

PLEASE NOTE VENUE

CABINET AGENDA



Monday 19th February, 2007

at 9:00 a.m

in Committee Room 'B'

MEMBERS: CABINET:

The Mayor, Stuart Drummond

Councillors Hargreaves, Hill, Jackson, Payne, Tumilty and R Waller

1. APOLOGIES FOR ABSENCE

2. TO RECEIVE ANY DECLARATIONS OF INTEREST BY MEMBERS

3. MINUTES

To receive the Record of Decision in respect of the meeting held on 5 February 2007
(already circulated)

4. BUDGET AND POLICY FRAMEWORK

4.1 Corporate Plan (BVPP) 2007-08 – Proposed Objectives and Actions – *Assistant Chief Executive*

4.2 Youth Justice Plan 2007-08 – *Head of Community Safety and Prevention*

5. KEY DECISIONS

5.1 Anti-Social Behaviour Strategy 2007-08 – *Head of Community Safety and Prevention*

PLEASE NOTE VENUE

6. OTHER ITEMS REQUIRING DECISION

- 6.1 Quarter 3 – Corporate Plan and Revenue Financial Management Report 2006-2007 – *Corporate Management Team*
- 6.2 Quarter 3 – NRF, Capital and Accountable Body Programme Monitoring Report 2006-2007 – *Chief Financial Officer*
- 6.3 Disaggregation of Connexions Tees Valley Company – *Director of Children's Services*
- 6.4 Civic Centre Accommodation Changes – *Director of Neighbourhood Services*
- 6.5 Connected Care in Owton – *Director of Adult and Community Services*
- 6.6 Development Brief for the Former Fairground and Adjacent Land at Seaton Carew – *Director of Regeneration and Planning Services*
- 6.7 Local Area Agreement (LAA) Funding Allocations, Refresh Submission 2007/08 and Six-month Review Feedback – *Head of Community Strategy*
- 6.8 Further Revision to Local Development Scheme 2007 – *Director of Regeneration and Planning Services*

7. ITEMS FOR DISCUSSION / INFORMATION

No items

8. REPORTS FROM OVERVIEW OF SCRUTINY FORUMS

No items

EXEMPT ITEMS

Under Section 100(A)(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following items of business on the grounds that it involves the likely disclosure of exempt information as defined in the paragraphs referred to below of Part 1 of Schedule 12A of the Local Government Act 1972 as amended by the Local Government (Access to Information) Act 1985

9. EXEMPT ITEMS REQUIRING DECISION

- 9.1 Masefield Road Nursery and Swinburne House (para 3) – *Director of Neighbourhood Services and Director of Adult and Community Services*
- 9.2 Equal Pay Risk Update (paras 4 and 6) – *Corporate Management Team*

CABINET REPORT

19 February 2007



Report of: Assistant Chief Executive

Subject: CORPORATE PLAN (BVPP) 2007/8 – PROPOSED OBJECTIVES AND ACTIONS

SUMMARY

1. PURPOSE OF REPORT

To enable Cabinet to discuss the objectives and actions proposed for inclusion in the Council's Corporate (Best Value Performance) Plan for 2007/8.

2. SUMMARY OF CONTENTS

This report proposes the objectives for each of the eight community strategy aims and the council's organisational development priorities. For each of the objectives a number of actions have been identified to enable the objective to be met. The purpose of the plan is to describe the Council's priorities for 2007/8, including how weaknesses will be addressed, opportunities exploited and better outcomes delivered for local people.

3. RELEVANCE TO CABINET

The Corporate (Best Value Performance) Plan is part of the Council's Budget and Policy Framework. It is a key document that sets out the Council's priorities and contribution to achieving the Community Strategy aims. The Corporate Plan is being developed in conjunction with the Local Area Agreement (LAA) to ensure the outcomes included in the Local Area Agreement are embedded in the Council's Corporate Plan.

The Corporate Plan is an important document because it formally communicates the council's vision and priorities.

4. TYPE OF DECISION

Budget and Policy Framework.

5. DECISION MAKING ROUTE

The production of the Corporate (Best Value Performance) Plan by 30 June each year is a statutory requirement.

The Scrutiny Forums will meet individually to consider the Corporate Plan's Objectives and Actions on a departmental basis and report back to Scrutiny Coordinating Committee by mid March 2007. Cabinet will be given further opportunities to consider the Corporate Plan as further progress is made. Final approval of the Plan will be by Council in June 2007.

6. DECISION(S) REQUIRED

Cabinet is asked to approve the proposed objectives and actions for inclusion in the Corporate Plan 2007/08.

Report of: Assistant Chief Executive

Subject: CORPORATE PLAN (BVPP) 2007/8 – PROPOSED OBJECTIVES AND ACTIONS

1 PURPOSE OF REPORT

- 1.1 To enable Cabinet to discuss the objectives and actions proposed for inclusion in the Council's Corporate (Best Value Performance) Plan for 2007/8.

2 BACKGROUND

- 2.1 The Government introduced the Best Value regime as part of its programme to modernise local government and the Corporate (Best Value Performance) Plan for 2007/8 must be approved and published by the Council by 30 June 2007. This is the Council's top-level corporate plan. It sets out the Council's top priorities and contributions for delivering the Community Strategy aims in 2007/8.
- 2.2 The Corporate Plan is an important document because it formally communicates the council's vision and priorities. The process for producing the plan has been designed to ensure the risk is minimised and that the Corporate Plan is fit for purpose.
- 2.3 This year the Corporate Plan objectives have been developed with reference to the Local Area Agreement (LAA) to ensure the outcomes included in the LAA are embedded in the Council's Corporate Plan. The Mayor signed the LAA on behalf of the Council in March 2006.
- 2.4 The Corporate Plan is based around the Hartlepool Partnership's Community Strategy aims, which have been adopted by the Council. In previous years there have been seven aims, but the Community Strategy is currently being reviewed and it is proposed to split the Environment and Housing theme into two separate themes. For that reason, the proposed Corporate Plan objectives have been organised around the eight themes, with an additional section proposing objectives around organisational development priorities.
- 2.5 The Corporate Plan objectives and actions approved by Council in June will be incorporated in the Council's performance management database and progress reported quarterly to Cabinet and Scrutiny Co-ordinating Committee.
- 2.6 The focus of the Corporate Plan for 2007/8 is on priority activities for improvement at a strategic level rather than day to day service delivery objectives. The operational service delivery objectives are picked up through Departmental service plans which are reported to individual portfolio holders.

- 2.7 Cabinet is asked to consider whether the objectives and actions identified, properly reflect the council's priorities for the year ahead and if they wish, suggest amendments.

3 THE CORPORATE PLAN

- 3.1 As in previous years the plan will be produced in 2 parts. Part 1 describes the Council's overall aim, contributions to the Community Strategy aims and organisational development priorities.
- 3.2 Part 2 will continue to contain the detailed supporting information relating to performance statistics which the Council is required to publish. This will include the BV performance indicators for 2006/07 and targets for 2007/08, 2008/09 and 2009/10. This information can not be collected until after 31 March, and is therefore not available at present. As with previous years, this will be presented to Cabinet and Scrutiny Coordinating Committee in May/June for consideration. At this stage Cabinet is therefore only being asked to consider the Corporate Plan objectives and actions, which is attached at **Appendix A**, although Cabinet will be given further opportunities to consider the Corporate Plan as further progress is made.
- 3.3 The proposed list, at **Appendix A**, is not a final definitive list. Officers will continue to review the objectives and actions over the coming months, and will amend, if deemed appropriate and if changing priorities demand it. Any proposed changes to the list of objectives will be brought to Cabinet for consideration.

4 TIMETABLE FOR CONSIDERING THE PLAN AND NEXT STEPS

- 4.1 The key dates for completing the plan are as follows.
- 4.2 The four Scrutiny Forums will consider the proposed Council objectives and actions between 19 February and 6 March 2007 with a written report to Scrutiny Coordinating Committee on 16 March 2007. Cabinet and Scrutiny Co-ordinating Committee will then be asked to agree Part 1 of the Corporate Plan in April and Part 2 in May/June. Final approval of the Plan will be by Council in June.

5 RECOMMENDATION

- 5.1 Cabinet is asked to approve the proposed Corporate Plan 2007/08 Objectives and actions for consideration by the four Scrutiny Forums over the next month.

Appendix A – Proposed Objectives and Actions for inclusion in 2007/08 Corporate Plan

Jobs and the Economy

Ref	Objective	Actions	Responsible Officer
JE01	Increase skill levels of the population with clear reference to local business need	Work with partners to develop a skills development strategy for Hartlepool within the context of regional and city region strategies	Anthony Steinberg
JE02	To attract appropriate inward investors and support indigenous growth, making use of local labour resource and supporting local people in gaining maximum benefit from the economic regeneration of the town, including all people of working ages especially the young	Work with Tees Valley Regeneration and PD Ports for the redevelopment of Victoria Harbour within Hartlepool Quays	Stuart Green
		Continue development of targeted training and recruitment programmes to support disadvantaged residents into economic activity	Anthony Steinberg
		Continue to work with partners to provide key manufacturing and service sector infrastructure, including appropriate sites and premises	Anthony Steinberg
		Continue to work with partners to expand Further and Higher Education opportunities	Andrew Golightly
JE03	To support the sustainable growth, and reduce the unnecessary failure, of locally-owner business, promoting the growth and sustainability of enterprise and small businesses and to increase total entrepreneurial activity amongst the local population	Continued development of Hartlepool's Business Incubation System including the development of the visitor economy network	Anthony Steinberg
		Develop and facilitate entrepreneurial activities with particular reference to young people	Anthony Steinberg
JE04	For those living in the wards with the worst labour market position in areas in receipt of NRF, significantly improve their overall employment rate and reduce the difference between their employment rate and the overall employment rate for England	Establish Jobmart employment and skills consortium specifically targeted at the most disadvantaged wards and residents.	Anthony Steinberg

Ref	Objective	Actions	Responsible Officer
JEO5	Achieve Economic Well Being (Children and Young people will achieve the qualifications, develop the skills and be given the necessary life experiences to enable them to lead full and active adult lives)	Development of targeted interventions and commissioning approach for the delivery of integrated support for 16 to 24 year olds.	Anthony Steinberg
		Connexions service works with other teams and agencies to achieve NEET targets agreed with GONE	Miriam Robertson
		Implement, with partner agencies, the local 14-19 plan and the 14-19 regional strategy	Tom Argument
		Promote the development of enterprise education in Hartlepool secondary schools Raise the aspirations of young people from vulnerable backgrounds	Tom Argument Tom Argument
JEO6	Improving training and employment prospects for targeted groups	Development of worklessness interventions to respond to employment rates and gross added value through economic participation.	Anthony Steinberg
		Support local businesses to improve the employment offer and assist in broadening the labour offer to business.	Anthony Steinberg
JEO7	To promote Hartlepool's interests in economic regeneration policy making at the nation, regional and sub-regional levels	Secure due recognition of Hartlepool's economic role, needs and opportunities in national, regional and sub-regional policy	Geoff Thompson
		Ensure recognition of Coastal Arc as sub-regional and regional economic regeneration priority	Colin Horsley

Lifelong Learning and Skills

Ref	Objective	Actions	Responsible Officer
LLO1	Enjoy and Achieve – raise achievement and standards of children and young people in the early years, primary and secondary phases of education	Implement the Building Schools for the Future project plan for 2007/08 including completion of the Strategy for Change Challenge and support schools to improve performance to national averages and above	Paul Briggs John Collings
		Challenge and support schools in targeting vulnerable children and young people for additional intervention and support so that they achieve in line with or better than expected levels	John Collings
		Work with partners to extend and improve the quality of services for children and young people which enable them to enjoy their education, improve their well-being and enrich their lives	John Collings
		Implement the 10 year Childcare Strategy by developing integrated services in Children's Centres/Extended Schools, ensuring sufficient high quality childcare places and continuing to develop a highly skilled workforce	Danielle Swainston
LLO2	Provision of high quality learning and skills opportunities that drive economic competitiveness, widen participation and build social justice	To increase universal access and high quality learning and skills opportunities	
		To increase universal access from disadvantaged/socially excluded groups to high quality learning and skills opportunities	

Health and Care

Ref	Objective	Actions	Responsible Officer
HCO1	Improved health – reduce premature mortality rates and reduce inequalities in premature mortality rates between wards/neighbourhoods	To develop proactive approaches to prevention of ill health by implementing actions within the Public Health Strategy and Action Plan	
HCO2	Be Healthy (Children and young people will be physically, mentally, emotionally and sexually healthy, lead healthy lifestyles and choose not to take illegal drugs)	To provide a school meals service which actively contributes to the health and future well-being of the children of Hartlepool	Denise Ogden
		Work with partner agencies, young people, schools and families to reduce under 18 contraception rate by 55%	Sheila O'Connor
		Work with schools and other agencies to address childhood obesity through initiatives such as Healthy Schools Programme and Physical Education, School Sports and Club Links Strategy	John Collings
		Improve arrangements for transition between settings and from children's to adult services	Sheila O'Connor
HCO3	Exercise of choice and control and retention of personal dignity	To ensure all service developments have involvement from service users and their carers.	
		To increase the proportion of people who commission their own services.	
		To work with Landlords and Supporting People to increase the number and range of supported accommodation options.	
		To ensure a culture of person centred practice so that service users and their carers are at the centre of planning their support.	
HCO4	Mental Wellbeing (To promote a positive approach to the mental wellbeing of Hartlepool residents)	To increase social inclusion for people with mental health issues	
HCO5	Access to Services (Support easier access to services which are integrated and tailored to individual need)	To work with the community in Owton to design and implement a Connected Care Scheme.	
		To implement Vision for Care in conjunction with Hartlepool Primary Care Trust	

		To ensure that services are culturally sensitive and are able to respond flexibly to the diverse needs of the community.	
		To support easier access to universal services and targeted services which will be tailored to individual needs at a neighbourhood level.	
		To ensure services are fully compliant with the Disability Discrimination Act.	
HCO6	To safeguard and improve health and well-being for people working, living and visiting the borough	To deliver an effective Health Development Service	Sylvia Tempest
		Deliver of the Public Health Strategy	Peter Price
HCO7	To develop the capacity of the voluntary independent and community sector to respond to the challenges of the White Paper in supporting vulnerable members of society	To develop appropriate partnerships with the voluntary sector by developing a co-ordinated strategy To ensure that carers are supported effectively to support their family members for as long as they wish	

Community Safety

Ref	Objective	Actions	Responsible Officer
CSO1	Reduce total crime (as measured by 10 BCS comparator crimes) and narrow the gap between Neighbourhood Renewal area and Hatlepool	Ensure all Council Departments understand their responsibilities to prevent and reduce crime and disorder when delivering their services and continue to comply with Section 17 of the Crime and Disorder Act 1998.	Joe Hogan
		Contribute to work in partnership to reduce levels of violence and disorder associated with the night-time economy in the town centre specifically, and alcohol – related crime and disorder more generally across the town.	Joe Hogan
		Contribute to work in partnership to reduce levels of violence and disorder associated with the night-time economy in the town centre specifically, and alcohol – related crime and disorder more generally across the town.	Alison Mawson
CSO2	Reduced harm caused by illegal drugs and alcohol	Continue to work in partnership to implement the local drugs treatment strategy for all drug users and improve access to suitable accommodation for individuals with a priority need.	Chris Hart
		In association with PCT and health providers, commission specialist services to tackle alcohol abuse	Chris Hart
CSO3	Improved neighbourhood safety and increased public reassurance leading to reduced fear of crime and anti-social behaviour	Co-ordinate and develop NAP resident forums, ensuring links are made with the safer Hatlepool Partnership and Neighbourhood Policing	Denise Ogden
		Actively work with Neighbourhood Police teams and social behaviour unit to reduce Anti Social Behaviour (ASB)	Denise Ogden
		Embrace new and existing legislation in developing strategies and initiatives	Ralph Harrison
		Continue to develop local responses to the RESPECT Agenda	Sally Forth

4.1

Rd	Objective	Actions	Responsible Officer
		Contribute to the success of Neighbourhood Policing by aligning the Council's response to ASB with 3 Neighbourhood areas.	Sally Forth
CSO4		Improve two way communications between residents and Neighbourhood Police Teams	Alison Mawson
CSO5	Build respect in communities by reducing anti social and criminal behaviour through improved prevention and enforcement activities	Increase the support provided to children and families involved in ASB	Sally Forth
		Implement the actions contained in the ASB strategy for 2007/08	Sally Forth
		Continue to improve services for young people at risk of, or involved in, crime and ASB	Danny Dunleavy
CSO6	Stay Safe (Children and young people will live, grow, and learn in safety, where parents, carers and all adults take responsibility for their safety and well-being and they are free from harm, discrimination, harassment and exploitation)	Increase the number and range of foster and adoptive placements to meet local needs	Phill Warrilow
		Reduce the number of placement moves for looked after children and increase the stability of placements	Phill Warrilow
		Develop the work of the Local Safeguarding Children Board	Phill Warrilow
CSO7	Reducing incidents of Domestic Violence	Co-ordinate and encourage all partners to implement the actions contained in the Domestic Violence Strategy 2006-2009.	Joe Hogan

Environment

Ref	Objective	Actions	Responsible Officer
EO1	Delivering sustainable communities through protecting natural resources and enhancing the local environment and the community's enjoyment of it	Ensure spatial planning policies meet the appropriate sustainability principles	Amy Waters
EO2	Improve the quality of the local environment by having cleaner, greener and safer public, private and community spaces and by reducing the gap in aspects of liveability between the worst wards/neighbourhoods and the district as whole, with a particular focus on reducing levels of litter and detritus	Improve and maintain the design and appearance of the natural and built environment.	
EO3	Provide a sustainable, safe, efficient, effective and accessible transport system	Deliver the Hartlepool Local Transport Plan (LTP)	Alastair Smith
		Develop and implement an integrated Transport Strategy	Alastair Smith
EO4	Make better use of natural resources, reduce greenhouse gases, minimise energy use and reduce the generation of waste and maximise recycling	Develop awareness on Environmental Sustainability	Denise Ogden
		To work in partnership to develop and implement a Climate Change Strategy and Action Plan for Hartlepool	Ralph Harrison
		Participate in the preparation of sub-regional Minerals and Waste Development Plan Document	Tom Butcliffe
EO5	Improve the natural and built environment and ensure the proper planning of the area	Improve Physical access to buildings	Graham Frankland
		Develop and integrated capital and asset strategy to maintain and develop buildings, land, highways and coastal structures	Graham Frankland
		Coordinate the preparation of the new Local development Framework	Anne Laws

4.1

		Support the Continuing Renewal of Housing Regeneration Areas	Mark Dutton
		Implement Environmental Improvement Schemes within the Area Regeneration Programmes	Andrew Golightly
		Support the implementation of the Tees Valley Biodiversity Action Plan (BAP)	Ian Bond
		Review and implement planning policy guidance relating to the historic environment	Sarah Scarr

Housing

Ref	Objective	Actions	Responsible Officer
HO1	As part of an overall housing strategy for Hartlepool, improve housing conditions within the most deprived neighbourhoods/wards, with a particular focus on ensuring that all social housing is made decent by 2010	To improve the quality and attractiveness of existing housing	
		To enhance the standard of management of private rented housing	
		To maintain and improve public health and safety through the enforcement of housing and nuisance legislation	
HO2	Meeting Housing and Support Needs	To provide support services to increase the opportunity for residents to live independently in the community	
		To develop a strategic supported living plan for older people	
HO3	Improving the advice and support provided to homeless people and helping them to access employment, training and educational opportunities	To provide and development excellent services that will improve the quality of life for people living in Hartlepool neighbourhoods	
HO4	Improving the energy efficiency of houses	To improve the energy efficiency of existing housing and reduce the number of vulnerable households experiencing fuel poverty	
HO5	Balancing Housing Supply and Demand	To ensure there is access to a choice of good quality housing to buy or rent, to meet the aspirations of residents and encourage investment	
		To rebalance the supply and demand for housing	
		Pursue a programme of strategic housing market renewal in partnership with Tees Valley Living, and other key partners.	Mark Dutton
		Ensure a fit for purpose local housing assessment	Amy Waters

Culture and Leisure

Ref	Objective	Actions	Responsible Officer
CLO1	Enrich individual lives, strengthen communities and improve places where people live through enjoyment of leisure, culture and sport	Develop and improve cultural and leisure facilities and events.	
		To ensure the public have access to a wide range of information about leisure, culture and sporting opportunities in a variety of accessible mediums.	
CLO2	Cultural and leisure services, including libraries, better meet the needs of the community, especially disadvantaged areas	To increase opportunities for participation in a wide range of cultural and leisure activity focussing on areas of disadvantage.	

Strengthening Communities

Ref	Objective	Actions	Responsible Officer
SCO1	To empower local people to have a greater voice and influence over local decision making and the delivery of services	To establish Neighbourhood Management as a guiding influence in Service Delivery across the Council	Denise Ogden
		Ensure a fit for purpose LSP	Joanne Smithson
		Coordinate a review of the Community Strategy	Joanne Smithson
		Coordinate the implementation and monitoring of the Local Area Agreement	John Potts
		Improve public engagement with hard to reach groups which will act on qualitative information/feedback from citizens	
SCO2	Make a positive contribution (Children and young people who live in Hartlepool are provided with the opportunity to participate fully in the life of their community)	Implement the requirements of the Education and Inspections Act 2006 to deliver Positive Activities for Young People	Peter Davies
		Establish the role of commissioner of parenting support and develop a Parenting Strategy for Hartlepool to establish a continuum of services for parents to assist them in promoting positive outcomes for their children	John Robinson
		Ensure that all children and young people from vulnerable groups (LDD, BME, traveller, asylum seeker and refugee communities) have the opportunity to gain full access to services and have a role in service development	John Robinson
		Establish the Participation Strategy through the development of standards that support organisations in Hartlepool in its implementation	John Robinson
SCO3	To improve the quality of life for the most disadvantaged neighbourhoods and ensure service providers are more responsive to neighbourhood needs and improve their delivery	Ensure the delivery of Neighbourhood Renewal in the Borough	Catherine Frank
		Continue programme of Neighbourhood Action Plan (NAP) preparation and implementation.	Sylvia Burn

4.1

Rd	Objective	Actions	Responsible Officer
		Coordinate key Regeneration Programmes	Derek Gouldburn
SCO4	Increasing financial resources within family environments to provide improved lifestyle opportunities	Undertake community engagement programme to raise awareness and accessibility to financial support	John Morton
SCO5	Freedom from discrimination or harassment		
SCO6	Creating a fairer world	Promote Hartlepool as a Fairtrade Town	Ralph Harrison
SCO7	Ensure communities are well prepared to respond to emergency incidents	Develop and implement communication strategy	Denis Hampson
SCO8	Ensure Cleveland Emergency Planning Unit delivers on behalf of the Council a comprehensive Emergency Planning and Resilience Service	Deliver the actions identified in the annual plan of the Cleveland Emergency Planning Unit	Denis Hampson

Organisational Development

Ref	Objective	Actions	Responsible Officer
ODO1	Continued development of service planning and performance management arrangements	Complete updated 2008/09 Corporate Plan	
		Procure and implement new Performance Management Database	
		Propose improvements to service planning process for 2008/09	
		Manage achievement of continuous improvement	
ODO2	Implement Risk Strategy to ensure robust risk management arrangements are in place	Maintain and review risk management arrangements	
ODO3	Develop and improve the effectiveness of the overview and scrutiny process	To continue to raise the profile of the work of the Overview and Scrutiny Function	Charlotte Burnham
		Compilation and Delivery of the Scrutiny Work Programme 2007/08	Charlotte Burnham
		To implement the extended powers to Scrutiny as outlined in the Police and Justice Act 2006	Charlotte Burnham
		To further enhance the knowledge of Non-Executive Members serving on the Overview and Scrutiny Committees	Charlotte Burnham
		Evaluate the work/added value to the Overview and Scrutiny arrangements in Hartlepool	Charlotte Burnham
ODO4	Development of Statement on Internal Control and Governance arrangements	Co-ordinate SIC work programme	Nod Adamson
ODO5	Put in place arrangements to ensure the structure of the authority and support arrangements are fit for	Identify and implement structures appropriate to delivering how quality efficient services	

4.1

Rd	Objective	Actions	Responsible Officer
	purpose	To ensure a strategic approach to the control and management of property through the change management process and beyond	Graham Frankland
ODO6	Ensure arrangements in place to deal with new and existing legislation	Implement new legislation	Tony Brown
		Development, agreement and implementation of the ethical framework	Tony Brown
		Revision of the Members Code of Conduct	Tony Brown
		Introduction of the Officer's Code of Conduct	Tony Brown
ODO7	Develop and Implement Efficiency Strategy	Implement 5 year Procurement Plan	Graham Frankland
		Review and further develop integrated Efficiency Strategy	Mike Ward
ODO8	Develop the Contact Centre to increase the range of services provided	Implement a single point of contact for accessing council services and information	
		Develop links with partner organisations	
		Continue with the programme of service integration	
ODO9	Implement the Communicating with your Council plans	Implement Corporate Communications Strategy	Alastair Rae
		Implement the Corporate Consultation Strategy Action Plan	Liz Crookston
		Implement the Corporate Complaints Strategy Action Plan	Liz Crookston

Rd	Objective	Actions	Responsible Officer
		Implement the Customer Care Strategy Action Plan	
		Implement internal communications action plan	
ODOI 0	Enhance Equality and Diversity arrangements and mainstream into all council activities	Improve Equality and Diversity Leadership and Corporate Commitment	
		Improve Consultation, Community Development and Scrutiny	
		Improve Service Delivery and Customer Care	
		Improve Employment and Training arrangements	
ODOI 1	Implement Elected Member Development Strategy	Further develop the skills and knowledge of Elected Members	
		Assess and develop the ICT skills of Elected Members to support e-democracy??	
		Continue the commitment made to working towards the North East Charter for Member Development	
ODOI 2	Implement the People Strategy and the Workforce Development Strategy	Implement plans to Develop & Promote Active, Visible and Effective Leadership	
		Implement plans to Continually Improve What We Do	
		Implement plans to Develop Skills of the Workforce	
		Implement plans to Promote Healthy Working	
		Implement plans to Effectively Recognise, Engage and Reward the Workforce	

4.1

Rd	Objective	Actions	Responsible Officer
		Implement plans to Effectively Use Resources & Invest in the Future	
ODOI 3	Implement Pay and Grading and Single Status arrangements	Implement Revised Pay and Grading Structure	
		Implement revised Single Status Conditions of Service	
ODOI 4	Delivery of the ICT Strategy to support corporate objectives	Review of ICT Strategy to ensure links with other corporate objectives	Joan Chapman
		Identification and delivery of programme of priority projects	Joan Chapman
ODOI 5	Develop Strategic Financial Plans	Develop robust Strategic Planning Framework	Mike Ward

CABINET REPORT

19th FEBRUARY, 2007



Report of: Head of Community Safety & Prevention

Subject: YOUTH JUSTICE PLAN 2007-2008

SUMMARY

1. PURPOSE OF REPORT

To set out proposals for the development of the Youth Justice Plan and to consider issues for the Youth Offending Services (YOS) during 2007/08.

2. SUMMARY OF CONTENTS

Report outlines the reasons for an Annual Plan, the required content for the Plan and attaches an Issues paper for consultation with partners.

3. RELEVANCE TO PORTFOLIO MEMBER

Budget and Policy framework.

4. TYPE OF DECISION

Part of the Budget and Policy Framework

5. DECISION MAKING ROUTE

Cabinet	19 th February 2007
Scrutiny Co-ordinating Committee	March 2007
Cabinet	2 nd April 2007
Council	19 th April 2007

6. DECISION REQUIRED

To approve the issues paper attached for consultation and make a referral to Scrutiny.

Report of: Head of Community Safety & Prevention

Subject: YOUTH JUSTICE PLAN 2007-2008

1. PURPOSE OF REPORT

- 1.1 To set out proposals for the development of the Youth Justice Plan and to consider issues for the Youth Offending Service (YOS) during 2007/08.

2. BACKGROUND

- 2.1 Each year the Youth Justice Board (YJB) requests YOS's to complete an annual plan as required by Section 40 of the Crime and Disorder Act 1998.
- 2.2 The Youth Justice Plan requires YOS's to set out how they are going to respond to the requirements of the Youth Justice Performance Management framework, to address areas of under performance and deliver continuous improvement.

3. YOUTH JUSTICE PLAN SPECIFICATION

- 3.1 The YJB have produced a Youth Justice Plan template and Action Planning Tool to serve as formats for the plan. Whilst YOS's may choose to produce their own format, they must ensure that the same areas are covered as in the template.
- 3.2 The 5 sections to be covered in the plan are:
 - 1) Chair of the Management Board's Summary – Provides an overview of how the YOS delivers Youth Justice Services.
 - 2) Local Planning Environment – The plan requires an overview of how YOS is engaging the plans and priorities of their local partners, looking separately at interaction with the two main systems that YOS's must operate in, namely Children's Services and Crime and Disorder Reduction Partnership (Community Safety Partnership).
 - 3) Drivers of Performance – Governance and Leadership, Performance and Quality Systems, Resources, People and Organisation, Partnership Working.
 - 4) Delivery Plan – Forms the bulk of the plan. YJB have identified 16 themes that are fundamental to the delivery of the overall aims and objectives of the Youth Justice System.
 - 5) Review and Approval – Review of actions and update of Action Plan approval by the relevant partners.

- 3.3 The first part of the process in Hartlepool is to provide an issues paper, which will be used as the basis for consultation with users and partners and for consideration by Scrutiny Co-ordinating Committee. The annual plan will be available for consideration by Cabinet and full Council in April 2007 and needs to be submitted to the YJB by 30th April 2007.

4. ISSUES PAPER

- 4.1 The issues paper is attached at Appendix 1 for member's consideration.
- 4.2 The verified performance of the YOS against the YJB Key Performance Indicators (KPI's) for the first two quarters April– September 2006 is shown at Appendix 2.

5. RECOMMENDATIONS

- 5.1 Members are requested to approve the issue paper for consultation with partners, young people and for referral to Scrutiny Co-ordinating Committee.

Contact Officer Danny Dunleavy – YOS Manager

Background Papers YJB Guidance for Annual Plan 2007/08

YOUTH JUSTICE PLAN 2007/08

Issues Paper

1. Background

The Annual Youth Justice Plan comprises 5 sections covering:

- 1) Chair of the Management Board's Summary – Provides an overview of how the YOS delivers Youth Services.
- 2) Local Planning Environment – The plan requires an overview of how YOS is engaging the plans and priorities of their local partners, looking separately at interaction with the two main systems that YOS's must operate in, namely Children's Services and Crime and Disorder Reduction Partnership (Community Safety Partnership).
- 3) Drivers of Performance – Governance and Leadership, Performance and Quality Systems, Resources, People and Organisation, Partnership Working.
- 4) Delivery Plan – Forms the bulk of the plan. YJB have identified 16 themes are fundamental to the delivery of the overall aims and objectives of the Youth Justice System.
- 5) Review and Approval – Review of actions and update of Action Plan approval by the relevant partners.

The purpose of this Issues Paper is to review the performance of the Youth Offending Service during April – September 2006 (verified April – December 2006 data will be available in early March 2007) and identify Themes in the Delivery Plan which require attention to improve performance.

2. Delivery

The Youth Justice Board has identified 16 Themes which are fundamental to the delivery of the overall aims and objectives of the Youth Justice System. Key Performance Indicators (KPIs) are aligned to these Themes, as shown in the Table below :

Theme	KPI
Prevent Offending	Prevention
Intervene Early	Final Warning*
Provide Intense Supervision	ISSP
Reduce Re-offending	Recidivism
Reduce the use of Custody	Use of remands*/custodial sentence*
Ensure swift administration of Justice	PSR*
Enforcement and Enabling Compliance	Breach
Ensure Effective Rigorous Assessment	Asset*
Support Young People Engaging in Education, Training and Employment	ETE*
Support Access to appropriate Accommodation	Accommodation*
Support access to Mental Health Services	CAMHS*
Support access to Substance Misuse Services	Substance Misuse*
Resettlement	RAP
Provide Effective Restorative Justice Services	Restorative Processes*
Support Parenting Interventions	Parenting*
Ensure equal treatment regardless of Race	Race

* These KPIs are measured quarterly. The others are measured annually.

The Performance summary (attached at Appendix 2) for April – September 2006 is shown in a 'traffic-light' grading system used by the Youth Justice Board to judge Hartlepool against its YOS Family group average, North East regional average and National average. The summary also shows performance in the same 6 month period in 2005 and the annual performance for 2005/06.

The overall YOS performance is at Level 4 (out of 5). At 72.7%, this is just below the 75% required for Level 5. In 2005, the overall performance was also Level 4 (73.5%).

3. Issues for Consideration

From the Performance Table it can be seen that the following KPIs are red or amber:

3.1 Final Warnings (amber)

A final warning is administered to a young person who would normally have received a Police reprimand, although some, because of the nature of the offence, may go straight to a final warning. All young people receiving a final warning are assessed using a standard assessment tool ASSET. This KPI measures those young people assessed as requiring an intervention against those who receive the intervention.

The intervention is voluntary with no enforcement other than failing to comply will be mentioned in court if they further offend.

This was identified as a concern for the current (2006/07) Plan.

3.2 Custodial sentences (amber)

This measures the total number of custodial sentences as a percentage of the total number of court disposals (some young people may appear in court on more than one occasion during this six month period).

Long term trend over a number of years has been below the 5% target. The number of young people in Hartlepool receiving custodial sentences as a percentage of the total court disposals is low, so one extra person can increase the percentage significantly.

3.3 Parenting (red)

This KPI measures the number of parents being supported either voluntarily or by parenting (court) order as percentage of number of young people completing their orders.

As can be seen from Appendix 2, the target is 10%, Hartlepool's performance is 4.2%, but during some period last year this KPI was 28%. Much informal work is being undertaken with parents by YOS staff, but this is not reflected in the performance information.

3.4 ETE: Education, training and employment (amber)

This KPI measures the number of young people of both statutory school age and above (i.e. older than 16 years), who are receiving 25 hours or more ETE.

In September 2006, an Education specialist from Children's Services was seconded to the YOS on a part-time basis and a Connexions worker was aligned to the YOS to improve engagement with over 16s. This has improved the provision of education to statutory school age pupils, but those aged 16-18 are still a concern.

3.5 Accommodation

This measures whether the young person is living in suitable accommodation (B&B or 'sofa surfing' with friends are not classed as suitable).

At 94.9%, this is only just below the target of 95%. Access to suitable accommodation has improved since Gainford House was opened in Autumn 2006. It is anticipated that the Housing vulnerable person's panel, which is to be established during 2007/08, for 16-18 year olds and adults, will improve access to accommodation and support requirements. YOS provides support to young offenders who are living in suitable accommodation, via the Resettlement and After-care programme (RAP).

4. Other influences on performance/work of YOS

4.1 YOS Inspection

The results and recommendations from YOS Inspection during October 2006 will be published in early March 2007.

4.2 Prolific and other Priority Offenders (PPO) Scheme

Prolific Young Offenders, who may become prolific adult offenders, are provided with intense supervision and support. There are currently fourteen young offenders in this category.

4.3 ASBOs

Some young offenders also commit anti-social behaviour, and YOS is expected to provide additional support to these young people, aiming to stop them breaching the ASBO, thus committing a further criminal offence.

4.4 First time entrants (to the Criminal Justice system)

Despite increasing prevention activity, through Hartlepool Intervention Programme (HIP) a multi-agency panel providing support, and Youth Inclusion Projects (YIP) in Dyke House and Owton Wards for 13-16 year olds, numbers of first time entrants are increasing. There is a conflict nationally between the task set for YOS's to reduce first time entrants and the target set for the Police to increase 'offences brought to justice'.

Hartlepool Performance Summary April – September 2006

	Level / Target	Hartlepool	Family	North East	National	same period last year Apr-Sep 05	Financial Year 2005-06
Overall Summary							
Overall Performance	Level 4	72.7%	68.5%	66.5%	65.7%		
KPI Summary							
Final Warnings	95%	93.3%	96.7%	97.2%	94.8%	N/A	N/A
Use of remand	<30%	21.4%	41.4%	33.6%	45.3%	50.0%	42.9%
Custodial sentences	<5%	5.7%	6.5%	3.3%	6.3%	0.8%	2.1%
Restorative processes	75%	90.9%	80.8%	83.0%	84.8%	90.0%	90.0%
Victim satisfaction	75%	90.9%	96.2%	98.5%	97.1%	100.0%	100.0%
Parenting	10%	4.2%	8.1%	10.9%	13.0%	28.0%	53.9%
Parental satisfaction	75%	100.0%	93.1%	99.2%	97.4%	100.0%	100.0%
Community Asset - Start	95%	100.0%	97.4%	95.6%	95.8%	100.0%	100.0%
Community Asset - End	95%	100.0%	97.3%	95.3%	95.9%	100.0%	100.0%
Custodial Asset - Start	95%	100.0%	98.3%	95.9%	98.0%	100.0%	100.0%
Custodial Asset - Transfer	95%	100.0%	97.4%	95.7%	97.7%	100.0%	100.0%
Custodial Asset - End	95%	100.0%	95.0%	85.5%	96.5%	100.0%	100.0%
PSR	90%	97.6%	90.9%	92.3%	89.3%	93.8%	97.0%
DTO planning	95%	100.0%	84.2%	95.8%	86.2%	100.0%	100.0%
ETE	90%	78.9%	71.0%	72.2%	68.1%	N/A	N/A
Accommodation	95%	94.9%	96.7%	94.3%	93.7%	94.9%	96.7%
CAMHS - Acute	95%	N/A	100.0%	100.0%	93.1%	N/A	100.0%
CAMHS - Non Acute	95%	100.0%	96.0%	96.7%	90.7%	N/A	100.0%
SMU - Assessment	90%	95.5%	93.5%	92.6%	85.9%	83.3%	85.3%
SMU - Intervention	90%	100.0%	98.0%	94.3%	94.7%	100.0%	100.0%

CABINET REPORT

19th February 2007



Report of: Head of Community Safety & Prevention

Subject: ANTI-SOCIAL BEHAVIOUR STRATEGY 2007/08

SUMMARY

1. PURPOSE OF REPORT

To seek approval for the Anti-Social Behaviour (ASB) Strategy 2007/08.

2. SUMMARY OF CONTENTS

The strategy sets out:

- Strategic context
- Definition of ASB
- Problem in Hartlepool
- What we are currently doing to tackle ASB
- What more can we do
- How we will measure success

3. RELEVANCE TO PORTFOLIO MEMBER

ASB affects all Wards and can be a contentious issue.

4. TYPE OF DECISION

Key decision - Test (ii)

5. DECISION MAKING ROUTE

Cabinet

6. DECISION REQUIRED

Approval of the Strategy 2007/08

Report of: Head of Community Safety & Prevention

Subject: ANTI-SOCIAL BEHAVIOUR STRATEGY 2007/08

1. PURPOSE OF REPORT

- 1.1 To seek approval for the Anti-Social Behaviour (ASB) Strategy 2007/08.

2. BACKGROUND

- 2.1 All Crime and Disorder Reduction Partnership (CDRP) areas are now required to produce a strategy to tackle Anti-Social Behaviour (ASB). In Hartlepool, the CDRP responsibilities are incorporated in the Safer Hartlepool Partnership.
- 2.2 The draft Strategy has been developed during Autumn/Winter 2006 and incorporates evidence gathered by the Safer Hartlepool Partnership's review of ASB in Hartlepool.
- 2.3 Two consultation events have been held, with a variety of partner agencies participating.
- 2.4 The Safer Hartlepool Partnership Executive Group has considered the 2 earlier drafts at its meetings on 29th November 2006 and 24th January 2007.

3. DRAFT STRATEGY

- 3.1 The draft Strategy which is attached at Appendix 1, identifies the different types of ASB, the scale of the problems in Hartlepool, what we're currently doing to tackle the problem areas and what more needs to be done.
- 3.2 Actions will focus on ASB associated with:
- People using or dealing drugs
 - Groups hanging around
 - Drunk or rowdy behaviour
 - Noise/late night disturbances

A key element of the strategy will be to promote tolerance and reassure residents.

3.3 The objectives of the Strategy will be to:

- 1) improve services to victims of ASB and make them feel safer
- 2) streamline the use of enforcement techniques and tools to respond more rapidly to escalating ASB problems
- 3) develop further preventative services

An Action Plan will be prepared, with timescales and responsibility for implementation.

3.4 A range of performance indicators are detailed in the strategy, to measure success, including:

- Number of ASBOs measured against target number
- ASB incidents reported to Police
- Resident perception survey results
- Fixed Penalty Notices

4. RECOMMENDATION

4.1 Cabinet is recommended to approve the ASB Strategy 2007/08.

Contact Officer

Alison J Mawson, Head of Community Safety & Prevention

Background Papers

- Safer Hartlepool Partnership's review of ASB 2006
- Viewpoint Survey May 2005
- MORI Survey May 2006
- Neighbourhood Policing Fear of Crime Survey 2006
- Home Office and RESPECT Unit websites

Draft

HARTLEPOOL ANTI-SOCIAL BEHAVIOUR (ASB) STRATEGY 2007/08

1. Strategic context

The Safer Hartlepool Partnership incorporates the responsibilities of the Crime and Disorder Reduction Partnership (CDRP), Drugs Action Team (DAT), and Youth Offending Service.

The Safer Hartlepool Partnership provides the strategic lead for the community safety element of the Community Strategy, as determined by the Hartlepool Partnership (Hartlepool's Local Strategic Partnership – LSP).

Hartlepool's Local Area Agreement 2007-2009 includes an outcome which contributes to reducing ASB:

“build respect in communities by reducing anti-social and criminal behaviour through improved prevention and enforcement activity”.

The Safer Hartlepool Partnership's Crime, Disorder and Drugs Strategy 2005-2008 includes anti-social behaviour as one of its strategic priorities. The strategic objective aims to

“reduce the level of ASB which causes, or is likely to cause, harassment, alarm or distress to individuals or communities throughout Hartlepool”.

Three specific aspects of ASB are identified as behaviour warranting a particular focus:

- Criminal damage
- Deliberate fire setting
- Under-age drinking in residential areas

The key indicators contained in the strategy are:

Key Indicator	Baseline 2003/04	Actual Performance 2004/05	Actual Performance 2005/06	Renegotiated targets in Local Area Agreement	
				2007/08	2008/09
Reduce criminal damage	2550	2262	2709	2330	2220
Reduce number of deliberate fires	1384	773	851	5% reduction on previous year	5% reduction on previous year
Reduce personal, social and community disorder	9716	9498	9271	Targets discontinued due to change in police recording nationally (ASB Indicator Introduced)	
Reduce reports to Police of ASB	N/A	N/A	N/A	10872	10328

Every CDRP in England Wales is now required to produce a strategy outlining how anti-social behaviour will be tackled in its area.

2. Definition of ASB

Hartlepool has adopted as its definition of ASB, that detailed in the Crime and Disorder Act 1998, which states that a person is guilty of anti-social behaviour when they have “acted in a manner that caused or was likely to cause harassment, alarm or distress to one or more persons not in the same household as himself/herself”. This definition is imprecise and its vagueness is considered to be a strength, though it means that it is interpreted differently in different areas and comparisons from one area to another are difficult and complex. The test which must be overcome is that which will be applied by the Courts, i.e. one of reasonableness. There are no national Performance Indicators for ASB (other than survey results of residents perceptions) and the British Crime Survey (BCS) cannot be expressed at a CDRP.

There is a wide range of activity, which falls into the definition of anti-social behaviour ranging from milder activity such as ball games in inappropriate areas to serious criminal violence. There are four main categories of anti-social behaviour as defined by the Home Office, and these are widely accepted to be anti-social by both practitioners and the public:

Misuse of public space	Disregard for community/personal well-being	Acts directed at people	Environmental damage
Drug/substance misuse and dealing	Noise	Intimidation/harassment (including on grounds of race and other forms of discrimination)	Criminal damage /vandalism
Street drinking	Rowdy behaviour (e.g. shouting and swearing & fighting)		Litter/rubbish
Begging	Nuisance behaviour (e.g. urinating in public, setting fires, throwing missiles)		
Prostitution	Hoax calls		
Kerb crawling	Animal related problems		
Sexual acts			
Abandoned cars			
Vehicle related nuisance and inappropriate vehicle use			

3. The Problem of ASB in Hartlepool

- 3.1 Overall crime levels in Hartlepool are reducing in relative terms, outcomes are improving and the Safer Hartlepool Partnership is considered by Government Office and Home Office to be performing well.
- 3.2 However, residents, Councillors and the public of Hartlepool tell us that ASB is a significant problem in particular areas of the town.
- 3.3 It is evident from the data included at Appendix 1, comprising:
- ASB reported to Police
 - Cases open to the ASB Unit
 - Criminal damage
 - Deliberate fires

That the most deprived wards suffer the highest levels of this type of ASB.

- 3.4 We also know from local perception surveys conducted by the Council (Viewpoint 2005) and NDC (Mori 2006) that environmental issues such as litter/rubbish, dogs causing a nuisance or mess, vehicle related problems and vandalism and other damage to property are major/big issues for residents – these results are shown in Appendix 2.

It is not surprising that residents living in the NDC area, and therefore near the town centre, have a greater problem with rowdy behaviour and late night disturbances, than the town-wide results in the Viewpoint survey.

- 3.5 If the cases reported to the ASB Unit, using the Home Office classification set out in Table 1 are considered, rowdy behaviour, noise and intimidation/harassment have the highest levels – see Appendix 3
- 3.6 Analysis of the caseload in the ASB Unit during 2006 found that approximately 25% of the cases emanated from privately rented houses. This tends to be rowdy behaviour associated with alcohol misuse or drugs dealing – as shown in the graph in Appendix 3.
- 3.7 During May and June 2006, the SHP undertook a survey of 400 households in two deprived and two more affluent wards in Hartlepool, which aimed to give the Partnership a better understanding of why people report feeling
- Safe/unsafe walking alone at night
 - Concerned about crime
 - Concerned about people taking or dealing illegal drugs.

The results detailed in Appendix 5, show that people report feeling unsafe out at night due to youths congregating or fear of harassment. The majority of the respondents were not worried about being burgled as their home is well secured. There was an equal split of concern/not concerned about drugs, with those concerned having first hand experience of seeing drug use in their neighbourhoods.

- 3.8 At end of December 2006, there were 22 active ASBO/CRASBOs in Hartlepool, of which 8 were juveniles. This equates to approximately one-third, or 33%, which is slightly below the national average of 40%.

4. **What are we currently doing to tackle ASB**

4.1 ASB Unit

In August 2004 the Council and Police combined their dedicated ASB staff to form a joint unit, based at Jutland Road Police office. Currently the Unit comprises following staff posts:

- ASB Co-ordinator
- ASB Research officer
- Senior ASB Officer
- 2 ASB Officers
- Admin Officer
- Police Officer

The ASB Unit works closely with all Registered Social Landlords (RSLs), but particularly with Housing Hartlepool, who have 3 Tenancy relations and enforcement officers. Cases are managed on a database shared by ASB Unit and Housing Hartlepool.

4.2 *Neighbourhood Policing*

In April 2006 Hartlepool became the pilot area for Neighbourhood Policing in the Cleveland Force area. Every ward has at least one dedicated and named Police Officer and Police Community Support Officer. Five priority wards (Brus, Dyke House, Stranton, Grange and Owton have more officers. Three problem solving groups (Joint Action Groups – JAGs) have been established, one for each of North, Centre and South neighbourhoods.

4.3 *Environmental Enforcement Team*

The Council employs a team, which includes wardens working in the NDC area and enforcement officers having a town-wide remit. These officers are authorised to issue Fixed Penalty Notices for offences such as dropping litter, dog-fouling, graffiti and fly-tipping.

4.4 *Landlord Accreditation & Licensing*

The Council manages a voluntary private landlord accreditation scheme, which has successfully signed up over 350 landlords. Consultation is currently underway on the proposed introduction of selective licensing for some parts of Hartlepool, where there is either a low demand for accommodation or there are problems with ASB.

4.5 *Diversionary activities*

There are a wide range of activities provided by both the statutory and community/voluntary sectors. Those specifically established to combat ASB include:

- 1) FAST – is provided by a consortium of community and voluntary groups, working in partnership to provide a quick response to reported incidents of low level ASB. FAST aims to work with families to reduce crime and disorder by offering a package of support for the whole family.

- 2) COOL – was developed by the Safer Hartlepool Partnership some 6 years ago to provide organised outdoor leisure activities, after school, on school fields. There are now 14 COOL projects at sites across the town.
- 3) Many area based activities are developed and delivered by local Residents and Community groups.

4.6 *Prevention and support activities*

Activities provided by both statutory and community/voluntary sectors include:

- 1) parenting
- 2) victim support
- 3) mediation
- 4) reparation – between offender and victim/community
- 5) Youth Inclusion project for 50 young people at risk of becoming involved in crime or ASB
- 6) mentoring
- 7) Straightline project - was developed due to concerns that young people were becoming involved in a culture of drinking from a young age, without an awareness of the dangers to their personal health and the effect of their behaviour (whilst under the influence) on others. An awareness programme is delivered to groups of young people who have been stopped by the Police for consuming or being in possession of alcohol.
- 8) Various programmes run by the Fire Brigade (e.g. LIFE, SAFE) for young people at risk of social exclusion and/or involved in crime or ASB.

4.7 *Enforcement*

Each year, the Home Office requires an enforcement report to be completed setting out the activity during the previous October – September. The results for 2003/04, 2004/05 and 2005/06 are shown in Appendix 4,

In addition, Hartlepool has used the powers in the Anti-Social Behaviour Act 2003 on four occasions to declare ‘Dispersal Area’s.

Police Community Support Officers and Council Enforcement Officers are authorised to issue Fixed Penalty Notices for dog-fouling, littering, graffiti, fly-tipping.

4.8 *Hartlepool Intervention Programme (HIP)*

HIP, which was previously known as Family Support Panel, was developed from analysis of case records which showed that 70% of all complaints received by the ASB Unit over a two year period concerned families with a long history of anti-social behaviour living in the NDC area. Typically these families tend to move

regularly within the private rented sector, exhibit many risk factors associated with criminality and lack a holistic long-term approach to their problems. Consequently it was recognised that a range of intensive support, resettlement and enforcement measures needed to be implemented to stabilise families and hence improve the quality of life for local residents.

4.9 Education

In addition to the curriculum requirement for pupils to be taught citizenship, Hartlepool Schools utilise a range of educational opportunities for pupils to learn about the effects of the various forms of ASB e.g. Police Officers regularly visit and give talks or interact informally with pupils, Environmental Officers will discuss the mess caused by rubbish being dumped or litter dropped. The ASB Unit has introduced an annual ASBAD (ASB awareness day) event for Year 8 pupils to experience scenarios associated with different forms of ASB (e.g. drinking, rowdy behaviour, lack of respect).

4.10 RESPECT

In January 2006, the Government launched it's RESPECT Action Plan.

This Plan recognises that whilst enforcement activity is to be continued, to successfully tackle the issue of ASB, a broad range of measures aimed at dealing with the underlying issues need to be in place and that all agencies have a role to play in tackling these underlying issues.

Hartlepool agreed to become a RESPECT Action Area in 2006 and therefore receives targeted assistance from the Government's RESPECT Unit. During 2006/07 small amounts of funding have been received for publicity and expansion of the NDC ASB project. Hartlepool is one of 50 pilot areas for a Family Intervention Project (FIP) which will link to HIP and provide more intensive support for a small number of the families with the most significant problems. Hartlepool will also receive funding in 2007/08 for additional parenting services.

5. What more can we do?

There is already much activity being carried out by a range of agencies. But we obviously need to do more:

- by being better co-ordinated
- by taking more rapid enforcement action
- by continuing to develop our prevention activities
- by telling people what we're doing

The Council and other partners already provide a comprehensive range of services to tackle environmental ASB/crime:

- enforcement team for dog fouling, littering, fly-tipping issue Fixed Penalty Notices
- graffiti squad, in partnership with Community Payback, to remove graffiti from public and private areas
- swift removal of untaxed and end-of-life vehicles
- free service to remove drug litter, available 24 hours per day, 7 days per week.

Therefore, activities will focus on tackling:

- people using or dealing drugs
- groups hanging around
- drunk or rowdy behaviour
- noise/late night disturbances

Objective 1

Improve services to victims of ASB and make them feel safer.

Actions:

- implement the review of the ASB Unit to establish an ASB case officer to work closely with Neighbourhood Manager and Police Neighbourhood Sergeant thus providing a single point of contact in each neighbourhood area, so that residents and members of the public will know who to ask about their problem
- improve data sharing and analysis for each neighbourhood area to inform problem solving at the Joint Action Groups (JAGs).
- reconfigure HBC ICT systems to enable information to be shared electronically with Cleveland Police
- extend the membership of the weekly town-wide case meetings to improve co-ordination of actions between agencies.
- increase joint working with Registered Social Landlords (RSLs)
- reduce public perception that ASB is a problem from the baseline established from BVPI general survey results for ASB in 2006/07.
- Investigate the feasibility of introducing a “seriousness” score for cases of ASB.
- Improve communication (feedback) to residents and communities generally and on individual cases

Objective 2

Streamline the use of enforcement techniques and tools to respond more rapidly to escalating ASB problems.

Actions:

- Establish a dedicated enforcement team within the ASB Unit, to provide expert advice for other officers and progress ASBO/CRASBO applications, injunctions and other enforcement actions as appropriate.
- Prepare implement and review policies and procedures for:
 - breaches of ABCs and ASBOs
 - publicity of ASBOs
 - investigating Racially Motivated Incidents
- Complete the consultation on Selective Licensing for Landlords and encourage introduction in ASB hot-spot areas, where the predominate problems are associated with the behaviour of tenants in privately rented accommodation.
- Introduce a Tenant Referencing scheme for Hartlepool.
- Extend the areas covered by Alcohol consumption in Designated Public Places Orders.
- Review ASBO procedures to ensure process to gather evidence and make application to Court take minimal time.
- Improve support to victims and witnesses/seek funding to appoint a dedicated victim and witness support officer for those wards/areas worst affected by ASB and crime.
- Achieve a total of 44 ASBO/CRASBOs by end of 2007/08.
- Close 2 premises for ASB associated with drug dealing in 2007/08.

Objective 3

Develop further preventative services.

Actions:

- Establish the Hartlepool Family Intervention Project (FIP) for 3-6 problem families.
- Extend the provision of parenting programmes, in conjunction with Children's Services Parenting Commissioner.
- Introduce further Youth Inclusion Programme (YIP) to another ward/area of Hartlepool.
- Encouraging the provision of additional activities for young people in ASB hot-spots.
- Introduce the protocol and Housing Panel for allocation of accommodation and support for those individuals and families in priority need.
- Improve local services for those individuals identified as having an alcohol problem.

6. **How will we measure success?**

We know that ASB is difficult to define and means different things to different people. We also know that people's perceptions of the problem vary. Therefore a range of indicators will be used, to encompass the variety of activities being undertaken:

- 1) key indicators included in the Safer Hartlepool Partnerships Crime, disorder and drugs strategy 2005-2008
- 2) key indicators included in the Local Area Agreement 2007-2009
- 3) variety of other local indicators

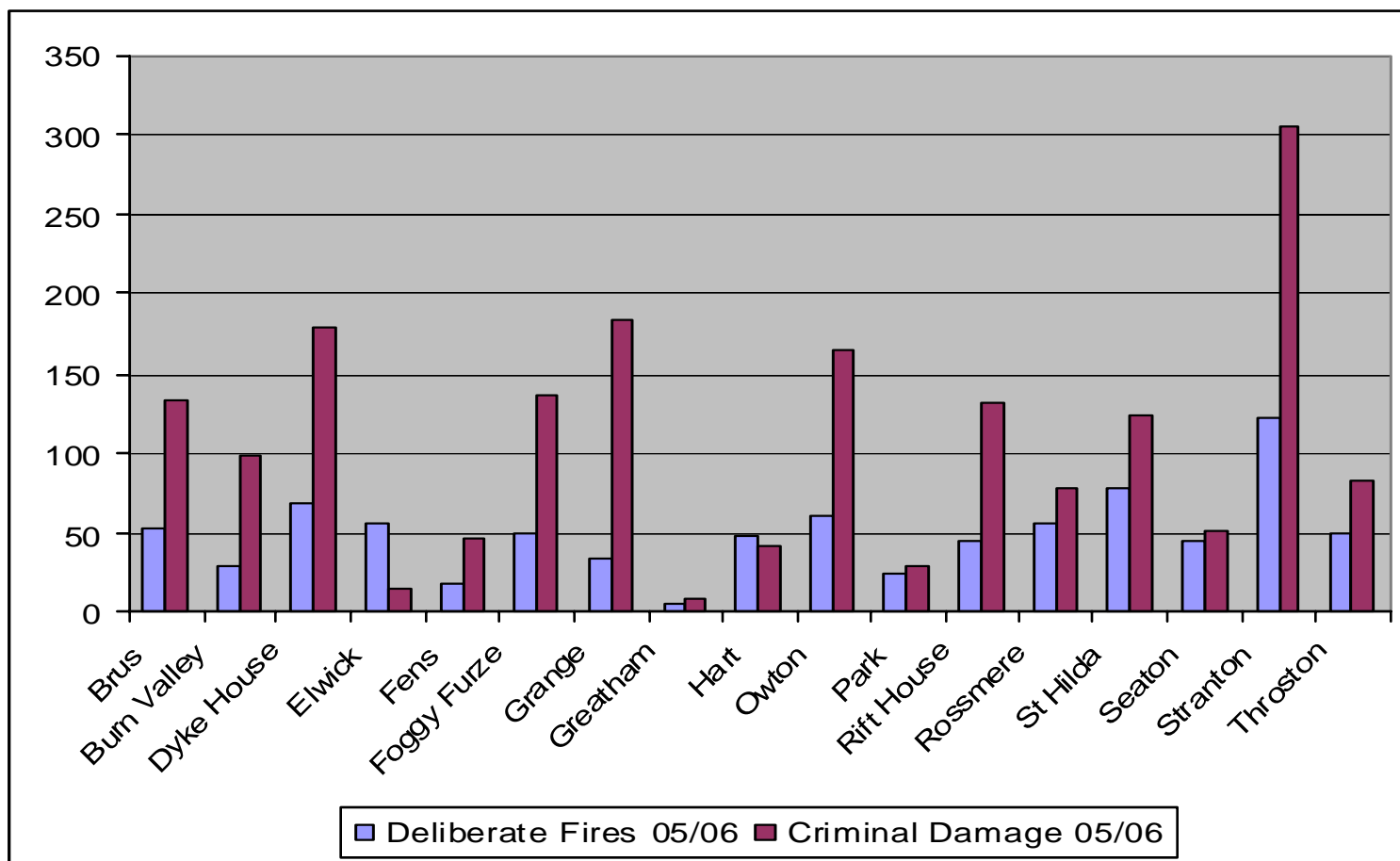
Details are set out in Appendix 6.

Reductions in reported ASB may indicate a reduction in the problem, however it could indicate a lack of confidence in the agencies to tackle the problem. A range of indicators will assist the Safer Hartlepool Partnership to determine future strategies.

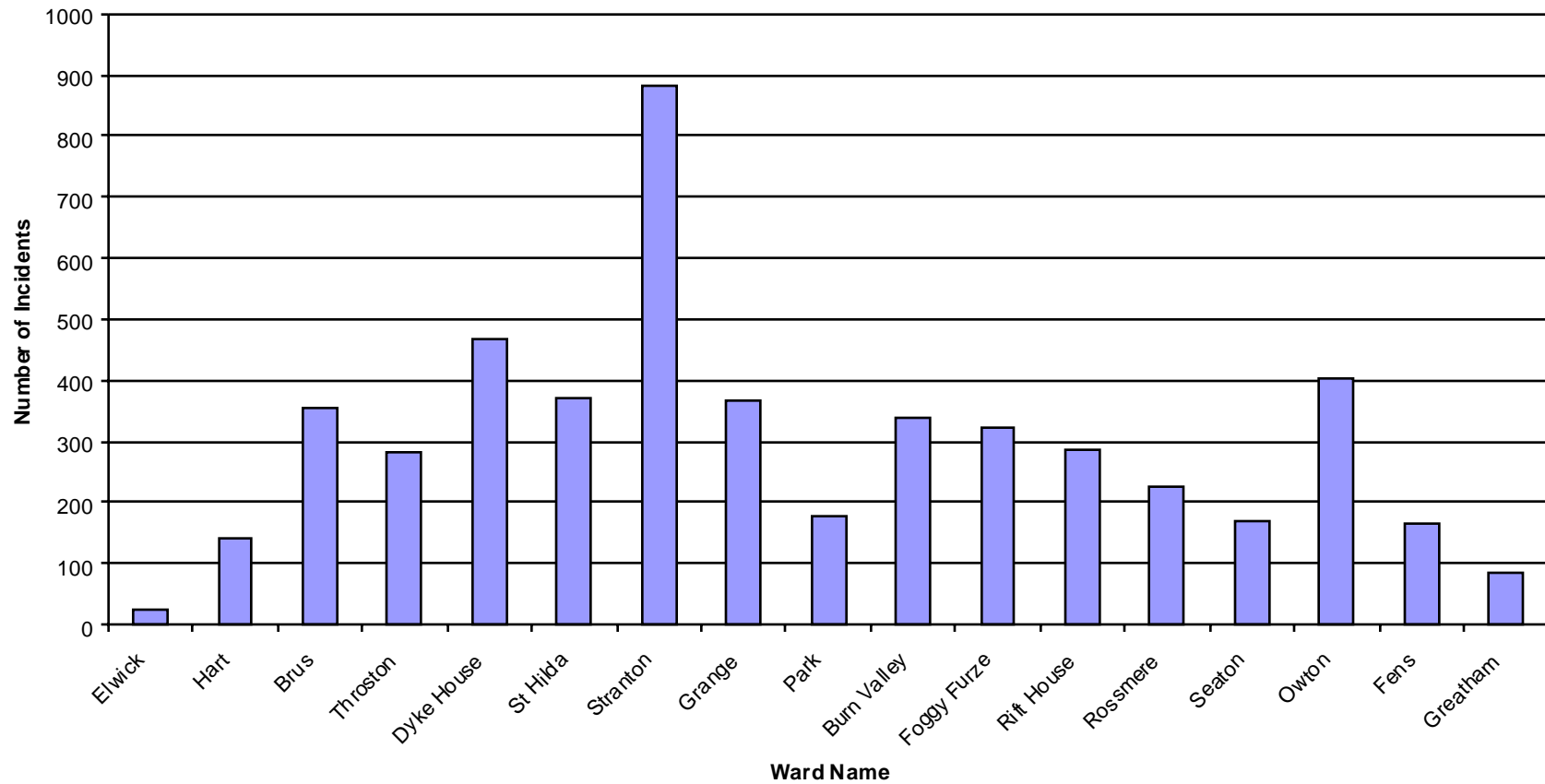
The ultimate aim of the Strategy must be to reduce ASB utilising a variety of interventions.

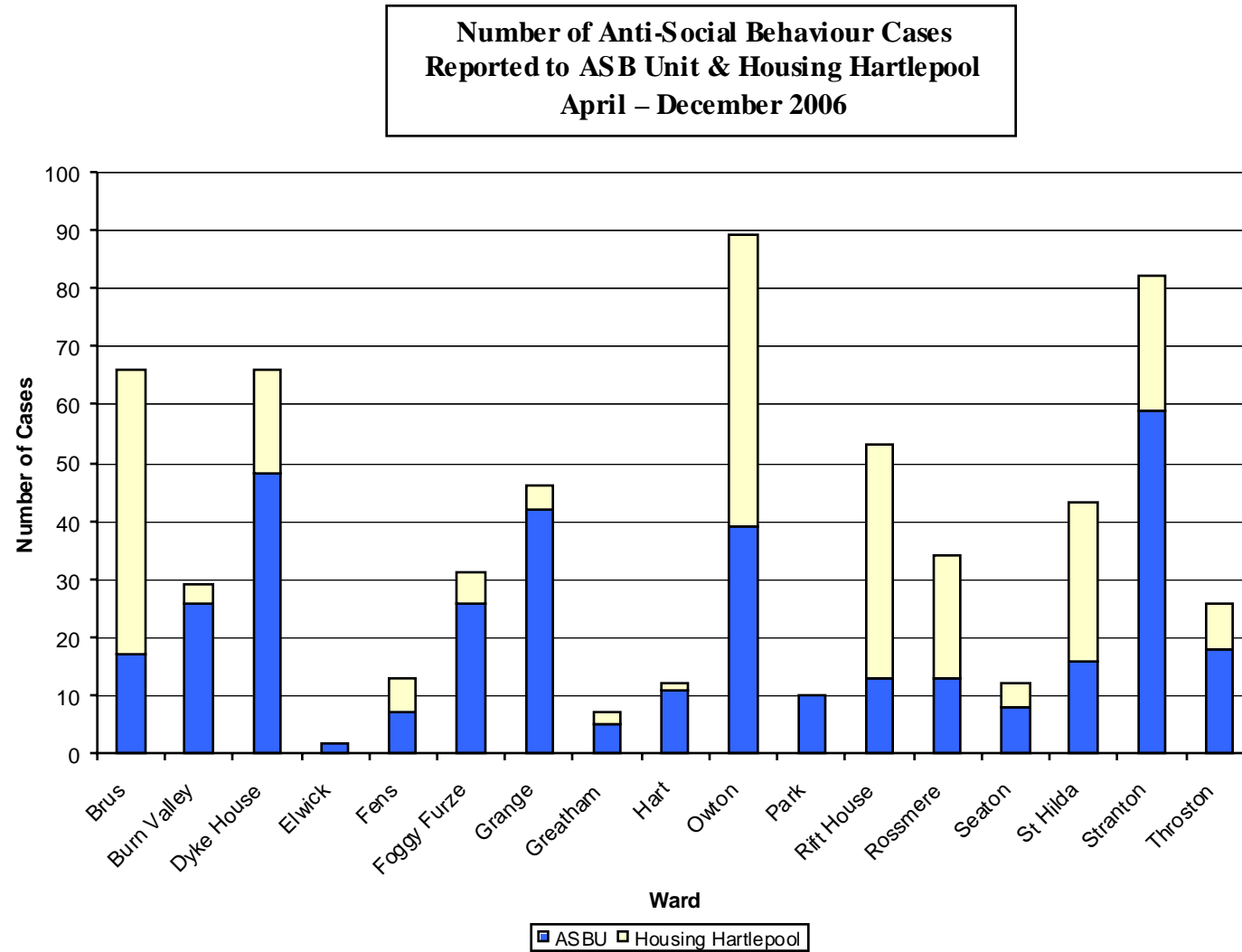
7. **Involvement and inclusion**

All parts of the community, regardless of their gender, race, ethnicity, colour, disability, religion, sexual orientation, family and other circumstances, language, national or social origins, age or other status, are encouraged to be involved at all stages in the development, delivery and monitoring of this strategy.

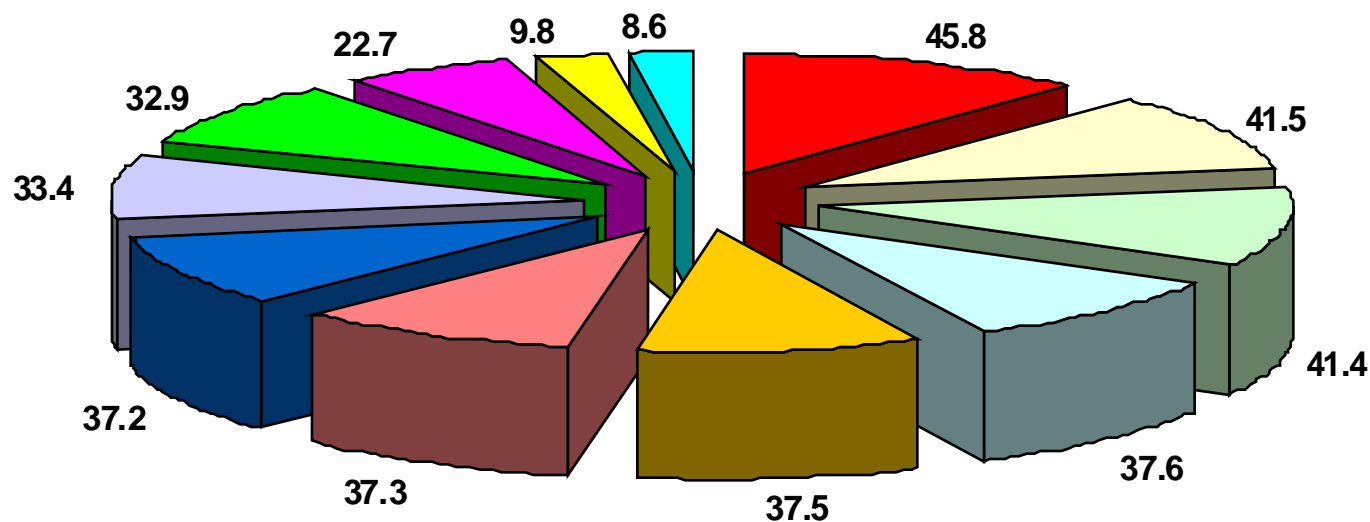
Deliberate Fires and Criminal Damage 05/06

Number of Anti-social Behaviour Incidents reported to Cleveland Police (Hartlepool District) between April - September 2006



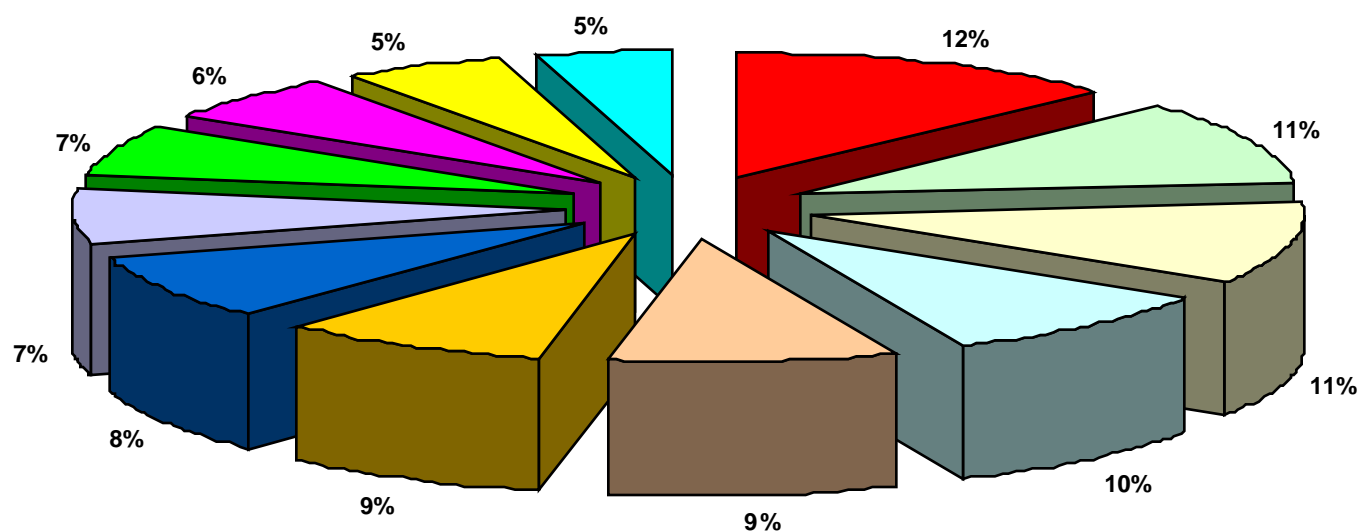


Town-wide Viewpoint Survey May 2005 – Top 3
Crime and ASB Issues



- | | |
|---|---|
| ■ Uncontrolled dogs and dog mess | ■ Speeding traffic |
| ■ Cars parked illegally, dangerously or inconveniently | ■ People using or dealing drugs |
| ■ Vandalism, graffiti and other deliberate damage to property or vehicles | ■ Rubbish or litter lying around |
| ■ Fireworks set off that are not part of an organised display | ■ Teenagers hanging a round on the streets |
| ■ People being drunk or rowdy in public places | ■ People being insulted, pestered or intimidated in the street |
| ■ Abandoned or burnt out cars | ■ Conflicts or disputes between neighbours |

Major Anti-social Behaviour
New Deal for Communities (NDC) MORI Survey - May

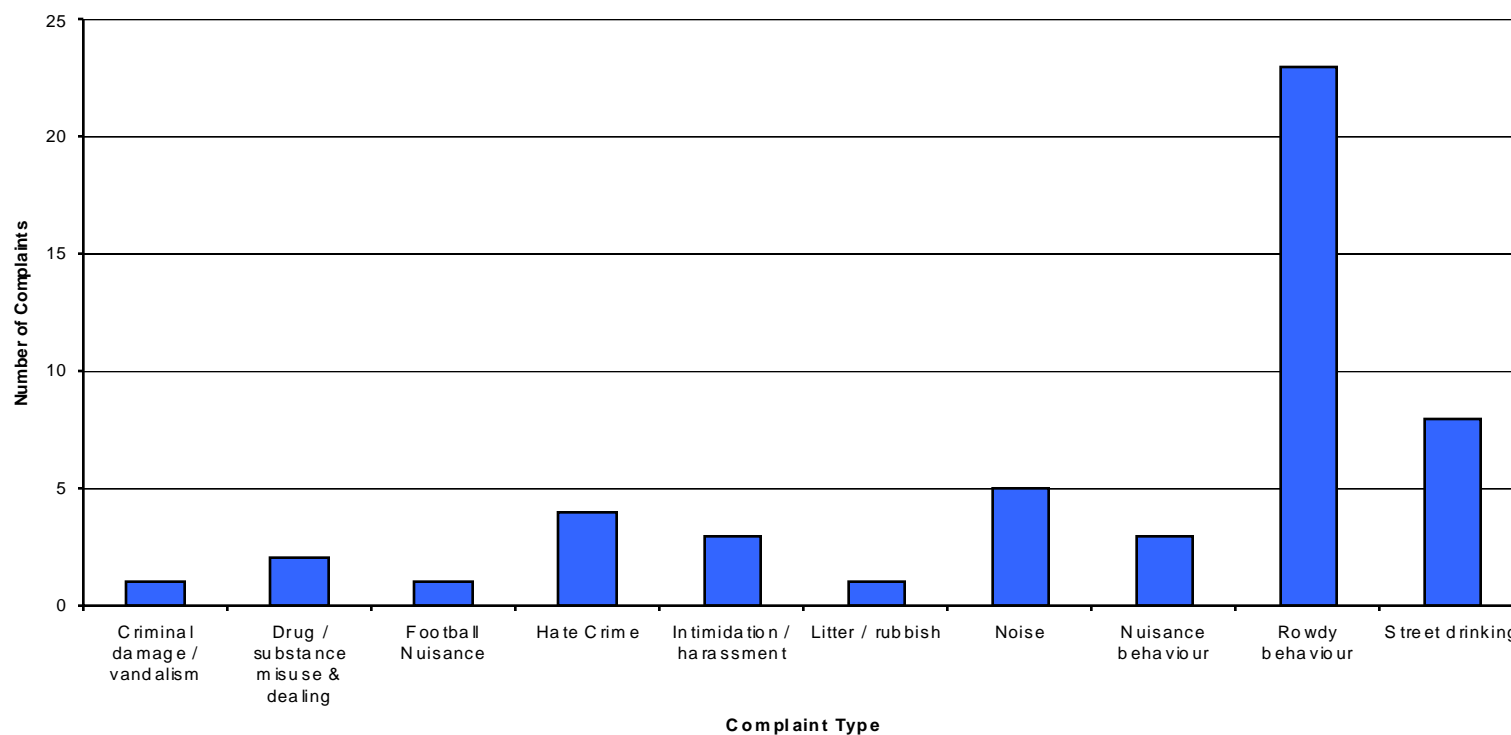


■ Litter/rubbish	□ Rowdy behaviour on the streets	□ Late Night Disturbances
□ Vehicle related problems	■ Dogs causing a nuisance or mess	■ Vandalism, graffiti and other damage to property
■ Drug related activities	□ Neighbours/noisy neighbours	■ Traffic noise and pollution
■ Ball Games in inappropriate areas	■ Intimidation, harassment or verbal abuse	■ Aggressive/threatening behaviour

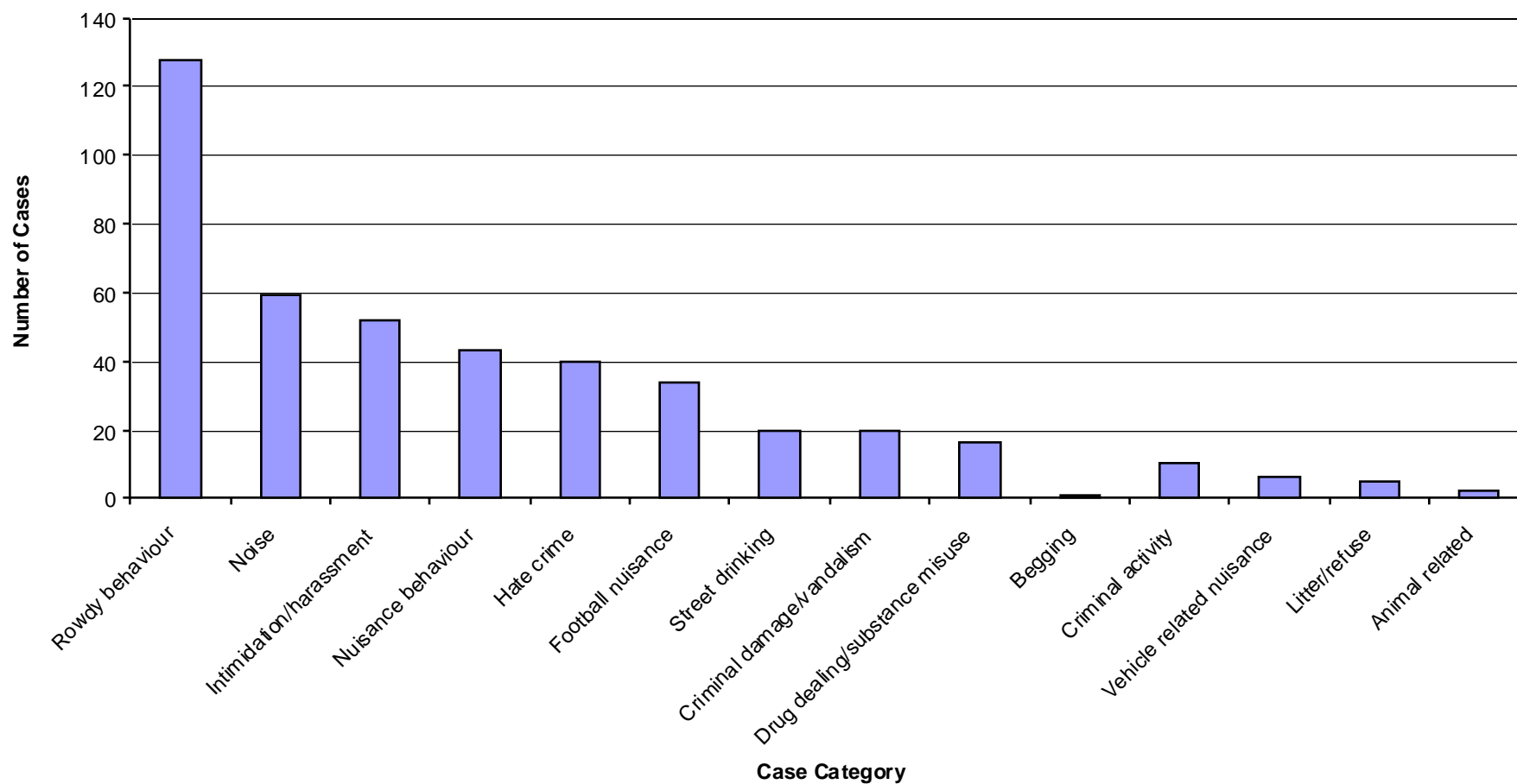


Breakdown of ASB Unit cases in Private Rented Sector

April – December 2006 (inclusive)



Number of Anti-social Behaviour Unit Cases by Category
October 2005 to September 2006



Enforcement Activity – Annual Home Office Survey

Activity	2003-4	2004-5	2005-6	Total
• ASBO	0	1	1	2
• Interim ASBO	0	1	0	1
• CRASBO	1	3	11	14
• ABA/Cs	6	21	39	66
• ASB related Notice of seeking possession	4	18	27	49
• ASB related evictions carried out by RSLs	0	0	6	6
• Anti-social Behaviour Injunctions (ASBIs)	0	3	2	5
• Crack House Closures	1	1	3	5
• Parenting Orders	14	9	2	25
• Parenting Contracts	44	22	27	93

**NEIGHBOURHOOD POLICING FEAR OF CRIME SURVEY
(FACE TO FACE INTERVIEWS)
MAY-JUNE 2006**

Question: *How safe do you feel walking alone in this area after dark?*

25.5%	Very safe
37.5%	Fairly safe
21.8%	A bit unsafe
14.3%	Very unsafe

Of the 63% who felt very safe or fairly safe the main reasons for this were:

67.6%	good area – no reason to be fearful
39.5%	I can look after myself
14.6%	Regular Police/warden/PCSO patrols

Of the 36% who felt a bit or very unsafe the main reasons were:

46.3%	Groups of youths congregating on the streets
42.3%	Fear of harassment from adults or young people
14.1%	Personal experience of threat, attack or abuse
13.4%	Bad reputation of the area

Question: *How worried are you about having your home broken into and something stolen?*

17.8%	Very worried
21.0%	Fairly worried
44.3%	Not very worried
17.0%	Not at all worried

Of the 61.3% who were not very worried or not at all worried the main reasons were:

87.9%	Home is well secured
51.8%	Good neighbours
30.0%	Live in a low crime area
20.6%	Live in area where burglaries are infrequent

Question: *How concerned would you say you are about people taking or dealing in illegal drugs in your area?*

34.5%	Very concerned
16.5%	Fairly concerned
26.5%	Not very concerned
22.0%	Not at all concerned

Of the 48.5% who were not very or not at all concerned the main reasons were:

92.2%	I have no contact with drugs or drug misuse
33.9%	From my contacts in the area I know there is not a problem in the Neighbourhood

Of the 51% who were very or fairly concerned the main reasons were:

38.5%	Word on the street/local gossip
36.1%	You can tell from behaviour/appearance that they have been taking drugs
29.3%	Drugs are dealt openly on the street
26.8%	Aware of certain houses being used for drug use dealing
21.5%	Drugs are used openly on the street

APPENDIX 6**KEY INDICATORS FOR MEASURING SUCCESS**

Indicator	Baseline	Performance 2005/06	Performance (to end Dec 2006)	Target 2007/08
Criminal damage	2556 (2003/04)	2709	1856	2330
Deliberate fires	1384 (2003/04)	851	739	810
ASB incidents reported to Police	N/A	N/A	7934	10872
% residents who feel very or fairly well informed about what is being done to tackle ASB in their area	2006/07 28%	N/A	As baseline	30% (To be agreed by Safer Hartlepool Partnership)
% residents who feel that parents are not taking responsibility for the behaviour of their children is very or fairly big problem	(2006/07) 70%	N/A	As baseline	68% (To be agreed by Safer Hartlepool Partnership)
% residents who feel that people are not treating them with respect and consideration is very or fairly big problem	(2006/07) 58%	N/A	As baseline	56% (To be agreed by Safer Hartlepool Partnership)
% residents who have high level of perceived ASB	(2006/07) 31%	N/A	As baseline	30% (To be agreed by Safer Hartlepool Partnership)
Number active ASBOs/CRASBOs	At end Dec 05 8 (juveniles = 4)	--	At end Dec 06 22 (juveniles = 8)	44

5.1

Number active ABC/ABAs	At end Dec 05 14	--	At end Dec 06 25	Not yet set
Number untaxed and abandoned vehicles removed	(2005/06) 301	301	April – Oct 06 135	Not yet set
Number FPNs issued for dog fouling littering	(2005/06) 118 173	118 173	April – Oct 06 109 208	Not yet set
Number first time entrants to Youth Justice System	(2004/05) 289	235	237	Not yet set

CABINET REPORT

19th February, 2007



Report of: Corporate Management Team

Subject: QUARTER 3 – CORPORATE PLAN AND REVENUE
FINANCIAL MANAGEMENT REPORT 2006/2007

SUMMARY

1. PURPOSE OF REPORT

1.1 To inform Cabinet of: -

- The progress made towards achieving the Corporate Plan Actions in order to provide timely information and allow any necessary decisions to be taken;
- To provide details of progress against the Council's overall revenue budget for 2006/2007.

2. SUMMARY OF CONTENTS

2.1 The report describes progress towards achieving the actions within the Corporate Plan using the traffic light system of Green, Amber and Red. The report provides an overview of Council performance, with separate sections providing more detailed information for each Portfolio Holder to consider.

2.2 The Revenue Budget Monitoring report covers the following areas:

- Progress against departmental and corporate budgets and High Risk Budget Areas;
- Progress against saving/increased income targets identified in the 2006/2007 Budget Strategy;
- Progress against departmental salary turnover targets;
- Key Balance Sheet information;
- Outturn Presentation in 2006/2007 Statement of Accounts.

3. RELEVANCE TO CABINET

Cabinet has overall responsibility for the monitoring of the Council's Corporate Plan and the Revenue budget.

4. TYPE OF DECISION

None.

5. DECISION MAKING ROUTE

Cabinet 19th February, 2007.

6. DECISION(S) REQUIRED

Cabinet is asked to:

- Note the report and take any decisions necessary to address the performance or financial risks identified;
- Approve the revised milestone dates of identified actions.

Report of: Corporate Management Team

Subject: QUARTER 3 – CORPORATE PLAN AND
REVENUE FINANCIAL MANAGEMENT
REPORT 2006/2007

1. PURPOSE OF REPORT

- 1.1 To inform Cabinet of the progress made towards achieving the Corporate Plan objectives through identified actions and of progress against the Council's own 2006/2007 Revenue Budget, for the period to 31st December, 2006.

2. BACKGROUND

- 2.1 Previous monitoring reports submitted to Cabinet included an overall summary report providing an overall picture of performance and progress against the 2006/2007 budget. This report was supported by individual Portfolio reports which provided more detailed information.
- 2.2 The report has now been integrated into one comprehensive document. This has enabled the report to be page numbered, thus allowing Members easier navigation around the report. See Contents Table below. The report firstly provides an overall picture of performance and progress against the approved 2006/2007 revenue budget, followed by a section for each Portfolio where more detailed information is provided.

Section	Heading	Page
3.	Overall Performance and Progress on Actions and key Performance Indicators	2-4
4.	Revenue Monitoring 2006/2007 – Summary	4-11
	Detailed Performance and Revenue Monitoring Sections	
5.	Regeneration, Liveability and Housing Portfolio	11-17
6.	Culture, Leisure and Transportation Portfolio	17-21
7.	Children's Services Portfolio	21-30
8.	Adult and Public Health Service Portfolio	30-34
9.	Finance Portfolio	34-35
10.	Performance Management Portfolio	35-39
11.	Conclusions	39-40
12.	Recommendations	40

Section	Heading	Page
Appendix A	High Risk Budget Areas by Department	41
Appendix B	Summary Revenue Monitoring Report to 31 st December, 2006 by Department	42
Appendix C	Progress Against Savings/Increased Income Targets identified in the 2006/2007 Budget Strategy	43-47
Appendices D – I	Revenue Monitoring Report to 31 st December, 2006, by Portfolio	48-53

- 2.3 This report will be submitted to Scrutiny Co-ordinating Committee on 16th March, 2007. This will ensure that Scrutiny Co-ordinating Committee is able to review the report at the earliest opportunity.

3. OVERALL PERFORMANCE AND PROGRESS ON ACTIONS AND KEY PERFORMANCE INDICATORS

- 3.1 The Council identified 188 actions within for 2006/2007 with specific milestones, and 233 key performance indicators (KPIs) as measures of success in the 2006/2007 Corporate Plan.
- 3.2 Overall performance is good with 93% of the actions and 87% of the KPIs (when annually reported actions and PIs have been removed) judged to be either on or above targets. Tables 1 and 2 below summarise officers' views on progress as at 31st December, 2006, for each Portfolio Holder's responsibilities.

Table 1 – Progress on Actions within the Corporate Plan

Portfolio	Actions by Traffic Lights								
	Red		Amber		Green		Completed		Annual
	No.	%	No.	%	No.	%	No.	%	No.
Regeneration, Liveability and Housing	2	4	36	63	11	19	8	14	0
Culture, Leisure and Transportation	1	8	9	75	1	8	1	8	1
Children's Services	1	7	12	86	1	7	0	0	3
Adult Services and Public Health	1	5	18	82	2	9	1	5	2
Finance	0	0	11	69	1	6	4	25	0
Performance Management	9	15	25	42	1	2	25	42	1

*figure may not always add to 100 % due to rounding

Definition of traffic lights has changed slightly since last year: -

- A **red light** means that you do **not expect** to achieve the target by the milestone date.
- An **amber light** means that you are **expecting** to complete action by the milestone date.
- A **green light** now means that the action has been **achieved** by milestone date.

Table 2 – Progress on Key Performance Indicators

Portfolio	KPI's by Traffic Lights						
	Red		Amber		Green		Annual
	No.	%	No.	%	No.	%	No.
Regeneration, Liveability and Housing	10	11	63	67	22	22	40
Culture, Leisure and Transportation	1	7	10	71	3	21	5
Children's Services	12	29	13	32	16	39	6
Adult Services and Public Health	2	7	25	89	1	4	2
Finance	0	0	2	66	1	33	0
Performance Management	0	0	2	50	2	50	0

*figure may not always add to 100 % due to rounding

Definition of traffic lights has changed slightly since last year: -

- A **red light** means that you do **not expect** to achieve the target by the milestone date.
- An **amber light** means that you are **expecting** to achieve the target by the milestone date.
- A **green light** now means that the target has been **achieved** by milestone date.

3.3 Key areas of progress included: -

- The Council continues to reduce the amount of derelict and underused land and buildings with examples such as Briarfields Brief which was used in marketing the site and the Trincomalee Wharf Brief used for marketing and selecting preferred bidder in December, 2006.

- The Hartlepool Statement of Community Involvement was adopted in October, 2006.
- The Local Transport Plan has been adopted within the milestone date.
- There is an increase in the percentage of 16 year olds achieving A* to G and A* to C in English and maths which is faster than the national rates.
- As strategy has been developed, publicised and implemented to increase the social inclusion for people with mental health issues.
- Risk Management has now become part of the day to day working of the council and departments are continuing to look at ways of reducing risks on a regular basis.
- All of the BVPI satisfaction survey has now been planned with the general, libraries and planning survey being completed. The second phase of the benefits survey is due to begin in January, 2007.

4. REVENUE MONITORING 2006/2007 - SUMMARY

4.1 This section provides details covering the following areas: -

- Overview of anticipated 2006/2007 Revenue Outturn.
- Progress against departmental, corporate and high risk budget areas.
- Progress against savings/increased income targets identified in the 2006/2007 Budget Strategy.
- Progress against departmental salary turnover targets.
- Key Balance Sheet information.

4.2 Overview of Anticipated 2006/2007 Revenue Outturn

4.3 Details of the issues to be addressed in developing the 2006/2007 outturn strategy were reported to your last meeting as part of the final 2007/2008 Budget and Policy Framework Report. In summary members were advised that there will be a corporate underspend of £1.78m. Part of these resources have been earmarked to support 2009/2010 budget and the remaining resources are needed to meet a number of unavoidable commitments. These issues are summarised below:

	Available Resources/ (Expenditure Commitments) £'000
Centralised Estimates	1,000
Strategic Contingency and Other Corporate Budgets	500
Employers Pension Contributions Holding Account	280
	<hr/> 1,780 <hr/>
Earmarked to support 2009/10 Revenue Strategy	(1,000)
Phase 2 Equal Pay Settlements	(500)
2007/2008 Budget Issues	
Reduction in 2006/2007 Collection Fund Surplus	(96)
CRB checks	(70)
Single Status Implementation Costs	(150)
	<hr/> 36 <hr/>

- 4.6 The above commitments exceed the forecast corporate underspend identified by £36,000 and this shortfall has been addressed within the 2007/2008 Budget and Policy Framework proposals.
- 4.7 Detailed outturns for service based expenditure have been prepared. These forecasts indicate that, with the exception of Neighbourhood Services, there will be an underspend on departmental budgets, as summarised below and detailed in Appendix B, Table 1.

Summary Departmental Outturn

Department	Projected Variance Adverse/(Favourable) £'000
Adult & Community Services (Net)	(154)
Children's Services (excluding Schools)	(375)
Neighbourhood Services	345
Regeneration & Planning	(220)
Chief Execs	(124)
Total	(528)

- 4.8 In overall terms the forecast outturn for Departmental expenditure is broadly inline with the previously reported forecasts. However, at a detailed level the overspend on Neighbourhood Services is £60,000 higher than previously reported and this is offset by increased underspends in other areas.
- 4.9 As previously reported the Neighbourhood Services overspend is owing to a variety of factors, including one-off items and a number of ongoing issues which have been identified as pressures in the 2007/2008 budget proposals. In accordance with existing budget management rules individual departments are normally required to carry forward overspends of up to 10% of the approved revenue budget. However, given the pressure on the existing Neighbourhood Services budget and the overall budget position for 2007/2008, this strategy is not sustainable and would require significant service reductions to repay the overspend.
- 4.10 The Director of Neighbourhood Service is examining ways to reduce the forecast overspend. However, for planning purposes it would be prudent to anticipate having to fund the gross shortfall as it is unlikely that savings can be achieved in the final quarter.
- 4.11 At this stage it is anticipated that the Neighbourhood Services overspend will need to be funded from Departmental underspends. As previously reported the net Adult and Community Services underspend can be used to partly fund the Neighbourhood Services overspend. The remaining amount will need to be funded from other departments underspends on a pro-rata basis. Therefore Departmental proposals will need to be scaled back and departments will wish to make the following net contributions to reserves:

Summary of Net Proposed Contributions to Reserves

Department	£'000
Adult & Community Services	0
Children's Services	276
Regeneration and Planning	161
Chief Executives	91
Total	528

- 4.12 It is proposed to earmark the net underspends for the following issues:

- Children's Services

£130,000 of the underspend is ring-fenced. This relates to the DSG, which will be carried forward and used in 2007/2008 on the 'schools' budget, and a managed underspend on the Carlton Outdoor Centre which will be used to support further capital works at the centre.

The remaining amount will be used to fund an interim Transport Manager in 2007/2008 and to meet the future design and project management costs arising from the Building Schools for the Future programme.

- Regeneration and Planning

To meet activities related to the delivery of the Planning Services and rephased costs in relation to Victoria Harbour

- Chief Executives

To meet the cost of implementing improved IT systems within Internal Audit which will secure an ongoing revenue saving and to meet restructuring costs within Finance and Corporate Strategy which will also produce ongoing revenue savings.

- 4.13 Members also need to approve the reclassification of the Children's Services Implementation Reserve (£100,000), which was established to meet one-off transitional costs. In practice these costs have been met from staff vacancies and other savings in the department's revenue budget. It is therefore proposed to transfer this reserve to supplement the existing Building Schools for the Future Reserve.

- 4.14 Detailed revenue monitoring information is included in sections 5-10, on a Portfolio basis to enable each Portfolio Holders to readily review their area of responsibility.

4.15 **Progress Against Departmental and Corporate Budgets and High Risk Budget Areas**

- 4.16 For 2006/2007, as well as monitoring department and corporate budgets at a global level, high risk budget areas are also identified and explicitly monitored. These arrangements ensure any problem areas are identified at an earlier stage to enable appropriate corrective action to be taken. The areas identified as high risk budget areas are attached at Appendix A, which

indicates that there are adverse variances on a number of the departmental budgets. However, it is currently anticipated that these variances will be offset by favourable variances on other departmental budgets, with the exception of Neighbourhood Services. Detailed explanations for each department are included in the Portfolio sections, at paragraphs 5-10.

4.17 Progress Against Savings/Increased Income Targets Identified in the 2006/2007 Budget Strategy

4.18 A number of savings/increased income targets are included in the 2006/2007 Budget Strategy. These items are detailed at Appendix C together with comments on progress to date and outturn predictions.

4.19 In terms of the savings and increased income targets, which total £2.935m as detailed Appendix C, Members are advised that these items are largely on target to be achieved. There are a small number of savings which will not be achieved in the current year, as detailed in the table below. With the exception of Neighbourhood Services, alternative temporary savings will be made in 2006/2007. The Neighbourhood Services shortfall is reflected in the adverse variance detailed earlier in the report.

Summary of Planned Savings which will not be achieved	Savings Target £'000	Savings not Achieved £'000
Eldon Grove Sports Centre Closure	27	27
Home Care Charges	70	44
Consultancy Budget Savings	48	22
Increased Income Borough Hall	30	24
Reduction in Premature Retirement Costs	50	50
Reduction in Residential and Foster Placement costs	450	70
Planned Staff Savings Neighbourhood Services	40	25
Total	715	262

4.20 Progress Against Departmental Salary Turnover Targets

4.21 An assumed saving from staff turnover is included within salary budgets. Details of individual department's targets are summarised in the table below. With the exception of Neighbourhood Services it is anticipated that the target for 2006/2007 will be achieved by the year-end. This has been reflected in the forecast outturn variance.

Department	2006/2007 Turnover Target £'000	Expected to 31.12.06 £'000	Actual to 31.12.06 £'000	Variance (Adverse)/ Favourable at 31.12.06 £'000
Chief Executives	151	113	135	22

Children's Services	157	118	131	13
Adult & Community Services	358	269	269	0.0
Neighbourhood Services	119	60	62	2.0
Regeneration & Planning	61	45	28	(17)
Total	846	605	625	20

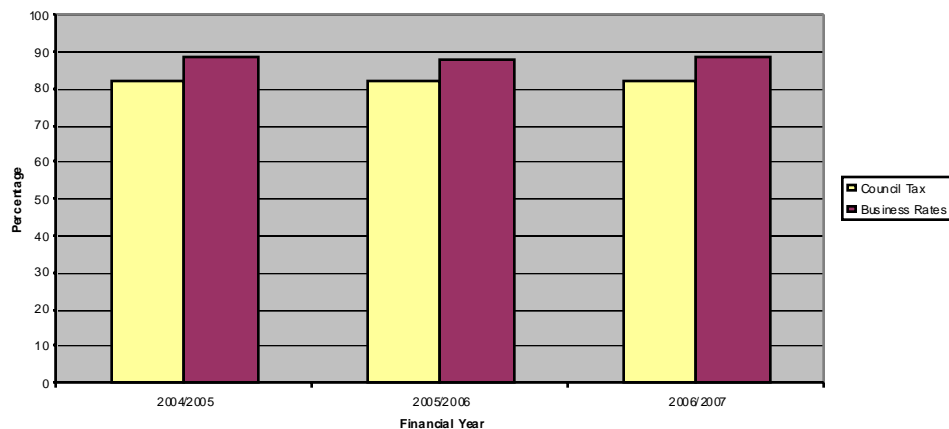
4.22 Key Balance Sheet Information

A balance sheet provides details of an organisation's assets and liabilities at a fixed point in time, for example, the end of the financial year or other fixed accounting periods. Traditionally local authorities have only produced a Balance Sheet on an annual basis and have managed key balance sheet issues through other more appropriate methods. However, under CPA arrangements there is a greater emphasis on demonstrating effective management of the balance sheet. The Audit Commission's preferred option is the production of interim balance sheets throughout the year. In my opinion the option is neither practical nor beneficial as a Local Authority Balance Sheet includes a large number of notional valuations for the Authority's fixed assets and pension liabilities. It is therefore more appropriate to monitor the key cash balance sheet items and these are summarised below :-

- Debtors

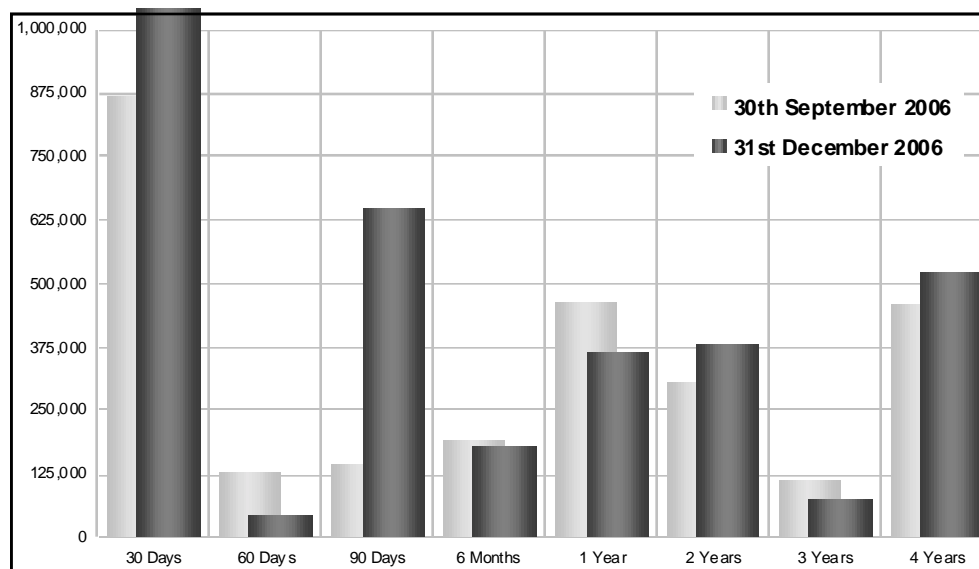
The Council's key debtors arise from the non payment of Council Tax, Business Rates and Sundry Debtors. These areas are therefore subject to detailed monitoring throughout the year. The position on Council Tax and Business rates are summarised below :-

Percentage of Debt Collected at 31st December



The Council Tax collection rate is down slightly by 0.09% and the NNDR collection rate is up by 1.03% when compared to the same period last financial year. In year collection rates are affected by the timing of week/month ends and in practise both Council Tax and NNDR collection levels are expected to be at a similar level to previous years as the end of the current year. In relation to NNDR the 2005/06 collection rate was 99.8%, which was within the top-quartile. Whilst for Council Tax the value of the annual debt collectable has increased by £1.5m.

The position in relation to Sundry Debtors is summarised below :



At the start of the current financial year the Council has outstanding sundry debts of £2.258m. During the period 1st April, 2006 to 31st December, 2006, the Council issued approximately 11,000 invoices with a value of £12.362m. As at the 31st December, 2006, the Council had collected £9.088m, leaving £3.274m outstanding, which consist of: -

- Current Debt - £1.935m

With regard to current outstanding debt, this totals £1.935m at 31st December, 2006, inclusive of approximately £1.062m of debt less than thirty days old.

- Previous Years Debt - £1.339m

These debts relate to the more difficult cases where court action or other recovery procedures are being implemented. At the 31st December, 2006, debts older than one year totalled £1.339m.

- Borrowing Requirements

The Council's borrowing requirement is the most significant Balance Sheet item. Decisions in relation to the Council's borrowing requirements are taken in accordance with the approved Treasury Management Strategy. At 31st March, 2006, the Council's external debt was held as long term loans. As detailed in the 2007/2008 in the Budget and Policy Framework report action has been taken over the past few months to manage the Authority's Loans Portfolio. This action has secured a significant one-off benefit and a permanent reduction in the interest payable on the Authority's debt.

5. REGENERATION, LIVEABILITY AND HOUSING PORTFOLIO

5.1 Performance Update for the Period Ending 31st December, 2006

5.1.1 Within the Regeneration, Liveability and Housing Portfolio there are a total of 57 actions that were identified in the 2006/2007 Corporate Plan. Generally performance towards these actions milestones is good, 36 actions being on target for completion by the agreed milestone, 19 having achieved target and completed the action.

5.1.2 However, there are 2 actions which are assessed as being 'below target' and as such have not been achieved by the milestone. Table RLH1 below details these actions, along with an explanation for the delay as well as any remedial action planned.

Table RLH1 – Actions assessed as being below target

Actions	Milestone	Comment
JE003 - Continue to promote Hartlepool for inward investment including offer of appropriate support and marketing	30/09/2006	Developed marketing activity with Rivergreen & TVR brochure to be produced by Mar 07. Marketing delays due to delays on start of project as a result of unexpected utility diversions. This was beyond the control of the Council.
SC013 – Complete a review of the Community Strategy	31/03/2007	Following detailed review, it is likely that the European Directive 2001/42/EC on the assessment of the effects of certain plans and programmes on the environment will apply to the Community Strategy delaying completion of the review

- 5.1.3 There are 135 key performance indicators (KPIs) included in the Corporate Plan as measures of success. 40 of these can only be assessed and reported on an annual basis, but 85 are assessed as being on or achieving target. However, 10 of the Regeneration, Liveability and Housing KPIs are assessed as being below target. These can be seen in Table RLH2 below:

TableRLH2 – KPIs assessed as being below target

Key Performance Indicator (KPI)	Target (2005/06)	Outturn	Comment
BVPI 64 – No. of private sector dwellings returned to occupations	70	38	Levels of demolition have not met estimates.
CEPU PI 8c – Provide info to the public on responding to and dealing with emergencies: 2 CEPU newsletters to be produced which will be disseminated within the 4 councils and placed on the CEPU and council websites	2	1	One newsletter will be achieved rather than the target of 2
BVPI 225 – Actions against domestic violence	Yes	5 out of 11	5 out of the 11 requirements have been actioned and it is unlikely that remaining will be achieved by the end of the year.
LAA CS17 – Deliberate Fires (Hartlepool)	853	707	This is April to November data. The problem area is still small rubbish fires but deliberate property fires are reducing.
LAA CS18 – Deliberate Fires (NRS)	550	444	April to November data. Small rubbish fires are responsible for being above target
LAA CS23 – Reduce year on year the number of first time entrants to youth justice system	274	237	This figure is rising nationally due to the police being more stringent on report crimes/incidents.
LAA JE5 – Unemployment rate (Hartlepool)	3.80%	4.6%	Continued increase in unemployment which has affected a number of areas. Encouraging figures on long term and youth unemployment
LAA JE6 – Unemployment rate (NRS)	4.90%	6.2%	Further improvement noted however unlikely to hit national target at end of year due to general national and local unemployment increase over the last few months, encouraging figures for long term and youth unemployment.

Key Performance Indicator (KPI)	Target (2005/06)	Outturn	Comment
LAA H12 – No. of houses cleared in HMR intervention area	200	60	Additional delays due to statutory post CPO processes. Demolitions will increase sharply following formal transfer of all sites to developers Q1 2007/08 – expect to meet target by Q3 2007/08
LAA H13 – Number of new homes constructed in HMR intervention area	50	0	Post CPO statutory processes nearing completion; HBC will formally take possession of first 3 sites in Feb and Mar 2007 with transfer to Yuill Homes/George Wimpey shortly after – anticipate meeting target Q3/Q4 2007/08

- 5.1.4 It has been requested that a number of actions within the Regeneration, Liveability and Housing Portfolio are given new milestone dates due to various reasons. These new dates must be approved by the relevant Portfolio Holder, see table RLH3 below :

Table RLH3 – actions with proposed new milestone dates

Actions	Original Milestone	Proposed milestone	Comments
JE006 – Help of facilitate and support Hartlepool College of FE bringing forward development proposals	31/10/2006	31/03/2007	LSC have now requested a total rebuild proposal and therefore the original milestone has been superseded. HBC and partners are assisting the College of FE to determine an appropriate location for the new proposal with a suggested milestone of September 2008

- 5.1.5 Key areas of progress made to date in the Regeneration and Liveability Portfolio includes: -

- A self assessment exercise has been completed with all departments to ensure they understand and deliver their responsibility to prevent and reduce crime and disorder when delivering their services. The self assessment has produced a draft policy however the dissemination of S17 throughout the Council will continue throughout the coming year and updates will be produced.
- There has been continued development of a support system for the incubation and development of new businesses with the BEC enhancement programme completed. It is anticipated 10 new units will be let by March, 2007. UKSE

currently at 81.1% with two new tenancies in the pipeline which should take the rate to 85%. Expecting 90% to be let by the end of the financial year.

- Hartlepool is being promoted widely as a fair trade.
- To help promote a positive image for the town as a tourism, investment and residential location 29K EAT Guides have been distributed sub regionally along with adverts in Living NE in December, 2007. To continue with this a mini guide is due for completion in February, 2007, along with an update of the town map.
- Hartlepool Quays has now been recognised in major strategy documents such as RSS and RES although there will be a need to continuously monitor progress as further iterations of major strategy documents are produced.
- The Council continues to reduce the amount of derelict and underused land and buildings with examples such as Briarfields Brief which was used in marketing the site and the Trincomalee Wharf Brief used for marketing and selecting preferred bidder in December, 2006.
- The Hartlepool Statement of Community Involvement was adopted in October, 2006.
- In October, 2006, the new Local Development Framework Planning System was adopted, two months ahead of schedule
- The success in achieving the majority purchase by agreement and confirmation of the complex CPO's under new legislation is a significant and positive success in relation to the Housing Market Renewal programme although this highly complex process is slightly behind the originally established milestone.

5.2 **Financial Management Position Statement for Period Ending 31st December, 2006**

5.2.1 Details of Regeneration, Liveability and Housing's actual expenditure and expected expenditure as at 31st December, 2006, are shown at **Appendix D**.

5.2.2 In overall terms actual expenditure amounts to £8,405,800 compared to expected expenditure of £8,755,700, resulting in a current £349,900 favourable variance. The projected outturn is £10,692,900, compared to the latest budget of £10,779,000, resulting in a forecast favourable variance of £86,100.

- 5.2.3 The anticipated expenditure includes the 2006/2007 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these reserves is provided at **Appendix D** (Note 1).
- 5.2.4 The main items to bring to Portfolio Holder's attention are:-

Line 1: Administration

Current Variance: £64,300 Favourable

Forecast Variance: £80,000 Favourable

The current favourable variance has arisen because a reserve earmarked to pay for the contract to convert historic paper based records into electronic images will now not be used in this current financial year. Progress on this project has been slower than originally anticipated owing to a full tendering exercise being necessary. Although tenders for this project have now been received and a preferred supplier selected, the actual expenditure will not be incurred until 2007/2008 and therefore this reserve will need to be rephased into the next financial year.

Line 3: Community Safety

Current Variance: £77,300 Favourable

Forecast Variance: £20,000 Favourable

The current favourable variance arises mainly as a result of lower expenditure on projects funded by the LPSA2 grant which has already been received into the accounts. Time has been needed to establish these new projects and slippage of some £50,000 of this grant into 2007/2008 will occur. The favourable variance projected at outturn is, however, expected to be reduced by around £30,000 as a result of expenditure required on maintenance and repairs of strategic CCTV cameras, most of them being in and around the town centre. In addition, essential costs will be incurred by year end on equipment at the Control Centre to maintain operational and monitoring quality of camera images.

Line 9: Landscape Planning and Conservation

Current Variance: £6,900 Favourable

Forecast Variance: £20,000 Favourable

The favourable variance forecast for outturn arises on the Conservation Grants Scheme. The scheme is new in 2006/2007 and certain criteria have to be met to be eligible for the grant, the first applications have only recently been approved for payment. It is expected that a balance of around £20,000 will remain unspent at outturn. It is intended to carry forward this resource and add it to next years available grant allocation.

Line 10: Planning Policy and Regeneration (HIGH RISK)**Current Variance: £176,500 Favourable****Forecast Variance: £200,000 Favourable**

The following factors contribute to the favourable variance on this heading.

A favourable variance of £105,800 currently exists on the Victoria Harbour budget within this heading. The pace of progress on this complex project dictates that an estimate of around £100,000 of the budget will not be required this year but this should be set aside for future years as the scheme develops further.

A favourable variance of £90,500 currently exists on the Planning Delivery Grant heading. Although the Regeneration Liveability and Housing Portfolio Holder will shortly determine the use of this remaining grant, some expenditure commitments will not arise until 2007/2008 and therefore a favourable variance in the region of £60,000 is likely in the current year.

A favourable variance at outturn is also forecast on the Local Development Framework in relation to planning policy activity. It is difficult to assess when several of the LDF studies currently in progress will be concluded and paid for but it is now likely that several will not be finalised until 2007/2008. At this stage a favourable variance of £40,000 is forecast.

Line 12: Youth Offending Service**Current Variance: £163,200 Favourable****Forecast Variance: £70,000 Favourable**

The current favourable variance has arisen mainly as a result of grant income being received in advance of some elements of expenditure. The existing variance is expected to reduce significantly by outturn but it is still likely that some unspent budget will occur on the new LPSA2 and Youth Justice Board funded projects. This is mainly as a result of the time needed to establish these new projects particularly in respect of the recruitment of suitable staff.

Line 14: Environment**Current Variance: £22,300 Adverse****Forecast Variance: £55,000 Adverse**

This account has been adversely affected in the following areas:

- The Street cleansing service provided at Navigation Point contributes £30,000 towards this overspend. This service has been reviewed by the Director of Neighbourhood Services and

a pressure of £30,000 has been included in the 2007/2008 budget proposals.

- The joint venture with NDC requires match funding from Hartlepool Borough Council to improve the cleanliness of the NDC area. In the main the joint funding arrangement requires payment in kind and typically consists of officers time. However, the provision of vehicles is met by funding from the Street Cleansing budget. Actions are being taken to absorb this expenditure within the overall environment budget.

Line 15: Environmental Action
Current Variance: £4,600 Adverse
Forecast Variance: £40,000 Adverse

Reduced funding from NRF and NDC warden schemes has produced a budget deficit for salaries in this service. Additional funding is being pursued to alleviate this pressure but as yet it is still uncertain that this will be achieved.

Line 16: Town Care Management
Current Variance: £36,400 Adverse
Forecast Variance: £40,000 Adverse

This reflects the increased work currently being undertaken by this service. The Director is currently working on a strategy to realign this budget to bring it in line with service requirements. This realignment has been achieved for the 2007/2008 budgets but unfortunately this overspend is likely to remain at the year end. Attempts will be made to offset this with under spends in other areas.

6. CULTURE, LEISURE AND TRANSPORTATION PORTFOLIO

6.1 Performance Update for the Period Ending 31st December, 2006

- 6.1.1 Within the Culture, Leisure and Transportation Portfolio there are a total of 13 actions that were identified in the 2006/2007 Corporate Plan. Generally performance towards these actions is very good, with all but one of the actions being on target for completion by the agreed milestone or have already been completed. The action that is below target can be seen in table CLT1 below:

TableCLT1 – Actions assessed as being below target

Actions	Milestone	Comment
EH013 – Improve access by public transport to key facilities through the	31/01/2007	There are delays in starting interchange as a result of legal delays with rail operators

Actions	Milestone	Comment
core routes and interchange strategy, complemented by improvements to other services		

- 6.1.2 A total of 19 key performance indicators (KPIs) were included in the corporate plan as measures of success. 5 of these can only be assessed and reported on an annual basis, but of those indicators that progress can be monitored, 13 KPIs of the Culture, Leisure and Transportation KPIs are assessed as being on or above target and only one KPI has been assessed as being below target.

TableCLT2 – KPIs assessed as being below target

Key Performance Indicator (KPI)	Target (2005/06)	Outturn	Comment
LAA CL5 – Increase annual leisure centre attendances (Neighbourhood renewal narrowing the gap)	55%	47%	Despite considerable promotion of activities this has failed to achieve the target, however the survey conducted in May 2006 did not include Brierton nor Headland Sports Hall – but these will be included in future surveys

- 6.1.3 Key areas of progress made to date in the Culture, Leisure and Transportation Portfolio include: -

- The Local Transport Plan has been adopted within the milestone date.

6.2 **Financial Management Position Statement for Period Ending 31st December, 2006**

- 6.2.1 Details of Culture, Leisure and Transportation's actual expenditure and expected expenditure as at 31st December, 2006, are shown at **Appendix E**

- 6.2.2 In overall terms actual expenditure amounts to £8,995,600, compared to anticipated expenditure of £9,024,500, resulting in a current favourable variance of £28,900. The projected outturn is £12,615,300, compared to the latest budget of £12,363,900, resulting in a forecast adverse variance of £251,400.

- 6.2.3 The anticipated expenditure includes the 2006/2007 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these reserves is provided at **Appendix E** (Note 1).

6.2.4 The main items to bring to Portfolio Holder's attention are:-

Line 3: Arts, Events & Museums
Current Variance: £35,300 Adverse
Forecast Variance: Nil

The main adverse variance arises from the admissions income being lower than anticipated at the Historic Quay (£45,000), Headland Sports Hall (£26,000) and the Town Hall Theatre (£24,000).

The decision by Cabinet to revise the split of admissions income between the Council and the HMS Trincomalee Trust from 70:30 to 50:50 has resulted in a reduction in the level of income retained by the Council for each admission. This has in part contributed to the adverse variance, however, a corporate budget is available to cover the anticipated shortfall (predicted to be £50,000 for the year) and it is intended to transfer this budget at year end to reduce the adverse variance.

Favourable variances at Sir William Gray House (£51,000) and the Borough Hall (£30,000) relating to salaries, premises costs and higher than anticipated income levels should result in a balanced position overall.

The specific department reserve for the Maritime Festival will be applied at the year-end.

Line 4: Community Support
Current Variance: £41,000 Favourable
Forecast Variance: £5,000 Favourable

Grant payments to voluntary groups from the Community Grant Pool are £109,000 less than previously anticipated for this time of the year. It has recently been agreed by the Grant's Committee that any favourable variance will be carried forward to 2007/2008 and this has been reflected in the current forecast variance.

This, together with increased income levels in Community Centres, has resulted in the current favourable variance reported.

Expenditure on necessary maintenance works at Community Centres will result in a £5,000 favourable variance at the end of this financial year.

Line 5: Countryside
Current Variance: £35,100 Favourable
Forecast Variance: Nil

The current favourable variance is owing to staff vacancies. Necessary maintenance work at Summerhill's BMX Track and the Boulder Park, together with works arising from the 'Access' audit report will result in a balanced budget.

In accordance with the Council's Financial Procedure Rules a transfer of resources from revenue to capital of £4,000 has been proposed by the Director of Adult and Community Services and agreed by the Chief Financial Officer. This will contribute towards the Parks capital schemes.

Line 6: Foreshore

Current Variance: £8,300 Favourable

Forecast Variance: £9,000 Favourable

A favourable forecast position relates to an underspend on employee salaries and overtime costs (£9,000).

It is proposed to set up a new reserve with the favourable variance at the end of this financial year to contribute towards the purchase of a new beach safety vehicle in 2007/2008.

Line 7: Libraries

Current Variance: £40,200 Favourable

Forecast Variance: Nil

The current favourable position consists of underspends on staffing premises and supplies and services, together with a greater than anticipated level of income resulting from the sale of surplus library books and room hire charges.

In accordance with the Council's Financial Procedure Rules a transfer of resources from revenue to capital of £40,000 has been proposed by the Director of Adult and Community Services and agreed by the Chief Financial Officer. This will contribute towards the cost of a new lighting system at Central Library. It is anticipated that this will result in a balanced budget.

Line 8: Maintenance

Current Variance: £32,200 Adverse

Forecast Variance: £30,000 Adverse

The current adverse position is due to the necessary completion of ongoing building works to comply with Health and Safety Standards at a number of establishments. It is projected that expenditure will continue until the end of the financial year resulting in an adverse position. Any adverse variance will be offset by underspends elsewhere in Adult and Community Services.

Line 11: Sport & Physical Recreation**Current Variance: £42,300 Favourable****Forecast Variance: £25,000 Adverse**

The current favourable variance is attributable to the level of income received at Mill House being higher than anticipated by £21,000.

Necessary maintenance work on the out of service Mill House slide (£20,000), replacement of the Mill House Sports Hall flooring (£4,500) and consultancy charges for the indoor sports facility strategy (£9,000) will reduce this current favourable variance.

The projected adverse variances at outturn relates to the delayed closure of Eldon Grove and the transfer of the service to Brierton. The savings of £27,000 previously identified and included within the base budget will not therefore be achieved in this financial year resulting in the adverse variance reported.

Line 15: Traffic and Road Safety**Current Variance: £36,400 Adverse****Forecast Variance: £91,900 Adverse**

The provision of a new School Crossing Patrol at Throston Grange School accounts for £15,000 of the projected variance. The balance reflects the lower than anticipated level of parking fine income. Every attempt will be made to reduce the variance by careful control of expenditure in other areas within this overall budget.

Line 16: Transport Services**Current Variance: Nil****Forecast Variance: £120,000 Adverse**

A provision for £120,000 is proposed to cover potential litigation costs. This is a worst case scenario and the final costs will be in the range of £120,000 to £200,000.

7. CHILDREN'S SERVICES PORTFOLIO**7.1 Performance Update for the Period Ending 31st December, 2006**

- 7.1.1 Within the Children's Services Portfolio there are a total of 17 actions that were identified in the 2006/2007 Corporate Plan. Generally performance towards these actions is good, with all but one of the actions currently being on target for completion by the agreed milestone. The action that is currently judged as below target can be seen in Table CS1.

Table CS1 – Actions assessed as being below target

Actions	Milestone	Comment
HC004 – Work with partner agencies, young people, schools and families to reduce under 18 conception rate by 55%	30/04/2007	Teenage action plan for 2006/07 is now being implemented

- 7.1.2 A 47 key performance indicators (KPIs) were included in the corporate plan as measures of success. 6 these can only be assessed and reported on an annual basis, but of those indicators that progress can be monitored, 71% of the Children's Services KPIs are assessed as being on or above target, which relates to a total of 29 performance indicators. There are 12 KPI's which are not expected to achieved target (see Table CS2).

Table CS2 – KPIs assessed as being below target

Key Performance Indicator (KPI)	Target (2005/06)	Outturn	Comment
BVPI 181a – Percentage of pupils achieving Level 5 or above in KS3 results – English	73%	69.3%	No further information until results of 2007 tests are known
BVPI 181c - Percentage of pupils achieving Level 5 or above in KS3 results – Science	76%	69.9%	No further information until results of 2007 tests are known
BVPI 181d - Percentage of pupils achieving Level 5 or above in KS3 results – ICT Assessment	73%	66.4%	No further information until results of 2007 tests are known
BVPI 194b – Proportion of children level 5 or above, KS2 in Maths	37%	34.98%	No further information until results of 2007 tests are known
BVPI 40 – Percentage of pupils achieving Level 4 or above in KS2 Maths tests	86%	79.1%	No further information until results of 2007 tests are known
LAAJE14 – All key stage 4 pupils undertake work related learning and useful work experience	98%	86%	Manor school statistics are not included in the return as the time for work experience has been changed. Schools who have pupils who did not attend work experience have been challenged to explain why young people did not participate
LAAJE7 – Youth unemployment (Hartlepool)	31%	32.9% (Dec 06 – ONS)	A commissioned research project is currently being undertaken to identify new ways of supporting young people in work. A final report will be available by the end of Q4.

Key Performance Indicator (KPI)	Target (2005/06)	Outturn	Comment
			Progress to reduce youth unemployment has been progressed this quarter.
LAAJE8 – Youth unemployment (Neighbourhood Renewal narrowing the gap)	31.60%	34.6% (Nov 06 ONS/ TVJSU)	The Connect Work project has been approved by GONE and will provide a new and targeted approach to addressing youth unemployment. Some good progress has been made to reduce the number of young people unemployed in this quarter.
LAA LLS1 - Early Year – improve children's communication, social and emotional developments that by 2008, children reach a good level of development at the end of the Foundation Stage	40%	38.6%	Data reported in September 2006 was inaccurate
LAA LLS10c – Key Stage 4 – reduce the gap between young people from the NRS area and Hartlepool to under 5% by 2012 for those achieving 5+ A*-C (inc maths and English)	10%	11.6%	Target not achieved in 2006, gap was 12%. However we do expect to achieve target by 2012
LAA LLS4 - Early Years – reduce the gap between NRS and Hartlepool for participation rates of 3 year olds in good quality, free early years education to 3% by 2007 and 0% by 2012	3%	4%	There are data collection issues with this PI due to population figures being used from the 2001 Census

7.1.3 Key areas of progress made to date in the Children's Services Portfolio include: -

- There is an increase in the percentage of 16 year olds achieving A* to G and A* to C in English and maths which is faster than the national rates. For example 5+ A* to G (including Maths and English) has increased by 1.4% compared to a slight fall in national average. As well as 5+ A* to C (including English and Maths) has increased by 1.7% compared to 0.9% nationally. This shows that attainment gaps are narrowing.

7.2 **Financial Management Position Statement for Period Ending 31st December, 2006**

7.2.1 **Background**

7.2.2 Members will be aware from the 2006/2007 Budget Setting Reports that this year saw a significant change in the funding of the Education Service. In previous years all resources were received as part of the Revenue Support Grant but commencing in 2006/2007 a specific ring-fenced grant (called the Dedicated Schools Grant – DSG) replaced the Revenue Support Grant in funding the 'schools' budget. The 'schools' budget includes not only all of the funding devolved to individual schools but other centrally retained school related expenditure such as the Access 2 Learning Centre, Independent and Extra District School fees and Education Out of School.

7.2.3 The DSG finances £55m of the total 2006/2007 Children's Services base budget of £71m. As the DSG is ring-fenced, the Authority has the option to fund from its own resources any overspend, or alternatively this overspend could be carried forward as the first call on the 2007/2008 schools budget. Any underspend on the schools budget, however, must now be retained and carried forward into 2007/2008 for use on the schools budget only.

7.2.4 This significantly reduces the flexibility within the Children's Services Department to offset any variances across the entire Children's Services budget and departmental procedures are currently being updated to effectively monitor this.

7.2.5 In 2006/2007 the Authority received £65,000 more DSG than originally anticipated owing to pupil number changes and the Schools Forum has agreed that this should be carried forward into 2007/2008.

7.2.6 **Current Year Budget Monitoring Position**

7.2.7 Details of Children's Services actual expenditure and expected expenditure as at 31st December, 2006, are shown at **Appendix F**. The anticipated expenditure includes the 2006/2007 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these reserves is also provided at **Appendix F** (Note 1).

7.2.8 In overall terms actual expenditure amounts to £11,239,600, compared to anticipated expenditure of £11,829,600, resulting in a current favourable variance of £590,000. The projected outturn is £20,463,700, compared to the latest budget of £20,839,000, resulting in a forecast favourable variance of £375,300. Owing to

the complexities of the DSG this forecast variance needs to be considered as follows: -

Table 1 – Forecast Outturn Split between DSG and LEA Funding

Funding	2006/07 Budget	2006/07 Project Outturn	2006/07 Projected Variance: Adverse/ (Favourable)
	£'000	£'000	£'000
Schools – DSG	49,883.5	49,883.5	0.0
Centrally Retained – DSG	4,579.5	4,513.0	(66.5)
	54,463.0	54,396.5	(66.5)
LEA	16,259.5	15,950.7	(308.8)
Total	70,722.5	70,347.2	(375.3)

7.2.9 The main items to bring to Portfolio Holder's attention are: -

Line 1: Access to Education

Current Variance: £168,300 Favourable

Forecast Variance: £177,800 Favourable

The current and forecast favourable variances are mainly the result of expenditure within the school transport budgets being lower than anticipated, owing to the achievement of efficiency savings on transport and passenger assistant costs. As a result of this review savings have been put forward in the 2007/2008 Budget Process. In addition, staff vacancies and a reduction in the working hours within the Education Social Work Team are resulting in a favourable variance, which is projected at outturn.

Line 3: Children, Young People and Families Support

Current Variance: £30,100 Adverse

Forecast Variance: £70,200 Adverse

The main reasons for the adverse variances are increased staffing costs at Exmoor Grove owing to night allowance payments and agency supply cover for sickness absence and increased placement costs partly offset by favourable variances owing to staff vacancies.

In-house fostering and adoption costs have increased since the beginning of the year as more carers are employed directly through the Authority. A forecast adverse variance is projected based on current projections and a potential new residential placement.

The above overspends are partly offset by staff vacancy savings on Family Support and Family Resource Teams.

Line 4: Early Years**Current Variance: £33,900 Favourable****Forecast Variance: £37,500 Favourable**

The reason for the current and forecast favourable variance is lower than expected take up of nursery places for 3 and 4 year old children.

Line 6: Other School Related Expenditure**Current Variance: £198,100 Favourable****Forecast Variance: £112,600 Favourable**

There is a current favourable variance on the swimming service as expenditure has been lower than envisaged owing to reduced premises costs. Transport costs have increased but this has been offset by increased income from schools. This favourable variance is projected to remain at outturn.

The 2006/2007 charge from Middlesbrough Borough Council for joint authority contributions to the Lanehead Centre increased significantly in 2005/2006. This increase was notified after the 2006/2007 budget was set therefore an adverse variance is expected in this area. This has led to an additional 2007/2008 budget pressure for the department.

Additional costs have also been committed towards new Premature Retirement Costs in schools and these costs have been reflected in the forecast variance.

In addition, the Transitional Support Fund, Emergency Staffing Cover and part of the Pupil Contingency budgets are not required this financial year with this favourable variance being reflected in the forecast variance. This funding, however, is ring-fenced as it is funded from the DSG and will need to be transferred to reserves at the year end as noted below.

Line 8: Raising Educational Achievement**Current Variance: £183,400 Favourable****Forecast Variance: £151,500 Favourable**

The main reason for both the current and forecast variances is that the Carlton Outdoor Centre has been closed since April owing to Phase 1 of the capital redevelopment programme.

Other Local Authority contributions have continued to be received on the understanding that this funding is earmarked for the Centre.

The capital redevelopment works have incurred greater costs than originally forecast and it has been necessary to replace the boiler at the Centre. The Director of Children's Services has

proposed to finance these additional costs via a transfer of resources (£50,000) from revenue to capital and this has been approved by the Chief Financial Officer.

As previously reported it is proposed to transfer the favourable variance on Carlton (currently estimated at £63,000 taking into account the above £50,000 transfer to capital) to the existing Carlton Reserve. This reserve is to fund further capital works as part of the Phase 2 redevelopments.

In addition, there are favourable variances at the Educational Development Centre mainly arising from increased grants and on the reduced requirement for match funding elements of various Standards Fund grants.

Line 9: Special Educational Needs
Current Variance: £146,600 Adverse
Forecast Variance: £10,600 Adverse

The main reason for both the current and forecast adverse variance is the Access 2 Learning (A2L) Centre which has incurred additional agency staffing costs owing to sickness cover and increased premises costs arising from the move to larger premises. In addition, exclusions income is currently lower than anticipated, resulting in an overall projected adverse variance of £140,600 before contributions from reserves.

The A2L Reserve could be used to partly offset the adverse variance on the A2L budget. Alternatively, as this service falls within the DSG any deficit could be offset from savings elsewhere within the DSG or carried forward to be met from 2007/2008 DSG. As there are offsetting savings within DSG services this year it is assumed that the A2L overspend will be met from this source and not carried forward.

Line 10: Strategic Management
Current Variance: £105,500 Favourable
Forecast Variance: £242,500 Favourable

The main reason for both the current and forecast favourable variance is staff vacancies and staff savings within the Finance and Student Support Team owing to the transfer of staff to the Student Loan Company at Darlington.

The vacant Pupil Support Manager post has been put forward for deletion as part of the Departments 2007/2008 savings proposals but, primarily due to the need to review existing home to school transport contracts next year there is a need to employ an interim Transport Manager. This can be funded by carrying forward staff

salary savings of £36,500 into 2007/2008 as approved by the Chief Financial Officer.

The provision of £15,300 for Best Value reviews will not be used this year but this is DSG budget item and therefore ringfenced.

Line 11: Youth Justice

Current Variance: £28,900 Favourable

Forecast Variance: £28,000 Favourable

The favourable variances are mainly the result of staff vacancies.

7.2.10 DSG Funded

- 7.2.11 As summarised in Table 1 above in terms of monitoring expenditure against the Dedicated School's Grant there is an anticipated favourable variance of £66,500 on the 'schools' element of the budget, i.e. a projected underspend against the DSG. This net underspend is made up as follows:

Table 2 – Forecast Outturn on DSG Items

Item	Projected Year End Variance
Overspending on A2L Centre	+£140,000
Underspend on staff replacement, pupil numbers and Director's Initiatives	-£67,463
Transitional Support Fund not required	-£100,000
Reduced requirement to match fund Standards Fund grants	-£35,497
Overspend on Extra District & Independent School fees	+£11,843
Best Value Reviews budget not required	-£15,383
Net underspending on DSG items to transfer to reserves	£66,500

- 7.2.12 As the DSG is a ring-fenced grant any underspend against this grant must be carried forward and used in 2007/2008 on the 'schools' budget.

- 7.2.13 Officer's will continue to closely monitor the schools budget and progress against the Dedicated Schools Grant will continue to be reported to Members as part of the budget monitoring process.

7.2.14 LEA Funded

- 7.2.15 The LA funded element of the Children's Services Department's projected year-end underspend is £308,800 and as noted above, it is proposed to make Transfers to Reserves totalling £99,500 from this forecast favourable variance:

- An increase to the Carlton Reserve of £63,000 (see Line 8);

- The creation of a Transport Reserve (£36,500) to finance an (interim) Transport Manager in 2007/2008 (see Line 10).

7.2.16 The position will continue to be reviewed until the year-end outturn is finalised and it is envisaged that the remaining favourable variance will, at that time, be earmarked to support the Building Schools for the Future development.

Table 2 – Summary of Forecast Outturn Variance

	DSG Funding £000	LA Funding £000	Total Funding £000
Projected Forecast Underspend	(66.5)	(308.8)	(375.3)
Proposed Transfers to Reserves	66.5	99.5	166.0
Net Underspend after Transfers	0	209.3	209.3

7.2.17 Review of Reserves

As part of the Quarter 3 budget monitoring process the department has also reviewed the use of its earmarked reserves and in addition to the items mentioned above a number of rephasings are required to match the latest spending plans. In addition, there are proposals to rationalise some of the reserves.

Information Sharing & Assessment – Initial spending plans on the ISA project required funding from reserves of £62,000 in the current financial year. Expenditure in 2006/2007 is now estimated at £20,000 owing to a rephasing of expenditure into future years. The budget and profile will therefore be adjusted accordingly by £42,000.

A2L – As noted above the current year overspend can be offset by other savings within the Department's DSG funded services. It is envisaged that this reserve of £81,000 will be required in 2007/2008 to fund reconfiguration costs of the A2L service.

Playing for Success – The £25,000 reserve will be rephased into later years to cover future costs of this initiative resulting from a tapering out of Government grants.

Children's Services Department Implementation – No expenditure is anticipated this financial year as interim staffing costs have all been met from staff vacancies and other savings in the department's revenue budget. Assistant Director's are now in post and it is proposed to transfer this reserve to supplement the existing Building Schools for the Future Reserve. The total amount in the reserve is £100,000 of which £50,000 was expected to be required this year.

Broadband Implementation – Latest indications are that no expenditure is envisaged this year and use of the £90,000 reserve will therefore need to be re-profiled into 2007/2008 accordingly.

Special Needs – This £49,000 reserve was created to meet potential additional costs of high cost placements in independent schools or other LA special schools. This funding has not been required in the current year and it is proposed to transfer the balance to the existing Corporate Social Services Reserve which will essentially be used for all “High Cost Children” whose care cannot be funded from the annual departmental revenue budget.

- 7.2.18 The net effect of the above proposals are that the use of reserves in 2006/2007 is now estimated to be £338,000 lower than originally envisaged as summarised at **Appendix F** (Note 1).

8. ADULT AND PUBLIC HEALTH SERVICE PORTFOLIO

8.1 Performance Update for the Period Ending 31st December, 2006

- 8.1.1 Within the Adult and Public Health Service Portfolio there are a total of 24 actions that were identified in the 2006/2007 Corporate Plan. Two have been identified as actions that will be reported annually and one has been identified as below target (See Table APH1 below) and one has been completed. The remaining 20 actions are currently assessed as on or above target for completion by the agreed milestone.

Table APH1 – Actions assessed as being below target

Actions	Milestone	Comment
EH024 – To provide accommodation and services for vulnerable people (including the homeless, disabled, elderly and mentally ill) and to increase the opportunities for residents to live independently in the community	31/03/2007	There are doubts over whether planning consent will be given for this urgently needed project – this could cause significant future funding issues and a loss of reputation. Work is ongoing to retrieve this situation. Progress is good on JRF village.

- 8.1.2 There are 30 Performance Indicators that are within the Corporate Plan for the Adult and Public Health Service Portfolio with 26 being expected to achieve target or already been completed, two being reported annually and the remaining two not expecting to achieve target (see table APH2).

Table APH2 – KPIs assessed as being below target

Key Performance Indicator (KPI)	Target (2005/06)	Outturn	Comment
LAA HC2 – Gap in Hartlepool and England Life Expectancy (Female)	1.9	2.3	Plan to reduce premature deaths from major killers by implementing the CHD NSF and National Cancer Plan
LAA HC6 – Gap in NRA and Hartlepool Females	1.5	1.8	Targeted community based prevention programmes in the NRA continue

8.1.3 Key areas of progress made to date in the Adult and Public Health Portfolio include: -

- To enable people with disabilities to have as much choice, independence and control as possible over their own lives. A bank of PCP providers has been set up and a commissioning process and unit cost for PCP established. The number of people with PCP has increased by 15.
- As strategy has been developed, publicised and implemented to increase the social inclusion for people with mental health issues.

8.2 **Financial Management Position Statement for Period Ending 31st December, 2006**

8.2.1 Details of Adult & Public Health Services actual expenditure and expected expenditure as at 31st December, 2006, are shown at **Appendix G**.

8.2.2 In overall terms actual expenditure amounts to £14,304,100, compared to anticipated expenditure of £14,331,400, resulting in a current favourable variance of £27,300. The projected outturn is £21,535,300, compared to the latest budget of £21,800,600, resulting in a forecast favourable variance of £265,300.

8.2.3 The anticipated expenditure includes the 2006/2007 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these reserves is provided at **Appendix G** (Note 1).

8.2.4 The main items to bring to Portfolio Holder's attention are: -

Line 1: Adult Education

Current Variance: Nil

Forecast Variance: Nil

The Adult Education Service is currently undertaking a staffing restructure. During this period of change committed staffing costs are being maintained. This combined with an extended timescale

for the restructure has led to an overspend on the staffing budget for the 2005/2006 Academic Year. These increased costs will be funded from the main Adult Education Reserve.

There have also been additional costs relating to the provision of externally delivered courses to fulfil the contract requirements with the Learning Skills Council. These additional costs will be funded from the Special Project Reserve as planned.

Line 3: Home Care

Current Variance: £79,600 Favourable

Forecast Variance: £125,000 Favourable

The reconfiguration of the service and the development of alternative services to assist people to live at home have contributed to this favourable variance. It will be offset by expenditure in those alternative services e.g. support to people with sensory loss and associated disabilities who are awaiting assessments for specialist aids and adaptations.

Line 4: Learning Disability

Current Variance: £68,000 Adverse

Forecast Variance: £100,000 Adverse

This current adverse variance results from increased costs relating to direct payments and residential placement costs, creating a £48,000 adverse variance.

However, residents' care income is higher than anticipated by £51,000 and a recovery of overpayments totals £24,000.

There is an adverse variance on employee costs of £30,000 mainly owing to the employment of an agency worker and transport costs are £54,000 higher than anticipated. The forecast variance reflects continued additional costs in transport but a cessation of agency staffing.

Line 7: Older People Purchasing

Current Variance: £227,800 Favourable

Forecast Variance: £450,000 Favourable

The current favourable variance results from additional income received from house sales and an increasing trend in income from service users who pay for the full amount of their care, (£250,000 to date rising to an anticipated £300,000 at year end). There is also a managed underspend created from a reduction in placements to residential care, to reinvest in community based services this year and next. The department has committed and funded those community based services which has reduced the managed underspend from £400,000 last quarter to £150,000.

This follows the departmental strategy to provide more community based services in line with Government initiatives.

The forecast managed underspend of £400,000 in Quarter 2 has reduced to £150,000 as there has been a significant increase in the number of short term intermediate care beds commissioned that have been used to support early discharge from hospital and to avoid inappropriate hospital admission (£70,000). This increase is partly owing to the reconfiguration of hospital services, which has impacted on increasing the early discharge of patients and partly the increase in intermediate care which is expected as part of the winter pressures within the older peoples' population. There also has been significant expenditure in the last quarter to fund additional care and support needs by the use of Direct Payments (£130,000), resulting in reducing the forecast managed underspend.

In accordance with the Council's Financial Procedure Rules a transfer of resources from revenue to capital of £65,000 may be made as a contribution towards the Joseph Rowntree Extra Care Housing for Older People and has been reflected in the forecast figures.

Additional net income received of £154,000 may be transferred to support the overall budget position. The balance of the variance (£287,000) would offset forecast adverse variances elsewhere in Adult & Community Services.

Line 7: Physical Disabilities

Current Variance: £67,100 Adverse

Forecast Variance: £85,000 Adverse

The current adverse variance relates to expenditure on Direct Payments, personal care packages and high transport costs. The pressures are expected to continue which is reflected in the adverse forecast outturn.

Line 8: Sensory Loss

Current Variance: £38,800 Adverse

Forecast Variance: £40,000 Adverse

The adverse variance reported relates to additional expenditure on agency staff employed to cover a senior officer's secondment and interpreter fees. The adverse position is not anticipated to increase at outturn.

Line 10: Support Services

Current Variance: £219,400 Adverse

Forecast Variance: £220,000 Adverse

The current adverse variance is the result of the costs of £54,000 for recruitment and advertising for two Assistant Director posts, and one-off cost totalling £14,000, resulting from a long term sickness absence, £55,000 on IT equipment and £40,000 on other non-staff expenses and £14,000 on premises costs at Briarfields.

It is not expected that the variance will increase.

Line 12: Consumer Services

Current Variance: £98,500 Favourable

Forecast Variance: £70,000 Favourable

The favourable variance is owing to higher than expected license fee which income covers several years. Some of this income will be treated as income in advance to fund expenditure in future years. Further detailed work is required to determine how much of this income should be carried forward to future years. The projected outturn position reflects the current best estimate of income relating to the current financial year.

9. FINANCE PORTFOLIO

9.1 Performance Update for the Period Ending 31st December, 2006

9.1.1 Within the Finance Portfolios there are a total of 16 actions that were identified in the 2006/2007 Corporate Plan. Overall performance very good with all actions either being completed or on target to be completed.

9.1.2 There are 3 LAA indicators within the Corporate Plan for the Finance Portfolio all of which are either above or on target. These will continue to be monitored throughout the year.

9.1.3 Key areas of progress made to date in the Finance Portfolios include: -

- Risk Management has now become part of the day to day working of the council and departments are continuing to look at ways of reducing risks on a regular basis.

9.2 Financial Management Position Statement for Period Ending 31st December, 2006

9.2.1 Details of Finance's actual expenditure and anticipated expenditure as at 31st December, 2006, are shown at **Appendix H.**

- 9.2.2 In overall terms actual expenditure amounts to £4,241,200, compared to anticipated expenditure of £4,093,000, resulting in a current favourable variance of £148,200. The projected outturn is £318,700, compared to the latest budget of £541,300, resulting in a forecast favourable variance of £222,600.
- 9.2.3 The anticipated expenditure includes the 2006/2007 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these Reserves is provided at **Appendix H** (Note 1).
- 9.2.4 The favourable variances shown at Appendix H arise from temporary staff shortages that have produced one-off savings. The majority of these savings are expected to be used to fund agency costs to maintain service levels in 2006/2007. As previously reported the remaining resources will be earmarked to meet the cost of implementing improved IT systems within Internal Audit which will secure an ongoing revenue saving and to meet restructuring costs within Finance and Corporate Strategy which will also produce ongoing revenue savings.
- 9.2.5 The main item to bring to Portfolio Holder's attention is: -

Line 9: Miscellaneous**Current Variance: £16,100 Adverse****Forecast Variance: £52,600 Favourable**

The forecast favourable variance arises from the achievement of better than expected benefit subsidy income.

10. PERFORMANCE MANAGEMENT PORTFOLIO**10.1 Performance Update for the Period Ending 31st December, 2006**

- 10.1.1 Within the Performance Management Portfolio there are a total of 61 actions that were identified in the 2006/2007 Corporate Plan eight of which have been completed. Overall performance is good, with 86% (51) of the actions having been assessed as being on or above target for completion by the agreed milestone. A total of 9 actions (12%) have been assessed as being below target and as such is unlikely to be achieved by the milestone. One actions will be reported annually. Table PM1 below details these actions, along with an explanation for the delay as well as any remedial action planned.

Table PM1 – actions assessed as being below target

Actions	Milestone	Comment
OD090 – Review of ICT Strategy	30/11/2006	Delayed due to EDRMS project consideration as it is likely that this may impact on

		the ICT strategy review
OD091 – Implement phased programme to modernise infrastructure	31/03/2007	The costs of this are considered at this stage to be prohibitive. Alternatives are currently being considered.
SC017 – Develop and agree corporate Access Strategy and access to buildings, services and information policies/statements	31/12/2006	Initial draft developed and due to be considered by Diversity Steering Group in Jan 2007
OD053 – Manage Employee Survey	30/04/2006 and ongoing	Likely to be delayed due to Pay and Grading and Single Status Work
OD069 – Complete job evaluation	31/12/2006	Small number of jobs not evaluated due to absences vacancies etc. Need to re-evaluate where jobs have changed since originally evaluated.
OD071 – Implement revised pay and grading structure	31/03/2007	Delays in completing evaluations and moderation process will result in new pay and grading structure being delayed until June 2007 at the earliest.
OD072 – Harmonise terms and conditions	31/10/2006	Harmonising terms and conditions is an integral part of developing a revised pay and grading structure (which will not be achieved until June 2007 at the earliest). Both will be undertaken together.
OD087 – Review on/off contract spend	30/06/2006	Contract requirements put together and shared with Tees Valley Authorities as centre of excellence to promote opportunities for collaboration. Contract reference number control agreed by CMT
OD088 – E-procurement implementation (via FMS)	31/10/2006	E-series of FMS (Phase 2) reprogrammed. Scoping exercise has commenced with Northgate and iB solutions. FMS phase 3 (Job Costing) implemented.

10.1.2 There are four KPI's that are within the Corporate Plan 2 of which have achieved target and 2 which are on track to achieve target by the milestone date.

10.1.3 It has been requested that a number of actions within the Performance Management Portfolio are given new milestone dates due to various reason. These new dates must be approved by the relevant Portfolio Holder, see table PH3 below :

Table PM3 – actions with proposed new milestone dates

Actions	Original Milestone	Proposed milestone	Comments
OD046 – Review Communications with councillors	30/06/2006	31/03/2007	
OD049 – Review Council's current advertising procedures	31/10/2006	31/03/2007	Needs amending to carry out next stage of review
OD009 – BC plans in place and exercised for all depts and corporate issues such as flu pandemic	30/09/2006	30/06/2007	Strategy has been revised following review of preliminary plan. A revised strategy is now being developed to identify detailed service ranked in priority order.

10.1.4 Key areas of progress made to date in the Performance Management Portfolios include: -

- All of the BVPI satisfaction survey has now been planned with the general, libraries and planning survey being completed. The second phase of the benefits survey is due to begin in January, 2007.
- The Way Forward programme with regards to the restructuring of the Authority has been implemented and was completed by the target dates.
- An integrated Capital Strategy and Asset Management Plan has been formulated leading to a significant three year capital programme for 2007/2008.

10.2 **Financial Management Position Statement for Period Ending 31st December, 2006**

10.2.1 Details of Performance Management's actual expenditure and anticipated expenditure as at 31st December, 2006, are shown at **Appendix I**.

10.2.2 In overall terms actual expenditure amounts to £8,377,100, compared to anticipated expenditure of £8,125,300 resulting in a current adverse variance of £251,800. The projected outturn is £4,164,800, compared to the latest budget of £3,995,100, resulting in a forecast adverse variance of £169,700.

10.2.3 The anticipated expenditure includes the 2006/2007 approved budget along with the planned use of Departmental Reserves created in previous years. A breakdown of these Reserves is provided at **Appendix I** (Note 1).

10.2.4 The main items to bring to Portfolio Holder's attention are:-

Line 3: Corporate Strategy of Public Consultation

Current Variance: £56,800 Favourable

Forecast Variance: £66,800 Favourable

As mentioned previously this forecast variance arises mainly from temporary staff shortages in excess of plans that have produced one-off savings. Other savings are owing to fewer than expected surveys and consultations carried out to date and a temporary reduction in supplies and services costs.

Line 5: Other Office Services

Current Variance: £39,000 Adverse

Forecast Variance: £50,000 Adverse

As reported previously the current adverse variance is the result of reduced fee income from Land Charges. The forecast adverse variance has now been revised down from the previously reported figure of £65,700 to £50,000.

This area has been highlighted as a corporate pressure for the 2007/2008 budget.

Line 8: Human Resources

Current Variance: £99,200 Adverse

Forecast Variance: £96,500 Adverse

The majority of this adverse variance is owing to the reduction in recharge income following the cancellation of two Service Level Agreements at the beginning of the year. In addition to this there have been additional CRB checking requirements placed upon the Council that have resulted in a pressure on staffing.

These items have been identified as pressures in the 2007/08 budget proposals.

Line 9: Training & Equality

Current Variance: £12,100 Favourable

Forecast Variance: £19,000 Favourable

The current and forecast favourable variances are the result of the National Graduate Trainee gaining permanent employment within the Council during the year. This expenditure is funded by a ring-fenced reserve and it is proposed to carry forward the remaining reserve into next year to fund the 2007/2008 intake and the recruitment and training costs associated with this.

Line 12: Property Services and Procurement**Current Variance: £92,800 Adverse****Forecast Variance: £121,000 Adverse**

Due to continued staffing difficulties this service is becoming more reliant on the employment of Agency staff to fulfil its obligations. This is a very inefficient way to provide the required service and every attempt is being made to redress the position. The position is being carefully monitored and attempts are being made to directly employ the required expertise. In addition, the fee income generated by the department has been less than expected with an increase in corporate work over and above the existing budget provision.

Line 14: Building Cleaning**Current Variance: £20,900 Adverse****Forecast Variance: £26,100 Adverse**

Previously reported financial pressure on this service has been compounded by requirements to provide cleaning services at the Middleton Grange offices. Additional funding is being sought for these areas and without this funding this account will remain overspent at the year end.

Line 15: Neighbourhood Services Internal Works**Current Variance: Nil****Forecast Variance: £76,000 Favourable**

The forecast variance reflects the predictions on the outturn position after the proposed release of a £76,000 reserve accumulated from Neighbourhood Services Internal Works surpluses in previous years. This is to assist in partly offsetting adverse variances in other parts of the Neighbourhood Services Department. It must be emphasised, however, that the trading results can be extremely volatile. Any variation in the anticipated level of work received by the Neighbourhood Services Internal Works over the final three months of the year could have a dramatic negative impact on this position.

11. CONCLUSIONS

- 11.1 The report details progress towards achieving the Corporate Plan objectives and progress against the Council's own 2006/2007 Revenue Budget for the period to 31st December, 2006.
- 11.2 Neighbourhood Services Department are currently projecting a £345,100 overspend at the end of the financial year. It is suggested that the following strategy be adapted to address this issue:

- i) In the event that the final corporate underspends exceeds the previously committed figure, then the unallocated resources should be earmarked to meet the Neighbourhood Services underspend;
- ii) In the event that additional corporate resources are not available the Neighbourhood Services overspend will need to be funded pro-rata from departmental underspends.

12 RECOMMENDATIONS

12.1 It is recommended that Members: -

- Note the current position with regard to performance and revenue monitoring;
- Take any decisions necessary to address the performance or financial risks identified;
- Performance Management and Regeneration, Liveability and Housing Portfolio Holder agree to the revised milestone dates for the three actions identified within the report (Paragraphs 5.1.4 and 10.1.3).

High Risk Budget Areas by Department

Best Value Unit / Best Value Sub Unit	2006/2007 Budget £'000	Variance to 31 December 2006 (Favourable) / Adverse £'000	Forecast Variance 2006/07 (Favourable) / Adverse £'000
Adult & Community Services			
Older People Purchasing	6,419.2	(360.8)	(550.0)
Learning Disabilities Purchasing	967.8	(121.4)	0.0
Occupational Therapy Team	91.0	(22.4)	0.0
Arts, Events & Museums, Sports & Recreation	1,508.4	0.6	0.0
Building Maintenance	285.3	32.2	30.0
Foreshore	119.1	(9.7)	(9.0)
Total	9,390.8	(481.5)	(529.0)
Regeneration & Planning			
Planning Building Control	180.9	22.9	0.0
Economic Development	1,180.9	(10.7)	0.0
Total	1,180.9	(10.7)	0.0
Neighbourhood Services			
Engineers, Traffic & Road Safety, Highways, Highways & Transportation & Transporation	4,903.6	70.7	79.9
Housing Services	620.0	(2.5)	(5.0)
Property Services	293.3	43.0	85.0
Total	5,816.9	111.2	159.9
Corporate Budgets			
Centralised Estimates	5,816.3	(375.0)	(710.7)
Total	5,816.3	(375.0)	(710.7)
Children's Services			
Individual School Budget	48,872.6	0.0	0.0
Individual Pupils Budget for SEN	1,092.4	0.0	0.0
Home to School Transport Costs	1,485.0	(72.9)	(110.0)
Broadband Contract	278.7	0.0	0.0
Independent School Fees	245.6	0.0	(20.9)
Extra District Charges/Income	443.7	0.0	34.4
Youth Service Staffing	702.7	(50.0)	(50.0)
Independent Foster Placements	1,000.0	0.0	0.0
Total	54,120.7	(122.9)	(146.5)

Appendix B

SUMMARY - REVENUE FINANCIAL MANAGEMENT STATEMENT TO 31st DECEMBER 2006

Line No	Actual Position 31/12/06			Description of Expenditure	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/07 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
				TABLE 1 - Departmental Expenditure			
1	18,779.9	18,726.7	(53.2)	Adult & Community Services	27,003.5	26,849.5	(154.0)
2	11,829.6	11,239.6	(590.0)	Childrens Services (excl Schools)	20,839.0	20,463.7	(375.3)
3	15,346.3	15,536.7	190.4	Neighbourhood Services	14,369.3	14,714.4	345.1
4	3,440.0	3,010.4	(429.6)	Regeneration & Planning	3,788.5	3,568.5	(220.0)
5	(1,422.4)	(1,432.5)	(10.1)	Chief Executives	4,318.6	4,194.6	(124.0)
6	47,973.4	47,080.9	(892.5)	Total Departmental Expenditure	70,318.9	69,790.7	(528.2)
				TABLE 2 - Corporate Costs			
				EXTERNAL REQUIREMENTS			
7	81.5	82.1	0.6	Probation and Coroner's Court	168.0	168.0	0.0
8	30.7	30.3	(0.4)	North Eastern Sea Fisheries Precept	30.7	30.3	(0.4)
9	43.0	42.5	(0.5)	Land Drainage Levy	43.0	42.5	(0.5)
10	(41.0)	(41.0)	0.0	Discretionary Rates	31.0	31.0	0.0
11	15.0	14.5	(0.5)	Parish Precepts	15.0	14.5	(0.5)
				CORPORATE COMMITMENTS			
12	1,604.4	1,603.7	(0.7)	Northgate Information Partnership	2,426.0	2,426.0	0.0
13	239.2	247.5	8.3	Audit Fees	319.0	331.5	12.5
14	1,766.2	1,016.2	(750.0)	Centralised Estimates	5,740.5	4,577.5	(1,163.0)
15	0.0	0.0	0.0	Insurances	203.0	203.0	0.0
16	171.0	62.1	(108.9)	Designated & Custodian Authority Costs	171.0	171.0	0.0
17	328.0	247.5	(80.5)	Pensions	437.0	380.0	(57.0)
18	246.0	242.4	(3.6)	Members' Allowances	328.0	323.3	(4.7)
19	53.3	50.1	(3.2)	Mayoral Allowance	71.0	66.7	(4.3)
20	0.0	0.0	0.0	Archive Service	7.0	7.0	0.0
21	241.8	241.8	0.0	Emergency Planning	86.0	86.0	0.0
				NEW PRESSURES			
23	0.0	0.0	0.0	Increased Employers Pension Contributions	(150.0)	(150.0)	0.0
	0.0	0.0	0.0	Contribution from Pensions Contributions Reserve	0.0	(280.0)	(280.0)
24	0.0	0.0	0.0	Contingency - Loss Of External Support	540.0	540.0	0.0
25	10.5	2.5	(8.0)	Contingency-General	21.0	21.0	0.0
26	0.0	0.0	0.0	Planning Delivery Grant Termination	150.0	0.0	(150.0)
27	45.0	45.0	0.0	Tees Valley Regeneration Contribution	50.0	45.0	(5.0)
28	0.0	0.0	0.0	HMS Trincomalee Support	53.0	53.0	0.0
29	0.0	0.0	0.0	Supporting People	77.9	77.9	0.0
30	0.0	0.0	0.0	Extension of Recycling Scheme	110.0	110.0	0.0
31	0.0	0.0	0.0	Strategic Contingency	2,004.0	1,749.0	(255.0)
32	0.0	0.0	0.0	Final Council Commitments	245.0	200.0	(45.0)
33	0.0	0.0	0.0	Benefit Subsidy	(150.0)	0.0	150.0
34	0.0	0.0	0.0	Procurement & Contact Centre Savings	(400.0)	(400.0)	0.0
35	3.7	4.9	1.2	Secure Remand-Corporate Contribution	5.0	5.0	0.0
36	0.0	15.5	15.5	Tall Ships Preparation	0.0	15.5	15.5
37	0.0	0.9	0.9	Teesside Airport Study	0.0	0.9	0.9
	(6.1)	(6.1)	0.0	NNDR Charges	2.9	2.9	0.0
38	0.0	6.5	6.5	Advice	0.0	6.5	6.5
39	4,832.2	3,908.9	(923.3)	Total Corporate Costs	12,635.0	10,855.0	(1,780.0)
				Contributions From Reserves			
40	0.0	0.0	0.0	RTB Income Reserve	(1,000.0)	(1,000.0)	0.0
41	0.0	0.0	0.0	Fundamental Budget Review Reserve	(1,000.0)	(1,000.0)	0.0
42	0.0	0.0	0.0	Contribution to/(from) 2003/04 Budget Support Fund	(96.0)	(96.0)	0.0
43	0.0	0.0	0.0	Contribution to/(from) 2005/06 Budget Support Fund	(400.0)	(400.0)	0.0
44	0.0	0.0	0.0	Contribution to/(from) 2007/08 Budget Support Fund	489.0	489.0	0.0
45	0.0	0.0	0.0	Population Grant Adjustment-2005/2006 & 2006/2007	(645.0)	(645.0)	0.0
46	0.0	0.0	0.0	Stock Transfer Reserve	(200.0)	(200.0)	0.0
47	52,805.6	50,989.8	(1,815.8)	Total General Fund Expenditure	80,101.9	77,793.7	(2,308.2)

SUMMARY OF POTENTIAL SAVINGS AND REDUCTIONS IN SERVICE LEVELS - ADULT AND COMMUNITY SERVICES

Appendix C

Budget Heading	Description of Efficiency (E) /Saving (S)	Value of efficiency/ saving £'000	Actual to Date £'000	Projected Outturn £'000	Comment
Support Services - departmental non-pay heads	S - Deletion of budgets for consultancy support, commissioning & other non-pay heads	48	26	26	Saving on professional consultants not achievable
Support Services - interdepartmental recharges	S - Deletion of budget for additional work from central departments (HR)	28	28	28	Achieved
Community Services - Arts events and Museums	E - Increase income from Borough Hall bar	30	4	6	This target is not achievable
Community Services - Libraries	E - Absorb inflation in book prices using regional procurement developments	10	10	10	Achieved
Community Services - Sports & Leisure	E - Reduce staffing in Health Suite at Mill House Leisure Centre	22	17	22	on target
Community Services - Arts, Events & Museums	S - Increase hire rates for Town Hall Theatre and Borough Hall Theatre	15	6	8	This target is not achievable
Community Services - Parks & Countryside	S - Close Summerhill (toilets) at 5.30 on summer evenings	5	4	5	on target
	S - Tree Maintenance Contract	10	8	10	on target
Adult Social Care - Learning Disability	S - Increase charges to service users	5	5	5	Achieved
- Older people	Day care meals etc	10	8	10	on target
- Older people	S - Home care charges	70	26	26	This target is not achievable
Adult Social Care	S - Negotiation of new Supporting People contracts across Adult Social Care	260	260	260	Achieved
Adult Social Care	S - Reductions linked to higher eligibility threshold				
- Assessment and care Management	Equipment for disabilities	60	45	60	on target
- Mental health	Preventative services and advocacy	20	10	20	on target
- Older people	Mobile Meals Service subsidy	25	19	25	on target
- Older people	Anchor Community Support	60	60	60	Achieved
- Older people	LD Support Team	60	60	60	Achieved - team disbanded
Adult Social care - Older People	E - Absorb demographic pressure on residential placements and long-term care through intensive intermediate care	240	240	240	Achieved
Adult Social Care - Older people	E - Absorb pressure caused by reduction in Access and Capacity Grant through tighter control of placements and spending.	190	190	190	Achieved
Community Services - Sport and Recreation	S - Close Eldon Grove Leisure Centre and potentially develop enhanced service from Brierton school	27	0	0	Leisure Centre will not be closed this financial year
Community Services Community Support	S - Development Fund	10	8	10	on target
Totals		1,205	1,034	1,081	

Budget Heading	Description of Efficiency (E) /Saving (S)	Value of efficiency/ saving £'000	Actual to Date £'000	Projected Outturn £'000	Comments
Fraud	E - increase in DWP grant income from increase in fraud detection.	15	10	15	Original saving not achievable following changes to grant. Alternative savings identified from within budget heading.
Registrars	S - increase in income and reduction in cost base	18	13	18	These savings are on target to be achieved by the year end.
Corporate Strategy and Dem. services	E - reduction in printing and distribution costs across a range of activities	30	20	30	These savings are on target to be achieved by the year end.
Legal	S - Books & Publications - reduce available budget	2	1	2	These savings are on target to be achieved by the year end.
Legal	S - Increase income by 4% - review range and levels of charging	2.5	0	2.5	These savings are on target to be achieved by the year end.
Legal	S - Give up part surplus from unfilled post	20	15	20	These savings are on target to be achieved by the year end.
Human Resources	S - Reduce Postal service within Civic Centre	17	13	17	These savings are on target to be achieved by the year end.
Workforce Development & Diversity	S - miscellaneous training savings	3	2	3	These savings are on target to be achieved by the year end.
Human Resources	E - Not responding to unsuccessful candidates	2.5	2	2.5	These savings are on target to be achieved by the year end.
Totals		110	76	110	

Budget Heading	Description of Efficiency (E) /Saving (S)	Impact of efficiency/saving on staffing levels	Value of efficiency/ saving £'000	Actual to Date £'000	Projected Outturn £'000	Comments
Strategic Management	S - Restructure:Finance Officer PO1 (vacant)	One post: Finance Officer PO1 (vacant)	32	32	32	Post Deleted from Structure - Saving Achieved
Strategic Management	S - Restructure:Review Officer PO1 (part post coded here)	One post: Review Officer PO1 (part post coded here - rest coded later)	28	28	28	Post Deleted from Structure - Saving Achieved
Strategic Management	E - Restructure:Part Review Officer PO1 (vacant post)	Part Review Officer PO1 (vacant post)	4	4	4	Post Deleted from Structure - Saving Achieved
Strategic Management	E - Planning & service Integration 0.5 vacant post	0.5 vacant post	16	16	16	Post Deleted from Structure - Saving Achieved
Strategic Management	S - Restructure - staff	None	43	43	43	Currently on Target to achieve savings on Supplies & Services budgets
Other school-related expenditure	S - Existing premature retirement costs	None	60	60	60	Current year charges paid re: former Cleveland CC staff in line with budget provision
Other school-related expenditure	S - New premature retirement costs	None	50	0	-27	Additional unplanned costs were incurred during Q3 and an overspend of £77,000 has been projected
Strategic Management	S - Central Administration	None	20	15	20	Currently on Target to achieve savings on Supplies & Services budgets
Access	E - Asset Management Planning	None in Children's Services - potential impact on Property Services	20	15	20	Currently on Target to achieve savings on Supplies & Services budgets
Strategic Management	E - ICT Development	Systems Support Officer Scale 5 x 1 (vacancy)	22	22	22	Post Deleted from Structure - Saving Achieved
Strategic Management	S - ICT Development	Principal Systems Support Officer PO1 (vacant post)	33	33	33	Post Deleted from Structure - Saving Achieved
Central support costs	S - Unspecified	Possible deletion of post	22	18	22	Currently on Target to achieve savings on Supplies & Services budgets
Residential and Foster Placements	S	None	450	238	380	Volatile Budget - Current Year net overspend of £70,000 projected
Totals			800	524	653	

Budget Heading	Description of Efficiency (E) /Saving (S)	Value of efficiency/ saving £'000	Actual to Date £'000	Projected Outturn £'000	Comments
Car Parking	S - There could be a backlash over the introduction of Sunday charges	120	100	120	On line to achieve target
Departmental Overspend	S - Precedent in dealing with overspends	51	51	51	Achieved
DSO	S - Trading account prices will rise a very small amount across the board putting small pressure on client and trading budgets	130	80	130	Will increase in run in towards year end when higher volumes of work come through the Trading accounts
Environmental Action	S - There could be public criticism over higher levels of enforcement	30	23	30	On target
Public Protection fee income (Income Increase)	S - There will be some public and member criticism. Portfolio Holder may not support this	20	15	20	On target
Facilities Management	E - May be difficult to gain acceptance to change of approach to delivery of security	40	9	15	Delay in transfer of post has made the saving impossible to achieve. Agreement on security contract assists. Full benefit will not accrue until 2007/8
Transport, Mileage and Subsistence	E - Could be difficult to achieve and there may be staff resistance	20	15	20	On target
Reduction in Admin and Support	S - Corporate Management may suffer. (e.g. IIP support/PM etc)	80	40	80	Efficiencies achieved in trading areas. Identification of efficiencies and alternate savings being undertaken in other areas.
Vehicle Procurement Savings (including short term hire costs)	E - May be difficult to achieve in 2006/07. Reduced costs should be passed onto client budget. Difficult to administer	120	40	120	Proving extremely difficult to quantify. Alternate efficiencies currently being evaluated in fleet.
Reduce Welfare/Community Transport to Budget	E - A difficult and sensitive issue. Would assist trading position. Difficult to reflect in revenue budget	51	51	51	Achieved
Consumer Services - Licensing Act (Administrative)	S - Gaming legislation is to follow	20	0	20	Original saving not achievable. Alternate saving being identified.
NEPO Savings	S - Extend use of NEPO contracts by departments	10	0	10	E Auction deferred to November and new contact until to February 2007
Totals		692	424	667	

Budget Heading	Description of Efficiency (E) /Saving (S)	Value of efficiency/ saving £'000	Actual to Date £'000	Projected Outturn £'000	Comments
Development Control	E - National fee increases introduced on 1.4.05 and relatively high numbers of applications compared with previous years. No increase in processing staff and targets and ODPM expectations met	60	45	60	At present fee income is on target. However it is uncontrollable income depending as it does on the number and size of applications submitted which can vary significantly from month to month.
Landscape Planning	S - Review of charging for the graphics design service	10	0	10	
Community Safety	E - Contribution to mediation service	10	8	10	
Economic Development	S - Contribution to sub regional partnerships	13	0	0	Investigation ongoing for this budget heading. Correction of possible miscodings might allow the projected outturn to be revised and returned to the more favourable position in due course.
Youth Offending	E - Contribution from another local authority to share Youth Offending carer provision	15	11	15	Did not proceed with shared provision as one carer left and was not replaced. Saving will be met from this reduction.
Community Safety	S - Renegotiation of Security Contract	20	5	13	Security Contract was relet in November 2006. Whilst the savings will be greater than anticipated , the later than expected contract start date means that the savings target on this heading in 2006/07 cannot be fully met. Now assuming 5 months savings based on an annual saving of £30k.
Totals		128	69	108	

PORTFOLIO : REGENERATION, LIVEABILITY & HOUSING**Appendix D****REVENUE FINANCIAL MONITORING STATEMENT PERIOD ENDING 31st DECEMBER 2006**

Line No	Actual Position 31/12/06			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	440.2	375.9	(64.3)	Administration	27.9	(52.1)	(80.0)
2	51.0	73.9	22.9	Building Control	180.9	180.9	0.0
3	798.1	720.8	(77.3)	Community Safety	766.4	746.4	(20.0)
4	147.3	163.1	15.8	Community Strategy	227.0	227.0	0.0
5	64.4	74.5	10.1	Development Control	287.4	287.4	0.0
6	76.9	79.3	2.4	Divisional Management	0.0	0.0	0.0
7	210.3	211.3	1.0	Drug Action Team	10.2	10.2	0.0
8	711.8	701.1	(10.7)	Economic Development	1,180.9	1,180.9	0.0
9	266.4	259.5	(6.9)	Landscape Planning & Conservation	319.0	299.0	(20.0)
10	671.4	494.9	(176.5)	Planning Policy & Regeneration	921.3	721.3	(200.0)
11	(45.3)	(28.2)	17.1	Regeneration Staff Savings	(32.4)	(32.4)	0.0
12	394.9	231.7	(163.2)	Youth Offending Service	457.8	387.8	(70.0)
13	(223.7)	(223.7)	0.0	Neighbourhood Element	0.0	0.0	0.0
14	4,336.8	4,359.1	22.3	Environment	6,177.2	6,232.2	55.0
15	205.1	209.7	4.6	Environmental Action	234.8	274.8	40.0
16	122.2	158.6	36.4	Town Care Management	123.0	163.0	40.0
17	608.4	624.8	16.4	Housing Services	620.5	619.4	(1.1)
18	43.2	43.2	0.0	Minor Works	43.2	43.2	0.0
19	(123.8)	(123.8)	0.0	Use of Reserves	(766.1)	(596.1)	170.0
20	8,755.7	8,405.8	(349.9)	TOTAL	10,779.0	10,692.9	(86.1)

Note 1

Note 1 - Analysis of Use of Reserves

Description of Reserve	Projected Outturn Position		
	2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. A	Col. B	Col. C
	£'000	£'000	(C=B-A)
Asylum seekers	(35.0)	(35.0)	0.0
Shuttle Service	(30.0)	(30.0)	0.0
Local Development Framework Studies	(59.0)	(59.0)	0.0
Morrisons Traffic Management Project	(15.0)	(15.0)	0.0
Major Regeneration Project (Victoria Harbour)	(50.0)	0.0	50.0
Contib.towards North Hartlepool Partnership	(50.7)	(50.7)	0.0
Secretary to Divisional Heads Post	(13.3)	(13.3)	0.0
Support Services Information Assistant	(4.7)	(4.7)	0.0
Housing Market Renewal Reserve	(20.0)	(20.0)	0.0
Drugs Action Team Accommodation Reserve	(10.0)	(10.0)	0.0
Conservation Area Appraisal	(15.2)	(15.2)	0.0
Backscanning Project	(70.0)	0.0	70.0
Franking Equipment	(10.7)	(10.7)	0.0
Development Control Monitoring Officer	(20.8)	(20.8)	0.0
Development Control Information Officer	(5.3)	(5.3)	0.0
Urban Policy Staffing	(24.2)	(24.2)	0.0
Youth Offending Service Corporate Reserve	(5.0)	(5.0)	0.0
Housing-Supporting People	(100.0)	(100.0)	0.0
Local Plan/Local Development Framework Studies	(42.0)	(2.0)	40.0
Youth Offending - match for YIP scheme	(75.0)	(75.0)	0.0
Youth Offending - Football Project	(35.0)	(35.0)	0.0
Youth Offending - Careworks System	(22.0)	(22.0)	0.0
Youth Offending - Backscanning	(10.0)	0.0	10.0
Capital Financing Account	(43.2)	(43.2)	0.0
Total Use of Reserves	(766.1)	(596.1)	170.0

PORTFOLIO : CULTURE, LEISURE AND TRANSPORTATION**Appendix E****REVENUE FINANCIAL MONITORING STATEMENT PERIOD ENDING 31st DECEMBER 2006**

Line No	Actual Position 31/12/06			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. B	Col. C	Col. D (D=C-B)		Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000	Col. E	£'000	£'000	£'000
1	14.3	12.3	(2.0)	Allotments	55.7	55.7	0.0
2	86.6	67.2	(19.4)	Archaeology Services	28.6	28.6	0.0
3	881.4	916.7	35.3	Arts, Events & Museums	1,123.8	1,123.8	0.0
4	657.0	616.0	(41.0)	Community Support	759.4	754.4	(5.0)
5	292.1	257.0	(35.1)	Countryside	395.6	395.6	0.0
6	136.8	128.5	(8.3)	Foreshore	164.1	155.1	(9.0)
7	1,374.6	1,334.4	(40.2)	Libraries	1,831.2	1,831.2	0.0
8	206.5	238.7	32.2	Maintenance	285.3	315.3	30.0
9	27.1	44.5	17.4	Parks	463.8	463.8	0.0
10	319.1	320.6	1.5	Recharge Accounts	5.0	5.0	0.0
11	846.7	804.4	(42.3)	Sports & Physical Recreation	1,438.2	1,463.2	25.0
12	228.7	224.8	(3.9)	Engineers	365.4	365.4	0.0
13	494.0	534.5	40.5	Highways and Transportation	516.7	516.7	0.0
14	1,871.9	1,871.9	0.0	Highways Services	3,450.2	3,448.7	(1.5)
15	(97.3)	(60.9)	36.4	Traffic & Road Safety	(414.3)	(322.4)	91.9
16	1,685.0	1,685.0	0.0	Transport Services	1,936.2	2,056.2	120.0
17	0.0	0.0	0.0	Use of Reserves	(41.0)	(41.0)	0.0
18	9,024.5	8,995.6	(28.9)	TOTAL	12,363.9	12,615.3	251.4

Note 1

Note 1 - Analysis of Use of Reserves

Description of Reserve	Projected Outturn Position		
	2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. A	Col. B	Col. C (C=B-A)
	£'000	£'000	£'000
Maritime Festival	(20.0)	(20.0)	0.0
Seaton Community Centre	0.0	0.0	0.0
Action for Jobs (Sports)	(2.0)	(2.0)	0.0
Countryside	(14.0)	(14.0)	0.0
Sports Awards	(3.0)	(3.0)	0.0
Foreshore	(2.0)	(2.0)	0.0
Total Use of Reserves	(41.0)	(41.0)	0.0

PORTFOLIO : CHILDREN'S SERVICES**Appendix F****REVENUE FINANCIAL MONITORING STATEMENT PERIOD ENDING 31st DECEMBER 2006**

Line No	Actual Position 31/12/06			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	1,667.6	1,499.3	(168.3)	Access to Education	2,563.0	2,385.2	(177.8)
2	23.7	23.7	0.0	Central Support Services	909.0	909.0	0.0
3	6,089.1	6,119.2	30.1	Children, Young People and Families Support	8,787.5	8,857.7	70.2
4	32.4	(1.5)	(33.9)	Early Years	522.0	484.5	(37.5)
5	53.5	52.3	(1.2)	Information, Sharing & Assessment	136.3	94.3	(42.0)
6	712.5	514.4	(198.1)	Other School Related Expenditure	1,542.7	1,430.1	(112.6)
7	117.4	127.6	10.2	Play & Care of Children	160.4	161.6	1.2
8	188.4	5.0	(183.4)	Raising Educational Achievement	1,307.1	1,155.6	(151.5)
9	1,565.2	1,711.8	146.6	Special Educational Needs	3,130.4	3,141.0	10.6
10	586.5	481.0	(105.5)	Strategic Management	1,055.0	812.5	(242.5)
11	226.3	197.4	(28.9)	Youth Justice	302.6	274.6	(28.0)
12	609.2	551.6	(57.6)	Youth Service	1,002.0	998.6	(3.4)
13	(42.2)	(42.2)	0.0	Use of Reserves	(579.0)	(241.0)	338.0
14	11,829.6	11,239.6	(590.0)	TOTAL	20,839.0	20,463.7	(375.3)

Note 1

MEMO ITEMS

15	467.3	429.5	(37.8)	Sure Start North	0.0	0.0	0.0
16	494.5	424.1	(70.4)	Sure Start South	0.0	0.0	0.0
17	437.6	398.8	(38.8)	Sure Start Central	0.0	0.0	0.0
18	321.9	314.6	(7.3)	Children's Fund	0.0	0.0	0.0
19	1,721.3	1,567.0	(154.3)	TOTAL	0.0	0.0	0.0

Note 1 - Analysis of Use of Reserves

Description of Reserve	Projected Outturn Position		
	2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. A	Col. B	Col. C (C=B-A)
	£'000	£'000	£'000
Building Schools for the Future	(60.0)	(60.0)	0.0
Special Educational Needs Provision	(49.0)	0.0	49.0
Information Sharing & Assessment	(62.0)	(20.0)	42.0
Play & Care	(9.0)	(8.0)	1.0
Children's Services Implementation	(50.0)	0.0	50.0
Staff Accommodation	(1.0)	(1.0)	0.0
Playing for Success	(27.0)	(2.0)	25.0
A2L Reserve	(81.0)	0.0	81.0
Early Years	(150.0)	(150.0)	0.0
Broadband Implementation	(90.0)	0.0	90.0
Total Use of Reserves	(579.0)	(241.0)	338.0

PORTFOLIO : ADULT & PUBLIC HEALTH SERVICE**Appendix G****REVENUE FINANCIAL MONITORING STATEMENT PERIOD ENDING 31st DECEMBER 2006**

Line No	Actual Position 31/12/06			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (F=E-D)	Col. C	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	35.8	35.8	0.0	Adult Education	104.7	104.7	0.0
2	2,565.7	2,542.8	(22.9)	Assessment and Care Management	3,586.9	3,556.9	(30.0)
3	1,063.3	983.7	(79.6)	Home Care	1,490.1	1,365.1	(125.0)
4	2,830.5	2,898.5	68.0	Learning Disability	4,262.8	4,362.8	100.0
5	889.5	883.4	(6.1)	Mental Health	1,157.2	1,122.2	(35.0)
6	4,331.4	4,103.6	(227.8)	Older People - Purchasing	6,839.9	6,389.9	(450.0)
7	1,066.6	1,133.7	67.1	Physical Disability	1,486.5	1,571.5	85.0
8	249.7	288.5	38.8	Sensory Loss	298.0	338.0	40.0
9	130.5	122.3	(8.2)	Service Strategy & Regulation	174.0	174.0	0.0
10	802.4	1,021.8	219.4	Support Services	1,426.4	1,646.4	220.0
11	(606.5)	(606.5)	0.0	Supporting People	28.6	28.6	0.0
12	725.3	626.8	(98.5)	Consumer Services	948.3	878.0	(70.3)
13	274.9	297.4	22.5	Environmental Standards	366.9	366.9	0.0
14	(27.8)	(27.8)	0.0	Use of Reserves	(369.7)	(369.7)	0.00
15	14,331.4	14,304.1	(27.3)	TOTAL	21,800.6	21,535.3	(265.3)

Note 1

Note 1 - Analysis of Use of Reserves

Description of Reserve	Projected Outturn Position		
	2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. A	Col. B	Col. C (C=B-A)
	£'000	£'000	£'000
Licensing	(13.0)	(13.0)	0.0
Local Air Pollution	(12.0)	(12.0)	0.0
Trading Standards Student Bursary	(12.0)	(12.0)	0.0
Homecare	(10.0)	(10.0)	0.0
ERVS Costs	(144.0)	(144.0)	0.0
Bad Debt Provision	(74.0)	(74.0)	0.0
Adult Ed Pressures	(54.7)	(54.7)	0.0
Adult Ed Projects	(50.0)	(50.0)	0.0
Total Use of Reserves	(369.7)	(369.7)	0.0

PORTFOLIO : FINANCE**Appendix H****REVENUE FINANCIAL MONITORING STATEMENT PERIOD ENDING 31st DECEMBER 2006**

Line No	Actual Position 31/12/06			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	798.4	748.4	(50.0)	Accountancy	839.3	789.3	(50.0)
2	(17.2)	(41.9)	(24.7)	Benefits	63.9	28.9	(35.0)
3	284.1	255.3	(28.8)	Internal Audit	364.7	334.7	(30.0)
4	235.5	235.5	0.0	Payments Unit	213.8	213.8	0.0
5	766.0	746.1	(19.9)	Revenues	1,110.4	1,095.4	(15.0)
6	152.0	149.9	(2.1)	Fraud	194.5	194.5	0.0
7	313.8	270.0	(43.8)	R & B Central	25.1	(14.9)	(40.0)
8	448.5	447.2	(1.3)	Legal Services	502.8	502.8	0.0
9	(6,880.1)	(6,864.0)	16.1	Miscellaneous	(2,470.6)	(2,523.2)	(52.6)
10	(194.0)	(187.7)	6.3	Use of Reserves	(302.6)	(302.6)	0.0
11	(4,093.0)	(4,241.2)	(148.2)	TOTAL	541.3	318.7	(222.6)

Note 1

Note 1 - Analysis of Use of Reserves

Description of Reserve	Projected Outturn Position		
	2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. A	Col. B	Col. C (C=B-A)
	£'000	£'000	£'000
Legal Staffing Reserve	(20.0)	(20.0)	0.0
Audit ERVS Costs	(60.0)	(60.0)	0.0
Benefits Agency Staff	(40.0)	(40.0)	0.0
Cashiers Payment Card Implementation	(20.0)	(20.0)	0.0
TWF Q Learning Management Development	(34.0)	(34.0)	0.0
TWF Business Process Re-Engineering	(128.6)	(128.6)	0.0
Total Use of Reserves	(302.6)	(302.6)	0.0

PORTFOLIO : PERFORMANCE MANAGEMENT**Appendix I****REVENUE FINANCIAL MONITORING STATEMENT PERIOD ENDING 31st DECEMBER 2006**

Line No	Actual Position 31/12/06			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B) £'000	Col. E	Col. F £'000	Col. G £'000	Col. H (H=G-F) £'000
1	95.7	124.2	28.5	Public Relations	135.1	136.4	1.3
2	162.0	159.9	(2.1)	Democratic Services	220.1	222.7	2.6
3	484.2	427.4	(56.8)	Corporate Strategy & Public Consultation	821.6	754.8	(66.8)
4	108.4	118.2	9.8	Support To Members	173.9	184.9	11.0
5	(100.2)	(61.2)	39.0	Other Office Services	(132.9)	(82.9)	50.0
6	86.5	86.5	0.0	Printing	109.3	109.3	0.0
7	67.4	67.4	0.0	Registration Services	126.2	126.2	0.0
8	491.7	590.9	99.2	Human Resources	804.3	900.8	96.5
9	242.7	230.6	(12.1)	Training & Equality	317.4	298.4	(19.0)
10	266.6	266.2	(0.4)	Contact Centre	394.2	394.2	0.0
11	993.4	1,026.4	33.0	Miscellaneous	1,402.1	1,406.1	4.0
12	216.8	309.6	92.8	Property Services & Procurement	204.7	325.7	121.0
13	15.3	15.3	0.0	Neighbourhood Services Central Admin	15.3	15.3	0.0
14	167.3	188.2	20.9	Building Cleaning	249.1	275.2	26.1
15	5,055.2	5,055.2	0.0	Neighbourhood Services Internal Works	(42.3)	(118.3)	(76.0)
15	(227.8)	(227.8)	0.0	Use of Reserves	(803.0)	(784.0)	19.0
16	8,125.3	8,377.1	251.8	TOTAL	3,995.1	4,164.8	169.7

Note 1

Note 1 - Analysis of Use of Reserves

Description of Reserve	Projected Outturn Position		
	2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
	Col. A	Col. B	Col. C (C=B-A)
	£'000	£'000	£'000
Legionella	(58.0)	(58.0)	0.0
DSO Balances	(76.0)	(76.0)	0.0
Way Forward	(75.0)	(75.0)	0.0
Contact Centre Staffing	(65.0)	(65.0)	0.0
National Trainee Grade	(28.0)	(9.0)	19.0
HR Organisational & Corp Workforce Dev	(51.0)	(51.0)	0.0
HR Corporate Diversity	(11.0)	(11.0)	0.0
HR Employee Wellbeing	(25.0)	(25.0)	0.0
HR Service Improvement	(32.0)	(32.0)	0.0
HR Resource Investment	(84.0)	(84.0)	0.0
Corp Strategy Contact Centre	(15.0)	(15.0)	0.0
Corp Strategy Perf Mgmt Development	(15.0)	(15.0)	0.0
Corp Strategy Corporate Consultation	(30.0)	(30.0)	0.0
Corp Strategy Legal Services	(35.0)	(35.0)	0.0
Corp Strategy Civic Refurbishment Costs	(15.0)	(15.0)	0.0
Corp Strategy Student Placement	(20.0)	(20.0)	0.0
Corp Strategy CPA Administration	(30.0)	(30.0)	0.0
Corp Strategy ICT Implementation	(60.0)	(60.0)	0.0
Registrars Building Maintenance	(50.0)	(50.0)	0.0
Accommodation Maintenance	(28.0)	(28.0)	0.0
Total Use of Reserves	(803.0)	(784.0)	19.0

CABINET REPORT

19th February, 2007



Report of: Chief Financial Officer

Subject: QUARTER 3 – NRF, CAPITAL AND ACCOUNTABLE
BODY PROGRAMME MONITORING REPORT
2006/2007

SUMMARY

1. PURPOSE OF REPORT

To provide details of progress against the Council's overall Capital budget for 2006/2007, the Neighbourhood Renewal Fund (NRF) and the Spending Programmes where the Council acts as the Accountable Body.

The report considers the following areas: -

- NRF
- Capital Monitoring
- Accountable Body Programme Monitoring

2. SUMMARY OF CONTENTS

The report provides detailed monitoring for Capital for each Portfolio up to 31st December, 2006. The report follows a different format from that adopted for previous reports, but still allows each Portfolio Holder to readily review their area of responsibility. A full description of the revised arrangements is described in the background section of this report.

3. RELEVANCE TO CABINET

Cabinet has overall responsibility for the monitoring of the Council's budgets.

4. TYPE OF DECISION

None.

5. DECISION MAKING ROUTE

Cabinet 19th February, 2007.

6. DECISION(S) REQUIRED

Cabinet is asked to note the report.

Report of: Chief Financial Officer

Subject: QUARTER 3 – NRF, CAPITAL AND
ACCOUNTABLE BODY PROGRAMME
MONITORING REPORT 2006/2007

1. PURPOSE OF REPORT

- 1.1 To inform Cabinet of progress against the Council's own 2006/2007 Capital budget, the Neighbourhood Renewal Fund (NRF) and the spending programmes where the Council acts as the Accountable Body for the period to 31st December, 2006.
- 1.2 This report considers the following areas:-
- NRF
 - Capital Monitoring;
 - Accountable Body Programme Monitoring;

2. BACKGROUND

- 2.1 Previous monitoring reports were submitted to Cabinet with an overall summary report providing an overall picture of the Council's own 2006/2007 Capital Budget, the NRF programme and the spending programmes. This report was supported by individual Portfolio reports which provided more detailed information.
- 2.2 The report has now been integrated into one comprehensive document. This has enabled the report to be page numbered, thus allowing Members easier navigation around the report. See Contents Table below. The report firstly provides a summary, followed by a section for each Portfolio where more detailed information is provided.

Section	Heading	Page
3.	NRF Monitoring	2
4.	Capital Monitoring	2-3
5.	Accountable Body Programme	3-4
6.	Regeneration, Liveability and Housing Portfolio	4-6
7.	Culture, Leisure and Transportation Portfolio	6-8
8.	Children's Services Portfolio	8-9
9.	Adult and Public Health Service Portfolio	9-10
10.	Finance Portfolio	11-14

Section	Heading	Page
11.	Performance Management Portfolio	14-15
12.	Recommendations	15
Appendix A	NRF Monitoring	16
Appendix B	Capital Monitoring	17
Appendix C	Accountable Body Monitoring	18
Appendices D-M	Capital & NRF Monitoring Report to 30 th September, 2006, by Portfolio	19-38

- 2.3 This report will be submitted to Scrutiny Co-ordinating Committee on 16th March, 2007. This will ensure that Scrutiny Co-ordinating Committee is able to review the report at the earliest opportunity.

3. NRF MONITORING 2006/2007

- 3.1 Details of NRF expenditure are summarised at Appendix A. Details of individual schemes are contained in appendices D, G and I. At this stage actual expenditure amounts to £2,445,700, compared to expected expenditure of £2,590,800, resulting in a favourable variance of £145,100. The Local Strategic Partnership reviews any variances and agrees a revised programme budget to ensure the full spend of the NRF Programme. Therefore this budget will be fully spent by the yearend.

4. CAPITAL MONITORING 2006/2007

- 4.1 Expenditure for all Portfolios is summarised at Appendix B. Total projected expenditure is £45,169,800, compared to an approved budget of £45,167,900.
- 4.2 Actual expenditure to 31st December, 2006, totals £20,086,100, compared to the approved budget of £45,167,900, leaving £25,083,700 to be paid. Some £16,900,200 of this expenditure remaining is expected to be spent in 2006/2007, with £8,183,500 rephased into 2007/2008.
- 4.3 The main schemes where there is expenditure rephased into 2007/2008 are:

Portfolio	£'000
<u>Culture, Leisure & Transportation</u>	
Hartlepool Transport Interchange	1.648
H2O Watersports Centre	1.999
<u>Children's Services</u>	
Children's Centres Grant – Unallocated (2006-2008)	726

<u>Adult and Public Health Services</u>	
Mental Health (to be allocated)	223
Three Rivers Housing (Extra Care Housing)	308
<u>Finance</u>	
Civic Centre Capital Maintenance	1.274

Further details are included in the relevant Portfolio sections.

5. ACCOUNTABLE BODY PROGRAMME

- 5.1 The Council acts as Accountable Body for the Hartlepool New Deal for Communities (NDC) and Single Regeneration Budget (SRB) and the Children's Fund Partnership. As part of its role as Accountable Body the Council needs to be satisfied that expenditure is properly incurred and is progressing as planned. In addition, the Council has been allocated monies from the Tees Valley Single Programme Partnership (SP). Although, we are not the Accountable Body for the Partnership, the Council still has responsibilities for ensuring that expenditure is properly incurred and progressing as planned. This objective is achieved through a variety of means, including your consideration of monitoring reports for these areas as follows:-

i) **Single Regeneration Budget (SRB)**

The Council act as Accountable Body for the North Hartlepool Partnership. Details of progress against the approved budget are summarised at Appendix C, Table 1. Detailed reports showing individual schemes are included with Appendices K, Table 1 and L, Table 2.

There are no items to bring to Members attention and expenditure will be on target at the year-end.

ii) **New Deal for Communities (NDC)**

The management of NDC resources is subject to specific Government regulations where the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme. The programme is currently forecasting full year expenditure at £6,988,648 against a grant approval of £6,988,648.

Details of progress against NDC revenue and capital budgets are summarised at Appendix C, Table 2. Detailed reports showing individual schemes are included within Appendices K, Table 2 and L, Table 3.

There are no items to bring to Members attention and expenditure will be within the approved limits.

ii) Single Programme (SP)

These monies are allocated to the Council by Tees Valley Single Programme Partnership. The Partnership Board approves the annual delivery plan. Details of progress against budgets are summarised at Appendix C, Table 3. Schemes are detailed within Appendices K, Table 3 and L, Table 4.

There are no items to bring to Members attention and expenditure will be on target at the year-end.

iv) Children's Fund

The Children's Fund is funded by the Department for Education and Skills (DfES).

The Children's Fund have been granted a budget of £410,600 for financial year 2006/2007. Actual expenditure to date amounts to £314,600 as set out in Appendix C, Table 4. Detailed information is set out in Appendix K, Table 4.

There are no items to bring to Members attention and expenditure will be on target at the year end.

6. REGENERATION, LIVEABILITY AND HOUSING PORTFOLIO

6.1 NRF Monitoring for Period Ending 31st December, 2006

6.1.1 Details of NRF actual and anticipated expenditure as at 31st December, 2006 are shown at **Appendix D**.

6.1.2 In overall terms actual expenditure amounts to £1,918,200, compared to anticipated expenditure of £1,951,200, resulting in a current adverse variance of £33,000. It is anticipated there will be no variance at outturn.

6.1.3 There are no major items to bring to Portfolio Holder's attention.

6.2 Capital Monitoring for Period Ending 31st December, 2006

6.2.1 Details of anticipated and actual capital expenditure as at 31st December, 2006, is summarised in **Appendix E** and shows:

Column A	-	Scheme Title
Column B	-	Budget for Year
Column C	-	Actual expenditure to 31 st December, 2006
Column D	-	Expected remaining expenditure to be incurred in the

period January to March, 2007

- Column E - Expenditure Rephased into 2007/2008
- Column F - Total expenditure to be incurred including expenditure Rephased into 2007/2008
- Column G - Variance from Budget
- Column H - Type of financing

- 6.2.2 Detailed analysis of these schemes are on deposit in the Member's Library.
- 6.2.3 Actual expenditure to date amounts to £2,738,600, compared to the approved budget of £5,807,000, with £3,070,300 of expenditure remaining. At this stage it is expected that £35,000 of expenditure will be rephased into 2007/2008.
- 6.2.4 The main items to bring to Portfolio Holder's attention are:-

**Tees Valley Empty Property Initiative
£55,000 Adverse Variance**

This year marked the change from 100% grants for renovation works to a package of grants and loans. Changes to the administration meant a delay in approving works, leading to a likely underspend for renovation works. This is funded from the Regional Assembly (SHIP (Single Housing Investment Programme) allocations via the Regional Housing Board) and any underspend will be reclaimed by them. The Housing Board have allocated the Council funds under three SHIP headings, within each heading the budget allocation is flexible. There is, however, no flexibility for transferring funds between headings.

To avoid losing the capital allocation it is therefore proposed to transfer the £55,000 from the renovation works project to the empty property project by the end of March, 2007. This will be balanced by a transfer of capital funds next financial year.

**Private Sector Grants
£55,000 Favourable Variance**

Please refer to the comments re the Tees valley Empty Property Initiative.

**ASBO – Police Office – Jutland Road
Expenditure Rephased into 2007/2008 - £20,000**

A move into temporary accommodation in 2006/2007 means that some spend is expected. The permanent move will now take place in 2007/2008 and the majority of expenditure therefore will be incurred next financial year.

Community Safety Strategy**Expenditure Rephased into 2007/2008 - £15,000**

Grant bids are invited from Council departments for schemes which contribute to Community Safety. It is anticipated that £15,000 will not be requested and approved before the end of the financial year.

7. CULTURE, LEISURE AND TRANSPORTATION PORTFOLIO**7.1 Capital Monitoring for Period Ending 31st December, 2006**

7.1.1 Details of anticipated and actual capital expenditure as at 31st December, 2006, is summarised in **Appendix F** and shows:

Column A - Scheme Title
 Column B - Budget for Year
 Column C - Actual expenditure to 31st December, 2006
 Column D - Expected remaining expenditure to be incurred in the period January to March, 2007
 Column E - Expenditure Rephased into 2007/2008
 Column F - Total expenditure to be incurred including expenditure Rephased into 2007/2008
 Column G - Variance from Budget
 Column H - Type of financing

7.1.2 Detailed analysis of these schemes are on deposit in the Member's Library.

7.1.3 Actual expenditure to date amounts to £2,420,900, compared to the approved budget of £8,179,300, with £5,758,400 of expenditure remaining. Some £1,638,800 of the remaining expenditure is expected to be spent in 2006/2007, with the balance of £4,119,600 rephased into 2007/2008.

7.1.4 Of the total expenditure of £4,119,600 to be rephased into 2007/2008, the sum of £2,038,700 has previously been reported. Details of the newly identified rephased items are provided in 7.1.5.

7.1.5 The main items to bring to Portfolio Holders attention are:

Grayfields Sports Pavilion**Expenditure Rephased into 2007/2008 - £68,400**

The final account has been received but this budget will be rephased to enable snagging works to be rectified.

Hartlepool Transport Interchange

Expenditure Rephased into 2007/2008 - £1,648,100

Ongoing negotiations with Network Rail have delayed commencement of this scheme.

Highways Maintenance Other Schemes

Expenditure Rephased into 2007/2008 - £71,000

Awaiting identification of suitable schemes before this budget can be allocated and spent.

Countryside Development Works

Expenditure Rephased into 2007/2008 - £15,000

Owing to the need to identify further funding the £15,000 allocated budget will not be spent in this financial year.

Greatham Play Area Equipment

Expenditure Rephased into 2007/2008 - £20,000

This scheme is at the planning and design stage. The monies will not be spent this financial year.

Pride in Hartlepool

Expenditure Rephased into 2007/2008 - £12,000

Budget will not be spent until schemes have been identified by Pride in Hartlepool Steering Group.

Marks & Spencer Car Park Refurbishment

Expenditure Rephased into 2007/2008 - £35,800

Balance remaining to be used in 2007/2008 to refurbish Car Parks which have not yet been identified.

Lithgo Close – Contaminated Land

Expenditure Rephased into 2007/2008 - £53,300

Ongoing legal costs mean that part of this budget will not be spent in this financial year.

Greenland Creosote Works

Expenditure Rephased into 2007/2008 - £16,700

Planning Application approval is required before this budget can be spent.

Open Market Resurfacing**Expenditure Rephased into 2007/2008 - £43,400**

Balance remaining to be used in 2007/2008 to refurbish Car Parks which have not yet been identified.

Ward Jackson Car Park – Tunstall Court**Expenditure Rephased into 2007/2008 - £78,100**

Scheme is still in planning stage and will not be spent this financial year.

8. CHILDREN'S SERVICES PORTFOLIO**8.1 NRF Monitoring for Period Ending 31st December, 2006**

8.1.1 Details of Children's Services NRF actual expenditure and anticipated expenditure as at 31st December, 2006, are shown at **Appendix G**.

8.1.2 In overall terms actual expenditure amounts to £64,200, compared to anticipated expenditure of £66,400, resulting in a current favourable variance of £2,200. It is anticipated there will be no variance at outturn.

8.1.3 The majority of expenditure will be incurred from September, 2006, onwards, coinciding with the start of the new academic year.

8.1.4 There are no major items to bring to the Portfolio Holder's attention.

8.2 Capital Monitoring for Period Ending 31st December, 2006

8.2.1 Details of anticipated and actual capital expenditure as at 31st December, 2006, is summarised in **Appendix H** and shows:

Column A	-	Scheme Title
Column B	-	Budget for Year
Column C	-	Actual expenditure to 31 st December, 2006
Column D	-	Expected remaining expenditure to be incurred in the period January to March, 2007
Column E	-	Expenditure Rephased into 2007/2008
Column F	-	Total expenditure to be incurred including expenditure Rephased into 2007/2008
Column G	-	Variance from Budget
Column H	-	Type of financing

8.2.2 Detailed analysis of these schemes are on deposit in the Member's Library.

8.2.3 Appendix 3.2 provides a summary of the Children's Service's Capital Programme, which includes schemes funded from specific capital

allocations and schemes from the revenue budget which are managed as capital projects owing to the nature of the expenditure and the accounting regulations.

- 8.2.4 Actual expenditure to date amounts to £3,398,900, compared to the approved budget of £8,013,100, with £4,614,200 of expenditure remaining. Some £2,513,200 of the remaining expenditure is expected to be spent in 2006/2007, with the balance of £2,101,000 rephased into 2007/2008.
- 8.2.5 The main reason for the expenditure rephased is £1,231,000 of the £1.55m Children's Centre grant as the allocation is for two years (2006/2007 and 2007/2008) with a large proportion of the grant either currently unallocated or allocated to schemes commencing in 2007/2007. The balance of rephased expenditure consists of a number of schemes to be undertaken next financial year, expected slippage and retention payments and an estimate of carried forward Devolved Capital.
- 8.2.6 There are a number of schemes on the Appendix from previous years where the final account balance is still outstanding. Officers are currently working to try and finalise any outstanding payments in this financial year.
- 8.2.7 There are some funding sources not currently fully allocated – Children's Centre Grant and Modernisation/Access Grants and RCCO funding. The Children's Centre grant is a two year allocation (2006-2008) and schemes are currently in the process of being developed. The other funding will be allocated as the year progresses either towards schemes still at feasibility stage or for schemes required to be undertaken for immediate Health and Safety requirements.
- 8.2.8 The main item to bring to Portfolio Holders attention is:

Carlton Outdoor Centre – Redevelopment Phase 1, Challenge Course and Boiler Replacement

The final costs on this scheme are slightly higher than originally anticipated owing to additional works being undertaken and the need to replace the boiler at the Centre. It is proposed to finance these additional costs via an RCCO transfer of resources from the Carlton revenue budget to capital of £50,000.

9. ADULT AND PUBLIC HEALTH SERVICE PORTFOLIO

9.1 NRF Monitoring for Period Ending 31st December, 2006

- 9.1.1 Details of NRF actual and anticipated expenditure as at 31st December, 2006 are shown at **Appendix I**.

9.1.2 In overall terms actual expenditure amounts to £463,400, compared to anticipated expenditure of £573,300, resulting in a current favourable variance of £109,900. Expenditure will be incurred in the latter part of this financial year and it is anticipated that there will be no variance at outturn.

9.1.3 There are no major items to bring to Portfolio Holder's attention.

9.2 **Capital Monitoring for Period Ending 31st December, 2006**

9.2.1 Details of anticipated and actual capital expenditure as at 31st December, 2006, is summarised in **Appendix J** and shows:

Column A	-	Scheme Title
Column B	-	Budget for Year
Column C	-	Actual expenditure to 31 st December, 2006
Column D	-	Expected remaining expenditure to be incurred in the period January to March, 2007
Column E	-	Expenditure Rephased into 2007/2008
Column F	-	Total expenditure to be incurred including expenditure Rephased into 2007/2008
Column G	-	Variance from Budget
Column H	-	Type of financing

9.2.2 Detailed analysis of these schemes are on deposit in the Member's Library.

9.2.3 Capital expenditure to date amounts to £6,191,000 compared to the approved budget of £7,753,100, with £1,562,100 of expenditure remaining. Some £1,018,600 of the remaining expenditure is expected to be spent in 2006/2007, with the balance of £543,500 rephased into 2007/2008.

9.2.4 The main items to bring to Portfolio Holders attention are:

Three River Housing Group (Extra Care Housing) Expenditure Rephased into 2007/2008 - £308,400

Officers are currently dealing with problems relating to the procurement of the appropriate land. If a suitable location cannot be found the project will need to be cancelled and the funding repaid.

Improving Information Management Expenditure Rephased into 2007/2008 - £12,000

Expenditure has recently been incurred but will not be fully spent in this financial year. The grant will be carried forward into 2007/2008 to fund non initiatives next year.

10. FINANCE PORTFOLIO

10.1 Accountable Body Revenue Monitoring for Period Ending 31st December, 2006

10.1.1 The Council acts as Accountable Body for the North Hartlepool, Hartlepool New Deal for Communities, Single Programme Partnerships and the Children's Fund. Details of progress against the approved revenue budgets are summarised at **Appendix K**.

10.1.2 Table 1 – Single Regeneration Budget (SRB)

Details of progress against the approved revenue budgets are summarised at Table 1. Actual expenditure to date amounts to £238,600, compared to anticipated expenditure of £298,600, resulting in a current favourable variance of £60,000.

10.1.3 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year end.

10.1.4 Table 2 – New Deal for Communities (NDC)

The management of NDC resources is subject to specific Government regulations where the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme. The programme is currently forecasting full year expenditure at £6,988,600 against a revised grant approval of £6,877,000. Actual expenditure towards that target as at 31st December, 2006, was £3,704,900. In order to ensure that the Partnership achieves as close to its target allocation as possible each project will be closely monitored up to the financial year end.

There is also another £4,020,600 of expenditure forecast which is funded through other grants and Prudential Borrowing which NDC Monitors (£4,000,600 Area Remodelling and £20,000 Commercial Areas Environmental Improvements), giving a total NDC budget of £11,009,200.

Details of progress against the approved revenue budgets are summarised at Table 2. Actual expenditure to date amounts to £2,994,600, compared to anticipated expenditure of £3,368,500, resulting in a current favourable variance of £373,900.

10.1.5 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

10.1.6 **Table 3 – Single Programme**

These monies are allocated to the Council by Tees Valley Single Programme Partnership. The Council has been allocated £921,400 to spend in 2006/2007 on revenue projects. Actual expenditure to date amounts to £543,800, compared to anticipated expenditure of £692,300 resulting in a current favourable variance of £148,500.

- 10.1.7 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

10.1.8 **Table 4 – Children's Fund Programme**

The Children's Fund Programme is wholly funded by the Children and Young Person's Unit (CYPU).

The Children's Fund has been granted a budget of £410,600 for financial year 2006/2007. Actual expenditure to date amounts to £314,600, compared to expected spend to date of £321,900 as set out in Appendix K, Table 4.

- 10.1.9 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

10.2 **Capital Monitoring for Period Ending 31st December, 2006**

- 10.2.1 Details of anticipated and actual capital expenditure as at 31st December, 2006, is summarised in **Appendix L** and shows:

Column A	-	Scheme Title
Column B	-	Budget for Year
Column C	-	Actual expenditure to 31 st December, 2006
Column D	-	Expected remaining expenditure to be incurred in the period January to March, 2007
Column E	-	Expenditure Rephased into 2007/2008
Column F	-	Total expenditure to be incurred including expenditure Rephased into 2007/2008
Column G	-	Variance from Budget
Column H	-	Type of financing

- 10.2.2 Detailed analysis of these schemes are on deposit in the Member's Library.

10.2.3 **Table 1 – Resources**

Actual expenditure to date amounts to £1,566,300, compared to the approved budget of £5,100,800, with £3,534,500 of expenditure remaining. Some £2,150,100 of the expenditure remaining is expected to be spent in 2006/2007, with the balance of £1,384,400 rephased into 2007/2008.

Of the total expenditure of £1,384,400 to be rephased into 2007/2008, the sum of £1,273,800 has previously been reported. Details of the newly identified rephased item is provided in 10.2.4

10.2.4 The main items to bring to Portfolio's attention are:

St Benedict's/Barlow's Building Work

More detailed estimates are now available for this scheme and total costs are estimated to be around £170,000. Of this amount £15,000 was spent in 2005/2006, with the balance of £155,000 expected in 2006/2007, of which £115,700 has been spent to date. The site is being cleared so that it is available for Hartlepool Primary Care Trust development and the costs of site clearance will be met from the capital receipt recovered from the Trust.

**War Memorials Refurbishment
Expenditure Rephased into 2007/2008 £98,000**

The budget of £98,000 for War Memorials was approved as match funding against grants to be obtained in 2006/2007.

Grant applications needed to be completed by an Accredited Conservation Architect or Surveyor. An appointment was made earlier in the year unfortunately ill health has resulted in a failure to complete these submissions in the current year.

A second Accredited Architect was appointed in November, 2006, however, the deadline for grant submissions had passed. It is proposed to carry forward this funding into 2007/2008 to match against grants obtained next year.

10.2.5 **Table 2 – Single Regeneration Budget**

Details of progress against the approved capital budgets are summarised at Table 2. Actual expenditure to date amounts to £559,000, compared to the approved budget of £2,347,400, with £1,788,400 of expenditure remaining.

10.2.6 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

10.2.7 **Table 3 – New Deal for Communities**

The management of NDC resources is subject to specific Government regulations where the Partnership is able to renegotiate the annual allocation during mid year review with Government Office for the North East. This provides the Partnership with a degree of flexibility in managing the overall programme. The programme is currently forecasting full year expenditure at £6,988,600 against a

revised grant approval of £6,877,000. Actual expenditure towards that target as at 31st December, 2006 was £3,704,900. In order to ensure that the Partnership achieves as close to its target allocation as possible each project will be closely monitored up to the financial year-end.

There is also another £4,020,600 of expenditure forecast which is funded through other grants and Prudential Borrowing, which NDC Monitors (£4,000,600 Area Remodelling and £20,000 Commercial Areas Environmental Improvements), giving a total NDC budget of £11,009,200.

Details of progress against the approved capital budgets are summarised at Table 3. Actual expenditure to date amounts to £2,308,300, compared to the approved budget of £6,425,500, with £4,117,200 of expenditure remaining.

- 10.2.8 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year-end.

10.2.9 **Table 4 – Single Programme**

These monies are allocated to the Council by the Tees Valley Single Programme Partnership. The Council has been allocated £405,700 to spend in 2006/2007 on capital projects, including a Council contribution of £57,000. Actual expenditure to date amounts to £163,800 with £241,900 of expenditure remaining.

- 10.2.10 There are no major items to bring to Portfolio Holder's attention and expenditure is expected to be on target at year end.

11. PERFORMANCE MANAGEMENT PORTFOLIO

11.1 Capital Monitoring for Period Ending 31st December, 2006

- 11.1.1 Details of anticipated and actual capital expenditure as at 31st December, 2006, is summarised in **Appendix M** and shows:

Column A	-	Scheme Title
Column B	-	Budget for Year
Column C	-	Actual expenditure to 31 st December, 2006
Column D	-	Expected remaining expenditure to be incurred in the period January to March, 2007
Column E	-	Expenditure Rephased into 2007/2008
Column F	-	Total expenditure to be incurred including expenditure Rephased into 2007/2008
Column G	-	Variance from Budget
Column H	-	Type of financing

- 11.1.2 Detailed analysis of these schemes are on deposit in the Member's Library.
- 11.1.3 Actual expenditure to date amounts to £739,300, compared to the approved budget of £1,136,000 with £396,700 of expenditure remaining.
- 11.1.4 There are no major items to bring to Portfolio Holder's attention.

12. RECOMMENDATIONS

- 12.1 It is recommended that Members note the report.

NEIGHBOURHOOD RENEWAL FUND - REVENUE MONITORING REPORT TO 31st DECEMBER 2006

Line No	Actual Position 31/12/06			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/07 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col.C	Col. D (D=C-B)	Col. E	Col. F	Col.G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	66.4	64.2	(2.2)	Childrens Services	248.7	248.7	0.0
2	573.2	463.3	(109.9)	Adult & Public Health	764.3	764.3	0.0
3	1,951.2	1,918.2	(33.0)	Regeneration, Liveability & Housing	3,269.9	3,269.9	0.0
4	2,590.8	2,445.7	(145.1)		4,282.9	4,282.9	0.0

CAPITAL MONITORING REPORT TO 31st DECEMBER 2006

Line No	Portfolio	2006/2007 Budget	2006/2007 Actual as at 31/12/06	2006/2007 Expenditure Remaining	Expenditure Rephased into 2007/08	2006/2007 Expenditure	2006/2007 Variance from budget
Col. A	Col. B	Col. C	Col. D	Col. E	Col. F	Col. G (G=D+E+F)	Col. H (H=G-C)
£	£	£	£	£	£	£	£
1	Regeneration, Liveability & Housing	5,807.0	2,738.6	3,035.3	35.0	5,808.9	1.9
2	Culture, Leisure & Transportation	8,179.3	2,420.9	1,638.8	4,119.6	8,179.3	0.0
3	Children's Services	8,013.1	3,398.9	2,513.2	2,101.0	8,013.1	0.0
4	Adult & Public Health Services	7,753.1	6,191.0	1,018.6	543.5	7,753.1	0.0
5	Finance	14,279.4	4,597.4	8,297.6	1,384.4	14,279.4	0.0
6	Performance Management	1,136.0	739.3	396.7	0.0	1,136.0	0.0
7	Total Capital Expenditure	45,167.9	20,086.1	16,900.2	8,183.5	45,169.8	1.9

ACCOUNTABLE BODY PROGRAMMES

Line No	Actual Position 31/12/06			Description of Expenditure	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/07 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
				<u>TABLE 1 - SRB North Hartlepool Partnership</u>			
4	298.6	238.6	(60.0)	Revenue Projects	397.7	397.7	0.0
5	1760.6	559.0	(1,201.6)	Capital Projects	2,347.4	2,347.4	0.0
6	2059.2	797.6	(1,261.6)	Total SRB	2,745.1	2,745.1	0.0
				<u>TABLE 2 - New Deal for Communities</u>			
1	3368.5	2994.6	(373.9)	Revenue Projects	4,583.7	4,583.7	0.0
2			0.0	Capital Projects	6,425.5	6,425.5	0.0
3	3368.5	2994.6	(373.9)	Total NDC	11,009.2	11,009.2	0.0
				<u>TABLE 3 Single Programme</u>			
7	692.3	543.8	(148.5)	Revenue Projects	921.4	921.4	0.0
8	304.3	163.8	(140.5)	Capital Projects	405.7	405.7	0.0
9	996.6	707.6	(289.0)	Total SP	1,327.1	1,327.1	0.0
				<u>TABLE 4 - Miscellaneous</u>			
10	321.9	314.6	(7.3)	Childrens Fund	410.6	410.6	0.0
11	321.9	314.6	(7.3)	Total Miscellaneous	410.6	410.6	0.0

PORTFOLIO : REGENERATION, LIVEABILITY & HOUSING**Appendix D****NEIGHBOURHOOD RENEWAL FUND****REVENUE MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006**

Line No	Actual Position 31/12/06			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/7 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	2.5	2.5	0.0	Community Safety Small Grants Fund	10.0	10.0	0.0
2	29.4	29.4	0.0	Anti Social Behaviour Officer	66.1	66.1	0.0
3	112.5	113.9	1.4	Community Safety Wardens	150.0	150.0	0.0
4	85.1	85.1	0.0	Partnership Working with Communities	180.0	180.0	0.0
5	51.3	51.3	0.0	Hartlepool Scheme for Prolific Offenders	105.0	105.0	0.0
6	16.9	16.9	0.0	Project Assistant Small Grants / Community Safety	22.5	22.5	0.0
7	61.2	61.2	0.0	Cool Project Out of School activities for children	61.6	61.6	0.0
8	176.4	176.4	0.0	Families Changing Communities	187.7	187.7	0.0
9	22.9	22.9	0.0	Advance Project drug user reintegration into community	22.9	22.9	0.0
10	5.1	5.1	0.0	Burglary Prevention	58.1	58.1	0.0
11	7.5	1.2	(6.3)	Landlord Accreditation Scheme	10.0	10.0	0.0
12	14.7	14.7	0.0	Young Firefighters	33.0	33.0	0.0
13	5.8	5.8	0.0	PINS Parents in need of support dealing with drug abuse	23.0	23.0	0.0
14	0.0	0.0	0.0	Neighbourhood Policing	273.0	273.0	0.0
15	(9.9)	(9.9)	0.0	Management & Consultancy	66.5	66.5	0.0
16	28.0	28.0	0.0	Neighbourhood Renewal Officer	36.9	36.9	0.0
17	0.0	0.0	0.0	Neighbourhood Action Plan Development	40.0	40.0	0.0
18	3.4	3.4	0.0	Administration of Lifelong Learning Partnership - HCFE	4.0	4.0	0.0
19	0.0	0.0	0.0	Level 3 Progression - HCFE	79.0	79.0	0.0
20	19.0	19.0	0.0	Active Skills - West View Project	25.0	25.0	0.0
21	30.0	30.0	0.0	Hartlepool Deaf Centre	30.0	30.0	0.0
22	31.2	31.2	0.0	Career Coaching HVDA	32.0	32.0	0.0
23	1.8	1.8	0.0	Hartlepool On Track Project	45.0	45.0	0.0
24	15.0	15.0	0.0	HVDA Business Development Project	15.0	15.0	0.0
25	8.0	8.0	0.0	Dyke House/Stranton/Grange Neighbourhood Action Plan	65.3	65.3	0.0
26	0.0	0.0	0.0	Central Neighbourhood Action Plan	29.0	29.0	0.0
27	8.0	8.0	0.0	West View/King Oswy Neighbourhood Action Plan	90.3	90.3	0.0
28	46.5	46.5	0.0	Targeted Training	51.0	51.0	0.0
29	36.6	36.6	0.0	Womens Opportunities	37.5	37.5	0.0
30	63.8	66.8	3.0	Jobsbuild	77.8	77.8	0.0
31	123.6	123.6	0.0	Intermediate Labour Market(ILM) Employment Assistance	137.0	137.0	0.0
32	19.6	19.6	0.0	Marketing Assistant	24.5	24.5	0.0
33	19.5	19.5	0.0	Employment Co-ordinator	23.4	23.4	0.0
34	33.4	33.4	0.0	Improving the Employment Offer	44.0	44.0	0.0
35	96.0	96.0	0.0	North Central Hartlepool Delivery Team Staff Cost	128.0	128.0	0.0
36	67.4	67.4	0.0	Assisting Local People into Work	97.0	97.0	0.0
37	176.6	176.6	0.0	Incubator System	175.0	175.0	0.0
38	81.0	81.0	0.0	Volunteering into Employment	81.0	81.0	0.0
39	0.0	0.0	0.0	Skills & Knowledge	2.0	2.0	0.0
40	114.7	114.7	0.0	Community Employment Outreach	150.0	150.0	0.0
41	72.8	72.8	0.0	STEP Homelessness Project	70.0	70.0	0.0
42	5.8	5.8	0.0	Positive Choices for Carers - Training & Education	10.0	10.0	0.0
43	43.7	43.7	0.0	Owton Manor West N'hood Watch Residents Association	35.0	35.0	0.0
44	30.0	30.0	0.0	West View Project - Training for Young People	30.0	30.0	0.0
45	6.9	6.9	0.0	RESPECT Employment & Training Support 16-18 years	6.9	6.9	0.0
46	30.0	30.0	0.0	Grange Road Methodist Church Employment Project	30.0	30.0	0.0
47	13.8	13.8	0.0	Burbank Neighbourhood Action Plan	23.0	23.0	0.0
48	13.2	13.2	0.0	Rift House/Burn Valley Neighbourhood Action Plan	50.8	50.8	0.0
49	24.6	24.6	0.0	Owton Neighbourhood Action Plan	44.7	44.7	0.0
50	16.6	16.6	0.0	Rossmere Neighbourhood Action Plan	23.0	23.0	0.0
51	3.8	3.8	0.0	Headland Neighbourhood Action Plan	33.7	33.7	0.0
52	75.0	43.9	(31.1)	Environment Team	100.0	100.0	0.0
53	10.5	10.5	0.0	Environmental Education	23.7	23.7	0.0
54	1,951.2	1,918.2	(33.0)		3,269.9	3,269.9	0.0

PORTFOLIO : REGENERATION, LIVEABILITY & HOUSING

Appendix E

CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2006/2007 Budget £'000	2006/2007 Actual as at 31/12/06 £'000	2006/2007 Expenditure Remaining £'000	Expenditure Rephased into 2007/08 £'000	C+D+E Total Expenditure £'000	F-B Variance from budget £'000	Type of financing
7205	ASBO Police Office Jutland Road	25.5	0	5.5	20.0	25.5	0.0	UCPB
7368	Building Safer Communities Initiatives	60.2	21.6	38.6	0.0	60.2	0.0	MIX
7416	Brougham Enterprise Centre Refurbishment	522.0	343.4	178.6	0.0	522.0	0.0	GRANT
7431	Community Safety Strategy	101.4	0.0	86.4	15.0	101.4	0.0	UCPB
7436	CSS-CCTV Digital Recording	1.1	0.0	1.1	0.0	1.1	0.0	UCPB
7510	Interreg Seaport Theme 1	7.3	0.0	7.3	0.0	7.3	0.0	GRANT
7222	Minor Works - North	87.5	15.9	71.6	0.0	87.5	0.0	MIX
7223	Minor Works - South	119.3	0.0	119.3	0.0	119.3	0.0	MIX
7224	Minor Work - Central	81.2	0.0	81.2	0.0	81.2	0.0	MIX
7272	Wheely Bin Purchase	86.5	75.6	10.9	0.0	86.5	0.0	UDPB
7398	Sand.Rd/Sheriff St Improvements	4.5	0.7	3.8	0.0	4.5	0.0	UCPB
7465	Recycling Scheme	698.5	318.3	380.2	0.0	698.5	0.0	UDPB
NEW	Covert Cameras Fly Tipping	15.0	10.5	4.5	0.0	15.0	0.0	RCCO
7591	Burbank Estate Gateway Improvements	0.0	1.5	0.0	0.0	1.5	1.5	RCCO
7218	Disabled Facility Grants	433.0	275.7	157.3	0.0	433.0	0.0	MIX
7230	North Central Hartlepool Housing Regeneration	2,528.9	1,277.0	1,251.9	0.0	2,528.9	0.0	MIX
7226	Housing Regeneration Strategy Consultancy	6.0	6.4	0.0	0.0	6.4	0.4	SHIP
NEW	Tees Valley Empty Property Initiative	60.0	0.0	115.0	0.0	115.0	55.0	SHIP
7219	Home Plus Grants (provided by Endeavour HA)	140.0	97.4	42.6	0.0	140.0	0.0	SHIP
7231	Housing Thermal Efficiency	259.1	257.4	1.7	0.0	259.1	0.0	SHIP
7220	Private Sector Housing Grants	530.0	95.0	380.0	0.0	475.0	(55.0)	SHIP
7530	Developers Contributions	(57.8)	(57.8)	0.0	0.0	(57.8)	0.0	CAP REC
7525	Railing Restoration	34.7	0.0	34.7	0.0	34.7	0.0	GRANT
7579	Newburn Bridge Units-Elec Refit Works	13.1	0.0	13.1	0.0	13.1	0.0	UCPB
7611	Drug Interventions Programme	50.0	0.0	50.0	0.0	50.0	0.0	GRANT
		5,807.0	2,738.6	3,035.3	35.0	5,808.9	1.9	

Key

RCCO Revenue Contribution towards Capital

MIX Combination of Funding Types

UCPB Unsupported Corporate Prudential Borrowing

SCE © Supported Capital Expenditure (Revenue)

GRANT Grant Funded

CAP REC Capital Receipt

UDPB Unsupported Departmental Prudential Borrowing

SPB Supported Prudential Borrowing

PORTFOLIO : CULTURE, LEISURE AND TRANSPORTATION

Appendix F

CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2006/2007 Budget £'000	2006/2007 Actual as at 31/12/06 £'000	2006/2007 Expenditure Remaining £'000	Expenditure Rephased into 2007/08 £'000	C+D+E Total Expenditure £'000	F-B Variance from budget £'000	Type of financing
7080	NRF Street Lighting	44.0	16.0	28.0	0.0	44.0	0.0	GRANT
7081	Waverley Allotments Refurbishment	29.0	25.1	3.9	0.0	29.0	0.0	MIX
7203	Sir William Gray House - DDA	19.2	0.0	19.2	0.0	19.2	0.0	MIX
7207	Community Safety-Car Park Security/CCTV	50.0	0.0	50.0	0.0	50.0	0.0	SPB
7208	Community Safety-Alleyway Stopping Up Prog.	1.6	0.1	1.5	0.0	1.6	0.0	CAPREC
7213	Grayfields Sports Pavillion	910.2	797.8	44.0	68.4	910.2	0.0	MIX
7214	Burn Valley Park Improvements	50.4	26.6	23.9	0.0	50.4	0.0	MIX
7215	Seaton Carew Cricket Club Ground Imps	20.0	0.0	0.0	20.0	20.0	0.0	CAPR
7217	Throston Community Centre Refurbishment	7.1	3.7	3.4	0.0	7.1	0.0	MIX
7235	Low Floor Infrastructure	20.0	0.0	20.0	0.0	20.0	0.0	SPB
7236	Bus Shelter Improvements	10.0	0.8	9.2	0.0	10.0	0.0	SPB
7237	Cycle Routes General	73.5	47.7	25.8	0.0	73.5	0.0	SPB
7240	Hartlepool Transport Interchange	1,822.2	11.1	163.0	1,648.1	1,822.2	0.0	SPB
7241	Dropped Crossings	30.0	10.6	19.4	0.0	30.0	0.0	SPB
7242	Other Street Lighting	70.0	0.4	69.6	0.0	70.0	0.0	SPB
7243	Highways Maintenance Other Schemes	71.1	0.1	0.0	71.0	71.1	0.0	SPB
7244	Travel Plans Workplace	15.0	7.8	7.2	0.0	15.0	0.0	SPB
7245	Cycle Parking	4.9	0.4	0.0	4.5	4.9	0.0	SPB
7247	Bus Quality Corridor	20.0	8.3	11.7	0.0	20.0	0.0	SPB
7250	Sustainable Travel Awareness	10.6	10.6	0.0	0.0	10.6	0.0	SPB
7251	Public Transport CCTV	20.0	10.0	10.0	0.0	20.0	0.0	SPB
7252	Safer Streets Initiative	20.0	8.8	11.2	0.0	20.0	0.0	SPB
7255	Advanced Cycle Route Scheme Design	10.0	1.3	8.7	0.0	10.0	0.0	SPB
7265	Coastal Protection Strategic Study	3.9	3.9	0.0	0.0	3.9	0.0	GRANT
7267	Morrisons Supermarket-S 278	6.5	6.5	0.0	0.0	6.5	0.0	GRANT
7269	Rural Bus Challenge Scheme	30.1	0.0	30.1	0.0	30.1	0.0	GRANT
7271	Rossmere Fountain Improvements	1.3	0.6	0.0	0.7	1.3	(0.0)	MIX
7355	Bowling Green Improvements	19.8	21.5	(1.7)	0.0	19.8	0.0	MIX
7367	Ward Jackson Park Refurbishment	19.5	0.0	19.5	0.0	19.5	0.0	MIX
7372	Seaton Play Area Improvements	2.3	4.5	(2.2)	0.0	2.3	0.0	MIX
7375	Countryside Development Works	15.0	0.0	0.0	15.0	15.0	0.0	MIX
7380	H2O Watersports Centre	1,998.7	0.0	0.0	1,998.7	1,998.7	0.0	MIX
7382	Greatham Play Area Equipment	40.0	0.0	20.0	20.0	40.0	0.0	MIX
7408	Cycling Strategy	0.1	0.1	0.0	0.0	0.1	0.0	SPB
7410	LTP2 Development	24.5	24.5	0.0	0.0	24.5	0.0	SPB
7412	Basement Car Park	15.9	0.0	15.9	0.0	15.9	0.0	UPB
7414	Jutland Road Play Area Upgrade	20.0	0.0	0.0	20.0	20.0	0.0	GRANT
7424	Pride in Hartlepool	18.3	1.2	5.1	12.0	18.3	0.0	UCPB
7452	Local Safety Scheme	25.3	25.3	0.0	0.0	25.3	0.0	SPB
7454	Murray Street LSS	63.0	7.6	55.4	0.0	63.0	0.0	SPB
7455	Hart Lane Road Safety Improvements	392.0	389.6	2.4	0.0	392.0	0.0	SPB
7456	New Car Park York Road Flatlets	8.7	0.1	0.0	8.6	8.7	0.0	CAPREC
7457	Coronation Drive Coast Protection Works Phase 3	70.3	70.3	0.0	0.0	70.3	0.0	MIX
7458	Marks & Spencer Car Park Refurbishment	38.2	2.4	0.0	35.8	38.2	0.0	UDPB
7462	Hart To Haswell Cycleway	11.5	11.5	0.0	0.0	11.5	0.0	SPB
7474	Briarfields Allotments	75.0	0.0	75.0	0.0	75.0	0.0	RCCO
7487	Local Transportation Plan-Monitoring	5.0	0.1	4.9	0.0	5.0	0.0	SPB
7499	Lithgo Close - Contaminated Land	100.0	46.7	0.0	53.3	100.0	0.0	CAPREC
7508	Anhydrite Mine	200.0	15.4	184.6	0.0	200.0	0.0	GRANT
7537	Grayfields Running Track	30.0	30.0	0.0	0.0	30.0	0.0	MIX
7538	LTP-Advance Traffic Management Design	10.0	0.0	10.0	0.0	10.0	0.0	SPB
7540	Tees Valley Major Scheme Bid	15.0	15.0	0.0	0.0	15.0	0.0	SPB
7541	Safer Routes to School	70.0	1.0	69.0	0.0	70.0	0.0	SPB
7542	LTP-Parking Lay-bys	25.0	0.0	25.0	0.0	25.0	0.0	SPB
7543	LTP-School Safety Zones	20.0	0.0	20.0	0.0	20.0	0.0	SPB
7544	LTP-Shop Mobility	10.0	5.0	5.0	0.0	10.0	0.0	SPB

PORTFOLIO : CULTURE, LEISURE AND TRANSPORTATION

Appendix F (cont)

CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2006/2007 Budget £'000	2006/2007 Actual as at 31/12/06 £'000	2006/2007 Expenditure Remaining £'000	Expenditure Rephased into 2007/08 £'000	C+D+E 2006/2007 Total Expenditure £'000	F-B 2006/2007 Variance from budget £'000	Type of financing
7545	LTP-Motorcycle Training	20.0	0.0	20.0	0.0	20.0	0.0	SPB
7546	LTP-Road Safety Education & Training	20.0	3.5	16.5	0.0	20.0	0.0	SPB
7547	LTP-Dial-a-Ride	92.0	32.9	59.1	0.0	92.0	0.0	SPB
7548	LTP-Greatham Creek Bridge Repairs	80.0	125.0	(45.0)	0.0	80.0	0.0	SPB
7549	LTP-Other Bridge Schemes	10.0	0.0	10.0	0.0	10.0	0.0	SPB
7550	LTP-Hart Lane/Wiltshire Way Maintenance	200.0	0.0	200.0	0.0	200.0	0.0	SPB
7551	LTP-Murray Street Maintenance	40.0	0.0	40.0	0.0	40.0	0.0	SPB
7552	LTP-Owton Manor Lane Maintenance	298.8	298.8	0.0	0.0	298.8	0.0	SPB
7553	LTP-Arncliffe Gardens Maintenance	31.3	31.3	0.0	0.0	31.3	0.0	SPB
7554	LTP-Groves Street Maintenance	4.7	4.7	0.0	0.0	4.7	0.0	SPB
7555	LTP-York Road Footways Maintenance	34.0	0.3	33.7	0.0	34.0	0.0	SPB
7556	LTP-Victoria Road Maintenance	56.0	2.0	54.0	0.0	56.0	0.0	SPB
7557	LTP-Winterbottom Avenue Maintenance	8.0	0.0	8.0	0.0	8.0	0.0	SPB
7558	LTP-Nesbyt Road Maintenance	15.0	0.0	15.0	0.0	15.0	0.0	SPB
7559	LTP-Ridlington Way Maintenance	26.0	0.0	26.0	0.0	26.0	0.0	SPB
7560	LTP-North Hart Lane Maintenance	5.1	5.1	0.0	0.0	5.1	0.0	SPB
7580	Highways Remedial Works - Hartlepool Marina	9.7	0.0	9.7	0.0	9.7	0.0	TDC
7581	Tees Valley Boundary Signs	5.4	0.0	0.0	5.4	5.4	0.0	GRANT
7582	Alleygates Capital Works	25.8	8.8	17.0	0.0	25.8	0.0	CAPREC
7583	Greenland Creosote Works	16.7	0.0	0.0	16.7	16.7	0.0	SCE
7584	Open Market Resurfacing	43.4	0.0	0.0	43.4	43.4	0.0	UCPB
7590	Ward Jackson Car Park - Tunstall Court	79.6	1.6	0.0	78.1	79.6	0.0	MIX
7605	Focus - Section 278 Highways Scheme	26.5	26.5	0.0	0.0	26.5	0.0	GRANT
7607	Waterproofing phase 1 - Multi Storey Car Park	179.0	167.5	11.5	0.0	179.0	0.0	UCPB
7609	Hart Lane/Raby Road Traffic Signals	27.5	23.4	4.1	0.0	27.5	0.0	SPB
7613	Newburn Bridge LSS	30.0	0.0	30.0	0.0	30.0	0.0	SPB
7614	Traffic Signal Improvements	10.0	8.7	1.3	0.0	10.0	0.0	SPB
7624	LTP - Headland Traffic Management	10.0	0.0	10.0	0.0	10.0	0.0	SPB
7639	Footpath Works at Hartlepool Marina	34.1	0.0	34.1	0.0	34.1	0.0	GRANT
7644	LTP - School Travel Plans	15.0	8.8	6.2	0.0	15.0	0.0	SPB
7649	LTP - Headland Signing Strategy	5.0	0.0	5.0	0.0	5.0	0.0	SPB
7364 & 7365	Summerhill Maintenance	2.0	2.0	0.0	0.0	2.0	0.0	MIX
7651	Burn Valley Park Beck	5.0	0.0	5.0	0.0	5.0	0.0	GRANT
		8,179.3	2,420.9	1,638.8	4,119.6	8,179.3	0.0	

Key

RCCO Revenue Contribution towards Capital

MIX Combination of Funding Types

UCPB Unsupported Corporate Prudential Borrowing

SCE @ Supported Capital Expenditure (Revenue)

GRANT

Grant Funded

CAP REC

Capital Receipt

UDPB

Unsupported Departmental Prudential Borrowing

SPB

Supported Prudential Borrowing

PORTFOLIO : CHILDREN'S SERVICES**Appendix G****NEIGHBOURHOOD RENEWAL FUND****REVENUE MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006**

Line No	Actual Position 31/12/06			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	33.0	33.0	0.0	NRF - Education Business Links	55.0	55.0	0.0
2	4.6	4.6	0.0	NRF - Project Co-ordination	6.1	6.1	0.0
3	2.2	0.0	(2.2)	NRF - Contingency	2.9	2.9	0.0
4	1.5	1.5	0.0	NRF - New Initiatives (Boys Underachieving)	35.0	35.0	0.0
5	16.6	16.6	0.0	NRF - Occupational Care for Kids - Dyke House	40.0	40.0	0.0
6	8.5	8.5	0.0	NRF - Reducing Childhood Obesity	109.7	109.7	0.0
7	66.4	64.2	(2.2)		248.7	248.7	0.0

PORTFOLIO : CHILDREN'S SERVICES

Appendix H

CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2006/2007 Budget £'000	2006/2007 Actual as at 31/12/06 £'000	2006/2007 Expenditure Remaining £'000	Expenditure Rephased into 2007/08 £'000	C+D+E Total Expenditure £'000	F-B Variance from budget £'000	Type of financing
7448	Barnard Grove - Replace Roofing/Windows (04/05)	0.5	0.0	0.5	0.0	0.5	0.0	MIX
7273	Barnard Grove - (04/05) Modifications to Entrance	0.0	0.0	0.0	0.0	0.0	0.0	SCE (R)
7528	Barnard Grove - Improvements to Kitchen Ventilation	0.3	0.3	0.0	0.0	0.3	0.0	GRANT
7534	Barnard Grove - Boiler Plant Replacement	64.5	0.5	64.0	0.0	64.5	0.0	MODERN
7274	Brierton - Roof Repair (Phase 2)	0.1	0.0	0.1	0.0	0.1	0.0	GRANT
7275	Brierton - Relocation to Single Site	6.7	0.0	0.0	6.7	6.7	0.0	MIX
7276	Brierton - Remove Boundary Fence	14.3	0.0	0.0	14.3	14.3	0.0	MIX
7277	Brierton - Convert Top Site to Access 2 Learning School	6.6	0.0	0.0	6.6	6.6	0.0	MIX
7478	Brierton - Re-Roof Craft Block	64.2	56.2	8.0	0.0	64.2	0.0	GRANT
7279	Brierton - Replace Boiler in Caretakers House	1.1	0.0	1.1	0.0	1.1	0.0	RCCO
7360	Brierton - Purchase of Mobile Unit	1.9	0.0	0.0	1.9	1.9	0.0	MIX
7420	Brierton - Build Sports Hall & Sports Facilities	20.4	0.0	0.0	20.4	20.4	0.0	MIX
7451	Brierton - Internal Alterations & Purchase ICT	0.6	0.0	0.0	0.6	0.6	0.0	MIX
TBA	Brierton - Roof Works on Music Block and Toilets	22.0	0.0	22.0	0.0	22.0	0.0	MIX
7501	Brougham - Install Nursery Toilet / Change Facility	42.5	42.5	0.0	0.0	42.5	0.0	SCE (R)
7638	Brougham - Demolish Unsafe Wall & Rebuild	5.8	5.8	0.0	0.0	5.8	0.0	RCCO
7497	Brougham - Roof Repairs	32.9	0.0	32.9	0.0	32.9	0.0	GRANT
7357	Brougham - Develop Outside Play Area	4.9	0.0	4.9	0.0	4.9	0.0	GRANT
7599	Brougham - Develop Outside Play Area - Phase 2	0.0	0.0	0.0	0.0	0.0	0.0	GRANT
7626	Brougham - Improve Acoustics in Hall	7.0	0.0	7.0	0.0	7.0	0.0	RCCO
TBA	Brougham - Improvements to Kitchen/Courtyard	0.0	0.0	0.0	0.0	0.0	0.0	GRANT
7281	Catcote - Install Shower/Changing/Toilet Facilities	3.2	3.2	0.0	0.0	3.2	0.0	GRANT
7535	Catcote - Window Replacement	36.5	29.4	7.1	0.0	36.5	0.0	GRANT
7282	Clavering - Replace Roof and Windows	0.0	0.0	0.0	0.0	0.0	0.0	GRANT
7283	Clavering - Improvements to Kitchen Ventilation	0.3	0.3	0.0	0.0	0.3	0.0	GRANT
7539	Clavering - Replace Timber in Nursery	1.9	0.0	1.9	0.0	1.9	0.0	GRANT
7284	Clavering - Replace Boiler Control	0.3	0.3	0.0	0.0	0.3	0.0	GRANT
7285	Dyke House - Refurbish Boys Toilet (04/05)	3.0	0.0	3.0	0.0	3.0	0.0	MIX
7286	Dyke House - Replace Boiler in Science Block	10.5	6.8	3.7	0.0	10.5	0.0	GRANT
7574	Dyke House - Replace Boiler in Caretakers House	0.5	0.5	0.0	0.0	0.5	0.0	GRANT
7575	Dyke House - ICT Equipment Purchase	85.0	38.4	46.6	0.0	85.0	0.0	RCCO
7562	Dyke House - Sports Hall Floor Renewal	60.5	60.5	0.0	0.0	60.5	0.0	GRANT
7489	Dyke House - Replace Science Block Windows	23.0	23.0	0.0	0.0	23.0	0.0	RCCO
7586	Dyke House - City Learning Centre Equipment Purchase	150.0	130.2	19.8	0.0	150.0	0.0	GRANT
7385	Dyke House - City Learning Centre Extension & ICT Purchase	19.1	3.1	16.0	0.0	19.1	0.0	MIX
7386	Dyke House - Extension to Blue Room	2.7	0.0	0.0	2.7	2.7	0.0	MIX
TBA	Dyke House - Purchase ICT Equip & Refurb Technology Class	100.0	0.0	0.0	100.0	100.0	0.0	GRANT
7288	English Martyrs - Build New Outdoor Sports Pitch	20.6	12.4	8.2	0.0	20.6	0.0	MIX
7358	English Martyrs - Remodel School Site inc build new VI Form	172.1	2.6	169.5	0.0	172.1	0.0	MIX
7287	Eldon Grove - Improve Access to School	5.8	4.8	1.0	0.0	5.8	0.0	SCE (R)
7628	Eldon Grove - Major Internal Works	100.0	0.0	0.0	100.0	100.0	0.0	RCCO
TBA	Eldon Grove - Erect Perimeter Fence	26.0	0.0	26.0	0.0	26.0	0.0	MIX
7289	Fens - Roof Repair (Main Hall)	1.6	0.0	1.6	0.0	1.6	0.0	GRANT
7290	Fens - Purchase & Install Playground Equipment	1.0	0.0	1.0	0.0	1.0	0.0	MIX
7291	Fens - Improve Access (04/05)	0.3	0.0	0.3	0.0	0.3	0.0	SCE (R)
7292	Fens - Rewire (Phase 2)	11.7	6.6	5.1	0.0	11.7	0.0	GRANT
7570	Fens - Replace Fire Alarm System (Rewire Ph 3)	24.6	0.0	24.6	0.0	24.6	0.0	GRANT
7477	Fens - Replace Hall Windows	54.2	47.0	7.2	0.0	54.2	0.0	GRANT
7563	Fens - Boiler Replacement	17.0	15.4	1.6	0.0	17.0	0.0	GRANT
7293	Golden Flatts - Build Multi Use Games Area	2.5	2.5	0.0	0.0	2.5	0.0	MIX
7294	Golden Flatts - Classroom Alterations	1.2	1.1	0.1	0.0	1.2	0.0	GRANT
7295	Grange - Replace Classrooms (03/04)	26.5	0.2	0.0	26.3	26.5	0.0	GRANT
7297	Grange - Renew Annexe Timber Windows (04/05)	0.4	0.0	0.4	0.0	0.4	0.0	MIX
7298	Grange - Air Conditioning 04/05	0.5	0.0	0.5	0.0	0.5	0.0	MIX
7629	Grange - Internal Works to Kitchen	50.0	0.0	0.0	50.0	50.0	0.0	RCCO
7527	Greatham - Improvements to Kitchen Ventilation	0.1	0.1	0.0	0.0	0.1	0.0	GRANT
7359	Greatham - Car Park Improvements	7.0	0.3	6.7	0.0	7.0	0.0	MIX
7300	Greatham - Boiler Replacement (04/05)	5.0	0.0	5.0	0.0	5.0	0.0	MIX
7302	High Tunstall - Build New Gym	0.2	0.2	0.0	0.0	0.2	0.0	MIX
7303	High Tunstall - (04/05) Refurbish Toilets & Footpaths	0.2	0.0	0.2	0.0	0.2	0.0	SCE (R)
7561	High Tunstall - Dining Hall Roof Repairs	32.5	27.2	5.3	0.0	32.5	0.0	GRANT
7633	High Tunstall - 'C' Block Roof Repairs (06/07)	94.2	0.0	0.0	94.2	94.2	0.0	GRANT
7305	High Tunstall - Install Step Lift	2.9	0.0	2.9	0.0	2.9	0.0	GRANT
7500	High Tunstall - Refurb Classrooms / Equipment Purchase	100.0	56.6	43.4	0.0	100.0	0.0	GRANT
7533	Jesmond Rd - Relocate Nursery to form Foundation Unit, installation of ramps & internal works	360.0	302.8	57.2	0.0	360.0	0.0	MIX
7589	Jesmond Rd - Install Extractor Fan (06/07)	1.0	1.8	-0.8	0.0	1.0	0.0	RCCO
7498	Jesmond Rd - Install Handrail on Staircase	13.1	13.1	0.0	0.0	13.1	0.0	SCE (R)
7306	Jesmond Rd - Build Multi-Use Games Area	0.0	0.0	0.0	0.0	0.0	0.0	MIX

PORTFOLIO : CHILDREN'S SERVICES

Appendix H (cont)

CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2006/2007 Budget £'000	2006/2007 Actual as at 31/12/06 £'000	2006/2007 Expenditure Remaining £'000	Expenditure Rephased into 2007/08 £'000	C+D+E Total Expenditure £'000	F-B Variance from budget £'000	Type of financing
7307	Jesmond Rd - Resite Kitchen	6.6	6.2	0.4	0.0	6.6	0.0	GRANT
7576	Jesmond Rd - Roof Works	23.7	0.5	23.2	0.0	23.7	0.0	GRANT
	Jesmond Rd - Demolition of Kitchen Block & Install External							
7610	Lighting and White Lines to Create Car Park	55.0	14.9	40.1	0.0	55.0	0.0	RCCO
TBA	Jesmond Rd - Demolition of Nursery	17.0	0.0	17.0	0.0	17.0	0.0	RCCO
7492	Kingsley - Roof Repairs	59.4	0.4	59.0	0.0	59.4	0.0	GRANT
7308	Kingsley - Modification to Entrance (05/06)	1.9	1.8	0.1	0.0	1.9	0.0	RCCO
7513	Kingsley - Install Kitchen Interlocks	2.8	2.3	0.5	0.0	2.8	0.0	GRANT
7469	Kingsley - Extension to School for Children's Centre	267.0	0.0	0.0	267.0	267.0	0.0	GRANT
7310	Lynnfield - Install Ramps	2.1	0.0	2.1	0.0	2.1	0.0	GRANT
7311	Lynnfield - Roof Repairs (05/06)	12.9	11.2	1.7	0.0	12.9	0.0	GRANT
7493	Lynnfield - Boiler Renewal (Caretakers House)	4.8	4.8	0.0	0.0	4.8	0.0	MIX
7057	Lynnfield - Build Community Facility	17.8	0.0	17.8	0.0	17.8	0.0	GRANT
7312	Manor - Build New Science Lab	6.6	0.0	6.6	0.0	6.6	0.0	MIX
7313	Manor - Build New Tennis Courts	2.6	2.6	0.0	0.0	2.6	0.0	MIX
7572	Manor - Install Swimming Pool Ramp	22.2	22.2	0.0	0.0	22.2	0.0	SCE (R)
7314	Manor - Build E Learning Centre	31.4	0.0	31.4	0.0	31.4	0.0	MIX
7315	Manor - Replace Boiler to Drama Block	2.5	1.2	1.3	0.0	2.5	0.0	GRANT
7316	Manor - Replace Windows (05/06)	8.5	6.1	2.4	0.0	8.5	0.0	GRANT
7568	Manor - Develop New SEN/Resource Centre	141.2	33.2	105.7	2.3	141.2	0.0	MIX
7317	Owton Manor - Build New Sports Hall	12.6	0.0	12.6	0.0	12.6	0.0	MIX
7318	Owton Manor - Replace Boiler	13.0	0.1	12.9	0.0	13.0	0.0	MIX
TBA	Owton Manor - Internal Modifications to create Childrens Ctre	50.0	0.0	0.0	50.0	50.0	0.0	GRANT
TBA	Owton Manor - Remove Asbestos from Kitchen	1.4	0.0	1.4	0.0	1.4	0.0	RCCO
TBA	Owton Manor - Remedial Works to Boiler	3.5	0.0	3.5	0.0	3.5	0.0	RCCO
7596	Owton Manor - Relocate Entrance, Extend for Children's Centre & Relocate/Refurbish Library	227.0	0.3	226.7	0.0	227.0	0.0	MIX
7319	Rift House - Boiler Replacement (04/05)	3.4	3.4	0.0	0.0	3.4	0.0	MIX
TBA	Rift House - Relocation of Nursery & refurbish existing Nursery	56.0	0.0	36.0	20.0	56.0	0.0	GRANT
7320	Rossmere - Improve Access (04/05)	0.7	0.0	0.7	0.0	0.7	0.0	SCE (R)
7529	Rossmere - Caretakers House Heating	5.1	5.1	0.0	0.0	5.1	0.0	MIX
7321	Sacred Heart - Hall Extension (05/06)	0.3	0.0	0.3	0.0	0.3	0.0	RCCO
7648	Seaton Nursery - Replace Obsolete Heater	1.0	0.0	1.0	0.0	1.0	0.0	RCCO
7322	Springwell - Build Trim Trail & Ball Play Area	2.3	0.0	2.3	0.0	2.3	0.0	MIX
7323	Stranton - Build New Community Facility	27.1	0.0	27.1	0.0	27.1	0.0	MIX
7566	Stranton - Replace School Heating System	170.4	3.8	166.6	0.0	170.4	0.0	GRANT
7587	Stranton - Heating System Renewal at Caretakers (06/07)	4.8	0.0	4.8	0.0	4.8	0.0	RCCO
7597	Stranton - Develop Outside Play Area	78.4	67.0	11.4	0.0	78.4	0.0	GRANT
TBA	Stranton - Children's Centre modifications to kitchen & offices	77.9	0.0	77.9	0.0	77.9	0.0	GRANT
7515	Stranton - Improvements to Kitchen Ventilation	1.1	0.4	0.7	0.0	1.1	0.0	GRANT
7505	St Aidans - Extend Playground	49.5	22.5	25.9	1.1	49.5	0.0	MIX
7325	St Begas - Build Community Room/Toilets (Children's Centre)	4.2	4.2	0.0	0.0	4.2	0.0	GRANT
7567	St Cuthberts - Boiler Replacement	70.0	62.5	5.9	1.6	70.0	0.0	MIX
7326	St Helens - Extension to build Children's Centre	4.7	0.0	4.7	0.0	4.7	0.0	GRANT
7327	St Helens - Kitchen Refurbishment	7.0	6.9	0.1	0.0	7.0	0.0	GRANT
7597	St Helens - Develop Outside Play Area	27.0	26.6	0.4	0.0	27.0	0.0	MIX
TBA	St John Vianney - Develop Outside Nature Garden	6.1	0.0	6.1	0.0	6.1	0.0	GRANT
7328	St John Vianney - Build Children's Centre	6.3	0.0	6.3	0.0	6.3	0.0	GRANT
7023	St John Vianney - Build Early Years Centre	10.1	10.1	0.0	0.0	10.1	0.0	MIX
7330	St Teresa's - Extension to Build Childrens Centre	2.1	0.0	2.1	0.0	2.1	0.0	GRANT
7588	St Teresa's - Boiler Replacement	66.6	60.8	5.8	0.0	66.6	0.0	MIX
7422	St Hilda's - New School Build	45.8	0.8	0.0	45.0	45.8	0.0	MIX
7637	Throston - Renovations to Nursery	13.6	0.0	13.6	0.0	13.6	0.0	GRANT
7476	Ward Jackson - Replace Kitchen Windows	31.6	26.8	4.8	0.0	31.6	0.0	GRANT
7333	Ward Jackson - Create Storage Space	1.6	0.0	1.6	0.0	1.6	0.0	MIX
7334	Ward Jackson - Replace Windows Phase 2	0.9	0.0	0.9	0.0	0.9	0.0	GRANT
7335	Ward Jackson - Replace Windows Phase 3 (05/06)	1.8	1.8	0.0	0.0	1.8	0.0	GRANT
7336	West Park - Roof Repair - Phase 2 (03/04)	4.4	0.0	4.4	0.0	4.4	0.0	GRANT
7337	West Park - Develop Playground	0.2	0.0	0.2	0.0	0.2	0.0	GRANT
7338	West Park - Re-roof Phase 3 (04/05)	1.6	0.0	1.6	0.0	1.6	0.0	GRANT
7339	West Park - Roof Repairs Phase 5 (06/07)	31.4	26.6	4.8	0.0	31.4	0.0	GRANT
7573	West View - Replace Windows in Key Stage 1 Area	44.6	0.0	44.6	0.0	44.6	0.0	GRANT
7598	West View - Improve Refurbish Nursery & Reception	168.2	0.0	0.0	168.2	168.2	0.0	GRANT
7340	West View - Develop Football Facilities (03/04)	5.5	0.0	0.0	5.5	5.5	0.0	GRANT
7593	West View - Replace Boiler Control (06/07)	2.1	1.9	0.2	0.0	2.1	0.0	RCCO
7341	West View - Replace Hall Windows	2.9	2.6	0.3	0.0	2.9	0.0	GRANT
7342	Carlton Outdoor Centre Redevelopment Phase 1 - New Accommodation Block; Create Meeting Room & Storage; Develop Challenge Course and other on-site adventure opportunities;	779.6	748.2	16.2	15.2	779.6	0.0	MIX
TBA	Carlton Outdoor Centre - Redev Phase 2 (to be determined)	90.0	0.0	0.0	90.0	90.0	0.0	MIX
TBA	Carlton Outdoor Centre - Purchase & Install Challenge Course and Climbing Wall	73.0	0.0	73.0	0.0	73.0	0.0	MIX
TBA	Carlton Outdoor Centre - Emergency Boiler Replacement	0.0	0.0	0.0	0.0	0.0	0.0	MIX
TBA	Improve Kitchen Ventilation - Various Schools	30.0	0.0	30.0	0.0	30.0	0.0	GRANT
7521	Childrens Centre - Miscellaneous Capital Expenditure	8.5	3.4	5.1	0.0	8.5	0.0	GRANT

PORTFOLIO : CHILDREN'S SERVICES

Appendix H (cont)

CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2006/2007 Budget £'000	2006/2007 Actual as at 31/12/06 £'000	2006/2007 Expenditure Remaining £'000	Expenditure Rephased into 2007/08 £'000	C+D+E Total Expenditure £'000	F-B Variance from budget £'000	Type of financing
TBA	Installation of Sound Systems - Various Schools	5.0	0.0	5.0	0.0	5.0	0.0	SCE (R)
7428	Workforce Remodelling - Misc School Projects to better utilise space	549.5	292.7	256.8	0.0	549.5	0.0	GRANT
7384	Devolved Capital - Various Individual School Projects	992.2	893.8	48.4	50.0	992.2	0.0	GRANT
TBA	Construction Design Management Fee - Lump Sum Charge for entire 2006/07 Children's Services Capital Programme	12.5	8.3	4.2	0.0	12.5	0.0	GRANT
7463	Youth Capital Fund - Spend to be Determined by Young People	65.0	0.0	39.0	26.0	65.0	0.0	GRANT
7437	Playing for Success - Develop New Classroom at H'pool Utd	4.3	3.8	0.5	0.0	4.3	0.0	MIX
7502	A2L - Install Lift, Ramp & New Disabled Toilet plus internal works	72.0	1.6	70.4	0.0	72.0	0.0	SCE (R)
7421	School Travel Plans - Develop Cycle Storage at schools	84.6	14.9	19.7	50.0	84.6	0.0	GRANT
7387	Rossmere Pool Demolition	13.0	0.8	12.2	0.0	13.0	0.0	RCCO
7348	Education Development Centre - Works to Dining Room & Kitchen	2.7	0.0	0.0	2.7	2.7	0.0	RCCO
7520	Preparation Works for installing watercoolers (Various Schools)	0.7	0.0	0.7	0.0	0.7	0.0	RCCO
7518	Access 2 Learning - Mechanical & Engineering Works	13.7	0.0	13.7	0.0	13.7	0.0	RCCO
7606	Access 2 Learning - Demolition of Music Block	47.0	40.1	6.9	0.0	47.0	0.0	RCCO
N/A	Funding (Modernisation, Access, RCCO) Currently Unallocated	50.5	0.0	0.0	50.5	50.5	0.0	MIX
7447	Purchase of Interactive Whiteboards (Various Schools)	2.1	0.0	0.0	2.1	2.1	0.0	GRANT
7344	Brinkburn Pool - Reinstatement after Fire	4.1	3.9	0.2	0.0	4.1	0.0	MIX
7577	Boys Welfare Refurbishment/Redevelopment	149.6	36.0	113.6	0.0	149.6	0.0	RCCO
7347	Sure Start South - Build Children's Centre Ext at Rossmere	6.7	6.7	0.0	0.0	6.7	0.0	GRANT
TBA	Youth Service - Purchase of Mobile Youth Bus	0.0	0.0	0.0	0.0	0.0	0.0	RCCO
7625	Children's Social Services - Expenditure to be allocated	0.0	0.0	0.0	0.0	0.0	0.0	SCE (R)
7621	Children's Centres - General Capital Modifications (06-08)	1.1	0.0	1.1	0.0	1.1	0.0	GRANT
N/A	Children's Centres Grant - Unallocated (2006-08)	803.5	0.0	77.7	725.8	803.5	0.0	GRANT
7345	Sure Start North - Refurbish Office at West View Comm Ctre	2.5	0.0	2.5	0.0	2.5	0.0	GRANT
TBA	Purchase and Install new Integrated Childrens Computerised System for Children & Families	141.4	7.0	34.4	100.0	141.4	0.0	GRANT
TBA	Sure Start Central - Refurbish Daycare Suite at Chatham House	38.9	0.0	38.9	0.0	38.9	0.0	GRANT
TBA	Sure Start North - Landscaping Works at Main Centre	8.2	0.4	7.8	0.0	8.2	0.0	GRANT
7210	Capital Grant Contribution towards building Rift House Neighbourhood Nursery	4.3	0.0	0.0	4.3	4.3	0.0	MIX
		8,013.1	3,398.9	2,513.2	2,101.0	8,013.1	0.0	

Key			
RCCO	Revenue Contribution towards Capital	GRANT	Grant Funded
MIX	Combination of Funding Types	CAP REC	Capital Receipt
UCPB	Unsupported Corporate Prudential Borrowing	UDPB	Unsupported Departmental Prudential Borrowing
SCE (R)	Supported Capital Expenditure (Revenue)	SPB	Supported Prudential Borrowing

PORTFOLIO : ADULT & PUBLIC HEALTH SERVICE**Appendix I****NEIGHBOURHOOD RENEWAL FUND****REVENUE MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006**

Line No	Actual Position 31/12/06			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000	£'000	£'000
1	18.8	0.1	(18.7)	NRF - Cardiac Rehab through Exercise	25.0	25.0	0.0
2	47.2	62.9	15.7	NRF - Mental Health Development Project	62.9	62.9	0.0
3	6.8	10.1	3.3	NRF - Mobile Maintenance Worker	9.0	9.0	0.0
4	87.9	0.0	(87.9)	NRF - Connected Care / Health Trainers	117.3	117.3	0.0
5	23.4	23.4	0.0	NRF - Anchor Trust Community Development	31.1	31.1	0.0
6	18.8	0.0	(18.8)	NRF - Integrated Health & Social Care Teams	25.0	25.0	0.0
7	30.0	20.3	(9.7)	NRF - Owton Ross Health Dev Worker	40.0	40.0	0.0
8	54.4	34.9	(19.5)	NRF - Smoking Issues	72.5	72.5	0.0
9	46.4	46.4	0.0	NRF - Alzheimers Day Service	61.9	61.9	0.0
10	35.2	47.0	11.8	NRF - MIND Manager & NDC Support Network	47.0	47.0	0.0
11	15.4	15.4	0.0	NRF - Hartlepool Carers	20.6	20.6	0.0
12	15.6	15.6	0.0	NRF - Mental Health Carers Support	20.8	20.8	0.0
13	30.7	40.8	10.1	NRF - TNEY / MIND Common Mental Health Needs	41.0	41.0	0.0
14	22.5	5.8	(16.7)	NRF - Discharge Planning Post	30.0	30.0	0.0
15	90.9	121.2	30.3	NRF - Voluntary Community Sector Core Costs	121.2	121.2	0.0
16	29.3	19.5	(9.8)	NRF - Belle Vue Sports Project	39.0	39.0	0.0
17	573.3	463.4	(109.9)		764.3	764.3	0.0

PORTFOLIO : ADULT & PUBLIC HEALTH SERVICES

Appendix J

CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2006/2007 Budget £'000	2006/2007 Actual as at 31/12/06 £'000	2006/2007 Expenditure Remaining £'000	Expenditure Rephased into 2007/08 £'000	C+D+E Total Expenditure £'000	F-B Variance from budget £'000	Type of financing
7229	Cemetery Flooding Works	37.8	16.8	21.0	0.0	37.8	0.0	UDPB
7234	Chronically Sick & Disabled Persons Adaptations	108.1	39.9	68.2	0.0	108.1	0.0	MIX
7351	Improving Information Management Systems	101.9	9.8	80.1	12.0	101.9	0.0	MIX
7352	Brooklyn 'UK On-line' ICT Initiative	1.0	0.0	1.0	0.0	1.0	0.0	GRANT
7356	Joseph Rowntree Development (Extra Care Housing)	6,650.0	5,906.7	743.3	0.0	6,650.0	0.0	MIX
7389	Mental Health	223.1	0.0	0.0	223.1	223.1	0.0	SCE(R)
7403	Spion Kop Cem Environmental Project (INCA)	3.5	2.4	1.1	0.0	3.5	0.0	GRANT
7438	Adult Education - Capital Equip Replacement	23.8	0.0	23.8	0.0	23.8	0.0	GRANT
7441	Adult Education - Neighbourhood Learning in Deprived Communities Fund	81.3	40.5	40.8	0.0	81.3	0.0	MIX
7473	Grant to 'Peoples Relief of Pressure' Mental Health Initiative	11.0	11.0	0.0	0.0	11.0	0.0	SCE(R)
7531	Adult Education - Education Development Centre - Refurbishment	68.2	64.0	4.2	0.0	68.2	0.0	MIX
7578	Lynne Street ATC - Demolition	119.3	100.0	19.3	0.0	119.3	0.0	RCCO
7616	Three Rivers Housing (Extra Care Housing)	308.4	0.0	0.0	308.4	308.4	0.0	GRANT
7620	Kilmarnock Road Day Centre - ERDF Project	2.5	0.0	2.5	0.0	2.5	0.0	GRANT
7622	Adult Education - Capital Equipment Replacement	13.2	0.0	13.2	0.0	13.2	0.0	GRANT
		7,753.1	6,191.0	1,018.6	543.5	7,753.1	0.0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE ® Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

PORTFOLIO : FINANCE

Appendix K

ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006

TABLE 1 - SINGLE REGENERATION BUDGET

Line No	Actual Position 31/12/06			Description of Project	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000		£'000
1	151.2	123.1	(28.1)	Programme Administration	201.5	201.5	0.0
2	0.8	1.0	0.2	Contribution to Abbey Street Project	1.0	1.0	0.0
3	0.9	0.5	(0.4)	Headland History Project	1.1	1.1	0.0
4	3.8	0.0	(3.8)	Headland Promenade CCTV	5.0	5.0	0.0
5	15.0	20.0	5.0	Jobsbuild - Promote Employment of Local People	20.0	20.0	0.0
6	36.2	20.8	(15.4)	Targeted Training Project	48.2	48.2	0.0
7	63.4	39.0	(24.4)	Headland Tourism Marketing	84.5	84.5	0.0
8	27.3	34.2	6.9	Intermediate Labour Market	36.4	36.4	0.0
9	298.6	238.6	(60.0)		397.7	397.7	0.0

PORTFOLIO : FINANCE

Appendix K (cont)

ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006

TABLE 2 - NEW DEAL FOR COMMUNITIES

Line No	Actual Position 31/12/06			Description of Project	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000		£'000
10	25.6	25.6	0.0	Longhill - Site Manager	39.3	39.3	0.0
11	7.2	10.8	3.6	Longhill - Business Security Scheme	14.4	14.4	0.0
12	65.0	66.3	1.3	Longhill - ILM Scheme	65.0	65.0	0.0
13	9.7	9.2	(0.6)	Childcare Training	15.2	15.2	0.0
14	141.0	139.7	(1.3)	Employment Advice and Support: At Work	229.3	229.3	0.0
15	90.2	40.7	(49.4)	Enterprise Development Package	139.6	139.6	0.0
16	22.5	4.9	(17.5)	Commercial Areas - Building Modernisation	41.3	41.3	0.0
17	32.1	32.2	0.1	Commercial Areas - Bus Support Manager	47.0	47.0	0.0
18	67.3	67.3	0.0	Mental Health Support Workers	89.8	89.8	0.0
19	3.6	0.0	(3.6)	Complementary Therapies	7.1	7.1	0.0
20	18.9	18.9	(0.0)	Drop in for Health - Health Bus	25.3	25.3	0.0
21	40.1	40.1	0.0	Health Dev. Workers & Activity Block Fund	40.1	40.1	0.0
22	78.9	79.9	1.1	Sure Start Extension	180.5	180.5	0.0
23	124.1	126.6	2.6	Practical Support to Individuals	124.1	124.1	0.0
24	16.2	4.5	(11.7)	Low Level Support	32.4	32.4	0.0
25	30.3	30.6	0.3	Drug Outreach	60.7	60.7	0.0
26	26.4	4.5	(21.8)	Childrens Emotional Wellbeing	40.3	40.3	0.0
27	19.0	0.0	(19.0)	Football Development Officer	38.0	38.0	0.0
28	5.0	5.0	0.0	Hartlepool Access - Shopmobility	5.0	5.0	0.0
29	25.5	16.4	(9.1)	Access to Health	41.0	41.0	0.0
30	0.0	0.0	0.0	Young Persons Emotional Wellbeing	9.2	9.2	0.0
31	224.3	191.4	(33.0)	Community Wardens	329.8	329.8	0.0
32	77.6	70.0	(7.6)	Target Hardening - Phase 3 Security Initiative	98.2	98.2	0.0
33	14.7	14.1	(0.6)	Community Safety Grants Pool	20.0	20.0	0.0
34	19.5	19.5	0.0	Good Citizenship Initiative	26.0	26.0	0.0
35	31.3	25.0	(6.3)	Drug Enforcement Unit	50.0	50.0	0.0
36	21.0	21.0	0.0	Victim Support	28.0	28.0	0.0
37	51.8	51.3	(0.5)	Community Safety Premises	72.6	72.6	0.0
38	33.2	32.9	(0.3)	Domestic Violence	44.5	44.5	0.0
39	19.9	17.6	(2.2)	Dordrecht	39.6	39.6	0.0
40	6.2	0.0	(6.2)	CCTV Implementation - Phase 2	12.3	12.3	0.0
41	6.5	0.0	(6.5)	CCTV Implementation - Phase 3	13.0	13.0	0.0
42	13.2	6.1	(7.0)	Offender / Mentoring Scheme	16.1	16.1	0.0
43	55.1	40.7	(14.4)	Anti-Social Behaviour	81.7	81.7	0.0
44	47.9	35.1	(12.8)	Community Learning Centre - Stranton	72.2	72.2	0.0
45	49.6	39.5	(10.1)	Community Learning Centre - Lynnfield	72.4	72.4	0.0
46	27.6	27.2	(0.4)	Social Inclusion	37.0	37.0	0.0
47	19.8	32.1	12.3	Continuing Education and Vocational Training	46.8	46.8	0.0
48	42.9	37.9	(5.0)	Bursary Fund	65.6	65.6	0.0
49	10.6	7.5	(3.1)	Hoop Dreams (Education)	14.9	14.9	0.0
50	0.1	0.2	0.1	Educational Achievement Project	204.8	204.8	0.0
51	0.0	0.0	0.0	Raising Aspirations	29.0	29.0	0.0
52	0.0	0.0	0.0	Key Stage 2 & 3 Transition	45.2	45.2	0.0
53	0.0	0.0	0.0	Targeted Work in Community Learning Centres	5.0	5.0	0.0
54	22.0	22.0	0.0	Community Chest	22.0	22.0	0.0
55	13.9	13.9	0.0	Belle Vue Extension	18.5	18.5	0.0
56	9.4	9.4	0.0	Osbourne Road Hall	13.1	13.1	0.0
57	76.9	71.1	(5.8)	Ethnic Minorities	110.0	110.0	0.0
58	24.7	24.7	(0.0)	Money Advice and Debt Counselling Service	32.9	32.9	0.0
59	66.2	67.5	1.3	Money Wise Community Banking	84.3	84.3	0.0
60	59.1	50.4	(8.7)	Peoples Centre	67.9	67.9	0.0
61	29.9	21.6	(8.2)	Family Support	29.9	29.9	0.0
62	3.0	0.0	(3.0)	Voluntary Sector Premises Pool	6.0	6.0	0.0
63	130.7	130.7	(0.0)	Hartlepool Youth Project	174.2	174.2	0.0
64	75.0	62.1	(12.9)	Capacity Building	130.0	130.0	0.0
65	4.0	2.7	(1.3)	Sunday Opening	5.4	5.4	0.0
66	9.0	7.4	(1.7)	Arts Development Initiative	9.0	9.0	0.0
67	4.9	4.9	0.0	Grange Road Methodist Church	4.9	4.9	0.0
68	8.7	6.3	(2.4)	Community Transport	12.5	12.5	0.0
69	37.2	43.6	6.4	Horizon Centre	43.6	43.6	0.0
70	78.7	79.6	0.9	Childrens Activities Project	105.6	105.6	0.0
71	30.3	19.6	(10.7)	Hartbeat	41.1	41.1	0.0
72	32.9	32.6	(0.3)	Housing Advice and Tenancy Support Service	44.1	44.1	0.0
73	118.9	98.4	(20.5)	Environmental Task Force	161.1	161.1	0.0

PORTFOLIO : FINANCE**Appendix K (cont)****ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006****TABLE 2 (cntd) - NEW DEAL FOR COMMUNITIES**

Line No	Actual Position 31/12/06			Description of Project	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000		£'000
74	255.5	229.2	(26.3)	Housing Regeneration Company	434.5	434.5	0.0
75	89.2	86.1	(3.1)	Evaluation Project	139.1	139.1	0.0
76	49.4	48.8	(0.6)	Communications Project	65.0	65.0	0.0
77	73.9	47.2	(26.7)	Neighbourhood Management	118.2	118.2	0.0
78	7.0	3.5	(3.5)	Hartlepool Partners	7.0	7.0	0.0
79	436.8	418.9	(18.0)	Management and Administration	573.6	573.6	0.0
80	0.0	0.0	0.0	Income from Revival	(578.9)	(578.9)	0.0
80	3,368.5	2,994.6	(373.9)		4,583.7	4,583.7	0.0

PORTFOLIO : FINANCE**Appendix K (cont)****ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006****TABLE 3 - SINGLE PROGRAMME**

Line No	Actual Position 31/12/06			Description of Project	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000		£'000
81	45.0	45.0	0.0	Management and Administration	60.0	60.0	0.0
82	564.8	464.8	(100.0)	Building Futures	753.0	753.0	0.0
83	29.2	20.2	(9.0)	Coastal Arc Coordinator	38.9	38.9	0.0
84	45.0	8.8	(36.2)	Coastal Arc Marketing	60.0	60.0	0.0
85	5.0	5.0	0.0	Coastal Arc Tourism (Events Hartlepool)	5.0	5.0	0.0
86	3.4	0.0	(3.4)	Coastal Arc Tourism (Events Redcar)	4.5	4.5	0.0
87	692.3	543.8	(148.5)		921.4	921.4	0.0

PORTFOLIO : FINANCE**Appendix K (cont)****ACCOUNTABLE BODY REVENUE MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006****TABLE 4 - ACCOUNTABLE BODY PROGRAMME**

Line No	Actual Position 31/12/06			Description of Best Value Unit	Projected Outturn Position		
	Expected Expenditure/ (Income)	Actual Expenditure/ (Income)	Variance Adverse/ (Favourable)		2006/7 Latest Budget	2006/07 Projected Outturn	Projected Variance: Adverse/ (Favourable)
Col. A	Col. B	Col. C	Col. D (D=C-B)	Col. E	Col. F	Col. G	Col. H (H=G-F)
	£'000	£'000	£'000		£'000		£'000
88	321.9	314.6	7.3	Children's Fund Partnership	410.6	410.6	0.0
89	321.9	314.6	(7.3)		410.6	410.6	0.0

PORTFOLIO : FINANCE

Appendix L

CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006

TABLE 1 - RESOURCES

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2006/2007 Budget £'000	2006/2007 Actual as at as at 31/12/06 £'000	2006/2007 Expenditure Remaining £'000	Expenditure Rephased into 2007/08 £'000	C+D+E 2006/2007 Total Expenditure £'000	F-B 2006/2007 Variance from budget £'000	Type of financing
7256	Memorial for Lives Lost at Sea	4.8	0.0	4.8	0.0	4.8	0.0	CAP REC
7258	Improvements to Public Facilities	6.6	0.0	6.6	0.0	6.6	0.0	CAP REC
7259	Demolition of Stranton House	0.8	0.0	0.8	0.0	0.8	0.0	CAP REC
7260	Piazza and Slipway - Trincomalee Trust	0.3	0.0	0.3	0.0	0.3	0.0	GRANT
7262	Archive Store Refurbishment	7.9	0.4	7.5	0.0	7.9	0.0	CAP REC
7263	York Flatlets Demolition	7.7	0.0	7.7	0.0	7.7	0.0	CAP REC
7264	Mobile Benefits	135.7	4.6	131.1	0.0	135.7	0.0	RCCO
7464	Establishment of Contact Centre	1,011.3	541.2	457.5	12.6	1,011.3	0.0	UDPB
7467	War Memorials Refurbishment	98.0	0.0	0.0	98.0	98.0	0.0	UCPB
7445	Financial Management System Development	265.9	265.9	0.0	0.0	265.9	0.0	RCCO
7446	EDRMS and Workflow Development	283.3	283.3	0.0	0.0	283.3	0.0	RCCO
7418	St Benedicts/Barlows Building Work	115.7	115.7	0.0	0.0	115.7	0.0	CAP REC
7468	Information Technology Strategy	500.0	0.0	500.0	0.0	500.0	0.0	UDPB
7623	Corporate Information Technology Projects	114.6	5.8	108.8	0.0	114.6	0.0	RCCO
7631	Members ICT/Flexible /Remote Access	200.8	166.8	34.0	0.0	200.8	0.0	RCCO
7634	Town Centre LIFT Scheme	90.0	0.0	90.0	0.0	90.0	0.0	CAP REC
7257	DDA Works / BVPI 156	27.8	0.0	27.8	0.0	27.8	0.0	UCPB
7201	Corp Plan Maint - Civic Centre - PH4 Bal System	3.9	0.9	3.0	0.0	3.9	0.0	RCCO
7449	Corp Plan Maint - Rossmere YC - DDA Works	7.1	0.0	7.1	0.0	7.1	0.0	RCCO
7602	Corp Plan Maint - EDC PH2 Roofing - Conf Hall	0.7	0.0	0.7	0.0	0.7	0.0	RCCO
7603	Corp Plan Maint - EDC PH3 Roofing - Conf Hall	62.5	27.9	34.6	0.0	62.5	0.0	RCCO
7496	Corp Plan Maint - Throston Library - Roofing	49.6	0.1	49.5	0.0	49.6	0.0	RCCO
7503	Corp Plan Maint - Church St Offices - Boiler Repairs	37.7	0.2	37.5	0.0	37.7	0.0	RCCO
7604	Corp Plan Maint - Civic Centre - Electrical Testing	8.2	0.0	8.2	0.0	8.2	0.0	RCCO
	Borough Hall Boiler Replacement	22.2	0.0	22.2	0.0	22.2	0.0	RCCO
7585	Corp Plan Maint - A21 - Boiler Replacement	84.3	83.0	1.3	0.0	84.3	0.0	RCCO
7200	Civic Centre Capital Maintenance	1873.8	62.9	537.1	1,273.8	1,873.8	0.0	UCPB
7483	Civic Centre - HR Relocation	79.6	7.6	72.0	0.0	79.6	0.0	MIX
		5,100.8	1,566.3	2,150.1	1,384.4	5,100.8	0.0	

PORTFOLIO : FINANCE

Appendix L (cont)

CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006

TABLE 2 - SINGLE REGENERATION BUDGET

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2006/2007 Budget £'000	2006/2007 Actual as at 31/12/2006 £'000	2006/2007 Expenditure Remaining £'000	Expenditure Rephased into 2007/08 £'000	C+D+E Total Expenditure £'000	F-B Variance from budget £'000	Type of financing
7000	Voluntary Sector Premises Pool	30.0	30.0	0.0	0.0	30.0	0.0	SRB
7001	Headland Community Resource Centre Ph 1 & 2	20.9	0.0	20.9	0.0	20.9	0.0	HBC
7002	Sports Improvement Scheme	75.6	39.6	36.0	0.0	75.6	0.0	MIX
7003	Carnegie Building Refurbishment	159.9	22.1	137.8	0.0	159.9	0.0	HBC
7004	Tackling Crime Together - Street Lighting Project	8.5	1.1	7.4	0.0	8.5	0.0	MIX
7007	Oakesway Industrial Improvement Area	7.0	0.0	7.0	0.0	7.0	0.0	SRB
7008	Commercial Improvement Area	207.6	0.0	207.6	0.0	207.6	0.0	MIX
7009	Developing Enterprise Scheme	13.4	0.0	13.4	0.0	13.4	0.0	SRB
7010	Heugh Battery Project	4.9	4.9	0.0	0.0	4.9	0.0	SRB
7021	Heugh Battery Project - Phase 2/2B	549.1	11.8	537.3	0.0	549.1	0.0	MIX
7011	Repair & Restoration of Headland Key Buildings (grants)	262.5	5.5	257.0	0.0	262.5	0.0	MIX
7012	Headland Environmental Public Arts Programme	316.8	24.6	292.2	0.0	316.8	0.0	MIX
7013	Headland Town Square	317.4	313.7	3.7	0.0	317.4	0.0	MIX
7015	Targeted Private Housing Improvements	96.8	78.4	18.4	0.0	96.8	0.0	MIX
7016	Environmental Improvements - Key Residential Areas	204.6	6.3	198.3	0.0	204.6	0.0	MIX
7417	Friarage Field Buildings Demolition	44.9	5.5	39.4	0.0	44.9	0.0	MIX
7647	Headland Bowls Pavilion Renovation	27.5	15.5	12.0	0.0	27.5	0.0	MIX
		2,347.4	559.0	1,788.4	0.0	2,347.4	0.0	

PORTFOLIO : FINANCE

Appendix L (cont)

CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006

TABLE 3 - NEW DEAL FOR COMMUNITIES

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2006/2007 Budget £'000	2006/2007 Actual as at 31/12/2006 £'000	2006/2007 Expenditure Remaining £'000	Expenditure Rephased into 2007/08 £'000	C+D+E 2006/2007 Total Expenditure £'000	F-B 2006/2007 Variance from budget £'000	Type of financing
7058	Longhill Junction Improvements	79.4	0.0	79.4	0.0	79.4	0.0	MIX
7059/7060	Longhill Business Security and Environmental Imps	194.9	40.1	154.9	0.0	194.9	0.0	MIX
7061	Business Security Fund	85.2	73.4	11.8	0.0	85.2	0.0	NDC
7062	CIA Building Modernisation Grant	555.7	365.1	190.6	0.0	555.7	0.0	NDC
7063	CIA Environmental Improvements	431.6	142.1	289.6	0.0	431.6	0.0	MIX
7054	Crime Premises	40.0	2.7	37.3	0.0	40.0	0.0	NDC
7056	Target Hardening Phase 3	124.0	14.7	109.3	0.0	124.0	0.0	NDC
7051	Voluntary Sector Premises Pool	106.5	42.1	64.4	0.0	106.5	0.0	NDC
7052	Peoples Centre	65.6	2.4	63.2	0.0	65.6	0.0	NDC
7053	Hartlepool Youth Project	14.5	6.7	7.8	0.0	14.5	0.0	NDC
7071	Area Remodelling Project	4000.6	1598.1	2,402.5	0.0	4,000.6	0.0	MIX
7065	Neighbourhood management	27.5	9.7	17.8	0.0	27.5	0.0	NDC
7076	Physical Improvements	550.0	11.4	538.6	0.0	550.0	0.0	NDC
7079	Ethnic Minorities Building Purchase	150.0	0.0	150.0	0.0	150.0	0.0	NDC
		6,425.5	2,308.3	4,117.2	0.0	6,425.5	0.0	

PORTFOLIO : FINANCE**Appendix L (cont)****CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006****TABLE 4 - SINGLE PROGRAMME**

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B	C	D	E	F	G	H
		2006/2007 Budget £'000	2006/2007 Actual as at 31/12/2006 £'000	2006/2007 Expenditure Remaining £'000	Expenditure Rephased into 2007/08 £'000	C+D+E Total Expenditure £'000	F-B Variance from budget £'000	Type of financing
7103	Coastal Arc CAA ~ Wingfield Castle	367.1	136.3	230.8	0.0	367.1	0.0	GRANT
7504	Seaton Carew Bus Station - Landscaping	26.0	26.0	0.0	0.0	26.0	0.0	GRANT
7102	Interreg Joint Costs Planning new Activities	12.6	1.5	11.1	0.0	12.6	0.0	GRANT
		405.7	163.8	241.9	0.0	405.7	0.0	

Key

RCCO Revenue Contribution towards Capital
MIX Combination of Funding Types
UCPB Unsupported Corporate Prudential Borrowing
SCE @ Supported Capital Expenditure (Revenue)

GRANT Grant Funded
CAP REC Capital Receipt
UDPB Unsupported Departmental Prudential Borrowing
SPB Supported Prudential Borrowing

PORTFOLIO : PERFORMANCE MANAGEMENT**Appendix M****CAPITAL MONITORING REPORT PERIOD ENDING 31st DECEMBER 2006**

Project Code	A Scheme Title	EXPENDITURE IN CURRENT YEAR						
		B 2006/2007 Budget £'000	C 2006/2007 Actual as at 31/12/06 £'000	D 2006/2007 Expenditure Remaining £'000	E Expenditure Rephased into 2007/08 £'000	F C+D+E Total Expenditure £'000	G F-B Variance from budget £'000	H Type of financing
7466	DSO Vehicle Purchase	1,130.0	738.4	391.6	0.0	1,130.0	0.0	UDPB
7642	Works to Post Room - Bryan Hanson House	6.0	0.9	5.1	0.0	6.0	0.0	MIX
		1,136.0	739.3	396.7	0.0	1,136.0	0.0	

Key

RCCO

Revenue Contribution towards Capital

GRANT

Grant Funded

MIX

Combination of Funding Types

CAP REC

Capital Receipt

UCPB

Unsupported Corporate Prudential Borrowing

UDPB

Unsupported Departmental Prudential Borrowing

SCE ®

Supported Capital Expenditure (Revenue)

SPB

Supported Prudential Borrowing

CABINET REPORT

19th February 2007



Report of: Director of Children's Services

Subject: DISAGGREGATION OF CONNEXIONS TEES VALLEY COMPANY

SUMMARY

1. PURPOSE OF REPORT

To seek approval for the arrangements to disaggregate the Connexions Tees Valley Company from 31st March 2007 and the transfer of part of the Connexions Service to each of the five local authorities (LAs), pursuant to a decision of the Tees Valley Connexions Board on 27th July 2005 and Cabinet's decision at its meeting on 10th October 2005.

2. SUMMARY OF CONTENTS

The report provides background on the progress made towards disaggregation of the Tees Valley Connexions Company. Information is provided on financial and legal implications for the Borough Council if disaggregation takes place. There is also an assessment of risks to the Council in relation to assets, human resources and job evaluation.

3. RELEVANCE TO CABINET

The Connexions Tees Valley Company is wholly owned by the five Tees Valley local authorities.

4. TYPE OF DECISION

Non-key.

5. DECISION MAKING ROUTE

Cabinet.

6. DECISION(S) REQUIRED

1. Cabinet is asked to note and approve the disaggregation of the Connexions Tees Valley Company from 31st March 2007 as established in the recommendation of 10th October 2005.
2. Cabinet is asked to delegate authority to the Director of Children's Services, in consultation with the Lead Member for Children's Services, to complete the transfer arrangements including: entering into the Transfer Agreement; assignment of the Tower Street one-stop shop; and processing all ancillary and related documentation.
3. The Tees Valley Connexions Company and the other four Tees Valley local authorities are informed of the decision of the Hartlepool Cabinet.
4. Any substantial changes in the planned timetable for disaggregation or the level of identified risk will be reported back to Cabinet.

Report of: Director of Children's Services

Subject: DISAGGREGATION OF CONNEXIONS TEES VALLEY COMPANY

1. PURPOSE OF REPORT

To seek approval for the arrangements to disaggregate the Connexions Tees Valley Company from 31st March 2007 and the transfer of part of the Connexions Service to each of the five local authorities (LAs), pursuant to a decision of the Tees Valley Connexions Board on 27th July 2005 and Cabinet's decision at its meeting on 10th October 2005.

2. BACKGROUND

On 10th October 2005 Cabinet gave approval to the recommendation of the Connexions Tees Valley Board to work towards the disaggregation of the Tees Valley Connexions Company to five LAs by 31 March 2007, and authorised the Director of Children's Services, in consultation with the Lead Member for Children's Services, to work with the Tees Valley LAs to effect this. The Connexions Tees Valley Company was established in September 2002. Its forerunner was the 'Future Steps' careers service. It is a company limited by guarantee and is wholly owned by the five Tees Valley LAs.

As identified in the Cabinet Report of 10th October 2005, the Tees Valley LAs are participating in the DfES-funded Migration Pilot which through a Partnership Agreement with the Connexions Company has involved a closer integration of the work of Connexions Locality Teams with the evolving integrated youth support offer. In Hartlepool this has strengthened partnership and joint working with the Youth Service. The Locality Team Manager has joined the Children's Services Department Senior Management group meetings and has regular progress reviews with the Assistant Director (Planning and Service Integration).

Oversight of the disaggregation process has been undertaken on behalf of the LAs by the Planning Group (PLaG) whose membership comprises officers from each of the Tees Valley LAs, senior officers from the Connexions Company, and a representative from the Government Office for the North East. In order to address the key disaggregation issues and assess the risks a series of Operational Groups have been established, again with officer representation from the five LAs and the Connexions Company: Finance, Legal, Personnel, Information Management and a practitioner group comprising Locality Managers to identify issues at a local level.

All the groups began work to PLaG's Project Plan and subsequently have drawn up their own risk-assessed Action Plans. All the groups have worked well together and with the Connexions Directorate to achieve consensus. The process is on target to achieve disaggregation across the Tees Valley on 31st March and for the Connexions-branded information, advice, and guidance service to begin operating on 1st April 2007 as part of each LA's evolving integrated youth support offer. External evaluators who have been monitoring the process have given a positive judgement on the leadership of the disaggregation process through PLaG and of the priority given to achieving consensus on key issues.

In order for the disaggregation to take place the Connexions Board will need to agree a winding-up resolution which has been prepared by the Company's legal advisors, Dickinson Dees, and consulted on with the Legal Operational Group. In addition the Cabinet of each LA will need to approve Recommendations on disaggregation, transfer of part of the service, and delegation of authority to complete the arrangements. A similar report will therefore be going to the Cabinets of all the Tees Valley authorities.

3. PROPOSALS

It is proposed that work on the final stages of the disaggregation process of the Connexions Tees Valley Company should be completed further to Cabinet's decision of 10th October 2005 which approved work towards disaggregation.

4. FINANCIAL CONSIDERATIONS

The Government Office for the North East (GONE) has notified the authority of its revenue allocation (£1,070,239) for 2007/08 which is its formula derived share of a standstill budget for the Tees Valley. In addition a further £139,777 is being made available through the Local Area Agreement to continue the work of staff who are engaged in the Positive Activities for Young People programme. This will enable the authority to refine and publish its structure for delivering its Connexions services.

Following extensive negotiation GONE has announced a level of financial support for disaggregation through its Transition Support Fund (TSF) (£2.3m across the Tees Valley) which has allayed anxieties over authorities needing to cover a significant deficit on disaggregation.

The Connexions Company has estimated reserves of approximately £1.2m which can be used to offset the pension fund deficit.

The TSF will fund the setting up costs in Stockton of a Tees Valley-wide Management Information Unit to service the data and information needs of all five authorities. It emerged during the year that this Tees Valley-wide provision was a DfES requirement. The service will be provided under a

Service Level Agreement, the terms and costs of which have been agreed between the local authorities. The annual charge to Hartlepool on current estimates will be around £47,000 which is based on a proportionate share of the costs.

5. LEGAL CONSIDERATIONS

The Legal Operational Group has been an important source of advice to the Planning Group, and the Finance and Personnel groups in their work with Connexions whose Board has its own independent legal advice.

The legal arrangements for transferring part of the Connexions business to the 5 local Authorities (including employees, assets and any liabilities, contracts etc) will be completed by executing a Transfer Agreement, a draft of which has been considered by the Legal Officers of the 5 local authorities, PLaG, Connexions Legal Advisers and Connexions Chief Executive.

The detail of the Transfer Agreement is still being worked on by officers from the five local authorities in conjunction with the recommendations of the Board and their legal advisers.

In the event of any liabilities being incurred by the LAs which cannot be recouped under the indemnity given by Connexions because there are no available assets to meet the liability, it is proposed that the costs would be apportioned between the individual LAs in accordance with the Connexions formula funding grant allocation. This would mean that approximately 14% of any liabilities would fall to Hartlepool.

It is the intention of Connexions post transfer to seek to dissolve the company by pursuing a striking off application. This can be achieved provided the Company is solvent and does not trade for a period of 3 months. There is a statutory process to follow to apply for the striking off which will include giving notice of the application. The Transfer Agreement refers to the intention to pursue this course of action post transfer and also that the LAs will be consulted prior to an application being made.

6. RISK ASSESSMENT

There are clearly potential risks to authorities in approving the recommendation formally to disaggregate the Connexions Company on 31st March which the Planning Group and Operational Groups have sought (and continue to seek) to control and reduce. The areas of risk are outlined below.

- **Assets**

The Connexions Company has negotiated a continuation of its Headquarter's lease up 30th June 2007 to enable the winding up of the

company. It is working with the LAs on the transfer of the leases of one-stop shops and clarifying the position on dilapidations. The Transition Support Fund provides funding to meet dilapidations required by landlords on the transfer of leases. The Connexions Board has agreed an Assets Disposal Policy and the Company is conducting an inventory. The Legal and Finance Operational Groups are working with the Company to control and reduce the risk; the risk is low.

A small residuary team is proposed to operate until 31st June 2007 to complete the administration required to wind the Company up. There is a risk that, were key staff to leave before that time, the Board and the LAs would struggle to complete the task. PLaG is working with the Connexions Directorate to take any necessary action to control and reduce the risk.

- Human Resources

There are two areas of significant risk in this area which is being overseen by the Personnel Operational Group which is working with the Company to control and reduce them:

Redundancy – As LAs are not proposing to set up mini-Connexions Companies but rather to integrate into the youth support offer, under the Connexions brand, the information, advice and guidance strand, the LAs and the Connexions Board agreed that Connexions will not be recognisable post transfer as a single economic entity and therefore not all employees would necessarily be eligible for TUPE transfer. To determine whether employees were engaged in those parts of the Connexions business which would be recognisable post transfer and therefore be eligible for TUPE transfer, Connexions, in consultation the LAs, have applied three criteria to determine eligibility: 1. Is the post wholly or mainly in one geographical area? 2. Will the post be recognisable in the new structure? 3. Will there be a continuing need for the post?

As a result 43 staff have been designated as not being eligible to TUPE transfer and designated as at risk of redundancy. Since the beginning of January redundancy notices are being issued on a phased basis. To mitigate the effects of redundancy the LAs have voluntarily agreed a Management of Change protocol to treat these at risk staff in the same way as redeployees within their own authorities in terms of prior notification of vacancies and early consideration of appropriately qualified staff. This practice has already enjoyed some success.

The TSF provides funding support for meeting redundancy and early retirement costs.

Job Evaluation – This is an issue that has been for the Company to determine. Before any discussions had begun on disaggregation the Connexions Board had initiated consultations on the replacement of the Hay pay system it had introduced, specifically the difficulty in implementing the performance pay element. It resolved to introduce a new system with pay

and conditions linked to APT&C. The discussions were lengthy and Unison at a late stage withdrew support following advice from national headquarters. With disaggregation looming, the Company's legal advisers advised against implementation. The Board nonetheless agreed to go ahead with implementation and a statutory consultation period was entered into due to Unison's withdrawal of support. Dickinson Dees wrote again to the Company restating its advice not to go ahead. The outcome of the consultation was reported to the Connexions Board on 31st January 2007. The decision of the Board was that job evaluation should not after all be implemented. Risk of future liabilities for local authorities could exist either with or without implementation of job evaluation. Any such liability would be managed in Hartlepool within the Connexions grant the authority receives from central government and could be managed by paying back on a loan basis over a number of years.

- Continuity of service delivery and performance

The Planning Group has worked closely with the Connexions Company to ensure that there is no decline in the quality of service delivered to young people, nor detriment in the achievement of the service targets. The risk continues to be low in this area.

7. RECOMMENDATIONS

1. Cabinet is asked to note and approve the disaggregation of the Connexions Tees Valley Company from 31st March 2007 as established in the recommendation of 10th October 2005.
2. Cabinet is asked to delegate authority to the Director of Children's Services, in consultation with the Lead Member for Children's Services, to complete the transfer arrangements including: entering into the Transfer Agreement; assignment of the Tower Street one-stop shop; and processing all ancillary and related documentation.
3. The Tees Valley Connexions Company and the other four Tees Valley local authorities are informed of the decision of the Hartlepool Cabinet.
4. Any substantial changes in the planned timetable for disaggregation or the level of identified risk will be reported back to Cabinet.

8. CONTACT OFFICER

Adrienne Simcock, Director of Children's Services (Tel: 523734)

CABINET REPORT

19 February 2007



Report of: Director of Neighbourhood Services

Subject: Civic Centre Accommodation Changes

SUMMARY

1. PURPOSE OF REPORT

- 1.1 To inform Cabinet Members on the progress of works to the Civic Centre.
- 1.2 To seek Cabinet approval to Civic Centre accommodation changes.

2. SUMMARY OF CONTENTS

- 2.1 The report outlines the programme of works being undertaken at the Civic Centre, and the necessary decanting arrangements, and seeks approval to the changes in layout.

3. RELEVANCE TO CABINET

- 3.1 The report considers major changes to the Council's main administrative building, including accommodation for Members of the Council.

4. TYPE OF DECISION

- 4.1 Non key decision.

5. DECISION MAKING ROUTE

- 5.1 Cabinet - Monday, 19 February 2007.

6. DECISIONS REQUIRED

- 6.1 That Cabinet Members are requested to endorse the programme of works and decanting arrangements for the Civic Centre.
- 6.2 That Cabinet approves proposed changes to Member accommodation and the Civic Suite.

Report of: Director of Neighbourhood Services

Subject: Civic Centre Accommodation Changes

1. PURPOSE OF REPORT

- 1.1 To inform Cabinet Members on the progress of works to the Civic Centre.
- 1.2 To seek Cabinet approval to Civic Centre accommodation changes.

2. BACKGROUND INFORMATION

- 2.1 At its meeting on 22 July 2005 Cabinet approved major refurbishment works to the Civic Centre and the construction of the Contact Centre.
- 2.2 Works to the front facing part of the Contact Centre have been completed and “Hartlepool Connect” is now in operation.
- 2.3 The two projects have significant overlap in technical requirements for utilities provision, and so they are being managed as one project that has phased construction work over a three year period. In particular the ICT and electrical power supply scope of work requires modification of the same distribution panels (including the provision of new ICT panels) and the construction sequence has been determined by the constraints within the existing system, and the requirement to maintain service provision to the public from the Civic Centre.
- 2.4 The constraints of the existing utility systems have also dictated the size of construction areas that can be addressed at any time. For Levels 2, 3 and 4 this means that a Level has to be modified in two work areas: the East Wing and the North Wing. As the power supplies and ICT will be disconnected to allow the construction work to be implemented, staff will need to be decanted to other accommodation during the construction period.

3. PROGRAMME OF WORKS

- 3.1 Phase 1: - Contact Centre (front) completed February 2007.
Contact Centre (rear) to be completed March 2007.

3.2 Phase 2: - Level 2 East Wing

- This area is currently occupied by the Revenues Team and Members' facilities (Members' lounge, library, administration rooms and Cabinet offices).
- Maintenance work in this wing will require 30 staff to be decanted and the Members' facilities to be relocated from March 2007 for a period of approximately 3 months.
- Staff from the Finance division and Members' facilities will be affected -
 - Finance Revenues team
 - Members' lounge
 - Members' library
 - 8 Cabinet/administration rooms

Proposed decanting arrangements: -

- The Finance revenues team to be located in the contact centre back office area on Level 2 (24 staff) and the available space on Level 3 (6 staff).
- Cabinet Members to be without cellular office accommodation for the duration of the works. The Members' lounge and library to be relocated to Committee room A, and available for Members' use. Use of 'hot desking' and other meeting facilities will be required.

3.3 Level 2 Members Areas

- Current proposals have the potential to open up room for the Executive Members including meeting / private office facilities, and an open-plan Members' lounge and library with possible private meeting room. Each group will still have a room in current proposals. Proposals look to provide space for Scrutiny in terms of office and meeting area off the long corridor (existing office plus adjacent office).
- During these works the corporate ICT project requirements will be incorporated into the design and construction programme. This will allow installation of the infrastructure to support a wireless link to allow Members to access their documents from the HBC network and Intranet while in the Cabinet open plan area and the Members' lounge/library.

4 MEMBERS CONSULTATION TO DATE

4.1 Comments from various Briefings, May – October 2006

- Cabinet Offices – whilst works are taking place Cabinet Members could, in principle, “hot desk” and use the area where the Members’ lounge and library will be temporarily located, as long as there is access to meeting facilities. The point was clearly made about restricting the construction of offices in accommodation changes. This will be taken on board.
- The Mayor and Councillors’ support office would move to temporary accommodation on Level 2.
- The development of Members’ ICT and the Civic Suite was specifically discussed and subsequently agreed by Cabinet.
- There was some support, particularly with new ICT arrangements in mind, for having a Cabinet Members shared area with ICT equipment plus maybe two offices for private meetings, telephone calls etc.
- Executive Members were generally open to the proposals of not having individual offices; current use was varied.

4.2 Scrutiny

- Discussions have taken place with the Scrutiny Coordinating Committee Chair to discuss options. Scrutiny’s preference was to retain the existing Scrutiny Chair’s office off the long gallery and potentially add the (adjacent) office to give the necessary room for meetings (subject to the potential new arrangements for Cabinet Members).

4.3 Members’ Consultation Event held on 10 October 2006

- Members were shown a sketch of a proposed layout of Member accommodation and their views sought on how they felt open plan working would assist them.
- The views of Members were sought on what facilities they would like to see combined in the Members’ lounge/library. Members indicated they would like to see a configuration which included: -
 - Hot Desking Area
 - Library Facilities
 - Lounge Facilities
 - Refreshments Area
 - Confidential Meeting Area
- Additional comments were provided by Members in relation to accommodation. These were: -

- Need for confidential area for staff issues eg. Members' services.
- Current set-up is comfortable but not a good working environment.
- New arrangement needs to be comfortable but also needs to accommodate a working environment.
- A room must be provided for scrutiny chairs.
- Group offices must be retained.
- Cabinet Members require a secure area for papers (file cabinet).
- Secure area required for laptops.

5. FUTURE PHASES OF WORK

- Phase 3:
- Level 2 North Wing (facing Police Station)
 - Staff to be decanted to areas on Levels 1, 2 and 3 plus Municipal Buildings. Use of hot desking / mobile / home working will also be required
 - Mayor and Councillors support office decanted to Committee Rooms A and C
 - **Duration of works JUNE – SEPTEMBER 2007**

- Phase 4: - Level 4 East Wing (OCTOBER - FEBRUARY 2007)

- Phase 5: - Level 4 North Wing (MARCH 2008 – JULY 2008)

- Phase 6: - Level 3 East Wing (AUGUST 2008 – NOVEMBER 2008)

- Phase 7: - Level 3 North Wing (DECEMBER 2008 – APRIL 2009)

- Phase 8: - Level 1 (APRIL 2009)

DATES ARE GUIDELINE AND WILL BE REASSESSED AFTER EACH PHASE OF THE WORKS

6. DECANTING PROPOSALS

6.1 Use of Levels 1 and 3

Space and offices on Levels 1 and 3 will be the main decanting areas.

In Phase 2 works (East wing) staff can be decanted to a variety of locations within the Civic Centre. Members' lounge/library is to be relocated to Committee Room A. However, in future phases there are not enough spaces in the Civic Centre for decanting.

6.2 Alternatives for Decanting

The following alternative locations are to be considered: -

- The Municipal Building ground floor offices and training rooms.

- The use of the Municipal Buildings will have an impact relating to the provision of the corporate training services delivery. The building will accommodate up to 40 staff including utilising the training rooms, or 12 staff using existing vacated offices adjacent to the main reception.
- The use of the Municipal Buildings for key staff accords with the Council's Business Continuity Plan, if major buildings such as the Civic Centre or Bryan Hanson House were lost for a period of time.

6.3 Use of Satellite Office Bases

- There are a variety of Council buildings around the Borough which could be utilised in small measures.

6.4 Changes in Method of Working

- The accommodation requirements for the number of staff to be decanted at each phase of the Capital Maintenance project could be reduced if alternative ways of working are considered. The methods of service delivery and team working should be assessed by managers, where the possibilities for mobile/home working could be considered prior to agreement of decanting accommodation requirements

7. CIVIC SUITE

- 7.1 Works to the Civic suite will commence in March 2007 and will take up to three months.
- 7.2 The major works will be undertaken in the Council Chamber in terms of lighting, decoration, carpets, furniture and ICT/audio visual works. There will be more minor works to Committee Rooms, including decorating and furniture.
- 7.3 Alternative designs/decorations for the Council Chamber were displayed at various Briefings/open events on 10 October 2006. Comments received indicated a preference for more modern flexible accommodation and furniture, improved lighting and audio/visual facilities. The proposed design layout will be available to view at the Cabinet meeting.
- 7.4 During the works the oil paintings within the Civic Suite will be removed, stored and relocated by the Museum service.
- 7.5 Council meetings will need to be relocated for the period of time that the Civic Suite area is undergoing refurbishment.

8. CONCOURSE

- 8.1 Works to improve the concourse to the Civic Centre from Victoria Road will commence in April 2007 and last for around two months. Access to the Civic Centre and Law Courts will be maintained during this period.

9. SUMMARY

- 9.1 Works to Level 2 East Wing and the Civic Suite are programmed to commence in March 2007 and the new layouts, particularly for the Members' areas need to be finalised.
- 9.2 The current proposals are designed to improve the standard of accommodation, work more efficiently and ensure flexibility for the future especially in terms of ICT facilities.

10. RECOMMENDATIONS

- 10.1 That Cabinet Members are requested to endorse the programme of works and decanting arrangements for the Civic Centre.
- 10.2 That Cabinet approves proposed changes to Member accommodation and the Civic Suite.

CABINET REPORT

19 February 2007



Report of: Director of Adult and Community Services

Subject: CONNECTED CARE IN OWTON

SUMMARY

1. PURPOSE OF REPORT

To update the Cabinet on the Connected Care pilot in Owton, with more details of the scheme, partnership arrangements and resource implications.

2. SUMMARY OF CONTENTS

1. Connected Care
2. Social Enterprise
3. Partnership arrangements
4. Progress to date
5. Resources for the Pilot

3. RELEVANCE TO CABINET

This report provides additional information requested by the Cabinet at its meeting of 18th December 2006.

4. TYPE OF DECISION

Non-Key

5. DECISION MAKING ROUTE

Cabinet – 16 February 2007

6. DECISION(S) REQUIRED

- i. To support the continuation of the Connected Care pilot.
- ii. To receive regular updates on the Connected Care pilot including the evaluation report to be completed by Durham University
- iii. Depending on the outcome of the Owton pilot, to receive further reports on the practicality and feasibility of developing a Connected Care Model within other Hartlepool Wards.

Report of: Director of Adult and Community Services

Subject: CONNECTED CARE IN OWTON

1. PURPOSE OF REPORT

- 1.1 To update the Cabinet on the Connected Care pilot in Owton, with more details of the scheme, partnership arrangements and resource implications.

2. WHAT IS CONNECTED CARE?

- 2.1 Connected Care aims to provide integrated health and social care services that address the “whole person”, meeting their complex needs in terms of breadth (range of need) and depth (severity of need). It brings together health and social care services as well as housing, education, employment, community safety and transport to provide a wide range of support that covers both universal services and more targeted provision for those with specific needs. It is an innovative model of service delivery which aims to bridge the gap between health and social care while ensuring that local communities are directly influencing and assisting in the redesign of services.

The Connected Care service will consist of the following elements:

- 2.2 **Care navigation:** Navigators will provide the core of the Connected Care service. They will focus on the needs of the individual resident as defined by that person. They will look across the whole range of a person's needs recognising the interconnections between, mental health, housing and debt issues. The navigators will provide information on services available and will signpost people to services and/or provide support to enable people to engage effectively with services, in addition to working with service providers to ensure that the services available are “best fit” with the needs of service users. In some cases the workers will provide longer term support and advocacy to ensure that people receive the services they require.
- 2.3 The navigators will form a new element of local service delivery and so require funding that is in addition to existing resources.
- 2.4 **Longer term complex care team:** For people with more complex needs the audit carried out by local residents demonstrated a need to develop a more effective locality response that addresses the whole range of their needs taking into account the context in which they live. The aim would be to tailor services to meet people's needs rather than fitting people into existing services.

Members of the complex care team will be drawn from existing staff who will be seconded into the Connected Care service. The complex care team will carry out work that is currently done in a number of different specialist teams within Adult Services, The PCT and other organisations. In this way the service begins to reshape existing services to provide a more effective response to local needs.

- 2.5 **Transformational Co-ordinator:** This individual will manage the service and promote change in the wider service system so that they are: more joined up; deal with people in their social and economic contexts; and meet the standards of performance and quality expected by residents. This is another new post and so will require additional funding.
- 2.6 **Low level preventative services:** practical support was identified as a gap in current provision by the community audit and the social enterprise (see below) will work in partnership with the Voluntary and Community Sector to respond to these needs.
- 2.7 **New service options:** we envisage that the social enterprise will be dynamic and responsive to service opportunities identified by the community as part of the connected care approach to service delivery.
- 2.8 **Delivering other strategies:** the Connected Care service will be a vehicle through which a variety of strategies and plans could be delivered. For example it has been agreed with the PCT that rather than employing a specific health trainer in Owton the outcomes of the health trainer programme will be delivered by the Connected Care service using the care navigators. A similar arrangement has been proposed for the alcohol harm reduction strategy.

3. A SOCIAL ENTERPRISE

- 3.1 It is planned that the Connected Care service and other developments will be delivered through a new social enterprise that will be managed by residents and local community organisations. The development of a social enterprise is seen as central to the pilot, helping to ensure it remains focussed on the needs of local people. The social enterprise would also provide a vehicle to develop and deliver a broader range of low-level, practical support services to people in the area.
- 3.2 As a result of the success of the initial stage of the Owton Project, it has now been recognised by the Department of Health as one of 25 national 'social enterprise pathfinders'.

4. PARTNERSHIP ARRANGEMENTS

- 4.1 A Steering Group has been set up for the project comprising ward councillors, the Council, PCT, Housing Hartlepool, local residents and community associations, Hartlepool MIND, Turning Point (national) and the University of Durham (who are evaluating the pilot). The Steering Group reports to the Owton Forum and the Hartlepool Partnership.
- 4.2 As part of the development of the service specification discussions are taking place about setting in place more formal governance arrangements. These may include:
- A local partnership board bringing together statutory agencies, the voluntary sector and residents and community groups that would 'commission' the service from the social enterprise.
 - The social enterprise will have a board of directors probably made up of residents and local community organisations – although the exact make up will depend on the legal form adopted by the social enterprise.

5. PROGRESS TO DATE

- 5.1 The specific proposal for Owton has been developed out of a community audit carried out in the ward in 2005 by local residents working with agencies and the University of Central Lancashire. 251 local residents participated in the audit via one to one interviews, focus groups and a community "have your say" event.
- 5.2 The audit identified a number of ways in which services could and should be different. They suggest that services and support should in future be designed and delivered so that they have the following qualities and characteristics:
- Better information, proactively provided at the right time and place, would help residents both to make better use of the options available and take more responsibility for their health and social care.
 - Connected care should support and empower people to make choices for themselves. A lack of choice can lead to low aspirations and acceptance of poor quality services. Connected care will, therefore, operate strategically as well as operationally to identify and fill gaps.
 - Difficulties in accessing services reflected gaps in provision as well as poor information, the absence of a single point of entry to all services and a lack of outreach. Residents looked for a more integrated, high quality out of hours service closer to home, rather than in hospitals, and involving cross agency working based on individual needs.
 - Continuity and Coordination were frequently identified as problematic. Services were complex, complicated and sometimes alienating. A dedicated connected care workforce would focus on people's whole

needs, support them to find their way through the care system and help them to obtain integrated, personalised care and support.

- The workforce would be responsive, flexible, well trained and treat people holistically, individually and with respect and dignity
- Choice, access and empowerment are often restricted by economic status and Connected Care must be embedded in the wider social and economic contexts in which people live their lives. It needs to be delivered within the wider context of social inclusion and regeneration initiatives that tackle poverty and create employment and prosperity which are important components of Connected Care.
- Crime and fear of crime can restrict access to services and a good quality of life. Tackling community safety and anti social behaviour is part of the Connected Care package.

5.3 Connected Care also has a set of broader, social objectives:

- To ensure that the whole needs of residents living in the Owton Ward are taken into account in the provision of services.
- To promote the development of a sustainable community
- To promote local social cohesion and inclusion
- To increase local employment and regeneration
- To increase the % of public sector resources being retained in the local community and used to support local people

5.4 Following the audit the Steering Group have engaged consultants to develop a more detailed implementation plan and service specification that would deliver these objectives. This work is continuing but should be completed by the end of February. In the course of this work the consultants have widened the circle of services involved e.g. to include children and families services, community safety and mental health services and worked with local residents and service providers and commissioners to develop a practical understanding of how the Connected Care service would work.

The consultants are proposing that a realistic start date for the service would be the summer of 2007.

6. RESOURCING OF THE SERVICE

6.1 Resources for Connected Care are likely to come from several different sources. Some of these resources have already been secured.

6.2 **Social enterprise:** The designation as a pathfinder brings some funding with it – initially, at least, for start-up and development costs but with the potential for longer-term funding. Discussions are taking place with the Department of Health to agree exactly how much will be made available – but any such funding will be short-term and for the specific purpose of establishing the social enterprise. It may include some capital funding as well as funding for things like project management, legal advice, marketing and business planning etc.

- 6.3 **Evaluation:** Turning Point obtained funds for an evaluation of the first phase of the project and Durham University have submitted a favourable report. The report has been submitted to the Department of Health and is available on request. The Northern Rock Foundation has recently agreed funding of £120,000 to Durham University for the second stage of the evaluation of the Connected Care programme. This funding is conditional on the programme actually going ahead in Owton as planned.
- 6.4 **Complex care team:** Housing Hartlepool and the Tees, Esk and Wear Valleys NHS Trust have already identified resources for the team. Discussions are ongoing with Adult Care, Children's Services and the PCT to identify the resources that they can redirect to the team.
- 6.5 **Care navigators/coordinator:** both the Council and the PCT have included an amount of £50,000 (£100,000 in total) in their draft budget/Local Delivery Plan for 2007/08. If agreed this would be sufficient to establish these core elements of the Connected Care service
- 6.6 **Health trainer:** £25,000 of NRF has been agreed for Connected Care to deliver the outcomes of the health trainer programme in the Owton ward.
- 6.7 **Invest to Save:** if successful £60,000 from this bid would be used to fund the Connected Care service to deliver the outcomes of the alcohol harm reduction strategy in Owton. This would require the employment of additional staff in the Connected Care service.
- 6.8 **Individualised budgets:** The complex care team and navigators working together will provide a brokerage service for people holding individualised budgets. Hartlepool will be introducing individualised budgets for all users in 2007 as part of the 'In Control' programme. It is also a developing individualised budgets for people with mental health problems (funded by the Department of Health through Turning Point). Connected Care will be working as an integral part of these two pilots.

7. CONCLUSION

The development of the Connected Care pilot in Owton ward has taken a considerable amount of time and energy. It has attracted a lot of interest nationally (the most recent example being a visit in December from Hilary Armstrong as Minister for Social Exclusion). There is a considerable expectation from local residents that the service will be established and that it will lead to a significant improvement in the delivery of services in the area. The building blocks for the development are now being put in place and considerable resources have already been identified to support its development.

CABINET REPORT

19th FEBRUARY, 2007



Report of: Director of Regeneration and Planning Services

Subject: DEVELOPMENT BRIEF FOR THE FORMER
FAIRGROUND AND ADJACENT LAND AT SEATON
CAREW

SUMMARY

1. PURPOSE OF REPORT

The report seeks Cabinet endorsement of the revised draft development brief for the Seaton Carew Fairground and adjacent land. The brief will be used to support the marketing of the site.

2. SUMMARY OF CONTENTS

The report refers to the Cabinet report of 14th August 2006 when Cabinet approved the revised draft development brief for the purpose of further public consultation and authorised officers to pursue negotiations with the Seaton Carew Golf Club with the aim of drawing up an agreement to market the combined site. This report documents the process of the three week long consultation period and gives a summary of the representations made and proposed changes to the brief. The report also provides a synopsis of the progress made so far including discussions with Seaton Carew Golf Club around joint marketing of the land.

3. RELEVANCE TO CABINET

The development brief is of strategic importance for attracting new tourism/leisure related investment to Seaton Carew and therefore a key document for the ongoing regeneration of this part of the Borough.

4. TYPE OF DECISION

Non Key.

5. DECISION MAKING ROUTE

Cabinet 19th February 2007.

6. DECISION(S) REQUIRED

Cabinet is requested to approve the development brief for the purpose of supporting the joint marketing of the HBC and Seaton Carew Golf Club land.

Report of: Director of Regeneration and Planning Services

Subject: DEVELOPMENT BRIEF FOR THE FORMER
FAIRGROUND AND ADJACENT LAND AT SEATON
CAREW

1. PURPOSE OF REPORT

- 1.1 The report seeks Cabinet endorsement of the revised draft development brief for the Seaton Carew Fairground and adjacent land: The brief will be used to support the marketing of the site.

2. BACKGROUND

- 2.1 A draft development brief has been prepared for the Council owned former fairground and coach park at Seaton Carew . The site is identified in the Local Plan for commercial, leisure and recreational uses. It is considered a key location for the regeneration of Seaton Carew and the aim is to secure a suitable development, which will provide a significant addition to the existing visitor offer.
- 2.2 The site also incorporates land owned by Seaton Carew Golf Club. The inclusion of the Golf Club's land provides a potentially more marketable and attractive development site, which covers nearly ten acres (3.8 hectares). The additional land also opens up the development site to make it more visible from the main road, known as The Front.
- 2.3 The development brief will be used to market the land and sets out the Council's vision and planning guidelines for the development of the site. A copy of the revised development brief is attached as **an appendix** to this report.
- 2.4 At its meeting on 14 August 2006, Cabinet approved the draft brief for the purpose of further consultation, authorised officers to liaise with Seaton Carew Golf Club with a view to agreeing joint marketing arrangements and requested the draft brief be referred to Planning Committee.

3 PROGRESS SO FAR

- 3.1 In August 2005 the Council's Cabinet agreed that the former fairground/coach park site could be marketed in accordance with a development brief. A draft brief was approved and authority given to public consultation on its content.
- 3.2 As part of the response to the public consultation, which took place in September 2005, Seaton Carew Golf Club made an approach to the Council expressing an interest in including some of their land as part of a combined development site.
- 3.3 A report was subsequently considered at the Council's Cabinet on 14 August 2006 where officers were authorised to pursue discussions with Seaton Carew Golf Club around extending the brief to include some of their land. Officers were also authorised to discuss with the Golf Club opportunities for joint marketing of the site.
- 3.4 Cabinet also agreed to re-consultation with the community on the revised development brief. This took place in January 2007.
- 3.5 Seaton Carew Golf Club has agreed in principle to enter into a developer agreement with the Council in respect of joint marketing of the sites. Specific details of such an agreement still need to be worked up and the club would wish to ensure that any income from the sale of their land is sufficient to provide replacement facilities for the club.
- 3.6 Following Cabinet's request the draft revised brief was taken to the Planning Committee 24th January 2007 for information.

4 PUBLIC CONSULTATION PROCESS

- 4.1 The public consultation exercise began on the Monday 8th January 2007 and ran until Friday 26th January 2007. A permanent exhibition was located at Seaton Library and officer drop in sessions were arranged. The exhibition presented written information, site plans and images providing the public with detailed information on the draft brief. The event received widespread interest in the local media including articles in the Hartlepool Mail and Northern Echo on Saturday 6th January 2007 and publicity on local television and radio on 8th January 2007.
- 4.2 All nearby residents were sent letters informing them of the consultation event as well as Ward Members and The Portfolio Holder for Regeneration, Liveability and Housing. Members of the Seaton Carew Renewal Advisory Group (SCRAG) were also consulted as part of this process as was the Town Care Manager covering Seaton Carew.

5. THE CONSULTATION RESPONSES

- 5.1 Twelve detailed responses were returned with wide ranging support for the principle of redeveloping the site to boost the economy of Seaton and the visitor offer of the resort. Nine responses expressed concern about the lack of toilet and parking facilities at Seaton Carew and also the poor state of repair of the bus station, Seaton Hotel and the former Longscar Hall site. Most of the responses suggested the need for new leisure and recreation facilities. Specific suggestions included: a leisure pool, a water park, a sealife centre, an adventure park and a caravan club. It was suggested that any one of these developments would increase the tourist attraction and boost the local economy of Seaton Carew.
- 5.2 One respondent had concerns that any commercial redevelopment should form only a small element of the development of the area and not take the form of an industrial estate. Two respondents had concerns regarding access and car parking to the site.

6. HBC RESPONSE TO THE CONSULTATION

- 6.1 In response to the suggestions made as a result of the consultation process amendments have been made to the development opportunities section of the brief to include reference to the specific suggestions of new leisure and recreation facilities.
- 6.2 Changes have been made to the development brief in respect of correcting factual errors. The first change is concerning the south shelter mentioned in paragraph 3.4 and the fact that it was demolished in the early 1990s and not the mid 1980s as mentioned in the draft brief. The second change is to include the up to date contact details and address for the gas network utility operator in paragraph 9.3.

7. NEXT STEPS

- 7.1 Once approved the brief will be used by HBC's Estates Department to assist in the marketing of the land. Detailing reports on site marketing will be presented in due course. The brief will guide potential developers through the planning process for any proposals for the site.
- 7.2 As noted in paragraph 3.5 Seaton Carew Golf Club has agreed in principal to enter into a development agreement with Hartlepool Borough Council to jointly market the land. The Golf Club has attached a letter to this agreement detailing what facilities will be lost by including the land in the development brief area and indicating that the cost of replacement of these facilities must be met by the sale of the land.

8. RECOMMENDATION

- 8.1 Cabinet is requested to approve the development brief for the purpose of supporting the joint marketing of the HBC and Seaton Carew Golf Club land.

Seaton Carew Bus Station and Former Fairground



DRAFT

Development & Marketing Brief

January 2007

1. Purpose of Brief

- 1.1 This brief provides the planning framework for a key regeneration site at the southern end of Seaton Carew. A recent strategy report, The Seaton Carew Tourism Strategy highlighted the need to extend and diversify the range of attractions at Seaton Carew, and this site was identified as providing a major opportunity for investment in the resort. This brief sets out the options for use of the site and particular requirements on urban design and layout. The brief is intended to assist the marketing of the site, consequently it allows for a degree of flexibility in order to encourage an appropriate development, whilst retaining the requirement for good quality design and a sympathetic treatment of this important site.
- 1.2 The land and buildings to which this brief relate is comprises of:
- a) vacant land to the east of the bus station;
 - b) the former Fairground amusement park; and
 - c) the Seaton Carew Coach Park.
 - d) A portion of Seaton Carew Golf Club land incorporating the northern end of the course including the Club House and car park land.

2. Location

- 2.1 Seaton Carew is an attractive, Victorian seaside resort located a mile south of the main urban area of Hartlepool. Seaton Carew faces eastwards onto the North Sea and is focused around its main attraction - the beach which is one of the longest and sandiest in the region. Seaton Carew is a popular day visitor resort which attracts large numbers of visitors in the summer months. Its features include a long flat promenade, several good quality hotels and guesthouses, amusements, shops and a number of cafes / restaurants.
- 2.2 The brief site is located at the southern end of the resort next to Seaton Carew Golf Club (one of the top links courses nationally) and between the main coast road (The Front) and the beach. It comprises a proportion of the golf club land including the car park and Club House that will be relocated to outside the development site.
- 2.3 The land to which the brief relates is 3.8 hectares in area as shown on the attached plan Appendix 1 and is in the ownership of the Borough Council and Seaton Carew Golf Club. An ownership map can be found on page 4. 2.2 hectares of the brief area is in ownership of the council and this comprises the land to the east of the Bus Station, the former fair ground site and the coachpark. The remainder of the site 1.6 hectares is in ownership of the Gold Club. The agreement to include the Golf Club land in the brief area and to pursue joint marketing of the site has provided a larger site with substantial frontage onto the main road and excellent views of the sea front. This extensive site has high development potential due to its prominent location.

- 2.4 The brief site includes land behind the Grade II listed Art Deco Bus Station to the north of the former fairground site. Whilst the brief area does not take in the actual Bus Station buildings, any development proposals must be sympathetic to the setting of the listed building. The Bus Station, which is still in use, is one of the most prominent buildings in Seaton Carew and is an important focal point along the sea front. The bus station is within the Seaton Carew conservation area. The site is an important gateway to the resort from the south with excellent frontage along Tees Road and impressive views of the coastline to the East



3 Site Context and History

- 3.1 The former fairground site consists of a cleared site to the centre of the overall site and covers an area of 0.6 hectares. To the south of the brief area is an existing car and coach park covering 0.9 hectares. There is also 0.7 hectares of land behind the bus station that makes up the northern parcel of the site. The site fronts directly onto the beach and has a fantastic coastal aspect. Views to the north include the historic and walled Hartlepool Headland with the North York Moors Heritage Coast to the south.
- 3.2 The fairground was closed in the early 1990s and was cleared leaving a large area of open space. The site currently includes a large car park that used to serve the fairground and surrounding resort area. Adjacent to the site are a pumping station and the internationally important Teesmouth and Cleveland Coast Special Protection Area and a Site of Special Scientific Interest (SSSI) located to the south of the site. Access to the adjacent pumping station is provided through the brief site.
- 3.3 The area of the golf club within the brief boundary is currently the site of the Club House and Car Park, which are to be relocated. The golf club was formed in 1847 making it the tenth oldest club in England. It has been host to many championships including the 2003 Senior Home Internationals and is a key visitor attraction. This portion of the development site has extensive frontage onto the main road (Tees Road) and is predominately flat in character. While the site currently accommodates the clubhouse and car park much of this area of 1.6 hectares has never previously been developed.
- 3.3 The Art Deco, Grade II listed Bus Station was built in 1938 and occupies a prominent location on Seaton Carew Front. Although not part of the brief's direct remit consideration of its prominence as a gateway feature and architectural value are important factors when considering development in the wider area. The Bus Station is a focal point for not only this area, but also within the wider area of Seaton Carew. The Borough Council is drawing up a Conservation Plan for the building, with aspirations to secure funding to restore the building.
- 3.4 The building features a clock tower with public toilets as well as two bus shelters. Hartlepool Borough Council has aspirations to restore the Bus Station. This is currently the focus of funding bids for a complete refurbishment. Behind the crescent form of the bus station's passenger shelters there was previously a large structure (The South Shelter) which was used as a shelter for users of the beach and promenade. This was demolished in the mid 1980's leaving an area of tarmac and grassed open space. This area forms the northern part of the brief site.
- 3.5 Vehicular access to the site is from the Front. The existing access has recently been improved with road widening, junction and sightline improvements and a new footpath. As well as these access improvements, a high quality scheme of environmental improvements has recently been completed to the area immediately to the north of the brief site. This forms

part of an ongoing strategy of improvement works to the public realm of Seaton Carew which is being pursued by the Borough Council.

- 3.6 The Seaton Carew Conservation Area boundary splits the development site, with the bus station and grassed area behind it included within the boundary, whilst the adjoining car park lies outside the Conservation Area. Any development, both within and in the vicinity of the Conservation Area must consider the sensitive nature and the high quality of design, particularly with respect to views and vistas along the promenade and The Front. A map of the conservation area can be found in Appendix 2.

4 Development Context

- 4.1 Hartlepool has seen a large rise in tourism over the last decade and a survey conducted in 2004 found that over 744,000 tourists visited the Borough with the economic impact of this being estimated at £38.53m. As part of its approach to developing its tourism economy, the Council recently commissioned a tourism strategy for the Borough. This report sets out a holistic approach to Hartlepool's tourism development and confirms Seaton Carew's role as a complementary day visitor destination to the major tourism location of Hartlepool Quays.
- 4.2 A further strategy has been prepared for Seaton Carew (Seaton Carew Tourism Strategy 2003 to 2008) aimed at establishing the priorities for the development of the resort. This strategy highlighted the former fairground site, together with the land to the rear of the bus station as providing a major investment opportunity which could significantly enhance the tourism offer at Seaton Carew. In pursuit of the strategy, the Council has already been successful in accessing over £1million of regeneration resources which is focussing on improving the quality of buildings and environment in the resort.
- 4.3 In furtherance of these strategies the Council commissioned consultants to carry out a feasibility study into the development of a geletaria (high quality ice-cream parlour) on part of the site to the rear of Seaton Bus Station. It is envisaged that a facility of this nature based in an iconic building overlooking the beach could well consolidate Seaton Carew's visitor offer and help regenerate the resort as a contemporary day visitor destination.
- 4.4 The feasibility documents set out the key research fundings and conclusions and give an illustration of potential income and expenditure together with sketch layouts and building designs. These will be made available on request and developers will be encouraged to explore the potential for incorporating this facility within their proposals.

5. Development Opportunities

- 5.1 As indicated earlier in this brief, the Council are looking for a development on this site which will make a major contribution to Seaton Carew tourism facilities.
- 5.2 The Hartlepool Adopted Local Plan considers the former fairground site and car park suitable for the development of appropriate commercial and

recreational facilities. Any proposals would have to demonstrate that they could enhance the attraction of Seaton Carew for both residents and visitors.

- 5.3 The setting of the development site would allow for a whole range of development options. This could range from one large entity with associated car parking and facilities to a number of smaller developments and users occupying the site.
- 5.4 Uses could include retail outlets, restaurants, a hotel and commercial leisure uses such as a sealife centre, a leisure pool or similar. Smaller scale proposals for convenience good stores might be acceptable provided that they don't prejudice the existing Hartlepool town centre and the Seaton Carew shopping precinct local centre at Elizabeth Way. Retailing with a tourist element or niche market as part of the general offer for Seaton Carew as a seaside resort. Any larger scale retail development would need to comply with the Local Plan policy on the Sequential approach on site selection and include a justification.
- 5.5 A mixed-use cluster style development would be welcome. The size and nature of the site and its prominence to the foreshore would allow a mixture of developments to enhance the development area as a whole.
- 5.6 As part of a larger development the Gelateria concept referred to in 4.3 would be encouraged. The Gelateria concept has been located within the feasibility study as an adjunct to the Bus Station which has the added benefit of making use of the Grade II listed building and giving good links to any facilities developed on the former fairground site. Flexibility of location will however be allowed, in the light of developers overall site assessment and proposals.
- 5.7 There is a possibility of a small element of residential development to enhance the economic viability of any development proposals. However this would be strictly ancillary to the principal commercial and / or leisure scheme and on upper floors.

6 General Requirements for Development

- 6.1 The primary site for large scale commercial and recreational development in the brief area is at the former fairground site and existing coach park to the south of the existing Bus Station. The site offers scope for a wide range of commercial tourism and leisure facilities at the former fair ground site and the potential for less intensive developments behind the bus station.
- 6.2 Any development to the east of the bus station will have to be appropriate to the character and setting of the listed building and Conservation Area. Within the Seaton Carew Conservation Area, commercial and retail developments should take account of the character of the surroundings and particular care is needed to ensure that designs normally associated with modern commercial and retail practices remain sensitive to the quality of the built environment.

- 6.3 Development of this part of the site would be expected to incorporate environmental works to match the design and quality of the public realm works recently carried out to the north of the site.
- 6.4 There is scope to improve pedestrian linkages to both the beach and Rocket House car park to the north of the brief area. The site area can be linked to the centre of the sea front area with a promenade type walkway, which can capitalise on the unique setting and vistas of the foreshore.
- 6.5 The golf course's irrigation system is located on the development site and would have to be relocated as part of a redevelopment scheme. The irrigation tanks and small pumping station can be identified on the ariel photograph on page 4.
- 6.6 The golf club currently lets a small parcel of land to Hutchinson Telecommunications who have erected telecommunications apparatus on site. The lease is renewable every 2 years and is currently up for review again in 2007. Developers are expected to resolve this issue with the golf club.
- 6.5 A list of the relevant local plan policies is given in Appendix 3.

7 Design

- 7.1 The design requirements for the part of the site to the rear of the bus station is outlined in paragraph 7.4. In regards to the main part of the site, development needs to take account of the unique location on the foreshore. Siting and massing will be important particularly as the development will be viewed with long range perspectives along Hartlepool Bay and from the Headland. It is essential that over development of the site is avoided and the height, orientation and massing should be appropriate to its foreshore location. New buildings should be predominately a maximum of two storey with the possibility of some two and half storeys subject to an innovative design.
- 7.2 The frontage facing east to the sea is very important and good design must be of a quality to reflect its outstanding location. The Council recognises that this is the main link with the sea front and would require the provision of a walkway to link potential development to the conservation area. High design and Landscaping is expected along the Tees Road frontage, as it is a key access route from the south, facing existing residential premises. The development must also be sensitive to the character of the remaining golf club land south of the brief site.
- 7.3 The Commission for Architecture and the Built Environment (CABE) have recently produced a publication called Shifting Sands¹. It provides useful examples of regeneration in seaside towns through both restoration works and new build. Copies of this document can be downloaded from the CABE website (www.cabe.org.uk).

¹ Shifting Sands, English Heritage/CABE 2003 Product Code 50792

- 7.4 Any work within the setting of the bus station and its immediate surroundings should respect its Art Deco architecture and the themes of the recently completed landscaping works and should be undertaken using appropriate materials which reflect the new works and the character of the bus station.

8 Access and Parking

- 8.1 Access to the site is provided from the Front (see appendix 1). At the south end of the brief area is currently an existing coach park. Any development of the site will need to retain an element of coach parking, parking for the actual new development (in accordance with current standards) and an element of parking for the general public. Early consultation with the Council's Highways Management Section is advised.

9 Services

- 9.1 Northumbrian Water (NW) have provided a plan (see appendix 4) showing the location of the public sewers in the area. The rising main must be accurately located prior to any work commencing on site. NW have stated that they will object to any buildings or structures located over or close to the rising main. Any development must be on separate systems. Surface water must be prevented from entering public sewers, an alternative means of discharge must be investigated. All connections to public sewers must be made by NW.
- 9.2 Hartlepool Water should be contacted regarding the adequacy of water supplies. It is assumed that other services are available to the site although developers should check with the public utility providers.

9.3 Contact Details for Utilities

Electricity –

Northern Electric Distribution Limited (NEDL) owns and maintains the underground electricity apparatus. Correspondence with NEDL should be directed to Manor House, Station Road, New Penshaw, Houghton-le-Spring, DH4 7LA.

Gas –

United Utilities, Working with Northern Gas Networks maintains the network of gas mains. A plan of gas mains can be found in Appendix 5. Their local address is United Utilities Working With Northern Gas Networks, 1st Floor, 1 Emperor Way, Doxford International Business Park, Sunderland, SR3 3XR.

Water –

The on site fresh water is provided by the Hartlepool Water Company. Hartlepool Water are based at 3 Lancaster Road, Hartlepool, TS27 8LW.

Surface and foul drainage –

The sewers are owned and maintained by Northumbrian Water. A plan of the sewer system for the site and surrounding area is provided in Appendix 4. Correspondence with Northumbrian Water and should be directed to Leat House, Pattison Road, District 15, Washington, Tyne & Wear, NE38 8LB.

10 Building Regulations

Hartlepool Borough Council offers a service of inspection under the Building Regulations. For further details telephone the Borough Councils Building Control Manager 01429 523290.

11 Useful contacts

Highways Mike Blair 01429 523252 mike.blair@hartlepool.gov.uk

Planning Stuart Green 01429 284133 stuart.green@hartlepool.gov.uk

Leisure John Mennear 01429 523417 john.mennear@hartlepool.gov.uk

Tourism Jo Cole 01429 523508 joanne.cole@hartlepool.gov.uk

Economic Development

Antony Steinberg 01429 523503 antony.steinberg@hartlepool.gov.uk

Legal Pauline Newton 01429 523482 pauline.newton@hartlepool.gov.uk

Estates Emma Dixon 01429 523216 emma.dixon@hartlepool.gov.uk

Seaton Carew Golf Club 01429266249 www.seatoncarewgolfclub.org.uk

12 Summary

This Brief sets out guidance for perspective developers with an indication of potential uses. However the brief is not prescriptive and so there may be opportunities to consider alternative development solutions provided they conform to the following aims of the Borough Council: -

- a) A mix of uses is sought which will significantly add to Seaton Carew's visitor offer and therefore continues Seaton Carew's Regeneration.
- b) Flexibility of approaches is allowed, but a high quality, sensitive design solution is required to reflect the unique attributes of the site.
- c) That the Gelateria concept could have a role to play, but the brief is flexible to innovative development proposals.



LOOKING SOUTH FROM THE ACCESS ROAD TOWARDS THE BUS STATION



ACCESS ROAD

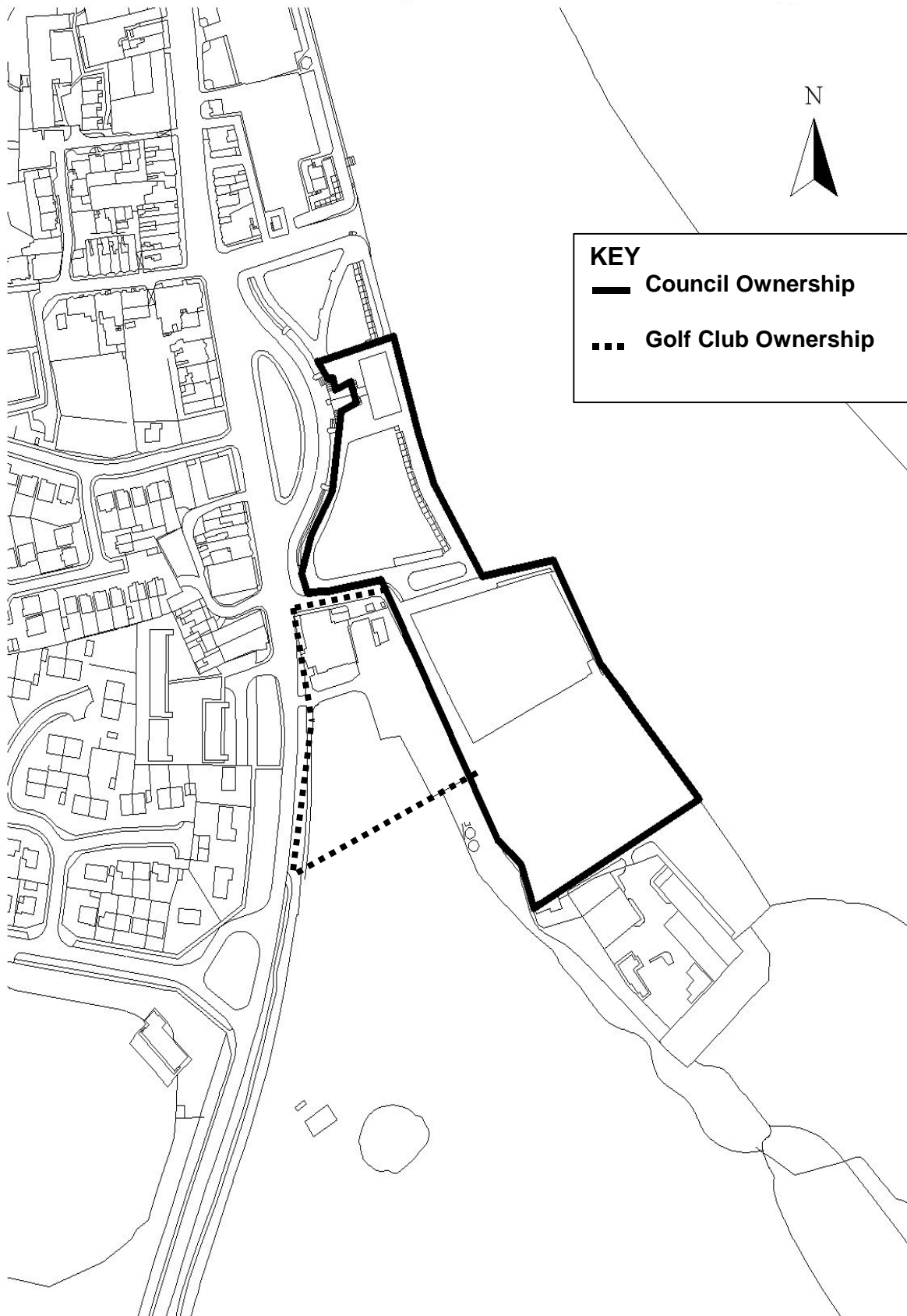


ACCESS ROAD

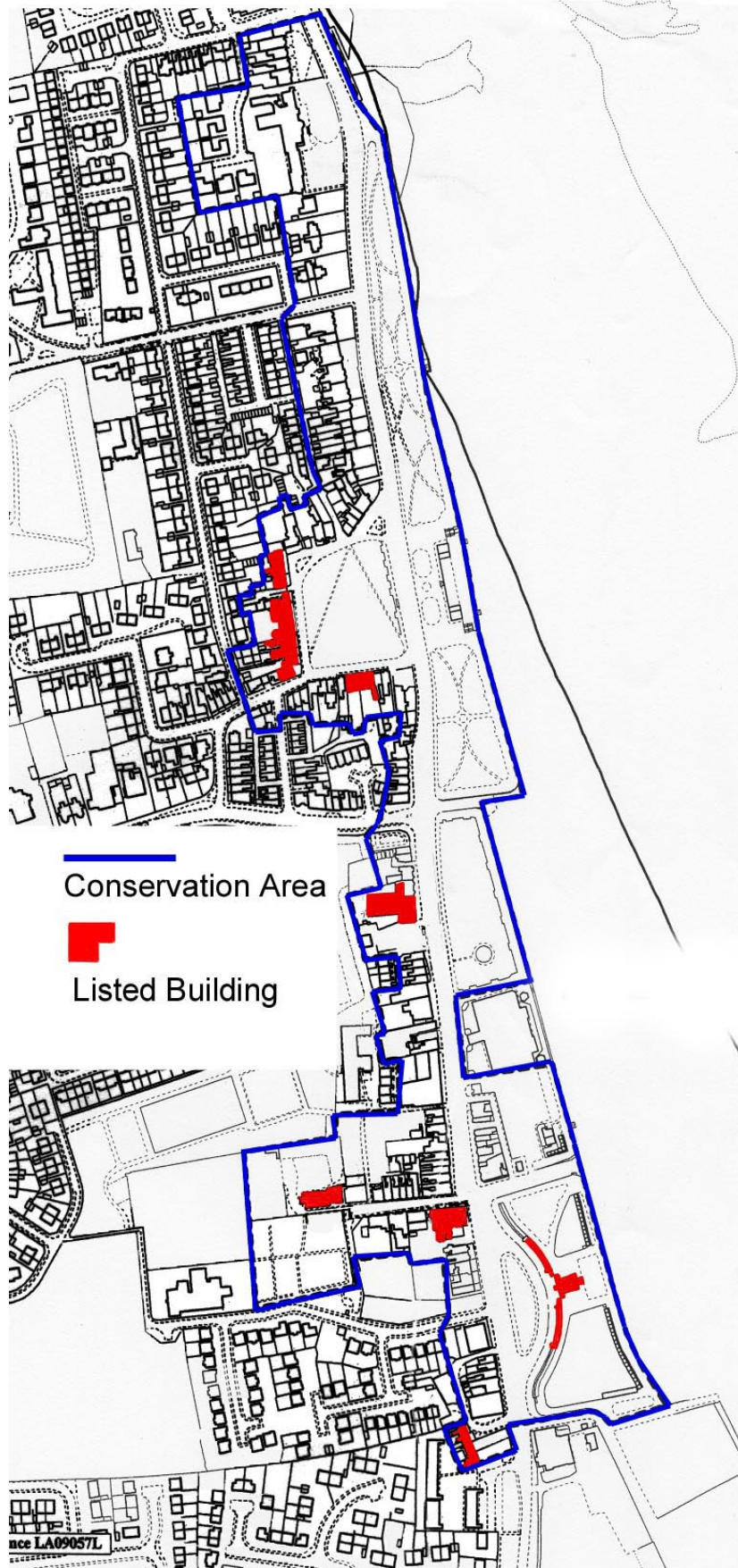


ENVIRONMENTAL IMPROVEMENTS ADJACENT TO THE SOUTH OF THE DEVELOPMENT SITE

Seaton Carew Brief - Former fairground Site Plan



Seaton Carew Conservation Area



Local Plan Policy

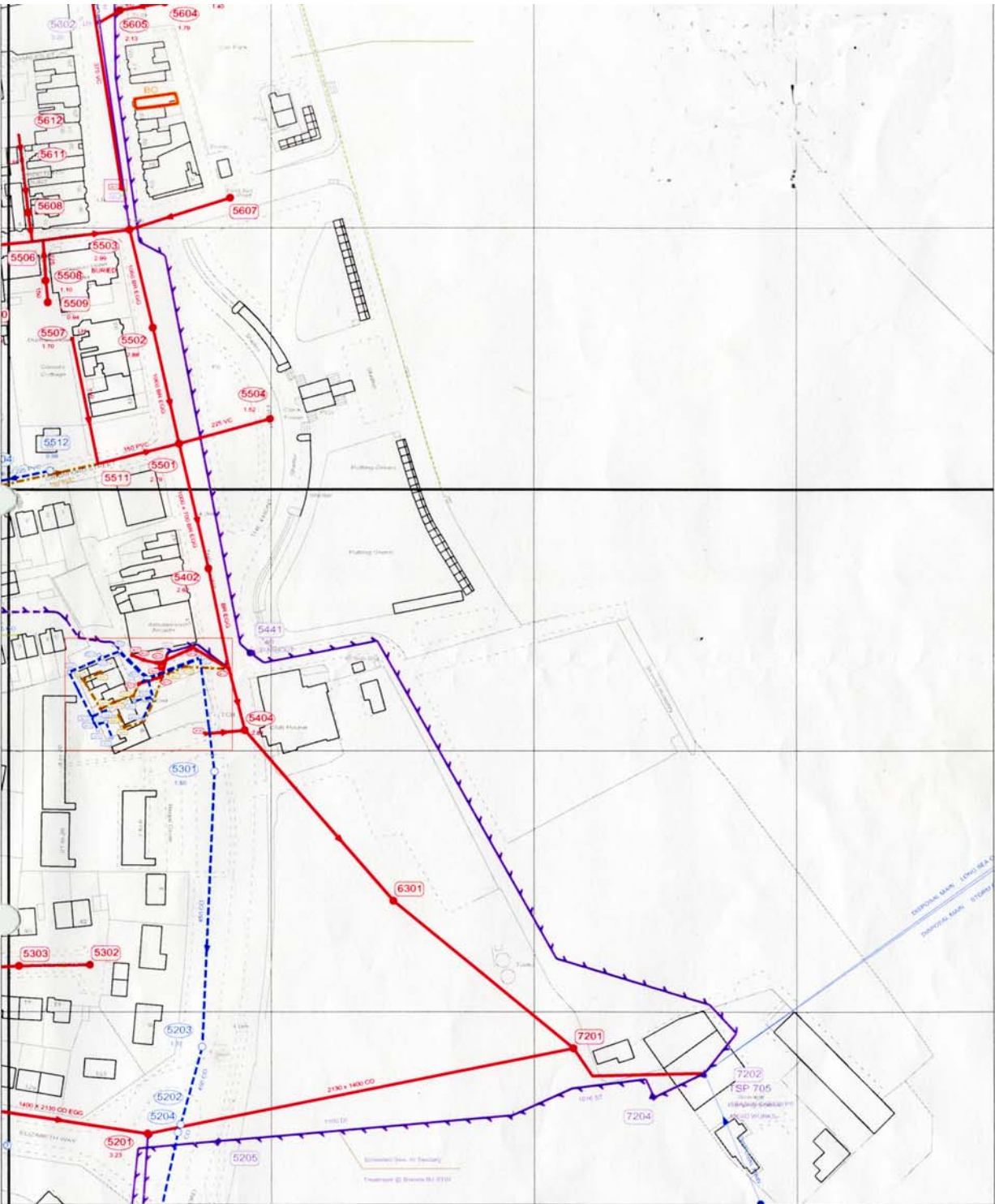
Appendix 3

The Local Plan (2006) identifies the under used areas covered by this brief along the seaward side on The Front for commercial and recreational development. The site behind the bus station on The Front, the former fairground and the coach park, all located within the core area of Seaton Carew, are considered suitable for more intensive leisure and commercial facilities.

Development Proposals for the site need to take account of the following policies contained in the 1994 Local Plan:

To3	Core Area of Seaton Carew
To4	Commercial Developments sites at Seaton Carew
Rec 9	Recreational Routes
WL1	Protection of International Sites
WL2	Protection of SSSIs
WL6	Protection of Local Nature Reserves
HE1	Protection and Enhancement of Conservation Areas
HE2	Environmental Improvements of Conservation Areas
HE3	Developments in the Vicinity of Conservation Areas
Rec 4	Protection of Outdoor Playing Space
Supplementary Guidance Note No. 2 Design Requirements for Parking.	

Full details of all of these policies can be found in the Local Plan, which is available on the Council's website www.hartlepool.gov.uk .



	Distribution Main		Private Main		Combined		Private		Abandoned
	Raw Water Main		Out of Commission Main		Foul		Highway Drain		Manhole
	Trunk Main		Abandoned Asbestos Main		Surface Water		Rising Main		Pumping Stn
	Proposed Main		Abandoned Main		Watercourse		Backdrop		Adoption Agreement

Author : wallj

Title : seaton carew

Centre Point : 452686.529459

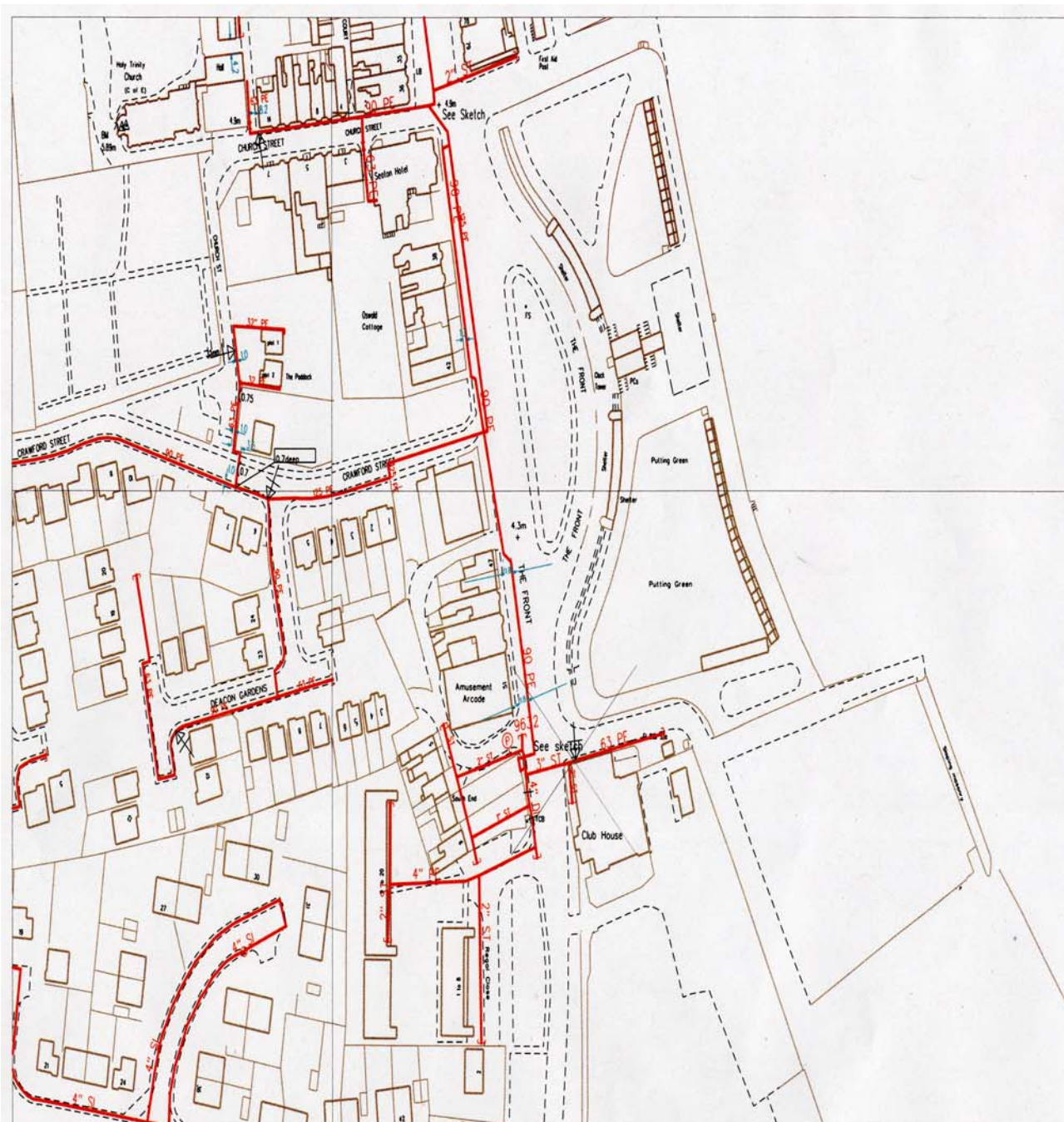
Date : 24-07-2003

Sheet: NZ5229SE

Scale : 1:2000

The material contained on this plot has been reproduced from an Ordnance Survey map with permission of the controller of H.M.S.O. Crown Copyright Reserved. Licence No. WL298500. The information shown on this plan should be regarded as approximate and is intended for guidance only. No liability of any kind whatsoever is accepted by Northumbrian Water, its servants or agents for any omission. The actual position of any water mains or sewers shown on the plan must be established by taking trial holes in all cases. In the case of water mains Northumbrian Water must be given two working days notice of their intention to excavate trial holes. Private connections are not shown but their presence should be anticipated. **WARNING:** Where indicated on the plan there could be abandoned asbestos cement materials or shards of pipe. If excavating in the vicinity of these abandoned asbestos cement materials, the appropriate Health & Safety precautions should be taken. Northumbrian Water accepts no liability in respect of claims, costs, losses or other liabilities which arise as the result of the presence of the pipes or any failure to take adequate precautions. Emergency Telephone Number: 0845 717 1100

NORTHUMBRIAN WATER



Origin = (0452373,0529333) MapRef = NZ5229 Extent = (500m,292m) - This map view is not to scale. Data extracted on 15/03/2005 Printed on 23/06/2005
 Plant Owner = Transco or the relevant Gas Distribution Network MAPS Viewer V4.10.1

This plan shows those pipes owned by Transco plc or the relevant Gas Distribution Network in their roles as a Licensed Gas Transporters (GT). Gas pipes owned by other GTs, or otherwise privately owned, may be present in this area. Information with regard to such pipes should be obtained from the relevant owners. The information shown on this plan is given without warranty, the accuracy thereof cannot be guaranteed. Service pipes, valves, syphons, stub connections, etc. are not shown but their presence should be anticipated. No liability of any kind whatsoever is accepted by Transco plc, the relevant Gas Distribution Network, or their agents, servants or contractors for any error or omission. Safe digging practices, in accordance with HS(G)47, must be used to verify and establish the actual position of mains, pipes, services and other apparatus on site before any mechanical plant is used. It is your responsibility to ensure that this information is provided to all persons (either direct labour or contractors) working for you on or near gas apparatus.

It is not permitted to use this plan for any purpose other than showing the location of Transco or other relevant Gas Distribution Network plant unless the appropriate Ordnance Survey mapping has been purchased. This plan is reproduced from (or based on) the Ordnance Survey map by Transco plc, with the sanction of the Controller of H.M. Stationery Office.
 Crown copyright reserved

CABINET REPORT

19th February 2007



Report of: Head of Community Strategy

Subject: LOCAL AREA AGREEMENT (LAA) FUNDING
ALLOCATIONS, REFRESH SUBMISSION 2007/08
AND SIX-MONTH REVIEW FEEDBACK

SUMMARY

1. PURPOSE OF REPORT

To agree the funding allocations in the Local Area Agreement (LAA) for 2007/08 and receive the final version of the LAA Refresh Submission along with feedback from Government Office on the six month review of the LAA.

2. SUMMARY OF CONTENTS

As part the LAA Hartlepool receives a number of grants that have previously been sent by various government departments. The authority will now receive one pooled funding grant. Cabinet received a draft copy of the Refresh Submission in December 2006 and asked to receive a copy of the final version sent to Central Government.

3. RELEVANCE TO CABINET

Hartlepool's LAA is a three year agreement (2006-09) based on the Community Strategy that sets out the priorities for Hartlepool and forms an agreement between Central Government (represented by GONE) and a local area represented by Hartlepool Borough Council and other key partners through the Hartlepool Partnership.

4. TYPE OF DECISION

Non-key.

5. DECISION MAKING ROUTE

Hartlepool Partnership 19 January 2007
Cabinet 19 February 2007

6. DECISION REQUIRED

To agree the LAA Funding Allocations for 2007/08 (Appendix 1).

Report of: Head of Community Strategy

Subject: LOCAL AREA AGREEMENT (LAA) FUNDING ALLOCATIONS, REFRESH SUBMISSION 2007/08 AND SIX-MONTH REVIEW FEEDBACK

1. PURPOSE OF REPORT

- 1.1 To agree the funding allocations in the Local Area Agreement (LAA) for 2007/08 and receive the final version of the LAA Refresh Submission along with feedback from Government Office on the six-month review of the LAA.

2.0 BACKGROUND

- 2.1 Hartlepool's LAA submission was agreed by Cabinet on the 10 February 2006 and was signed off by Government in March 2006. The LAA submission is available on the Council's website (www.hartlepool.gov.uk).
- 2.2 Hartlepool's LAA is structured around the aims and themes of the Community Strategy and forms the strategic framework for monitoring progress and is aimed at delivering a better quality of life for people through improving performance on a range of national and local priorities.

3.0 FUNDING ALLOCATIONS

- 3.1 Appendix 1 shows the pooled LAA funding streams allocated against outcomes.
- 3.2 The funding associated with the LAA for 2007/08 is not new funding and is a confirmation of previous funding allocations received from the various government departments. The current budgets, projects and activities are already planned as part of existing programmes (e.g. Neighbourhood Renewal Funding). What will change is the way in which the authority receives the grant. Rather than coming in separate payments and with different monitoring requirements, one LAA grant will be received in regular instalments throughout the year with one monitoring system.
- 3.3 The agreement of the funding allocations will allow for the continuation of current programmes working towards the agreed outcomes and secure the posts of existing members of staff. Details of the outcomes and activities associated with the funding will be detailed in the LAA Delivery and

Improvement Plan 2007/8, a draft of this document will be presented to Informal Cabinet in March 2007.

4.0 REFRESH SUBMISSION

- 4.1 A draft LAA refresh submission was taken to Cabinet on 18 December 2006 before submission to Government Office North East (GONE).
- 4.2 Appendix 2 shows the refresh submission containing minor drafting changes and confirmation of figures not available in previous versions. This version has been submitted by GONE to Central Government and we are now awaiting official sign off in March.
- 4.3 The contents of the Refresh Submission will be included in the LAA Delivery and Improvement Plan 2007/08.

5.0 SIX-MONTH REVIEW HIGHLIGHT REPORT

- 5.1 Appendix 3 shows the report received from GONE following the six-month LAA review meeting in November 2006.
- 5.2 The report is encouraging and shows overall progress as Green with the direction of travel as Amber.

6.0 RECOMMENDATIONS

- 6.1 Cabinet is requested to agree the LAA Funding Allocations for 2007/08 as set out in Appendix 1 and note the contents of the final Refresh Submission (Appendix 2) and the Six-Month Review Highlight Report (Appendix 3).

Hartlepool Local Area Agreement Outcomes and Pooled Funding Allocations 2007/08

	Outcome	Pooled Funding Stream	Amount (£)
Jobs and the Economy			
1	Increase skill levels of the local population with clear reference to local business need	Neighbourhood Renewal Fund	146,906
2	To attract appropriate inward investors and support indigenous growth, making use of local labour resource and supporting local people in gaining maximum benefit from the economic regeneration of the town, including all people of working ages especially the young	Neighbourhood Renewal Fund	636,814
3	To support the sustainable growth, and reduce the unnecessary failure, of locally-owned business, promoting the growth and sustainability of enterprise and small businesses and to increase total entrepreneurial activity amongst the local population	Neighbourhood Renewal Fund	231,280
4	For those living in the wards with the worst labour market position in areas in receipt of NRF, significantly improve their overall employment rate and reduce the difference between their employment rate and the overall employment rate for England		
5	Achieve Economic Well-being (Every Child Matters Outcome, Independence, Well-being & Choice)	Connexions	1,070,239
6	Improving training and employment prospects for targeted groups	Reward Element	50,606

APPENDIX 1

	Outcome	Pooled Funding Stream	Amount (£)
Lifelong Learning and Skills			
7	Enjoy and Achieve raise achievement and standards of children and young people in the early years, primary and secondary phases of education.	Children's Services Grant	430,252
		Key Stage 3 Behaviour & Attendance	68,300
		Key Stage 3 Central Coordination	106,822
		Neighbourhood Support Fund	190,451
		Primary Strategy Central Coordination	97,753
		School Development Grant (to be confirmed in line with pupil numbers in January 2007)	Approx 250,000
		Neighbourhood Renewal Fund	430,000
8	Provision of high quality learning and skills opportunities that drive economic competitiveness, widen participation and build social justice.	Neighbourhood Renewal Fund	215,000
Health and Care			
9	Improved health - reduce premature mortality rates and reduce inequalities in premature mortality rates between wards/neighbourhoods	Neighbourhood Renewal Fund	319,800
		Reward Element	35,124
10	Be healthy	Teenage Pregnancy Grant	144,000
		Neighbourhood Renewal Fund	316,200
		Reward Element	30,323
11	Exercise of choice and control and retention of personal dignity	Neighbourhood Renewal Fund	134,226
12	Mental Well-being	Neighbourhood Renewal Fund	181,159
13	Access to Services	Neighbourhood Renewal Fund	60,000
Community Safety			
14	Reduced total crime (as measured by 10 BCS comparator crimes) and narrow the gap between Neighbourhood Renewal area and Hartlepool	Neighbourhood Renewal Fund	384,847
		Reward Element	56,066
15	Reduced harm caused by illegal drugs and alcohol	Drug Strategy Partnership Support Grant	64,745

APPENDIX 1

	Outcome	Pooled Funding Stream	Amount (£)
16	Improved neighbourhood safety and increased public reassurance leading to reduced fear of crime and anti-social behaviour	Anti Social Behaviour	25,000
		Building Safer Communities	167,411
		Neighbourhood Renewal Fund	183,500
17	Build respect in communities by reducing antisocial and criminal behaviour through improved prevention and enforcement activities	Neighbourhood Renewal Fund	630,840
		Reward Element	28,033
18	Stay Safe		
19	Reducing incidents of Domestic Violence	Reward Element	27,954
Environment			
20	Delivering sustainable communities through protecting natural resources and enhancing the local environment and the community's enjoyment of it		
21	Improve the quality of the local environment by having cleaner, greener and safer public, private and community spaces and by reducing the gap in aspects of liveability between the worst wards/neighbourhoods and the district as whole, with a particular focus on reducing levels of litter and detritus	Neighbourhood Renewal Fund	93,000
22	Provide a safe, efficient, effective and accessible transport system	School Travel Advisors	35,000
23	Make better use of natural resources, reduce greenhouse gases, minimise energy use and reduce the generation of waste and maximise recycling	Waste Performance & Efficiency Grant	186,054
		Neighbourhood Renewal Fund	16,270
Housing			
24	As part of an overall housing strategy for Hartlepool, improve housing conditions within the most deprived neighbourhoods/wards, with a particular focus on ensuring that all social housing is made decent by 2010		

APPENDIX 1

	Outcome	Pooled Funding Stream	Amount (£)
25	Meeting Housing and Support Needs		
26	Improving the advice and support provided to homeless people and helping them to access employment, training and educational opportunities	Reward Element	25,000
27	Improving the energy efficiency of houses		
28	Balancing Housing Supply And Demand	Neighbourhood Renewal Fund	105,000
Culture and Leisure			
29	Enrich individual lives, strengthen communities and improve places where people live through enjoyment of leisure, culture and sport		
30	Cultural and leisure services, including libraries, better meet the needs of the community, especially disadvantaged areas		
Strengthening Communities			
31	To empower local people to have a greater voice and influence over local decision making and the delivery of services	Neighbourhood Renewal Fund	133,000
32	Make a positive contribution		
33	To improve the quality of life for the most disadvantaged neighbourhoods and ensure service providers are more responsive to neighbourhood needs and improve their delivery	Neighbourhood Element	581,000
		Neighbourhood Renewal Fund	437,000
34	Increasing financial resources within family environments to provide improved lifestyle opportunities	Reward Element	33,600
35	Freedom from discrimination or harassment		
36	Creating a fairer world		



hartlepool**partnership**

Hartlepool Local Area Agreement Revised Refresh Submission

24 January 2007

Revised Refresh Submission 24 January 2007
Local Area Agreement: HARTLEPOOL

1. Inclusion of new outcomes and indicators (including the mandatory elements)

Mandatory outcomes (as per LAA guidance)	Mandatory Indicator(s)	Baseline	Targets	
			07/08	08/09
1. Improve health and reduce health inequalities (Hartlepool LAA Outcome 9)	Reduce health inequalities between the local authority area and the England population by narrowing the gap in all-age, all-cause mortality.			
	<p style="text-align: right;">All Age, All Cause Mortality – Males (Targets as issued by DH 5 Jan 2007)</p> <p style="text-align: right;">All Age, All Cause Mortality – Females (Targets as issued by DH 5 Jan 2007)</p>	<p style="text-align: center;">995 per 100,000 2002-2004</p> <p style="text-align: center;">692 per 100,000 2002-2004</p>	<p style="text-align: center;">961 per 100,000 2003-2005</p> <p style="text-align: center;">669 per 100,000 2003-2005</p>	<p style="text-align: center;">929 per 100,000 2004-2006</p> <p style="text-align: center;">648 per 100,000 2004-2006</p>
2. Reduce Crime (Hartlepool LAA Outcome 14)	CS1: Reduction in overall British Crime Survey comparator recorded crime. Targets must be those agreed between crime and drugs partnerships and GOs to support delivery of Home Office PSA1. A County level target will need to reflect the targets of crime and drugs partnerships, and any aggregation will need to be agreed with the GO.	04/05 6841	6667	6337
	<p>NEW indicator Reduce the proportion of:</p> <ul style="list-style-type: none"> • adults who re-offend • young offenders who re-offend • prolific and other priority offenders who re-offend. <p>[Note: issue with data for adult offenders to be resolved by DCLG and Home Office. This will be subject to further advice]</p>	<p>To be determined</p> <p>04/05: 56%</p> <p>Cohort data</p>	To be set	To be set

APPENDIX 2

Mandatory outcomes (as per LAA guidance)	Mandatory Indicator(s)	Baseline	Targets	
			07/08	08/09
3. Reassure the public, reducing the fear of crime (Hartlepool LAA Outcome 16)	CS13: % residents who feel very or fairly safe out in their neighbourhood after dark CS14: % people who are very or fairly worried about: a. Having home broken into b. Being mugged on street CS15: % people who are satisfied with the quality of service provided by Police (Hartlepool) CS16: % people who are satisfied with the quality of service provided by Police (NRS)	05/06 29.3% 68.1% 50% 48% (2004) 48%	32% 67% 49% 52% 52%	34% 66% 48% 54% 54%
4. Reduce the harm caused by illegal drugs (Hartlepool LAA Outcome 15)	Reduce public perceptions of local drug dealing and drug use as a problem. New Indicator from BVPI general survey: % people who think using or dealing drugs is a very or fairly big problem in their area	03/04 76%	 Not set	Measure in 09/10: 71%
5. Build Respect in communities and reduce anti-social behaviour (Hartlepool LAA Outcome 17)	New Indicators from BVPI general survey: <ul style="list-style-type: none">% residents who feel very or fairly well informed about what is being done to tackle anti-social behaviour in their local area% residents who feel that parents in their local area are not taking responsibility for the behaviour of their children is very or fairly big problem% residents who feel that people in their area are not treating them with respect and consideration is very or fairly big problem.% residents who have high level of perceived ASB in their local area CS24: % residents stating that 'teenagers hanging around on the streets is a very or fairly big problem' CS25: % residents stating that 'people being drunk or rowdy in public places is very or fairly big problem'	To be agreed after 06/07 results published 66%(03/04) 57%(03/04)	To be set after 06/07 results published Not set Not set	To be set after 06/07 results published 61% 52%

APPENDIX 2

Mandatory outcomes (as per LAA guidance)	Mandatory Indicator(s)	Baseline	Targets	
			07/08	08/09
6. Empower local people to have a greater voice and influence over local decision making and a greater role in public service delivery (Hartlepool LAA Outcome 31)	SC2: Percentage of residents who feel they can influence decisions affecting their local area.	26% (2004)	Not set	Not set
	SC19: Percentage of people who feel that their local area is a place where people from different backgrounds get on well together.	Result of survey not known till Jan 2006	Not set	Not set
	SC6. An increase in the numbers of people recorded as or reporting that they have engaged in formal volunteering on an average of at least two hours per week over the past year.	9% (2002)	9.4%	9.6%

APPENDIX 2

Mandatory outcomes (as per LAA guidance)	Mandatory Indicator(s)	Baseline	Targets	
			07/08	08/09
NEIGHBOURHOOD RENEWAL FUND				
Raise standards in English, maths and science in secondary education so that by 2008, in all schools located in the districts in receipt of NRF, at least 50% of pupils achieve level five or above in each of English, maths and science (Hartlepool LAA Outcome 7)	By 2008 all schools located in Local Authority Districts in receipt of NRF to ensure that at least 50% of pupils achieve level five or above in each of English, maths and science.	2006 - No Schools with less than 50% of pupils achieving level five or above in each of English, maths and science.	No Schools with less than 50% of pupils achieving level five or above in each of English, maths and science.	No Schools with less than 50% of pupils achieving level five or above in each of English, maths and science.
Reduce overall crime in line with local Crime and Disorder Reduction Partnership targets and narrow the gap between the worst performing wards/neighbourhoods ¹ and other areas across the district (Hartlepool LAA Outcome 14)	Reduction in overall British Crime Survey comparator recorded crime. Targets must be those as agreed between crime and drugs partnerships and GO's to support delivery of Home Office PSA1. A County level target will need to reflect the targets of the crime and drugs partnerships, and any aggregation will need to be agreed with the GO.	04/05 NR area = 71.95% of town	NR area = 70% town	NR area = 68% town
As part of an overall housing strategy for the district ensure that all social housing is made decent by 2010, unless a later deadline is agreed by DCLG as part of the Decent Homes programme (Hartlepool LAA Outcome 24)	Non-decency targets to deliver Decent Homes by 2010 (or later deadline as approved by DCLG) which are agreed with Government Offices and monitored at District Level against trajectories reported in LA BPSAs and RSL RSRs ³	2002 45% Council 98% RSL 2002	TBC% Housing Hartlepool TBC% other RSL	100% by 2010

APPENDIX 2

Mandatory outcomes (as per LAA guidance)	Mandatory Indicator(s)	Baseline	Targets	
			07/08	08/09
Improve the quality of the local environment by reducing the gap in aspects of liveability between the worst wards/neighbourhoods ¹ and the district as a whole, with a particular focus on reducing levels of litter and detritus (Hartlepool LAA Outcome 21)	<ul style="list-style-type: none"> Reduction by 2008 in levels of litter and detritus using BV199 at district level <p>E3: Cleanliness of the neighbourhood – % of transects surveyed falling below grade b for litter and detritus</p> <p>E4: Cleanliness of the neighbourhood – % of transects surveyed falling below grade b for litter and detritus (Neighbourhood Renewal Area)</p>	<p>17% (2005/06)</p> <p>19% (2005/06)</p>	<p>11%</p> <p>11%</p>	<p>10%</p> <p>10%</p>
Reduce premature mortality rates and reduce inequalities in premature mortality rates between wards/neighbourhoods ¹ with a particular focus on reducing the risk factors for heart disease, stroke and related diseases (CVD), (smoking, diet and physical exercise) (Hartlepool LAA Outcome 9)	<ul style="list-style-type: none"> Reduce directly standardised mortality rates from circulatory diseases in people under 75, so that the absolute gap between the national rate and the rate for the district is narrowed, <u>at least</u> in line with LDP trajectories by 2010.² Reduce the health inequalities between the most deprived neighbourhood and the district average, using indicators that are chosen in accordance with local health priorities and will contribute to a reduction in inequalities in premature mortality rates. <p>HC13: Number of 4 week smoking quitters (NRA + NDC)</p> <p>HC14: Number of 4 week smoking quitters (rest of Hartlepool)</p>	<p>143 per 100,000 2001-2003 (2002)</p> <p>Previous baseline was a 3-year Hartlepool target of 1680 4 week smoking quitters for period 2003/04. 2004/05, 2005/06.</p>	<p>127 per 100,000 2003-2005 (2004)</p> <p>400 (2007-2008)</p> <p>300 (2007-2008)</p>	<p>118 per 100,000 2004-2006 (2005)</p> <p>415 (2008-2009)</p> <p>285 (2008-2009)</p>

APPENDIX 2

Mandatory outcomes (as per LAA guidance)	Mandatory Indicator(s)	Baseline	Targets	
			07/08	08/09
Within each NRF district, for those living in the wards identified by DWP as having the worst labour market position ⁴ (as at February 2004), significantly improve their overall employment rate and reduce the difference between their employment rate and the overall employment rate for England (Hartlepool LAA Outcome 4)	<ul style="list-style-type: none"> Within that NRF district a reduction by 2007-8 of at least 1.6⁵ percentage points in the overall benefits claim rate⁶ for those living in the Local Authority wards identified by DWP as having the worst initial labour market position. Within that NRF district a reduction by 2007-8 of at least 1.6 percentage points in the difference between the overall benefits claimant rate for England and the overall rate for the local authority wards with the worst labour market position. 	May 2006 24.35% Hartlepool Hartlepool 24.35% GB 13.2% Gap is 11.15%	22.75% Gap of 9.55%	21.15% Gap 7.95%
SSCF Neighbourhood Element and Neighbourhood Management Pathfinder Funding Element				

APPENDIX 2

Mandatory outcomes (as per LAA guidance)	Mandatory Indicator(s)	Baseline	Targets	
			07/08	08/09
Improved quality of life for people in the most disadvantaged neighbourhoods; service providers more responsive to neighbourhood needs; and improved service delivery (Hartlepool LAA Outcome 33)	Percentage of residents (in areas receiving these SSCF funding elements) reporting an increase in satisfaction with their neighbourhoods.	77% Satisfied (2002)	81.4%	82.6%

APPENDIX 2

Mandatory outcomes (as per LAA guidance)	Mandatory Indicator(s)	Baseline	Targets	
			07/08	08/09
SSCF cleaner, safer, greener element				
Cleaner, greener and safer public spaces (Hartlepool LAA Outcome 21)	See E3 and E4 above (levels of litter and detritus BV199 at Hartlepool and NRS areas)			
	E5: % of people who think litter and rubbish in the streets is a problem in their area	51% (2004)	49%	50%
	CL8: % of people satisfied with public parks and open spaces	67% (2004/05)	75%	75%
	An increase in the percentage of abandoned vehicles removed within 24 hours from the point where the local authority is legally entitled to remove the vehicle (BVPI 218b).	100% (2005/06)	100%	100%

APPENDIX 2

Mandatory outcomes (as per LAA guidance)	Mandatory Indicator(s)	Baseline	Targets	
			07/08	08/09
Local Enterprise Growth Initiative				
Increase total entrepreneurial activity amongst the population in deprived areas Support the sustainable growth, and reduce the unnecessary failure, of locally owned businesses in deprived areas Attract appropriate inward investment and franchising into deprived areas, making use of local labour resources	Indicators at neighbourhood and priority group level to be agreed in negotiation.			
		No LEGI Funding in Hartlepool		
Connexions Funding				
Achieve Economic Well-being (Hartlepool LAA Outcome 5)	JE 16: Percentage of 16-18 year olds not in education, employment or training.	2004 9.8%	8.4%	8.0% (Long term target 7.6% 2010)
Home Fire Risk Check and Fire Prevention Grant				
Increase domestic fire safety and reduce arson (Hartlepool LAA Outcome 16)	CS17: Deliberate fires (Hartlepool) CS18: Deliberate fires (NR area)	03/04 1384 870	810 523	770 497
Supporting People				
The outcomes framework for Supporting People is being developed within the Supporting People strategy by DCLG and will be ready in the early summer.	To be developed alongside the outcomes.			
		Framework not available till summer 2007		

APPENDIX 2

Mandatory outcomes (as per LAA guidance)	Mandatory Indicator(s)	Baseline	Targets	
			07/08	08/09
New Growth Points Funding				
Increase housing supply in a sustainable way	Increase housing supply in a sustainable way.	No New Growth Points Funding in Hartlepool		
Waste Performance and Efficiency Grant				
Reduce waste to landfill and increase recycling (Hartlepool LAA Outcome 23)	Reduction in the percentage of municipal waste land filled.	2005/06 18%	17%	16%
	Increase in the percentage of municipal waste recycled.	22%	23%	24%
School Travel Advisors				
Be Healthy (Hartlepool LAA Outcome 9 is Be Healthy, however this element fits best under Outcome 22)	The percentage annual increase in the number of schools with an approved school travel plan (STP) required to achieve 100 percent STP coverage by March 2010. This should be profiled against the current number of schools with an approved STP in place and the appropriate delivery point on a trajectory which would achieve 100 percent coverage by 2010.	23 Schools (March 2006)	31	36
Teenage Pregnancy Grant				
Be Healthy (Hartlepool LAA Outcome 10)	Reduction in the under 18 conception rate.	75.6 per 1000 (1998)	58 per 1000 (2006)	52 per 1000 (2007)

Other new outcomes (including those agreed in relation to any automatically pooled funding)	Other new indicators	Baseline	Targets	
			07/08	08/09
			No other new outcomes/indicators	

2. Negotiated modifications to the existing outcomes framework and targets

This section is to be used to record any amendments to the existing outcomes framework including any deletions

Outcome		Indicator(s)		Targets				
Existing	Proposal	Existing	Proposal	Baseline	Existing 07/08	Existing 08/09	Proposal 07/08	Proposal 08/09
17. Reduced anti-social and criminal behaviour through improved prevention activities	17. Build respect in communities by reducing antisocial and criminal behaviour through improved prevention and enforcement activities	CS21(H'pool) Personal, Social and Community disorder	CS21a (H'pool) Incidents of Anti-social behaviour	04/05 9498	9230	8769	10872	10328
		CS22 (NR area) Personal, Social and community disorder	CS22a (NR area) Incidents of Anti-social behaviour	6773	6193	5700	7188	6829
21. Improve the quality of the local environment by having cleaner, greener and safer public spaces and by reducing the gap in aspects of liveability between the worst wards/neighbourhoods and the district as whole, with a particular focus on reducing levels of litter and detritus	21. Improve the quality of the local environment by having cleaner, greener and safer public, private and community spaces and by reducing the gap in aspects of liveability between the worst wards/neighbourhoods and the district as whole, with a particular focus on reducing levels of litter and detritus							

3. Proposed pooled funding

Funding Stream(s)	Previously pooled Y/N	Amount £ 07/08 Capital	Amount £07/08 Revenue
Automatically pooled funding			
1. Children's Services Grant	N	0	430,252
2. Kerbcraft ends 2007	Not applicable	-	-
3. Key Stage 3 Behaviour & Attendance	N	0	68,300*
4. Key Stage 3 Central Coordination	N	0	106,822*
5. Neighbourhood Support Fund	Y	0	190,451
6. Positive Activities for Young People	N	0	141,681
7. Primary Strategy Central Coordination ends 2007	N	0	97,753*
8. School Travel Advisers	N	0	35,000*
9. School Development Grant	N	0	Approx 250,000* (to be confirmed in line with pupil numbers in January 2007)
10. Safer and Stronger Communities Fund including			
- Anti Social Behaviour	Y	0	25,000
- Building Safer Communities	Y	45,201	122,210
- Drug Strategy Partnership Support Grant	N	0	64,745
- Tackling Violent Crime Programme	Not applicable	-	-
- Neighbourhood Management Pathfinder	Not applicable	-	-
- Neighbourhood Element	Y	0	581,000
- Cleaner, Safer, Greener element	Not applicable	-	-
- ASB Action Area	Not applicable	-	-
11. Aggregates Levy Sustainability Fund	Not applicable	-	-
12. Anti Social Behaviour Trailblazer	Not applicable	-	-
13. Home Fire Risk Check Initiative (Aligned 07/08)	Not applicable	-	-
14. Neighbourhood Renewal Fund	Y	0	4,375,218
15. Rural Social & Community Programme	Included in LAA for Redcar and Cleveland (£66,772 Tees Valley allocation)	-	-
16. Waste Performance & Efficiency Grant	N	0	186,054
17. Local Enterprise Growth Initiative	Not applicable	-	-
18. New Points Growth Funding	Not applicable	-	-
Locally pooled funding			
19. Teenage Pregnancy Grant	Y	0	144,000
20. Connexions	N	0	1,070,239

*** Please note these elements of Standards Funding were previously spent over an 18 month period and not the 12 month LAA financial cycle and we are currently awaiting further advice from GONE on retaining this flexibility.**

4. Reward element

For those LAAs that have subsequently negotiated and agreed reward element targets, this submission should identify the finally agreed outcomes indicators and targets using the reward element template.

¹ Neighbourhoods are: any aggregation of Super Output Areas within local authorities, whether defined as contiguous areas or as areas with high representation of target population groups – ethnic minorities, socio-economic groups, etc. The areas and/or groups and relevant baselines should be identified.

² Targets are for 3 year average mortality and for men and women combined. As a minimum, they should deliver the trajectory for circulatory mortality improvement agreed by PCTs through the Local Delivery Plan process, as projected to 2009-2011. If non-Spearhead areas do not have relevant trajectories they should agree other appropriate means of addressing this.

³ LSPs are encouraged where possible to seek to address the gap below district level, focussing on deprived wards, by establishing a sub indicator underneath the mandatory outcome. Examples of innovative ways of measuring such targets at neighbourhood level will be made available by the DCLG wherever possible.

⁴ The list of the 'worst wards' are available on FTI at <http://www.fti.neighbourhood.gov.uk/document.asp?id=297> and will remain unchanged for the life of the target. Where NRF areas do not contain any of the wards identified as having the worst initial labour market position we would strongly urge those NRF districts to carefully consider the available data to identify their priority neighbourhoods and wards, and to adopt appropriate worklessness targets within their LAA. The targets should include appropriate convergence targets to narrow any disparities identified within the area and to improve the area's overall employment rate when compared to the national rate.

⁵ According to the DWP 'Destination of Benefit Leavers Survey 2004', most people who stopped claiming benefits (JSA, IB and IS) between Feb and May 2004 did so because they gained 16 hours or more of employment (62%). In order to achieve the PSA target which aims to increase the employment rate by at least 1%, we can use this figure to estimate the ratio of benefit claimant leavers to new employees. 62% can be expressed as a ratio of 1.6. In other words for every 1.6 people ceasing to claim benefit we would expect 1 to gain employment. Or, a reduction in the existing benefit claim rate of 1.6% (from say 30% to 28.4%) would equal a 1% increase in the employment rate. A breakdown of the figure at a regional level is included on page 4 of this document.

⁶ Benefits claim rate will be calculated by using DWP Working Age Client Group (WACG) data as nominator and resident population data as denominator. Where resident population data is not available for a specific point in time, the most recent time point should be used.

TEMPLATE FOR GOVERNMENT OFFICE'S HIGHLIGHT REPORTS TO CENTRAL GOVERNMENT ON THE SIX-MONTHLY OR ANNUAL REVIEW OF EACH LOCAL AREA AGREEMENT

GOVERNMENT OFFICE NORTH EAST HIGHLIGHT REPORT ON HARTLEPOOL LOCAL AREA AGREEMENT

1) Overall progress

Green

- The most likely outcome at the end of the three year period of the LAA is that all of the mandatory outcomes and other outcomes of key importance to the local partnership will have been met.
- Where there are any gaps in indicators/ baseline information this has been clearly explained and actions put in place to address the issue.

2) Direction of Travel

Amber

There are some key highlights at this early stage of the LAA, including community safety performance as measured across the 6 Outcomes being 'on target,' and education attainment for 5–16 years olds also being good.

Areas representing more of a challenge concern narrowing the gap between Hartlepool and the NR areas. Key LAA indicators, namely Employment Rates, Unemployment and Youth Unemployment show little progress at the moment. Whilst a number of collaborative and targeted employability initiatives have been pursued, Hartlepool recognises the importance of developing a more integrated and geographically targeted employability service for local residents and the Economic Forum is currently steering the development of a local Employment and Skills Partnership. It is recognised that performance in this area should be assessed on a more medium / long-term basis.

In the months ahead, The Safer Hartlepool Partnership will also be working towards actions aimed at reducing instances of local violence, which are currently slightly above target.

Hartlepool has made significant progress in reducing the gaps between girls and boys performance which is a national issue. In 2006, gaps in Hartlepool on many indicators were either in line with those nationally or better. However, this is still a priority area and the issue of improving the performance of boys, especially in Key Stage 3 English where the gap is the widest, is an issue being closely focussed on by the Council's Children's Services Scrutiny Forum.

The evidence provided by Hartlepool has been fully evaluated by policy leads within the GO, and they have expressed their satisfaction at this stage and raised no issues of concern which would jeopardise overall progress.

3) Risks to the achievement of mandatory outcomes

List all mandatory outcomes in the LAA for which there is a residual risk that they will not be achieved at the end of the three year period of the LAA. For each, describe what the risks are and what is being or will be done to address these risks including what, if any, support or action by central government might be needed to mitigate any of these risks. Give an assessment of how likely it is that this will address those risks and which, if any, remain unaddressed.

Economic Regeneration & Transport

- The outcomes at risk are employment rate in NRF areas and unemployment rate. The key risk for both is that trajectories are inconclusive and the mitigating actions need to support a reversal of these trends. However, significant resources are associated with achieving these outcomes and have been allocated to support specific target groups.
- The establishment of the employment and skills consortium should have a positive impact and will need to drive forward progress.

Health and Older People

- The mandatory target for health concerning reducing the gap in life expectancy between the Borough and England as a whole is especially challenging for Hartlepool. The current situation in Hartlepool is that the gap is actually increasing for females and staying constant for males.
- The gap in life expectancy is also increasing (and therefore going the wrong way) between people living in the NRF wards and the Borough as a whole. However, we are confident that the Borough is actively tackling this issue, has good plausibility, and that the longer term prognosis is positive.
- The key challenge for the Borough is whether shorter term interventions around smoking cessation, cholesterol lowering drug prescribing and blood pressure monitoring can bring about a significant reduction in the life expectancy gap over the three year period of the LAA. We will continue to discuss the plausibility and longer term requirements of these interventions.

Safer Stronger Communities

- Local Violence is still 5.5% above target. A multi agency task group has been established to look at specific issues in relation to this underperformance; a comprehensive plan of action embarking on a variety of interventions will result.
- ASB - Deliberate fires – which specifically, is due to significant increases in rubbish fires. An action plan has been developed to target hot spot areas with specific focus on Neighbourhood Renewal areas.

Work with young people on prevention is being introduced.

- There is confidence in the partnership to tackle these issues quickly and effectively.

4) Risks to the achievement of other outcomes of key importance to the local partnership

List any other outcomes of key importance to the local partnership for which there is a residual risk that they will not be achieved at the end of the three year period of the LAA. For each, describe what the risks are and what is being or will be done to address these risks including what, if any, support or action by central government might be needed to mitigate any of these risks. Give an assessment of how likely it is that this will address those risks and which, of any, remain unaddressed.

All outcomes of key importance to the local area are currently on target or appropriate action is in place to ensure achievement.

5) The impact of the LAA and strong performance

Describe what key differences the implementation of the LAA has made, including in terms of better delivery, strengthened partnership working including VCS engagement, innovation, improved local performance management, enhanced efficiency, improved central and local government relations or the impact that any specific initiative within the LAA is having.

Identify any outcomes, mandatory or not, against which there is particularly strong performance or activity is taking place that is expected to lead to such and describe this, including any examples of innovative ideas which are delivering, which could be of wider application, i.e. what, if any, transferable good practice this might include.

Children and Young People

- Hartlepool has performed exceptionally well on Education in Summer 2006, with an increase in all key stages; at KS1 performance has improved to the national level or above on all indicators and 2006 GCSE results are the best in the town's history and the gap has also narrowed between NR and non-NR areas.
- Continued improvement has been made across the board in KS3 in particular Maths where Hartlepool are out performing the national average.
- Performance around children's centres and extended schools has been good with all targets being achieved or exceeded. Future plans for extended services are laid out in the LA's Extended Schools and Children's Centre Strategy which has been widely consulted upon and agreed with Cabinet.

Health and Older People

- Highest 4 week quit rates in country with tobacco control and smoking cessation rated as good by the Healthcare Commission
- Reduction in teenage conceptions and achievement in interim target.
- FTAP produced on Life Expectancy.
- Excellent performance to 'Reduce harm caused by illegal drugs and alcohol' with increased numbers of drug users (and drug using offenders) entering and staying in treatment.

Safer Stronger Communities

- Steady progression towards decent homes standard.
- A significant increase in residents satisfaction with their local area as a place to live since 2002
- Major increase in recycling /composting (6079 tonnes in Q2 compared to 6605 in 03/04)
- Excellent progress on PSA1 – Reduce Total Crime (as measured by the BCS comparator crimes) currently above target on reductions with 21.9% reduction since the 03/04 baseline.
- Continued reduction in vehicle crime and domestic burglary

Economic Regeneration & Transport

- Performance in Hartlepool is moving in the right direction on the key benefit figures (and has been doing so since 2003) which would suggest that progress is being made in improving activity rates. The trends in employment / unemployment rates need continuous / long-term assessment.
- Good progress to date on outcome 6 'Improving training and employment prospects for targeted groups' JE19-21A with a significant number of new initiatives/processes being established to improve these figures.
- The partnership has demonstrated rigour in identifying the main areas of weakness and has also sought to initiate actions to address them. As referred to above, the establishment of an Employment & Skills Consortium and new "Actions for Improvement" should enhance the role of key delivery partners and effect an improvement in Direction of Travel.

Funding

- Hartlepool is a single pot LAA.
- Activity is progressing well and we expect Hartlepool to be within the 5% carry over threshold at the end of the year.
- The council has submitted their Statement of Grant Usage.

Governance

The LAA continues to be developed and monitored through the Hartlepool Partnership and their LAA Delivery and Action Plan for 2006/07 has allowed a review of governance arrangements to take place. This six-month review has fitted in with the quarterly performance management reporting cycle of the Hartlepool Partnership

and a full review of the progress against the outcomes and indicators in the LAA has taken place.

Partnership Working

Through the Hartlepool Partnership, innovative ways of working in partnerships have been developed and have led to some notable successes. For example, partnership working across schools and with others, including the voluntary and community sector, has led to better outcomes for children and young people, (e.g.) out of hours learning, extended schools and alternative education provision for the disaffected and disengaged. Also, Neighbourhood Policing initiatives across the whole town has involved significant partnership working between the Safer Hartlepool Partnership, Neighbourhood Police Teams and other groups. They have helped to develop a targeted approach to tackle specific problems like burglary and vehicle crime.

6) Missing information

Identify any gaps in any indicators, baselines, targets and trajectories relating to mandatory outcomes where it remains unclear how and by when one or more of these gaps will be filled or removed and what action is being taken to address this, including what, if any, support or action by central government might be needed to address these.

Any gaps in indicator information have been clearly explained. Some indicators are only measured annually and therefore no milestone data available.

7) Other key issues for Government

Describe any other key issues arising from the review that the local partnership and/or GO wishes to draw to central government's attention, including any feedback on how well LAAs are working for the local partnership and GO and what might be done to improve them and remove any persistent barriers to achievement.

Hartlepool is disappointed with the extent of freedoms in respect of financial and performance monitoring. There is a strong feeling that the current LAA framework is unduly restrictive for a single pot LAA. From 2006/7 the LAA and NRF/LSP will be jointly performance managed which will significantly reduce this burden. In addition, the nature of the enabling measures on offer and the timescales for their negotiation has proved unhelpful.

Small local benefits have been achieved; most notably the inclusion of the Neighbourhood Support Fund within the LAA has enabled streamlined local working. There has also been a small reduction in the number of grant claim forms that have had to be submitted e.g. Drug Partnership Support Grant,

quarterly returns for NRF.

The opportunities presented by the Local Government White Paper and in particular the reduction in performance indicators is welcomed by Hartlepool. Moving from the current position to only 35 indicators (plus DfES) will be challenging. Government Office North East is to hold a workshop in the New Year to provide a forum for areas to discuss this in more detail.

CABINET REPORT

19 February 2007



Report of: Director of Regeneration and Planning Services

Subject: FURTHER REVISION TO LOCAL DEVELOPMENT SCHEME 2007

SUMMARY

1. PURPOSE OF REPORT

To seek approval for further revisions to the Local Development Scheme (LDS) of July 2006 to take account of recent decisions taken on related documents including the Community Strategy and the Regional Spatial Strategy.

2. SUMMARY OF CONTENTS

The Local Development Scheme should be kept up to date as far as practicable and revised periodically to ensure that milestones are realistic and achievable. At the Cabinet meeting on 22 January 2007 a number of revisions were agreed to reflect changes over the last year including the adoption of the Statement of Community Involvement (SCI), amendments to the key milestones for the preparation of the Planning Obligations Supplementary Planning Document (SPD) and the inclusion of the timetable for the preparation of a new Supplementary Planning Document on Transport Assessment & Travel Plan Guidance

It has since become clear that it would be advisable to further revise the LDS to reflect new government advice and to reflect changes in the programme for the Community Strategy.

3. RELEVANCE TO CABINET

The Local Development Scheme sets out the Council's programme for the preparation of development plan documents forming part of the Development Plan which is part of the Budget and Policy Framework

4. TYPE OF DECISION

Non Key Decision

5. DECISION MAKING ROUTE

Cabinet 19 February 2007

6. DECISION(S) REQUIRED

To approve the further revisions of the Local Development Scheme for submission to the Secretary of State.

Report of: Director of Regeneration and Planning Services

Subject: FURTHER REVISIONS TO LOCAL DEVELOPMENT SCHEME 2006

1. PURPOSE OF REPORT

- 1.1 To seek approval for further revisions to the Local Development Scheme in addition to those already agreed by Cabinet on 22 January 2007 to reflect recent Government advice and further necessary changes arising from the programming of related key documents.

2. BACKGROUND

- 2.1 The preparation of a Local Development Scheme is a requirement under the new planning system. Its main purpose is to identify a rolling programme for the Council's proposals for producing policy documents over the next three years and to highlight the stages in the preparation of planning policy documents particularly with regard to public participation with the community and major stakeholders.
- 2.2 The Secretary of State approved the original Local Development Scheme in March 2005 together with a Revision of July 2006.
- 2.3 Five documents were highlighted in the 2006 Local Development Scheme, namely
- Statement of Community involvement
 - Core Strategy Development Plan Document (DPD)
 - Housing Allocation Development Plan Document (DPD)
 - Planning Obligations Supplementary Planning Document (SPD)
 - Joint Minerals and Waste Development Plans Documents (DPDs)

3. REVISIONS TO THE LOCAL DEVELOPMENT SCHEME

- 3.1 It is important that the Local Development Scheme is kept up to date and is revised periodically to ensure that it is rolled forward and that milestones are as realistic as possible.

- 3.2 A letter received from Government Office has advised that the LDS is to become a definitive programme management document and from 1 April 2007 it will only expect to be departed from in exceptional circumstances or as agreed in response to the Annual Monitoring Report. Government Office emphasise that the LDS should be as realistic as possible and that any current review must be completed by 1 April 2007. It should be noted that future allocations of Planning Delivery Grant will be dependent on performance in achieving key stages set out in the Local Development Scheme.
- 3.3 Cabinet on 22 January 2007 agreed a number of changes including:
- The omission of the Statement of Community Involvement now that it is adopted.
 - A revised programme for the preparation of Planning Obligations Supplementary Planning Document (SPD)
 - The inclusion of a new Supplementary Planning Document (SPD) Transport Assessment & Travel Plan Guidance
- 3.4 Since the Cabinet of 22 January 2007 a number of key issues have arisen which will affect the timing of the preparation of the Local Development Framework
- 3.5 The Regional Spatial Strategy for the North East (RSS) which was submitted to the Secretary of State in June 2005 and considered at the Examination in Public in March 2006 has still not yet been adopted. The Government Office now advise that Proposed Modifications will not now be published until the end of February 2007 and that adoption is unlikely until Mid Summer 2007. The delay in the adoption of the RSS will affect the preparation of Hartlepool's Core Strategy and the subsequent Housing Allocations Development Plan Document.
- 3.6 Further, as reported to Cabinet on 22 January 2007, the Community Strategy will need to be subject to a Sustainability Appraisal and a Strategic Environmental Assessment under European Directive 2001/42/EC. In order to take account of this extra stage in the Community Strategy preparation the programme for consultation on the final draft of the Community Strategy has been revised to take place in autumn 2007.
- 3.7 The delay in the preparation of the Community Strategy affords a good opportunity to coordinate the public consultation of both the Core Strategy of the Local Development Framework and the Community Strategy. It is proposed that this joint consultation exercise be undertaken in October 2007 thus ensuring an efficient use of resources and simplifying the process as far as the community is concerned.
- 3.8 As a consequence of co-ordinating the Community Strategy and the Core Strategy consultation process it is necessary for the programme for the

consultation on the LDF Core Strategy to be revised and set back two months from its currently agreed date of August 2007 to October 2007.

- 3.9 To ensure sufficient time between the adoption of the Core Strategy and the consultation on the subsequent Housing Allocations Development Plan Document (DPD) the timing of that document will also be amended. In addition the consultation period for the Planning Obligations Supplementary Planning Document (SPD) will need to be changed slightly to take account of the revised Core Strategy consultation.
- 3.10 A copy of the Revised Local Development Scheme February 2007 is attached as **Appendix 1**.
- 3.11 The Revised Local Development Scheme February 2007 needs to be formally agreed with the Planning Inspectorate prior to being formally submitted to the Secretary of State.

4. OFFICER ADVICE

- 4.1 That the Further Revised Local Development Scheme February 2007 be approved for consultation with the Planning Inspectorate and subject to their acceptance of the programme the revised LDS be submitted to the Secretary of State.

LOCAL DEVELOPMENT SCHEME

February 2007



HARTLEPOOL BOROUGH COUNCIL

Hartlepool Local Development Scheme :

Contents

Introduction	1
The New Development Plan System	2
<i>Diagram 1: Local Development Framework</i>	2
The Local Development Scheme	4
Saved Policies	4
Statement of Community Involvement	4
Development Plan Documents	5
Joint Development Plan Documents	6
Supplementary Planning Documents	6
<i>Diagram 2: Timetable (to April 2010)</i>	7 - 8
<i>Tables 1-: Document Profiles</i>	9 - 14
Sustainability Appraisal	15
Links to Other Strategies	15
Evidence Base	15
Monitoring and Review	16
Managing the Process	17
Review of the Local Development Scheme	19
Appendices:	
1. Acronyms and Technical Terms	20
2. Saved Policies	22
3. Linked Strategies and Programmes	23
4. Evidence Base	25

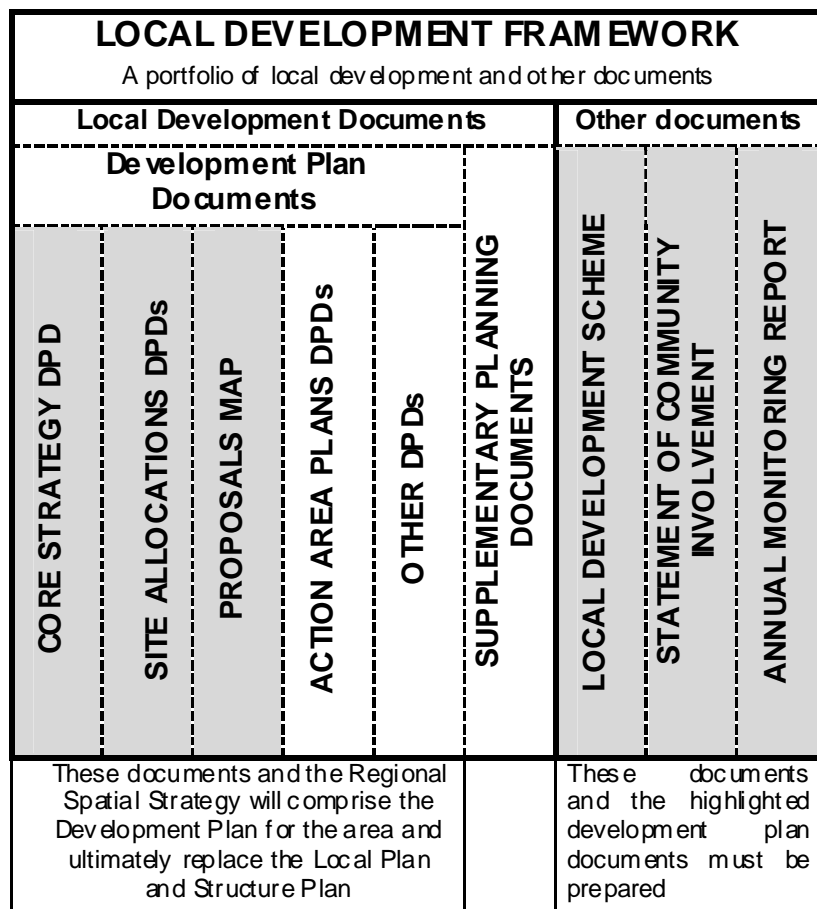
1. Introduction

- 1.1. This local development scheme sets out a rolling programme for the preparation of documents relating to forward planning in Hartlepool. It is specifically concerned with documents being prepared over the next three years or so, but also highlights those which are likely to be prepared in the future. The scheme will be reviewed as necessary as circumstances change (see section 9).
- 1.2. The local development scheme was first published in March 2005. It was subsequently reviewed in July 2006 to take account of the proposal to prepare a joint Minerals and Waste Local Development Framework and also to exclude from the programme, the Hartlepool Local Plan, which had been adopted in April 2006. This further review relates mainly to changes to the timetable for the preparation of the Planning Obligations SPD and the preparation of a new SPD on Transport Assessment & Travel Plan Guidance.
- 1.3. The scheme acts as the starting point for the community, key stakeholders and others with an interest in the development process, to find out about the status of existing and emerging planning policies. It sets out the timetable and highlights the key stages for the preparation of new policy documents and when they are proposed to be subject to public consultation.
- 1.4. Statutory planning policies for Hartlepool are presently set out in the 2004 Tees Valley Structure Plan and the 2006 Hartlepool Local Plan including Mineral and Waste policies.
- 1.5. The Planning and Compulsory Purchase Act 2004 has resulted in major changes to the way the planning policy system operates and in the future new types of planning document will be prepared. Local Development Documents (LDDs) contained within a Local Development Framework (LDF) will progressively replace the Local Plan and Supplementary Planning Guidance, whilst at the regional level, a new Regional Spatial Strategy currently under preparation will replace the Regional Planning Guidance for the North East.
- 1.6. Acronyms and terminology used in this document are explained in Appendix 1.
- 1.7. The Local Development Scheme describes the main features of the new planning system and then sets out the programme for the production of future planning policies. Important aspects related to the process for the development of planning policies are highlighted in sections 4 to 8 of the Scheme and the final section identifies circumstances in which the scheme will be reviewed.

2 The New Development Planning System

The **Local Development Framework** will comprise a portfolio of **Local Development Documents** which will together deliver the spatial planning strategy for the Hartlepool area (see Diagram 1 below). Initially the Local Development Framework will also include saved policies from the local plan and the structure plan (see paragraphs 3.3 to 3.4).

Diagram 1:



2.2 The documents comprising the Local Development Framework include

- This document – the **Local Development Scheme (LDS)** – setting out the details of each of the Local Development Documents to be started over the next three years or so and the timescales and arrangements for preparation. The scheme also sets out the timetable for the adoption of the new local plan currently at an advanced stage of preparation.

- **Development Plan Documents (DPDs)** – which together with the Regional Spatial Strategy will comprise the statutory Development Plan and deliver the spatial planning strategy for the area. The Development Plan Documents will be subject to independent public examination.

The completion of the new Hartlepool Local Plan will reduce the necessity for undertaking the preparation of DPDs in the short term, but ultimately there will be a number of different types of Development Plan Documents as follows:

- **Core Strategy** setting out the spatial vision, spatial objectives and core policies for the area;
- **Site Specific Allocations** of land such as housing and employment sites;
- **Action Area Plans** (where needed) relating to specific parts of the area where there will be comprehensive treatment or to protect sensitive areas
- **Proposals Map** which will be updated as each new DPD is adopted;
- **DPDs** containing waste and minerals policies;
- together with any other DPDs considered necessary.

The Core Strategy must generally conform with the Regional Spatial Strategy and all other DPDs must conform with the Core Strategy.

- **Supplementary Planning Documents (SPDs)** – these are non-statutory documents expanding on or providing further detail to policies in a development plan document – they can take the form of design guides, development briefs, master plans or issue-based documents. Although SPDs will be subject to full public consultation, they will not be independently examined.
- **Statement of Community Involvement (SCI)** – setting out the policy for involving the community and key stakeholders both in the preparation and revision of local development documents and with respect to planning applications.
- **Annual Monitoring Report** – assessing the implementation of the local development scheme and the extent to which policies in local development documents are being achieved.

3. The Local Development Scheme

The first Local Development Scheme was prepared by the Council in March 2005 with a review approved in July 2006.

This further review of the scheme sets out the programme for the preparation for the first local development documents to be produced under the new planning system. Diagram 2 provides an overview of the timetable for the production of these documents covering the next three years or so.

Further details on the role and content of proposed local development documents, key dates relating to their production, arrangements for their preparation and review and monitoring are set out in Tables 1 - 6.

Saved Policies

The Act allows policies in structure and local plans to be 'saved'. This can be for a period of at least three years from the date the Act came into force (September 2004) or in the case of plans adopted after then, from the date the plan is adopted (i.e. April 2006 for the Hartlepool Local Plan). New policies in development plan documents will progressively replace those saved in structure and local plans. Some policies in the structure plan (such as the housing and employment land requirements for the area) will be replaced by new policies in the Regional Spatial Strategy.

Appendix 2 lists the policies of the 2006 Hartlepool Local Plan and the 2004 Tees Valley Structure Plan which will initially be saved and thus will continue to remain effective until new LDF policies are adopted.

The status of Supplementary Planning Guidance following the commencement of the new planning system remains the same as long as relevant saved policies are in place. It will continue to be a material consideration in terms of determining planning applications. The only currently adopted Supplementary Planning Guidance is the Greatham Village Design Statement. This is included in the 2006 Hartlepool Local Plan as a Supplementary Note and will be saved as part of that plan. Also saved is the Supplementary Planning Guidance for Proposed Housing Redevelopment in West Central Hartlepool which was adopted in April 2005.

Statement of Community Involvement

The Borough Council states that the first priority document to be prepared under the new planning system was the Statement of Community Involvement (SCI). The SCI document sets out how the council intends to involve the community and other interested parties in the new planning system and provide standards for involving the community in all the different stages of the planning policy process and in the determination of planning applications.

All other local development documents will be prepared in accordance with the arrangements set out in the SCI.

The SCI was submitted to the Secretary of State in January 2006 and was subsequently independently examined for soundness. The document was adopted on 26th October 2006.

Development Plan Documents

The Borough Council has not considered it necessary to make an early start on the preparation of development plan documents as the 2006 Hartlepool Local Plan provides an appropriate spatial strategy that accords with the Tees Valley Structure Plan and current regional guidance. Further, the Local Plan has taken forward those elements of the Hartlepool Community Strategy and the Hartlepool Local Transport Plan that concern physical development and use of land.

However, it is proposed that work will begin within three years on the preparation of a limited number of development plan documents to align with the later stages of the preparation of the new Regional Spatial Strategy. This will also fit in with the review of the Hartlepool Community Strategy. The proposed development plan documents including the Proposals Map, which will be revised as each new development document is prepared, are as follows:

- Core Strategy Development Plan Document
- Housing Sites Allocations Development Plan Document
- The Proposals Map

Core Strategy Development Plan Document: The core strategy DPD is the key element of the new planning system and all other development plan documents should be in conformity with it so it would be appropriate that this DPD be prepared first. The 2006 local plan provides a spatial strategy closely aligned both to existing regional and strategic policy and to the Hartlepool Community Strategy and thus should remain relevant for some time. As the core strategy should be in conformity with the Regional Spatial Strategy, it is considered that initial work on the core strategy DPD should commence when preparation work on the new regional document is well advanced. This will also tie in with the review of the Community Strategy, which is expected to be completed in 2007.

Housing Site Allocations Development Plan Document: Existing strategic policy in the 2001 Regional Planning Guidance and 2004 Tees Valley Structure Plan does not take account of the major mixed use regeneration scheme being developed for Victoria Harbour particularly in terms of housing numbers. The 2006 Hartlepool Local Plan, however, identifies Victoria Harbour as a mixed use site including the provision of 1450 dwellings during the plan period to 2016, but includes no other housing allocations because of the restrictions of this existing strategic policy.

The Submitted Regional Spatial Strategy (RSS) is likely to make provision for a higher number of dwellings and it will be a priority therefore to prepare a DPD on housing allocations to take account of the new regional strategy and to update and replace the housing allocations of the 2006 Hartlepool Local Plan.

Proposals Map: The Proposals Map for the 2006 Hartlepool Local Plan will be saved until the first development plan document is adopted at which time it will be amended to reflect the new development plan document and become a development plan document in its own right. It will continue to show saved policies and will be amended as each new development plan is adopted or amended.

Joint Development Plan Documents

There has been a need to update the waste policies contained in the 2006 Hartlepool Local Plan at an early date to reflect new priorities for sustainable waste management. Core Strategy and Site Allocations DPDs are being prepared with the other Tees Valley authorities, such joint documents to include also minerals. The Tees Valley Joint Strategic Unit is overseeing the preparation of the Joint Minerals and Waste DPDs on behalf of the Tees Valley Authorities although the preparation of these documents has involved the use of specialist consultants

Supplementary Planning Documents

Existing supplementary planning guidance can be used as the basis for the preparation of new supplementary planning documents. In this respect, however, it is not proposed to replace the Supplementary Planning Guidance for Proposed Housing Redevelopment in West Central Hartlepool with a new document as it will unnecessarily delay the process of acquiring and redeveloping the sites concerned.

The Greatham Village Design Statement was adopted as supplementary planning guidance in 1999 and is included as a Supplementary Note in the 2006 Hartlepool Local Plan. There are a number of other supplementary notes in the local plan covering a range of topic areas including trees, conservation, wildlife, planning obligations and parking standards. It is currently proposed that most of these be saved. However, as government guidance with respect to planning obligations is being amended, it will be appropriate to prepare a supplementary planning document to replace the supplementary note in the 2006 Local Plan. A supplementary planning document is also being prepared for transport assessments and travel plans. The position with respect to the remaining supplementary notes will be reviewed as part of the annual monitoring process. Any further supplementary development documents to be prepared will be included in a future review of this local development scheme.

6.8 APPENDIX 1

Diagram 2:

TIME TABLE FOR PREPARATION OF LOCAL DEVELOPMENT FRAMEWORK DOCUMENTS

	CORE STRATEGY DPD	HOUSING ALLOCATIONS DPD	PLANNING OBLIGATIONS SPD	TRANSPORT / TRAVEL PLANS SPD
J F M A 2 M 0 J 0 J 7 A S O N D	Continuing evidence gathering with initial community & stakeholder involvement Sustainability appraisal of options Preparation of draft Issues & Options Report Consultation on Issues Options + on initial sustainability appraisal		(Already commenced) Pre-production evidence gathering Initial community and key stakeholder involvement Initial sustainability appraisal and preparation of Draft SPD Consultation on draft SPD & associated sustainability report	(Already commenced) Community & key stakeholder involvement and preparation of Sustainability Appraisal (SA) Consultation on draft SPD & associated SA Consultation responses considered & SPD approved ADOPTION
J F M A 2 M 0 J 0 J 8 A S O N D	Council consideration of comments on Issues etc. and preparation of Preferred Options Consultation on Preferred Options Council consideration of representations on Preferred Options and preparation of Submission DPD Submission of DPD and consultation (6 weeks)	Commencement Pre-production evidence gathering Initial community and key stakeholder involvement Sustainability appraisal of options Council approval to Issues & Options Report	Council consideration of consultation responses and approval of SPD ADOPTION	
J F M A 2 M 0 J 0 J 9 A S O N D	Pre-Examination Meeting Start of Examination	Consultation on Issues Options + on initial sustainability appraisal Analysis of comments Possible further consultation on new sites Preferred Options prepared Consultation on Preferred Options Council consideration of representations		
2 J 0 F 1 M 0 A	Inspector's Report ADOPTION	and preparation of Submission DPD Submission of DPD and consultation (6 weeks)		

Diagram 2:**TIMETABLE FOR PREPARATION OF JOINT LOCAL DEVELOPMENT FRAMEWORK DOCUMENTS**

JOINT MINERALS AND WASTE CORE STRATEGY DPD		JOINT MINERALS AND WASTE SITE ALLOCATIONS DPD	
J F M A 2 0 0 7		Initial community and key stakeholder involvement Sustainability appraisal of options	Initial community and key stakeholder involvement Sustainability appraisal of options
		Consultation on Issues and Options	Consultation on Issues and Options
		Consideration by Tees Valley Borough Councils of comments received and preparation of	Consideration by Tees Valley Borough Councils of comments received and preparation of
		Preferred Options	Preferred Options
J F M A 2 0 0 8		Consultation on Preferred Options	Consultation on Preferred Options
		Consideration of representations received by all Tees Valley authorities and preparation of Submission DPD for approval by all Tees Valley authorities	Consideration of representations received by all Tees Valley authorities and preparation of Submission DPD for approval by all Tees Valley authorities
		Submission of DPD and consultation on submitted document (6 weeks)	Submission of DPD and consultation on submitted document (6 weeks)
2 0 0 9		Pre-Examination Meeting	Pre-Examination Meeting
		Examination	Examination
2 0 1 0		Receipt of Inspector's Report	Receipt of Inspector's Report
		Checking of Inspector's Report	Checking of Inspector's Report
		ADOPTION	ADOPTION

Table 1: CORE STRATEGY	
OVERVIEW	
Role and content	To set out the vision and spatial strategy for Hartlepool and the objectives and primary policies for meeting the vision.
Geographical Coverage	Borough-wide
Status	Development Plan Document
Conformity	With Regional Spatial Strategy but must also reflect the Hartlepool Community Strategy.
TIMETABLE/ KEY DATES	
Stage	Date
Commencement – evidence gathering and initial community and key stakeholder involvement	August 2006 – September 2007
Consultation on Issues and Options and initial sustainability analysis	October – December 2007
Consultation on Preferred Options and sustainability report	May – June 2008
Consideration of representations including further discussions with community and key stakeholders	July – November 2008
Submission of DPD and final sustainability report	December 2008
Consultation on submitted document	December 2008 – January 2009
Consideration of representations on submitted document	February – April 2009
Pre examination meeting	May 2009
Commencement of Public Examination	July 2009
Receipt of Inspector's Report	January 2010
Checking of Inspector's Report	January – February 2010
Adoption of DPD and revised proposals map	March 2010
ARRANGEMENTS FOR PRODUCTION	
Lead Organisation	Hartlepool Borough Council
Management arrangements	To be determined (see section 8)
Resources Required	Primarily internal staffing resources with use of consultants if necessary for any special studies required
Community and Stakeholder Involvement	In accordance with the Statement of Community Involvement
POST PRODUCTION/ REVIEW	
<p>The effectiveness of the primary policies in relation to the vision and objectives of the core strategy will be assessed in the Annual Monitoring Report and where necessary reviewed. The Core Strategy DPD will be reviewed as a whole in the following circumstances:</p> <ul style="list-style-type: none"> • A review of the RSS • A further review of the Community Strategy • A significant amendment to the Council's Corporate Vision 	

Table 2: HOUSING ALLOCATIONS	
OVERVIEW	
Role and content	To identify housing sites proposed for development to meet the strategic housing requirements of the Regional Spatial Strategy and to set out policies to provide the context for considering residential windfall proposals
Geographical Coverage	Borough-wide
Status	Development Plan Document
Conformity	With Regional Spatial Strategy and with the Core Strategy DPD when adopted
TIMETABLE/ KEY DATES	
Stage	Date
Commencement – evidence gathering and initial community and key stakeholder involvement	January 2008 – January 2009
Consultation on Issues and Options and initial sustainability analysis	February – April 2009
Consultation on Preferred Options and sustainability report	September - October 2009
Council consideration of representations including further discussions with community and key stakeholders	November 2009 – February 2010
Submission of DPD and final sustainability report	March 2010
Consultation on submitted document	March – April 2010
Consideration of Representations on submitted document	May – September 2010
Pre examination meeting	September 2010
Commencement of Public Examination	November 2010
Receipt of Inspector's Report	May 2011
Checking of Inspector's Report	May – June 2011
Adoption of DPD and revised proposals map	July 2011
ARRANGEMENTS FOR PRODUCTION	
Lead Organisation	Hartlepool Borough Council (Policy Team)
Management arrangements	To be determined (see section 8)
Evidence Required	To be determined on commencement in consultation with key stakeholders
Resources Required	Primarily internal staffing resources with use of consultants if necessary for any special studies required
Community and Stakeholder Involvement	In accordance with the Statement of Community Involvement
POST PRODUCTION/ REVIEW	
The take-up of housing land will be monitored and reported annually in the Annual Monitoring Report. The housing allocations document will be formally reviewed every three years or earlier if monitoring establishes that the policies are not effective.	

Table 3 PLANNING OBLIGATIONS	
OVERVIEW	
Role and content	Will set out guidance and standards on the use of commuted sums through planning agreements, including the circumstances when an agreement will be sought and its basis
Geographical Coverage	Borough-wide
Status	Non-statutory Supplementary Planning Document not subject to independent examination
Conformity	With national guidance, regional spatial strategy and saved Local Plan policy GEP9
TIMETABLE/ KEY DATES	
Stage	Date
Commencement – evidence gathering and initial community and key stakeholder involvement	July 2006 - October 2007
Draft and associated sustainability report issued for consultation	November 2007 – January 2008
Consideration of consultation responses	February – April 2008
Adoption and publication	May 2008
ARRANGEMENTS FOR PRODUCTION	
Lead Organisation	Hartlepool Borough Council (Policy Team)
Management arrangements	To be determined
Resources Required	Internal staffing resources with use of consultants if necessary for any special study required
Community and Stakeholder Involvement	In accordance with the Regulations pending adoption of the Statement of Community Involvement
POST PRODUCTION/ REVIEW	
The effectiveness of the provisions of the document will be assessed in the annual monitoring report. The document will be reviewed when the annual monitoring report highlights a need or if there is any change in government legislation, policy or advice.	

Table 4: Transport Assessment & Travel Plans	
OVERVIEW	
Role and content	Will set out guidance and standards on the use of Travel Plans & Transport assessment planning agreements, including the circumstances when an agreement will be sought and its basis
Geographical Coverage	Borough-wide
Status	Non-statutory Supplementary Planning Document not subject to independent examination
Conformity	With national guidance, regional spatial strategy and saved Local Plan policies Tra19 & Tra20.
TIMETABLE/ KEY DATES	
Stage	Date
Commencement – evidence gathering and initial community and key stakeholder involvement	January -March 2007
Draft and associated sustainability report issued for consultation	June –July 2007
Consideration of consultation responses	August – September 2007
Adoption and publication	October 2007
ARRANGEMENTS FOR PRODUCTION	
Lead Organisation	Hartlepool Borough Council (Transportation Team)
Management arrangements	To be determined
Resources Required	Internal staffing resources
Community and Stakeholder Involvement	In accordance with the adopted Statement of Community Involvement
POST PRODUCTION/ REVIEW	
The effectiveness of the provisions of the document will be assessed in the annual monitoring report. The document will be reviewed when the annual monitoring report highlights a need or if there is any change in government legislation, policy or advice.	

Table 5: JOINT MINERALS AND WASTE CORE STRATEGY

OVERVIEW	
Role and content	To set out the vision, spatial strategy and strategic policies for meeting known and anticipated waste management and mineral working requirements to 2021
Geographical Coverage	Tees Valley -wide including Hartlepool
Status	Development Plan Document
Conformity	With Regional Spatial Strategy
TIMETABLE/ KEY DATES	
Stage	Date
Commencement – evidence gathering and initial community and key stakeholder involvement	September 2006 – April 2008
Consultation on Issues and Options and initial sustainability analysis	May 2007 – June 2007
Consultation on Preferred Options and sustainability report	February – March 2008
Consideration of representations including further discussions with community and key stakeholders	April – December 2008
Submission of DPD and final sustainability report	January 2009
Consultation on submitted document	January - February 2009
Consideration of Representations on submitted document	March – May 2009
Pre examination meeting	April 2009
Commencement of Public Examination	July 2009
Receipt of Inspectors Report	January 2010
Checking of Inspector's Report	February – March 2010
Adoption of DPD and revised proposals map	April 2010
ARRANGEMENTS FOR PRODUCTION	
Lead Organisation	Tees Valley Joint Strategy Unit
Management arrangements	To be determined (see section 8)
Evidence Required	To be determined on commencement in consultation with key stakeholders
Resources Required	Consultants to be appointed to undertake key research, analysis and preparation.
Community and Stakeholder Involvement	In accordance with the Statement of Community Involvement
POST PRODUCTION/ REVIEW	
Monitored on an annual basis and subject to review if the monitoring highlights a need. Otherwise the document will be formally reviewed at least once every five years	

Table 6: JOINT MINERALS AND WASTE SITE ALLOCATIONS	
OVERVIEW	
Role and content	To set out site specific allocations for minerals workings and waste management across the Tees Valley and the provision of policies to form the basis for considering planning applications for all forms of waste and minerals based development that ensure the efficient use of resources, and the protection of the environment and amenity of those affected.
Geographical Coverage	Tees Valley-wide including Hartlepool
Status	Development Plan Document
Conformity	With Regional Spatial Strategy and with the Minerals and Waste Core Strategy DPD when adopted
TIMETABLE/ KEY DATES	
Stage	Date
Commencement – evidence gathering and initial community and key stakeholder involvement	September 2006 – April 2008
Consultation on Issues and Options and initial sustainability analysis	May 2007 – June 2007
Consultation on Preferred Options and sustainability report	February – March 2008
Council consideration of representations including further discussions with community and key stakeholders	April – December 2008
Submission of DPD and final sustainability report	January 2009
Consultation on submitted document	January - February 2009
Consideration of Representations on submitted document	March – May 2009
Pre examination meeting	April 2009
Commencement of Public Examination	July 2009
Receipt of Inspector's Report	January 2010
Checking of Inspector's Report	February – March 2010
Adoption of DPD and revised proposals map	April 2010
ARRANGEMENTS FOR PRODUCTION	
Lead Organisation	Tees Valley Joint Strategy Unit
Management arrangements	To be determined (see section 8)
Evidence Required	To be determined on commencement in consultation with key stakeholders
Resources Required	Consultants to be appointed to undertake key research, analysis and preparation.
Community and Stakeholder Involvement	In accordance with the Statement of Community Involvement
POST PRODUCTION/ REVIEW	
Monitored on an annual basis and subject to review if the monitoring highlights a need. Otherwise the document will be formally reviewed at least once every five years	

4. Sustainability Appraisal

The new planning regime set up under the Planning and Compulsory Purchase Act requires that local development documents should contribute to the achievement of sustainable development. Further, European Union (Strategic Environmental Assessment) Directive 2001/42/EC requires that a formal strategic environmental assessment is carried out for certain plans and programmes likely to have a significant effect on the environment including planning and land use documents.

Local development documents will therefore be subject to a Sustainability Appraisal which will incorporate the requirements of the Sustainable Environment Assessment (SEA). This will be a continual and integrated process starting when a new (or revised) local development document is to be prepared. Appraisal at each stage of a document's preparation will inform the direction adopted at the next stage and sustainability appraisal reports will be subject to consultation alongside the document as it is developed.

5. Links to other strategies

Local development documents contained within the local development framework should reflect the land use and development objectives of other strategies and programmes. The 2006 Hartlepool Local Plan was developed in close collaboration with in particular the Hartlepool Community Strategy and the Hartlepool Local Transport Plan and gives spatial expression to the elements of these and other strategies that relate to the development and use of land.

Development documents to be prepared under the new system must be in conformity with the Regional Spatial Strategy. They will also take account of and reflect other strategies and programmes - local, sub-regional and regional. A list of such strategies and programmes currently in place which may be of relevance is attached at Appendix 3.

The timing of the preparation of the first development plan documents will be linked to the preparation of the Regional Spatial Strategy and the review of the Hartlepool Community Strategy.

6. Evidence Base

Local planning authorities are required to keep under review the main physical, economic, social and environmental characteristics of their area in order to inform the development of planning policies. The Tees Valley Joint Strategic Unit maintains much base information on behalf of the constituent Borough Councils, including in particular information on the size, composition and distribution of population and other matters covered by the Censuses of Population and Employment. In addition Hartlepool Council maintains information on many other matters including the regular

monitoring of housing and employment land availability and of new developments.

The new planning system requires that local development documents should be founded on sound and reliable evidence which will identify opportunities, constraints and issues in the area. Much of this evidence is already in place although some will need to be updated in relation to the preparation of local development documents.

In terms of on-going and proposed development of the evidence base, the Hartlepool Housing Regeneration Strategy was completed in mid 2005 and the Hartlepool Low Density Housing Study which examined high quality, low density housing and the effects of new housing development on migration and the socio-economic balance in the town was completed in July 2005. The Hartlepool Retail Study was updated in March 2005. A scoping report for the Sustainability Appraisal of new local development documents is to be prepared. Other studies currently being prepared include a Local Housing Assessment (in conjunction with the Council's housing strategy team) and a Strategic Flood Risk Assessment (in association with the other Tees Valley authorities).

A list of current and proposed reports is attached at Appendix 4. The need for additional studies and updating of existing studies will be kept under review as part of the annual monitoring process.

7. Monitoring and Review

Monitoring and review are key aspects of the government's 'plan, monitor and manage' approach to planning and should be undertaken on a continuous basis.

Annual Monitoring Report

A requirement of the new planning system is to produce an annual monitoring report to assess the implementation of the local development scheme and the extent to which policies in local development documents are being met. The first annual monitoring report relating to the period from was published in December 2005. The second Annual Monitoring Report was published in December 2006 for the period 1st April 2005 to 31st March 2006

The implementation of the local development scheme is assessed in each annual monitoring report in terms of the extent to which the targets and key dates (milestones) for the preparation of local development documents have been met and the reasons for any failure to meet these are explained. Any adjustments required to the key milestones for document preparation will need to be incorporated in a subsequent review of the local development scheme.

The local development scheme does not envisage any local development document policies, other than the Statement of Community Involvement, the Planning Obligations Supplementary Planning Document and the Travel Plan Supplementary Planning Document, being adopted during the first few years of the operation of the new planning system. The annual monitoring report relating to performance over the period 2005 to 2006 has therefore assessed the saved policies of the 1994 Hartlepool Local Plan, and subsequent reports will assess the policies of the 2006 Local Plan particularly in relation to the indicators and targets contained within that plan. The annual monitoring report will also assess the impact of saved local plan policies on relevant national and regional/sub-regional indicators and targets.

As a result of the assessment of policies, the annual monitoring report may highlight areas where policy coverage is insufficient or ineffective or where it does not accord with the latest national or regional policy. In this event it will suggest action that needs to be taken such as the early review of existing documents or preparation of new documents. As a consequence the local development scheme would need to be amended to reflect such action to amend the local development framework.

8. Managing the Process

The Local Development Scheme has been drawn up having regard to resources (both staff and financial), Council processes and an assessment of the likely interest of key stakeholders and the community. Nevertheless there are risks that the timetables set out in this document may slip. The risks have been assessed in this respect but given the size of the authority and its resources not all can be readily overcome.

Staff Resources

The prime responsibility for delivering the local plan and subsequently the local development framework lies with a small policy team within the Urban Policy Section of the Department of Regeneration and Planning Services. This team has close working relationships with and makes full use of the expertise and experience of other sections of the department including development control, regeneration, housing renewal, community strategy, landscape, ecology and conservation. For example, the regeneration team which has long-established working relationships with the community took the lead in the initial preparation of the draft Statement of Community Involvement. Similarly, when it is decided to replace the Supplementary Notes of the Local Plan by supplementary planning documents the conservation officer, ecologist and arboricultural officer will provide the lead as relevant.

In addition, the policy team, as in the past, will continue to liaise closely with officers of other departments of the council including in particular the transport and countryside services teams.

Full use will be made of consultants to provide independent specialist advice or to undertake necessary studies contributing to the information base necessary for the preparation of local development documents. They may also be engaged to carry out the sustainability appraisals although consideration will also be given in this respect to the use of an in-house multi-discipline team having expertise in the various aspects of sustainable development.

Financial Resources

Resources have been allocated within the Council's mainstream budget to cover the anticipated costs of initial work on local development documents. Provisional costs for future years have been factored into the Council's longer-term budget review. In addition, Planning Delivery Grant is to be used to fund the use of consultants for the preparation of much of the evidence base

Programme Management

The current arrangements for the management of the forward planning process will continue. Basically this comprises weekly meetings of the Core Team and reporting to senior management as necessary. This team will also manage the programme for the production of the Statement of Community Involvement and subsequent local development documents.

Political Process

No special arrangements have as yet been established for Member involvement in the production of local development documents. However, now that the Local Plan has been completed, the new system is increasingly being brought to the attention of Members with a view to fully involving them in the process.

Risk Assessment and Contingencies

The programme for the preparation and production of local development documents set out in the local development scheme is based on a realistic assessment of the capacity of the Council to undertake the work and of the extent and depth of the local community and stakeholder involvement and interest likely to be generated by each document. However, there are two main types of risk that could result in a failure to meet this programme. The first relates to resources (both human and financial) and the second to delays in the process primarily due to external factors.

As noted in paragraph 8.5 above, the Council has endeavoured to ensure that there will be sufficient financial resources made available within its budgetary framework supplemented at least in the short term as necessary by the Planning Delivery Grant. However, in view of the relatively small size of the Council and thus of its staff, the effect of, for

example, long-term sickness, of officers obtaining employment elsewhere or of other unforeseen work coming forward, is significant. Whilst every effort would be made to replace staff in such circumstances, including the use of external consultants, some delay is inevitable as a result of the recruitment process. Further it is not always possible to recruit suitably qualified persons and there is inevitably a period required by new staff for familiarisation.

Account has been taken of the political process relating to the approval of planning documents at the various stages of production. Whilst the scrutiny process provides an open forum for the consideration of issues, it is not possible to predict that Cabinet recommendations will be endorsed at Full Council.

Perhaps the most significant risk to the programming of the development plan documents would be the delay in the preparation of the Regional Spatial Strategy. The Borough Council would be unable to mitigate against this and in the event of a significant delay, would need to reassess its programme in a review of the local development scheme. Further, any delay in the review of the Hartlepool Community Strategy could impact on the programme.

The potential for a delay due to the inability of the Planning Inspectorate to undertake the Examination of development plan documents at the programmed time is minimised by the production of this local development scheme and the associated service level agreement with the Inspectorate.

However, there are risks that adoption of a development plan document could be delayed if the Examination Inspector finds that it is unsound and recommends major changes, or if the Secretary of State intervenes on the basis that it raises issues of national or regional significance. The Council will therefore seek to ensure that the document is sound and conforms as necessary with national and regional policy through close liaison with the government office. The risk of a legal challenge to a document will be minimised by ensuring that it has been produced in accordance with the regulations.

The main risk to the programme for the preparation of the supplementary planning document on planning obligations would be a delay in the publication of the proposed revised circular and good practice guidance.

9. Review of the Local Development Scheme

The Local Development Scheme sets out the position with respect to the development of planning policies as it is envisaged at a particular point of time. It will normally be reviewed annually, but it can be readily reviewed when necessary. In particular it will need to be reviewed in the following circumstances:

- a slippage in the timetables for whatever reason
- a need is identified for a new local development document

- monitoring establishes that an existing document should be reviewed.

APPENDIX 1**LIST OF ACRONYMS AND TECHNICAL TERMS USED IN THIS REPORT**

AAP	Action Area Plan	A type of Development Plan Document relating to specific areas of major opportunity and change or conservation.
AMR	Annual Monitoring Report	Report submitted to Government on the progress of preparing the Local Development Framework and the extent to which policies are being achieved.
	Circular	A government publication setting out policy approaches
Core Strategy	Core Strategy Development Plan Document	A Development Plan Document setting out the spatial vision and objective of the planning framework for the area, having regard in particular to the Community Strategy. All other development plan documents must conform with the core strategy.
	Development Plan	Documents setting out the policies and proposals for the development and use of land and buildings. Under the new planning system it comprises the Regional Spatial Strategy and Development Plan Documents, whilst under the transitional arrangements it comprises the Structure Plan and Local Plan.
DPD	Development Plan Document	A local development document in the local development framework which forms part of the statutory development plan. The core strategy, documents dealing with the allocation of land, action area plans and the proposals map are all development plan documents.
LDD	Local Development Document	An individual document in the Local Development Framework. It includes Development Plan Documents, Supplementary Planning Documents and the Statement of Community Involvement.
LDF	Local Development Framework	The overarching term given to the collection of Local Development Documents which collectively will provide the local planning authority's policies for meeting the community's economic, environmental and social aims for the future of the area where this affects the development and use of land and buildings. The LDF also includes the Local Development Scheme and the Annual Monitoring Report.
LDS	Local Development Scheme	A public statement setting out the programme for the preparation of local development documents. Initially it will also identify the programme for the completion of the local plan and also which policies of the local and structure plan are to be saved and/or replaced.
	Local Plan	A statutory development plan prepared under previous legislation, or being prepared under the transitional arrangements of the new Act.
	National policy	Government policy contained within Planning Policy Guidance (PPG) and Planning Policy Statements (PPS).
PPG	Planning Policy Guidance	Government documents providing policy and guidance on a range of planning issues such as housing, transport, conservation etc. PPGs are currently being replaced by Planning Policy Statements.

HARTLEPOOL LOCAL DEVELOPMENT SCHEME

PPS	Planning Policy Statements	Government documents replacing PPGs and designed to separate policy from wider guidance issues.
-----	----------------------------	---

HARTLEPOOL LOCAL DEVELOPMENT SCHEME

	Proposals Map	Illustrating on an Ordnance Survey base the policies and proposals of development plan documents and any 'saved' policies of the local plan.
RPG	Regional Planning Guidance	Planning policy and guidance for the region issued by the Secretary of State. RPG became the Regional Spatial Strategy upon commencement of the Act.
RSS	Regional Spatial Strategy	Statutory regional planning policy forming part of the Development Plan and prepared by the regional planning body. The Local Development Framework must be in conformity with the RSS.
	Saved Policies	Policies within the Local Plan and the Structure Plan that remain in force for a time period pending their replacement as necessary by development plan documents or the Regional Spatial Strategy.
SA	Sustainability Appraisal	Identifies and evaluates social, environmental and economic effects of strategies and policies in a local development document from the outset of the preparation process. It incorporates the requirements of the Strategic Environmental Assessment (SEA) Directive.
SCI	Statement of Community Involvement	Sets out the standards to be achieved in involving the community and other stakeholders in the preparation, alteration and review of local development documents and in significant development control decisions.
SEA	Strategic Environmental Assessment	A generic term used internationally to describe environmental assessment as applied to policies, plans and programmes.
SPD	Supplementary Planning Document	A local development document providing further detail of policies in development plan documents or of saved local plan policies. They do not have development plan status.
SPG	Supplementary Planning Guidance	Provide additional guidance expanding policies in a local plan. SPGs will remain relevant where they are linked to saved policies but will ultimately be replaced by supplementary planning documents.
The Act	Structure Plan Planning and Compulsory Purchase Act 2004	A statutory development plan setting out strategic policies for environmental protection and development and providing the more detailed framework for local plans. Policies in the structure plan will be saved for a time period under the transitional arrangements of the Act. Government legislation introducing a new approach to development planning.
	Transport Assessments	A process setting out transport issues relating to a proposed development identifying measures to be taken to improve accessibility and safety for all modes of travel, particularly alternatives to the car. Such as walking, cycling & public transport.
	Travel Plans	A package of measures to assist in managing transport needs of an organisation principally to encourage sustainable modes of transport and enable greater travel choice.
	Transitional Arrangements	Government regulations describing the process of development plans begun before, and to be completed after, the Planning and Compulsory Purchase Act 2004.

APPENDIX 2

SAVED POLICIES

A Hartlepool Local Plan including mineral and waste policies 2006:

All policies to be saved. These are as follows:

- GEP1 to GEP18 (General Environmental Principles)
- Ind1 to Ind11 (Industrial and Business Development)
- Com1 to Com17 (Retail, Commercial & Mixed Use Development)
- To1 to To11 (Tourism)
- Hsg1 to Hsg14 (Housing)
- Tra1 to Tra20 (Transport)
- PU1 to PU11 (Public Utility and Community Facilities)
- Dco1 to Dco2 (Development Constraints)
- Rec1 to Rec 14 (Recreation and Leisure)
- GN1 to GN6 (The Green Network)
- WL1 to WL8 (Wildlife and Natural Features)
- HE1 to HE15 (Conservation of the Historic Environment)
- Rur1 to Rur20 (The Rural Area)
- Min1 to Min5 (Minerals)
- Was1 to Was6 (Waste)

B Tees Valley Structure Plan 2004

All policies to be saved until July 2007 and /or are deleted by the North East Regional Strategy. These are as follows:

- | | |
|-------------------------------------|---|
| • Sustainable Development policies | SUS1 and SUS2 |
| • Regeneration policies | REG1 and REG2 |
| • Strategy policies | STRAT1 and STRAT2 |
| • Employment policies | EMP1-EMP4, EMP4a, EMP4b, EMP5 to EMP6, EMP6a, EMP7 to EMP10 |
| • Environment policies | ENV1 to ENV3, ENV3a, ENV4 to ENV30 |
| • Housing policies | H1, H1A, H1B, H2, H2A, H4 - H8 |
| • Transport policies | T1 to T3, T3A, T3B, T4 to T11, T13 to T17, T18A, T18B, T19 to T24, T24A, T25 to T27 |
| • Town Centre and Shopping policies | TC1 to TC5 |
| • Leisure policies | L1 to L12 |
| • Energy policies | EN1 to EN2, EN2A, EN3 to EN4 |
| • Waste policies | W1 to W6 |
| • Minerals policies | MIN1 to MIN7, MIN9 to MIN10 |

C Supplementary Planning Guidance for Proposed Housing Redevelopment in West Central Hartlepool (adopted April 2005)

STRATEGIES AND PROGRAMMES TO BE CONSIDERED

REGIONAL STRATEGIES:

- Making It Happen: The Northern Way -Feb. 2004
- Regional Spatial Strategy (programmed for adoption in early 2007)
- North East Regional Housing Strategy - July 2005
- Regional Economic Strategy - Unlocking our Potential

SUB REGIONAL STUDIES / STRATEGIES

- Tees Valley Vision
- Tees Valley Living - Building Sustainable Communities in Tees Valley
- Tees Valley Sub Regional Housing Market Renewal Strategy (January 2006)
- Tees Valley Sub-Regional Housing Strategy (under preparation)
- The Tees Valley Forest Plan 2000
- Tees Valley Biodiversity Plan
- Joint Waste Management Strategy for Hartlepool, Middlesbrough, Redcar & Cleveland and Stockton-on-Tees Borough Councils.
- Hartlepool Cycling Strategy
- Tees Valley Tourism Strategy - February 2003
- Coastal Arc Strategy (Phase 1 - 2004-07)
- Business Link Tees Valley Plan
- Tees Estuary Management Plan
- Cleveland Police Policing Plan

LOCAL STRATEGIES AND PROGRAMMES

Generic

- Hartlepool Community Strategy (to be reviewed 2006/07)
- Neighbourhood Renewal Strategy
- Neighbourhood Action Plans

Housing

- Hartlepool Housing Regeneration Strategy (May 2005)
- Hartlepool Housing Strategy
- NDC Community Housing Plan (2003)
- North Central Hartlepool Masterplan (August 2004)

Jobs and the Economy

- Hartlepool Economic Strategy
- Hartlepool Town Centre Strategy

Tourism

- Hartlepool Tourism Strategy - March 2004
- Seaton Carew Tourism Strategy: 2003 – 2008

Environment and the Arts

- Shoreline Management Plan 1999 Seaham Harbour to Saltburn by the Sea
- Longhill and Sandgate Industrial Estate Landscape Masterplan
- Contaminated Land Strategy
- Hartlepool's Cultural Strategy (April 2003)
- Headland Environmental Improvement and Public Art Strategy

Transport

- Hartlepool Local Transport Plan 2001 – 2006 (under review)

Recreation

- Hartlepool Playing Pitch Strategy
- Sports Development Strategy
- Hartlepool Rights of Way Strategy
- Outdoor Equipped Play Facilities Strategy 2001

Lifelong Learning

- Connexions Strategy
- Cleveland College of Art & Design Strategic Plan
- Hartlepool Adult Learning Plan
- Hartlepool College of Further Education Strategic Plan
- Hartlepool Education Development Plan
- Hartlepool Library Plan
- Hartlepool Sixth Form College Strategic Plan
- Hartlepool Youth Service Strategy
- Learning & Skills Council Tees Valley Strategic Plan

Health

- Vision for Care
- Hartlepool CHD Strategy
- Hartlepool Public Health Strategy
- Hartlepool Teenage Pregnancy Strategy
- Hartlepool Drug Action Team Strategy

Community Safety

- Hartlepool Community Safety Strategy

APPENDIX 4

**REPORTS CONTRIBUTING TO THE EVIDENCE BASE FOR
NEW LOCAL DEVELOPMENT DOCUMENTS**

HOUSING AND HOUSING REGENERATION

Hartlepool Housing Dynamics Study (NLP)	April 2000
Hartlepool Housing Aspirations Study (NLP)	December 2002
West Central Hartlepool NDC Housing Study (NLP)	2000
West Central Hartlepool NDC Options Report (NLP)	March 2002
Hartlepool Housing Urban Capacity Study (C/RG)	May 2002
NDC Community Housing Plan (NLP/SRB)	May 2003
NDC Area Assessment Report (HA)	August 2004
North Central Hartlepool Masterplan	August 2004
Victoria Harbour Housing Demand Study (RTP)	June 2004
Hartlepool Low Density Housing Study (NLP)	July 2005
Hartlepool Housing Regeneration Strategy (NLP)	Mid 2005
Regional Housing Aspirations Study	March 2005
Hartlepool Local Housing Assessment (DC)	Commenced Dec 2006

ECONOMY

Tees Valley Strategic Employment Land Review (JSU)	Draft May 2003-
Hartlepool Retail Study (DJ)	Update March 2005
Hartlepool Employment Land Review	Commenced Jan 2007

ENVIRONMENT

Hartlepool Landscape Assessment	November 1999
Local Air quality management action plan	
NLUD	
Sustainability Appraisal Scoping Report	To be prepared
Strategic Flood Risk Assessment (JBA)	Commenced November 2006

RECREATION AND LEISURE

Outdoor Equipped Play Facilities Strategy	2001
Audit and Assessment of Allotment Provision in Hartlepool	May 2004
Playing Pitch Strategy	March 2004
Multi-Use Games Area Strategy	April 2006
PPG 17 Audit of Open Space (CS)	Commenced Dec 2006
Hartlepool Sports Facilities Strategy	Commenced Dec 2006

NLP	Nathanial Litchfield & Partners
C/RG	Chesterton and Ron Grieg
SRB	Social Regeneration Consultants
HA	Halcrow Group
RTP	Roger Tym and Partners
JSU	Tees Valley Joint Strategic Unit
DJ	Drivers Jonas
JBA	JBA Consulting
DC	David Cumberland
CS	Capita Symonds