



Civic Centre
HARTLEPOOL

15 FEBRUARY, 2021

Councillors C Akers-Belcher, S Akers-Belcher, Brewer, Brown, Buchan, Cartwright, Cassidy, Fleming, Hall, Hamilton, Harrison, Howson, Hunter, James, King, Lauderdale, Lindridge, Little, Loynes, Marshall, Moore, Prince, A Richardson, C Richardson, Smith, Stokell, Tennant, Thomas, Ward, Young.

Madam or Sir,

You are hereby summoned to attend the COUNCIL meeting to be held on THURSDAY, 25 FEBRUARY 2021 at 6.00 p.m. in the Civic Centre, Hartlepool to consider the subjects set out in the attached agenda.

PLEASE NOTE: this will be a 'remote meeting', a public link to which will be available on the Hartlepool Borough Council website at least 24hrs before the meeting.

Yours faithfully

D McGuckin
Managing Director

Enc

COUNCIL AGENDA



25 February 2021

at 6.00 pm

PLEASE NOTE: this will be a 'remote meeting', a public link to which will be available on the Hartlepool Borough Council website at least 24 hours

- (1) To receive apologies from absent Members;
- (2) To receive any declarations of interest from Members;
- (3) To deal with any business required by statute to be done before any other business;
- (4) To approve the minutes of the last meeting of the Council held on 28 January 2021 as the correct record;
- (5) To answer questions from Members of the Council on the minutes of the last meeting of Council;
- (6) To deal with any business required by statute to be done;
- (7) To receive any announcements from the Chair, or the Head of Paid Service;
- (8) To dispose of business (if any) remaining from the last meeting and to receive the report of any Committee to which such business was referred for consideration;
 - (1) Referral from Council - Review of Sanctions imposed on Councillor Tony Richardson – Report of Audit and Governance Committee
- (9) To consider reports from the Council's Committees and to receive questions and answers on any of those reports;
 - (1) Periodic Review of the Council's Constitution – Report of Constitution Committee

(10) To consider any other business specified in the summons to the meeting, and to receive questions and answers on any of those items;

(1) Mental Health Champion Update – Mental Health Champion

(11) To consider reports from the Policy Committees:

(a) proposals in relation to the Council's approved budget and policy framework;

(1) Medium Term Financial Strategy – 2021/22 Statutory Budget and Council Tax Determination - – Report of Finance and Policy Committee

(2) Council Plan 2021/22 – 2023/24– Report of Finance and Policy Committee

(b) proposals for departures from the approved budget and policy framework;

(12) To consider motions in the order in which notice has been received;

1. The COVID-19 pandemic has highlighted and emphasised the extent of pre-existing health inequalities in many towns and cities and particularly those in the North of England. Hartlepool has high numbers of residents with disabilities and lifelong conditions which often impact massively on their ability to access services, facilities and many aspects of day-to-day life which many of us take for granted. This can impact on physical and mental wellbeing and subsequently lead to isolation, loneliness and exclusion.

Over many years Hartlepool Borough Council has developed services and facilities aimed at supporting residents with disabilities and lifelong conditions and has invested in state-of-the-art facilities such as the Centre For Independent Living and worked closely with health and community and voluntary sector partners.

However, much still needs to be done if Hartlepool is to become a truly accessible town for all of our residents and visitors to ensure that the voices of residents living with disabilities and lifelong conditions are sought, heard and incorporated into future Council initiatives which may impact on the future physical, economic and social environment of the town.



To this end, the Labour Group calls upon the Council to agree that the Audit and Governance Committee's 2021/22 work programme will include:

A review of Council regeneration & development activity and accessibility to services for those with disabilities and lifelong conditions to ensure that any barriers, physical, procedural or otherwise, which may inhibit access to services and day to day living are identified, so that reasonable adjustments can be made.

Signed: Councillors Thomas, Harrison, Prince, Hamilton, C Richardson and Howson.

- (13) To receive the Managing Director's report and to pass such resolutions thereon as may be deemed necessary;
- (14) To receive questions from and provide answers to the public in relation to matters of which notice has been given under Rule 9;
- (15) To answer questions of Members of the Council under Rule 10;
 - a) Questions to the Chairs about recent decisions of Council Committees and Forums without notice under Council Procedure Rule 10.1
 - b) Questions on notice to the Chair of any Committee or Forum under Council Procedure Rule 10.2
 - c) Questions on notice to the Council representatives on the Police and Crime Panel and Cleveland Fire Authority
 - d) Minutes of the meetings held by the Cleveland Fire Authority held on 16 October 2020 and the Police and Crime Panel held on 17 November 2020



COUNCIL

MINUTES OF PROCEEDINGS

28 January 2021

The meeting commenced at 6.00 pm and was an online remote meeting in compliance with the Council Procedure Rules Relating to the holding of Remote Meetings and the Local Authorities and Police and Crime Panels (Coronavirus) (Flexibility of Local Authority Police and Crime Panel Meetings) (England and Wales) Regulations 2020.

COUNCILLORS:

C Akers-Belcher	S Akers-Belcher	Brewer
Brown	Buchan	Cartwright
Cassidy	Fleming	Hall
Hamilton	Harrison	Howson
Hunter	James	King
Lindridge	Little	Marshall
Moore	Prince	T Richardson
C Richardson	Smith	Stokell
Tennant	Thomas	Ward
Young		

Officers: Denise McGuckin, Managing Director
Chris Little, Director of Resources and Development
Hayley Martin, Chief Solicitor
Amanda Whitaker, Democratic Services Team

The Managing Director advised Elected Members that she had received apologies from both the Chair and Vice-Chair of Council, and in-line with the Constitution under Part 4 section 2.1, Full Council was required to elect a person to preside over the meeting.

It was moved by Councillor Young and seconded by Councillor Moore that Councillor Tennant be elected to preside at the meeting.

In the absence of dissent, Councillor Tennant was elected to preside over the meeting.

COUNCILLOR TENNANT PRESIDING

88. APOLOGIES FOR ABSENT MEMBERS

Chair of Council – Councillor Loynes due to illness

Vice Chair of Council - Cllr Lauderdale due to shielding and not having required technical support at home to Chair the meeting.

89. DECLARATIONS OF INTEREST FROM MEMBERS

None

90. BUSINESS REQUIRED BY STATUTE TO BE DONE BEFORE ANY OTHER BUSINESS

None

91. MINUTES OF PROCEEDINGS

The Minutes of Proceedings of the Council held on the 17 December 2021, having been laid before the Council.

RESOLVED - That the minutes be confirmed.

92. QUESTIONS FROM MEMBERS OF THE COUNCIL ON THE MINUTES OF THE PREVIOUS MEETING OF THE COUNCIL

None

93. BUSINESS REQUIRED BY STATUTE

None

94. ANNOUNCEMENTS

None

95. TO DISPOSE OF BUSINESS (IF ANY) REMAINING FROM THE LAST MEETING AND TO RECEIVE THE REPORT OF ANY COMMITTEE TO WHICH SUCH BUSINESS WAS REFERRED FOR CONSIDERATION.

None

96. TO RECEIVE REPORTS FROM THE COUNCIL'S COMMITTEES

None

97. TO CONSIDER ANY OTHER BUSINESS SPECIFIED IN THE SUMMONS OF THE MEETING

None

98. REPORT FROM THE POLICY COMMITTEES

(a) Proposal in relation to the Council's budget and policy framework

1. Medium Term Financial Strategy (MTFS) 2021/22 and 2022/23 (Report of Finance and Policy Committee)

The Chair of the Finance and Policy Committee presented the report to enable Full Council to consider the recommendations of the Committee in relation to the 2021/22 budget and Council Tax level for Hartlepool Council services. The Committee had considered a comprehensive report in relation to the financial position for 21/22 and forecast for 22/23. It was noted that all members of Policy Committees had been invited to the Committee meeting.

The report to Committee provided details of the impact of different level of Council Tax increase and Social Care precept on the budget position for 2021/22 and the Director of Resources and Development's statutory robustness advice. The report also set out the impact of these options on the 22/23 budget and the Budget Support Fund available in 22/23. In view of the continuing financial impact of Covid on many residents a freeze in the core Council Tax and Adult Social Care precept had been recommended by the Committee for 21/22. The Finance and Policy Committee recognised this deferred a budget deficit to 2022/23.

Prior to the meeting of Full Council, the updated recommendations approved by the Committee for referral to Full Council, had been circulated as an additional separate document.

It was moved by Councillor Moore and seconded by Councillor Young:-

"That the updated recommendations of the Finance and Policy Committee be approved by Full Council"

During an extensive debate, grave concerns were expressed regarding the implications of the Committee's proposal for the most disadvantaged and vulnerable residents in the town.

It was moved by Councillor Stephen Akers-Belcher and seconded by Councillor Harrison:-

"That full support is given to Local Council Tax Support Scheme recipients the same as this financial year"

A number of elected members spoke in support of the amendment.

The Managing Director highlighted that the amendment was different to that which had been recommended by the Finance and Policy Committee and the meeting should, therefore, be adjourned to allow robust advice to be sought and provided by the Director of Resources and Development .

The meeting was adjourned for 10 minutes.

Upon reconvening the meeting, the Director of Resources and Development/Section 151 Officer referred Council to the robustness advice detailed in section 11 of the report which reflected the impact of Government's national Council Tax referendum and Adult Social Care precept. The Director referred elected members to the report which detailed the three options costed. The Director highlighted that the additional option proposed by the elected member had not been costed in the report. Members were advised that this would cost £1.680m, which would exceed the funding available by £384,000 and leave no resources for 2022/23. It was also highlighted by the Director that the additional proposals would reduce the uncommitted Budget Support Fund from £4.416m, based on the Finance and Policy Committee recommendations to £3.047m with this proposals. The Director reiterated his robustness advice that the lower level of Budget Support Fund significantly increased risks in the context of the 2022/23 forecast deficit of £5.639m, which included the deferred from 2021/22 of £4.047m.

Following the advice to Full Council, the Director responded to further requests for clarification from elected members.

The Chair of Finance and Policy Committee expressed his disappointment and concerns regarding the financial implications of the proposal which had been put forward.

It was moved by Councillor Moore and seconded by Councillor Young:-

“That the vote be put”.

Further clarification was sought from the Director of Resources and Development.

An elected member referred to legal requirements for the report to include an equality impact assessment including the impact on family poverty. Following a request for legal advice, the meeting was adjourned.

Upon the meeting being reconvened, the Chief Solicitor advised that the proposal before Members does not impact on any protected characteristics of individuals as the budget decision will apply across all eligible Local Council Tax Support Scheme households.

In accordance with Rule 8 of the Council's Procedure Rules relating to the Holding of Remote Meetings, a recorded vote was taken on the amendment moved by Councillor S Akers-Belcher:-

Those for

Councillors C Akers-Belcher, S Akers-Belcher, Hall, Hamilton, Harrison, Howson, James, King, Marshall, Prince, C Richardson and Thomas.

Those against

Councillors Brewer, Brown, Buchan, Cartwright, Cassidy, Fleming, Hunter, Lindridge, Little, Moore, Smith, Stokell, Tennant, Ward and Young.

Those abstaining

Councillor T Richardson.

It was announced that the vote was lost.

In accordance with Rule 8 of the Council's Procedure Rules relating to the Holding of Remote Meetings, a recorded vote was taken on the updated recommendations of the Finance and Policy Committee:-

Those for

Councillors Brewer, Brown, Buchan, Cartwright, Cassidy, Fleming, Hall, Hunter, Lindridge, Little, Moore, Smith, Stokell, Tennant, Ward and Young

Those against

Councillors C Akers-Belcher, S Akers-Belcher, Hamilton, Harrison, Howson, James, King, Marshall, Prince, C Richardson and Thomas

Those abstaining

Councillor T Richardson

It was announced that the vote was carried.

Concerns were expressed that the legal advice which had been provided to elected members was not acceptable and the correct budget process had not been carried out resulting in the budget not being a legal budget. It was moved by Councillor S Akers-Belcher and seconded by Councillor C Akers-Belcher:-

“That Counsel's opinion be sought on whether the budget was in compliance with the public sector equality duty and that Council refer itself to Government “

Further clarification was provided by the Managing Director.

Councillor S Akers-Belcher withdrew motion seeking Counsel's advice.

(b) Proposal for Departure from the Budget and Policy Framework

(1) Funding Agreement – Brownfield Housing Fund Lynn and Whitby Street

– Report of Finance and Policy Committee

The Chair of Finance and Policy Committee presented the report which updated Full Council on the submission of the Lynn Street development scheme to the Brownfield Housing Fund (BHF) awarded to and administered by the Tees Valley Combined Authority. Elected members were recommended to approve the proposed scheme, business case and prudential borrowing, as presented to Finance and Policy Committee 25th January 2021.

Elected Members were advised that a self-funded business case had been prepared for this scheme, the details of which are contained in the Committee's report which had been circulated and which showed that 43% will be funded from grant and 57% funded from prudential borrowing. Interest and loan repayment costs would be fully funded from Housing Revenue Account Rents and would not impact on the Council's General Fund.

The following recommendation of the Committee was moved by Councillor Moore and seconded by Councillor Brewer:-

"To approve the Housing Revenue Account Prudential Borrowing of up to £4.744m to facilitate development of 47 affordable homes at Lynn Street, Hartlepool".

The recommendation of the Committee was approved, with no dissent.

In accordance with the Council Procedure Rules relating to the Holding of Remote Meetings, Full Council agreed to extend the meeting beyond 8.00 pm, to be reviewed after a period of 30 minutes.

99. MOTIONS ON NOTICE

The following Motions had been received on notice:-

1. "Aim: To maintain the income of low and middle income families. This council notes:
 - Next April the Government plan to cut the benefit level for millions of claimants by ending of the time limited increase to the basic rate of Universal Credit (and the tax credit equivalent) announced by the Chancellor on 20th March as part of his pandemic response package.
 - The £20 a week boost reflected the reality that the level of benefits were not adequate to protect the swiftly increasing number of households relying on them as the crisis hit. Exactly because that increase was a very significant and welcome move to bolster low- and middle-income families living standards, its removal will be a huge loss.
 - Pressing ahead would see the level of unemployment support fall to its lowest real-terms level since 1990-91, and it's lowest ever relative to average earnings. Indeed, the basic level

of out-of-work support prior to the March boost was – at £73 a week (£3,800 a year) – less than half the absolute poverty line.

- The increase in benefits have had a positive effect on the lives of thousands of local claimants who are better able to pay for life's essentials such as food, clothing and utilities.
- The local economy has also benefited from the increase in benefit levels as claimants spend their money locally thereby supporting local businesses and jobs.

This council resolves to:

- Write to the Chancellor, Rushi Sunak and to the Prime Minister, Boris Johnson demanding that the £20 increase to Universal Credit is made permanent and extended to claimants on legacy benefits.
- Work with other local government organisations to form a coalition to pressure the government to make the £20 increase to Universal Credit permanent.”

Signed: Councillors Prince, Harrison, Howson, Thomas, C Richardson and Hamilton.

The Motion was moved by Councillor Prince and seconded by Councillor Harrison who advised Full Council of the rationale and background to the Motion.

In accordance with Rule 8 of the Council's Procedure Rules relating to the Holding of Remote Meetings, a recorded vote was taken on the Motion:-

Those for

Councillors C Akers-Belcher, S Akers-Belcher, Brewer, Brown, Buchan, Cartwright, Cassidy, Fleming, Hall, Hamilton, Harrison, Howson, Hunter James, King, Lindridge, Little, Marshall, Moore, Prince, T Richardson, C Richardson, Smith, Stokell, Tennant, Thomas, Ward and Young.

Those against

None

Those abstaining

None

It was announced that the vote was carried, unanimously.

2. “Anti-Social Behaviour through noise nuisances cause stress and anxiety for many of our residents right across the town.

As technology moves on and new products become available to the market we believe that this council should consider the potential use of apps for residents to log noise complaints so that it makes the

process as easy as possible for them and for our officers who are investigating complaints.

We therefore request that the Neighbourhood Services Committee consider a number of new options to assist in this process and look to trial one of them for a period of at least one year before evaluating the impact.”

Signed: Councillors Tony Richardson, Moore, Cartwright,
C Akers-Belcher, Cassidy and Little.

The Motion was moved by Councillor James and seconded by Councillor Little.

The lead Councillor, Councillor T Richardson, spoke in favour of the Motion and explained the background and rationale for the Motion.

A number of elected members spoke in support of the Motion being considered by the Neighbourhood Services Committee.

An addendum was moved by Councillor Harrison:-

“That the Neighbourhood Services Committee also consider the return of the 24hr noise monitoring service.”

The mover of the Motion accepted the addendum.

The Motion was agreed, with no dissent.

MANAGING DIRECTOR’S REPORT

100. COVID UPDATE

The Managing Director presented a comprehensive update on the national lockdown and the local position in terms of the prevalence of covid-19 cases. It was noted that earlier in the month Hartlepool had the highest rate of positive Covid cases per 100,000 population in the North East, a positivity rate of more than 24% and around 65% of cases tested had been identified as that of the new variant. North Tees & Hartlepool Hospital were under pressure with the highest proportion of positive Covid inpatients in the North. It was highlighted that it was more important than ever that the guidance in respect of leaving home was followed.

The report set out implications for Children’s Services and Education, Adult Services, Care Home provision, Vaccinations, Hartlepool Support Hub, community Testing and Changes to Council Services. Elected Members joined the Managing Director in placing on record their sincere appreciation to the large number of staff who had to continue to work since March, without a break.

Following presentation of the report, elected members complimented the excellent report and the Managing Director responded to issues highlighted by elected members.

RESOLVED – That the update be noted.

Further to the decision earlier in the meeting regarding the duration of the meeting, Full Council was requested to consider approving the extension of the meeting for a further period of 30 minutes.

As there was dissent to the proposed extension and in accordance with Rule 8 of the Council Procedure Rules relating to the holding of remote meetings, a recorded vote was taken on not extending the duration of the meeting:-

Those for

Councillors Brown and Hall

Those against

Councillors C Akers-Belcher, S Akers-Belcher, Brewer, Buchan, Cartwright, Cassidy, Fleming, Hamilton, Harrison, Howson, Hunter, James, King, Lindridge, Little, Marshall, Moore, Prince, T Richardson, C Richardson, Smith, Stokell, Thomas and Ward.

Those abstaining

Councillor Tennant.

It was announced that the vote was lost and the meeting was extended for a further period of 30 minutes.

101. POLITICAL PROPORTIONALITY REVIEW

The Managing Director reported that Section 15 of the Local Government and Housing Act 19889 required that a proportionality review is undertaken as soon as practicable after there is delivered to the proper officer a notice under [regulation 8](#) (notice by a political group stating the constitution of the political group). Notices had been received from the Labour Group and the newly formed Hartlepool People Group so a review was necessary and has now been undertaken.

The results of the review have been circulated to all group leaders and independent councillors and groups who were entitled to allocate seats had done so. Full Council was requested to confirm the Group appointments set out in the report:

The report also set out seats currently occupied by Cllrs Lindridge, Hunter and/or Brown by virtue of their previous membership of the Labour Group. The Councillors had expressed a wish to continue in their role subject to challenge from any other member. The report noted that the one seat was contested; Councillor Lindridge is currently a member of Children's Services Committee but Councillor King had put herself forward to challenge for this seat.

Following presentation of the report, Councillor Tony Richardson expressed an interest in contesting a number of the nominations made for available committee seats. It was, however, noted that an investigation undertaken by the Audit and Governance Committee, on the 22nd January 2020, in relation to a potential breach of the Member code of conduct had resulted in a number of recommended sanctions against Councillor Tony Richardson. One of which specifically prohibited his appointment as a member of any committee for the remainder of his current term of office.

Councillor Tony Richardson referred to his commitment to attending meetings and the training he had undertaken.

It was moved by Councillor T Richardson and seconded by Councillor Cartwright:-

“That the Audit and Governance Committee be requested to review the imposed sanctions to determine if they should be lifted.”

The Motion was agreed, with no dissent.

It was moved by Councillor James and seconded by Councillor Cartwright:-

“That consideration of the outcome of the Proportionality Review be deferred to the next meeting of Full Council so that Audit and Governance Committee can review the sanctions applied to Cllr T Richardson.”

In accordance with Rule 8 of the Council’s Procedure Rules relating to the Hearing of Remote Meetings, a recorded vote was taken:-

Those for:-

Councillors C Akers-Belcher, S Akers-Belcher, Brewer, Brown, Buchan, Cartwright, Cassidy, Fleming, Hall, Hamilton, Hunter, James, King, Lindridge, Little, Marshall, Moore, T Richardson, Smith, Stokell, Tennant and Ward.

Those against:-

Councillors Harrison, Howson, Prince, C Richardson and Thomas

Those abstaining:-

None

It was announced that the vote was carried.

102. PUBLIC QUESTION

None

103. QUESTIONS FROM MEMBERS OF THE COUNCIL

- a) Questions to the Chairs about recent decisions of Council Committees and Forums without notice under Council Procedure Rule 12.1

None

- b) Questions on notice to the Chair of any Committee or Forum under Council Procedure Rule 12.2

None

- c) Questions on notice to the Council representatives on the Police and Crime Panel and Cleveland Fire Authority

None

- d) Minutes of the meetings held by the Cleveland Fire Authority and the Police and Crime Panel

None

The meeting concluded at 8.50 p.m.

CEREMONIAL MAYOR

COUNCIL

25th February 2021



Report of: Audit and Governance Committee

Subject: REFERRAL FROM COUNCIL – REVIEW OF
SANCTIONS IMPOSED ON COUNCILLOR TONY
RICHARDSON

1. PURPOSE OF REPORT

- 1.1 To enable Full Council to consider the outcome of the review of the sanctions currently imposed on Councillor Tony Richardson carried out by the Audit and Governance Committee following a referral from Full Council on the 28 January 2021.

2. BACKGROUND

- 2.1 Council on the 28 January 2021 received a report in relation to a review of political proportionality and was asked to confirm a number of amended group appointments.
- 2.2 During the course of discussions, Councillor Tony Richardson expressed an interest in contesting a number of the nominations made for available committee seats. It was, however, noted that an investigation undertaken by the Audit and Governance Committee, on the 22 January 2020, in relation to a potential breach of the Member code of conduct had resulted in a number of recommended sanctions against Councillor Tony Richardson. One of which specifically prohibits his appointment as a member of any committee for the remainder of his current term of office.
- 2.3 The recommendations of the Audit and Governance Committee were considered by Council on the 10 June 2020 (minute no 13) and the imposition of the following sanctions approved:
- That Full Council notes the findings and recommendations arising from the hearing that took place on 22 January 2020;
 - That Full Council terminates the membership of Councillor Tony Richardson on the Licensing, Planning and Local Joint Consultative Committees, in accordance with the expressed wishes of the Coalition;
 - That Full Council resolves that Councillor Tony Richardson shall not be appointed as a member of any committee for the remainder of his current term of office and shall discourage any member from designating him as a substitute for them on any other committee;

- That Full Council resolves that Councillor Tony Richardson consider resigning as an elected member of Hartlepool Borough Council.
- That Full Council considers censure of Councillor Tony Richardson and issues the following formal statement:

“Hartlepool Borough Council is pleased to note that Councillor Tony Richardson accepts that he has breached the Council’s Code of Conduct and that he has apologised for doing so. The promotion of racist and offensive material in any forum falls well below the standards expected of those in public life and such actions cannot and will not be tolerated. The actions of Councillor Tony Richardson are not representative of this Authority or the communities that it represents and as such the Authority has worked to impose the most appropriate and stringent sanctions that are available in law.”

- 2.4 In light of Councillor Tony Richardson’s request to contest a number of the nominations made for available committee seats, and his commitment to attend meetings and training, Council requested that the Audit and Governance Committee review the imposed sanctions to determine if they should be lifted.

3. AUDIT AND GOVERNANCE COMMITTEE RESPONSE TO COUNCIL REFERRAL

- 3.1 The Audit and Governance Committee received the referral from Council (as outlined in Section 2 above) at its meeting on the 11 February 2021 and during the course of discussions considered expressions of support for both the removal and retention of the imposed sanctions. Issues considered included:

- The short period remaining before the start of purdah; and
- Training completed by Councillor Tony Richardson.

- 3.2 Upon conclusion of its discussions, the Committee agreed (with a recorded vote of 3 - for, 2 - against and 1 - abstention) that the sanctions should remain in place for the remainder of Councillor Tony Richardson’s current term of office (as originally agreed).

4. RECOMMENDATIONS

- 4.1 That Full Council receives the Audit and Governance Committee’s recommendation that existing sanctions remain in place for the remainder of Councillor Tony Richardson’s current term of office (as originally agreed).

CONTACT OFFICER:-

Joan Stevens – Statutory Scrutiny Manager
Chief Executive’s Department – Legal Services
Hartlepool Borough Council
Tel: 01429 284142
Email: joan.stevens@hartlepool.gov.uk

BACKGROUND PAPERS

The following background papers were used in the preparation of this report:

- Council:
 - Political Proportionality Review – 28 January 2021
 - Findings of the Committee following the Hearing of a Standards Complaint against Councillor Tony Richardson - Report of Audit and Governance Committee – 20 February 2020
 - Consideration Of Sanctions Against Councillor Tony Richardson – Report Of The Audit And Governance Committee – 10 June 2020
- Audit and Governance Committee:
 - Consideration of Investigation Report – SC01/2020 (NFP) – 20 January 2020
 - Referral from council – Review of Sanctions Imposed on Councillor Tony Richardson – 11 February 2021

COUNCIL

25 February 2021



Report of: CONSTITUTION COMMITTEE

Subject: PERIODIC REVIEW OF THE COUNCIL'S CONSTITUTION

1. PURPOSE OF REPORT

- 1.1 To seek approval from Full Council regarding proposed changes to the Constitution.

2. BACKGROUND

- 2.1 The Council's Constitution at Article 14 ("Review and Revision of the Constitution") requires that the Monitoring Officer "will monitor and review the operation of the Constitution to ensure that the aims and principles of the Constitution are given full effect." At the Extraordinary meeting of Full Council held on 10 June, 2020, Elected Members approved a senior management restructure following consideration of a revised structure by the Finance and Policy Committee. The effect of the restructure means that a number of service areas have been moved to different departments.
- 2.2 It was noted by Full Council that the Chief Solicitor would make the necessary changes to the constitution to reflect the changes in the structure and to align the functions and service areas with the respective committees.
- 2.3 The alignment of functions and service areas involves comprehensive changes to Part 3 of the Constitution – Responsibility for Functions. During the review of Part 3, in conjunction with consideration of the new senior management structure, it has been noted that some services are cross cutting and it was considered appropriate to submit a report to this Committee to recommend to Full Council which committee those functions report to.

3. PROPOSALS

- 3.1 On the 11 January 2021, the Chief Solicitor presented a report to a Member Seminar (attached at Appendix 1). Members were content with the proposals set out in the report and agreed to the transfer of the functions as listed in section 4 with the exception of economic growth and regeneration. Members commented that they felt that it was important to keep a committee for

‘Regeneration’ to ensure inward investment and economic growth sit outside of Finance and Policy Committee (other than financial decisions) to avoid conflict and ensure good scrutiny and challenge. Members accepted that historically functions would follow the Director to the relevant committee but believed we should be working in partnership and felt diluting the Committee’s further was not appropriate.

3.2 Following comments at the Member Seminar the proposals are as follows:

Finance and Policy Committee

Capital Programme Delivery and Monitoring
Estates and Asset Management
Housing Revenue Account
Business growth & Engagement

Neighbourhood Services Committee

Highways and Engineering Environmental Services
Building Design and Construction
Planning and Developmental Control.
Building Control
Housing Growth Strategy
Community Safety and Enforcement
Public Protection
Emergency and Local Resilience Planning and Business Continuity

Adult and Community Based Services Committee

Culture and Events
Learning and Skills
Conservation, Parks and Countryside functions (including allotments)

Regeneration Services Committee – In view of the proposed changes to the functions of this Committee, Full Council is requested to consider changing the title of this Committee to Economic Growth and Regeneration Committee.

Regeneration
Inward Investment and Economic Growth

The above functions are in addition to those currently set out in Part 3 of the Constitution. Other functions will remain as set out currently in Part 3 of the Constitution.

4. RECOMMENDATION

4.1 That Full Council approves the proposals set out above.

- 4.2 That Council delegates to the Monitoring Officer the authority to make such amendments and consequential changes to the Council's Constitution to fully incorporate the changes.

5. REASONS FOR RECOMMENDATIONS

- 5.1 To ensure the Constitution is up to date and reflects the new senior management structure.

6. BACKGROUND PAPERS

- 6.1 Member Seminar Report – 11 January 2021 (Appendix 1)

7. CONTACT OFFICER

- 7.1 Hayley Martin | Chief Solicitor
Hartlepool Borough Council
Tel: (01429) 523002
Email: Hayley.martin@hartlepool.gov.uk

MEMBER SEMINAR

11 January 2021



Report of: Chief Solicitor

Subject: REALIGNMENT OF FUNCTIONS REPORTING TO
POLICY COMMITTEE'S

1. TYPE OF DECISION/APPLICABLE CATEGORY

For information only.

2. PURPOSE OF REPORT

- 2.1 To seek the views of Elected Members in relation to proposed changes to the functions/service areas which report to individual policy committee to be fed through to the Constitution Committee.

3. BACKGROUND

- 3.1 On the 10 June 2020, Council agreed to the implementation of a new senior management structure following consideration of a revised structure at Finance and Policy Committee. The effect of the restructure means that a number of service areas have been moved to different departments.
- 3.2 Traditionally where a function/service area reports to a particular Director, that function would report to their respective committee.

4. PROPOSALS AND RECOMMENDATIONS

- 4.1 The services areas which have been moved and now report to a different Director are:

Director of Resources and Development (Chris Little)

Capital Programme Delivery and Monitoring
Regeneration

Estates and Asset Management
Housing Revenue Account
Business growth & Engagement and Inward investment.

The above functions previously reported to Regeneration services Committee however given the move to the Director of Resources and Development (and Assistant Director, Development & Growth – Bev Bearne) it is proposed that they move to Finance and Policy Committee.

Members views are sought?

The new position of Director of Neighbourhoods and Regulatory Services (Tony Hanson) now has the following new Assistant Directors reporting to him:

Assistant Director regulatory Services (Sylvia Pinkney)

Highways and Engineering Environmental Services
Building Design and Construction
Planning and Developmental Control.
Building Control
Housing Growth Strategy

It is proposed that the above functions report to Neighbourhood Services Committee.

Assistant Director – Place Management (Kieran Bostock)

Community Safety and Enforcement
Public Protection
Emergency and Local Resilience Planning and Business Continuity

It is proposed that the above functions report to Neighbourhood Services Committee.

Members views are sought?

Director of Adult and Community Based Services (Jill Harrison)

The Assistant Director (Preventative and Community Based Services) (Gemma Ptak) will manage the following:

Culture and Events
Learning and Skills
Conservation, Parks and Countryside functions (including allotments)

It is proposed that the above functions report to the Adult Services Committee. They previously reported to Regeneration Services Committee.

The Councils committee structure has always complemented that of the senior management structure, however this recent restructure has resulted in what potentially could see there being no requirement for the current Regeneration Services Committee and the remit of the remaining four Policy Committees being too large.

Members views are sought?

8. CONTACT OFFICERS

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Mental Health: A Developing Picture

Dec 2020



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Foreword by Councillor Stephen Thomas

Emerging evidence confirms that the coronavirus pandemic is widening inequalities in the UK's most deprived communities. People living in the poorest neighbourhoods are not only at higher risk of dying from Covid-19 but are also experiencing higher rates of unemployment and mental ill health.

It is unfortunate that both the health and economic impacts of this pandemic have fallen most heavily on the people who were already struggling to stay afloat, and the local economies which were already lagging behind.

As we rebuild after Covid, we need to ensure investment is not limited to rebalancing regional economies and renewing town centre infrastructure. We also need to invest in supporting the development of a strong and sustainable social infrastructure at a neighbourhood level, ensuring no communities are left behind.

Coming out of this emergency, as we shall, people will need hope and opportunity more than ever. Now is the time to ensure system leaders and commissioners invest in joined up cohesive plans to tackling mental health inequality for the future.

As the Hartlepool Mental Health Champion I extend my thanks and dedicate this report to all those Voluntary & Community Sector organisations who continue to support those most in need and contribute enormously to the health and social care economy.

Finally it was with such sad news that we learned that a dear friend, colleague and local ambassador for Mental Health was taken by the virus in the spring of 2020. We would like to give thanks to Ruby Marshall for her dedication as a Social Worker and later as a HealthWatch volunteer, it would be my honour to dedicate this report in her name.

SIGNED



Mental Health Champion

National Context

This report aims to provide a brief overview, following discussions with the local community, providers and people with lived experience, on the impact Covid-19 has had on services delivering care and support to people with a mental health need in Hartlepool. Different groups of people in the UK are experiencing the coronavirus (COVID-19) pandemic and the lockdown very differently. One area of concern is the impact of the pandemic on mental health and how this is affecting some groups much more than others.

Good mental health is an asset and is also linked to good physical health – both of which support positive social and economic outcomes for individuals and society. Mental health disorders account for almost a quarter of the total burden of ill health in the UK. Poor mental health is strongly associated with social and economic circumstances, including living in poverty, low-quality work, unemployment and poor housing. There is also a well-documented burden of mental health disorders following disasters, including evidence from previous viral outbreaks. This suggests that COVID-19, and the response to the pandemic, could have a significant impact on the nation's mental health through increased exposure to stressors. Exacerbating this, there has been a loss of coping mechanisms for many.

(Source: The Mental Health Foundation - Emerging evidence on COVID-19's impact on mental health and health inequalities.)

How is mental health being affected by the pandemic?

More than two-thirds of adults in the UK (69%) report feeling somewhat or very worried about the effect COVID-19 is having on their life. The most common issues affecting wellbeing are worry about the future (63%), feeling stressed or anxious (56%) and feeling bored (49%). The Institute of Fiscal Studies (IFS) analysis of longitudinal data found that, taking account of pre-pandemic trajectories, mental health has worsened substantially (by 8.1% on average) as a result of the pandemic. All groups have not been equally impacted; young adults and women – groups with worse mental health pre-pandemic – have been hit hardest.

The University College London, COVID-19 social study of 90,000 UK adults has monitored mental health symptoms throughout lockdown, finding levels of anxiety and depression fell in early June as lockdown measures began to lift but remained highest among young people, those with lower household income, people with a diagnosed mental illness, people living with children, and people living in urban areas.

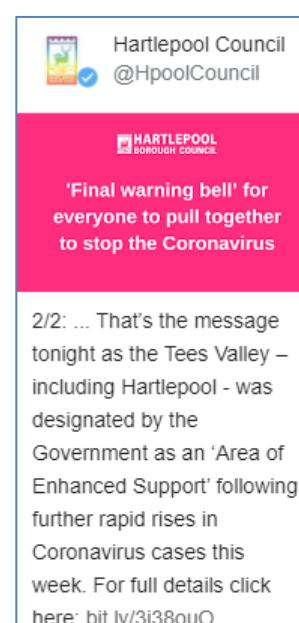
A Hartlepool Focus



In autumn 2019 residents, employees and partners told us what they thought was going well in Hartlepool and what they loved about the town. They also identified what they felt needed to improve and how the Council, residents and partners could work together to make a difference. Building on what had already been achieved, what the statistics were telling us and by listening to what residents, employees and partners have told us the Council developed its strategic priorities for the next three years. The Council Plan sets out our priorities for the town and how the Council will work to deliver on those priorities. Priorities relating to social care have been extracted to reflect the core key priorities.

Key Priorities for Social Care

- Developing a healthy Hartlepool by working with our communities to improve the health and wellbeing of our people.
- Building better beginnings and better futures for our children and young people.
- Improving outcomes for adults with health and social care needs and their carers.



Response to the Pandemic: Children and Young People

A COVID steering group was set up with key partners aimed at addressing the concerns associated with the pandemic.

Tees Esk & Wear Valley NHS FT (TEWV) Child and Adolescent Mental Health Services and Hartlepool Borough Council (HBC) Educational Psychologists shared information with schools and key providers. There was a programme of focused work into schools linked to addressing anxieties and the feedback from this work has been very positive.

The Tees Valley CCG worked on an offer to schools for groups of children who are anxious, in addition the inclusion of focus groups for Children and Young People was developed.

A subsequent mental health trailblazer bid was successful and has supported 2 wards within the Town (South & Central, Stranton). A further bid was submitted for the whole town but was awarded to those with the most pressing need. Alliance Psychology will deliver the service with plans to extend implementation across the Tees Valley.

There was a noted escalation of self-harm during lockdown and 1 suicide. Whilst suicide is always a tragedy and should be avoided at all costs, agencies responded well to provide support and aftercare to the extended family and school.

A Public Health England survey reported that two-thirds of parents say their children's behaviour has changed since the start of the pandemic (69%) and when asked their top 3 worries around COVID-19, over half (52%) said the mental wellbeing of their children topped the list of their biggest worries.

As we adapt to a new normal many parents and carers anticipate their children will experience new stresses. This includes facing the challenges of catching up with missed education, starting new schools or colleges and building relationships with friends again.

Nearly a quarter of parents surveyed said that not knowing what action to take has prevented them supporting their children's mental wellbeing (22%), and more than a third (38%) want more advice on how to support their mental wellbeing when returning to school.

(Survey conducted by YouGov on behalf of PHE. Total sample size was 2,559 parents in England who have children aged 5 to 18. Fieldwork was carried out online from 4 to 11 August 2020)

Response to the Pandemic: Adults and Older People

The Preventative Mental Health Team (HBC) and representatives from Adult Secondary Mental Health services within Tees Esk and Wear Valley NHS Foundation trust completed a review of activity between March 2020 and August 2020. The purpose of the review was to ascertain how the pandemic and subsequent lockdown had impacted on the local infrastructure and to look at how services were responding to the needs of adults who had presented with a mental health concern.

Additional resources were provided to the Preventative Mental Health Team in anticipation of the increased workload as predictions prior to lockdown had estimated a potential 40% increase in activity linked primarily to increased anxieties and increased demands placed on systems as a result of social isolation and the temporary loss of social networks. The information presented by health colleagues suggested that they responded quickly and at short notice to ensure face to face contact was offered. However some people were reported to be declining the offer and 'bunkering down'.

Carers reported concerns having lost contact with informal support and networks and were struggling with routines and isolation. Respite options were limited but contact over a phone or digital platform had helped to stay in touch with family and friends. Both TEWV and HBC mental health teams reported an initial decline in referrals and contacts into the team, the understanding being that some people's short term anxiety was reduced as they were not dealing with everyday problems, with the state stepping in to support, whether by food, medicines, or financial aid.

Referrals returned back to pre-covid levels however a steady increase of people requiring hospital admissions for assessment and treatment under the mental health act was noted. Staff reported that people were presenting as 'more poorly' and there was an increase of people having a first presentation (new to health and /or social care).

The most significant rise in referrals was from Cleveland Police, through Police Protection Notifications and welfare requests. A review of 300 referrals between 01/03/2020 and 30/04/2020 which identified mental health as the primary concern resulted in only 5 referrals requiring a formal Care Act assessment, with the majority of referrals linked to housing or financial anxieties and concerns.

Mental Health impact of COVID 19 across life course.

	Pre- Term	0-5 Years	School Years	Working Age Adults	Old Age
Key Issues	Anxiety about Covid on baby	Coping in significant changes to routine	School progress and exams	Balancing work and Home	Isolation and disruption of routine
	Financial worries	Isolation from Friends	Boredom	Being out of Work	Anxiety from being dependent on others
	Anxiety about delivery of baby and access to services	Impact of parental stress and coping on child	Anxiety or Depression and other mental health problems	Carer Stress	Financial Worries
	Isolation		Isolation from friends	Anxiety about measures and impact on families, dependents or children	Fear about impact of COVID if affected
			Impact of parental stress	Financial Worries	Carer Stress
			Carer stress	Isolation	

Staff / Volunteers – reporting stress as a result of a culmination of changes, including working from home, dealing with traumatic incidents, isolation from peers.

Loss – loss of loved ones dying, grieving disrupted, physical distance, funeral rites and social distancing.

Specific Issues – delayed diagnosis and treatment of other conditions, suicide self harm, members of faith groups feeling disconnected, Domestic abuse across the life course. Drug and Alcohol issues, people reliant on food banks, low incomes and self-employed with no work, people with disabilities further exacerbated by social isolation, Student population, exam anxiety, isolation and locked in away from home

Vision for Mental Health

Prior to the pandemic the Department of Health set its vision and forward view for mental health. The NHS Long Term Plan (LTP) makes a renewed commitment that mental health services will grow faster than the overall NHS budget with a ring-fenced investment worth at least £2.3 billion a year for mental health services by 2023/24.

Children and young people's mental health services will grow faster than both overall NHS funding and total mental health spending. By 2020/21, all Five Year Forward View for Mental Health (FYFVMH) ambitions will be met, forming the basis of further growth and transformation

Systems will be expected to work in partnership with mental health providers to develop these plans and it is expected that local health systems will work jointly to develop and confirm CCG Mental Health Investment Plans across the 5 years including with a lead mental health provider, in line with the planning requirement for 2019/20.

All mental health elements of plans will be developed and will be reviewed using the following common principles:

- Engagement and co-production with local communities
- Genuine partnership with local public, VCSE and private sector organisations.
- Mental health plans embedded in the STP/ICS with a nominated lead mental health provider and Senior Responsible Officer
- Outcome-focused, data-driven strategic commissioning which demonstrates an understanding of local health inequalities and their impact on service delivery and transformation.
- Clear alignment with wider relevant Long Term Plan work-streams such as Ageing Well, maternity, primary care transformation, children and young people, personalised care and learning disabilities

Monitoring Progress/Success

The North East Sustainability & Transformation Partnership (STP) has submitted an ambitious plan to invest in a refocused Community Mental Health offer.

Hartlepool Mental Health Forum representatives were consulted on the vision for 'Right Care, Right Place' during 2019/20 and continue to monitor the development and progress in addition to supporting the local joint mental health plan for Hartlepool (2019-2021).

The Hartlepool plan will be reviewed by the Mental Health Forum in light of this report and findings in respect of the pressures experienced by Covid-19.

Further efforts have been made during the pandemic to support key ambitions within the plan including:

- Piloting of a new digital wellbeing app – Brain in Hand.
- Investment in Silvercloud and IMPACT (Alliance Healthcare).
- The promotion of World Mental Health day events and Healthwatch support.
- Increased resources to support Adult Social Care and Reablement.
- Piloting a new AMHP rota to support increased demand.



**For further information about mental health
please contact**

Email **neil.harrison_1@hartlepool.gov.uk**

Telephone **01429 284371**

Post **Neil Harrison
Head of Safeguarding & Specialist Services
Hartlepool Borough Council,
Civic Centre, Victoria Road
Hartlepool, TS24 8AY**

Looking after our mental health

There are times when we all feel the strain and this has been particularly evident during the ongoing COVID-19 pandemic.

But its important Hartlepool residents realise they are not alone in these challenging times.

Below are a number of helplines and sources of useful information:

Tees Esk & Wear Valley NHS Foundation Trust Single point of Access Number

New, all age, single point of access number making it quicker and easier to contact your local crisis service.

Tel. 0300 0200317

Recovery College www.recoverycollegeonline.co.uk

Providing a range of online educational courses and resources to people with experience of mental illness, from service users to their family, friends and staff.

The Samaritans www.samaritans.org

Confidential, non-judgemental emotional support 24 hours a day for people who are experiencing feelings of distress or despair. No credit is required to call and numbers do not show on any bills.

**Tel. 116 123
Text. 07725909090
E mail. jo@samaritans.org**

Sane www.sane.org.uk

A national mental health charity providing information and emotional support to anyone affected by mental illness 4.30pm-10.30pm daily.

Tel. 0300 304 7000

Autism Helpline

Helpline opening hours: Monday-Thursday 10am-4pm, Friday 9am-3pm (excluding Bank holidays)

Tel. 0808 800 4104

Anxiety UK www.anxietyuk.org.uk

Support for those living with anxiety and anxiety-based depression by providing information, support and understanding.

**Tel. 08444 775 774
Text. 07537 416 905
Email. support@anxietyuk.org.uk**

Relate www.relate.org.uk

Provider of relationship support.

Tel. 0300 100 1234

MIND www.mind.org.uk

Provide advice and support to empower anyone experiencing a mental health problem.

**Tel. 0300 1233 3399
Text. 86463
Email. info@mind.org.uk**

Rethink Mental Illness www.rethink.org

Provides a national advice service and emotional support helplines for those experiencing severe mental illness and their carers and relatives.

Tel. 0300 5000 927

CRUSE www.crusebereavementcare.org.uk

Provides people who have recently lost a loved one with information and support on grief and legal matters.

Tel. 0808 808 1677

National Debt Line www.nationaldebtline.co.uk

Independent charity providing free debt advice.

Tel. 0808 808 4000

NHS Choices www.nhs.uk

Tel. 111

FRANK www.talktofrank.com

Friendly confidential drug advice.

Tel. 0800 776 600

Drugs line www.drugsline.org

Free drug crisis and support line.

Tel. 0808 1606 606

Drink line 9am–11pm weekdays, 6pm–11pm Sat & Sun

Advice and information for people with alcohol problems or anyone concerned about alcohol misuse.

Tel. 0300 123 1110

Welfare Rights www.welfarerights.net

Free benefits advice.

Tel. 01387 266888
Email. info@welfarerights.net

Hartlepool Support Hub

Hartlepool Support Hub is also here to help residents classed as clinically extremely vulnerable to stay safe, stay well and stay connected.

Clinically extremely vulnerable residents can contact the Support Hub by:

Phone: 01429 272905 (10am – 4pm Mondays to Fridays)

Email: helping@hartlepool.gov.uk

The requirement for CEV is different to the previous shielding programme, therefore the Support Hub is adapting to meet the needs of this cohort of individuals.

COUNCIL

25 February 2021



Report of: Finance and Policy Committee

Subject: MEDIUM TERM FINANCIAL STRATEGY (MTFS)
2021/22 - STATUTORY BUDGET AND COUNCIL
TAX DETERMINATION

1. PURPOSE OF REPORT

- 1.1 The purposes of this report are:
- (i) to provide details of the final 2021/22 Local Government Finance settlement announcement;
 - (ii) to enable Members to finalise the 2021/22 technical Council Tax calculations, which incorporate Council Tax levels independently set by precepting bodies.

2. BACKGROUND

- 2.1 In accordance with the Constitution the Finance and Policy Committee is required to develop budget and Council Tax proposals for the forthcoming year for consideration by Council. Detailed proposals for 2021/22 were considered and approved by Council on 28th January 2021, which included a Council Tax and Adult Care precept freeze.
- 2.2 The budget strategy for 2021/22 approved by Council is reliant on the use of the Budget Support Fund (£4.212m) and one off Government Grant funding (£0.985m). These measures defer a budget deficit from 2021/22 and the Council will need to develop a strategy to address a 2022/23 forecast deficit of £5.639m (including £4.047m deferred from 2021/22).
- 2.3 Members noted that the final technical budget and Council Tax calculations would be considered in February 2021 to reflect the final 2021/22 Local Government Finance Settlement.
- 2.4 This report deals with the statutory arithmetic calculation of the overall level of Council Tax, which incorporates Council Tax levels set by individual 'precepting bodies' in accordance with the specific Government Council Tax referendum limits. The 'precepting bodies' have set their own budgets and Council Tax requirements and the role of the Council as the 'billing authority' is to incorporate these figures into the overall Council Tax calculation and then collect these amounts.

3. FINAL 2021/22 LOCAL GOVERNMENT FINANCE SETTLEMENT AND IMPACT ON THE COUNCIL

- 3.1 The provisional Local Government Finance Settlement was not issued until 17th December 2020. Details were reported in the previous MTFS report, which advised Members that 87% of the Government's forecast increase in 2021/22 Spending Power is predicated on councils increasing Council Tax and implementing the Adult Social Care precept. Without these increases Spending Power for councils will only increase by 0.6%, which does not address the significant pressures facing councils in relation to Children's and Adults Social Care.
- 3.2 The final settlement was issued on 4th February 2021 and there have been no changes to the provisional settlement. This includes the Government confirming:
- a 2% core Council Tax Referendum Limit; and
 - a 3% Adult Social Care precept which can either be applied in 2021/22 or deferred to 2022/23.
- 3.3 Details of the Council's statutory budget calculation are provided in Appendix A and supporting departmental budgets are detailed in Appendix B.

4.0 LEGAL CONSIDERATIONS

- 4.1 The following issues are relevant to the 2021/22 budget and were addressed in previous reports to Finance and Policy Committee (25th January 2021) and Council (28th January 2021):-
- the Local Government Finance Act 1992 requires local authorities to set a balanced budget;
 - the Local Government Act 2003 requires local authorities to consider the advice of their Section 151 Chief Finance Officer (the Director of Resources and Development) when making budget decisions. This advice must include details of the robustness of the estimates made for the purposes of the calculations and the adequacy of the proposed financial reserves. The minutes to the meetings referred to in paragraph 4.1 record the robustness advice given to Members.
 - The requirements of the Prudential Code in relation to the use of Prudential Borrowing.
- 4.2 The Local Government Act 1992 and relevant regulations also require the Council to approve the statutory Council Tax calculations incorporating the Council Tax levels set by individual precepting bodies i.e. the Police and Crime Commissioner, Cleveland Fire Authority and individual parish councils.

- 4.3 Individual ‘precepting bodies’ are responsible for setting their own Council Tax levels in accordance with the specific Government Council Tax referendum limits and which meet their own budgetary requirements. The following table details the Government Council Tax referendum limits for 2021/22 and Council Tax increases approved by individual precepting bodies:

Type of Authority	Government Council Tax Referendum Limits 2021/22	Actual Band D Council Tax increase approved by individual precepting authority
Police and Crime Commissioners	Increases of up to £15 in Band D Council Tax in 2021/22	£5.19 (1.99%)
Fire and Rescue Authorities	Increases of up to 2% in Band D Council Tax in 2021/22	£1.50 (1.9%)
Town and Parish councils	No referendum limits have been set and the Government intends to keep this matter under active review for future years.	Various depending on Parish

- 4.4 The statutory Council Tax calculations are an administrative responsibility that the Council as the statutory ‘billing authority’ is required to undertake once the Council has set its own Council Tax level (which was approved on 28th January 2021) and the individual precepting bodies have set their own Council Tax levels.
- 4.5 The statutory billing authority calculations are not an opportunity to review the decision made by Council on 28th January 2021, or to challenge the Council Tax decisions of individual precepting bodies – which they are legally responsible for making. The statutory determinations are an arithmetic calculation and the only grounds for not approving these calculations is if a Member identifies that the additions of the various Council Tax levels set by the Council and precepting bodies are incorrect.
- 4.6 Whilst Members may be frustrated by the limitations of the Council’s responsibilities in relation to the statutory calculations this is the legal position governing these calculations. A decision not to approve the statutory calculations would have serious consequences as the Council would be unable to produce Council Tax bills and issue these to approximately 40,000 households in sufficient time to collect the first direct debt payments.

- 4.7 This would have an adverse impact on the Council's cash flow as Council Tax income would not be received, whilst the Council would still have to pay staff/suppliers and make precept payments. Delays in collecting direct debits would also impact on households as payments would not be taken when expected. Additionally, there would be an increased risk that households fell into arrears with Council Tax, which would then increase collection costs and have a continued adverse impact on cash flow.
- 4.8 For Members information, for areas without a parish council, which is 91.5% of Hartlepool households, the overall Council Tax increase for 2021/22 is 0.32% (2020/21- 3.8%), which reflects the freeze approved by the Council and the increases approved by the Police and Crime Commissioner and Cleveland Fire Authority.
- 4.9 The overall increase reflects the weighting of Council Tax increases set by individual organisations and for a Band A property (53% of Hartlepool households) the following table provides a breakdown of the 2021/22 Council Tax increase:

Overall 2021/22 Council Tax levels, incorporating Major Precepts for a Band A property (but excluding Parish precepts)

	Council Tax increase	2020/21	2021/22	Annual Increase	Notes
Hartlepool Council – Core Council Tax	0.0%	£1,065.04	£1,065.04	£0	
Hartlepool Council - Adult Social Care Precept	0.0%	£102.30	£102.30	£0	
Total Hartlepool Council	0.0%	£1,167.34	£1,167.34	£0	
Police & Crime Commissioner	1.99%	£173.69	£177.15	£3.46	(a)
Cleveland Fire Authority (CFA)	1.9%	£52.55	£53.55	£1.00	
Overall increase	0.32%	£1,393.58	£1,398.04	£4.46	(b)

(a) Police and Crime Commissioner increase is based on a £5.19 increase for a Band D property, which equates to a 1.99% increase for all property bands.

(b) Total percentage increase of 0.32% is based on total increase of £4.46 divided by 2020/21 total Council Tax of £1,393.58.

- 4.10 Based on the approved increases detailed in paragraph 4.9 the following table summarises the Council Tax for 2021/22, for areas without a parish. The Adult Social Care precept is the cumulative amount.

	Council Tax Bands							
	A	B	C	D	E	F	G	H
	£	£	£	£	£	£	£	£
Hartlepool Borough Council Basic Amount without parishes or special items	1,065.04	1,242.55	1,420.05	1,597.56	1,952.57	2,307.59	2,662.60	3,195.12
Hartlepool Borough Council Adult Social Care Precept	102.30	119.35	136.40	153.45	187.55	221.65	255.75	306.90
Office of Police and Crime Commissioner	177.15	206.68	236.20	265.73	324.78	383.83	442.88	531.46
Fire Authority	53.55	62.48	71.40	80.33	98.18	116.03	133.88	160.66
Areas without a Parish Council	1,398.04	1,631.06	1,864.05	2,097.07	2,563.08	3,029.10	3,495.11	4,194.14

- 4.11 Appendix A details the Council Tax levels for all areas of the town, including areas covered by the Parish Council.

5. OTHER CONSIDERATIONS

Staff Considerations	No relevant issues
Consultation	No relevant issues
Child/Family Poverty Considerations	No relevant issues
Equality and Diversity Considerations	No relevant issues

6. RECOMMENDATIONS

6.1 Statutory Council Tax calculations

- i) Approve the statutory budget calculation for the Council budget as detailed in Appendix A and approve the detailed supporting departmental budgets detailed in Appendix B;
- ii) Approve the statutory Council Tax calculations detailed in Appendix A, which includes the Council Tax increases approved by the individual precepting bodies.

7. REASON FOR RECOMMENDATIONS

- 7.1 To discharged the Council's statutory billing authority responsibilities.

8. BACKGROUND PAPERS

- Finance and Policy Committee - Medium Term Financial Strategy (MTFS) 2021/22 to 2022/23 – 25th January 2021;
- Council - Medium Term Financial Strategy (MTFS) 2021/22 to 2022/23 – 28th January 2021.

9. CONTACT OFFICER

Chris Little
Director of Resources and Development
Email: chris.little@hartlepool.gov.uk
Tel: 01429 523003

Sign Off:-

Managing Director	<input checked="" type="checkbox"/>
Director of Resources and Development	<input checked="" type="checkbox"/>
Chief Solicitor	<input checked="" type="checkbox"/>

SUPPORTING STATUTORY RESOLUTIONS - COUNCIL TAX INCREASE

- 1 Full Council needs to approve the following supporting statutory amounts which must be calculated by the Council for 2021/2022 in accordance with the Local Government Finance Act 1992 and relevant regulations:

i) To note that on 25th January 2021 the Finance and Policy Committee approved the 2021/22 Council Tax Base for:

- The whole Council area as 24,384.0 Band D equivalents in accordance with Section 31B of the Local Government Finance Act 1992, as amended; and
- For dwellings in those parts of its area to which a Parish precept relates as follows:

Parish Council Tax bases (Band D Equivalents)

Dalton Piercy	118.4	Greatham	584.3
Elwick	803.9	Hart	328.6
Headland	727.7	Newton Bewley	28.9

- 2 That the Council Tax requirement for the Council's own purposes (excluding Parish precepts) is £42,696,628.
- 3 That the following amounts be calculated by the Council for in accordance with Sections 31 to 36 of the Local Government Finance Act 1992 and relevant regulations:-
- (a) £71,015,167 Being the aggregate of the amounts which the Council estimates for the items set out in Section 31A (2) of the Local Government Finance Act 1992 and relevant regulations.
- (b) £28,278,272 Being the aggregate of the sums which the Council estimate will be payable to it in respect of Revenue Support Grant £7,948,235, Business Rates Baseline Funding of £15,645,714, Top up Funding of £10,525,375 and the estimate to be paid into the Collection Fund of £5,841,052 as at 31st March 2021, in accordance with Section 97 (3) of the Local Government Finance Act 1988 and the Local Government Charges for England (Collection Fund Surpluses and Deficits) Regulations 1995 amended.
- (c) £42,736,895 Being the amount by which the aggregate at 3 (a) above exceeds the aggregate at 3 (b) above, calculated by the Council in accordance with Section 31A (4) of the Act as its Council Tax requirement for the year (including Parish precepts).

- | | | |
|-----|-----------------------------------|--|
| (d) | £1,752.66 | Being the amount calculated by the Council in accordance with Section 31B of the Local Government Finance Act 1992, as the basic amount of Council Tax for the year (including Parish precepts). |
| (e) | £40,267
Parish
Precepts | Being the aggregate amount of all special items referred to in Section 34 (1) of the Local Government Finance Act 1992. |
| | £15,080
Concurrent
Services | Concurrent Services - as detailed in Table 1. |
| (f) | £1,751.01 | Being the amount calculated by the Council in accordance with Section 34 (2) of the Local Government Finance Act 1992, as the basic amount of Council Tax for the year 2021/22 (excluding Parish precepts), which has the effect of freezing the Council's element of Council Tax. |
-
- 4 The Basic Council Tax for 2021/22 calculated in accordance with Section 34 (3) for dwellings in those areas that have a Parish precept as set out in Table 2.
 - 5 Approve in accordance with Sections 30 and 36 of the Local Government Finance Act 1992, the aggregate amounts shown in Table 3 the amounts of Council Tax for 2021/22 for each part of the Council's area and each of the categories of dwellings.
 - 6 Approve that the Council's basic amount of Council Tax for 2021/22 of £1,751.01, detailed in 3 (f) above is not excessive in accordance with the principles approved under section 52ZB of the Local Government Finance Act 1992 and that no local referendum will be carried out in relation to Chapter 4ZA of the Local Government Finance Act 1992.
 - 7 Approve the amount of Council Tax including the Cleveland Fire Authority and the Office of the Police and Crime Commissioner precepts, in accordance with Section 40 of the Local Government Finance Act 1992 and the relevant inclusion of amounts of Council Tax for each category of dwelling in accordance with Sections 43 to 47 of the Act as set out in Table 3.

TABLE 1 - Council Tax For Parish Councils 2021/2022

	Precept (1)	Parish Tax Base (2)	Parish Council Tax (3) [=(1)/(2)]	Basic Council Tax (4)	Billing Authority's Council Tax (5) [=(3)+(4)]	Precept met from Council Tax Support Scheme	Concurrent Services	Total Payment to Parish
<u>Parishes</u>	£		£	£	£		£	£
Dalton Piercy	8,916	118.4	75.30	1,751.01	1,826.31	584	2,624	12,124
Elwick	16,054	803.9	19.97	1,751.01	1,770.98	458	7,446	23,958
Greatham	2,760	584.3	4.72	1,751.01	1,755.73	440	1,385	4,585
Hart	6,837	328.6	20.81	1,751.01	1,771.82	263	3,625	10,725
Headland	5,600	727.7	7.70	1,751.01	1,758.71	2,400	-	8,000
Newton Bewley	100	28.9	3.46	1,751.01	1,754.47	6	-	106
	40,267					4,151	15,080	59,498

TABLE 2 - Council Taxes For Each Property Band 2021/2022**(Including Parish Precepts but excluding Office of Police and Crime Commissioner & Fire Authority)**

<u>Parishes</u>	Council Tax Bands							
	A	B	C	D	E	F	G	H
	£	£	£	£	£	£	£	£
Dalton Piercy	1,217.54	1,420.47	1,623.38	1,826.31	2,232.15	2,638.01	3,043.85	3,652.62
Elwick	1,180.65	1,377.43	1,574.20	1,770.98	2,164.53	2,558.09	2,951.63	3,541.96
Greatham	1,170.49	1,365.57	1,560.65	1,755.73	2,145.89	2,536.06	2,926.22	3,511.46
Hart	1,181.21	1,378.09	1,574.95	1,771.82	2,165.55	2,559.30	2,953.03	3,543.64
Headland	1,172.47	1,367.89	1,563.29	1,758.71	2,149.53	2,540.36	2,931.18	3,517.42
Newton Bewley	1,169.65	1,364.59	1,559.53	1,754.47	2,144.35	2,534.24	2,924.12	3,508.94
Areas without a Parish Council	1,167.34	1,361.90	1,556.45	1,751.01	2,140.12	2,529.24	2,918.35	3,502.02

TABLE 3 - Council Taxes For Each Property Band 2021/2022**(Including Parish Precepts, Office of Police and Crime Commissioner & Fire Authority)**

<u>Parishes</u>	Council Tax Bands							
	A	B	C	D	E	F	G	H
	£	£	£	£	£	£	£	£
Dalton Piercy	1,448.24	1,689.63	1,930.98	2,172.37	2,655.11	3,137.87	3,620.61	4,344.74
Elwick	1,411.35	1,646.59	1,881.80	2,117.04	2,587.49	3,057.95	3,528.39	4,234.08
Greatham	1,401.19	1,634.73	1,868.25	2,101.79	2,568.85	3,035.92	3,502.98	4,203.58
Hart	1,411.91	1,647.25	1,882.55	2,117.88	2,588.51	3,059.16	3,529.79	4,235.76
Headland	1,403.17	1,637.05	1,870.89	2,104.77	2,572.49	3,040.22	3,507.94	4,209.54
Newton Bewley	1,400.35	1,633.75	1,867.13	2,100.53	2,567.31	3,034.10	3,500.88	4,201.06
Areas without a Parish Council	1,398.04	1,631.06	1,864.05	2,097.07	2,563.08	3,029.10	3,495.11	4,194.14

MEDIUM TERM FINANCIAL STRATEGY
SUPPORTING DOCUMENTATION BOOKLET

February 2021

MEDIUM TERM FINANCIAL STRATEGY CAPITAL AND REVENUE 2021/22 TO 2022/23

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Detailed Revenue Budgets by Department

February 2021

OVERVIEW

This document provides details of the detailed departmental budgets for 2021/22, which reflect the budget decisions approved by Finance and Policy Committee and to Council on 25th January 2021.

ADULT & COMMUNITY BASED SERVICES
DETAILED REVENUE BUDGETS 2021/2022

2021/2022 BUDGET - ADULT AND COMMUNITY BASED SERVICES SUMMARY

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	One Off Costs Funded From Depts Reserves	Total Budget 2021/2022 (2+3+4+5+6 +7)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000	(8) £'000
	<u>Adult and Community Based Services</u>							
149	Adult Education	150	0	0	0	0	0	150
20	Archaeology	20	0	0	0	0	0	20
1	Carers Support	1	0	0	0	5	(5)	1
(176)	Coast, Countryside and Heritage	(186)	0	0	0	0	0	(186)
2,395	Commissioning - Adults	2,457	0	0	0	53	(53)	2,457
1,476	Community Hubs	1,490	0	0	0	0	0	1,490
752	Cultural Services	761	0	0	0	10	(10)	761
192	Departmental Running Costs	196	0	0	0	0	0	196
651	Direct Care & Support Team	646	0	0	0	0	0	646
0	Employment & Skills	0	0	0	0	0	0	0
73	General Allotments	74	0	0	0	0	0	74
717	Learning Disability Teams	715	0	0	0	0	0	715
1,913	Locality & Safeguarding Social Work Teams	1,890	0	0	0	0	0	1,890
1,099	Mental Health Services	1,098	0	0	0	0	0	1,098
1,566	Packages of Care - Mental Health	1,498	0	0	0	0	0	1,498
11,116	Packages of Care - Older People	11,298	0	0	0	0	0	11,298
9,392	Packages of Care - Working Age Adults	9,703	0	0	0	0	0	9,703
1,377	Special Housing Needs and Occupational Therapy Services	1,384	0	0	0	0	0	1,384
540	Sports, Leisure & Recreation Facilities	531	0	0	0	67	(67)	531
964	Working Age Adults Day Services	977	0	0	0	0	0	977
(277)	Departmental Salary Abatement Target	(283)	0	0	0	0	0	(283)
33,940	Net Budget Requirement	34,420	0	0	0	135	(135)	34,420

2021/2022 BUDGET - SERVICE UNIT: ADULT EDUCATION

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Adult Education						
1,286	Direct costs - Employees	1,273	0	0	0	0	1,273
479	- Other	401	0	0	0	0	401
1,765	Total Direct Cost	1,674	0	0	0	0	1,674
261	Support Recharges	168	0	0	0	0	168
(1,877)	Income	(1,692)	0	0	0	0	(1,692)
149	Gross Budget Requirement	150	0	0	0	0	150
0	Use Of Departmental Reserves						0
149	Net Budget Requirement	150	0	0	0	0	150

2021/2022 BUDGET - SERVICE UNIT: ARCHAEOLOGY

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Archaeology						
88	Direct costs - Employees	89	0	0	0	0	89
8	- Other	8	0	0	0	0	8
96	Total Direct Cost	97	0	0	0	0	97
12	Support Recharges	12	0	0	0	0	12
(88)	Income	(89)	0	0	0	0	(89)
20	Gross Budget Requirement	20	0	0	0	0	20
0	Use Of Departmental Reserves						0
20	Net Budget Requirement	20	0	0	0	0	20

2021/2022 BUDGET - SERVICE UNIT: CARERS SUPPORT

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Carers Support						
0	Direct costs - Employees	0	0	0	0	0	0
279	- Other	350	0	0	0	5	355
279	Total Direct Cost	350	0	0	0	5	355
0	Support Recharges	0	0	0	0	0	0
(278)	Income	(349)	0	0	0	0	(349)
1	Gross Budget Requirement	1	0	0	0	5	6
0	Use Of Departmental Reserves					(5)	(5)
1	Net Budget Requirement	1	0	0	0	0	1

One off costs Funded from Department Reserves

Use of Adult Social Care Reserve to fund additionality in Carers contract.

2021/2022 BUDGET - SERVICE UNIT: COAST, COUNTRYSIDE & HERITAGE

Approved Budget 2020/2021 (1) £'000	Service Unit	Budget Projection 2021/2022 (2) £'000	Corporate Budget Pressures/ (Reductions) (3) £'000	Dept Budget Pressures (4) £'000	Dept Budget Reductions to Fund Pressures (5) £'000	One Off Costs (6) £'000	Total Budget 2021/2022 (2+3+4+5 +6) (7) £'000
	<u>Coast, Countryside & Heritage</u>						
445	Direct costs - Employees	443	0	0	0	0	443
764	- Other	782	0	0	0	0	782
1,209	Total Direct Cost	1,225	0	0	0	0	1,225
16	Support Recharges	13	0	0	0	0	13
(1,401)	Income	(1,424)	0	0	0	0	(1,424)
(176)	Gross Budget Requirement	(186)	0	0	0	0	(186)
0	Use Of Departmental Reserves						0
(176)	Net Budget Requirement	(186)	0	0	0	0	(186)

2021/2022 BUDGET - SERVICE UNIT: COMMISSIONING - ADULTS

Approved Budget 2020/2021 (1) £'000	Service Unit	Budget Projection 2021/2022 (2) £'000	Corporate Budget Pressures/ (Reductions) (3) £'000	Dept Budget Pressures (4) £'000	Dept Budget Reductions to Fund Pressures (5) £'000	One Off Costs (6) £'000	Total Budget 2021/2022 (2+3+4+5 +6) (7) £'000
	<u>Commissioning - Adults</u>						
1,128	Direct costs - Employees	1,129	0	0	0	53	1,182
3,535	- Other	3,497	0	0	0	0	3,497
4,663	Total Direct Cost	4,626	0	0	0	53	4,679
0	Support Recharges	0	0	0	0	0	0
(2,268)	Income	(2,169)	0	0	0	0	(2,169)
2,395	Gross Budget Requirement	2,457	0	0	0	53	2,510
0	Use Of Departmental Reserves					(53)	(53)
2,395	Net Budget Requirement	2,457	0	0	0	0	2,457

One off costs Funded from Department Reserves

Use of Adult Social Care Reserve to fund a temporary post leading on the implementation of Community Led Support.

2021/2022 BUDGET - SERVICE UNIT: COMMUNITY HUBS

Approved Budget 2020/2021 (1) £'000	Service Unit	Budget Projection 2021/2022 (2) £'000	Corporate Budget Pressures/ (Reductions) (3) £'000	Dept Budget Pressures (4) £'000	Dept Budget Reductions to Fund Pressures (5) £'000	One Off Costs (6) £'000	Total Budget 2021/2022 (2+3+4+5 +6) (7) £'000
	<u>Community Hubs</u>						
1,307	Direct costs - Employees	1,367	0	0	0	0	1,367
558	- Other	539	0	0	0	0	539
1,865	Total Direct Cost	1,906	0	0	0	0	1,906
24	Support Recharges	24	0	0	0	0	24
(413)	Income	(440)	0	0	0	0	(440)
1,476	Gross Budget Requirement	1,490	0	0	0	0	1,490
0	Use Of Departmental Reserves						0
1,476	Net Budget Requirement	1,490	0	0	0	0	1,490

2021/2022 BUDGET - SERVICE UNIT: CULTURAL SERVICES

Approved Budget 2020/2021 (1) £'000	Service Unit	Budget Projection 2021/2022 (2) £'000	Corporate Budget Pressures/ (Reductions) (3) £'000	Dept Budget Pressures (4) £'000	Dept Budget Reductions to Fund Pressures (5) £'000	One Off Costs (6) £'000	Total Budget 2021/2022 (2+3+4+5 +6) (7) £'000
	<u>Cultural Services</u>						
544	Direct costs - Employees	545	0	0	0	10	555
713	- Other	682	0	0	0	0	682
1,257	Total Direct Cost	1,227	0	0	0	10	1,237
0	Support Recharges	0	0	0	0	0	0
(505)	Income	(466)	0	0	0	0	(466)
752	Gross Budget Requirement	761	0	0	0	10	771
0	Use Of Departmental Reserves					(10)	(10)
752	Net Budget Requirement	761	0	0	0	0	761

One off costs Funded from Department Reserves

Use of Events funding reserve. Further funding will be allocated from the reserve to Events as they occur throughout the year.

2021/2022 BUDGET - SERVICE UNIT: DEPARTMENTAL RUNNING COSTS

Approved Budget 2020/2021 (1) £'000	Service Unit	Budget Projection 2021/2022 (2) £'000	Corporate Budget Pressures/ (Reductions) (3) £'000	Dept Budget Pressures (4) £'000	Dept Budget Reductions to Fund Pressures (5) £'000	One Off Costs (6) £'000	Total Budget 2021/2022 (2+3+4+5 +6) (7) £'000
	<u>Departmental Running Costs</u>						
126	Direct costs - Employees	129	0	0	0	0	129
66	- Other	67	0	0	0	0	67
192	Total Direct Cost	196	0	0	0	0	196
0	Support Recharges	0	0	0	0	0	0
0	Income	0	0	0	0	0	0
192	Gross Budget Requirement	196	0	0	0	0	196
	Use Of Departmental Reserves						0
192	Net Budget Requirement	196	0	0	0	0	196

2021/2022 BUDGET - SERVICE UNIT: DIRECT CARE & SUPPORT TEAM

Approved Budget 2020/2021 (1) £'000	Service Unit	Budget Projection 2021/2022 (2) £'000	Corporate Budget Pressures/ (Reductions) (3) £'000	Dept Budget Pressures (4) £'000	Dept Budget Reductions to Fund Pressures (5) £'000	One Off Costs (6) £'000	Total Budget 2021/2022 (2+3+4+5 +6) (7) £'000
	<u>Direct Care & Support Team</u>						
1,445	Direct costs - Employees	1,455	0	0	0	0	1,455
174	- Other	178	0	0	0	0	178
1,619	Total Direct Cost	1,633	0	0	0	0	1,633
2	Support Recharges	2	0	0	0	0	2
(970)	Income	(989)	0	0	0	0	(989)
651	Gross Budget Requirement	646	0	0	0	0	646
0	Use Of Departmental Reserves						0
651	Net Budget Requirement	646	0	0	0	0	646

2021/2022 BUDGET - SERVICE UNIT: EMPLOYMENT & SKILLS

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Employment & Skills						
355	Direct costs - Employees	355	0	0	0	0	355
2,666	- Other	2,666	0	0	0	0	2,666
3,021	Total Direct Cost	3,021	0	0	0	0	3,021
113	Support Recharges	116	0	0	0	0	116
(3,134)	Income	(3,137)	0	0	0	0	(3,137)
0	Gross Budget Requirement	0	0	0	0	0	0
0	Use Of Departmental Reserves						0
0	Net Budget Requirement	0	0	0	0	0	0

2021/2022 BUDGET - SERVICE UNIT: GENERAL ALLOTMENTS

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	General Allotments						
70	Direct costs - Employees	71	0	0	0	0	71
85	- Other	79	0	0	0	0	79
155	Total Direct Cost	150	0	0	0	0	150
0	Support Recharges	0	0	0	0	0	0
(82)	Income	(76)	0	0	0	0	(76)
73	Gross Budget Requirement	74	0	0	0	0	74
	Use Of Departmental Reserves						0
73	Net Budget Requirement	74	0	0	0	0	74

2021/2022 BUDGET - SERVICE UNIT: LEARNING DISABILITY TEAMS

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Learning Disability Teams						
858	Direct costs - Employees	859	0	0	0	0	859
16	- Other	16	0	0	0	0	16
874	Total Direct Cost	875	0	0	0	0	875
0	Support Recharges	0	0	0	0	0	0
(157)	Income	(160)	0	0	0	0	(160)
717	Gross Budget Requirement	715	0	0	0	0	715
0	Use Of Departmental Reserves						0
717	Net Budget Requirement	715	0	0	0	0	715

2021/2022 BUDGET - SERVICE UNIT: LOCALITY & SAFEGUARDING SOCIAL WORK TEAMS

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Locality & Safeguarding Social Work Teams</u>						
3,190	Direct costs - Employees	3,193	0	0	0	0	3,193
188	- Other	191	0	0	0	0	191
3,378	Total Direct Cost	3,384	0	0	0	0	3,384
0	Support Recharges	0	0	0	0	0	0
(1,465)	Income	(1,494)	0	0	0	0	(1,494)
1,913	Gross Budget Requirement	1,890	0	0	0	0	1,890
0	Use Of Departmental Reserves						0
1,913	Net Budget Requirement	1,890	0	0	0	0	1,890

2021/2022 BUDGET - SERVICE UNIT: MENTAL HEALTH SERVICES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Mental Health Services</u>						
1,097	Direct costs - Employees	1,098	0	0	0	0	1,098
24	- Other	23	0	0	0	0	23
1,121	Total Direct Cost	1,121	0	0	0	0	1,121
0	Support Recharges	0	0	0	0	0	0
(22)	Income	(23)	0	0	0	0	(23)
1,099	Gross Budget Requirement	1,098	0	0	0	0	1,098
0	Use Of Departmental Reserves						0
1,099	Net Budget Requirement	1,098	0	0	0	0	1,098

2021/2022 BUDGET - SERVICE UNIT: PACKAGES OF CARE - MENTAL HEALTH

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Packages of Care -Mental Health</u>						
0	Direct costs - Employees	0	0	0	0	0	0
3,154	- Other	3,099	0	0	0	0	3,099
3,154	Total Direct Cost	3,099	0	0	0	0	3,099
0	Support Recharges	0	0	0	0	0	0
(1,588)	Income	(1,601)	0	0	0	0	(1,601)
1,566	Gross Budget Requirement	1,498	0	0	0	0	1,498
0	Use Of Departmental Reserves						0
1,566	Net Budget Requirement	1,498	0	0	0	0	1,498

2021/2022 BUDGET - SERVICE UNIT: PACKAGES OF CARE - OLDER PEOPLE

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Packages of Care - Older People</u>						
0	Direct costs - Employees	0	0	0	0	0	0
25,702	- Other	26,173	0	0	0	0	26,173
25,702	Total Direct Cost	26,173	0	0	0	0	26,173
0	Support Recharges	0	0	0	0	0	0
(14,586)	Income	(14,875)	0	0	0	0	(14,875)
11,116	Gross Budget Requirement	11,298	0	0	0	0	11,298
0	Use Of Departmental Reserves						0
11,116	Net Budget Requirement	11,298	0	0	0	0	11,298

2021/2022 BUDGET - SERVICE UNIT: PACKAGES OF CARE - WORKING AGE ADULTS

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Packages of Care - Working Age Adults</u>						
0	Direct costs - Employees	0	0	0	0	0	0
15,297	- Other	15,613	0	0	0	0	15,613
15,297	Total Direct Cost	15,613	0	0	0	0	15,613
0	Support Recharges	0	0	0	0	0	0
(5,905)	Income	(5,910)	0	0	0	0	(5,910)
9,392	Gross Budget Requirement	9,703	0	0	0	0	9,703
0	Use Of Departmental Reserves						0
9,392	Net Budget Requirement	9,703	0	0	0	0	9,703

2021/2022 BUDGET - SERVICE UNIT: SPECIAL HOUSING NEEDS & OCCUPATIONAL THERAPY SERVICES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Special Housing Needs & Occupational Therapy Services</u>						
1,087	Direct costs - Employees	1,089	0	0	0	0	1,089
660	- Other	673	0	0	0	0	673
1,747	Total Direct Cost	1,762	0	0	0	0	1,762
0	Support Recharges	0	0	0	0	0	0
(370)	Income	(378)	0	0	0	0	(378)
1,377	Gross Budget Requirement	1,384	0	0	0	0	1,384
	Use Of Departmental Reserves						0
1,377	Net Budget Requirement	1,384	0	0	0	0	1,384

2021/2022 BUDGET - SERVICE UNIT: SPORTS, LEISURE & RECREATION FACILITIES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Sports, Leisure & Recreation Facilities</u>						
1,899	Direct costs - Employees	1,917	0	0	0	67	1,984
455	- Other	456	0	0	0	0	456
2,354	Total Direct Cost	2,373	0	0	0	67	2,440
21	Support Recharges	21	0	0	0	0	21
(1,835)	Income	(1,863)	0	0	0	0	(1,863)
540	Gross Budget Requirement	531	0	0	0	67	598
	Use Of Departmental Reserves					(67)	(67)
540	Net Budget Requirement	531	0	0	0	0	531

One off costs Funded from Department Reserves

Reserves are earmarked to fund specific posts within the service.

2021/2022 BUDGET - SERVICE UNIT: WORKING AGE ADULTS DAY SERVICES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Working Age Adults Day Services</u>						
632	Direct costs - Employees	638	0	0	0	0	638
328	- Other	335	0	0	0	0	335
960	Total Direct Cost	973	0	0	0	0	973
4	Support Recharges	4	0	0	0	0	4
0	Income	0	0	0	0	0	0
964	Gross Budget Requirement	977	0	0	0	0	977
	Use Of Departmental Reserves						0
964	Net Budget Requirement	977	0	0	0	0	977

CHILDREN'S AND JOINT COMMISSIONING SERVICES

DETAILED REVENUE BUDGETS 2021/2022

2021/2022 BUDGET - CHILDREN'S AND JOINT COMMISSIONING SERVICES SUMMARY

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	One Off Costs Funded From Depts Reserves	Total Budget 2021/2022 (2+3+4+5+6 +7)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000	(8) £'000
	<u>Children's and Joint Commissioning Services</u>							
172	Central Support Services	172	0	0	0	0	0	172
17,842	Children & Families	18,056	2,517	0	0	226	(226)	20,573
1,868	Early Intervention Services	1,830	0	0	0	0	0	1,830
502	Other School Related Expenditure	487	0	0	0	0	0	487
2	Play & Care	1	0	0	0	0	0	1
589	Raising Educational Achievement	560	0	0	0	26	(26)	560
269	Special Educational Needs	294	0	0	0	41	(41)	294
1,206	Strategic Commissioning	1,209	0	0	0	0	0	1,209
343	Strategic Management	372	0	0	0	0	0	372
284	Standards, Engagement & Development Team	286	0	0	0	0	0	286
277	Youth Justice Service	273	0	0	0	0	0	273
314	Youth Service	316	0	0	0	0	0	316
0	Dedicated Schools Grant - Early Years Block	0	0	0	0	0	0	0
0	Dedicated Schools Grant - Schools Block	0	0	0	0	0	0	0
0	Dedicated Schools Grant - High Needs Block	0	0	0	0	0	0	0
0	Dedicated Schools Grant - Central School Services Block	0	0	0	0	0	0	0
(233)	Departmental Salary Abatement Target	(238)	0	0	0	0	0	(238)
23,435	Net Budget Requirement	23,618	2,517	0	0	293	(293)	26,135

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	One Off Costs Funded From Depts Reserves	Total Budget 2021/2022 (2+3+4+5+6 +7)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000	(8) £'000
	<u>Children's and Joint Commissioning - Public Health</u>							
3,844	Public Health - Children's	3,920	0	0	0	0	0	3,920
1,619	Public Health - General	1,655	0	0	0	0	0	1,655
2,344	Public Health - Substance Misuse	2,377	0	0	0	0	0	2,377
96	Public Health - Obesity	98	0	0	0	0	0	98
109	Public Health - Health Check Programme	110	0	0	0	0	0	110
163	Public Health - Mental Health	167	0	0	0	0	0	167
632	Public Health - Sexual Health	655	0	0	0	0	0	655
290	Public Health - Physical Activity	296	0	0	0	0	0	296
(9,025)	Public Health - Grant	(9,205)	0	0	0	0	0	(9,205)
72	Net Budget Requirement	73	0	0	0	0	0	73
23,507	Total Net Budget Requirement	23,691	2,517	0	0	293	(293)	26,208

2021/2022 BUDGET - SERVICE UNIT: CENTRAL SUPPORT SERVICES

Approved Budget 2020/2021 (1) £'000	Service Unit	Budget Projection 2021/2022 (2) £'000	Corporate Budget Pressures/ (Reductions) (3) £'000	Dept Budget Pressures (4) £'000	Dept Budget Reductions to Fund Pressures (5) £'000	One Off Costs (6) £'000	Total Budget 2021/2022 (2+3+4+5 +6) (7) £'000
0	<u>Central Support Services</u>	0	0	0	0	0	0
0	Direct costs - Employees	0	0	0	0	0	0
0	- Other	0	0	0	0	0	0
0	Total Direct Cost	0	0	0	0	0	0
490	Support Recharges	490	0	0	0	0	490
(318)	Income	(318)	0	0	0	0	(318)
172	Gross Budget Requirement	172	0	0	0	0	172
0	Use Of Departmental Reserves	0	0	0	0	0	0
172	Net Budget Requirement	172	0	0	0	0	172

2021/2022 BUDGET - SERVICE UNIT: CHILDREN & FAMILIES

Approved Budget 2020/2021 (1) £'000	Service Unit	Budget Projection 2021/2022 (2) £'000	Corporate Budget Pressures/ (Reductions) (3) £'000	Dept Budget Pressures (4) £'000	Dept Budget Reductions to Fund Pressures (5) £'000	One Off Costs (6) £'000	Total Budget 2021/2022 (2+3+4+5 +6) (7) £'000
7,091	<u>Children & Families</u>	7,106	1,065	0	0	226	8,397
13,020	Direct costs - Employees	13,228	1,452	0	0	0	14,680
20,111	- Other	20,334	2,517	0	0	226	23,077
93	Total Direct Cost	95	0	0	0	0	95
(2,362)	Support Recharges	(2,373)	0	0	0	0	(2,373)
17,842	Income	18,056	2,517	0	0	226	20,799
17,842	Gross Budget Requirement	18,056	2,517	0	0	(226)	(226)
0	Use Of Departmental Reserves	0	0	0	0	0	0
17,842	Net Budget Requirement	18,056	2,517	0	0	0	20,573

Corporate Budget Pressure

As identified in the MTFS Report to Finance & Policy Committee 30/11/20, additional pressures totalling £2.517m are being funded in 2021/2022 to contribute towards an increase in the number of looked after children, the additional associated social work capacity and the planned opening of a new Children's Home.

One off costs Funded from Department Reserves

Use of specific reserves to fund the Multi Agency Team and additional posts relating to 'Signs of Safety'.

2021/2022 BUDGET - SERVICE UNIT: EARLY INTERVENTION SERVICES

Approved Budget 2020/2021 (1) £'000	Service Unit	Budget Projection 2021/2022 (2) £'000	Corporate Budget Pressures/ (Reductions) (3) £'000	Dept Budget Pressures (4) £'000	Dept Budget Reductions to Fund Pressures (5) £'000	One Off Costs (6) £'000	Total Budget 2021/2022 (2+3+4+5 +6) (7) £'000
5,091	<u>Early Intervention Services</u>	5,163	0	0	0	0	5,163
2,008	Direct costs - Employees	1,875	0	0	0	0	1,875
7,099	- Other	7,038	0	0	0	0	7,038
54	Total Direct Cost	50	0	0	0	0	50
(5,285)	Support Recharges	(5,258)	0	0	0	0	(5,258)
1,868	Income	1,830	0	0	0	0	1,830
1,868	Gross Budget Requirement	1,830	0	0	0	0	1,830
0	Use Of Departmental Reserves	0	0	0	0	0	0
1,868	Net Budget Requirement	1,830	0	0	0	0	1,830

2021/2022 BUDGET - SERVICE UNIT: OTHER SCHOOL RELATED EXPENDITURE

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Other School Related Expenditure</u>						
191	Direct costs - Employees	206	0	0	0	0	206
311	- Other	281	0	0	0	0	281
502	Total Direct Cost	487	0	0	0	0	487
0	Support Recharges	0	0	0	0	0	0
0	Income	0	0	0	0	0	0
502	Gross Budget Requirement	487	0	0	0	0	487
0	Use Of Departmental Reserves	0	0	0	0	0	0
502	Net Budget Requirement	487	0	0	0	0	487

2021/2022 BUDGET - SERVICE UNIT: PLAY & CARE

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Play & Care</u>						
135	Direct costs - Employees	137	0	0	0	0	137
26	- Other	27	0	0	0	0	27
161	Total Direct Cost	164	0	0	0	0	164
0	Support Recharges	0	0	0	0	0	0
(159)	Income	(163)	0	0	0	0	(163)
2	Gross Budget Requirement	1	0	0	0	0	1
	Use Of Departmental Reserves						0
2	Net Budget Requirement	1	0	0	0	0	1

2021/2022 BUDGET - SERVICE UNIT: RAISING EDUCATIONAL ACHIEVEMENT

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Raising Educational Achievement</u>						
1,325	Direct costs - Employees	1,616	0	0	0	26	1,642
312	- Other	234	0	0	0	0	234
1,637	Total Direct Cost	1,850	0	0	0	26	1,876
45	Support Recharges	125	0	0	0	0	125
(1,067)	Grant Income Recharge	(1,415)	0	0	0	0	(1,415)
615	Gross Budget Requirement	560	0	0	0	26	586
(26)	Use Of Departmental Reserves					(26)	(26)
589	Net Budget Requirement	560	0	0	0	0	560

One off costs Funded from Department Reserves

Use of specific reserves to contribute towards employee costs within the School Improvement Team.

2021/2022 BUDGET - SERVICE UNIT: SPECIAL EDUCATIONAL NEEDS

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Special Educational Needs</u>						
1,043	Direct costs - Employees	1,051	0	0	0	41	1,092
37	- Other	37	0	0	0	0	37
1,080	Total Direct Cost	1,088	0	0	0	41	1,129
18	Support Recharges	18	0	0	0	0	18
(816)	Income	(812)	0	0	0	0	(812)
282	Gross Budget Requirement	294	0	0	0	41	335
(13)	Use Of Departmental Reserves	0	0	0	0	(41)	(41)
269	Net Budget Requirement	294	0	0	0	0	294

One off costs Funded from Department Reserves

One fixed term post is to be funded from the earmarked reserve for Special Educational Needs.

2021/2022 BUDGET - SERVICE UNIT: STRATEGIC COMMISSIONING

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Strategic Commissioning</u>						
1,208	Direct costs - Employees	1,208	0	0	0	0	1,208
389	- Other	364	0	0	0	0	364
1,597	Total Direct Cost	1,572	0	0	0	0	1,572
0	Support Recharges	0	0	0	0	0	0
(391)	Income	(363)	0	0	0	0	(363)
1,206	Gross Budget Requirement	1,209	0	0	0	0	1,209
0	Use Of Departmental Reserves						0
1,206	Net Budget Requirement	1,209	0	0	0	0	1,209

2021/2022 BUDGET - SERVICE UNIT: STRATEGIC MANAGEMENT

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Strategic Management</u>						
416	Direct costs - Employees	426	0	0	0	0	426
198	- Other	141	0	0	0	0	141
614	Total Direct Cost	567	0	0	0	0	567
2	Support Recharges	2	0	0	0	0	2
(273)	Income	(197)	0	0	0	0	(197)
343	Gross Budget Requirement	372	0	0	0	0	372
0	Use Of Departmental Reserves	0	0	0	0	0	0
343	Net Budget Requirement	372	0	0	0	0	372

2021/2022 BUDGET - SERVICE UNIT: STANDARDS, ENGAGEMENT & DEVELOPMENT

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Standards, Engagement & Development</u>						
317	Direct costs - Employees	344	0	0	0	0	344
138	- Other	140	0	0	0	0	140
455	Total Direct Cost	484	0	0	0	0	484
0	Support Recharges	0	0	0	0	0	0
(171)	Income	(198)	0	0	0	0	(198)
284	Gross Budget Requirement	286	0	0	0	0	286
0	Use Of Departmental Reserves						0
284	Net Budget Requirement	286	0	0	0	0	286

2021/2022 BUDGET - SERVICE UNIT: YOUTH JUSTICE SERVICE

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Youth Justice Service</u>						
479	Direct costs - Employees	480	0	0	0	0	480
212	- Other	207	0	0	0	0	207
691	Total Direct Cost	687	0	0	0	0	687
0	Support Recharges	0	0	0	0	0	0
(414)	Income	(414)	0	0	0	0	(414)
277	Gross Budget Requirement	273	0	0	0	0	273
0	Use Of Departmental Reserves						0
277	Net Budget Requirement	273	0	0	0	0	273

2021/22 BUDGET - SERVICE UNIT: YOUTH SERVICE

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Youth Service</u>						
323	Direct costs - Employees	336	0	0	0	0	336
55	- Other	47	0	0	0	0	47
378	Total Direct Cost	383	0	0	0	0	383
0	Support Recharges	0	0	0	0	0	0
(64)	Income	(67)	0	0	0	0	(67)
314	Gross Budget Requirement	316	0	0	0	0	316
0	Use Of Departmental Reserves	0	0	0	0	0	0
314	Net Budget Requirement	316	0	0	0	0	316

2021/2022 BUDGET - SERVICE UNIT: DEDICATED SCHOOLS GRANT - EARLY YEARS BLOCK

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Dedicated Schools Grant - Early Years Block</u>						
104	Direct costs - Employees	105	0	0	0	0	105
6,082	- Other	6,210	0	0	0	0	6,210
6,186	Total Direct Cost	6,315	0	0	0	0	6,315
50	Support Recharges	50	0	0	0	0	50
(6,236)	Income	(6,365)	0	0	0	0	(6,365)
0	Gross Budget Requirement	0	0	0	0	0	0
0	Use Of Departmental Reserves	0	0	0	0	0	0
0	Net Budget Requirement	0	0	0	0	0	0

2021/2022 BUDGET - SERVICE UNIT: DEDICATED SCHOOLS GRANT - SCHOOLS BLOCK

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Dedicated Schools Grant - Schools Block</u>						
0	Direct costs - Employees	37		0	0	0	37
33,415	- Other	24,202		0	0	0	24,202
33,415	Total Direct Cost	24,239	0	0	0	0	24,239
0	Support Recharges	408		0	0	0	408
(33,415)	Income	(24,647)	0	0	0	0	(24,647)
0	Gross Budget Requirement	0	0	0	0	0	0
0	Use Of Departmental Reserves	0	0	0	0	0	0
0	Net Budget Requirement	0	0	0	0	0	0

2021/2022 BUDGET - SERVICE UNIT: DEDICATED SCHOOLS GRANT - HIGH NEEDS BLOCK

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Dedicated Schools Grant - High Needs Block</u>						
0	Direct costs - Employees	0	0	0	0	0	0
11,180	- Other	12,747	0	0	0	0	12,747
11,180	Total Direct Cost	12,747	0	0	0	0	12,747
0	Support Recharges	593	0	0	0	0	593
(11,180)	Income	(13,340)	0	0	0	0	(13,340)
0	Gross Budget Requirement	0	0	0	0	0	0
0	Use Of Departmental Reserves	0	0	0	0	0	0
0	Net Budget Requirement	0	0	0	0	0	0

2021/2022 BUDGET - SERVICE UNIT: DEDICATED SCHOOLS GRANT - CENTRAL SCHOOL SERVICES BLOCK

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Dedicated Schools Grant - Central School Services</u>						
131	Direct costs - Employees	132	0	0	0	0	132
143	- Other	143	0	0	0	0	143
274	Total Direct Cost	275	0	0	0	0	275
80	Support Recharges	80	0	0	0	0	80
(354)	Income	(355)	0	0	0	0	(355)
0	Gross Budget Requirement	0	0	0	0	0	0
0	Use Of Departmental Reserves	0	0	0	0	0	0
0	Net Budget Requirement	0	0	0	0	0	0

2021/2022 BUDGET - SERVICE UNIT: CHILDREN'S PUBLIC HEALTH

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Public Health - Children's</u>						
0	Direct costs - Employees	0	0	0	0	0	0
3,844	- Other	3,920	0	0	0	0	3,920
3,844	Total Direct Cost	3,920	0	0	0	0	3,920
0	Support Recharges	0	0	0	0	0	0
0	Income	0	0	0	0	0	0
3,844	Gross Budget Requirement	3,920	0	0	0	0	3,920
0	Use Of Departmental Reserves						0
3,844	Net Budget Requirement	3,920	0	0	0	0	3,920

2021/2022 BUDGET - SERVICE UNIT: GENERAL PUBLIC HEALTH

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Public Health - General</u>						
606	Direct costs - Employees	610	0	0	0	0	610
1,015	- Other	1,047	0	0	0	8	1,055
1,621	Total Direct Cost	1,657	0	0	0	8	1,665
0	Support Recharges	0	0	0	0	0	0
(2)	Income	(2)	0	0	0	0	(2)
1,619	Gross Budget Requirement	1,655	0	0	0	8	1,663
0	Use Of Departmental Reserves					(8)	(8)
1,619	Net Budget Requirement	1,655	0	0	0	0	1,655

One off costs Funded from Department Reserves

Funding for various public health initiatives including 'Health at Work' awards and Pharma Outcomes.

2021/2022 BUDGET - SERVICE UNIT: PUBLIC HEALTH - SUBSTANCE MISUSE

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Public Health - Substance Misuse</u>						
784	Direct costs - Employees	796	0	0	0	0	796
1,560	- Other	1,581	0	0	0	0	1,581
2,344	Total Direct Cost	2,377	0	0	0	0	2,377
0	Support Recharges						0
0	Income	0	0	0	0	0	0
2,344	Gross Budget Requirement	2,377	0	0	0	0	2,377
0	Use Of Departmental Reserves						0
2,344	Net Budget Requirement	2,377	0	0	0	0	2,377

2021/2022 BUDGET - SERVICE UNIT: PUBLIC HEALTH - OBESITY

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Public Health - Obesity						
0	Direct costs - Employees	0	0	0	0	0	0
96	- Other	98	0	0	0	0	98
96	Total Direct Cost	98	0	0	0	0	98
0	Support Recharges	0	0	0	0	0	0
0	Income	0	0	0	0	0	0
96	Gross Budget Requirement	98	0	0	0	0	98
0	Use Of Departmental Reserves						0
96	Net Budget Requirement	98	0	0	0	0	98

2021/2022 BUDGET - SERVICE UNIT: HEALTH CHECK PROGRAMME

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Public Health - Health Check Programme						
0	Direct costs - Employees	0	0	0	0	0	0
109	- Other	110	0	0	0	0	110
109	Total Direct Cost	110	0	0	0	0	110
0	Support Recharges	0	0	0	0	0	0
0	Income	0	0	0	0	0	0
109	Gross Budget Requirement	110	0	0	0	0	110
0	Use Of Departmental Reserves						0
109	Net Budget Requirement	110	0	0	0	0	110

2021/2022 BUDGET - SERVICE UNIT: PUBLIC HEALTH - MENTAL HEALTH

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Public Health - Mental Health						
0	Direct costs - Employees	0	0	0	0	0	0
163	- Other	167	0	0	0	0	167
163	Total Direct Cost	167	0	0	0	0	167
0	Support Recharges	0	0	0	0	0	0
0	Income	0	0	0	0	0	0
163	Gross Budget Requirement	167	0	0	0	0	167
0	Use Of Departmental Reserves						0
163	Net Budget Requirement	167	0	0	0	0	167

2021/2022 BUDGET - SERVICE UNIT: PUBLIC HEALTH - SEXUAL HEALTH

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Public Health - Sexual Health</u>						
0	Direct costs - Employees	0	0	0	0	0	0
632	- Other	661	0	0	0	0	661
632	Total Direct Cost	661	0	0	0	0	661
0	Support Recharges	0	0	0	0	0	0
0	Income	(6)	0	0	0	0	(6)
632	Gross Budget Requirement	655	0	0	0	0	655
0	Use Of Departmental Reserves						0
632	Net Budget Requirement	655	0	0	0	0	655

2021/2022 BUDGET - SERVICE UNIT: PUBLIC HEALTH - PHYSICAL ACTIVITY

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Public Health - Physical Activity</u>						
0	Direct costs - Employees	0	0	0	0	0	0
290	- Other	296	0	0	0	0	296
290	Total Direct Cost	296	0	0	0	0	296
0	Support Recharges	0	0	0	0	0	0
0	Income	0	0	0	0	0	0
290	Gross Budget Requirement	296	0	0	0	0	296
0	Use Of Departmental Reserves						0
290	Net Budget Requirement	296	0	0	0	0	296

2021/2022 BUDGET - SERVICE UNIT: PUBLIC HEALTH - GRANT

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Public Health - Grant</u>						
0	Direct costs - Employees	0	0	0	0	0	0
0	- Other	0	0	0	0	0	0
0	Total Direct Cost	0	0	0	0	0	0
0	Support Recharges	0	0	0	0	0	0
(9,025)	Income	(9,205)	0	0	0	0	(9,205)
(9,025)	Gross Budget Requirement	(9,205)	0	0	0	0	(9,205)
0	Use Of Departmental Reserves						0
(9,025)	Net Budget Requirement	(9,205)	0	0	0	0	(9,205)

NEIGHBOURHOODS & REGULATORY SERVICES

DETAILED REVENUE BUDGETS 2021/2022

2021/2022 BUDGET - REGENERATION AND NEIGHBOURHOODS SUMMARY

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	One Off Costs Funded From Depts Reserves	Total Budget 2021/2022 (2+3+4+5+6 +7)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000	(8) £'000
(211)	Building Design Team	(217)	0	0	0	0	0	(217)
(773)	Car Parking	(792)	0	0	0	0	0	(792)
954	Community Safety & Engagement	963	0	0	0	0	0	963
(140)	Construction Support Service	(135)	0	0	0	0	0	(135)
80	Construction Team	82	0	0	0	0	0	82
314	Engineering & Design	312	0	0	0	0	0	312
2,753	Environmental Services	2,794	0	0	0	0	0	2,794
1,072	Facilities Management	1,083	0	0	0	0	0	1,083
2,632	Highways & Transportation	2,677	0	0	0	0	0	2,677
(341)	Highways Trading	(341)	0	0	0	0	0	(341)
1,421	Passenger Transport	1,649	0	0	0	0	0	1,649
344	Planning & Development	332	0	0	0	0	0	332
867	Public Protection	863	0	0	0	0	0	863
132	Road Safety	134	0	0	0	0	0	134
400	Strategic Management & Admin	393	0	0	0	0	0	393
908	Street Lighting	926	0	0	0	0	0	926
2,415	Sustainable Transport (Inc Con Fares)	2,463	0	0	0	0	0	2,463
(80)	Vehicle Fleet	(82)	0	0	0	0	0	(82)
5,106	Waste & Environmental Services	5,202	0	0	0	0	0	5,202
17,853	Net Budget Requirement	18,306	0	0	0	0	0	18,306

2021/2022 BUDGET - SERVICE UNIT: BUILDING DESIGN TEAM

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Building Design Team</u>						
616	Direct costs - Employees	627	0	0	0	0	627
66	- Other	67	0	0	0	0	67
682	Total Direct Cost	694	0	0	0	0	694
500	Support Recharges	510	0	0	0	0	510
(1,393)	Income	(1,421)	0	0	0	0	(1,421)
(211)	Gross Budget Requirement	(217)	0	0	0	0	(217)
0	Use Of Departmental Reserves						0
(211)	Net Budget Requirement	(217)	0	0	0	0	(217)

2021/2022 BUDGET - SERVICE UNIT: CAR PARKING

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Car Parking</u>						
209	Direct costs - Employees	209	0	0	0	0	209
701	- Other	715	0	0	0	0	715
910	Total Direct Cost	924	0	0	0	0	924
19	Support Recharges	20	0	0	0	0	20
(1,702)	Income	(1,736)	0	0	0	0	(1,736)
(773)	Gross Budget Requirement	(792)	0	0	0	0	(792)
0	Use Of Departmental Reserves						0
(773)	Net Budget Requirement	(792)	0	0	0	0	(792)

2021/2022 BUDGET - SERVICE UNIT: COMMUNITY SAFETY & ENGAGEMENT

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Community Safety & Engagement</u>						
821	Direct costs - Employees	893	0	0	0	0	893
250	- Other	256	0	0	0	0	256
1,071	Total Direct Cost	1,149	0	0	0	0	1,149
0	Support Recharges	0	0	0	0	0	0
(117)	Income	(186)	0	0	0	0	(186)
954	Gross Budget Requirement	963	0	0	0	0	963
	Use Of Departmental Reserves						0
954	Net Budget Requirement	963	0	0	0	0	963

2021/2022 BUDGET - SERVICE UNIT: CONSTRUCTION SUPPORT SERVICES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Construction Support Services</u>						
247	Direct costs - Employees	242	0	0	0	0	242
349	- Other	228	0	0	0	0	228
596	Total Direct Cost	470	0	0	0	0	470
42	Support Recharges	42	0	0	0	0	42
(778)	Income	(647)	0	0	0	0	(647)
(140)	Gross Budget Requirement	(135)	0	0	0	0	(135)
0	Use Of Departmental Reserves						0
(140)	Net Budget Requirement	(135)	0	0	0	0	(135)

2021/2022 BUDGET - SERVICE UNIT: CONSTRUCTION TEAM

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Construction Team</u>						
1,453	Direct costs - Employees	1,240	0	0	0	0	1,240
2,651	- Other	2,149	0	0	0	0	2,149
4,104	Total Direct Cost	3,389	0	0	0	0	3,389
426	Support Recharges	426	0	0	0	0	426
(4,450)	Income	(3,733)	0	0	0	0	(3,733)
80	Gross Budget Requirement	82	0	0	0	0	82
0	Use Of Departmental Reserves						0
80	Net Budget Requirement	82	0	0	0	0	82

2021/2022 BUDGET - SERVICE UNIT: ENGINEERING & DESIGN

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Engineering & Design</u>						
461	Direct costs - Employees	467	0	0	0	0	467
380	- Other	329	0	0	0	0	329
841	Total Direct Cost	796	0	0	0	0	796
144	Support Recharges	206	0	0	0	0	206
(671)	Income	(690)	0	0	0	0	(690)
314	Gross Budget Requirement	312	0	0	0	0	312
0	Use Of Departmental Reserves						0
314	Net Budget Requirement	312	0	0	0	0	312

2021/2022 BUDGET - SERVICE UNIT: ENVIRONMENTAL SERVICES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Environmental Services</u>						
1,748	Direct costs - Employees	1,827	0	0	0	0	1,827
687	- Other	628	0	0	0	0	628
2,435	Total Direct Cost	2,455	0	0	0	0	2,455
859	Support Recharges	830	0	0	0	0	830
(541)	Income	(491)	0	0	0	0	(491)
2,753	Gross Budget Requirement	2,794	0	0	0	0	2,794
0	Use Of Departmental Reserves						0
2,753	Net Budget Requirement	2,794	0	0	0	0	2,794

2021/2022 BUDGET - SERVICE UNIT: FACILITIES MANAGEMENT

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Facilities Management</u>						
4,002	Direct costs - Employees	4,192	0	0	0	0	4,192
2,846	- Other	2,980	0	0	0	0	2,980
6,848	Total Direct Cost	7,172	0	0	0	0	7,172
295	Support Recharges	266	0	0	0	0	266
(6,071)	Income	(6,355)	0	0	0	0	(6,355)
1,072	Gross Budget Requirement	1,083	0	0	0	0	1,083
0	Use Of Departmental Reserves						0
1,072	Net Budget Requirement	1,083	0	0	0	0	1,083

2021/2022 BUDGET - SERVICE UNIT: HIGHWAYS & TRANSPORTATION

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Highways & Transportation</u>						
558	Direct costs - Employees	487	0	0	0	0	487
2,467	- Other	2,422	0	0	0	0	2,422
3,025	Total Direct Cost	2,909	0	0	0	0	2,909
21	Support Recharges	22	0	0	0	0	22
(414)	Income	(254)	0	0	0	0	(254)
2,632	Gross Budget Requirement	2,677	0	0	0	0	2,677
0	Use Of Departmental Reserves						0
2,632	Net Budget Requirement	2,677	0	0	0	0	2,677

2021/2022 BUDGET - SERVICE UNIT: HIGHWAYS TRADING

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Highways Trading</u>						
747	Direct costs - Employees	613	0	0	0	0	613
617	- Other	617	0	0	0	0	617
1,364	Total Direct Cost	1,230	0	0	0	0	1,230
606	Support Recharges	606	0	0	0	0	606
(2,311)	Income	(2,177)	0	0	0	0	(2,177)
(341)	Gross Budget Requirement	(341)	0	0	0	0	(341)
0	Use Of Departmental Reserves						0
(341)	Net Budget Requirement	(341)	0	0	0	0	(341)

2021/2022 BUDGET - SERVICE UNIT: PASSENGER TRANSPORT

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Passenger Transport</u>						
994	Direct costs - Employees	965	0	0	0	0	965
1,355	- Other	1,581	0	0	0	0	1,581
2,349	Total Direct Cost	2,546	0	0	0	0	2,546
457	Support Recharges	493	0	0	0	0	493
(1,385)	Income	(1,390)	0	0	0	0	(1,390)
1,421	Gross Budget Requirement	1,649	0	0	0	0	1,649
0	Use Of Departmental Reserves					0	0
1,421	Net Budget Requirement	1,649	0	0	0	0	1,649

2021/2022 BUDGET - SERVICE UNIT: PLANNING & DEVELOPMENT

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Planning Services</u>						
1,023	Direct costs - Employees	1,008	0	0	0	0	1,008
101	- Other	74	0	0	0	0	74
1,124	Total Direct Cost	1,082	0	0	0	0	1,082
13	Support Recharges	13	0	0	0	0	13
(793)	Income	(763)	0	0	0	0	(763)
344	Gross Budget Requirement	332	0	0	0	0	332
0	Use Of Departmental Reserves						0
344	Net Budget Requirement	332	0	0	0	0	332

2021/2022 BUDGET - PUBLIC PROTECTION

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Public Protection</u>						
1,172	Direct costs - Employees	1,174	0	0	0	0	1,174
129	- Other	131	0	0	0	0	131
1,301	Total Direct Cost	1,305	0	0	0	0	1,305
41	Support Recharges	42	0	0	0	0	42
(475)	Income	(484)	0	0	0	0	(484)
867	Gross Budget Requirement	863	0	0	0	0	863
0	Use Of Departmental Reserves						0
867	Net Budget Requirement	863	0	0	0	0	863

2021/2022 BUDGET - SERVICE UNIT: ROAD SAFETY

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Road Safety</u>						
251	Direct costs - Employees	255	0	0	0	0	255
2	- Other	2	0	0	0	0	2
253	Total Direct Cost	257	0	0	0	0	257
0	Support Recharges	0	0	0	0	0	0
(121)	Income	(123)	0	0	0	0	(123)
132	Gross Budget Requirement	134	0	0	0	0	134
0	Use Of Departmental Reserves						0
132	Net Budget Requirement	134	0	0	0	0	134

2021/2022 BUDGET - SERVICE UNIT: STRATEGIC MANAGEMENT & ADMIN

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Strategic Management & Admin</u>						
413	Direct costs - Employees	409	0	0	0	0	409
97	- Other	79	0	0	0	0	79
510	Total Direct Cost	488	0	0	0	0	488
905	Support Recharges	923	0	0	0	0	923
(1,015)	Income	(1,018)	0	0	0	0	(1,018)
400	Gross Budget Requirement	393	0	0	0	0	393
0	Use Of Departmental Reserves						0
400	Net Budget Requirement	393	0	0	0	0	393

2021/2022 BUDGET - SERVICE UNIT: STREET LIGHTING

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Street Lighting</u>						
0	Direct costs - Employees	0	0	0	0	0	0
908	- Other	926	0	0	0	0	926
908	Total Direct Cost	926	0	0	0	0	926
0	Support Recharges	0	0	0	0	0	0
0	Income	0	0	0	0	0	0
908	Gross Budget Requirement	926	0	0	0	0	926
0	Use Of Departmental Reserves						0
908	Net Budget Requirement	926	0	0	0	0	926

2021/2022 BUDGET - SERVICE UNIT: SUSTAINABLE TRANSPORT (Inc Concessionary Fares)

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Sustainable Transport (Inc Concessionary Fares)</u>						
0	Direct costs - Employees	0	0	0	0	0	0
2,418	- Other	2,466	0	0	0	0	2,466
2,418	Total Direct Cost	2,466	0	0	0	0	2,466
0	Support Recharges	0	0	0	0	0	0
(3)	Income	(3)	0	0	0	0	(3)
2,415	Gross Budget Requirement	2,463	0	0	0	0	2,463
0	Use Of Departmental Reserves						0
2,415	Net Budget Requirement	2,463	0	0	0	0	2,463

2021/2022 BUDGET - SERVICE UNIT: VEHICLE FLEET

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Vehicle Fleet</u>						
537	Direct costs - Employees	575	0	0	0	0	575
2,222	- Other	2,284	0	0	0	0	2,284
2,759	Total Direct Cost	2,859	0	0	0	0	2,859
215	Support Recharges	278	0	0	0	0	278
(3,054)	Income	(3,219)	0	0	0	0	(3,219)
(80)	Gross Budget Requirement	(82)	0	0	0	0	(82)
0	Use Of Departmental Reserves						0
(80)	Net Budget Requirement	(82)	0	0	0	0	(82)

2021/2022 BUDGET - SERVICE UNIT: WASTE & ENVIRONMENTAL SERVICES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Waste & Environmental Services</u>						
1,755	Direct costs - Employees	1,941	0	0	0	0	1,941
3,101	- Other	3,032	0	0	0	0	3,032
4,856	Total Direct Cost	4,973	0	0	0	0	4,973
1,192	Support Recharges	1,209	0	0	0	0	1,209
(942)	Income	(980)	0	0	0	0	(980)
5,106	Gross Budget Requirement	5,202	0	0	0	0	5,202
0	Use Of Departmental Reserves						0
5,106	Net Budget Requirement	5,202	0	0	0	0	5,202

RESOURCES & DEVELOPMENT DEPARTMENT (INCLUDING LEGAL DEPARTMENT)

DETAILED REVENUE BUDGETS 2021/2022

2021/2022 BUDGET - RESOURCES AND DEVELOPMENT DEPARTMENT (INCL. LEGAL DEPARTMENT) SUMMARY

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	One Off Costs Funded From Depts Reserves	Total Budget 2021/2022 (2+3+4+5+6 +7)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000	(8) £'000
	<u>Resources & Development</u>							
(154)	Benefits	(164)	0	0	0	71	(71)	(164)
(967)	Central Administration Recharges	(987)	0	0	0	0	0	(987)
129	Communications and Marketing	128	0	0	0	0	0	128
1,022	Corporate Finance	1,021	0	0	0	0	0	1,021
607	Corporate Strategy & Public Consultation	581	0	0	0	0	0	581
(143)	Council Tax & Housing Benefits	(143)	0	0	0	0	0	(143)
946	Customer and Support Services	960	0	0	0	10	(10)	960
413	Economic Regeneration	413	0	0	0	0	0	413
475	Human Resources & Health and Safety	500	0	0	0	0	0	500
234	Internal Audit	232	0	0	0	0	0	232
(125)	Registration Services	(129)	0	0	0	5	(5)	(129)
956	Revenues	966	0	0	0	0	0	966
(530)	Revenue & Benefits Central	(516)	0	0	0	0	0	(516)
521	Shared Services	520	0	0	0	47	(47)	520
(81)	Strategic Asset Management	(92)	0	0	0	0	0	(92)
102	Strategic Management	102	0	0	0	0	0	102
10	Training & Equality	10	0	0	0	0	0	10
413	Corporate Management Running Expenses	414	0	0	0	0	0	414
3,828	Net Budget Requirement	3,816	0	0	0	133	(133)	3,816

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	One Off Costs Funded From Depts Reserves	Total Budget 2021/2022 (2+3+4+5+6 +7)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000	(8) £'000
	<u>Legal</u>							
39	Civic Attendants	39	0	0	0	0	0	39
165	Democratic Services	164	0	0	0	0	0	164
509	Legal Services	521	0	0	0	0	0	521
163	Municipal Elections and Registration of Electors	163	0	0	0	14	(14)	163
(7)	Other Office Services	(7)	0	0	0	0	0	(7)
94	Procurement	105	0	0	0	0	0	105
(76)	Reprographics	(82)	0	0	0	0	0	(82)
98	Scrutiny Function	93	0	0	0	0	0	93
129	Support to Members	130	0	0	0	0	0	130
1,114	Net Budget Requirement	1,126	0	0	0	14	(14)	1,126

2021/2022 BUDGET - SERVICE UNIT: BENEFITS

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Benefits						
622	Direct costs - Employees	612	0	0	0	0	612
41	- Other	41	0	0	0	0	41
663	Total Direct Cost	653	0	0	0	0	653
0	Support Recharges	0	0	0	0	0	0
(817)	Income	(817)	0	0	0	71	(746)
(154)	Gross Budget Requirement	(164)	0	0	0	71	(93)
	Use Of Departmental Reserves					(71)	(71)
(154)	Net Budget Requirement	(164)	0	0	0	0	(164)

One off costs Funded from Department Reserves

Funding earmarked to address reduction in DWP Housing Benefit Administration Grant.

2021/2022 BUDGET - SERVICE UNIT: CENTRAL ADMINISTRATION RECHARGES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Central Administration Recharges						
0	Direct costs - Employees	0	0	0	0	0	0
0	- Other	0	0	0	0	0	0
0	Total Direct Cost	0	0	0	0	0	0
0	Support Recharges	0	0	0	0	0	0
(967)	Income	(987)	0	0	0	0	(987)
(967)	Gross Budget Requirement	(987)	0	0	0	0	(987)
	Use Of Departmental Reserves						0
(967)	Net Budget Requirement	(987)	0	0	0	0	(987)

2021/2022 BUDGET - SERVICE UNIT: COMMUNICATIONS AND MARKETING

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Communications and Marketing						
206	Direct costs - Employees	202	0	0	0	0	202
26	- Other	26	0	0	0	0	26
232	Total Direct Cost	228	0	0	0	0	228
0	Support Recharges	0	0	0	0	0	0
(103)	Income	(100)	0	0	0	0	(100)
129	Gross Budget Requirement	128	0	0	0	0	128
	Use Of Departmental Reserves						0
129	Net Budget Requirement	128	0	0	0	0	128

2021/2022 BUDGET - SERVICE UNIT: CORPORATE FINANCE

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Corporate Finance						
1,578	Direct costs - Employees	1,588	0	0	0	0	1,588
81	- Other	81	0	0	0	0	81
1,659	Total Direct Cost	1,669	0	0	0	0	1,669
34	Support Recharges	33	0	0	0	0	33
(671)	Income	(681)	0	0	0	0	(681)
1,022	Gross Budget Requirement	1,021	0	0	0	0	1,021
	Use Of Departmental Reserves						0
1,022	Net Budget Requirement	1,021	0	0	0	0	1,021

2021/2022 BUDGET - SERVICE UNIT: CORPORATE STRATEGY & PUBLIC CONSULTATION

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Corporate Strategy & Public Consultation						
626	Direct costs - Employees	601	0	0	0	0	601
17	- Other	16	0	0	0	0	16
643	Total Direct Cost	617	0	0	0	0	617
0	Support Recharges	0	0	0	0	0	0
(36)	Income	(36)	0	0	0	0	(36)
607	Gross Budget Requirement	581	0	0	0	0	581
	Use Of Departmental Reserves					0	0
607	Net Budget Requirement	581	0	0	0	0	581

2021/2022 BUDGET - SERVICE UNIT: COUNCIL TAX & HOUSING BENEFITS

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Council Tax & Housing Benefits						
0	Direct costs - Employees	0	0	0	0	0	0
29,000	- Other	27,000	0	0	0	0	27,000
29,000	Total Direct Cost	27,000	0	0	0	0	27,000
0	Support Recharges	0	0	0	0	0	0
(29,143)	Income	(27,143)	0	0	0	0	(27,143)
(143)	Gross Budget Requirement	(143)	0	0	0	0	(143)
	Use Of Departmental Reserves						0
(143)	Net Budget Requirement	(143)	0	0	0	0	(143)

2021/2022 BUDGET - SERVICE UNIT: CUSTOMER/SUPPORT SERVICES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Customer/Support Services</u>						
919	Direct costs - Employees	925	0	0	0	0	925
27	- Other	40	0	0	0	10	50
946	Total Direct Cost	965	0	0	0	10	975
0	Support Recharges	0	0	0	0	0	0
0	Income	(5)	0	0	0	0	(5)
946	Gross Budget Requirement	960	0	0	0	10	970
	Use Of Departmental Reserves					(10)	(10)
946	Net Budget Requirement	960	0	0	0	0	960

One off costs Funded from Department Reserves

Funding earmarked for the continued development of the Firmstep System.

2021/2022 BUDGET - SERVICE UNIT: ECONOMIC REGENERATION

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Economic Regeneration</u>						
464	Direct costs - Employees	466	0	0	0	0	466
1,348	- Other	400	0	0	0	0	400
1,812	Total Direct Cost	866	0	0	0	0	866
36	Support Recharges	36	0	0	0	0	36
(1,435)	Income	(489)	0	0	0	0	(489)
413	Gross Budget Requirement	413	0	0	0	0	413
0	Use Of Departmental Reserves	0	0	0	0	0	0
413	Net Budget Requirement	413	0	0	0	0	413

2021/2022 BUDGET - SERVICE UNIT: HUMAN RESOURCES & HEALTH AND SAFETY

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Human Resources & Health and Safety</u>						
700	Direct costs - Employees	730	0	0	0	0	730
7	- Other	5	0	0	0	0	5
707	Total Direct Cost	735	0	0	0	0	735
0	Support Recharges	0	0	0	0	0	0
(232)	Income	(235)	0	0	0	0	(235)
475	Gross Budget Requirement	500	0	0	0	0	500
	Use Of Departmental Reserves					0	0
475	Net Budget Requirement	500	0	0	0	0	500

2021/2022 BUDGET - SERVICE UNIT: INTERNAL AUDIT

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Internal Audit</u>						
259	Direct costs - Employees	258	0	0	0	0	258
5	- Other	5	0	0	0	0	5
264	Total Direct Cost	263	0	0	0	0	263
0	Support Recharges	0	0	0	0	0	0
(30)	Income	(31)	0	0	0	0	(31)
234	Gross Budget Requirement	232	0	0	0	0	232
	Use Of Departmental Reserves						0
234	Net Budget Requirement	232	0	0	0	0	232

2021/2022 BUDGET - SERVICE UNIT: REGISTRATION SERVICES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Registration Services</u>						
4	Direct costs - Employees	0	0	0	0	0	0
9	- Other	9	0	0	0	5	14
13	Total Direct Cost	9	0	0	0	5	14
0	Support Recharges	0	0	0	0	0	0
(138)	Income	(138)	0	0	0	0	(138)
(125)	Gross Budget Requirement	(129)	0	0	0	5	(124)
	Use Of Departmental Reserves					(5)	(5)
(125)	Net Budget Requirement	(129)	0	0	0	0	(129)

One off costs Funded from Department Reserves

Funding earmarked for software costs.

2021/2022 BUDGET - SERVICE UNIT: REVENUES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Revenues</u>						
797	Direct costs - Employees	800	0	0	0	0	800
189	- Other	189	0	0	0	0	189
986	Total Direct Cost	989	0	0	0	0	989
5	Support Recharges	5	0	0	0	0	5
(35)	Income	(28)	0	0	0	0	(28)
956	Gross Budget Requirement	966	0	0	0	0	966
	Use Of Departmental Reserves					0	0
956	Net Budget Requirement	966	0	0	0	0	966

2021/2022 BUDGET - SERVICE UNIT: REVENUE & BENEFITS CENTRAL

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Revenue & Benefits Central						
268	Direct costs - Employees	282	0	0	0	0	282
134	- Other	134	0	0	0	0	134
402	Total Direct Cost	416	0	0	0	0	416
0	Support Recharges	0	0	0	0	0	0
(932)	Income	(932)	0	0	0	0	(932)
(530)	Gross Budget Requirement	(516)	0	0	0	0	(516)
	Use Of Departmental Reserves						0
(530)	Net Budget Requirement	(516)	0	0	0	0	(516)

2021/2022 BUDGET - SERVICE UNIT: SHARED SERVICES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Shared Services						
933	Direct costs - Employees	937	0	0	0	0	937
170	- Other	173	0	0	0	47	220
1,103	Total Direct Cost	1,110	0	0	0	47	1,157
0	Support Recharges	0	0	0	0	0	0
(582)	Income	(590)	0	0	0	0	(590)
521	Gross Budget Requirement	520	0	0	0	47	567
	Use Of Departmental Reserves					(47)	(47)
521	Net Budget Requirement	520	0	0	0	0	520

One off costs Funded from Department Reserves

Earmarked funds for a Shared Services IT investment projects.

2021/2022 BUDGET - SERVICE UNIT: STRATEGIC ASSET MANAGEMENT

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	Strategic Asset Management						
457	Direct costs - Employees	457	0	0	0	0	457
81	- Other	127	0	0	0	0	127
538	Total Direct Cost	584	0	0	0	0	584
21	Support Recharges	28	0	0	0	0	28
(640)	Income	(704)	0	0	0	0	(704)
(81)	Gross Budget Requirement	(92)	0	0	0	0	(92)
	Use Of Departmental Reserves	0	0	0	0	0	0
(81)	Net Budget Requirement	(92)	0	0	0	0	(92)

2021/2022 BUDGET - SERVICE UNIT: STRATEGIC MANAGEMENT

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Strategic Management</u>						
102	Direct costs - Employees	102	0	0	0	0	102
0	- Other	0	0	0	0	0	0
102	Total Direct Cost	102	0	0	0	0	102
0	Support Recharges	0	0	0	0	0	0
0	Income	0	0	0	0	0	0
102	Gross Budget Requirement	102	0	0	0	0	102
	Use Of Departmental Reserves	0	0	0	0	0	0
102	Net Budget Requirement	102	0	0	0	0	102

2021/2022 BUDGET - SERVICE UNIT: TRAINING & EQUALITY

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Training & Equality</u>						
0	Direct costs - Employees	0	0	0	0	0	0
10	- Other	10	0	0	0	0	10
10	Total Direct Cost	10	0	0	0	0	10
0	Support Recharges	0	0	0	0	0	0
0	Income	0	0	0	0	0	0
10	Gross Budget Requirement	10	0	0	0	0	10
	Use Of Departmental Reserves						0
10	Net Budget Requirement	10	0	0	0	0	10

2021/2022 BUDGET - SERVICE UNIT: CORPORATE MANAGEMENT RUNNING EXPENSES

These are 4 budgets, lettered from (A) to (D), which do not fall within a specific Service unit.

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Victoria Park</u>						
0	Direct costs - Employees	0	0	0	0	0	0
0	- Other	0	0	0	0	0	0
0	Total Direct Cost	0	0	0	0	0	0
0	Support Recharges	0	0	0	0	0	0
(19)	Income	(18)	0	0	0	0	(18)
(19)	Gross Budget Requirement A	(18)	0	0	0	0	(18)
	<u>Corporate Management Running Expenses</u>						
278	Direct costs - Employees	278	0	0	0	0	278
6	- Other	6	0	0	0	0	6
284	Total Direct Cost	284	0	0	0	0	284
0	Support Recharges	0	0	0	0	0	0
0	Income	0	0	0	0	0	0
284	Gross Budget Requirement B	284	0	0	0	0	284
	<u>Trade Union Representative</u>						
35	Direct costs - Employees	35	0	0	0	0	35
0	- Other	0	0	0	0	0	0
35	Total Direct Cost	35	0	0	0	0	35
30	Support Recharges	30	0	0	0	0	30
0	Income	0	0	0	0	0	0
65	Gross Budget Requirement C	65	0	0	0	0	65
	<u>Central Council Expenses</u>						
0	Direct costs - Employees	0	0	0	0	0	0
83	- Other	83	0	0	0	0	83
83	Total Direct Cost	83	0	0	0	0	83
0	Support Recharges	0	0	0	0	0	0
0	Income	0	0	0	0	0	0
83	Gross Budget Requirement D	83	0	0	0	0	83
413	Gross Budget Requirement of (A) to (D)	414	0	0	0	0	414
	<u>Use Of Departmental Reserves</u>						
413	Net Budget Requirement	414	0	0	0	0	414

2021/2022 BUDGET - SERVICE UNIT: CIVIC ATTENDANTS

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Civic Attendants</u>						
51	Direct costs - Employees	51	0	0	0	0	51
0	- Other	0	0	0	0	0	0
51	Total Direct Cost	51	0	0	0	0	51
0	Support Recharges	0	0	0	0	0	0
(12)	Income	(12)	0	0	0	0	(12)
39	Gross Budget Requirement	39	0	0	0	0	39
	Use Of Departmental Reserves						0
39	Net Budget Requirement	39	0	0	0	0	39

2021/2022 BUDGET - SERVICE UNIT: DEMOCRATIC SERVICES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Democratic Services</u>						
144	Direct costs - Employees	143	0	0	0	0	143
21	- Other	21	0	0	0	0	21
165	Total Direct Cost	164	0	0	0	0	164
0	Support Recharges	0	0	0	0	0	0
0	Income	0	0	0	0	0	0
165	Gross Budget Requirement	164	0	0	0	0	164
	Use Of Departmental Reserves						0
165	Net Budget Requirement	164	0	0	0	0	164

2021/2022 BUDGET - SERVICE UNIT: LEGAL SERVICES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Legal Services</u>						
620	Direct costs - Employees	621	0	0	0	0	621
39	- Other	38	0	0	0	0	38
659	Total Direct Cost	659	0	0	0	0	659
0	Support Recharges	0	0	0	0	0	0
(150)	Income	(138)	0	0	0	0	(138)
509	Gross Budget Requirement	521	0	0	0	0	521
	Use Of Departmental Reserves						0
509	Net Budget Requirement	521	0	0	0	0	521

2021/2022 BUDGET - SERVICE UNIT: MUNICIPAL ELECTIONS AND REGISTRATION OF ELECTORS

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Municipal Elections and Registration of Electors</u>						
95	Direct costs - Employees	95	0	0	0	14	109
70	- Other	70	0	0	0	0	70
165	Total Direct Cost	165	0	0	0	14	179
0	Support Recharges	0	0	0	0	0	0
(2)	Income	(2)	0	0	0	0	(2)
163	Gross Budget Requirement	163	0	0	0	14	177
	Use Of Departmental Reserves					(14)	(14)
163	Net Budget Requirement	163	0	0	0	0	163

One off costs Funded from Department Reserves

Earmarked funds to manage the Municipal Elections budget.

2021/2022 BUDGET - SERVICE UNIT: OTHER OFFICE SERVICES

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Other Office Services</u>						
62	Direct costs - Employees	62	0	0	0	0	62
0	- Other	0	0	0	0	0	0
62	Total Direct Cost	62	0	0	0	0	62
8	Support Recharges	8	0	0	0	0	8
(77)	Income	(77)	0	0	0	0	(77)
(7)	Gross Budget Requirement	(7)	0	0	0	0	(7)
	Use Of Departmental Reserves						0
(7)	Net Budget Requirement	(7)	0	0	0	0	(7)

2021/2022 BUDGET - SERVICE UNIT: PROCUREMENT

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Procurement</u>						
139	Direct costs - Employees	151	0	0	0	0	151
54	- Other	54	0	0	0	0	54
193	Total Direct Cost	205	0	0	0	0	205
0	Support Recharges	0	0	0	0	0	0
(99)	Income	(100)	0	0	0	0	(100)
94	Gross Budget Requirement	105	0	0	0	0	105
	Use Of Departmental Reserves						0
94	Net Budget Requirement	105	0	0	0	0	105

2021/2022 BUDGET - SERVICE UNIT: REPROGRAPHICS

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Reprographics</u>						
87	Direct costs - Employees	88	0	0	0	0	88
199	- Other	199	0	0	0	0	199
286	Total Direct Cost	287	0	0	0	0	287
0	Support Recharges	0	0	0	0	0	0
(362)	Income	(369)	0	0	0	0	(369)
(76)	Gross Budget Requirement	(82)	0	0	0	0	(82)
	Use Of Departmental Reserves						0
(76)	Net Budget Requirement	(82)	0	0	0	0	(82)

2021/2022 BUDGET - SERVICE UNIT: SCRUTINY

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Scrutiny</u>						
119	Direct costs - Employees	114	0	0	0	0	114
1	- Other	1	0	0	0	0	1
120	Total Direct Cost	115	0	0	0	0	115
0	Support Recharges	0	0	0	0	0	0
(22)	Income	(22)	0	0	0	0	(22)
98	Gross Budget Requirement	93	0	0	0	0	93
	Use Of Departmental Reserves						0
98	Net Budget Requirement	93	0	0	0	0	93

2021/2022 BUDGET - SERVICE UNIT: SUPPORT TO MEMBERS

Approved Budget 2020/2021	Service Unit	Budget Projection 2021/2022	Corporate Budget Pressures/ (Reductions)	Dept Budget Pressures	Dept Budget Reductions to Fund Pressures	One Off Costs	Total Budget 2021/2022 (2+3+4+5 +6)
(1) £'000		(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000
	<u>Support to Members</u>						
73	Direct costs - Employees	74	0	0	0	0	74
50	- Other	50	0	0	0	0	50
123	Total Direct Cost	124	0	0	0	0	124
6	Support Recharges	6	0	0	0	0	6
0	Income	0	0	0	0	0	0
129	Gross Budget Requirement	130	0	0	0	0	130
	Use Of Departmental Reserves						0
129	Net Budget Requirement	130	0	0	0	0	130

COUNCIL

25th February 2021



Report of: Finance and Policy Committee

Subject: COUNCIL PLAN 2021/22 – 2023/24

1. PURPOSE OF REPORT

- 1.1 The purpose of the report is to enable Council to consider the proposed Council Plan 2021/22 – 2023/24 following referral from Finance and Policy Committee.

2. BACKGROUND

- 2.1 The Council Plan forms part of the Council's budget and policy framework as set out in the Constitution.
- 2.2 Prior to the COVID-19 pandemic, work was underway to prepare a new Council Plan to cover the period 2020/21 to 2022/23. Following widespread consultation with the public, elected members, employees and partners, Finance and Policy agreed the vision and strategic priorities on 9th March 2020 and referred the proposal to Council. This referral was due to be considered by Council on the 19th March 2020 however this meeting of Council could not take place and the decision was deferred as the Council focussed on its COVID-19 response.
- 2.3 Due to the outbreak of the COVID-19 pandemic and the cancellation of the Council meeting in March the Council does not have a current adopted Council Plan in place. As the challenges that the Borough faces have changed and evolved from those identified at the start of 2020 the proposed Council Plan 2020-23 has been reviewed and updated to reflect the impact of the pandemic on the Borough.

3. COUNCIL PLAN 2021/22 – 2023/24

- 3.1 The Council Plan 2021/22 – 2023/24 as set out in appendix 1 was agreed for referral to Council by Finance and Policy Committee on 15th February 2020. The Plan establishes a clear vision for Hartlepool and identifies what the

delivery of that vision will mean. This reflects what was identified through consultation with residents, elected members and our public, voluntary, community and private sector partners whilst also recognising the emerging and continually evolving challenges the Council faces from the pandemic.

- 3.2 How the Council delivers on the vision set out in the Council Plan will need to change and evolve throughout the lifetime of the Plan responding to emerging issues and opportunities. Therefore activity to deliver the Council Plan will be captured in a range of delivery plans and regular performance reports will be brought to Finance and Policy Committee outlining the progress being made. Progress will also be shared more widely with Elected Members, our community and partners.
- 3.3 The Committee refers the proposed Council Plan 2021/22 – 2023/24 to Council for approval.

4. RISK IMPLICATIONS

- 4.1 The Council needs appropriate planning and performance management arrangements in place in order to manage its key strategic risks. The Council's Strategic Risk Register captures the strategic risks facing the Council in the delivery of the Council Plan and its key responsibilities and forms one strand of the Council's performance management framework. The Register will be reviewed and updated where necessary to reflect the priorities identified in the new Council Plan. Updates on the Strategic Risk Register will continue to form part of the regular performance reports to Finance and Policy Committee.

5. FINANCIAL CONSIDERATIONS

- 5.1 The new Council Plan has been prepared alongside the Medium Term Financial Strategy and the 5 year Capital Plan as three parts of a single plan to ensure the links between the three are strengthened.

6. LEGAL CONSIDERATIONS

- 6.1 Whilst the Council Plan is not included in the list of plans and strategies required to be approved or adopted by the Local Authority under Regulation 3 of the Local Authorities (Committee System) (England) Regulations 2012, it is recommended good practice to do so and therefore is included as part of the Council's Budget and Policy Framework.

7. CHILD AND FAMILY POVERTY CONSIDERATIONS

- 7.1 The new Council Plan will continue to work to reduce child and family poverty within Hartlepool and a Child and Family Poverty Impact Assessment has been developed and is included as Appendix 2.

8. EQUALITY AND DIVERSITY CONSIDERATIONS

- 8.1 The new Council Plan sets out to respond to the needs of the diverse communities in Hartlepool and to build an inclusive Borough. An Equality Impact Assessment has been developed for the Plan and is included as Appendix 3.

9. CONSULTATION

- 9.1 The Council Plan has been informed by extensive consultation including a 4 week consultation exercise in September 2019, a further 5 week consultation starting in January 2020 and a wide ranging consultation over the summer of 2020. This consultation has included Elected Members through Members Seminars on 27th November 2019, 29th January 2020 and 14th July 2020 and through reports to individual Policy Committees in January and February 2020.

10. OTHER CONSIDERATIONS

10.1

Staff Considerations	No implications
Asset Management Considerations	No implications

11. RECOMMENDATIONS

- 11.1 Council is recommended to agree the Council Plan 2021/22 – 2023/24

12. REASONS FOR RECOMMENDATIONS

- 12.1 Council is responsible for approving the Council Plan as set out in the Budget and Policy Framework.

13. BACKGROUND PAPERS

Developing a new Council Plan 2020/21 – 2022/23 report to Finance and Policy Committee 22nd July 2019.

Council Plan 2020-23 report to Finance and Policy Committee 13th January 2020.

Council Plan 2020-23 report to Finance and Policy Committee 9th March 2020.

COVID-19 Recovery and Renewal Plan and Council Plan 2020-23 Update report to Finance and Policy Committee 30th November 2020.

Council Plan 2021/22 - 2023/24 report to Finance and Policy Committee 15th February 2021.

14. CONTACT OFFICER

Denise McGuckin
Managing Director
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denise.mcguckin@hartlepool.gov.uk

Council Plan 2021/22 – 2023/24**1. Our vision**

	... where people are enabled to live healthy, independent and prosperous lives.	
... where those who are vulnerable will be safe and protected from harm.	Hartlepool will be a place...	... that is sustainable, clean, safe and green.
... of resilient and resourceful communities with opportunities for all.		... that has an inclusive and growing economy
	... with a Council that is ambitious, fit for purpose and reflects the diversity of its community.	

2. What that will mean...**Where people are enabled to live healthy, independent and prosperous lives**

- The appropriate conditions are in place to enable people to make healthier lifestyle choices including reducing obesity levels;
- Individuals are able to better manage long-term conditions and prevent ill health;
- There is increased participation in physical activity through access to fit for purpose leisure, sport and recreational facilities including parks and open spaces;
- There is improved mental, emotional and social wellbeing;
- There are reduced levels of smoking, substance and alcohol misuse in the community;
- Everyone is able to access connectivity, technology and develop skills to enhance their life and provide access to service and opportunities;
- Families and individuals have food security;
- Children in our care and leaving care are cared for, cared about and their life chances are improved;
- Those dying and their families can access high quality, good services ensuring that they can experience 'a good death';

- Everyone will be able to access mental health support where and when they need it, and will be able to navigate through the system easily;
- Individuals will be equipped to manage their condition or move towards individualised recovery on their own terms, surrounded by their families, carers and social networks, and supported in their local community;
- Everyone will contribute to and be participants in the communities that sustain them;
- Adults with care needs are supported to live independently in their own homes for as long as possible;
- There will be access to innovative and community led models of social care focusing on a strength based approach and enabling quality of life;
- The long term impact of COVID 19 on population health is being addressed.

Where those who are vulnerable will be safe and protected from harm

- Fewer children experience harm through abuse, neglect and other adverse childhood experiences;
- Children and young people with Special Educational Needs and Disabilities have improved outcomes;
- Barriers to learning are removed for the most vulnerable children and young people;
- The educational landscape is inclusive to all;
- Individuals will be safeguarded in a way that supports them in making choices and having control in how they choose to live their lives;
- An outcomes approach in safeguarding is promoted that works for everyone;
- Public awareness will be raised so that professionals, other staff and communities as a whole can play their part in preventing, identifying and responding to abuse and neglect;
- There will be integrated support for vulnerable households and those at risk of homelessness;
- There are more housing options available for vulnerable adults;
- Commissioned services are rated good or better by Ofsted or the Care Quality Commission.

Of resilient and resourceful communities with opportunities for all

- All children benefit from good development and learning in their early years and achievement at key stages 1 to 5 is at or above national average;
- All schools and education providers are judged good or outstanding;
- More young people enter Higher Education and advanced apprenticeship pathways;
- There is reduced poverty, deprivation and inequality across the Borough;
- We have empowered and cohesive communities taking ownership of their own future;
- Education and other opportunities are available to address unemployment;

- People are involved in their local community rather than being socially isolated;
- Communities are well served with appropriate facilities;
- Children, young people and adults aspire to be whatever they want in life;
- There is a cross sector and coordinated programme of volunteering;
- We have a strong and diverse voluntary and community sector;
- Everyone can access creative and cultural opportunities to enhance their lives.

That is sustainable, clean, safe and green

- Resources are managed sustainably by reducing our consumption of energy and water;
- Initiatives are in place to tackle climate change;
- We are working with partners and residents to reduce the Borough's carbon emissions and move towards a low carbon economy;
- Sustainable development and transport principles are embedded in our Local Plan policies;
- We have high quality and affordable homes that meet the diverse needs of our residents;
- There is access to good quality, well maintained parks, streets and public spaces;
- We have cleaner neighbourhoods;
- There is reduced environmental crime;
- The potential of vacant buildings and land is maximised to meet the needs of the community and improve their appearance;
- Levels of Anti-Social Behaviour are reduced;
- There are reduced levels of violence including domestic abuse;
- We have a sustainable approach to waste management;
- Land and air quality is improved.

That has an inclusive and growing economy

- There are more and better paid jobs;
- We have a skilled, healthy, motivated and agile workforce;
- Strong partnerships continue to be fostered with the business sector;
- We have growing local businesses;
- There is increased public and private sector investment in the local economy;
- Connectivity across the Borough, region and nationally is improved;
- We have high quality visitor attractions and increased visitor numbers.
- High quality events and festivals for local and visiting population are delivered;
- There is increased interest in attracting developers to the Borough.

With a Council that is ambitious, fit for purpose and reflects the diversity of its community

- We have strong and empowered leadership committed to delivering our vision;
- There is a reduced workspace requirement, with a flexible responsive workforce;
- Potential income sources are maximised;
- Our carbon footprint has reduced;
- Online access to services has increased;
- A customer focused service is delivered;
- We have a healthy, motivated, skilled and diverse workforce;
- The Borough has a positive reputation and improved media coverage.

3. How will we deliver our vision for Hartlepool?

The Council Plan sets out our vision for Hartlepool. How we will get there and what we will do will be captured through a range of delivery plans. We recognise that our approach will need to change and evolve throughout the lifetime of the Plan in order to respond to emerging issues and opportunities.

We have identified a set of key principles which will guide and shape what we do to deliver this Council Plan:

- Leadership – we will fulfil our role as a community leader working to deliver a positive future for our Borough;
- Collaboration – we will work with our communities, the community and voluntary sector and our partners across the public and private sector to deliver on our vision for Hartlepool;
- Inclusivity – we will work to ensure equal access to services and opportunities and we will take positive action to remove the barriers that people may face;
- Openness and transparency – we will be clear about what we are doing and why;
- Commitment – we are committed to delivering our vision and will honour our commitments as a community leader, service provider and commissioner;
- Trust – we will work to develop and build on the trust between the Council, our communities and partners;
- Understanding – we will work to understand the needs, hopes and views of our residents, communities and partners through engagement, consultation and research.

We will share regular performance reports with the Finance and Policy Committee of the Council setting out the progress made in delivering the Council Plan and these will be shared more widely with our community and partners.

POVERTY IMPACT ASSESSMENT

(11) (a) (2)

Appendix 2

1. Is this decision a Budget & Policy Framework or Key Decision? YES

If YES please answer question 2 below

2. Will there be an impact of the decision requested in respect of Child and Family Poverty? YES

If YES please complete the matrix below.

GROUP	POSITIVE IMPACT	NEGATIVE IMPACT	NO IMPACT	REASON & EVIDENCE
Young working people aged 18 - 21	X			<p>The Council Plan will focus on ensuring:</p> <ul style="list-style-type: none"> • there are more and better paid jobs; • barriers to learning are removed for the most vulnerable children and young people; • all children benefit from good development and learning; • more young people enter higher education and advanced apprenticeship pathways; • education and other opportunities are available to address unemployment; • Children, young people and adults aspire to be whatever they want in life.
Those who are disabled or suffer from illness / mental illness	X			<p>The Council Plan will focus on ensuring:</p> <ul style="list-style-type: none"> • individuals are able to better manage long-term conditions and prevent ill health; • there is improved mental, emotional and social wellbeing; • Everyone will be able to access mental health support where and when they need it; • education and other opportunities are available to address unemployment; • Children, young people and adults aspire to be whatever they want in life; • There is a skilled, healthy, motivated and agile workforce in Hartlepool and within the Council.
Those with low educational attainment	X			<p>The Council Plan will focus on ensuring:</p> <ul style="list-style-type: none"> • there are more and better paid jobs;

POVERTY IMPACT ASSESSMENT

(11) (a) (2)

GROUP	POSITIVE IMPACT	NEGATIVE IMPACT	NO IMPACT	REASON & EVIDENCE
Those who are unemployed	X			<ul style="list-style-type: none"> education and other opportunities are available to address unemployment; Children, young people and adults aspire to be whatever they want in life.
Those who are underemployed	X			
Children born into families in poverty	X			<p>The Council Plan will focus on ensuring:</p> <ul style="list-style-type: none"> Families and individuals have food security; barriers to learning are removed for the most vulnerable children and young people; all children benefit from good development and learning; more young people enter higher education and advanced apprenticeship pathways; education and other opportunities are available to address unemployment; Children, young people and adults aspire to be whatever they want in life.
Those who find difficulty in managing their finances	X			<p>The Council Plan will focus on ensuring:</p> <ul style="list-style-type: none"> Families and individuals have food security; There will be integrated support for vulnerable households and those at risk of homelessness; We have high quality, affordable homes.
Lone parents	X			<p>The Council will work to ensure equal access to services and opportunities and take positive action to remove the barriers that people may face.</p>
Those from minority ethnic backgrounds	X			<p>The Council will work to ensure equal access to services and opportunities and take positive action to remove the barriers that people may face.</p>

POVERTY IMPACT ASSESSMENT

(11) (a) (2)

Poverty is measured in different ways. Will the policy / decision have an impact on child and family poverty and in what way? Yes, the Council Plan will focus on ensuring that there is reduced poverty, deprivation and inequality across the Borough.

Poverty Measure (examples of poverty measures appended overleaf)	POSITIVE IMPACT	NEGATIVE IMPACT	NO IMPACT	REASON & EVIDENCE
Children in Low Income Families (%)	X			<p>In delivering the Council Plan vision there should be a positive impact on all of the listed measures of poverty as people will:</p> <ul style="list-style-type: none"> • be enabled to make healthier lifestyle choices; • be better able to manage long-term conditions and prevent ill health; • have improved access to connectivity, technology and skills development in order to enhance their lives and provide access to services and opportunities; • have food security; • not face barriers to learning - for the most vulnerable children and young people; • be able to access integrated support for vulnerable adults and those at risk of homelessness; • benefit from good development and learning – all children; • have access to education and other opportunities to address unemployment; • have access to high quality and affordable homes; • have access to more and better paid jobs.
Children in Working Households (%)	X			
Overall employment rate (%)	X			
Proportion of young people who are NEET	X			
Adults with Learning difficulties in employment	X			
Free School meals attainment gap (key stage 2 and key stage 4)	X			
Gap in progression to higher education FSM / Non FSM	X			
Achievement gap between disadvantaged pupils and all pupils (key stage 2 and key stage 4)	X			
Number of affordable homes built	X			
Prevalence of obese children in reception year	X			
Prevalence of obese children in reception year 6	X			
Overall impact of Policy / Decision				
POSITIVE IMPACT		X	ADJUST / CHANGE POLICY / SERVICE	
NO IMPACT / NO CHANGE			STOP / REMOVE POLICY / SERVICE	
ADVERSE IMPACT BUT CONTINUE				

Department	Division	Section	Owner/Officer			
All – R&D Lead	CS	CS&P Team	Claire McLaren / Catherine Grimwood			
Service, policy, practice being reviewed/changed or planned	Council Plan 2021/22 – 2023/24					
Why are you making the change?	A new 3-year Council Plan being prepared as the previous plan has come to an end.					
How might this impact (positively/negatively) on people who share protected characteristics?						
The Council Plan will focus on ensuring that there is reduced poverty, deprivation and inequality across the Borough. Also, a key principle that will guide and shape the delivery of the Council Plan is that the Council will work to ensure equal access to services and opportunities and take positive action to remove the barriers that people may face. Overall, this Plan will have a positive impact on all people who share protected characteristics.						
<i>Please tick</i>		POSITIVELY	NEGATIVELY			
Age		X				
The Council Plan will focus on ensuring: <ul style="list-style-type: none"> • individuals are able to better manage long-term conditions and prevent ill health; • Children, young people and adults aspire to be whatever they want in life; • Adults with care needs are supported to live independently in their own homes for as long as possible. 						
Disability		X				
The Council Plan will focus on ensuring: <ul style="list-style-type: none"> • individuals are able to better manage long-term conditions and prevent ill health; • There is improved mental, emotional and social wellbeing; • Children and young people with SEND have improved outcomes; • Everyone will be able to access mental health support where and when they need it; • Individuals will be equipped to manage their condition or move towards individualised recovery on their own terms; • Adults with care needs are supported to live independently in their own homes for as long as possible; • There are more housing options for vulnerable adults. 						
Gender Re-assignment		X				
No specific impact identified however the Council will work to ensure equal access to services and opportunities and take positive action to remove the barriers that people may face.						
Race		X				
No specific impact identified however the Council will work to ensure equal access to services and opportunities and take positive action to remove the barriers that people may face.						
Religion		X				
No specific impact identified however the Council will work to ensure equal access to services and opportunities and take positive action to remove the barriers that people may face.						
Gender		X				
No specific impact identified however the Council will work to ensure equal access to services and opportunities and take positive action to remove the barriers that people may face.						
Sexual Orientation		X				
No specific impact identified however the Council will work to ensure equal access to services and opportunities and take positive action to remove the barriers that people may face.						

Marriage & Civil Partnership		X	
No specific impact identified however the Council will work to ensure equal access to services and opportunities and take positive action to remove the barriers that people may face.			
Pregnancy & Maternity		X	
No specific impact identified however the Council will work to ensure equal access to services and opportunities and take positive action to remove the barriers that people may face.			
Has there been consultation /is consultation planned with people who will be affected by this policy? How has this affected your decision making?		<p>The Council Plan has been informed by extensive consultation including a 4 week consultation exercise in September 2019, a further 5 week consultation starting in January 2020 and a wide ranging consultation over the summer of 2020. This consultation has included Elected Members through Members Seminars on 27th November 2019, 29th January 2020 and 14th July 2020 and through reports to individual Policy Committees in January and February 2020.</p> <p>The findings and feedback on previous drafts of the Council Plan have informed the proposed Council Plan 2021/22 – 2023/24. This will be presented to Council in February 2021 for approval.</p>	
As a result of your decision how can you mitigate negative/maximise positive outcomes and foster good relationships?		<p>It is not anticipated that the proposals included in the Council Plan will have any negative outcomes for those with protected characteristics.</p> <p>A range of opportunities have been provided to feed into the development of the Council Plan and it is anticipated that this will maximise positive outcomes and foster good relations.</p>	
Describe how you will address and monitor the impact		1. No Impact - No Major Change	
Initial Assessment	26/01/21	Reviewed	00/00/00
Completed	00/00/00	Published	00/00/00



Report of: Managing Director

Subject: BUSINESS REPORT

1. SPECIAL URGENCY DECISION

In accordance with the requirements of the Access to Information Procedure Rules included in the Council's Constitution, Full Council is informed that one special urgency decision was taken in the period November 2020 – January 2021 as set out below:-

Decision –

- a) Extend the period in which the NMRN can exercise the existing break clause in their lease; and
- b) Extend the payment of a subsidy for a further 12 months

Decision Route –

Finance and Policy Committee – 30 November 2020

Reason(s) for Urgency –

NMRN have made an urgent request for support in anticipation of an impending / imminent deadline in which they can exercise their contractual rights. It is agreed that the taking of the decisions on these proposals cannot reasonably be deferred without harming the interests of the Council.

Council is requested to note the report.

2. POLITICAL PROPORTIONALITY REVIEW

At the meeting of Full Council held on 28 January 2021, Elected Members were advised that Section 15 of the Local Government and Housing Act 19889 requires that a proportionality review is undertaken as soon as practicable after there is delivered to the proper officer a notice under regulation 8 (notice by a political group stating the constitution of the political group).

Notices have been received from the Labour Group and the newly formed Hartlepool People Group so a review was necessary and has now been undertaken.

The results of the review have been circulated to all group leaders and independent councillors alike (**APPENDIX**) and groups who are entitled to allocate seats have now done so. Full Council is now asked to confirm the following group appointments:

- Cllr A Prince and Cllr J Lindridge are appointed to Finance and Policy Committee. Cllr P Browns membership of this committee is terminated.
- Cllr A Prince is appointed to the Regeneration Services Committee
- Cllr P Brown is appointed to Licensing Committee.
- Cllr H Howson and Cllr P Brown are appointed to Planning Committee. Cllr J Lindridge's membership of this committee is terminated.
- Cllr Hunter is appointed to Constitution Committee
- Cllr Hunter is appointed to Civic Honours Committee

The following seats are currently occupied by Cllrs Lindridge, Hunter and/or Brown by virtue of their previous membership of the Labour Group. The Cllr's have expressed a wish to continue in their role subject to challenge from any other member:

- Regeneration Services - Cllr Lindridge is currently a member of this committee and no other member has expressed an interest in challenging this seat.
- Licensing - Cllr Hunter is currently a member of this committee and no other member has expressed an interest in challenging this seat.
- Appointments - Cllr Brown is currently a member of this committee and no other member has expressed an interest in challenging this seat.
- Civic Honours - Cllr Brown is currently a member of this committee and no other member has expressed an interest in challenging this seat.
- Childrens Services - Cllr Lindridge is currently a member of this committee but Cllr K King has put herself forward to challenge for this seat.

Following a request by Councillor Tony Richardson to contest a number of the nominations made for available committee seats, and his commitment to attend meetings and training, Council requested that the Audit and Governance Committee review the imposed sanctions to determine if they should be lifted.

The Audit and Governance Committee considered a report on 11 February and the recommendations of the Committee are included in a separate agenda item to this meeting. Full Council is, therefore, requested to consider the appointments set out above.

3. COVID UPDATE

An update will be provided reflecting the Prime Ministers announcement 22nd February 2021, when it is anticipated a roadmap out of the current COVID restrictions will be made.

4. COMMUNITY GOVERNANCE REVIEW

Elected members will recall that that Full Council approved the terms of reference under which two community governance reviews would be undertaken. These reviews arose following valid petitions as follows:

- Residents of Wynyard have proposed that Wynyard be removed from Elwick Parish Council and that a stand-alone Wynyard Parish Council (Hartlepool) be established.
- Residents of South Fens have proposed that South Fens be removed from Greatham Parish Council but without an alternative Parish Council being established.

In accordance with the terms of reference the consultations have now concluded. Identical reports have been considered by both the Audit and Governance Committee and Finance and Policy Committee in respect of each proposal (attached as appendix A and B). Those committees have been invited to express a view as to the extent that the consultation proposals are implemented. On 11 February 2021 the Audit and Governance Committee considered the reports and expressed its unanimous support to fully implement the proposals. On 15 February 2021 the Finance and Policy Committee considered the reports and expressed its unanimous support to fully implement the proposals.

In accordance with the consultation responses and the views that have been expressed by committees, Full Council is requested to instruct the Chief Solicitor to draft reorganisation orders to give effect to the following proposals in readiness for the next ordinary parish elections:

Elwick Community Governance Review:

- 1) That the area in question should be removed from Elwick Parish Council and a stand-alone Wynyard Parish Council (Hartlepool) be established in relation to that area.
- 2) That the newly formed Wynyard Parish Council (Hartlepool) be comprised of 7 councillors and Elwick Parish Council remains comprised of 7 councillors.

Greatham Community Governance Review:

- 3) That the area in question be removed from Greatham Parish Council.

- 4) That Greatham Parish Council remains comprised of 7 councillors.

5. PAY POLICY 2020/2021

Under Section 38 of the Localism Act (2011), Full Council has to approve a Pay Policy Statement on an annual basis. The updated document for 2021/22 is attached at Appendix A for Council's consideration. The document has been updated with the current information and includes changes in the following paragraphs:

- i. 3.2 Updated in line with wording negotiated with Trade Unions in the Single Status Agreement.
- ii. 4.1 Updated to give greater clarity in line with supplementary guidance under the Openness and Accountability in Local Pay document.
- iii. 8.3 New paragraph to explain Council responsibility for severance packages (identified at over £100K).

Full Council is requested to approve the Pay Policy Statement 2021/22 which is attached as Appendix A.

6. TREASURY MANAGEMENT STRATEGY

The Local Government Act 2003 requires the Council to 'have regard to' the CIPFA Prudential Code and to set prudential indicators for the next three years to ensure that the Authority's capital investment plans are affordable, prudent and sustainable.

The Act therefore requires the Council to determine a Treasury Management Strategy for borrowing and to prepare an Annual Investment Strategy, which sets out the Council's policies for managing its investments and for giving priority to the security and liquidity of those investments. The Secretary of State has issued Guidance on Local Government Investments which came into force on 1st April, 2004. This guidance recommends that all Local Authorities produce an Annual Investment Strategy that is approved by full Council, which is also included in this report.

The Council is required to nominate a body to be responsible for ensuring effective scrutiny of the Treasury Management Strategy and policies, before making recommendations to Council. This responsibility has been allocated to the Audit and Governance Committee.

The recommended Treasury Management Strategy was considered by the Audit and Governance Committee on the 9th January 2021 and this report is attached as Appendix 1.

The Audit and Governance Committee scrutinised the proposed Treasury Management strategy and approved that the recommended strategy be referred to full Council.

RECOMMENDATIONS

It is recommended that Members note the report and the recommendation from the Audit and Governance Committee to approve the following detailed recommendations for the 2021/22 Treasury Management Strategy and related issues;

Borrowing Strategy 2021/22

- i) **Core borrowing requirement** – following the securing of exceptionally low interest rates approve that the remainder of the under borrowing is netted down against investments.
- ii) To note that in the event of a change in economic circumstances that the Director of Resources and Development may take out additional borrowing if this secures the lowest long term interest cost.
- iii) To authorise the Director of Resources and Development to implement Treasury Management arrangements which minimise the short and long term cost to the Council.

Investment Strategy 2021/22

- iv) Approve the Counterparty limits as set out in paragraph 8.7 of Appendix 1.

Minimum Revenue Provision (MRP) Statement

- v) Approve the MRP statement outlined in paragraph 9.3 of Appendix 1.

Prudential Indicators 2021/22

- xi) Approve the prudential indicators outlined in Appendix 2.

Committee	Total Seats*	Labour		Coalition		Socialist Labour		Putting Seaton First		Independent (Hall)		Independent (Lauderdale)		Independent (Richardson)		Hartlepool People Group		Independent (Lindridge)		Independent (For Britain Movement)	
		6		11		4		2		1		1		1		2		1		1	
Ceremonial Mayor																					
Leader of Council		Actual	Entitled	Actual	Entitled	Actual	Entitled	Actual	Entitled	Actual	Entitled	Actual	Entitled	Actual	Entitled	Actual	Entitled	Actual	Entitled	Actual	Entitled
Finance and Policy	11	1	2	4	4	2	1	2	1	0	0	0	0	0	0	1	1	1	0	0	0
Adult & Community Based Services	7	3	1	3	2	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0
Children's Services	7	2	1	1	2	0	1	2	0	0	0	1	0	0	0	0	0	1	0	0	0
Neighbourhood Services	7	2	1	2	2	2	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0
Regeneration Services	7	0	1	2	2	2	1	1	0	0	0	0	0	0	0	0	0	1	0	0	0
Audit and Governance	7	2	1	3	2	1	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
Licensing	12	3	2	5	4	0	1	1	1	1	0	0	0	0	0	2	1	0	0	0	0
Planning	11	1	2	6	4	2	1	0	1	0	0	0	0	0	0	1	1	1	0	0	0
Constitution	9	3	2	4	3	2	1	0	1	0	0	0	0	0	0	1	1	0	0	0	0
Appointments	8	1	1	4	3	1	1	1	0	0	0	0	0	0	0	1	0	0	0	0	0
Civic Honours	5	0	1	2	2	1	1	0	0	0	0	0	0	0	0	2	0	0	0	0	0
	91	18	15	36	30	13	11	9	4	2	0	1	0	0	0	8	4	4	0	0	0

FINANCE AND POLICY COMMITTEE

15 February 2021



Report of: Chief Solicitor

Subject: GREATHAM COMMUNITY GOVERNANCE REVIEW

1. PURPOSE OF REPORT

- 1.1 This report presents feedback from consultation with stakeholders in Greatham Parish and invites this committee to formulate a view upon the extent to which the proposals are implemented for consideration by Full Council.

2. BACKGROUND

- 2.1 A community governance review enables a principal council to review and put in place new arrangements and make changes to current community governance systems and structures, for example by creating, merging, abolishing or changing parish or town councils in the review area.
- 2.2 The Government has emphasized that the aim of a review should be to bring about improved community engagement, more cohesive communities, better local democracy, and result in more effective and convenient delivery of services.
- 2.3 All principal councils have a legal duty to carry out a community governance review if they receive a valid petition. For a petition to be valid it must be signed by 250 residents.
- 2.4 Upon receipt of valid petitions the Council must, as a matter of law undertake a community governance review and must do so in accordance with the statutory guidance.
- 2.5 The Department for Communities and Local Government (“DCLG”) has produced guidance for Principal Councils on undertaking community governance reviews and it has been duly considered in our proposed approach. The guidance highlights the legislative requirements that a review must have regard to, namely that it:- reflects the identities and interests of the community in the area under review, and is effective and convenient.

Influencing factors that should also be considered are:- the impact of community governance arrangements on community cohesion, and the size, population and boundaries of a local community or parish.

- 2.6 On 05 March 2020 Hartlepool Borough Council received a valid petition from 257 residents proposing that the area of the existing parish, immediately to the north of Stockton Road (South Fens) (see appendix 1) be removed from Greatham Parish Council but without an alternative Parish Council being established.
- 2.7 Receipt of the petition was reported to Full Council on 19 March 2020 and the terms of reference (including timetable) were approved by Full Council on 20 October 2020.
- 2.8 There have been two periods of consultation (23/10/20 – 04/12/20 and - 18/12/20 - 29/02/21) and responses have been invited from all local government electors in the area under review, the parish council, local businesses and public and voluntary organisations (such as schools and health bodies). Letters were sent to all residents and the consultation was also publicised in the Hartlepool Mail and on the council's social media accounts.
- 2.9 Of the 925 consultation letters sent, 92 responses were returned (10% response). Of those responses 77% (71 people) supported the proposal, 19% (17 people) were against the proposal and 4% (4 people) expressed no opinion. These consultation responses must also be considered alongside the 257 residents of the area who had signed and supported the originating petition.
- 2.10 Of those who support the proposal a common theme is that the residents of Greatham Village and those of South Fens do not view themselves as being part of a single cohesive community. They point to the geographical differences, the clear dividing boundary (the A689) and that the distinct nature and appearance of the areas.
- 2.11 Of those who oppose the proposal it is a common theme that the status quo should be maintained, change is not necessary and that the two areas share a sense of togetherness.
- 2.12 Members attention is drawn to the following issues from the guidance:
 - The general rule that a parish is based on an area which reflects community identity and interest and which is a size of a viable administrative unit of local government.
 - New or revised parish electoral arrangements come into force at ordinary parish elections, rather than parish by-elections, so they usually have to wait until the next scheduled parish elections. They can come into force sooner only if the terms of office of sitting parish councillors are cut so that earlier parish elections may be held for terms

of office which depend on whether the parish is to return to its normal year of election.

- There is a wide variation of council size between parish councils. That variation appears to be influenced by population. Research by the Aston Business School Parish and Town Councils in England (HMSO, 1992), found that the typical parish council representing less than 500 people had between five and eight councillors; those between 501 and 2,500 had six to 12 councillors. The National Association of Local Councils Circular 1126 suggested that the minimum number of councillors for any parish should be seven and the maximum 25.
- The 2006 local government white paper, Strong and prosperous communities, stated: We will make it clear that there will be a presumption in favour of the setting up of parish councils so that local authorities will be expected to grant communities' requests to set up new parish councils, except where there are good reasons not to, and that existing parish councils are not to be abolished against the wishes of local people. The Coalition Government's 2013 consultation paper on setting up new parish councils said: We believe that localism is best achieved when it is led by the local communities themselves. We see town and parish councils as playing a vital role in helping local people to make this happen.

3. PROPOSALS/ISSUES FOR CONSIDERATION

3.1 The Committee is asked to formulate a view in relation to the below to assist Full Council in its consideration of the community governance review:-

i) Should the area in question be removed from Greatham Parish Council?

3.2 If 'yes' to (i) above:

ii) What should be the council size (number of councillors) in the Greatham Parish?

Greatham Village has 773 electors and the guidance would suggest that 7 councillors would remain an appropriate number.

4. FINANCIAL CONSIDERATIONS

4.1 If a decision is made to remove the proposed area from the Parish Council there may be a small impact on the Council's budget in terms of a reduction in the concurrent functions payment made to the parish council for grass cutting services undertaken by the parish council. The payment amounts to £1385 per annum and relates to services which can either be carried out by Hartlepool Council or the Parish. This will need to be reviewed to reflect the

reduced area and the saving will need to be allocated to meet the costs of the Council undertaking these services directly.

- 4.2 From the Parish Council's perspective the removal of the proposed area would reduce the properties paying the Council Tax precept levied by the Parish Council and they would need to address this reduction in income by reducing spending, or increasing the Council Tax precept they levy.

5. LEGAL CONSIDERATIONS

- 5.1 It is a legal requirement under the Local Government and Public Involvement in Health Act 2007 to undertake a community governance review in response to the receipt of a valid petition.

6. RECOMMENDATIONS

- 6.1 That this Committee formulates a view on the proposals, outlined in Section 3 above, as to the extent that the consultation proposals are implemented.
- 6.2 That the Committee's views be included within the Managing Director's business report to assist Full Council on 25 February 2021 in its consideration of the proposals.

7. REASONS FOR RECOMMENDATIONS

- 7.1 Functions relating to the conduct of Community Governance Review under Part 4 of the Local Government and Public Involvement in Health Act 2007 are reserved exclusively to Full Council. Views of this committee are invited to feed into the Managing Director's business report.

8. BACKGROUND PAPERS

- 8.1 Guidance on community governance reviews - https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/8312/1527635.pdf
- 8.2 Full Council - 19 March 2020 and 20 October 2020 (report and minutes)
- 8.3 Consultation responses are available should any member wish to review them.

9. CONTACT OFFICER

- 9.1 Neil Wilson
Assistant Chief Solicitor
Neil.wilson@hartlepool.gov.uk
TEL: 01429 284 383

Sign Off:-

Managing Director



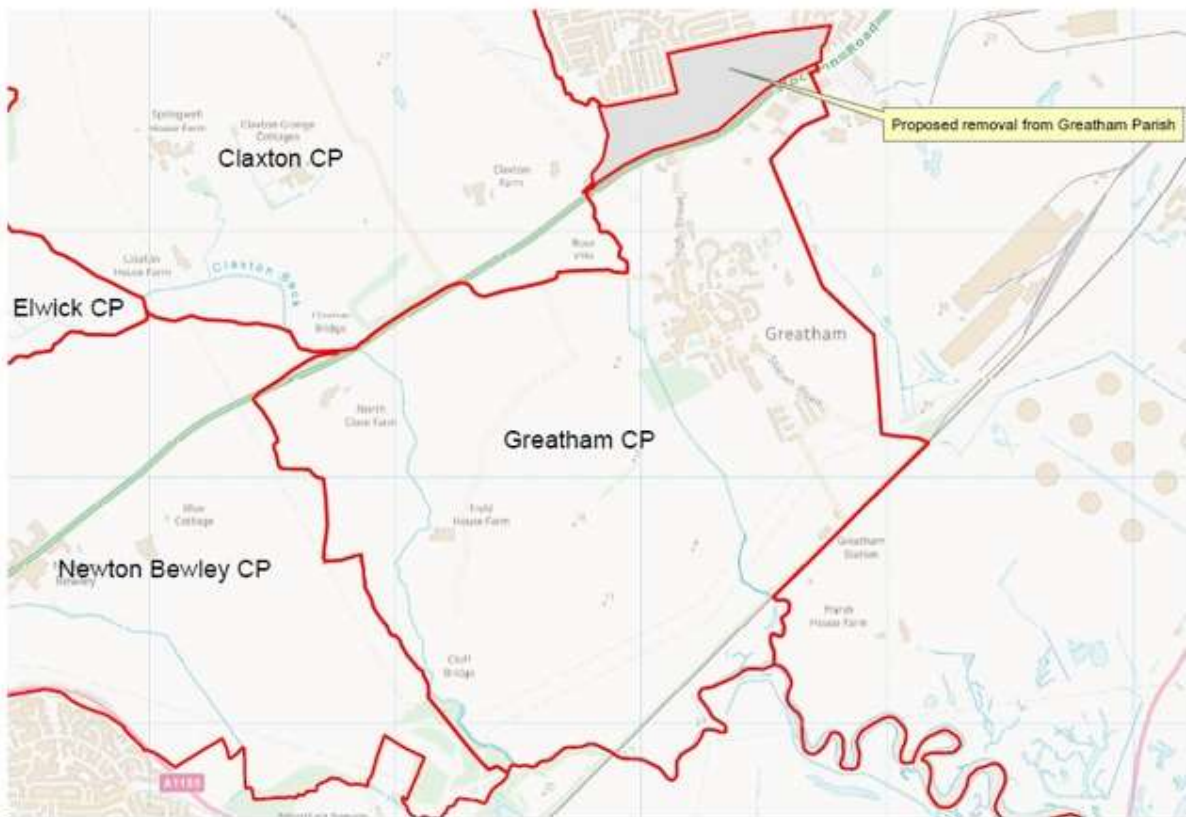
Director of Resources and Development



Chief Solicitor



13. Appendix 1



FINANCE AND POLICY COMMITTEE

15 February 2021



Report of: Assistant Chief Solicitor

Subject: ELWICK COMMUNITY GOVERNANCE REVIEW

1. PURPOSE OF REPORT

- 1.1 This report presents feedback from consultation with stakeholders in Elwick Parish and invites this committee to formulate a view upon the extent to which the proposals are implemented for consideration by Full Council.

2. BACKGROUND

- 2.1 A community governance review enables a principal council to review and put in place new arrangements and make changes to current community governance systems and structures, for example by creating, merging, abolishing or changing parish or town councils in the review area.
- 2.2 The Government has emphasized that the aim of a review should be to bring about improved community engagement, more cohesive communities, better local democracy, and result in more effective and convenient delivery of services.
- 2.3 All principal councils have a legal duty to carry out a community governance review if they receive a valid petition. For a petition to be valid it must be signed by 250 residents.
- 2.4 Upon receipt of valid petitions the Council must, as a matter of law undertake a community governance review and must do so in accordance with the statutory guidance.
- 2.5 The Department for Communities and Local Government ("DCLG") has produced guidance for Principal Councils on undertaking community governance reviews and it has been duly considered in our proposed approach. The guidance highlights the legislative requirements that a review must have regard to, namely that it: - reflects the identities and interests of the community in the area under review, and is effective and convenient. Influencing factors that should also be considered are: - the impact of community governance arrangements on community cohesion, and the size, population and boundaries of a local community or parish.

- 2.6 On 6 February 2020 Hartlepool Borough Council received a valid petition from 281 residents proposing that the area of the existing parish, immediately to west of Close Beck Wynyard (see **Appendix 1**) be removed from Elwick Parish Council and that a stand-alone Wynyard Parish Council (Hartlepool) be established in relation to that area. Ten numbered points were made in support of the petition (see **Appendix 2**).
- 2.7 Receipt of the petition was reported to Full Council on 19 March 2020 and the terms of reference (including timetable) were approved by Full Council on 20 October 2020.
- 2.8 There have been two periods of consultation (23/10/20 – 04/12/20 and - 18/12/20 - 29/02/21) and responses have been invited from all local government electors in the area under review, the parish council, local businesses and public and voluntary organisations (such as schools and health bodies). Letters were sent to all residents and the consultation was also publicised in the Hartlepool Mail and on the council's social media accounts.
- 2.9 Of the 745 consultation letters sent, 93 responses were returned (12.5% response). Of those responses 97.8% (91 people) have been supportive of the proposal. Only 2.2% (2 people) have objected to the proposal, both of whom point to the additional level of bureaucracy that an additional parish would create. These consultation responses must also be considered alongside the 281 residents of the area who had signed the original petition.
- 2.10 A consistent theme in the support for the proposals is that Wynyard has evolved into a community with a clearly identifiable and cohesive character that is separate and distinct from the rural nature of Elwick. The responses also point to benefits of decisions being made on a local level and that a newly formed parish will be best placed to make and implement those decisions.
- 2.11 The proposal has the support of the Wynyard Residents Association and Elwick Parish Council.
- 2.12 Members attention is drawn to the following issues from the guidance:
- The general rule that a parish is based on an area which reflects community identity and interest and which is a size of a viable administrative unit of local government.
 - New or revised parish electoral arrangements come into force at ordinary parish elections, rather than parish by-elections, so they usually have to wait until the next scheduled parish elections. They can come into force sooner only if the terms of office of sitting parish councillors are cut so that earlier parish elections may be held for terms of office which depend on whether the parish is to return to its normal year of election.

- There is a wide variation of council size between parish councils. That variation appears to be influenced by population. Research by the Aston Business School Parish and Town Councils in England (HMSO, 1992), found that the typical parish council representing less than 500 people had between five and eight councillors; those between 501 and 2,500 had six to 12 councillors. The National Association of Local Councils Circular 1126 suggested that the minimum number of councillors for any parish should be seven and the maximum 25.
- The 2006 local government white paper, Strong and prosperous communities, stated: We will make it clear that there will be a presumption in favour of the setting up of parish councils so that local authorities will be expected to grant communities' requests to set up new parish councils, except where there are good reasons not to, and that existing parish councils are not to be abolished against the wishes of local people. The Coalition Government's 2013 consultation paper on setting up new parish councils said: We believe that localism is best achieved when it is led by the local communities themselves. We see town and parish councils as playing a vital role in helping local people to make this happen.

3. PROPOSALS/ISSUES FOR CONSIDERATION

3.1 The Committee is asked to formulate a view in relation to the below to assist Full Council in its consideration of the community governance review:-

i) Should the area in question be removed from Elwick Parish Council?

3.2 If 'yes' to (i) above:

ii) Should a stand-alone Wynyard Parish Council (Hartlepool) be established in relation to that area?

iii) What should be the council size (number of councilors) in the new parish?

iv) What should be the council size (number of councilors) in the Elwick Parish?

The proposed Wynyard Parish Council (Hartlepool) has 704 electors and Elwick Village has 491. The guidance would suggest that 7 councillors would be an appropriate number on each.

4. FINANCIAL CONSIDERATIONS

4.1 If a decision is made to remove the proposed area from the Parish Council there may be a small impact on the Council's budget in terms of a reduction in the concurrent functions payment made to the Parish Council for grass cutting services undertaken by the Parish Council. The payment amounts to £7,446 per annum and relates to services which can either be carried out by

Hartlepool Council or the Parish. This will need to be reviewed to reflect the reduced area and the saving will need to be allocated to meet the costs of the Council undertaking these services directly.

- 4.2 From the Parish Council's perspective the removal of the proposed area would reduce the properties paying the Council Tax precept levied by the Parish Council and they would need to address this reduction in income by reducing spending, or increasing the Council Tax precept they levy.

5. LEGAL CONSIDERATIONS

- 5.1 It is a legal requirement under the Local Government and Public Involvement in Health Act 2007 to undertake a community governance review in response to the receipt of a valid petition.

6. RECOMMENDATIONS

- 6.1 That this Committee formulates a view on the proposals, outlined in Section 3 above, as to the extent that the consultation proposals are implemented.
- 6.2 That the Committee's views be included within the Managing Director's business report to assist Full Council on 25 February 2021 in its consideration of the proposals.

7. REASONS FOR RECOMMENDATIONS

- 7.1 Functions relating to the conduct of Community Governance Review under Part 4 of the Local Government and Public Involvement in Health Act 2007 are reserved exclusively to Full Council. Views of this committee are invited to feed into the Managing Director's business report.

8. BACKGROUND PAPERS

- 8.1 Guidance on community governance reviews - https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/8312/1527635.pdf
- 8.2 Full Council - 19 March 2020 and 20 October 2020 (report and minutes)
- 8.3 Consultation responses are available should any member wish to review them.

9. CONTACT OFFICER

- 9.1 Neil Wilson
Assistant Chief Solicitor
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TEL: 01429 284 383

Sign Off:-

Managing Director



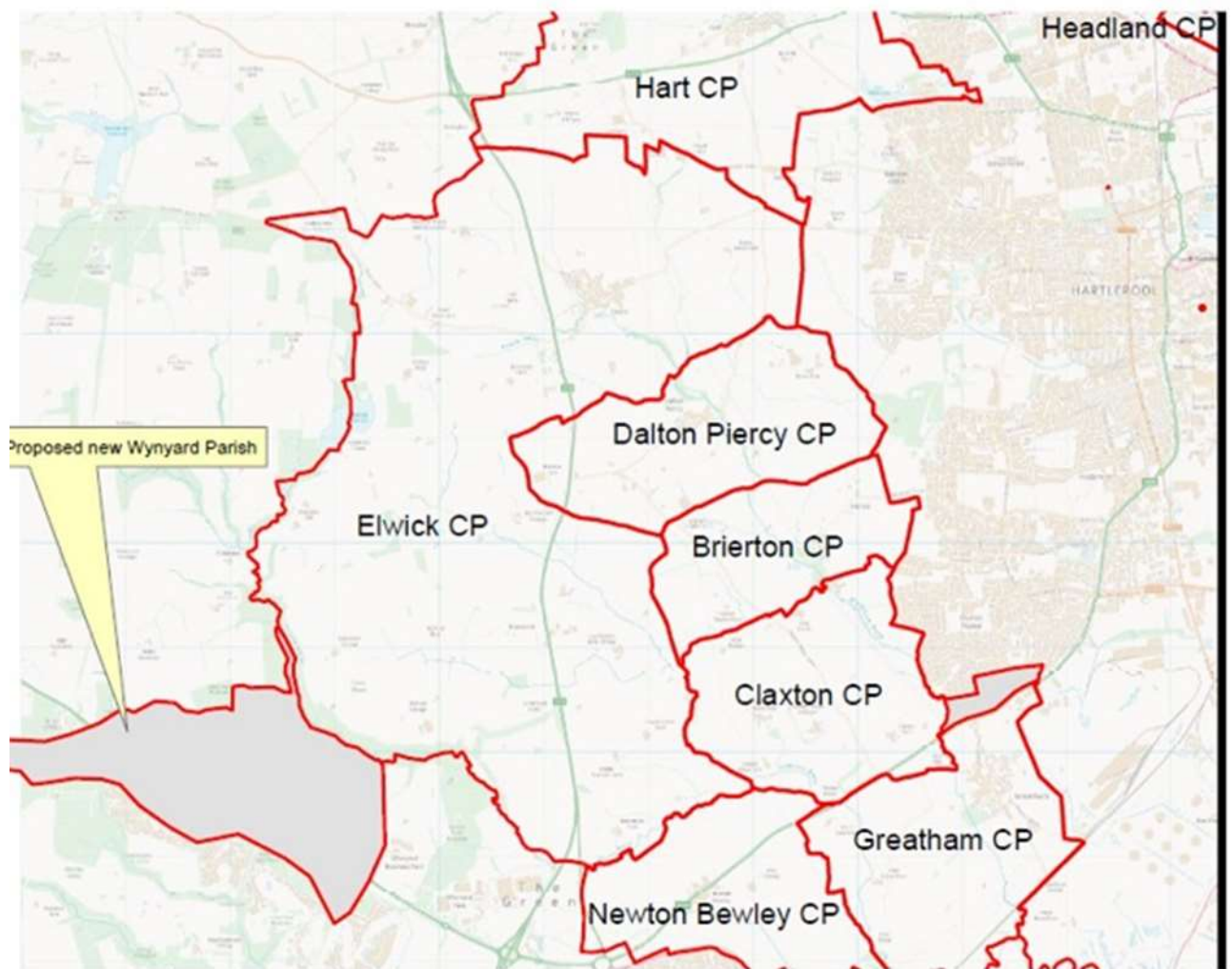
Director of Resources and Development



Chief Solicitor



13. APPENDIX 1



Hartlepool Borough Council

Wynyard Residents Association

3rd February 2020

Dear Sir or Madam

Request for a Local Community Governance Review

Please accept this as a formal request from Wynyard Residents Association, on behalf of Wynyard residents to conduct a Local Community Governance review as set out in "The Local Government and Public Involvement in Health Act 2007". We would like to request that Wynyard be withdrawn from Elwick Parish Council and established as a Parish, with its own council for the following reasons;

- 1) Wynyard residents wish this change to take place
- 2) Wynyard is large enough to be a financially viable council in its own right
- 3) Wynyard has grown exponentially since its original inclusion in Elwick Parish Council.
- 4) Planning applications approved for dwellings, and enshrined within the Hartlepool Local Plan, will mean it will grow by a minimum further 60% in the next few years
- 5) Wynyard is not geographically linked with Elwick by footpaths, rights of way or roads – other than main roads that are not suitable for any form of transport other than a car and the distance of 9 miles cannot support a claim for these to be one community
- 6) Wynyard is not culturally in keeping with the rest of the Elwick Parish area or population
- 7) Wynyard would be a "cohesive community" as defined in "Communities and Local Government Guidance on community governance reviews" (March 2010) – at present Elwick Parish area cannot meet the criteria for this with Wynyard included in it
- 8) Elwick Parish Council has two well established residents' associations linked to it, from very different communities, with very different needs. It cannot effectively meet the needs of both
- 9) Wynyard differs from Elwick in that it has no community facilities or infrastructure – Elwick has both. This means that the current Parish council are trying to deal with two very different communities with totally different needs
- 10) Wynyard has been historically underrepresented on Elwick Parish Council and for many years was not represented at all. The residents of Wynyard feel disenfranchised by not having their own parish council that can exclusively focus on Wynyard issues

We have gathered a substantial number of elector names in support of this change from Wynyard residents residing in the Hartlepool Borough, see attached sheets. These have been gathered in less than four weeks and from less than 50% of the dwellings on the estate. We believe this shows substantial community support for this change and meets the requirements of a "Community Governance petition". We have discussed where the most reasonable boundary would be for a new council to effectively represent Wynyard and the consensus is that the Neighbourhood and Master Plan dividing line between Wynyard Neighbourhood and Master Plan boundary and the Western Parish's Plan boundary would be our preferred, and the most effective boundary. We strongly believe that a new Parish for Wynyard would support the creation of a "distinctive and recognisable community of place with its own identity" as mentioned in section 50 of the "Guidance on community governance reviews". We would be happy to supply any further information we can to aid any potential review.

Yours sincerely



Kit Lofthouse
Wynyard Residents Association



Hartlepool Borough Council

Pay Policy Statement 2021/22

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1. INTRODUCTION

- 1.1 This document sets out the Council's Pay Policy in relation to the remuneration of its employees (excluding those employed by schools with delegated budgets) for the period 1 April 2021 to 31 March 2022 in accordance with Section 38 of the Localism Act 2011¹ and reflects the guidance issued by the Department for Communities and Local Government^{2 3} unless stated differently. This pay policy applies equally to all employees (excluding school employees) regardless of status and seniority unless stated differently. The policy is subject to annual review and must be approved by the Borough Council for each financial year. The policy will be published on the Council's website⁴ as soon as reasonably practicable after approval or amendment.
- 1.2 Hartlepool Borough Council is committed to transparency and fairness in its payment and remuneration of all of its employees and will comply with all relevant employment legislation.
- 1.3 In 2021/22, the Council has an overall pay budget of £62.3m (excluding school staff) including on-costs for its workforce. It employs 1,983 people excluding those who are employed directly by schools in Hartlepool, in a variety of diverse roles.
- 1.4 The Council's values give us a desire to increase the standard of living for everyone. Given that the Council is the largest employer in Hartlepool and that around approximately 77% of employees live in the town, it has a major influence on the economic wellbeing of the town and a direct impact on levels of inequality. The Council wants to do all in its power to make Hartlepool a fairer town and is committed to reducing inequality by leading by example and doing so through the way it operates as an organisation.

1 Available at: <http://www.legislation.gov.uk/ukpga/2011/20/contents>

2 Available at: [Openness and accountability in local pay: guidance - Publications - GOV.UK](#)

3 Available at: [Openness and accountability in local pay: supplementary guidance - Publications - GOV.UK](#)

4 Available at: https://www.hartlepool.gov.uk/downloads/download/305/pay_policy

2. NATIONAL AND OTHER CONTITIONS OF SERVICE

- 2.1 The appropriate National Conditions of Service (as detailed in Table 1) are automatically incorporated into employee contracts of employment.

Table 1 – National Conditions of Service in use in the Council

Condition of Service	Type of Employees
Joint Negotiating Committee (JNC) for Local Authority Chief Executives	Managing Director
Joint Negotiating Committee (JNC) for Chief Officers in Local Authorities	Directors, Assistant Directors and some other senior managers
The Soulbury Committee	Educational Improvement Professionals, Educational Psychologists and Young People's/Community Service Managers
Conditions Of Service for School Teachers in England And Wales ⁵	Head Teachers, Deputy/Assistant Head Teachers, all Leadership, Leading Practitioners, Teachers, Qualified and Unqualified Teachers
Joint Negotiating Committee for Youth and Community Workers	Youth and Community Workers
National Joint Council (NJC) for Local Government Services ('Green Book')– Part 2 only	All other employees

For legal and other reasons, some employees are employed on other conditions of service, for example as a result of TUPE transfers into the Council.

- 2.2 The Council's Single Status Agreement is automatically incorporated into the employment contract of NJC for Local Government Services employees.
- 2.3 Sections 1 (paragraphs 1.1.3 and 1.1.4 only), 3 (sub section 3.5 only), 5-9 and 12-16 of Part 2 of the Council's Single Status Agreement apply to all employees where their national conditions of service are silent.

⁵ The Conditions of Service for School Teachers In England And Wales August 2000 is supplemented by the statutory School Teachers' Pay and Conditions Document available at <https://www.gov.uk/government/publications/school-teachers-pay-and-conditions>

3. PAY STRUCTURE

- 3.1 The Council uses nationally negotiated pay rates included in the above national conditions of service as the basis for its local pay structure, which determines the pay bands of its workforce.
- 3.2 National pay awards are automatically applied to the national and local pay rates where employees are employed under the national conditions of service detailed in Table 1. Employees who continue to be employed under their pre transfer conditions of service following their TUPE (or similar) transfer to the Council are:
- not entitled to receive 'green book' pay awards where the value of the maximum of the employees pre transfer pay band is greater than the pay they would receive at the maximum of the pay band if they were employed under the appropriate Council conditions of service and/or if the employees are entitled to increments within their TUPE pay band as they are not at the maximum of their TUPE (or similar) pay band.
 - entitled to receive 'green book' pay awards in all other circumstances.
- 3.3 All other pay-related allowances are the subject of either nationally or locally negotiated rates, having been determined from time to time in accordance with collective bargaining machinery.
- 3.4 In determining its grading structure and setting remuneration levels for any posts which fall outside the scope of nationally set pay grades, the Council takes account of the need to ensure value for money in respect of the use of public expenditure. This is balanced against the need to recruit and retain employees who are able to deliver high quality services to the community and the need to comply with pay related legislation e.g. in relation to equal pay, national minimum wage and the national living wage.
- 3.5 In line with good employment practice, JNC for Local Authority Chief Executives and JNC for Chief Officers in Local Authorities jobs have been evaluated using the LGA Senior Managers job evaluation scheme and NJC for Local Government Services jobs have been evaluated using the bespoke NJC job evaluation scheme. This is to ensure that jobs are graded fairly and equitably. Both job evaluation schemes used are substantial schemes. For legal and other reasons, a limited number of employees pay is not consistent with the current grading structures for example as a result of TUPE transfers.
- 3.6 No job evaluation scheme exists for the remainder of jobs i.e. those on Teachers, Youth and Community workers and Soulbury conditions of service, however, those employees are placed within nationally defined grading structures.

- 3.7 As part of its overall and ongoing monitoring of alignment with external pay markets both within and outside the sector, the Council will use available benchmark information as appropriate.
- 3.8 Periodic equal pay audits will be undertaken and pay structures and allowances will be reviewed as necessary.

4. REMUNERATION ON APPOINTMENT

- 4.1 New appointments are subject to the Council's Recruitment and Selection Policy and will generally be made to the agreed pay structures at the bottom spinal column point of all pay bands unless there are special circumstances and payment at a higher level can be objectively justified. Appointment of all officer posts, with the exception of those identified in paragraph 5 below, is the responsibility of the Head of Paid Service or his/her nominee, and may not be made by members.
- 4.2 Where employees are redeployed into a lower graded post because of ill health (where this is supported by the Council's Occupational Health Advisor) or as an alternative to redundancy they will generally be appointed to the highest spinal column point within the lower grade so as to minimise financial loss and where eligible receive salary protection in line with the terms and conditions of employment negotiated in the Council's Single Status Agreement. From time to time, it may be necessary to take account of external pay levels in the labour market in determining starting salary levels and the use of market forces supplements in order to attract and retain employees with particular experience, skills and capacity.
- 4.3 The Council does not make any "golden hello" payment or any other incentive payments at recruitment other than market forces supplements where these are determined in accordance with the provisions in Table 3.
- 4.4 Where appropriate, the Council pays removal and relocation allowances, as detailed in the Council's Recruitment and Selection Policy upon the presentation of approved receipts.
- 4.5 In 2020 national trade unions accepted a 2.75% cost of living pay increase across all conditions of employment groups implemented from 1 September 2020 for Soulbury, Youth & Community and Teachers, and for all other groups on 1st April 2020 for the 2020/21 year.
- 4.6 National negotiations are ongoing regarding cost of living increase to pay which is applicable from 1 April 2021.

5. SENIOR MANAGEMENT REMUNERATION

5.1 The definition of ‘Senior Management’ in this statement mirrors the definition of ‘Chief Officer’ as detailed in Section 42(2) of the Localism Act 2011 i.e.

- the head of its paid service designated under section 4(1) of the Local Government and Housing Act 1989;
- its monitoring officer designated under section 5(1) of that Act;
- a statutory chief officer mentioned in section 2(6) of that Act;
- a non-statutory chief officer mentioned in section 2(7) of that Act;
- a deputy chief officer mentioned in section 2(8) of that Act.

Within Hartlepool, the above definition includes employees on JNC for Local Authority Chief Executives, JNC for Chief Officers in Local Authorities and NJC for Local Government conditions of service plus employees employed on other terms and conditions of employment as a result of TUPE (or similar) transfers.

5.2 Appointments to the posts of Managing Director, Directors and other Chief Officer posts are subject to the Council’s Officer Employment Procedure Rules.⁶

Under the Localism Act 2011 (Openness and Accountability in Local Pay, guidance under Section 40)⁷ appointments to posts where the salary package is £100,000 or more per annum will be approved by full Council or a meeting of Elected Members i.e. Appointments Panel. In the case of the appointment of the Managing Director/Head of Paid Service, the appointment is made by Full Council. Directors and other Chief Officers are appointed by the Council’s Appointments Panel.

The Council discharges this responsibility by ensuring that full Council approve all salary scales for Chief Officers, Directors or the Managing Director which are over £100,000 per annum and all appointments are made in line with the Council agreed terms and conditions of employment which will be offered to any new appointments.

5.3 The ‘Senior Management’ salary bands for implementation as at 1 April 2020 are set out in Table 2 below.

5.4 At the time of the publication of this Pay Policy document there is no agreed national pay deal for 2021/22.

5.5 Any increments due are implemented automatically on an annual basis.

5.6 In respect of Chief Officers (those posts below the Managing Director and Directors), the Council operates with a number pay bands to provide operational

⁶ Available at https://www.hartlepool.gov.uk/info/20004/council_and_democracy/370/hartlepool_borough_councils_constitution

⁷ Link to *Openness and Accountability in Local Pay: Guidance under section 40 of the Localism Act* published in February 2012

and strategic flexibilities, whilst providing a robust and competitive pay strategy to retain and attract high calibre staff.

Table 2 – Salary bands of ‘Senior Management’

Role	Annual Salary Band as at 1 April 2020	No of Points in Pay Band
Managing Director ##	£152, 669	1
Directors	£109,050 - £119,954	6
Director of Public Health	£84,154 - £90,166	3
Chief Officer – Band A	£84,154 - £90,166	3
Chief Officer – Band B	£75,139- £81,150	3
Chief Officer – Band C	£63,116 – £72,134	4
Chief Officer – Band D	£57,509 - £60,020	3

The Managing Director is a single point salary band and this post replaced the Chief Executive post from September 2020, which was a six point salary band (£152,669 to £163,575 as at 1 April 2020).

- 5.7 Information on ‘Senior Management’ responsibilities and remuneration will be published on the Council’s website⁸ in line with Local Government Transparency Code 2015⁹ and the Accounts and Audit Regulations 2015¹⁰.

6. ADDITIONAL BENEFITS

- 6.1 Employees receive/have access to additional benefits (in addition to basic salary) as outlined in the Table 3 below.

Table 3 – Additional Benefits

Employees	Additional Benefit
All officers involved in delivering local, Parliamentary and/or European elections and/or referenda	Duty payments in connection with elections as determined locally in consultation with the other Tees Valley Councils and/or by statute depending on the duties concerned.
Employees in Development Scheme posts	Progression through pay bands where pre-determined progression criteria are met.
All employees whose pay reduces as a consequence of organisational change, job evaluation or redeployment	The pay protection arrangements detailed in the Council’s Single Status Agreement apply to all employees as a means of assisting employees to adjust, over a 3 year period, to a reduction in pay arising from organisational change, job evaluation

⁸ Available at: https://www.hartlepool.gov.uk/info/20004/council_and_democracy/430/local_government_transparency_code

⁹ Available at: <https://www.gov.uk/government/publications/local-government-transparency-code-2015>

¹⁰ Available at: <http://www.legislation.gov.uk/uksi/2015/234/contents/made>

	or redeployment. At the end of the 3 year protection period the standard pay arrangements apply.
All employees who are members of public sector pension schemes	<p>The Council operates the Local Government Pension Scheme (LGPS), the Teachers' Pension Scheme (TPS) and the NHS Pension Scheme (PHPS) and makes employer pension contributions, as required, for all employees who elect to participate in one of the above schemes. The employer pension contributions from 1 April 2020 are as follows:</p> <p>Local Government Pension Scheme -12.4% of pensionable pay</p> <p>Teachers' Pension Scheme - 23.6% of pensionable pay</p> <p>NHS Pension Scheme – 20.68% of pensionable pay</p> <p>The contribution rates are regularly reviewed and set by actuaries advising the various Pension Funds.</p>
All employees in posts where there are particular recruitment and/or retention difficulties	<p>As a general rule, the pay bands provide relevant and adequate compensation to attract and retain employees for the vast majority of posts and the necessity to apply a salary supplement should not exist. There may be specific circumstances, however, where an additional market forces supplement may be required to either attract hard to recruit categories of employees or to retain such employees within the employment of the Council. In all circumstances a business case will need to be developed (and reviewed regularly) to support the payment of market supplements which will be approved by members in relation to posts subject to the Council's Officer Employment Procedure Rules¹¹ and by the Director of Resources and Development in relation to all other posts. The market forces supplement arrangements detailed in the Council's Single Status Agreement apply to all employees.</p>

¹¹ Available at https://www.hartlepool.gov.uk/info/20004/council_and_democracy/370/hartlepool_borough_councils_constitution

Table 3 (cont.) – Additional Benefits

Posts/Employees	Additional Payment
All permanent employees	Able to access the Council's Lease Car scheme through a salary sacrifice arrangement in accordance with Her Majesty's Revenues and Customs (HMRC) rules and at no cost to the Council.
Employees registered and in receipt of Childcare Vouchers via the Council run Scheme on 5 April 2018.	Able to continue to access the Council's Childcare Voucher scheme through a salary sacrifice arrangement in accordance with Her Majesty's Revenues and Customs (HMRC) rules and at no cost to the Council.
All employees who are members of the Local Government Pension Scheme and pay Additional Voluntary Pension contributions	Able to access a Shared Cost Salary Sacrifice AVC scheme.
All employees	Able to access the Council's Cycle to Work scheme through a salary sacrifice arrangement in accordance with Her Majesty's Revenues and Customs (HMRC) rules and at no cost to the Council.
All employees	The Council pay a range of allowances/premium payments as detailed in National Conditions of Service (see Table 1).
All employees employed under the National Joint Council (NJC) for Local Government Services conditions of service	The Council pay a range of allowances/premium payments as detailed in the Council's Single Status Agreement subject to employees meeting the criteria for payment.

7. CHANGES TO SALARIES

7.1 Changes in salary for employees will occur only as a result of

- the application of the provisions in Table 3.
- promotion.
- significant changes to an employee's role which results in a different pay band being appropriate (as confirmed by the outcome of an appropriate job evaluation process, where appropriate).
- an honorarium or ex-gratia payment being appropriate to recognise circumstances or events not covered by conditions of service.

- progression of a maximum of one increment each year within previously agreed pay bands based on service.
- changes in the working arrangements of employees. .

7.2 The Council does not currently award any performance related pay or bonuses to any of its employees or require them to have an element of their basic pay 'at risk' to be 'earned back' through meeting pre agreed objectives.

8. PAYMENTS TO ALL EMPLOYEES UPON TERMINATION OF EMPLOYMENT

- 8.1 Employees who cease to hold office or be employed by the Council will receive payments based on entitlement within their contract of employment, their general terms and conditions and existing policies in relation to the Local Government Pension Scheme¹², specifically the Local Government (Early Termination of Employment) (Discretionary Compensation) (England and Wales) Regulations 2006 and Local Government (Discretionary Payments) (Injury Allowances) Regulations 2011¹³.
- 8.2 In accordance with the Council's Constitution, the determination of voluntary redundancy or early retirement applications which do not generate sufficient savings to ensure that the costs of the application (including salary paid in lieu, redundancy compensation, strain on the pension fund, holiday pay and any bonuses, fees or allowances paid) are recovered within a pay-back period of 3.05 years or less are considered by members of the Personnel Sub Committee and only approved in exceptional circumstances. Officers determine all other early retirement applications in accordance with the pay-back period of 3.05 years. This arrangement complies with previous Audit Commission guidance, has worked very well for a number of years and is an effective and efficient way of dealing with early retirement applications.
- 8.3 The Localism Act 2011 and supplementary guidance, identifies that all severance packages for employees leaving the Council over £100,000 must be voted on by full Council before they can be approved.

9. LOWEST PAID EMPLOYEES

- 9.1 The Council defines lowest paid employees from 1st April 2020 as employees remunerated at JNC for Government Services spinal column point 3 (equivalent to £18,562 per annum, £9.62 per hour).
- 9.2 The Council introduced its Single Status Agreement on 1st April 2007. The lowest paid employees within the Council are appointed to jobs which have been evaluated using the NJC Job Evaluation Scheme and are remunerated accordingly.

¹² Available at: <http://www.lgpsregs.org/>

¹³ Available at: <http://timeline.lge.gov.uk/regidx.html>

- 9.3 The relationship between the rates of pay for the lowest paid and for senior management is determined by the processes used for determining pay and grading structures as set out earlier in this Policy Statement.
- 9.4 The Council's 'median pay multiple', which complies with the Local Government Transparency Code 2015¹⁴, is the ratio between the taxable earnings of the highest paid employee and the median taxable earnings of the whole of the Council's workforce. The Managing Director is the highest paid employee.
- 9.5 The 2020 'pay multiple' with comparative data is detailed in Table 5.

Table 5 – 'Pay Multiple'

Date	Taxable earnings of the highest paid employee	Median taxable earnings of the whole of the Council's workforce	Pay multiple based upon the taxable earnings of the highest paid employee
31 March 2014	£140,833	£17,051	8.26
31 March 2015	£142,833	£18,211	7.84
31 March 2016	£119,610	£18,074	6.62
31 March 2017	£126,772	£18,383	6.90
31 March 2018	£129,847	£18,352	7.08
31 March 2019	£134,288	£19,325	6.95
31 March 2020	£138,855	£19,988	6.95

N.B. The pay multiple changes each year as a consequence of a combination of the taxable earnings of the highest paid employee increasing due to incremental progression within the pay band (incremental scale not applicable from September 2020), the time they are in post and the median taxable earnings of the whole of the Council's workforce changing.

- 9.6 The Council will aim to ensure that the basic pay 'pay multiple' does not exceed a value of ten.

10. EMPLOYMENT OR ENGAGEMENT OF FORMER EMPLOYEES

- 10.1 The Council will generally not re-employ or engage any individual under a contract of service or a contract for services whom has previously been employed by the Council and left that employment with the benefit of a severance, early retirement or redundancy payment under voluntary arrangements, unless it is in the best interests of the Council to do so or there are exceptional circumstances which would justify doing so.

¹⁴ Available at <https://www.gov.uk/government/publications/local-government-transparency-code-2015>

The Council is mindful of its obligations under equality legislation and as such is limited in its ability to adopt a policy that it will not employ people of an age that has entitled them to pension access on leaving former employment in the public sector or to propose that such applicants be employed on less favourable terms than other applicants.

- 10.2 The approach to the employment of individuals already in receipt of a local government pension is set by the Teesside Pension Fund.

11. EMPLOYMENT OF INDIVIDUALS ALREADY UNDER A CONTRACT FOR SERVICES

- 11.1 The Council does not generally support engaging individuals under a 'contract for services' where the Council is not required to make either pension or national insurance contributions for such individuals as it supports the Government's commitment to tackling all forms of tax avoidance and recognises that public appointments that involve arrangements whereby savings in tax and National Insurance contributions are made may be at the expense of other taxpayers or other parts of the public sector. However there may be exceptional circumstances where engaging an individual under these terms is the most effective and efficient way of meeting the Council's needs. If this situation applies formal approval will be sought from members in relation to posts subject to the Officer Employment Procedure Rules ¹⁸ and from the Managing Director (in his/her role as head of the paid service) in respect of other posts and individuals will be sourced through an appropriate procurement process, including IR35 checks, and in accordance with the Council's Contract Procedure Rules which ensure the Council is able to demonstrate the maximum value for money benefits from competition in securing the relevant service.

12. INCOME TAX AND NATIONAL INSURANCE

- 12.1 The Council does not enter into arrangements with individual employees to minimise their tax and national insurance contributions other than via salary sacrifice schemes in accordance with Her Majesty's Revenues and Customs (HMRC) rules.

13. USE OF AGENCY WORKERS

- 13.1 The Council does not generally support using agency workers. However there may be circumstances where engaging agency workers is the most efficient and effective way of meeting the Council's needs. If this situation applies, formal approval will be sought from the relevant Assistant Director. Agency workers operating in the Council will be remunerated on the pay of comparable employees after 12 weeks of qualifying service.

14. APPRENTICES

- 14.1 Apprentices are paid the appropriate national minimum wage (depending upon individual circumstances).
- 14.2 Employees with substantive jobs who undertake apprenticeships within their current duties and responsibilities will continue to be paid in accordance with their contract of employment.

15. USE OF ZERO HOUR CONTRACTS

- 15.1 The Council does not generally support the use of zero hour contracts and has acted to end such contracts, with the exception of where an individual employee has specifically requested to remain on such a contract. There may be circumstances where an individual employee requests the use of a zero based hour contract. The Director of Resources and Development **must** consult with the Managing Director and Chair of Finance and Policy Committee before the use of any such contracts are approved. Where employees are employed on a zero hour contract they are employed on a permanent or fixed term basis, are entitled to request a review of their contracted hours at any time after six months in post and are not prevented from working for other employers.

16. CONTRACTORS

- 16.1 The Council requires that contractors comply with the national minimum wage and national living wage legislation. All new and extended Council contracts are encouraged to pay JNC for Government Services spinal column point 3 (see 9.1 above) and avoid the use of zero hour contracts (see 15.1 above).
- 16.2 The Council will encourage all local employers employing 250 or more employees to publish their pay multiple.

AUDIT AND GOVERNANCE COMMITTEE

9th January 2021



Report of: Director of Resources and Development

Subject: TREASURY MANAGEMENT STRATEGY

1. PURPOSE OF REPORT

1.1 The purposes of the report are to:

- i. Provide a review of Treasury Management activity for 2019/20 including the 2019/20 outturn Prudential Indicators;
- ii. Provide a mid-year update of the 2020/21 Treasury Management activity; and
- iii. Enable the Audit and Governance Committee to scrutinise the recommended 2021/22 Treasury Management Strategy before it is referred to the full Council for approval.

2. BACKGROUND

2.1 The Treasury Management Strategy covers:

- the borrowing strategy relating to the Council's core borrowing requirement in relation to its historic capital expenditure (including Prudential Borrowing);
- the borrowing strategy for the use of Prudential Borrowing for capital investment approved as part of the Medium Term Financial Strategy; and
- the annual investment strategy relating to the Council's cash flow.

2.2 The Treasury Management Strategy needs to ensure that the loan repayment costs of historic capital expenditure do not exceed the available General Fund revenue budget, which was reduced as part of the Medium Term Financial Strategy in previous years. Similarly, for specific business cases the Treasury Management Strategy needs to ensure loan repayment costs do not exceed the costs built into the business cases. As detailed later in the report these issues are being managed successfully.

2.3 The Local Government Act 2003 requires the Council to 'have regard to' the CIPFA (Chartered Institute of Public Finance and Accountancy) Prudential

Code and to set prudential indicators for the next three years to ensure capital investment plans are affordable, prudent and sustainable.

- 2.4 The Act requires the Council to set out a Treasury Management Strategy for borrowing and to prepare an Annual Investment Strategy, which sets out the policies for managing investments and for giving priority to the security and liquidity of those investments. The Secretary of State has issued Guidance on Local Government Investments which came into force on 1st April, 2004, and has subsequently been updated, most recently in 2017.
- 2.5 The Council is required to nominate a body to be responsible for ensuring effective scrutiny of the Treasury Management Strategy and policies, before making recommendations to full Council. This responsibility has been allocated to the Audit and Governance Committee.
- 2.6 This report covers the following areas:
 - Economic background and outlook for interest rates
 - Treasury management outturn position for 2019/20
 - Treasury Management Strategy 2020/21 mid-year review
 - Treasury Management Strategy 2021/22
 - Minimum Revenue Provision and Interest Cost and Other Regulatory Information 2021/22

3. ECONOMIC ENVIRONMENT AND OUTLOOK FOR INTEREST RATES

- 3.1 **UK** – The UK economy has faced an extended period of economic uncertainty due to the Covid-19 pandemic. The Bank of England's Monetary Policy Committee (MPC) kept Bank Rate unchanged on 5th November. However, it revised its economic forecasts to take account of the second national lockdown which is expected to further delay economic recovery. It therefore resolved to undertake a further tranche of Quantitative Easing of £150bn, to start in January when the current programme of £300bn, runs out.
- 3.2 The Bank of England does not intend to tighten monetary policy until there is clear evidence of a sustained economic recovery.
- 3.3 The risk of a persistent period of elevated unemployment remains material as a result of the Covid-19. The approval of a vaccine has boosted confidence that life could largely return to normal during the second half of 2021, with activity in the still depressed sectors like restaurants, travel and hotels returning to their pre-pandemic levels, which would help bring the unemployment rate down.
- 3.4 The Chancellor's November 2020 Spending Review highlighted the scale of the economic impact of Covid, which include an increase in Government borrowing of £394 million – the highest peace time level. The Office for Budget Responsibility's revised economic forecasts shown the depth of the economic contraction in 2020 and revised growth forecast up to 2023 are set out in the following table:

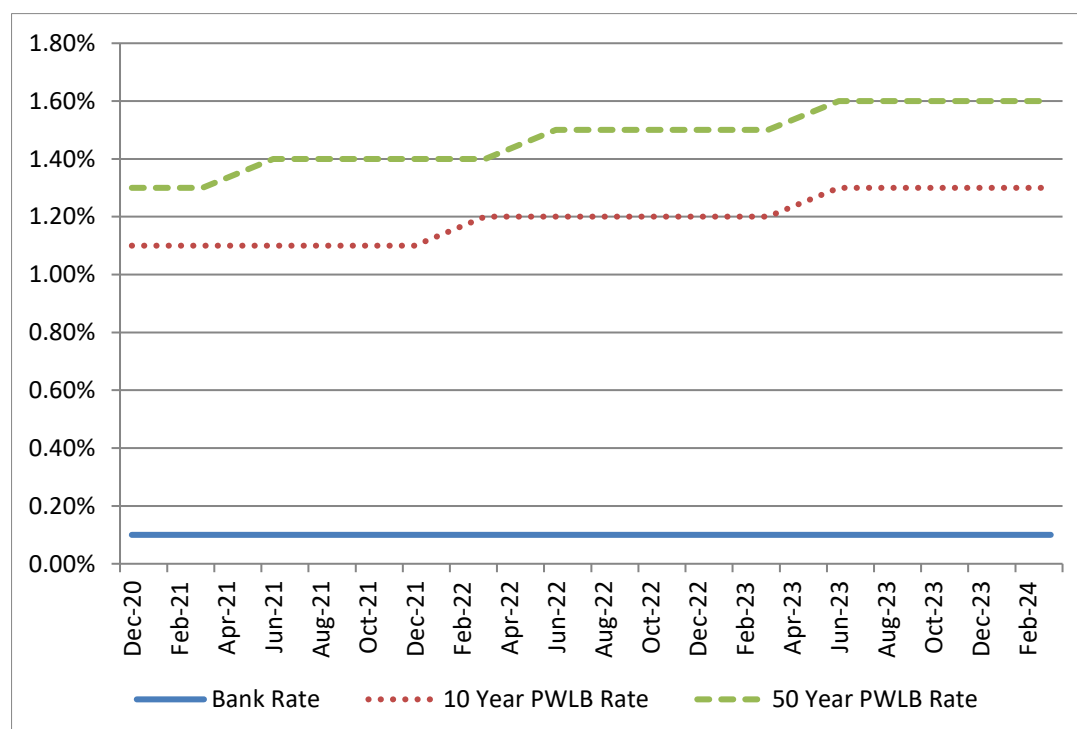
Year	March 2020 Growth Forecast	November 2020 Growth / (contraction) Forecast
2020	1.1%	(11.3%)
2021	1.8%	5.5%
2022	1.5%	6.6%
2023	1.3%	2.3%

- 3.5 **European Union (EU)** – The economy has faced similar challenges to the UK from the pandemic suffered a sharp drop in GDP caused by the virus (France 18.9%, Italy 17.6%). A second wave of the virus has affected many countries and is likely to hit hardest those countries more dependent on tourism.
- 3.6 **USA** – The results of the November elections means that while the Democrats have gained the presidency and a majority in the House of Representatives, it looks as if though the Republicans will retain their slim majority in the Senate. This means that the Democrats will not be able to do a massive fiscal stimulus, as they had been hoping to do after the elections, as they will have to get agreement from the Republicans.
- 3.7 **Other Economies** – In China after a concerted effort to get on top of the virus outbreak in Q1, economic recovery was strong in Q2 and then into Q3 and Q4; this has enabled China to recover all of the contraction in Q1. Japan is struggling to stimulate consistent GDP growth and to get inflation up to its target of 2%, despite huge monetary and fiscal stimulus.
- 3.8 **Interest Rate Forecasts**
- 3.9 Link Asset Services (the Council's Treasury Management advisors) continue to update their interest rate forecasts to reflect statements made by the Governor of the Bank of England and changes in the economy. Their latest forecast have been based on an assumption of a reasonable agreement being reached on trade negotiations between the UK and the EU by 31 March 2021. Given the current level of political and economic uncertainty, forecasts may need to be reassessed in light of events over the coming weeks or months.
- 3.10 There is not likely to be any change in the Bank Rate of 0.10% in 2020-21 and 2021-22 due to whatever outcome there is from trade negotiations and while there will probably be some movement in gilt yields / PWLB rates after the deadline date, the position is unclear and will be monitored closely.
- 3.11 The results of the PWLB consultation were released at the end of November, national borrowing from PWLB has now been reduced to 1.8% (after the increase in October 2019), based on current rates borrowing from PWLB has been restricted and cannot now be used to fund investment in property with the primary aid of generating investment income. The economic downturn

has highlighted the risk of this type of investment – which the Council has never pursued owing to these risks.

- 3.12 Economic and interest rate forecasting remains difficult with so many influences impacting on the economy. UK gilt yields (i.e. Government borrowing) and PWLB rates. The forecasts made by Link Asset Services, (and MPC decisions) may be liable to further amendment depending on how the political and economic developments transpire over the next year. Further national lockdowns or severe regional restrictions during 2021 are also a risk to the current forecasts.

3.13 Interest Rate Forecast up to March 2024



- 3.14 Since the late 1990s Base Rate averaged 5% until 2009 when the Bank of England reduced it to the historically low 0.5% in response to the financial crisis and increased to 0.75% in August 2018. Over the same period PWLB rates have been significantly higher than they are at present. In August 2018 the Bank of England raised the interest rate for only the second time in a decade. The rates for 10 year loans were on average 5% prior to the financial crisis but subsequently fell to between 3% and 4%. The rates for 50 year loans were also on average 5% although this trend continued throughout the financial crisis. PWLB interest rates fell to historically low levels in early 2015 predominantly as a consequence of falling oil prices. They fell further following the EU referendum to the current levels. In the context of previous interest rates, current rates are at a low historic level

4. TREASURY MANAGEMENT OUTTURN POSITION 2019/20**4.1 Capital Expenditure and Financing 2019/20**

4.2 The Council's approved capital programme is funded from a combination of capital receipts, capital grants, revenue contributions and prudential borrowing.

4.3 Part of the Council's treasury management activities is to address the prudential borrowing need, either through borrowing from external bodies, or utilising temporary cash resources within the Council. The wider treasury activity also includes managing the Council's day to day cash flows, previous borrowing activities and the investment of surplus funds. These activities are structured to manage risk foremost, and then to optimise performance.

4.4 Actual capital expenditure forms one of the required prudential indicators. As shown at Appendix A, the total amount of capital expenditure for the year was £23.451m, of which £8.612m was funded by Prudential Borrowing.

4.5 The Council's underlying need to borrow is called the Capital Financing Requirement (CFR). This figure is the accumulated value of capital expenditure which has yet to be expensed or paid for through revenue or capital resources. Each year the Council is required to apply revenue resources to reduce this outstanding balance (termed Minimum Revenue Provision).

4.6 Whilst the Council's CFR sets a limit on underlying need to borrow, the Council can manage the actual borrowing position by either;

- borrowing externally to the level of the CFR; or
- choosing to use temporary internal cash flow funds instead of borrowing; or
- a combination of the two.

4.7 The Council's CFR for the year was £108.026m as shown at Appendix A comprising:

- £74.772m relating to the core CFR,
- £23.441m relating to business cases and
- £9.813m relating to the Housing Revenue Account (HRA).

The actual CFR is lower than the approved estimate of £120.008m owing to rephasing of capital expenditure into 2020/21.

4.8 The Council's total long term external borrowing as at 31st March, 2019 was £87.4m and reduced to £78.5m at 31st March 2020. This decrease was in line with the approved strategy and reflected the partial repayment of annuity loans taken out in previous financial years.

- 4.9 The total borrowing remains below the CFR and there continued to be an element of netting down investments and borrowing.
- 4.10 **Prudential Indicators and Compliance Issues 2019/20**
- 4.11 Details of each Prudential Indicator are shown at Appendix A. Some of the prudential indicators provide either an overview or specific limits on treasury activity. The key Prudential Indicators to report at outturn are described below.
- 4.12 The **Authorised Limit** is the “Affordable Borrowing Limit” required by Section 3 of the Local Government Act 2003. The Council does not have the power to borrow above this level. Appendix A demonstrates that during 2018/19 the Council has maintained gross borrowing within its Authorised Limit.
- 4.13 **Gross Borrowing and the CFR** - In order to ensure that borrowing levels are prudent, over the medium term the Council's external borrowing, must only be for a capital purpose. Gross borrowing should not exceed the CFR for 2019/20 plus the expected changes to the CFR over 2020/21 and 2021/22. The Council has complied with this Prudential Indicator.
- 4.14 **The treasury position 31st March 2020**
- 4.15 The table below shows the treasury position for the Council as at the 31st March, 2020 compared with the previous year:

Treasury position	31st March 2019		31st March 2020	
	Principal	Average Rate	Principal	Average Rate
Fixed Interest Rate Debt				
- Tees Valley Combined Authority	-	-	-	-
- PWLB	£36.4m	3.13%	£33.5m	3.21%
- Market Loans (Maturities)	£31.0m	3.16%	£25.0m	3.92%
- Market Loans (LOBOs)	£20.0m	4.12%	£20.0m	4.12%
Total Long Term Debt	£87.4m	3.43%	£78.5m	3.67%
Total Investments	£36.1m	0.92%	£12.8m	0.39%
Net borrowing Position	£51.3m		£65.7m	

- 4.16 At the time the LOBOs were taken out the prevailing PWLB rates were between 4.25% and 4.55%. The LOBOs have therefore allowed the Council to achieve annual interest savings between 0.13% and 0.43% compared to prevailing PWLB loans.
- 4.17 A key performance indicator shown in the above table is the very low average rate of external debt of 3.67% for debt held as at 31st March, 2020.

This is a historically low rate for long term debt and the resulting interest savings have already been built into the Medium Term Financial Strategy.

- 4.18 The Council's investment policy is governed by Ministry of Housing, Communities and Local Government (MHCLG) guidance, which has been implemented in the annual investment strategy approved by Council.
- 4.19 The Council does not rely solely on credit ratings and takes a more pragmatic and broad based view of the factors that impact on counterparty risk. As part of the approach to maximising investment security the Council has also kept investment periods short (i.e. in most cases between three and six months but a maximum of one year). The downside of this prudent approach is that the Council achieved slightly lower investment returns than would have been possible if investments were placed with organisations with a lesser financial standing and for longer investment periods. However, during 2019/20 the risk associated with these higher returns would not have been prudent.
- 4.20 A prudent approach will continue to be adopted in order to safeguard the Council's resources.
- 4.21 **Regulatory Framework, Risk and Performance 2019/20**
- 4.22 The Council's treasury management activities are regulated by a variety of professional codes, statutes and guidance:
- The Local Government Act 2003 (the Act), which provides the powers to borrow and invest as well as providing controls and limits on this activity;
 - The Act permits the Secretary of State to set limits either on the Council or nationally on all local authorities restricting the amount of borrowing which may be undertaken (although no restrictions have been made since this power was introduced);
 - Statutory Instrument (SI) 3146 2003, as amended, develops the controls and powers within the Act, and requires the Council to undertake any borrowing activity with regard to the CIPFA Prudential Code for Capital Finance in Local Authorities;
 - The SI also requires the Council to operate the overall treasury function with regard to the CIPFA Code of Practice for Treasury Management in the Public Services;
 - Under the Act the MHCLG has issued Investment Guidance to structure and regulate the Council's investment activities;
 - Under section 238(2) of the Local Government and Public Involvement in Health Act 2007 the Secretary of State has taken powers to issue guidance on accounting practices. Guidance on Minimum Revenue Provision was issued under this section on 8th November, 2007.
- 4.23 The Council has complied with all of the above relevant statutory and regulatory requirements which limit the levels of risk associated with its Treasury Management activities

5. TREASURY MANAGEMENT STRATEGY 2020/21 MID YEAR REVIEW

- 5.1 The Treasury Management Strategy for 2020/21 was approved by Council on 20th February 2020. The Council's borrowing and investment position as at 30th September 2020 is summarised as follows:

	£m	Average Rate
PWLB Loans	32.1	3.26%
Market Loans (Maturities)	25.0	3.92%
Market Loans (LOBOs)	20.0	4.12%
Gross Debt	77.1	3.70%
Investments	21.3	0.23%
Net Debt as at 30-09-20	55.8	

- 5.3 Net Debt has decreased since 31st March 2020 owing to positive cash flows. It is anticipated that the net debt will increase towards the end of the year in line with previous years as a result of reducing cash flows.
- 5.4 As part of the Treasury Strategy for 2020/21 the Council set a number of prudential indicators. Compliance against these indicators is monitored on a regular basis and there are no breaches to report.
- 5.5 In accordance with the decision made by Council in February 2020 borrowing of £17m in relation to the Capital Investment Programme has been secured at a fixed rate below prevailing PWLB interest rates at the time of the agreement. This action has removed future interest rate risk and this funding will not be drawn down until September 2021.

6. TREASURY MANAGEMENT STRATEGY 2021/22

- 6.1 Prudential Indicators and other regulatory information in relation to the 2021/22 Treasury Management Strategy is set out in Appendix B.
- 6.2 The key elements of the Treasury Management Strategy which Members need to consider are the Borrowing and Investment Strategies, detailed in section 7 and 8.

7. BORROWING STRATEGY 2021/22

- 7.1 Borrowing strategies are needed for the core borrowing requirement and the borrowing requirement related to specific business cases, as outlined in the following paragraphs.
- 7.2 **Core Borrowing Requirement**
- 7.3 The continuing objective of the Council's Treasury Management Strategy is to fund the core annual borrowing requirement at the lowest possible long term interest rate.
- 7.4 Owing to the continued low Base Rate the Treasury Management Strategy has been to net down investments and borrowings resulting in annual

savings reflected in the MTFS. The existing Treasury Management Strategy has always recognised that this approach was not sustainable in the longer term as the one-off resources which have been used to temporarily avoid long term borrowing would be used up. The MTFS for 2019/20 recommended proposals for using significant one-off resources and therefore a large proportion of reserves will be used up over the next three years and will not be available to net down the borrowing requirement. Therefore, in advance of this a decision was taken to partially fund the core borrowing requirement when long term PWLB interest rates fell to unprecedentedly low levels in January 2015.

- 7.5 This decision has secured low long term interest rates, meeting the objective of funding the borrowing requirement at historically low long term interest rates. This action secured the Treasury Management savings built into the budget since 2015/16 of £1.270m, which reduced the recurring budget by 21%.
- 7.6 Total borrowing remains below the CFR and the strategy continues an element of netting down investments and borrowing. This is at a level that is forecast to be sustainable. However owing to the unprecedented financial environment it may be appropriate to take out further borrowing and the position will be kept under constant review. A decision to borrow up to the CFR may be taken by the Director of Resources and Development if it is in the best interests of the Council to do so. It is recommended that the Director of Resources and Development is authorised to implement Treasury Management arrangements which minimise the short and long term cost to the Council.
- 7.7 **Borrowing Requirement Business Cases (including the Housing Revenue Account)**
- 7.8 The financial viability of each business case is assessed on an individual basis reflecting the specific risk factors for individual business cases. This includes the repayment period for loans and fixed interest rates for the duration of the loan. This assessment is designed to ensure the business case can be delivered without resulting in a General Fund budget pressures and corresponding increase in the overall budget deficit.
- 7.9 In order to ensure that the above objectives are achieved a strategy of fully funding the borrowing for business cases has been adopted in recent years. However, given the reduction in interest rates and current interest rate forecasts it is recommended that a strategy of temporarily internally funding business cases maybe appropriate in order to mitigate counterparty risk. The timing of long term borrowing decisions will then be managed carefully to ensure that interest rates are fixed at an affordable level.
- 7.10 **Borrowing in Advance of Need**
- 7.11 The Council has some flexibility to borrow funds for use in future years, including in relation to the CIP pot. The Director of Resources and

Development may do this under delegated power where, for instance, an increase in interest rates is expected. In these circumstances borrowing early at fixed interest rates may be undertaken where this will secure lower fixed interest rates for specific business cases; including the Western Growth Corridor Scheme or to fund future debt maturities (i.e. if the remaining LOBOs were called). Any borrowing in advance of need will be reported to the Council in the next Treasury Management report.

8. INVESTMENT STRATEGY 2021/22

8.1 The Ministry for Housing, Communities and Local Government (MHCLG) issued investment guidance in 2010, updated in 2017, and this forms the structure of the Council's policy. The key intention of the Guidance is to maintain the current requirement for authorities to invest prudently and that priority is given to security and liquidity before interest return. This Council has adopted the CIPFA publication Treasury Management in the Public Services: Code of Practice and Cross-Sectoral Guidance Notes and applies its principles to all investment activity. In accordance with the Code, the Director of Finance and Policy has produced Treasury Management Practices covering investment counterparty policy which requires approval each year.

8.2 The primary objectives of the Council's investment strategy in order of importance are:

- safeguarding the re-payment of the principal and interest of its investments on time;
- ensuring adequate liquidity; and
- investment return.

8.3 Counterparty Selection Criteria

8.4 The Council's criteria for providing a pool of high quality investment counterparties uses the credit rating information produced by the three major ratings agencies (Fitch, Moody's and Standard & Poor's) and is supplied by our treasury consultants. All active counterparties are checked against criteria outlined below to ensure that they comply with the criteria. Any counterparty failing to meet the criteria would be omitted from the counterparty list. Any rating changes, rating watches (notification of a likely change), rating outlooks (notification of a possible longer term change) are provided to officers almost immediately after they occur and this information is considered on a daily basis before investments are made. For instance a negative rating watch applying to a counterparty at the minimum criteria will be suspended from use, with all others being reviewed in light of market conditions.

8.5 The **lowest common denominator** method of selecting counterparties and applying limits is used. This means that the application of the Council's minimum criteria will apply to the lowest available rating for any institution. For instance if an institution is rated by two agencies, one meets the

Council's criteria, the other does not, the institution will fall outside the lending criteria

- 8.6 The Director of Resources and Development will continue to adopt a vigilant approach resulting in what is effectively a 'named' list. This consists of a select number of counterparties that are considered to be the lowest risk.
- 8.7 There are no proposed changes to existing counter parties and the table below shows the proposed limits in 2020/21 for the Council:

Category	Fitch	Moody's	Standard & Poor's	Proposed Counterparty Limit	Proposed Time Limit
A*	F1+/AA-	P-1/Aa3	A-1+/AA-	£15m	1 Year
B	F1/A-	P-1/A3	A-1/A-	£10m	1 Year
C	Debt Management Office/Treasury Bills/Gilts			£40m	1 Year
D	Part Nationalised Banks and Banks covered by UK Government Guarantee			£15m	1 Year
E	Other Local Authorities Individual Limits per Authority: - £8m County, Metropolitan or Unitary Councils - £3m District Councils, Police or Fire Authorities			£40m	1 Year
F	Three Money Market Funds (AAA) with maximum investment of £3m per fund			£9m	Liquid (instant access)

*including Svenska Handelsbanken

- 8.8 **Specified and Non-Specified Investments**
- 8.9 MHCLG regulations classify investments as either Specified or Non-Specified. Specified Investment is any investment not meeting the Specified definition.
- 8.10 The investment criteria outlined above is different to that used to define Specified and Non-Specified investments. This is because it is intended to create a pool of high quality counterparties for the Council to use rather than defining what its investments are.
- 8.11 Specified Investments are sterling investments of not more than one-year maturity, or those which could be for a longer period but where the Council has the right to be repaid within twelve months if it wishes. These are low risk assets where the possibility of loss of principal or investment income is small. These would include investments with:

- The UK Government (such as the Debt Management Office, UK Treasury Bills or a Gilt with less than one year to maturity).
- Other Councils
- Pooled investment vehicles (such as Money Market Funds) that have been awarded a high credit rating (AAA) by a credit rating agency.
- A body that has been awarded a high credit rating by a credit rating agency (such as a bank or building society). This covers bodies with a minimum rating of A- (or the equivalent) as rated by Standard and Poor's, Moody's or Fitch rating agencies. Within these bodies, and in accordance with the Code, the Council has set additional criteria to set the time and amount of monies which will be invested in these bodies.

8.12 Non-specified Investments are any other type of investment (i.e. not defined as Specified above). The identification and rationale supporting the selection of these other investments and the maximum limits to be applied are set out below. Non specified investments would include any investments with:

- Building societies not meeting the basic security requirements under the specified investments. The operation of some building societies does not require a credit rating, although in every other respect the security of the society would match similarly sized societies with ratings.
- Any bank or building society that has a minimum long term credit rating of A- for deposits with a maturity of greater than one year (including forward deals in excess of one year from inception to repayment).

9. MINIMUM REVENUE PROVISION AND INTEREST COSTS AND OTHER REGULATORY INFORMATION 2020/21

9.1 There are two elements to the Councils annual loan repayment costs – the statutory Minimum Revenue Provision (MRP) and interest costs. The Council is required to pay off an element of the Capital Financing Requirement (CFR) each year through a revenue charge called the Minimum Revenue Provision (MRP).

9.2 MHCLG Regulations require the Council to approve **an MRP Statement** in advance of each year. This will determine the annual loan repayment charge to the revenue account.

9.3 The budget strategy is based on the following MRP statement and Council is recommended to formally approve this statement:

- For capital expenditure incurred before 1st April, 2008 the Council's MRP policy is to calculate MRP based on a 50 year annuity repayment.
 - i. Where MRP has been overcharged in previous years, the recovery of the overcharge will be implemented by reducing the MRP in relation to this capital expenditure by reducing future MRP charges that would otherwise have been made. It should be noted that this will ensure the debt will be paid off by 2056/57

whereas the previous 4% reducing balance MRP charge would have left debt of £9.4m at this date.

- ii. The total MRP after applying the adjustment will not be less than zero in relation to this capital expenditure.
 - iii. The cumulative amount adjusted for will never exceed the amount overpayment.
- From 1st April, 2008 the Council calculates MRP based on asset life for all assets or where prudential borrowing is financed by a specific annuity loan, MRP will be calculated according to the actual annuity loan repayments.
 - The MHCLG revised its MRP guidance in 2017, which would impact on any future changes to the Council's MRP policy, however the guidance is not retrospective. The approved MRP policy implemented prior to the MHCLG changes is therefore compliant with the recent MHCLG revisions and will be carried forward in the future years, until such time as a prudent approach is considered to be appropriate.
 - MRP in relation to the Hartlepool Western Growth Corridor (HWGC) will be applied using a 40 year straight line basis, with additional annual VRP applied to reflect S106 income to achieve repayment over a 7 to 10 year period. Where additional VRP is made any 'overpayment' may be used to reduce future MRP charges if S106 receipts are delayed.

9.4 **CIPFA Treasury Management Code of Practice**

9.5 The Council has adopted CIPFA Treasury Management Code of Practice. Confirmation of this is the first prudential indicator.

9.6 **Treasury Management Advisors**

9.7 The Council uses Link Asset Services – Treasury as its external treasury management advisors.

9.8 The Council recognises that responsibility for treasury management decisions remains with the organisation at all times and will ensure that undue reliance is not placed upon our external service providers.

9.9 It also recognises that there is value in employing external providers of treasury management services in order to acquire access to specialist skills and resources. The Council will ensure that the terms of their appointment and the methods by which their value will be assessed are properly agreed and documented, and subjected to regular review.

9.10 **Markets in Financial Instruments Directive (MIFID II)**

9.11 On 3rd January 2018 an updated version of the European Union's Markets in Financial Instruments Directive (known as MIFID II) comes into effect. It is designed to offer greater protection for investors and inject more

transparency into financial markets. Under MIFID II all local authorities will be classified as “retail” counterparties and will have to consider whether to opt up to “professional” status and for which type of investments

- 9.12 Local authorities that choose not to opt up or do not meet the minimum criteria for opting up (i.e. minimum investment balances of £10m) may face a reduction in the financial products available to them, a reduction in number of brokers and asset managers that will be able to engage with and may face increased fees.
- 9.13 Local authorities that choose to opt up must be able to satisfy some quantitative tests, and each Financial Institution will independently determine whether the Authority meet the qualitative test of being appropriately knowledgeable, expert and experienced. Financial Institutions also need to satisfy themselves that the Authority can make its own investment decisions and understands the risks involved.
- 9.14 The Council choose to opt up in order to maintain the Council’s ability to operate effectively under the new regime.

10. FINANCIAL CONSIDERATIONS

- 10.1 As detailed in preceding paragraphs.

11. RISK IMPLICATIONS

- 11.1 There is a risk in relation to the level of interest rates the Council is able to secure for long term borrowing and the proposals detailed in this report are designed to manage these risks.
- 11.2 There are also risk implication in relation to the investment of surplus cash and these are addressed in the strategy recommended in section 8.

12. LEGAL CONSIDERATIONS

- 12.1 The report details how the Council will comply with the relevant legal and regulatory requirements in relation to Treasury Management activities.

13. CHILD AND FAMILY POVERTY

- 13.1 None.

14. EQUALITY AND DIVERSITY CONSIDERATIONS

- 14.1 None.

15. SECTION 17 OF THE CRIME AND DISORDER ACT 1998 CONSIDERATIONS

15.1 None

16. STAFF CONSIDERATIONS

16.1 None

17. ASSET MANAGEMENT CONSIDERATIONS

17.1 None

18. CONCLUSION

18.1 The report sets out how the Council will comply with the regulatory framework to ensure the Council achieves the lowest borrowing costs and security for any temporary cash investments made by the Council.

18.2 The report sets out the borrowing strategy for the core CFR of netting down the remaining under borrowing against investments but highlights the continued economic uncertainty and the possibility that if circumstances change further borrowing may be required. The report also outlines a strategy of temporarily internally funding business cases in order to mitigate counterparty risk. The timing of long term borrowing decisions will then be managed carefully to ensure that interest rates are fixed at an affordable level.

18.3 In relation to the investment strategy the Council has adopted an extremely prudent approach over the last few years and continues to do so. It is recommended that the Council approves the existing counterparty criteria as set out in paragraphs 8.7.

19. RECOMMENDATIONS

19.1 It is recommended that Members approve that the following proposals are referred to full Council:

19.2 Treasury Management Outturn Position 2019/20

- i) Note the 2019/20 Treasury Management Outturn detailed in section 4 and Appendix A.

19.3 Treasury Management Strategy 2020/21 Mid-Year Review

- ii) Note the 2020/21 Treasury Management Mid-year Position detailed in section 5.

19.4 Treasury Management Strategy 2021/22 (Prudential Indicators)

- iii) Approve the prudential indicators outlined in Appendix B.

19.5 Borrowing Strategy 2021/22

- iv) **Core borrowing requirement** – following the securing of exceptionally low interest rates approve that the remainder of the under borrowing is netted down against investments.
- v) To note that in the event of a change in economic circumstances that the Director of Resources and Development may take out additional borrowing if this secures the lowest long term interest cost.
- vi) To authorise the Director of Resources and Development to implement Treasury Management arrangements which minimise the short and long term cost to the Council.

19.6 Investment Strategy 2021/22

- vii) Approve the Counterparty limits as set out in paragraph 8.7.

19.7 Minimum Revenue Provision (MRP) Statement

- viii) Approve the MRP statement outlined in paragraph 9.3 above.

20. REASON FOR RECOMMENDATIONS

- 20.1 To allow Members to fulfil their responsibility for scrutinising the Treasury Management Strategy

21. CONTACT OFFICER

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Appendix A

Prudential Indicators 2019/20 Outturn**1. Ratio of Financing Costs to Net Revenue Stream**

This indicator shows the proportion of the total annual revenue budget that is funded by the local tax payer and Central Government, which is spent on servicing debt.

2019/20 Estimate		2019/20 Outturn
4.07%	Ratio of Financing costs to net revenue stream	3.30%

2. Capital Expenditure

This indicator shows the total capital expenditure for the year.

2019/20 Estimate £'000		2019/20 Outturn £'000
39,443	Capital Expenditure	23,451

The actual is lower than estimated owing to the phasing of capital expenditure between years.

3. Capital Expenditure Financed from Borrowing

This shows the borrowing required to finance the capital expenditure programme, split between core expenditure and expenditure in relation to business cases.

2019/20 Estimate £'000		2019/20 Outturn £'000
245	Core Capital Expenditure Financed by Borrowing	5,828
5,139	Business Case Capital Expenditure Financed by Borrowing	2,784
1,000	HRA Capital Expenditure Financed by Borrowing	-
6,384	Total Capital Expenditure Financed by Borrowing	8,612

The actual is higher than estimated owing to the phasing of borrowing between years.

4. Capital Financing Requirement

CFR is used to determine the minimum annual revenue charge for capital expenditure repayments (net of interest). It is calculated from the Council's Balance Sheet and is shown below. Forecasts for future years are directly influenced by the capital expenditure decisions taken and the actual amount of revenue that is set aside to repay debt.

2019/20 Estimate £'000		2019/20 Outturn £'000
71,954	Core Capital Financing Requirement	74,772
35,425	Business Case Capital Financing Requirement	23,441
12,629	HRA Capital Financing Requirement	9,813
120,008	Total Capital Financing Requirement	108,026

The capital financing requirement is lower than estimated owing to the phasing of capital expenditure.

5. Authorised Limit for External Debt

The authorised limit determines the maximum amount the Council may borrow at any one time. The authorised limit covers both long term borrowing for capital purposes and borrowing for short term cash flow requirements. The authorised limit is set above the operational boundary to provide sufficient headroom for operational management and unusual cash movements. In line with the Prudential Code, the level has been set to give the Council flexibility to borrow up to three years in advance of need if more favourable interest rates can be obtained.

2019/20 Limit £'000		2019/20 Peak £'000
141,000	Authorised limit for external debt	87,386

The above Authorised Limit was not exceeded during the year. The level of debt as at 31st March 2020, excluding accrued interest was £78.524m. The peak level during the year was £87.386m.

6. Operational Boundary for External Debt

The operational boundary is the most likely prudent, but not worst case scenario, level of borrowing without the additional headroom included within the authorised limit. The level is set so that any sustained breaches serve as an early warning that the Council is in danger of overspending or failing to achieve income targets and gives sufficient time to take appropriate corrective action.

2019/20 Limit £'000		2019/20 Peak £'000
131,000	Operational boundary for external debt	87,386

The operational limit was not exceeded in the year. The peak level of debt was £87.386m.

7. Interest Rate Exposures

This indicator is designed to reflect the risk associated with both fixed and variable rates of interest, but must be flexible enough to allow the Council to make best use of any borrowing opportunities.

2019/20 Limit %	Upper limits on fixed and variable interest rate exposure	2019/20 Peak %
100% 75%	Fixed Rates Variable Rates	77% 23%

The figures represent the peak values during the period.

8. Maturity Structure of Borrowing

This indicator is designed to reflect and minimise the situation whereby the Council has a large repayment of debt needing to be replaced at a time of uncertainty over interest rates, but as with the indicator above, it must also be flexible enough to allow the Council to take advantage of any borrowing opportunities.

	Upper Limit £000	Lower Limit £000	Actual by Maturity Date £000	Actual by soonest call date £000
Less than one year	131,000	0	3,191	8,191
Between one and five years	141,000	0	5,250	20,250
Between five and ten years	141,000	0	4,122	4,122
Between ten and fifteen years	141,000	0	3,956	3,956
Between fifteen and twenty years	141,000	0	2,451	2,451
Between twenty and twenty-five years	141,000	0	2,251	2,251
Between twenty-five and thirty years	141,000	0	2,648	2,648
Between thirty and thirty-five years	141,000	0	6,525	6,525
Between thirty-five and forty years	141,000	0	2,725	2,725
Between forty and forty-five years	141,000	0	654	654
More than forty-five years	141,000	0	45,151	25,151

9. Investments over Maturing over One Year

This sets an upper limit for amounts invested for periods longer than 364 days. The limit was not exceeded as a prudent approach to investment has been taken owing to uncertainties in the economy this is in line with the Treasury Management Strategy. Consequently all investments made during the year were limited to less than one year.

	1 year £000	2 year £000	3 year £000
Maximum Limit	20,000	0	0
Actual	0	0	0

TREASURY MANAGEMENT STRATEGY 2021/22 REGULATORY INFORMATION AND PRUDENTIAL INDICATORS

1. INTRODUCTION

- 1.1 The regulatory information and prudential indicators for the 2021/22 Treasury Management Strategy are set out below.

2. PRUDENTIAL INDICATORS

- 2.1 The Local Government Act 2003 requires the Council to adopt the CIPFA Prudential Code and set prudential indicators. Each indicator either summarises the expected capital activity or introduces limits upon that activity.
- 2.2 The first prudential indicator is confirmation that the Council has adopted the CIPFA Treasury Management Code of Practice, which the Treasury Management Strategy report confirms.
- 2.3 Details of the proposed prudential limits are set out in the following sections.

3. CAPITAL EXPENDITURE AND FINANCING REQUIREMENT

- 3.1 The Council's Borrowing Strategy is driven by the Capital Financing Requirement (CFR) and the Council's view of interest rates. The CFR is the amount the Council needs to borrow to fund capital expenditure incurred in previous financial years and forecast capital expenditure in the next three years which is funded from borrowing. Historically the majority of the Council's CFR related to capital expenditure supported by Government borrowing approvals.
- 3.2 Government borrowing approvals are authority to fund capital expenditure from loans. Prior to the introduction of the prudential borrowing system in the Local Government Act 2003 Councils could only borrow for capital expenditure authorised by a Government borrowing approval.
- 3.3 Following the introduction of the prudential borrowing systems Councils can determine their own borrowing levels, subject to revenue affordability. The Council has managed the new flexibility carefully owing to the ongoing revenue commitment of taking on new additional borrowing. The Council has only approved specific self-funding business cases, for example affordable housing schemes and a limited amount of General Fund capital expenditure where the resulting loan repayment and interest costs have been funded as a revenue budget pressure.

- 3.4 Councils ultimately need to fund the CFR by borrowing money from the Public Works Loan Board (PWLb) or banks. The CFR is then repaid over a number of years reflecting the long term benefits of capital expenditure. In simple terms the CFR represents the Council's outstanding mortgage, although the legislation and accounting requirements are significantly more complex.
- 3.5 The estimated Capital Finance & Borrowing Requirement is shown in the following table:

Capital Financing & Borrowing Requirement	2020/21 Revised £'000	2021/22 Estimate £'000	2022/23 Estimate £'000	2023/24 Estimate £'000
CFR at 1st April	108,026	113,962	121,252	138,282
Capital Expenditure Financed by New Borrowing	6,127	8,533	9,105	7,076
Approved Borrowing Rephased from 2019/20 and Borrowing Profiled for Future Years	13,692	0	0	0
Less Borrowing to be Rephased to Future Years	(11,289)	1,144	10,145	0
Less Repayment of CFR	(2,594)	(2,387)	(2,220)	(2,218)
CFR at 31st March	113,962	121,252	138,282	143,140
Less assets held under Finance Lease	(191)	(183)	(175)	(167)
Borrowing Requirement	113,771	121,069	138,107	142,973
Corporate Borrowing Requirement	76,425	75,398	74,267	73,147
Business Case Borrowing Requirement	27,532	33,509	48,420	51,266
Housing Revenue Account Borrowing Requirement	9,814	12,163	15,421	18,560
Borrowing Requirement	113,771	121,069	138,107	142,973

- 3.6 As part of the Medium Term Financial Strategy the Council is required to approve the 2021/22 capital programme summarised as follows:

Capital Expenditure	2020/21 Revised £'000	2021/22 Estimate £'000	2022/23 Estimate £'000	2023/24 Estimate £'000
New Approved Capital Expenditure	17,477	19,716	20,288	18,259
Rephased Capital Expenditure from 2019/20 and Expenditure Profiled for Future Years	24,832	0	0	0
2020/21 Capital Expenditure to be Rephased	(21,711)	11,566	10,145	0
Capital Expenditure for the Year	20,598	31,282	30,433	18,259
Financed by:				
Capital grants and contributions	11,200	11,033	11,033	11,033
Other Capital Funding	150	150	150	150
Capital Expenditure to be funded from New Prudential Borrowing	6,127	8,533	9,105	7,076
Capital Resources Rephased from 2018/19 and Capital Resources Profiled for Future Years	24,832	0	0	0
Rephased Expenditure between years.	(21,711)	11,566	10,145	0
Total Funding	20,598	31,282	30,433	18,259
Non-HRA Capital Expenditure	20,598	28,933	27,175	15,120
HRA Capital Expenditure	0	2,349	3,258	3,139
Total Capital Expenditure	20,598	31,282	30,433	18,259

4. AFFORDABILITY PRUDENTIAL INDICATORS

4.1 The affordability of the approved Capital Investment Programme was assessed when the capital programme was approved and revenue costs are built into the Medium Term Financial Strategy or individual business cases. The 'Affordability Prudential Indicators' are detailed below and are intended to give an indication of the affordability of the planned capital expenditure financed by borrowing in terms of the impact on Council Tax and the Net Revenue Stream.

4.2 Incremental Impact of Capital Expenditure on Housing Rent Levels

4.3 This indicator shows the revenue impact on any newly proposed changes to HRA capital expenditure. At present there will be no impact on housing rent levels as these have been set taking into account the existing HRA capital programme.

	Forward Projection 2020/21 £'000	Forward Projection 2021/22 £'000	Forward Projection 2022/23 £'000	Forward Projection 2023/24 £'000
Weekly Housing Rent Levels	£0.00	£0.00	£0.00	£0.00

4.4 Ratio of Financing Costs to Net Revenue Stream

- 4.5 This shows the net cost of capital borrowing as a percentage of the net budget. The decrease reflects significant savings from locking into historically low interest rates and re-profiling of MRP as outlined in the report.

	2020/21 Estimate	2021/22 Estimate	2022/23 Estimate	2023/24 Estimate
Non-HRA financing cost to General Fund Net Revenue Stream	4.89%	4.77%	4.65%	4.54%

4.6 Ratio of Finance Costs to HRA Net Revenue Stream

- 4.7 This shows the net cost of capital borrowing as a percentage of the net HRA budget arising from the phased implementation of the business case.

	2020/21 Estimate	2021/22 Estimate	2022/23 Estimate	2023/24 Estimate
HRA financing cost to HRA Net Revenue Stream	25.47%	29.03%	30.58%	30.61%

- 4.8 This reflects the profile of funding used to finance the HRA, including delaying the use of borrowing.

5. **BORROWING PRUDENTIAL INDICATORS**

5.1 Debt Projections 2020/21 – 2023/24

- 5.2 The following table sets out the Council's projected Capital Financing Requirement (CFR) and level of debt:

Debt and Investment Projections	2020/21 Revised £'000	2021/22 Estimated £'000	2022/23 Estimated £'000	2023/24 Estimated £'000
Long Term Borrowing 1 April	78,524	78,524	95,524	106,524
Expected change in Long Term Debt	0	17,000	11,000	5,000
Debt at 31 March	78,524	95,524	106,524	111,524
Borrowing Requirement	113,771	121,069	138,167	143,033
Under Borrowing	(35,247)	(25,545)	(31,643)	(31,509)
Non-HRA Debt	68,710	83,361	91,103	92,964
HRA Debt	9,814	12,163	15,421	18,560
Total Debt	78,524	95,524	106,524	111,524

- 5.3 Although the Council has reduced the level of under borrowing in recent years the table shows that an element of core borrowing can continue to be temporarily deferred by netting down investments and borrowing.

5.4 Limits to Borrowing Activity

5.5 Within the prudential indicators there are a number of key indicators to ensure the Council operates its activities within well defined limits.

5.6 The Council needs to ensure that total borrowing does not, except in the short term, exceed the total of the CFR in the preceding year plus the estimates of any additional CFR for 2020/2021 and the following two financial years. This allows some flexibility for limited early borrowing for future years, but ensures that borrowing is not undertaken for revenue purposes. The following table demonstrates that borrowing will not exceed the CFR.

External Debt	2020/21 Revised £'000	2021/22 Estimated £'000	2022/23 Estimated £'000	2023/24 Estimated £'000
Gross Borrowing	78,524	95,524	106,524	111,524
Other Long Term Liabilities	191	183	175	167
Total Gross Borrowing	78,715	95,707	106,699	111,691
Borrowing Requirement	113,771	121,069	138,107	142,973

5.7 The following table shows two key limits for the monitoring of debt. The Operational Limit is the likely limit the Council will require and is aligned closely with the actual CFR on the assumption that cash flow is broadly neutral. The Authorised Limit for External Debt is a further key prudential indicator to control the overall level of borrowing. This represents a limit beyond which external debt is prohibited, and this limit needs to be set or revised by the Council. In practice it needs to take account of the range of cash flows that might occur for the Council in addition to the CFR. This also includes the flexibility to enable advance refinancing of existing loans.

Borrowing Limits	2020/21 £'000	2021/22 Estimated £'000	2022/23 Estimated £'000	2023/24 Estimated £'000
Operational Limit	124,000	132,000	148,000	153,000
Authorised limit	134,000	142,000	158,000	163,000

*These Limits include provision for potential temporary borrowing related to the phasing of capital receipts over the period of the MTFS.

6. **INVESTMENT PRUDENTIAL INDICATORS AND OTHER LIMITS ON TREASURY ACTIVITY**

6.1 Investment Projections 2020/21 – 2023/24

6.2 The following table sets out the estimates for the expected level of resource for investment or use to defer long term borrowing.

2019/20 Outturn £'000	Year End Resources	2020/21 Revised £'000	2021/22 Estimate £'000	2022/23 Estimate £'000	2023/24 Estimate £'000
35,672	Balances and Reserves	35,000	30,000	25,000	20,000
322	Collection Fund Adjustment Account*	0	0	0	0
3,993	Provisions	3,993	3,993	3,993	3,993
39,987	Total Core Funds	38,993	33,993	28,993	23,993
11,306	Working Capital**	8,300	8,300	8,300	8,300
51,293	Resources Available for Investment	47,293	42,293	37,293	32,293
(29,502)	(Under)/over borrowing	(35,247)	(25,545)	(31,583)	(31,449)
21,791	Expected Investments	12,046	16,748	5,710	844

6.3 Sensitivity to Interest Rate Movements

6.4 Sensitivity to Interest Rate Movements is a prudential indicator that the Authority is required to disclose. The following table highlights the estimated impact of a 1% increase/decrease in all interest rates to the estimated treasury management costs/income for next year. These forecasts are based on a prudent view of a +/- 1% change in interest rates for the borrowing requirement that has not yet been fixed (i.e. under borrowing). Equally for investments they are based on a prudent view of the total amount invested. That element of the debt and investment portfolios which are of a longer term, fixed interest rate nature will not be affected by short interest rate changes. The "Treasury Management Risk Reserve" was established to manage this risk.

Impact on Revenue Budgets	2020/21 Estimated 1% £'000	2020/21 Estimated -1% £'000
Interest on Borrowing	255	(255)
Investment income	(167)	167
Net General Fund Borrowing Cost	88	(88)

6.5 There are four further treasury activity limits and the purpose of these are to contain the activity of the treasury function within certain limits, thereby managing risk and reducing the impact of an adverse movement in interest rates.

6.6 The limits are:

- i) Upper limits on variable interest rate exposure – This identifies a maximum limit for the percentage of the Council's borrowing and investments that are held with variable interest rates. The proposed limits are detailed in the following table.

Limits on Variable Interest Rates	2020/21 Upper £'000	2021/22 Upper £'000	2022/23 Upper £'000
Borrowing	75%	75%	75%
Investments	100%	100%	100%

- ii) Upper limits on fixed interest rate exposure – Similar to the previous indicator this covers a maximum limit for the percentage of the Council's borrowing and investments that are held with fixed interest rates.

Limits on Fixed Interest Rates	2020/21 Upper £'000	2021/22 Upper £'000	2022/23 Upper £'000
Borrowing	100%	100%	100%
Investments	100%	100%	100%

- iii) Maturity structure of borrowing – Limits for the 'Maturity Structure of Borrowing' are intended to reduce exposure to large fixed rate sums falling due for refinancing. In the opinion of the Chief Finance Officer limits on fixed and variable rates for **borrowing** are unhelpful and could lead to higher costs of borrowing. Previous experience has shown that it is possible to move from a position of predominantly fixed rate borrowing to variable rate borrowing and then back to fixed rate borrowing over a period of two years. In the Chief Finance Officer's professional opinion this proactive management of investments and borrowing continues to provide the most cost effective strategy for the Council, whilst not exposing the Council to unnecessary risk. The Council should ensure maximum flexibility to minimise costs to the revenue budget in the medium term. These limits are detailed in the following table:

Maturity Structure of fixed interest rate borrowing 2021/22				
	2020/21 £000 Lower Limit	2020/21 £000 Upper Limit	2021/22 £000 Lower Limit	2021/22 £000 Upper Limit
Under 12 months	0	90%	0	90%
12 months to 2 years	0	100%	0	100%
2 years to 5 years	0	100%	0	100%
5 years to 10 years	0	100%	0	100%
10 years to 20 years	0	100%	0	100%
20 years to 30 years	0	100%	0	100%
30 years to 40 years	0	100%	0	100%
40 years to 50 years	0	100%	0	100%
50 years to 60 years	0	100%	0	100%
60 years to 70 years	0	100%	0	100%

- iv) Maximum principal sums invested – Total principal funds invested for greater than 364 days – These limits are set with regard to the Council's liquidity requirements and reflect the current recommended advice that investments are limited to short term investments i.e. up to one year.

Limit for Maximum Principal Sums Invested > 364 days			
	1 year £000	2 years £000	3 years £000
Maximum	20,000	0	0

6.7 Performance Indicators

- 6.8 The Code of Practice on Treasury Management requires the Council to set performance indicators to assess the adequacy of the treasury function over the year. These are distinct historic indicators, as opposed to the prudential indicators, which are predominantly forward looking. The Council will produce the following performance indicators for information and explanation of previous treasury activity:

- Average rate of borrowing for the year compared to average available
- Debt – Average rate movement year on year

COUNCIL
25 FEBRUARY 2021



Report of: Managing Director

Subject: BUSINESS REPORT - UPDATED

3. COVID UPDATE

Since Council last met, Government has set out its road map out of the current lockdown in England. Vaccines are at the heart of the UK Governments strategy to manage COVID-19. The UK is deploying the most ambitious vaccination programme in history. So far over 17 million people have received a vaccine across the UK.

The Government has achieved its goal to offer a first dose of the vaccine by 15 February to all those in the four most vulnerable cohorts identified by the Joint Committee on Vaccination and Immunisation (JCVI). This includes elderly care home residents, those aged 70 and over, those with conditions that would leave them clinically extremely vulnerable to serious illness and death as a consequence of COVID-19 and frontline health and social care staff. The NHS has now started to vaccinate the next set of cohorts (JCVI cohorts 5 to 9), including adults aged 50 and over and people whose underlying health conditions make them more likely to suffer serious disease. The Government aims for everyone who is 50 and over, or at risk, to have been offered a first dose of the vaccine by 15 April, and for everyone aged 18 and over to have been offered a first dose by 31 July.

The success of the vaccination rollout, alongside falling infections and hospitalisations, will pave the way for the safe and gradual lifting of restrictions. Vaccines will mean that fewer people will get COVID-19 and that those who do are far less likely to go to hospital or to die. However, not all those offered the vaccine will take it up and there are some groups such as children, for whom the vaccine is not yet authorised. Even when vaccinated, there is still a chance people can contract the virus and pass it on. No vaccine is 100% effective and, like all viruses, COVID-19 can mutate. As a result, as lockdown is lifted, there will sadly be more cases, hospitalisations and deaths. The Government has taken a cautious approach to easing lockdown, guided by the data in order to avoid a surge in infections that would put unsustainable pressure on the NHS.

The roadmap outlines four steps for easing restrictions. Before proceeding to the next step, the Government will examine the data to assess the impact of the previous step. This assessment will be based on four tests:

- The vaccine deployment programme continues successfully.
- Evidence shows vaccines are sufficiently effective in reducing hospitalisations and deaths in those vaccinated
- Infection rates do not risk a surge in hospitalisations which would put unsustainable pressure on the NHS.
- Their assessment of the risks is not fundamentally changed by new Variants of Concern.

It takes around four weeks for the data to reflect the impact of the previous step and the Government will provide a further weeks' notice to individuals and businesses before making changes. The roadmap therefore sets out indicative, "no earlier than" dates for the steps which are five weeks apart. These dates are wholly contingent on the data and are subject to change if the four steps are not met.

As restrictions are lifted, maintaining good habits which minimise transmission will be important, for both individuals and for businesses. The Test, Trace and Isolate system will continue to support the easing of social and economic restrictions. As restrictions ease and the economy is gradually and safely reopened, Government have advised they will tailor the level of support to individuals and businesses to reflect the changing circumstances. The 3 March Budget will set out further detail on economic support to protect jobs and livelihoods across the UK. The Government has said they will continue to provide support for the most vulnerable.

Step 1 : 8 March and 29 March

Pupils and students in all schools and Further Education settings will return to face-to-face education from 8 March. Wraparound childcare (including childminders) and other children's activities can restart from 8 March for all children where it is needed to enable parents or carers to work, seek work, attend education, seek medical care or attend a support group. Students in secondary schools, further and higher education settings will be required to wear face coverings including classrooms unless 2m social distancing can be maintained.

The stay at home restriction will continue but it will be amended so that people can leave home for recreation as well as exercise outdoors – with their own household, support or childcare bubble, or with one person from another household.

Every care home resident in England will be able to nominate a single named visitor who can come in for a regular visit. The visitor will have to take a rapid lateral flow test every time they visit, wear PPE and keep physical contact to a minimum.

As before people can leave home for work if they cannot work from home and to escape illness, injury or risk of harm, including domestic abuse.

From 29 March there is an opportunity for some further limited changes as part of stage 1, as most schools start to break up for the Easter holidays. By then lockdown will have been in place 12 weeks. People will be able to meet in groups up to a

maximum of 6 people (the rule of 6) or with one other household, though social distancing will still apply. This will apply in all outdoor settings including private gardens.

Outdoor sports facilities will also reopen, such as tennis, and basketball courts. Formally organised outdoor sports, for adults and under 18s can also restart.

Rules around funerals will not change; these can proceed with 30 attendees and wakes with 6 attendees, though not in private homes. Weddings will still be able to proceed with 6 attendees only.

Step 2 – at least 5 weeks after Step 1, no earlier than 12 April

Non-essential retail, personal care premises such as hairdressers, salons and close contact services and indoor leisure facilities such as gyms and spa's can reopen including libraries and community centres.

Overnight stays away from home in this Country will be permitted and self-contained accommodation can also reopen.

The majority of outdoor settings and attractions can also reopen, including outdoor hospitality, zoos, theme parks, drive-in cinemas and drive-in performance events. Hospitality venues will be able to open for outdoor service, with no requirement for a substantial meal to be served alongside alcoholic drinks, and no curfew. The requirement to order, eat and drink while seated will remain.

All newly open settings must abide by social contact rules.

At this step Government will take a decision on extending the number of care home visitors to two per resident and set out a plan for the next phase of visits.

At this point, funerals can continue to proceed with up to 30 attendees, Wedding receptions, and commemorative events including wakes will be able to take place with up to 15 attendees.

Step 3 – at least five weeks after Step 2, no earlier than 17 May

Sectors that will re-open include:

Indoor hospitality, with no requirement for a substantial meal to be served alongside alcoholic drinks and no curfew. The requirement to order eat and drink while seated will remain;

Remaining outdoor entertainment, such as outdoor theatres and cinemas;

Indoor entertainment, such as museums, cinemas and children's play areas;

Remaining accommodation, such as hotels, hostels and B&Bs;

Adult indoor group sports and exercise classes; and

Some large events, including conferences, theatre and concert performances and sports events;

At this step, weddings, receptions, funerals and commemorative events including wakes can proceed with up to 30 attendees.

Step 4 – at least five weeks after Step 3, no earlier than 21 June

With appropriate mitigations in place, by Step 4, Government aims to

Remove all legal limits on social contact

Reopen the remaining closed settings, including nightclubs and enable large events and theatre performances

Remove all limits on weddings and other life events

Hartlepool Position

As of 22nd February 169 positive cases over the last 7 days which equates to 180/100,000 population with a positivity rate of 7.6%

As at 19th February North Tees & Hartlepool Hospital had 76 COVID 19 patients with 9 in intensive care, with 3 deaths as at the 9th February for the previous 7 days.

There have been no deaths in care settings in the last two weeks and no new cases reported over the last week. This is the first time we have been in this position since last July / August so this is very positive and hopefully reflects the impact of the vaccination programme.

7. CIVIC HONOURS

At the meeting of the Civic Honours Committee held on 14 December 2020, the Committee considered inviting the submission of nominations for civic honours with the following timeline agreed by the Committee:-

- Advertise in Resident Newsletters
- Closing date to be 4 weeks after publication of Newsletter
- Civic Honours Committee to meet to discuss nominations
- Individuals to be consulted on whether they accept their nominations
- Accepted nominations to be submitted to next Ordinary Council meeting for approval.
- Special Council to be convened to install honours on date agreed by Ceremonial Mayor

At the Committee meeting, it was also highlighted by the Ceremonial Mayor the outstanding work of a number of residents in the Borough during the Covid 19 pandemic. It was suggested that members of the public be encouraged to also submit nominations for a Mayor's Award. It was clarified that although the Mayor's Award would be separate to the Civic Honours, the timeline for both Civic Honours and the Mayor's Awards would run concurrently.

Due to implications in respect of Covid and subsequent lockdown, it has not been possible to advertise in recent resident newsletters. In order that civic honours can

be considered this municipal year as had been intended, Full Council is requested to consider the following revised timeline for civic honours:-

- Week commencing 22 February, nominations sought using social media and press releases.
- Closing date for nominations 8 March
- Meeting of Civic Honours Committee 11/12 March to discuss nominations
- Individuals to be consulted on whether they accept their nominations
- Accepted nominations to be submitted to an Extraordinary Council meeting on 18 March

Full Council is requested to approve the proposed revised timeline for civic honours.

Public questions for Council

Meeting Date: 25 February 2021

1.	From: G I Ferguson
	<p>Question</p> <p>Why, at a time when organisations and public bodies are being advised and encouraged to hold less private and personal details appertaining to members of the public, are HBC going against this advice.</p> <p>I refer to Neighbourhood Services Committee meeting 13th March 2020 Appendix B section 4.5.to say this information will ensure officers have an understanding of associations and sites is ludicrous and I would think against data protection. The Council have no right to <u>demand</u> a, b, c or d. This is personal information and has nothing to do with HBC.</p>
2.	From: Mr John Swift
	<p>Question:</p> <p>Under what legislation can the Council give themselves the right to enter and inspect any shed, greenhouse or poly tunnel. That is the personal property of the tenant. Surely entry is restricted to RSPCA, Fire Service and Police in essential circumstances and not the Council without the presence of the Police, and a warrant of entry. I refer to Neighbourhood Services meeting Appendix B section 4.12 dated 13th March 2020.</p>
3.	From: Mr John Hayes
	<p>Question:</p> <ol style="list-style-type: none"> 1. Can you please confirm the number of vacant allotments across the town and what measures you have in place to safeguard the sites against crime and anti-social behaviour? 2. Can you please outline the current year's income and expenditure in respect of the Council's budget for Sport and Recreation?

CLEVELAND FIRE AUTHORITY

MINUTES OF ORDINARY MEETING

16 OCTOBER 2020



PRESENT:

CHAIR

Cllr Paul Kirton – Stockton on Tees Borough Council

HARTLEPOOL BOROUGH COUNCIL

Cllrs Tim Fleming, Marjorie James,

MIDDLESBROUGH COUNCIL

Cllrs Teresa Higgins, Naweed Hussain, Jon Rathmell

REDCAR & CLEVELAND BOROUGH COUNCIL

Cllrs Billy Ayre, Adam Brook, Mary Ovens

STOCKTON ON TEES BOROUGH COUNCIL

Cllrs Luke Frost, Lynn Hall, Jean O'Donnell, William Woodhead MBE

AUTHORISED OFFICERS

Chief Fire Officer, ACFO – Strategy, Policy & Resources, ACFO – Community Protection, Treasurer, Legal Adviser and Monitoring Officer

AUDITORS

Gavin Barker – Audit Engagement Lead, Mazars

Ross Woodley – Audit Director, Mazars

Noel Adamson - Head of Audit and Governance, Hartlepool Borough Council

FIRE BRIGADES UNION (FBU)

Dave Howe – Brigade Secretary

APOLOGIES:

Cllr Stephen Thomas - Hartlepool Borough Council

Cllr Ashley Waters - Middlesbrough Council

Cllr Craig Holmes - Redcar & Cleveland Borough Council

122. WELCOME TO NEW MEMBERS

The Chair welcomed new Member Councillor Lynn Hall from Stockton Borough Council and informed Members that Councillor Craig Holmes from Redcar & Cleveland Borough Council had also been appointed.

123. MINUTE SILENCE TO REMEMBER THE LATE COUNCILLOR COONEY

Members and Officers paid their respects to the late Councillor Norah Cooney who was a long standing Member and supporter of the Cleveland Fire Authority who sadly passed away in April 2020.

124. DECLARATIONS OF INTEREST

It was noted no Declarations of Interests were submitted to the meeting.

125. MINUTES

RESOLVED - that the Minutes of the Ordinary Meeting on 14 February 2020 be confirmed.

126. MINUTES OF MEETINGS

RESOLVED - that the Minutes of the Executive Committee on 25 September 2020 be confirmed.

127. COMMUNICATIONS RECEIVED BY THE CHAIR

HMICFRS

Fire & Rescue Inspection Updates

LGA

Tripartite Agreement

Pay Claim 2020

Brigade Managers Pay Survey 2020

NJC Brigade Managers Pay Consultation 2020

Fit for the Future Consultation Launch

Clair Alcock

HMT Consultation on Age Discrimination Remedy updates

RESOLVED – that the communications be noted.

128. REPORTS OF THE CHIEF FIRE OFFICER
128.1 COVID-19 Briefing – Presentation

Members received a detailed presentation covering:-

- 1st wave situational awareness
- CFA strategic objectives/intentions
- Operational response
- Broader support
- Health, Safety & Welfare
- Resource Costs
- Communications
- Latest Position & Government Alert Levels
- CFA Immediate Priorities

The Chief Fire Officer (CFO) expressed thanks to all staff, Members and the FBU and Unison for their continued support during this difficult time.

Councillor James placed on record thanks to the Authority and staff for the excellent service provided to Cleveland throughout the pandemic. She acknowledged the growing financial hardship which may force people to turn to unscrupulous lenders for support and highlighted the plight of vulnerable children spending more time locked in violent households. The CFO confirmed that all Brigade staff were trained in safeguarding and a substantial number of safeguarding referrals had been made to protect vulnerable people in the community.

Dave Howe passed on thanks for the fantastic efforts of everyone who stepped up and continued to support the ongoing crisis and take on extra tasks. He noted that the tripartite agreements had been extended and thanked management for the continuous communication throughout which helped keep FBU members informed and allay any concerns about additional tasks required of them.

Councillor Ayre asked what arrangements were in place for the Bonfire period. The CFO confirmed that all local organised displays had been cancelled and the Local Resilience Forum (LRF) was working with agencies to remove fire loadings from communities, take illegal fireworks off the streets and dispel displaced groups of people, in line with current COVID-19 legislation and the remit of powers those agencies have. He confirmed that it was essential to put plans in place to avoid gangs turning violent towards operational crews.

128.1 COVID-19 Briefing – Presentation cont.

Councillor James called for the government to take a stance and make the selling of all fireworks illegal in the current situation. The Chair agreed to send a message on behalf of the Authority.

RESOLVED:-

- (i) That the presentation be noted.**
- (ii) That a letter be sent to Government on behalf of the Authority calling for a future restriction / ban on the sale of all fireworks.**

(14:30) Councillor Ovens left the meeting.

128.2 Unwanted Fire Signals Policy and Strategy Cost Recovery

Members received details of the cost recovery arrangements in relation to the Unwanted Fire Signal Strategy and Policy for their consideration.

The Assistant Chief Fire Officer – Community Protection (ACFO CP) reported that the Authority's current position is not to attend Automated Fire Alarms (AFAs) to low and medium risk premises unless a confirmation of fire is received from the premises via a 999 call. High risk, special risk and domestic properties (as defined in section 4 of the report) will receive one fire appliance to the AFA address, unless a risk assessment or back-up call determines otherwise.

In February 2020, the Brigade undertook a consultation exercise to obtain the views from communities on levying a charge for attending premises with repeat UwFS, as defined in the 4 stage approach at Section 5 of the Cost Recovery Strategy (Appendix 1). The results from the general public demonstrated a strong support for implementation.

The ACFO CP reported that the introduction of a charging mechanism is primarily to encourage a positive behavior change in business/property owners who will be encouraged to work with the Brigade to reduce the number of mobilisations of UwFS. He informed Members that in 2019/20 there were 40 chargeable offenses which would have recovered costs over £26k had the Brigade had a mechanism in place to pursue them. He also added that the Brigade's new approach to UwFS was consistent with the approaches of regional counterparts.

RESOLVED – that as recommended by the Executive Committee, the introduction of cost recovery arrangements in relation to the Unwanted Fire Signals Policy and Strategy be approved.

128.3 HM Treasury Public Services Pension Schemes: Changes to Transitional Arrangements to the 2015 Schemes Consultation July 2020

Members received details of the HM Treasury's proposals in regard to Public Pension Schemes: Changes in transitional arrangements.

The CFO reported that on 16 July 2020 a consultation was launched on the HM Treasury's proposals regarding changes in transitional arrangements of the Public Pension Schemes following the result of the McCloud/Sergeant case by removing the age discrimination resulting from the limitations of the protections to older members, together with an update on the employer cost cap process.

128.3 HM Treasury Public Services Pension Schemes: Changes to Transitional Arrangements to the 2015 Schemes Consultation July 2020

The CFO referred Members to the Chief Secretary to the Treasurer's Statement (Appendix 1), Consultation Questions, (Appendix 2) and Cost Control Element of the 2016 Evaluations and HM Treasury Leaflet (Appendix 3).

The CFO reported that the consultation covers all members who were in a scheme on 31 March 2012 and the remedy period covers April 2015 to March 2022, when it is proposed a new scheme will be introduced (although no details are yet known about this scheme).

He reported that scheme members will have two options: stay in the 2015 Scheme or be put back into their previous scheme. This decision can either be made immediately (within 12 months) or be deferred to the point of retirement. This creates the potential for dual administration systems for every scheme member. There are also tax implications and administration cost to put this right.

The CFO informed Members that he had submitted a joint response with the NFCC as well as an individual stakeholder response (Appendix 4), before the 12 October 2020 deadline which incorporated the views of both the Local Pensions Board and Executive Committee which was approved by the Chair and Vice Chair.

Councillor Frost asked Mr Howe for his comments on the matter. Mr Howe confirmed he had a personal view as a scheme member for almost 20 years and reported that the FBU had submitted a full and frank response to the questions which was in line with the CFA response that a deferred choice was preferable to give Members the choice to make that decision at retirement. He also reported strong opposition to the proposal to introduce a new scheme from April 2022, as it was unclear what this would look like. Mr Howe reminded Members that it was the fault of the Government for pushing through illegal changes to the pensions and urged them to lobby government to meet the cost of this.

RESOLVED:-

- (i) **that Members note the HM Treasury's 'Public Service Pension Scheme: Change to Transitional Arrangements of the 2015 Scheme' consultation on proposals regarding remedying age discrimination; the employer cost cap; and the transition of all active pension scheme members into the reformed CARE schemes with affect from 1 April 2022.**
- (ii) **that Members note the response (as approved by the Chair and Vice Chair) to the HM Treasury's 'Public Service Pension Scheme: Change to Transitional Arrangements of the 2015 Scheme' consultation detailed at Appendix 4.**

(15:09) Councillor James left the meeting.

128.4 Draft Building Safety Bill / Fire Safety Order Consultation

The CFO updated Members on the Draft Fire Safety Bill published by the Government in the wake of the Grenfell Tower Tragedy. The Bill covers a wide range of changes to law covering building safety during design, construction and occupation and the creation of a new regulatory framework for high-rise residential buildings.

The CFO reported that the Government had also published a consultation on changes to the overlapping Fire Safety Order which covers safety in workplaces and other premises.

128.4 Draft Building Safety Bill / Fire Safety Order Consultation cont.

He referred Members to the Executive Summary at paragraph 3.2 of the report and outlined the Building Safety Bill Key Issues and the General Changes to Building Safety Framework detailed at section 4.

The CFO noted the impact on local authority planning departments under the new proposals as building approval would lapse automatically after three years and there would be new safety liabilities on corporate directors who could be held accountable. The new regime in high rise compliance involves additional responsibilities and clear, open, transparent information from design to occupation for anyone who may require it. There also needs to be certification to demonstrate the fitness of occupation of these buildings.

Issues for the FRS:

- FRS is now part of the new regulatory framework for approvals
- It will impact on demand on the FRS to carry out a risk based inspection programme
- Secretary of State will have powers to direct FRSs to scrutinise certain types of buildings. In relation to high rise residential there are currently 11k of these in England with cladding still in place.
- Training staff will have cost implications to the Authority to meet this new standard. The CFO confirmed the Authority was already progressing this.
- More powers to carry out detailed checks on lifts, dry risers, smoke control systems, fire doors on buildings above 11m and balconies.

The CFO reported that the response to the consultation, which had been approved by Chair, had been circulated to Members prior to the meeting.

Councillor Frost raised concern about a cladded building in Middlesbrough. The CFO confirmed that the building safety team worked in close partnership with Middlesbrough Borough Council to ensure health and safety was paramount. He added that the Brigade also has the power to prohibit where necessary.

RESOLVED:-

- (i) **That the Government's consultation on proposals regarding a wide range of changes to legislation covering building safety during design, construction and occupation, and the creation of a new regulatory framework for high-rise residential buildings be noted.**
- (ii) **That the Government's consultation on changes to the overlapping Fire Safety Order which covers safety in workplaces and other premises be noted.**
- (iii) **That the CFA response (as approved by the Chair of the CFA) to the Government's consultation on the draft Building Safety Bill and the reforms to the Fire Safety Regulatory Reform Order be noted.**

(15:13) Councillor Ovens re-joined the meeting

128.5 HMICFRS Inspection Programme 2020/21 and COVID-19 Inspection 2020

The Assistant Chief Fire Officer, Strategic Planning & Resources (ACFO SPR) provided Members with an update on the HMICFRS Fire and Rescue Service Inspection Programme which had been temporarily suspended in March by Sir Thomas Windsor as a result of the COVID-19 pandemic.

She informed Members that in July 2017 HMICFRS began a programme of inspections across the 45 FRSs in England. The inspections examined and graded Fire and Rescue Services (FRS) in three discrete areas:

- The effectiveness of FRSs i.e. how effective are they at delivering prevention, protection and emergency response services
- The efficiency of FRSs i.e. how are they at delivering value for money services; allocating resources and collaborating
- How well FRSs looked after their people including values, culture, health and wellbeing; equality, diversity and inclusion and leadership

The ACFO SPR noted that all inspections were completed by December 2018 and the Brigade was graded 'Good' in all three areas. HMICFRS Inspections were due to re-commence in 2020 but were suspended due to COVID 19 and are due to re-commence in 2021; with Cleveland Fire Brigade's Round Two Inspection scheduled for September 2023.

On 12 August 2020 the Brigade was advised that the Home Secretary had commissioned an interim inspection on FRSs on their response to COVID-19. The focus of this inspection will be on promoting improvement and identifying notable practice via a desktop inspection of key documents; surveys of staff; interviews with the CFO, Chair, Principal and Senior Officers and Trade Unions.

Cleveland Fire Authority's COVID Inspection will take place week commencing 9 November 2020 as detailed at Appendix 1. Results are expected to be published in December 2020.

RESOLVED – That the report be noted.

128.6 Government Review: Review of the Police and Crime Commissioner Model

Members received an update on the Review of the Police and Crime Commissioner Model aimed at considering how this model, introduced in 2012, can be evolved to ensure strong, visible leaders in the fight against crime and have the legitimacy and tools to hold their police forces to account effectively.

The CFO reported how on 22 July 2020, Kit Malthouse, Minister of State for Crime, Policing and the Fire Service set out to Parliament, details of a two-part internal review committed to strengthening the accountability of PCCs and expanding their role, as detailed in section 4 of the report. He referred Members to Appendix 1 which outlined the NFCC's response to the Home Office research questions, which he supported, in connection to Part 1 of the review.

Following the PCC Elections in May 2021, Part 2 of the review will commence looking at the longer term reforms and efficiencies to be made within the system with a view to implementation ahead of the 2024 elections.

128.6 Government Review: Review of the Police and Crime Commissioner Model cont.

Members raised concerns over whether further strengthening of governance with the police would be positive for the fire and rescue service and welcomed the delay in further reforms until after the 2021 elections.

RESOLVED – that the report be noted and further updates be received.

128.7 Information Pack

- 128.7.1 National Joint Circulars
- 128.7.2 Campaigns

RESOLVED - that the information pack be noted.

129. REPORTS OF THE TREASURER**129.1 Internal Audit Outturn Report 2019/20**

The Head of Internal Audit (HoIA) reported the outcomes of the audit work covering the period April 2019 to March 2020. He confirmed that the systems reviewed annually by Internal Audit have provided consistently high assurance of an effective and satisfactory audit provision.

RESOLVED - That the contents of the report be noted.

129.2 Role of the Head of Internal Audit in Local Government

The HoIA reported that the CIPFA statement – ‘The Role of the Head of Internal Audit in Local Government’ had been reviewed by the Treasurer and confirmed that the CFA complied with the requirements as detailed in Appendix A of the report.

RESOLVED – that Members noted that Cleveland Fire Authority complies with the CIPFA requirements as detailed in Appendix A of the report.

129.3 Role of the Chief Finance Officer in Public Service Organisations

The Treasurer reported that the role of the Chief Finance Officer is designated as the Treasurer at this Authority. He stated that the Treasurer had reviewed the CIPFA statement – ‘The Role of the CFO in Public Service Organisations’ and confirmed the CFA complied with the requirements as detailed in Appendix A of the report.

RESOLVED – that it be noted that the CFA complies with the CIPFA requirements as detailed in Appendix A of the report.

129.4 Audit 2019/20 – Request for Declarations

The Treasurer presented Members with a proposed response to a letter received from the Director of the Authority’s External Auditor Mazars regarding processes, arrangements and compliance with laws and regulations and the prevention and detection of fraud. The proposed response, attached at Appendix 1, included:

- Questions and arrangements for preventing and detecting fraud
- Questions about arrangements for complying with law and regulations responsibilities
- Questions about the appropriateness of the going concern assumption

129.4 Audit 2019/20 – Request for Declarations cont.

RESOLVED – that the letter at Appendix 1, outlining how the activities of the Audit and Governance Committee comply with the International Auditing Standards, be approved and the Chair be authorised to respond accordingly to the external Auditor.

129.5 Final 2019/20 Financial Report and Audit Completion Report

The Treasurer presented the Final 2019/20 Financial Report as detailed at Appendix C and noted that page 32 had been amended to reflect the impact of COVID-19 on the asset valuation.

The Audit Engagement Lead outlined the key areas of the Audit Completion Report at Appendix A which covered:

- Executive Summary
- Significant Findings
- Internal Control Recommendations
- Summary of Misstatements
- Value for Money Conclusion

He reported that there had been a relaxation of deadlines from mid-June to the end of August due to COVID-19 and the audit had been carried out entirely remotely with the usual rigorous reporting standards.

He referred to the positive working relationship with the Authority and thanked finance teams in both Hartlepool Borough Council and the Brigade for their co-operation and support in achieving a timely audit completion.

He reported that there had been no exercise of special powers but due to pension fund auditor assurances being outstanding Mazars was not in a position to sign the Audit off. He confirmed the letter of representation would be signed by the Treasurer and Chair at the point the financial statement was approved.

The Audit Director (AD) reported that the Audit Strategy Memorandum had been reported to the Audit & Governance Committee in February 2020 and set out three significant risks: management override of controls; property, plant and equipment valuation; defined benefit liability valuation. He reported there were no significant matters to bring to Members attention and no new significant risks.

The AD confirmed it was his intention to issue an unqualified Value for Money conclusion for the 2019/20 financial year.

RESOLVED:-

- (i) **That the matters raised in Mazars' Audit Completion Report detailed at Appendix A be noted.**
- (ii) **That Members noted that the Letter of Representation will be signed by the Treasurer and Chair once the Audit is completed.**
- (iii) **That the Final 2019/20 Financial Report attached at Appendix C be approved subject to adjustments on the Pension Fund.**

129.6 Treasury Management Strategy 2020/21

The Treasurer reported that the Treasury Management Strategy 2020/21 as detailed at Appendix 1 had been scrutinised by the Audit & Governance Committee on 21 February 2020 and recommended for approval. He informed Members that although it was prepared prior to the COVID-19 pandemic it still remained appropriate and economic developments, including the impact of the Brexit process, would be kept under review.

He briefly outlined the Strategy which included:-

- Economic Background and Outlook for Interest Rates
- Interest Rate Forecasts
- Treasury Management Outturn Position 2018/19 and 2019/20 Mid-Year Review
- Treasury Management Strategy 2020/21
- Borrowing Strategy 2020/21
- Investment Strategy 2020/21
- Minimum Revenue Provision and Interest Costs / Regulatory Information 2020/21

RESOLVED - That as recommended by the Audit and Governance Committee at its meeting on 21 February 2020, Members approved the following detailed recommendations for the 2020/21 Treasury Management Strategy and related issues:

- i) **That the 2018/19 Treasury Management outturn detailed in Section 5 and Appendix A be noted.**
- ii) **That the 2019/20 Treasury Management mid-year position detailed in section 6 be noted.**
- iii) **That the 2020/21 prudential indicators outline in Appendix B be approved.**
- iv) **Borrowing Strategy 2020/21**
That Members noted that in the event of a change in economic circumstances that the Treasurer may take out additional borrowing in advance of need if this secures the lowest long term interest cost.
- v) **Investment Strategy 2020/21**
That the Counterparty limits as set out in paragraph 9.7 be approved.
- vi) **Minimum Revenue Provision (MRP) Statement**

That the following MRP statement be approved:

- **For capital expenditure incurred before 1st April, 2008 the Authority's MRP policy is to calculate MRP in accordance with former CLG Regulations. This is 4% of the Capital Financing Requirement except where the Authority makes Voluntary Revenue Payments which is in excess of the amount required by these regulations, based on asset life;**
- **From 1st April, 2008 the Authority calculates MRP based on asset life for all assets or where prudential borrowing is financed by a specific annuity loan, MRP will be calculated according to the actual annuity loan repayments.**

130. JOINT REPORT OF THE CHIEF FIRE OFFICER & TREASURER**130.1 Medium Term Financial Strategy 2021/22 – 2022/23**

The Treasurer updated Members on the Authority's financial position for the current year and financial outlook for 2021/22-2022/23. The report covered:

- Background
- National Issues
- 2020/21 Budget Position
- Financial Impact of COVID-19 on Service Expenditure / Resources
- 2021/22 Budget and Financial Outlook
- Reserves

The Treasurer noted that prior to 2020/21 the Authority had managed nine years of austerity and cuts in Government grant funding. As the majority of the budget is spent on front line services the Authority has made significant changes, including reductions in the number of wholetime firefighter posts, as detailed in section 3. He reported that the financial environment facing local government and FRAs is probably the most uncertain the sector has ever faced. The list of uncertainties is a significant challenge to budget planning and the key issues are:

- the outcome of the 2020 Spending Review
- the impact of delayed major reforms of the funding system until 2022/23
- the impact on the collection fund of the pandemic in the current year
- the impact on both the council tax base and business rates base of the economic down turn
- the overall level of grant funding in the settlement for 2021/22
- the trigger level for the 2021/22 council tax referendum limits
- the possibility of a second COVID-19 wave locally or nationally
- the size and shape of the economic recovery, both nationally and locally

The Treasurer confirmed that 2020 had been the most uncertain year the Authority had ever faced and that the MTFS was predicated on the Government not making any grant cuts. He reiterated, as reported to the Executive Committee on 25 September 2020, that the use of reserves is on the understanding it does not provide a permanent solution.

Councillor Ovens acknowledged that the Authority had had to adapt to receiving poor settlement grants year-on-year and thanked officers for successfully managing the budget and workforce.

Councillor Hall thanked the Treasurer for the report and queried why it was expected to take three years to correct the impact of COVID-19. The Treasurer confirmed the report had been prepared when the Authority thought it was moving towards the recovery phase of the pandemic but this progress had now gone into reverse. He reported that there was a possibility that the Treasury would look sympathetically at collection fund deficits and any support towards meeting these would be advantageous for the Authority.

Councillor Woodhead called on Members to seek the support of MPs to lobby on the Authority's behalf.

130.1 Medium Term Financial Strategy 2021/22 – 2022/23 cont.

RESOLVED:-

- (i) That Members noted the report and that further updates will be provided later in the year to enable Members to consider the final recommendations to be referred by the Executive Committee to manage the forecast Collection Fund deficit and set the 2021/22 budget and Council Tax level.
- (ii) That the proposals to include budget provision for increased Fire Protection Capacity in the 2021/22 budget, noting this slightly increases the total forecast deficit for 2021/22 and 2022/23 from £446,000 to £547,000 be approved.

131. TO RECEIVE THE REPORT OF THE LEGAL ADVISER AND MONITORING OFFICER

131.1 Pay Policy Statement 2020/21

The Legal Adviser & Monitoring Officer (LAMO) reported that in line with the provisions set out in the Localism Act 2011, the Authority had a statutory duty to prepare a Pay Policy Statement for each financial year relating to:

- the remuneration of its chief officers
- the remuneration of its lowest paid employees
- the relationship between:
 - the remuneration of its chief officers and
 - the remuneration of its employees who are not chief officers

The LAMO reported that the Executive Committee had considered the Pay Policy Statement 2020/21 at its meeting on 6 March 2020. He referred Members to Appendix 1 which highlighted the remuneration of the lowest paid employees which was:

- Firefighter (Development) - £24,826
- Non-operational employees Grade B (Development) - £18,426

He reported that the Government's National Living Wage sets a minimum wage for all workers aged 25 years and over which equates to £16,821, which was lower than the Authority's lowest paid employees Grade B (Development) which was £18,426.

RESOLVED - That Cleveland Fire Authority's Pay Policy Statement 2020/21 be approved and published by the Authority.

132. LOCAL GOVERNMENT (ACCESS TO INFORMATION) (VARIATION ORDER) 2006

RESOLVED - "That under Section 100(A) (4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following items of business, on the grounds that it involves the likely disclosure of exempt information as defined in paragraphs 1 and 3 below of Part 1 Schedule 12A of the Local Government Act 1972 as amended by the Local Government (Access to Information) (Variation) Order 2006", namely information relating to an individual and information relating to any financial or business affairs of any particular person (including the authority) holding that information."

133. CONFIDENTIAL MINUTES

RESOLVED – that the confidential minutes the Executive Committee on 25 October 2020 be confirmed.

134. CONFIDENTIAL REPORT OF THE TREASURER**134.1 Agreements between Cleveland Fire Authority and Cleveland Fire Brigade Risk Management Services Community Interest Company (CFBRMS CIC)**

The Treasurer updated Members on the agreements between the Authority and CFBRMS CIC.

**COUNCILLOR PAUL KIRTON
CHAIR**

Cleveland Police and Crime Panel

A meeting of Cleveland Police and Crime Panel was held on Tuesday, 17th November, 2020.

Present: Cllr Tony Riordan(Chair), Cllr Graham Cutler(Vice-Chair), Cllr Lee Cartwright, Cllr Barrie Cooper, Cllr Dorothy Davison (Sub for Mayor Andy Preston), Councillor Chris Jones, Mr Paul McGrath, Cllr Steve Nelson, Cllr Vera Rider, Mr Luigi Salvati, Cllr Norma Stephenson O.B.E, Matthew Storey and Cllr Luke Frost (Substitute for Cllr Steve Walmsley).

Officers: Julie Butcher, Nigel Hart, Sarah Whaley, Peter Bell (Stockton-on-Tees Borough Council).

Also in attendance: Lisa Oldroyd (Acting Commissioner), Michael Porter, Hannah Smith, Rachel Kipling, Elise Pout (Commissioner's Office), CC Richard Lewis, Will Green (Cleveland Police), Cllr Mick Moore (Stockton-on-Tees Borough Council).

Apologies: Cllr Dave Hunter, Mayor Andy Preston and Cllr Steve Walmsley.

PCP 34/20 Declarations of Interest

Councillor Steve Nelson declared a personal non prejudicial interest in respect of agenda item 4 – Crimestoppers Presentation as he was a Director of the Thirteen Group.

PCP 35/20 Minutes

Consideration was given to the minutes of the meetings held on 6 October 2020.

RESOLVED that the minutes of the meeting held on 6 October 2020 be agreed as a correct record.

PCP 36/20 Crimestoppers – Presentation

Ruth McNee (Crimestoppers Regional Manager) was in attendance at the meeting and gave the Panel a presentation on the work of Crimestoppers. The presentation covered the following key areas:-

- Background to Crimestoppers
- Who and why do people contact CrimeStoppers
- What is the role of Crimestoppers
- Crimestoppers campaigns
- Every year Crimestoppers help stop thousands of crimes

The Panel was given the opportunity to ask questions and make comments on the presentation and these could be summarised as follows:-

- The system of the anonymous payments was given to the Panel.
- Funding for Crimestoppers should come from the proceeds of crime.
- More prevalence should be made of the Crimestoppers logo and a link should be sent to Panel Members so that the it can be shared on local social media sites.
- The focus of domestic abuse was made, and it was noted that a new

campaign would happen in early in 2021.

- Sometimes Crimestoppers act as a liaison between people that volunteer a reward and to the person that receives the reward.
- A discuss was held around false / malicious reporting and the problems around that. It was noted that call handlers are all fully trained and look for potential false / malicious reporting and every effort is made to make sure that doesn't happen.
- The issue of illegal fireworks was discussed, and it was noted that members of the public can report this issue to Crimestoppers and Crimestoppers do run campaigns around the problem.
- A discussion was held around the customer survey that was carried out by Crimestoppers and the issues around anonymity.

RESOLVED that:-

1. The presentation be received.
2. The comments made by the Panel be noted.

**PCP
37/20**

Victim Care and Advice Service - Presentation

Dave Mead (Victim Care and Advice Service - Operational Manager) and Jo Hodgkinson (CEO Safer Communities) were in attendance at the meeting and gave the Panel a presentation on the work of Victim Care and Advice Service (VCAS). The presentation covered the following key areas:-

- Background to VCAS
- VCAS Model
- Who does VCAS Support
- Referral Process
- Victim Needs Assessment
- Fraud
- Support Provided across Cleveland
- Overview of Open Cases
- Police and Crime Panel
- Case Studies

The Panel was given the opportunity to ask questions and make comments on the presentation and these could be summarised as follows:-

- The Panel asked if a link could be shared to the Panel so that the members could share VCAS information on social media websites.
- An invite was made for VCAS to attend and make a presentation to East Redcar Residents Association in the future.

RESOLVED that:-

1. The presentation be received.
2. The comments made by the Panel be noted.

**PCP
38/20**

Members' Questions to the Commissioner

The following question had been submitted by Cllr Vera Rider for response by the Acting PCC:-

“What plans are in place to try to try to help those abused women during lockdown? I know it was a priority of the last commissioner and wondering if there was anything new?”

The Acting PCC responded with:-

“The tragic events of last week are saddening and we have seen that in the press coverage. In terms of domestic abuse, it is a key priority for the us and is a focus of the force too. We continue to invest in the services around domestic abuse and continue to prioritise to raise awareness of the services and we seek to obtain additional funding in terms of strengthening those services.”

Rachelle Kipling (OPCC) added:-

“We continue to link in with our commissioned services throughout the national lockdown period. As previously reported to the Panel we were successful in June getting £402k from the MoJ Covid Emergency Fund. That funding came to an end in October and we have continued to promote some of the ways in which victims can reach out to services. On a monthly basis we also monitor the demand that our services are facing and look at some of the challenges that Covid has created. That information is being used by the MoJ to feed into the Treasury to look at additional victim support investment. A further announcement was expected shortly regarding further funding. We will continue to promote the support services that are available and we are planning some work in terms of the 16 days of action that supports to end of violence to women and girls.”

The following question had been submitted by Luigi Salvati for response by the Acting PCC:-

“Is it correct only 2 police officers are available on night shift to cover Hartlepool and if correct since when?”

The Acting PCC responded with:-

“No this is not the case. The force operating model provides resources from various departments to support the policing of Hartlepool, which includes neighbourhood policing, criminal investigation and specialist resources such as armed response, police dogs and road policing. The primary policing response

to daily occurrences is provided by the Incident Resolution Team (IRT) and Neighbourhood Policing. Their shift patterns are aligned to maximise the level of resource at times of highest demand, typically evenings. The night shift, generally after midnight although there is an overlap with the evening shift on particularly busy periods, is provided by officers from IRT teams.”

At this point a member of the press agreed to leave the meeting while the Chief Constable updated the Panel on the operational activity of the officers in Hartlepool.

After the Chief Constable updated the Panel the member of the press returned to the meeting.

**PCP
39/20**

Commissioner's Update

Consideration was given to a report that provided an overview of the activity of the Acting Police and Crime Commissioner (PCC) since the last meeting held in October 2020.

The report highlighted specific updates aligned to the priorities of the Police & Crime Plan, as agreed by the Panel in July 2020.

The report was considered in conjunction with progress detailed in the PCC Scrutiny and Decisions of the PCC reports. Collectively, these reports provided progress in all areas of the Police & Crime Plan delivery.

The report covered the following key areas:-

Office of the Police and Crime Commissioner Staffing Update

COVID19

Investing in Our Police

A Better Deal for Victims and Witnesses

Tackling Offending and Reoffending

Working Together to make Cleveland Safer/Securing the Future of Our Communities

A member asked a question around the staffing model that supported the Call Centre and if the Panel could receive a report on this issue at a future meeting. In response it was noted that the Call Centre was a focus of the OPCC scrutiny work and accountability programme. The OPCC was seeking assurance that the changes to the shift pattern had been looked at in terms of a greater understanding demand. This issue would continue to be looked at until the OPCC was assured performance was moving in the right direction. The Chief Constable added that demand was now being mapped out by an external company and the new shift pattern would start in January 2021. The Chief Constable also updated the Panel with the latest abandonment rate of calls that had significantly improved. It was also noted that the Chief Constable would look into an issue at Hartlepool at the co-located Council/Police call team where callers were being told to hang up and phone a different number where the call be transferred over to the Police/Council or vice-versa.

A member asked a question around the funding of CCTV that had been provided in Middlesbrough's Newport Ward and if there were any plans to roll this out across Cleveland. In response it was noted that the funding had come from the Safer Streets Project from the Home Office. There was a strict criteria that could only be applied to 3 areas across Cleveland that related to specific crime rates.

RESOLVED that the report be noted.

**PCP
40/20** **Decisions of the Commissioner and Forward Plan**

Consideration was given to a report that provided an update on decisions made by the Police and Crime Commissioner (PCC) and the Forward Plan.

The Police and Crime Commissioner made all decisions unless specifically delegated within the Scheme of Consent/Delegation. All decisions demonstrated that they were soundly based on relevant information and that the decision-making process was open and transparent.

In addition, a forward plan was included and published on the PCC website which included items requiring a decision in the future. This was attached to the report.

Each decision made by the PCC was recorded on a decision record form with supporting background information appended. Once approved it was published on the PCC website.

Decisions relating to private/confidential matters would be recorded; although, it may be appropriate that full details were not published.

Decisions made since the last meeting of the Police and Crime Panel were attached to the report.

RESOLVED that the report be noted.

**PCP
41/20** **Commissioner's Scrutiny Programme**

Consideration was given to a report that provided an update on the PCC's scrutiny programme.

Holding the Chief Constable to account was the key duty of the Police & Crime Commissioner and must encompass all the functions of the Chief Constable and functions of those who were under the Chief Constable's direction and control.

The PCC had a range of scrutiny approaches in place to engage with the Chief Constable and hold Cleveland Police to account. These take place on a daily, weekly and monthly schedule and include a range of meetings, data and feedback from partners and the public.

The processes would continue to develop and it had been made clear that there would be greater use of independent scrutiny approaches such as Internal Audit (Joint Independent Audit Committee), internal scrutiny panels such as the Out of Court Disposals, the Use of Force and Domestic Abuse Scrutiny Panels as well as identifying those services which would benefit from a wider multi agency scrutiny approach.

During 2020/21 the Cleveland Police Service Improvement Programme (SIP) continued to be a key feature of the scrutiny programme, where SIP programme control documents would be routinely reviewed, and progress tracked against the programme stage plan.

OPCC representatives were attending the Delivery and Assurance groups for each of the SIP work streams and would provide feedback on respective programme activities including impact, highlighting and/or identifying any risks or opportunities that may affect delivery and provide performance pack to inform the PCC and External Assurance Process for SIP. Information and evidence that was found would also be shared with HMICFRS to correlate with the evidence they were finding from the Force.

Assurance would also be provided by linking the scrutiny programme to the various internal and external forums and on a quarterly basis. Wider scrutiny arrangements were also in place including (and not limited to):

- Ethics Committee
- Feedback from complaints
- Issues raised at community meetings and focus groups and consultation

Since the previous Police and Crime Panel meeting the following meetings had taken place:

- 21 September, (minutes attached to the report)
- 2 November

The PCC continues to monitor on a regular basis, the following:

- Force Control Room
- Covid
- The return of Sopra Steria
- Brexit Preparedness

In addition to the meetings above, the Commissioner continued to attend the following to complement the scrutiny programme:

- Daily review of the Control Room and Serious Incident Logs;
- Weekly accountability meetings with the Chief Constable;

A member raised a question around the relaunch of Operation Encompass. In response it was noted that the service hadn't changed name and the relaunch was around the wider vulnerability work that the Force was undertaking in terms of service improvement to protect the most vulnerable people.

A member raised a question around Operation Phoenix and the excellent results it had achieved. Most of the results that had been shared of Operation Phoenix had been in Stockton, Middlesbrough and Hartlepool. The Chief Constable reported that activity had taken place in Redcar and Cleveland and if there had been any gaps in the coverage of the reporting in Redcar and Cleveland it would be corrected.

RESOLVED that the report be noted.

**PCP
42/20**

Appointment of NPIM

Consideration was given to a report that related to the re-appointment of the Non-Political Independent co-opted members to the Cleveland Police and Crime Panel ("the Panel), under provisions within the Police Reform and Social Responsibility Act 2011.

The Police Reform and Social Responsibility Act 2011 required each Police and Crime Panel to have at least two co-opted members who were not members of one of the local authorities which form the police area.

The practice of the panel had been to appoint non-political independent members for a term of office of four years. Paul McGrath was appointed on 2 February 2017 for four years and Luigi Salvati was appointed on 10 September 2020 to sit for the remaining period of the vacant seat. Accordingly, both appointments would end on 1 February 2021.

As the next scheduled principal meeting of the Panel was not until 2 February 2021 it was proposed to make the appointments in advance of the meeting and before the terms of office expire.

RESOLVED that Paul McGrath and Luigi Salvati be re-appointed as Non-Political Independent Members to the Panel, with effect from 2 February 2021 until 1 February 2025, and the Home Secretary be notified accordingly.

**PCP
43/20**

Appointment of Complaints Sub Committee

Consideration was given to a report that related to the appointment of a sub-committee to deal with complaints made against the Police and Crime Commissioner.

The Elected Local Policing Bodies (Complaints and Misconduct) Regulations 2012 required that complaints made against the Police and Crime Commissioner were notified to the Police and Crime Panel and, if the complaint related to the conduct of a police and crime commissioner, the panel shall make arrangements for the complaint to be subjected to informal resolution.

Those arrangements could include the appointment of a sub-committee, a single member of the panel or a single person who was not a member of the

panel to secure the informal resolution of the complaint.

The panel adopted a complaints procedure including the “Arrangements for the Handling of Complaints and other matters” when it was first established in 2012 which included a provision for the appointment of a sub-committee. Complaints were originally dealt with by the panel as a whole but due to the timescales between each meeting a sub-committee was duly appointed in June 2014 to deal with complaints more effectively. It had not met for some time and the membership had lapsed.

It was proposed to appoint a standing sub-committee to deal with complaints under the informal resolution process, or such other matters as may be referred to it by the Independent Office of Police Conduct, subject to a provision that the sub-committee can refer matters back to the full panel if it was deemed the most appropriate way to resolve a matter.

To try to secure that the balanced appointment objective was met it was proposed to appoint a sub-committee made up of 5 members, made up of one member from each authority and to reflect the political / group make-up of the Cleveland Police and Crime Panel. This would require the following appointments, wherever possible, but should not prevent the sub-committee being formed if the political balance cannot be achieved by agreement.

Since the writing of the report it was noted that both Stockton-on-Tees Borough Council and Redcar and Cleveland Borough Council were about to make amendments to their membership of the Panel which would change the political balance of the Panel and as those decisions had not been made yet by either council, officers could not confirm what the political balance should be of the sub-committee therefore in discussions with the Chair it was agreed that volunteers should be sought for the appointment of the sub-committee ideally one from each authority but that was not a requirement.

RESOLVED that:-

1. The following 5 members be appointed to the sub-committee:-

Councillor Norma Stephenson (Stockton-on-Tees Borough Council)
Councillor Barrie Cooper (Middlesbrough Council)
Councillor Chris Jones (Redcar and Cleveland Borough Council)
Councillor Lee Cartwright (Hartlepool Borough Council)
Paul McGrath (NPIM)

2. The Panel delegates to that sub-committee authority to deal with complaints required to be dealt with by way of informal resolution and any other matters referred to the panel by the Independent Office of Police Conduct, subject to the sub-committee being able to refer matters back to the full panel where this is deemed, by the sub-committee, to be the best means of dealing with the matter.

44/20

Two Public Questions had been received but as the questioner was not in attendance at the meeting the questioner would receive a written response from the OPCC.

**PCP
45/20**

Forward Plan

Members were presented with the Forward Plan. It was noted that a special meeting of the Panel had been arranged for 8 December 2020 to consider an update on the HAT Programme.

RESOLVED that the Forward Plan be noted.