

FINANCE AND POLICY COMMITTEE

AGENDA



Monday 28 January 2018

at 10.00 am

**in the Council Chamber,
Civic Centre, Hartlepool.**

MEMBERS: FINANCE AND POLICY COMMITTEE

Councillors C Akers-Belcher, S Akers-Belcher, Brewer, Brown, Cranney, Harrison, Marshall, Moore, Smith, Thomas and Young.

1. APOLOGIES FOR ABSENCE

2. TO RECEIVE ANY DECLARATIONS OF INTEREST BY MEMBERS

3. MINUTES

- 3.1 To receive the minutes of the meeting of the Finance and Policy Committee held on 14 January 2019.

4. BUDGET AND POLICY FRAMEWORK ITEMS

- 4.1 Medium Term Financial Strategy (MTFS) 2019/20 to 2021/22 – *Director of Finance and Policy*

5. KEY DECISIONS

None.

6. OTHER ITEMS REQUIRING DECISION

- 6.1 Business Rates Retail Discount Scheme – *Director of Finance and Policy*
- 6.2 Corporate Complaints – 6 Months Monitoring Report - *Assistant Director, Corporate Services*



7. ITEMS FOR INFORMATION

- 7.1 Q3 Strategic Financial Management Report' – *Director of Finance and Policy* (to follow)

8. ANY OTHER BUSINESS WHICH THE CHAIR CONSIDERS URGENT

FOR INFORMATION

Future meeting dates –

Monday 11 March 2019 at 10.00 am



FINANCE AND POLICY COMMITTEE

MINUTES AND DECISION RECORD

14 JANUARY 2019

The meeting commenced at 10.00 am in the Civic Centre, Hartlepool.

Present:

Councillor Christopher Akers Belcher (In the Chair)

Councillors: Stephen Akers-Belcher, James Brewer, Paddy Brown, Kevin Cranney, Brenda Harrison, Ann Marshall, Shane Moore, Leisa Smith and Stephen Thomas.

Officers: Gill Alexander, Chief Executive
Hayley Martin, Chief Solicitor
Chris Little, Director of Finance and Policy
Denise Ogden, Director of Regeneration and Neighbourhoods
Steve Hilton, Communications and Marketing Team
David Cosgrove, Democratic Services Team

90. Apologies for Absence

Councillor Mike Young.

91. Declarations of Interest

None.

92. Minutes of the meeting held on 17 December 2018

Confirmed.

93. Land at Brenda Road East - Lease (*Director of Regeneration and Neighbourhoods*)

Type of decision

Key Decision, Test (ii) Forward Plan ref RN31/18.

Purpose of report

To seek approval of the lease of land at Brenda Road East for use as a Civil Engineering Academy.

Issue(s) for consideration

The Director of Regeneration and Neighbourhoods reported that the Council owns land extending to around 14 acres on the east side of Brenda Road opposite TATA Steel and adjoining businesses. For many years the majority of the property had mainly been used for grazing horses, although part was formerly used to train operatives of diggers and similar construction equipment. The Council's salt barn also adjoins the site.

In 2016, the Council was approached by Hartlepool College of Further Education (HCFE) and Seymour Civil Engineering with a view to finding a suitable site for a Civil Engineering Academy which would provide practical training in excavation, pipe laying and related skills such as site setting out and construction and plant demonstrations. Space for storage of construction materials for incorporation into working areas would also be required and a compound (to be constructed by Seymour at their expense) to be used to store equipment and materials supporting Seymour's general operations and the Academy. The Academy was being planned to meet a training need and to deal with skills shortages in the construction and Civil Engineering sectors.

Approximately 12 acres of the land at Brenda Road was put forward as a potentially suitable site and subsequently, following extensive discussions and working up of proposals by the college and Seymour's, the terms of a lease have been provisionally agreed. Seymour's had submitted a planning application for the development.

The grant of the lease would be subject to the grant of planning permission. The lease terms were set out in a Confidential Appendix which contained exempt information under Schedule 12A Local Government Act 1972 (as amended by the Local Government (Access to Information) (Variation) Order 2006) namely, (paragraph 3) information relating to the financial or business affairs of any particular person (including the authority holding that information).

The Director indicated that this was a great opportunity to address the shortage skills in the construction sector across the Tees Valley and an excellent opportunity for Hartlepool to be the venue for such a training centre.

Councillors Stephen Akers-Belcher and Paddy Brown both referred to their membership of the Planning Committee and indicated that they were only considering the issue of the lease of the land and not predetermining the planning application.

Members welcomed the proposal and a Member questioned if the Council would access the training facility for its own employees. The Director indicated this would be the case. The Vice-Chair indicated that this was a valuable opportunity for Hartlepool residents to access training for the construction sector particularly in light of the growth expected in housing

over the forthcoming years. The Chair commented that such a training facility had been a priority for the Tees Valley and as the Combined Authority lead for Education, Employment and Skills it was great news that Hartlepool was gaining such a valuable facility.

The following decision was agreed unanimously.

Decision

That the grant of the lease to Seymour Civil Engineering be approved in the basis of the terms outlined in the Confidential Appendix which contained exempt information under Schedule 12A Local Government Act 1972 (as amended by the Local Government (Access to Information) (Variation) Order 2006) namely, (paragraph 3) information relating to the financial or business affairs of any particular person (including the authority holding that information).

94. Health and Safety Policy *(Assistant Director, Corporate Services)*

Type of decision

Non key decision.

Purpose of report

The purpose of the report was to provide Finance and Policy Committee with the revised Health and Safety Policy, for agreement.

Issue(s) for consideration

The Director of Finance and Policy reported that the current Health and Safety Policy had been in place since September 2015. The updated Health and Safety Policy, attached as an appendix to the report, had been refreshed and took account of changes in the arrangements for the management of health and safety in the Council such as the introduction of the Corporate Health and Safety Committee. The final version of the policy has been considered by the Trade Unions and the Corporate Management Team.

Decision

That the revised Health and Safety Policy 2019 be approved.

95. Any Other Items which the Chairman Considers are Urgent

None.

The Committee noted the next meeting would be held on Monday 28 January 2019 at the Civic Centre, Hartlepool.

The meeting concluded at 10.10 am.

H MARTIN

CHIEF SOLICITOR

PUBLICATION DATE: 16 JANUARY 2019

FINANCE AND POLICY COMMITTEE

28 January 2019



Report of: Director of Finance and Policy

Subject: MEDIUM TERM FINANCIAL STRATEGY (MTFS)
2019/20 TO 2021/22

1. PURPOSE OF REPORT

- 1.1 The purpose of this report is to update the MTFS to reflect the final 2019/20 Local Government Finance settlement announcement and to enable Members to finalise the 2019/20 technical budget calculations to be referred to Council on 21 February 2019.

2. BACKGROUND

- 2.1 In accordance with the Constitution the Finance and Policy Committee is required to develop budget and Council Tax proposals for the forthcoming year for consideration by Council. Detailed proposals to balance the 2019/20 budget were considered and approved by Council on 20 December 2018 and this included a Council Tax increase (including the Social Care precept of 1%) of 3.9% for 2019/20.
- 2.2 These proposals reflected the provisional 2019/20 Local Government Finance Settlement, which was issued on 13 December 2018 and subject to a four week consultation ending on 10 January 2019.
- 2.3 Members noted that the final technical budget report and Council Tax calculations would then be considered in January 2019 and would reflect the final 2019/20 Local Government Finance Settlement. These proposals will then be referred to Council on 21 February 2019.
- 2.4 The report to Council will also include the statutory 2019/20 Council Tax calculations which the Council is required to undertake to incorporate the Council Tax levels approved by 'precepting bodies', i.e. the Police and Crime Commissioner, Cleveland Fire Authority and Parish Councils. Individual 'precepting bodies' are responsible for setting their own Council Tax levels in accordance with the specific Government Council Tax referendum principles applying. Further information is provided in section 5.

3. FINAL 2019/20 LOCAL GOVERNMENT FINANCE SETTLEMENT AND IMPACT ON THE COUNCIL

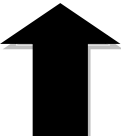

3.1 At the time this report was prepared the final 2019/20 Local Government Finance Settlement had not been issued. Therefore this section is written on basis that there are no changes to the provisional settlement issued in December 2018, which is a realistic planning assumption. It is expected that the settlement will be issued before the meeting and a verbal update will be provided.

3.2 It is anticipated that the final settlement will confirm the following:

- the previously announced Revenue Support Grant (RSG) cuts. For Hartlepool this means that Government Funding (Revenue Support Grant and Top up grant) in 2019/20 will be approximately **£25.5m**, compared to **£46.4m** in 2013/14, which is a reduction of **£20.9m** i.e. a reduction of **45%**. This includes a 2019/20 cut of £2.794m, which is a reduction from 2018/19 of 13%. This means the Council will have faced nine consecutive years of cuts in RSG;
- the 2019/20 Council Tax referendum limits, including the Adult Social Care precept arrangements

3.3 As reported previously the reductions in Government funding and Council Tax referendum limits set by the Government, including the introduction of the Adult Social Care precept, have shifted the burden of funding local authority services from national tax payers on to Council Tax, as summarised in the following table:

Change in National funding 2015/16 to 2019/20

	Council Tax		Govt. funding
2015/16	49.3%		50.7%
2019/20	<u>61.5%</u>		<u>38.5%</u>
Change	+12.2%		-12.2% 

Note - Government funding consists of Revenue Support Grant, Top-up Grant, Business Rates income, Improved Better Care Fund, New Homes Bonus & Rural Services Delivery Grant.

3.4 Confirmation of the Government grant cut for 2019/20 confirms the gross budget deficit for next financial year of £8.162m. Therefore, the approved

strategy for addressing this deficit remains appropriate, which includes the use of £3.745m from the Budget Support Fund in 2019/20.

- 3.5 The following table summarises the measure approved by Council in December 2018 to address the 2018/19 deficit.

	£'m
Core Deficit (includes Government funding cut of £2.794m and inflation)	3.891
Add - Pay Award	2.150
Add - Looked After Children costs	3.500
Less - Approved savings & corporate savings	(1.379)
Deficit before Council Tax increase & forecast housing growth	8.162
Less - Forecast Housing Growth	(0.658)
Less - Core Council Tax increase	(0.389)
Less - Social Care Council Tax increase	(1.128)
Less - Budget Savings	(1.040)
Less - Forecast Social Care funding	(1.100)
Less – Distribution of national Business Rates levy and New Homes Bonus changes	(0.102)
Approved use of Budget Support Fund Reserve	3.745

- 3.6 As reported previously use of the Budget Support Fund reserves in 2019/20 provides a longer lead time to address the recurring budget deficit, although it does not provide a permanent solution. Therefore, the Council faces a significant budget deficit in 2020/21 and a further deficit in 2021/22, as summarised below:

Impact of using reserves on budget deficits –
based alternative grant scenario in 2020/21 and 2021/22

Grant funding scenario for 2020/21 and 2021/22	2020/21 Forecast Deficit £'m	2021/22 Forecast Deficit £'m	Total £'m
Cash Freeze 20/21 and 21/22	4.830	0.832	5.662
Grant cut 2% 20/21 and 21/22	5.726	1.710	7.436

4.0 CAPITAL BUDGET UPDATE

- 4.1 On 22 May 2018 Council approved the allocation of £128,000 to support businesses in Church Street / Church Square impacted by the regeneration schemes in these areas by implementing a Business Support Grant scheme. This scheme was open too businesses with a rateable value of less than £20,000 and limited to a maximum grant per property of £1,900. Officers have contacted business in the area to promote the scheme

and have followed up with site visits and reminder correspondence. It is anticipated that existing commitments and forecast outstanding grant applications will commit £58,000 of the available budget. This will leave an uncommitted balance of £70,000, which it is proposed is allocated as follows:

- £60,000 to fund urgent structural repairs to 16 Church Street to make the building safe until funding can be secured to undertake a major renovation as part of the regeneration of Church Street.
- £10,000 and any further under spend on the Business Support Grant scheme which may arise is transferred to complement the Townscape Heritage scheme to cover the whole of Church Street / Church Square.

4.2 As requested at Council on 20th December 2018 details of the proposed vehicle replacement programme included in the previous MTFS report are attached at Appendix A for Members consideration. As reported previously these issues were considered and approved by Neighbourhood Services Committee on 10th October 2018.

4.3 The proposals detailed in Appendix A will be actively managed by officers and if replacement can be delayed to 'sweat' the vehicles this will be done, provided there is a robust business and any temporary loan repayment savings are not exceeded by potential additional repairs and maintenance costs. This is the approach already adopted and in 2018/19 this has provided a one off saving of £100,000, which is being used to partly mitigate budget overspends in other areas of the Regeneration and Neighbourhoods Services department.

5.0 LEGAL CONSIDERATIONS

5.0 The following issues are relevant to the 2019/20 budget and were addressed in previous reports to Finance and Policy Committee (17th December 2018) and Council (20th December 2018):-

- the Local Government Finance Act 1992 requires local authorities to set a balanced budget;
- the Local Government Act 2003 requires local authorities to consider the advice of their Section 151 Chief Finance Officer (the Director of Finance and Policy) when making budget decisions. This advice must include details of the robustness of the estimates made for the purposes of the calculations and the adequacy of the proposed financial reserves;
- The requirements of the Prudential Code in relation to the use of Prudential Borrowing.

5.1 The Local Government Act 1992 and relevant regulations also require the Council to approve the statutory Council Tax calculation incorporating the Council Tax levels set by individual precepting authorities i.e. the Police and

Crime Commissioner, Cleveland Fire Authority and individual parish councils. This issue will be addressed in a report to Council on 21st February 2019.

- 5.2 Individual ‘precepting bodies’ are responsible for setting their own Council Tax levels in accordance with the specific Government Council Tax referendum principles applying and which meet their own budgetary requirements. The Government has set the following Council Tax referendum limits for 2019/20:

Type of Authority	Government Council Tax Referendum Limits 2019/20
Police and Crime Commissioners	Increases of up to £24 in Band D Council Tax in 2019/20
Fire and Rescue Authorities	Increases of up to 3% in Band D Council Tax in 2019/20
Town and Parish councils	No referendum limits have been set and the Government intends to defer setting limits for three years, subject to town and parish councils exercising Council Tax restraint.

- 5.3 The statutory Council Tax calculations are an administrative responsibility that the Council as the statutory ‘billing authority’ is required to undertake once the Council has set its own Council Tax level (which was approved on 20th December 2018) and the individual precepting authorities have set their own Council Tax levels.

6. CHILD AND FAMILY POVERTY

- 6.1 As reported previously the approved saving in relation to Children’s Services Committee reduce resources available to support children and families. However, the aim is to refocus resources towards those children and families most vulnerable and ensure they are effectively deployed.

7. STAFF CONSIDERATIONS

- 7.1 As reported previously an assessment of the staffing impact of the 2019/20 savings proposals has been completed and this has identified that 19 (14.13 FTE) posts are proposed to be deleted from 1st April 2019 as part of the recommended savings proposals.
- 7.2 Work completed over the last few months has sought to manage this through a combination of managing vacancies, or approving voluntary redundancies where this can be accommodated within operational requirements. At this stage it is anticipated that this has reduced the number of potential compulsory redundancies to 2.
- 7.3 As was the case in previous years the Corporate Management Team will continue to seek to maximise staffing reductions which can be achieved by

managing vacancies and accepting requests for voluntary redundancies, to reduce the number of compulsory redundancies. This approach will also seek to maximise redeployment opportunities.

8. ASSET MANAGEMENT CONSIDERATIONS

- 8.1 As reported previously the approved savings for Children's Service include the rationalisation of a number of properties which are currently delivery points for Early Help services to reflect the recommended service delivery model.

9. RECOMMENDATIONS

- 9.1 It recommended that Members:

- i) Note the final 2019/20 Local Government Finance settlement had not been issued at the time this report was prepared and a verbal update will be provided at the meeting if the settlement has been issued;
- ii) To also note that it is not expected that there will be any changes from the provisional 2019/20 grant cut and Council Tax referendum limits reflected in the budget and Council Tax proposals approved by Council in December;
- iii) Seek Council approval of the statutory Council Tax calculations, incorporating the Council Tax levels set by individual precepting authorities i.e. the Police and Crime Commissioner, Cleveland Fire Authority and individual parish councils;
- iv) Seek Council approval to allocate the forecast underspend on the Church Street/Church Square Business Support grant scheme of £70,000 as follows:
 - £60,000 to fund urgent structural repairs to 16 Church Street to make the building safe until funding can be secured to undertake a major renovation as part of the regeneration of Church Street.
 - £10,000 and any further under spend on the Business Support Grant scheme which may arise is transferred to complement the Townscape Heritage scheme to cover the whole of Church Street / Church Square.
- v) As requested by Council on 20th December 2018 consider the approved Vehicle Replacement programme detailed in Appendix A and paragraphs 4.2 and 4.3.

10. REASON FOR RECOMMENDATIONS

- 10.1 To enable the Finance and Policy Committee to approve the 2019/20 technical budget proposal to be referred to Council.

11. CONTACT OFFICER

Chris Little
Director of Finance and Policy
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Tel: 01429 523003

Sign Off:-

Chief Executive	<input checked="" type="checkbox"/>
Director of Policy and Finance	<input checked="" type="checkbox"/>
Chief Solicitor	<input checked="" type="checkbox"/>

FORECAST CAPITAL RESOURCES AND EXPENDITURE COMMITMENTS 2019/20 TO 2021/22**APPENDIX A****TABLE 2 - 2019/20 VEHICLE REPLACEMENT PROGRAMME**

Type	Service Area	Total £'000
Telehandler 550-80 Wastemaster	Waste Management	88.0
RORO Hooklift Container/ Recycling Skip 40cu yds	Waste Management	3.5
RORO Hooklift Container/ Recycling Skip 40cu yds	Waste Management	3.5
RORO Hooklift Container/ Recycling Skip 40cu yds	Waste Management	3.5
RORO Hooklift Container/ Recycling Skip 40cu yds	Waste Management	3.5
RORO Hooklift Container/ Recycling Skip 40cu yds	Waste Management	3.5
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RORO Hooklift Container/ Recycling Skip 40cu yds	Waste Management	3.5
RORO Hooklift Container/ Recycling Skip 40cu yds	Waste Management	3.5
Replace NX59 AKP with 16T Backstreet RCV	Waste Management	135.0
Iveco 68 Seat Irisbus C Class 15000Kg Yellow Scolabus	Passenger Transport	160.0
Iveco 68 Seat Irisbus C Class 15000Kg Yellow Scolabus	Passenger Transport	160.0
Iveco 68 Seat Irisbus C Class 15000Kg Yellow Scolabus	Passenger Transport	160.0
17 seater low floor accessible welfare bus	Passenger Transport	80.0
17 seater low floor accessible welfare bus	Passenger Transport	80.0
16 Seat Minibus	Passenger Transport	36.0
16 Seat Minibus	Passenger Transport	36.0
Triple flail ride-on-mower	Horticulture	18.0
Triple flail ride-on-mower	Horticulture	18.0
Triple flail ride-on-mower	Horticulture	18.0
Triple flail ride-on-mower	Horticulture	18.0
Ford Transit 350 LWB Double Cab Chassis/Tipper	Horticulture	23.0
Tractor mounted narrow area rotary mower	Horticulture	23.0
Ford Transit 350 LWB Double Cab Chassis/Tipper	Horticulture	23.0
Beach Rake tractor towed	Cleansing	50.0
Iveco 6.5T 65C18 Encl. Tipper c/w side mount bin lift - see below	Cleansing	50.0
16T Backstreet RCV - Possibly replace asset 3036 requirement	Cleansing	135.0
Medium Panel Van	M&E	15.0
Medium Panel Van	M&E	15.0
Crewcab tipper	M&E	23.0
Crewcab tipper	M&E	23.0
IVECO35C12D Crew cab tipper	M&E	23.0
Small Panel van	Community Services	12.5
Medium Panel Van	Fleet Workshop	15.0
Medium Panel Van	Parks & Countryside	15.0
Small Panel van	M&E	12.5
4x4 Quad bike c/w chemical spraying equipment	Transfer to Cleansing	10.0
4x4 Quad bike c/w chemical spraying equipment	Transfer to Cleansing	10.0
Lifeguard service Jet ski	Beach safety	9.5
Price contingency *		130.0
		1,663.0

TABLE 3 - 2020/21 VEHICLE REPLACEMENT PROGRAMME

Type	Service Area	Total £'000
26T RCV 6x2 rear steer	Waste Management	185.0
26T RCV 6x2 rear steer	Waste Management	185.0
26T RCV 6x2 rear steer	Waste Management	185.0
RORO Hooklift Container/ Recycling Skip 40cu yds	Waste Management	3.5
RORO Hooklift Container/ Recycling Skip 40cu yds	Waste Management	3.5
RORO Hooklift Container/ Recycling Skip 40cu yds	Waste Management	3.5
RORO Hooklift Container/ Recycling Skip 40cu yds	Waste Management	3.5
RORO Hooklift Container/ Recycling Skip 40cu yds	Waste Management	3.5
17 seater low floor accessible welfare bus	Passenger Transport	80.0
Courier van Peugeot Partner S L1 Hdi 92	Passenger Transport	12.5
Iveco 6.5T Crew Cab Tipper	Horticulture	45.0
Timberwolf TW S426TDKB green waste shredder	Horticulture	35.0
Iveco 6.5T Tipper	Horticulture	42.0
Kubota L3830 42 hp tractor West View Cemetery	Horticulture	40.0
Kubota L3830 42 hp tractor Stranton Cemetery	Horticulture	40.0
Price contingency *		75.0
		942.0

TABLE 4 - 2020/21 VEHICLE REPLACEMENT PROGRAMME

Type	Service Area	Total £'000
26T RCV 6x2 rear steer extra capacity Growth of Town	Waste Management	185.0
Large mechanical sweeper	Cleansing	150.0
Large mechanical sweeper	Cleansing	150.0
Price contingency *		45.0
		530.0

* To allow for differences compared to the estimates used in the above tables in relation to the final purchase price of vehicles.

FINANCE AND POLICY COMMITTEE

28th January 2019



Report of: Director of Finance and Policy

Subject: BUSINESS RATES RETAIL DISCOUNT SCHEME

1. TYPE OF DECISION/APPLICABLE CATEGORY

Non Key Decision.

2. PURPOSE OF REPORT

- 2.1. To seek Members approval to a Business Rates Retail Discount Scheme to be fully funded by central government as announced by the Chancellor in the Autumn 2018 Budget Statement.

3. BACKGROUND

- 3.1. The Government announced in the Budget on 29th October 2018 that it will fund a business rates Retail Discount scheme for occupied retail properties with a rateable value of less than £51,000 in each of the years 2019/20 and 2020/21. Guidance information on the operation of the new scheme was subsequently published in November 2018. The value of discount is one third of the bill after mandatory reliefs and other discretionary reliefs funded by central government section 31 grant have been applied.
- 3.2. As this is a measure for 2019/20 and 2020/21 only, the Government is not changing business rates legislation. Instead, the Government will reimburse local authorities that use their existing Localism Act 2011 discretionary relief powers to grant Retail Discount relief. Central Government will reimburse the Council and Cleveland Fire Authority (as a major precepting authority) for the actual cost to them under the rates retention scheme of relief awarded.

4. WHICH PROPERTIES WILL BENEFIT FROM RELIEF

4.1. Properties that will benefit from the relief will be occupied hereditaments with a rateable value of less than £51,000, that are wholly or mainly being used as shops, restaurants, cafes and drinking establishments.

4.2. The government considers shops, restaurants, cafes and drinking establishments to mean:

a) Hereditaments that are being used for the sale of goods to visiting members of the public.

- Shops (such as bakers, butchers, florists, grocers, greengrocers, jewellers, stationers, off licences, chemists, newsagents, hardware stores, supermarkets, etc)
- Charity Shops
- Opticians
- Post Offices
- Furnishing Shops / Display Rooms (carpet shops, double glazing, garage doors)
- Car / Caravan show rooms
- Second hand car lots
- Markets
- Petrol Stations
- Garden Centres
- Art galleries (where art is for sale / hire)

b) Hereditaments that are being used for the provision of the following services to visiting members of the public.

- Hair and Beauty Services (hair dresses, nail bars, beauty salons, tanning shops)
- Shoe Repairs / key cutting
- Travel Agents
- Ticket Offices eg for theatre
- Dry Cleaners
- Launderettes
- PC / TV/ domestic appliance repair
- Funeral Directors
- Photo Processing
- Tool Hire
- Car Hire

c) Hereditaments that are being used for the sale of food and / or drink to visiting members of the public.

- Restaurants
- Takeaways

- Sandwich Shops
- Coffee Shops
- Pubs
- Bars

5. WHICH PROPERTIES WILL BE INELIGIBLE

- 5.1. The Government has also defined types of uses that it does not consider to be retail use for the purpose of this relief and therefore would not be eligible for this relief:

a) Ineligible Hereditaments that are being used for the provision of services to visiting members of the public:

- Financial Services (banks, building societies, cash points, bureaux de change, payday lenders, betting shops, pawn brokers)
- Estate Agents
- Letting Agents
- Employment agencies
- Medical Services (vets, dentists, doctors, osteopaths, chiropractors)
- Professional Services (solicitors, accountants, insurance agents, financial advisors, tutors)
- Post Office sorting offices

b) Other Government defined Ineligible Hereditaments

- Cinemas
- Theatres
- Museums
- Nightclubs
- Music Venues
- Gyms

6. PROPOSALS

- 6.1. The Government have defined those hereditaments that are considered to be eligible and ineligible for Retail Discount relief in 2019/20 and 2020/21 as set out in sections 4 and 5. It is proposed that these lists are adopted by the Council.
- 6.2. The Government acknowledge that their lists may not be exhaustive and therefore Councils should determine for themselves any properties that are broadly similar in nature to those listed and therefore can be added to those types of uses considered eligible or ineligible. To qualify for the relief the property must be wholly or mainly used for retail

purposes. Members are requested to consider any types of use that should be added to the respective lists.

7. FINANCIAL CONSIDERATIONS

- 7.1. The Government will fully fund via Section 31 grant the full cost of providing the Retail Discount relief within the rates retention system. There are therefore no financial costs or risks for the Council from this national policy that will apply in 2019/20 and 2020/21.
- 7.2. The Retail Discount is one third of the net rates bill for a qualifying hereditament after all mandatory and discretionary reliefs have been applied. Modelling shows that in Hartlepool about 280 properties will be eligible and additional relief of about £837,000 can be awarded in 2019/20. There will be no need for businesses to apply for this new relief as qualifying businesses will be identifiable from existing rating record details.
- 7.3. The new Retail Discount is temporary in nature only applying in 2019/20 and 2020/21. Other central government reliefs notably Small Business Rates Relief (SBRR) have been in operation for a number of years and are now embedded in rating arrangements and likely to continue in the future. The Council recognises this position and has undertaken regular “take up” initiatives to ensure that eligible businesses are claiming their support. Details of the most recent exercise undertaken in late 2018 are set out below, which shows that 94.9% of eligible businesses are currently receiving their SBRR entitlements totalling £3.02m in 2018/19. Ongoing engagement is continuing with the 68 businesses that have not currently accessed their potential SBRR.

100% Small Business Rate Relief Take up in Hartlepool

Number of Business Properties with RV <£12,000	2049
Less ineligible properties	
Empty Properties	(298)
Receiving other 100% Relief	(15)
Business Trading in more than 1 property	(405)
Net Number of businesses eligible for 100% SBRR	1331
Number of businesses currently awarded 100% SBRR	1263
% of businesses receiving their 100% SBRR	94.9%

- 7.4. Correspondence with businesses receiving the new temporary Retail Discount Business Rates Relief will make it clear this relief is currently only for 2019/20 and 2020/21 to ensure businesses know they need to plan for removal of this relief.

8. LEGAL CONSIDERATIONS

- 8.1. The Retail Discount Business Rates Relief will be awarded using discretionary relief powers introduced by Section 69 of the Localism Act 2011 (under section 47 of the Local Government Finance Act 1988, as amended).

9. CHILD/ FAMILY POVERTY CONSIDERATIONS

- 9.1. There are no child/ family poverty considerations.

10. EQUALITY AND DIVERSITY CONSIDERATIONS

- 10.1. There are no equality and diversity considerations.

11. STAFF CONSIDERATIONS

- 11.1. There are no staff considerations.

12. ASSET MANAGEMENT CONSIDERATIONS

- 12.1. There are no asset management considerations.

13. APPENDICES

- 13.1. There are no appendices.

14. RECOMMENDATIONS

- 14.1. Members approve the central government defined lists of eligible and ineligible hereditaments for Business Rates Retail Discount as set out in sections 4.1 and 5.1.
- 14.2. Members consider any local additions they would wish to be made to the eligible and ineligible lists in the context of properties being wholly or mainly used for retail purposes
- 14.3. Members note the progress made by the Council to ensure local businesses maximise their entitlements to Small Business Rates Relief.

15. REASONS FOR RECOMMENDATIONS

- 15.1. To ensure the Council approves a Retail Discount Relief scheme under the Localism Act 2011 that can be applied in 2019/20 and 2020/21 and which will be fully funded by central government.

16. BACKGROUND PAPERS

- 16.1. No background papers.

17. CONTACT OFFICER

John Morton
Assistant Director Finance and Customer Services
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Contact: 01429 523093

Sign Off:-

Chief Executive	<input checked="" type="checkbox"/>
Director of Policy and Finance	<input checked="" type="checkbox"/>
Chief Solicitor	<input checked="" type="checkbox"/>

FINANCE AND POLICY COMMITTEE

28th January 2019



Report of: Assistant Director, Corporate Services

Subject: CORPORATE COMPLAINTS – 6 MONTHS
MONITORING REPORT

1. TYPE OF DECISION/APPLICABLE CATEGORY

Non-key decision.

2. PURPOSE OF REPORT

- 2.1 The purpose of this report is to update Finance and Policy Committee of the 2018/19 mid-year position in relation to corporate complaints and to inform them of an amendment to the Corporate Complaints, Comments and Compliments Policy.

3. BACKGROUND

- 3.1 Within the Corporate Complaints, Comments and Compliments Policy there is a requirement to report to elected members on the number of complaints received by the Council along with the final outcome of those complaints. CMT has delegated authority for agreeing the Corporate Complaints, Comments and Compliments Policy and any amendments to it.

4. SUMMARY OF PROGRESS TO 30TH SEPTEMBER 2018

- 4.1 In total 16 complaints were received in the first half of 2018/19, 6 more than at this point last year. Of those received 7 were upheld or partly upheld. **Table 1 in Appendix 1** sets out the corporate complaints received by Department in the first 6 months of 2018/19. **Table 2 in Appendix 1** provides the historical comparison of Corporate Complaints for information.
- 4.2 Although the overall increase in corporate complaints is concerning, there is no pattern in the nature of the complaints received and therefore no specific action in respect of overall trends is necessary. Action has been taken in

relation to specific complaints where these have been upheld or partially upheld.

5. AMENDMENT TO THE CORPORATE COMPLAINTS, COMMENTS AND COMPLIMENTS POLICY

- 5.1 The Corporate Management Team (CMT), using their delegated authority, have reviewed and amended the timescales set out in the Corporate Complaints, Comments and Compliments Policy. The overall timescale for complainants to receive a full and final response to the formal investigation of their corporate complaint has increased from 20 to 25 working days. This change has been made to reflect the increasingly complex nature of the complaints being received which take longer to investigate and also the reduction in the capacity of officers to undertake investigations in addition to their normal workload. The amended timescale is in line with the other North East authorities and still ensures Hartlepool aims to turn around their formal responses to complaints quickly.
- 5.2 The additional 5 days will ensure that there is sufficient time for all investigations to be reviewed by the relevant Assistant Director / Director and the Corporate Strategy and Performance Team. This review is an essential part of the process as the next stage for complainants who remain unhappy is to take their complaint directly to the Local Government Ombudsman. The review checks that the Policy has been followed and that the complaint has been fully responded to in a satisfactory manner. The number of HBC related complaints that are upheld by the LGO is historically low and this can be largely attributed to the quality assurance review that is carried out.
- 5.3 Members are requested to note that the amended Policy and a new customer leaflet have been produced and are available on the Council's website and in the Member's library.

6. RISK IMPLICATIONS

- 6.1 No implications.

7. FINANCIAL CONSIDERATIONS

- 7.1 No implications.

8. LEGAL CONSIDERATIONS

- 8.1 No implications.

9. CONSULTATION

9.1 No implications.

10. CHILD AND FAMILY POVERTY

10.1 Not applicable.

11. EQUALITY AND DIVERSITY CONSIDERATIONS

11.1 Not applicable.

12. STAFF CONSIDERATIONS

12.1 The extension of the timescales provides more time for officers to complete the necessary quality assurance checks to the standard that is required.

13. ASSET MANAGEMENT CONSIDERATIONS

13.1 No implications.

14. RECOMMENDATIONS

14.1 Finance and Policy Committee are recommended to:

- Note the 2018/19 6 month position on corporate complaints received;
- Note the amendment to the Corporate Complaints, Comments and Compliments Policy with investigations of formal complaints being completed in 25 working days.

14. REASONS FOR RECOMMENDATIONS

14.1 Within the Corporate Complaints, Comments and Compliments Policy there is a requirement to report to elected members on the number of complaints received by the Council along with the final outcome of those complaints.

15. BACKGROUND PAPERS

None.

16. CONTACT OFFICERS

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Sign Off:-

Chief Executive	<input checked="" type="checkbox"/>
Director of Policy and Finance	<input checked="" type="checkbox"/>
Chief Solicitor	<input checked="" type="checkbox"/>

Appendix 1 - Corporate Complaints

Table 1: Corporate Complaints received in first 6 months of 2018/19

2018/19	Q1	Q2	Total Number of Corporate Complaints Received	Number of Corporate Complaints Upheld / Partly Upheld
Total Number of Corporate Complaints	12	4	16	7
Total number of Chief Executives Corporate Complaints	3	1	4	0
Total Number of Regeneration and Neighbourhood Corporate Complaints	3	1	4	1
Total Number of Children's and Joint Commissioning Corporate Complaints	3	2	5	4
Total Number of Adults and Community Based Services Corporate Complaints	3	0	3	2

Table 2: Historical Comparison of Corporate Complaints

	2014-15		2015/16		2016/17		2017/18	
	Number of complaints	Upheld / Partly Upheld	Number of complaints	Upheld / Partly Upheld	Number of complaints	Upheld / Partly Upheld	Number of complaints	Upheld / Partly Upheld
Total Number of Corporate Complaints	22	3 (14%)	22	5 (23%)	19	9 (47%)	17	7 (41%)
Total number of CED Corporate Complaints	10	0 (0%)	8	2 (25%)	1	0 (0%)	3	1 (33%)
Total Number of RND Corporate Complaints	0	0 (0%)	7	3 (43%)	13	6 (46%)	9	5 (56%)
Total Number of CAD Corporate Complaints	4	0 (0%)	3	0 (0%)	4	2 (50%)	3	0 (0%)
Total Number of PHD Corporate Complaints	8	3 (38%)	4	0 (0%)	1	1 (100%)	2	1 (50%)

FINANCE AND POLICY COMMITTEE

28th January 2019



Report of: Corporate Management Team

Subject: STRATEGIC FINANCIAL MANAGEMENT REPORT
- AS AT 31st DECEMBER 2018

1. TYPE OF DECISION/APPLICABLE CATEGORY

For information.

2. PURPOSE OF REPORT

2.1 The purpose of this report is to inform Members of:

- i) 2018/19 Forecast General Fund Outturn;
- ii) Corporate Income Collection Performance; and
- iii) 2018/19 Capital Programme Monitoring.

3. BACKGROUND AND FINANCIAL OUTLOOK

3.1 As Members will be aware from previous reports there were significant over spends on the General Fund budgets in 2016/17 (£320,000) and 2017/18 (£1.509m) and this reflected continuing service pressures, particularly in relation to Looked after Children. These pressures commenced in 2016/17 and had been recognised within the 2017/18 MTFS and one off resource allocated to support the recurring budget. However, despite these one off resources and some under spends on corporate budgets, there were net over spends in the last two years which had to be funded from the Unearmarked General Fund Reserve, as summarised below:

	2016/17 £'000	2017/18 £'000
Gross Departmental over spend	1,035	1,902
Corporate under spend	(715)	(393)
Net over spend before one off items and use of reserves	320	1,509
Uncommitted 2015/16 Actual Outturn and release of funding allocated for potential protection costs	(194)	0
Planned Use of Reserves	0	(1,400)
Net over spend funded from Unearmarked General Fund Reserve	126	109

- 3.2 Using the Unearmarked General Fund Reserve to meet future budget over spends is not sustainable as this amount is held to manage unforeseen commitments and risks.
- 3.3 The pressures in relation to Looked after Children are continuing in 2018/19 and further information is provided in Section 5. It is anticipated that this pressure will continue in 2019/20. This is one of the issues reflected in the 17th December 2018 Medium Term Financial Strategy (MTFS) report which outlined the budget deficit for 2019/20 and the financial challenges facing the Council in 2020/21 and 2021/22.

4. REPORTING ARRANGEMENTS 2018/19

- 4.1 The availability and reporting of accurate and up to date financial information is increasingly important as future budget cuts are implemented and one-off resources are used up.
- 4.2 This Committee will continue to receive regular reports which will provide a comprehensive analysis of departmental and corporate forecast outturns, including an explanation of the significant budget variances. This will enable the Committee to approve a strategy for addressing the financial issues and challenges facing the Council.

5. GENERAL FUND BUDGET 2018/19 FORECAST OUTTURN

- 5.1 An updated assessment of the forecast 2018/19 outturn has been completed and the latest forecast is broadly in line with that previously reported – a net overspend of £545,000 (£549,000 in Quarter 2).
- 5.2 **Appendix A** provides a summary of the overall position. The 2018/19 outturn has been prepared to reflect expenditure incurred to date and forecast to be incurred in the rest of the financial year. As Members will be aware from previous years significant elements of the Council's budget are demand led and affected by expenditure over the winter months. The outturn forecasts will be closely monitored.
- 5.3 Children's Services budget pressures are continuing in relation to the increasing number and costs of Looked after Children. The main reason for the departmental overspend in Regeneration and Neighbourhoods is Passenger Transport where the costs are continuing to rise as a result of an increase in pupil numbers accessing 'Special Educational Needs' transport, including transport outside of the borough.
- 5.4 Detailed financial information on the projected outturn for individual Departments by Committee is provided in **Appendices B to E**.
- 5.5 Strategy for Managing the 2018/19 Forecast Overspend**
- 5.6 As previously reported, officers have been pursuing a range of actions to try and reduce this figure and to bring the overall outturn back into line with the available budget. These actions have included a review of all grants and other funding

flexibilities as well as overachieving salary abatement targets and reducing discretionary spending.

- 5.7 These actions will address the forecast overspend and at this stage of the year it is anticipated there will be no call on the Unearmarked General Fund Reserve to fund any overspend.
- 5.8 However, the nature of the winter period can impact on a range of departmental budgets therefore this position will be closely monitored over the rest of the year. There remains a risk that further in year additional costs may arise. In this situation the net forecast over spend will need to be funded by reviewing the Council's earmarked reserves and details will be reported to a future meeting. This strategy will avoid a call on the Unearmarked General Fund Reserve, which needs to be maintained to manage future financial risks.
- 5.9 However, if reserves do need to be used to address any 2018/19 over spend this will reduce future financial flexibility at a time when the Council is facing significant uncertainty in relation to funding levels for 2020/21 and 2021/22.

6. Housing Revenue Account

- 6.1 The Housing Revenue Account (HRA) is a ring fenced account relating to the Council's rented housing. It was reopened on the 1st April 2016 as a result of the number of houses exceeding the Government's new limit of 200, which was announced in a Ministerial Statement on 20 March 2015. Details of the forecast outturn are shown at **Appendix F**. The forecast outturn is a deficit of £163,000 which is higher than the budgeted deficit of £29,000 due to a delay in the impact of measures approved by the Finance and Policy Committee on 18th June 2018 to reduce the number of void properties. The deficit is being met by HRA reserves. Quality stock levels are increasing through the Empty Homes programme Phase 3 which will generate income to improve the sustainability and improve the future position of the HRA.

7. Corporate Income Collection Performance

- 7.1 Previous reports advised Members that significant changes were implemented with effect from 1st April 2013 to re-localise Business Rates and implement Local Council Tax Support schemes. As a result of these changes approximately 61% (i.e. £53.81m) of the net General Fund budget is funded from a combination of Business Rates and Council Tax collected locally. The following paragraphs provide more information on the impact of these changes and also progress in collecting Sundry Debts.

7.2 Business Rates Income

- 7.3 The re-localisation of Business Rates is a significant additional financial risk for Local Authorities to manage - 50% of any shortfall arising from either nonpayment by businesses, or reductions arising from the Valuation Office re-assessing rateable values, falls on individual authorities. A 'safety net' system is in operation, although this only compensates authorities for any shortfalls above

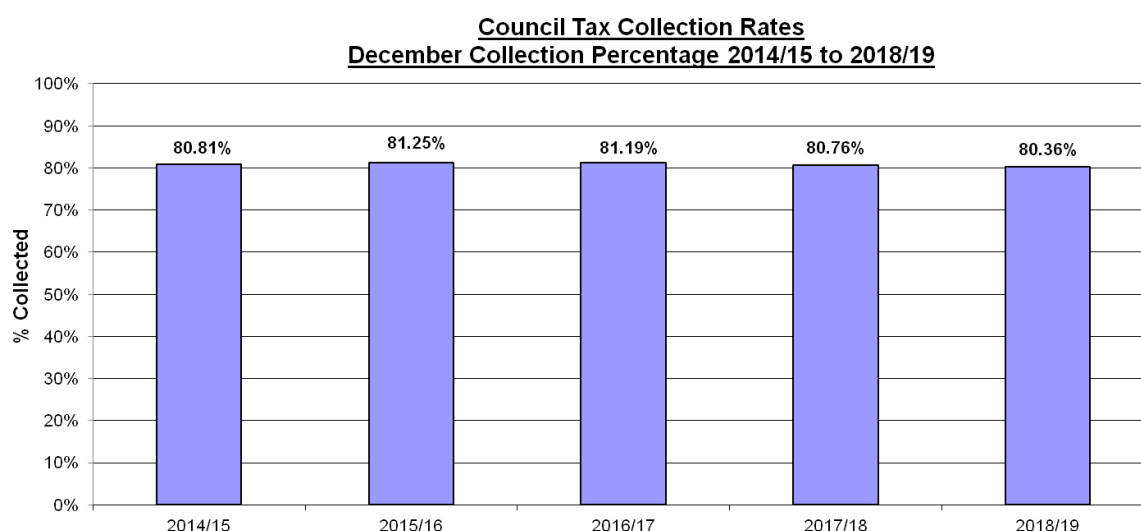
7.5% of the safety net figure. Prior to 2013/14 any shortfall in Business Rates collected was funded at a national level from the overall Business Rates pool.

7.4 Collecting Business Rates has always been an important responsibility, and the Council collected 98.9% in 2017/18 (national average 97.9% for unitary and metropolitan councils). The changes associated with localisation of business rates make this an even more important issue for the Council.

7.5 At the 31st December 2018 the Council had collected 82.03% of the 2018/19 liability, up by 1.41% compared to the same period last year (80.62%). The timing and value of appeal decisions by the Valuation Office Agency makes comparison of collection performance for Business Rates between financial years difficult. A comprehensive review of the recovery status of all 2,840 business rates accounts has been undertaken which indicates that by the end of the financial year collection levels in excess of 98% should be sustained.

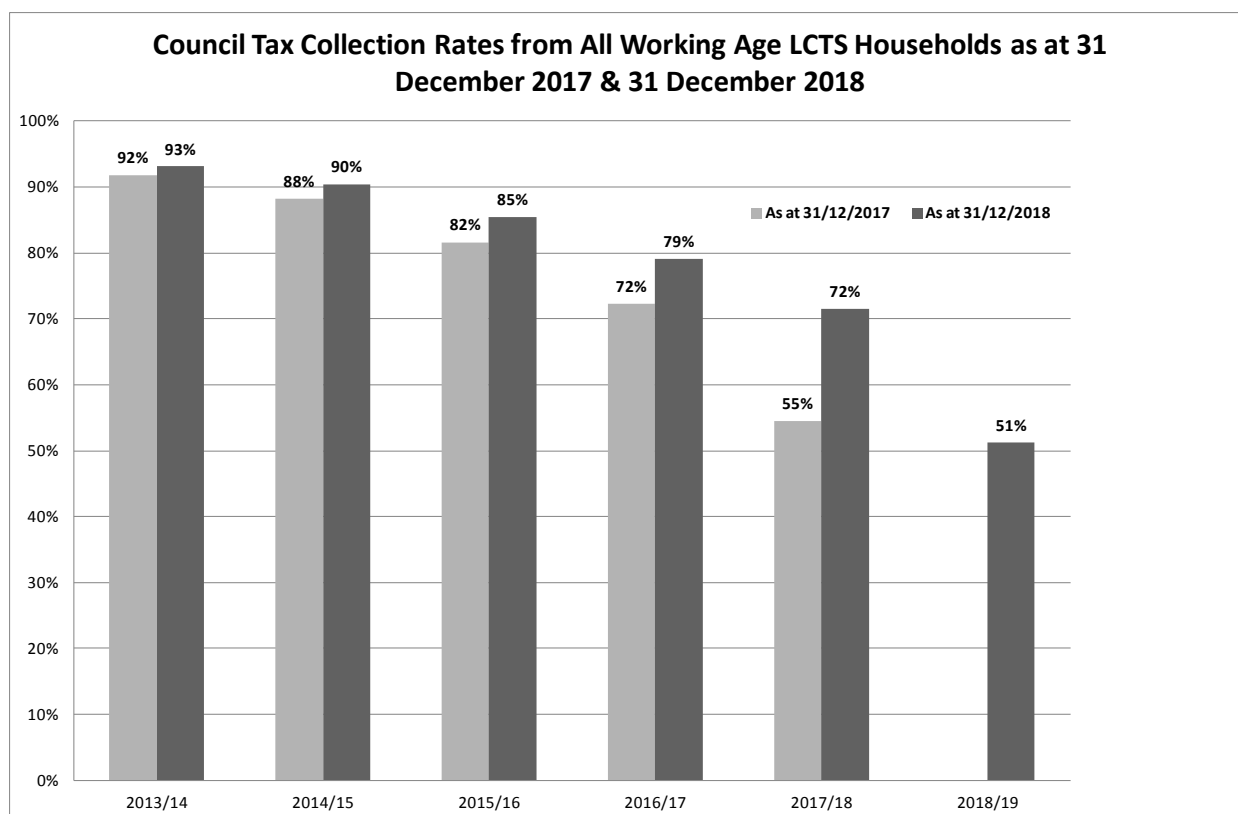
7.6 Council Tax

7.7 The overall Council Tax collection rate at 31st December 2018 was 80.36% compared to 80.76% for the same period last year, down slightly by 0.40%, as summarised in the graph below reflecting a relatively stable position.



7.8 Collection performance is impacted by the time lag in recovering Council Tax by Attachment of Benefit, where the rate of deduction is capped at £3.70 per week and only one deduction can be active at any one time. This particularly affects working age households in receipt of Local Council Tax Support (LCTS).

7.9 There are about 8,600 working age households who are in receipt of LCTS. The Council monitors closely levels of collection from these households which have been impacted by a range of national welfare reforms. The following graph shows that collection from these households is positive, albeit there are the forecast time lags in securing collection.

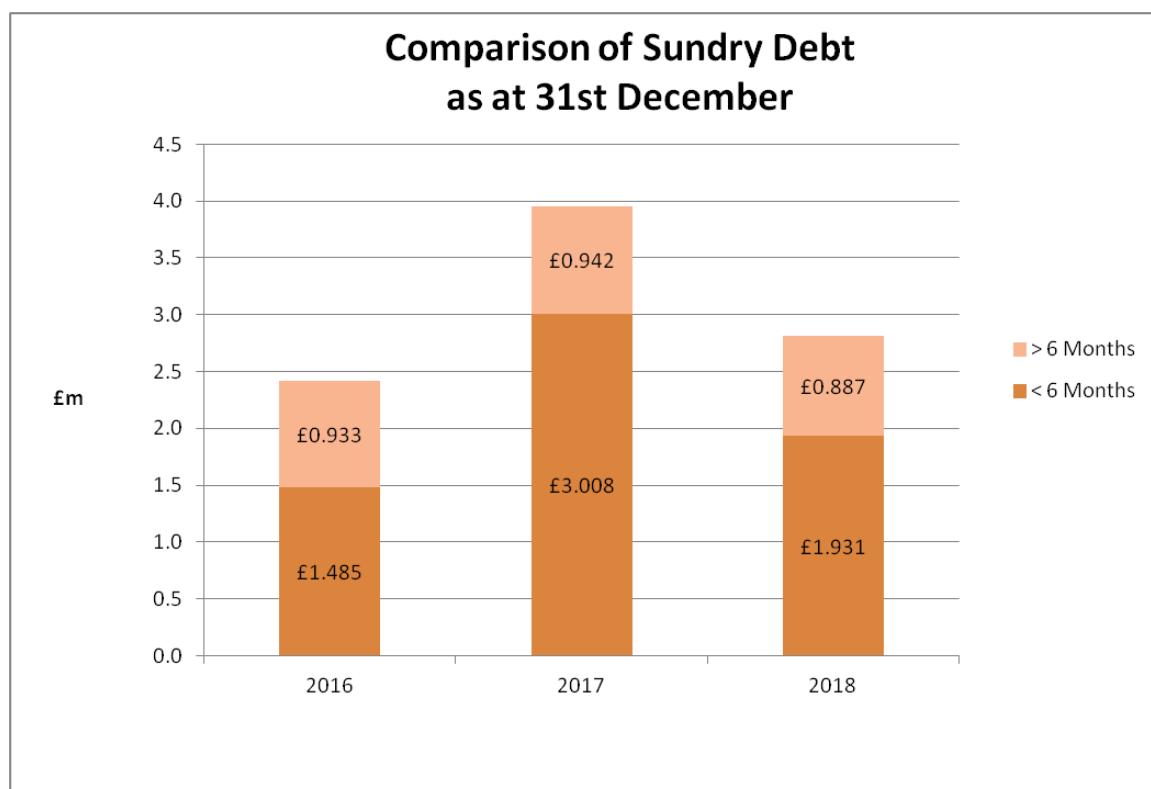


7.10 Collection of Council Tax from LCTS households continues to be within the financial planning parameters that underpin the Council's LCTS scheme.

7.11 Sundry Debts

7.12 The Council also collects significant Sundry Debts income for the payment of services provided by the Council. In total £24.501m of sundry debts were raised in the first nine months of 2018/19. As at 31st December 2018, £20.814m (84.95%) of this amount had been collected.

7.13 Robust procedures for collecting the remaining outstanding debt are in place. The following graph shows the comparable positions at 31st December for the last three years for long term debt and current debt which has been outstanding for less than six months.



7.14 Debtors totalled £2.818m as at 31st December 2018, of which £1.931m (68.52%) relates to current debts (less than 6 months old).

7.15 Included within current debts (less than 6 months old) is debt where the customer has been invoiced for the whole of 2018/19 but payment is to be received in instalments throughout the year.

7.16 Debts greater than 6 months old total £0.887m. 98.50% of this amount is under recovery action and 1.50% (£13,000) is now considered unrecoverable and will be reported to Members for write off.

8. Capital Receipts Target

8.1 The Council previously set a capital receipt target to provide funding to offset the removal of HMR (Housing Market Renewal) funding in 2011 by the Government.

8.2 Owing to the challenging economic and housing market conditions over the last few years these capital receipts have taken longer to achieve than initially anticipated. As reported previously the remaining target is £2.648m. This amount is net of the accrued capital receipts for King Oswy Drive/Former Henry Smiths site which is being received as instalments.

8.3 At present no significant receipts are anticipated in 2018/19. Work is currently underway to secure capital receipts at a number of sites including:

- Seaton Lane Site
- Former Brierton School Site
- Briarfields

- Tanfield Site
- Land at Hart
- Melrose House (Custodian Property)

8.4 However, these are unlikely to be received until 2019/20 at the earliest. As previously reported the capital receipt in relation to the Hart land sale is anticipated to be the largest capital receipt achieved over the next three years but owing to the phased basis on which monies are likely to be received it will not make a significant contribution to the target until 2020/21.

8.5 It is forecast that the full remaining target of £2.648m will be outstanding at year end. However as in previous years, the Council is expected to benefit from the expenditure commitments funded by capital receipts being incurred later than expected. In relation to the remaining expenditure commitments £0.500m is forecast to be rephased into 2019/20. This will result in a lower amount of temporary prudential borrowing of £2.148m at the end of the year (i.e. £2.648m remaining target less £0.500m rephased). As interest rates remain low the temporary interest cost can be funded from the existing revenue budget.

9. 2018/19 Capital Programme Monitoring

9.1 Capital Expenditure for all departments to the 30th November 2018 is summarised in the table below.

Department	BUDGET	EXPENDITURE IN CURRENT YEAR				
	A	B	C	D	E	F
	2018/19 Budget £'000	2018/19 Actual as at 30/11/18 £'000	2018/19 Expenditure Remaining £'000	Expenditure Rephased into 2019/20 £'000	(B+C+D) 2018/19 Total Expenditure £'000	(E-A) 2018/19 Variance from Budget £'000
Adult & Community Based Services	2,719	783	1,936	0	2,719	0
Children's & Joint Commissioning Services	10,217	5,220	1,697	3,300	10,217	0
Regeneration & Neighbourhood Services	33,215	13,899	8,869	10,962	33,730	515
Chief Executives	144	14	0	130	144	0
Corporate	3,853	785	570	2,498	3,853	0
Total Capital Expenditure	50,148	20,701	13,072	16,890	50,663	515

9.2 Actual expenditure to 30th November 2018 was £20.701m, compared to budgeted spend for the year of £50.148m. £16.890m expenditure has been rephased to 2019/20 leaving £13.072m to be spent during the remainder of 2018/19.

9.3 Regeneration & Neighbourhood Services are reporting a forecast increase in costs of £0.515m as detailed in Capital Appendix I and summarised below.

9.4 ISQ Programme - BIS Managed Workspace and Church Square

As detailed in the previous quarter, additional funding is being sought from the TVCA in relation to the BIS Managed Workspace (£338,000) as a result of unforeseen issues and delays owing to the challenges of renovating a Grade II Listed building and for Church Square (£177,000) as a result of the tenders being higher than the pre-tender estimate. A report confirming the additional funding will be submitted to the TVCA Cabinet on the 24th January, 2019.

9.5 The rephased amount (£16.890m) reflects the expected profile of expenditure to be incurred and resources will be rephased accordingly. The main items included within this are:

- Schools Basic Need funding - £2.116m
- Social Housing and Empty Properties Phase 3 - £1.975m
- Deferred vehicle purchases to maximise revenue savings - £1.900m
- Waterfront Public Realm works, which are expected to start in March, 2019 - £1.235m
- Additional Highway Schemes including resurfacing & footpaths - £1.099m

9.6 There is a longer lead in time for capital schemes and further large expenditure is forecasted to be completed by the end of the financial year.

9.7 Detailed financial information on the capital programme for individual Departments by Committee is provided in **Appendices G to K**.

9.11 **Section 106 Developers Contributions**

9.12 The level of contributions for housing and non - housing service areas as at 31st December 2018 is summarised in the following table. A detailed breakdown is included in **Appendix L**.

Section 106 Funding	Affordable Housing Contributions £000	Other Service Areas £000	Total £000
Amount brought forward as at 1st April, 2018	538	654	1,192
Amounts Received as at 31 st December, 2018	1,504	272	1,776
Budgets allocated to schemes	(2,042)	(149)	(2,191)
Uncommitted Balance as at 31st December, 2018	0	777	777

10 CONCLUSION

- 10.1 As detailed in Section 5, a 2018/19 General Fund revenue budget over spend of £545,000 is forecast. This mainly reflects continuing Looked after Children pressures. However, officers have identified a range of measures that are expected to reduce this General Fund revenue forecast deficit to nil by the year end.
- 10.2 However, there is a risk that further additional seasonal costs may arise and the budget position will be monitored closely until the end of the financial year.
- 10.3 The financial pressures facing the Council are not unique and national press reports have highlighted significant financial issues in many councils, including Northamptonshire County Council, East Sussex County Council and Birmingham. This clearly indicates the financial pressures facing the sector and individual councils will need to develop local strategies to address their specific financial challenges.
- 10.4 To some extent the Council is in a better position in the short term than many other areas as it has implemented difficult decisions over the last few years to cut expenditure, increase recurring income by increasing Council Tax, achieving housing growth and by carefully managing reserves.
- 10.5 However, as detailed in the 2019/20 to 2020/21 17th December 2018 MTFS report, the financial outlook is extremely challenging. The Council faces further deficits in 2020/21 and 2021/22. This means further extremely difficult decisions will be required over the next three years to set balanced budgets.
- 10.6 The full remaining capital receipts target of £2.648m will remain outstanding at the year end. It is anticipated that the remaining balance will be achieved in future years from planned receipts.

11. RISK IMPLICATIONS

- 11.1 No material implications.

12. FINANCIAL CONSIDERATIONS

- 12.1 The financial considerations are covered within the Report.

13. LEGAL CONSIDERATIONS

- 13.1 No specific legal implications.

14. CHILD AND FAMILY POVERTY CONSIDERATIONS (IMPACT ASSESSMENT FORM TO BE COMPLETED AS APPROPRIATE.)

- 14.1 No specific considerations arising from this Report.

15. EQUALITY AND DIVERSITY CONSIDERATIONS (IMPACT ASSESSMENT FORM TO BE COMPLETED AS APPROPRIATE.)

15.1 No specific considerations arising from this Report.

16. STAFF CONSIDERATIONS

16.1 No specific considerations arising from this Report.

17. ASSET MANAGEMENT CONSIDERATIONS

17.1 No specific considerations arising from this Report.

18. RECOMMENDATIONS

18.1 It is recommended that Members:

- i) Note the report;
- ii) Note the forecast 2018/19 General Fund Revenue budget over spend of £545,000 and the proposed measures to manage this;

19. REASONS FOR RECOMMENDATIONS

To update the Finance and Policy Committee on the Council's financial position and to enable Members to make decisions as part of the overall budget process for 2018/19.

20. BACKGROUND PAPERS

Medium Term Financial Strategy 2019/20 and Financial Outlook 2020/21 and 2021/22 report to Finance and Policy Committee 17.12.18.

Quarter 2 Strategic Financial Management Report to Finance and Policy Committee 26.11.18.

21. CONTACT OFFICER

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01429 523002

Sign Off:-

Chief Executive



Director of Policy and Finance



Chief Solicitor



Summary of 2018/19 Forecast General Fund Outturn**Table 1**

	Forecast - Overspend/ (Underspend)
	£'000
Departmental Budgets	
Adult & Community Based Services	0
Children & Joint Commissioning	1,102
Chief Executive	(325)
Regeneration & Neighbourhoods	270
Creation of General Fund Reserves not previously reported (as detailed in Table 3)	98
Total Departmental Forecast Budget Overspend	1,145
Non Departmental issues	
Property Budgets	(200)
Corporate - MTFS 2019/20 Savings Achieved Early	(400)
Forecast Net Overspend	545

Ring Fenced Departmental Grant Reserves**Table 2****Reserves Previously Approved Quarters 1**

	Outturn
	£'000
Ring-fenced Grant Reserves	
Public Health Grant - the underspend on this grant is transferred into a ringfenced reserve in line with the grant conditions.	65
TOTAL	65

Table 3 - Recommended Contributions to Reserves

	Latest Forecast
	£'000
General Fund Reserves	
Education - Interim Additional Capacity in the Special Educational Needs (SEND) Team	98
TOTAL	98

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2018/19 as at 31st DECEMBER 2018

Approved 2018/2019 Budget	Description of Service Area	Forecast Outturn as at 31st December 2018 Adverse/ (Favourable)	Director's Explanation of Forecast Variance
£'000		£'000	
0	Carers & Assistive Technology	(5)	
2,354	Commissioning & Adults General	0	
1,694	Packages of Care - Mental Health	150	The budget pressure relates to a small increase in the number of people with mental health needs requiring 24 hour care and support.
10,924	Packages of Care - Older People	(380)	This reflects the ending of a number of high cost packages and one-off income from the iBCF grant. This budget will be closely monitored throughout the year as spend often increases during the winter period.
8,468	Packages of Care - Working Age Adult	280	There is a significant degree of volatility associated with the learning disability budget including high cost packages of care, the Transforming Care agenda and the number and complexity of transitions from children's services to adult services.
4	Community Centres	0	
7	Community Hubs	0	
206	Departmental Running Costs	0	
707	Direct Care & Support Team	(60)	Salary underspends arising from incremental drift and vacancies partly offset by increased spend on Telecare equipment.
447	LD & Transition Social Work	10	
861	Libraries	35	The adverse variance reflects non-achievement of 2018/19 savings proposals.
2,884	Locality & Safeguarding Teams	5	
741	Mental Health Services	(30)	Salary underspends arising from incremental drift and vacancies.
501	OT & Disability Equipment	0	
537	Sports, Leisure & Recreation Facilities	95	The adverse variance (which reflects a one-off contribution of £50k from Public Health reserves) mainly relates to a shortfall in income at the leisure centres and Carlton Adventure.
1,236	Working Age Adult Day Services	(100)	The favourable variance mainly relates to funding allocated for changes in service delivery at the Centre for Independent Living not yet utilised, increased income from room hire and some savings on pay and non-pay budgets.
31,571	Adult & Community Based Services Total (Before Creation of Reserves)	0	
Creation of Reserves			
0			
31,571	Adult & Community Based Services Total (Net of Reserves)	0	

ADULT & COMMUNITY BASED SERVICES
PLANNED USE OF RESERVES

The above figures include the 2018/2019 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

Approved 2018/2019 Budget £'000	Description of Service Area	Actual Usage 2018/2019 £'000	Variance Over/ (Under) £'000	Director's Explanation of Forecast Variance
0	Public Health Reserve - Sport, Leisure & Recreation Facilities	50	50	One-off contribution towards the budget pressures within the Sport, Leisure & Recreation service.
0	Public Health Reserve - GP Referral & EDAN	77	77	Funding of the GP Referral and diabetes programmes.
0	Public Health Reserve - Wellbeing Fund	81	81	To be used to fund the Wellbeing Strategy Programme in 2018/19 and 2019/20.
0	Public Health Reserve - DAT Tier 4	100	100	This is a contingency reserve to be used where there is a need for Tier 4 support within the Substance Misuse service.
0	Total	308	308	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2018/19 as at 31st DECEMBER 2018

Approved 2018/2019 Budget	Description of Service Area	Forecast Outturn as at 31st December 2018 Adverse/ (Favourable)	Director's Explanation of Forecast Variance
£'000		£'000	
15,089	Children & Families	1,935	LAC numbers have continued to increase since the budget was set across both in-house and high-cost Residential placements and this accounts for the main reason for the increased overspend.
2,683	Early Intervention Services	(330)	The favourable variance reflects staff vacancies, incremental drift and early achievement of 2019/20 savings from holding vacant posts within the Early Help Service.
3	Play & Care	30	Historic shortfall in income - charges have been increased and will be closely monitored throughout the remainder of the year.
408	Standards, Engagement & Development	(15)	
735	Strategic Commissioning	(380)	The favourable variance mainly relates to one-off and back-dated grant income received from the CCG.
301	Youth Offending Service	(40)	The favourable variance reflects staff vacancies and incremental drift.
136	Access to Education	(21)	Savings due to reduced hours and lower than budgeted spend on feasibility studies
167	Central Support Services	0	
512	Other School Related Expenditure	(20)	Reduced Added Year's Historic Pension Recharges (Cleveland County)
544	Raising Educational Achievement	139	The adverse variance relates primarily to income shortfalls against the School Improvement Service
246	Special Educational Needs	(101)	Salary savings and use of SEND Reform Grant
319	Strategic Management	(95)	The projected underspend relates to 2019/20 savings achieved in advance.
21,143	Children's and Joint Commissioning Services	1,102	
Public Health Grant			
3,117	Public Health - Commissioning	(65)	The favourable variance reflects a projected underspend against the smoking cessation prescribing budget.
2,178	Public Health - Substance Misuse	0	
1,900	Public Health - Children's	0	
905	Public Health - Statutory & Support Services	0	
436	Public Health - Adults	0	
278	Public Health - Physical Activity	0	
(8,764)	Public Health Main Grant	0	
50	Public Health Grant	(65)	
21,193	Children's and Joint Commissioning Services Total (inc Public Health Grant) Before Creation of Reserves	1,037	
Creation of Reserves			
0	Contribution to Public Health Ring-Fenced Reserve	65	The Public Health Grant is ring fenced and any underspend will be transferred into the ringfenced reserve in line with the grant conditions.
0	Interim Additional Capacity - SEND Team	98	
21,193	Children's and Joint Commissioning Services Total (inc Public Health Grant) Net of Reserves	1,200	

CHILDREN'S & JOINT COMMISSIONING SERVICES
PLANNED USE OF RESERVES

7.1 Appendix C

The above figures include the 2018/2019 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

Approved 2018/2019 Budget £'000	Description of Service Area	Actual Usage 2018/2019 £'000	Variance Over/ (Under) £'000	Director's Explanation of Forecast Variance
18	Selective Licensing Scheme	18	0	
18	Public Health Grant	74	56	To fund various specific Public Health-related projects in 2018/19
0	Public Health Grant - 0-19 Service	348	348	One-off funding to support the 0-19 service in 2018/19 while the review of this provision was finalised.
9	Data Team	9	0	
88	EYES	88	0	
85	Individual Pupil Support	85	0	
25	Education Commission	25	0	
57	Transforming Tees	57	0	This reserve consists of pooled funding from, and is managed on behalf of, four Tees Valley local authorities. The reserve supports a collaborative approach to tackle the root cause of underperformance and ensure delivery of a first class education and skills system.
300	Total	704	404	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2018/19 as at 31st DECEMBER 2018

Approved 2018/2019 Budget	Description of Service Area	Forecast Outturn as at 31st December 2018 Adverse/ (Favourable)	Director's Explanation of Forecast Variance
£'000		£'000	
(140)	Benefits	(170)	A favourable variance of £60k is anticipated owing to vacant posts, and a further £110k is expected in additional grant from DWP for Housing Benefit and Universal Credit New Burdens and Verify Earnings and Pension Alerts.
(925)	Central Administration Recharges	0	
36	Civic Attendants	0	
975	Corporate Finance	(50)	The favourable variance is owing to vacant posts and posts not being at the top of the grade.
657	Corporate Strategy & Public Consultation	(85)	The favourable variance is owing to vacant posts and posts not being at the top of the grade and some supplies and services savings.
(143)	Housing Benefits Subsidy	(100)	The projected underspend on Housing Benefit Subsidy grant is on the basis of the Mid Year Review submitted to the DWP. The grant generates £33m in subsidy, but the grant is reducing along with the current transfer rate of claimants across to Universal Credit, and the favourable variance will be dependent on the final year end claim.
199	Democratic	(5)	
895	Customer and Support Services	(45)	The favourable variance is owing to posts not being at the top of the grade and additional grant for some staff support.
488	Human Resources & Health and Safety	(70)	The favourable variance is owing to vacant posts and posts not being at the top of the grade, which is partly offset by a reduction in income.
234	Internal Audit	(10)	The favourable variance is owing to savings on supplies and services and additional income generated.
433	Legal Services	65	The adverse variance is owing to a reduction in income generation.
167	Municipal Elections and Registration of Electors	0	
(7)	Other Office Services	15	The adverse variance is owing to a reduction in income generation received from Local Land Searches.
94	Procurement	(50)	The favourable variance is owing to a vacant post.
53	Public Relations	75	The adverse variance is owing to a reduction in income generation.
(113)	Registration Services	25	The adverse variance is owing to a reduction in income generation on both birth and death registration owing to services being transferred to North Tees Hospital.
(45)	Reprographics	0	
911	Revenues	60	The adverse variance is owing to a reduction in the Bailiff section income generation, additional hours in the Cashiers section, and additional Credit Card commission charges.
(527)	Revenue & Benefits Central	55	The adverse variance is owing to a reduction in the Cost of Collection grant for Non Domestic Rates and Court Costs awarded.
66	Scrutiny	5	
612	Shared Services	(5)	
128	Support to Members	0	
10	Training & Equality	0	
344	Corporate Management Running Expenses	(35)	The favourable variance is owing to a post not being at the top of the grade and savings on the Corporate Subscriptions budget.
4,402	Chief Executives Total (Before Creation of Reserves)	(325)	
Creation of Reserves			
4,402	Chief Executives Total (Net of Reserves)	(325)	

**CHIEF EXECUTIVES
PLANNED USE OF RESERVES**

The above figures include the 2018/2019 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

Approved 2018/2019 Budget £'000	Description of Service Area	Actual Usage 2018/2019 £'000	Variance Over/ (Under) £'000	Director's Explanation of Forecast Variance
5	Corporate Strategy - Performance Management	5	0	
8	Public Relations	8	0	
2	Civic Responsibilities	2	0	
40	Municipal Elections and Registration of Electors	40	0	
13	Corporate Training Programme	13	0	
50	Human Resources & Health and Safety Loss of Income	50	0	
24	Human Resources & Health Extension of Fixed Term Contract	24	0	
40	Human Resources & Health and Safety - Resourcelink post.	40	0	
32	Finance - IT Investment Shared Services	32	0	
143	Chief Executive's Department Ring Fenced Grants	143	0	
357	Total	357	0	

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2018/19 as at 31st DECEMBER 2018

Approved 2018/2019 Budget	Description of Service Area	Forecast Outturn as at 31st December 2018 Adverse/ (Favourable)	Director's Explanation of Forecast Variance
£'000		£'000	
20	Archaeology	0	There is a forecast short fall in income of £5k owing to a proposed lottery bid being unsuccessful. The team will continue to work to secure additional funding but the forecast shortfall can be met by the Archaeology Reserve.
(50)	Adult Education	0	
(325)	Building Design Service	150	The adverse variance relates to a shortfall in fee income from Capital and external work. A review of this area is underway to evaluate the fee earning capacity in future years.
(874)	Car Parking	80	The adverse variance relates to a shortfall in income.
(32)	Coast Countryside & Heritage	0	
1,165	Community Safety & Engagement	0	
(46)	Construction & Construction Support	30	Sickness pressures and vacant posts likely to result in adverse variance as productive hours have reduced. A recruitment exercise is underway and the position may improve in Quarter 4.
863	Consumer Services	30	Adverse variance is a forecast shortfall in licensing income.
615	Cultural & Visitor Services	90	Adverse variance relates to shortfall in income at the Town Hall Theatre and Borough Hall.
468	Economic Regeneration	0	
97	Employment & Skills	0	
(35)	Environmental Standards	0	
(3)	Environmental Protection	0	
367	Engineering & Design	(200)	The favourable variance relates to the timing of prudential borrowing charges in relation to the Headland Capital Scheme as some charges will not be incurred until 2019/20 and additional income generation from external schemes.
947	Facilities Management	0	
24	General Allotments	0	
2,719	Environmental Services	0	
(338)	Highways Trading	0	
2,647	Highways & Transportation	(30)	The favourable variance relates to a forecast over achievement of income.
1,360	Passenger Transport	130	The position has worsened since the start of the new academic year in September due to an increase in pupils requiring SEN support, particularly in relation to out of town transport. The projected adverse variance has increased by £50,000 since Q2 as a result of a further increase in the number of SEND students requiring transport. The increased costs have been partly offset by using the Passenger Transport reserve of £35k.
265	Planning & Development	115	The adverse variance relates to a potential shortfall in income from planning fees. It is difficult to predict the outturn in this area as approximately 80% of the fee income comes from large scale projects and the timing of these applications are difficult to predict.
0	Planning & Development - Contribution from Reserves	(115)	Income equalisation reserve used to fund the income shortfall in 2018/19. Planning fees have increased in 2018/19 by 20% and the position will need to be closely monitored to establish if this increase addresses the income shortfall.
130	Road Safety	0	
(31)	Strategic Asset Management	50	The adverse variance relates to a shortfall in external income.
554	Strategic Management & Admin	(10)	Favourable variance represents salary savings.
994	Street Lighting	0	Increased maintenance has reduced the previous reported favourable variance.
2,410	Sustainable Transport	(90)	The favourable variance is based on the negotiated position with Bus Operators which has resulted in the current contract being below the base budget provision.
(34)	Vehicle Fleet	(100)	The favourable variance relates to temporary savings on vehicle borrowing costs as vehicles are kept for longer and the purchase of new vehicles has been delayed where appropriate.
4,933	Waste Management	140	Waste Management continues to be a volatile area with recycling income levels continuing to be lower than 2017/18. All residual, recycling tonnages and income levels are being monitored closely.
18,810	Regeneration & Neighbourhoods Total	270	

REGENERATION AND NEIGHBOURHOODS
PLANNED USE OF RESERVES

7.1 Appendix E

The above figures include the 2018/2019 approved budget along with the planned use of Departmental Reserves created in previous years.
The details below provide a breakdown of these reserves

Approved 2018/2019 Budget £'000	Description of Service Area	Actual Usage 2018/2019 £'000	Variance Over/ (Under) £'000	Director's Explanation of Forecast Variance
0	Archaeology Reserve	5	5	Reserve to be released to offset projected income shortfall.
0	Trading Account Reserve	20	20	Reserve released to offset increase in waste management variance.
29	Community Safety Specific Grants	29	0	
30	Museum Acquisition Fund	48	18	Difference in timing of forecast use of reserves.
0	Economic Regeneration Schemes/YEI Match	88	88	Updated position in relation to timing of matched funding.
0	Adult Education (YEI Match)	5	5	Updated position in relation to timing of matched funding.
0	BIS (ISQ Workspace)	10	10	Difference in timing of forecast use of reserves.
17	Civic Lottery - Ward Member Budgets	17	0	
0	Cremators Reserve	30	30	Release of reserve to meet the costs of re-lining the cremators.
0	Ward Member Budgets	41	41	Reflects forecast use of underspends carried forward from previous year.
0	Local Plan	5	5	Reflects residual use of reserve in relation to final costs of the scheme.
0	Church St/Sq Business Support Grants (Corporate Reserve)	60	60	
0	Royal Navy Museum Reserve	173	173	Reflects agreed contribution to NMRN.
0	Passenger Transport Reserve	35	35	Use of reserves to partly offset increase in Passenger Transport Costs in relation to pupils requiring SEN support.
0	Trading Standards Initiatives (Public Health Reserve)	32	32	
0	Environmental Protection Initiatives (Public Health Reserve)	17	17	
50	Allotments (Public Health Reserve)	50	0	
126	Total	665	539	

HOUSING REVENUE ACCOUNT

7.1 Appendix F

REVENUE FINANCIAL MONITORING REPORT FOR FINANCIAL YEAR 2018/19 as at 31st DECEMBER 2018

Budget £'000	Description	Forecast Outturn £'000	Forecast Variance £'000	Director's Explanation of Variance
	<u>Income</u>			
(1,068)	Dwelling Rents	(1,030)	38	Increased income due to additional stock, yet impact dampened by time lag of voids reduction through implementation of the Discretionary Housing Payments scheme
(26)	Non-dwelling Rents - Other Income	(32)	(6)	Solar panels and reimbursements.
(2)	Charges for services and facilities	(6)	(4)	Unpooled service charges
(1,096)	Income sub total	(1,068)	28	
	<u>Expenditure</u>			
189	Repairs and maintenance	207	18	Increase in the number of properties let and high void levels
282	Supervision and management	302	20	Increase in accountancy services recharge
13	Rents, rates, taxes and other charges	50	37	Income tax payments for void properties. Low budget was based on charge at budget setting.
35	Increase in provision for bad or doubtful debts	35	0	
281	Depreciation of fixed assets (Major Repairs Allowance)	283	2	
0	Discretionary Housing Payments	5	5	As per approved scheme implemented 2018/19
9	Debt Management costs	16	7	
809	Expenditure sub total	898	89	
(287)	Net cost of services	(170)	117	
340	Interest payable	355	15	Charge based on 2017/18 expenditure
(24)	HRA investment income	(22)	2	Charge based on Opening Balances
29	HRA Operating (Surplus) / Deficit for the year	163	134	Higher deficit than budgeted due to time lag in reducing voids
	<u>Movement on the HRA Reserve</u>			
(530)	HRA Reserve Opening Balance	(537)	(7)	
29	(Surplus)/Deficit for the year	163	134	
(501)	HRA Reserve Closing Balance	(374)	127	

CAPITAL MONITORING REPORT PERIOD ENDING 30th NOVEMBER 2018

Project Code	Scheme Title	BUDGET	EXPENDITURE IN CURRENT YEAR					Type of Financing	2018/19 COMMENTS
		A	B	C	D	E	F		
		2018/19 Budget £'000	2018/19 Actual as at 30/11/18 £'000	2018/19 Expenditure Remaining £'000	Expenditure Rephased into 2019/20 £'000	(B+C+D) 2018/19 Total Expenditure £'000	(E-A) 2018/19 Variance from Budget £'000		
Adult & Community Based Services									
7212	Capital Grants to Residential/Nursing Care Homes (iBCF)	501	135	366	0	501	0	RCCO	
7234	Chronically Sick and Disabled Persons Adaptations	263	6	257	0	263	0	MIX	
8108	Centre for Independent Living	231	1	230	0	231	0	MIX	Actual spend relates to landscaping work.
7218	Disabled Facilities Grant	1,414	561	853	0	1,414	0	GRANT	
8103	Swimming Scheme	35	0	35	0	35	0	RCCO	Amounts set aside for equipment purchase.
8172	Brierton Dance Studio Equipment	4	2	2	0	4	0	RCCO	Scheme is continuing with remaining dance studio equipment to be delivered and installed in 2018/19.
7992	Junior Football Pitches	26	0	26	0	26	0	RCCO	Earmarked to be used as match funding for a Sport England scheme if the bid is successful.
8408	Mill House - Equipment Purchase	3	0	3	0	3	0	RCCO	Used to fund equipment replacement when required.
8689	Brierton Sports Fields	1	0	1	0	1	0	MIX	Scheme completed. The remaining Football Foundation funding has been carried forward from 2017/18.
8016	Summerhill Multi User Route	1	0	1	0	1	0	GRANT	Section 106 Funding remaining to be used in future years in accordance with Agreement.
8409	Sport & Youth Improvements	12	1	11	0	12	0	MIX	To be used as match funding in future grant bid for work required at Carlton Adventure.
8964	Brierton Sports Hall Techno Gym	2	0	2	0	2	0	GRANT	Section 106 Funding remaining to be used in future years in accordance with Agreement.
8896	Brierton Tennis Courts	30	0	30	0	30	0	CAP REC	Awaiting finalisation of the Playing Pitch and Indoor Facilities Strategy for Brierton.
8634	Brierton 3G Pitch - Football Foundation	6	0	6	0	6	0	MIX	
8904	Summerhill Cafe Works	1	0	1	0	1	0	MIX	
8710	Drug & Alcohol Recovery Centre	18	0	18	0	18	0	GRANT	Renovation work is continuing
8946	Stepping Stones Grant	91	61	30	0	91	0	GRANT	Renovation work is continuing
8088	Community Hub - Central	68	16	52	0	68	0	RCCO	Scheme is complete and the final account has still to be agreed.
8098	Community Hub - North	12	0	12	0	12	0	RCCO	Scheme has been completed with an underspend due to a saving on materials costs. It is proposed that the underspend is returned to the CCF unallocated budget.
	Total Adult & Community Based Services	2,719	783	1,936	0	2,719	0		

Key

RCCO

Revenue Contribution towards Capital

MIX

Combination of Funding Types

UCPB

Unsupported Corporate Prudential Borrowing

SCE

Supported Capital Expenditure (Revenue)

GRANT

Grant Funded

CAP REC

Capital Receipt

UDPB

Unsupported Departmental Prudential Borrowing

CORP

Corporate Resources

CAPITAL MONITORING REPORT PERIOD ENDING 30th NOVEMBER 2018

Project Code	Scheme Title	BUDGET	EXPENDITURE IN CURRENT YEAR					Type of Financing	2018/19 COMMENTS
		A	B	C	D	E	F		
		2018/19 Budget £'000	2018/19 Actual as at 30/11/18 £'000	2018/19 Expenditure Remaining £'000	Expenditure Rephased into 2019/20 £'000	(B+C+D) 2018/19 Total Expenditure £'000	(E-A) 2018/19 Variance from Budget £'000		
Children's and Joint Commissioning Services									
8072 & 8218	Children's Centres General	42	0	42	0	42	0	MIX	This budget is the residual funding from two projects that were completed in 2010/11. There are no restrictions on this residual funding in the grant terms and conditions. There are no current proposals to spend this money.
8587	Brougham Primary School - Air Handling Unit Replacement	39	39	0	0	39	0	GRANT	Finished work on site - final account to be agreed.
NEW	Catcote - ASD Provision	50	0	50	0	50	0	GRANT	
8906	CETL - Windows	40	33	7	0	40	0	RCCO	Scheme starting October 2018.
7388	Clavering Primary - Electrical Rewire	40	26	14	0	40	0	GRANT	Scheme complete - final account to be agreed.
7387	Clavering Primary - KS2 Remodelling and Hall Expansion	204	12	0	192	204	0	GRANT	Scheme on hold - work deferred to Summer 2019.
8983	Clavering Primary - Heating and DHW Boiler Plant Renewal	85	36	49	0	85	0	GRANT	Scheme complete, final account to be agreed.
8984	Clavering Primary - Electrical Rewire	80	54	26	0	80	0	GRANT	
7384	Devolved Schools Capital	309	54	255	0	309	0	GRANT	
9004	English Martyrs - New Build	1,845	1,845	0	0	1,845	0	GRANT	
8984	Eldon Grove - Dining Room Extension	7	0	7	0	7	0	RCCO	
7378	Golden Flatts Primary School - Lintel Replacement	7	1	6	0	7	0	GRANT	Scheme to be completed half term Oct/Nov.
8906	Grange Primary School - Window Replacement	22	16	6	0	22	0	GRANT	Work finished on site.
NEW	Hart Primary School - Emergency Wall Repairs	104	0	0	104	104	0	GRANT	Construction work unlikely to commence in this financial year.
7396	High Tunstall School - Roofing - Block D	35	6	29	0	35	0	MIX	Finished on site, awaiting final account- underspend likely.
7392	High Tunstall School - Toilet Refurbishment - Block D	70	53	17	0	70	0	MIX	Scheme completed on site - final account to be agreed.
8716	High Tunstall School - Window Replacement - Block D	140	112	28	0	140	0	RCCO	Scheme completed on site - final account to be agreed.
7376	High Tunstall School - Electrical Rewire Block D	26	26	0	0	26	0	GRANT	
7405	High Tunstall School - Electrical Lighting Block D	35	3	32	0	35	0	GRANT	
7379	High Tunstall School - Main Boiler and DHW Plant Renewal	35	16	19	0	35	0	GRANT	Scheme to start November 2018 - awaiting prices - term time working.
7383	High Tunstall School - Floor Renewal Block D	25	1	24	0	25	0	GRANT	Scheme completed on site - final account to be agreed.
7403	High Tunstall School - Drainage Works Block D	7	7	0	0	7	0	GRANT	
9004	High Tunstall School - New build	1,529	1,529	0	0	1,529	0	GRANT	Scheme completed in April - final account to be agreed.
8907	Lynnfield School - Roof Replacement	133	131	2	0	133	0	MIX	Scheme complete - final account to be agreed
7392	Lynnfield School - Toilet Refurbishment	54	28	26	0	54	0	GRANT	Scheme completed 31st August, no retention applies - awaiting final account.
7586	Purchase of Computer Equipment - City Learning Centre	4	0	4	0	4	0	RCCO	
8947	Rift House Primary School - Heating Distribution (1 of 2)	12	5	7	0	12	0	GRANT	
8891	Rift House Primary School - Classroom Extension	118	0	118	0	118	0	MIX	Demolition of Annex delayed following Nursery building fire.
8985	Rift House Primary School - Heating Pipework	27	10	17	0	27	0	GRANT	
8906	Rift House Childrens Centre - Window Replacement	31	0	0	31	31	0	GRANT	Scheme on hold.
7177	Rift House - Fire Damage	241	83	158	0	241	0	GRANT	Budget is Insurance payout received , prices to be agreed with Insurance.
NEW	Rift House - Language Lab	28	0	0	28	28	0	RCCO	
8984	Rossmere Primary School - Electrical Rewire	120	79	41	0	120	0	GRANT	Started October 2018 - scheme should be completed February 2019.
8983	Rossmere Primary School - Heating and DHW Boiler Plant Renewal	85	56	29	0	85	0	GRANT	Scheme completed October 2018.
8592	Rossmere Primary School - Replace Lintels	10	5	5	0	10	0	GRANT	
8906	Rossmere Primary School - Window Replacement	36	21	15	0	36	0	GRANT	Scheme finished - final account to be agreed.
8138	Schools General - BSF - ICT	50	0	50	0	50	0	GRANT	
9004	Schools General - Contingency	48	0	48	0	48	0	GRANT	
9004	Schools General - Funding Currently Unallocated	23	0	23	0	23	0	MIX	
9004	Schools General - Suitability RCCO Unallocated	813	0	0	813	813	0	RCCO	
9004	Schools General - Basic Need	2,206	0	90	2,116	2,206	0	GRANT	
7142	Schools General - Fire Safety Modifications	100	2	98	0	100	0	GRANT	
8324	Schools General - BMS Control System	25	25	0	0	25	0	GRANT	
8788	Schools General - Universal Free School Meals	20	0	20	0	20	0	GRANT	
7432	Springwell Primary School - Remodelling SEMH	839	644	195	0	839	0	GRANT	
NEW	Springwell Primary School - SEMH funding	50	0	50	0	50	0	RCCO	
7229	St Helen's Primary School - Toilet Refurbishment	46	35	11	0	46	0	GRANT	Scheme completed 3rd September, final accounts to be agreed.
7380	Throston School - Roofing Area (Phase 1 of 2)	45	45	0	0	45	0	GRANT	Scheme completed August 2018 - final account to be agreed.
7381	Throston School - Window Replacement	25	13	12	0	25	0	GRANT	
NEW	Throston School - Emergency Roof Replacement	32	0	32	0	32	0	GRANT	
8971	Throston Primary School - Convector Fan Renewal	16	0	0	16	16	0	GRANT	Work delayed until April Half Term
7521	Two year old Free Nursery Entitlement Capacity Building	23	0	23	0	23	0	GRANT	
7386	West Park - Early Years Extension	83	83	0	0	83	0	GRANT	Drainage issues - Engineers to be consulted with.
8653	West View Primary School - Early Years Foundation Stage Improvements	10	7	3	0	10	0	RCCO	
7407	West View Primary School - Remodelling KS2 Area	88	79	9	0	88	0	GRANT	Scheme completed on site - final account to be agreed.
Total Children's and Joint Commissioning Services		10,217	5,220	1,697	3,300	10,217	0		

Key			
RCCO	Revenue Contribution towards Capital	GRANT	Grant Funded
MIX	Combination of Funding Types	CAP REC	Capital Receipt
UCPB	Unsupported Corporate Prudential Borrowing	UDPB	Unsupported Departmental Prudential Borrowing
SCE	Supported Capital Expenditure (Revenue)	CORP	Corporate Resources

CAPITAL MONITORING REPORT PERIOD ENDING 30th NOVEMBER 2018

Project Code	Scheme Title	BUDGET	EXPENDITURE IN CURRENT YEAR					Type of Financing	2018/19 COMMENTS
		A	B	C	D	E	F		
		2018/19 Budget £'000	2018/19 Actual as at 30/11/18 £'000	2018/19 Expenditure Remaining £'000	Expenditure Rephased into 2019/20 £'000	(B+C+D) 2018/19 Total Expenditure £'000	(E-A) 2018/19 Variance from Budget £'000		
Finance & Policy Committee									
8306	School Catering Equipment	497	14	36	447	497	0	RCCO	Use of funding depends on whether schools continue with in-house provision of school catering.
	Finance & Policy Committee Sub Total	497	14	36	447	497	0		
Regeneration Committee									
7220	Housing - Private Sector Grants	50	4	16	30	50	0	GRANT	New grants awarded and income recycled from charges on properties in relation to old loan schemes.
7217	Housing - Raby Road CPO	5	5	0	0	5	0	GRANT	Complete.
8799	Housing - Major Repairs	58	12	46	0	58	0	RCCO	Funded from amounts set aside in the Major Repairs Reserve from the Housing Revenue Account (HRA)
8795	Social Housing & Empty Properties Phase 2	717	717	0	0	717	0	MIX	Scheme complete.
7066	Avondene Accommodation, Church Street	460	335	50	75	460	0	GRANT	Purchase and renovation of a block of 12 apartments known as 'Avondene' at 59-64 Church Street, approved using the delegated powers in relation to self funded business cases on 26th July, 2018.
7182	Social Housing & Empty Properties Phase 3	3,073	525	573	1,975	3,073	0	MIX	New scheme in progress, as previously approved.
8605	Housing - North Central Hartlepool Housing Regeneration	558	552	6	0	558	0	CAP REC	Scheme complete, final costs to reconcile.
7530	Developers Contributions (Section 106)	925	149	27	749	925	0	GRANT	Earmarked expenditure as specified in developer agreements. Details are set out in Appendix L
8956	ISQ - Church Square	1,559	1,400	336	0	1,736	177	MIX	Costs have increased and additional funding is being sought from the TVCA. A report recommending approval of the additional funding is being considered by the TVCA Cabinet on 31st January 2019.
8901	ISQ - Workspace	3,308	1,877	1,769	0	3,646	338	MIX	Costs have increased as a result of unforeseen issues arising from being a Grade 2 listed building, which has resulted in additional on site costs and a delayed completion date to March
7043	ISQ Church Street Public Realm	916	916	0	0	916	0	MIX	Scheme complete.
7048	ISQ Stockton Street Connectivity	1,168	49	751	368	1,168	0	MIX	The scheme is expected to start on site late January 2019 and continue into next financial year.
8534	Church Street Heritage Scheme (HLF)	892	31	69	792	892	0	MIX	Discussions underway with property owners to determine grant applications.
7064	ISQ2 - Church Street Property Intervention	505	447	58	0	505	0	MIX	Costs to date relate to the purchase of 15 & 16 Church Street. A report will be brought to F&P early in 2019 to recommend options and refer to Council for approval of the renovation of 15 Church Street. A separate report will be produced later in 2019 in relation to 16 Church Street and the bid for HLF Conservation Deficit funding.
7504	Seaton - Costal Communities Fund (CCF)	386	307	79	0	386	0	MIX	Scheme is now substantially complete and expected to be within budget.
7049	Waterfront Public Realm	1,445	10	200	1,235	1,445	0	RCCO	Contractor to be appointed in February, starting on site in March.
8429	Adult Education - Replace IT	58	58	0	0	58	0	MIX	System upgrade funded from Adult Education Reserves.
	Regeneration Committee Sub Total	16,083	7,394	3,980	5,224	16,598	515		
7272	Wheelie Bin Purchases	106	70	20	16	106	0	UDPB	
7466	DSO Vehicle Purchases	3,305	504	901	1,900	3,305	0	UDPB	Vehicle replacements have been deferred where possible to maximise savings, resulting in a reduction in the current year's procurement programme and rephasing of expenditure until the next financial year.
7878	Community Safety CCTV Upgrade/Relocation	79	26	53	0	79	0	MIX	Scheme expected to complete in 2018/19.
CR	Crematorium Chapel refurbishment	404	1	19	384	404	0	RCCO	Scheme to be revised and business plan will be prepared.
Allot	Allotments Improvements	216	0	51	165	216	0	UDPB	A new programme of works for 2018/19 was approved by the Neighbourhoods Committee in June 2018.
LTP	Local Transport Plan (LTP) Schemes	3,242	1,678	1,085	479	3,242	0	GRANT	A689 Wynyard scheme rephased and Greatham Creek Bridge works in collaboration with Stockton Borough Council, now expected to commence 2019/20.
PLAY	Parks & Playgrounds	150	69	30	51	150	0	GRANT	
7243	Seaton Carew Car Parking Pay & Display	4	4	0	0	4	0	UDPB	Scheme complete.
7900	EA - Hartlepool Marina - North Pier Study	30	0	0	30	30	0	GRANT	
7902	EA - Hartlepool Drainage Schemes	85	25	60	0	85	0	GRANT	
8958	Elwick By-Pass Growing Places	389	69	120	200	389	0	UDPB	A separate report on the Western Growth Corridor was presented to Members 20-Dec-19 detailing progress in relation to this scheme.
8965	TVCA Brenda Road Cycleways TV4	205	143	62	0	205	0	GRANT	Expected completion 2018/19
8954	TVCA-TV7 LGF A689 Cycleway - Ph1	22	22	0	0	22	0	GRANT	
8986	TV55 Cycleways	5	4	0	1	5	0	GRANT	
8591	Coast Protection - Headland Town Wall & Block Sands	1,882	1,882	0	0	1,882	0	MIX	Expected completion 2019/20
8444	Coast Protection - Town Wall Strengthening	46	3	0	43	46	0	GRANT	Scheme complete, however ongoing legal issue in process. When resolved request to Environment Agency to transfer balance to another Environmental Agency scheme of a similar nature.
8578	Coast Protection - South Management Unit Study	28	1	14	13	28	0	GRANT	Ongoing study leading to an options appraisal that will be taken to committee, with anticipated completion in 2019/20.
NIP3	NIP 8997 - Neighbourhood Investment Fund Unallocated	278	1	277	0	278	0	UDPB	The remaining balance on the Neighbourhood Investment Fund to be allocated to schemes following future approval by the members.
7246	NIP - Rossmere Park	400	12	338	50	400	0	GRANT	Phase 1 expected complete March 2018/19 and Phase 2 in 2019/20.
8977	NIP - King Oswy Drive	289	2	118	169	289	0	UDPB	Works expected to commence on site Jan-19 and be completed by Mar-19.
7222	NIP - Seaton Park (Coronation Drive)	68	29	39	0	68	0	UDPB	

CAPITAL MONITORING REPORT PERIOD ENDING 30th NOVEMBER 2018

Project Code	Scheme Title	BUDGET	EXPENDITURE IN CURRENT YEAR					Type of Financing	2018/19 COMMENTS
		A	B	C	D	E	F		
		2018/19 Budget £'000	2018/19 Actual as at 30/11/18 £'000	2018/19 Expenditure Remaining £'000	Expenditure Rephased into 2019/20 £'000	(B+C+D) 2018/19 Total Expenditure £'000	(E-A) 2018/19 Variance from Budget £'000		
NIP1	NIP 8288 Stranton Cemetery Barrier	45	19	0	26	45	0	UDPB	Further works to be designed.
NIP2	NIP 8994 - BIS Paving	105	18	87	0	105	0	UDPB	
NIP4	NIP 8995 - Barrier Works TESCO Roundabout	400	0	0	400	400	0	UDPB	Potential start 2019/20, but could also be later as this is dependant on investigations & results from safety audits
8292	NIP 8292 - Headland	112	27	85	0	112	0	UDPB	
NIP5	NIP 8996 - Roundabouts at Key Gateways	200	0	0	200	200	0	UDPB	Alternatives to the original scheme design are being considered to enhance & provide low maintenance roundabouts for 2019/20.
HIFP	Additional Highway Schemes HIFP	2,530	6	1,425	1,099	2,530	0	Mix	DFT additional £525K to offset. Expected circa £1m schemes funded through prudential borrowing to commence in 2019/20 due to timetabling and proximity to schools.
8220	Rift House Car Park (Masefield Road)	50	0	50	0	50	0	RCCO	Works committed.
7181	Waste Disposal Contract Extension	1,872	1,872	0	0	1,872	0	UDPB	As set out in the MTFS this represents an upfront payment in relation to the waste disposal contract to secure more favourable gate fee rates. This cost is being met from borrowing.
8980	TVCA Bishop Cuthbert Cycleway Access	45	1	9	35	45	0	GRANT	Design to be carried out for TVCA Due Diligence and £35k will be re-phased to 2019/20.
8981	TVCA - Brenda Road A689 Footpath	13	2	6	5	13	0	GRANT	Design to be carried out for TVCA Due Diligence and £5k will be re-phased 2019/20.
8982	TVCA A689 Brenda Road Cycleway	30	1	4	25	30	0	GRANT	Design to be carried out for TVCA Due Diligence and £25k will be re-phased to 2019/20.
Neighbourhoods Committee Sub Total		16,635	6,491	4,853	5,291	16,635	0		
Regeneration & Neighbourhoods Total		33,215	13,899	8,869	10,962	33,730	515		

Key

RCCO

MIX

UCPB

SCE

Revenue Contribution towards Capital

Combination of Funding Types

Unsupported Corporate Prudential Borrowing

Supported Capital Expenditure (Revenue)

GRANT

CAP REC

UDPB

CORP

Grant Funded

Capital Receipt

Unsupported Departmental Prudential Borrowing

Corporate Resources

CAPITAL MONITORING REPORT PERIOD ENDING 30th NOVEMBER 2018

Project Code	Scheme Title	BUDGET	EXPENDITURE IN CURRENT YEAR					Type of Financing	2018/19 COMMENTS
		A	B	C	D	E	F		
		2018/19 Budget £'000	2018/19 Actual as at 30/11/18 £'000	2018/19 Expenditure Remaining £'000	Expenditure Rephased into 2019/20 £'000	(B+C+D) 2018/19 Total Expenditure £'000	(E-A) 2018/19 Variance from Budget £'000		
7260	City Challenge Clawback	9	0	0	9	9	0	RCCO	
7623	Corporate IT Projects	12	0	0	12	12	0	MIX	
8972	Print Room Printer	14	14	0	0	14	0	UCPB	
	Point to Point Encryption	20	0	0	20	20	0	MIX	
	Corporate Projects	89	0	0	89	89	0	MIX	
	Chief Executives Total	144	14	0	130	144	0		

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
CORP Corporate Resources

CAPITAL MONITORING REPORT PERIOD ENDING 30th NOVEMBER 2018

Project Code	Scheme Title	BUDGET	EXPENDITURE IN CURRENT YEAR					Type of Financing	2018/19 COMMENTS
		A	B	C	D	E	F		
		2018/19 Budget £'000	2018/19 Actual as at 30/11/18 £'000	2018/19 Expenditure Remaining £'000	Expenditure Rephased into 2019/20 £'000	(B+C+D) 2018/19 Total Expenditure £'000	(E-A) 2018/19 Variance from Budget £'000		
7029	Borough Hall - Fire Detection, Lighting and Rewire	102	82	20	0	102	0	RCCO	Scheme ongoing.
NEW	Borough Hall - Major Refurbishment Scheme	126	0	0	126	126	0	RCCO	Scheme ongoing.
7033	Borough Hall - External Masonry/Window Mullions	62	0	7	55	62	0	RCCO	Scheme ongoing.
7336	Borough Hall - Upgrade furniture, Fixtures and Fittings	108	48	2	58	108	0	RCCO	Scheme ongoing.
7889	Borough Hall - Renew Roof	358	1	37	320	358	0		Scheme ongoing.
7036	Unallocated Council Capital Fund (CCF)	80	0	0	85	85	5	MIX	Overspend represents underspend on Headland Sports Centre boiler renewal being returned to the unallocated budget.
7041	Corporate Planned Maintenance Unallocated	32	0	0	36	36	4	UCPB	Overspend represents underspend on CETL Fire Alarm Replacement scheme being returned to the unallocated budget.
7053	BEMS Energy Management System	146	108	38	0	146	0	RCCO	Scheme ongoing.
7072	Seaton DDA toilet	37	0	0	37	37	0	UCPB	Scheme on hold.
7178	Millhouse Pool Filter	33	33	0	0	33	0	CAP REC	Scheme complete. Final account to be agreed.
7200	Civic Centre Refurbishment	75	0	0	75	75	0	CAP REC	Scheme rephased to 2019-2020.
7472	Victory Square Improvements	12	5	7	0	12	0	CORP	Scheme complete. Final account to be agreed.
7473	Victory Square War Memorial - Conservation Works	55	0	5	50	55	0	CAP REC	Scheme ongoing.
7503	Carnegie Building Boiler Renewal	41	26	15	0	41	0	CAP REC	Scheme complete. Final account to be agreed.
7503	CETL - Boiler Renewal	43	22	21	0	43	0	CAP REC	Scheme ongoing.
7505	Carnegie Building - -Roof and Internal Works	16	2	14	0	16	0	RCCO	Scheme complete. Final account to be agreed.
7589	CETL Replace Heating Controls	18	6	12	0	18	0	MIX	Scheme ongoing.
7617	Borough Hall - Lighting and Wiring	40	0	20	20	40	0	CAP REC	Scheme ongoing.
7904	Sir William Gray House Internal Refurbishment	19	13	6	0	19	0	CORP	Scheme complete. Final account to be agreed.
8055	Hartlepool Enterprise Centre - Window Replacement	54	53	1	0	54	0	CORP	Scheme complete. Final account to be agreed.
8061	CETL Roof Replacement	58	48	10	0	58	0	RCCO	Scheme complete. Final account to be agreed.
8068	CETL Replace Fire Alarm	10	6	0	0	6	(4)	RCCO	Scheme complete. Final account agreed. Underspend to be returned to CPM unallocated.
8776	Town Hall Theatre - Replace Stage Lighting Controls	8	8	0	0	8	0	CORP	Scheme complete. Final account to be agreed.
8866	Rossmere Youth Centre - Roof/ Window Replacement	150	99	51	0	150	0	CAP REC	Scheme ongoing.
8867	Borough Hall - Structural Works	65	0	6	59	65	0	CORP	Scheme ongoing.
8893	Town Hall Lighting and Distribution Board Replacement	15	0	2	13	15	0	CORP	Scheme ongoing.
8959	Civic Centre - Council Chamber Refurbishment	10	0	10	0	10	0	RCCO	Scheme ongoing.
8970	Hartlepool Historic Quay Capital Works	436	154	232	50	436	0	CORP	Scheme ongoing.
8973	Headland Sports Centre -Boiler Renewal	13	8	0	0	8	(5)	RCCO	Scheme complete. Final account agreed. £5k underspend to be returned to CCF unallocated.
9007	Indoor Bowls Centre Refurbishment	190	0	0	190	190	0	CORP	Scheme on hold.
9024	Asbestos Surveys	2	2	0	0	2	0	CAP REC	Scheme complete.
NEW	Corporate Utilities Project	104	0	0	104	104	0	CORP	
-	Corporate Risk Strategy	393	0	0	393	393	0	RCCO	Earmarked to fund capital receipt risk in relation to the Jackson Landing Report.
7065	Corporate Fire Risk Assessments	100	0	0	100	100	0	MIX	Scheme rephased to 2019-2020.
7233	Civic Centre Lifts	120	0	0	120	120	0	MIX	Scheme rephased to 2019-2020.
7035	Town Hall Replace Boilers	33	0	4	29	33	0	MIX	Scheme ongoing.
7055	Town Hall Fire Alarm Upgrade	38	0	5	33	38	0	MIX	Scheme ongoing.
7058	Town Hall Power Cabling Upgrade	28	0	3	25	28	0	MIX	Scheme ongoing.
7076	Mill House Emergency Lighting Improvements	28	22	6	0	28	0	MIX	Scheme complete. Final account to be agreed.
7075	Mill House Dosing System and Pool Pumps	104	1	8	95	104	0	MIX	Scheme ongoing.
8386	Tofts Farm Depot Lighting Upgrade	66	38	28	0	66	0	MIX	Scheme ongoing.
NEW	Aneurin Bevan House - Boiler plant	77	0	0	77	77	0	CAP REC	Scheme approved F & P 12.02.2018. Works to start 2019-2020.
NEW	Aneurin Bevan House - Lighting Upgrade	22	0	0	22	22	0	CAP REC	Scheme approved F & P 12.02.2018. Works to start 2019-2020.
NEW	Owton Manor Community Centre - Flat coverings and insulation	214	0	0	214	214	0	CAP REC	Scheme approved F & P 12.02.2018. Works to start 2019-2020.
NEW	Mill House - Toilet Upgrade	40	0	0	40	40	0	CAP REC	Scheme approved F & P 12.02.2018. Works to start 2019-2020.

CAPITAL MONITORING REPORT PERIOD ENDING 30th NOVEMBER 2018

Project Code	Scheme Title	BUDGET	EXPENDITURE IN CURRENT YEAR					Type of Financing	2018/19 COMMENTS
		A	B	C	D	E	F		
		2018/19 Budget £'000	2018/19 Actual as at 30/11/18 £'000	2018/19 Expenditure Remaining £'000	Expenditure Rephased into 2019/20 £'000	(B+C+D) 2018/19 Total Expenditure £'000	(E-A) 2018/19 Variance from Budget £'000		
NEW	Mill House - Upgrade Handrails	13	0	0	13	13	0	CAP REC	Scheme approved F & P 12.02.2018. Works to start 2019-2020.
NEW	Mill House - Upgrade Main Access for Accessibility Compliance	39	0	0	39	39	0	CAP REC	Scheme approved F & P 12.02.2018. Works to start 2019-2020.
NEW	Exmoor Grove - Distribution Board Upgrade	11	0	0	11	11	0	CAP REC	Scheme approved F & P 12.02.2018. Works to start 2019-2020.
NEW	Seaton Carew Library - Fire Alarms	9	0	0	9	9	0	CAP REC	Scheme approved F & P 12.02.2018. Works to start 2019-2020.
Corporate Total		3,853	785	570	2,498	3,853	0		

Key

RCCO
MIX
UCPB
SCE

Revenue Contribution towards Capital
Combination of Funding Types
Unsupported Corporate Prudential Borrowing
Supported Capital Expenditure (Revenue)

GRANT
CAP REC
UDPB
CORP

Grant Funded
Capital Receipt
Unsupported Departmental Prudential Borrowing
Corporate Resources

SECTION 106 DEVELOPERS CONTRIBUTIONS as at 31ST DECEMBER 2018
7.1 Appendix L

	Affordable Housing Contributions ****	Cycleway	Bus Stop	Green Infrastructure	Maintenance	Play	Off Site Recreational **	Public Art	Security Monitoring	Sports	Ecological Mitigation	Traffic Calming	Education	Restoration - Security Bond ***	Other Service Areas Total
	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
Balance as at 1st April 2018 (A)	538	10	15	96	35	98	61	5	10	78	66	15	65	100	654
<u>Amounts Received in Year 18/19 (B)</u>															
Gardner House - Karbon Homes	0	0	0	6	0	5	0	0	0	12	0	0	0	0	23
Jesmond Gardens - Lidl	306	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Teesbay	0	80	0	0	0	0	0	0	0	0	0	0	0	0	80
Quarry Farm - Bellway	250	0	0	0	0	0	0	0	0	0	0	0	46	0	46
Manorside Phase 1 - Wynyard	65	0	0	4	0	5	0	0	0	1	0	0	20	0	29
Manorside Phase 2 - Wynyard	58	0	0	3	0	2	0	0	0	4	0	0	32	0	41
Tanfield - Gleeson	85	0	0	0	0	0	0	0	0	0	0	0	0	0	0
North Pentagon - Taylor Wimpey	740	0	0	44	0	0	0	0	0	0	0	0	0	0	44
Caroline Street - Endeavour Housing	0	0	0	0	0	0	0	0	0	0	5	0	0	0	5
Manorside House Phase 2 - Wynyard	0	0	0	3	0	0	0	0	0	2	0	0	0	0	5
Total Income	2,042	90	15	156	35	110	61	5	10	97	71	15	163	100	926
<u>Budgets Allocated to Schemes in 2018/19 (C)</u>															
Transferred to Social Housing Phase 3 Budget ****	(2,042)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Street Lighting CCTV scheme	0	0	0	0	0	0	0	0	(10)	0	0	0	0	0	(10)
Brierton PRU Works	0	0	0	0	0	0	0	0	0	0	0	0	(19)	0	(19)
Quarry Farm - Providing Education Facilities	0	0	0	0	0	0	0	0	0	0	0	0	(92)	0	(92)
Eaglesfield Owton manor	0	0	0	0	0	(16)	0	0	0	0	0	0	0	0	(16)
Former Henry Smith - fencing	0	0	0	0	0	(10)	0	0	0	0	0	0	0	0	(10)
Morrison Hall, Marine Crescent	0	0	0	0	0	(2)	0	0	0	0	0	0	0	0	(2)
Total Expenditure	(2,042)	0	0	0	0	(28)	0	0	(10)	0	0	0	(111)	0	(149)
Balance Remaining (A+B+C) 31st December 2018 *	0	90	15	156	35	82	61	5	0	97	71	15	52	100	777

*Balances relate to amounts received and earmarked for purposes specified in developer agreements, but not yet transferred to a budget/scheme.

** To be used in vicinity of the Britmag site including but not limited to Central Park.

*** Held as security in case of any remedial action required during the operational life of the Wind Turbine.

**** All affordable housing contributions are earmarked for additional HRA houses. A report with proposals for using this funding will be brought to a future F&P Committee.