

**REPLACEMENT AGENDA**  
**PLEASE NOTE THIS IS AN ADDITIONAL MEETING**  
**WITH A CHANGE OF DAY AND TIME**

**CHILDREN'S SERVICES COMMITTEE**  
**AGENDA**



**Thursday 29 November 2018**

**at 11.00am**

**in Committee Room B,  
Civic Centre, Hartlepool**

MEMBERS: CHILDREN'S SERVICES COMMITTEE

Councillors Harrison, James, Lauderdale, Little, Marshall, Moore, Trueman.

Co-opted Members: Jo Heaton, C of E Diocese and Stephen Hammond, RC Diocese representatives.

School Heads Representatives: Mark Tilling (Secondary), David Turner (Primary), Zoe Westley (Special).

Six Young Peoples Representatives

Observer: Councillor Thomas, Chair of Adult Services Committee

**1. APOLOGIES FOR ABSENCE**

**2. TO RECEIVE ANY DECLARATIONS OF INTEREST BY MEMBERS**

**3. MINUTES**

- 3.1 Minutes of the meeting held on 13 November 2018 (*previously circulated and published*).

**4. BUDGET AND POLICY FRAMEWORK ITEMS**

No items.



**REPLACEMENT AGENDA**  
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**5. KEY DECISIONS**

- 5.1 High Needs Block Disapplication 2019/20 – *Director of Children's and Joint Commissioning Services*

**6. OTHER ITEMS REQUIRING DECISION**

No items.

**7. ITEMS FOR INFORMATION**

No items.

**8. ANY OTHER BUSINESS WHICH THE CHAIR CONSIDERS URGENT**

**FOR INFORMATION**

Date of next meeting – Tuesday 4 December 2018 at 4.00pm in the Civic Centre, Hartlepool.



# CHILDREN'S SERVICES COMMITTEE

29 November 2018



**Report of:** Director Children's & Joint Commissioning Services

**Subject:** HIGH NEEDS BLOCK DISAPPLICATION 2019/20

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## 1. TYPE OF DECISION/APPLICABLE CATEGORY

- 1.1 This is a special urgency key decision. Tests (i) and (ii) apply.
- 1.2 With reference to the provisions of Council Procedure Rule 27.2, the Committee is advised that the decision that is the subject of this report, in the opinion of the Chair of this Committee in consultation with the Proper Officer, is deemed to be urgent. The decision is urgent as it is agreed any delay caused by a referral to Council would seriously prejudice the Council's or the public's interest. The decision and notice of its publication will state therefore that this decision is an urgent one and therefore not subject to the Council referral process.

## 2. PURPOSE OF REPORT

- 2.1 To inform members of the outcome of Schools' Forum held on the 26 November 2018 and their decision in relation to the request to transfer £1.030m from the Schools Block to the High Needs Block (HNB) to fund the forecast financial pressure in 2019/20.
- 2.2 To agree that the local authority should present a disapplication request to the Secretary of State in order to ensure that the local authority can set a balanced budget for the HNB and provide for its most vulnerable children and young people.

## 3. BACKGROUND

- 3.1 Schools' Forum has received regular updates of forecast spending against the High Needs Block during 2018/19. The ESFA (Education and Skills Funding Agency) issued indicative HNB allocations for 2019/20 and a report was presented to Schools' Forum on 16 October 2018 outlining an anticipated financial pressure of £1.030m in financial year 2019/20.

- 3.2 This is a worsening picture from 2018/19. Although HNB indicative funding has increased by £0.3m in 2019/20, the overall level of need and complexity of demand is increasing at a higher rate.
- 3.3 Members will recall that significant work has been undertaken to ensure the optimum use of limited HNB funding. Activity has included:
- restructured ranges for funding of high needs places
  - implementation of two primary SEMH Additional Resource Provisions during 2018-19 academic year
  - planned implementation of a secondary SEMH provision during 2019-20 academic year
  - creation of a new 3-year post to support mainstream schools in meeting an increased complexity of need as part of the Education Improvement Strategy 2018-2021
  - submission of a free school bid to DfE around SEMH to reduce the need for costly Out of Authority provision
  - joint submission for a free school bid across Tees Valley
  - provision of increased places at our maintained primary special school.
- 3.3 The latest reports to Schools' Forum are appended to this report at **APPENDIX A**. It is important to note that Forum reports and papers are shared with all headteachers in Hartlepool in order to facilitate consultation and discussion between Schools' Forum members and the schools that they represent.
- 3.4 One option to address overspending against the HNB is via the transfer of funds from the School's Block. In agreement with their Schools' Forum, Councils are able to transfer up to 0.5% of their School's Block funding to support the HNB. Any proposed transfers above this level are subject to a disapplication request to the Secretary of State.
- 3.5 Members will recall that the Council submitted a successful disapplication request to transfer 0.88%, or £0.55m, to the HNB in 2018/19. The disapplication request was supported by Schools' Forum.

#### 4. OPTIONS PROPOSED FOR 2019/20

- 4.1 In order to meet the anticipated financial pressure of £1.030m in 2019/20, Schools' Forum requested 2019/20 budget modeling against the three proposals shown below:

<b>Option 1</b>	Report an unbalanced budget deficit of £1.030m
<b>Option 2</b>	Transfer £0.550m from the Schools Block to High Needs Block, along with a rate reduction to fund the remaining shortfall of £0.480m
<b>Option 3</b>	Transfer £1.030m from the Schools Block to High Needs Block

The likely impact of these options on schools' individual budget shares was calculated and reported to all schools.

- 4.2 Under option 1, the Council would set an unbalanced budget for the High Needs Block and submit statutory returns to show a deficit of £1.030m. With regard to its duties of overall financial control and management, the Council has advised Schools' Forum that it cannot feasibly agree to this option. In addition, an assessment of planned actions by other local authorities in a similar position, has demonstrated that this is not a likely approach across the country.
- 4.3 Option 2 proposes a transfer of £0.550m from the Schools Block (the same transfer value as in 2018/19), alongside a reduction in the value of the existing ranges to fund the further £0.480m shortfall. This would mean that the income received by schools that place pupils with an assessed level of need within a certain range would reduce. A number of income reduction options were presented to Schools' Forum as shown in the reports at **APPENDIX A**.
- 4.4 Although option 2 addresses the anticipated overspend, it is likely to disadvantage those schools that actively place pupils with high needs. This approach could, in turn, place a new pressure on non-mainstream school provision. This is not the preferred option because it places a disproportionate additional financial burden on those schools which have high proportions of children with high level and complex needs.
- 4.5 The Council's preferred option is option 3. This option would result in a relatively minor net decrease (0.2%) to each school budget in 2019/20 in comparison to 2018/19 budgets. Option 3 would address the anticipated overspend. This is the preferred option because it spreads the additional financial burden across all schools which is more in keeping with Hartlepool's fully inclusive ethos. This option would require a disapplication request being made to the Secretary of State (see section 7 below).

## 5. RISK IMPLICATIONS

### 5.1 If option 3 is not implemented the risks are:

- a) the Council may be unable to fund the HNB deficit in 2019/20 and in future years
- b) the Council may not fulfill its responsibility for overall financial control and management by not addressing an anticipated deficit.

### 5.2 If option 3 is implemented, as recommended, the risks are:

- a) the Secretary of State may not approve the Council's disapplication request
- b) the anticipated overspend for 2019/20 of £1.03m may vary
- c) the number of schools in a budget deficit position may increase.

## 6. FINANCIAL CONSIDERATIONS

- 6.1 The Council has a duty to ensure appropriate financial control and management, including setting a robust budget.
- 6.2 DSG (Dedicated Schools Grant) reserves are likely to be depleted during 2019/20, as shown in the reports to Schools' Forum at **APPENDIX A**. Any year on year deficits have a cumulative effect on the Council's balances, making a historic deficit position difficult to recover from. Any deficit balance on DSG will come under greater scrutiny from ESFA from 31 March 2019. A new reporting regime will require any Council with a 1%+ DSG deficit to provide a recovery plan and strategy to reach a balanced position.
- 6.3 School budgets are under increasing pressure and option 3 would result in a reduction of 0.2% from 2018/19 budgets.

## 7. LEGAL CONSIDERATIONS

- 7.1 Schools' Forum can agree to transfer up to 0.5% of the DSG to other blocks. Also, where ESFA agreed a disapplication request in 2018/19 and this continues to have Schools' Forum support, no further disapplication is required in 2019/20.
- 7.2 Any transfer above 0.5% and not already covered by a 2018/19 disapplication request, requires a new approval by the Secretary of State. The deadline for disapplication requests is 30 November 2018.

## 8. CONSULTATION

- 8.1 Schools' Forum and all headteachers have been kept up to date with the growing financial pressure on the HNB.
- 8.2 Schools' Forum (and all headteachers) considered the three options at its meetings on the 16 October 2018, 12 November 2018 and 21 November 2018. At its meeting on the 21 November a **fourth option** was discussed. This option was to agree the transfer of £0.550m but report a deficit of £0.480m.
- 8.3 Schools' Forum agreed to consult all headteachers and they reconvened on 26 November 2018. After a lengthy discussion where views of individual schools were presented by their Forum representatives, Schools' Forum representatives voted on the four options. The vote was as follows:
- Option 1 – 8 first preference votes  
Option 2 – 0 first preference votes  
Option 3 – 0 first preference votes  
Option 4 – 7 first preference votes

- 8.4 Therefore, Schools' Forum voted to support Option 1.

## **9. CHILD AND FAMILY POVERTY CONSIDERATIONS**

- 9.1 There are no specific child and family poverty considerations because children with special educational needs and disabilities will be supported according to their individual needs.

## **10. EQUALITY AND DIVERSITY CONSIDERATIONS**

- 10.1 Hartlepool Borough Council and all schools in Hartlepool are committed to ensuring that all children and young people, regardless of their individual needs, receive a good education. The Council also has an obligation to ensure that public funds are used appropriately, and to ensure sound financial processes are in place to manage budgets and anticipated overspends effectively.

## **11. STAFF CONSIDERATIONS**

- 11.1 There is no expected impact on staff as a result of this report. However, school budgets are experiencing increasing financial pressure which may place current school staffing levels at risk.

## **12. ASSET MANAGEMENT CONSIDERATIONS**

- 12.1 There are no asset management considerations arising from this report.

## **13. CONCLUSIONS**

- 13.1 Support for children with SEN (Special Educational Needs) is funded from the High Needs Block, a ring-fenced component of the overall DSG. Since 2015/16, actual expenditure has exceeded the available resources owing to an increase in the number and complexity of children requiring additional support. This position is expected to worsen in 2019/20, with a £1.030m overspend anticipated.
- 13.2 Unless the expected overspend is addressed for 2019/20, the Council will be in a DSG deficit position. This situation will then require even more difficult decisions in future years as the recovery plan would need to address both the historic overspend and the continuing impact of spend exceeding the ring-fenced funding on a recurring basis.

- 13.3 The position facing Hartlepool is not unique and many other areas are facing the same financial pressures. The national picture demonstrates that HNB funding is not keeping pace with need.
- 13.4 The review activity described at paragraph 3.3 of this report demonstrates the Council's and schools' commitment to continual review of the effectiveness and innovation in addressing the higher volume and complexity of need.
- 13.5 As required by Government regulations, Schools' Forum has been consulted on options for addressing the 2019/20 HNB overspend. Schools' Forum voted against supporting the transfer of £1.030m from Schools Block to the HNB.
- 13.6 To ensure that any disapplication request can be submitted ahead of the 30 November 2018 deadline, Committee is asked to agree the option for addressing the 2019/20 overspend.

## **14. RECOMMENDATIONS**

- 14.1 To note the contents of this report.
- 14.2 Note the recommendation from Schools' Forum for Option 1 – Report an unbalanced budget deficit of £1.030m.
- 14.3 Agree option 3 for addressing the 2019/20 HNB overspend by submitting a disapplication request to the Secretary of State to transfer £1.030m from the Schools Block to the HNB for the 2019/20 budget.

## **15. REASONS FOR RECOMMENDATIONS**

- 15.1 Having regard to its responsibility for financial control and management, the Council should address the anticipated HNB overspend for 2019/20 and avoid a deficit position.

## **16. BACKGROUND PAPERS**

- 16.1 There are no background papers to this report.



**17. CONTACT OFFICERS**

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**Report to Hartlepool Schools' Forum 26<sup>th</sup> September 2018**  
**From Mark Patton – Assistant Director Education**

**Item 4: High Needs Block Update**

**1. Introduction**

- 1.1 The purpose of the report is to provide an update on the 2017/18 outturn and the projected spend on the HNB for the financial year 2018/19.

**2. Background**

- 2.1 The baseline allocation for the HNB was set in 2013/14 based on historic funding and did not reflect the impact of the education reforms. The Block overspent in financial years 2015/16 and 2016/17. The LA was forecasting an overspend of £0.875m for the financial year 2017/18.
- 2.2 Schools' Forum proposed that, owing to inconsistencies in funding and increasing demands, the LA would undertake a review of bandings and the Individual Pupil Support (IPS) funding process.
- 2.3 The review of the High Needs Block has been completed with the new funding model being implemented in April 2018. The model has been designed to allocate the ring fenced grant on a fair and equitable basis. This has been implemented in a climate of increasing need and demand on the HNB funding when only a small increase has been allocated to Hartlepool by central government.
- 2.4 To manage the increase in the costs against the High Needs Block, for financial year 2017/18, Schools Forum agreed to transfer £0.550m (**0.8%** Schools Block) from the Schools Block to the High Needs Block. This will also need to be considered for the 2019/20 financial year.

### 3. 2017/18 Outturn

3.1 The following table shows the 2017/18 outturn.

	Year		
	17/18 Budget	17/18 Actual £m	Over / (Underspend)
LA Place Funding	1.56	1.56	0
Independent Schools Fees	1.112	1.552	0.440
Out of Authority Top-ups	0.266	0.274	0.008
Top-up Funding & Support	4.176	4.468	0.292
Post 16 Top-up Funding	0.729	0.643	(0.086)
High Needs Support Services	0.467	0.467	0
<b>Total</b>	<b>8.310</b>	<b>8.964</b>	<b>0.654</b>
<b>Overspend</b>	-	<b>0.654</b>	
LA Contribution	-	-	
Use of DSG Reserves	-	(0.654)	
<b>DSG Reserve remaining</b>	<b>0.979</b>	<b>0.325</b>	

#### 3.2 Independent Schools Fees (£0.440m over)

The overspend on this budget heading was owing to an additional nine pupils being placed in independent schools in 2017/18. There was no suitable provision in Hartlepool.

#### 3.3 Top-Up Funding & Support (£0.292m over)

This overspend was predominantly owing to an overspend on IPS and exceptional funding, with an under spend on the PRU.

#### 4. **2018/19 HNB**

The table below shows the projected outturn for 2018/19.

	Year		
	18/19 Budget	18/19 Forecast Outturn	Over / (Undersp end)
LA Place Funding	1.332	1.332	0
Independent Schools Fees	2.177	2.327	0.150
Out of Authority Top-ups	0.295	0.420	0.125
Top-up Funding & Support	3.968	4.072	0.104
Post 16 Top-up Funding	0.750	0.643	(0.107)
High Needs Support Services	0.467	0.467	0
<b>Total</b>	<b>8.989</b>	<b>9.261</b>	<b>0.272</b>
<b>Overspend</b>	-	<b>0.272</b>	
LA Contribution	-	-	
Use of DSG Reserves	(0.085)	(0.272)	
<b>DSG Reserve remaining</b>	<b>0.240</b>	<b>0.000</b>	

##### 4.1 **Top up Funding & Support - IPS Funding – (£0.020m)**

Year on year there has been an increase in the number of children and young people receiving IPS funding. At the 31 July 2018 there were 386 individuals funded through IPS. This number will increase when panels meet for the remainder of the financial year.

- 4.2 LA staff, school-based staff and health colleagues were involved in the development of the SEND Range Descriptors which are based on national best practice in determining the needs of pupils with SEND. They are based on the four areas of the SEND Code of Practice (0-25) January 2015: Cognition and Learning Needs; Communication and Interaction Needs; Social, Emotional and Mental Health Needs; and Sensory, Physical Needs. The graduated approach of “assess, plan, do and review” that pervades best practice in the implementation of the SEND CoP is a key element to the process.
- 4.3 The new model is needs-led placing a greater emphasis on accountability and targeting of spend to meet the pupil’s needs. There is a requirement for schools to demonstrate how the notional SEN funding has been used to meet need as part of the graduated response in relation to individual pupils before any top-up funding is applied for.
- 4.4 Implementation of the Banding and Ranges has ensured a full review of all pupils receiving IPS funding. Each individual has been assigned a banding for 2018/19. This has involved moderation at school level and at town wide events. This has been across all schools including ARP and Special.
- 4.5 This review has reduced the overall overspend from £0.238m to £0.021m as at 31 July 2018.
- 4.6 Following the SEND reforms in 2013/14 pupils with Education, Health and Care Plans have increased from 326 to 549 in 2017. Currently the local authority manages 610

EHCPs based on the requests received in the 2017-18 school year. Should the percentage increase seen thus far continue at the same rate this number will increase to 710 EHCPs by the end of 2018/19.

- 4.7 EHCPs, in the majority of cases, attract an element of funding through IPS which is increasing spend in this area.

#### **Top up Funding & Support – Special Schools Top Up Funding – (£0.084m)**

- 4.8 Although the banding review was implemented in April 2018, both Special schools have MFG protection at 98.5% of the 2017/18 outturn. This has resulted in a forecast adverse variance of £0.084m.

#### **Independent School Fees – (£0.150m over)**

- 4.9 The demand for independent school placements continues to rise. This is mainly owing to the increasing demand for specialist provision for Social, Emotional and Mental Health (SEMH) and Autism Spectrum Disorder (ASD) for which the local authority does not have sufficient appropriate provision to meet this increasing demand.
- 4.10 The table below shows the number of pupils accessing Independent provision since 2015/16.

	2015/16		2016/17		2017/18		2018/19 as at 18/9/18	
<b>Independent School Fees</b>	<b>No. Pup</b>	<b>£m</b>	<b>No. Pup</b>	<b>£m</b>	<b>No. Pup</b>	<b>£m</b>	<b>No. Pup</b>	<b>£m</b>
Day	14	0.625	11	0.581	23	0.843	32	1.296
Residential	<5	0.12	<5	0.278	>5 <10	0.671	>5 <10	0.641
Awaiting placement					<5	0.134	<5	0.065
		<b>0.745</b>		<b>0.859</b>		<b>1.648</b>		<b>2.002</b>

- 4.11 To try to address this increase in demand for Independent provision the LA have undertaken a review of existing ARP provision and are establishing Primary based Additionally Resourced Provision for the primary need of SEMH. Two units are to be commissioned providing a six place unit in the autumn term 2018 and a further eight placed unit in the spring 2019 term. No additional place funding has been received from the ESFA, this has been achieved through the reconfiguration of existing place funding.
- 4.12 Work is ongoing in relation to the commissioning of secondary ARP provision for SEMH following the initial expression of interest. It is envisaged that provision will be available from autumn 2019.
- 4.13 Hartlepool have been involved in preparing a joint bid for a Tees Valley SEMH free school through the DfE funding that was available in 2016. Unfortunately the joint bid was unsuccessful. However a further round of funding is available to bid for and again Hartlepool are currently exploring with Tees Valley authorities submitting a further bid for secondary SEMH provision.

#### **Out of Area Maintained School or Academy – (£0.125m over)**

- 4.14 In total 21 young people are placed in provision outside of Hartlepool with potentially a further three pupils to be placed. As stated above, this is partly attributable to the lack

of appropriate provision in Hartlepool to meet need for SEMH and ASD. Hearing Impaired/Visually Impaired (HI/VI) provision is commissioned and delivered on a Tees wide basis making it more cost effective to place out of Hartlepool. The HNB also meets the cost of Looked after Children who have an EHCP or receive IPS funding and reside out of area as the children are legally Hartlepool's responsibility.

### **Post 16 Top Up Funding ( £0.107m underspend)**

- 4.15 The projected underspend is as a result of the reorganisation of support arrangements in Hartlepool College of FE. The college form classes and can allocate support to the class, rather than on a 1:1 basis. This structure enables young people to share support where possible, which fosters independence.

## **5. Increasing capacity**

- 5.1 The local authority has been successful in gaining approval and securing the first year funding of the three years Special Provision Capital Funding. In total the local authority could receive £500k. Basic Need funding has been added to allow the increase in capacity at the primary special school allowing the increase in school places of nine which should be available in spring 2019. This will cater initially for children with more complex ASD needs.

## **6. Summary and considerations for 2019/20 HNB budget setting**

- 6.1 In overall terms the transfer of funding from the Schools Block coupled with the High Needs review has reduced the overspend from £0.653m in 2017/18 to a projected £0.272m in 2018/19. DSG reserves (£0.085m) were used to set the HNB budget for 2018/19. The projected overspend during 2018/19 will use up the remaining reserves, leaving none for setting the 2019/20 HNB budget.
- 6.2 It is therefore important for Schools Forum to consider the possible transfer of funding for the 2019/20 financial year, and perhaps revisiting the funding allocated to the SEND Funding Range Descriptors, in order to ensure that a balanced budget can be set.
- 6.3 The Schools Revenue Funding 2019/2020 operating guide states that
- ‘LA’s may transfer **up to** 0.5% of their schools block funding into another block, with approval of schools forum. In cases where ESFA notified the LA that they could transfer an amount more than 0.5% for 2018/19, the LA will be able to replicate this with Schools’ Forum approval for 2019/20, and do not need to submit another request to transfer the same percentage amount or less’.

## **7. Recommendations**

- 7.1 Forum is recommended to
- a) note the contents of the report
  - b) discuss the transfer of funding between the Schools Block and the HNB.

**Report to Hartlepool Schools' Forum 16 October 2018**  
**From Mark Patton (Assistant Director – Education)**

**Item 5: High Needs Funding Forecast 2019/20**

**1. Introduction**

- 1.1 The purpose of the report is to provide an indicative budget for the High Needs Block (HNB) for 2019/20.

**2. Background**

- 2.1 Schools' Forum members are aware of the continuing pressures on the HNB. The HNB report in September 2018 highlighted a projected adverse outturn of £0.272m. Since that report the adverse outturn has increased by £0.050m, owing to a further two children placed out of authority.
- 2.2 In 2018/19, Schools' Forum agreed to transfer £0.550m (0.8%) from the Schools Block to the HNB.
- 2.3 The Schools Revenue Funding 2019/20 operating guide states that:
- 'LAs may transfer up to 0.5% of their schools block funding into another block, with the approval of Schools' Forum. In the cases where ESFA notified the LA that they could transfer an amount more than 0.5% for 2018/19, the LA will be able to replicate this with the Schools' Forum approval for 2019/20, and does not need to submit another request to transfer the same percentage amount or less.'
- 2.4 Therefore, should Schools' Forum agree to the same rate transfer, i.e. 0.8%, then a disapplication request would not be required.

**3. Local Authority Financial Position**

- 3.1 A report to the Council's Finance and Policy Committee on 3 September 2018 advised Members that 2019/20 will be the most challenging financial year the Council has ever faced, as cuts have now been made for the last eight years and the Council faces a 2019/20 budget deficit of nearly **£6 million**. This deficit equates to a reduction in the overall budget of 7%. However, as many areas of expenditure cannot be reduced as they are demand lead and relate to costs of caring for people, higher cuts in other areas will be required. In summary, the deficit reflects three key factors:
- **2019/20 will be the ninth year of Government funding reductions**  
 For Hartlepool this means that Government Funding (Revenue Support Grant and Top up grant) in 2019/20 will be approximately **£25.5m**, compared to **£46.4m** in 2013/14, which is a reduction of **£20.9m** i.e. a reduction of 45%.

- **2019/20 Unfunded budget pressures**

The main budget pressures impacting on the 2019/20 budget relate to Looked After Children (£3.5m) and the national pay awards (£2.150m). Looked After Children pressures are national issues and by 2020 the Local Government Association are forecasting a funding shortfall of £2 billion.

- **Funding switch from Government funding on to Council Tax**

The reductions in Government funding and other changes introduced by the Government, including the introduction of the Adult Social Care precept, have shifted the burden of funding local authority services from national tax payers on to Council Tax.

#### 4. **HNB Funding Forecast**

4.1 The table below shows a summary of the 2019/20 funding allocations.

<b>Forecast Funding 2019/20</b>	<b>£m</b>
2019/20 ESFA - Funding Allocation	10.931
Less Recoupment amounts paid directly to academies/providers	(2.216)
<b>Forecast HNB Funding 2019/20</b>	<b>8.715</b>

4.2 The table below summarises the 2019/20 HNB forecast based on current projections.

<b>Forecast Expenditure 2019/20</b>	<b>£m</b>
LA Place Funding	1.426
Independent Schools Fees	2.460
Out of Authority Top-ups	0.405
Top-up Funding & Support	4.267
Post 16 Top-up Funding	0.720
High Needs Services	0.467
<b>Total</b>	<b>9.745</b>
<b>Projected Overspend</b>	<b>1.030</b>

#### 4.3 **LA Place Funding – (Budget Increase £0.094m)**

In order to manage the growing costs of the HNB, the local authority has undertaken a place review. Details of the review are covered in this report. No additional funding has been received from the ESFA. Five extra places have been commissioned, funded from the Top-up funding and support available.



#### 4.4 **Independent School Fees – (Budget Increase £0.283m)**

The demand for independent school placements continues to rise. This is mainly owing to the increasing demand for specialist provision for Social, Emotional and Mental Health (SEMH) and Autism Spectrum Disorder (ASD) for which the local authority does not have sufficient appropriate provision to meet the increasing demand.

4.5 The table below shows the number of pupils accessing independent provision since 2015/16.

		2015/16		2016/17		2017/18		2018/19 as at 18/9/18		Projections 2019/20	
Independent School Fees		No. Pup	£m	No. Pup	£m	No. Pup	£m	No. Pup	£m	No. Pup	£m
Day		14	0.625	11	0.581	23	0.843	32	1.296	35	1.371
Residential		<5	0.12	<5	0.278	>5<10	0.671	>5<10	0.641	>5<10	0.689
Awaiting placement						<5	0.134	<5	0.065	>5<10	0.38
			<b>0.745</b>		<b>0.859</b>		<b>1.648</b>		<b>2.002</b>		<b>2.44</b>

4.6 The number of potential placements has increased since the report to Schools' Forum in September. The measures taken to try and address the increase in demand for independent provision, in reviewing ARP placements, should mean that fewer children are sent to independent provision. The 2019/20 budget is based upon the current provision at independent schools and assumes no increase in the number of children in this type of provision.

#### 4.7 **Out of Authority Top-Ups Maintained Schools or Academy – (Budget Increase £0.038m)**

In 2018/19 there are 20 young people placed in provision outside of Hartlepool with potentially a further three pupils waiting to be placed. The 2019/20 budget is based upon this current provision at Out of Area schools, and has assumed no extra children will be placed in this provision. As previously reported, this is partially attributable to the lack of appropriate provision in Hartlepool to meet need for SEMH and ASD. Hearing Impaired/Visually Impaired (HI/VI) provision is commissioned and delivered on a Tees-wide basis making it more cost effective to place out of Hartlepool. The HNB also meets the cost of Looked After Children who have an EHCP (Education Health and Care Plan) or receive IPS (Individual Pupil Support) funding and reside out of area as the children are legally Hartlepool's responsibility.

4.8 To try to address the increase in demand for independent provision the authority has undertaken a review of existing ARP provision and is establishing primary-based Additionally Resourced Provision for the primary need of SEMH. Two units are to be commissioned providing a six place unit in the autumn term 2018 and a further eight place unit in the spring 2019 term. No additional place funding has been received from the ESFA. Instead, this has been achieved through the reconfiguration of existing place funding.

- 4.9 Work is ongoing in relation to the commissioning of secondary ARP provision for SEMH following the initial expression of interest. It is envisaged that provision will be available from autumn 2019. Again no additional funding has been made available through the ESFA.
- 4.10 In establishing ARP provision that can provide outreach, it is envisaged along with the wider work being undertaken by the SEMH working group, that early identification of need and intervention will have a positive impact on the escalation of need, resulting in the number of children and young people requiring specialist provision out of area decreasing over time.
- 4.11 Hartlepool was involved in preparing a joint bid for a Tees Valley SEMH free school through the DfE funding that was available in 2016. Unfortunately, the joint bid was unsuccessful. However a further round of funding is available to bid for, and again, Hartlepool are currently working collaboratively with Tees Valley authorities to submit a further bid for SEMH provision which will be for key stage 2 to 4, with the possibility of post 16 support.
- 4.12 Should the free school bid be successful, we would continue to have students accessing provision out of Hartlepool but the funding to support such provision would be at a much reduced cost to the HNB.
- 4.13 **Top up Funding & Support – (Budget Increase £0.247m)**
- 4.14 **Individual Pupil Support (IPS) Funding**  
Year on year there has been an increase in the number of children and young people receiving IPS funding. As at July 2018 there were 386 individuals funded through IPS. This number is likely to increase when panel meets during the remainder of the financial year. The 2019/20 budget forecast is based upon the current IPS provision and has not estimated additional costs of extra panels in 2018/19 and 2019/20.
- 4.15 Following the SEND reforms in 2013/14, pupils with Education, Health and Care Plans have increased from 326 to 549. Currently the local authority manages 610 EHCPs based on requests received in the 2017/18 school year. There are 710 EHCPs predicted by the end of 2018/19 and 809 by the end of 2019/20.
- 4.16 **Special Schools Top-Up Funding**  
Although the banding review was implemented in April 2018, both special schools have MFG protection at 98.5% of the 2017/18 total funding. The guidance for this process is particularly complex and discussions are ongoing to ensure that new pupils are funded correctly.
- 4.17 In addition, the local authority has been successful in gaining approval and securing the first-year funding of the three years' Special Provision Capital. In total, the local authority will receive £500k. Basic Need funding has been added to allow the increase of nine school places at Springwell School which should be available in spring 2019. This will cater initially for children with more complex ASD needs.

- 4.18 This additional capacity will increase special school place funding. This has been managed through the review of places outlined in para 4.3 above. However, the additional cost of place funding is significantly lower than pupils attending independent provision out of area.
- 4.19 Small STEPS  
The Small STEPS Team (Specialist Team for Early Intervention, Parent and settings Support) is funded from the HNB. The Small STEPS Team offer outreach support, training and advice for adults, including parents or carers, day-care staff and teaching assistants. The team support young children aged 0-6 years who have significant additional or learning needs, which are often profound and complex. This also includes ASD and social communication difficulties. The team's focus is on early intervention, supporting adults to gain skills and confidence to meet needs, promoting inclusion, learning and social skills, planning for transitions and encouraging joint working. The Educational Psychology Service provides clinical supervisory oversight to the staff.
- 4.20 Pupil Referral Unit (PRU)  
As part of an earlier review, the top-up funding paid in relation to KS3 and KS4 places in the provision has been on an agreed scale of reduction, with all top-up payments from the HNB in respect of KS3 ceasing in April 2018, and in relation to KS4 in April 2019. The PRU now has 12 commissioned places for Permanently Excluded (PEX) children, reduced from 24 in 2017/18 with Forum approval. However, as the number of PEX has rapidly increased recently, the local authority is currently reviewing the basis on how it funds these additional pupils. As of October 2018, there are 22 FTE (full time equivalent) pupils in the PRU, of which six are accessing alternative provision. Further increases in the PEX rate will increase funding pressure on the HNB even further.
- 4.21 Hearing/Visually Impaired Services  
This is a Tees Valley-wide Service Level Agreement for the provision of support in mainstream schools to children and young people with a sensory impairment. The service is led by Middlesbrough Borough Council. The cost of the service is split across four of the Tees Valley authorities of which Hartlepool pay a 17% share of the annual cost which equates to £0.192m. This represents good value for money when reviewing the caseload of young people that HI/VI services work with.
- 4.22 Occupational Therapy  
This service is commissioned with North Tees and Hartlepool NHS Trust to provide specialist paediatric occupational therapy support to young people and staff in educational settings to deliver appropriate therapeutic interventions to meet both individual and group needs. The service supports the development of therapy skills within the broader education team and reduces waiting times as well as time away from the educational setting.
- 4.23 Direct Payments (personal budgets)

2.

One young person with SEN is educated at home with a personal package of education and support. This is paid for by a direct payment of personal budget, to a third party managing agent. The package is monitored by the Virtual Headteacher, the SEND Team and Educational Psychologists to ensure that it meets the need of the pupil.

#### 4.24 **Post 16 Funding – (Budget Reduction £0.030m)**

The Authority anticipates a small saving for the 2019/20 academic year for post-16 education. Fewer children access post-16 provisions outside of the town. There is an increase in numbers of young people at HCFE (Hartlepool College of Further Education) but the authority works closely with the college to match young people into classes and share support. This allows the authority to keep costs down by supporting needs of a group rather than individuals.

4.25 For 2018/19, a contract has been developed with Public Health England to run supported internships across the town. The 2018/19 cohort is full and there are currently 12 young people on internships. This is proving to be a huge success and complements the successful internships run by Catcote Academy and HCFE. The contract with Public Health England allows the authority to draw down their element 1 and 2 funding from the ESFA allocation, meaning the authority will only need to fund element 3 top-up costs for 2018/19. This is estimated to be in the region of £30-50k. Public Health England has a 75% success rate for young people to enter paid employment following completion of their internship

#### 4.26 **High Needs Services**

The block contributes to the running costs of services in relation to High Needs. These include support from the Commissioning team and the SEND team, support from the Virtual Headteacher and the Educational Psychology team, and administration costs in relation to the oversight of the HNB and EHCP process. Despite the increase in demand for services over the past four years, the contribution from the HNB has remained static at £0.467m. The local authority has absorbed the additional demands on resources and additional costs.

### 5. **Recommendations**

- 5.1 Schools' Forum members are recommended to note the contents of the report, and
- a) discuss methods of addressing the projected overspend of the HNB as highlighted at para 4.2
  - b) agree how to meet the funding gap of **£1.030m** identified at para 4.2.

**Report to Hartlepool Schools' Forum 12 November 2018**  
**From Mark Patton (Assistant Director – Education)**

**Item 4: Indicative High Needs Block Funding 2019/20**

**1. Introduction**

- 1.1 The purpose of the report is to provide an update on the indicative funding for the 2019/20 High Need's Block (HNB).

**2. Background**

- 2.1 Forum will recall the transition to a national funding formula (NFF) in 2018/19 for the HNB which meant that local authorities are no longer funded on an historic spend basis. Instead there is a formulaic approach to funding.
- 2.2 The formula is based on a basic entitlement factor of £4k for each pupil in a special/PRU school or alternative provision plus an element for historic spend and proxy factors relating to pupil characteristics. Similar to the Schools NFF, there is a floor factor and capping applied to those authorities who are set to lose or gain under the new formula.
- 2.3 The government has confirmed increases for all local authorities in 2019/20 of at least 1% up to a 6.09% cap compared to the 2017/18 baseline.
- 2.4 Although it is indicated that Hartlepool will gain under the new formula, the increase of 3.99% is not sufficient to meet the current demand for services for children and young people with special educational needs.

**3. Indicative HNB**

- 3.1 The indicative allocation for 2019/20 is £10,931,144 compared to £10,511,779 in 2018/19 before academy recoupment, an increase of 3.99%.
- 3.2 The main components of the gain are as follows:-
- a) Basic entitlement increased by £52k following an increase in pupil numbers from 233 to 246;
  - b) Historic Spend increasing by £14k;
  - c) Proxy factors increasing by £201k, including £145k increase on the Free Schools Meals element as pupils increased by 478;
  - d) Import/Export adjustment increased by £36k; and
  - e) A reduction in gains cap of £116k.

3.

- 3.3 The formula includes a 1% increase from the 2017/18 HNB baseline per head of population (2-18 year olds). This is used to calculate the funding floor guarantee. This gives a per head funding floor baseline of £499 for Hartlepool. However, our 2019/20 per head funding is £538 (above the floor) meaning that no funding floor protection is applied.
- 3.4 However, if the NFF is introduced in 2020/21, Hartlepool would gain an additional £250k, i.e. the 2019/20 value of our capped gain.

#### **4. Recommendations**

- 4.1 Schools' Forum members are asked to note the contents of the report.

**Report to Hartlepool Schools' Forum 12 November 2018**  
**From Mark Patton (Assistant Director – Education)**

**Item 5: High Needs Block 2019/20 – addressing the projected overspend**

**1. Introduction**

- 1.1 The purpose of the report is to provide the local authority's position regarding funding of the 2019/20 high needs projected overspend of £1.030m.

**2. Background**

- 2.1 At Forum on 16 October 2018 the High Needs Funding forecast 2019/20 showed a forecast overspend of £1.030m.
- 2.2 Forum was asked to consider how this funding shortfall could be addressed. Following a lengthy discussion around the possible transferring of monies from Schools Block, Forum had two proposals:
- a) Do not transfer any funding from the Schools Block and complete the s251 return to show a projected overspend of £1.030m. This would alert Government that there is not enough funding to support pupils with SEN and that schools were not in a position financially to contribute.
  - b) Transfer £0.550m in line with the amount transferred in 2018/19 but for new projects designed to tackle the underlying cause of overspending – not to contribute to the projected overspend.
- 2.3 The Schools Revenue Funding Guide for 2019/20 has a new reporting requirement for local authorities with a deficit balance on their overall Dedicated Schools Grant (DSG). Where an authority has a DSG deficit of 1% or more as at 31st March 2019, they will need to submit a report to ESFA. For Hartlepool, this equates to a deficit of £0.805m. The new report must include a strategy for bringing back the DSG into a balanced position and must be reviewed by the Schools' Forum. The ESFA have indicated it will consult local authorities during the autumn term of 2018 regarding the detailed implementation of these new rules.
- 2.4 In consultation with regional colleagues, it is felt that school balances on the Schools Block will not be included in this calculation. As reported at the last Forum, the latest outturn projection for 2018/19 net of the use of reserves is £0.272m. This would not require a report being submitted to the ESFA as at 31<sup>st</sup> March, 2019.

**3. Local Authority Consideration of Options**

4.

- 3.1 The local authority has considered options available with regard to its own responsibilities for overall financial control and management. An assessment of the likely position being taken by other local authorities has also been undertaken.
- 3.2 In order to deal with the anticipated financial pressure of £1.030m in 2019/20, the Council has considered the three options shown below.

Option 1	Report an unbalanced budget deficit of £1.030m
Option 2	Transfer £0.550m from the Schools Block to High Needs Block, along with a rate reduction to fund the remaining shortfall of £0.480m
Option 3	Transfer £1.030m from the Schools Block to High Needs Block

### 3.3 **Option 1**

Under this option, the Council would set an unbalanced budget for the High Needs Block and submit statutory returns to show a deficit of £1.030m. The local authority cannot agree to this position. An assessment of planned actions by other local authorities has demonstrated that this is not a likely approach across the country.

### 3.4 **Option 2**

The local authority proposes a transfer of £0.550m from the Schools Block, alongside a reduction in the value of the existing ranges to fund the further £0.480m shortfall.

- 3.4.1 Please refer to **Appendix 1** for a breakdown of potential options for funding the remaining £0.480m via range values. There are four proposals:-

**Proposal 1** – Remove range 4i.

**Proposal 2** – Reduce all ranges by 47% - not affecting ARPs

**Proposal 3** – Reduce all ranges by 39% include ARPs

**Proposal 4** – Remove MFG (Minimum Funding Guarantee) for Special Schools

### 3.5 **Option 3**

The local authority proposes a transfer of £1.030m from the Schools Block and maintains existing range values. This option would result in a minor net decrease (0.2%) to each school budget in 2019/20 in comparison to 2018/19 budgets.

- 3.6 The transfer of £0.550m and £1.030m from Schools Block to HNB has been modelled as part of the Schools Block Budget Modelling 2019/20 report elsewhere on the Agenda.
- 3.7 Should Schools Forum not agree to a transfer from Schools Block the local authority will apply for disapplication.



#### 4. **Recommendations**

- 4.1 Schools' Forum members are asked to note the contents of the report, and
- a) consider the three options presented in this report for addressing the projected overspend of the HNB in 2019/20;
  - b) agree how to meet the funding gap of £1.030m in 2019/20. The local authority's recommendation to Forum is to adopt **Option 3** on the basis that this option means that the local authority can fulfil its responsibilities around financial prudence and due diligence, and is the most equitable model in not disproportionately shunting the financial pressure to those schools supporting children accessing additional funding through EY, IPS or ARP provision.

**APPENDIX 1: Options for Proposed Changes to Range Values****PROPOSAL 1: Remove the funded rate of 4i (in line with the ARPs)**

Range	Current Rate			Proposed Rate Change			Commentary
	EY	IPS	ARP	EY	IPS	ARP	
0	-	-	-	-	-	-	
1	-	-	-	-	-	-	
2	-	-	-	-	-	-	
3	-	-	-	-	-	-	
4i	1,800	3,000	-	0,000	0,000	-	Remove funding
4ii	2,400	4,000	4,000	-	-	-	
5i	3,600	6,000	6,000	-	-	-	
5ii	-	-	10,000	-	-	-	
6i	-	-	-	-	-	-	
6ii	-	-	-	-	-	-	

**PROPOSAL 2: Reduce the IPS rates by 47% (affects ranges 4i to 5i)**

Range	Current Rate			Proposed Rate Change			Commentary
	EY	IPS	ARP	EY	IPS	ARP	
0	-	-	-	-	-	-	
1	-	-	-	-	-	-	
2	-	-	-	-	-	-	
3	-	-	-	-	-	-	
4i	1,800	3,000	-	950	1,600	-	47% rate reduction
4ii	2,400	4,000	4,000	1,250	2,100	-	47% rate reduction
5i	3,600	6,000	6,000	1,900	3,200	No change	47% rate reduction
5ii	-	-	10,000	-	-	-	
6i	-	-	-	-	-	-	
6ii	-	-	-	-	-	-	

**PROPOSAL 3: Reduce the IPS and ARP rates by 39% (affects ranges 4i to 5ii)**

Range	Current Rate			Proposed Rate Change			Commentary
	EY	IPS	ARP	EY	IPS	ARP	
0	-	-	-	-	-	-	
1	-	-	-	-	-	-	
2	-	-	-	-	-	-	
3	-	-	-	-	-	-	
4i	1,800	3,000	-	1,100	1,850	-	39% rate reduction
4ii	2,400	4,000	4,000	1,450	2,450	2,450	39% rate reduction
5i	3,600	6,000	6,000	1,900	3,650	3,650	39% rate reduction
5ii	-	-	10,000	-	-	6,100	
6i	-	-	-	-	-	-	
6ii	-	-	-	-	-	-	

#### **PROPOSAL 4: Implement 3 Year Minimum Funding Guarantee for Special Schools**

In the re-banding exercise carried out in 2017/18 and implemented April 2018, it was proposed to limit the reduction in top up to 1.5% to Special Schools and MFG them for 3 years in line with the NFF to 2020/21. It was agreed at Childrens' Services Committee on 12 December 2017 that if the local authority decided to implement limiting the MFG and to write to the Secretary of State to this effect, then the local authority would have to share this with Childrens' Services Committee in advance.

The table below shows the savings that could be made from 2022/23 if this three-year limit to the Special School MFG option was implemented.

MFG savings from the HNB after a three-year limit expires	2019/20	2020/21	2021/22	2022/23
	£'000	£'000	£'000	£'000
Special School 1	34	33	33	490
Special School 2	22	22	21	215

It should be noted that these savings to the HNB come at a cost to the individual schools' budgets.

**Report to Hartlepool Schools' Forum 12 November 2018**  
**From Mark Patton – Assistant Director Education**
**Item 6: Schools Block Budget Modelling 2019/20**
**1. Introduction**

- 1.1 Schools' Forum received a report on 16 October 2018 outlining the 2019/20 Schools Block indicative funding issued by the Education and Skills Funding Agency (ESFA). This is summarised in the table below.

Schools Block	Pupil Numbers (Oct 2017)	2019/20 £m
Primary	8,098	34.553
Secondary	5,215	28.117
Premises		0.589
<b>TOTAL 2019/20</b>		<b>63.259</b>

- 1.2 Forum Members requested that school budget models with and without the proposed transfer to High Needs Block were presented at the next meeting.
- 1.3 Schools' Forum should note that the budget models presented in this report continue to be based upon pupil numbers and characteristics from the October 2017 census. Allocations will be updated for the October 2018 census and reissued by ESFA during December 2018.
- 1.4 Schools' Forum will recall that budgets for 2019/20 include a rate reduction for primary low attainment. The rate has reduced from £1,050 to £1,022. Across Hartlepool schools, this equates to a reduction of £76.5k on the basis of October 2017 pupil numbers. This reduction is reflected in the 2019/20 budget models presented here.

**2. Background**

- 2.1 Schools' Forum is considering proposals for a transfer of funding from the Schools Block to the High Needs Block under a separate agenda item. For the purposes of 2019/20 budget modelling, three scenarios have been prepared. All three scenarios include a transfer of £0.357m from the Central School Services Block into the Schools Block as approved by Schools' Forum on 16 October 2018.

Appendix 1	Nil transfer to High Needs Block
Appendix 2	£0.550m transfer to High Needs Block
Appendix 3	£1.030m transfer to High Needs Block

- 2.2 Forum Members are reminded that the indicative Schools Block issued by ESFA for 2019/20 includes a new funding floor factor. This provides each school (funded from this Block) with at least a 1% per pupil increase compared to their 2017/18 baseline.
- 2.3 The ESFA protection received for Hartlepool schools via the funding floor factor equates to £1.851m for 2019/20.

- 2.4 The 2018/19 school budget comparisons included in the appendices to this report exclude budget amounts for de-delegation and Education Services Grant (ESG) so that budgets are stated on a comparable basis across all schools, whether maintained or academy.

### 3. **Recommendations**

- 3.1 The local authority's recommendation to Forum is to adopt **Appendix 3** as per the separate report addressing the High Needs projected overspend. Please note **Non – School Members, except for the PVI representative, are not eligible to vote** under this report recommendation.
- 3.2 The recommendation from Schools' Forum will be presented to Childrens' Services Committee for decision.

## Appendix 1: Excludes £0.550m Transfer to HNB Model Uses 0.5% MFG (maximum allowed)

Summary at a glance:	
School's Block 2019/20	63,258,841
Plus Transfer In from CSSB	357,000
2019/20 Budget	<u>63,615,841</u>

School Name	2017/18 Per Pupil	2019/20 Per Pupil	Per Pupil Increase	Budget 2018/19	Budget 2019/20	% Increase	£ Increase
School 1	3,861	3,899	1.0%	1,315,272	1,333,101	1.4%	17,828
School 2	3,755	3,792	1.0%	1,010,905	1,024,377	1.3%	13,472
School 3	4,201	4,243	1.0%	652,256	658,572	1.0%	6,316
School 4	4,985	5,035	1.0%	6,305,467	6,402,055	1.5%	96,588
School 5	3,936	3,999	1.6%	1,280,738	1,293,026	1.0%	12,288
School 6	4,351	4,395	1.0%	689,401	695,798	0.9%	6,397
School 7	5,405	5,459	1.0%	6,497,686	6,594,053	1.5%	96,367
School 8	3,262	3,295	1.0%	1,120,321	1,136,318	1.4%	15,997
School 9	3,334	3,367	1.0%	1,489,528	1,511,191	1.5%	21,663
School 10	3,794	3,832	1.0%	876,541	887,942	1.3%	11,401
School 11	3,873	3,911	1.0%	483,134	488,408	1.1%	5,274
School 12	4,080	4,121	1.0%	1,010,267	1,021,219	1.1%	10,952
School 13	4,135	4,280	3.5%	1,292,536	1,323,332	2.4%	30,796
School 14	4,524	4,569	1.0%	668,891	676,550	1.1%	7,660
School 15	3,959	3,999	1.0%	748,253	755,790	1.0%	7,537
School 16	4,157	4,199	1.0%	489,668	493,584	0.8%	3,916
School 17	4,173	4,270	2.3%	1,693,842	1,720,898	1.6%	27,056
School 18	3,870	3,909	1.0%	1,334,781	1,347,899	1.0%	13,118
School 19	4,153	4,210	1.4%	1,540,355	1,554,759	0.9%	14,404
School 20	3,410	3,444	1.0%	1,537,138	1,558,871	1.4%	21,734
School 21	5,452	5,507	1.0%	3,760,055	3,814,818	1.5%	54,763
School 22	3,841	3,880	1.0%	1,350,011	1,366,941	1.3%	16,930
School 23	3,443	3,477	1.0%	1,366,558	1,385,572	1.4%	19,014
School 24	3,587	3,623	1.0%	1,737,718	1,762,460	1.4%	24,742
School 25	5,388	5,442	1.0%	6,015,905	6,103,852	1.5%	87,947
School 26	3,582	3,618	1.0%	1,246,635	1,263,957	1.4%	17,322
School 27	5,036	5,087	1.0%	5,434,370	5,515,545	1.5%	81,175
School 28	3,449	3,483	1.0%	1,554,282	1,576,265	1.4%	21,982
School 29	3,789	3,827	1.0%	452,954	458,055	1.1%	5,101
School 30	3,664	3,804	3.8%	1,683,870	1,728,534	2.7%	44,664
School 31	4,181	4,223	1.0%	1,397,088	1,410,307	0.9%	13,220
School 32	3,895	3,934	1.0%	1,428,496	1,447,629	1.3%	19,133
School 33	3,460	3,494	1.0%	834,014	845,133	1.3%	11,119
School 34	4,239	4,281	1.0%	1,579,406	1,594,069	0.9%	14,663
School 35	4,315	4,358	1.0%	842,748	850,187	0.9%	7,440
Remainder to distribute (APT Open Ticket)					14,774		14,774
				62,721,088	63,615,841		894,753

## Appendix 2: Includes £0.550m Transfer to HNB

### Model Uses 0.5% MFG (maximum allowed) and a Cap of 1.85%

<b>Summary at a glance:</b>	
School's Block 2019/20	63,258,841
Plus Transfer In from CSSB	357,000
Less Transfer Out to HNB	(550,000)
2019/20 Budget	<u>63,065,841</u>

School Name	2017/18 Per Pupil	2019/20 Per Pupil	Per Pupil Increase	Budget 2018/19	Budget 2019/20	% Increase	£ Increase
School 1	3,861	3,899	1.0%	1,315,272	1,321,282	0.5%	6,010
School 2	3,755	3,792	1.0%	1,010,905	1,015,396	0.4%	4,491
School 3	4,201	4,243	1.0%	652,256	654,954	0.4%	2,698
School 4	4,985	5,035	1.0%	6,305,467	6,336,258	0.5%	30,791
School 5	3,936	3,999	1.6%	1,280,738	1,288,761	0.6%	8,024
School 6	4,351	4,395	1.0%	689,401	692,196	0.4%	2,795
School 7	5,405	5,459	1.0%	6,497,686	6,529,459	0.5%	31,773
School 8	3,262	3,295	1.0%	1,120,321	1,125,349	0.4%	5,029
School 9	3,334	3,367	1.0%	1,489,528	1,496,402	0.5%	6,874
School 10	3,794	3,832	1.0%	876,541	880,357	0.4%	3,817
School 11	3,873	3,911	1.0%	483,134	484,937	0.4%	1,803
School 12	4,080	4,121	1.0%	1,010,267	1,014,708	0.4%	4,441
School 13	4,135	4,280	3.5%	1,292,536	1,314,293	1.7%	21,758
School 14	4,524	4,569	1.0%	668,891	671,676	0.4%	2,786
School 15	3,959	3,999	1.0%	748,253	751,432	0.4%	3,179
School 16	4,157	4,199	1.0%	489,668	491,552	0.4%	1,884
School 17	4,173	4,270	2.3%	1,693,842	1,716,308	1.3%	22,467
School 18	3,870	3,909	1.0%	1,334,781	1,340,855	0.5%	6,073
School 19	4,153	4,210	1.4%	1,540,355	1,547,286	0.4%	6,931
School 20	3,410	3,444	1.0%	1,537,138	1,544,101	0.5%	6,963
School 21	5,452	5,507	1.0%	3,760,055	3,778,174	0.5%	18,119
School 22	3,841	3,880	1.0%	1,350,011	1,355,994	0.4%	5,983
School 23	3,443	3,477	1.0%	1,366,558	1,372,675	0.4%	6,117
School 24	3,587	3,623	1.0%	1,737,718	1,745,815	0.5%	8,097
School 25	5,388	5,442	1.0%	6,015,905	6,045,331	0.5%	29,427
School 26	3,582	3,618	1.0%	1,246,635	1,252,300	0.5%	5,665
School 27	5,036	5,087	1.0%	5,434,370	5,460,870	0.5%	26,499
School 28	3,449	3,483	1.0%	1,554,282	1,561,359	0.5%	7,077
School 29	3,789	3,827	1.0%	452,954	454,661	0.4%	1,707
School 30	3,664	3,804	3.8%	1,683,870	1,712,110	1.7%	28,239
School 31	4,181	4,223	1.0%	1,397,088	1,403,489	0.5%	6,401
School 32	3,895	3,934	1.0%	1,428,496	1,434,968	0.5%	6,471
School 33	3,460	3,494	1.0%	834,014	837,598	0.4%	3,584
School 34	4,239	4,281	1.0%	1,579,406	1,586,528	0.5%	7,122
School 35	4,315	4,358	1.0%	842,748	846,394	0.4%	3,647
Roundings				0	11		11
				62,721,088	63,065,841		344,753

## Appendix 3: Includes £1.030m Transfer to HNB Model Uses -0.2% MFG

### Summary at a glance:

School's Block 2019/20	63,258,841
Plus Transfer In from CSSB	357,000
Less Transfer Out to HNB	(1,030,000)
2019/20 Budget	<u>62,585,841</u>

School Name	2017/18 Per Pupil	2019/20 Per Pupil	Per Pupil Increase	Budget 2018/19	Budget 2019/20	% Increase	£ Increase
School 1	3,861	3,899	1.0%	1,315,272	1,312,484	(0.2%)	(2,788)
School 2	3,755	3,792	1.0%	1,010,905	1,008,821	(0.2%)	(2,084)
School 3	4,201	4,243	1.0%	652,256	651,004	(0.2%)	(1,252)
School 4	4,985	5,035	1.0%	6,305,467	6,291,180	(0.2%)	(14,287)
School 5	3,936	3,999	1.6%	1,280,738	1,278,074	(0.2%)	(2,664)
School 6	4,351	4,395	1.0%	689,401	688,104	(0.2%)	(1,297)
School 7	5,405	5,459	1.0%	6,497,686	6,482,926	(0.2%)	(14,760)
School 8	3,262	3,295	1.0%	1,120,321	1,117,987	(0.2%)	(2,333)
School 9	3,334	3,367	1.0%	1,489,528	1,486,338	(0.2%)	(3,190)
School 10	3,794	3,832	1.0%	876,541	874,770	(0.2%)	(1,771)
School 11	3,873	3,911	1.0%	483,134	482,298	(0.2%)	(837)
School 12	4,080	4,121	1.0%	1,010,267	1,008,206	(0.2%)	(2,061)
School 13	4,135	4,280	3.5%	1,292,536	1,289,807	(0.2%)	(2,729)
School 14	4,524	4,569	1.0%	668,891	667,598	(0.2%)	(1,292)
School 15	3,959	3,999	1.0%	748,253	746,778	(0.2%)	(1,475)
School 16	4,157	4,199	1.0%	489,668	488,794	(0.2%)	(874)
School 17	4,173	4,270	2.3%	1,693,842	1,690,180	(0.2%)	(3,662)
School 18	3,870	3,909	1.0%	1,334,781	1,331,963	(0.2%)	(2,818)
School 19	4,153	4,210	1.4%	1,540,355	1,537,139	(0.2%)	(3,216)
School 20	3,410	3,444	1.0%	1,537,138	1,533,907	(0.2%)	(3,231)
School 21	5,452	5,507	1.0%	3,760,055	3,751,648	(0.2%)	(8,407)
School 22	3,841	3,880	1.0%	1,350,011	1,347,235	(0.2%)	(2,776)
School 23	3,443	3,477	1.0%	1,366,558	1,363,720	(0.2%)	(2,838)
School 24	3,587	3,623	1.0%	1,737,718	1,733,961	(0.2%)	(3,757)
School 25	5,388	5,442	1.0%	6,015,905	6,002,251	(0.2%)	(13,654)
School 26	3,582	3,618	1.0%	1,246,635	1,244,006	(0.2%)	(2,629)
School 27	5,036	5,087	1.0%	5,434,370	5,422,075	(0.2%)	(12,296)
School 28	3,449	3,483	1.0%	1,554,282	1,550,999	(0.2%)	(3,284)
School 29	3,789	3,827	1.0%	452,954	452,162	(0.2%)	(792)
School 30	3,664	3,804	3.8%	1,683,870	1,680,329	(0.2%)	(3,541)
School 31	4,181	4,223	1.0%	1,397,088	1,394,117	(0.2%)	(2,970)
School 32	3,895	3,934	1.0%	1,428,496	1,425,493	(0.2%)	(3,003)
School 33	3,460	3,494	1.0%	834,014	832,351	(0.2%)	(1,663)
School 34	4,239	4,281	1.0%	1,579,406	1,576,102	(0.2%)	(3,305)
School 35	4,315	4,358	1.0%	842,748	841,056	(0.2%)	(1,692)
Roundings				0	(20)		(20)
				62,721,088	62,585,841		(135,247)