It is anticipated that these additional Government funding cuts will continue to have a disproportionate impact on Hartlepool, and other Councils, which are still more reliant on this funding and have higher levels of deprivation/demand for services. This position was reinforced in the Spending Review document published by the Government on 21<sup>st</sup> July 2015. Whilst this document did not provide any specific detail of the impact of the Spending Review on individual Government Departments, it did state that HM Treasury
- “is inviting government departments to set out plans for reductions to their Resources budgets. In line with the approach taken in 2010, the HM Treasury is asking departments to model two scenarios, of 25% and 40% savings in real terms, by 2019/20”.
- 3.2 The Spending Review document did not provide any detail of the phasing of the potential funding cuts over the next 4 years. On the basis of a 40% reduction being applied evenly across the next 4 years this equates to annual reductions of 10%, which is the current MTFS planning assumption, albeit that the MTFS only covers 3 financial years. However, if the Government cuts are front loaded

and/or have a greater disproportionate impact than in previous years the forecast 2016/17 budget deficit may increase.

- 3.3 The Spending Review also included Government proposals for a 1% Public Sector Pay cap for 4 years from 2016/17 and the phased implementation of a National Living Wage. The impact of these changes has been reflected in the MTFS.
- 3.4 The Government has stated that the Spending Review outcome will be published on 25<sup>th</sup> November 2015. This means that the Local Government Funding announcement is unlikely to be made until late December 2015, which makes financial planning for 2016/17 extremely challenging.
- 3.5 In view of the ongoing financial challenges and risks detailed in the previous paragraphs the Corporate Management Team will continue to adopt robust budget management arrangements during 2015/16 and as detailed in section 5 an underspend is forecast. This position will need to be managed carefully over the remainder of the financial year, particularly over the winter period where some services face their highest demand and therefore cost of providing services.
- 3.6 The MTFS recommended that one-off resources achieved from the 2015/16 forecast outturn (which for planning purposes it is assumed will be achieved) and the reserves review are earmarked to manage the impact of a higher actual 2016/17 grant cut than forecast.
- 3.7 The MTFS also included a recommended strategy for managing the 48% reduction in the Power Station ratable value. This has resulted in a permanent reduction in the Councils Business Rates Income of £3.9m. This issue is still being progressed with the Department for Communities and Local Government and a further report will be presented to a future meeting of the Finance and Policy Committee when more information is available.

#### **4. REPORTING ARRANGEMENTS 2015/16**

- 4.1 The availability and reporting of accurate and up to date financial information is increasingly important as future budget cuts are implemented and one-off resources are used up.
- 4.2 The Finance and Policy Committee will continue to receive regular reports which will provide a comprehensive analysis of departmental and corporate forecast outturns, including an explanation of the significant budget variances. This will enable the Finance and Policy Committee to approve a strategy for addressing the financial issues and challenges facing the Council.
- 4.3 To enable a wider number of Members to understand the financial position of the Council and their service specific areas each Policy Committee will receive a separate report providing:
- a brief summary of the overall financial position of the Council as reported to the Finance and Policy Committee;

- the specific budget areas for their Committee; and
- the total departmental budget where this is split across more than one Committee. This information will ensure Members can see the whole position for the departmental budget.

## **5. SUMMARY OF OVERALL COUNCIL FINANCIAL POSITION**

- 5.1 As detailed earlier in the report an updated assessment of the forecast 2015/16 outturn has been completed and this reflects action taken by the Corporate Management Team to achieve under spends to help address the significant financial challenges facing the Council over the next few years. Budget under spends are being achieved through a combination of robust management actions, including;
- holding posts vacant, which will help reduce the number of compulsory redundancies required to balance the 2016/17 budget;
  - achieving planned 2016/17 savings early; and
  - careful management of budgets to avoid expenditure where this does not have an adverse impact on services.
- 5.2 The MTFS report submitted to the Finance and Policy Committee on 23<sup>rd</sup> November 2015 anticipated that there will be a forecast net under spend of between £669,000 and £889,000. The range reflects a small number of potential seasonal factors. As detailed in the report to Finance and Policy Committee it was recommended that the forecast net under spend is earmarked to help manage the financial risks referred to in section 3 and a strategy for using these one-off resources developed as part of the 2016/17 MTFS.

## **6. 2015/16 FORECAST GENERAL FUND OUTTURN – Children's Services Committee**

- 6.1 The following table sets out the overall budget position for the Child and Adult Services Department broken down by Committee, together with a brief comment on the reasons for the forecast outturn.

Budget	Description of Expenditure	September Projected Outturn Adverse/ (Favourable) Worst Case	September Projected Outturn Adverse/ (Favourable) Best Case	Comments
£'000		£'000	£'000	
29,569	Adult Committee	(226)	(510)	This relates to a combination of one-off grants, early achievement of 2016/17 savings and incremental drift.
18,273	Children's Committee	226	112	The overspend relates to increased demand within looked after children budgets, direct payments and means tested allowances mainly offset by underspends within Early Intervention Services arising from early achievement of 2016/17 savings, incremental drift and vacant posts.
<b>47,842</b>	<b>Total Child &amp; Adult</b>	<b>0</b>	<b>(398)</b>	
<b>Creation of Reserves</b>				
-	Adults - Demographic Pressures in Adult Social Care Reserve	0	250	Worst case assumes that funding is not available from a departmental under spend to create the reserve, which would increase financial risk in future years. Best case assumes funding is available to transfer to the existing reserve to provide a contingency budget against future increases in demand. This replaces the proposal at Q1 to transfer to a Care Act reserve.
-	Adults - Telecare Equipment Reserve		100	As part of the BCF programme it is proposed to increase and enhance the Telecare service. To assist in this expansion it is proposed to create a reserve to purchase the required equipment to meet the expected increase in demand.
-	Children's - Early Intervention Reserve	0	48	Worst case assumes that funding is not available from a departmental under spend to create the reserve, which would increase financial risk in future years. Best case assumes funding is available to create a reserve to manage the potential funding risks of remodelling early help and social care services.
-	<b>Creation of Reserves Total</b>	<b>0</b>	<b>398</b>	
<b>47,842</b>	<b>Total Child &amp; Adult - Net of Reserves</b>	<b>0</b>	<b>0</b>	

6.2 Further details of the specific budget areas this Committee is responsible for are provided in **Appendix A**.

6.3 The Youth Justice Board (YJB) announced on 5<sup>th</sup> November 2015 an in-year reduction of 10% (£49k) in their grant funding to the Youth Offending Service. Owing to the late notification this reduction will be funded from the existing YOS reserve in 2015/16. There may also be additional cuts to this funding in 2016/17 and detailed proposals for managing these cuts will be reported to a future meeting.

## 7. CAPITAL MONITORING 2015/16

7.1 The 2015/16 MTFS set out planned capital expenditure for the period 2015/16 to 2017/18.

7.2 Expenditure against budget to the 30<sup>th</sup> September 2015 for this Committee can be summarised in the following table and further details are provided in **Appendix B**.

Department	2015/16 and Future Years Budget	2015/16 Budget	2015/16 Actual to 30/09/15	2015/16 Remaining Expenditure	2015/16 Re-phased Expenditure	2015/16 Total Expenditure	2015/16 Variance from Budget Adverse/ (Favourable)
	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Children's Services	5,327	3,716	1,140	2,576	0	3,716	0
<b>Total</b>	<b>5,327</b>	<b>3,716</b>	<b>1,140</b>	<b>2,576</b>	<b>0</b>	<b>3,716</b>	<b>0</b>

7.3 An element of the Golden Flatts School electrical rewire scheme originally planned for phase 2 has had to be brought forward for technical reasons. Therefore the scheme is expected to cost £14,000 more than originally

anticipated. This can be funded from the unallocated budget and it is recommended that Members approve this increase in budget.

- 7.4 One of the boilers at Golden Flatts School has failed owing to a cracked heat exchanger and failure of circulation pumps. Owing to the risk that the remaining boiler also fails and to ensure that the works can be completed before the Winter the Director of Child and Adult Services has agreed a boiler replacement scheme at a estimated cost of between £40,000 to £45,000. This can be funded from the unallocated capital contingency budget which is held to manage such risks.

## **8. RISK IMPLICATIONS**

- 8.1 The outturn is not within the forecast ranges. This position will be managed closely for the remainder of the year.

## **9. FINANCIAL CONSIDERATIONS**

- 9.1 These are covered in detail in Sections 3 to 7.

## **10. LEGAL CONSIDERATIONS**

- 10.1 None.

## **11. CHILD AND FAMILY POVERTY CONSIDERATIONS**

- 11.1 None.

## **12. EQUALITY AND DIVERSITY CONSIDERATIONS**

- 12.1 None.

## **13. STAFF CONSIDERATIONS**

- 13.1 None.

## **14. ASSET MANAGEMENT CONSIDERATIONS**

- 14.1 None.

## **15. RECOMMENDATIONS**

- 15.1 It is recommended that Members:
- i) Note the report;
  - ii) Approve the rephasing of the electrical works at Golden Flatts school as identified in paragraph 7.3

## **16. REASONS FOR RECOMMENDATIONS**

To update the Members on the Committees forecast 2015/16 General Fund revenue budget outturn and provide an update on the Capital Programme for 2015/16.

## **17. BACKGROUND PAPERS**

Strategic Financial Management Report – as at 30<sup>th</sup> June 2015 – Finance and Policy Committee 28<sup>th</sup> August 2015

Medium Term Financial Strategy Report - Council 18<sup>th</sup> December 2014.

Medium Term Financial Strategy Report Update Report – Finance and Policy Committee 29<sup>th</sup> June 2015

Medium Term Financial Strategy Report Update Report – Finance and Policy Committee 23<sup>rd</sup> November 2015

## **18. CONTACT OFFICERS**

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## REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2015/16 as at 30th September, 2015

Approved 2015/2016 Budget  £'000	Description of Service Area	September		Director's Explanation of Variance
		Projected Outturn Variance - Adverse/ (Favourable) Worst Case  £'000	Projected Outturn Variance - Adverse/ (Favourable) Best Case  £'000	
Children's Committee				
11,744	Children & Families	606	517	Increased numbers of residential placements, recent increases in numbers of looked after children and historic pressures against Residence Order, Special Guardianship Allowances and Direct Payments partly offset by incremental drift, vacant posts (now filled) and Care Proceedings. Range reflects uncertainty at this stage of the year given the volatile nature of these budget areas. Best Case scenario reflects only part-release of Early Intervention reserve as expenditure can be funded from within overall outturn.
4,440	Early Intervention Services	(290)	(305)	Underspend relates to vacant posts (now filled), incremental drift and some non-pay budgets which will contribute towards 16/17 budget savings.
4	Play & Care	20	20	Historic shortfall in income as against budget.
418	Youth Offending Service	0	0	The YJB have recently announced an in-year grant reduction of £49k (10%) which will be funded from the YOS reserve.
197	Access to Education	18	(45)	The worst case includes the risk of academies not buying back de-delegated services and an income shortfall. These are off set by a saving relating to consultancy fees.
108	Central Support Services	5	5	
537	Other School Related Expenditure	(20)	(22)	In year pension savings which have been identified as savings for the 2016/17 MTFS
561	Raising Educational Achievement	10	(25)	Restructuring savings achieved in year. The permanent savings are included in the 2016/17 MTFS
208	Special Educational Needs	(24)	(39)	The projected saving relates to one off vacancy savings
56	Strategic Management	11	6	
18,273	Sub Total	336	112	
0	Release of Looked After Children Reserve for Looked After Children pressure	(110)	0	
18,273	Children's Committee Total (before creation of reserves)	226	112	
Creation of Reserves				
0	Childrens - Early Intervention Reserve	0	48	It is proposed to transfer this to the existing reserve to assist with early intervention initiatives to reduce demand for services.
0	Children's Services Total - Net of Reserves	226	160	To be funded from the Adult Services Outturn.

**PLANNED USE OF RESERVES**

The above figures include the 2015/2016 approved budget along with the planned use of Departmental Reserves created in previous years.  
The details below provide a breakdown of these reserves

Approved 2015/2016 Budget £'000	Description of Service Area	Planned Usage 2015/2016 £'000	Variance Over/ (Under) £'000	Director's Explanation of Variance
<b>Children's Committee</b>				
0	Youth Offending	164	164	Reserve to be used to fund 2 temporary posts, contribution to youth activities contract and youth provision and creation of immunisation room. In addition, the recent notification of a 10% (£49k) in-year reduction in YJB grant will be funded from this reserve.
299	Troubled Families	0	(299)	It is now anticipated that the Troubled Families grant will fund all of the costs of this years programme.
32	Adoption Reform Grant	32	0	
169	Early Intervention Reserve	49	(120)	In the best case scenario, it is proposed to fund an element of expenditure from within the overall outturn enabling the balance of this reserve to be carried forward to support future years budgets.
102	Schools Transformation Team	55	(47)	Restructuring & contract expiry means the service will underspend, requiring less reserve funding.
56	Data Team	56	0	
55	School Improvement	55	0	
<b>713</b>	<b>Children's Committee Total</b>	<b>411</b>	<b>(302)</b>	

<b>MEMO:-</b>	<b>Dedicated Schools Grant</b>			
<b>4,472</b>	Early Years	<b>0</b>	<b>0</b>	
<b>7,969</b>	High Needs	<b>0</b>	<b>0</b>	A full review is currently underway to manage this volatile demand led budget. Latest projections suggest that this block will overspend by c£0.180m. It is planned to fund this over spend using earmarked & DSG reserve balances.
<b>37,498</b>	Schools	<b>0</b>	<b>0</b>	There is a projected overspend of c.£0.050m owing to a shortfall in income from academies. Earmarked reserves have been set aside to fund this shortfall in the current financial year.

## CAPITAL MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2015

Project Code	Scheme Title	BUDGET		EXPENDITURE IN CURRENT YEAR					Type of Financing	2015/16 COMMENTS
		A	B	C	D	E	F	G		
		2015/16 and Future Years Budget £'000	2015/16 Budget £'000	2015/16 Actual as at 30/09/15 £'000	2015/16 Expenditure Remaining £'000	Expenditure Rephased into 2016/17 £'000	(C+D+E) 2015/16 Total Expenditure £'000	(F-B) 2015/16 Variance from Budget £'000		
Children's Committee										
7469	Children's Centre's Capital	32	32	2	30	0	32	0	RCCO	
8072	Integrated Children's Services Case Management Improvement	37	37	0	37	0	37	0	MIX	
8218	Youth Service Portable Multi-Use Games Area (Youth Capital Fund)	7	7	0	7	0	7	0	GRANT	
8836	YOS Health Suite	24	24	0	24	0	24	0	RCCO	
8783	Barnard Grove Primary School - Section 278 Works	12	12	0	12	0	12	0	GRANT	
8781	Brougham Primary School - 2 year old Free Nursery Entitlement Capacity Building	11	11	0	11	0	11	0	GRANT	
8808	Brougham Primary School - Lighting/Emergency Lighting	25	25	3	22	0	25	0	GRANT	
8825	Chatham Road Children's Centre - Lighting/Emergency Lighting	4	4	0	4	0	4	0	GRANT	
8809	Clavering Primary School - Roofing Block A (Phase 2 of 2)	84	84	52	32	0	84	0	GRANT	
8732	Clavering Primary School - Roofing - Block A	8	8	0	8	0	8	0	GRANT	
7384	Devolved Schools Capital	734	734	460	274	0	734	0	MIX	
8833	English Martyrs School - Science Labs	325	325	0	325	0	325	0	RCCO	On hold pending Priorty School Building Programme Phase 2 (PSBP Phase 2). This scheme will remain on hold until the Autumn when EFA provide information as to where within the 5 yr programme the new build will be timetabled.
8810	Fens Primary School - Heating Distribution (Phase 1 of 3)	77	77	58	19	0	77	0	GRANT	This school have contributed an additional £17k to this scheme for additional works.
8834	Fens Primary School - Cold Water Main Replacement	11	11	4	7	0	11	0		
8811	Golden Flatts Primary School - Electrical Rewire (Phase 1 of 3)	90	90	91	13	0	104	14	GRANT	An element of the scheme originally planned for phase 2 has been brought forward for technical reasons. Therefore the scheme is expected to cost £14k more than originally anticipated. This can be funded from the unallocated budget.
8734	Golden Flatts Primary School - Condensation mitigation works	15	15	0	15	0	15	0	MIX	
8730	Greatham Primary School - Roofing (Phase 1 of 2)	8	8	0	8	0	8	0	GRANT	
8812	Greatham Primary School - Roofing (Phase 2 of 2)	65	65	15	50	0	65	0	MIX	
8813	Hart Primary School - Lighting/Emergency Lighting	8	8	1	7	0	8	0	MIX	
8729	Hart Primary School - Roofing - Block A	2	2	0	2	0	2	0	MIX	
8598	High Tunstall School - Heating Distribution - Block G	47	47	0	47	0	47	0	GRANT	
8523	High Tunstall School - Heating Distribution - Block A	48	48	0	48	0	48	0	GRANT	
8801	High Tunstall School - Hot Water Plant Replacement	3	3	2	1	0	3	0	GRANT	

## CAPITAL MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2015

Project Code	Scheme Title	BUDGET		EXPENDITURE IN CURRENT YEAR					Type of Financing	2015/16 COMMENTS
		A 2015/16 and Future Years Budget £'000	B 2015/16 Budget £'000	C 2015/16 Actual as at 30/09/15 £'000	D 2015/16 Expenditure Remaining £'000	E Expenditure Rephased into 2016/17 £'000	F (C+D+E) 2015/16 Total Expenditure £'000	G (F-B) 2015/16 Variance from Budget £'000		
8718	High Tunstall School - Roofing - Area of Block A Phased	60	60	0	60	0	60	0	MIX	On hold pending Priority School Building Programme Phase 2 but minor works were necessary and have been undertaken. The remainder of the scheme will remain on hold until the Autumn when EFA provide information as to where within the 5 yr programme the new build will be timetabled.
8718	High Tunstall School - Roofing - Block A	11	11	0	11	0	11	0	MIX	Scheme reduced pending outcome of Priority School Building Programme Phase 2. The remainder of the scheme will remain on hold until the Autumn when EFA provide information as to where within the 5 yr programme the new build will be timetabled.
8719	High Tunstall School - Roofing - Block L	102	102	0	102	0	102	0	MIX	On hold pending Priority School Building Programme Phase 2. The remainder of the scheme will remain on hold until the Autumn when EFA provide information as to where within the 5 yr programme the new build will be timetabled.
8716	High Tunstall School - Window Replacement - Block A	154	154	0	154	0	154	0	RCCO	On hold pending Priority School Building Programme Phase 2. This scheme will remain on hold until the Autumn when EFA provide information as to where within the 5 yr programme the new build will be timetabled.
8785	Holy Trinity Primary School - Section 278 Works	2	2	0	2	0	2	0	GRANT	
8814	Kingsley Primary School - Hall Window Replacement	50	50	46	9	0	55	5	MIX	This scheme is forecast to cost £5k more than originally anticipated owing to the discovery of asbestos which requires removal. This can be funded from the unallocated budget.
8727	Kingsley Primary School - Electrical Rewire	60	60	52	8	0	60	0	MIX	
8817	Lynnfield Primary School - Lighting/Emergency Lighting (final phase)	9	9	1	8	0	9	0	GRANT	
8816	Lynnfield Primary School - Roofing (Phase 1)	90	90	22	68	0	90	0	MIX	
8815	Lynnfield Primary School - Window Replacement Block A (Phase 2 of 2)	54	54	17	37	0	54	0	MIX	
8728	Lynnfield Primary School - Window Replacement - Block A	5	5	0	5	0	5	0	MIX	
8782	Lynnfield Primary School 2 - year old Free Nursery Entitlement Capacity Building	15	15	3	12	0	15	0	GRANT	
8789	Pupil Referral Unit - Refurbishment	67	67	3	64	0	67	0	RCCO	
8832	Pupil Referral Unit - Access Works	20	20	19	1	0	20	0	MIX	
7586	Purchase of Computer Equipment - City Learning Centre	4	4	0	4	0	4	0	RCCO	
8720	Rift House Children's Centre - Roofing Replacement	4	4		4	0	4	0	GRANT	
8818	Rift House Primary School - Lighting/Emergency Lighting	13	13	2	11	0	13	0	GRANT	
8821	Rossmere Primary School - Heating Distribution	60	60	39	21	0	60	0	GRANT	

## CAPITAL MONITORING REPORT PERIOD ENDING 30th SEPTEMBER 2015

Project Code	Scheme Title	BUDGET		EXPENDITURE IN CURRENT YEAR					Type of Financing	2015/16 COMMENTS
		A 2015/16 and Future Years Budget £'000	B 2015/16 Budget £'000	C 2015/16 Actual as at 30/09/15 £'000	D 2015/16 Expenditure Remaining £'000	E Expenditure Rephased into 2016/17 £'000	F (C+D+E) 2015/16 Total Expenditure £'000	G (F-B) 2015/16 Variance from Budget £'000		
8819	Rossmere Primary School - Lighting/Emergency Lighting	31	31	0	31	0	31	0	MIX	
8820	Rossmere Primary School - Window Replacement	50	50	26	24	0	50	0	MIX	
8692	Rossmere Primary School - Forest School	155	155	107	48	0	155	0	RCCO	
7421	School Travel Plans	14	14	0	14	0	14	0	GRANT	
8138	Schools General - BSF - ICT	532	60	7	53	0	60	0	GRANT	
8139	Schools General - BSF - ICT Infrastructure Costs	112	0	0	0	0	0	0	GRANT	
9004	Schools General - Contingency	150	150	0	150	0	150	0	GRANT	
9004	Schools General - Funding Currently Unallocated	201	201	0	179	0	179	(22)	MIX	This reflects the net overspend that will be transferred to fund schemes that are now projected to cost more than originally anticipated.
9004	Schools General - RCCO Earmarked for Asbestos Surveys	60	60	0	60	0	60	0	RCCO	
9004	Schools General - RCCO Unallocated	1,027	0	0	0	0	0	0	RCCO	
8788	Schools General - Universal Free School Meals	60	60	7	53	0	60	0	GRANT	
8822	Springwell Primary School - Refurbish WC's	50	50	20	30	0	50	0	MIX	
8865	St Cuthbert's Outdoor Area	95	95	0	95	0	95	0		
8823	St Helen's Primary School - Roofing KS1 Building	90	90	59	31	0	90	0	MIX	
8824	Throston Primary School - SEN Adaptations	19	19	20	2	0	22	3	GRANT	The scheme has been modified and now includes installation of a sluice which has resulted in a slight increase to projected costs. This can be funded from the unallocated budget.
8455	West Park Primary School - Fire Detection System	53	53	0	53	0	53	0	GRANT	
8526	West Park Primary School - Heating / Hot & Cold Water Distribution	16	16	1	15	0	16	0	MIX	
8653	West View Primary School - Early Years Foundation Stage Improvements	155	155	1	154	0	155	0	RCCO	This scheme was put on hold in 2014/15 pending the PSBP 2 announcement and only works to canopy and external store were completed. The school was not successful for PSBP2 therefore the scheme is now progressing.
<b>Children's Committee Total</b>		<b>5,327</b>	<b>3,716</b>	<b>1,140</b>	<b>2,576</b>	<b>0</b>	<b>3,716</b>	<b>0</b>		

## Key

RCCO Revenue Contribution towards Capital  
MIX Combination of Funding Types  
UCPB Unsupported Corporate Prudential Borrowing  
SCE Supported Capital Expenditure (Revenue)

GRANT Grant Funded  
CAP REC Capital Receipt  
UDPB Unsupported Departmental Prudential Borrowing  
SPB Supported Prudential Borrowing